

# Can Effective Field Theory for Deformation Reveal Alpha Clustering?

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# Collaborators

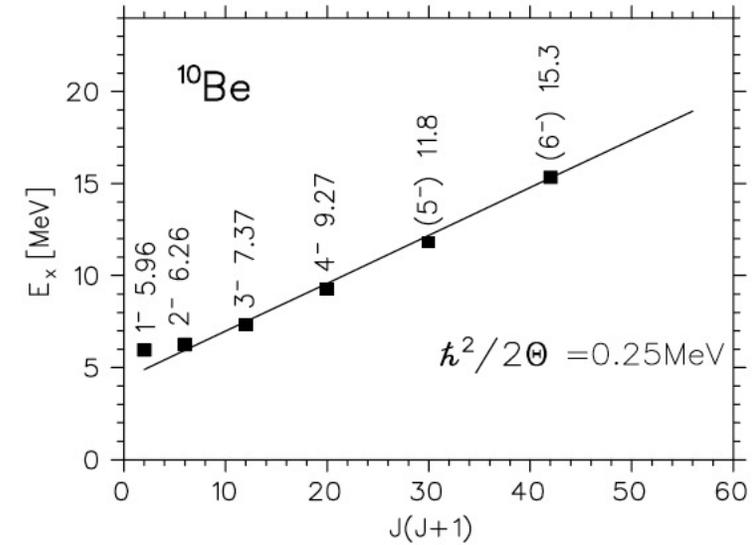
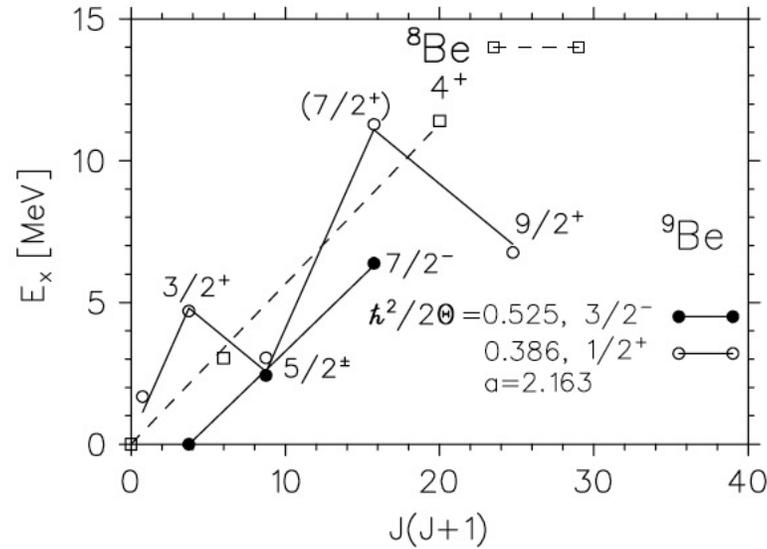
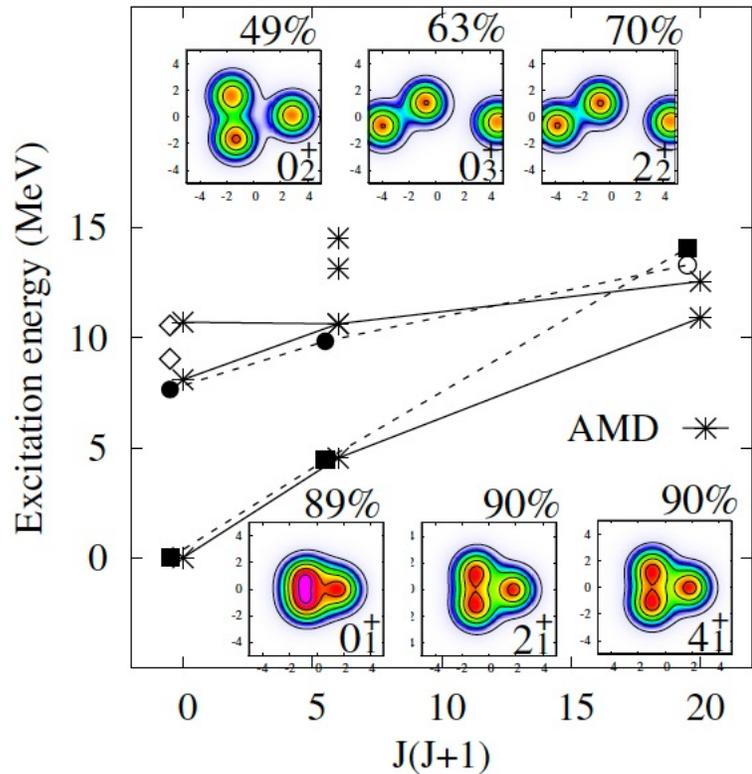
Benjamin Luna (former Tennessee Tech; now UTK)

Toño Coello Pérez (presently at LLNL)

Hans Weidenmüller (Heidelberg)

# Can Effective Field Theory for Deformation Reveal Alpha Clustering?

In light nuclei, clustering and deformation seem to go hand in hand



M. Freer, Rep. Prog. Phys. 70 2149 (2007)

Freer, Horiuchi, Kanada-En'yo, Lee & Meißner,  
Rev. Mod. Phys. 90, 035004 (2018)

# Can Effective Field Theory for Deformation Reveal Alpha Clustering?

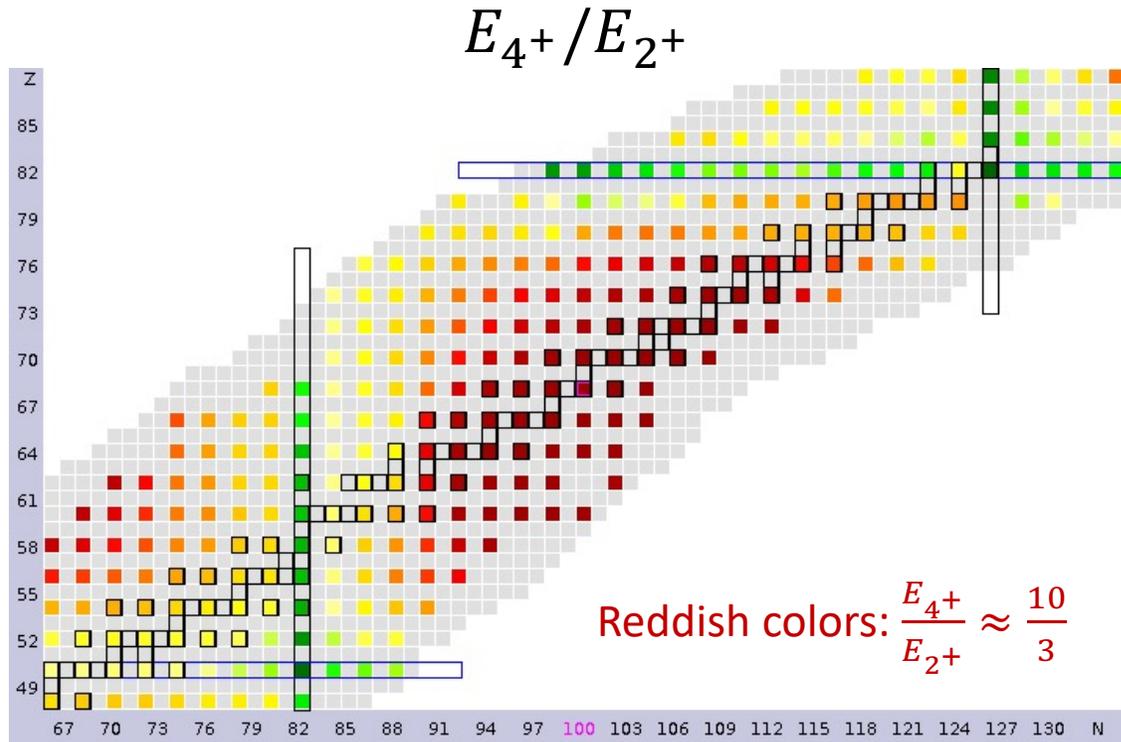


Image credit: NNDC

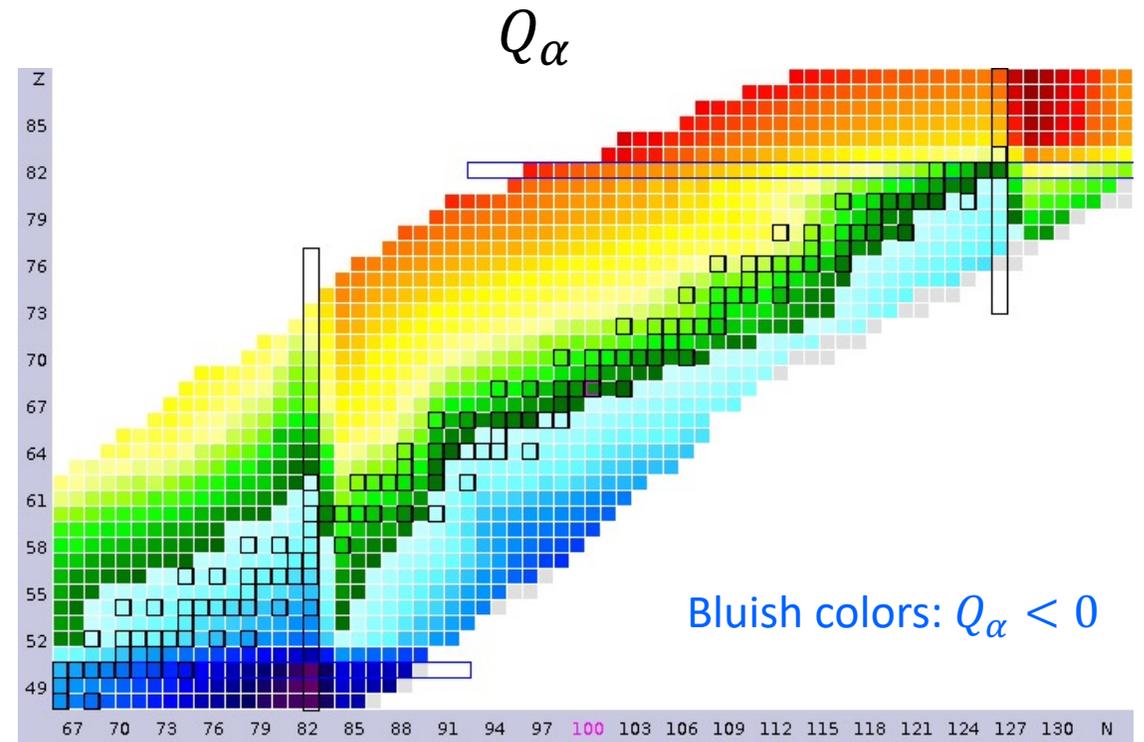


Image credit: NNDC

In rare-earth nuclei, deformation and weak binding / low-energy resonances of alpha particles coexist

- What are the observable consequences of this (if any)?
- It seems that the enormous Coulomb barrier special suppresses halo/resonance signatures

# Scales in a light deformed nucleus

NNDC spectra: levels up to 12 MeV

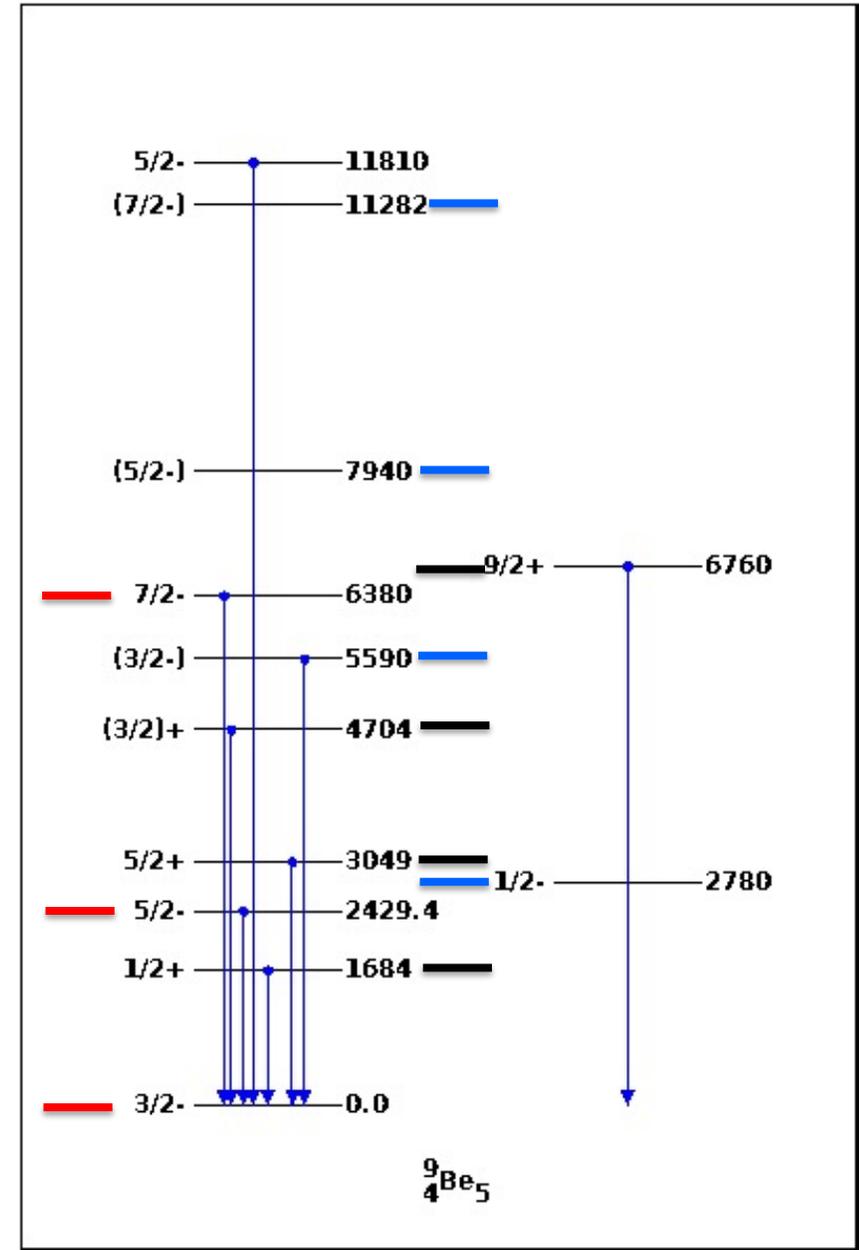
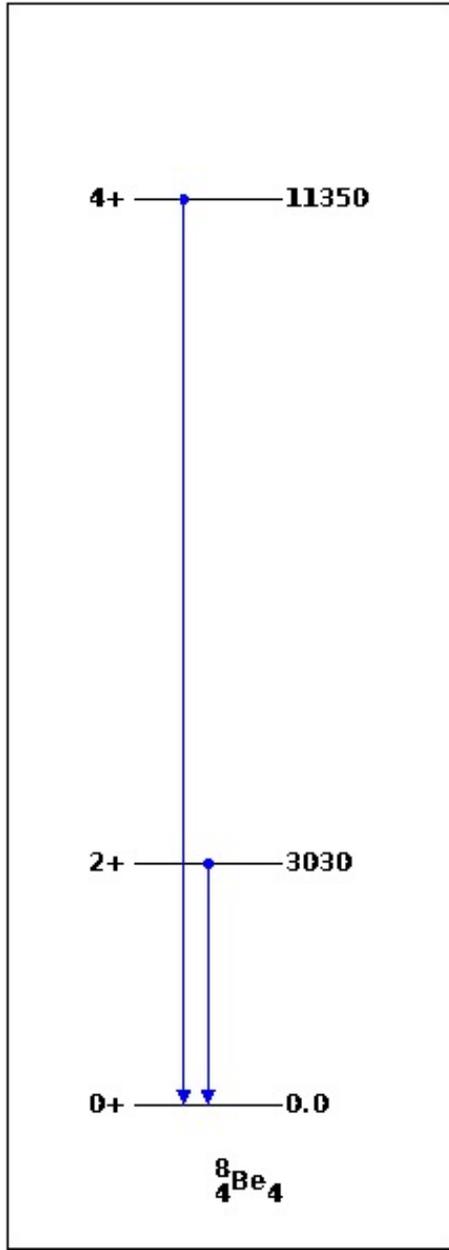
$^8\text{Be}$ :

- $0^+$ ,  $2^+$ ,  $4^+$  levels form rotational band  $E(I) = A I(I + 1)$  with  $A \approx 0.505$  MeV
- $2^+$  state sets **low-energy scale**  $\xi \approx 3$  MeV
- First non-rotational state (at 16.6 MeV) sets **breakdown scale**  $\Lambda = 16.6$  MeV
- Small expansion parameter is  $\frac{\xi}{\Lambda} \approx 0.2$

$^9\text{Be}$ :

- Spectrum looks complicated ...
- However: All but one state below 12 MeV explained by three rotational bands built on band heads with  $K = 3/2^-, 1/2^+, 1/2^-$
- $$\Delta E(I) = A \left[ I(I + 1) + a(-1)^{I+\frac{1}{2}} \left( I + \frac{1}{2} \right) \delta_K^{\frac{1}{2}} \right]$$

→ ab initio by Caprio et al., arXiv:1912.0008



# Effective Field Theory for Deformed Nuclei

The EFT for deformed nuclei works at lowest resolution; expansion in small parameter  $\frac{\xi}{\Lambda} \ll 1$

- In the EFT for deformed nuclei we deal with emergent symmetry breaking from  $SO(3) \rightarrow SO(2)$ , and the degrees of freedom  $(\theta, \phi)$  are combined in radial the unit vector

$$\vec{e}_r(\theta, \phi) = (\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta)^T$$

which parametrizes the coset  $SO(3)/SO(2) = S^2$ , i.e. the unit sphere.

[TP 2011; TP & Weidenmüller 2014, 2015; Coello Pérez & TP 2015, 2016; Chen, Kaiser, Meißner & Meng 2017, 2018, 2020; Alnamlah, Coello Pérez & Phillips 2020]

- Other degrees of freedom are coupled via their spin  $\vec{K}$  and gauge potentials (or covariant derivatives)

$$\vec{A}(\theta, \phi) = (\vec{e}_r(\theta, \phi) \cdot \vec{K}) \cot \theta \vec{e}_\phi(\theta, \phi)$$

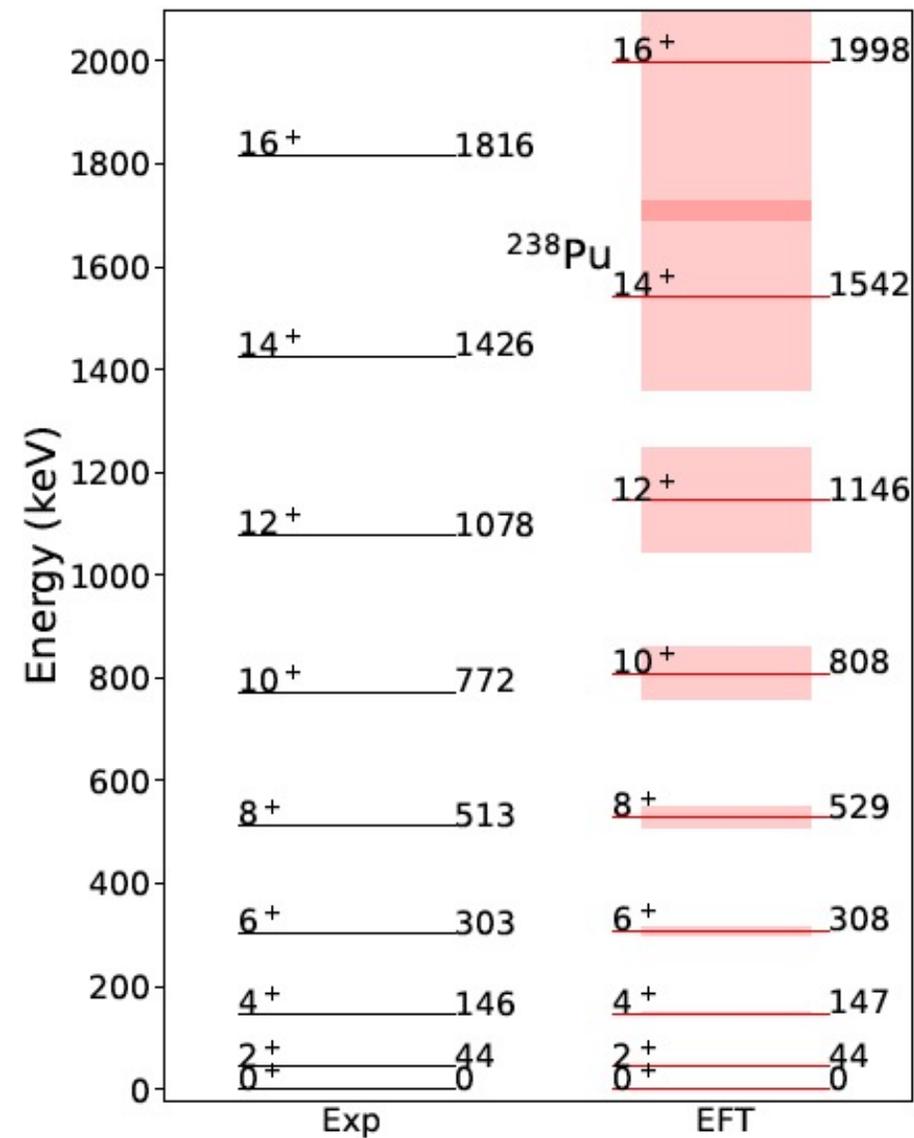
$$\vec{A}(\theta, \phi) = g \vec{e}_r(\theta, \phi) \times \vec{K}$$

which both produce monopole “magnetic” fields (or Berry curvatures). The coupling is via

$$\vec{A}(\theta, \phi) \cdot \frac{d}{dt} \vec{e}_r(\theta, \phi)$$

[TP & Weidenmüller Phys. Rev. C 102, 044324 (2020); arXiv:2005.11865]

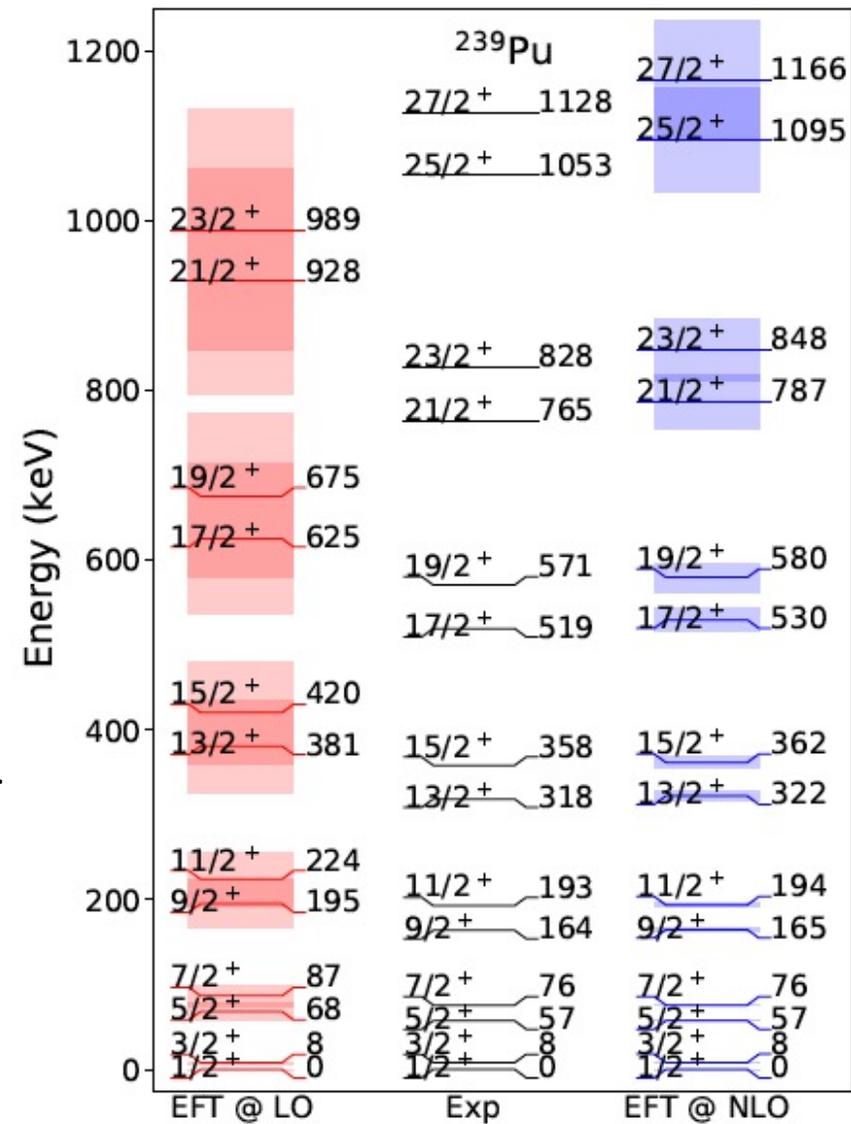
# $^{239}\text{Pu}$ as a neutron coupled to $^{238}\text{Pu}$



Uncertainty estimates based on power counting

Leading order: Take moment of inertia (MOI) from  $^{238}\text{Pu}$  and adjust decoupling coefficient

Next-to-leading order: re-adjust MOI for  $^{239}\text{Pu}$



# Alpha clustering / halo nuclei

$Q_\alpha$  values

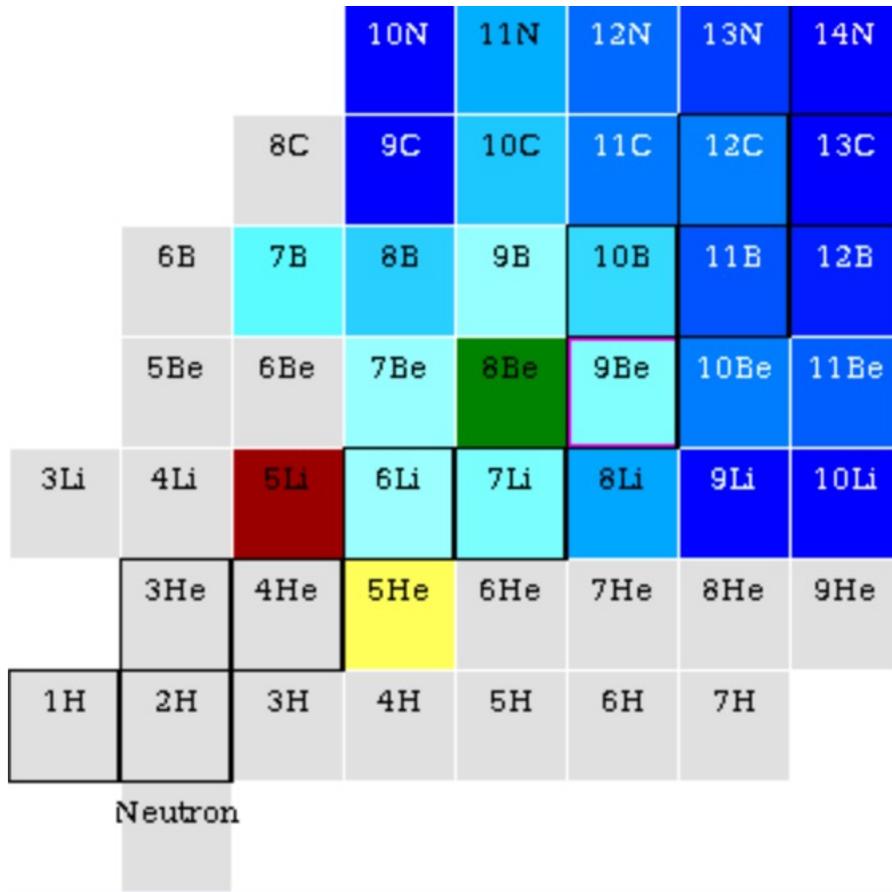


Image credit: NNDC

## Computational approaches

- Ions are degrees of freedom
  - Cluster Models [Buck et al; Mur et al; Grassi et al; Huang, Bertulani & Guimaraes; Mukhamedzhanov et al, Blokhintsev et al; Yarmukhamedov et al; Artemov, Igamov, ... ]
  - R matrix analysis [Descouvemont et al., ...]
  - Effective-range expansions [Baye, Capel, Sparenberg, ...]
  - EFTs [Capel, Forssén, Hammer, Higa, Phillips, Platter, Ryberg, Rupak, Schmickler, van Kolck, Zhang, ...]
- Nucleons are degrees of freedom
  - AMD [Horiuchi, Kanada En'yo, Neff, ...]
  - Ab initio [Dean Lee et al; Dohet-Eraly, Hupin, Navratil, Nollett, Quaglioni, Raimondi; Schiavilla, Wiringa, ...]

Here: what are relevant dimensionless parameters?

→ Benjamin Luna & TP, Phys. Rev. C 100, 054307 (2019); arXiv:1907.11345

# Toward an EFT: expansion of Coulomb wave functions at low momenta

$$(\eta \equiv k_c/k \text{ and } \rho \equiv kR)$$

$$F_0(\eta, \rho) = \frac{C_0(\eta)}{2\eta} \sum_{n=1}^{\infty} b_n (2k_c R)^{\frac{n}{2}} I_n(2\sqrt{2k_c R}),$$

$$G_0(\eta, \rho) = \frac{2}{\beta_0(\eta)C_0(\eta)} \sum_{n=1}^{\infty} (-1)^n b_n (2k_c R)^{\frac{n}{2}} K_n(2\sqrt{2k_c R})$$

$$b_1 = 1,$$

$$b_2 = 0,$$

$$b_3 = -\frac{1}{4\eta^2},$$

$$b_4 = -\frac{1}{12\eta^2},$$

$$\beta_0(\eta) = -1 + \mathcal{O}(\eta^{-4})$$

- Expansion in terms of modified Bessel functions
- Coefficients  $b_n$  decay with increasing powers of the inverse Sommerfeld parameter
- Relevant combination  $2\sqrt{2k_c R}$
- (Similar expansion for bound states)
- See DLMF <https://dlmf.nist.gov>

How large is  $2\sqrt{2k_c R}$  ?

# Cluster / halo states of ions: scales

Relevant dimensionless quantity for low-energy approximations of Coulomb wave functions

Bound-state or resonance momentum

$D$  = Sum of ions' charge radii

System	$J^\pi$	$\gamma$ or $\kappa$ ( $\text{fm}^{-1}$ )	$k_c$ ( $\text{fm}^{-1}$ )	$D$ (fm)	$2\sqrt{2k_c D}$
$d + \alpha$	$1^+$	0.31	0.09	3.82	1.68
${}^3\text{H} + \alpha$	$3/2^-$	0.45	0.12	3.43	1.80
${}^3\text{He} + \alpha$	$3/2^-$	0.36	0.24	3.64	2.63
$p + {}^7\text{Be}$	$1/2^-$	0.08	0.12	3.52	1.85
$\alpha + \alpha$	$0^+$	0.09	0.28	3.35	2.72
$p + {}^{16}\text{O}$	$1/2^+$	0.07	0.26	3.58	2.73

Finite-range interactions essential

Inclusion of Coulomb potential qualitatively different for zero-range vs finite-range interactions

# Solutions of the $\delta$ -shell + Coulomb potential

$\delta$ -shell potential model exactly solvable [Kok *et al.*, Phys. Rev. C 26, 2381 (1982); Mur & Popov (1985); Mur *et al.* (1993)]

$$H = H_0 + V.$$

Setting  $R \rightarrow D$  yields for low-energy observables

$$H_0 = -\frac{\hbar^2}{2m}\Delta + V_C(r)$$

$$V_C(r) = \frac{\hbar^2 k_c}{mr}$$

$$V(r) = \frac{\hbar^2 \lambda_0}{m}\delta(r - R)$$

Observable	$2\sqrt{2k_c D} \gg 1$	$D \rightarrow 0$
$a_0$	$-(\pi\kappa^2 D)^{-1} e^{4\sqrt{2k_c D}}$	$-\frac{6k_c}{\kappa^2}$
$r_0$	$(3k_c)^{-1}$	$\mathcal{O}(D)$
ANC $C_0$	$(\pi D)^{-1/2} \Gamma(1 + k_c/\gamma) e^{2\sqrt{2k_c D}}$	$\sqrt{6k_c} \Gamma(1 + k_c/\gamma)$
$\frac{\Gamma}{E_2}$	$4 \frac{k_c}{\kappa^2 D} e^{4\sqrt{2k_c D}} e^{-2\pi \frac{k_c}{\kappa}}$	$24\pi \frac{k_c^2}{\kappa^2} e^{-2\pi \frac{k_c}{\kappa}}$
$\langle r^2 \rangle$	$D^2$	$\mathcal{O}(k_c^{-2})$

- Exponential enhancement of observables

- Large scattering lengths are natural
- Effective range close to  $r_0 \approx (3k_c)^{-1}$

- The formulas in the  $2\sqrt{2k_c D} \gg 1$  column yield reasonable estimates

- $a_0 \approx -2480$  fm and  $\Gamma \approx 7.5$  eV for  ${}^8\text{Be}$  (Exp.: about  $-2000$  fm and 5.7 eV)

Zero-range interaction yields much too small inter-ion distance (This is the basis for the power counting from pion-less EFT)

# Relations between observables

Relations hold for weak bound states and/or low-energy resonances in zero-energy limit

$$r_0 - \frac{1}{3k_c} = -\pi D e^{-4\sqrt{2k_c D}}$$

Mur *et al.* (1993); König, Lee & Hammer (2013)

$$\kappa^{-2} = a_0 \left( r_0 - \frac{1}{3k_c} \right)$$

Sparenberg, Capel & Baye (2010); Schmickler *et al.* (2019)

$$C_0^2 \approx \gamma^2 a_0 [\Gamma(1 + k_c/\gamma)]^2$$

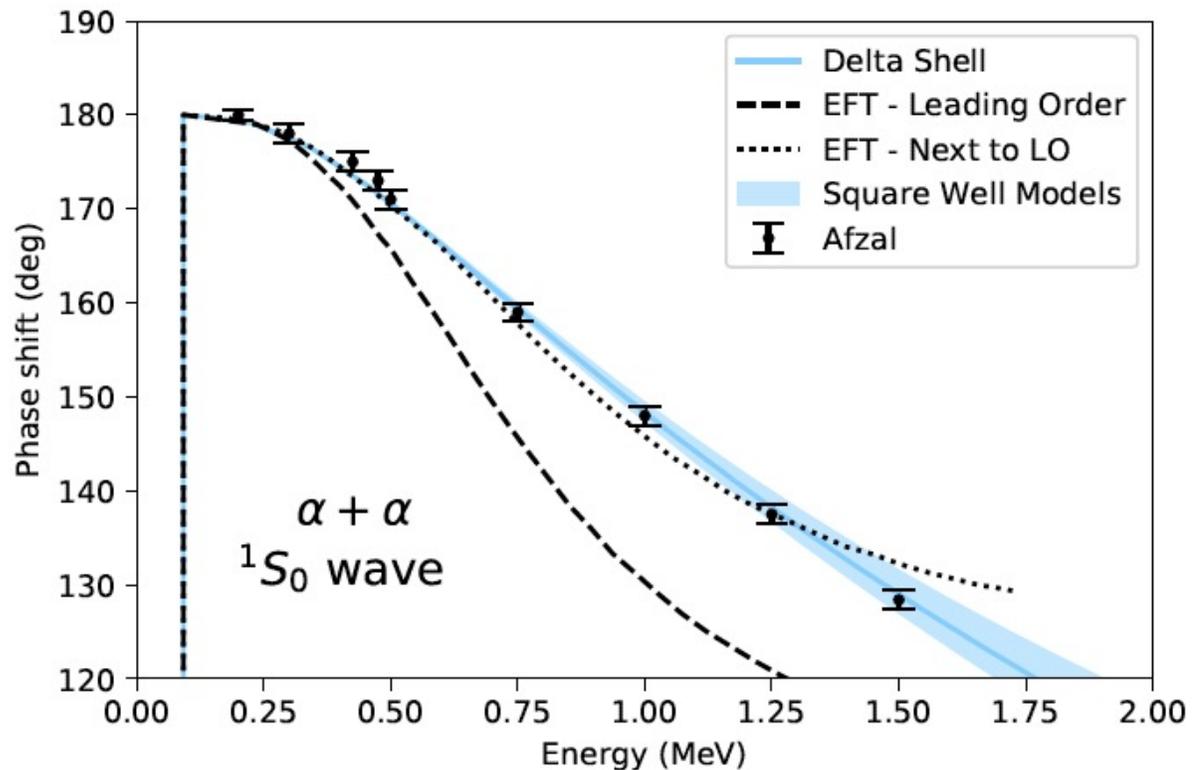
Sparenberg *et al.* (2010); König *et al.* (2013)

$$a_0 \approx -(4\pi k_c)^{-1} \frac{\Gamma}{E} e^{2\pi \frac{k_c}{\kappa}}$$

Luna & TP (2019)

# $^8\text{Be}$ as $\alpha + \alpha$

	$E_m$ (MeV)	$E_b$ (MeV)	$E$ (keV)	$\Gamma$ (eV)	$a_0$ (fm)	$r_0$ (fm)
$\delta$ shell	1.7	9.4			$-2020 \pm 100$	$1.106 \pm 0.005$
Experiment		20.2	92	5.75(25)		
Rasche (1967)					$-1650 \pm 150$	$1.084 \pm 0.011$
Higa, Hammer, van Kolck (2008)					$-1920 \pm 90$	$1.099 \pm 0.005$
Kamouni & Baye (2007)					-2390	1.114



“Model” momentum  $\Lambda_m$  starts to resolve range

$D$  of potential:  $\Lambda_m = \sqrt{2k_c/D}$

“Breakdown” momentum  $\Lambda_b$  fully resolves

range  $D$  of potential:  $\Lambda_b = \pi/D$

- Finite-range models describe low-energy data accurately and precisely
- EFT probably worth revisiting
- Of course: microscopic approaches available [Elhatisari, Lee, Rupak et al 2015]

# Summary

- Develop EFT for emergent symmetry breaking guided by standard approach in spontaneous symmetry breaking
  - EFT is at lowest resolution; no cluster structure resolved
  - Systematically improvable approach
    - Re-discovers venerable models
    - Gives uncertainty estimates
  - Odd nuclei naturally introduce gauge potentials and Berry phases
  - Examined scales relevant in low-energy physics of charged clusters
  - Expansion of Coulomb wave functions exhibit relevant parameter  $(2k_c D)^{\frac{1}{2}}$ , where  $k_c$  is the Coulomb potential and  $D$  is approximately the sum of ion's radii
  - Finite range / peripheral interactions essential
- ... Ingredients for an EFT of alpha clusters + deformation seem available