

# Clustering in light nuclei, Hoyle state, and Efimov effect

Grigory Rogachev

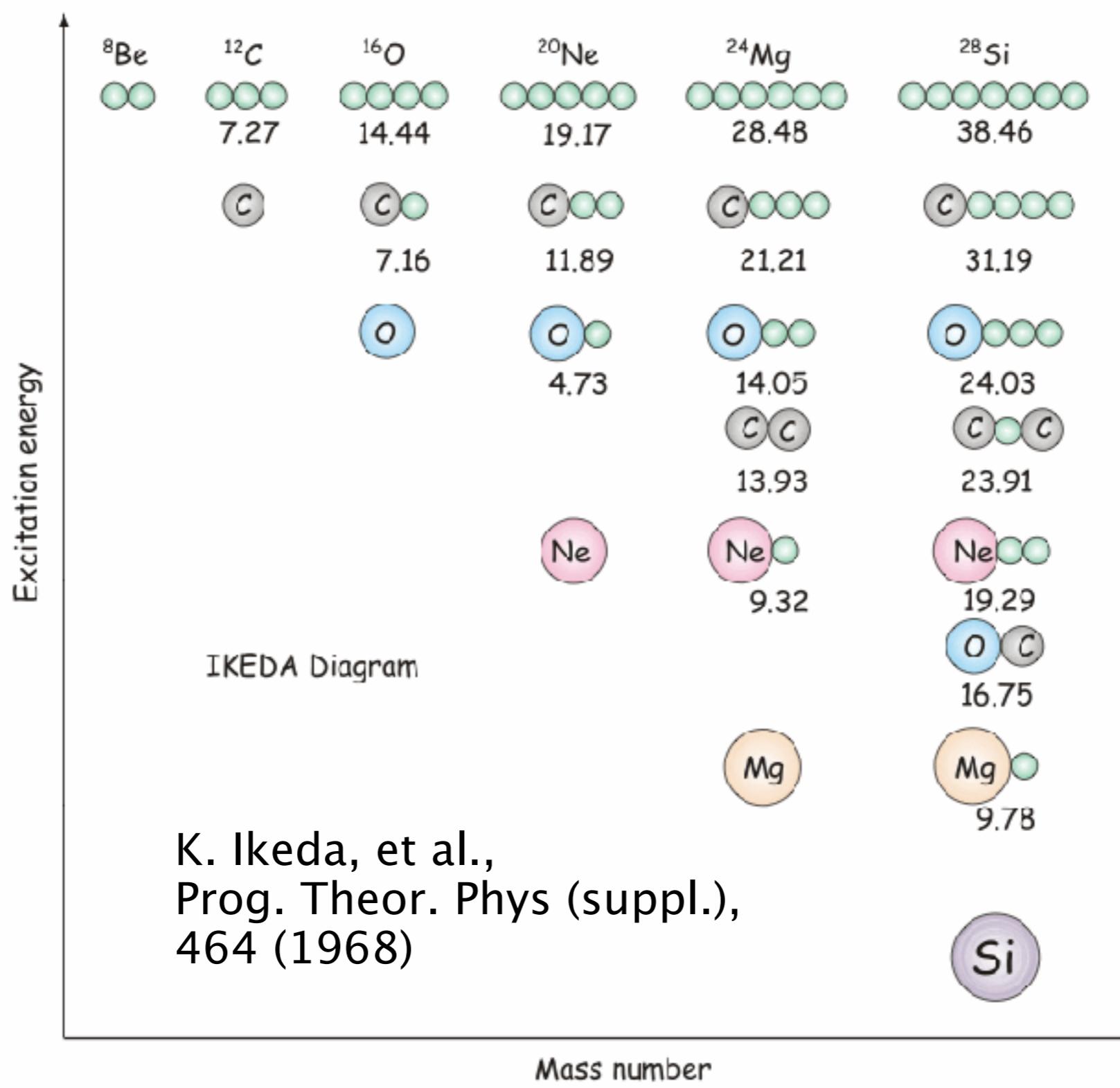
*Cyclotron Institute and  
Department of Physics & Astronomy*



# Outline

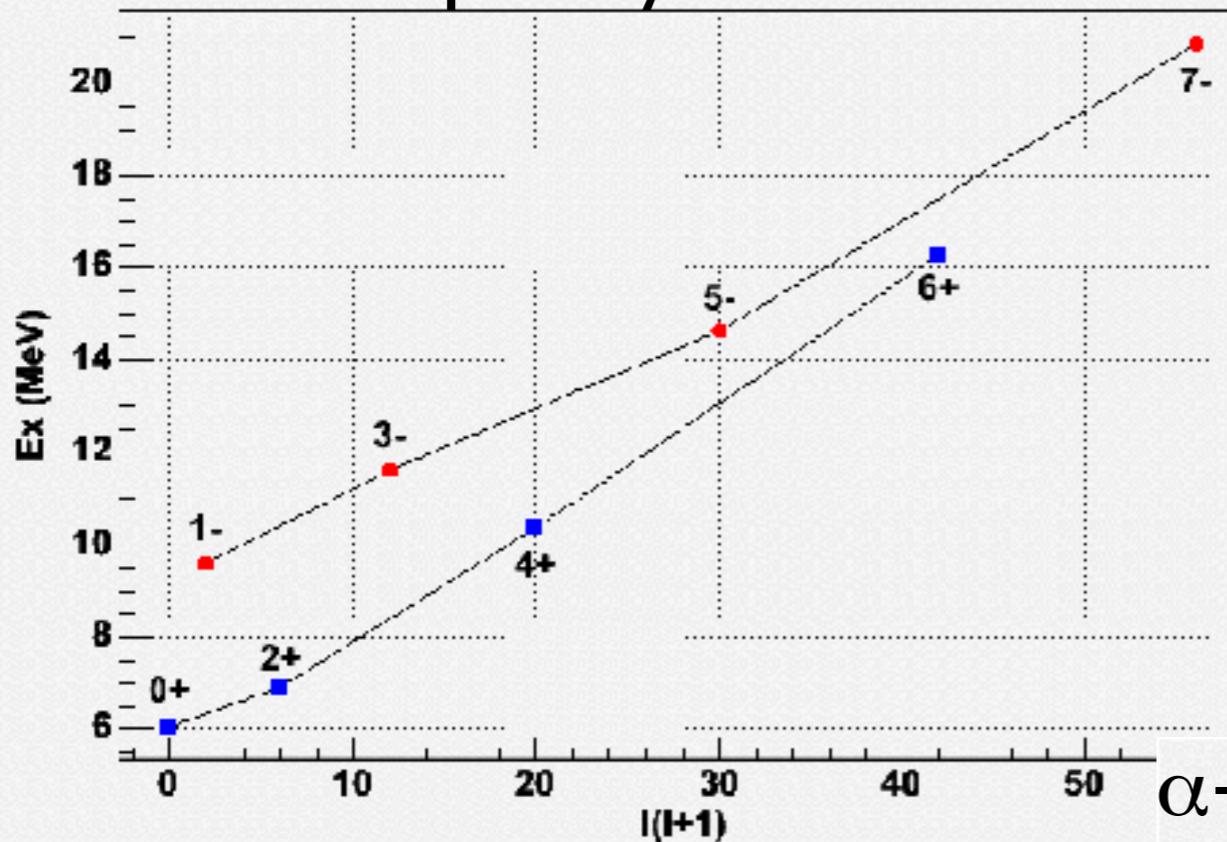
- Cluster structure in light nuclei
- New data on the properties of the Hoyle state
- Search for Efimov effect in  $^{12}\text{C}$





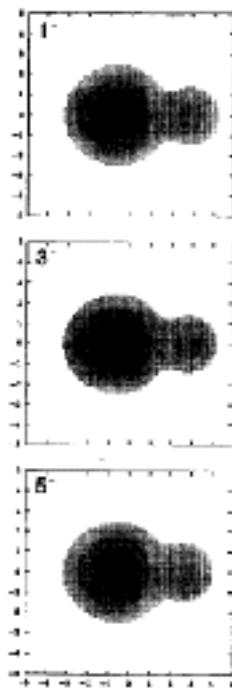
# Parity doublets

## $\alpha$ -cluster parity doublets in $^{16}\text{O}$



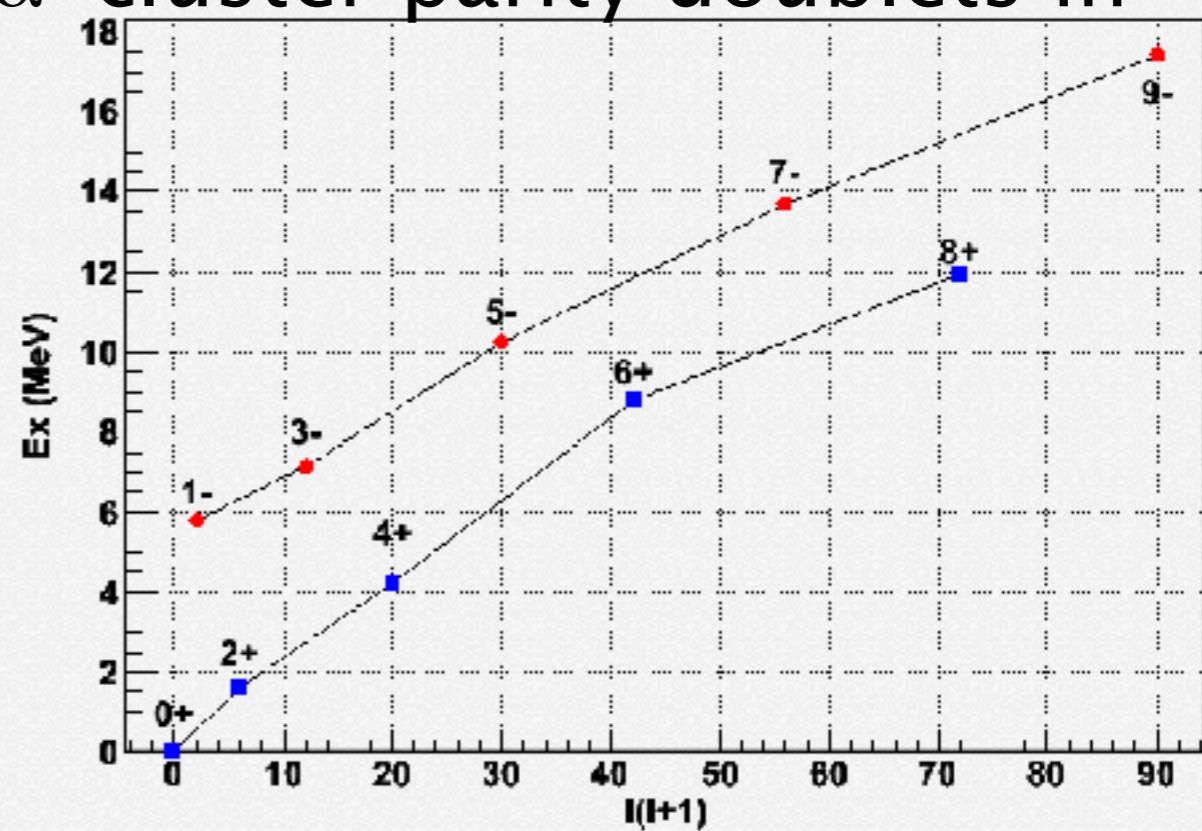
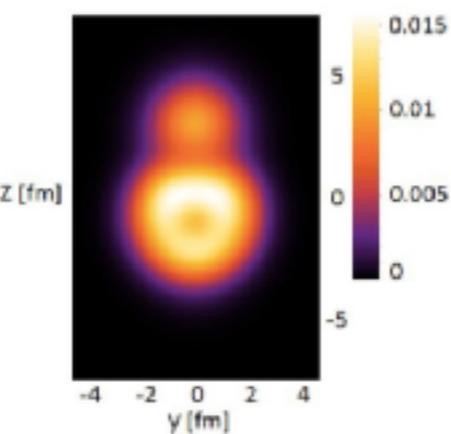
Sequence of states with pronounced  $\alpha$ -cluster structure - large reduced alpha width

## $\alpha$ -cluster parity doublets in $^{20}\text{Ne}$

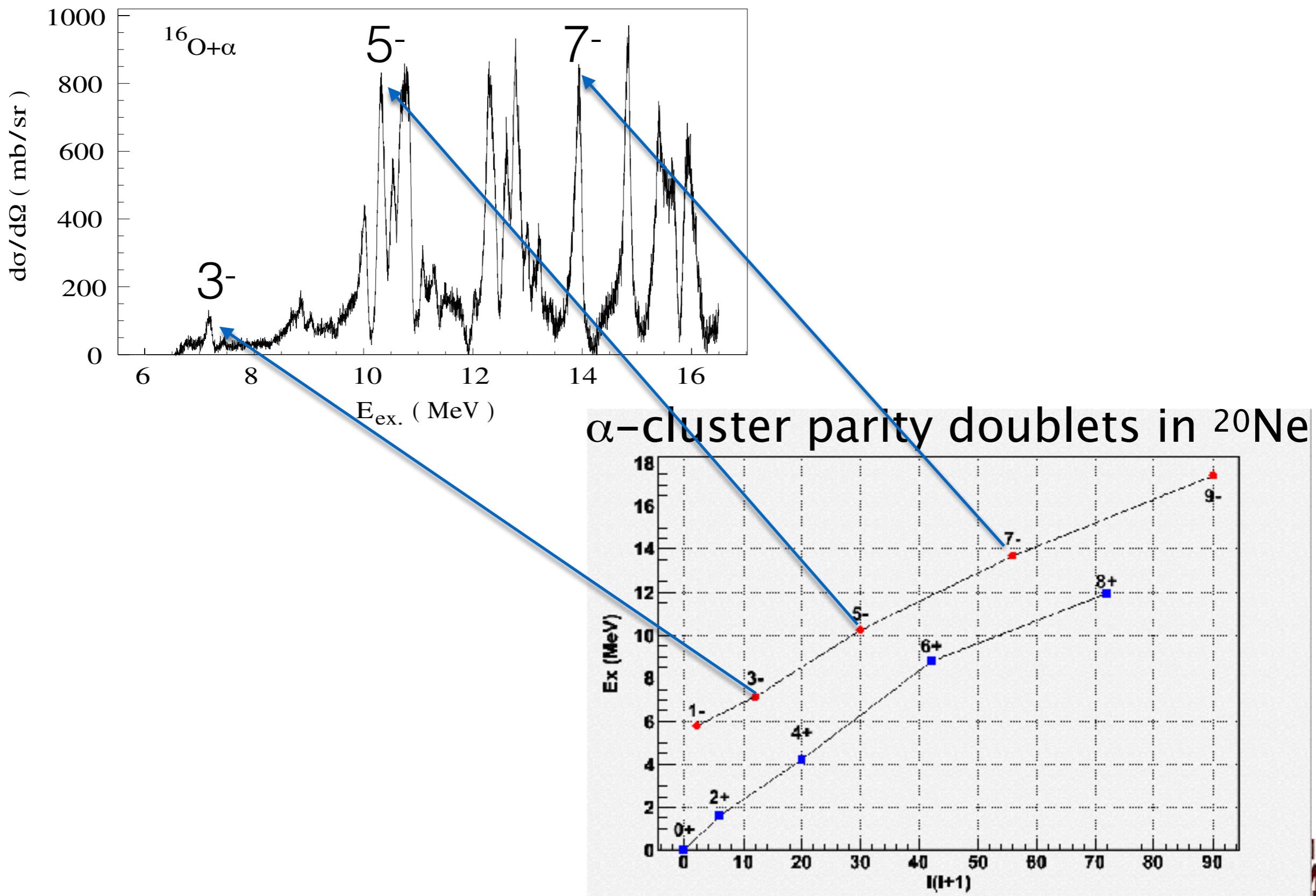


AMD: Y. Kanada-En'yo, H. Horiuchi;  
Prog.Th.Phys. 93  
(1995), 115

THSR: Zhao, et al,  
PRC (2004)



# Parity doublets



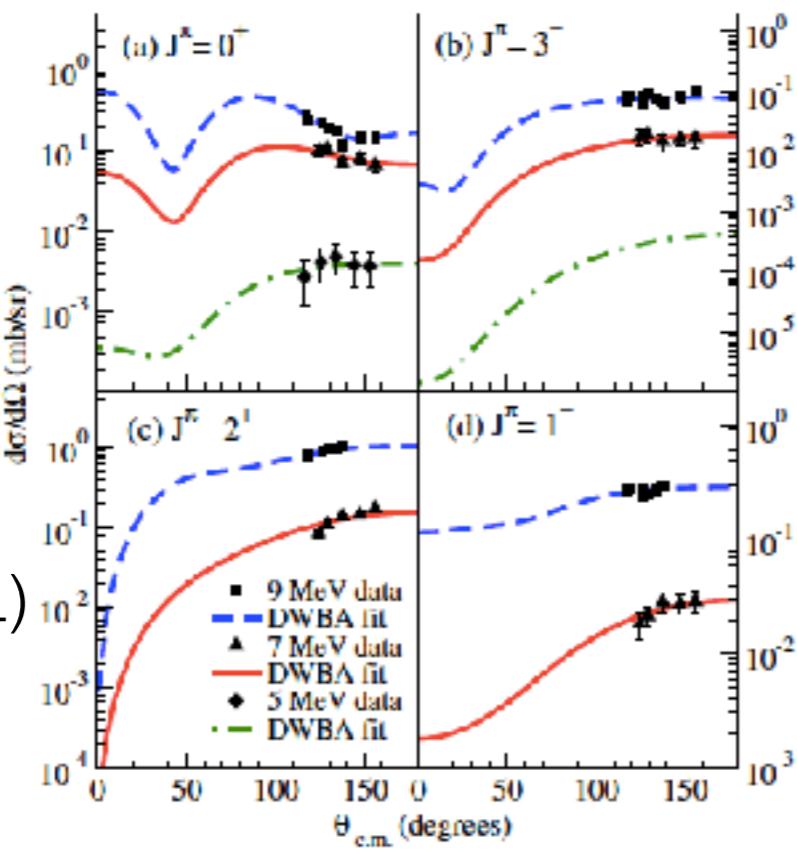
# Parity doublets

- Clustering of bound states can be established model independently (almost) using sub-Coulomb  $\alpha$ -transfer, e.g. (6Li,d) or (7Li,t)

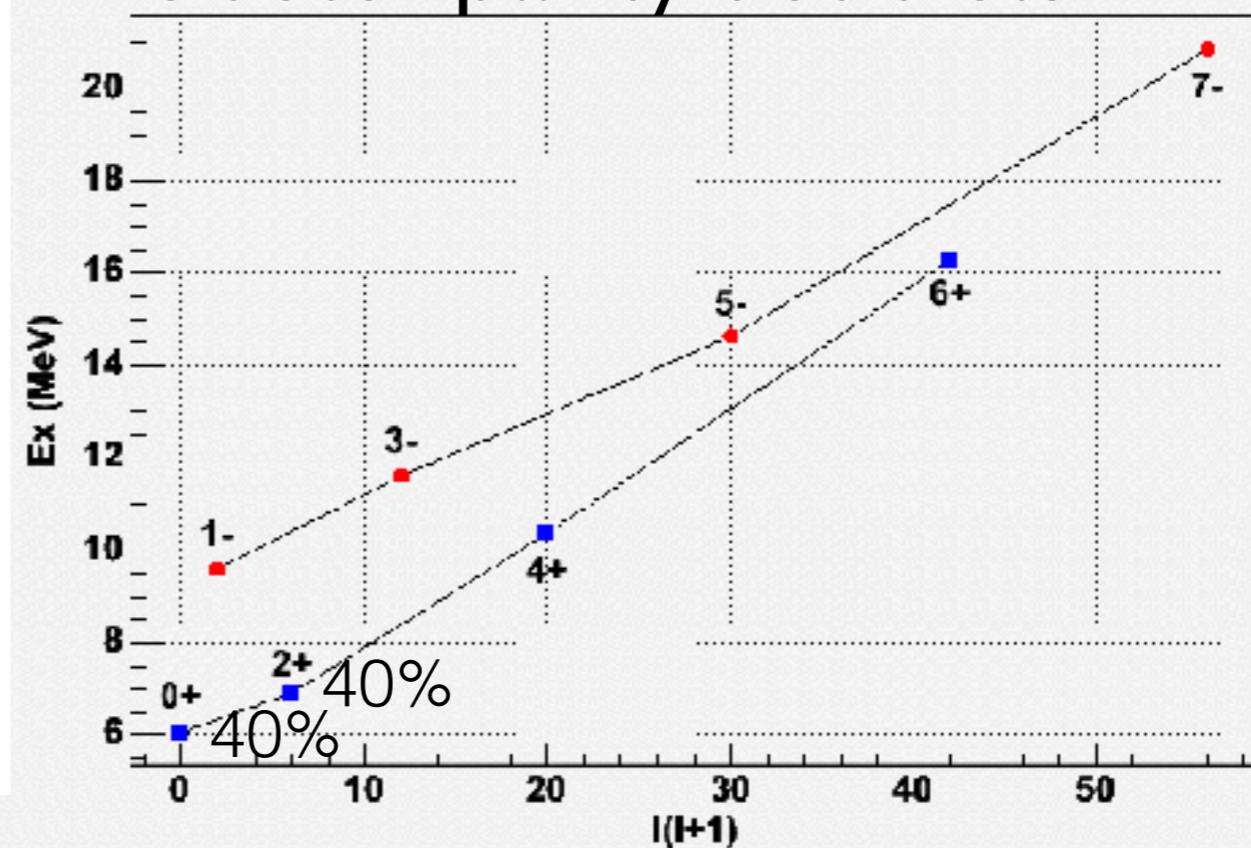
$(C_{\alpha-^{12}\text{C}}^{^{16}\text{O}(0^+)} )^2$ ( $10^6 \text{ fm}^{-1}$ )	$(C_{\alpha-^{12}\text{C}}^{^{16}\text{O}(3^-)} )^2$ ( $10^4 \text{ fm}^{-1}$ )	$(C_{\alpha-^{12}\text{C}}^{^{16}\text{O}(2^+)} )^2$ ( $10^{10} \text{ fm}^{-1}$ )	$(C_{\alpha-^{12}\text{C}}^{^{16}\text{O}(1^-)} )^2$ ( $10^{28} \text{ fm}^{-1}$ )	Ref.
...	...	$2.07 \pm 0.80$	$4.00 \pm 1.38$	[14] N. Oulebsir, et al., PRC <b>85</b> , 035804 (2012).
...	...	$1.29 \pm 0.23$	$4.33 \pm 0.84$	[10] C. Brune, et al., PRL <b>83</b> , 4025 (1999).
...	...	$1.96^{+1.41}_{-1.27}$	$3.48 \pm 2.0$	[15] A. Belhout, et al., NPA <b>793</b> , 178 (2007).
$2.43 \pm 0.30$	$1.93 \pm 0.25$	$1.48 \pm 0.16$	$4.39 \pm 0.59$	This work M.L. Avila, GR, et al., PRL <b>114</b> , 071101 (2015).



M. Avila  
(now at ANL)



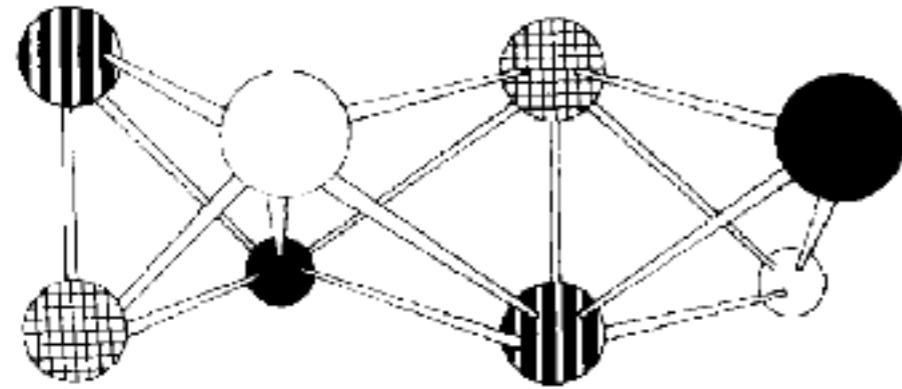
## $\alpha$ -cluster parity doublets in $^{16}\text{O}$



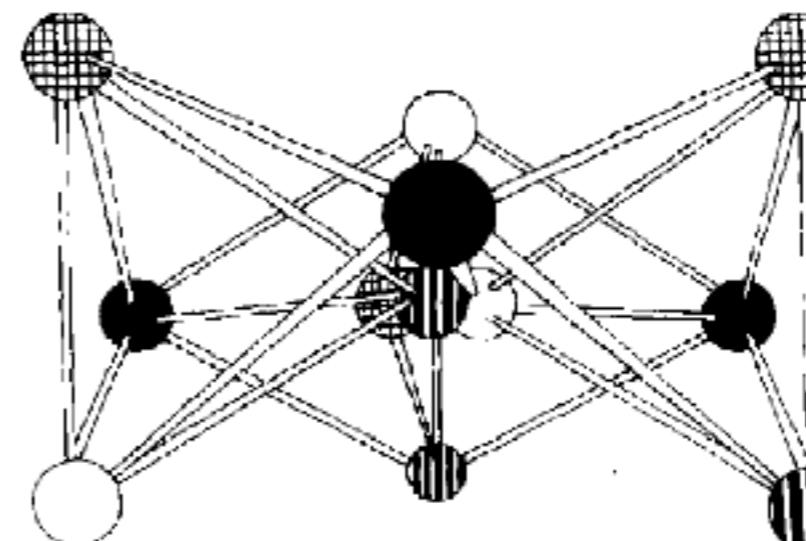
# “Crystal” structure of nuclei

D. Robson, NPA 308 (1978) 381

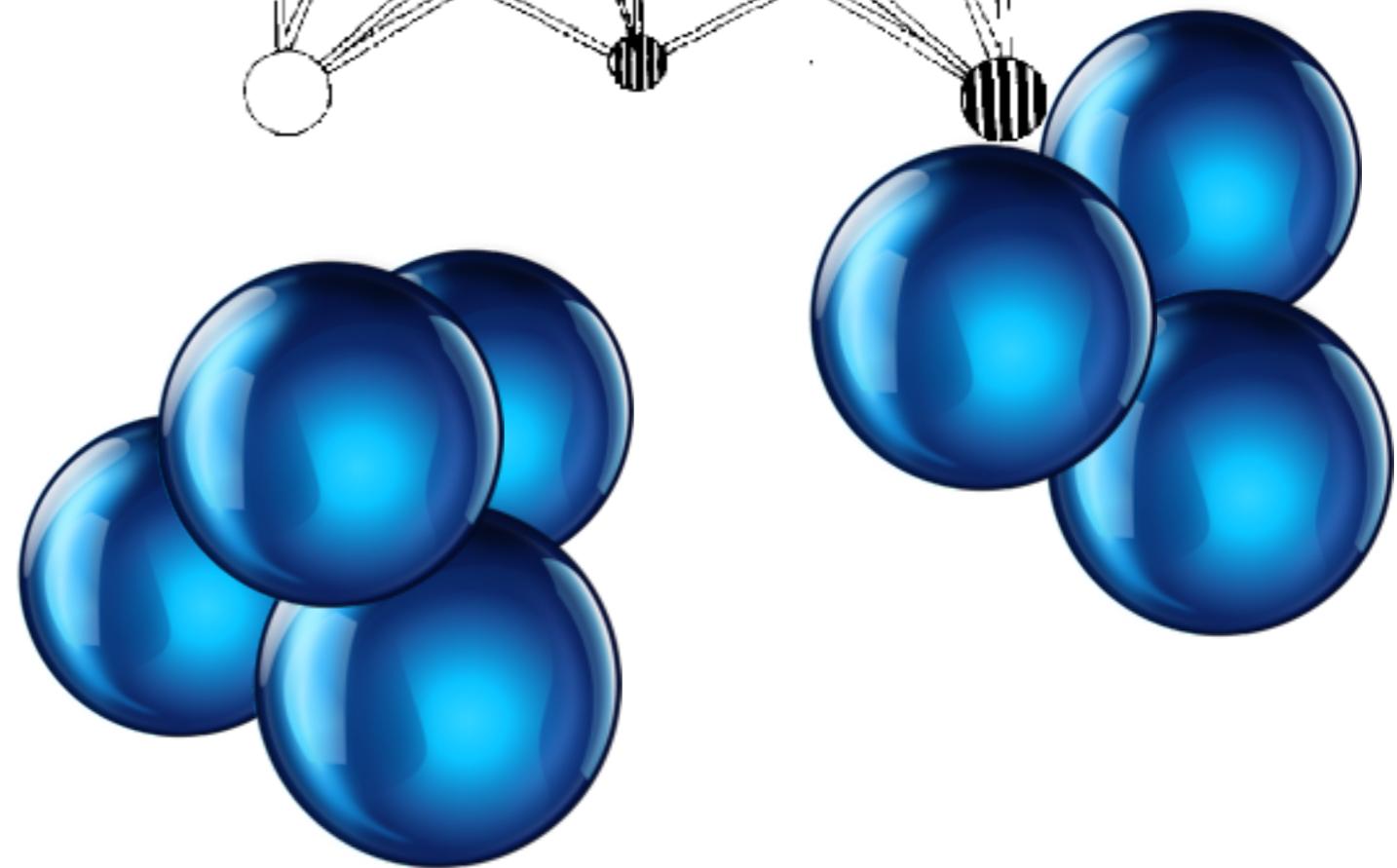
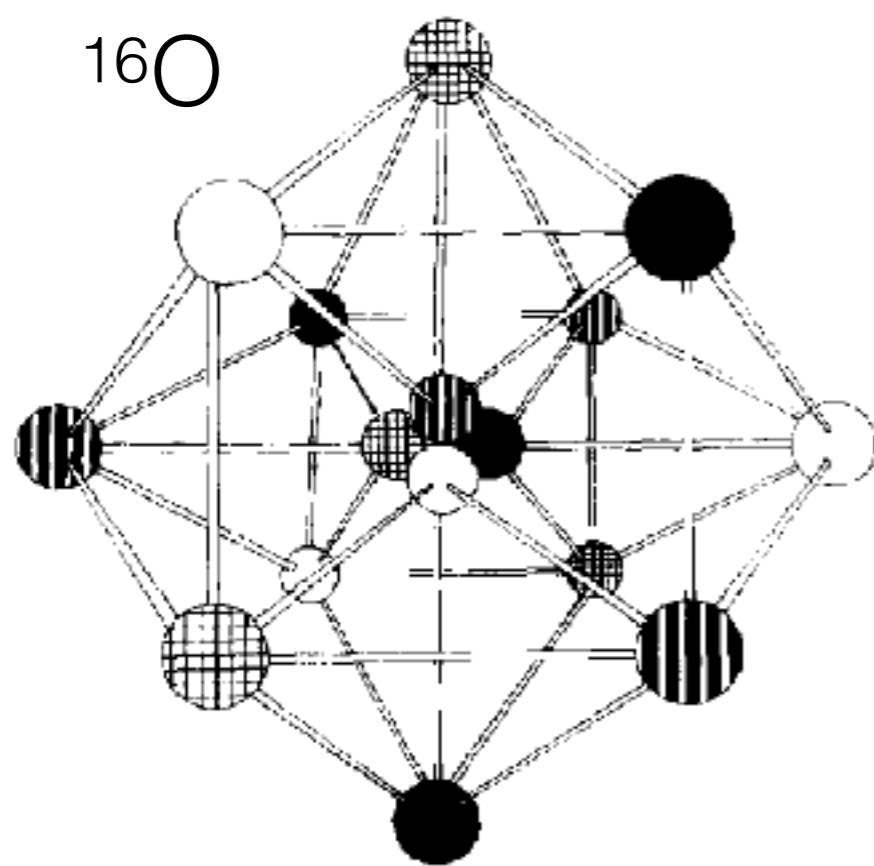
$^8\text{Be}$



$^{12}\text{C}$



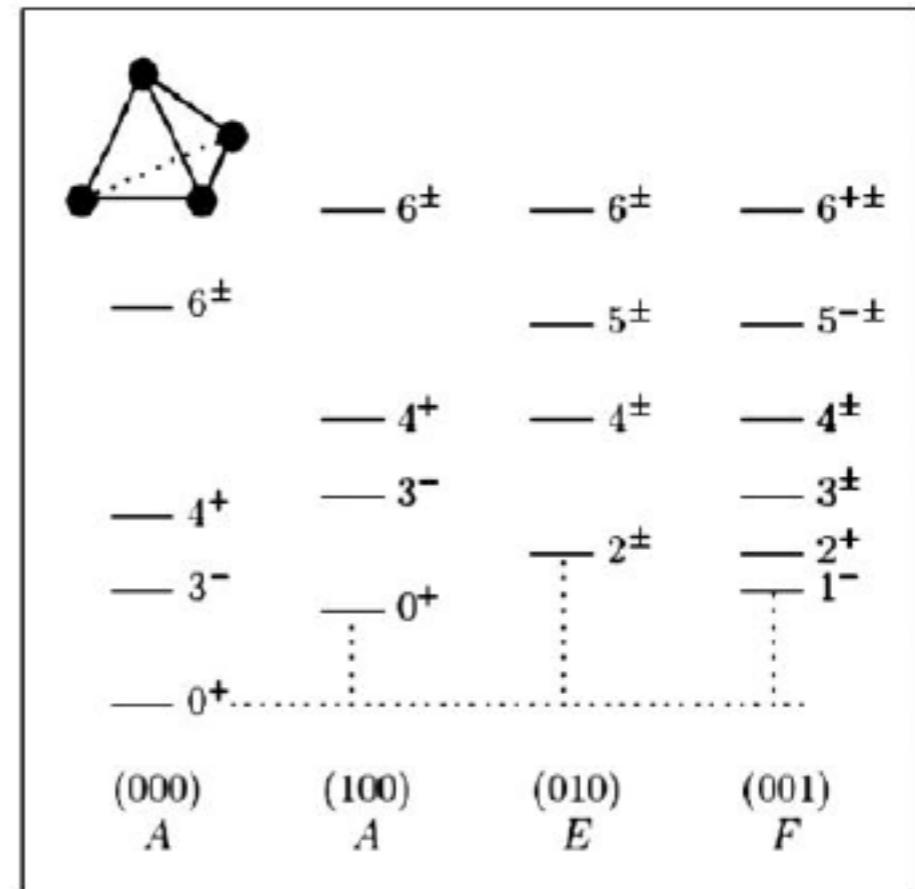
$^{16}\text{O}$



# “Crystal” structure of nuclei

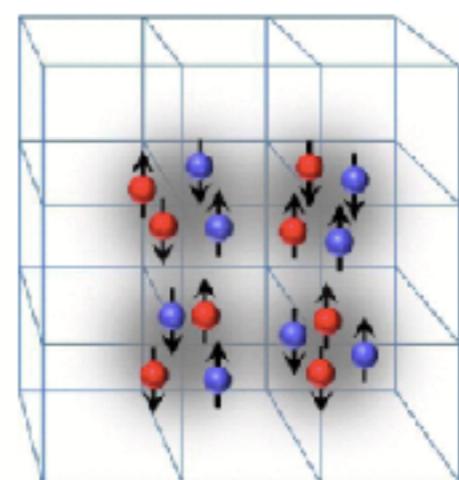
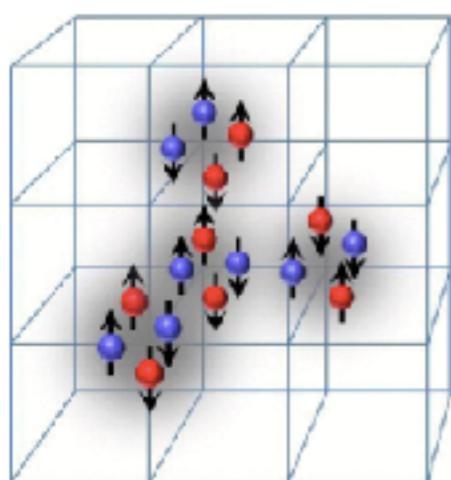
TABLE 3  
Energy levels of  $^{16}\text{O}$  with  $T = 0$ ,  $E^* < 12.6$  MeV

Experiment <sup>a)</sup>		Theory		
$J^\pi$	$E^*$ (MeV)	$E^*$ (MeV)	$n_2 n_3 p_L$	$T_d$ representation
0 <sup>+</sup>	0.00	-0.15	0 0 0	$A_1$
0 <sup>+</sup>	6.05	7.15	2 0 0	$A_1$
3 <sup>-</sup>	6.13	6.21	0 0 0	$A_1$
2 <sup>+</sup>	6.92	6.68	1 0 0	$E$
1 <sup>-</sup>	7.12	6.08	0 1 1	$F_2$
2 <sup>-</sup>	8.87	(alpha-broken) <sup>b)</sup>		
1 <sup>-</sup>	9.63	9.73 <sup>c)</sup>	1 1 1	$F_2$
2 <sup>+</sup>	9.85	8.20	0 1 1	$F_2$
4 <sup>+</sup>	10.34	10.45	0 0 0	$A_1$
0 <sup>-</sup>	10.95	(alpha-broken)		
3 <sup>+</sup>	11.08	11.38	0 1 1	$F_2$
4 <sup>+</sup>	11.10	15.47 <sup>d)</sup>	0 1 0	$F_2$
0 <sup>+</sup>	11.26	10.19	0 2 0	$A_1$
2 <sup>+</sup>	11.52	10.33	2 0 0	$E$
3 <sup>-</sup>	11.60	11.38	0 1 1	$F_2$
0 <sup>+</sup>	12.05	10.80 <sup>c)</sup>	3 0 0	$A_1$
1 <sup>-</sup>	12.44	11.25	0 2 2	$F_2$
2 <sup>-</sup>	12.53	12.52	2 0 0	$E$



D. Robson, NPA 308 (1978) 381

R. Bijker, and F. Iachello,  
PRL (2014) 112 (15), 152501

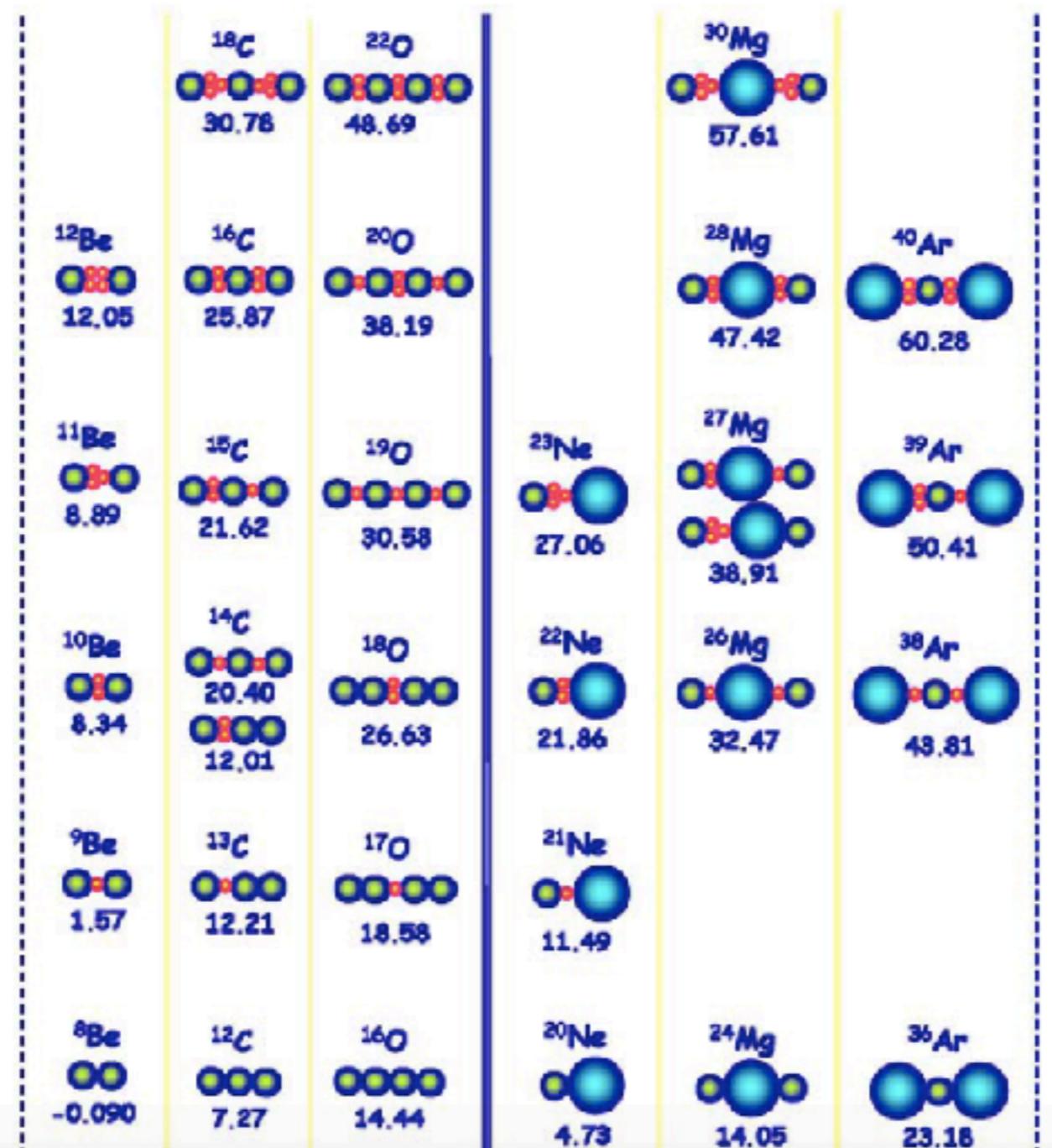


E. Epelbaum, et al.,  
PRL 112 (2014) 381





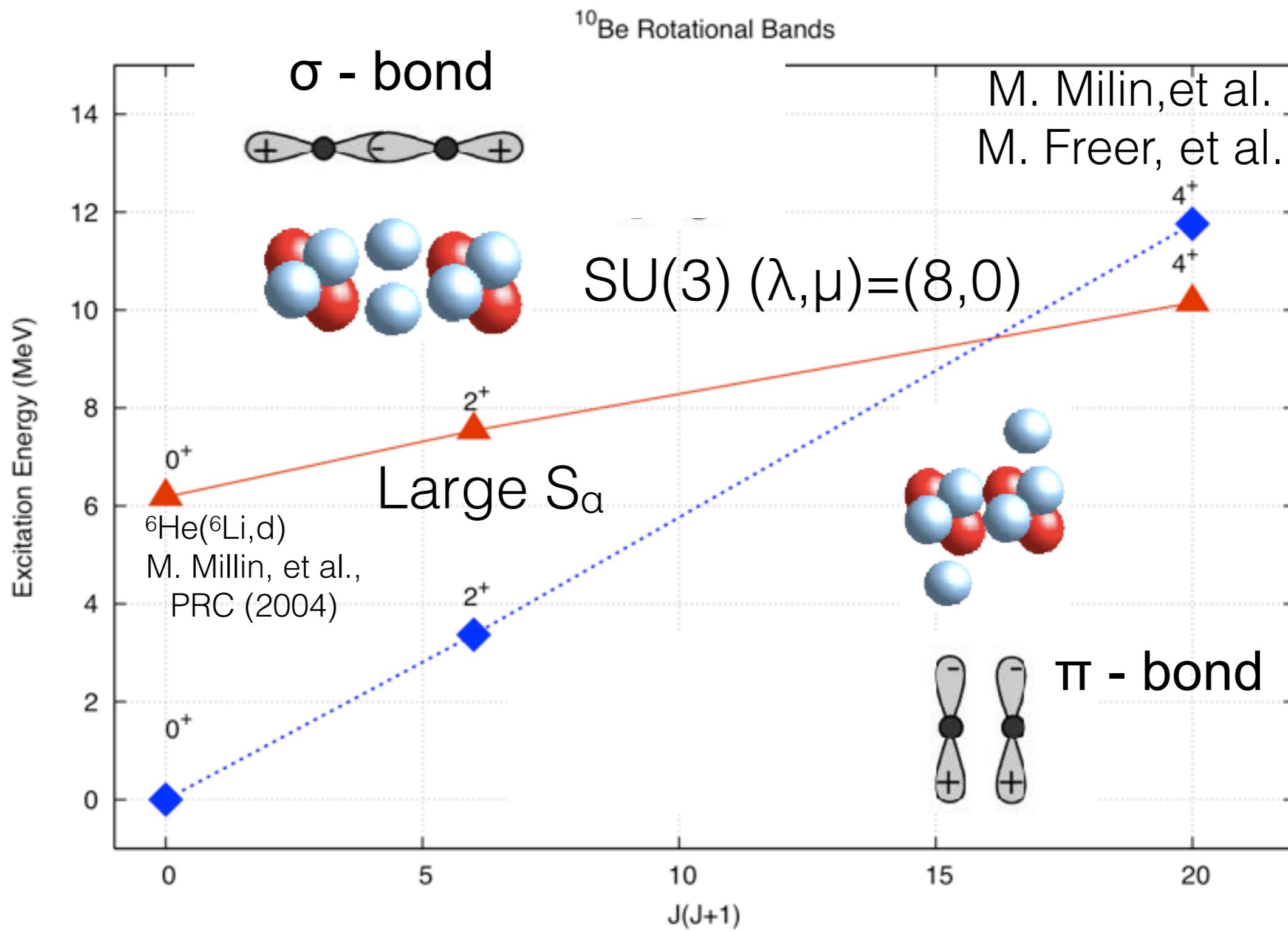
# Clustering in non self-conjugate nuclei



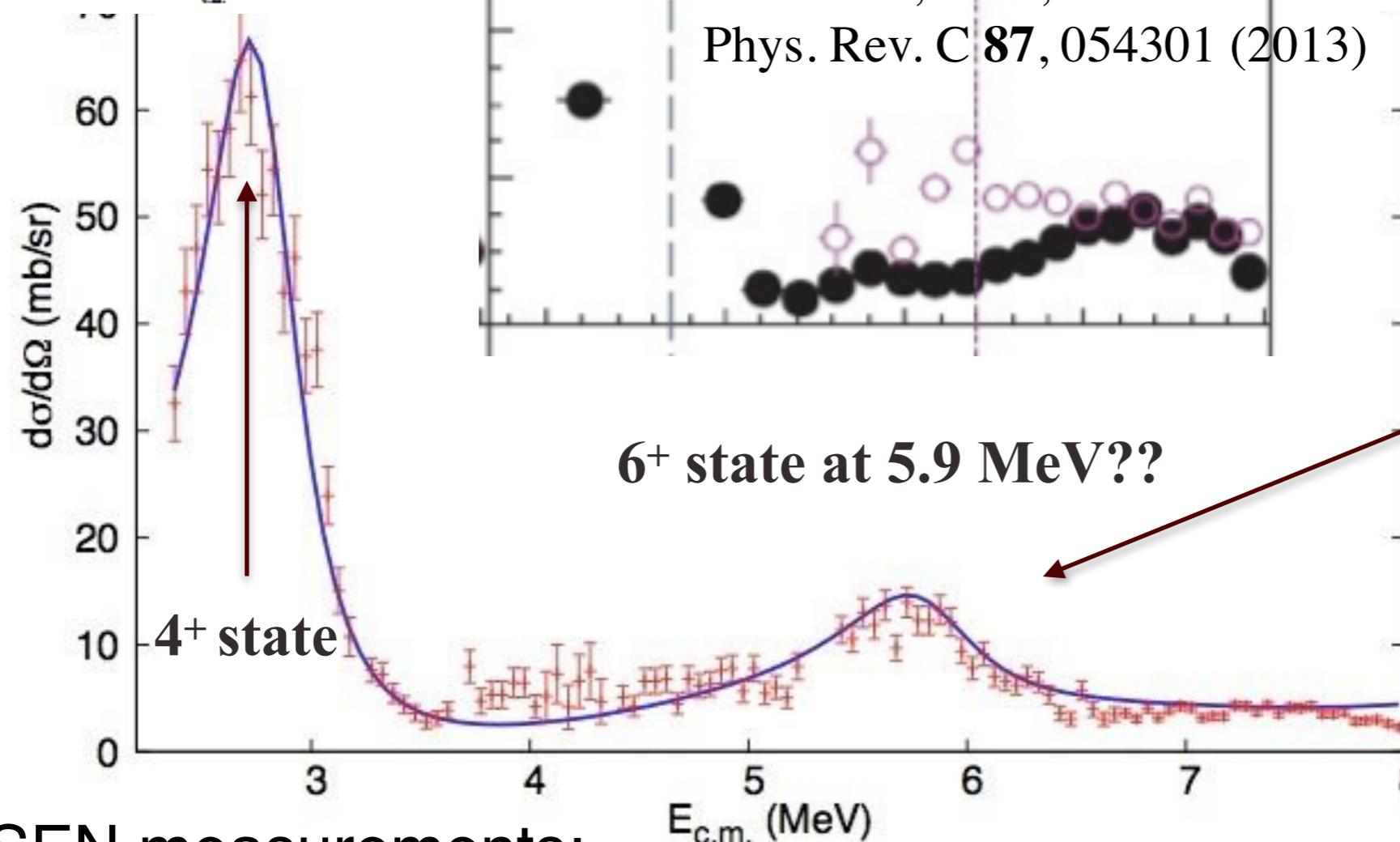
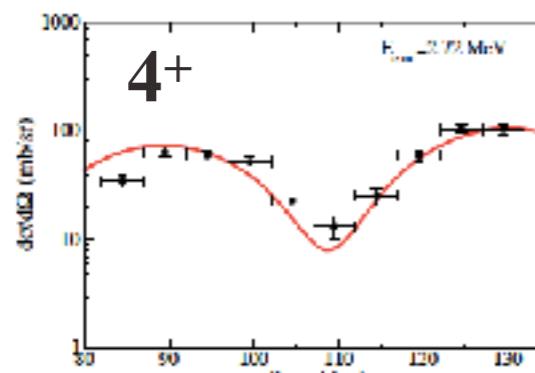
W. von Oertzen, et al., Phys. Rep. 432 (2006)



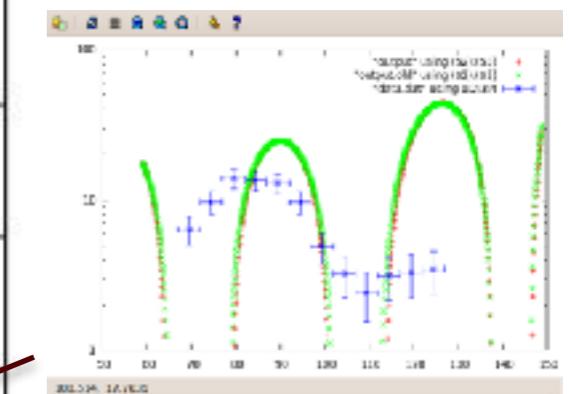
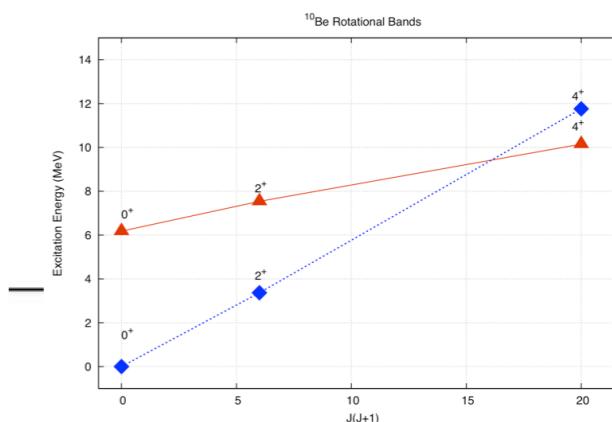
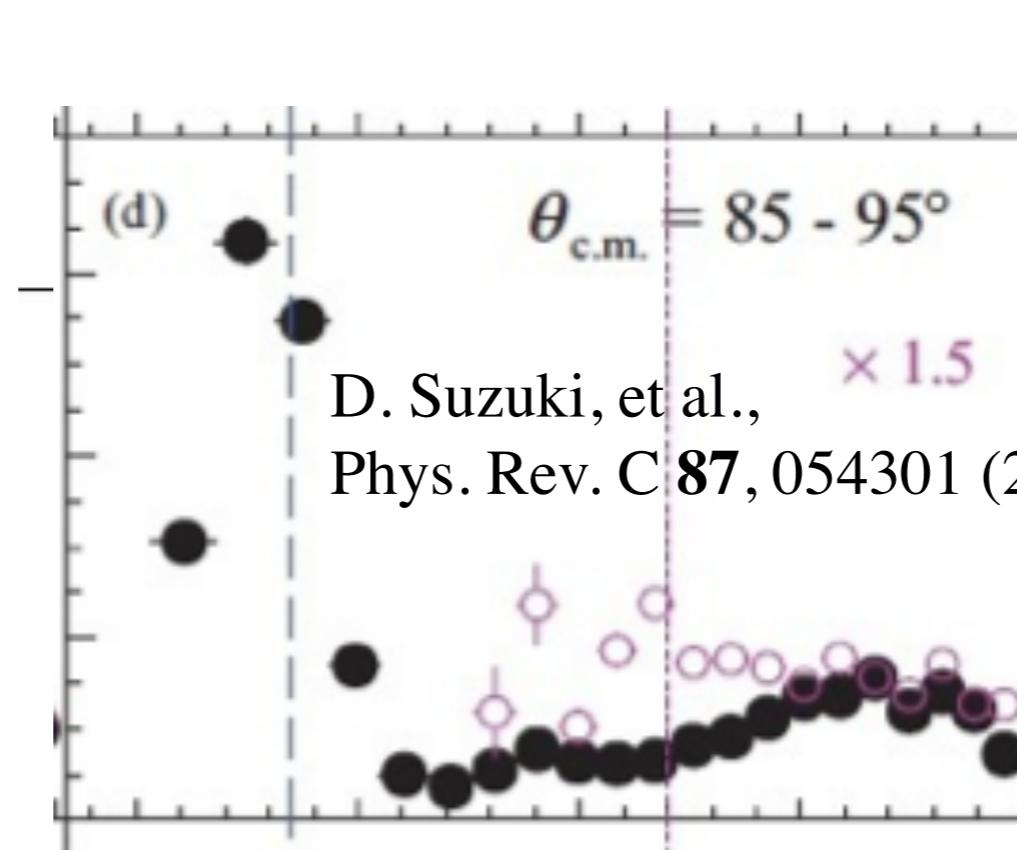
# Molecular structures in $^{10}\text{Be}$



# ${}^6\text{He} + \alpha$ excitation function at 90°



ANASEN measurements:  
E. Koshchiy, GR, et al., NIM A 870 (2017)

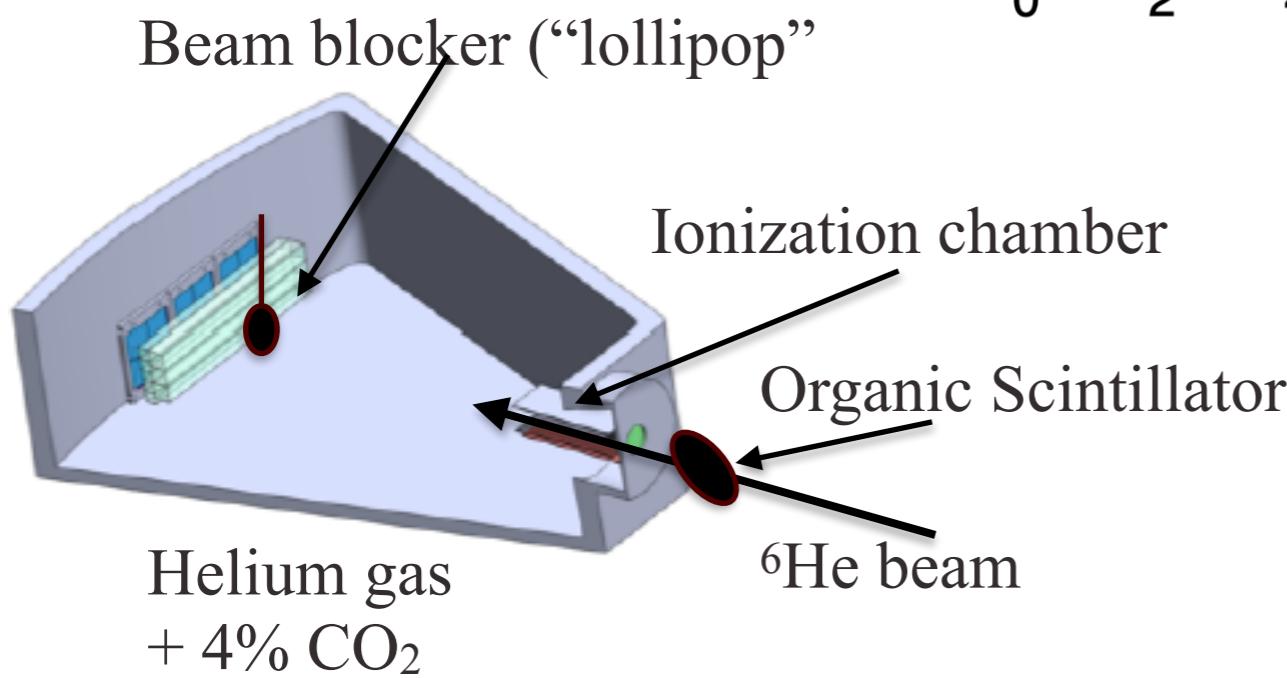
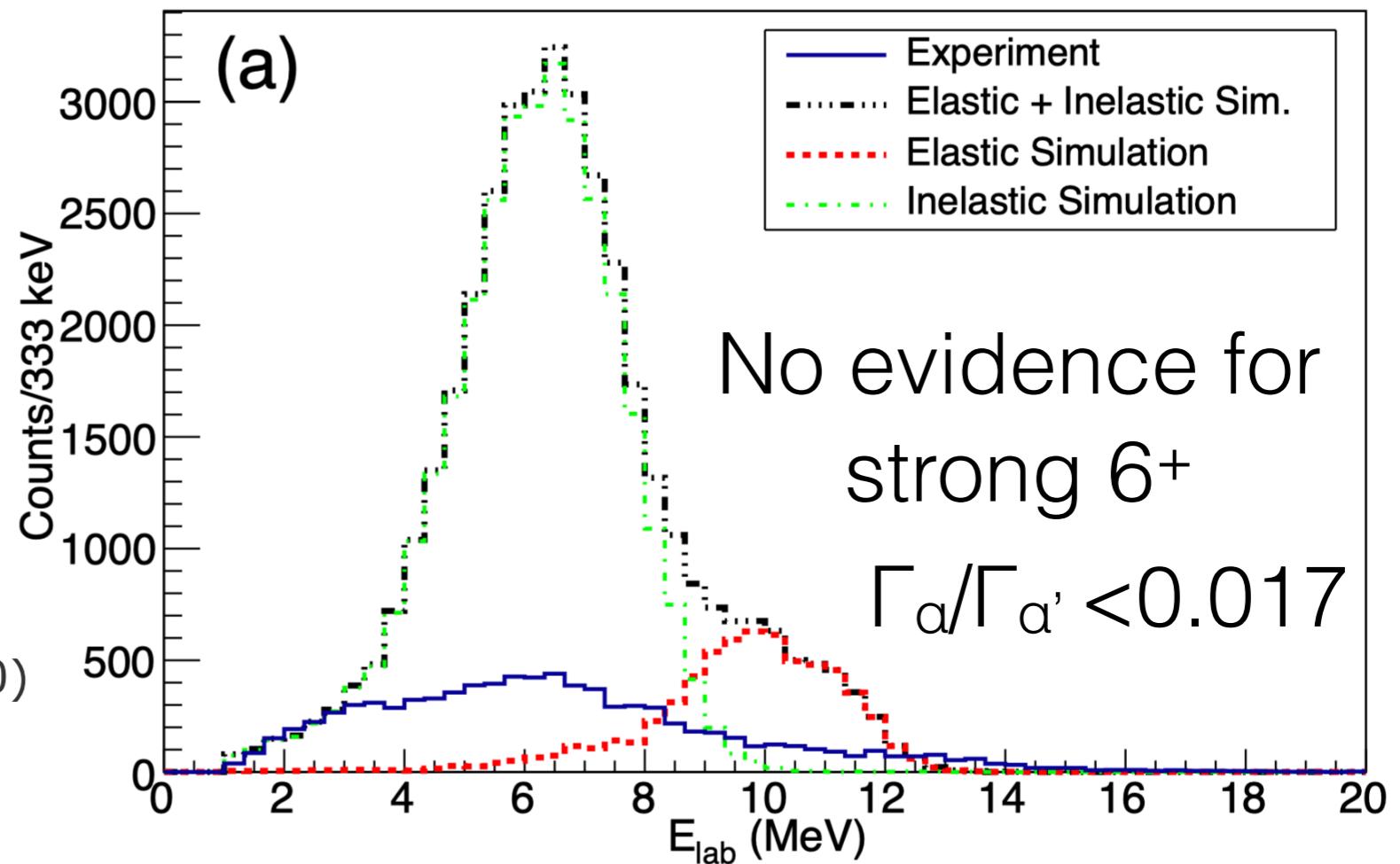


# ${}^6\text{He} + \alpha$ excitation function at 160°-170°



S. Upadhyayula  
(now at TRIUMF)

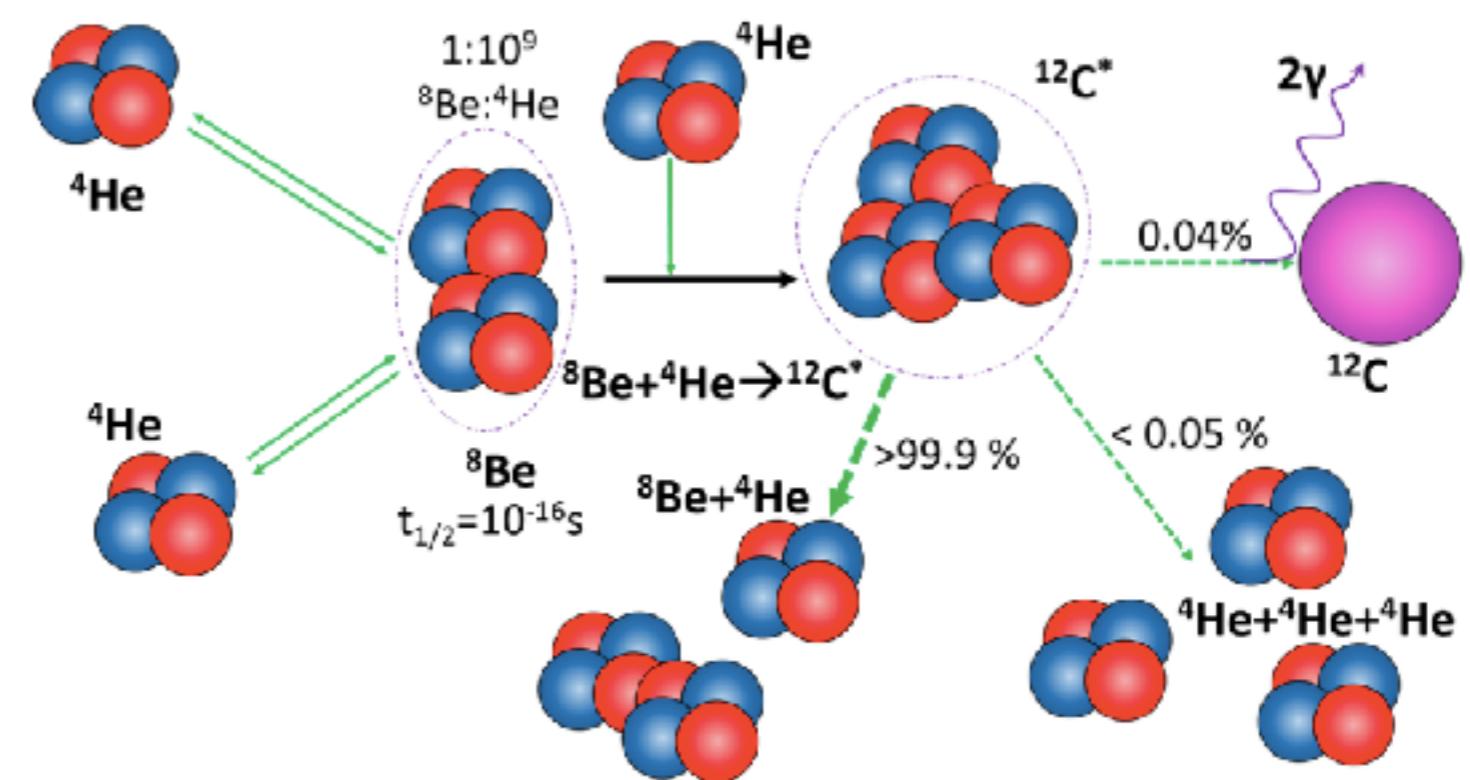
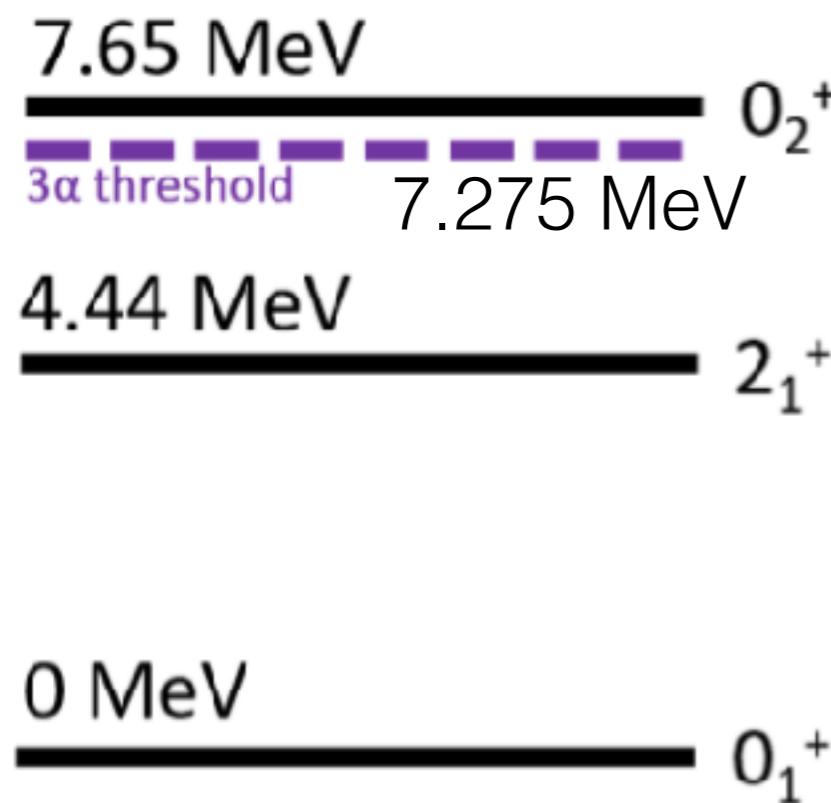
S. Upadhyayula, GR, et al.,  
Phys. Rev. C 101, 034604 (2020)



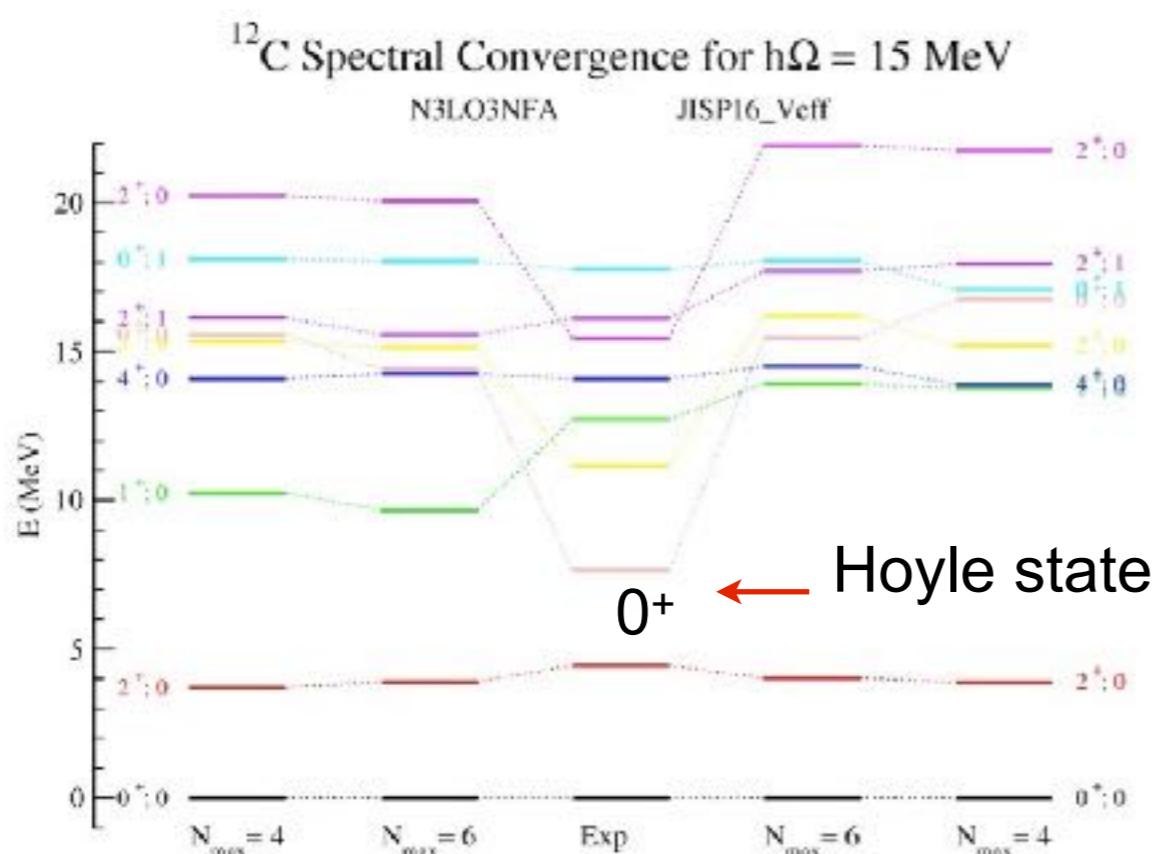
JISP16 calculations:  
The lowest 6<sup>+</sup> has SF = 0.1  
for  ${}^6\text{He(g.s.)} + \alpha$  and  
SF=0.6 for  ${}^6\text{He(2<sup>+</sup>)} + \alpha$

K. Kravvaris, A. Volya, AIP Conf Proc 2038, 020026  
(2018)



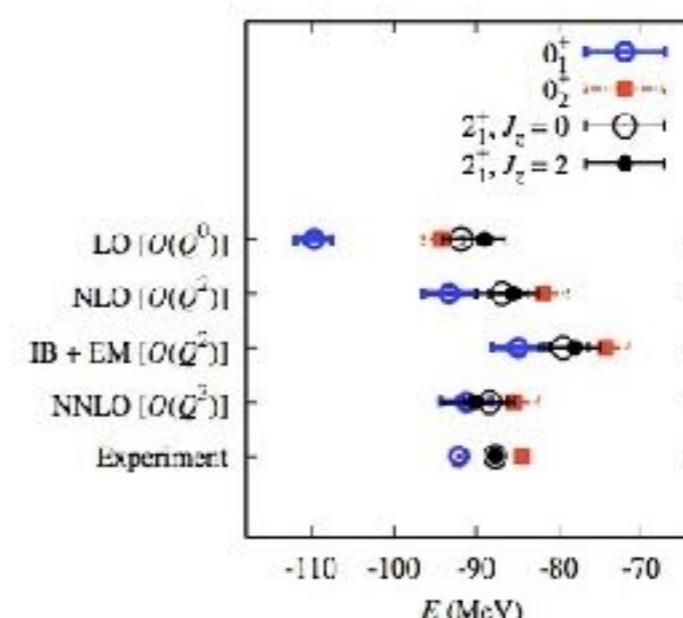
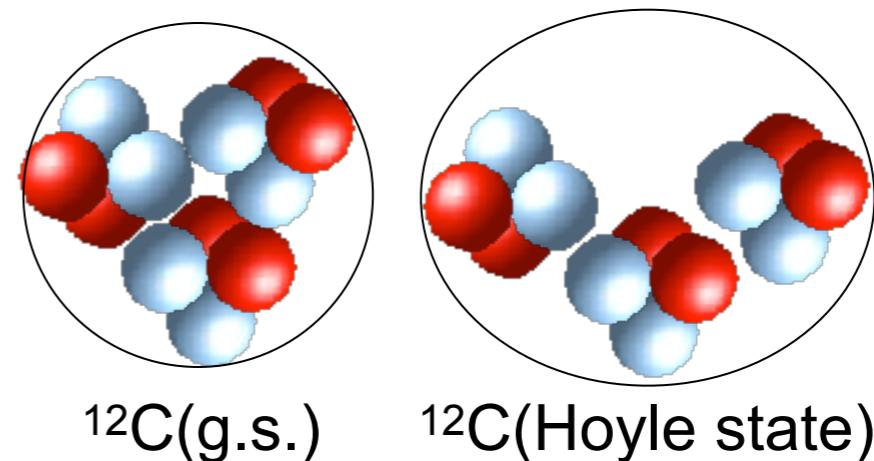


# Recent theoretical advances



A.M. Shirokov, et al., PRC 79, 014308 (2009)

Hoyle state is underbound in  
NCSM with JISP16 by 8 MeV!



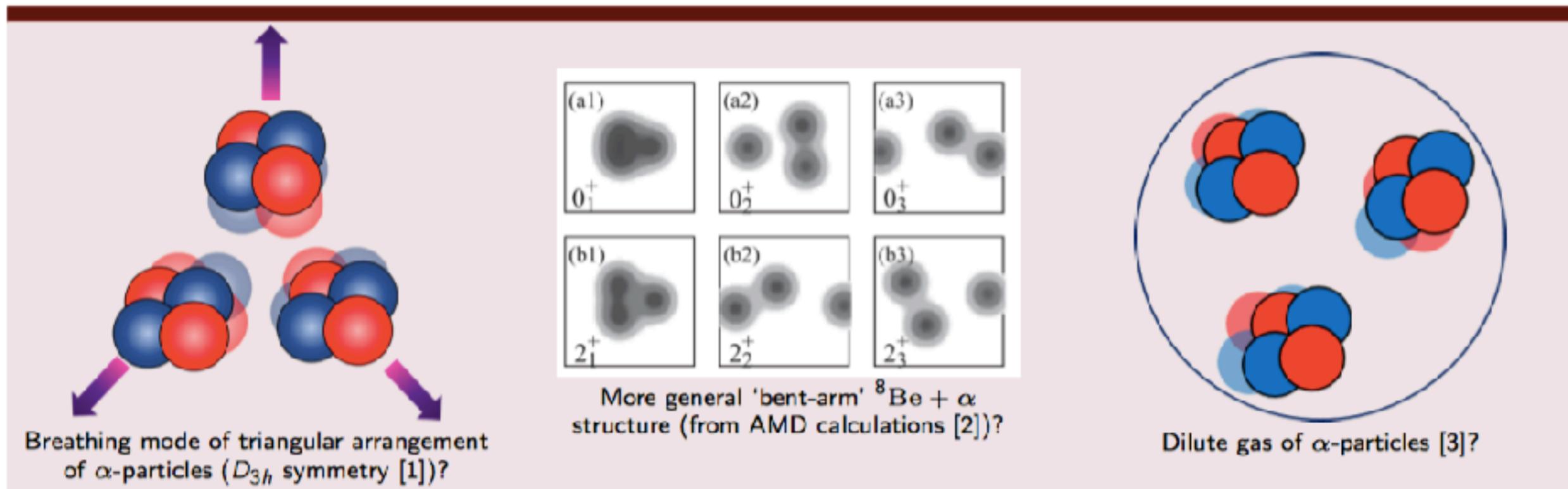
Lattice EFT reproduces  $\alpha$ -cluster like structures for the Hoyle and g.s. state of <sup>12</sup>C

E. Epelbaum, et al., PRL 106,  
192501 (2011)



# <sup>12</sup>C - Hoyle state decays

Internal structure of the Hoyle state has an impact on this branching ratio → How do we think about the structure of the Hoyle state? Highly  $3\alpha$  clustered - yes, but how so?



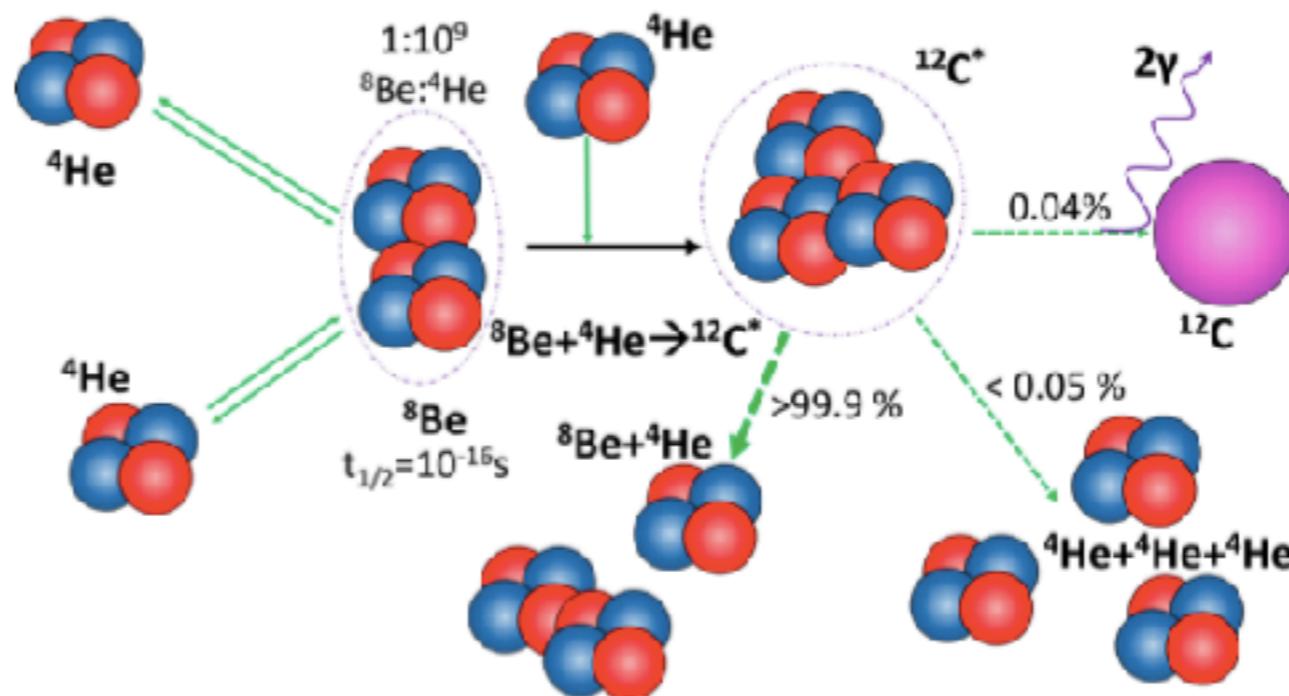
- [1] D. J. Marín-Lambárrí et al. Phys. Rev. Lett. 113, 012502 (2014)
- [2] Y. Kanada-En'yo Prog. Theor. Phys. 117, 4 (2007)
- [3] Tohsaki, Horiuchi, Schuck and Röpke, Phys. Rev. Lett. 87, 192501 (2001)

“democratic” decay mode of the Hoyle state may be a key to understanding its structure



# $^{12}\text{C}$ - Hoyle state decays

Aim of this measurement to measure Hoyle decay branching ratio directly to  $3\alpha$  rather than via  $^8\text{Be}(\text{g.s})$



Current limits <0.019% 95% C.L. [1-3].

Factor of 10 or more improvement needed for model rejection [4], i.e. 1 in 40,000.

[1] R. Smith et al., PRL 119, 132502 (2017)

[2] D. Dell'Aquila et al., PRL 119, 132501 (2017)

[3] T.K. Rana et al., Phys. Lett. B, 793 130-133 (2019)

[4] H. Zheng et al., PLB 779 460-3 (2018)

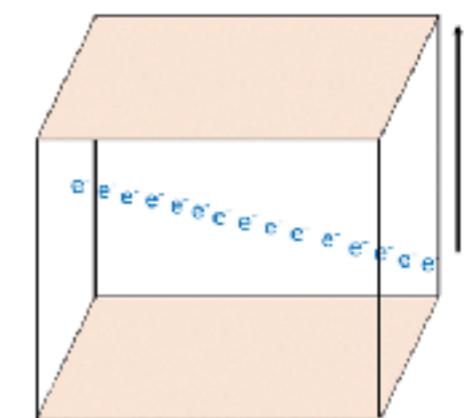
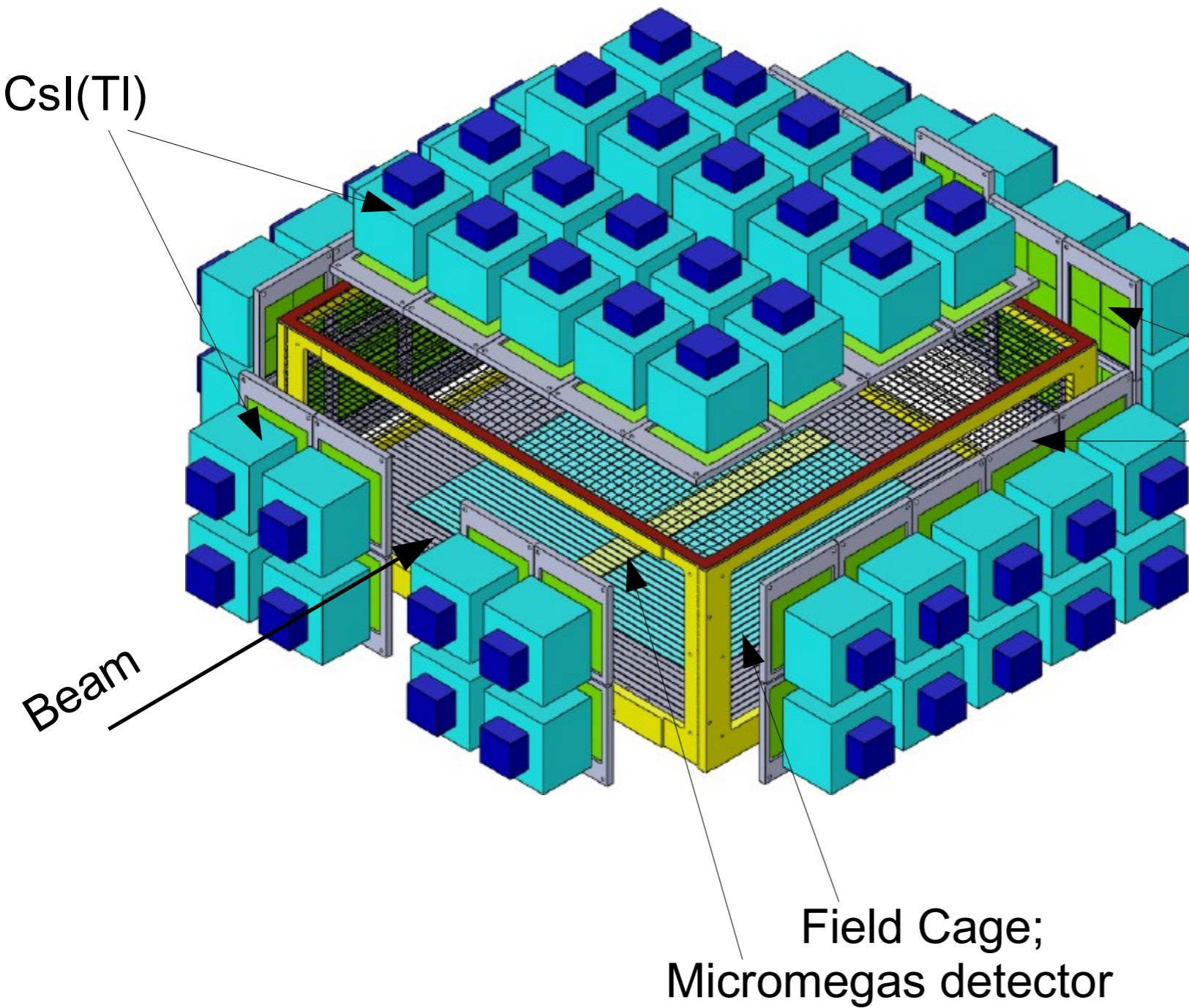
Active Target is a convenient tool to look for rare decays



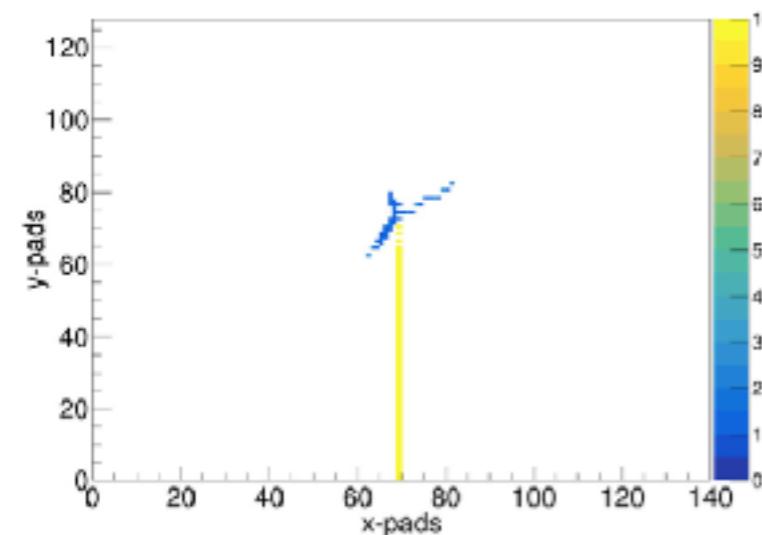
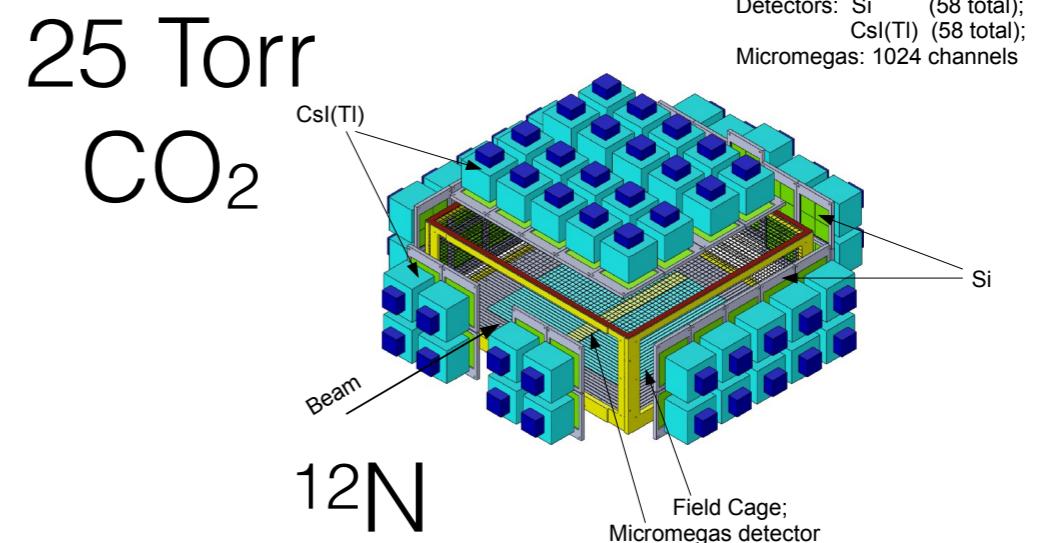
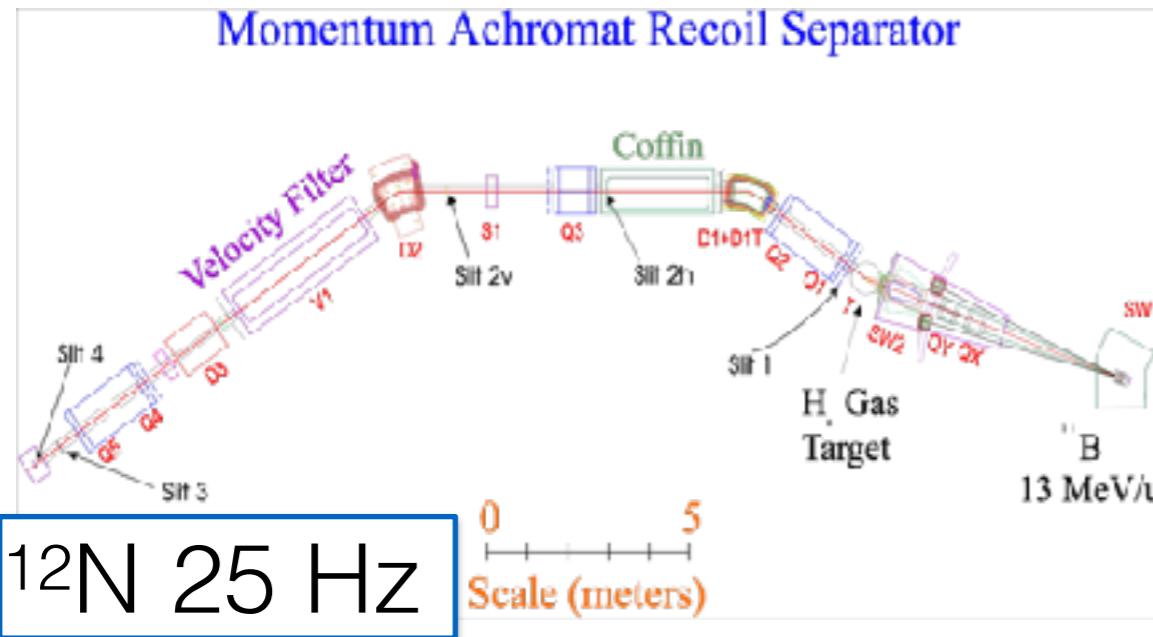
# Texas Active Target

*E. Koshchiy, GR, E. Pollacco, et al.,  
NIM, A 957 (2020) 163398*

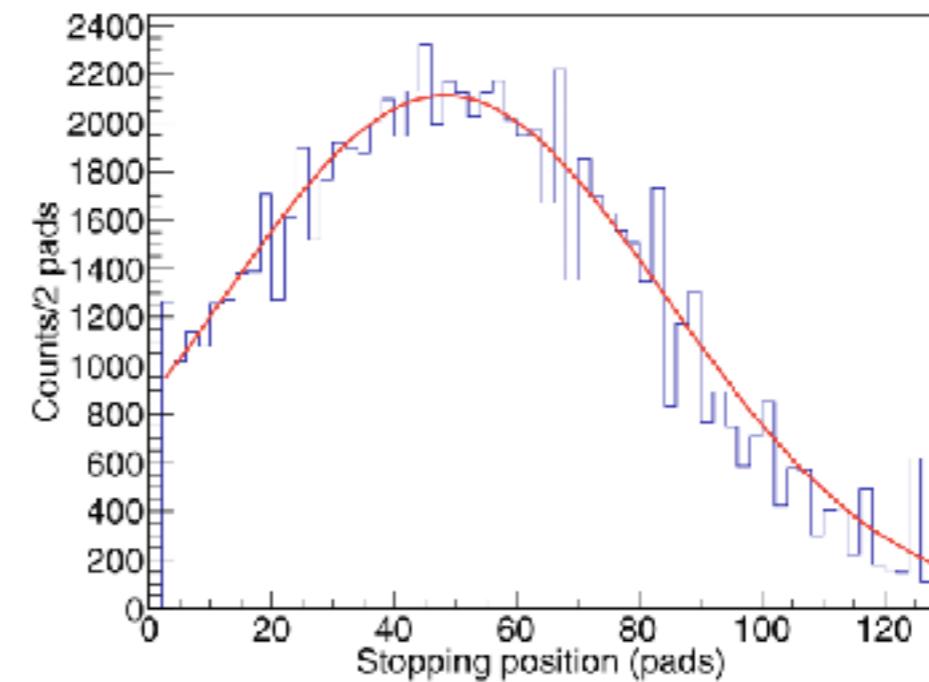
Detectors: Si  
CsI(Tl)  
Micromegas: 1024



# $\beta$ delayed charged particle emission $^{12}\text{C}$ - Hoyle state decays



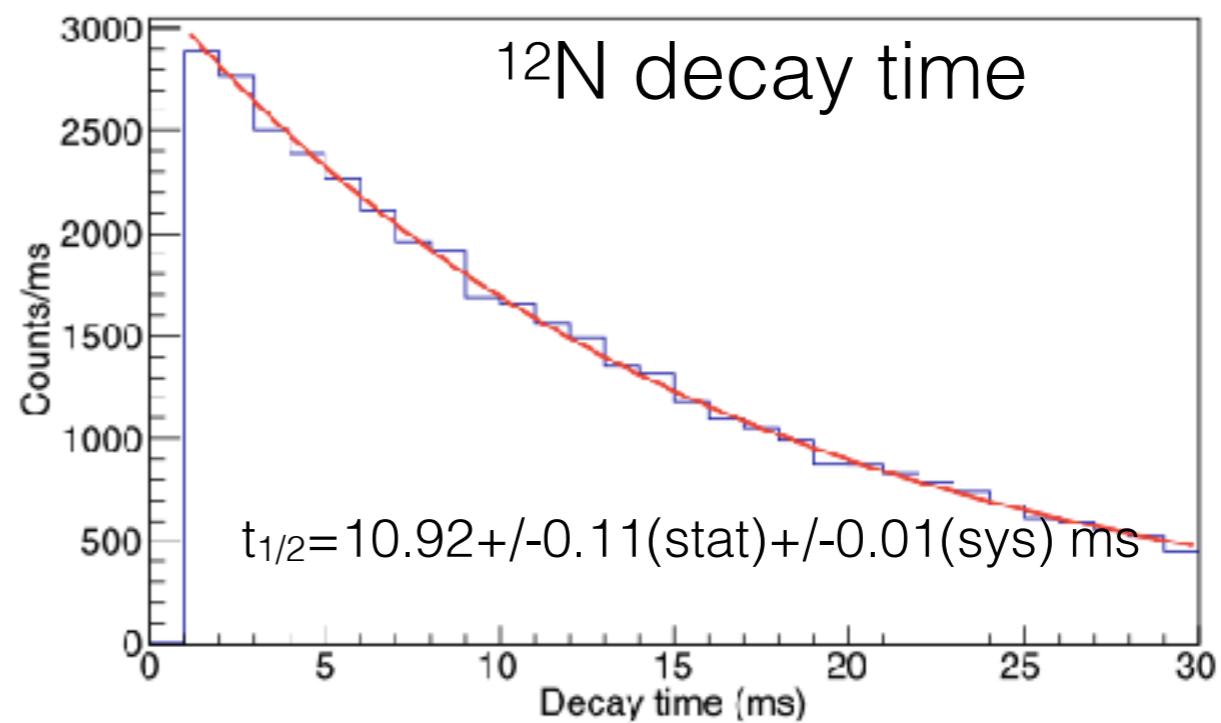
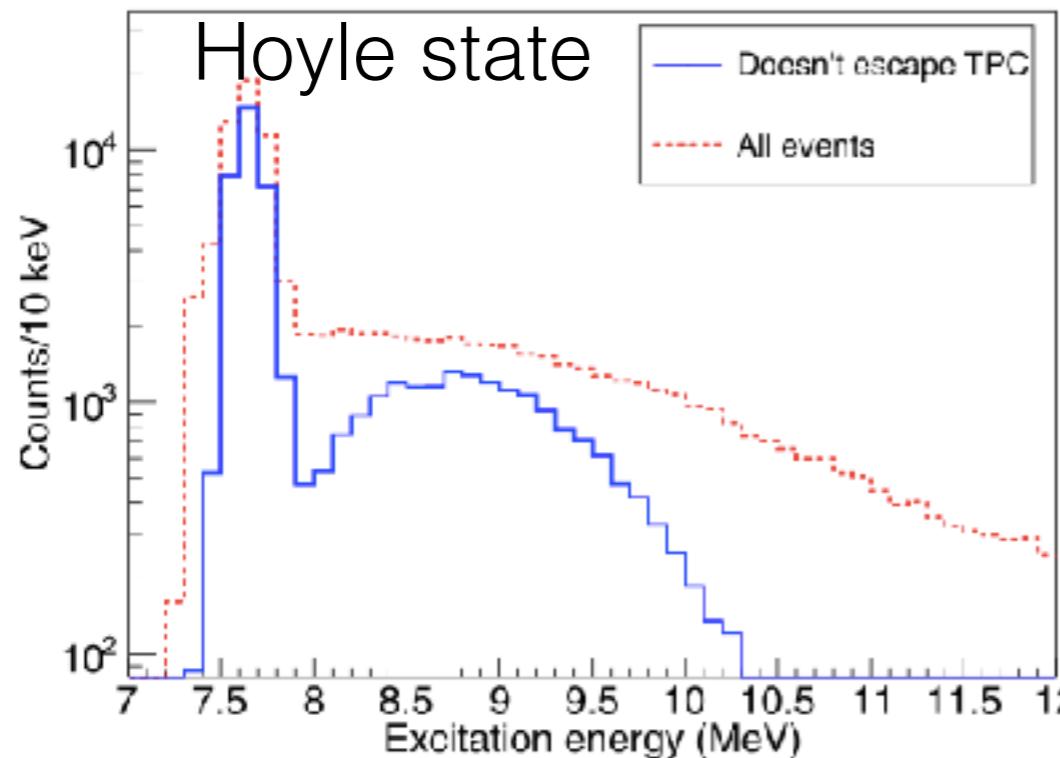
L1B trigger:  $^{12}\text{C}$  decay



L1A trigger:  $^{12}\text{N}$  beam



# $^{12}\text{C}$ - Hoyle state decays



The most precise  $^{12}\text{N}$   $t_{1/2} = 11.00 \pm 0.016 \text{ ms}$

Jack  
Bishop



**Table 1**

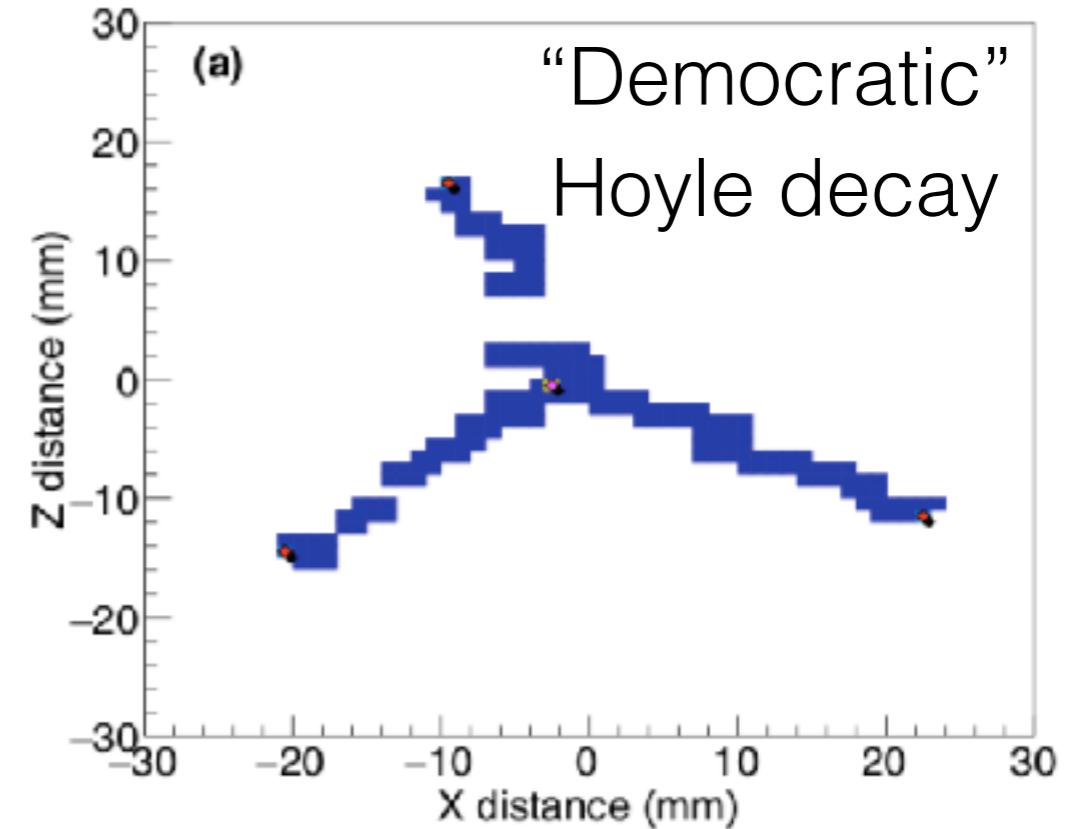
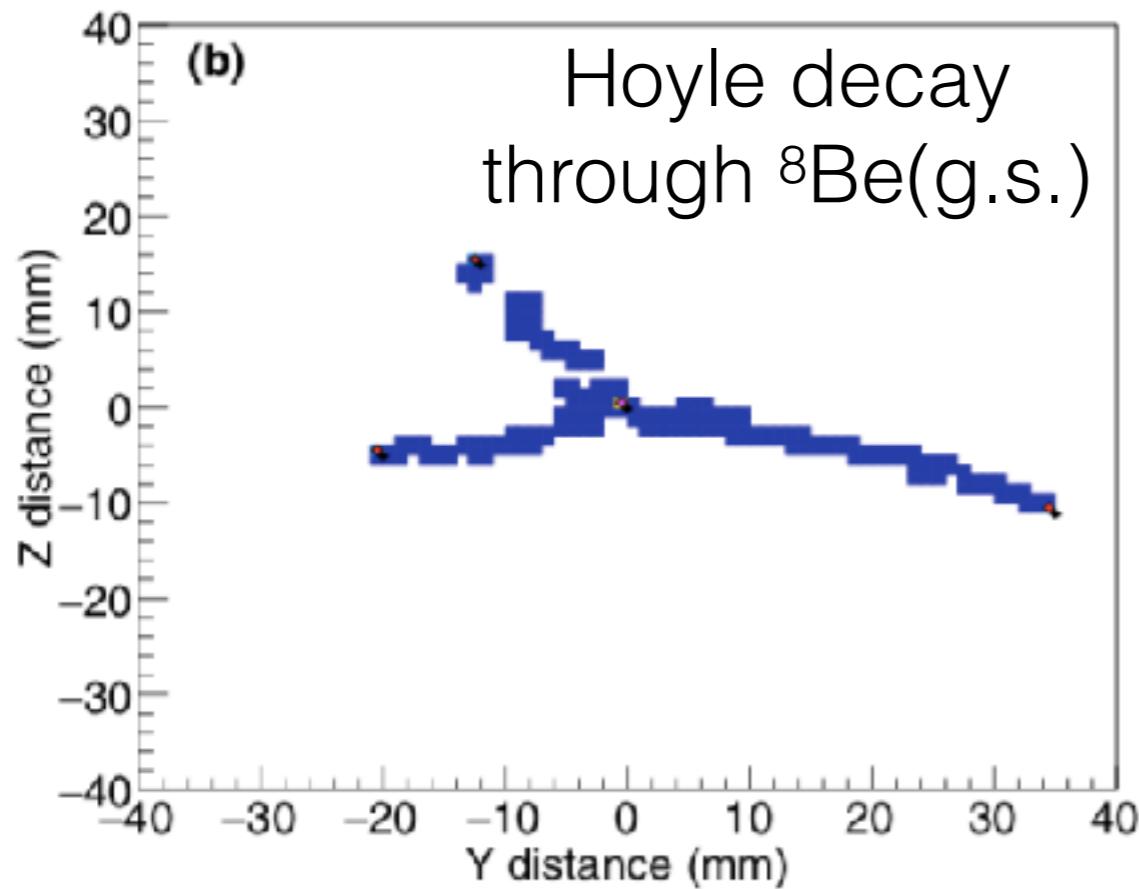
Branching ratios for  $^{12}\text{C}$  states populated in  $^{12}\text{N}$   $\beta^+$ -decay from the present work and from KVI [3,32].

State	KVI(%)	Current work(%)
g.s	$96.17 \pm 0.05$	-
4.44 MeV - $2_1^+$	$1.90 \pm 0.04$	-
7.65 MeV - $0_2^+$	$1.44 \pm 0.03$	$1.58 \pm 0.01 \text{ (stat.)} \pm 0.11 \text{ (sys.)}$
7.3–16.3 MeV - $3\alpha$	$2.11 \pm 0.03$	$2.54 \pm 0.01 \text{ (stat.)} \pm 0.18 \text{ (sys.)}$
$0_2^+/3\alpha$	$68 \pm 2$	$62.1 \pm 0.4 \text{ (stat.)} \pm 0.2 \text{ (sys.)}$

*Bishop, GR, S. Ahn, et al., NIM A 964 (2020) 163773*



# $^{12}\text{C}$ - Hoyle state decays



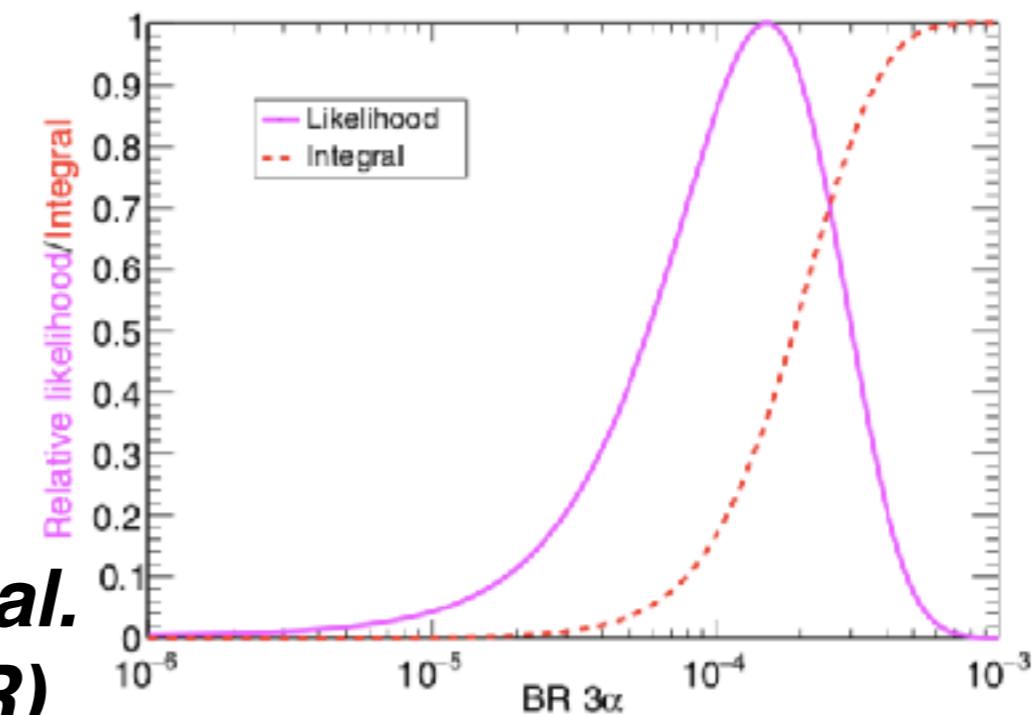
Jack  
Bishop



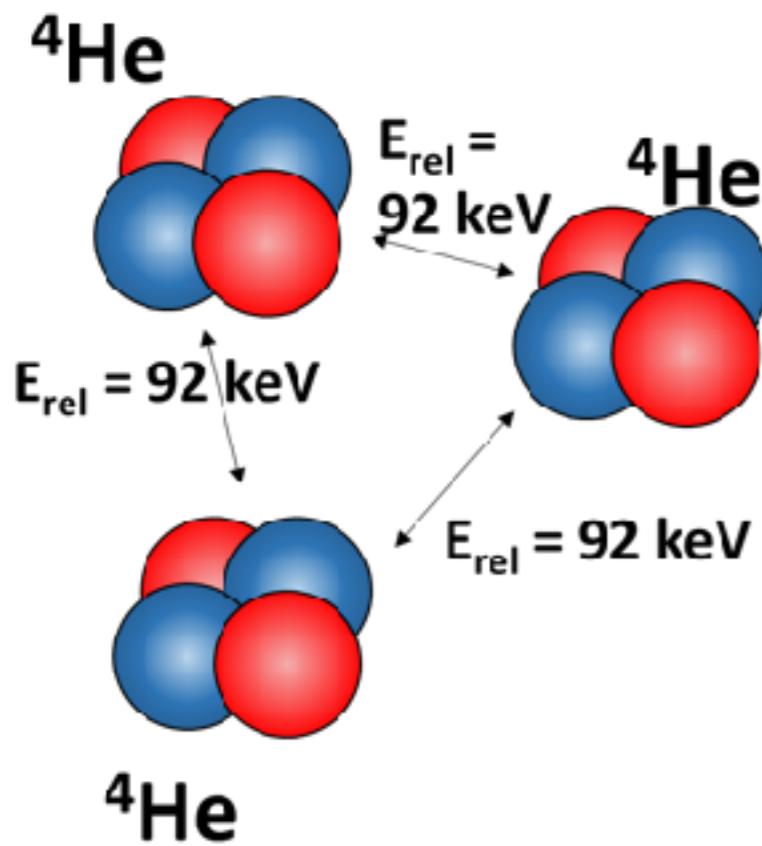
Hoyle “democratic” branching ratio:

- most likely **0.01%**
- minimum 0.0058%**
- maximum 0.043%**

*J. Bishop, GR, S. Ahn, et al.*  
*PRC 102 (2020) 041303(R)*



# Search for Efimov effect



- Efimov predicted [PRL 1970] infinite series of states in three boson systems, scaling as  $(22.7)^n$
- Originally, Hoyle state was considered
- Observed in ultracold Cs atoms
- It appears that Hoyle is not a Efimov state [H. Suno, et al., PRC 91, 014004 (2015)]
- Some evidence for 7.458 MeV state with alphas in mutual 92 keV resonance (Efimov?) [S. Zhang, et al., PRC 99, 044605 (2019)]



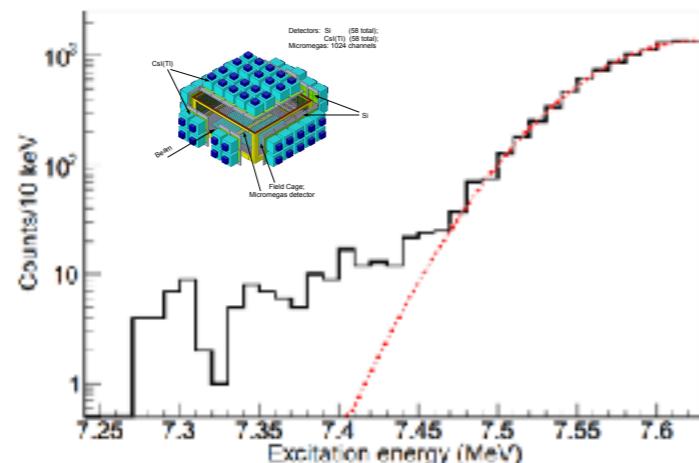
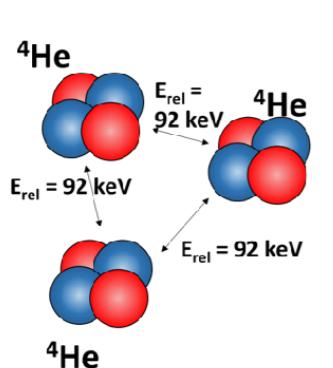
# Search for Efimov effect

Q: Does the Efimov effect survive the Coulomb force in  $^{12}\text{C}$ ?

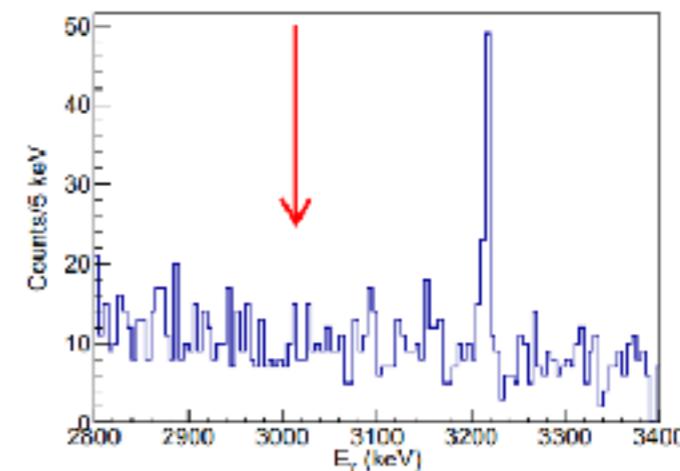
Q: Is there an additional low-lying state in  $0^+$  at, or around, 7.458 MeV?

A: Populate state with  $\beta$ -decay and observe decay

Observe low-E decays  
with TexAT using beta-  
delayed charged-particle  
spectroscopy technique



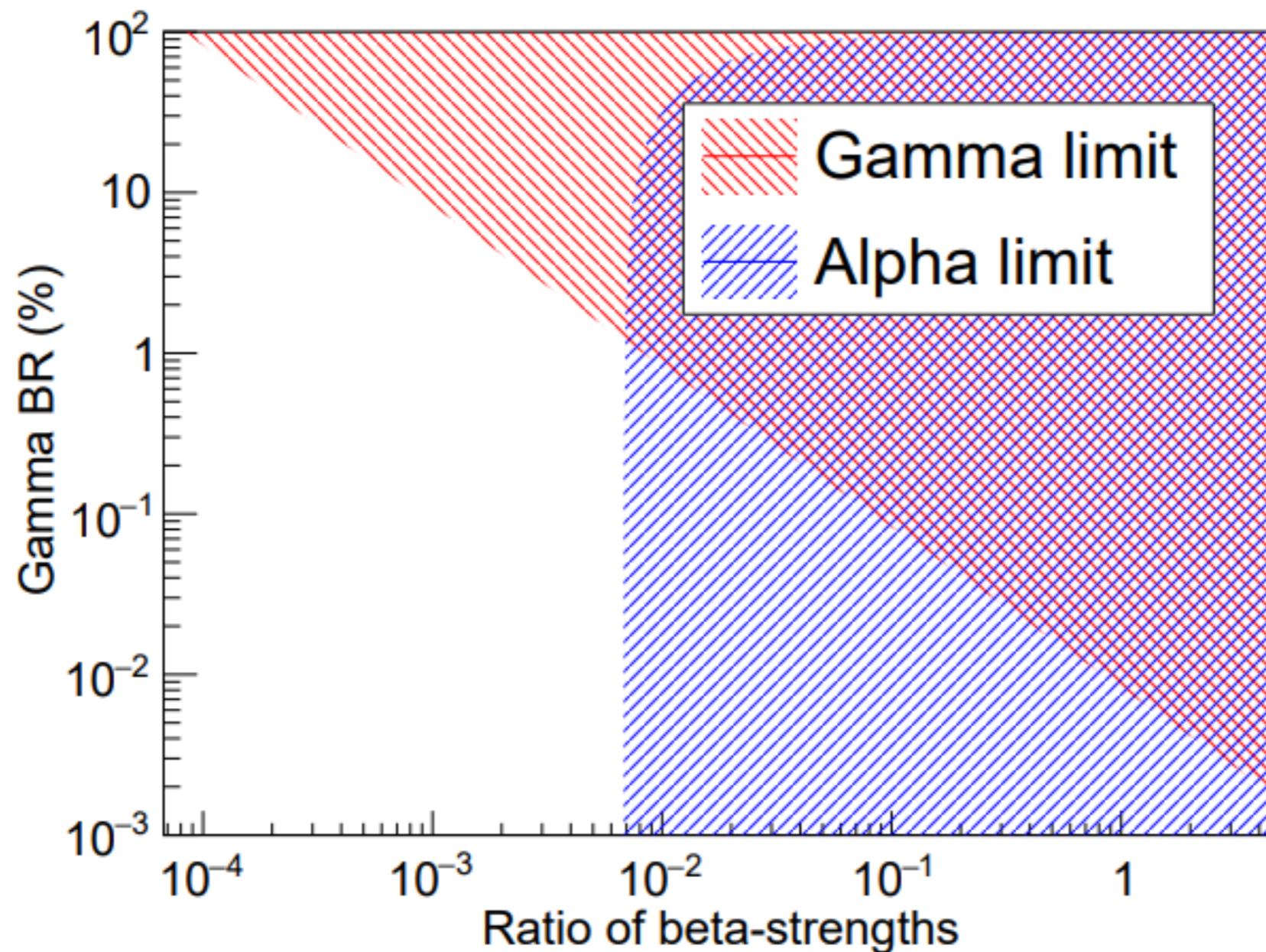
Observe gamma decays from decay  
of  $^{12}\text{B}$  from Gammasphere data  
[M. Munch, PRC 93, 065803 (2016)]



From combined alpha/gamma spectroscopy, Efimov state cannot exist unless feeding strength relative to Hoyle is <0.7% Hoyle (for all BR) or <0.01% for  $\text{BR}_\gamma \approx 1$



# Efimov effect: universal scale-invariant 3-body interaction



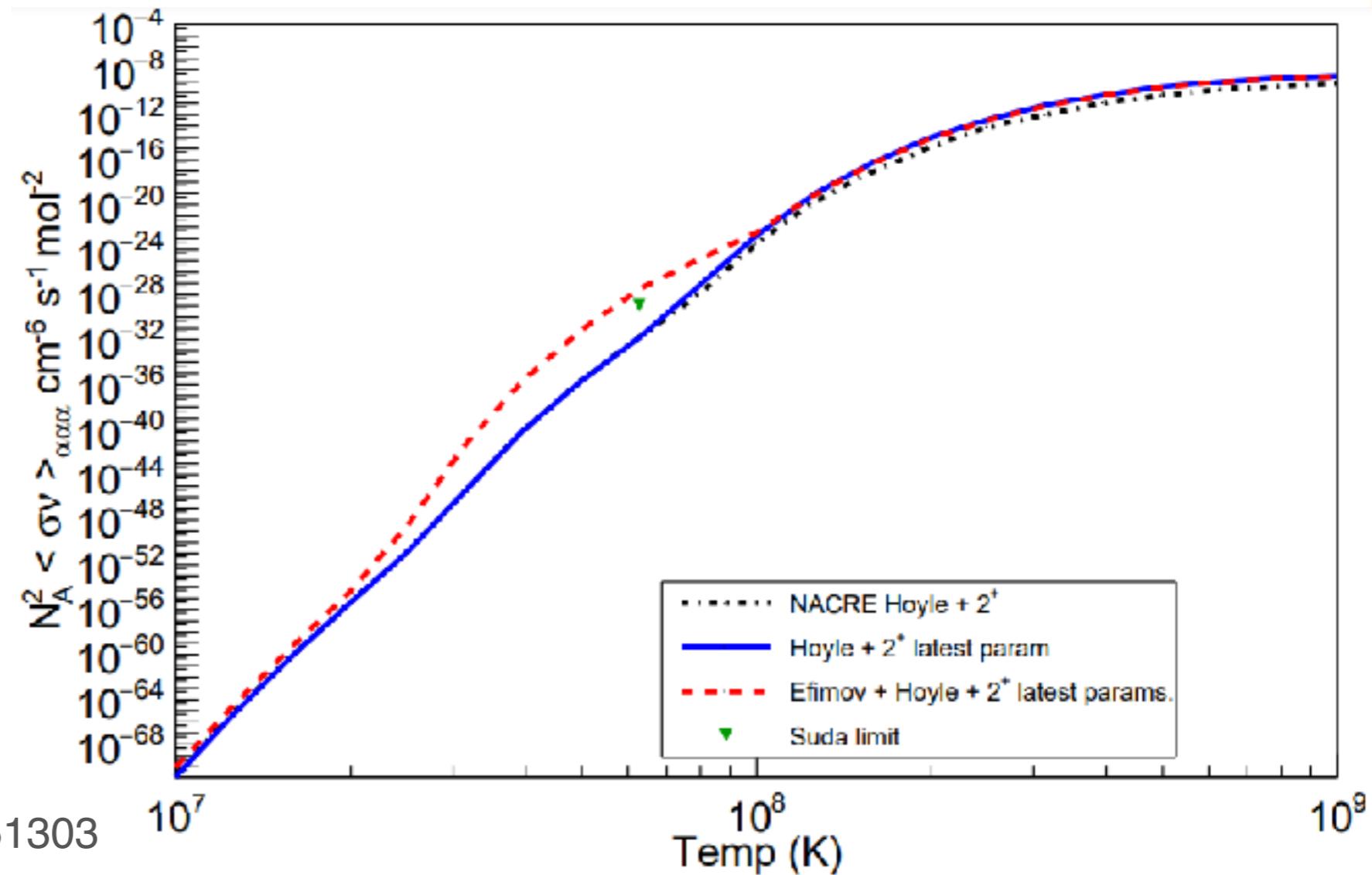
with respect to the Hoyle state

# Efimov effect: universal scale-invariant 3-body interaction



Jack Bishop

J. Bishop, GR, et al.,  
Phys. Rev. C **103**, (2021) L051303



Additional low-lying  $0^+$  greatly enhances triple-alpha reaction rate at  $10^{7.8}$  K such that red giant phase is no longer possible – T. Suda et al.  
ApJ 741, 61 (2011)



# Summary

- ✓ Clustering plays an important role in structure of light nuclei. Model independent data on clustering in g.s. are needed.
- ✓ Democratic decay of the Hoyle state was observed.
- ✓ No evidence for Efimov effect in  $^{12}\text{C}$ .



# Acknowledgement

**Texas A&M U:** E. Aboud, S. (Tony) Ahn, M. Barbui, J. Bishop, G. Christian (now at **St. Mary's U**), J. Hooker (now at **U of Tennessee**), C. Hunt, H. Jayatissa (now at **Argonne NL**), R. O'Dwyer, C. Parker, E. Koshchiy, B. Roeder, M. Roosa, D. Scriven, A. Saastamoinen, S. Upadhyayula, E. Uberseder; **IRFU**, **CEA, Saclay, France:** E.C. Pollacco; **Washington U.:** L. Sobotka, C. Pruitt, R.J. Charity; **Louisiana State University:** S. O'Marley, R. Malecek; **U of Birmingham:** T. Kokalova-Weldon, C. Weldon

