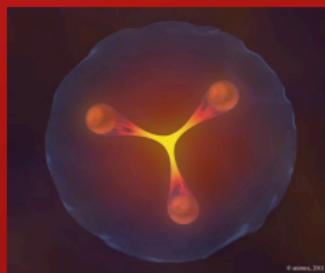


DE LA RECHERCHE À L'INDUSTRIE

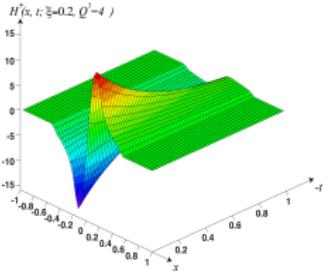
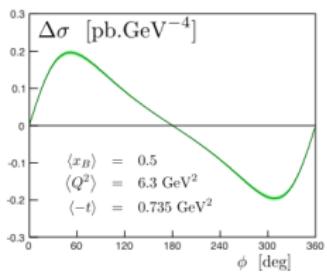
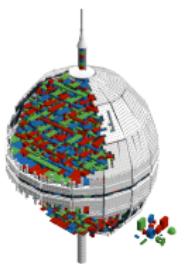


[www.cea.fr](http://www.cea.fr)

STRONG  
2020

PARTONS

## Proton mechanical properties from generalized parton distributions



Mass in the Standard Model | Hervé MOUTARDE

Apr. 21, 2021

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 824093.

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### Proton mechanical properties

- Is it well-defined?
- Can it be measured?
- What are the needed theory inputs?

### Theoretical framework

Gravitational form factors

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### Phenomenology

CFF global fit

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- Is it well-defined? Yes!
- Can it be measured? Yes!
- What are the needed theory inputs? GPD functional shape! Perhaps  $x$  or  $t$ -dependence at a given scale is enough.

Expect valuable inputs from lattice or continuum QCD

## 1 Theoretical framework

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### ■ Matrix element in the Breit frame ( $a = q, g$ ):

$$\left\langle \frac{\Delta}{2} |T_a^{\mu\nu}(0)| - \frac{\Delta}{2} \right\rangle = M \left\{ \eta^{\mu 0} \eta^{\nu 0} \left[ A_a(t) + \frac{t}{4M^2} B_a(t) \right] + \eta^{\mu\nu} \left[ \bar{C}_a(t) - \frac{t}{M^2} C_a(t) \right] + \frac{\Delta^\mu \Delta^\nu}{M^2} C_a(t) \right\}$$

### ■ Anisotropic fluid in relativistic hydrodynamics:

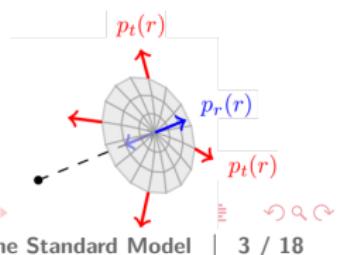
$$\Theta^{\mu\nu}(\vec{r}) = [\varepsilon(r) + p_t(r)] u^\mu u^\nu - p_t(r) \eta^{\mu\nu} + [p_r(r) - p_t(r)] \chi^\mu \chi^\nu$$

where  $u^\mu$  and  $\chi^\mu = x^\mu/r$ .

### ■ Define isotropic pressure and pressure anisotropy:

$$p(r) = \frac{p_r(r) + 2p_t(r)}{3}$$

$$s(r) = p_r(r) - p_t(r)$$



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**Conclusion**

- Write dictionary between quantum and fluid pictures:

$$\frac{\varepsilon_a(r)}{M} = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ A_a(t) + \bar{C}_a(t) + \frac{t}{4M^2} [B_a(t) - 4C_a(t)] \right\}$$

$$\frac{p_{r,a}(r)}{M} = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) - \frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d}{dt} \left( t^{3/2} C_a(t) \right) \right\}$$

$$\frac{p_{t,a}(r)}{M} = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) + \frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d}{dt} \left[ t \frac{d}{dt} \left( t^{3/2} C_a(t) \right) \right] \right\}$$

$$\frac{p_a(r)}{M} = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) + \frac{2}{3} \frac{t}{M^2} C_a(t) \right\}$$

$$\frac{s_a(r)}{M} = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d^2}{dt^2} \left( t^{5/2} C_a(t) \right) \right\}$$

Lorcé *et al.*, Eur. Phys. J. **C79**, 89 (2019)

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Lorcé et al., Eur. Phys. J. C79, 89 (2019)

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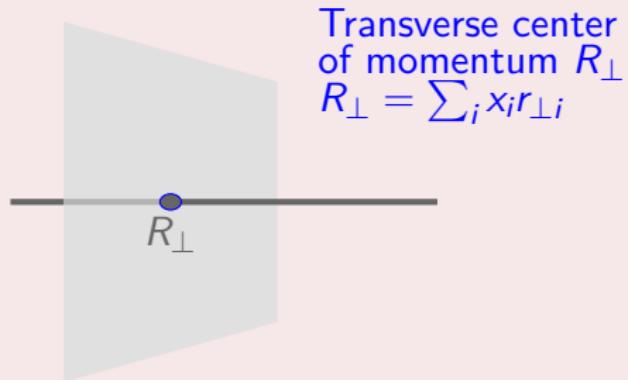
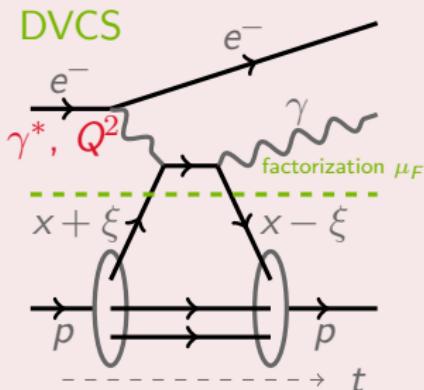
## ■ Link between GPDs and gravitational form factors

$$\int dx x H^q(x, \xi, t) = A^q(t) + 4\xi^2 C^q(t)$$

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Ji, Phys. Rev. Lett. **78**, 610 (1997)

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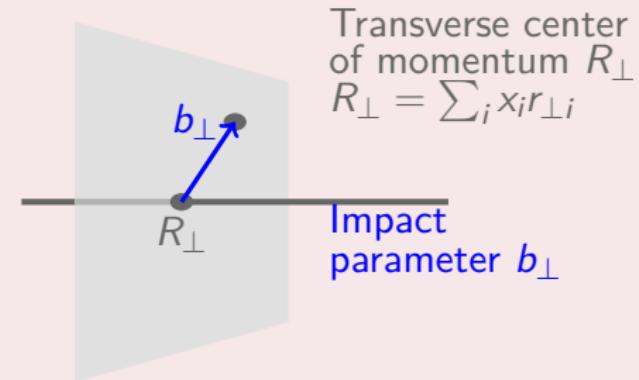
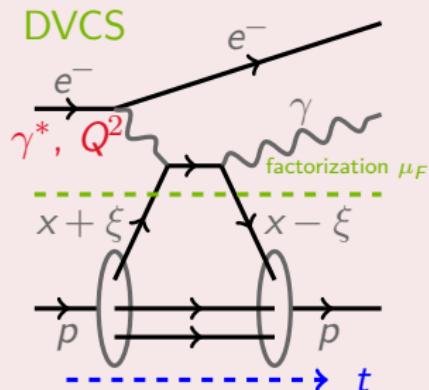
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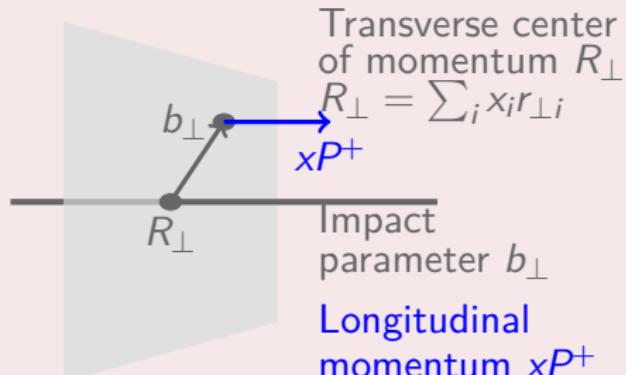
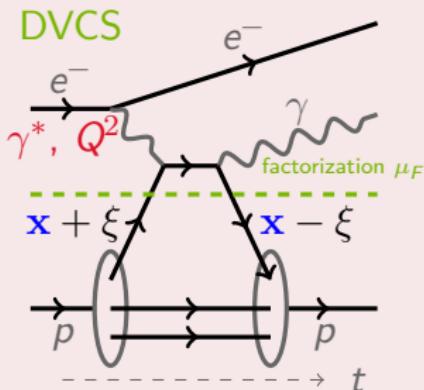
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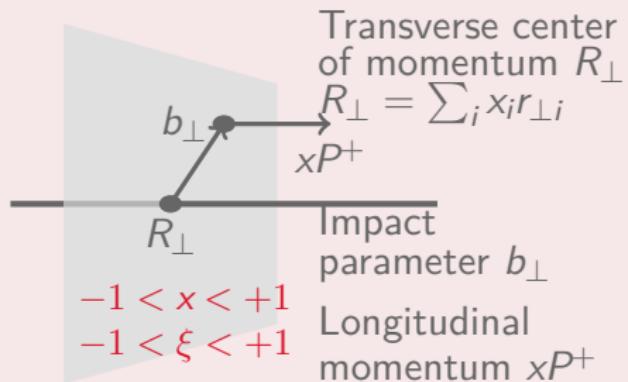
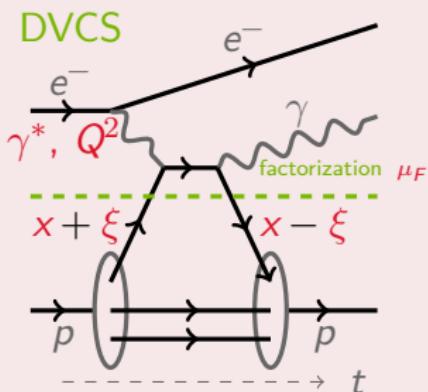
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Bjorken regime : large  $Q^2$  and fixed  $xB \simeq 2\xi/(1 + \xi)$

- Partonic interpretation relies on **factorization theorems**.
  - All-order proofs for DVCS.
  - GPDs depend on a (arbitrary) factorization scale  $\mu_F$ .
  - **Consistency** requires the study of **different channels**.
- 
- GPDs enter DVCS through **Compton Form Factors** :

$$\mathcal{F}(\xi, t, Q^2) = \int_{-1}^1 dx T\left(x, \xi, \alpha_S(\mu_F), \frac{Q}{\mu_F}\right) F(x, \xi, t, \mu_F)$$

for a given GPD  $F$ .

- CFF  $\mathcal{F}$  is a **complex function**.

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## 1 Expand D-term on Gegenbauer polynomials

$$D_{\text{term}}^q(z, t, \mu_F^2) = (1 - z^2) \sum_{\text{odd } n} d_n^q(t, \mu_F^2) C_n^{3/2}(z)$$

## 2 Write dispersion relation for CFF

$$\mathcal{C}_H(t, Q^2) = \text{Re}\mathcal{H}(\xi) - \frac{1}{\pi} \int_0^1 d\xi' \text{Im}\mathcal{H}(\xi) \left( \frac{1}{\xi - \xi'} - \frac{1}{\xi + \xi'} \right)$$

## 3 Compute subtraction constant at LO

$$\mathcal{C}_H(t, Q^2) = 4 \sum_q e_q^2 \sum_{\text{odd } n} d_n^q(t, \mu_F^2 \equiv Q^2)$$

## 4 Retrieve gravitational form factor

$$d_1^q(t, \mu_F^2) = 5 C_q(t, \mu_F^2)$$

# Almost all existing DVCS data sets. 2600+ measurements of 30 observables published during 2001-17.

	No.	Collab.	Year	Ref.	Observable	Kinematic dependence	No. of points used / all
Proton mechanical properties	1	HERMES	2001	[40]	$A_{L,U}^+$	$\phi$	10 / 10
	2		2006	[41]	$A_C^{\cos i\phi}$	$i = 1$	4 / 4
	3		2008	[42]	$A_C^{\cos i\phi}$	$i = 0, 1$	$x_{Bj}$ 18 / 24
Theoretical framework	4		2009	[43]	$A_{UT,DVCS}^{\sin(\phi-\phi_S) \cos i\phi}$	$i = 0$	
Gravitational form factors					$A_{UT,I}^{\sin(\phi-\phi_S) \cos i\phi}$	$i = 0, 1$	
Pressure	5		2010	[44]	$A_{UT,I}^{\cos(\phi-\phi_S) \sin i\phi}$	$i = 1$	
GPDs	6		2011	[45]	$A_{LU,I}^{\sin i\phi}$	$i = 1, 2$	$x_{Bj}$ 35 / 42
Phenomenology					$A_{LU,DVCS}^{\sin i\phi}$	$i = 1$	
					$A_{UL}^{\cos i\phi}$	$i = 0, 1, 2, 3$	
					$A_{UL}^{+, \cos i\phi}$	$i = 0, 1, 2$	
CFF global fit	7		2012	[46]	$A_{LT,DVCS}^{\cos(\phi-\phi_S) \cos i\phi}$	$i = 0, 1$	$x_{Bj}$ 18 / 24
Pressure forces					$A_{LT,DVCS}^{\sin(\phi-\phi_S) \sin i\phi}$	$i = 1$	
Models: systematic uncertainties					$A_{LT,I}^{\cos(\phi-\phi_S) \cos i\phi}$	$i = 0, 1, 2$	
Theoretical issues	8	CLAS	2001	[47]	$A_{LT,I}^{\sin(\phi-\phi_S) \sin i\phi}$	$i = 1, 2$	
	9		2006	[48]	$A_{LU,I}^{\sin i\phi}$	$i = 1, 2$	$x_{Bj}$ 35 / 42
	10		2008	[49]	$A_{LU,DVCS}^{\sin i\phi}$	$i = 1$	
Maximize theory input	11		2009	[50]	$A_C^{\cos i\phi}$	$i = 0, 1, 2, 3$	
Deconvolution problem	12		2015	[51]	$A_{LU}^{\sin i\phi}$	$i = 1, 2$	— 0 / 2
	13		2015	[52]	$A_{UL}^{-, \sin i\phi}$	$i = 1, 2$	— 2 / 2
Conclusion	14	Hall A	2015	[34]	$A_{LU}^-$	$\phi$	283 / 737
	15		2017	[35]	$A_{LU}^-$	$\phi$	22 / 33
	16	COMPASS	2018	[36]	$A_{LU}^-, A_{UL}^-, A_{LL}^-$	$\phi$	311 / 497
	17	ZEUS	2009	[37]	$d^4\sigma_{UU}^-$	$\phi$	1333 / 1933
	18	H1	2005	[38]	$\Delta d^4\sigma_{LU}^-$	$\phi$	228 / 228
	19		2009	[39]	$\Delta d^4\sigma_{LU}^-$	$\phi$	276 / 358
					$d^3\sigma_{UU}^-$	$t$	2 / 4
					$d^3\sigma_{LU}^-$	$t$	4 / 4
					$d^3\sigma_{UU}^+$	$t$	7 / 8
					$d^3\sigma_{LU}^+$	$t$	12 / 12

SUM: 2624 / 3996

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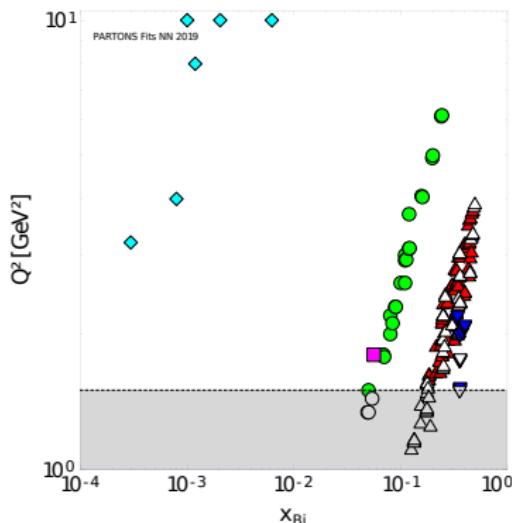
Models: systematic uncertainties

## Theoretical issues

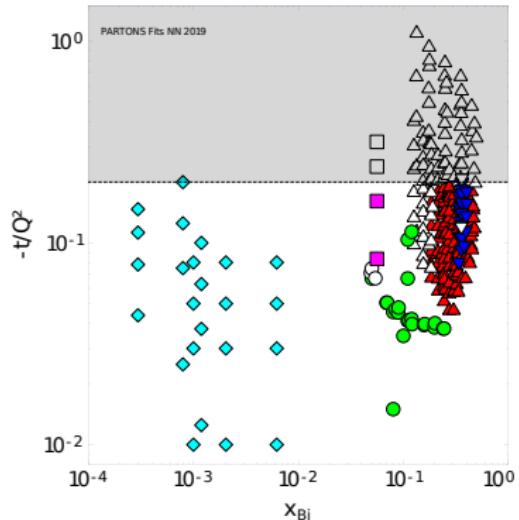
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▼ Hall A     ● HERMES     ■ COMPASS  
 ▲ CLAS     ◆ H1 and ZEUS



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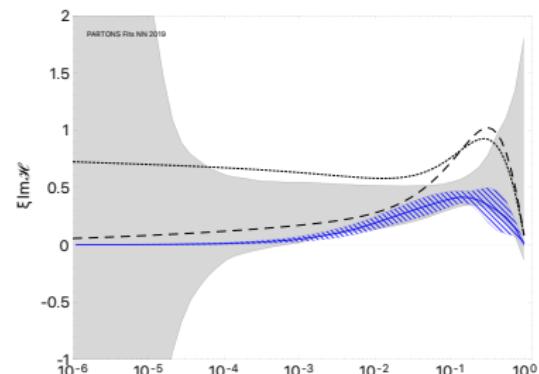
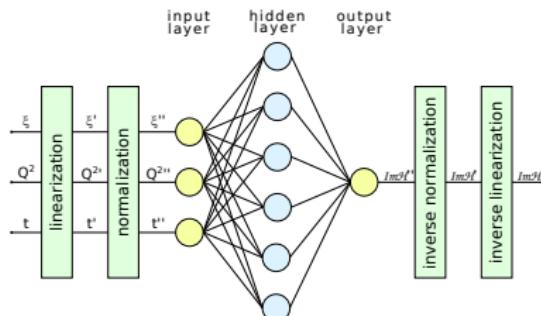
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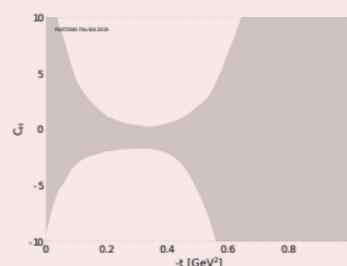
## ■ Range of kinematic variables in neural networks

$$\begin{aligned} 10^{-6} &< \xi &< 1 \\ 0 &< -t &< 1 \text{ GeV}^2 \\ 1 &< Q^2 &< 100 \text{ GeV}^2 \end{aligned}$$

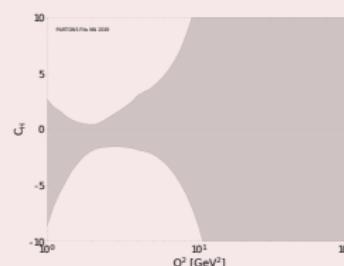
## ■ Implement DVCS dispersion relation

$$\mathcal{C}_H(t, Q^2) = \text{Re}\mathcal{H}(\xi) - \frac{1}{\pi} \int_{10^{-6}}^1 d\xi' \text{Im}\mathcal{H}(\xi) \left( \frac{1}{\xi - \xi'} - \frac{1}{\xi + \xi'} \right)$$

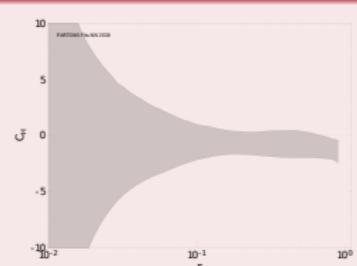
$$\begin{aligned} \xi &= 0.2 \\ Q^2 &= 2 \text{ GeV}^2 \end{aligned}$$



$$\begin{aligned} \xi &= 0.2 \\ t &= -0.3 \text{ GeV}^2 \end{aligned}$$



$$\begin{aligned} t &= -0.3 \text{ GeV}^2 \\ Q^2 &= 2 \text{ GeV}^2 \end{aligned}$$



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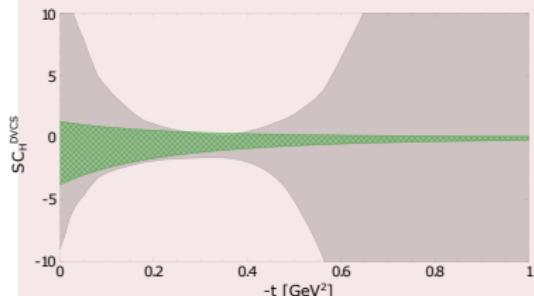
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- 1 Subtraction constant assumed equal to  $d_1$ .
- 2 Equal values for light quark contributions  $d_1^{uds}$ .
- 3 Radiative generation of gluon  $d_1^g$  and charm  $d_1^c$  contributions.
- 4 Tripole Ansatz for the  $t$ -dependence of  $d_1$ .

## Tripole Ansatz



Parameter	Value
$d_1^{uds}(\mu_F^2)$	$-0.45 \pm 0.92$
$d_1^c(\mu_F^2)$	$-0.0020 \pm 0.0041$
$d_1^g(\mu_F^2)$	$-0.6 \pm 1.3$

Dutrieux *et al.*, Eur. Phys. J. **C81**, 300 (2021)

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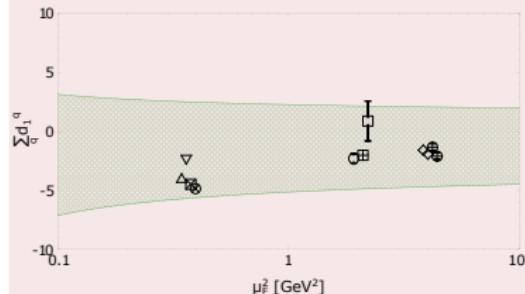
CFF global fit

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 **$d_1$  from DVCS data**

Parameter	Value
$d_1^{uds}(\mu_F^2)$	$-0.45 \pm 0.92$
$d_1^c(\mu_F^2)$	$-0.0020 \pm 0.0041$
$d_1^g(\mu_F^2)$	$-0.6 \pm 1.3$

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## Summary of existing determinations

No.	Marker in Fig. 3	$\sum_q d_1^q(\mu_F^2)$	$\mu_F^2$ in $\text{GeV}^2$	# of flavours	Type	Ref.
1	○	$-2.30 \pm 0.16 \pm 0.37$	2.0	3	from experimental data	[13]
2	□	$0.88 \pm 1.69$	2.2	2	from experimental data	[14]
3	◊	-1.59 -1.92	4 4	2 2	$t$ -channel saturated model $t$ -channel saturated model	[55] [55]
4	△	-4	0.36	3	$\chi$ QSM	[30]
5	▽	-2.35	0.36	2	$\chi$ QSM	[10]
6	⊗	-4.48	0.36	2	Skyrme model	[56]
7	田	-2.02	2	3	LFWF model	[57]
8	⊗	-4.85	0.36	2	$\chi$ QSM	[58]
9	⊕	$-1.34 \pm 0.31$ $-2.11 \pm 0.27$	4 4	2 2	lattice QCD ( $\overline{\text{MS}}$ ) lattice QCD (MS)	[59] [59]

## Proton mechanical properties

## Theoretical framework

Gravitational form factors  
Pressure  
GPDs

## Phenomenology

CFF global fit  
Pressure forces

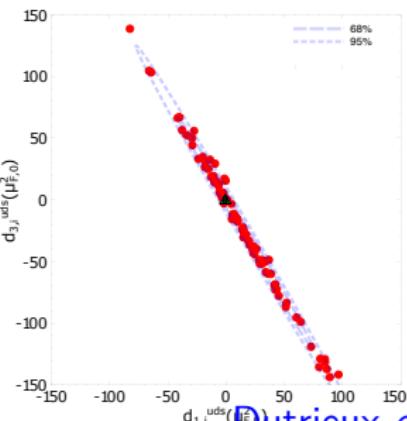
## Models: systematic uncertainties

## Theoretical issues

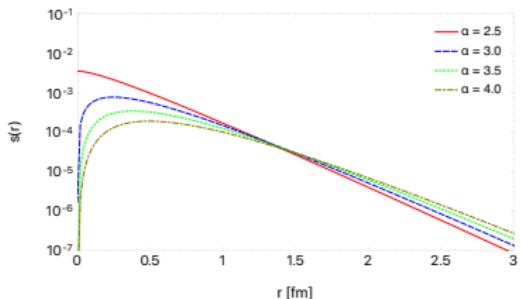
Maximize theory input  
Deconvolution problem

## Conclusion

- No justification to truncate the subtraction constant expansion to its first term and assume that it is the  $d_1$  coefficient related to the energy-momentum tensor.
- Shape of pressure profile is fixed by multipole Ansatz. Actual value is extremely sensitive to its parameters.



Dutrieux et al., Eur. Phys. J. C81, 300 (2021)



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## Conclusion

- Reduction to PDFs or elastic form factors.
- Implement *a priori* **positivity** and **polynomiality**. Still uncommon in many models or parameterizations used for phenomenology.
- **General solution** starting from overlap of (potentially effective) light front wave functions.  
*Chouika et al., Eur. Phys. J. C77, 906 (2017)*
- Use of **evolution equations** to implement further constraints on the GPD functional form.
- Work **beyond leading-order** and depart from the parton model...
- Systematic impact study or use of **kinematic corrections** still missing.

Proton  
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issuesMaximize theory  
inputDeconvolution  
problem

## Conclusion

- Assume CFF  $\mathcal{H}$  is perfectly known. Solve inverse problem?

$$\mathcal{H}^q(\xi, Q^2) = \int_{-1}^1 \frac{dx}{\xi} T^q \left( \frac{x}{\xi}, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) H^q(x, \xi, \mu^2)$$

- Question raised about 20 years ago and has remained essentially open. Evolution proposed as a crucial element.

Freund Phys. Lett. B472, 412 (2000)

- There exist **non-zero GPDs with vanishing forward limit** and **vanishing CFF up to order  $\alpha_s^2$** .
- The DVCS deconvolution problem is **ill-posed**.

Bertone *et al.*, arXiv:2104.03836 [hep-ph]

- Same conclusion holds** for several other hard exclusive processes.
- Define and implement** further criterions in fitting strategies to select one solution among infinitely many.

# From CFFs to GPDs.

*Shadow GPDs have null LO and NLO CFF.*

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uncertainties

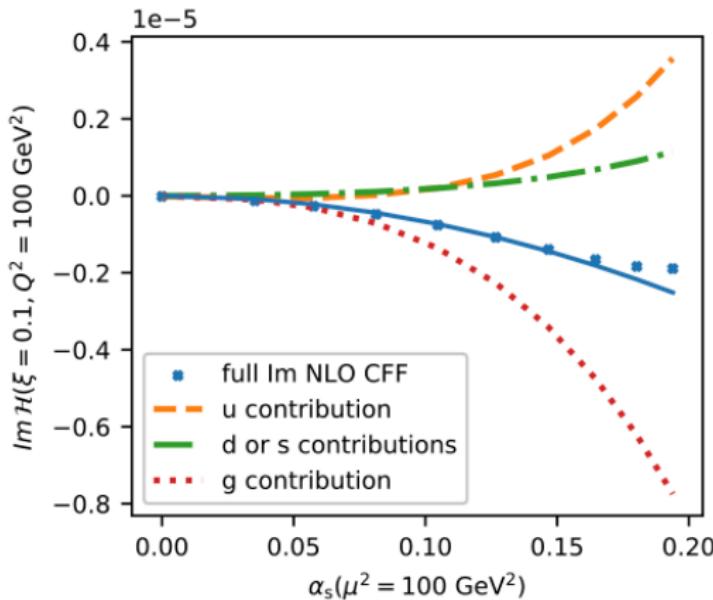
Theoretical  
issues

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input

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problem

Conclusion

- Start with shadow GPD for flavor  $u$  at  $1 \text{ GeV}^2$ .
- Generate  $d$ ,  $s$  and  $g$  while evolving up to  $100 \text{ GeV}^2$ .
- Compute resulting CFF.



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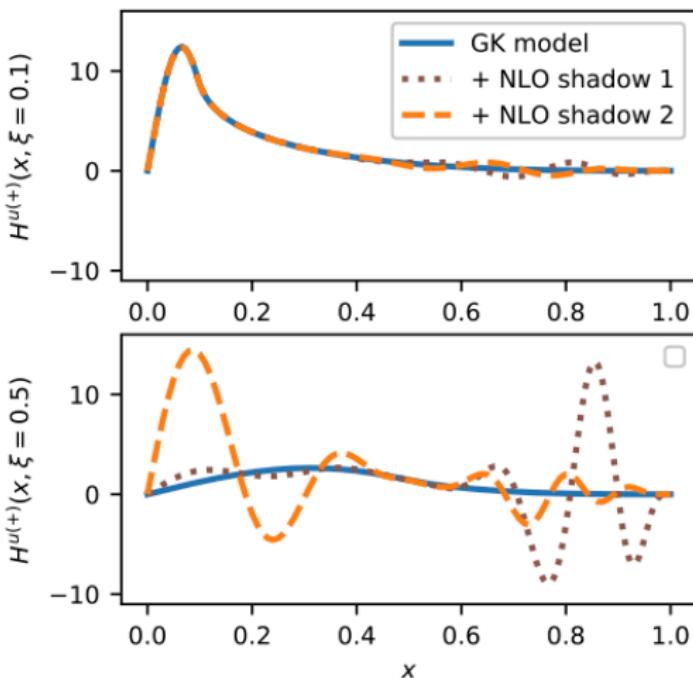
Models: systematic uncertainties

## Theoretical issues

Maximize theory input

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Bertone *et al.*, arXiv:2104.03836 [hep-ph]

### Proton mechanical properties

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### Conclusion

- Concept **well-defined** and suitable for phenomenological analysis.
- Strong **first-principle connection** between concept and experimental data.
- The GPD deconvolution problem is **ill-posed**.
- Need for **multi-channel** analysis **beyond LO**.
- **Huge sensitivity** to numerical noise or experimental uncertainties.
- Benefiting from new inputs or constraints from **nonperturbative QCD** is highly desirable!
- Need for **coordinated effort** involving fits, computing chains e.g. PARTONS and continuum or lattice QCD to make the best from experimental data.

Commissariat à l'énergie atomique et aux énergies alternatives  
Centre de Saclay | 91191 Gif-sur-Yvette Cedex  
T. +33(0)1 69 08 73 88 | F. +33(0)1 69 08 75 84

DRF  
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Établissement public à caractère industriel et commercial | R.C.S. Paris B 775 685 019