

FRESH EXTRACTION OF THE PROTON RADIUS FROM ELECTRON SCATTERING

Mass in the
standard
model and
consequences
of its
emergence

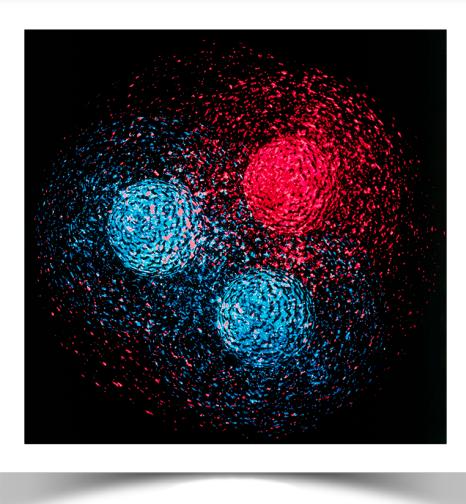
ECT* Trento, Italy
APRIL 19-23, 2021



DANIELE BINOSI

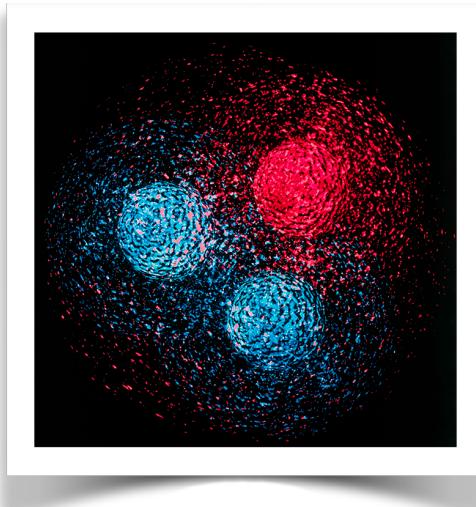
ECT* - FONDAZIONE BRUNO KESSLER

Proton PARTICLE



Nature's most fundamental **bound-state**

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Quantum ChromoDynamics

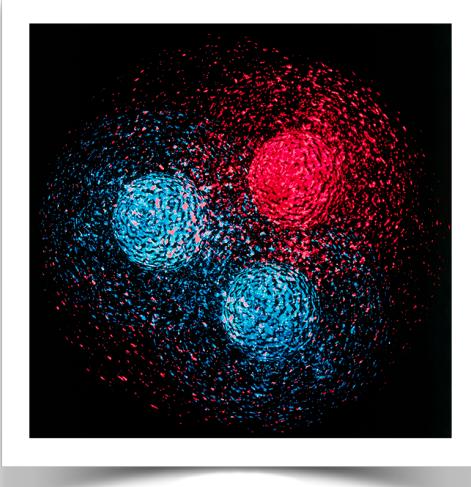
describes the proton structure

$$m_p, r_p$$

if it is composite it must have a size

HOW BIG IS IT?

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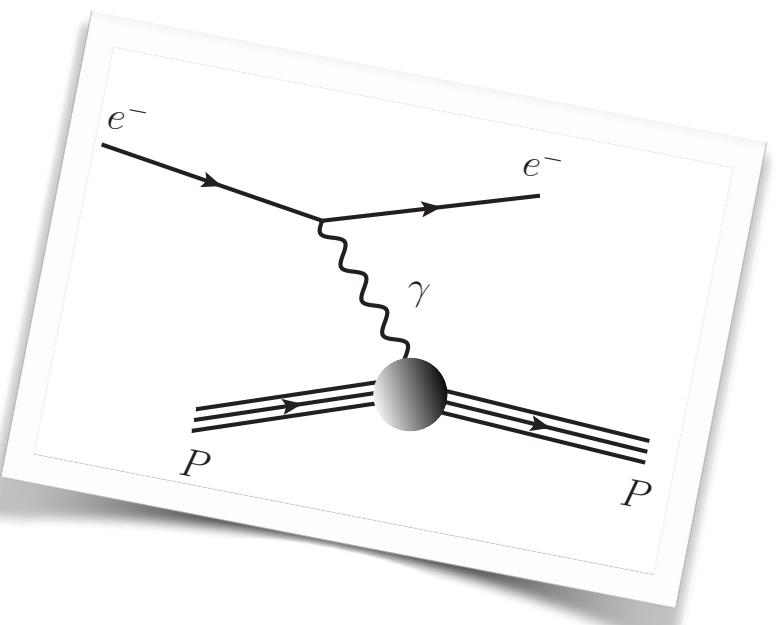
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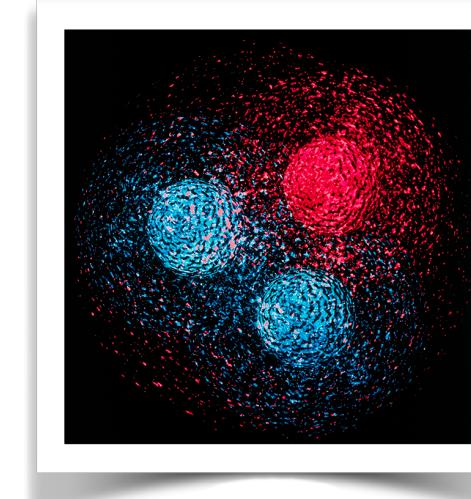
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electric and magnetic form factor encode the shape
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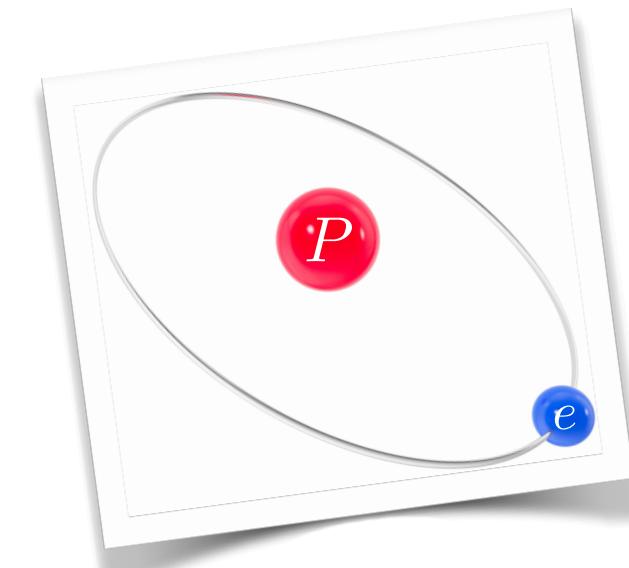
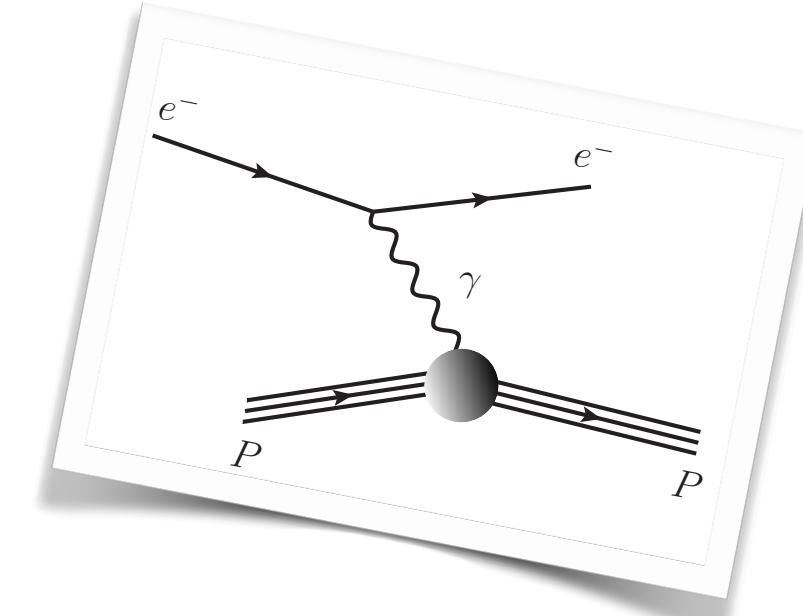
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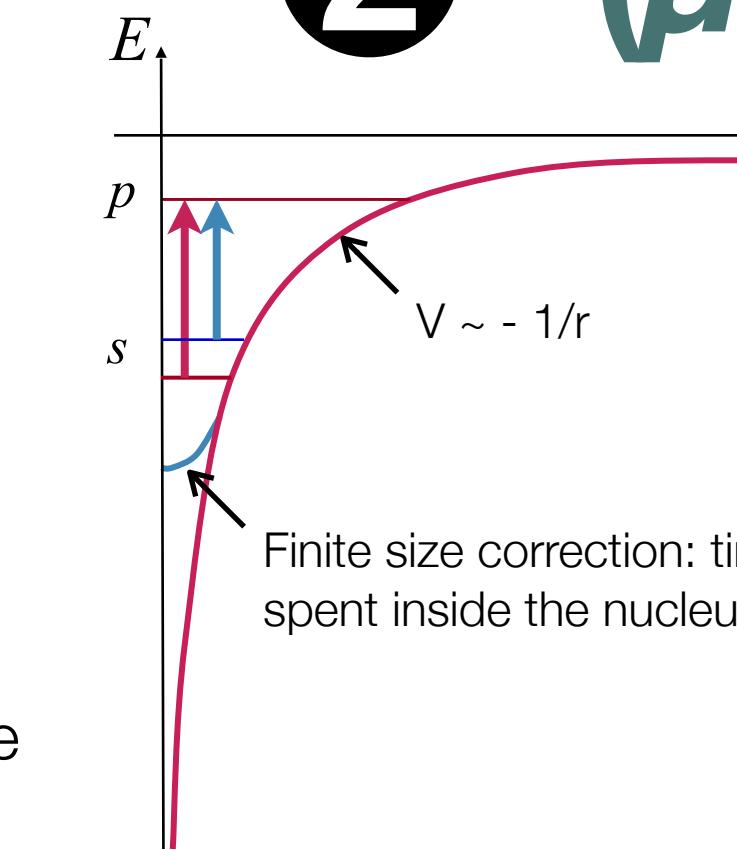
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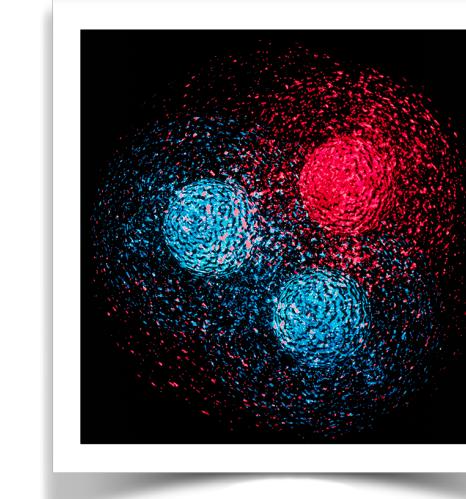
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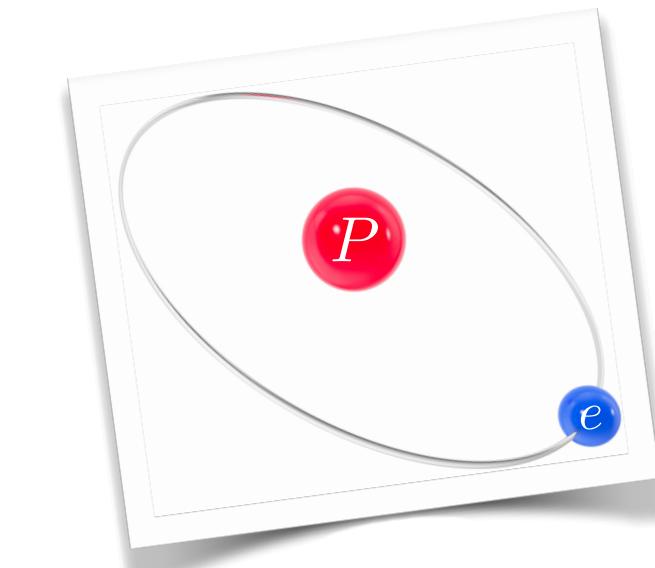
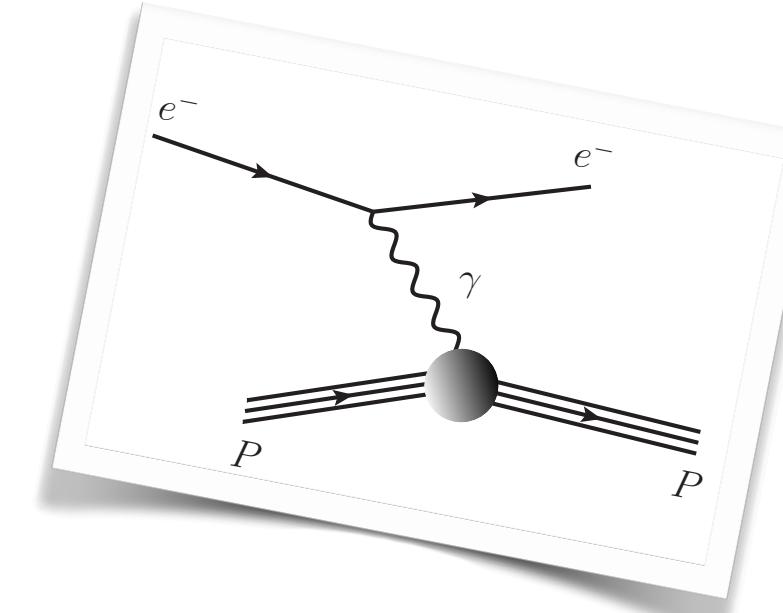
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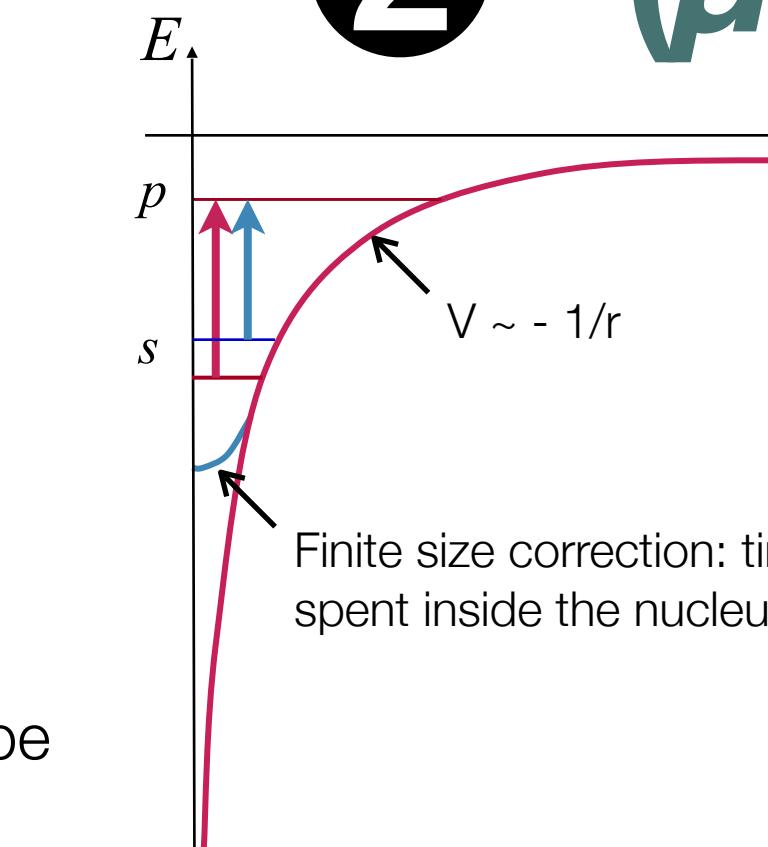
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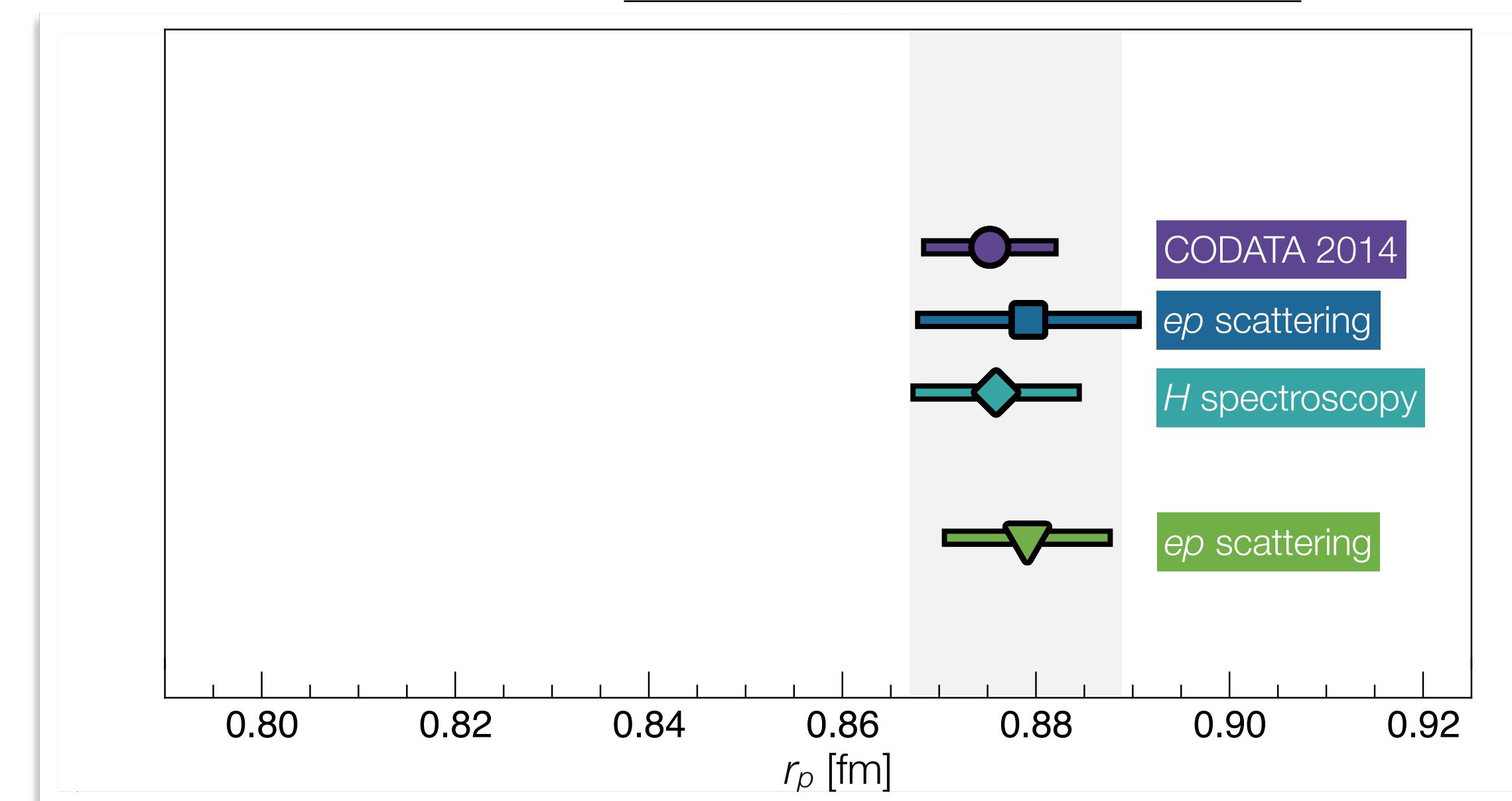
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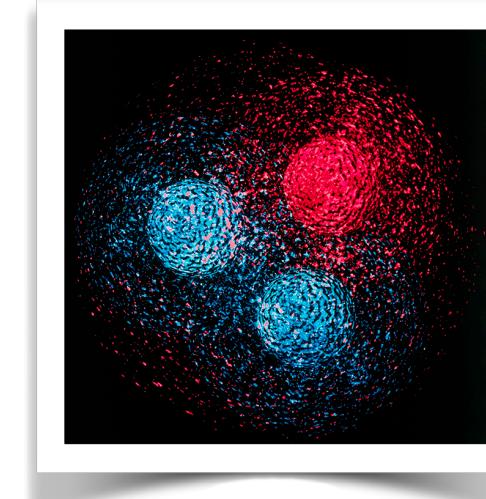
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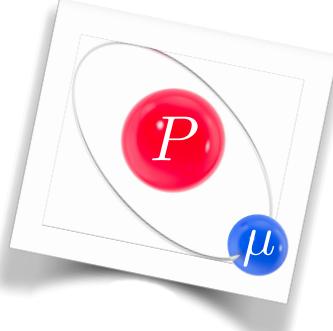
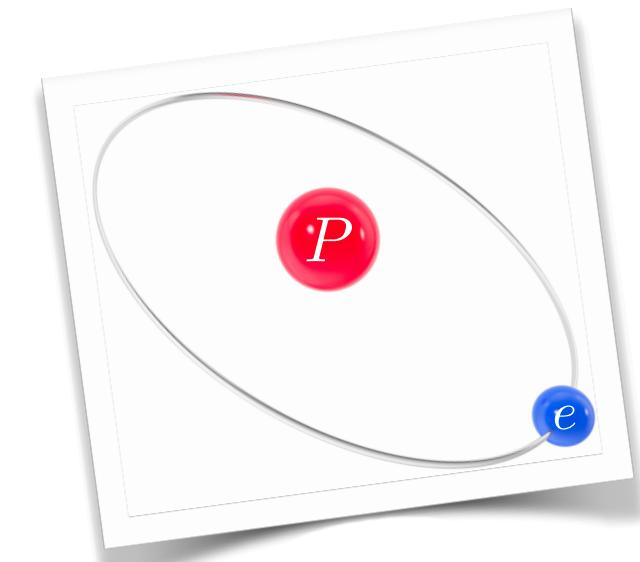
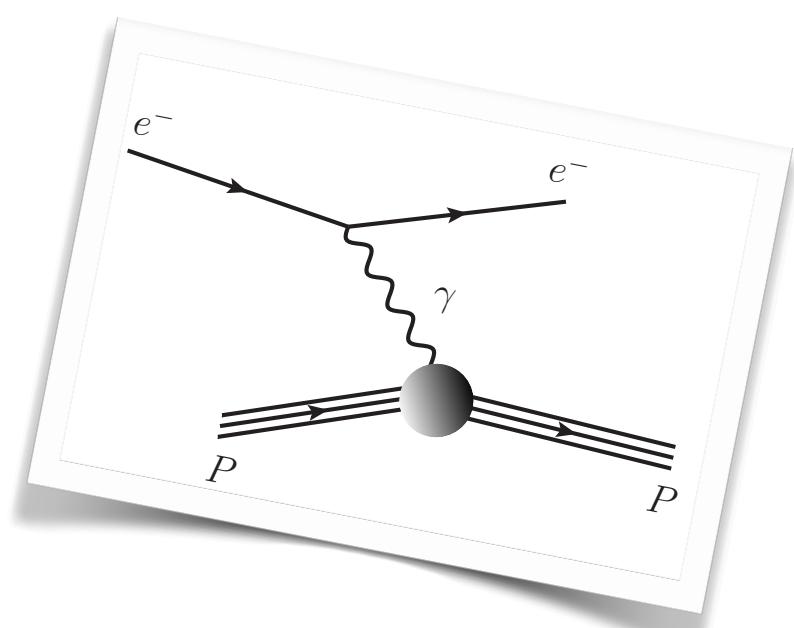
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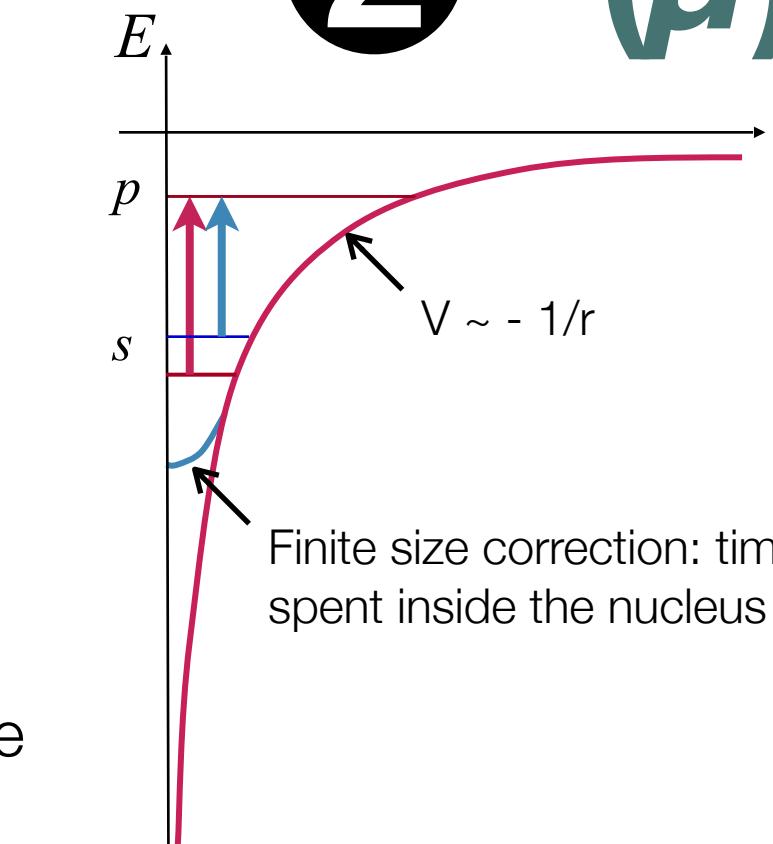
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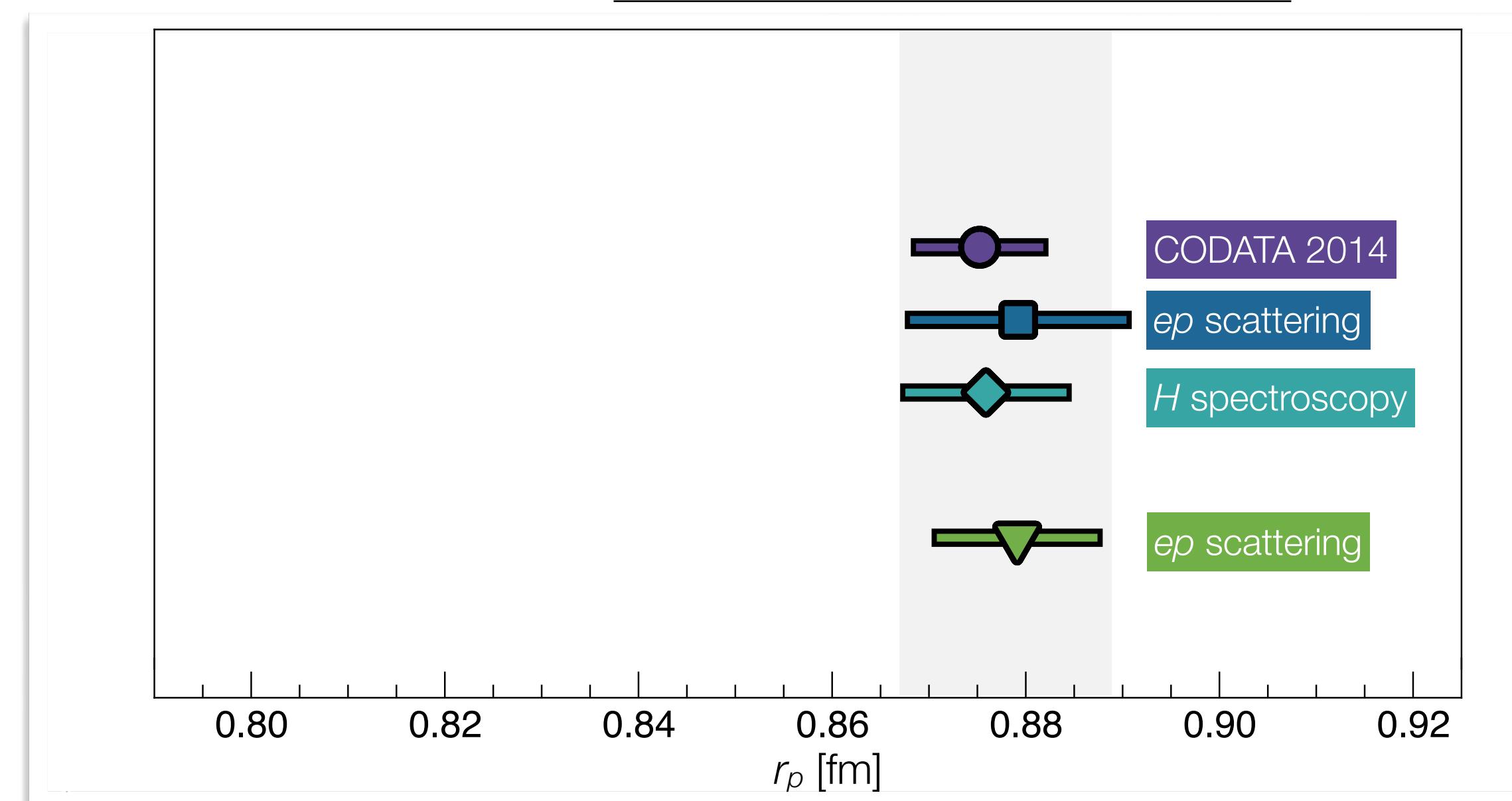
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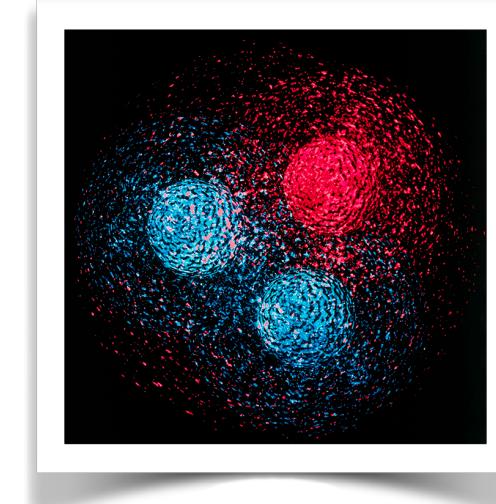
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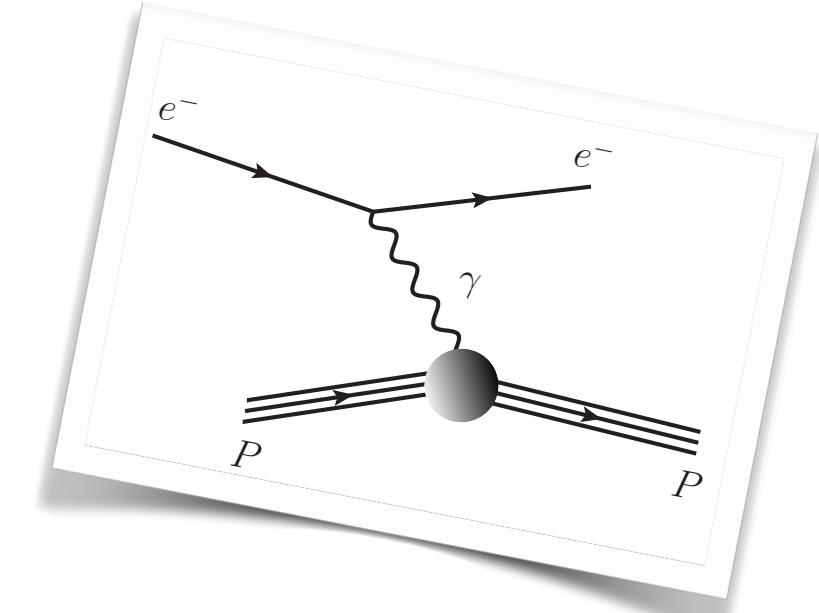
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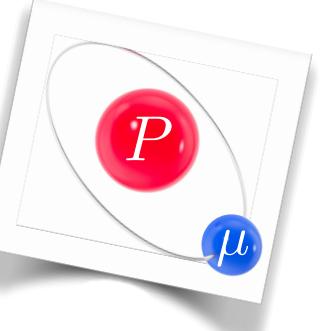
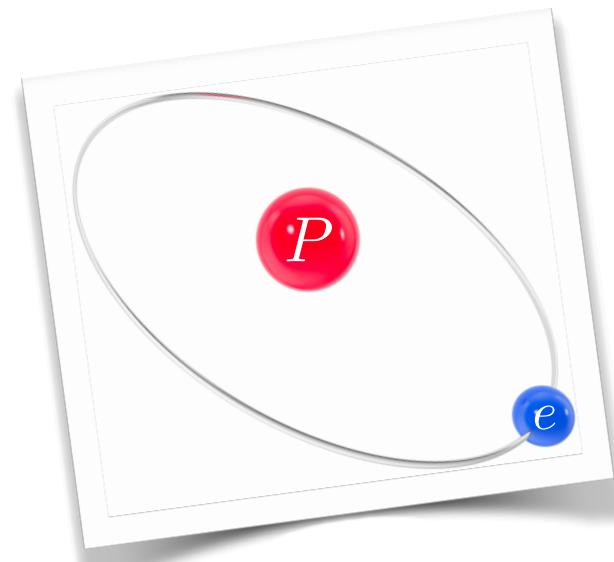
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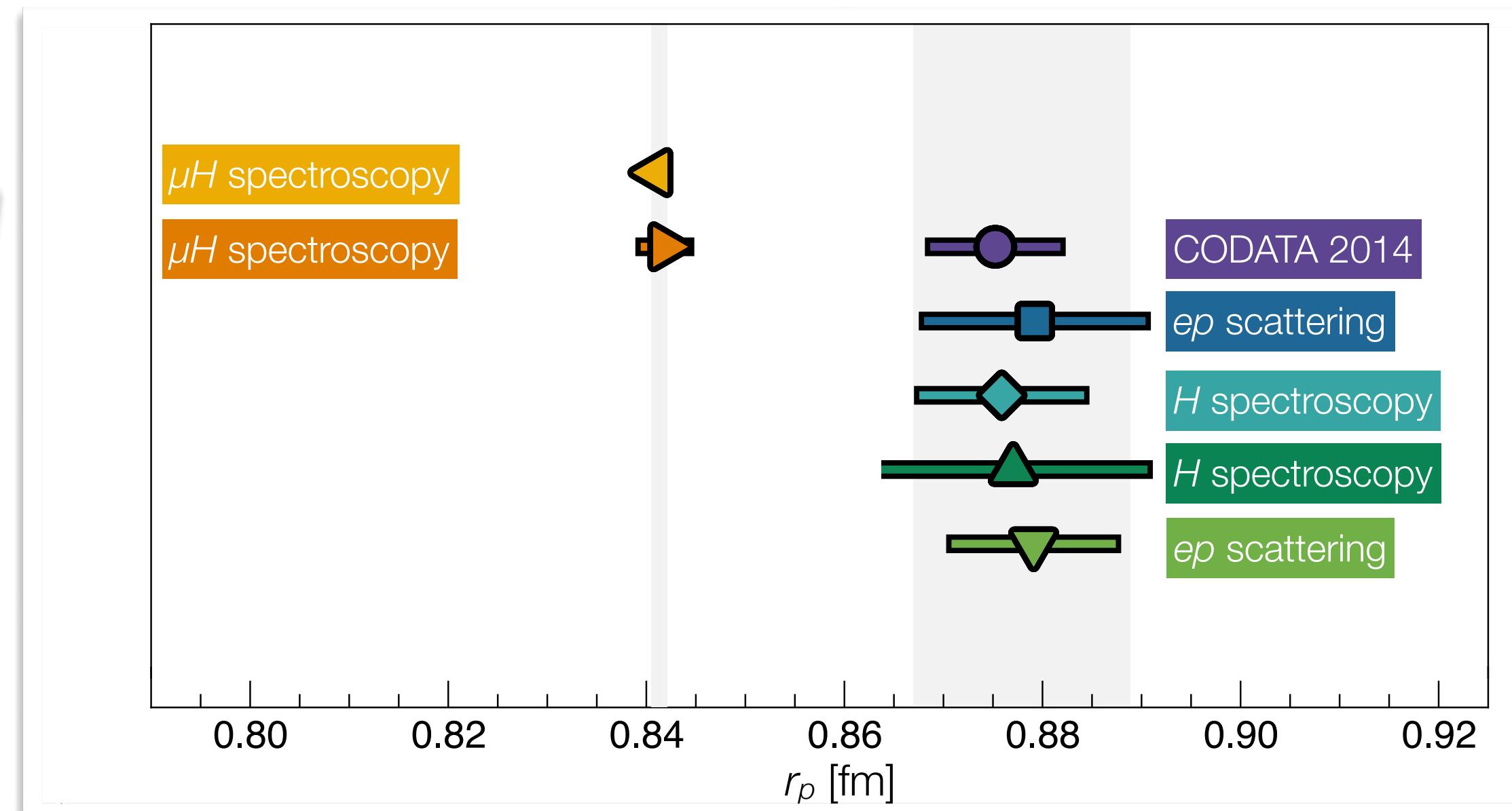
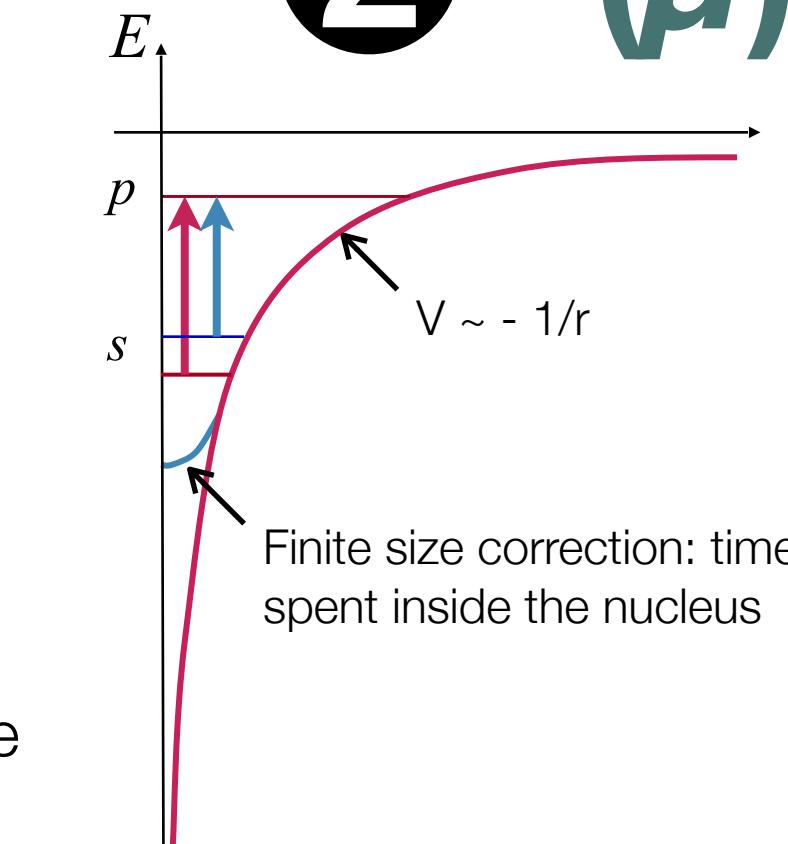
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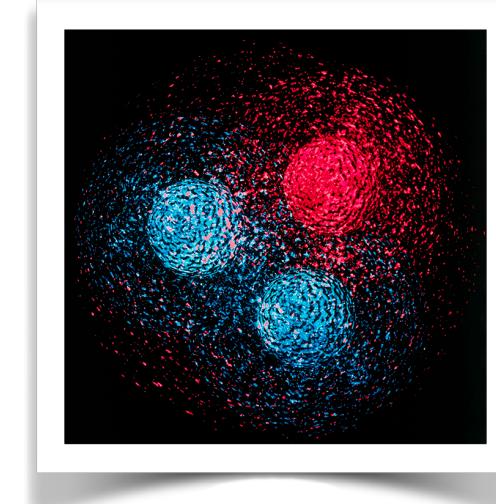
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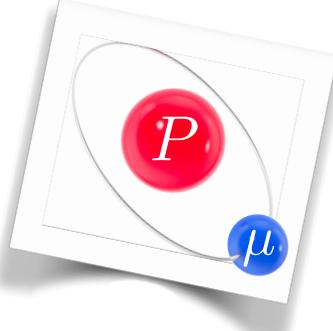
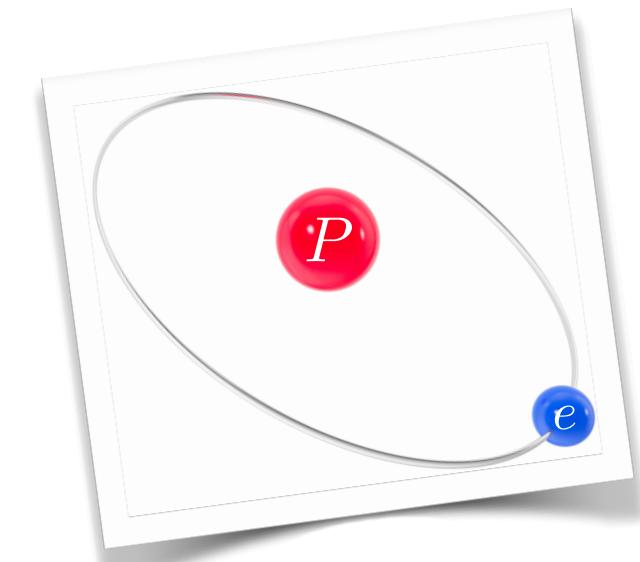
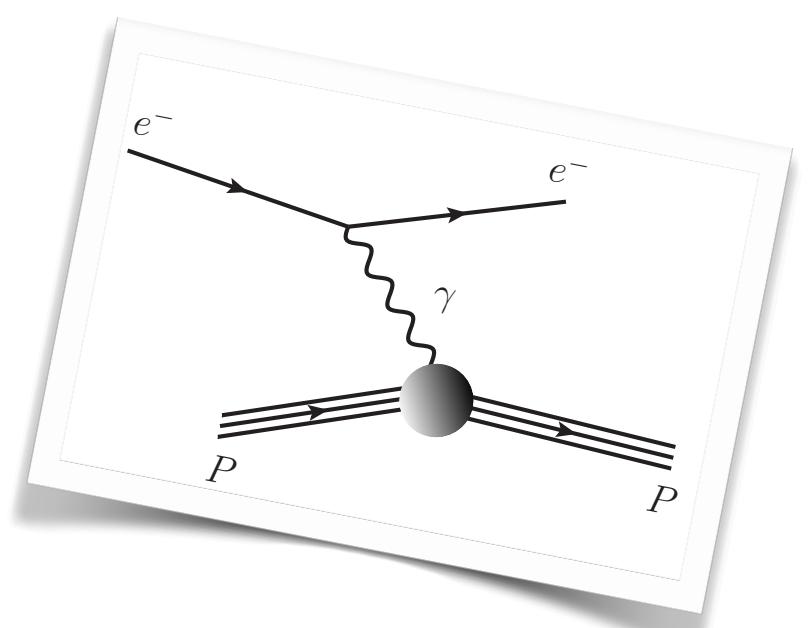
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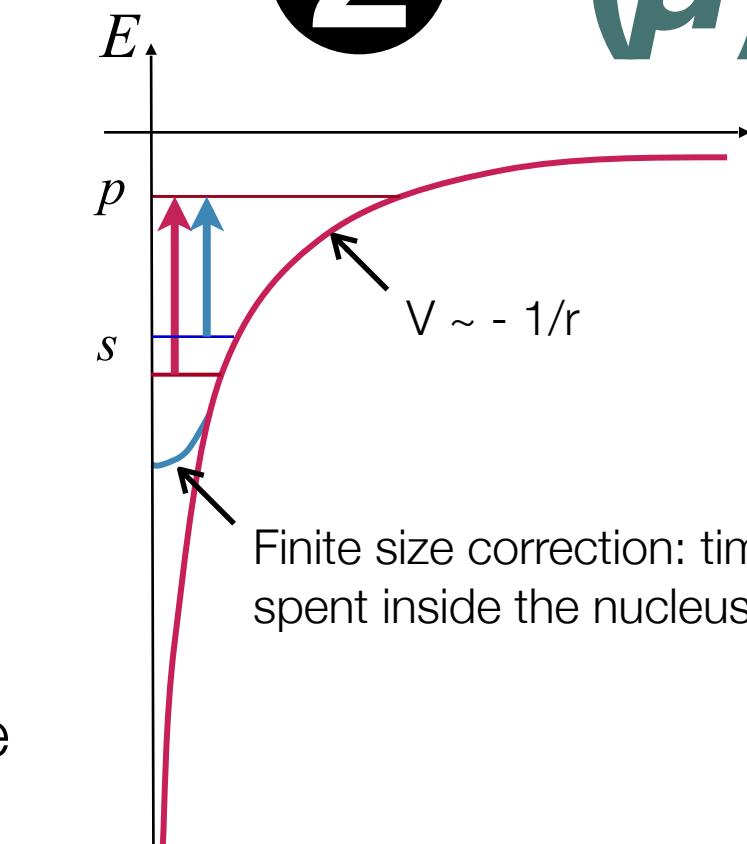
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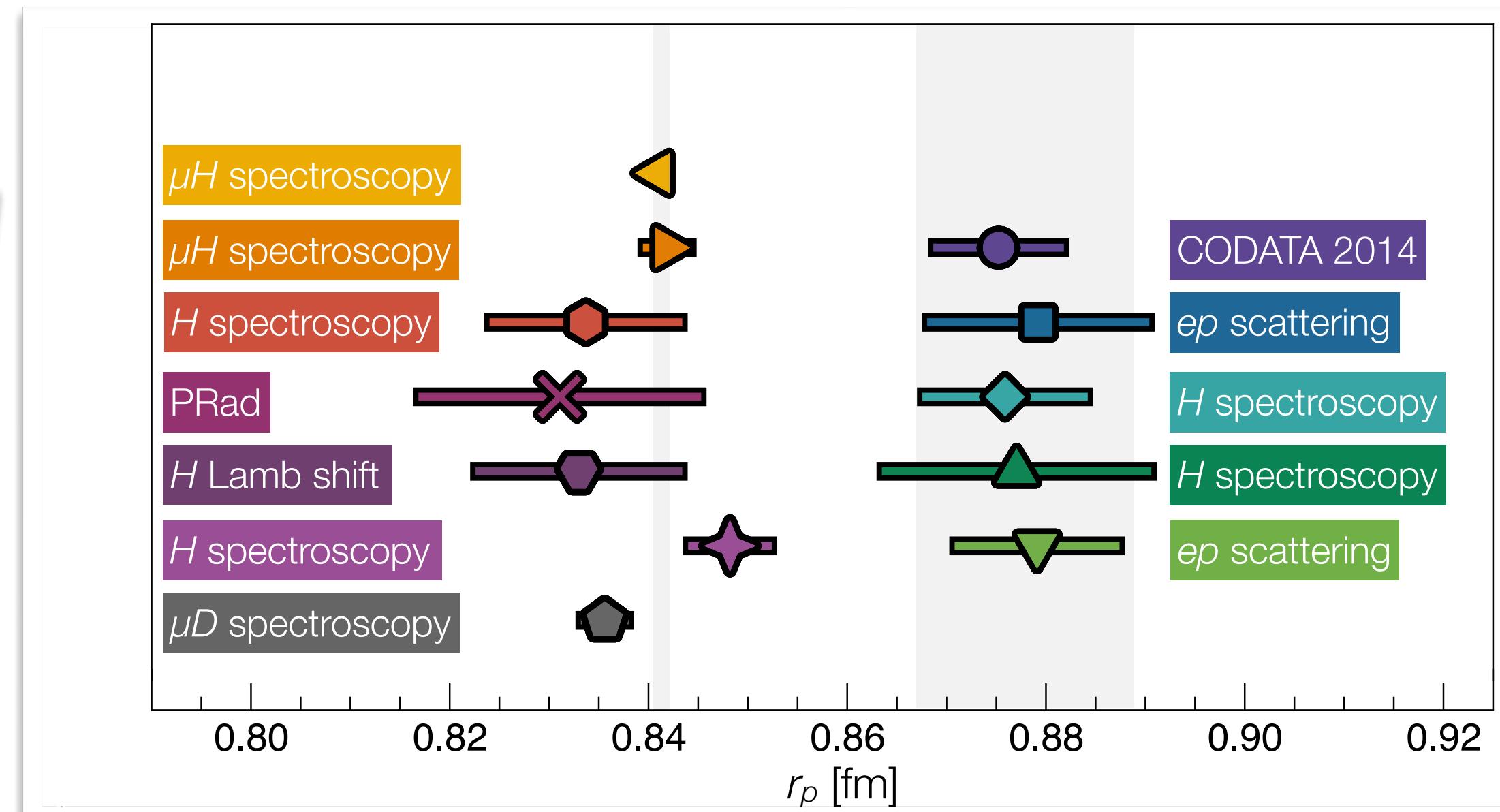
A. Beyer *et al.*, Science 358, 79 (2017)

W. Xiong *et al.*, Nature 575, 147 (2019)

N. Bezginov *et al.*, Science 365, 1007 (2019)

A. Grinin *et al.*, Science 370, 1061 (2020)

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Schlessinger, PR 167 (1968)

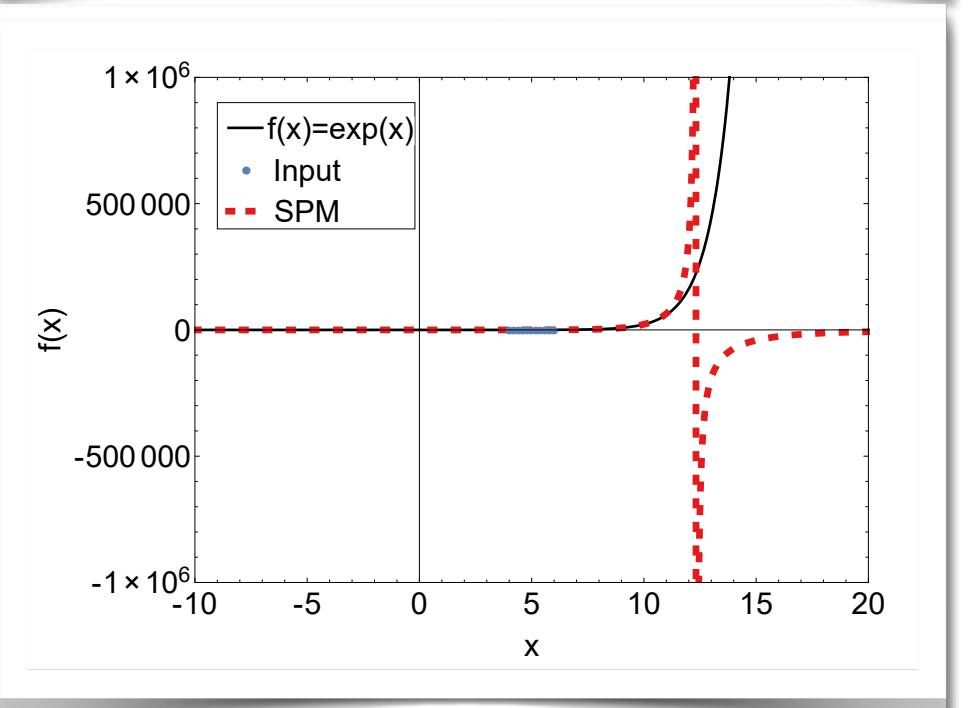
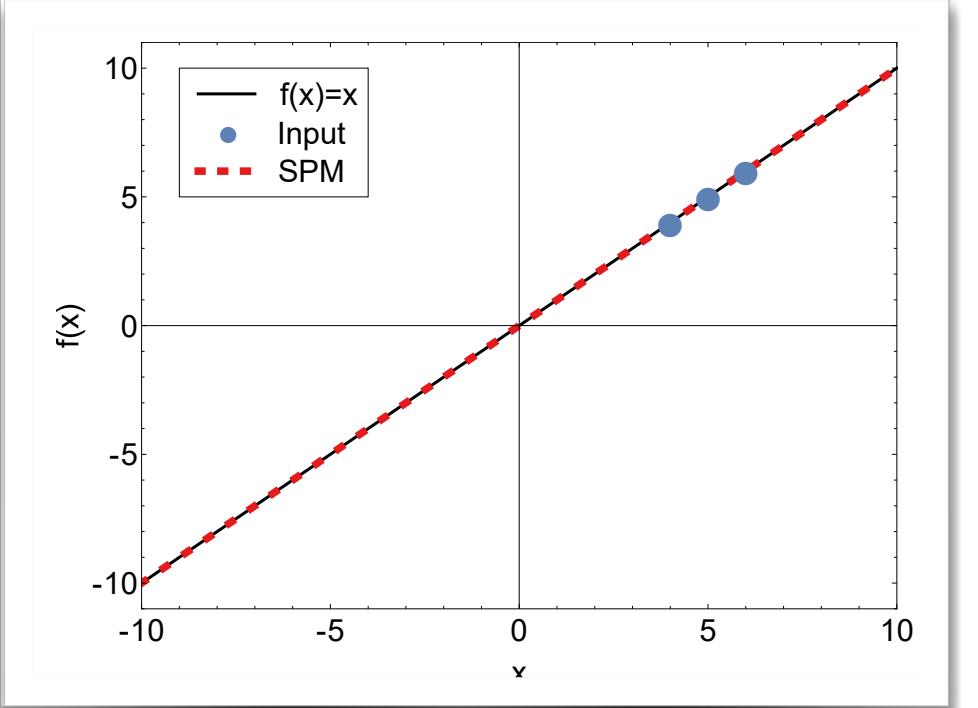
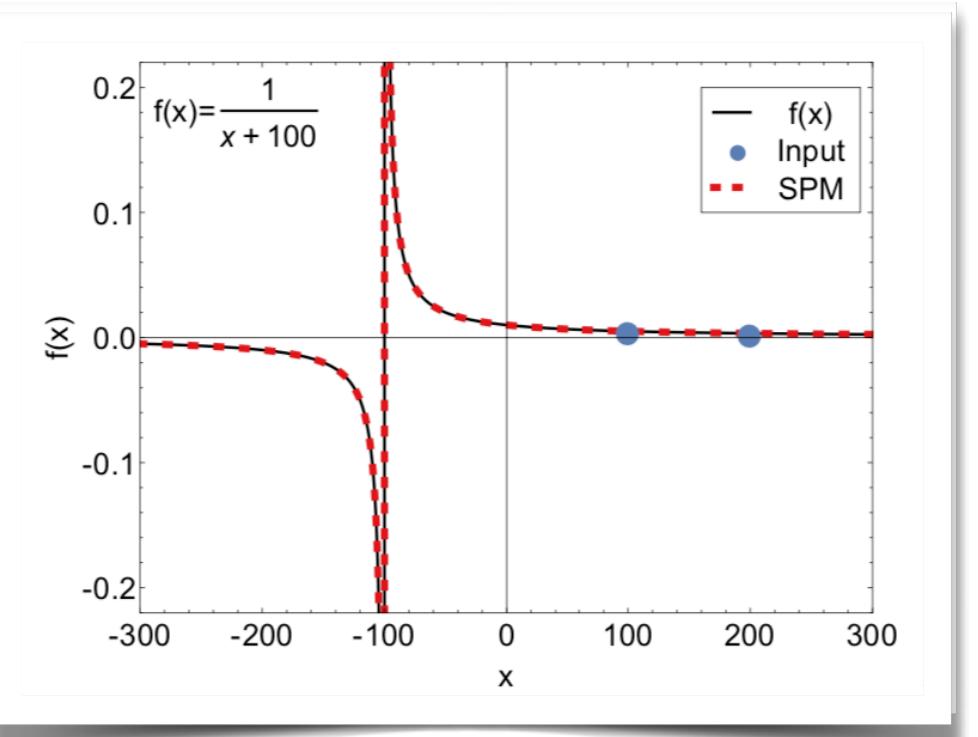
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elementary (functions) examples

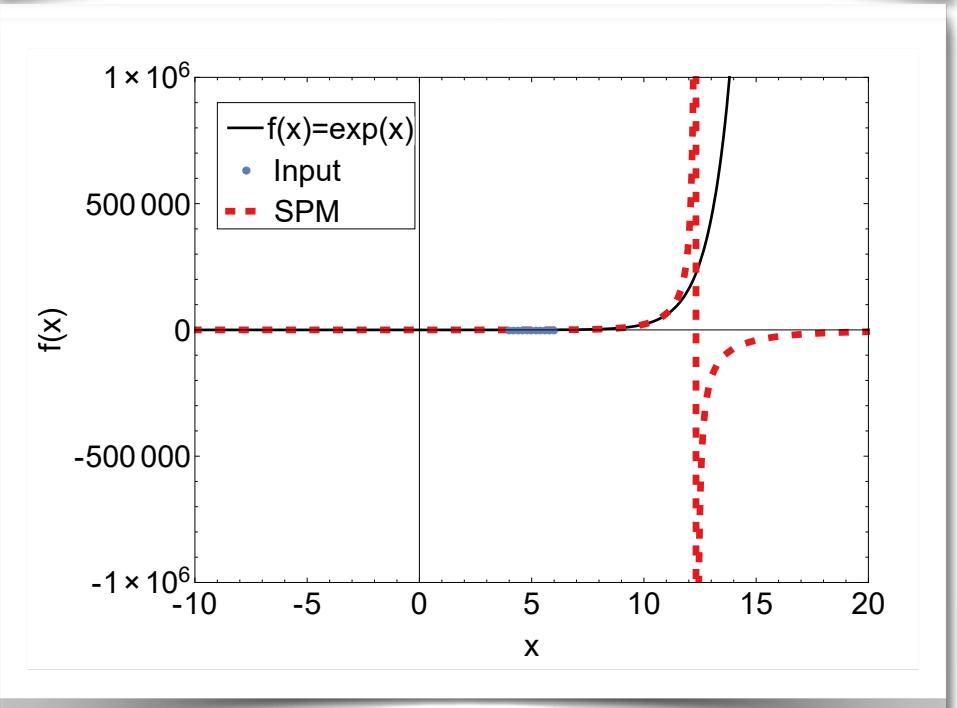
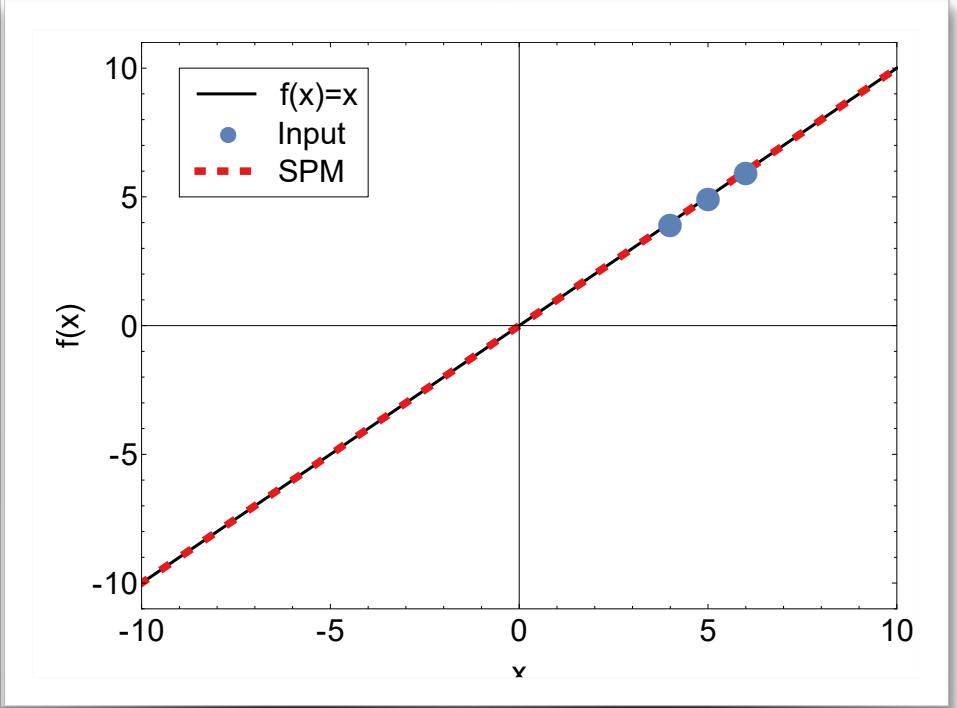
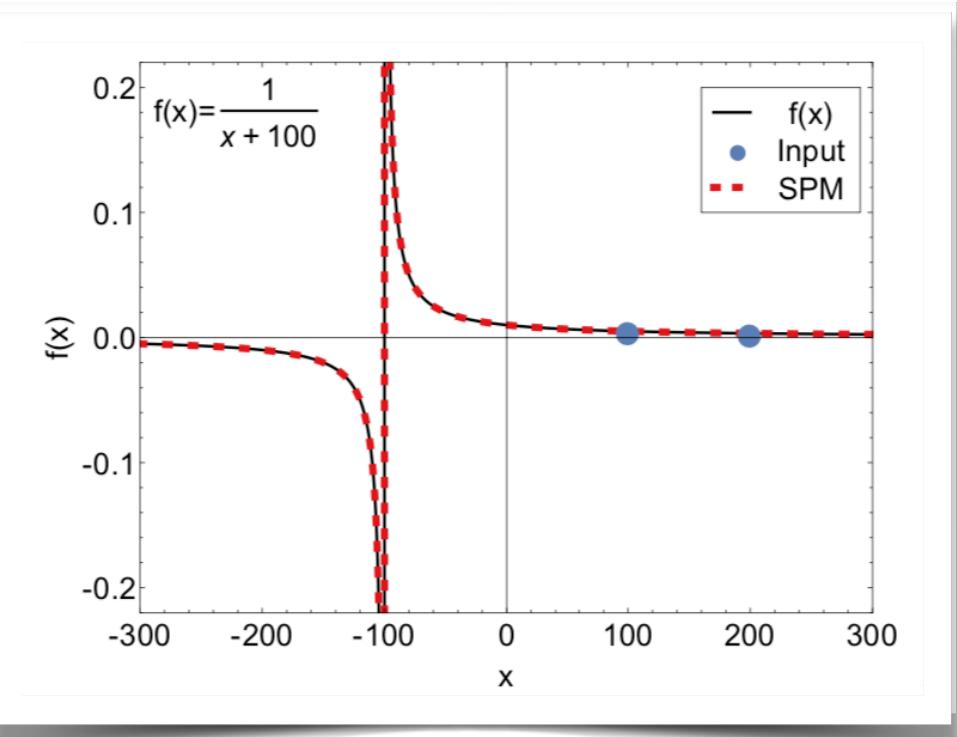


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LARGE DATASETS

randomly choose $4 < M \lesssim N/2$ points
reduce (binomial) number of interpolators
introducing **physical constraints**
(absence of poles)

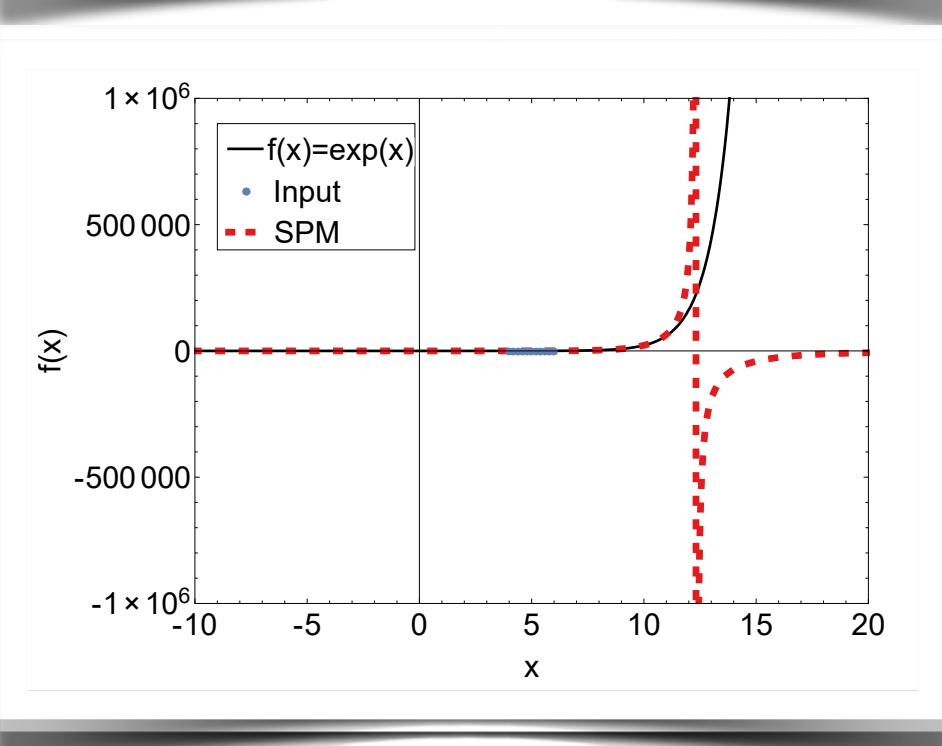
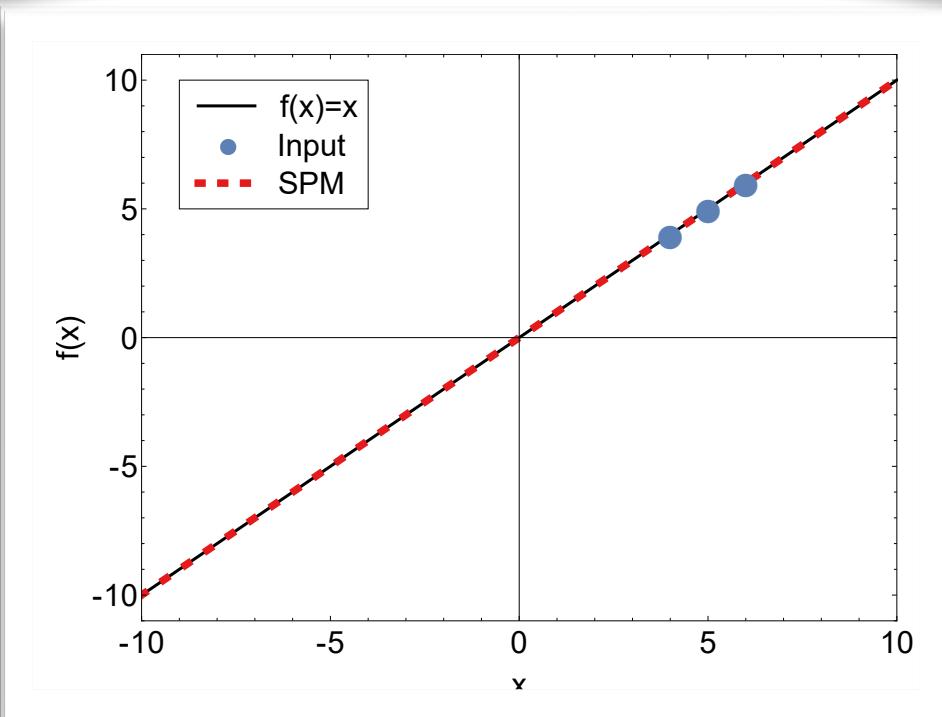
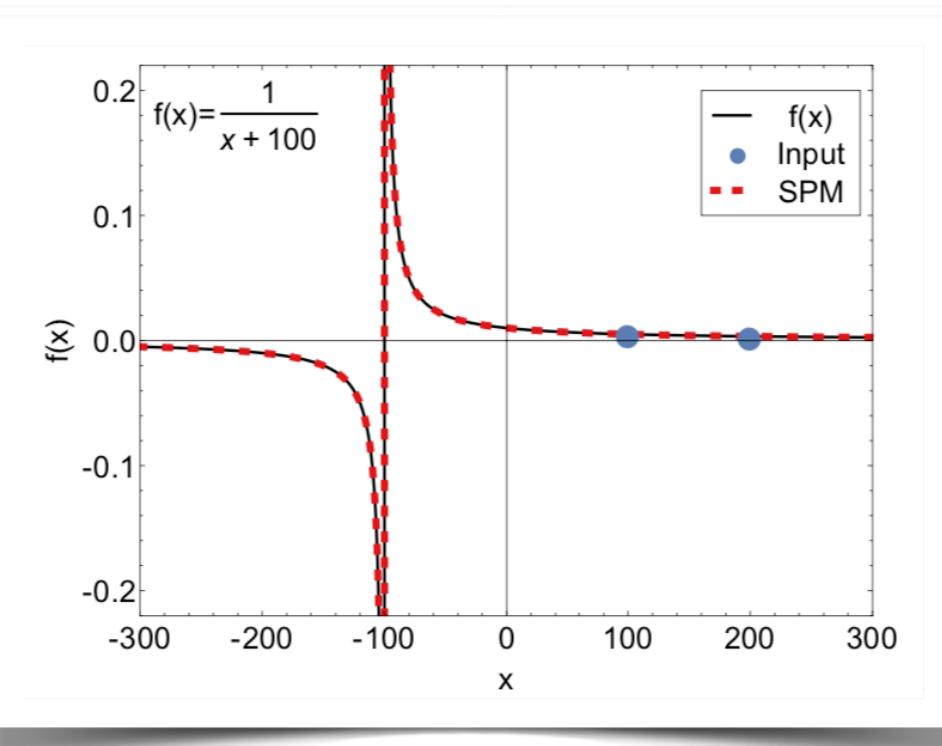
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smoothing par. data fidelity roughness penalty

THEOREM: g is the *natural spline* interpolant
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Reinsch, NM 10 (1967)

optimal smoothing parameter determined via
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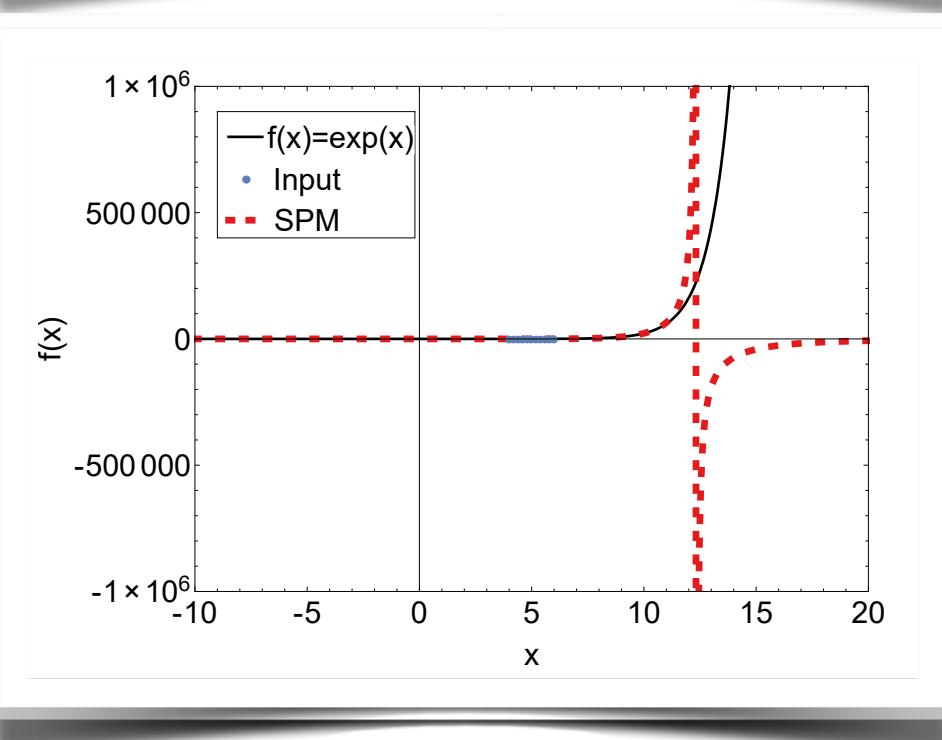
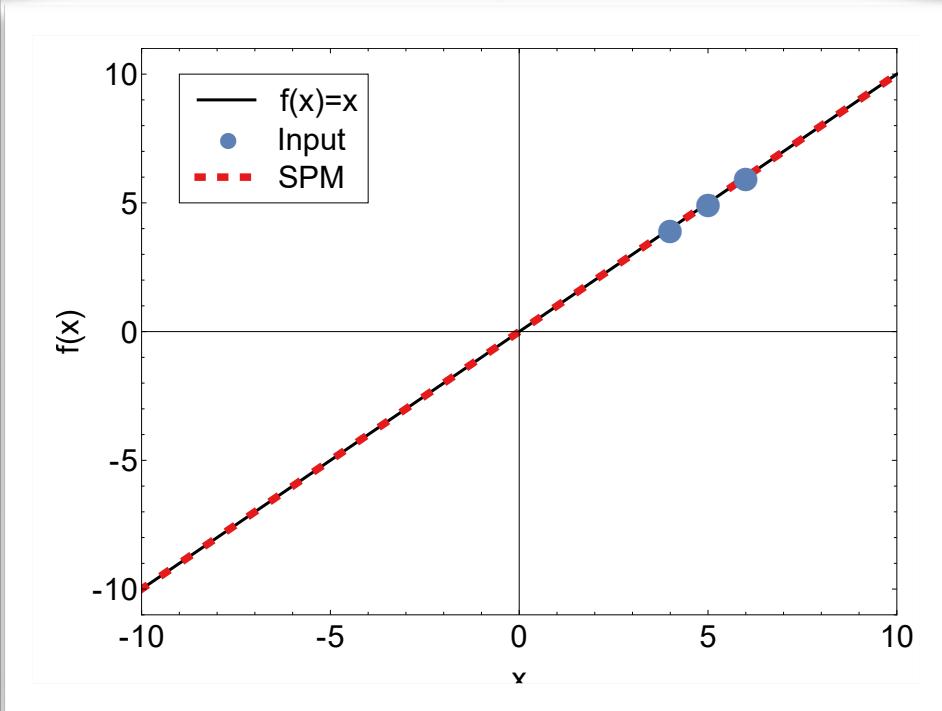
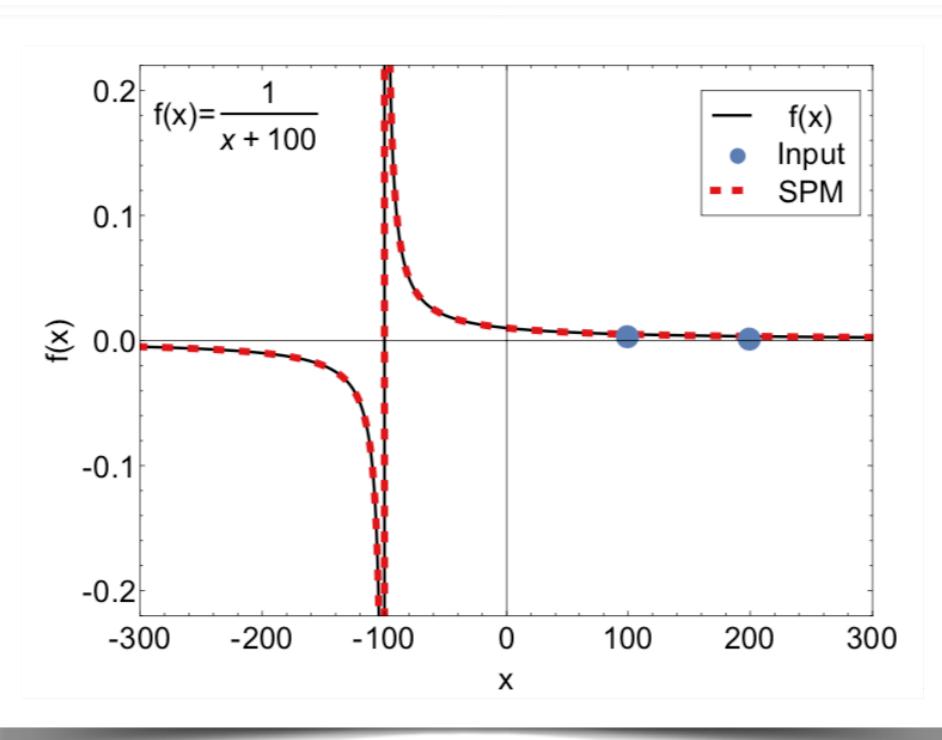
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use **bootstrap** procedure to generate replicas
accounting for statistical errors in data when
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$$(x_i, y_i, \sigma_i) \rightarrow (x_i, \mathcal{N}(y_i, \sigma_i))$$

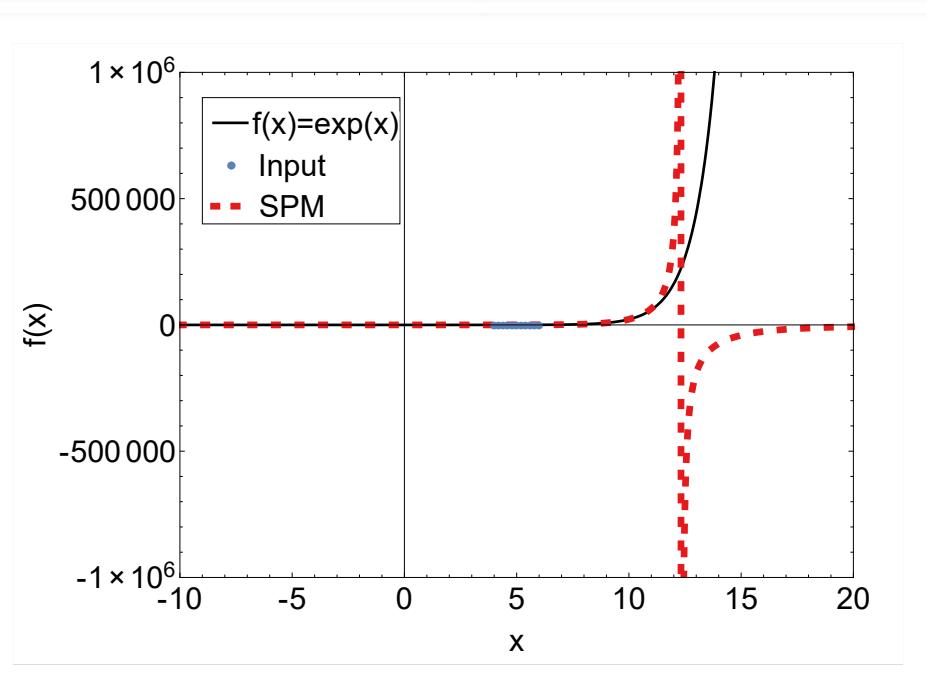
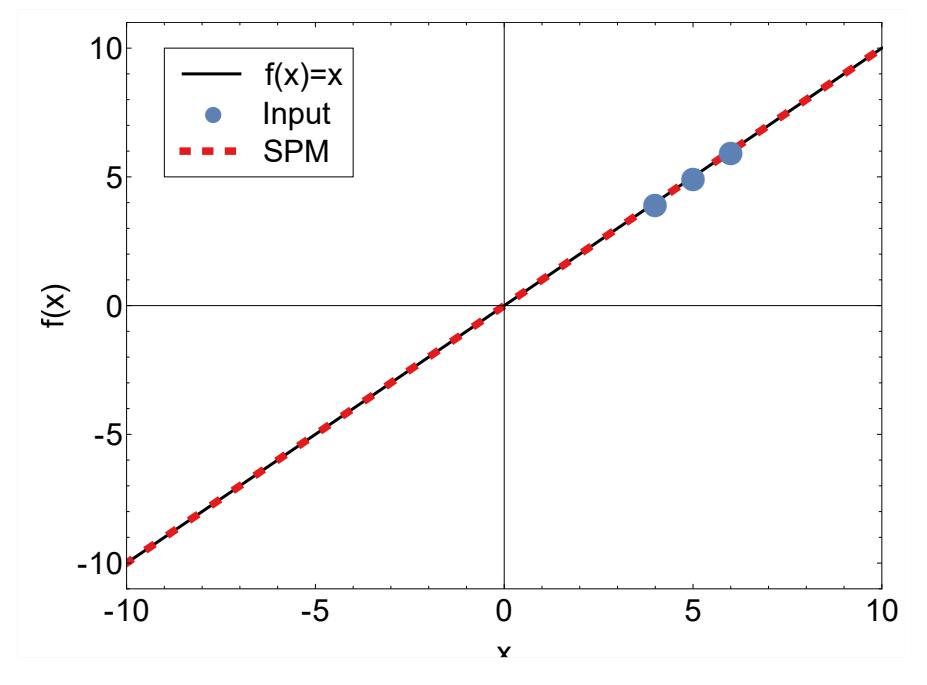
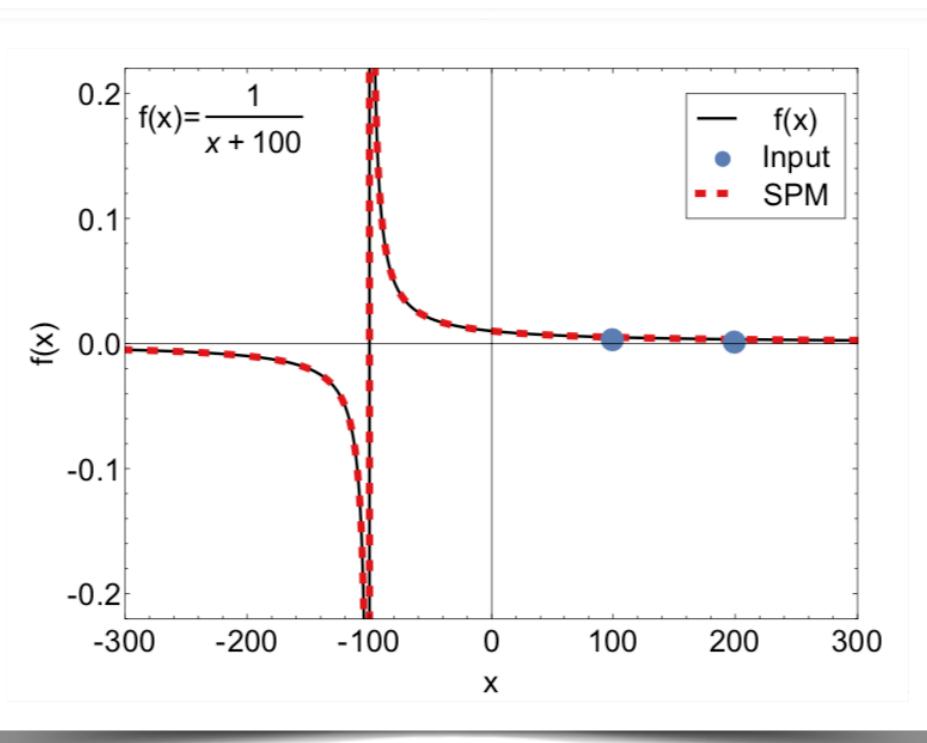
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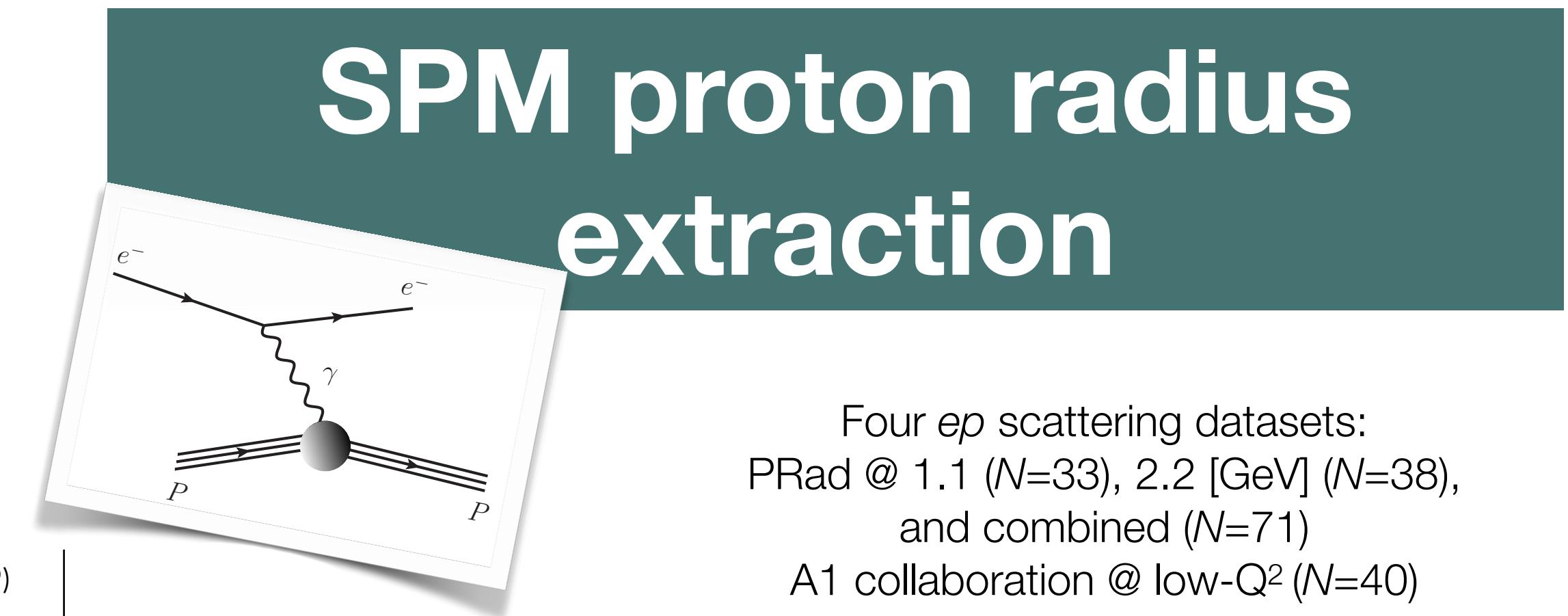
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Four ep scattering datasets:
PRad @ 1.1 (N=33), 2.2 [GeV] (N=38),
and combined (N=71)
A1 collaboration @ low-Q^2 (N=40)

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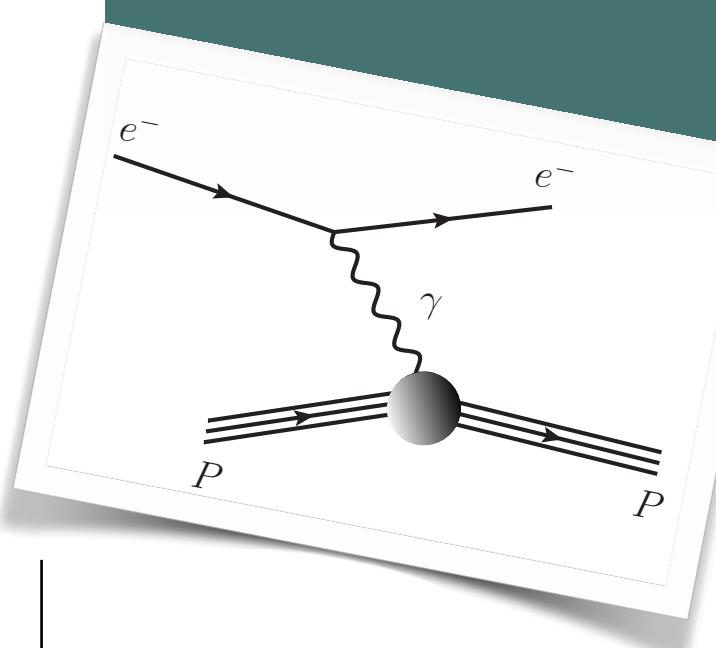
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Craven and Wahba, NM 31 (1978)

use **bootstrap** procedure to generate replicas
accounting for statistical errors in data when
extrapolating

$$(x_i, y_i, \sigma_i) \rightarrow (x_i, \mathcal{N}(y_i, \sigma_i))$$

SPM proton radius extraction



generate 10^3 replicas for the given experimental central values and error
smooth each replica with associated optimal λ
set $\{M_j = 5 + j \mid j = 1, \dots, n_M; n_M = 12\}$
fix M_j and get the first 5×10^3 monotonic SPM interpolators for each replica
determine the replicas' proton radius (averaging over the 5×10^3 curves)
construct the (normal) distribution of the 10^3 proton radii;
extract mean $r_p^{M_j}$ and standard deviation $\sigma_r^{M_j}$
final result

$$r_p \pm \sigma_r; \quad r_p = \sum_{j=1}^{n_M} \frac{r_p^{M_j}}{n_M}; \quad \sigma_r = \left[\sum_{j=1}^{n_M} \frac{(\sigma_r^{M_j})^2}{n_M^2} + \sigma_{\delta M}^2 \right]^{\frac{1}{2}}$$

standard deviation
of $r_p^{M_j}$ distribution

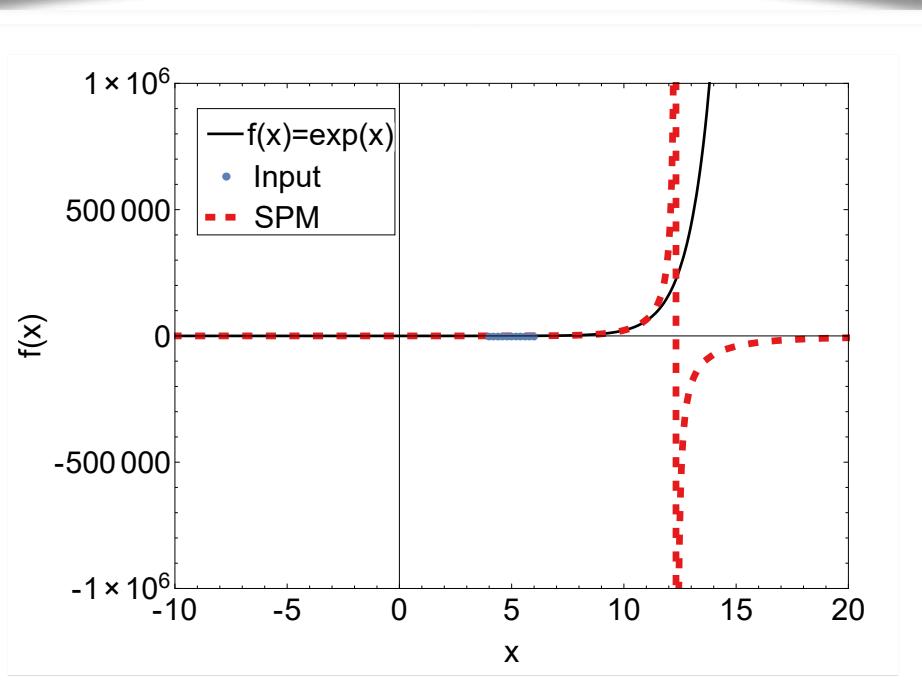
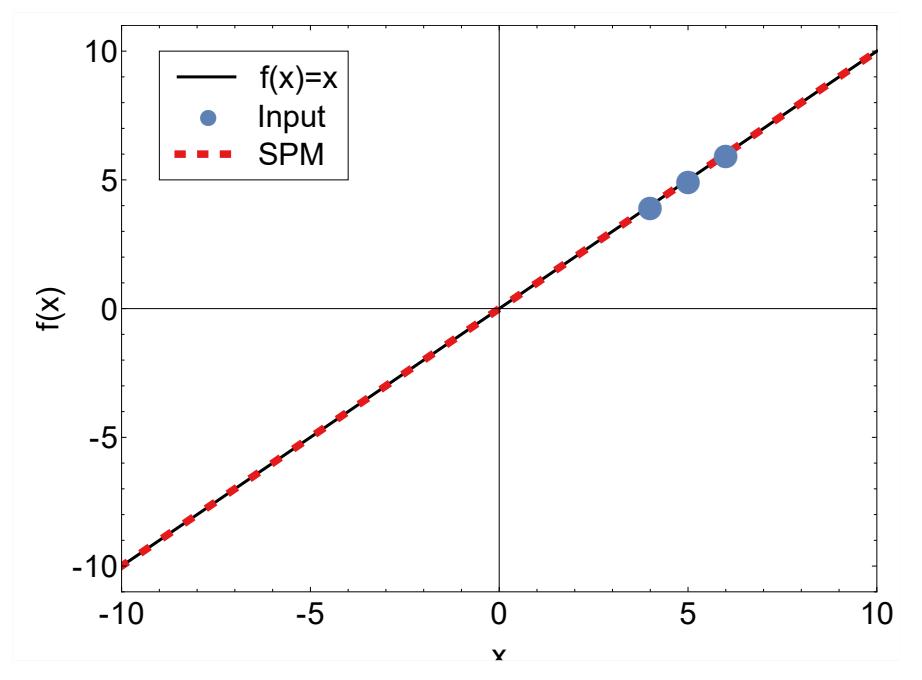
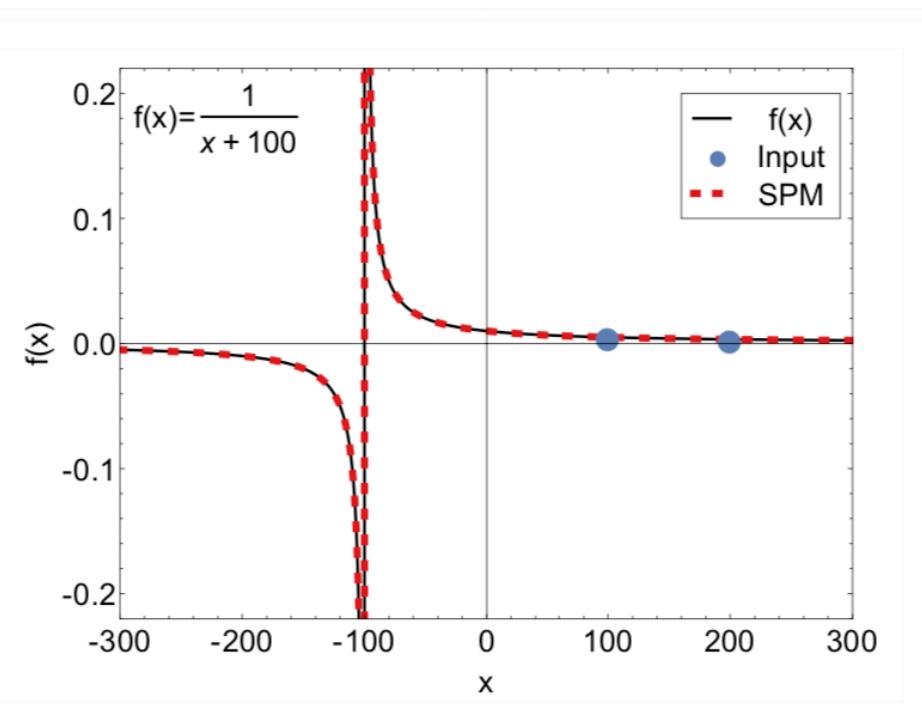
SPM AND SMOOTHING

$$D = \{(x_i, y_i = f(x_i)), i = 1, \dots, N\}$$

$$C_N(x) = \frac{y_1}{1+} \frac{a_1(x - x_1)}{1+} \frac{a_2(x - x_2)}{1+} \dots \frac{a_{N-1}(x - x_{N-1})}{1}$$

Schlessinger, PR 167 (1968)

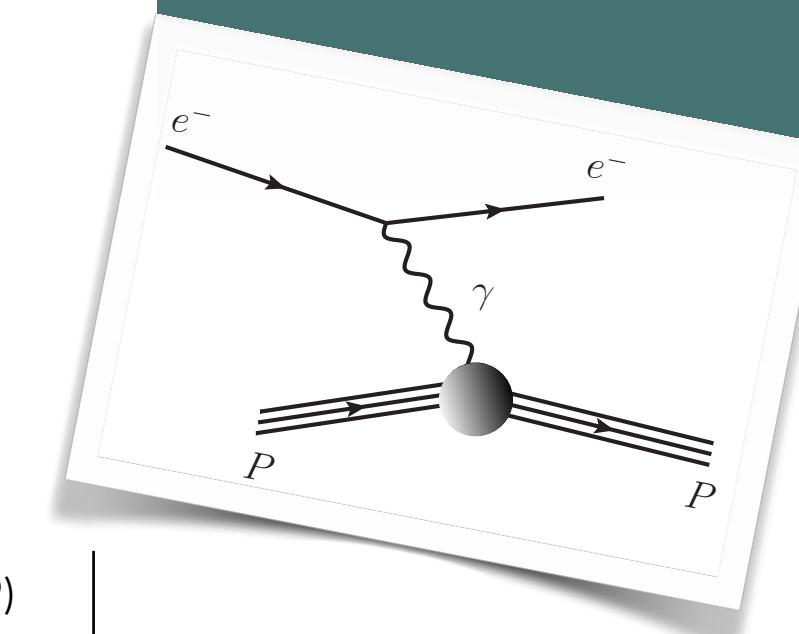
elementary (functions) examples



LARGE DATASETS

randomly choose $4 < M \lesssim N/2$ points
reduce (binomial) number of interpolators
introducing **physical constraints**
(absence of poles)

Chen et al., PRD 99 (2019)



IN THE PRESENCE OF ERRORS?

direct interpolation does not work
requires **smoothing** with **roughness penalty**:

$$P(g, \lambda) = \lambda \sum_{i=1}^{\ell} [y_i - g(x_i)]^2 + (1 - \lambda) \int_a^b dx [g''(x)]^2$$

smoothing par. data fidelity roughness penalty

THEOREM:

g is the *natural spline* interpolant
of nodes $\{x_i\}$

Reinsch, NM 10 (1967)

optimal smoothing parameter determined via
generalised cross validation

Craven and Wahba, NM 31 (1978)

use **bootstrap** procedure to generate replicas
accounting for statistical errors in data when
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$$(x_i, y_i, \sigma_i) \rightarrow (x_i, \mathcal{N}(y_i, \sigma_i))$$

SPM proton radius extraction

Four ep scattering datasets:
PRad @ 1.1 (N=33), 2.2 [GeV] (N=38),
and combined (N=71)
A1 collaboration @ low-Q² (N=40)

generate 10^3 replicas for the given experimental central values and error
smooth each replica with associated optimal λ
set $\{M_j = 5 + j \mid j = 1, \dots, n_M; n_M = 12\}$
fix M_j and get the first 5×10^3 monotonic SPM interpolators for each replica
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standard deviation
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SPM AND **SMOOTHING** **VALIDATION**

does it really work?

is it robust?

*If you want to disprove large radius,
show you can replicate it*

SPM AND SMOOTHING VALIDATION

does it really work?

is it robust?

*If you want to disprove large radius,
show you can replicate it*

build elastic form factor **replicas** of **known radius** r_p^*

GE_p GENERATORS

Use generators from a variety of models

functional forms (3): monopole, dipole, Gaussian

parametrisations of experimental data (5)

“real-world” calculations (1)

Yan et al., PRC 98 (2018)

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CHECKS

$\forall M$ /generators/kinematics:
Gaussianity of r_p distribution
robustness of r_p extraction

bias

Root Mean Square Error

$$\delta r_p = r_p - r_p^* \quad \sigma_r \quad \text{RMSE} = \sqrt{\delta r_p^2 + \sigma_r^2}$$

standard deviation

r_p extraction robust if

$$|\delta r_p| < \sigma_r$$

RMSE independent from generator

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experimental kinematics:
PRad (3), A1 low- Q^2 (1)

generators

replicas/kinematic

interpolators/replica

M_i

4

9

1,000

5,000

12

=

total interpolators **2,160,000,000**

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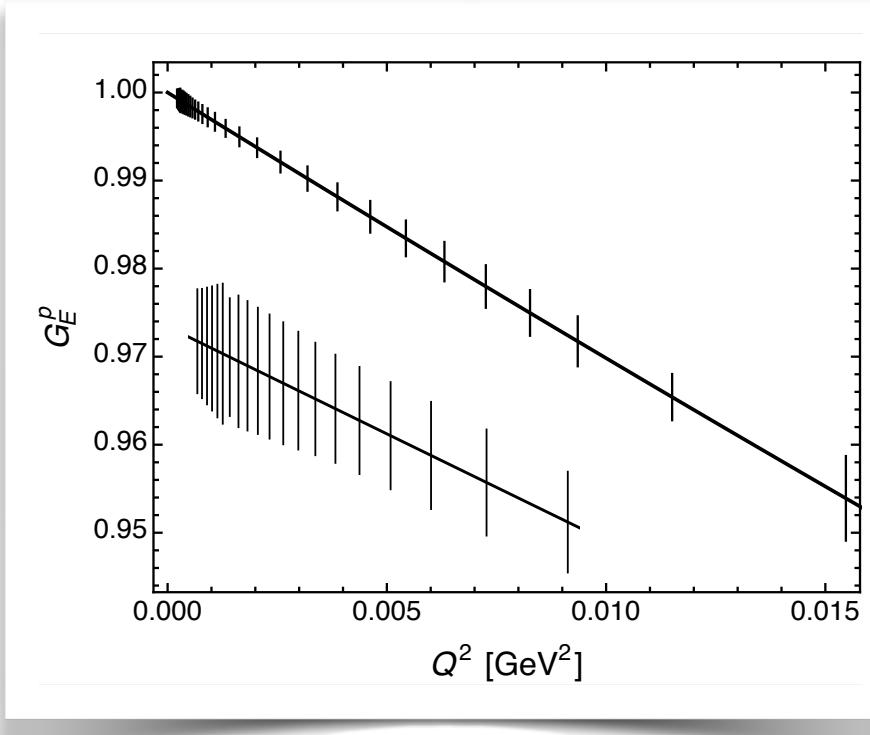
1,000

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EXAMPLE: 1.1 GeV kinematics

DIPOLE, $M=6$

SPM AND SMOOTHING VALIDATION

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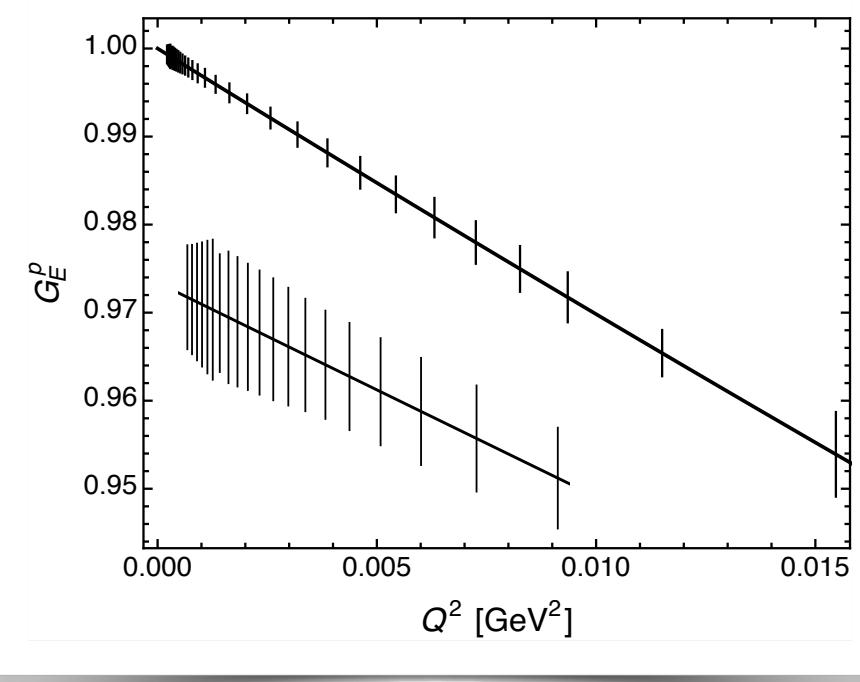
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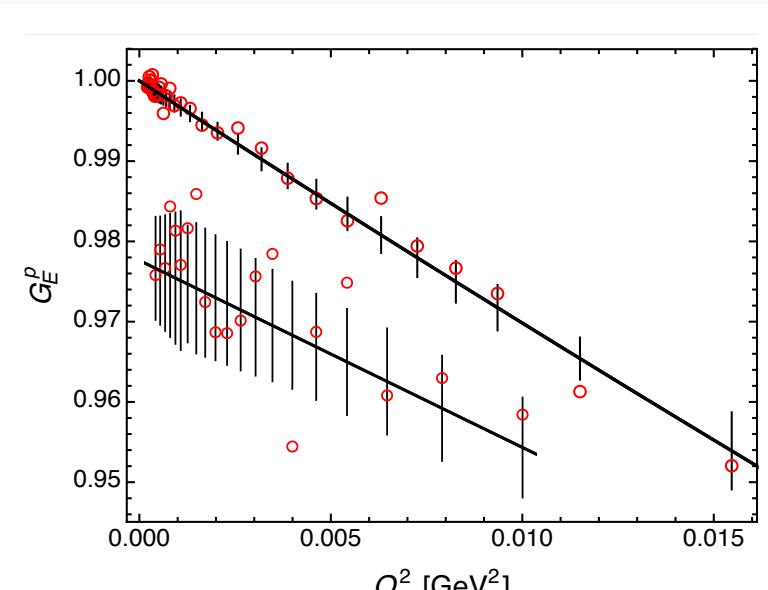
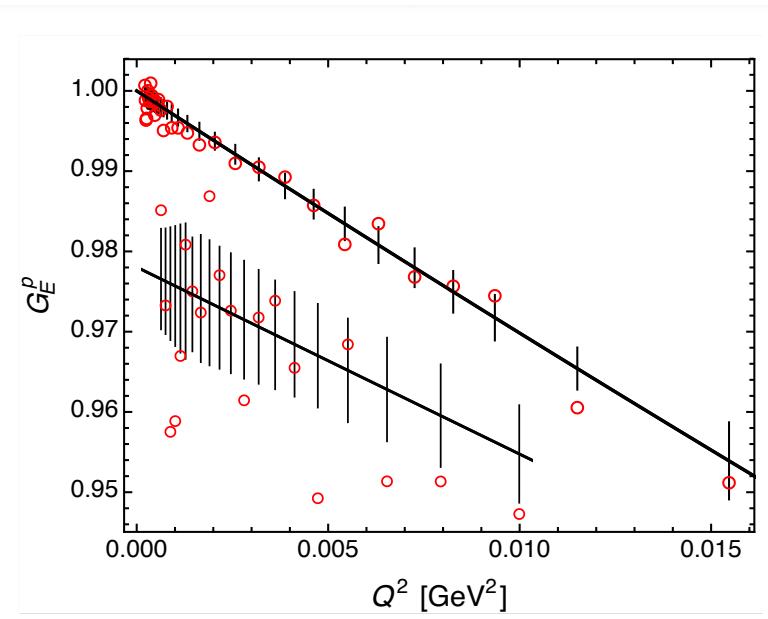
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replicas/kinematic

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$$M_i$$

4

9

1,000

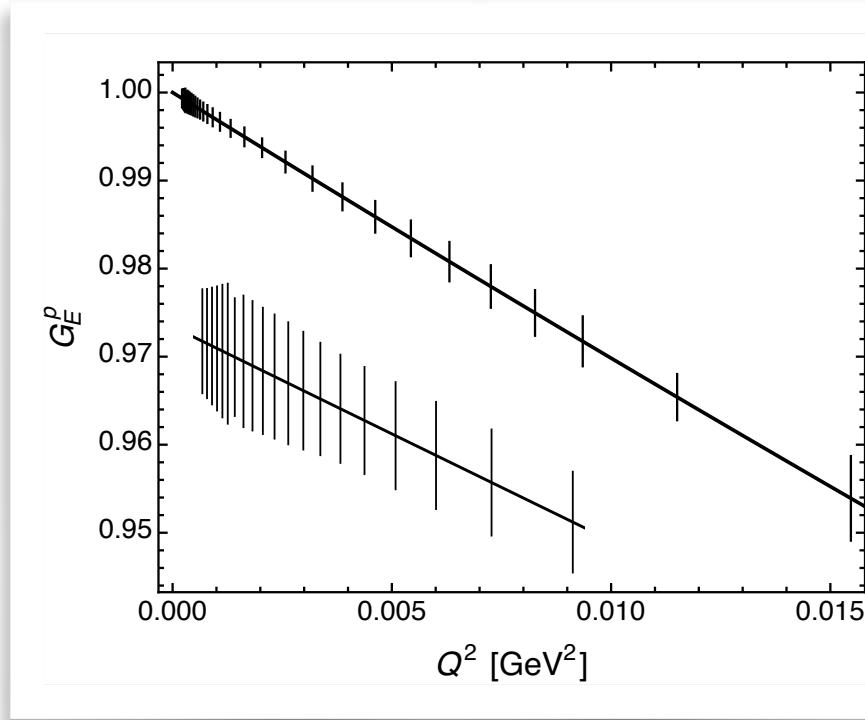
5,000

12

=

total interpolators

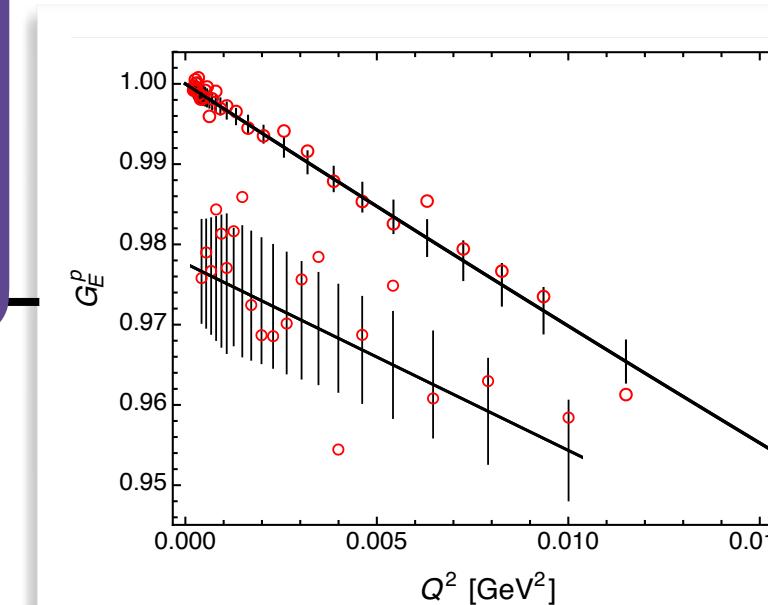
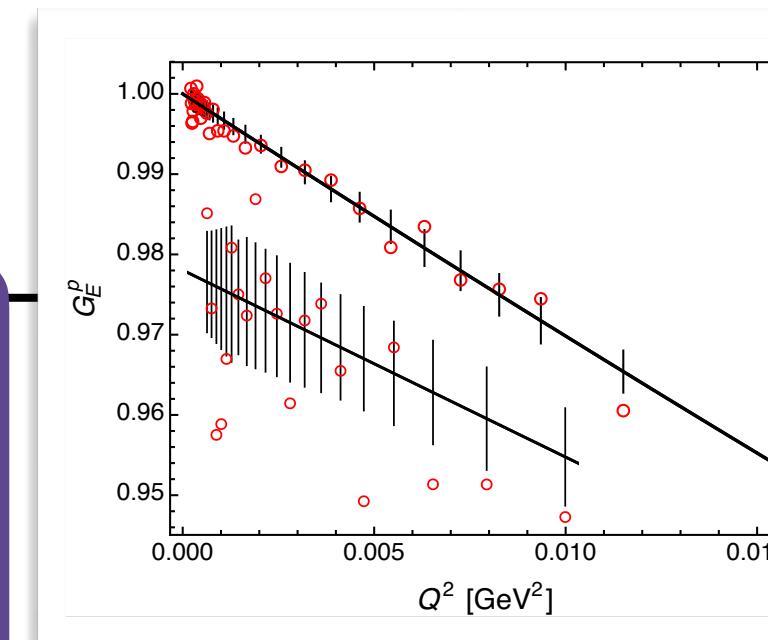
2,160,000,000



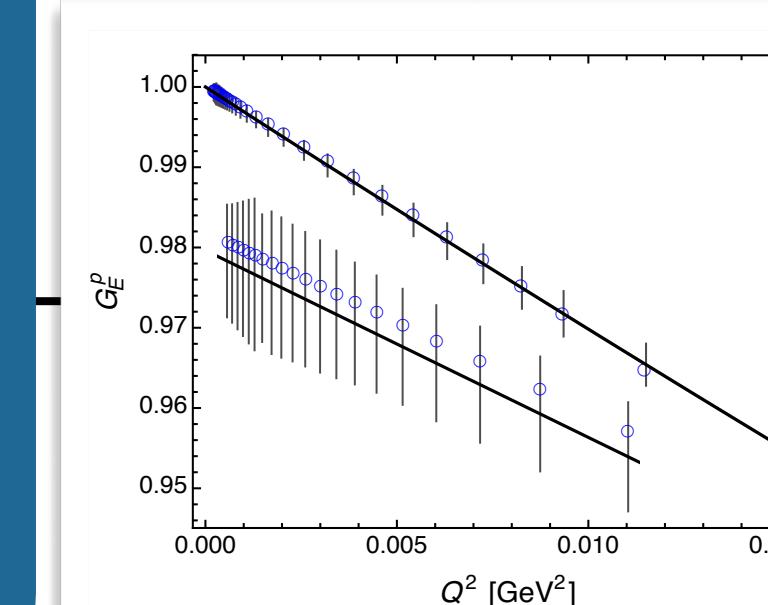
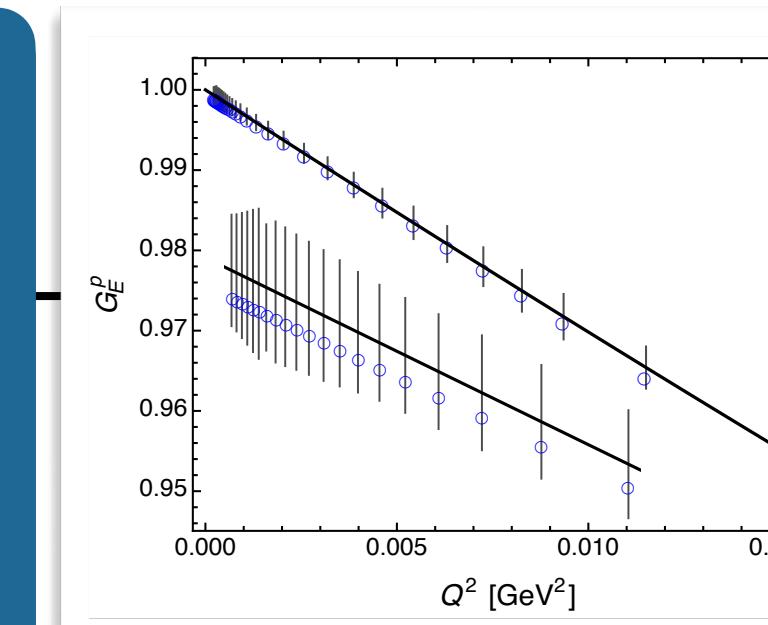
EXAMPLE: 1.1 GeV kinematics

DIPOLE, $M=6$

Generate replicas



Determine/apply optimal smoothing parameters



SPM AND SMOOTHING VALIDATION

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RMSE independent from generator

experimental kinematics:
PRad (3), A1 low- Q^2 (1)

4

x

generators

9

x

replicas/kinematic

1,000

x

interpolators/replica

5,000

x

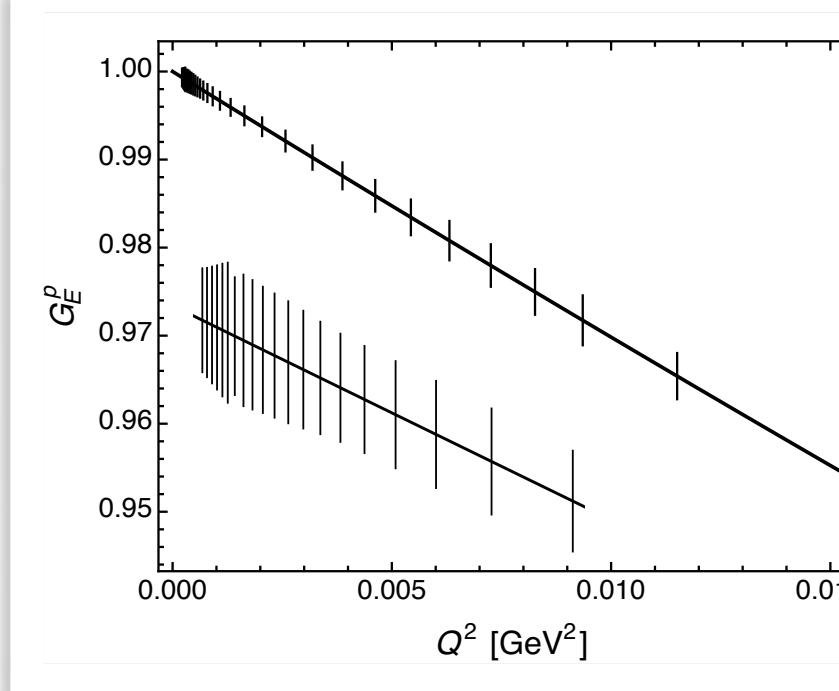
M_j

12

=

total interpolators

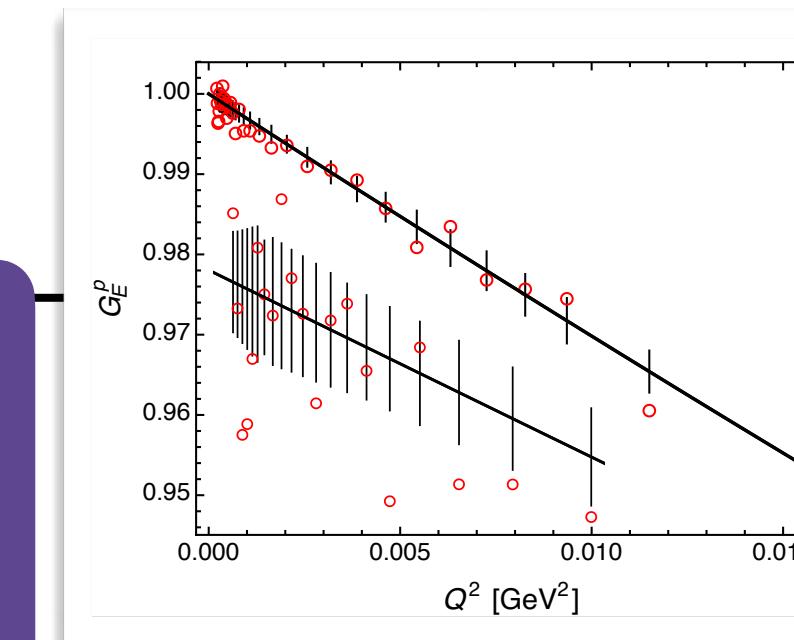
2,160,000,000



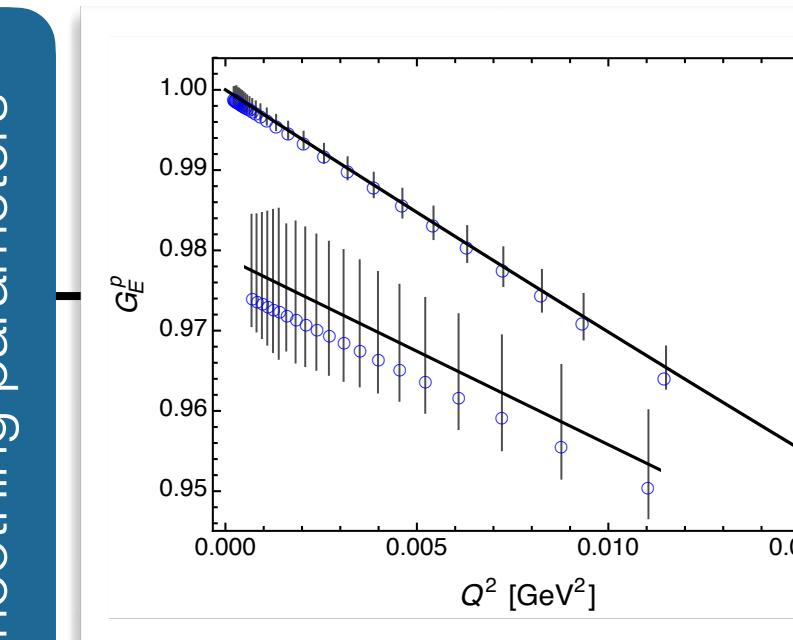
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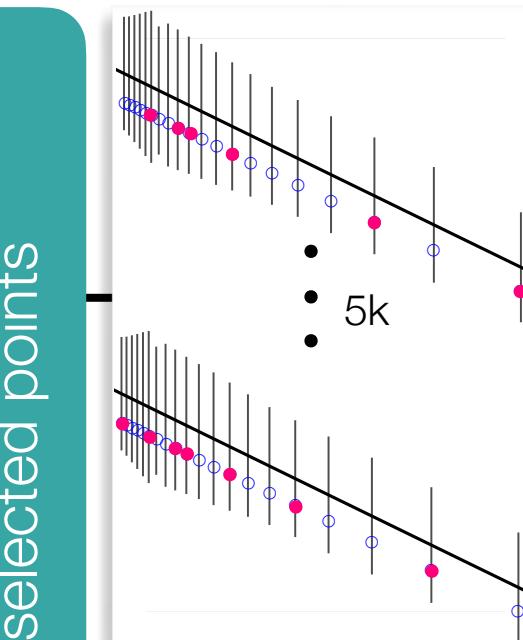
Generate replicas



Determine/apply optimal smoothing parameters



SPM on M randomly selected points



SPM AND SMOOTHING VALIDATION

does it really work?
is it robust?
*If you want to disprove large radius,
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Yan et al., PRC 98 (2018)

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$$RMSE = \sqrt{\delta r_p^2 + \sigma_r^2}$$

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experimental kinematics:
PRad (3), A1 low- Q^2 (1)

generators

replicas/kinematic

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$$M_j$$

4

9

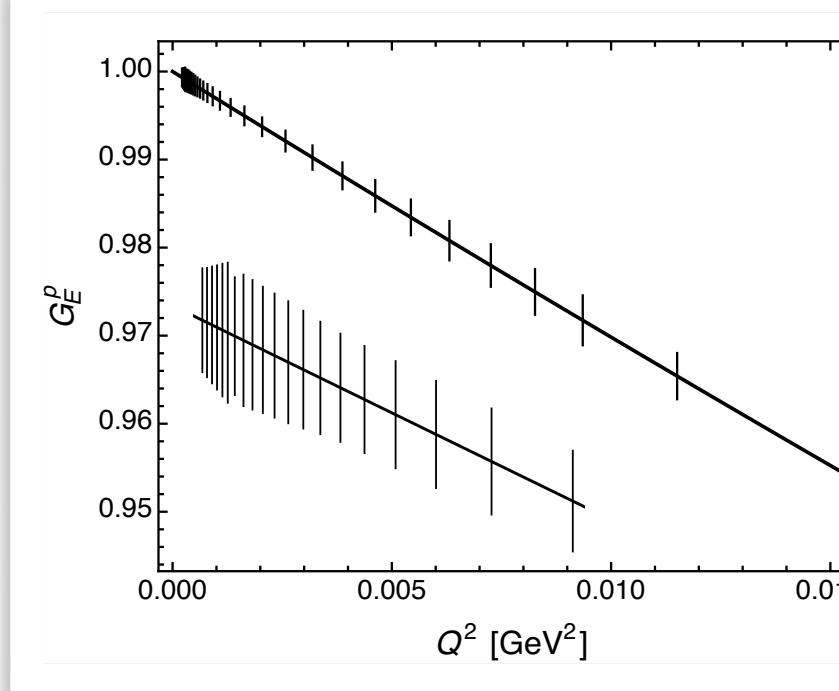
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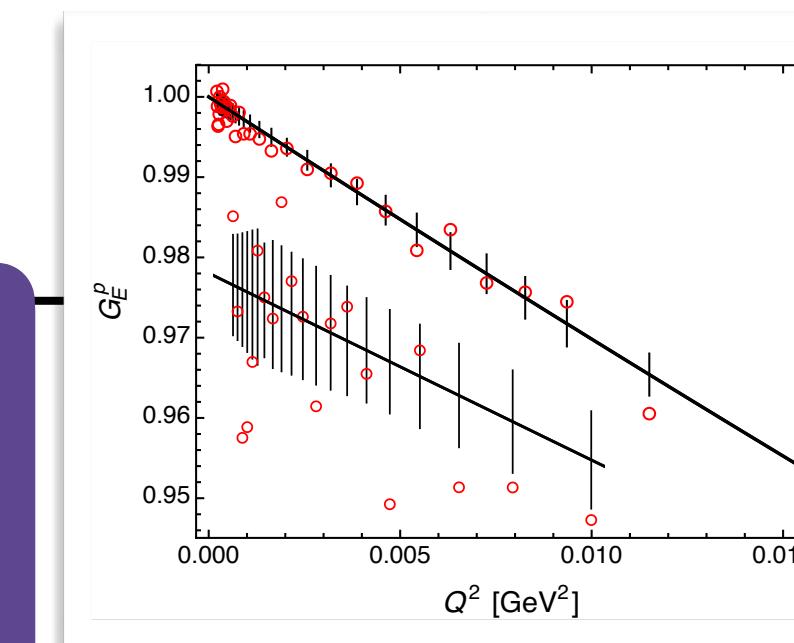
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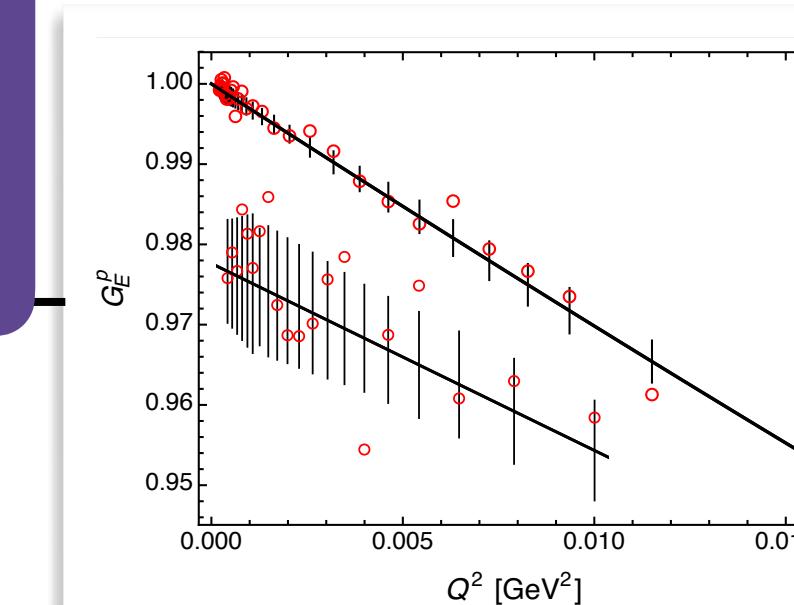
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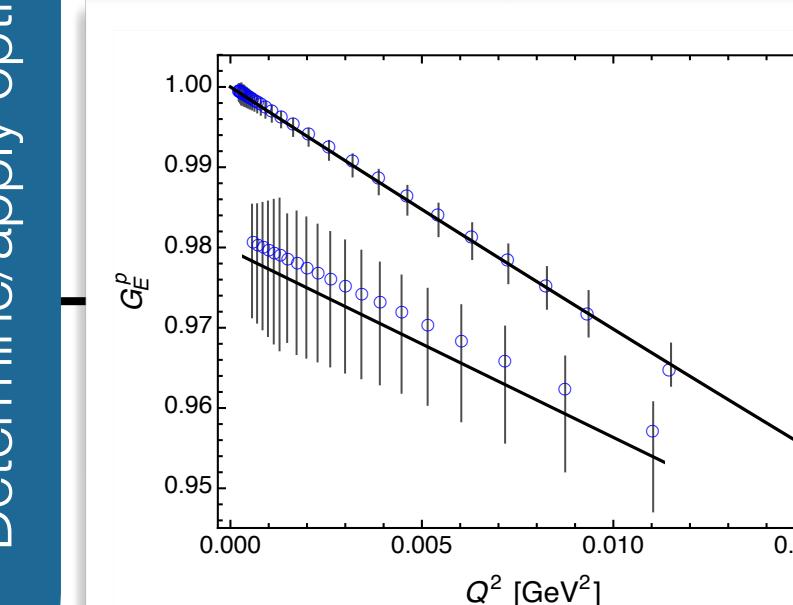
Generate replicas



Determine/apply optimal smoothing parameters



SPM on M randomly selected points



Evaluate replicas' mean SPM radius

$$r_p^{M_j}$$

•
• 1k
•

$$r_p^{M_j}$$

SPM AND SMOOTHING VALIDATION

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Yan et al., PRC 98 (2018)

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4

9

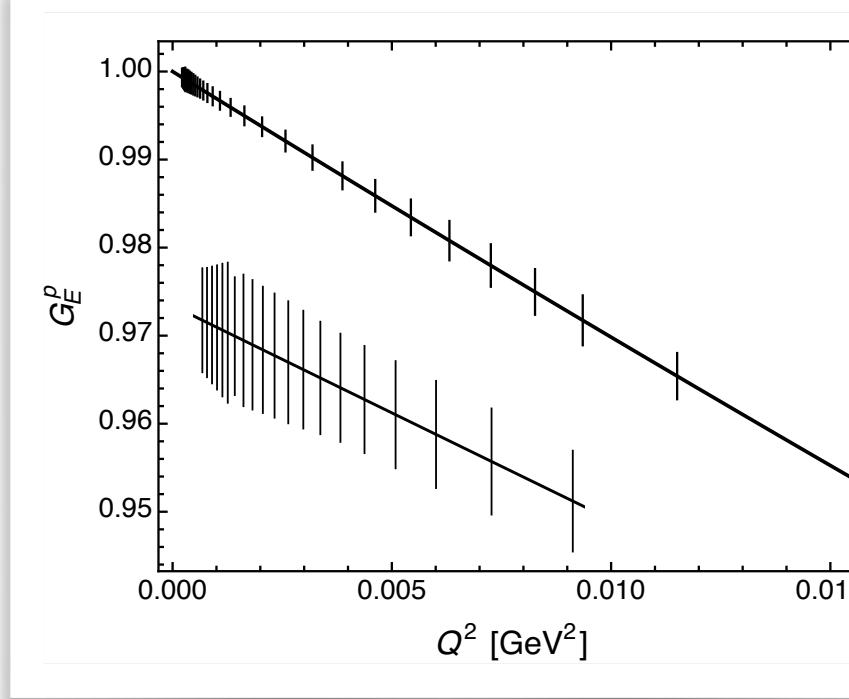
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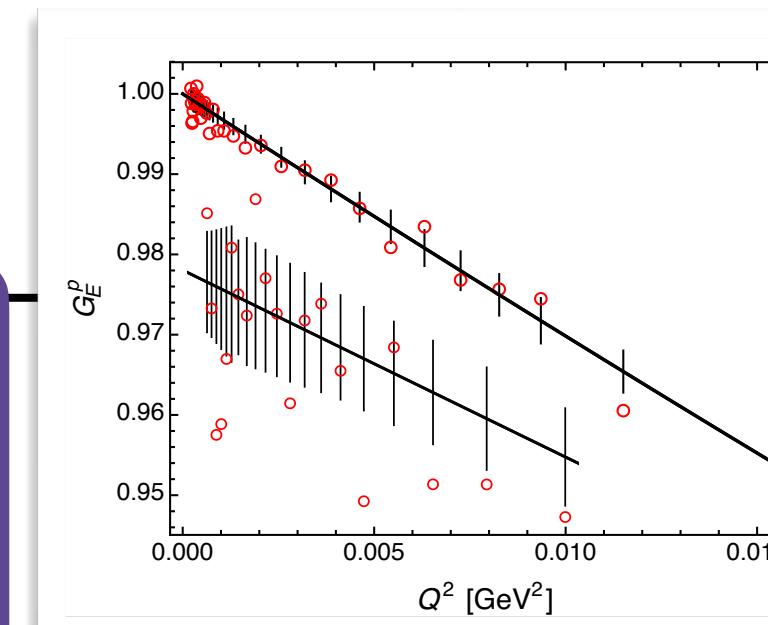
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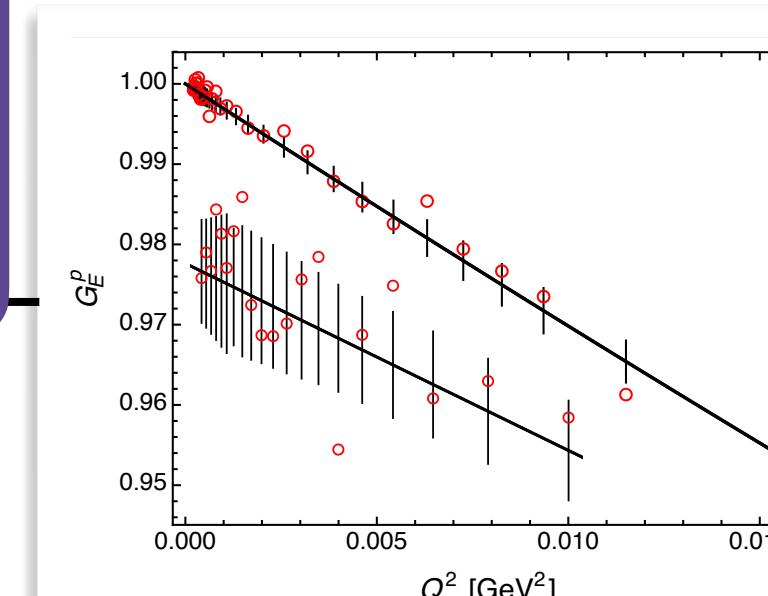
EXAMPLE: 1.1GeV kinematics

DIPOLE, $M=6$

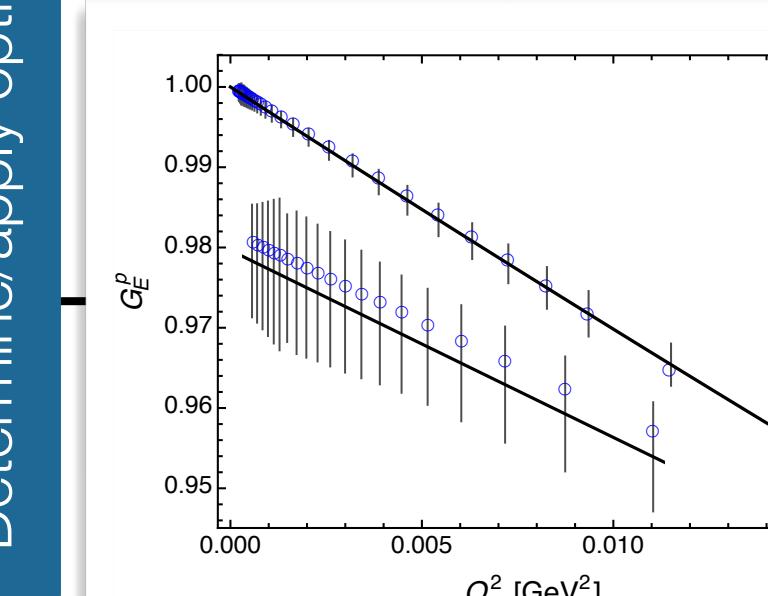
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Determine/apply optimal smoothing parameters

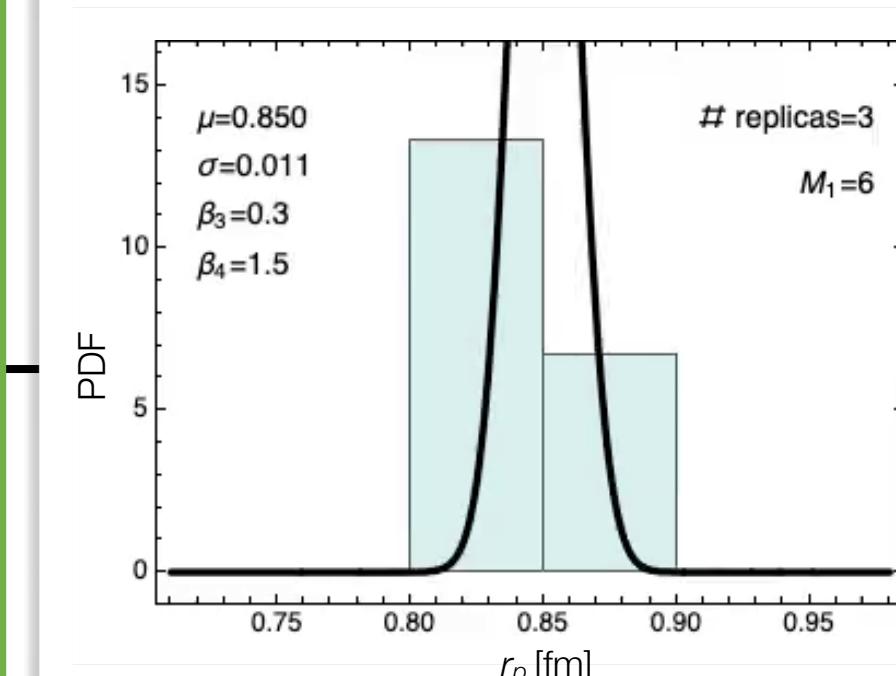


SPM on M randomly selected points



Evaluate replicas' mean SPM radius

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total interpolators **2,160,000,000**

4 \times

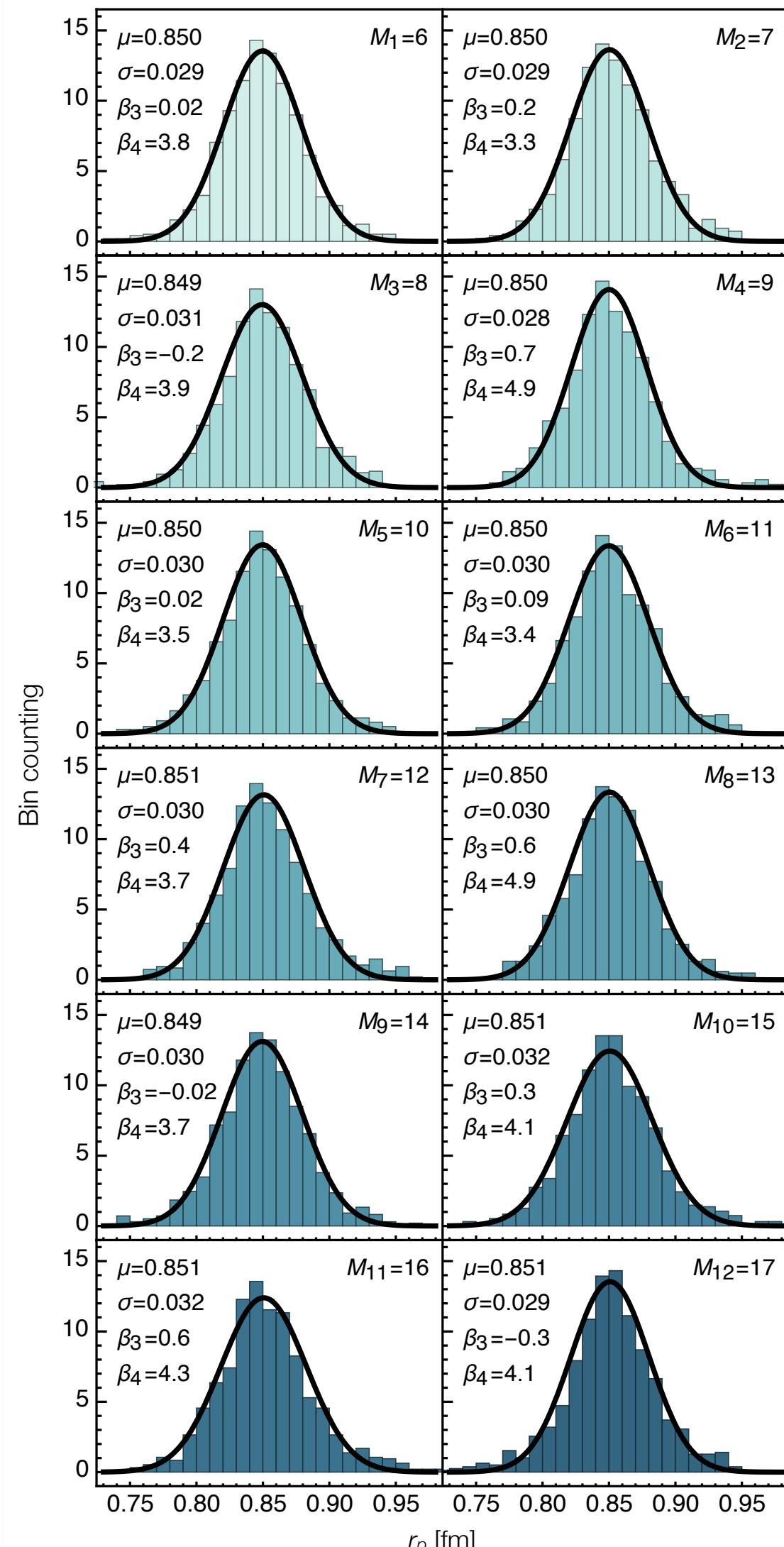
9 \times

1,000 \times

5,000 \times

12 $=$

A



SPM AND SMOOTHING VALIDATION

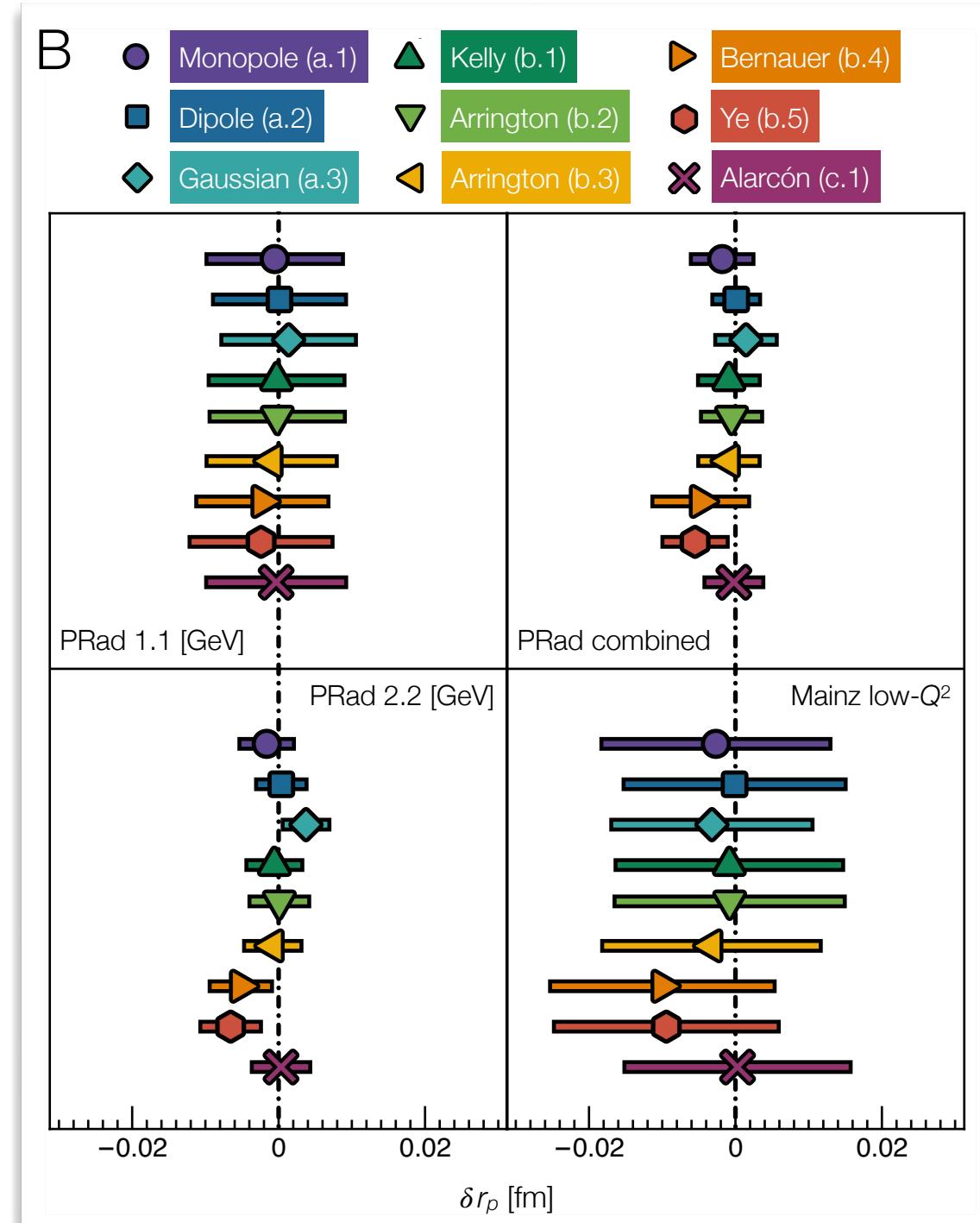
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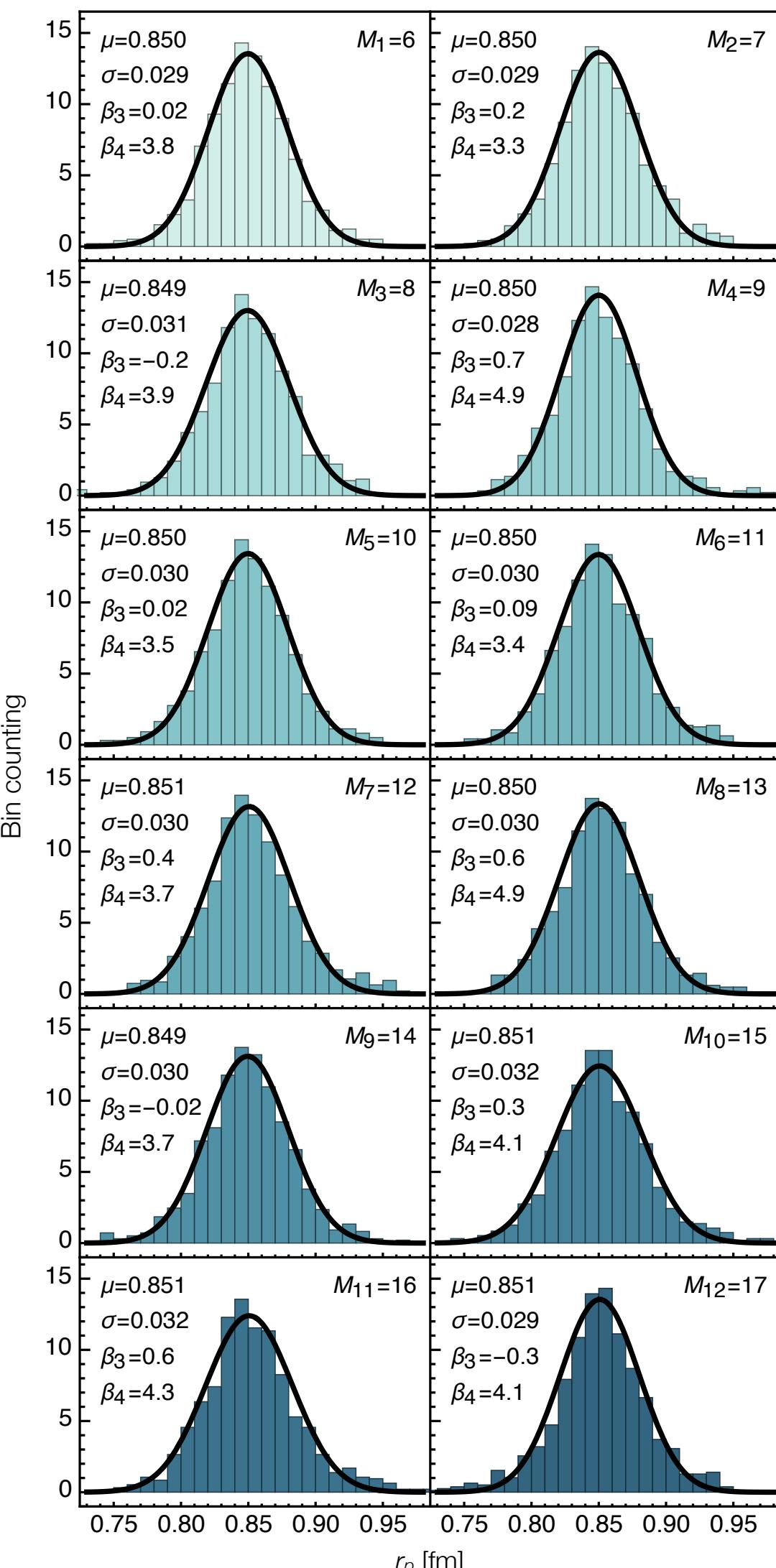
replicas/kinematic

interpolators/replica

M_j

total interpolators **2,160,000,000**

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SPM AND SMOOTHING VALIDATION

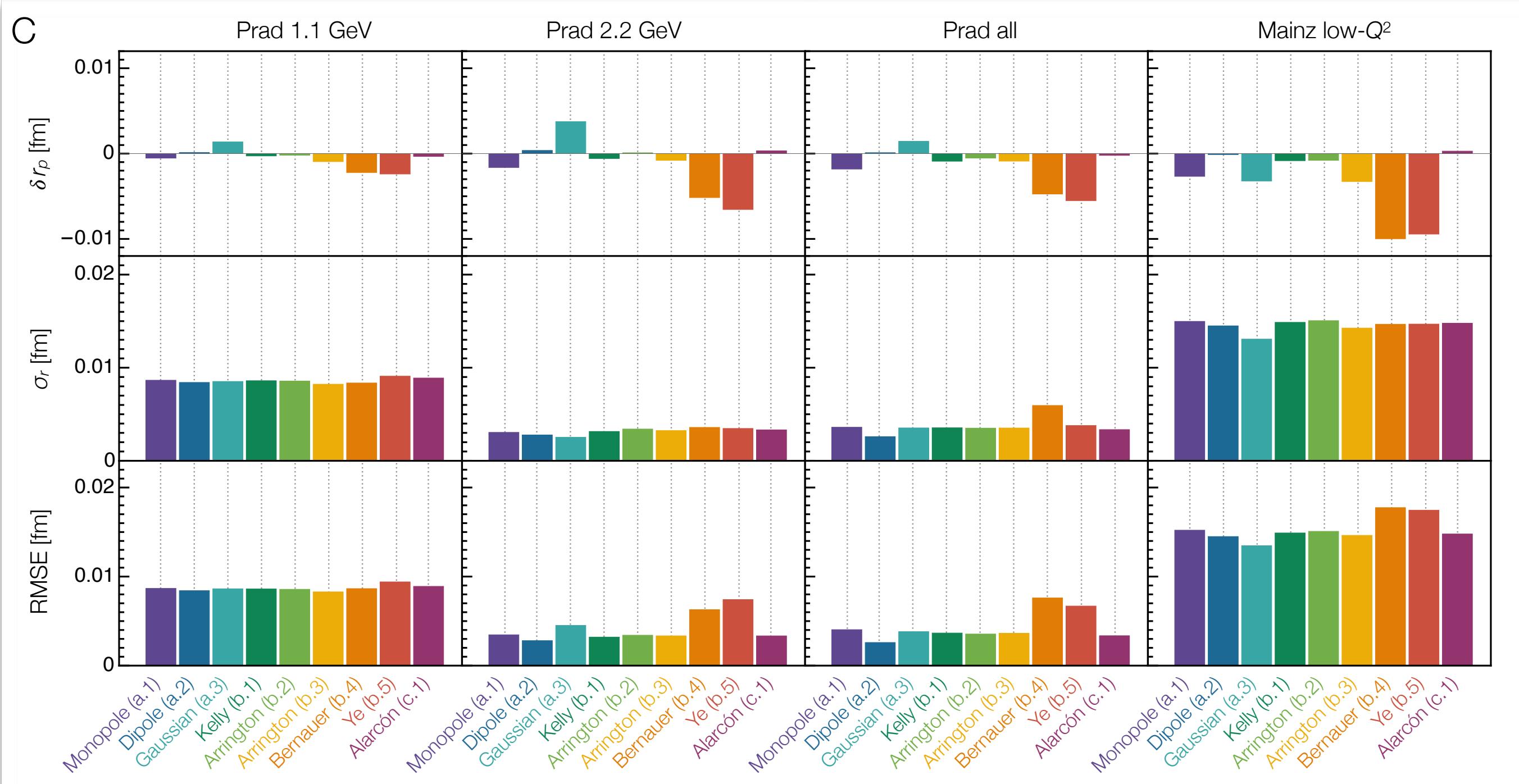
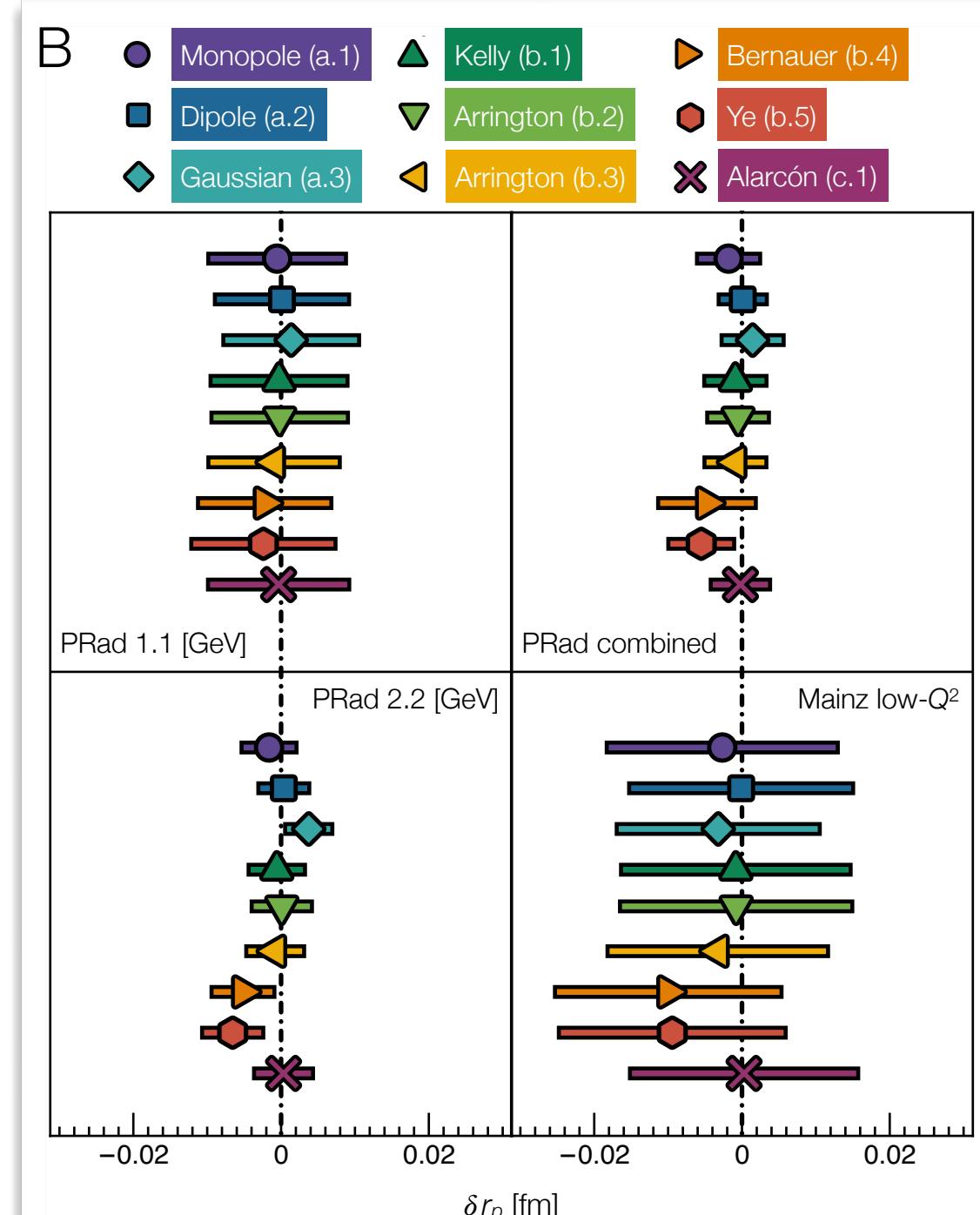
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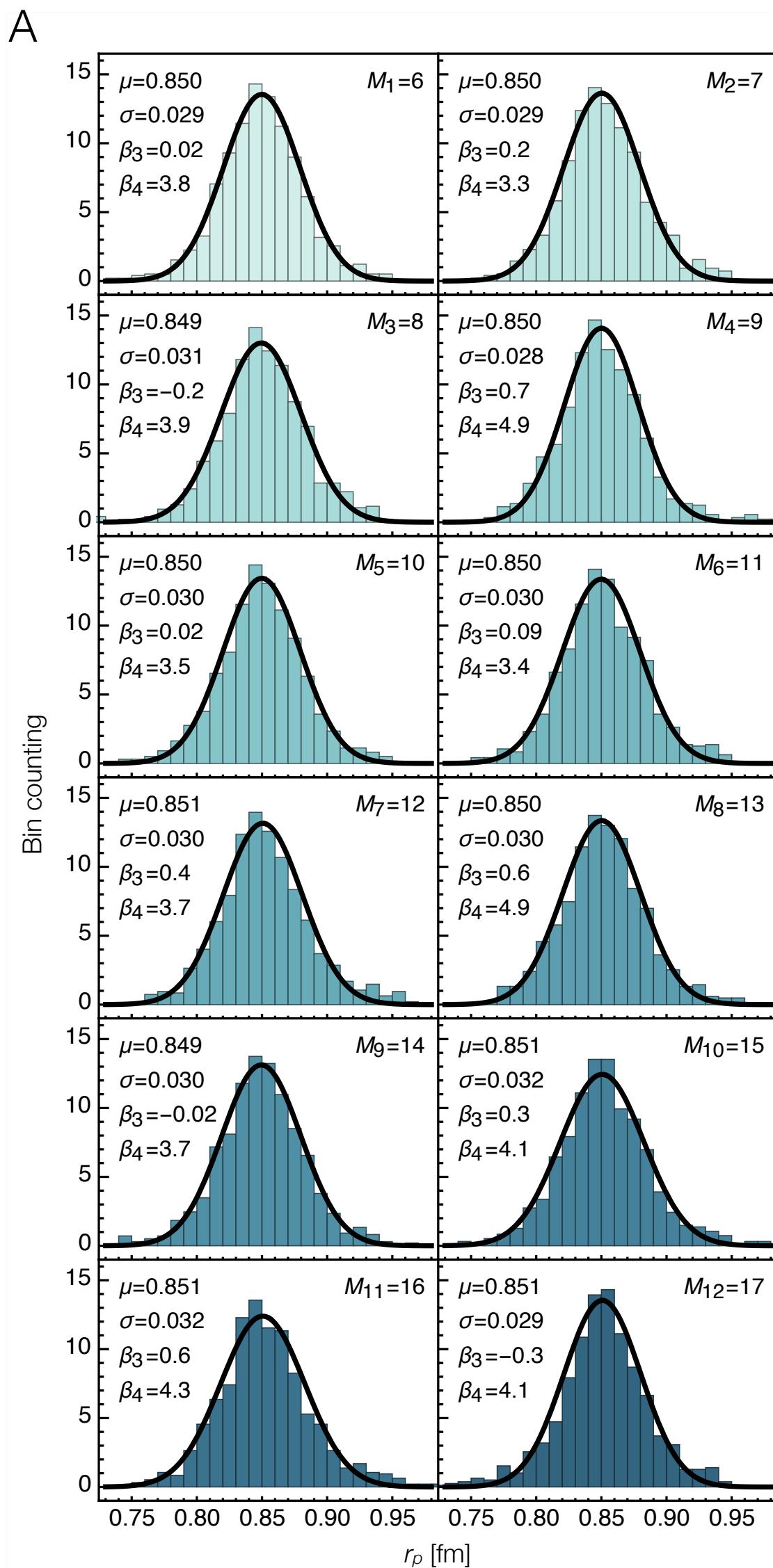
Yan et al., PRC 98 (2018)



CHECKS

∀ M/generators/kinematics:
Gaussianity of r_p distribution
robustness of r_p extraction

experimental kinematics:	PRad (3), A1 low- Q^2 (1)	4	×
generators		9	×
replicas/kinematic		1,000	×
interpolators/replica		5,000	×
M_j		12	=
total interpolators		2,160,000,000	



SPM AND SMOOTHING VALIDATION

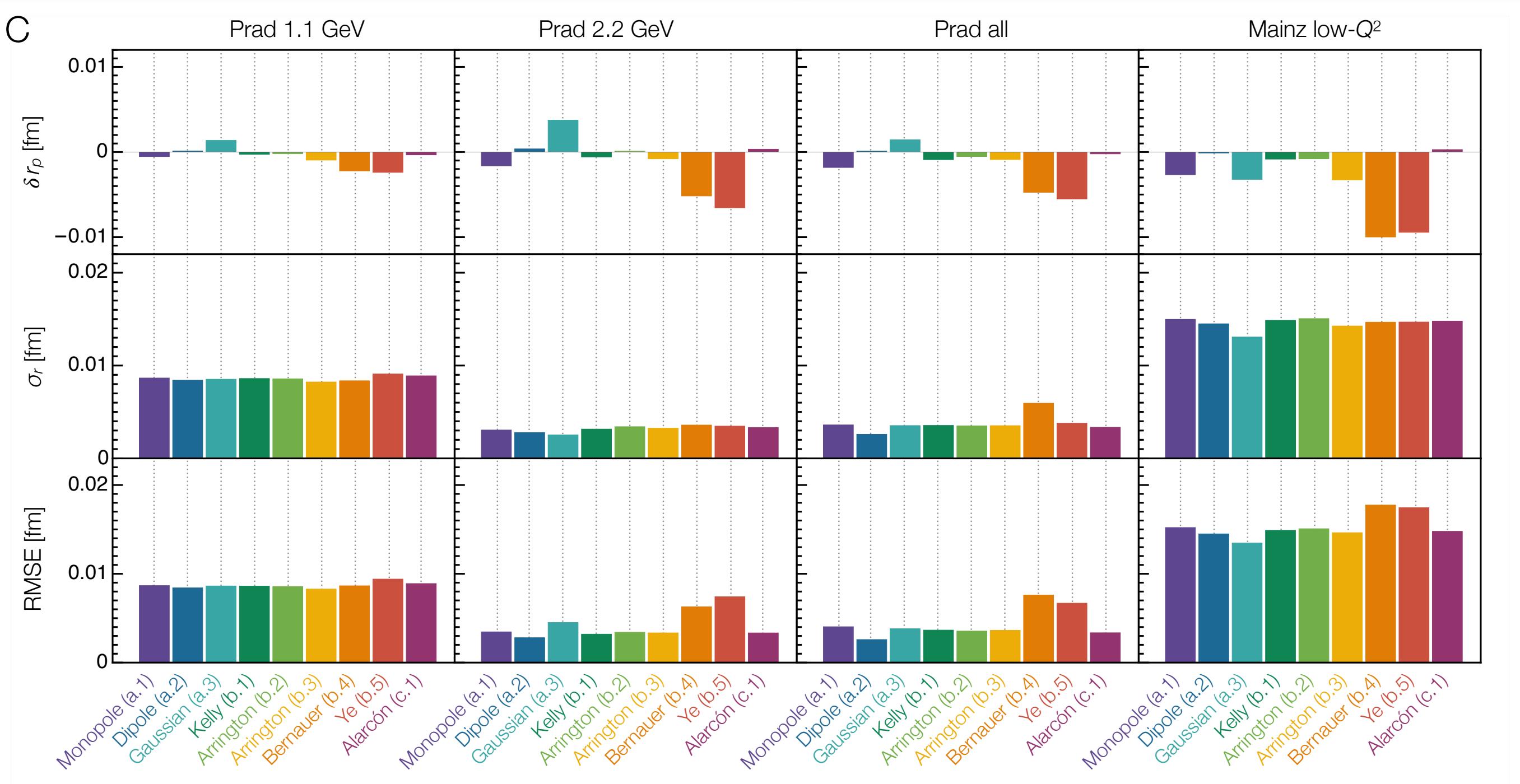
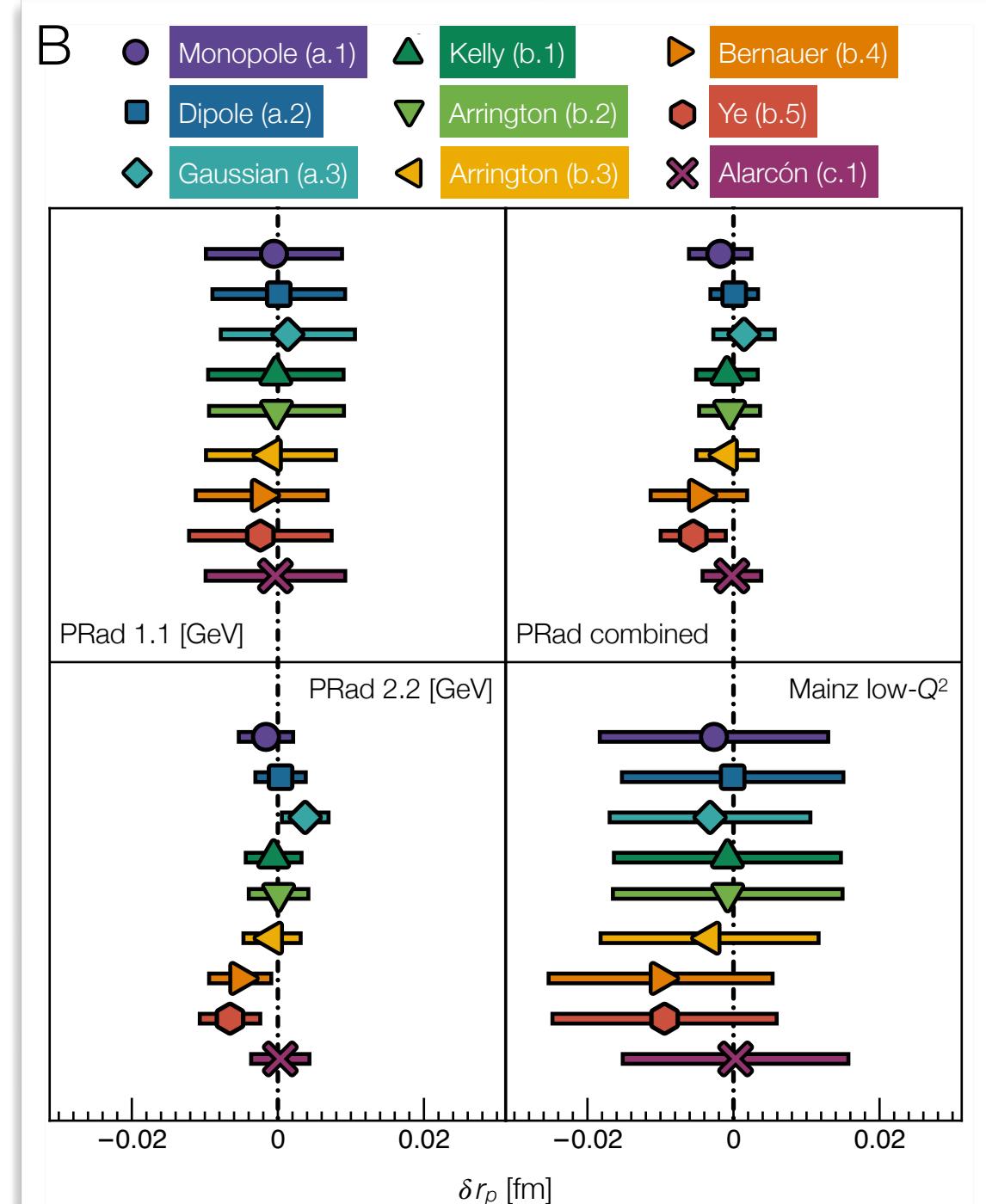
does it really work? ✓
is it robust? ✓
*If you want to disprove large radius,
show you can replicate it*

build elastic form factor **replicas** of known radius r_p^*

GE_p GENERATORS

Use generators from a variety of models
functional forms (3): monopole, dipole, Gaussian
parametrisations of experimental data (5)
“real-world” calculations (1)

Yan et al., PRC 98 (2018)



CHECKS

∀ M/generators/kinematics:
Gaussianity of r_p distribution
robustness of r_p extraction

bias

$$\delta r_p = r_p - r_p^* \quad \sigma_r \quad \text{RMSE} = \sqrt{\delta r_p^2 + \sigma_r^2}$$

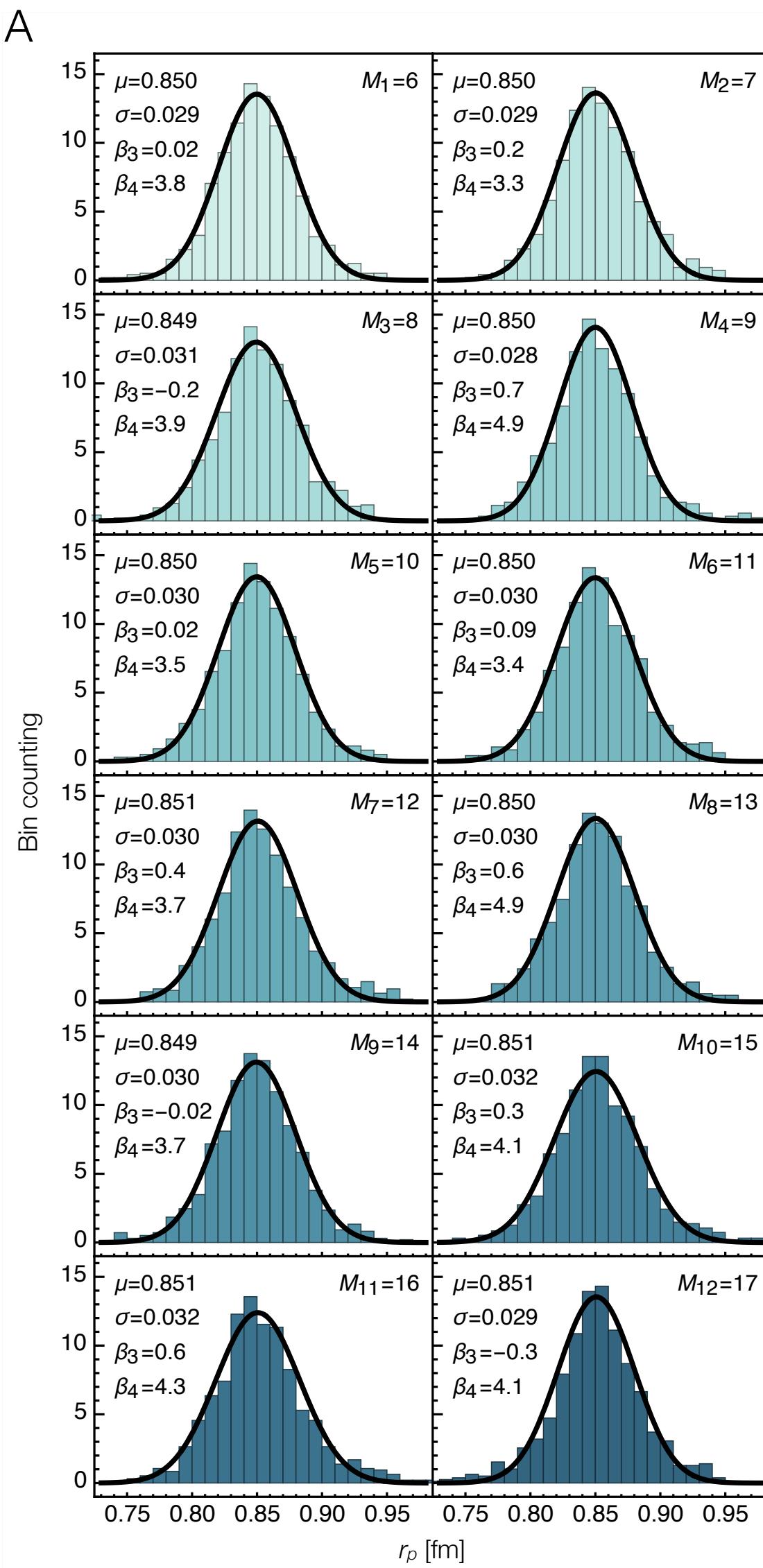
standard deviation

r_p extraction robust if
 $|\delta r_p| < \sigma_r$

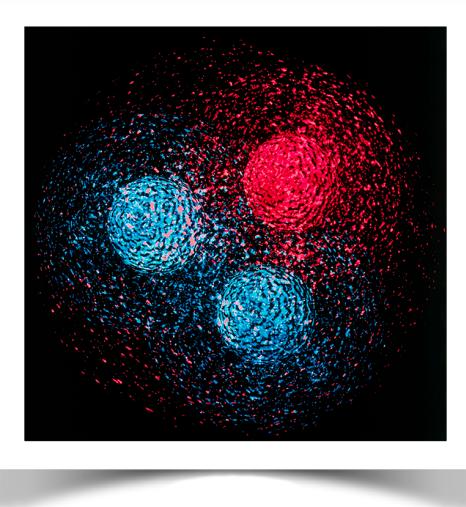
RMSE independent from generator

SPM is ROBUST

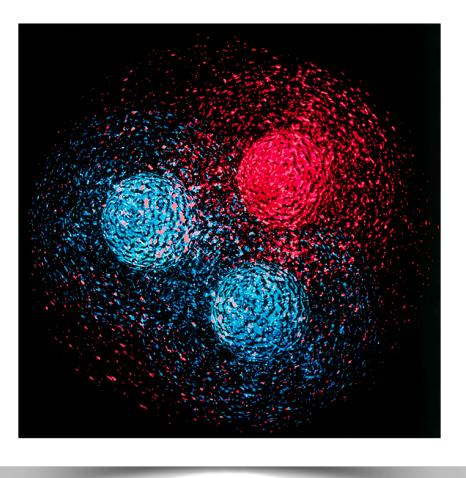
experimental kinematics: PRad (3), A1 low- Q^2 (1)	4	✗
generators	9	✗
replicas/kinematic	1,000	✗
interpolators/replica	5,000	✗
M_j	12	=
total interpolators	2,160,000,000	



Proton SPM RADIUS



Proton SPM RADIUS



① PRad DATA

lowest yet achieved
momentum transferred

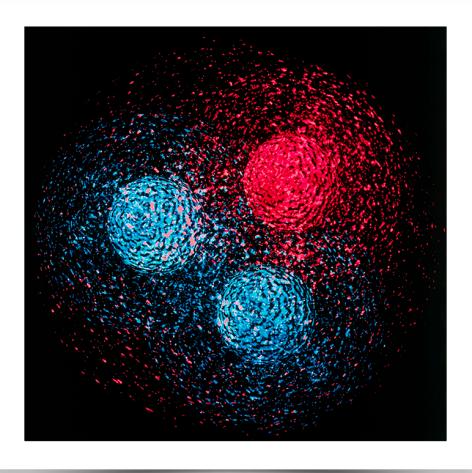
$$2.1 \times 10^{-4} \leq Q^2/[\text{GeV}^2] \leq 6 \times 10^{-2}$$

two datasets at different energy beams
1.1 and 2.2 [GeV]

$$r_p^{1.1} = 0.842 \pm 0.008_{\text{stat}} \text{ [fm]}$$
$$r_p^{2.2} = 0.824 \pm 0.003_{\text{stat}} \text{ [fm]}$$

$$r_p^{\text{PRad}} = 0.838 \pm 0.005_{\text{stat}} \text{ [fm]}$$

Proton SPM RADIUS



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② A1 DATA

extends toward low- Q^2

$$3.8 \times 10^{-3} \leq Q^2/[\text{GeV}^2] \leq 1$$

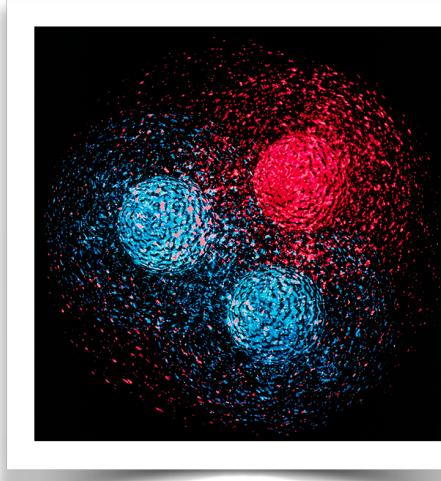
use first 40 low- Q^2 data

$$r_p^{\text{A1-low}Q^2} = 0.856 \pm 0.014_{\text{stat}} \text{ [fm]}$$

all data yield

$$r_p^{\text{A1}} = 0.857 \pm 0.021_{\text{stat}} \text{ [fm]}$$

Proton SPM RADIUS



PROTON RADIUS PUZZLE SETTLED?

1 PRad DATA

lowest yet achieved
momentum transferred

$$2.1 \times 10^{-4} \leq Q^2/[\text{GeV}^2] \leq 6 \times 10^{-2}$$

two datasets at different energy beams
1.1 and 2.2 [GeV]

$$r_p^{1.1} = 0.842 \pm 0.008_{\text{stat}} \text{ [fm]}$$

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$$r_p^{\text{PRad}} = 0.838 \pm 0.005_{\text{stat}} \text{ [fm]}$$

2 A1 DATA

extends toward low- Q^2

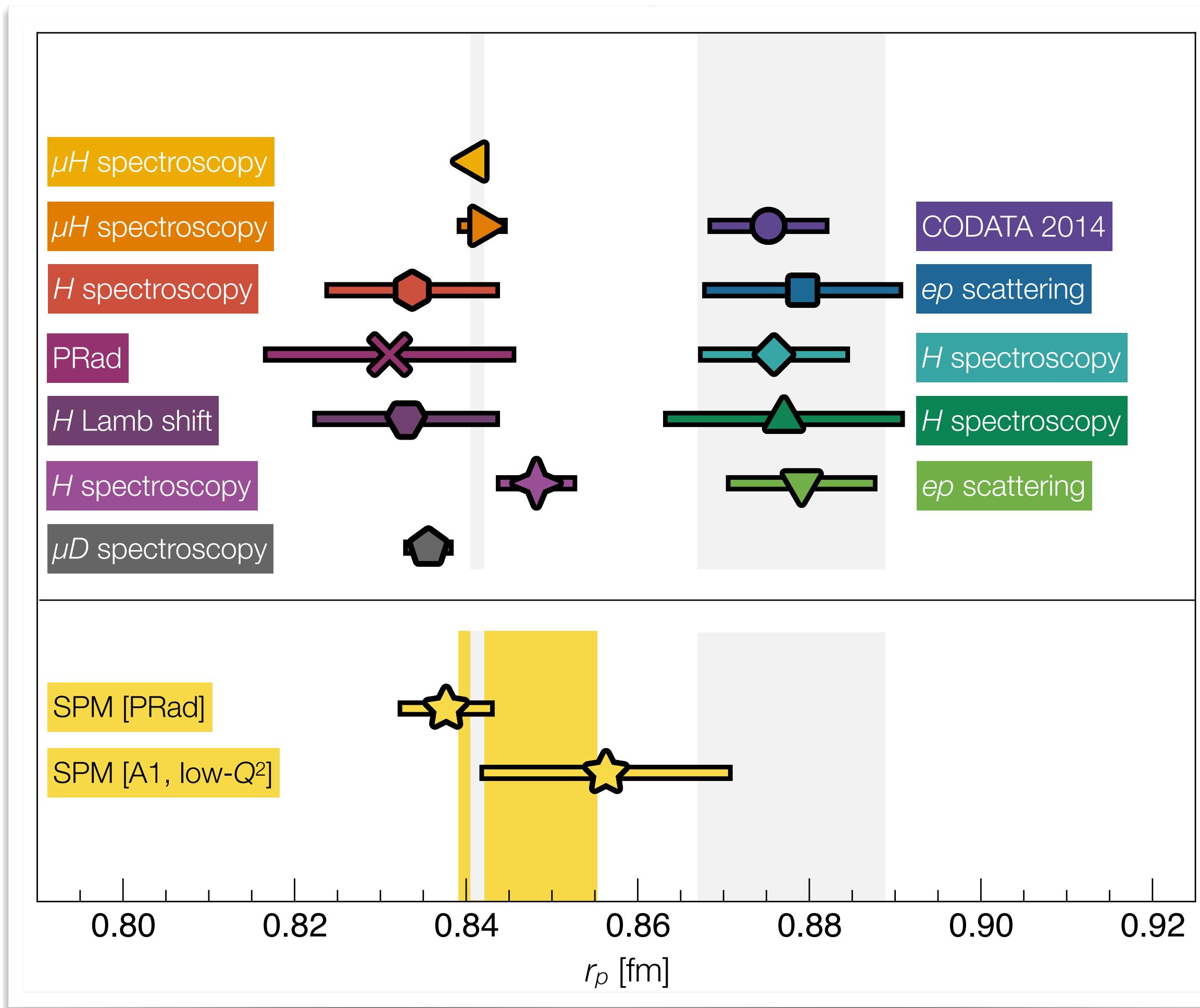
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P. J. Mohr *et al.* Rev. Mod. Phys. 88, 035009 (2016)

ep average from P. J. Mohr *et al.* Rev. Mod. Phys. 88, 035009 (2016)

H spectroscopy average from P. J. Mohr *et al.* Rev. Mod. Phys. 88, 035009 (2016)

H. Fleurbaey *et al.*, Phys. Rev. Lett. 120, 183001 (2018)

J. Bernauer *et al.*, Phys. Rev. Lett. 105, 242001 (2010)

A. Antognini *et al.*, Science 339, 417 (2013)

R. Pohl *et al.*, Nature 466, 213 (2010)

A. Beyer *et al.*, Science 358, 79 (2017)

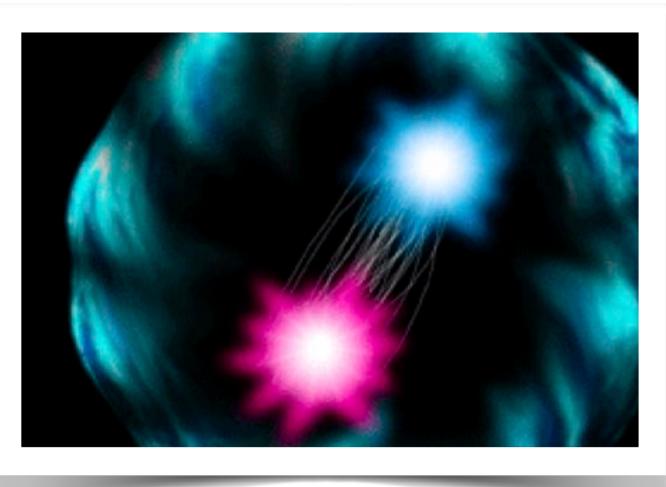
W. Xiong *et al.*, Nature 575, 147 (2019)

N. Bezginov *et al.*, Science 365, 1007 (2019)

A. Grinin *et al.*, Science 370, 1061 (2020)

R. Pohl *et al.*, Science 353, 669 (2016)

Pion SPM RADIUS



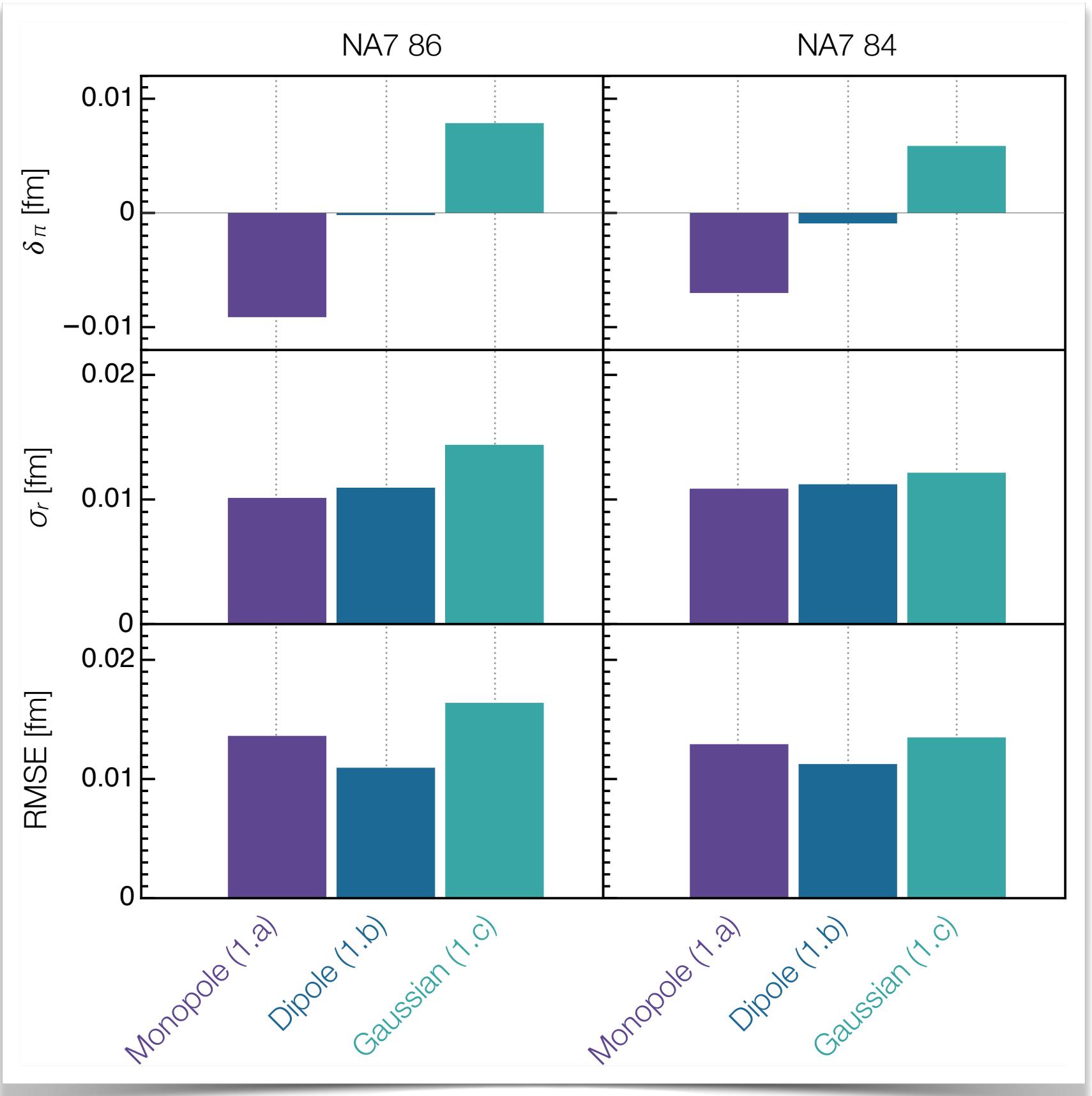
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NA7 DATA

only data amenable
to an SPM extraction

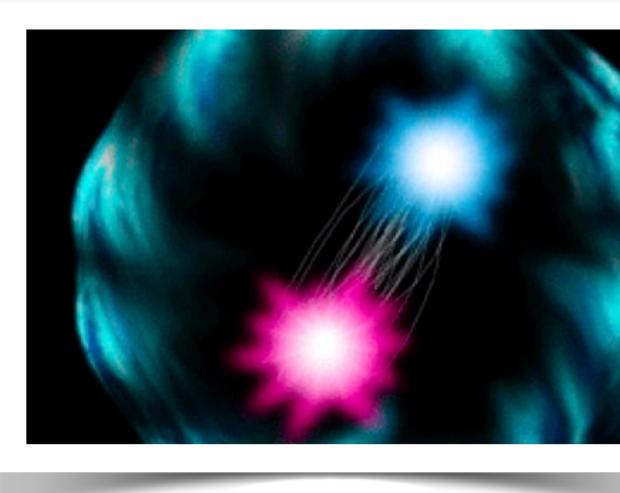
two measurements ('84, '86) of the negative pion em form factor

$$0.014 \leq Q^2/[\text{GeV}^2] \leq 0.26$$



Pion

SPM RADIUS

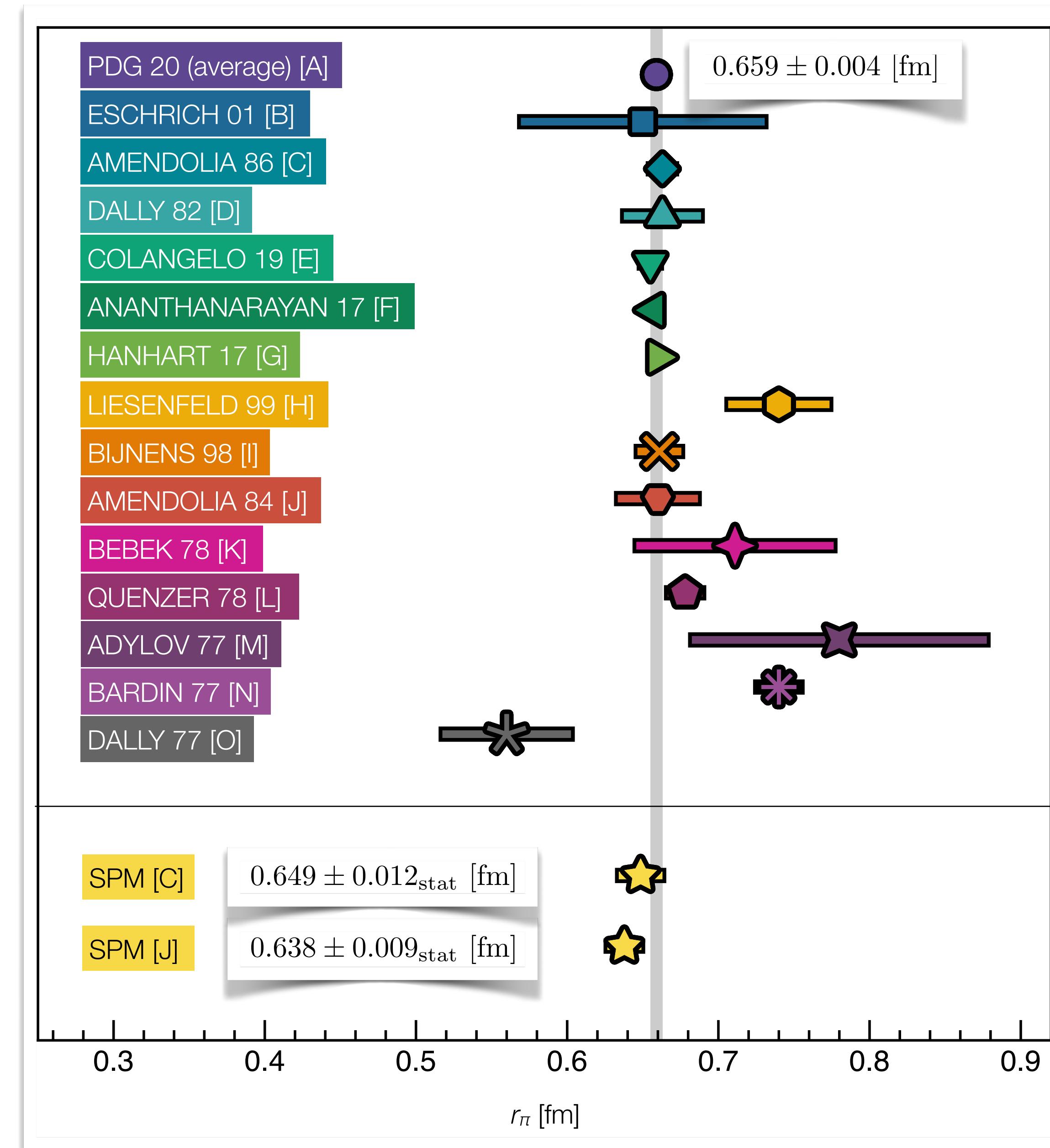
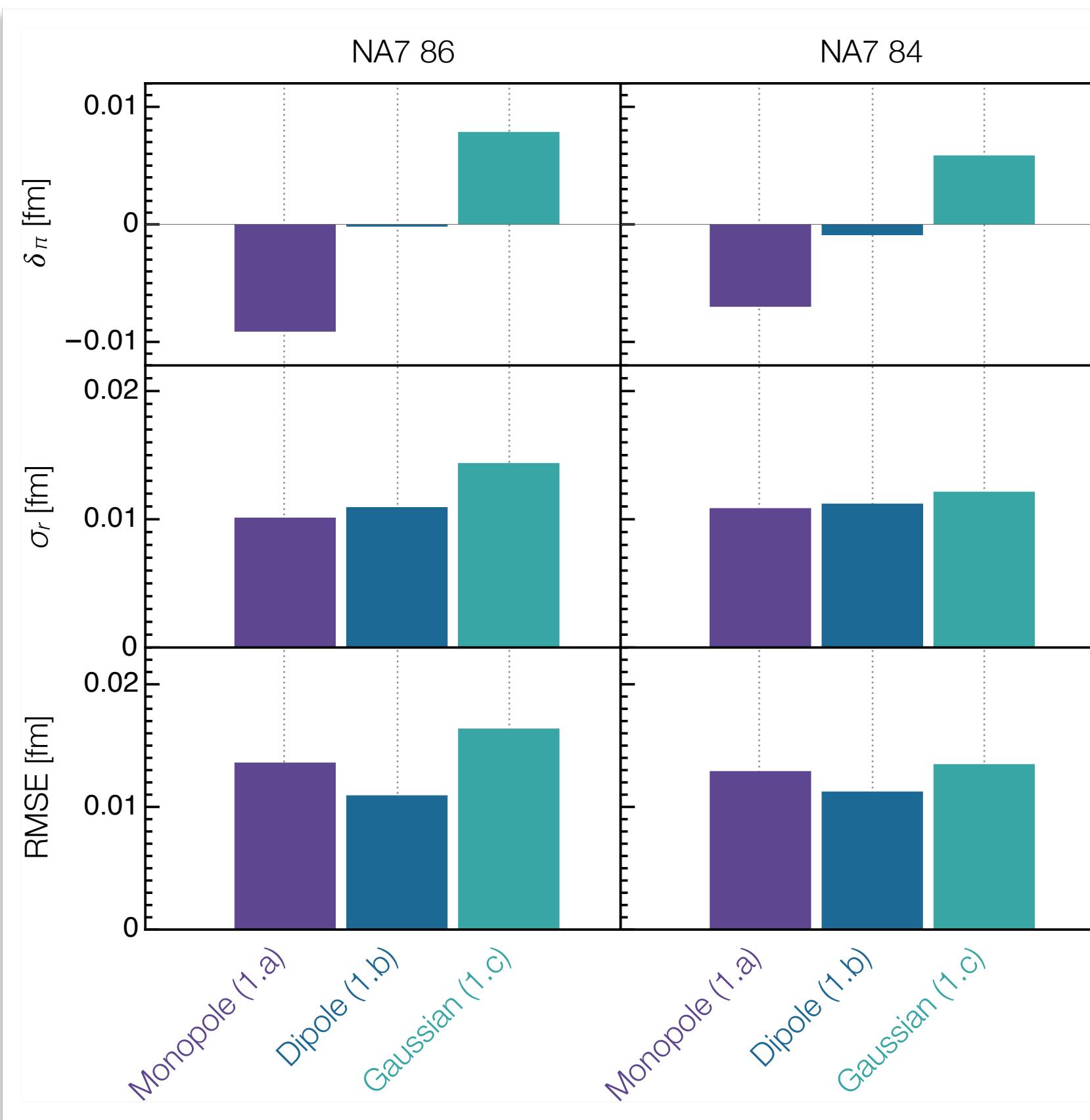


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PION RADIUS SEEKS OK

Deuteron

SPM RADIUS



1 No Low-Q² DATA YET

projected DRad measurements

$$2.1 \times 10^{-4} \leq Q^2 / [\text{GeV}^2] \leq 6 \times 10^{-2}$$

bin-to-bin uncertainties: 0.02%–0.07% (statistical)
and 0.06%–0.16% (systematic)

Deuteron SPM RADIUS

1

No LOW-Q² DATA YET

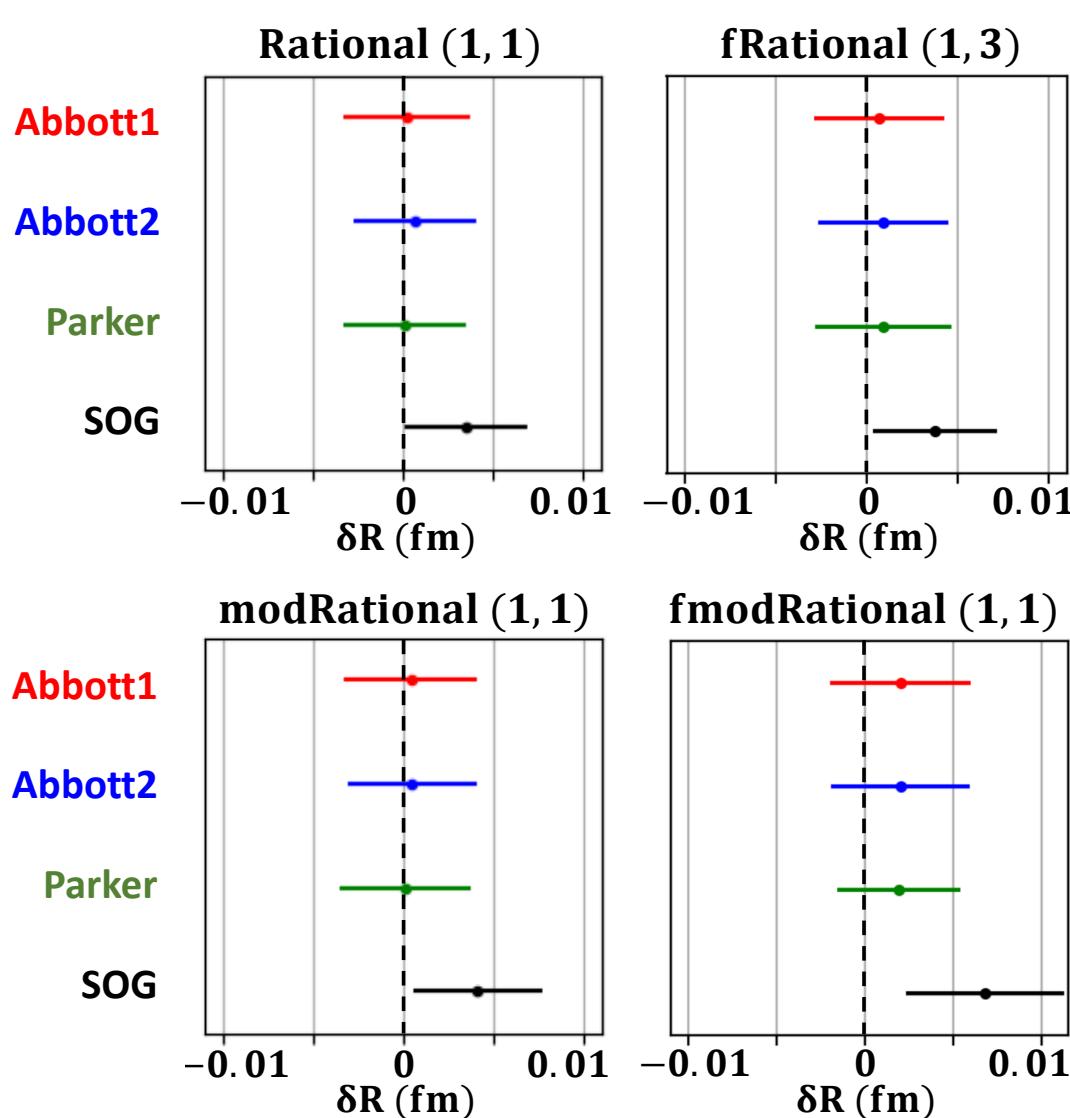
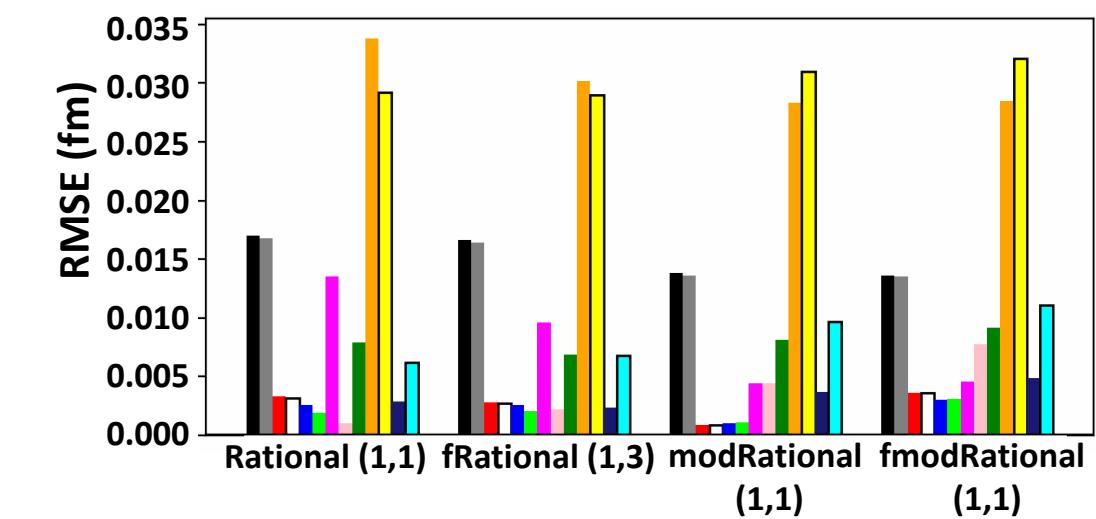
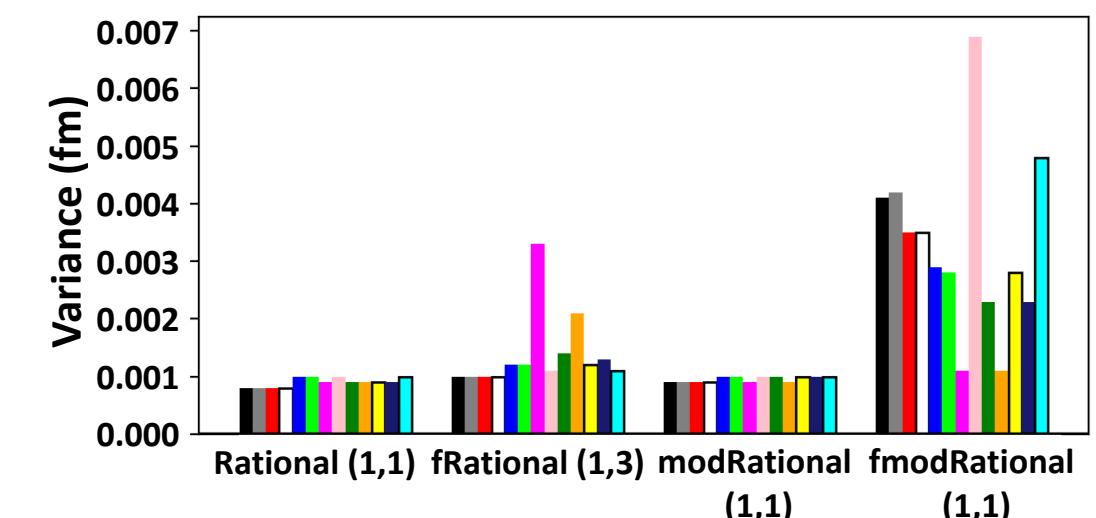
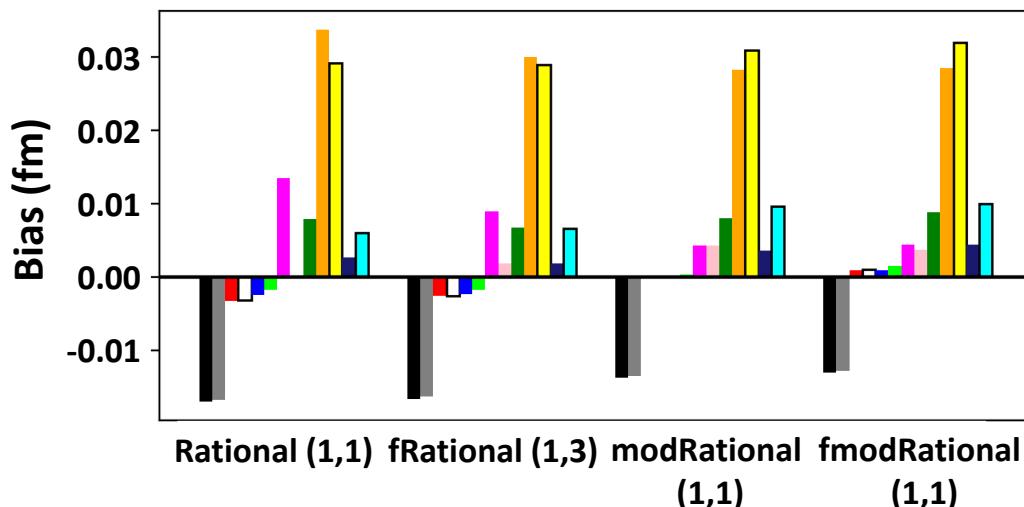
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Zhou et al., arXiv: 2010.09003



Deuteron SPM RADIUS

1

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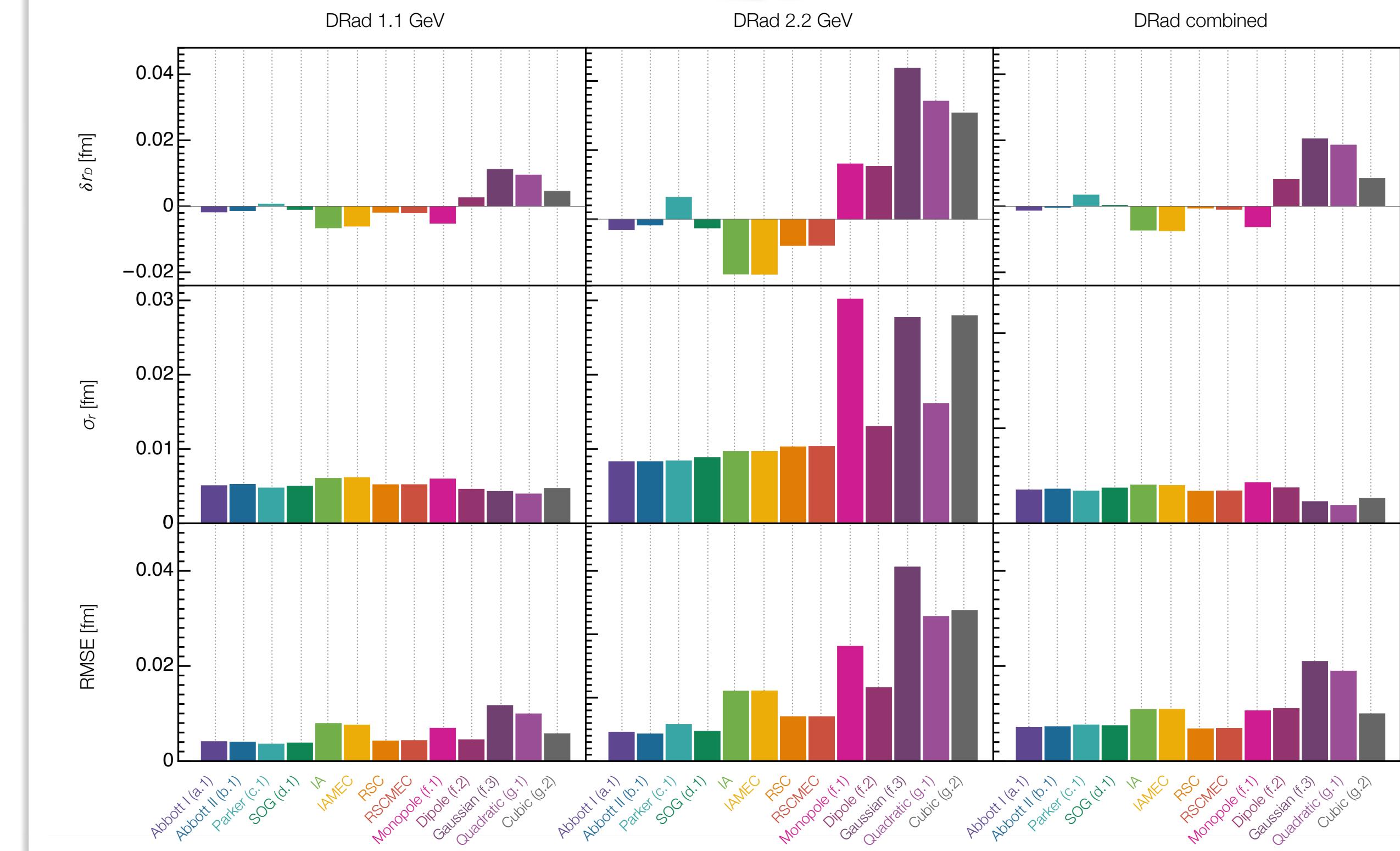
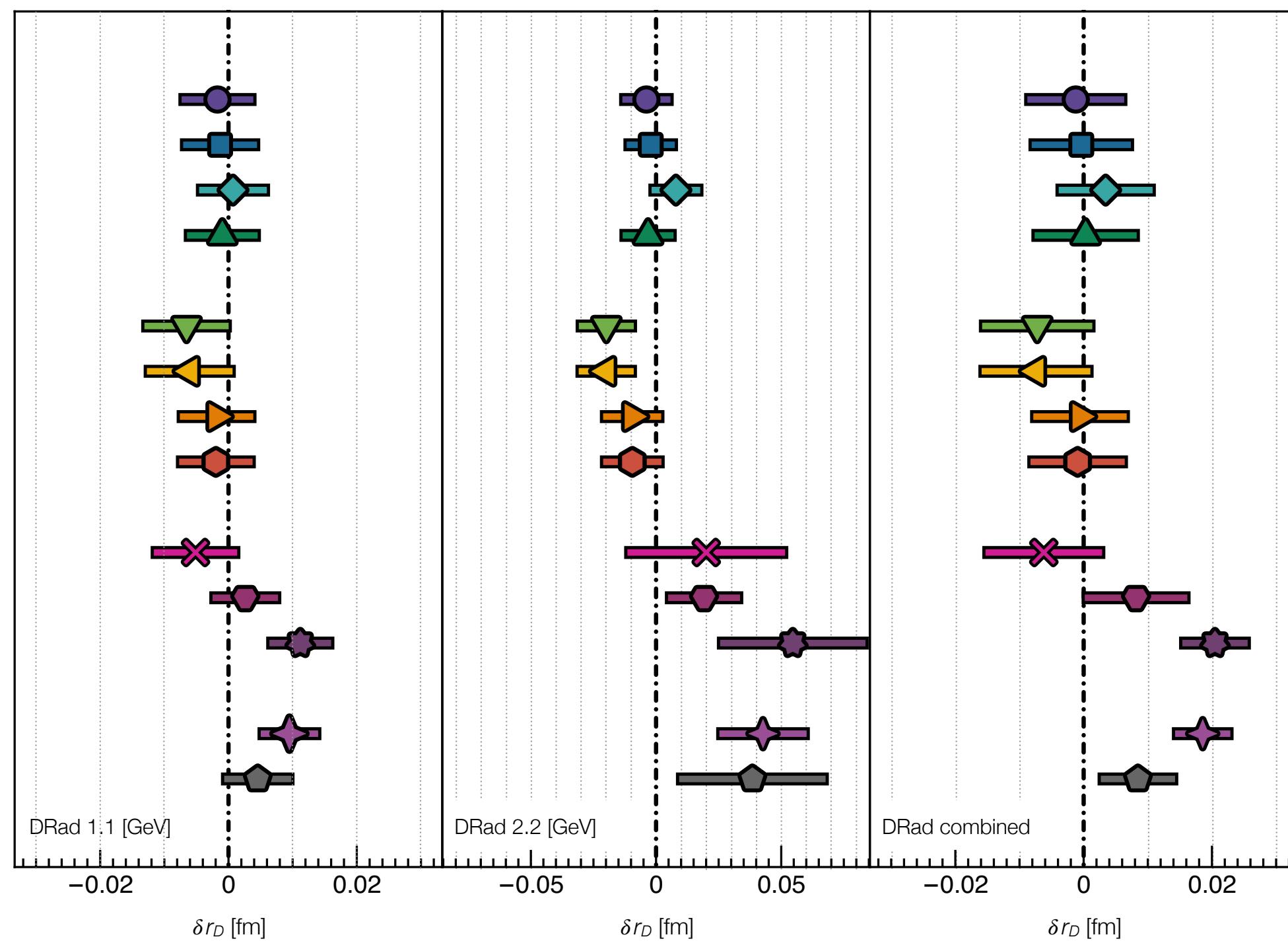
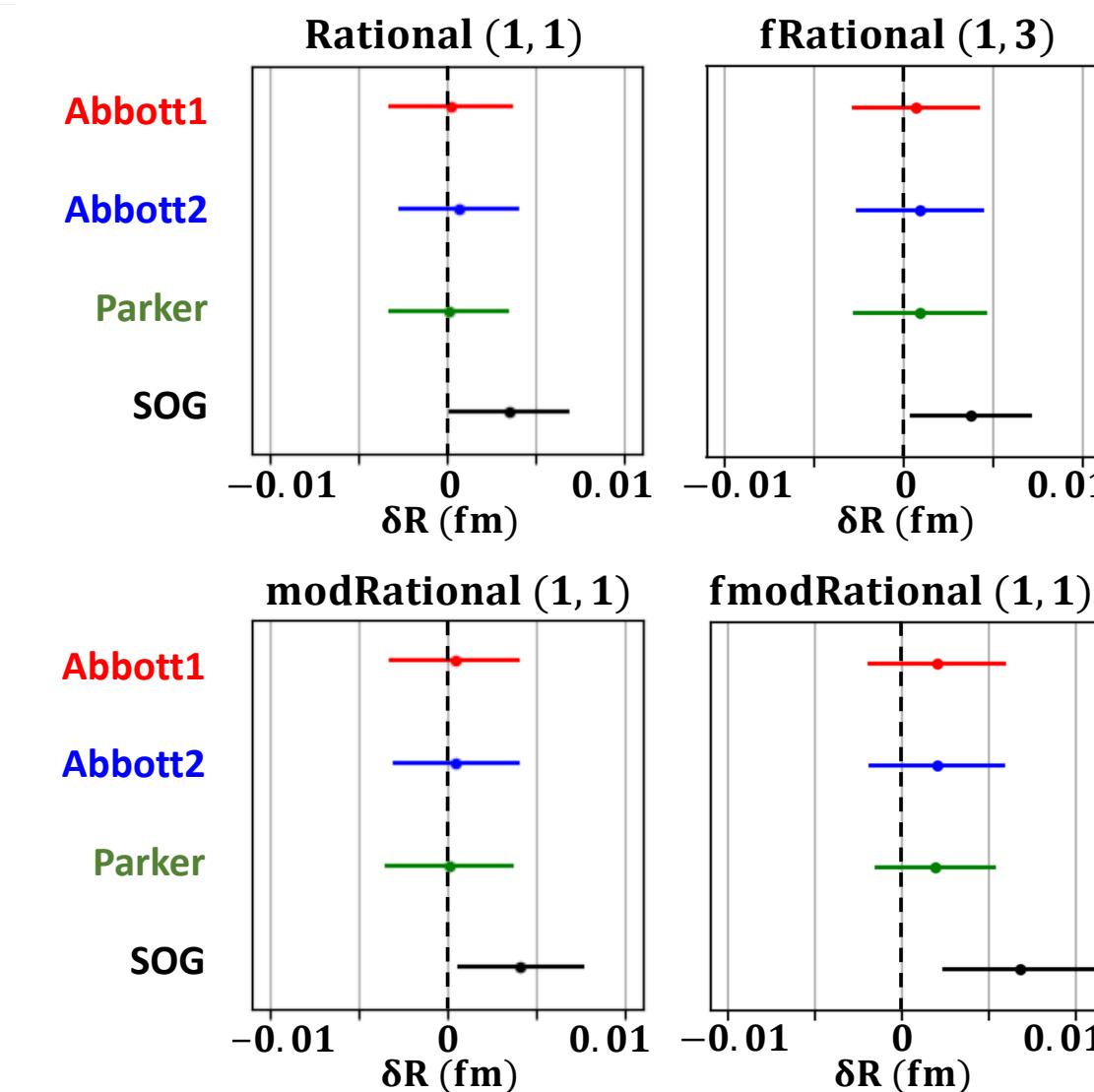
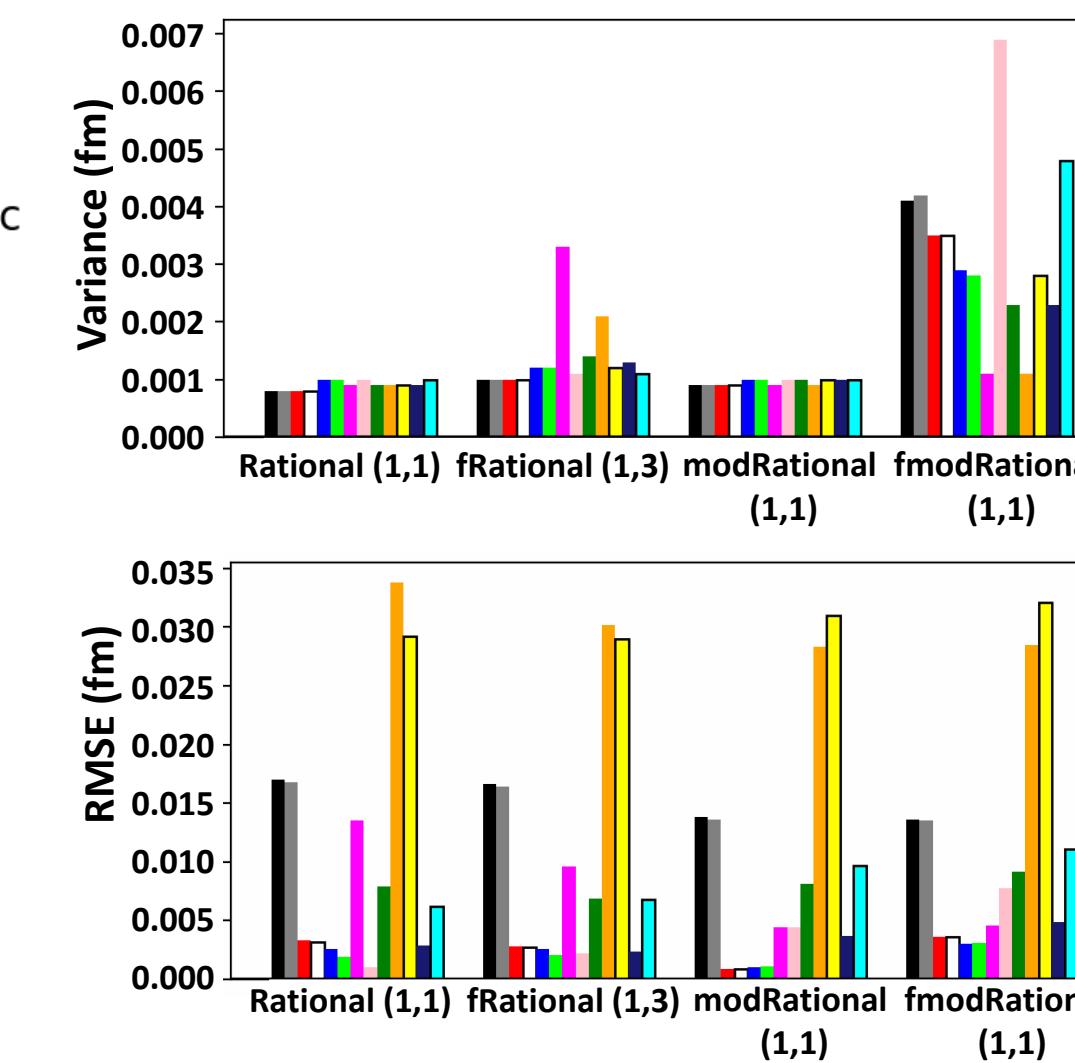
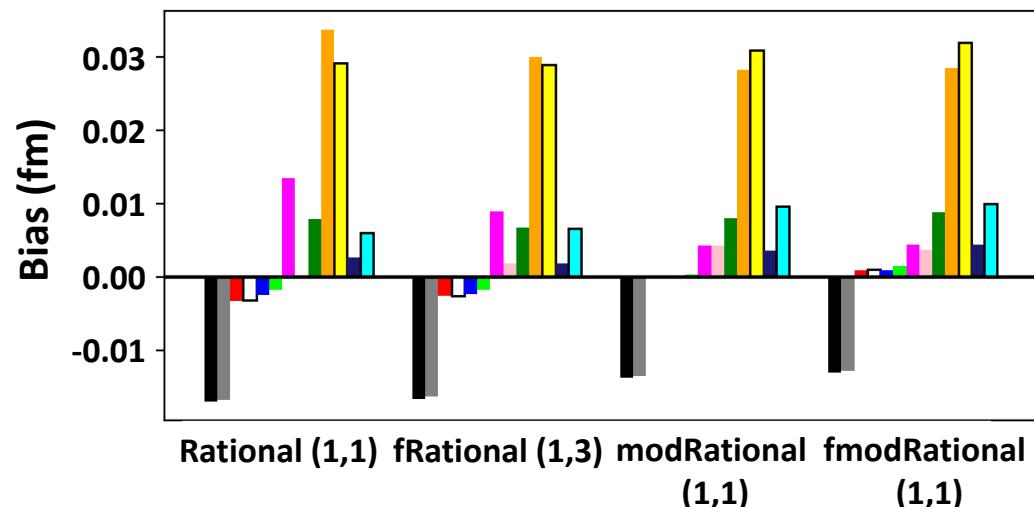
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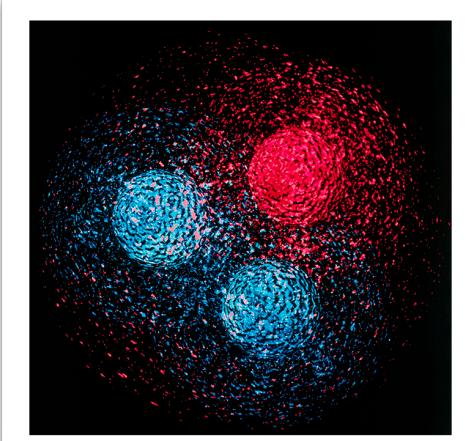
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Proton SPM RADIUS

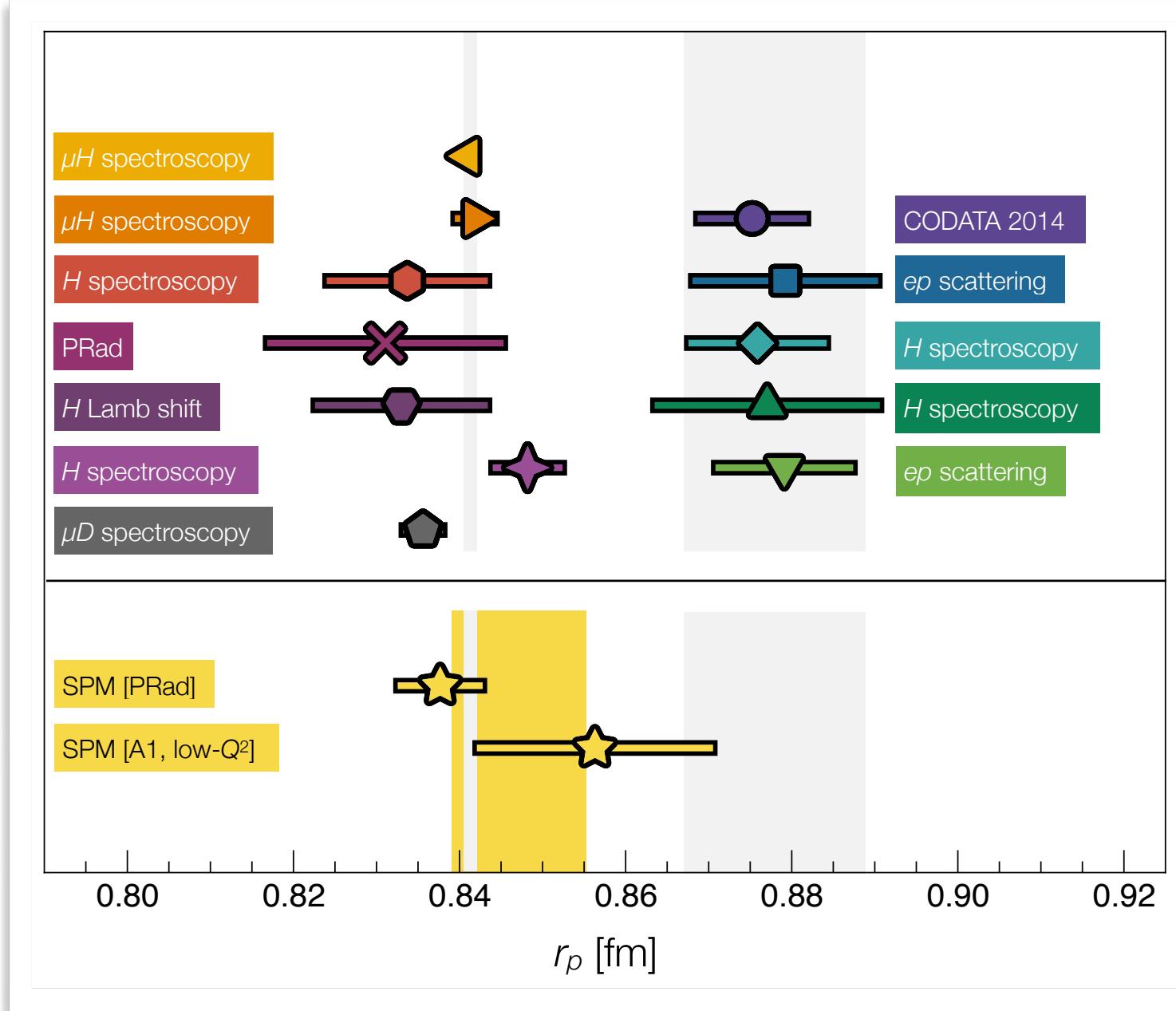


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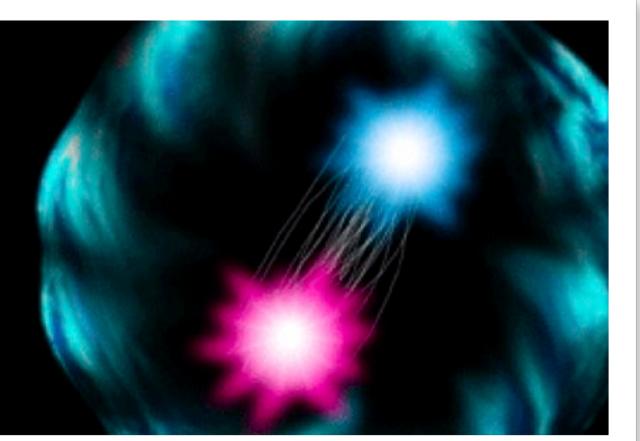
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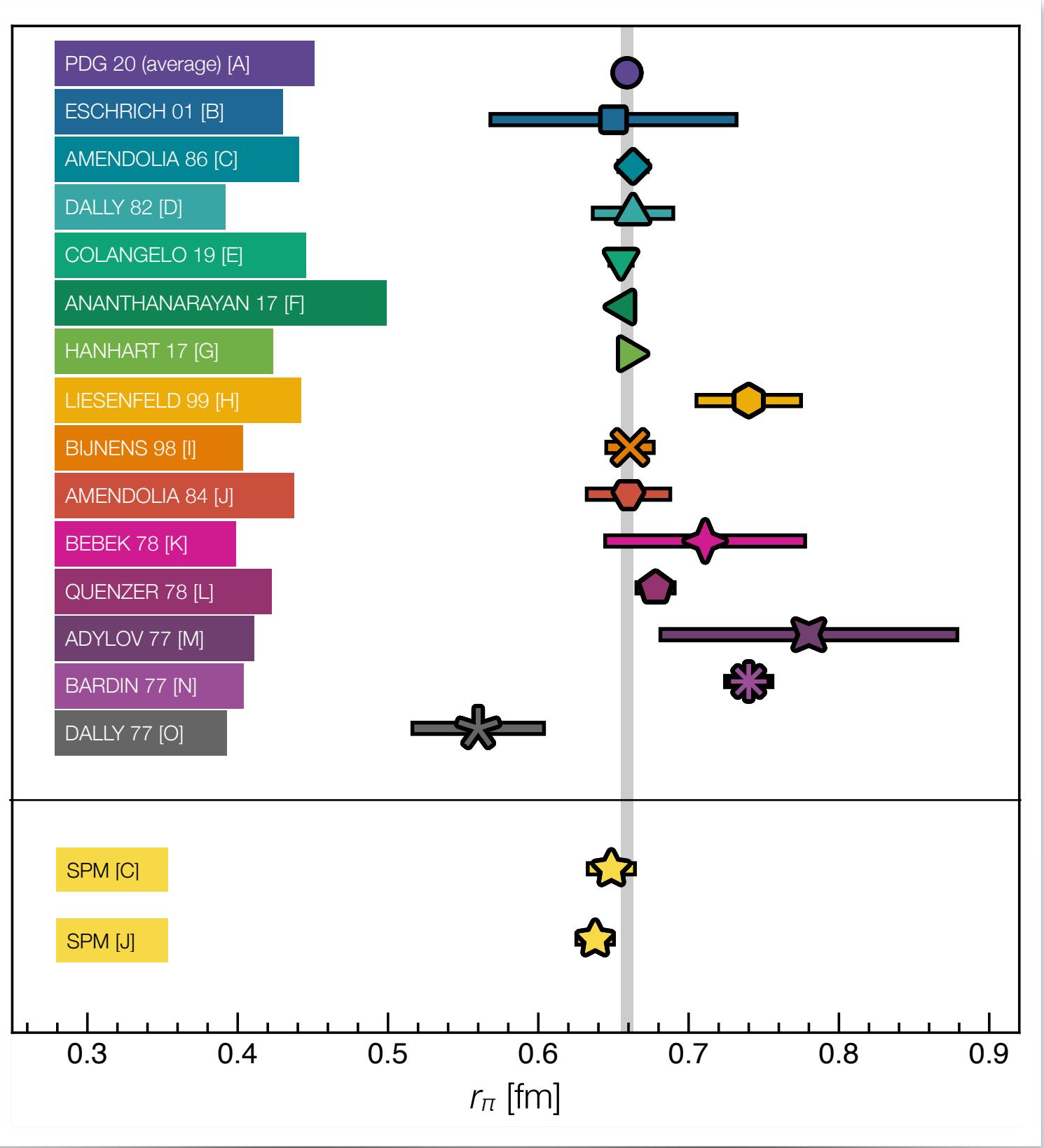
Pion SPM RADIUS



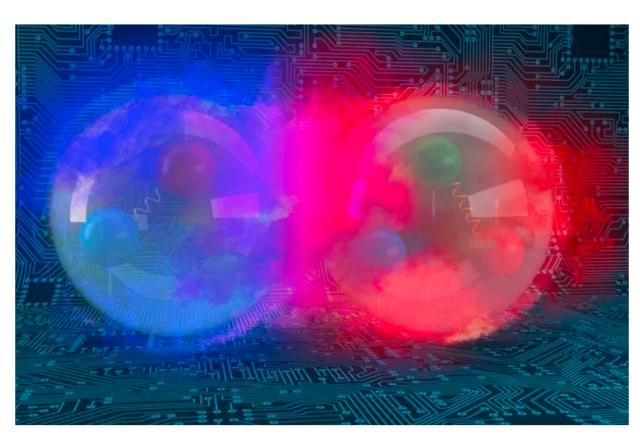
1 NA7 DATA

$$r_\pi^{\text{NA7-86}} = 0.649 \pm 0.012_{\text{stat}} \text{ [fm]}$$

$$r_\pi^{\text{NA7-84}} = 0.638 \pm 0.009_{\text{stat}} \text{ [fm]}$$



Deuteron SPM RADIUS



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