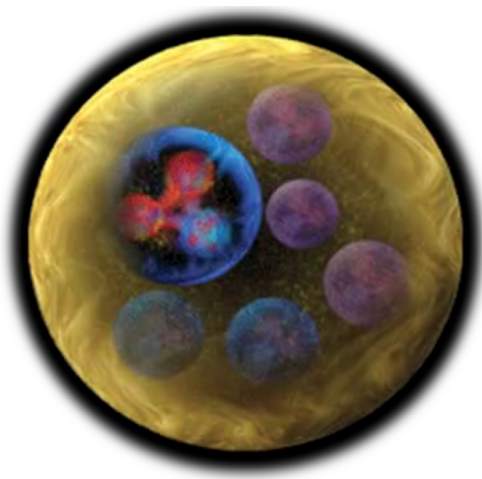
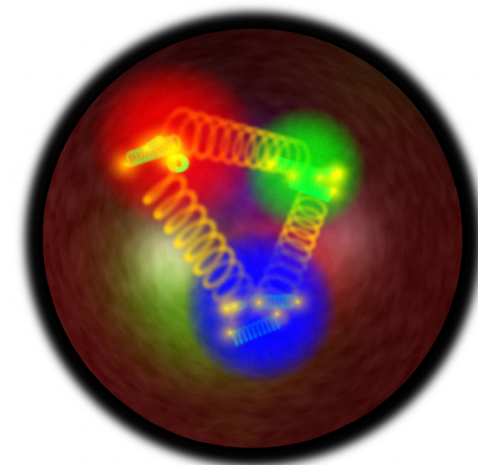


3D Partonic Structure of Nucleons and Nuclei

M. Hattawy



- Physics Motivations
- Recent Results.
- Future Measurements.



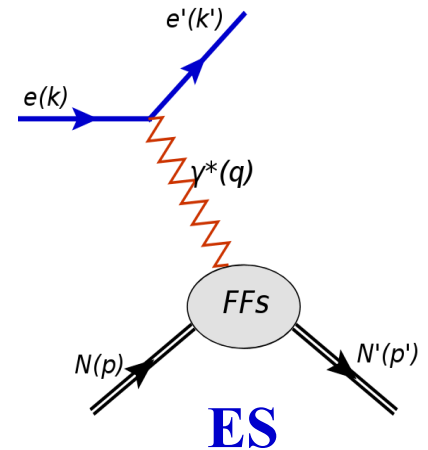
Exposing Novel Quark and Gluon Effects in Nuclei, ECT*, 16-20 April 2018

Exploring the Hadron Structure

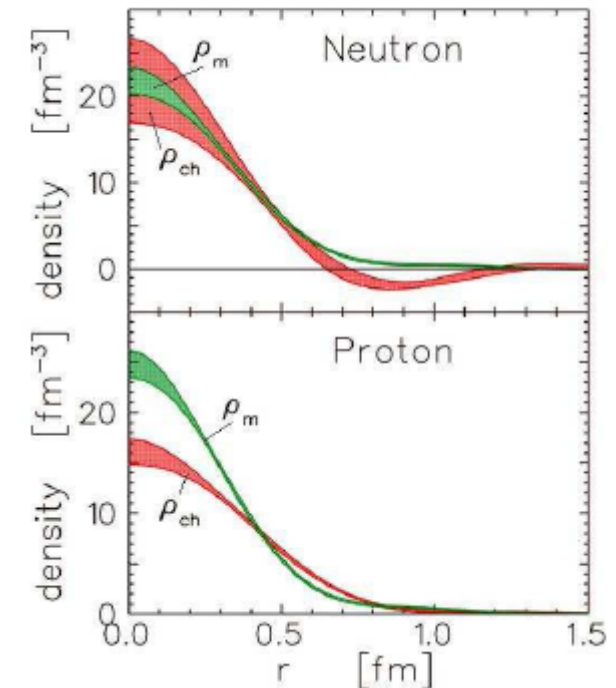
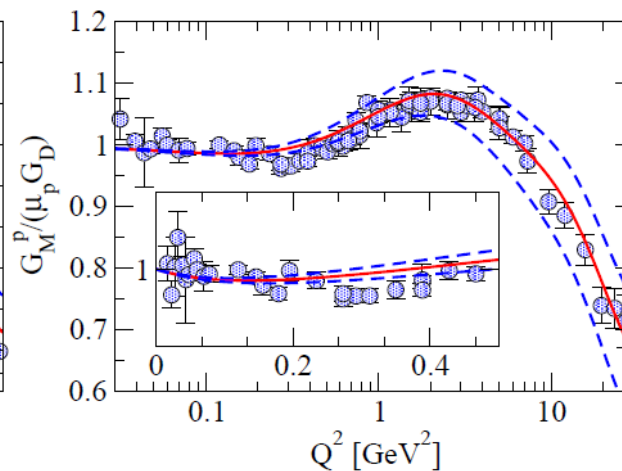
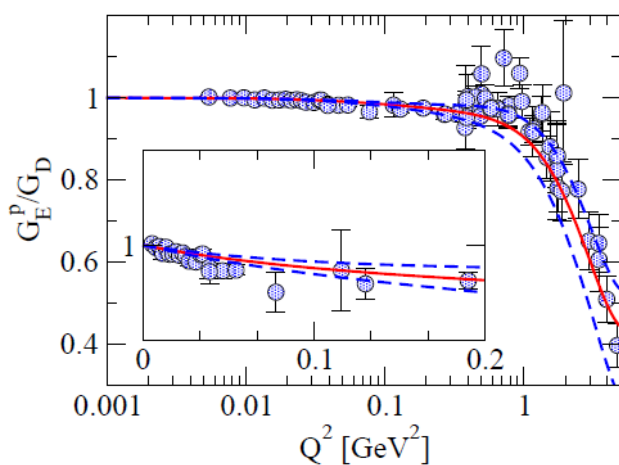
Most of what we know today about hadrons' structure has come from the **electromagnetic probes** which give access to measure **structure functions** that quantify the properties of **partons** in hadrons.

- **Form Factors (FFs)**

- Provide the **charge** and **magnetization** distributions inside a hadron.
- Accessible via Elastic Scattering (ES).



$$\left(\frac{d\sigma}{d\Omega}\right)_{exp} = \left(\frac{d\sigma}{d\Omega}\right)_{Mott} \frac{E'}{E} \left(\frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau G_M^2(Q^2) \tan^2\left(\frac{\theta_e}{2}\right) \right)$$



- C. F. Perdrisat, V. Punjabi and M. Vanderhaeghen, Prog. Part. Nucl. Phys. 59, 694-764 (2007)
 - Kelly J. J., Phys. Rev. C 66, 065203 (2002)

Exploring the Hadron Structure

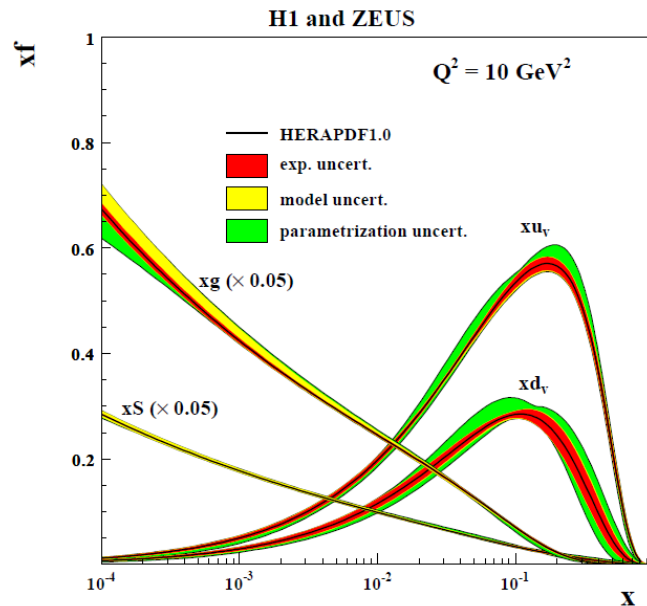
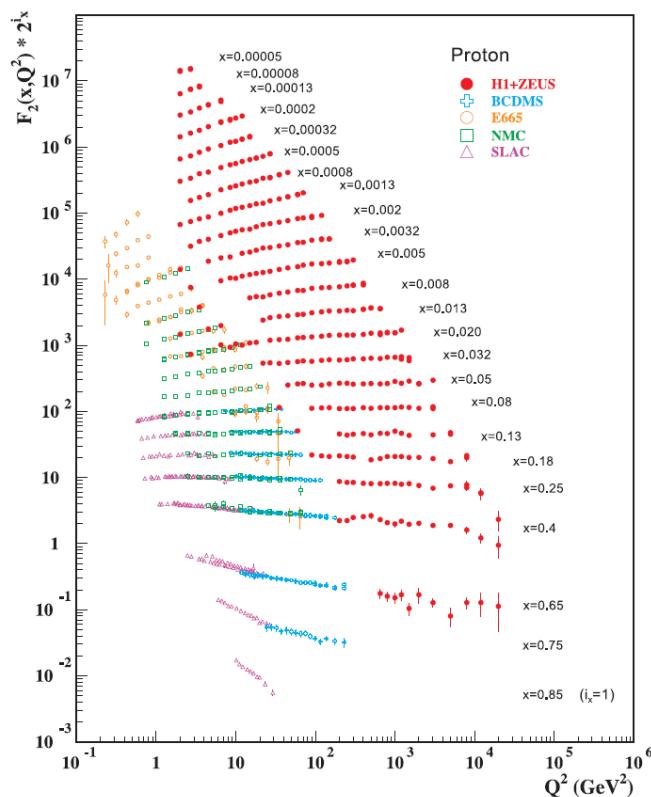
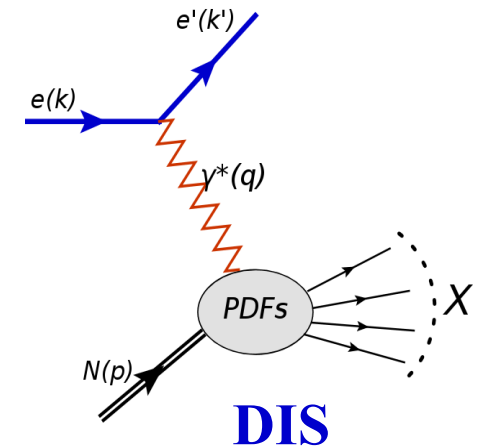
Structure functions that quantify the properties of the partons in a hadron:

- **Form Factors (FFs)**

- **Parton Distribution Functions (PDFs)**

- Provide partons **longitudinal momentum** distributions
- Measurable via Deep Inelastic Scattering (DIS).

- **For nucleons**, the unpolarized DIS cross section is parametrized by two PDFs: $F_{1,2}(x)$, with $\mathcal{F}_1(x) = \frac{1}{2} \sum_q e_q^2 f_q(x)$ and $\mathcal{F}_2(x) = x \sum_q e_q^2 f_q(x)$.

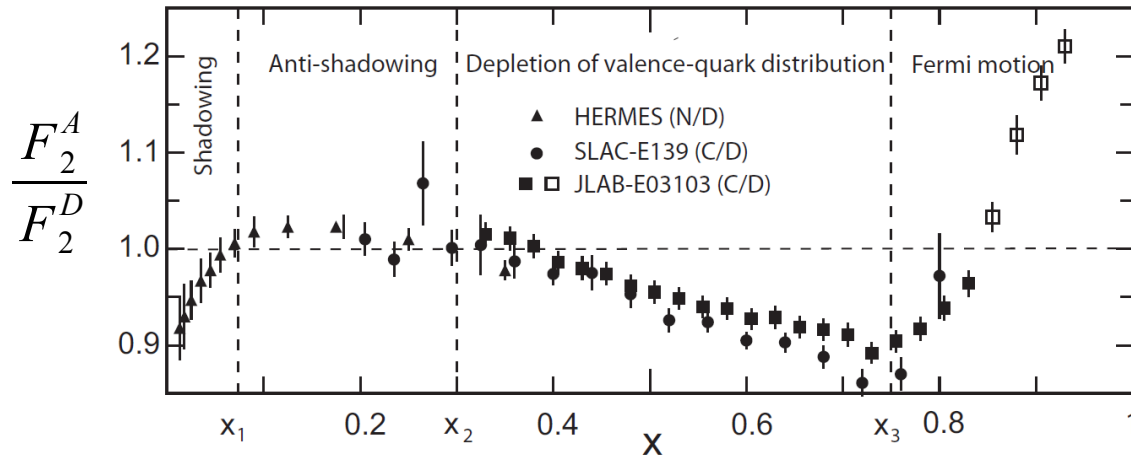


Proton structure:

- Large x , $u_v(x) \sim 2 d_v(x)$
- Low x , more gluons radiated and splitting producing sea quarks

- J. Beringer et al. (Particle Data Group), Phys. Rev. D 86, 010001, page241, 2012.
 - R. Placakyte et al. (H1 and ZEUS Collaborations), arXiv:1111.5452 [hep-ph], 2010.

EMC Effect



[K. Rith, arXiv:1402.5000 [hep-ph], 2014]

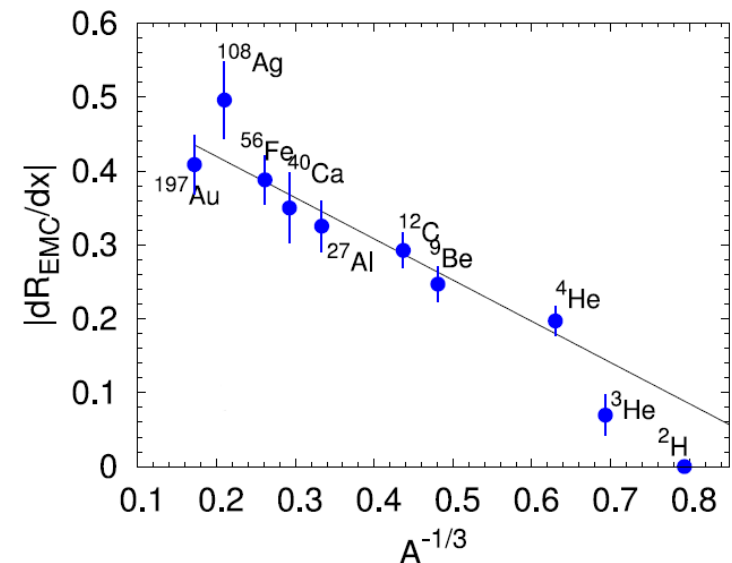
- Precise measurements at **CERN, SLAC** and **JLab**
 → Links with the nuclear properties, i.e. **mass & density**

More details will be given by Seamus, William, Gerald, Misak, John, and Ian

- The **origin** of the EMC effect is still not fully understood, but possible **explanations**:
 - Modifications of the nucleons themselves
 - Effect of non-nucleonic degrees of freedom, e.g. pions exchange
 - Modifications from multi-nucleon effects (binding, N-N correlations, etc...)

Clear explanations may arise from measuring the nuclear modifications via measuring the **Generalized Parton Distributions.**

EMC effect: the modification of the PDF F_2 as a function of the longitudinal momentum fraction x [0.3, 0.75] carried by the parton.



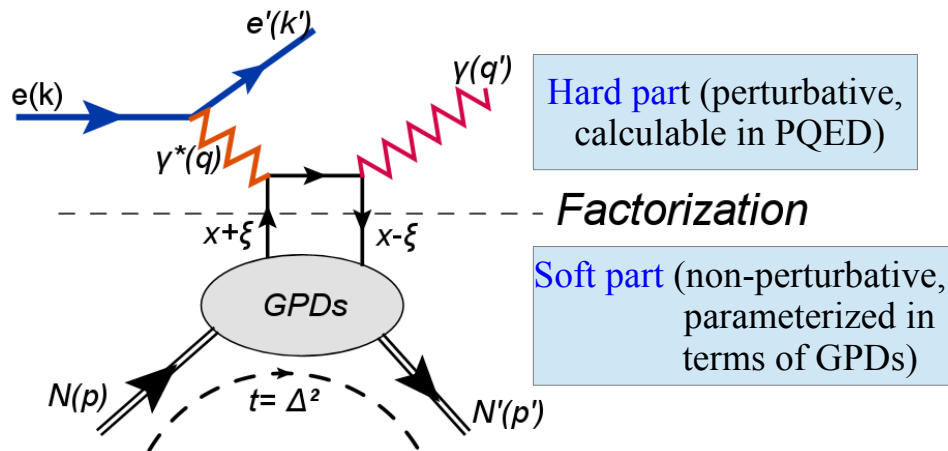
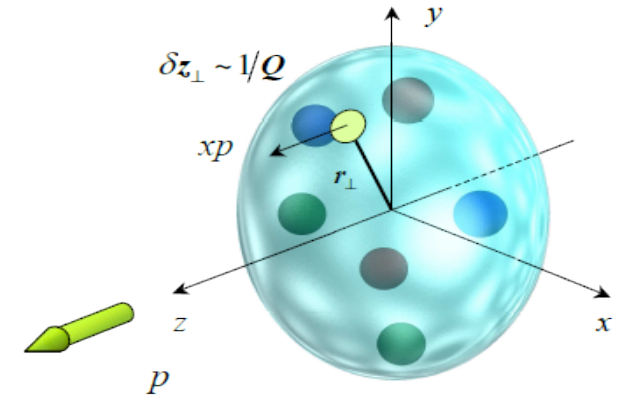
[J. Arrington et al., Phys. Rev. C 86 (2012) 065204]

Generalized Parton Distributions

- Contain information on:

- Correlation between quarks and anti-quarks
- Correlation between **longitudinal momentum** and **transverse spatial** position of partons

- Can be accessed via hard exclusive processes such as deeply virtual Compton scattering (DVCS):



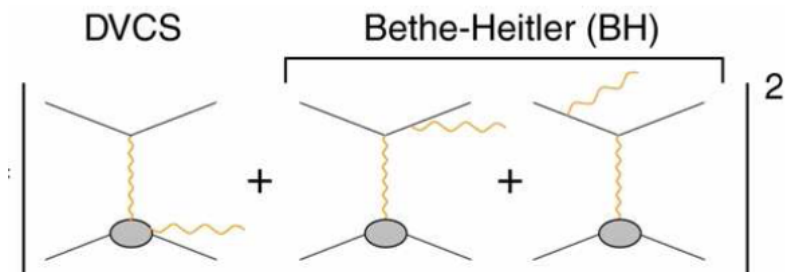
$$\xi \simeq x_B / (2 - x_B) \quad x_B = Q^2 / 2p \cdot q$$

$$t = (p - p')^2 = (q - q')^2$$

* At leading order in $1/Q^2$ (twist-2) and in the coupling constant of QCD (α_s).

- Experimentally, the **measured** photon-electroproduction cross section ($ep \rightarrow ep\gamma$) is:

$$d\sigma \propto |\tau_{\text{BH}}|^2 + \underbrace{(\tau_{\text{DVCS}}^* \tau_{\text{BH}} + \tau_{\text{BH}}^* \tau_{\text{DVCS}})}_I + |\tau_{\text{DVCS}}|^2$$



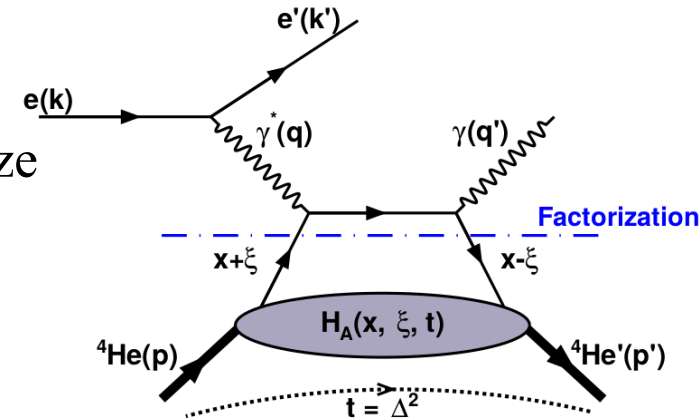
- The **DVCS** signal is enhanced by the interference with BH.

DVCS off Nuclei

Two DVCS channels are accessible with nuclear targets:

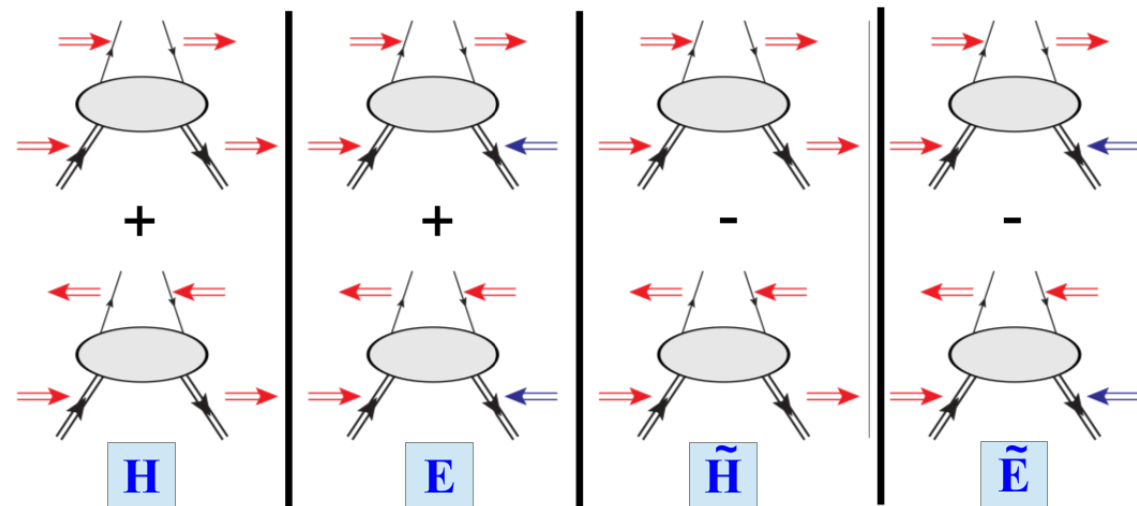
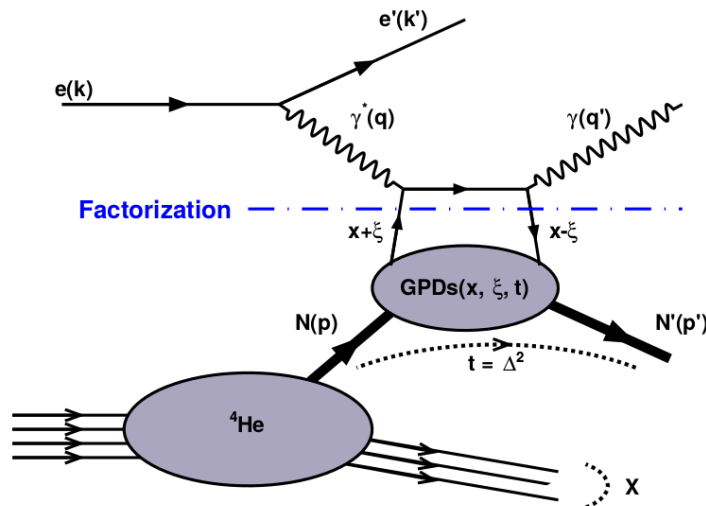
◇ Coherent DVCS: $e^- A \rightarrow e^- A \gamma$

- Study the partonic structure of the nucleus.
- **One chiral-even GPD** ($H_A(x, \xi, t)$) is needed to parametrize the structure of the **spinless nuclei** (${}^4\text{He}$, ${}^{12}\text{C}$, ${}^{16}\text{O}$, ...).



◇ Incoherent DVCS: $e^- A \rightarrow e^- N \gamma$ X

- The nucleus breaks and the DVCS takes place on a nucleon.
- Study the partonic structure of the bound nucleons (**4 chiral-even GPDs** are needed to parametrize their structure).



Nuclear Spin-Zero DVCS Observables

The GPD \mathcal{H}_A parametrizes the structure of the **spinless nuclei** (${}^4\text{He}$, ${}^{12}\text{C}$, ...)

$$\mathcal{H}_A(\xi, t) = \text{Re}(\mathcal{H}_A(\xi, t)) - i\pi \text{Im}(\mathcal{H}_A(\xi, t))$$

$$\text{Im}(\mathcal{H}_A(\xi, t)) = H_A(\xi, \xi, t) - H_A(-\xi, \xi, t)$$

$$\text{Re}(\mathcal{H}_A(\xi, t)) = \mathcal{P} \int_0^1 dx [H_A(x, \xi, t) - H_A(-x, \xi, t)] \left[\underline{\underline{C^+(x, \xi)}} \right]$$

Quark propagator

$$C^+(x, \xi) = \frac{1}{x - \xi} + \frac{1}{x + \xi}$$

→ Beam-spin asymmetry ($A_{LU}(\varphi)$) : (+/- beam helicity)

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

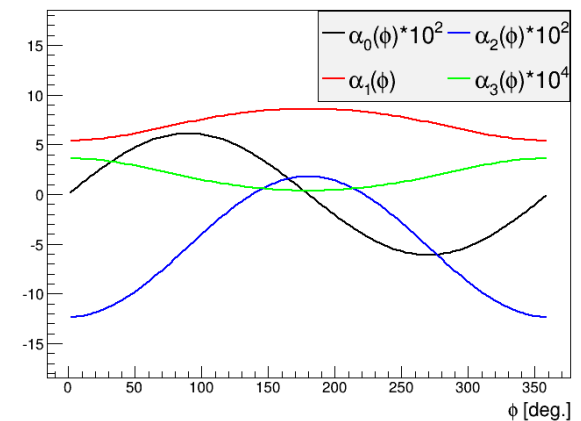
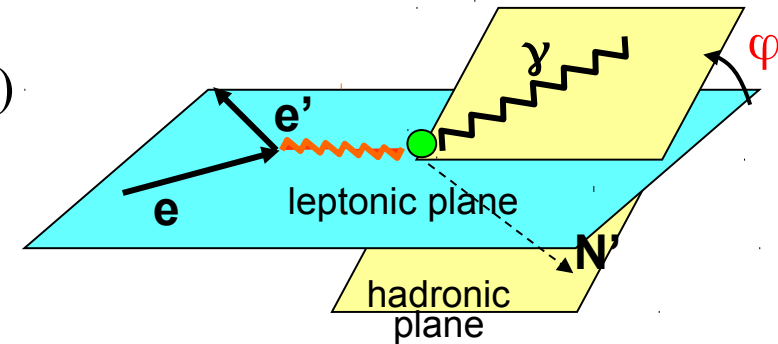
$$= \frac{\alpha_0(\phi) \Im m(\mathcal{H}_A)}{\alpha_1(\phi) + \alpha_2(\phi) \Re e(\mathcal{H}_A) + \alpha_3(\phi) (\Re e(\mathcal{H}_A)^2 + \Im m(\mathcal{H}_A)^2)}$$

$$\alpha_0(\phi) = \frac{x_A(1 + \varepsilon^2)^2}{y} S_{++}(1) \sin(\phi)$$

$$\alpha_1(\phi) = c_0^{BH} + c_1^{BH} \cos(\phi) + c_2^{BH} \cos(2\phi)$$

$$\alpha_2(\phi) = \frac{x_A(1 + \varepsilon^2)^2}{y} (C_{++}(0) + C_{++}(1) \cos(\phi))$$

$$\alpha_3(\phi) = \frac{x_A^2 t(1 + \varepsilon^2)^2}{y} \mathcal{P}_1(\phi) \mathcal{P}_2(\phi) \cdot 2 \frac{2 - 2y + y^2 + \frac{\varepsilon^2}{2} y^2}{1 + \varepsilon^2}$$



Theoretical Predictions of the EMC in ^4He

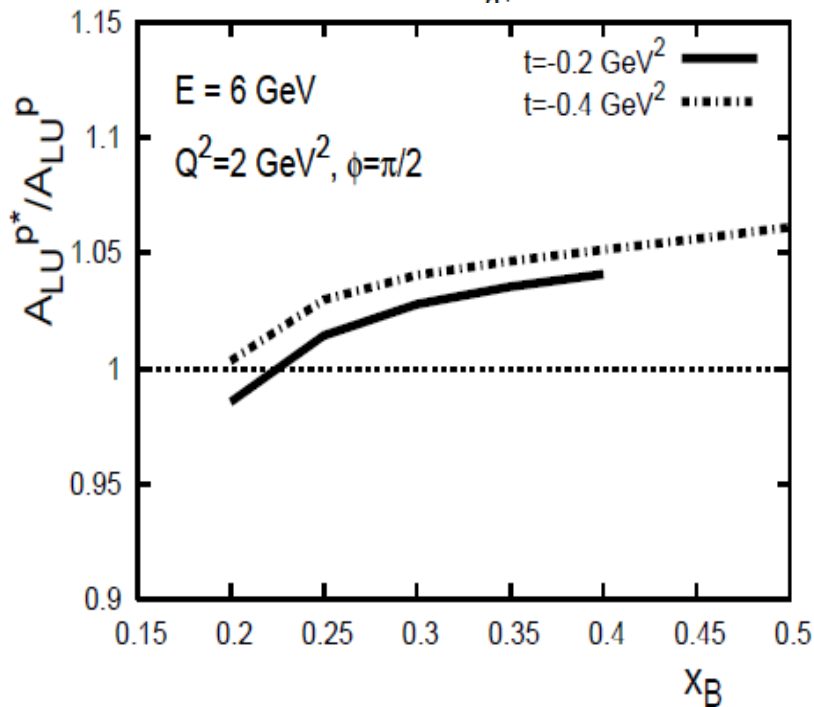
On-shell calculations:

(1) Impulse approximation

$$\text{GPD}^{4\text{He}}(x, \xi, t) = \Sigma (\text{free p and n GPDs}) * F_{4\text{He}}(t)$$

(2) Medium modifications:

$$H^{q/p^*}(x, \xi, t, Q^2) = \frac{F_1^{p^*}(t)}{F_1^p(t)} H^q(x, \xi, t, Q^2),$$



[V. Guzey, A. W. Thomas, K. Tsushima, PRC 79 (2009) 055205]

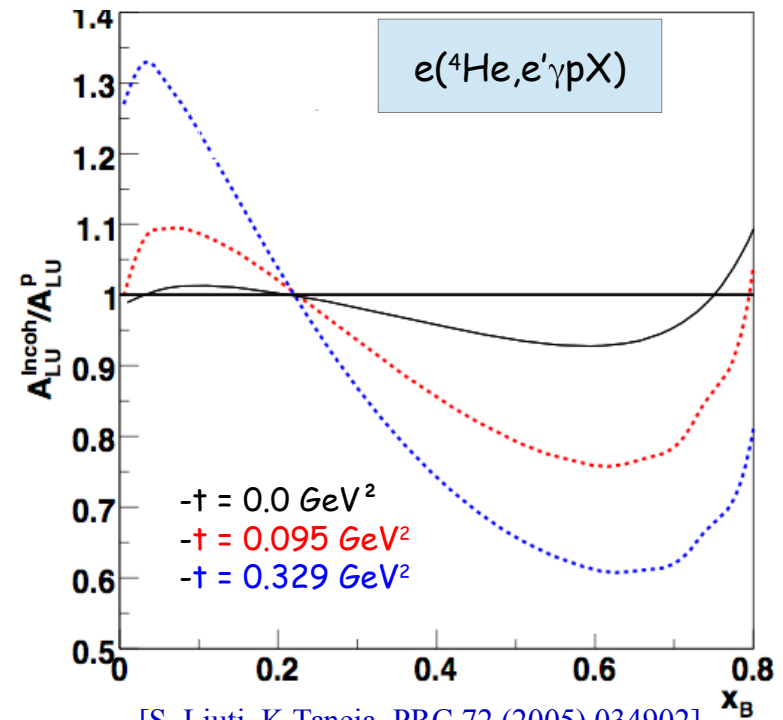
Off-shell calculations:

Nucleus = bound nucleons

+ nuclear binding effects

$$H^A(x, \xi, t) = \sum_N \int \frac{d^2 P_\perp dY}{2(2\pi)^3} \frac{1}{A-Y} \mathcal{A} \left[\rho^A(P^2, P'^2) \right] \times \sqrt{\frac{Y-\xi}{Y}} \left[H_{\text{OFF}}^N\left(\frac{x}{Y}, \frac{\xi}{Y}, P^2, t\right) - \frac{1}{4} \frac{(\xi/Y)^2}{1-\xi/Y} E_{\text{OFF}}^N\left(\frac{x}{Y}, \frac{\xi}{Y}, P^2, t\right) \right]$$

Nuclear spectral function

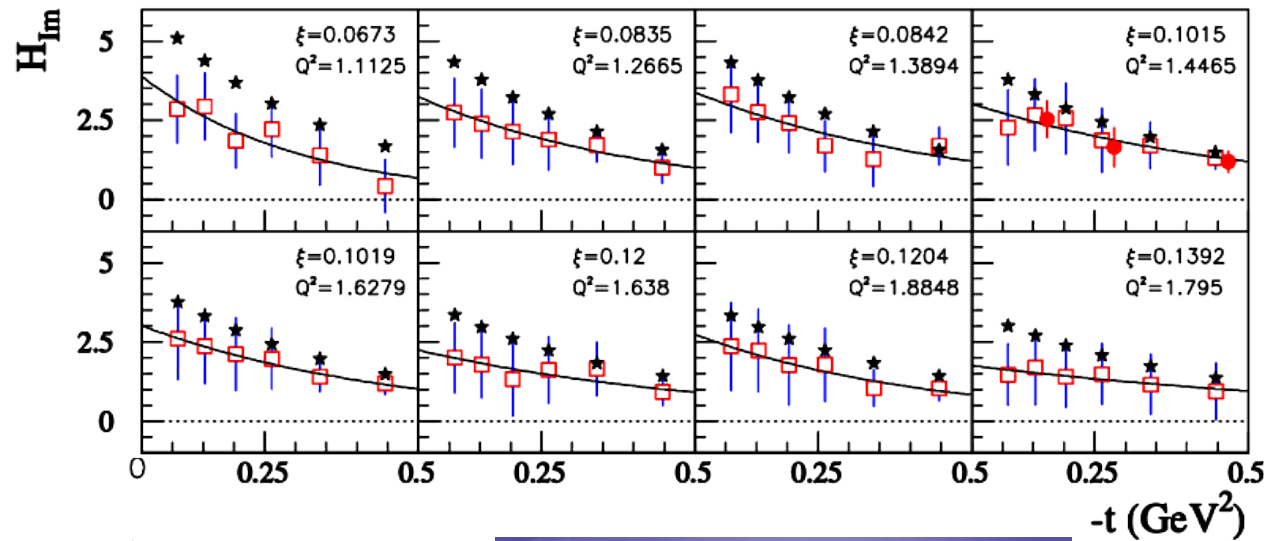


[S. Liuti, K. Taneja, PRC 72 (2005) 034902]

New ^4He GPDs calculation is coming, see Sergio's talk on Friday

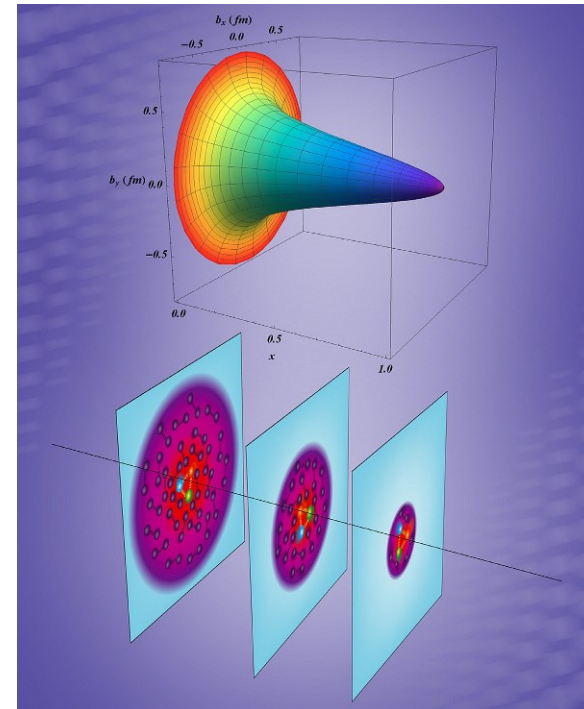
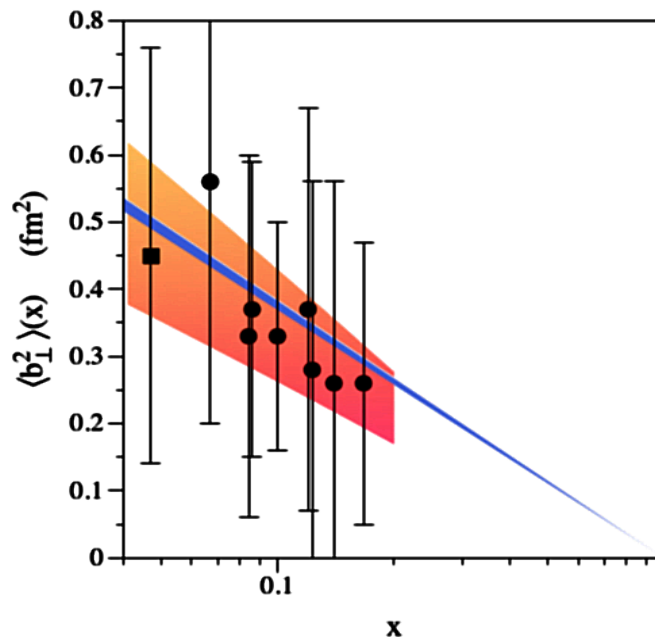
Proton Tomography via DVCS

- Local fit of all the JLab data
 - Jlab Hall A (σ , $\Delta\sigma$)
 - CLAS (σ , $\Delta\sigma$, ITSA, DSA)
- Enough coverage to explore the t and x_B ($\rightarrow \xi$) dependence of H_{Im} .



- Obtaining the tomography of the proton
 - Represented is the mean square charge radius of the proton for slices of x .

- The nucleon size is shrinking with x .

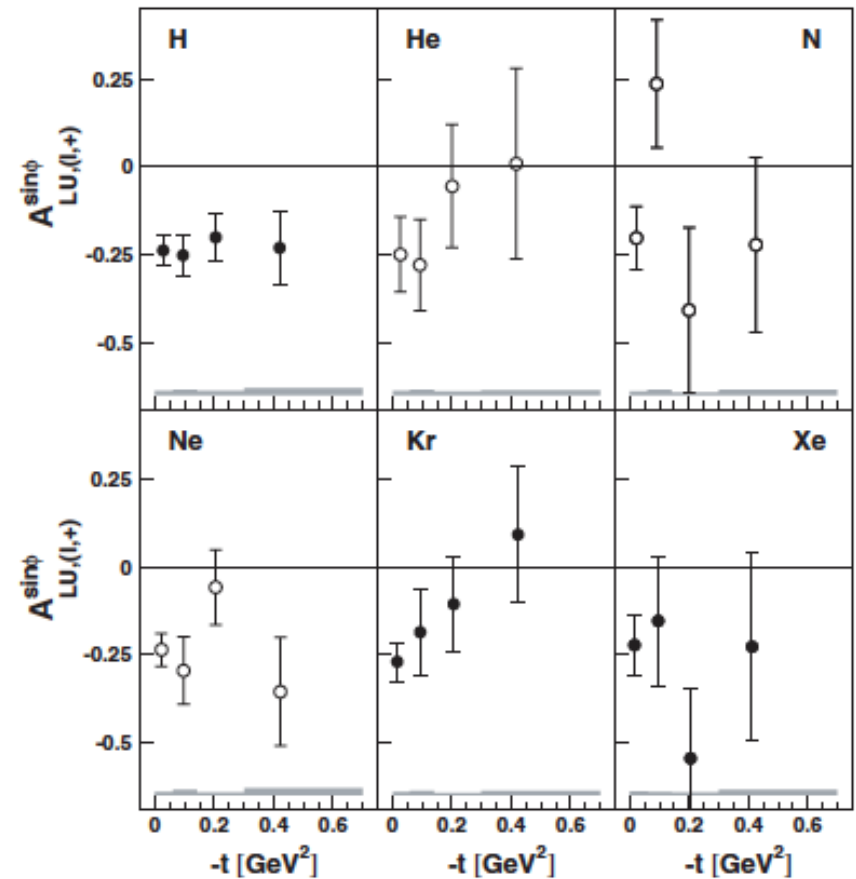


[R. Dupré et al. Phys.Rev. D95 (2017) no.1, 011501]

Nuclear DVCS Measurements: HERMES

- The exclusivity is ensured via cut on the **missing mass** of $e\gamma X$ final state configuration.
- Coherent and incoherent separation depending on $-t$, i.e. coherent rich at **small** $-t$.
- Conclusions from HERMES:
No nuclear-mass dependence has been observed.

$$A_{LU}^{sin\phi} = \frac{1}{\pi} \int_0^{2\pi} d\phi \sin\phi A_{LU}(\phi)$$



[A. Airapetian, et al., Phys Rev. C 81 (2010) 035202]

In CLAS - E08-024, we measured EXCLUSIVELY the coherent and incoherent DVCS channels off ⁴He

CLAS - E08-024 Experimental Setup



6 GeV,
L. polarized

Beam polarization (P_B) = 83%

- CLAS:

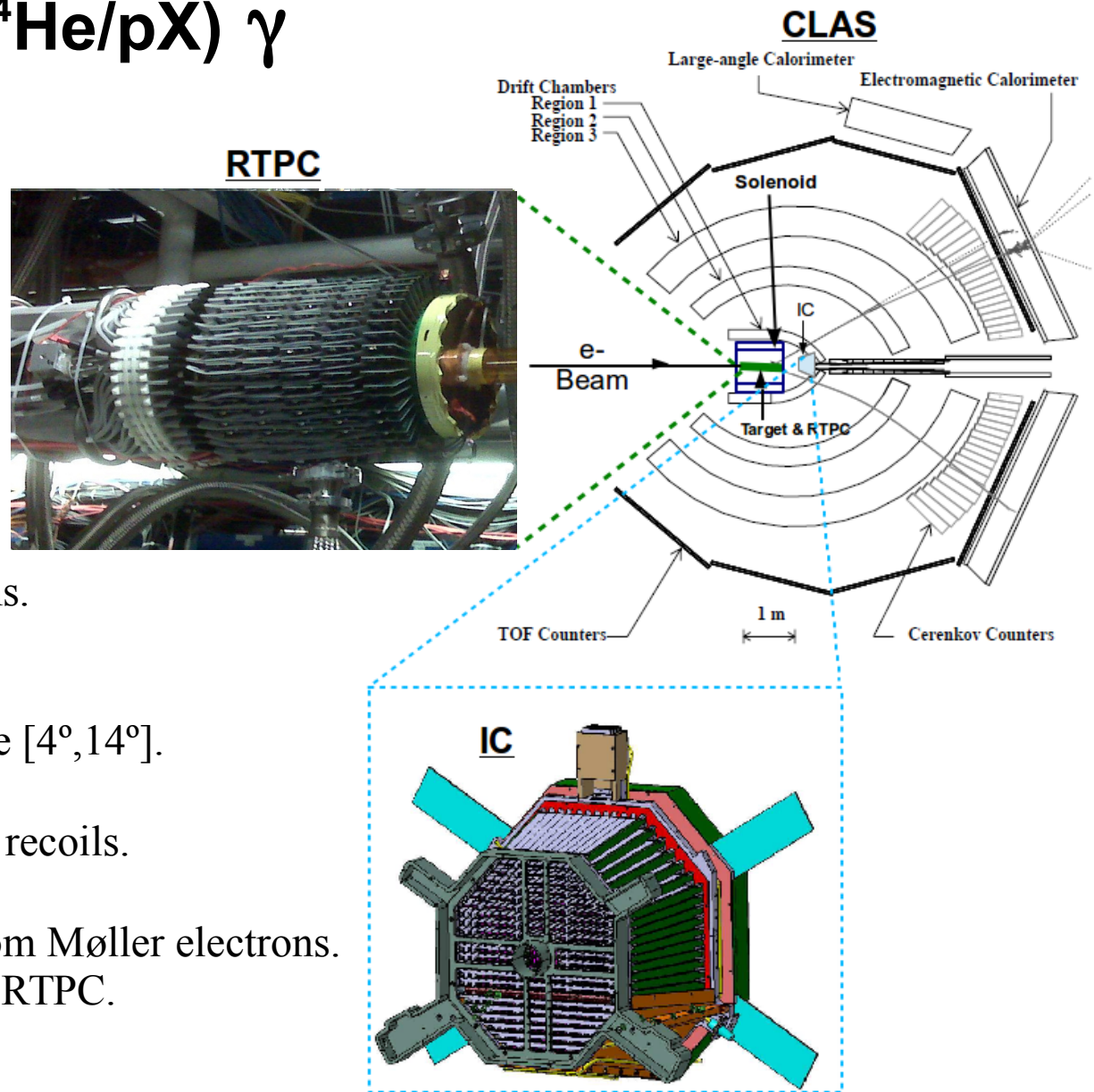
- Superconducting **Torus** magnet.
- 6 independent sectors:
 - **DCs** track charged particles.
 - **CCs** separate e^-/π^- .
 - **TOF Counters** identify hadrons.
 - **ECs** detect γ , e^- and n [$8^\circ, 45^\circ$].

- **IC:** Improves γ detection acceptance [$4^\circ, 14^\circ$].

- **RTPC:** Detects low energy nuclear recoils.

- **Solenoid:** - Shields the detectors from Møller electrons.
- Enables tracking in the RTPC.

- **Target:** ${}^4\text{He}$ gas @ 6 atm, 293 K



Coherent DVCS Selection & Asymmetries

1. We select **COHERENT** events which have:

- ◇ Events with :
 - Only one good electron in CLAS
 - At least one high-energy photon ($E_\gamma > 2$ GeV)
 - Only one ^4He in RTPC ($p \sim 250\text{-}400$ MeV).
- ◇ $Q^2 > 1$ GeV².
- ◇ Exclusivity cuts.

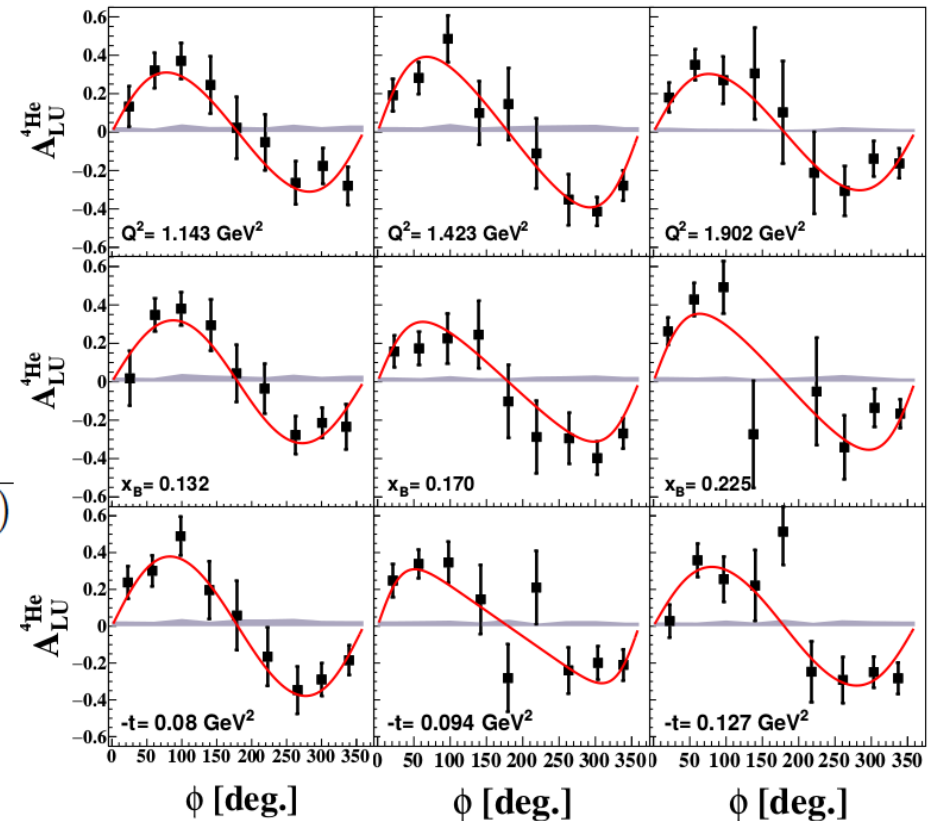
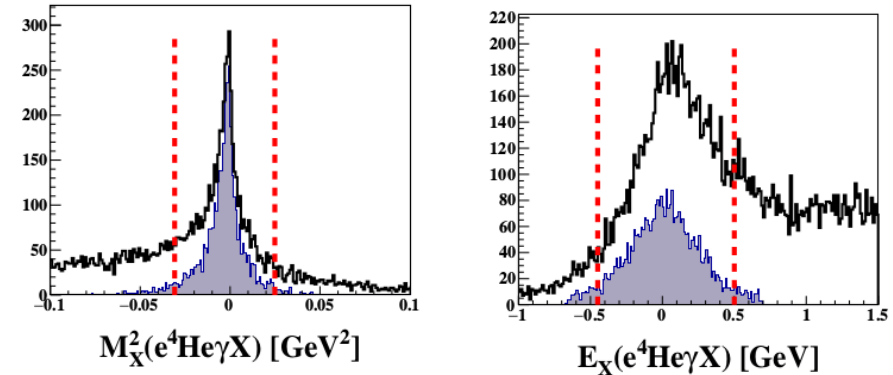
2. π^0 background subtraction based on data and simulation (cont. $\sim 2 - 4\%$)

3. Beam-spin asymmetry:

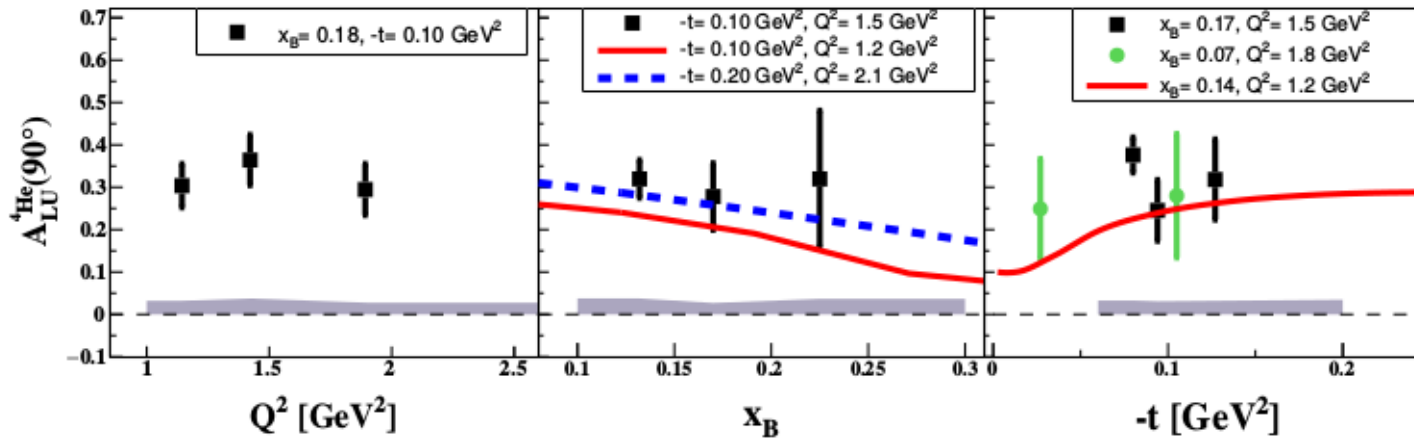
$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$= \frac{\alpha_0(\phi) \Im m(\mathcal{H}_A)}{\alpha_1(\phi) + \alpha_2(\phi) \Re e(\mathcal{H}_A) + \alpha_3(\phi) (\Re e(\mathcal{H}_A)^2 + \Im m(\mathcal{H}_A)^2)}$$

- **2D** bins due to **limited statistics**
- Uncertainties dominated by statistics
- Systematic uncertainties ($\sim 10\%$)
- dominated by exclusivity cuts ($\sim 8\%$) and large phi binning ($\sim 5\%$)



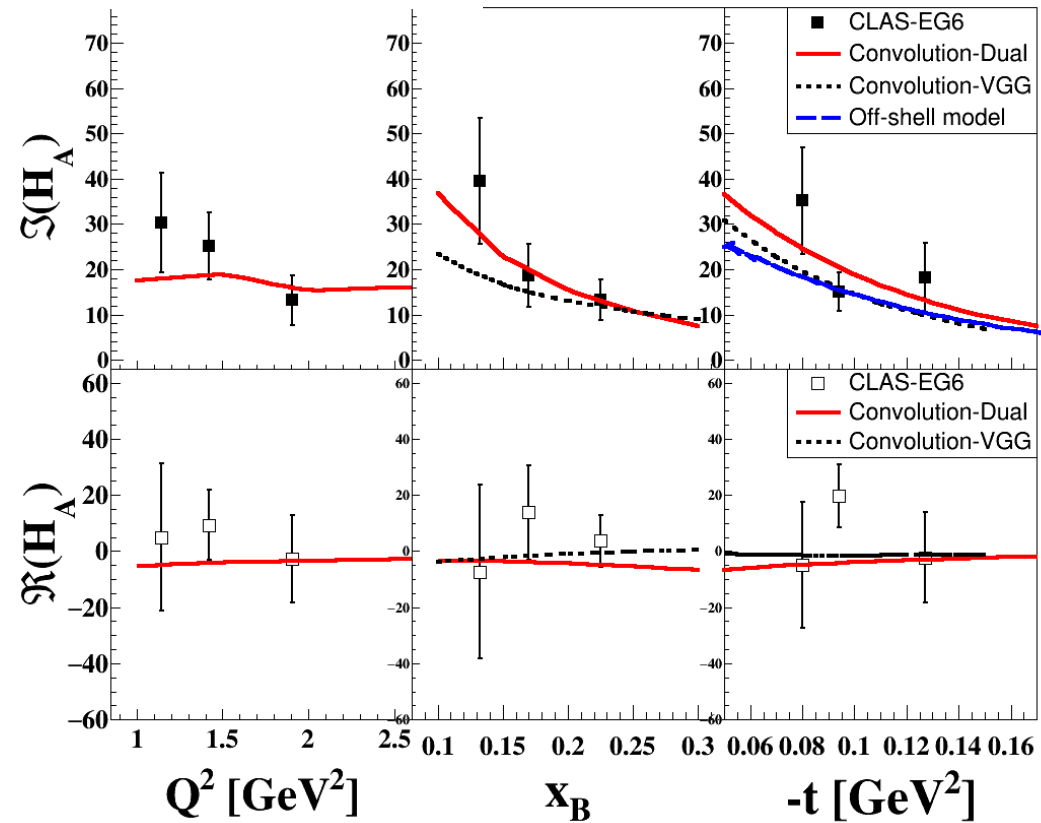
Coherent A_{LU} and CFFs



[S. Liuti and K. Taneja,
PRC 72 (2005) 032201]
[HERMES: A. Airapetian, et al.,
PRC 81, 035202 (2010)]

- Same A_{LU} sign as HERMES.
- Asymmetries are in agreement with the available models.
- The first ever experimental extraction of the real and the imaginary parts of the ^4He CFF. Compatible with the calculations.
- More precise extraction of $\text{Im}(H_A)$.

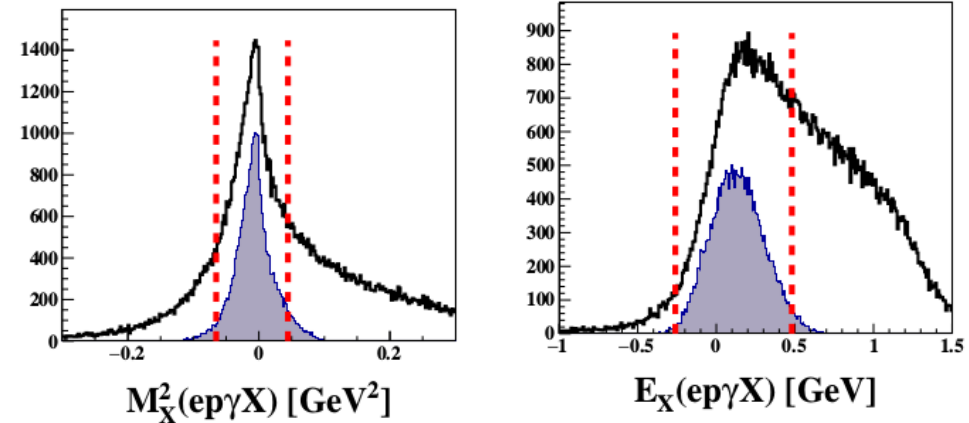
CLAS-EG6: M. Hattawy et al., Phys. Rev. Lett. 119, 202004 (2017)
Convolution-Dual: V. Guzey, PRC 78, 025211 (2008).
Convolution-VGG: M. Guidal, M. V. Polyakov, A. V. Radyushkin and M. Vanderhaeghen, PRD 72, 054013 (2005).
Off-shell model: J. O. Gonzalez-Hernandez, S. Liuti, G. R. Goldstein and K. Kathuria, PRC 88, no. 6, 065206 (2013)



Incoherent DVCS Selection & Asymmetries

1. We select events which have:

- ◇ Events with :
 - Only one good electron in CLAS
 - At least one high-energy photon ($E_\gamma > 2$ GeV)
 - Only one proton in CLAS.
- ◇ $Q^2 > 1$ GeV² and $W > 2$ GeV/c²
- ◇ Exclusivity cuts (3 sigmas).



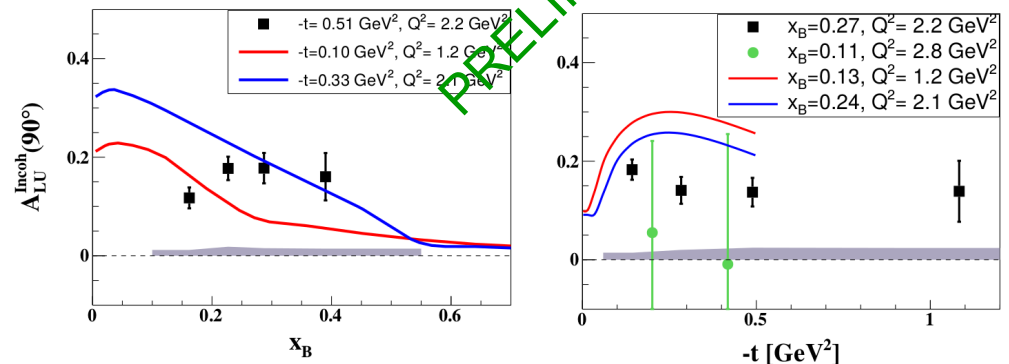
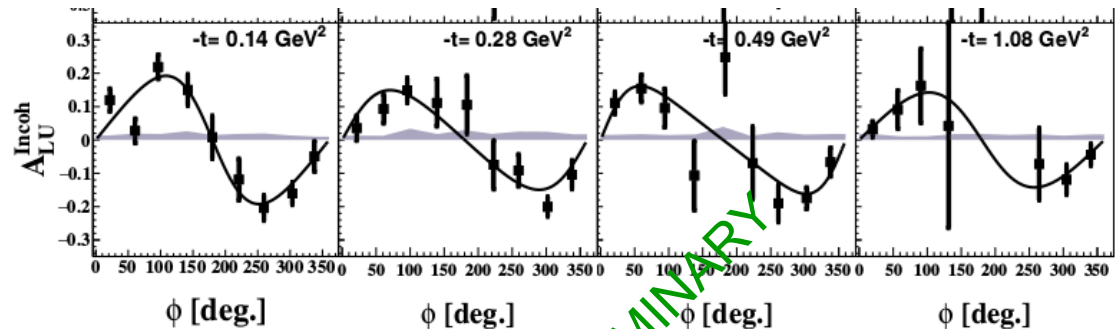
2. π^0 background subtraction (contaminations $\sim 8 - 11\%$)

3. Beam-spin asymmetry:

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$A_{LU} \propto \alpha(\phi) \{F_1 H + \xi(F_1 + F_2) \tilde{H} + \kappa F_2 E\}$$

- **2D** bins due to **limited statistics**
- Fits in the form: $\frac{\alpha * \sin(\phi)}{(1 + \beta * \cos(\phi))}$



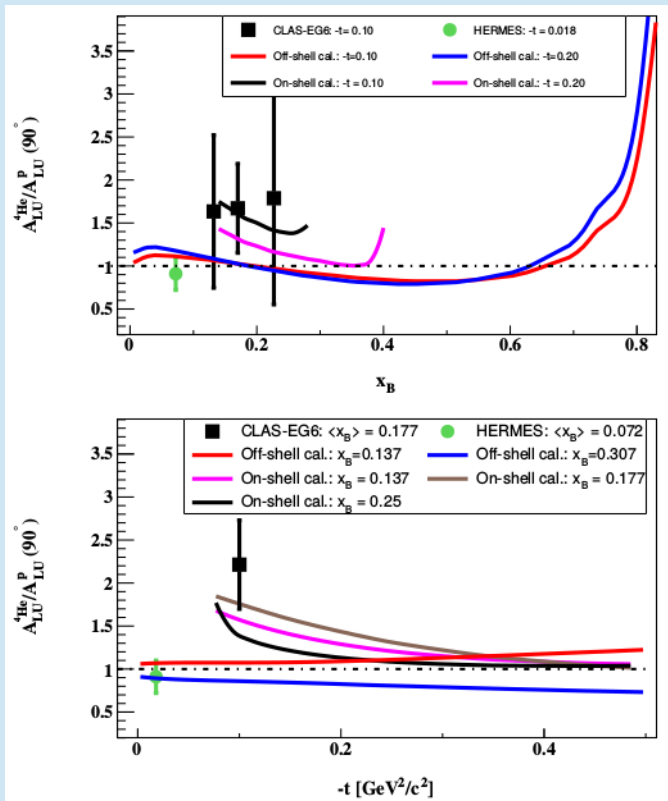
* A PRL presenting the incoherent results is under progress.

[S. Liuti and K. Taneja. PRC 72 (2005) 032201]

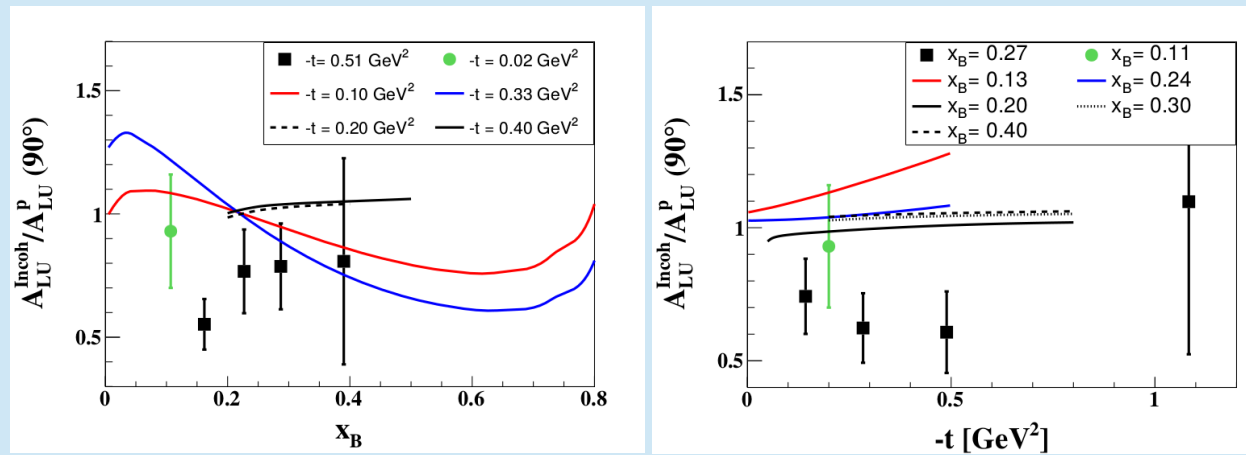
Generalized EMC Ratio

◇ We comparing our measured coherent/incoherent asymmetries to the asymmetries measured in CLAS DVCS experiment on free proton

- Coherent/proton:



- Incoherent/proton:



→ **Coherent/proton is:**

- Consistent with the enhancement predicted by the Impulse approximation model [V. Guezy et al., PRC 78 (2008) 025211]
- Does not match the inclusive measurement of HERMES. [A. Airapetian, et al., Phys. Rev. C 81, 035202 (2010)]

→ **Incoherent/proton** is suppressed compared to both the PWIA and the nuclear spectral function calculations.

[S. Liuti and K. Taneja. PRC 72 (2005) 032201]
[V. Guezy et al., PRC 78 (2008) 025211]

CLAS12-ALERT Program

CLAS-E08-024 experiment:

- 2D binning due to limited statistics
- Limited phase-space.

CLAS12 experimental apparatus:

- High luminosity & large acceptance.
- Measurements of deeply virtual exclusive, semi-inclusive, and inclusive processes.

We proposed to measure with CLAS12:

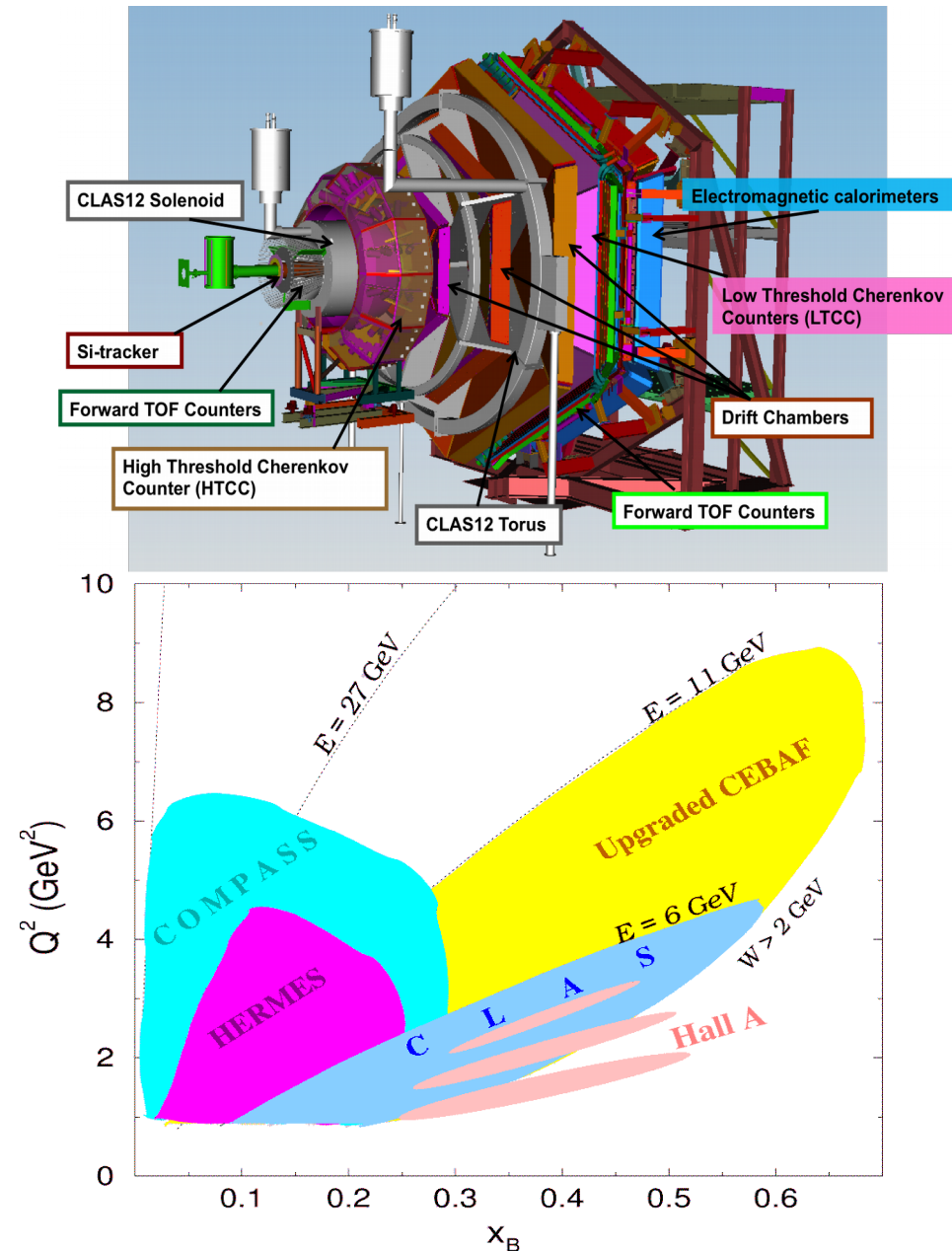
- Partonic Structure of Light Nuclei.
- Tagged EMC Measurements on Light Nuclei.
- Spectator-Tagged DVCS Off Light Nuclei.
- Other Physics Opportunities.

◆ The momentum threshold of the CLAS12 inner tracker is **too high** to be used for our measurements.

Proposed experimental setup:

- CLAS12 forward detectors.
- A Low Energy Recoil Tracker (ALERT) in place of CLAS12 Central detector (SVT & MVT).

◆ **CLAS12-ALERT** setup will allow **higher statistics** and **wider kinematical coverage**.



ALERT Detector

◆ Cylindrical target:

- 30 cm long
- 6 mm outer radius.
- Target at 3 atm pressure.
- 25 μ m target wall (Kapton).

◆ A clear space filled with helium

to reduce secondary scattering from the high rate Moller electrons ($R_{\text{out}} = 30$ mm).

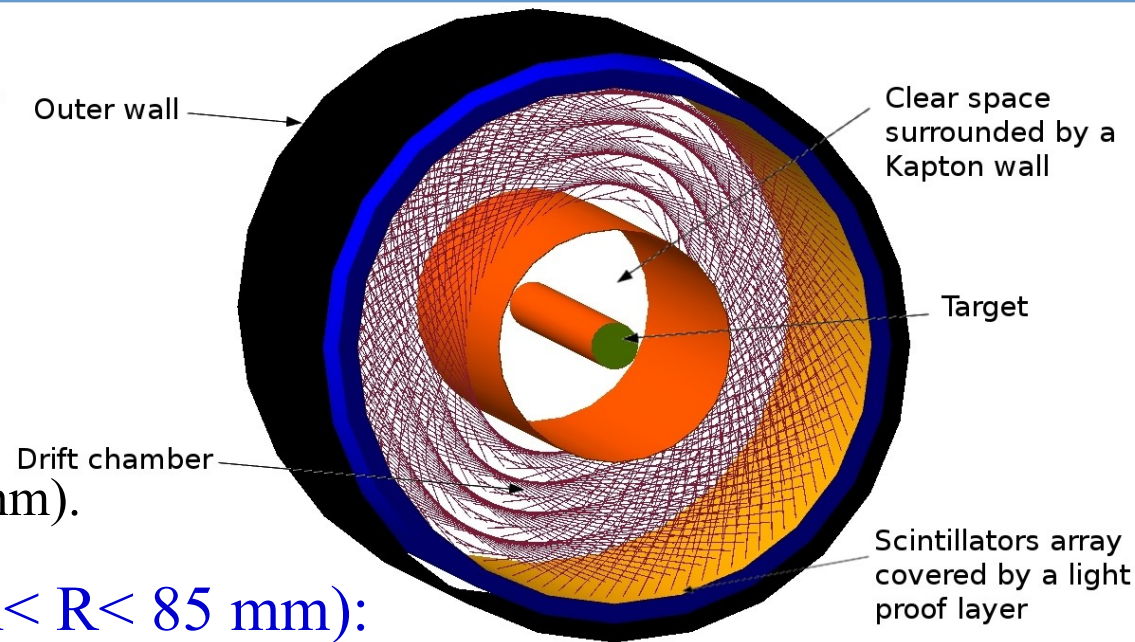
◆ Hyperbolic drift chamber (32 mm $< R < 85$ mm):

→ Will detect the trajectory of the low energy nuclear recoils.

- 8 circular layers of 2mm hexagonal cells.
- 10° stereo-angle to give z-resolution.
- Total of 2600 wires, < 600 kg tension.
- Maximum drift time ~ 250 ns, will be included in the trigger.

◆ Two rings of plastic scintillators (Total thickness of 20 mm, SIPMs directly attached):

→ TOF (< 150 ps resolution) and deposited energy measurements.



→ **Separate protons, deuterium, tritium, alpha, ³He**

ALERT Expected Performance

- **Capabilities for very low momentum detection**

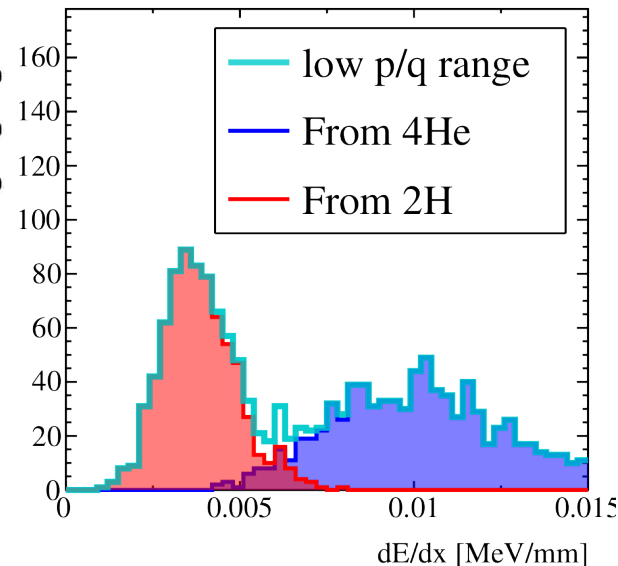
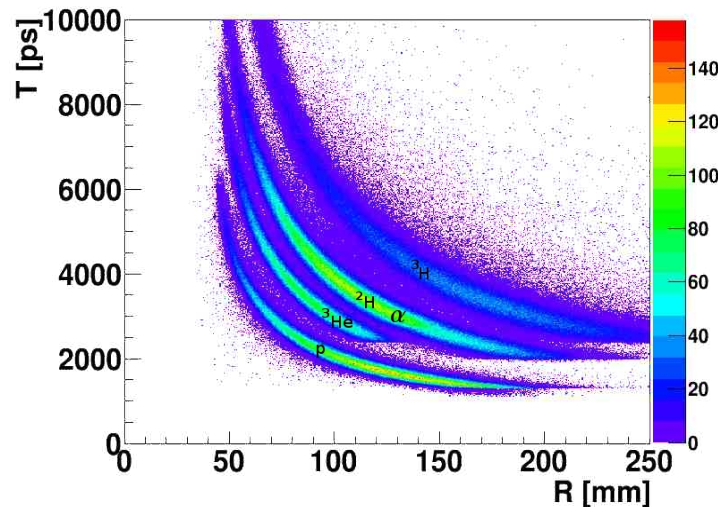
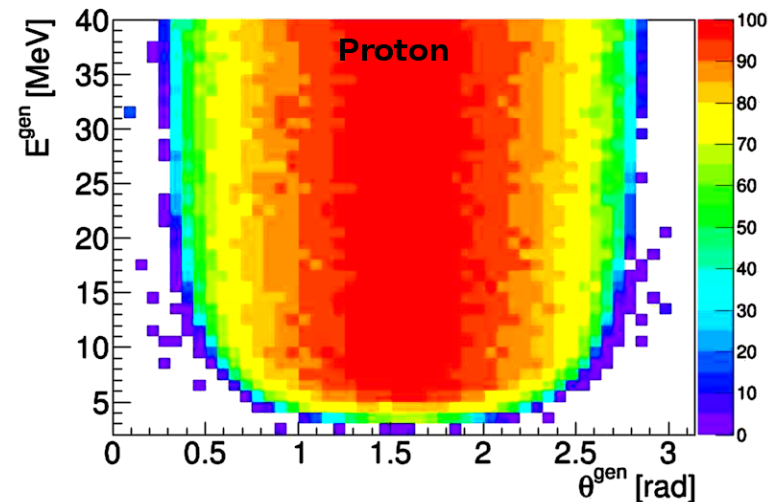
- As low as 70 MeV/c for protons and 240 MeV/c for ^4He
- Forward and backward detections (25° from the beam).

- **Capabilities to handle high rates**

- Small distance between wires leads to short drift time <250 ns ($5 \mu\text{s}$ in a similar RTPC)
- This translates into $20\times$ less accidental hits
- Will be integrated in the trigger for significantly reduced DAQ rate

- **Improved PID**

- Like in the RTPC, we get dE/dx measurement
- We have more resolution on the curvature due to the large pad size in previous RTPCs
- TOF information



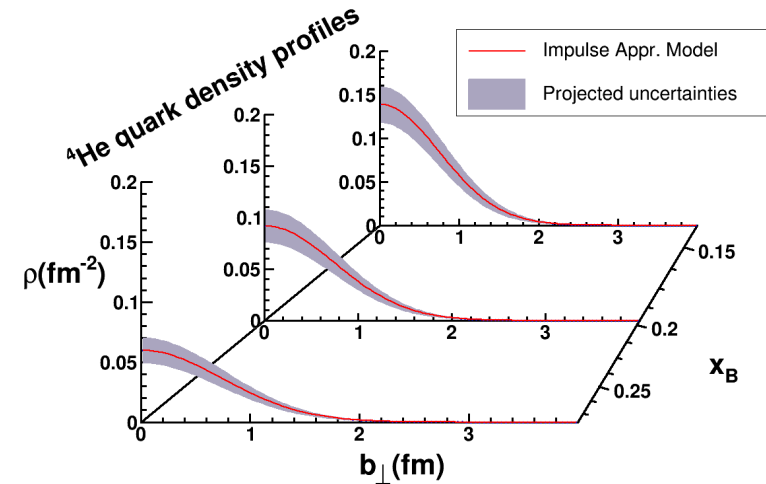
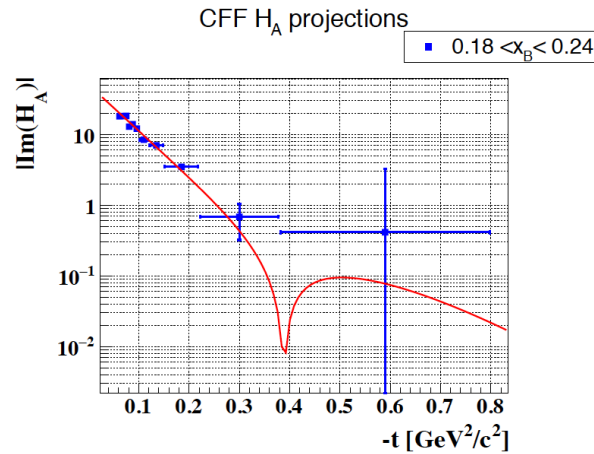
Partonic Structure of Light Nuclei (PR12-17-012)

- Map the fundamental structure of nuclei within the GPD framework
- Compare the **quark** and **gluon** 3D structure of the Helium nucleus

$e\ ^4\text{He} \rightarrow e'\ ^4\text{He}' \gamma$:

- Fully model independent extraction of H_A CFF from fitting the BSA.
- Fourier transform of $\text{Im}(H_A)$ at $\xi=0$ gives probability density of quarks as function of x and impact parameter.

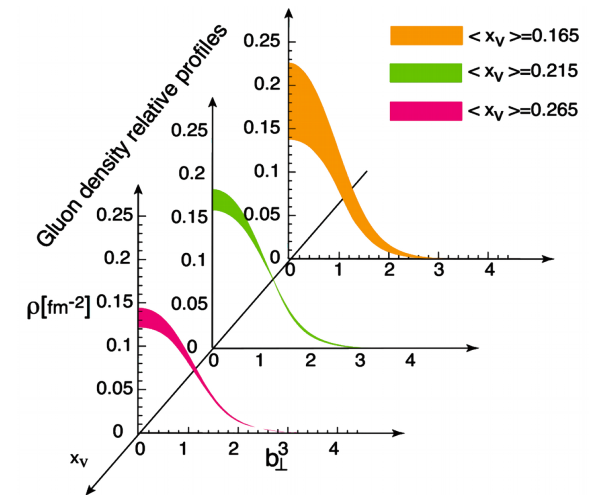
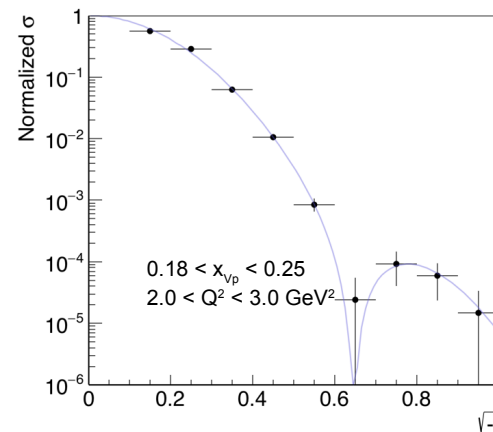
$$\rho(x, 0, b_\perp) = \int_0^\infty J_0(b\sqrt{t}) H^A(x, 0, t) \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



$e\ ^4\text{He} \rightarrow e'\ ^4\text{He}' \phi$:

- Detect recoil ^4He , e , and K^+ (missing K^-)
- The longitudinal cross-section will be extracted from the angular distribution of the kaon decay in the phi helicity frame.
- Gluon density extraction:

$$\rho_g(x, 0, b_\perp) \rightarrow \int_0^\infty J_0(b\sqrt{t}) \sqrt{\frac{d\sigma_L}{dt}} \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



Requested PAC days: 20 days at $3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ + 10 days at $6 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ + (5 Com.)

Tagged EMC Measurements (PR12-17-012A)

DIS, with tagged spectator, provides access to new variables and explore links between **EMC effect** and **intranuclear dynamics**

Tagged DIS provides test for:

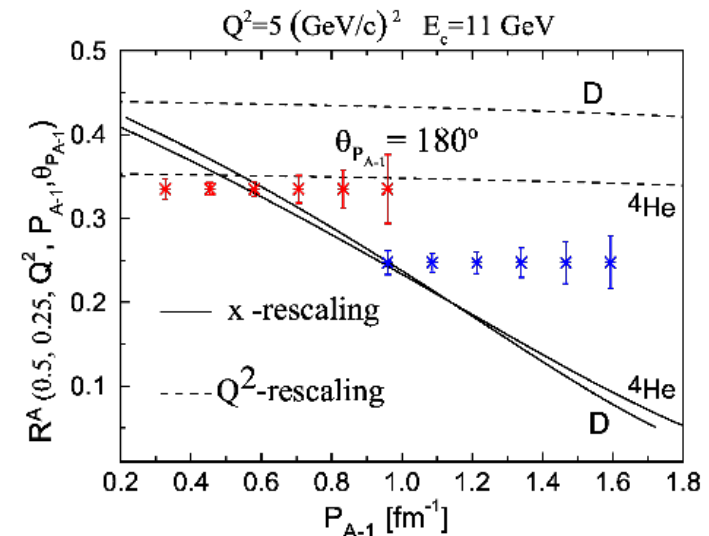
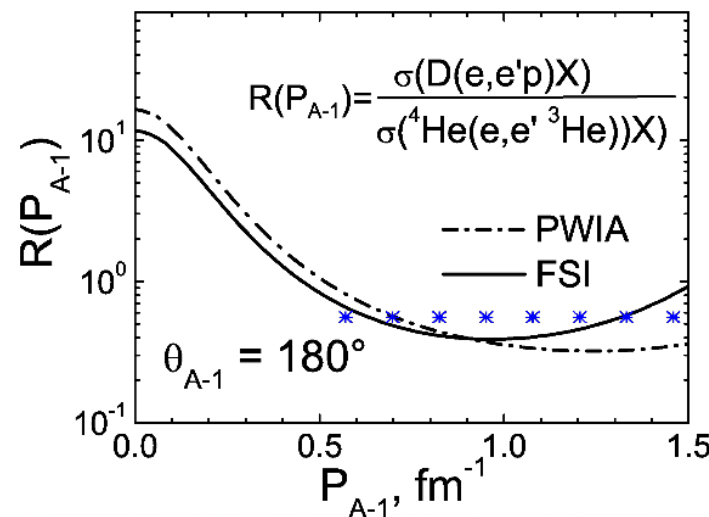
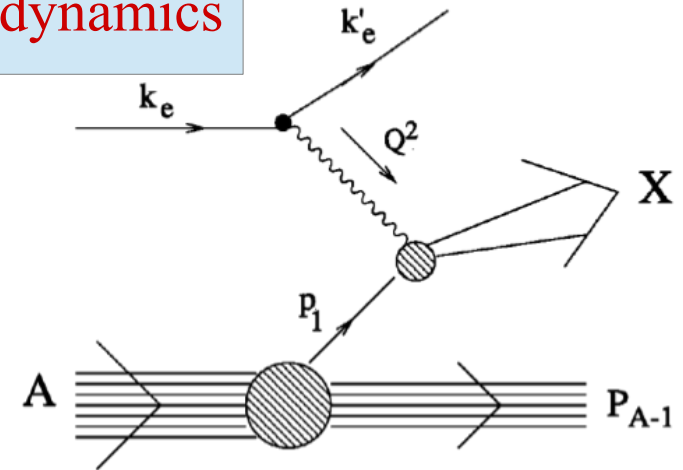
- FSI models over wide momentum and angle ranges.
- EMC effect models: x/Q^2 scaling.
- d/u ratio changes in nuclear medium.

Comparing D to ^4He is particularly interesting:

- It conserves the nucleus isospin symmetry.
- ^4He is a light nuclei with a sizable EMC effect.
- The two rescaling effects are cleanly separated by the comparison between the two nuclei.
- They complement each other in spectator momentum coverage.

40 (+5) PAC days

- 20 on ^4He ($3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$).
- 20 on D ($3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$).



Spectator-Tagged DVCS On Light Nuclei (PR12-17-012B)

- Probe connection between **partonic** and **nucleonic** interpretations via DVCS
- **Partonic interpretation** and **in-medium hadron tomography** of nucleons
- Study of **Off-Forward EMC** effect in incoherent DVCS

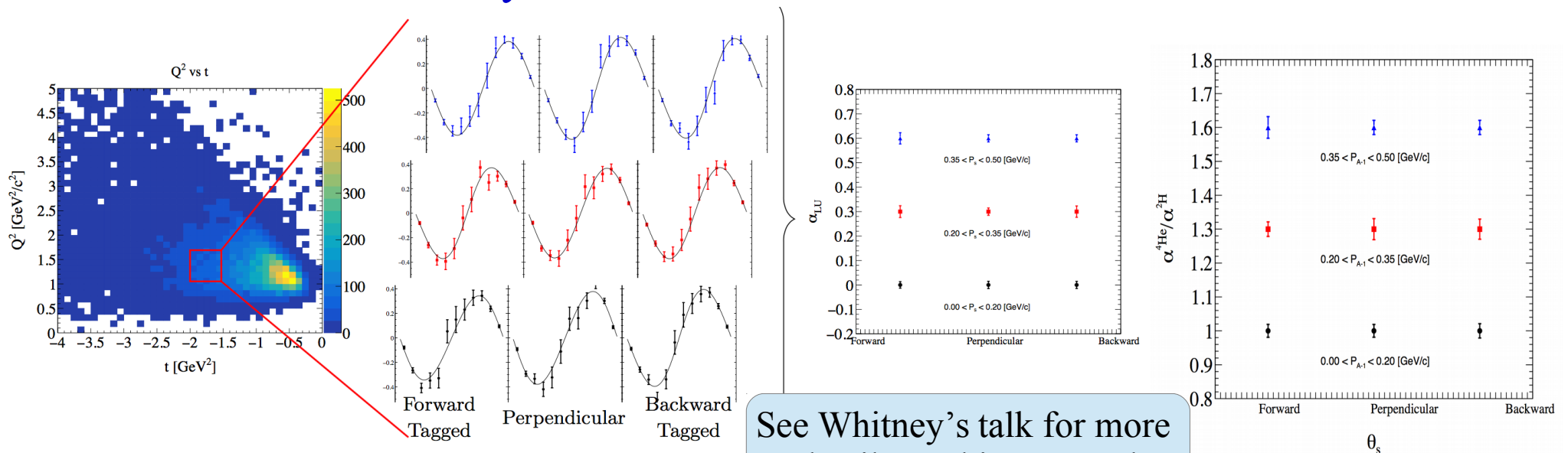
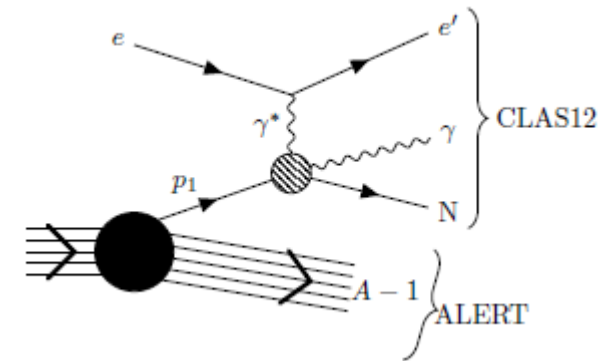
Bound-p DVCS:

- Fully detected $ep^3\text{H}$ final state, provides unique opportunity to study FSI, test PWIA, identify kinematics with small/large FSI.

Bound neutron in ^4He /quasi-free in ^2H :

- $e^3\text{He}(n) / ep(n)$ final states (p detection down to ~ 70 MeV, ^3He to ~ 120 MeV).
- Six-dimensional binning (Q^2 , x_B , t , ϕ , p_s , θ_s).

No additional PAC days



See Whitney's talk for more details on this proposal

Other Physics Opportunities (PR12-17-012C)

The **three main proposals of the ALERT** run group is only a fraction of the physics that can be achieved by successfully analyzing the ALERT run group data

◆ π^0 production off ^4He

- Coherent and incoherent production.
- Measure BSA, leading to chiral-odd CFFs.
- Also as a DVCS background.

◆ Coherent DVCS off D

- Access to new GPDs, H_3 , with relationships to dueteron charge form factors.

◆ Coherent DVMP off D

- π^0 , ϕ , ω and ρ mesons.

◆ Semi-inclusive reaction $p(e, e'p)X$

- Study the π^0 cloud of the proton.

◆ $D(e, e'pp_s)X$

- Study the π^- cloud of the neutron.

◆ More Physics:

- Helium GPDs beyond the DVCS at leading order and leading twist.
- Tagged nuclear form factors measurements.
- The role of Δ s in short-range correlations.
- The role of the final state interaction in hadronization and medium modified fragmentation functions.
- The medium modification of the transverse momentum dependent parton distributions.
- ... and more

Conclusions & Perspectives

- ◇ **Several decades of elastic and DIS experiments on hadrons** have provided one-dimensional views of hadrons' structure.
- ◇ **We are now exploring the 3D structure of nucleons within the GPD framework**
 - Fifteen years of successful experiments at JLab.
 - Accumulated a wide array of proton data.
 - The first tomography was extracted.
- ◇ **The first exclusive measurement of DVCS off ^4He :**
 - The coherent DVCS shows a stronger asymmetry than the free proton as was expected from theory.
 - We performed the first ever model independent extraction of the ^4He CFF.
 - We extracted EMC ratios and compared them to theoretical predictions.
 - The bound proton has shown a different trend compared to the free one indicating the medium modifications of the GPDs and opening up new opportunities to study the EMC effect.
- ◇ **CLAS12-ALERT** will provide wider kinematical coverage and better statistics that will:
 - Allow performing ^4He tomography in terms of quarks and gluons.
 - Allow comparing the gluon radius to the charge radius.
 - Use tagging methods to study EMC effect via DIS measurements.
 - Use Tagged-DVCS techniques to study in-medium nucleon interpretations.
 - Reinforce EIC physics program by proving their usefulness in the valence region.