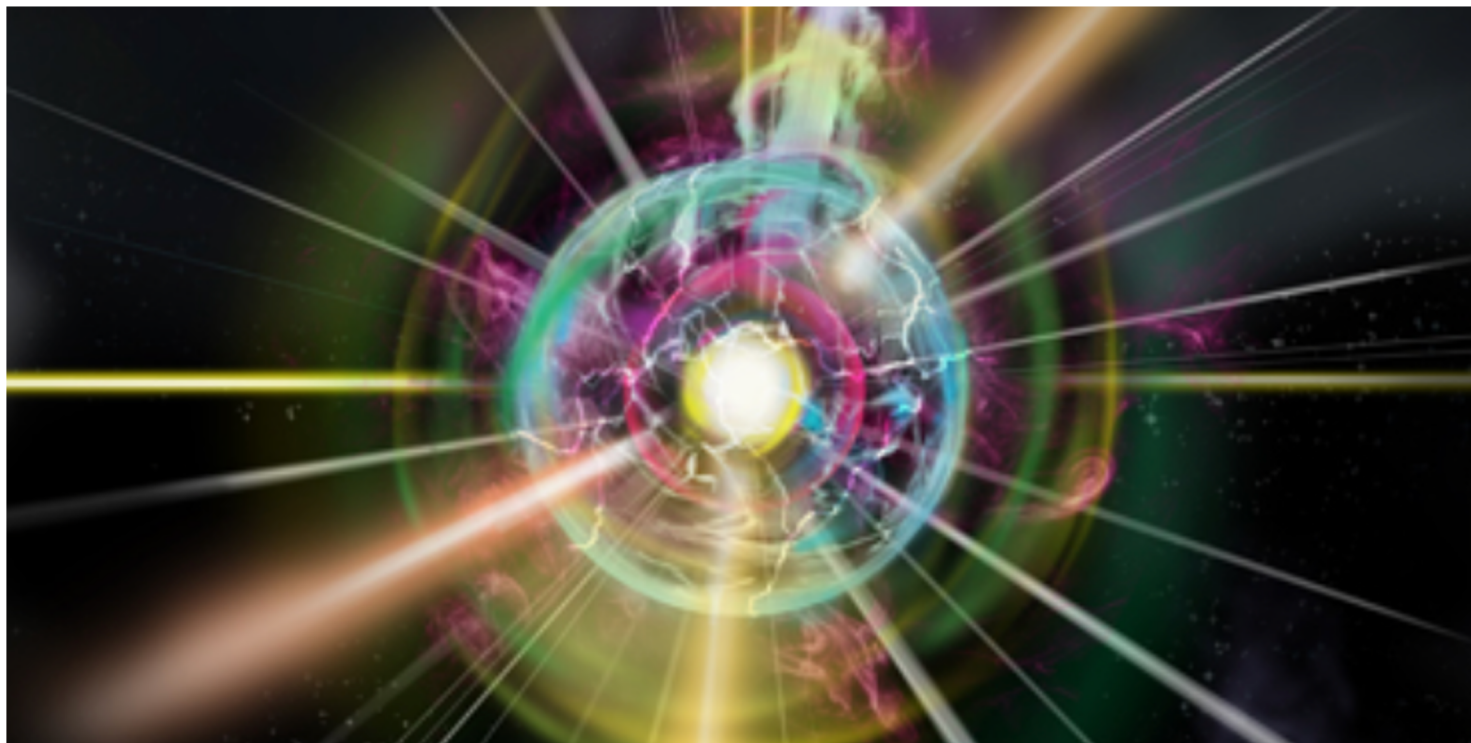


# Rotations of odd-mass nuclei: magnetic monopoles and Berry phases

Nuclear Physics Meets Condensed Matter, virtual ECT\* workshop, 21 July 2021

(image credit: Heikka Valja)



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# Rotational excitations of atomic nuclei are well understood

- Odd-mass nuclei are usually described in the particle-rotor model, where a nucleon with spin  $\vec{K}$  is coupled to a rotor with spin  $\vec{R}$  to total spin  $\vec{I} = \vec{R} + \vec{K}$

- Rotor Hamiltonian

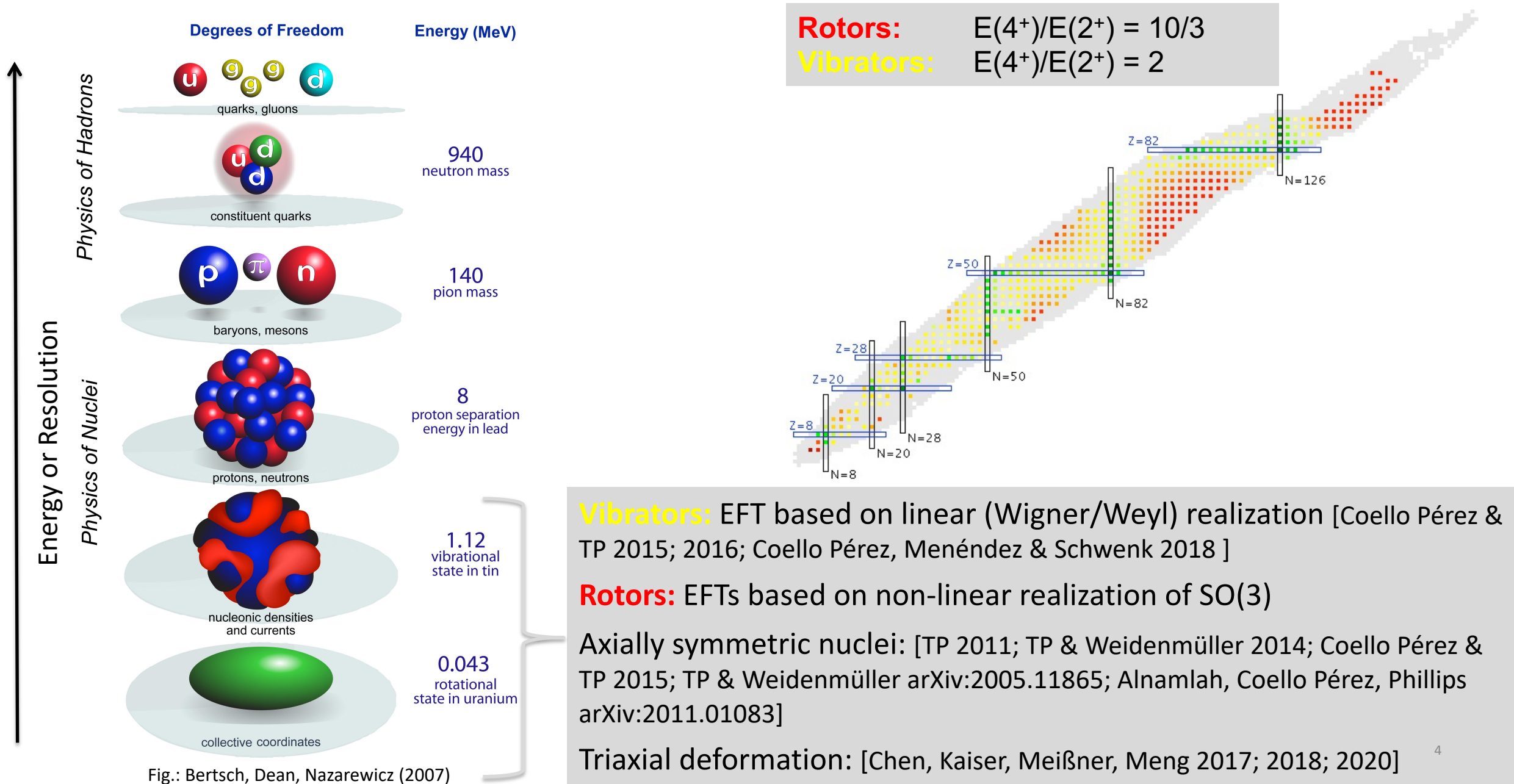
$$H = \sum_{k=x',y',z'} \frac{R_k^2}{2C_k}$$

leads to particle-rotor Hamiltonian

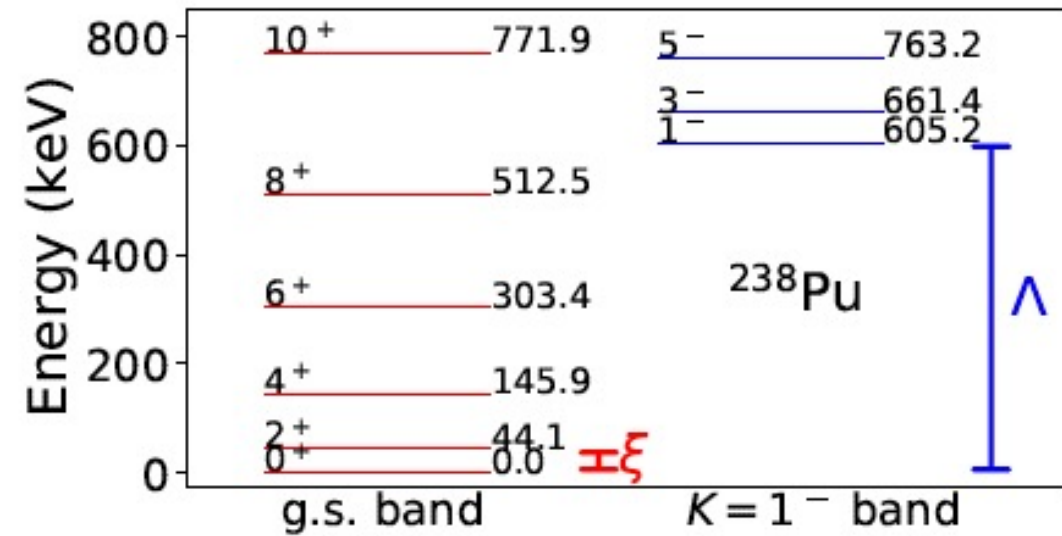
$$H_{\text{rot}} = \sum_{k=x',y',z'} \frac{(I_k - K_k)^2}{2C_k}$$

- Q: How can this be understood in a Lagrangian approach?
- Q: How can one systematically improve such a description?

# Effective field theories for heavy nuclei



# Scales in heavy deformed nuclei

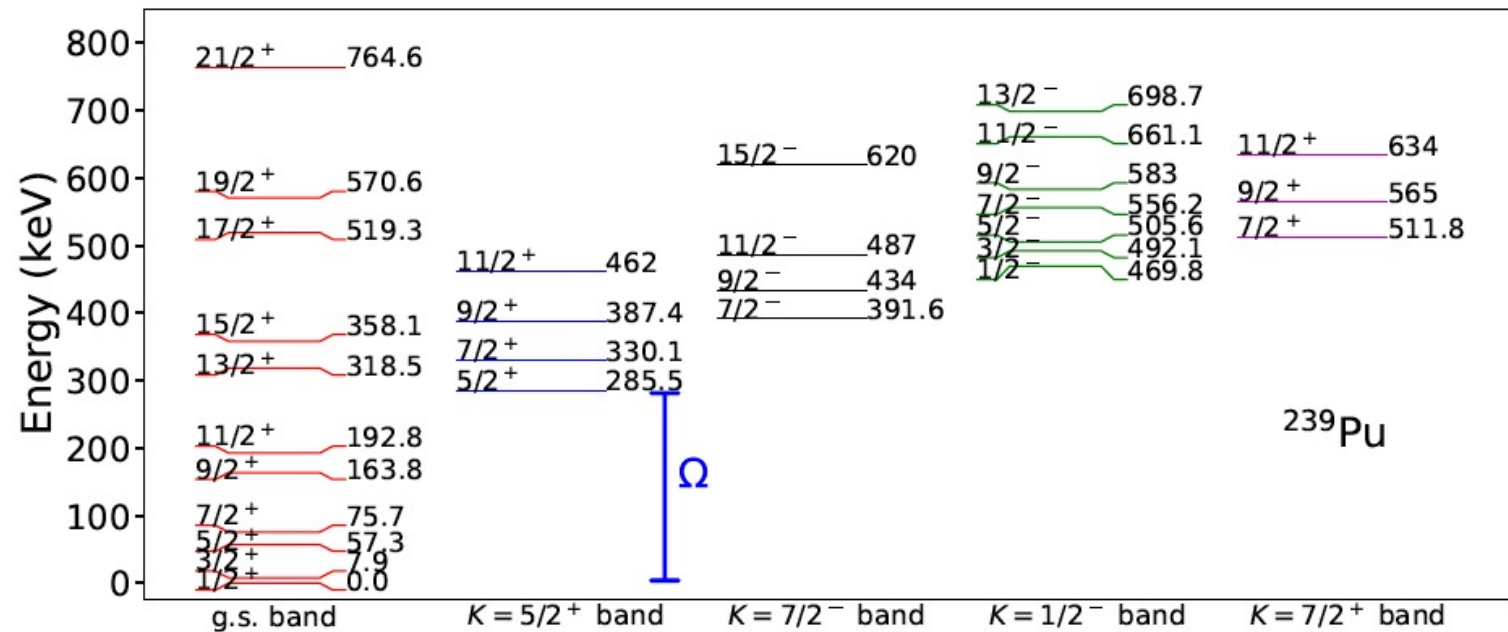


$\xi$  = the small energy scale of interest

$\Lambda$  = breakdown scale

$\Omega$  = single-particle scale of fermion

EFT exploits the small ratio  $\frac{\xi}{\Lambda} \ll 1$



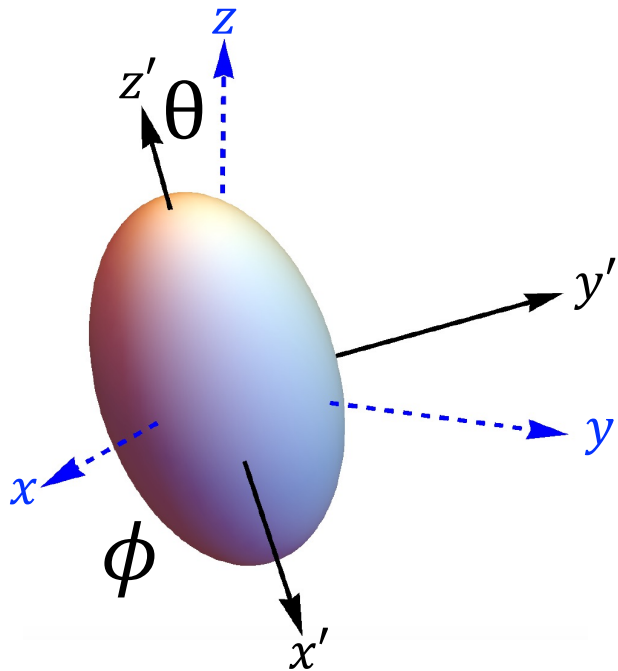
# Effective Field Theory for Deformed Nuclei in a Nutshell 1

The EFT for deformed nuclei works at lowest resolution; expansion in small parameter  $\frac{\xi}{\Lambda} \ll 1$

- In the EFT for deformed nuclei we deal with emergent symmetry breaking from  $SO(3) \rightarrow SO(2)$ , and the degrees of freedom  $(\theta, \phi)$  are combined in the radial unit vector

$$\vec{e}_r(\theta, \phi) = (\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta)^T$$

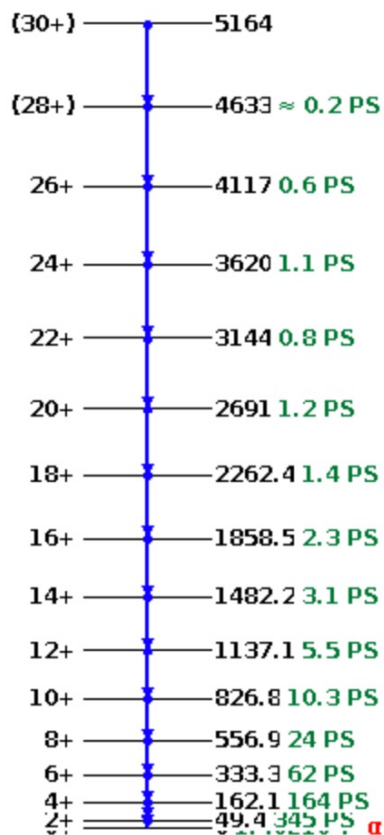
which parametrizes the coset  $SO(3)/SO(2) \sim S^2$ , i.e. the unit sphere.



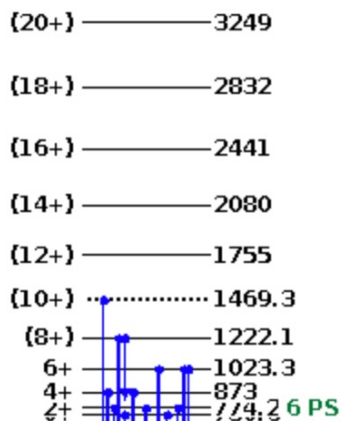
- Nambu-Goldstone bosons appear with derivatives only; simplest Lagrangian is that of a rotor  $L = \frac{c_0}{2} \left( \frac{d}{dt} \vec{e}_r(\theta, \phi) \right)^2$
- Hamiltonian  $H = \frac{J(J+1)}{2C_0}$
- EFT = expansion in powers of  $\left( \frac{d}{dt} \vec{e}_r(\theta, \phi) \right)^2$



# $^{232}\text{Th}$ as an example



Two low-lying rotational bands in  $^{232}\text{Th}$



$^{232}_{90}\text{Th}_{142}$

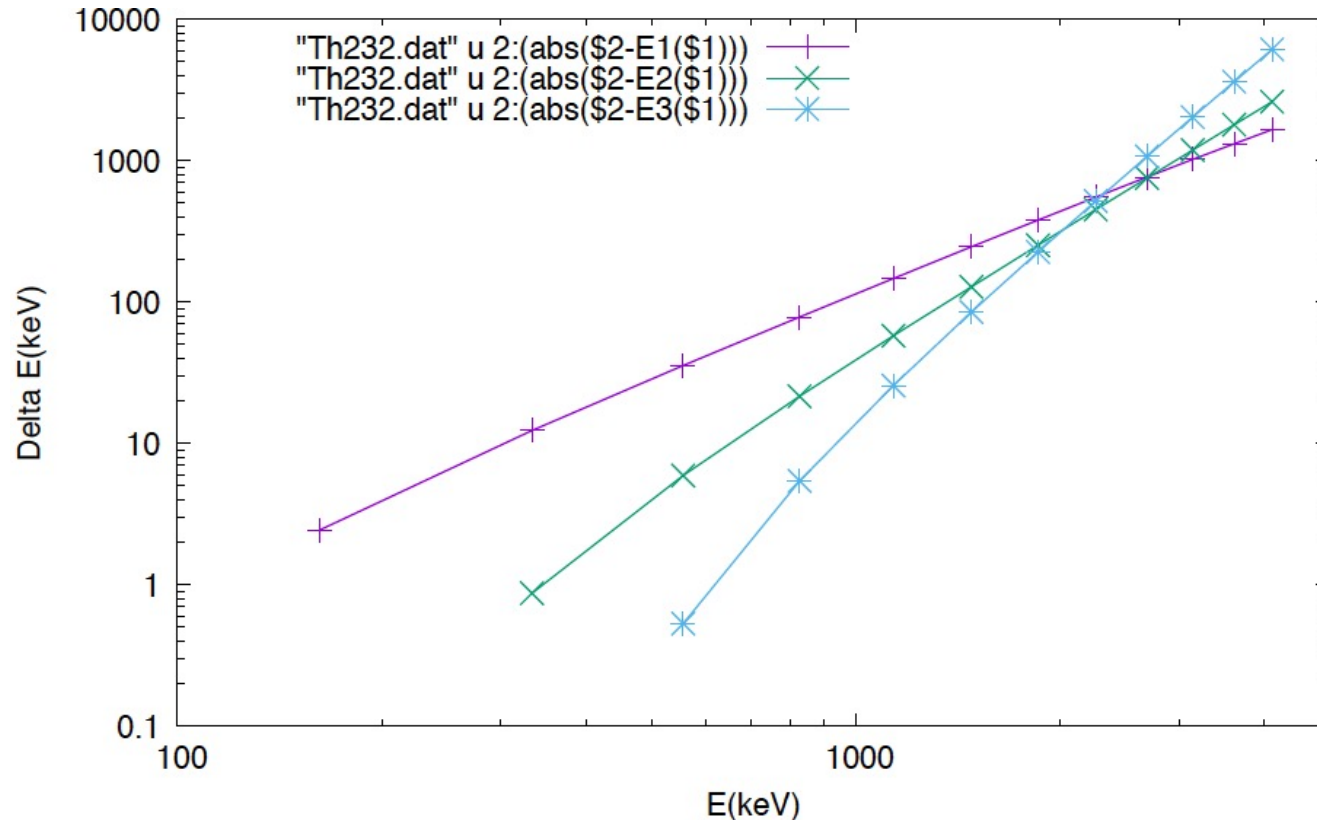
Q1: What is the low-energy scale  $\xi$ ?

A1:  $\xi \approx 50$  keV

Q2: What is the breakdown scale  $\Lambda$ ?

A2: (from Lepage plot)  $\Lambda \approx 2400$  keV

“Lepage plot,”  $\rightarrow$  P. Lepage, arXiv:nucl-th/9706029



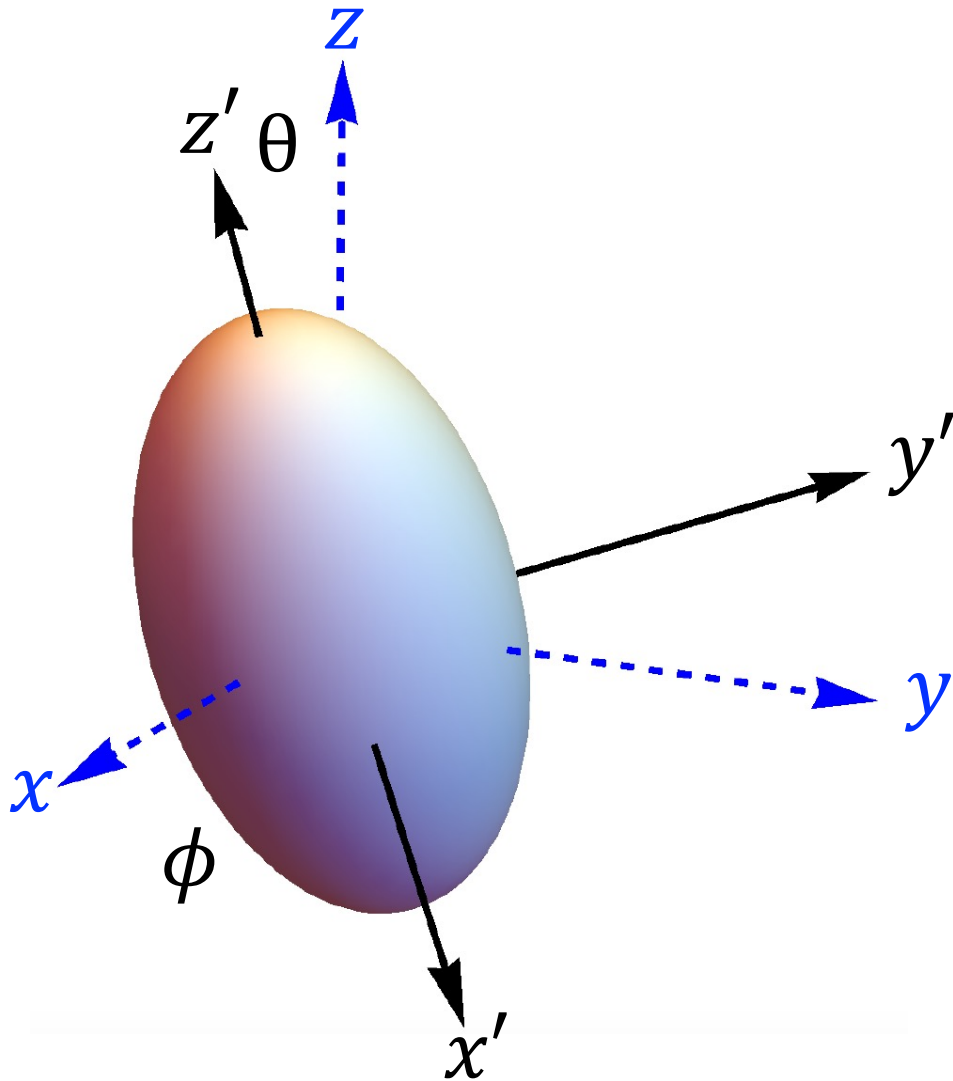
LO:  $E_{LO} = aI(I + 1)$

NLO:  $E_{NLO} = aI(I + 1) + b[I(I + 1)]^2$

NNLO:  $E_{NNLO} = aI(I + 1) + b[I(I + 1)]^2 + c[I(I + 1)]^3$

$\rightarrow$  Alnamlah, Coello Pérez, Phillips arXiv:2011.01083

# Axially symmetric even-even nucleus



- Effective field theory: Nonlinear realization of  $SO(3)$  in case of spontaneous symmetry breaking down to axial  $SO(2)$  [Weinberg 1968, Callan, Coleman, Wess & Zumino 1969]: Degrees of freedom parameterize the unit sphere, i.e. the coset  $SO(3)/SO(2) \sim S^2$
- Traditional NP: We have an axially symmetric rotor, and its orientation is in direction of the angles  $(\theta, \phi)$ .
- Berry: The body-fixed system is only defined up to rotations around the body-fixed symmetry ( $z'$ ) axis  $\rightarrow$  gauge freedom



# Effective Field Theory for Deformed Nuclei in a Nutshell 2

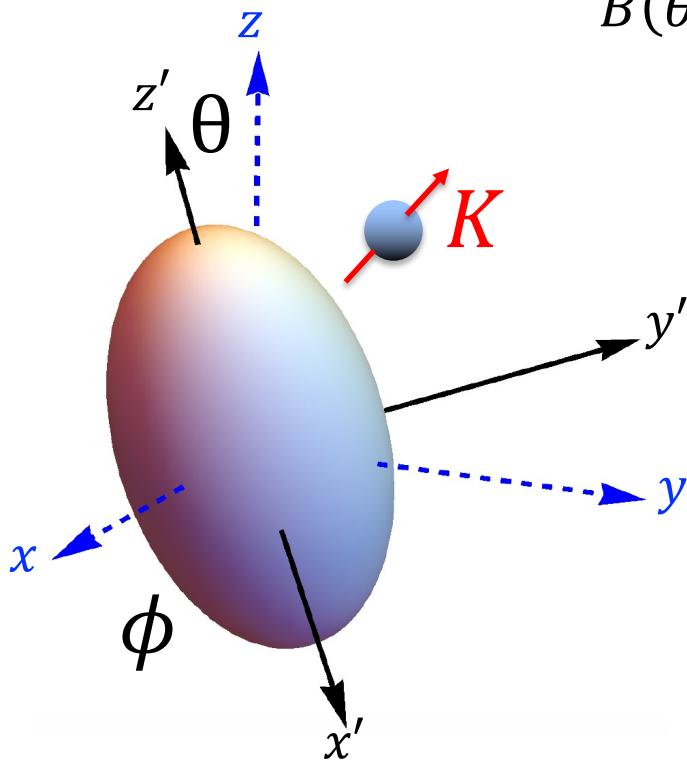
Nucleon (with spin  $\vec{K}$ ) is a fast degrees of freedom; adiabatic motion generates gauge potentials

$$\vec{A}(\theta, \phi) = (\vec{e}_r(\theta, \phi) \cdot \vec{K}) \cot \theta \vec{e}_\phi(\theta, \phi)$$

$$\vec{A}(\theta, \phi) = g \vec{e}_r(\theta, \phi) \times \vec{K}$$

Corresponding “magnetic” fields (or Berry curvatures) are spherically symmetric monopoles

$$\begin{aligned} \vec{B}(\theta, \phi) &= \vec{\nabla} \times \vec{A}(\theta, \phi) - i \vec{A}(\theta, \phi) \times \vec{A}(\theta, \phi) \\ &= (g^2 - 1) (\vec{e}_r(\theta, \phi) \cdot \vec{K}) \vec{e}_r(\theta, \phi) \end{aligned}$$



- The coupling to the rotor is via  $\vec{A}(\theta, \phi) \cdot \frac{d}{dt} \vec{e}_r(\theta, \phi)$

- Lagrangian  $L = \frac{C_0}{2} \left( \frac{d}{dt} \vec{e}_r(\theta, \phi) \right)^2 + \vec{A}(\theta, \phi) \cdot \frac{d}{dt} \vec{e}_r(\theta, \phi)$

# Gauge freedom

In an axially-symmetric nucleus, the body-fixed coordinate system is arbitrary with respect to rotations around the symmetry axis. A gauge function  $\gamma = \gamma(\theta, \phi)$  can be introduced that specifies the angle between different coordinate systems [Littlejohn & Reinsch, Rev. Mod. Phys. 1997]

Deformed nuclei

$$\vec{A}(\theta, \phi) = K_{z'} \cot \theta \vec{e}_\phi(\theta, \phi)$$

Wigner  $D_{MK_{z'}}^I(\phi, \theta, 0)$  functions are solutions

Wu Yang monopole

$$\vec{A}(\theta, \phi) = K_{z'} \frac{\cos \theta \pm 1}{\sin \theta} \vec{e}_\phi(\theta, \phi)$$

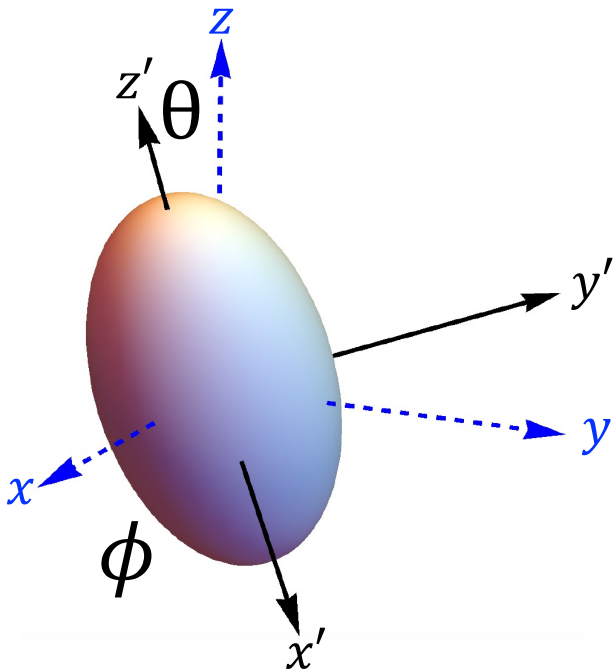
Monopole harmonics are solutions

Gauge function:

$$\gamma(\theta, \phi) = \pm \phi$$

→ T. Dray, "A unified treatment of Wigner D function, spin weighted spherical harmonics, and monopole harmonics," J. Math. Phys. 27, 781 (1986)]

→ Solutions are  $D_{MK_{z'}}^I(\phi, \theta, \gamma(\theta, \phi))$



# Comments on rotational invariance

- The Abelian gauge potential is not invariant under rotations:

$$\vec{A}(\theta, \phi) = (\vec{e}_r(\theta, \phi) \cdot \vec{K}) \cot \theta \vec{e}_\phi(\theta, \phi)$$

- After a rotation, a gauge transformation can be used to bring the potential back into its original form [Fierz 1944].
- (The non-Abelian gauge potential clearly is invariant:  $\vec{A}(\theta, \phi) = g \vec{e}_r(\theta, \phi) \times \vec{K}$  )

# Impact on the gauge potentials on spectra

- The Abelian gauge potential is leading order; (yields unremarkable shift of rotational band)

$$E(I, K) = a [I(I + 1) - K^2]$$

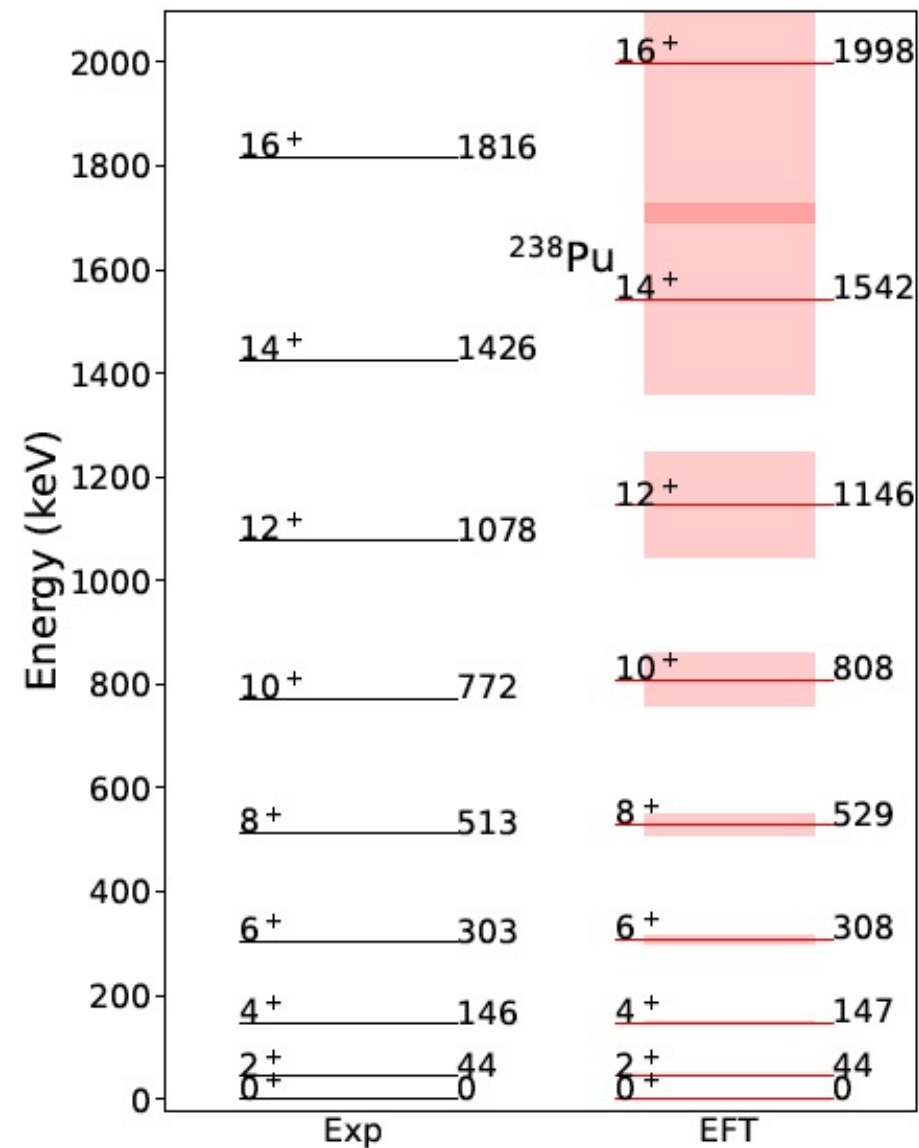
- The non-Abelian gauge potential connects states that differ by one unit of  $K$ .
  - Visible impact in  $|K| = 1/2$  bands (with substates  $K = \pm 1/2$ )

$$E(I, K) = a[I(I + 1) - K^2] - 2ag\delta_{|K|}^{\frac{1}{2}}(-1)^{I+\frac{1}{2}}\left(I + \frac{1}{2}\right)$$

- Can also impact rotational bands that are close in energy and differ in their band-head spins by one unit of  $K$  [Kerman 1956]

$^{239}\text{Pu}$  as a neutron coupled to  $^{238}\text{Pu}$ :

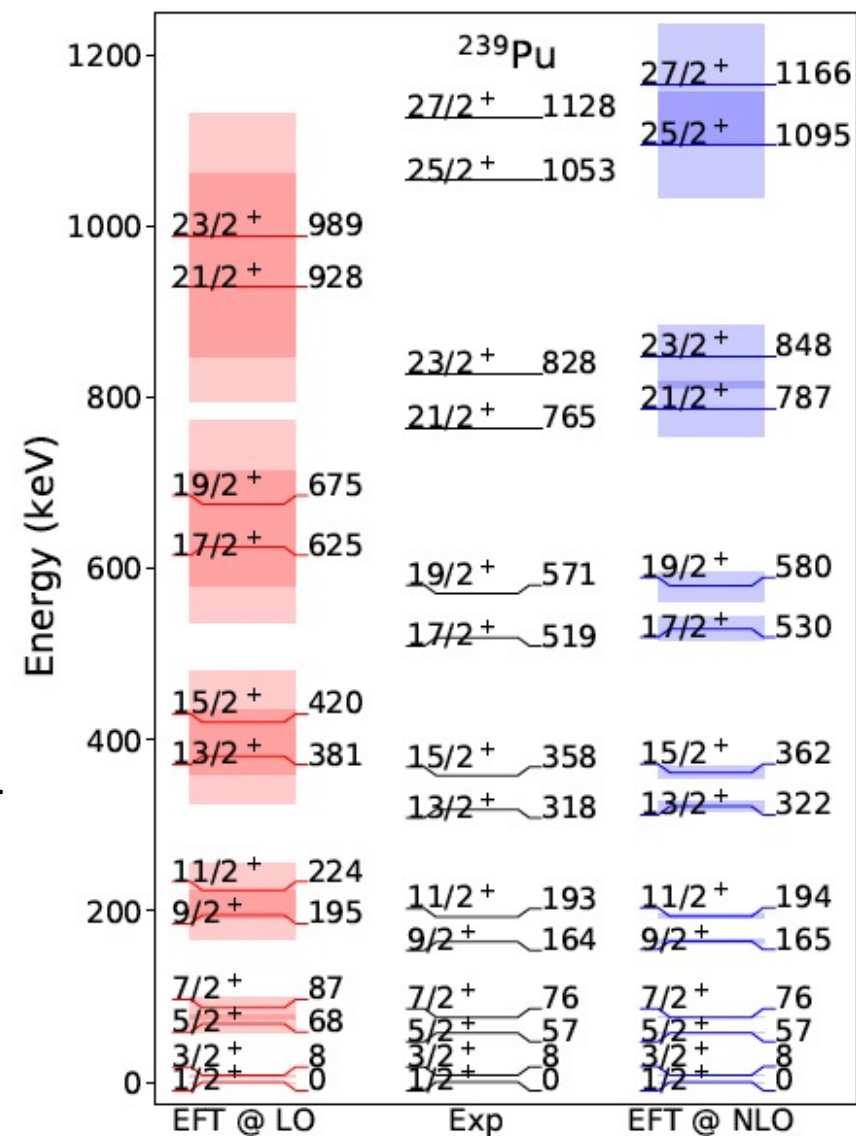
finite  $K = 1/2$  has Abelian and non-Abelian gauge potentials



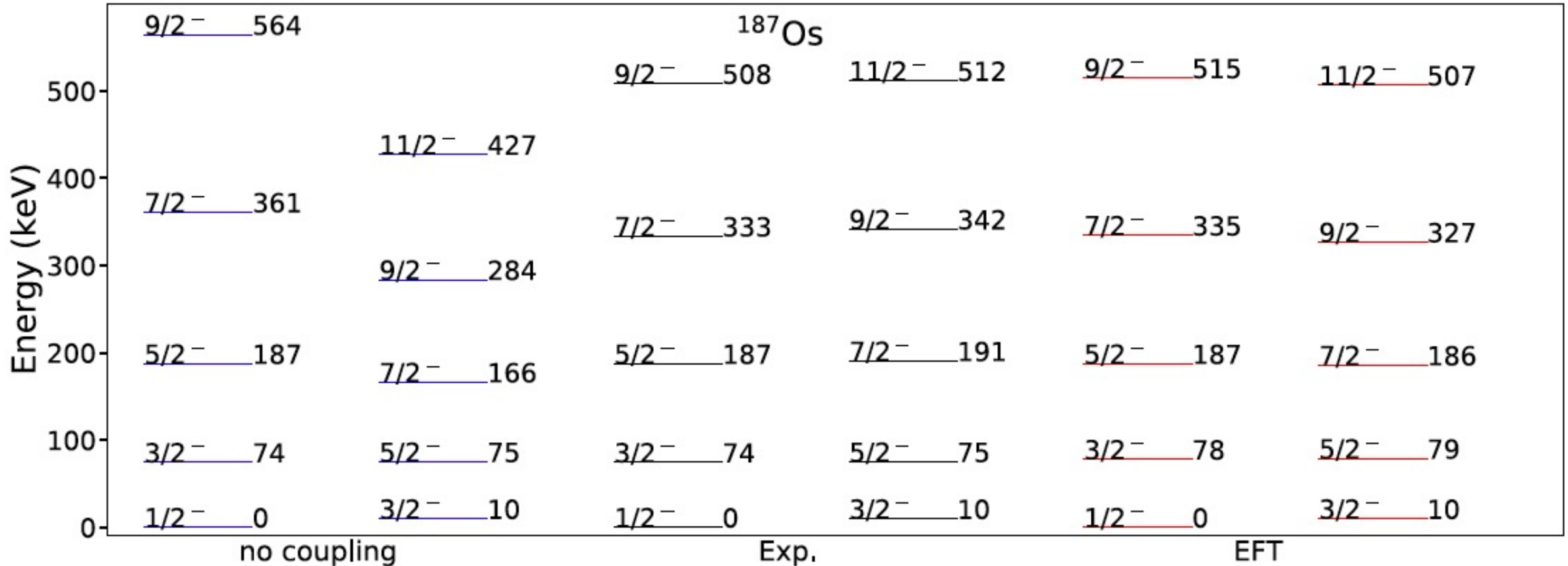
Uncertainty estimates based on power counting

Leading order: Take moment of inertia (MOI) from  $^{238}\text{Pu}$  and adjust decoupling coefficient

Next-to-leading order: re-adjust MOI for  $^{239}\text{Pu}$



# Impact of non-Abelian gauge potential: Coupling of rotational bands that differ by one unit in spin in $^{178}\text{Os}$

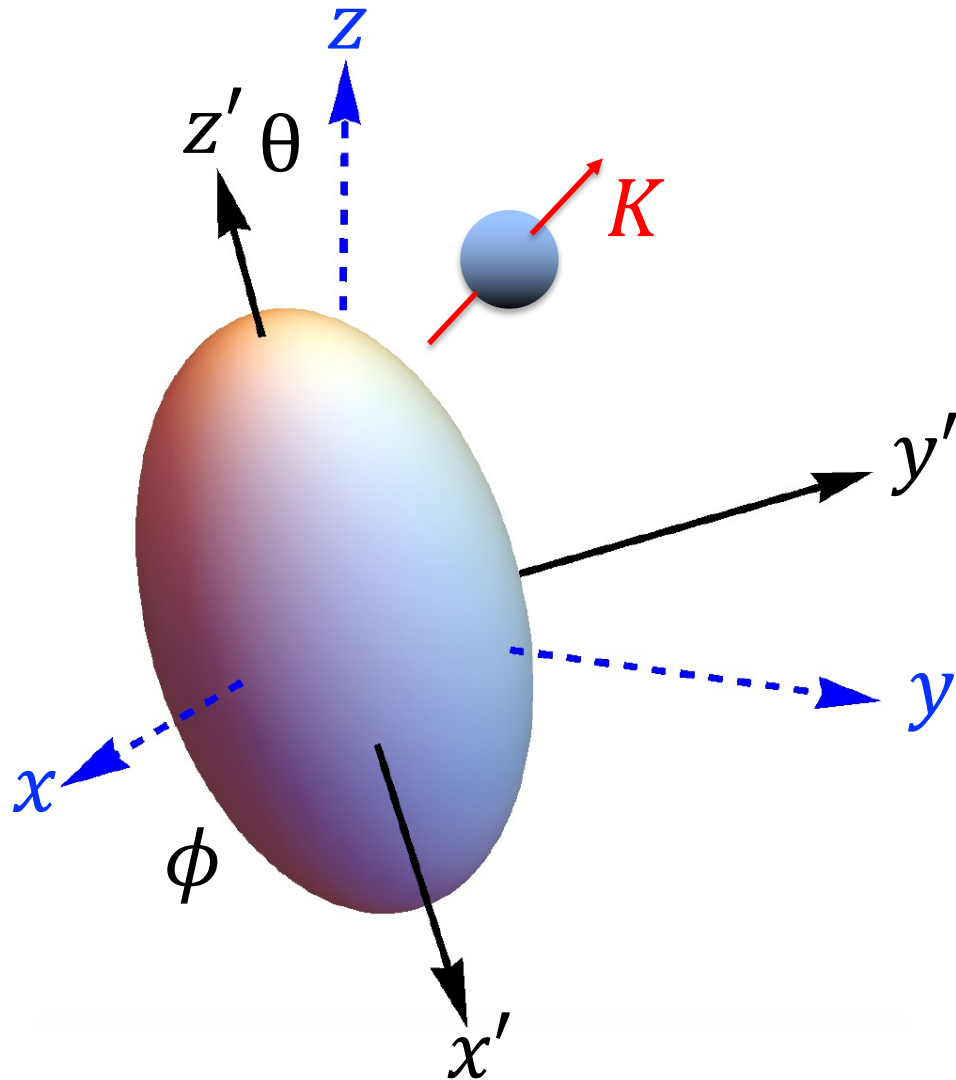


Malmskog et al 1971;  
Morgen et al 1973;  
Sodan et al 1975

(Uncertainty estimates  
for the EFT are about 7%)



# Odd nucleon coupled to even-even rotor



- Effective field theory: Non-linear realization of broken  $SO(3)$ : The dynamics of the odd nucleon is defined in the body-fixed system; it introduces a covariant derivative.
- Traditional NP: This is the “strong” coupling limit; Coriolis forces appear in the co-rotating body-fixed system
- Berry: The nucleon is much faster than the rotor. The adiabatic approximation introduces gauge potentials

# Gauge potentials, Berry phases, and Coriolis forces

Different interpretations of the velocity–dependent rotor–nucleon couplings

- 1. Coriolis forces** enter in rotating frames: Velocity-dependent forces are present in rotating nuclei [Bohr, Kerman, Mottelson, Nilsson 1950s].
- 2. Molecular Aharonov-Bohm effect:** In rotating molecules, the nuclei are slow (and the electrons are fast), and the adiabatic decoupling (à la Born Oppenheimer) introduces Berry phases and gauge potentials [Mead & Truhlar 1979; Wilczek & Zee 1984; Kuratsuji & Iida 1985; Nazarewicz 1996].
- 3. Covariant derivative:** In presence of spontaneous symmetry breaking, the rotational symmetry is realized non-linearly for the rotor's degrees of freedom. This introduces a covariant derivative  $iD \equiv i\partial_t + \mathbf{v} \cdot \mathbf{A}$  [Weinberg 1968; Callan, Coleman, Wess & Zumino 1969].
- 4. Gauge invariance:** The ambiguities in defining a body-fixed frame, i.e. separating rotational and intrinsic degrees of freedom, imply a gauge invariance [Littlejohn & Reinsch 1997]. In our case: ambiguities regarding rotations around the  $z'$  axis.

# Nuclear Physics meets Condensed Matter

1. The odd-mass deformed rotor is equivalent to a particle on a sphere subject to Abelian and non-Abelian gauge potentials that are of the monopole type.

The same Lagrangian governs the Quantum Hall Effect, see [B. Estienne, S. M. Haaker, and K. Schoutens, Particles in non-Abelian gauge potentials: Landau problem and insertion of non-Abelian flux, *New J. Phys.* 13, 045012 (2011)]. Relation between angular momentum projection and

flux quanta  $K_{z'} \leftrightarrow N_\phi$

2. The EFT for deformed nuclei [TP & Weidenmüller 2014] is an adaptation of a similar EFT for (anti)ferromagnets to the finite-system case [Leutwyler 1994; Roman & Soto 1999; Hofmann 1999; Chandrasekharan et al. 2008; Brauner 2010].

# Falling Cat Problem

**Q:** How does a cat change its orientation, i.e. its angular momentum, without an external torque?

**A:** Changes in its shape (intrinsic degrees of freedom) induce a change in the external orientation.

**Q:** What does this have to do with odd-mass deformed nuclei?

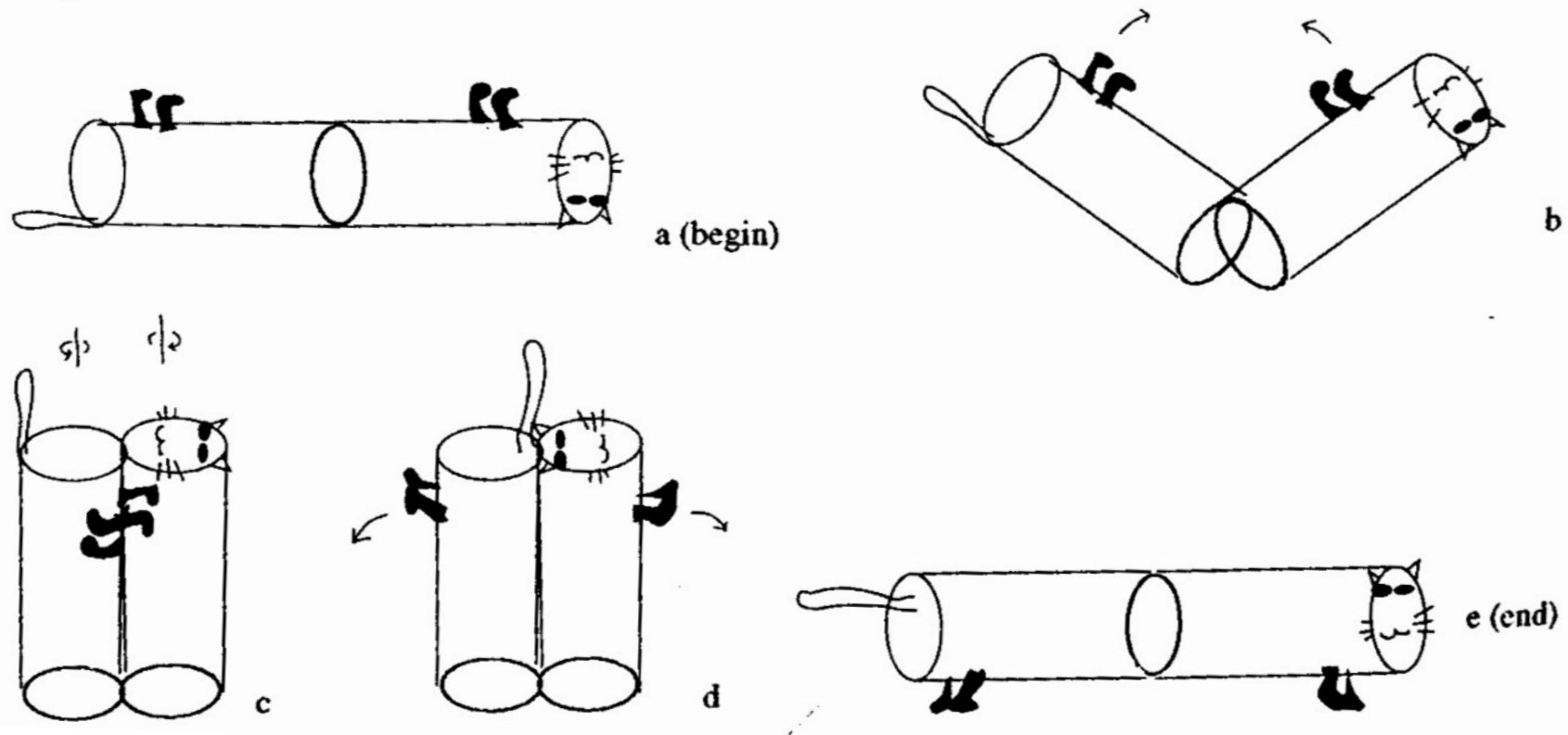
**A:** In both cases, non-Abelian gauge potentials arise that describe the internal dynamics and couple it to the overall orientation. (In the nucleus, the odd nucleon causes the internal dynamics.)

→ Gauge theory of deformable bodies

# “Gauge theory of the falling cat,” Montgomery (1993)

## 6. Some Specific Reorientations and Steering Strategies

6.1. A Cartoon. Probably the simplest path resulting in the cat flip is the one depicted below.



“Bend, twist, unbend” makes a closed loop in internal configuration space while leading to a rotation.

# Summary

- Develop effective theory for emergent symmetry breaking guided by standard approach in spontaneous symmetry breaking
- Lowest-resolution EFT in nuclear physics
- Systematically improvable approach
  - Re-discovers venerable models
  - Gives uncertainty estimates
- Odd nuclei naturally introduce gauge potentials and Berry phases
  - Abelian and non-Abelian gauge potentials generate monopole fields for the rotor
  - The fast nucleon adiabatically follows the slow rotor; only its spin projection onto the rotor's symmetry axis matters
  - These relate odd-mass deformed nuclei to falling cats