

Nucleon Form Factors at High Momentum Transfer

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Outline

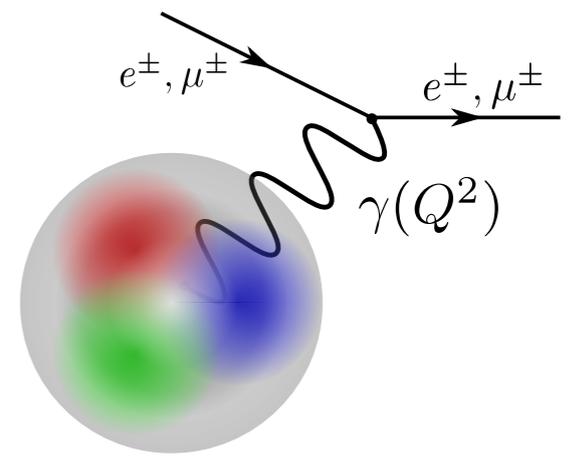
- Phenomenological motivation
- Challenges for high-momentum nucleon structure
- Details of calculation
- Results and comparison to phenomenology
- Summary and Outlook

Nucleon Vector Form Factors and G_E/G_M

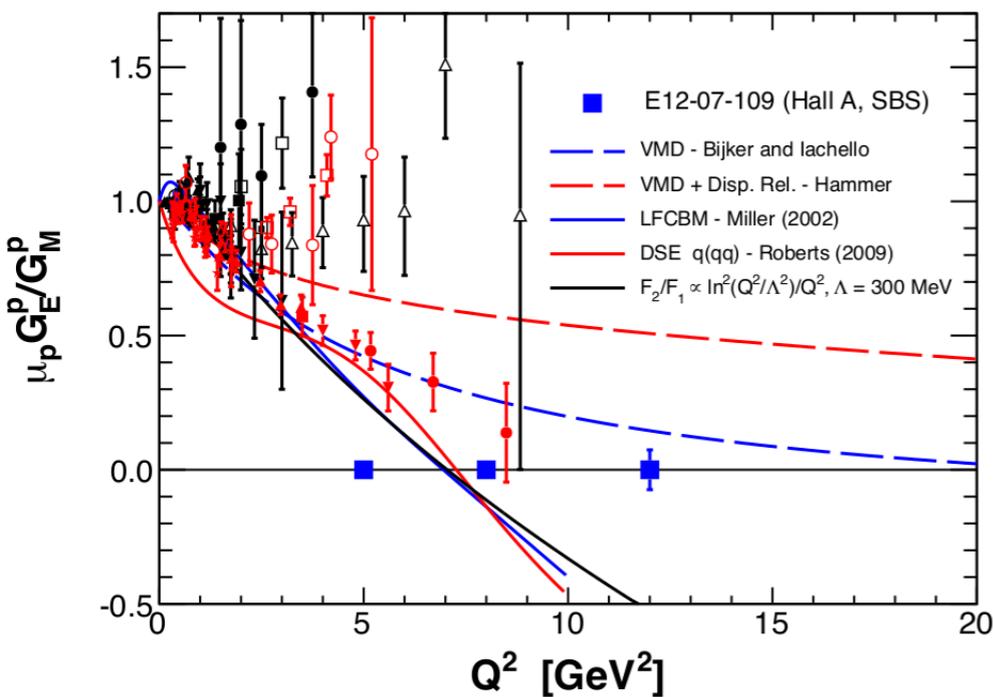
$$\langle P + q | \bar{q} \gamma^\mu q | P \rangle = \bar{U}_{P+q} \left[F_1(Q^2) \gamma^\mu + F_2(Q^2) \frac{i\sigma^{\mu\nu} q_\nu}{2M_N} \right] U_P$$

$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M^2} F_2(Q^2)$$

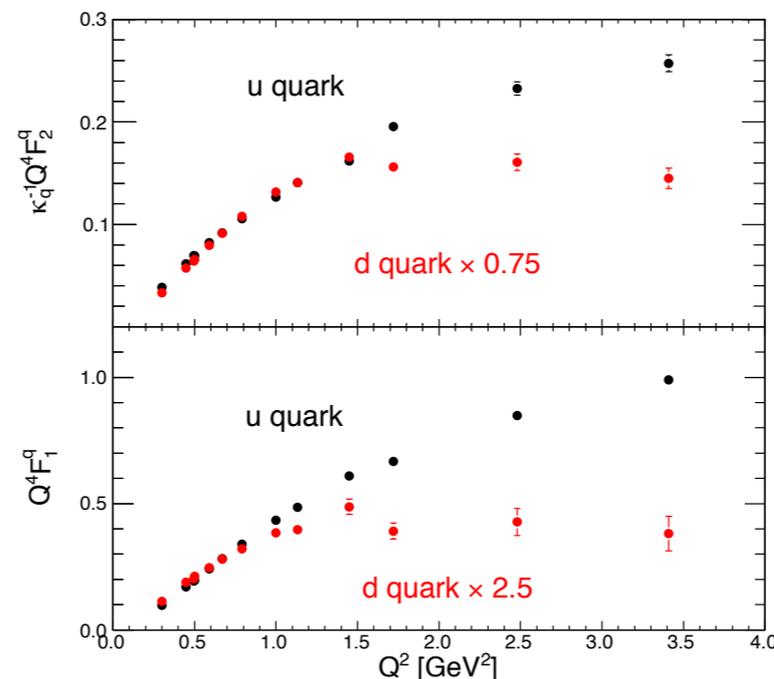
$$G_M(Q^2) = F_1(Q^2) + F_2(Q^2)$$



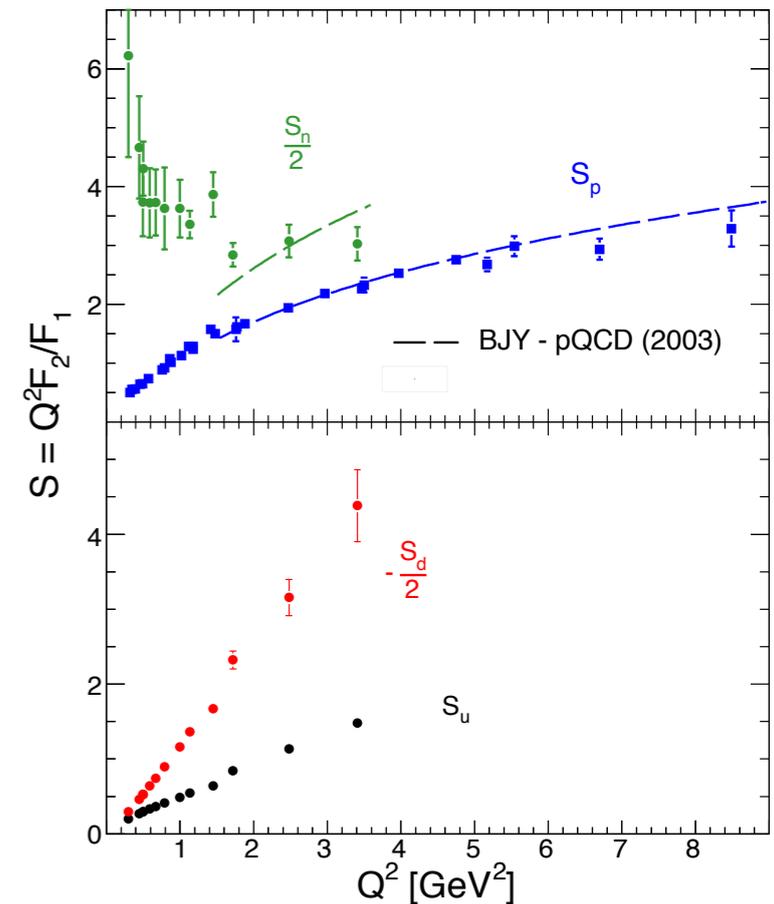
- (G_E/G_M) dependence
- (F_1/F_2) scaling at $Q^2 \rightarrow \infty$
- u -, d -flavor contributions to form factors



[Research Mgmt. Plan for SBS(JLab Hall A)]



[G.D.Cates, C.W.de Jager, S.Riordan, B.Wojtsekhovski, PRL106:252003, arXiv:1103.1808]

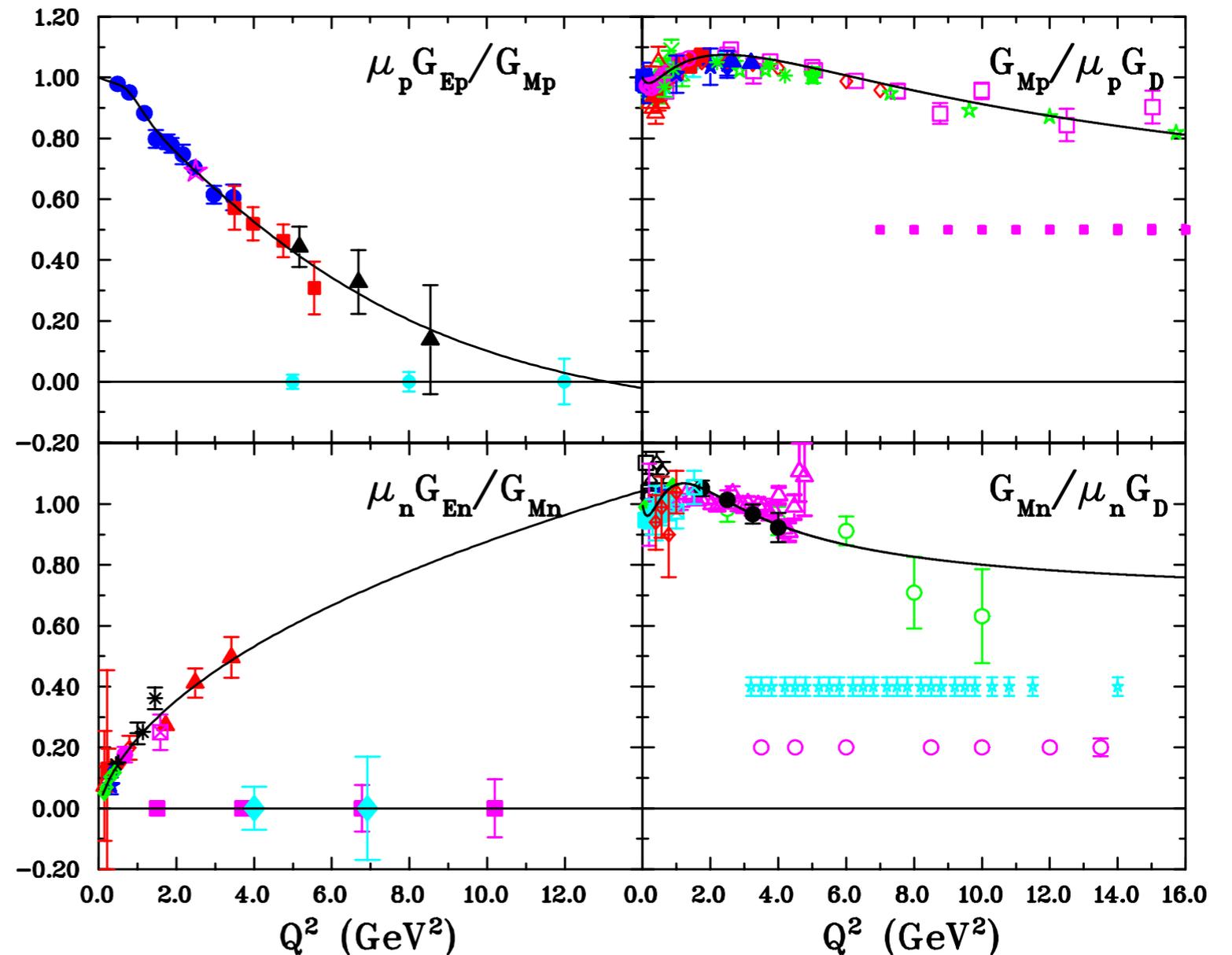


Experimental Prospects

[V. Punjabi et al, EPJ A51: 79 (2015); arXiv: 1503.01452]

JLab:

- Hall A (HRS):
 G_{Mp} @ $Q^2 \approx 17.5 \text{ GeV}^2$
- Hall A (SBS):
 G_{Ep}/G_{Mp} @ $Q^2 \approx 15 \text{ GeV}^2$;
 G_{En}/G_{Mn} @ $Q^2 \approx 10.2 \text{ GeV}^2$;
 G_{Mn} @ $Q^2 \approx 18 \text{ GeV}^2$
- Hall B (CLAS12):
 G_{Mn} @ $Q^2 \approx 14 \text{ GeV}^2$
- Hall C :
 G_{En}/G_{Mn} @ $Q^2 \approx 6.9 \text{ GeV}^2$



Basics of Hadron Structure in Lattice QCD

Lattice Field Theory \Leftrightarrow Numerical evaluation of the Path Integral

$$\langle q_x \bar{q}_y \dots \rangle = \int \mathcal{D}(\text{Glue}) \int \mathcal{D}(\text{Quarks}) e^{-S_{\text{Glue}} - \bar{q}(\not{D} + m)q} [q_x \bar{q}_y \dots]$$

Grassmann integration

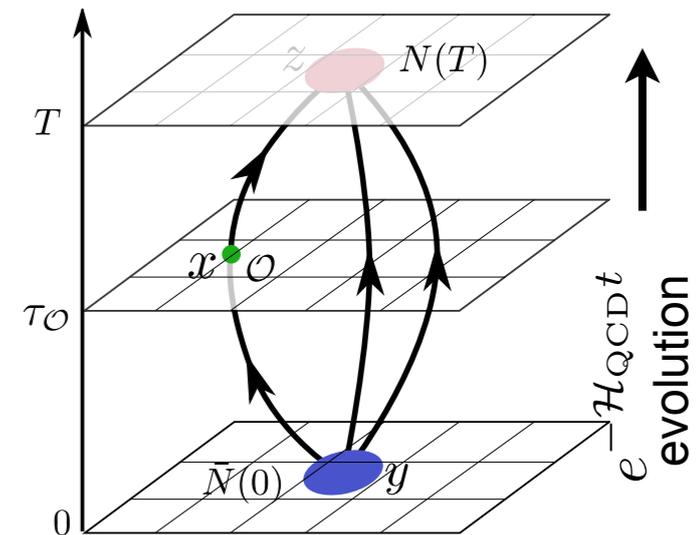
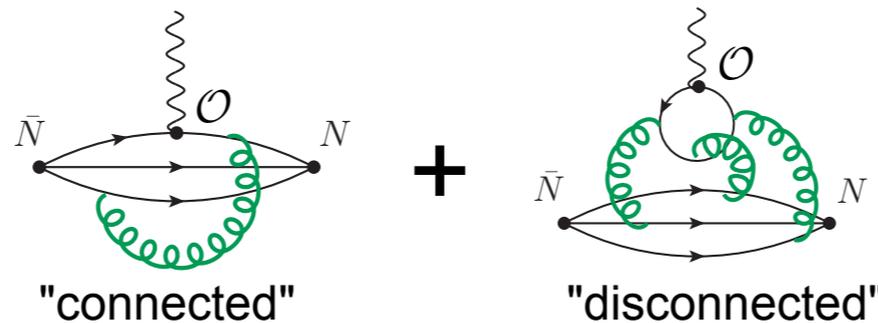
$$= \int \mathcal{D}(\text{Glue}) e^{-S_{\text{Glue}}} \text{Det}(\not{D} + m) [(\not{D} + m)^{-1}_{x,y} \dots]$$

$(\not{D} + m) \cdot q = 0$
quark motion in gluon background

Hybrid Monte Carlo sampling of gluon background

Hadron Matrix Elements:

$$C_{3\text{pt}}^{\mathcal{O}}(T) = \langle N(T) \mathcal{O}(\tau) \bar{N}(0) \rangle =$$



Each quark line = $(\not{D} + m)^{-1} \cdot \psi$

$$\langle N(T) \mathcal{O}(\tau) N(0) \rangle = \sum_{n,m} Z_m e^{-E_n(T-\tau)} \langle n | \mathcal{O} | m \rangle e^{-E_m \tau} Z_n^*$$

$$\xrightarrow{T \rightarrow \infty} Z_{00} e^{-M_N T} \left[\langle P' | \mathcal{O} | P \rangle + \underbrace{\mathcal{O}(e^{-\Delta E_{10} T}, e^{-\Delta E_{10} \tau}, e^{-\Delta E_{10} (T-\tau)})}_{\text{excited states}} \right]$$

Ground state form factors

Fit and throw away

Systematic effects

- excited states
- discretization errors
- finite volume
- unphysical (heavy) pion mass *

Nucleon Form Factors: Recipe on a Lattice

- Generate lattice ensemble

$$P(A_\mu) \propto e^{-S[A_\mu]} \prod_q \det(\not{D} + m_q)$$

- Compute nucleon correlation functions

2-point $\langle N(\vec{p}', t_{\text{sep}}) \bar{N}(0) \rangle = \sum_y e^{-i\vec{p}' \cdot \vec{x}} \langle N_{t_{\text{sep}}, \vec{y}} \bar{N}(0, \vec{0}) \rangle$

3-point $\langle N(\vec{p}', t_{\text{sep}}) \mathcal{O}(\vec{q}, \tau) \bar{N}(0) \rangle = \sum_{y,z} e^{-i\vec{p}' \cdot \vec{x} + i\vec{q} \cdot \vec{z}} \langle N_{t_{\text{sep}}, \vec{y}} \mathcal{O}_{z,\tau} \bar{N}(0, \vec{0}) \rangle$

- Extract ground-state matrix elements (2-state fits typical)

$$\begin{aligned} \langle N(p', t_{\text{sep}}) \mathcal{O}(\tau) \bar{N}(p, 0) \rangle \sim e^{-E'_0(t_{\text{sep}} - \tau) - E_0 \tau} & \left[\langle 0(p') | \mathcal{O} | 0(p) \rangle \right. \\ & + \langle 0(p') | \mathcal{O} | 1(p) \rangle e^{-\Delta E_{01} \tau} + \langle 1(p') | \mathcal{O} | 0(p) \rangle e^{-\Delta E'_{01}(t_{\text{sep}} - \tau)} \\ & \left. + \langle 1(p') | \mathcal{O} | 1(p) \rangle e^{-\Delta E_{01} \tau - \Delta E'_{01}(t_{\text{sep}} - \tau)} \right] \end{aligned}$$

- Reduce m.e. to form factors: fit over momentum combinations, polarization, etc

$$\langle p', \sigma' | J^\mu | p, \sigma \rangle = [\bar{u}' \gamma^\mu u] F_1 + [\bar{u}' \frac{i\sigma^{\mu\nu} q_\nu}{2m_N} u] F_2$$

Challenges for Structure at Large Momentum

- Multiscale problem: $a \ll p_N^{-1}, Q^{-1}; \quad 4m_\pi^{-1} \lesssim L$

- Discretization effects:
O(a) Correction to current operator $(V_\mu)_I = [\bar{q}\gamma_\mu q] + c_V a \underbrace{\partial_\nu [\bar{q}i\sigma_{\mu\nu}q]}_{\propto Q}$

- Stochastic noise grows faster with T [Lepage'89]:

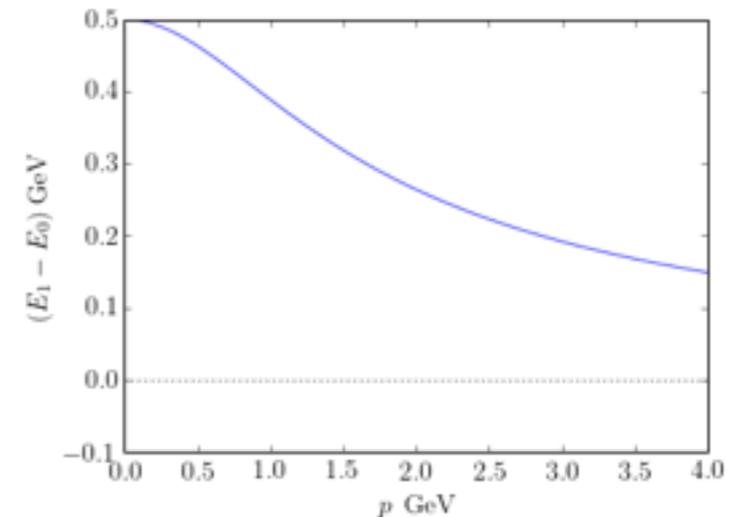
$$\begin{aligned} \text{Signal} & \langle N(T)\bar{N}(0) \rangle && \sim e^{-E_N T} \\ \text{Noise} & \langle |N(T)\bar{N}(0)|^2 \rangle - |\langle N(T)\bar{N}(0) \rangle|^2 && \sim e^{-3m_\pi T} \\ \text{Signal/Noise} & && \sim e^{-(E_N - \frac{3}{2}m_\pi)T} \end{aligned}$$

- Excited states: boosting "shrinks" the energy gap

$$E_1 - E_0 = \sqrt{M_1^2 + \vec{p}^2} - \sqrt{M_2^2 + \vec{p}^2} < M_1 - M_0$$

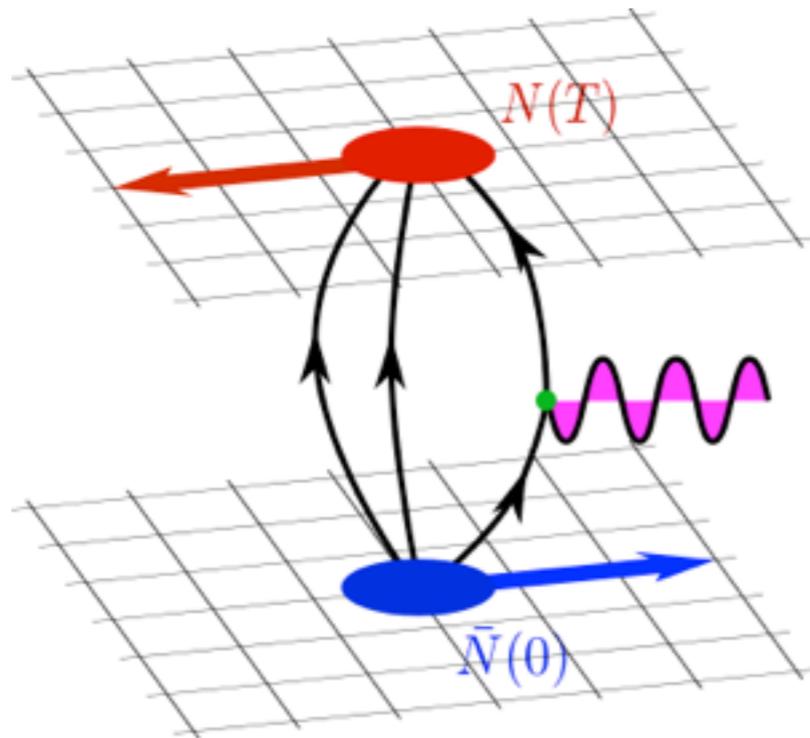
- N(~1500): $p_N \rightarrow 1.5 \text{ GeV} \Rightarrow \Delta E = 500 \rightarrow 300 \text{ MeV}$

- Large p_N : no EFT for extrapolation in m_π, L (lattice size)



Reduction of lattice correlator noise is crucial

Accessing Large Q^2 : Breit Frame on a Lattice



Minimize $E_{in,out}$ for target Q^2 :

$$Q^2 = (\vec{p}_{in} - \vec{p}_{out})^2 - (E_{in} - E_{out})^2$$

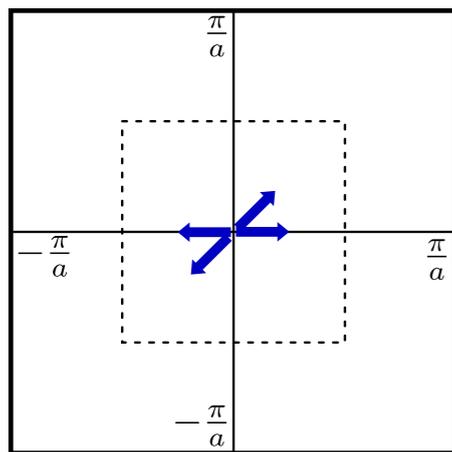
Back-to-back

$$Q^2 = 4\vec{p}^2$$

For $(Q^2)_{max} = 10 \text{ GeV}^2$

$$|\vec{p}| = \frac{1}{2} \sqrt{Q_{max}^2} \approx 1.6 \text{ GeV} \quad (E_N \approx 1.9 \text{ GeV})$$

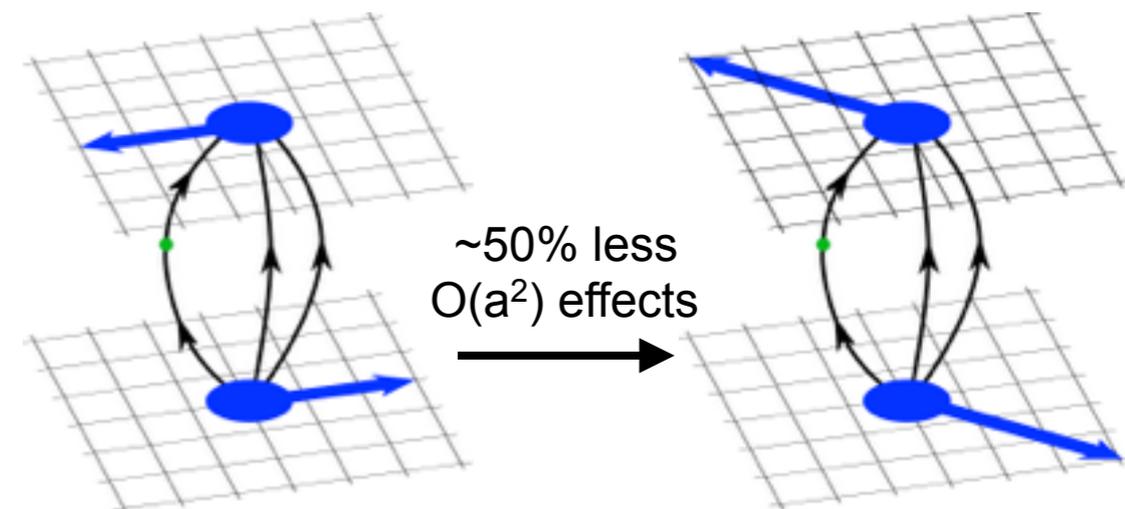
Nucleon momentum \sim Brillouin zone \Rightarrow unknown distortion of lattice nucleon Dirac operator



$$\langle N \bar{N} \rangle^{-1}(p) \stackrel{?}{=} -i\cancel{p}^{\text{lat}} + m_N$$

$$p_{\mu}^{\text{lat}} = k_{\mu} + O(k^3)$$

\Rightarrow expect $O(a^2)$ corrections
from lattice nucleon polarizaton



lattice kinematics
for $Q^2 \approx 10 \text{ GeV}^2$

High-momentum Hadron States on a Lattice

Nucleon operator is built from \approx Gaussian smeared quarks

$$N_{\text{lat}}(x) = (\mathcal{S} u)_x^a [(\mathcal{S} d)_x^b C \gamma_5 (\mathcal{S} u)_x^c] \epsilon^{abc}$$

Gaussian shape in momentum space :

reduced overlap with quark WFs in a boosted nucleon

$$\mathcal{S}_{\text{at-rest}} = \exp\left[-\frac{w^2}{4} (i\vec{\nabla})^2\right] \sim \exp\left(-\frac{w^2 \vec{k}_{\text{lat}}^2}{4}\right)$$

[G.Bali et al, PRD93:094515; arXiv:1602.05525]:

improve the overlap with large- P_N nucleon by shifting the quark trial shape in momentum space ("*momentum smearing*")

$$\mathcal{S}_{\vec{k}_0} = \exp\left[-\frac{w^2}{4} (-i\vec{\nabla} - \vec{k}_0)^2\right] \sim \exp\left(-\frac{w^2 (\vec{k}_{\text{lat}} - \vec{k}_0)^2}{4}\right)$$

Modified smearing operator

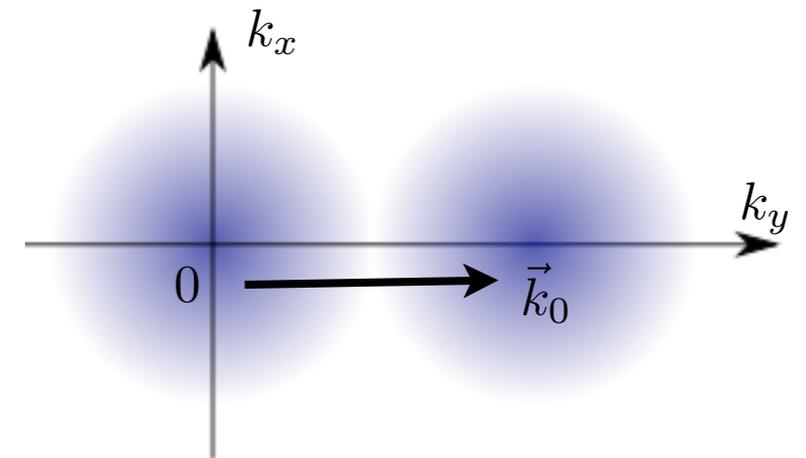
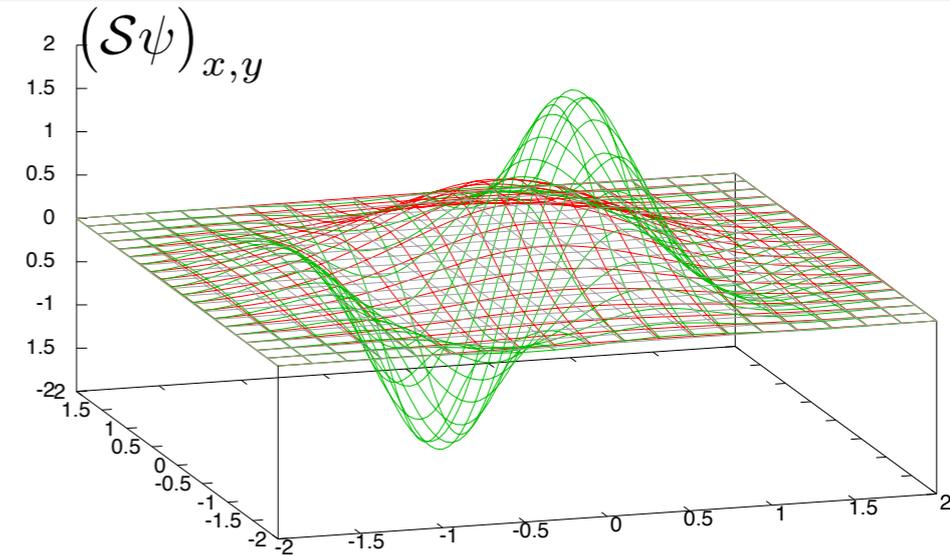
$$[\mathcal{S}_{\vec{k}_0}(\psi)]_x = e^{+\vec{k}_0 \vec{x}} \mathcal{S}(e^{-\vec{k}_0 \vec{y}} \psi_y) \sim e^{+\vec{k}_0 \vec{x}} \cdot \text{smooth fcn.}(x)$$

Modified covariant smearing operator in lattice*color space

$$[\mathcal{S}_{\vec{k}_0}]_{x,y} = e^{+i\vec{k}_0 \vec{x}} [\mathcal{S}]_{x,y} e^{-i\vec{k}_0 \vec{y}} \iff$$

Smearing with twisted gauge links

$$\begin{aligned} \Delta_{x,y} &\longrightarrow e^{+i\vec{k}_0 \vec{x}} \Delta_{x,y} e^{-i\vec{k}_0 \vec{y}} \\ U_{x,\mu} &\longrightarrow e^{-ik_\mu} U_{x,\mu} \end{aligned}$$

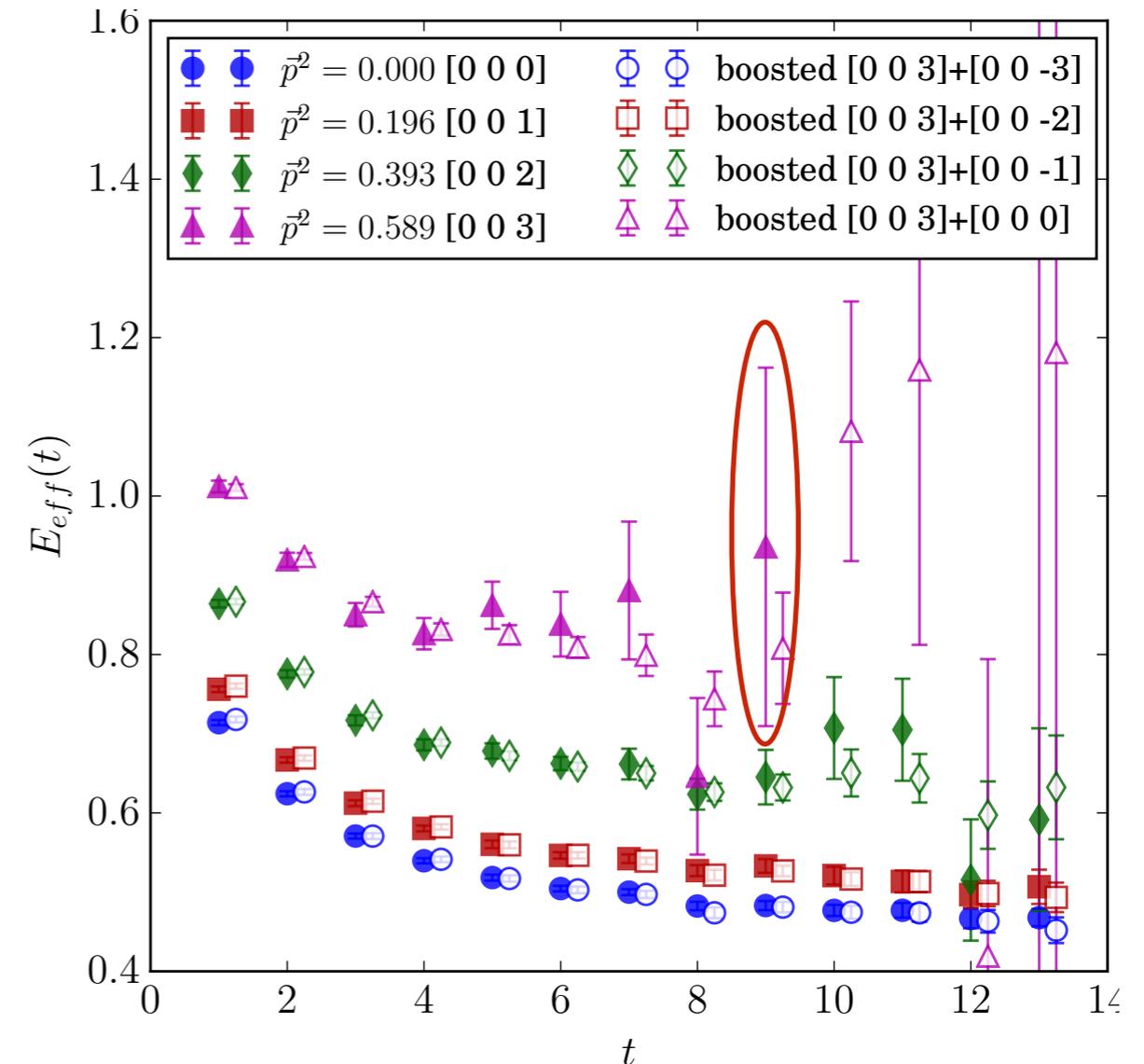


Signal Gain in Effective Energy

Comparison of nucleon interpolating fields constructed from "boosted" and "regular" quarks

- Nucleon Effective Energy
(D5: $m_\pi = 280$ MeV, $a=0.094$ fm, $32^3 \times 64$)

$$E_{eff} = \frac{1}{a} \log \frac{C_{N\bar{N}}(t)}{C_{N\bar{N}}(t+a)}$$



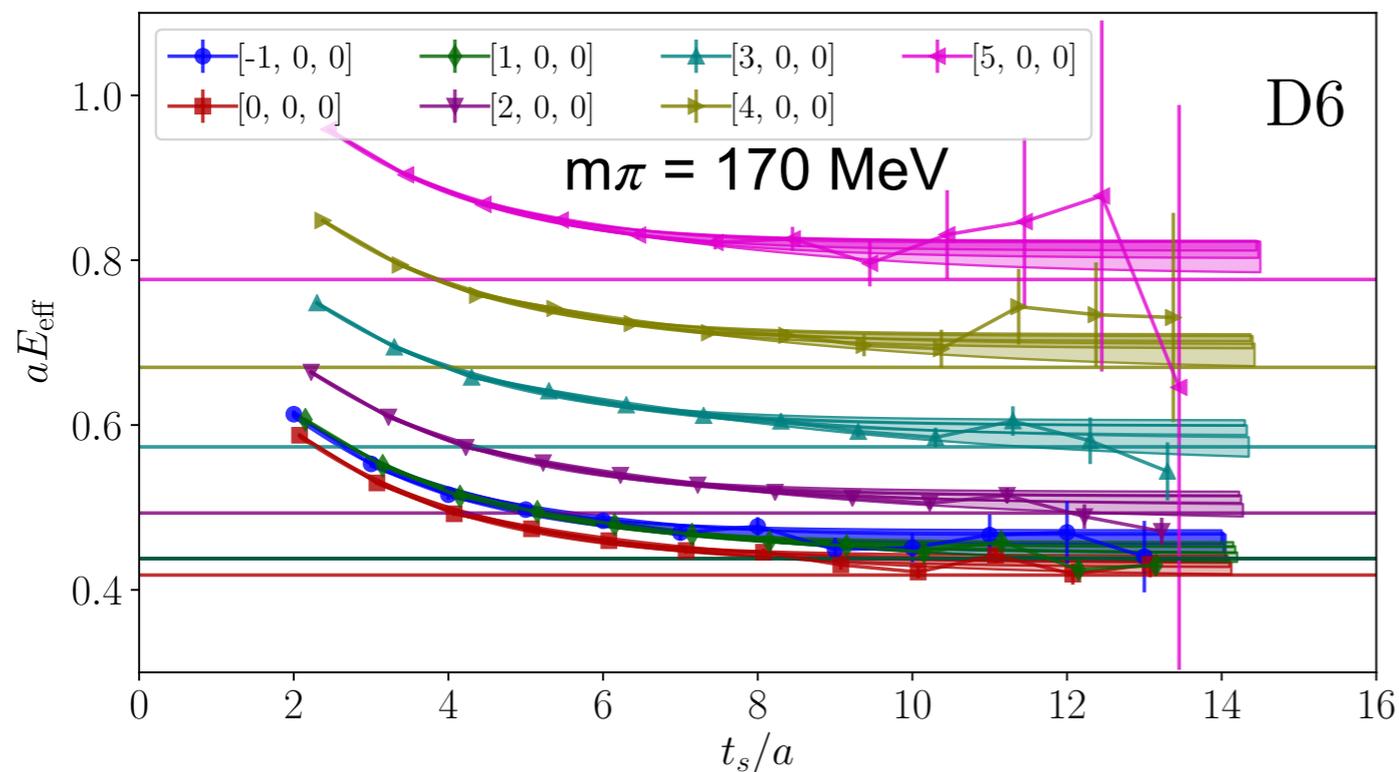
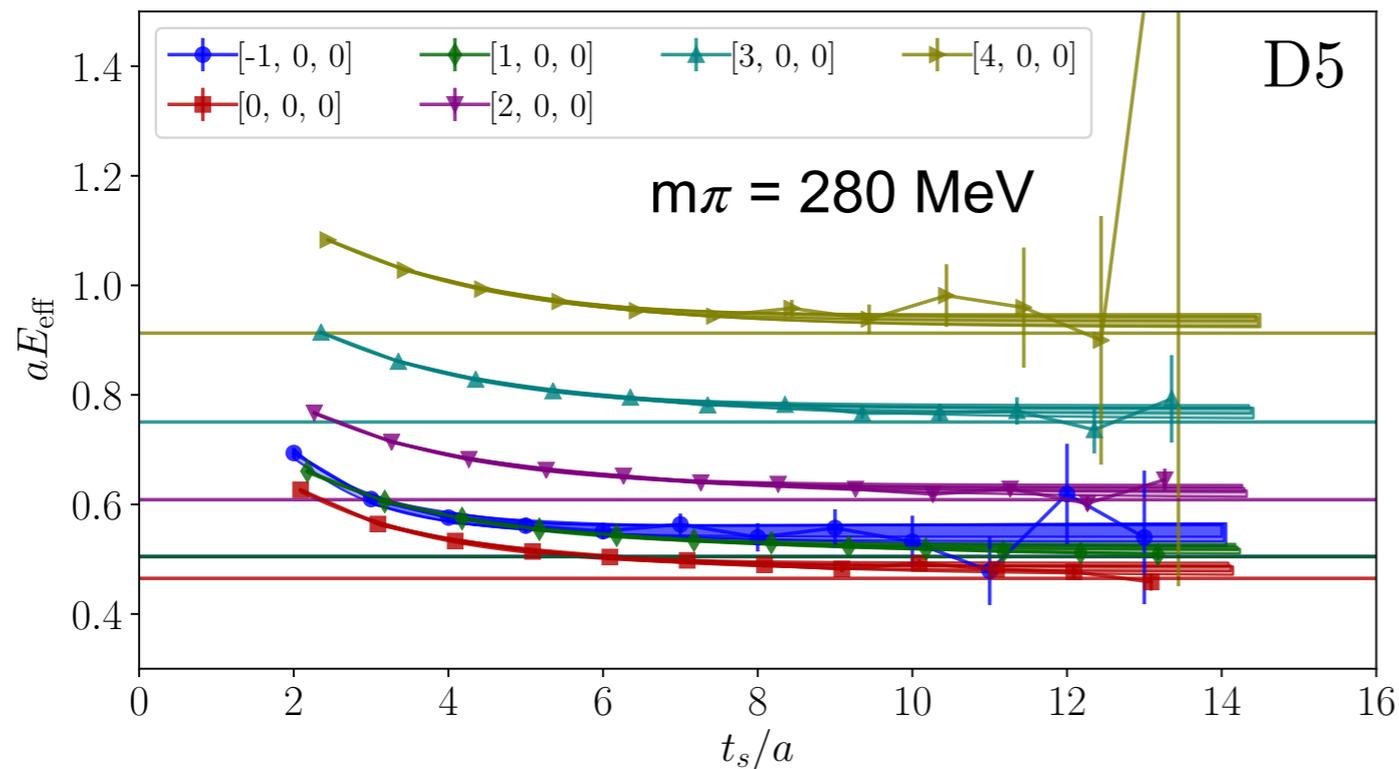
- Effect of quark "boost" increases with P_N
- Standard technique in lattice calculations of quasi-PDF, TMD, ...

QCD Simulation Parameters

- two **Nf=2+1 Wilson-clover** ensembles, produced by **JLab/W&M** lattice group
- similar lattice spacing $a \approx 0.09$ fm
- two different light quark masses ($m_\pi = 280$ and 170 MeV)
- large physical volume $L \gtrsim 3.8 (m_\pi)^{-1}$

D5-ensemble: $\beta = 6.3$, $a = 0.094$ fm, $a^{-1} = 2.10$ GeV		
$32^3 \times 64$, $L = 3.01$ fm	$a\mu_l$	-0.2390
	$a\mu_s$	-0.2050
	κ	0.132943
	C_{sw}	1.205366
	m_π (MeV)	280
	$m_\pi L$	4.26
	Statistics	86144
D6-ensemble: $\beta = 6.3$, $a = 0.091$ fm, $a^{-1} = 2.17$ GeV		
$48^3 \times 96$, $L = 4.37$ fm	$a\mu_l$	-0.2416
	$a\mu_s$	-0.2050
	κ	0.133035
	C_{sw}	1.205366
	m_π (MeV)	170
	$m_\pi L$	3.76
	Statistics	50176

Relativistic Nucleon Energies on a Lattice



● Effective energy

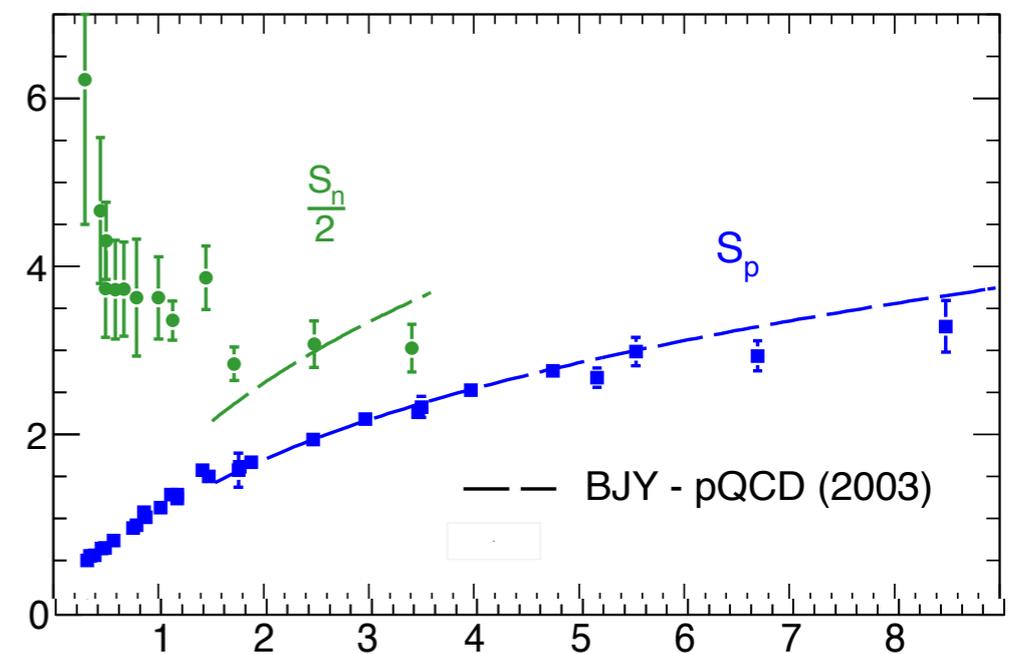
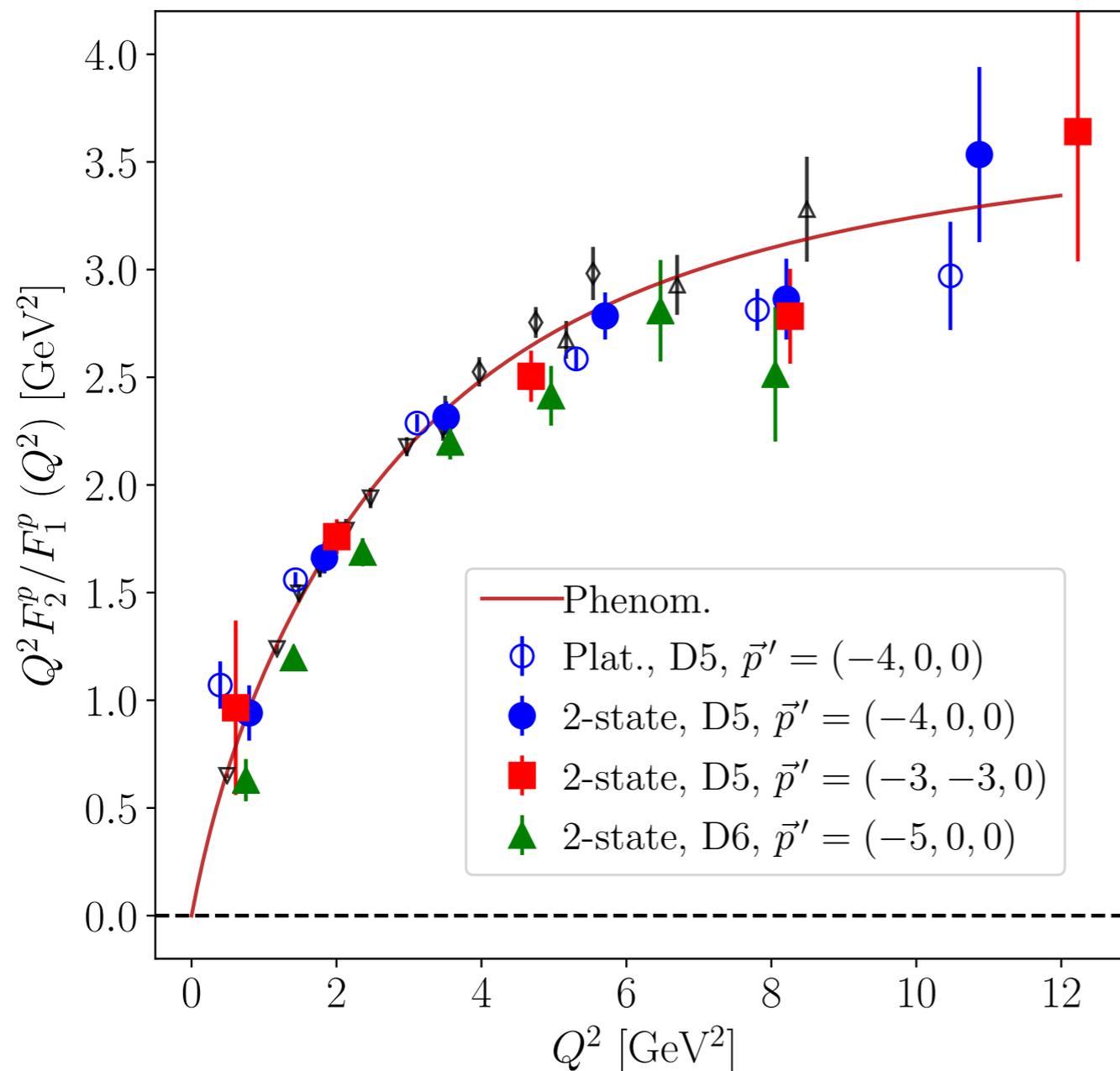
$$E_{eff} = \frac{1}{a} \log \frac{C_{N\bar{N}}(t)}{C_{N\bar{N}}(t+a)}$$

● Straight lines:
 continuum dispersion relation
 with m_N from [1602.07737]

F_{2p}/F_{1p} Form Factor Ratio, Proton

- No disconnected diagrams
- No discretization corrections

- Black points: experiments
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]

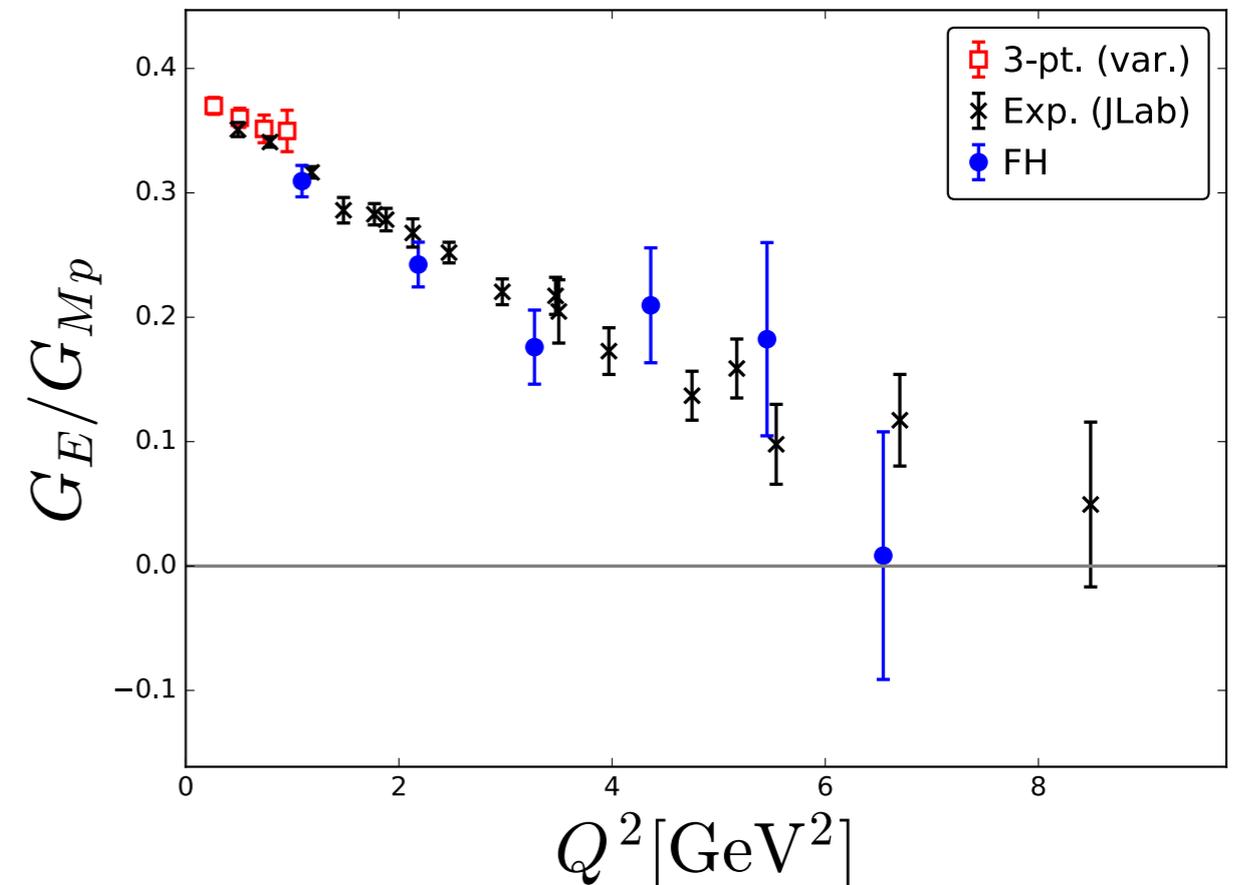
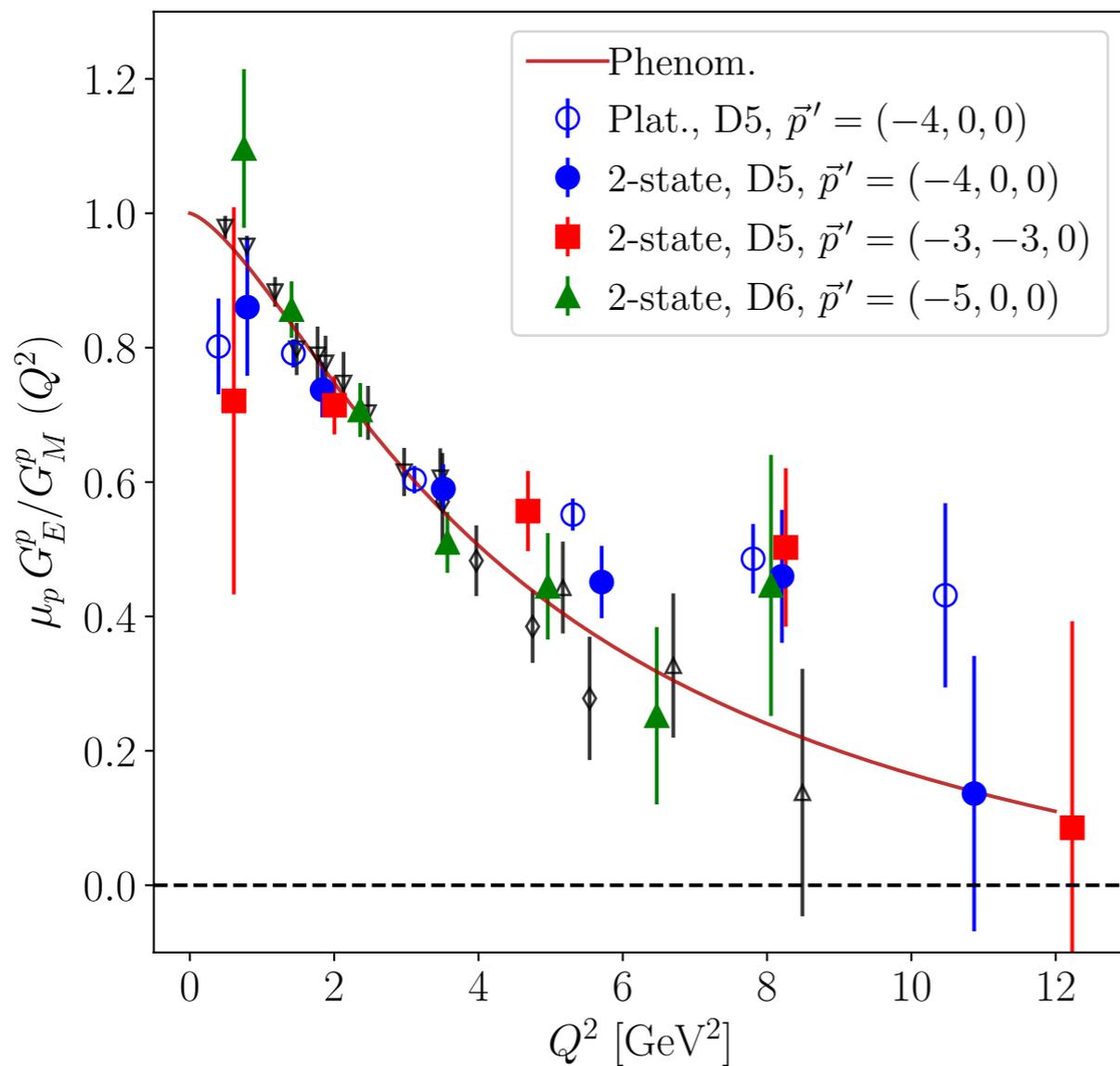


[G.D.Cates, et al, PRL106:252003 (2011)]

G_{Ep}/G_{Mp} Form Factor Ratio, Proton

- No disconnected diagrams
- No discretization corrections

- Black points: experiments
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]
- Combined D5, D6 with 2-state fits analysis

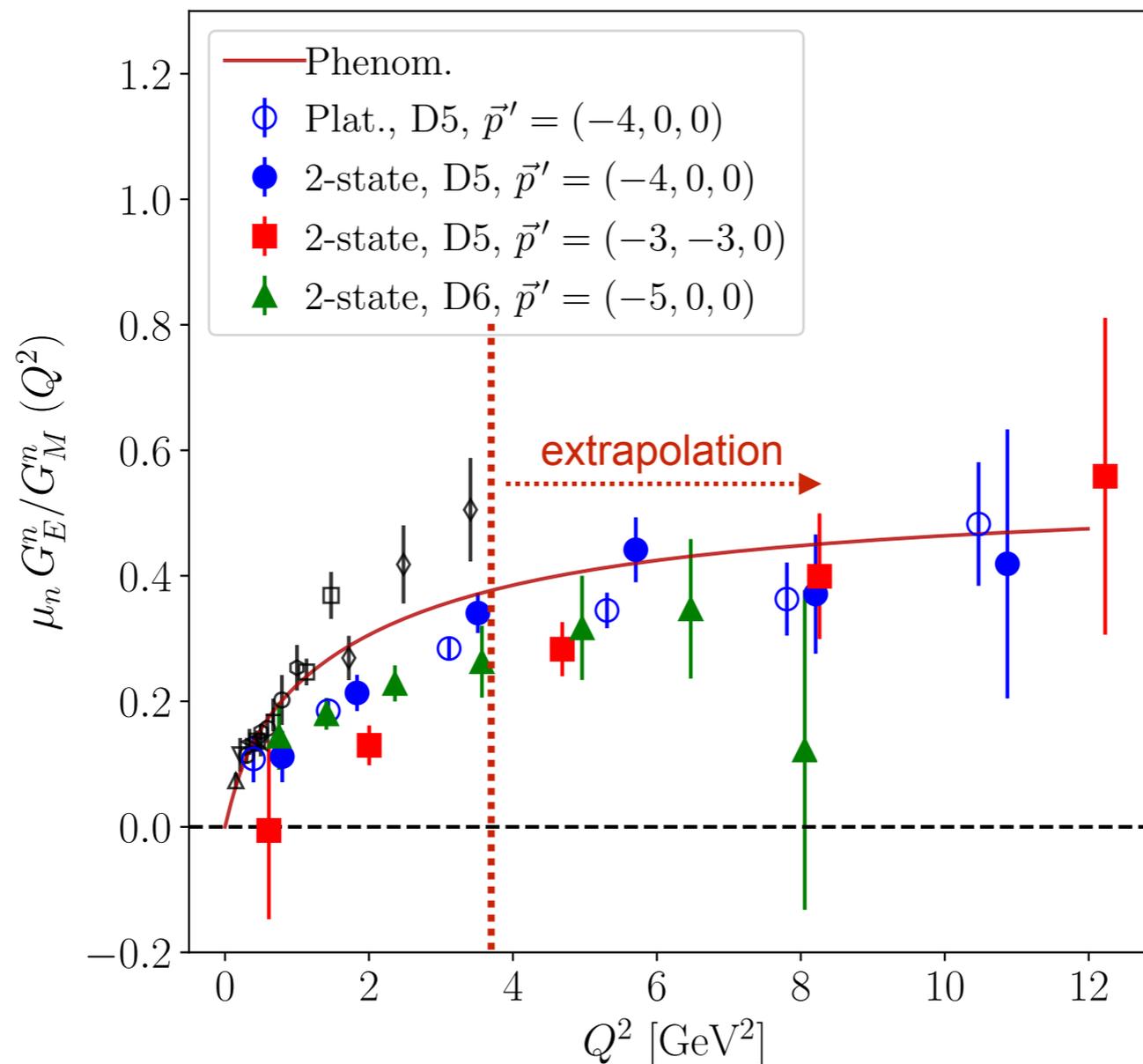


Earlier calculation: Feynman-Hellman method
 (a=0.074 fm, $m_\pi=470\text{MeV}$)
 [Chambers et al (CSSM), PRD96: 114509]

G_{Ep}/G_{Mp} Form Factor Ratio, Neutron

- No disconnected diagrams
- No discretization corrections

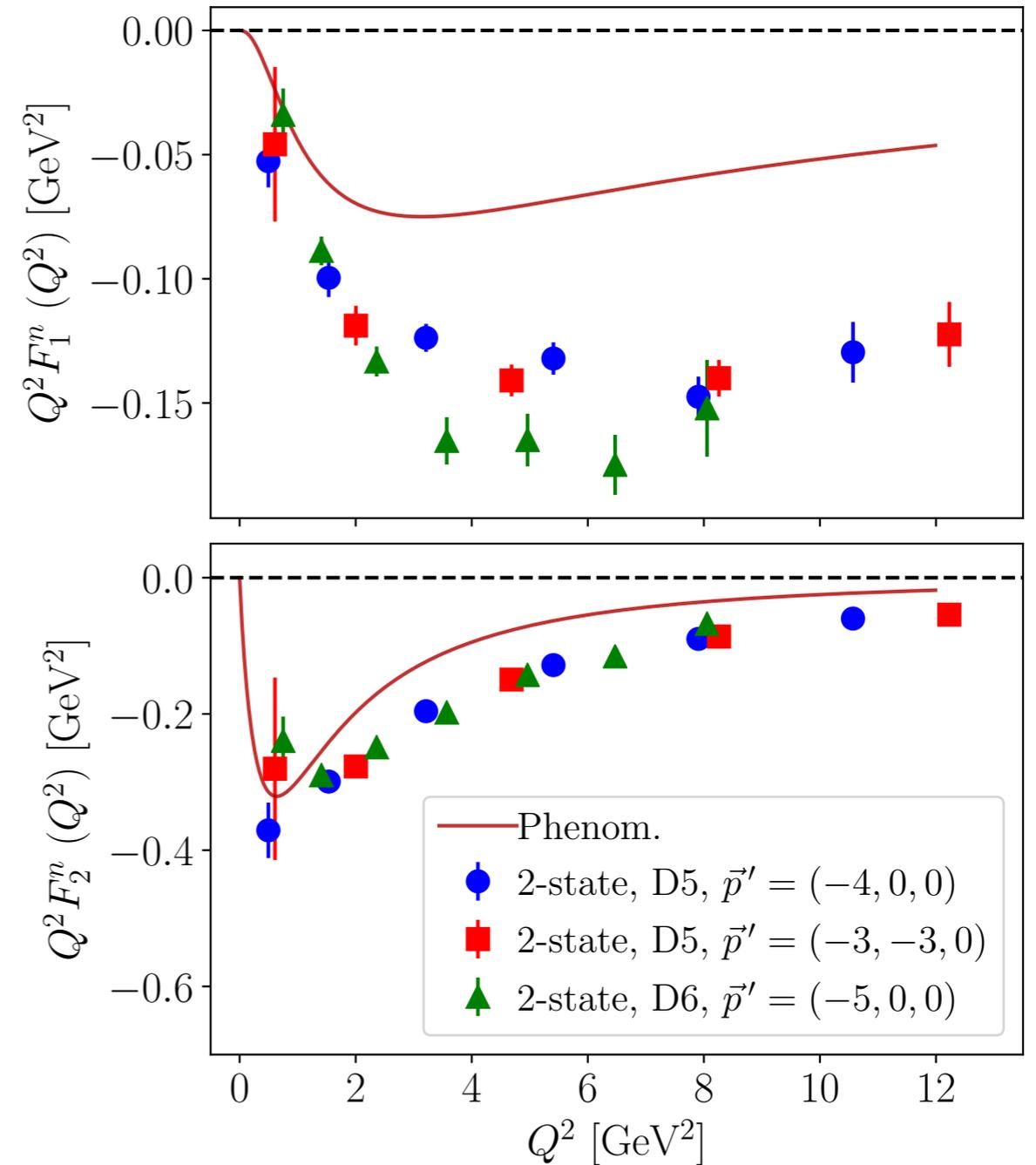
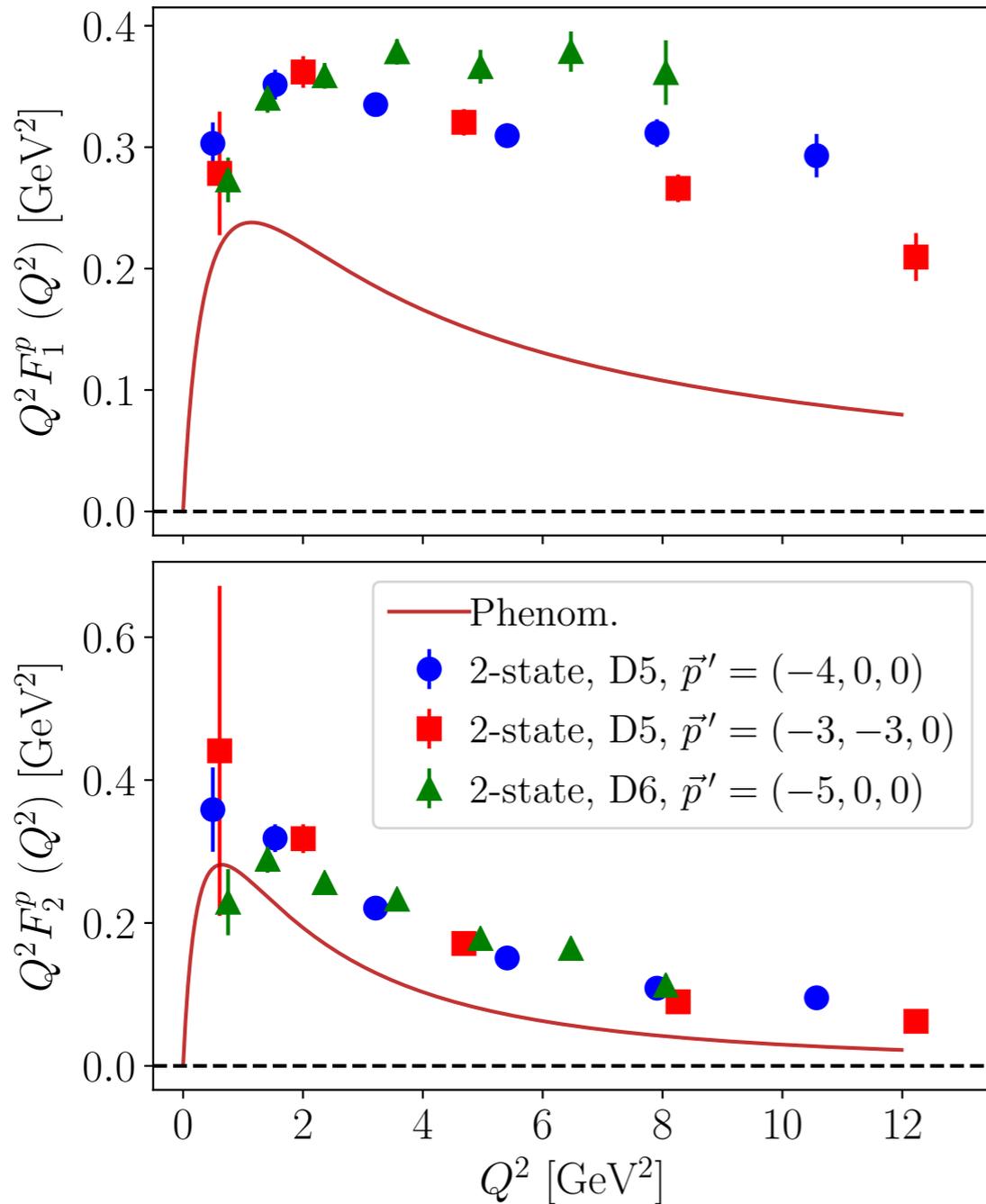
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Nucleon Form Factors

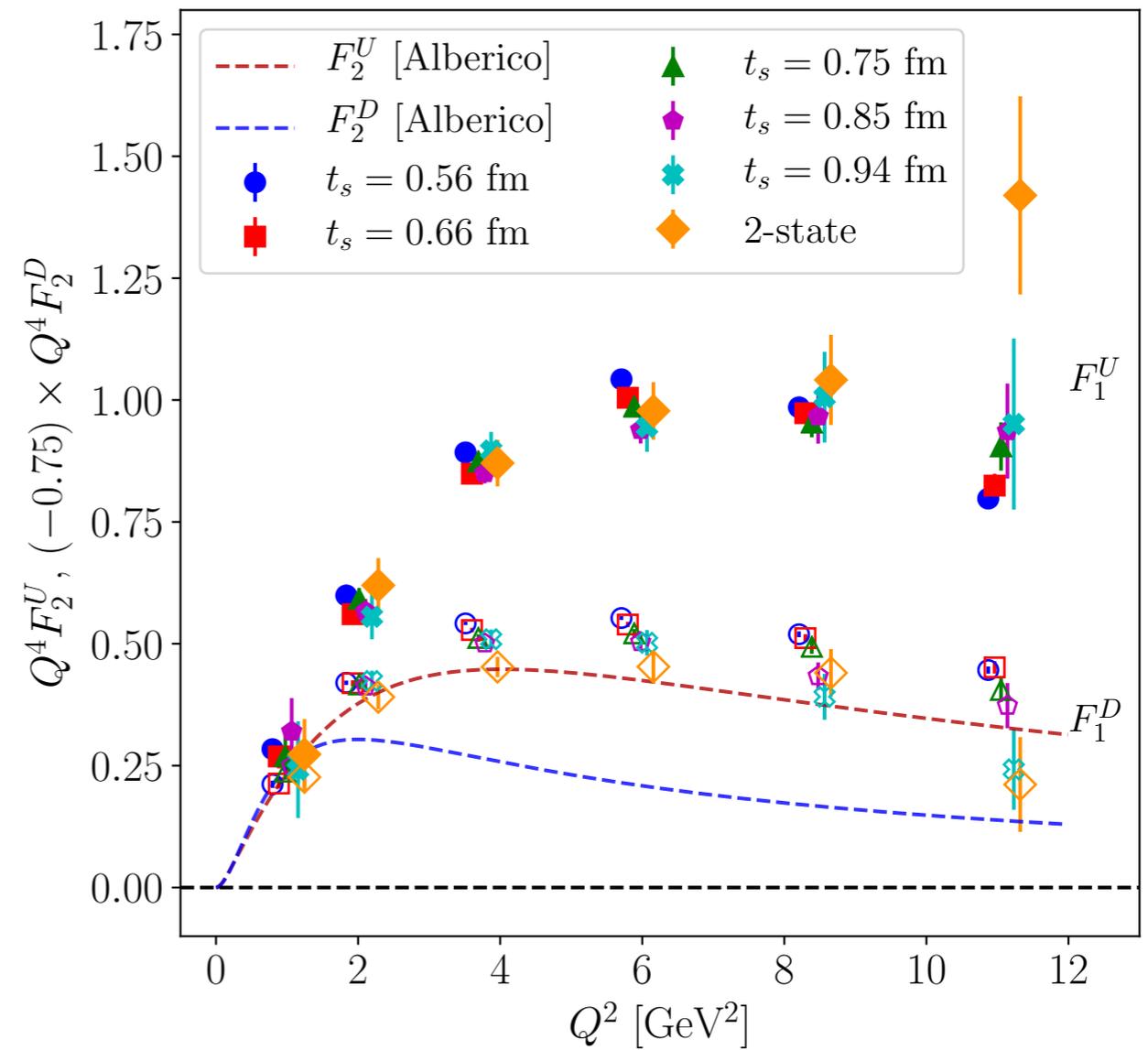
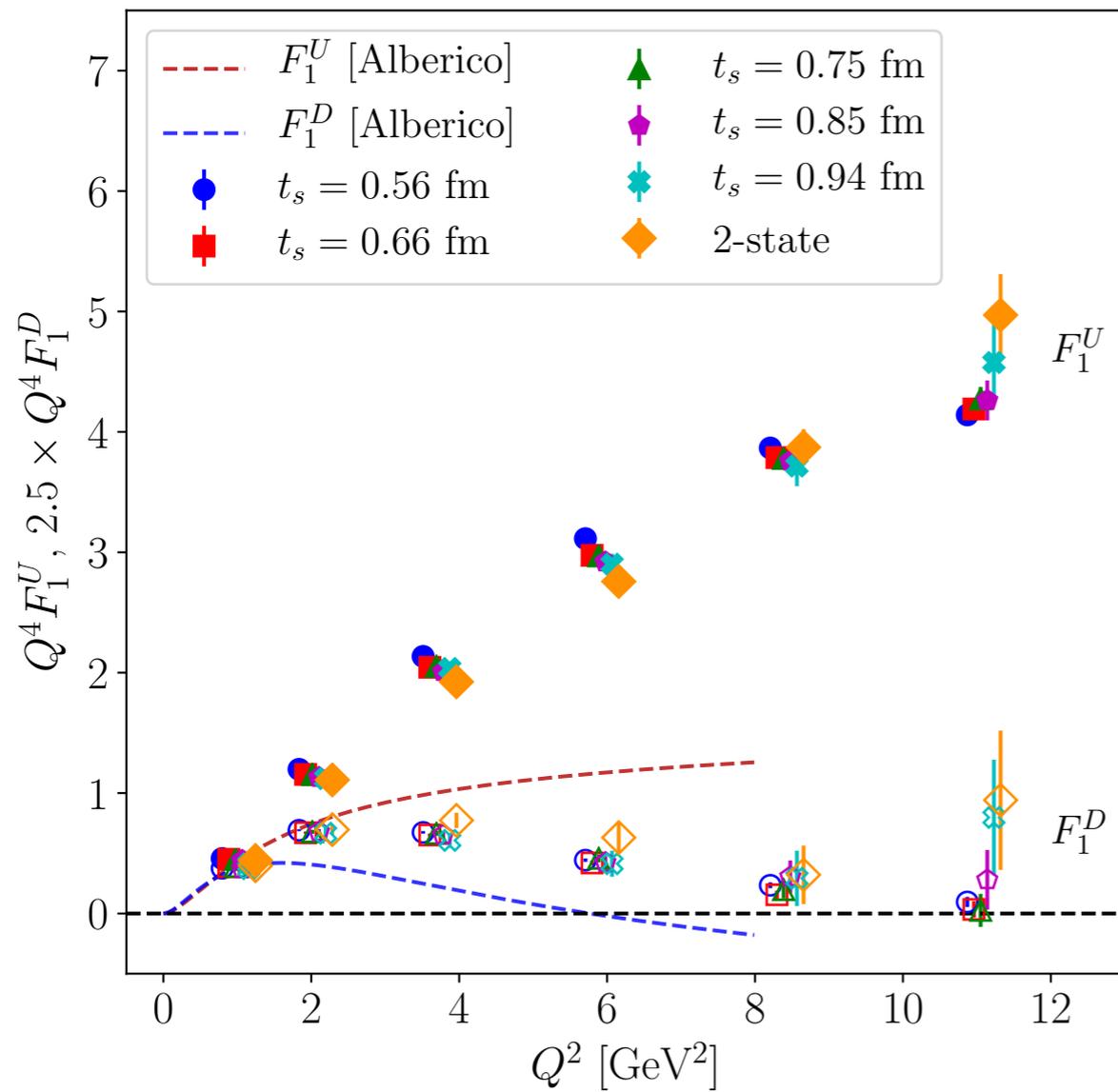
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● Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]



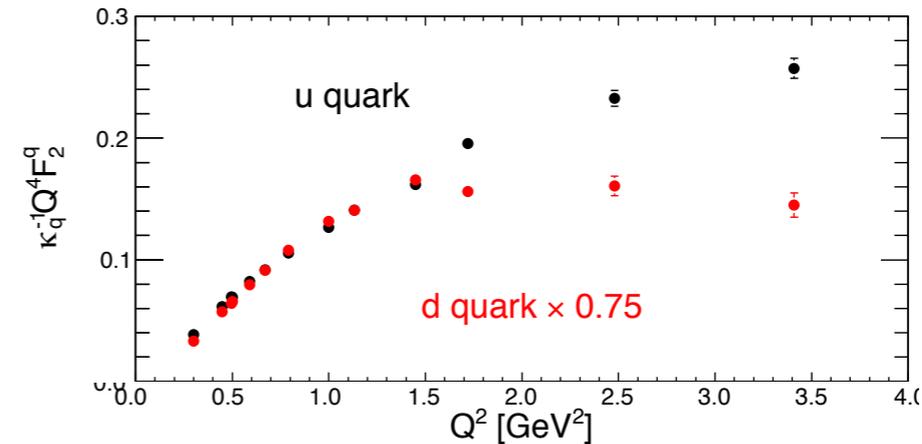
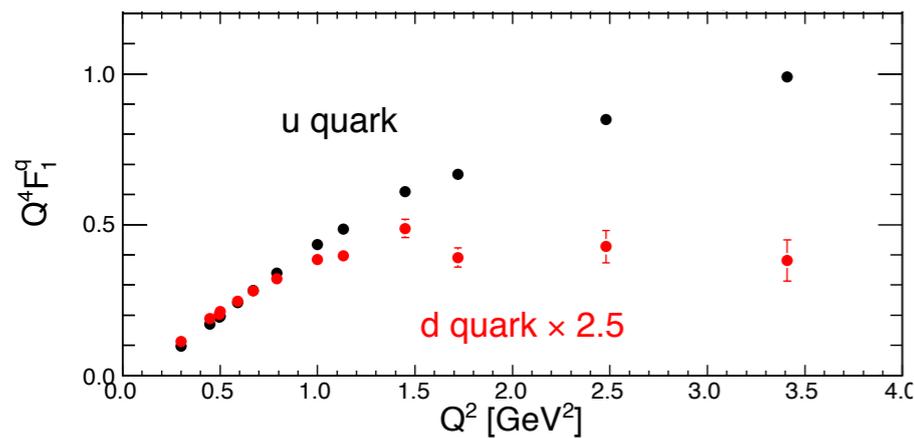
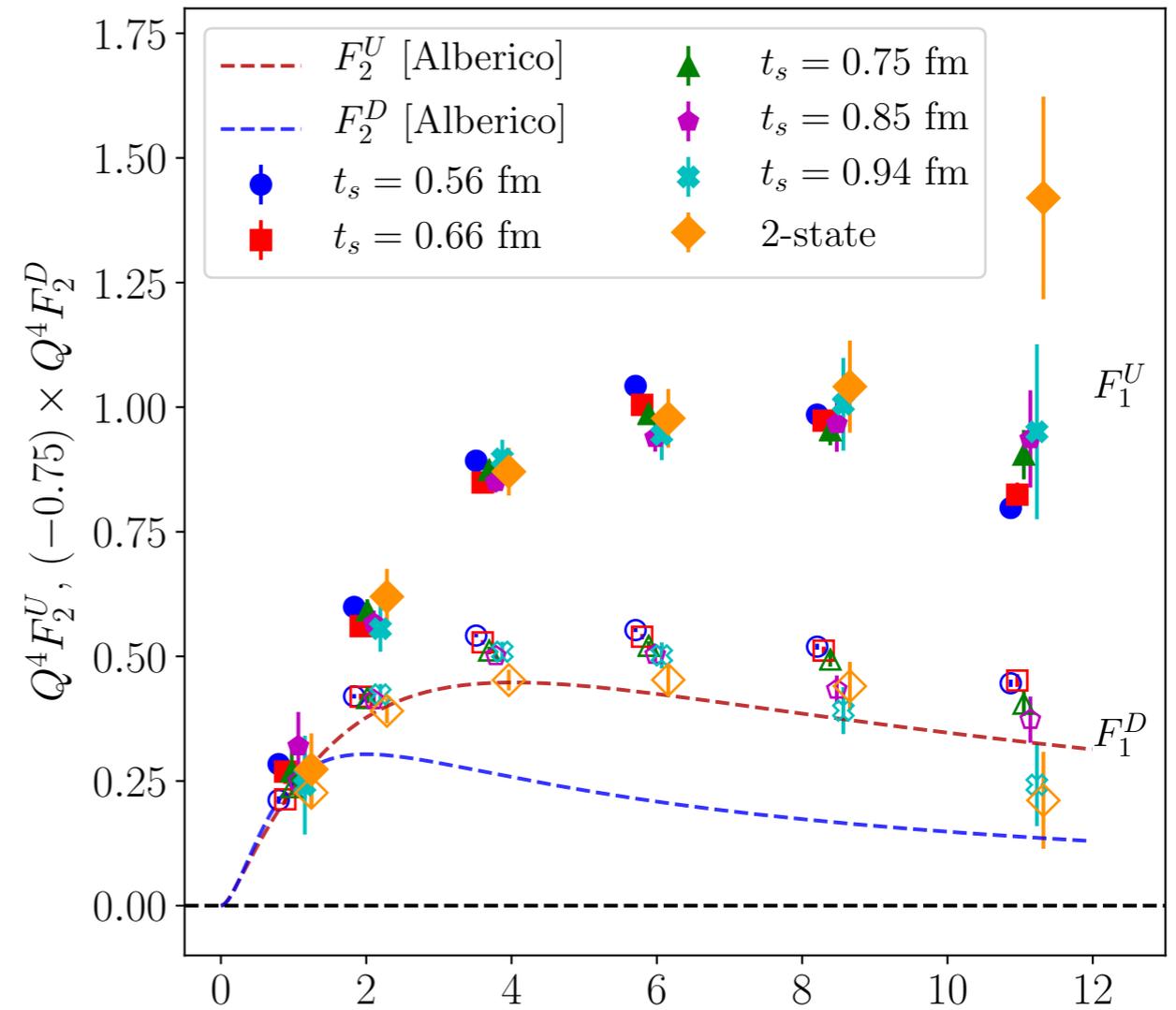
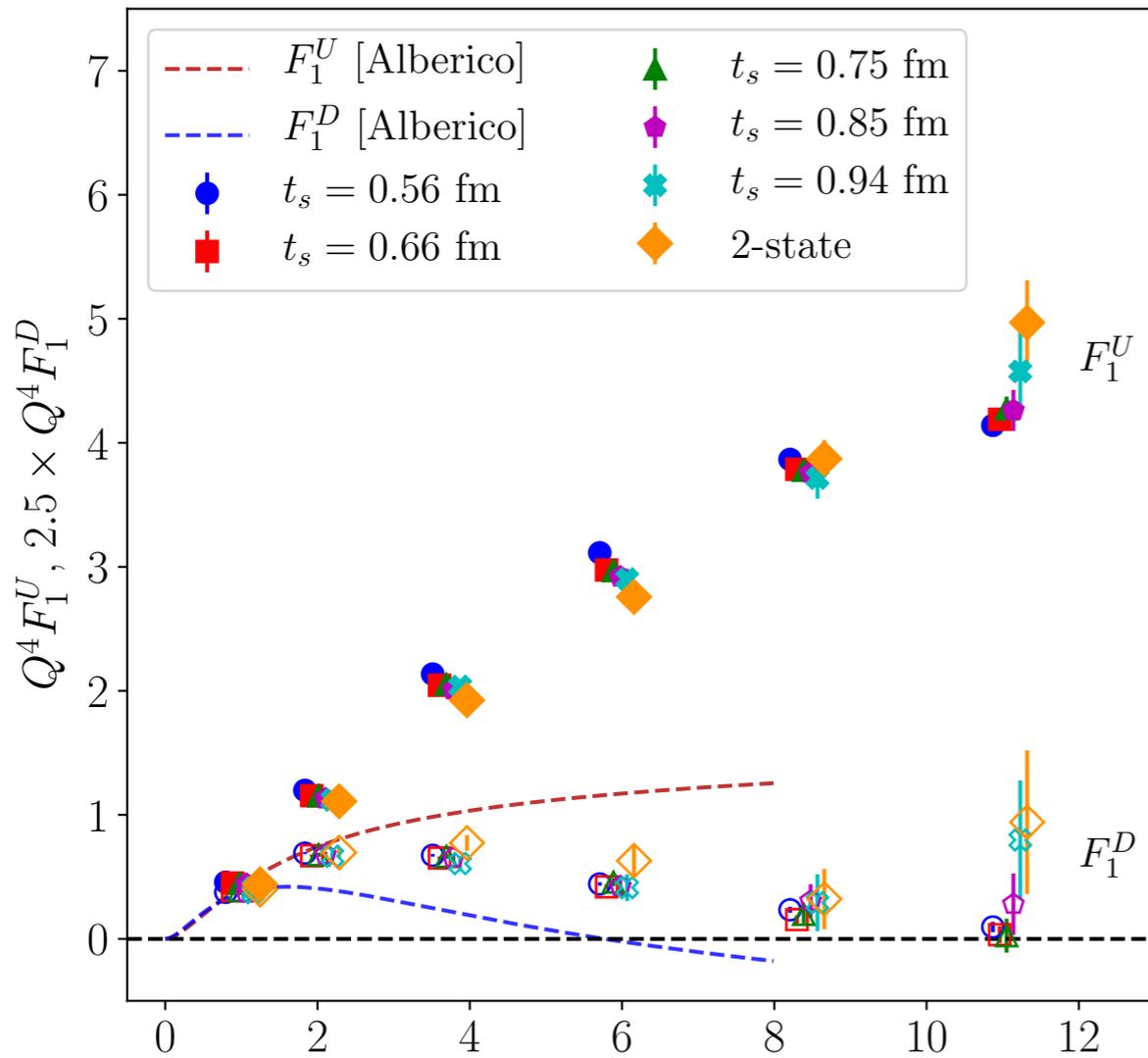
Light Flavor Contributions

- No disconnected diagrams
- No discretization corrections



Light Flavor Contributions

- No disconnected diagrams
- No discretization corrections



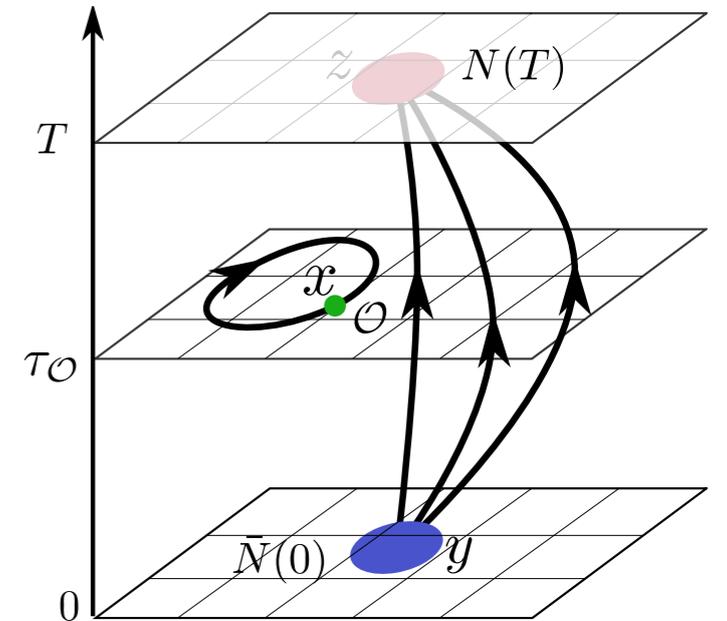
- Reproduce qual.features of flavor dependence [G.D.Cates, et al, PRL 106:252003(2011)]
- Larger form factors: nucleon (+ exc.states?) on a lattice is more "compact"

Disconnected Quark Loops

- Stochastic evaluation:
$$\begin{cases} \xi(x) = \text{random } Z_2\text{-vector} \\ E[\xi^\dagger(x)\xi(y)] = \delta_{x,y} \end{cases}$$

$$\sum_x e^{iqx} \mathbb{D}^{-1}(x, x) \approx \frac{1}{N_{MC}} \sum_i^{N_{MC}} \xi_{(i)}^\dagger (e^{iqx} \mathbb{D}^{-1} \xi_{(i)})$$

$$\text{Var}(\sum_x \mathbb{D}^{-1}(x, x)) \sim \frac{1}{N_{MC}} \quad (\text{contributions from } \mathbb{D}^{-1}(x \neq y))$$



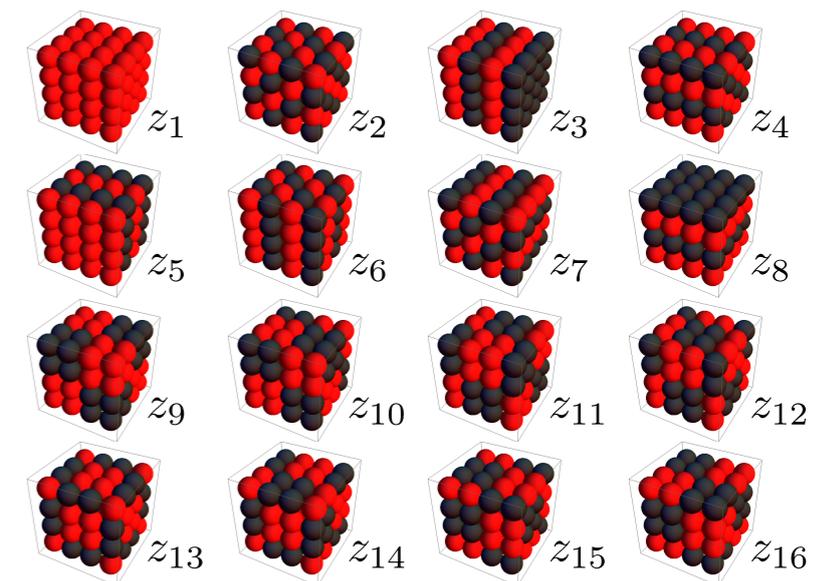
- Exploit $\mathbb{D}^{-1}(x, y)$ **FALLOFF** to reduce $\sum_{x \neq y} |\mathbb{D}^{-1}(x, y)|^2$:

Hierarchical probing method [K.Orginos, A.Stathopoulos, '13]:

In sum over $N=2^{nd+1}$ 3D(4D) **Hadamard vectors**, near-(x,y) terms cancel:

$$\frac{1}{N} \sum_i z_i(x) z_i(y)^\dagger = \begin{cases} 0, & 1 \leq |x - y| \leq 2^k, \\ 1, & x = y \text{ or } 2^k < |x - y| \end{cases}$$

- Further decrease variance by deflating low-lying, long-range modes [A.Gambhir's PhD thesis]



Disconnected & Strange Quark Contractions

[J. Green, S. Meinel, et al; PRD92:031501 (2015)]

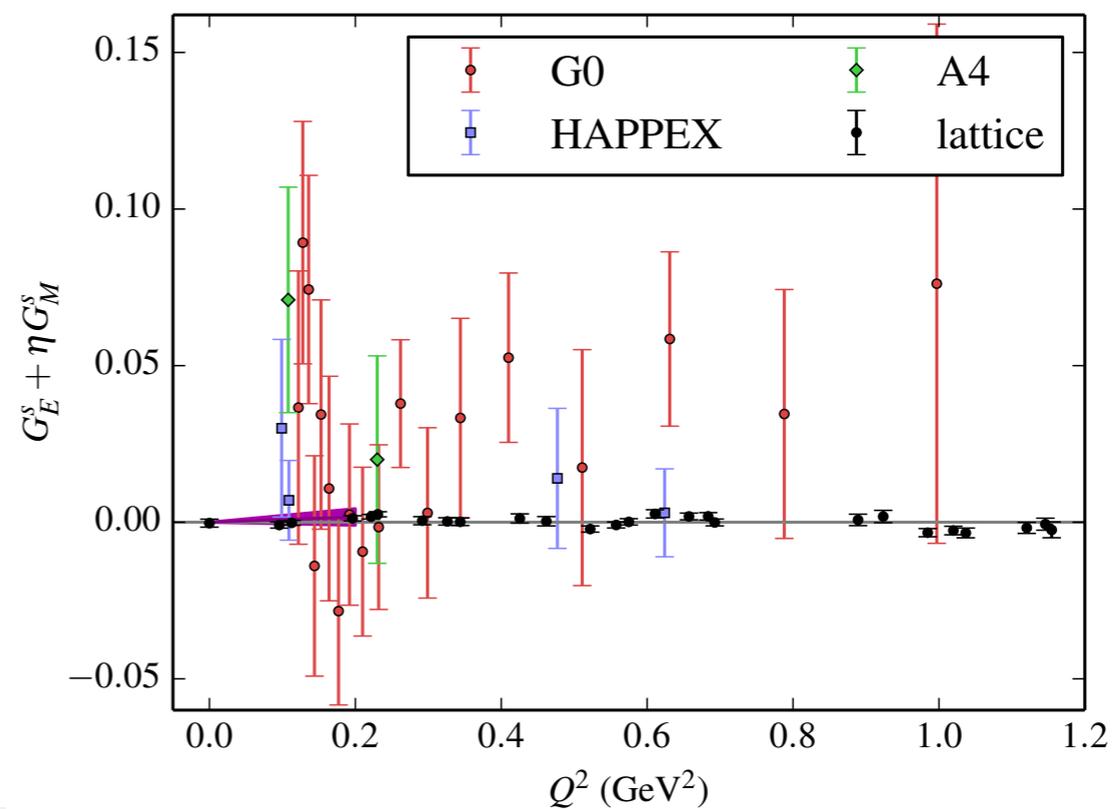
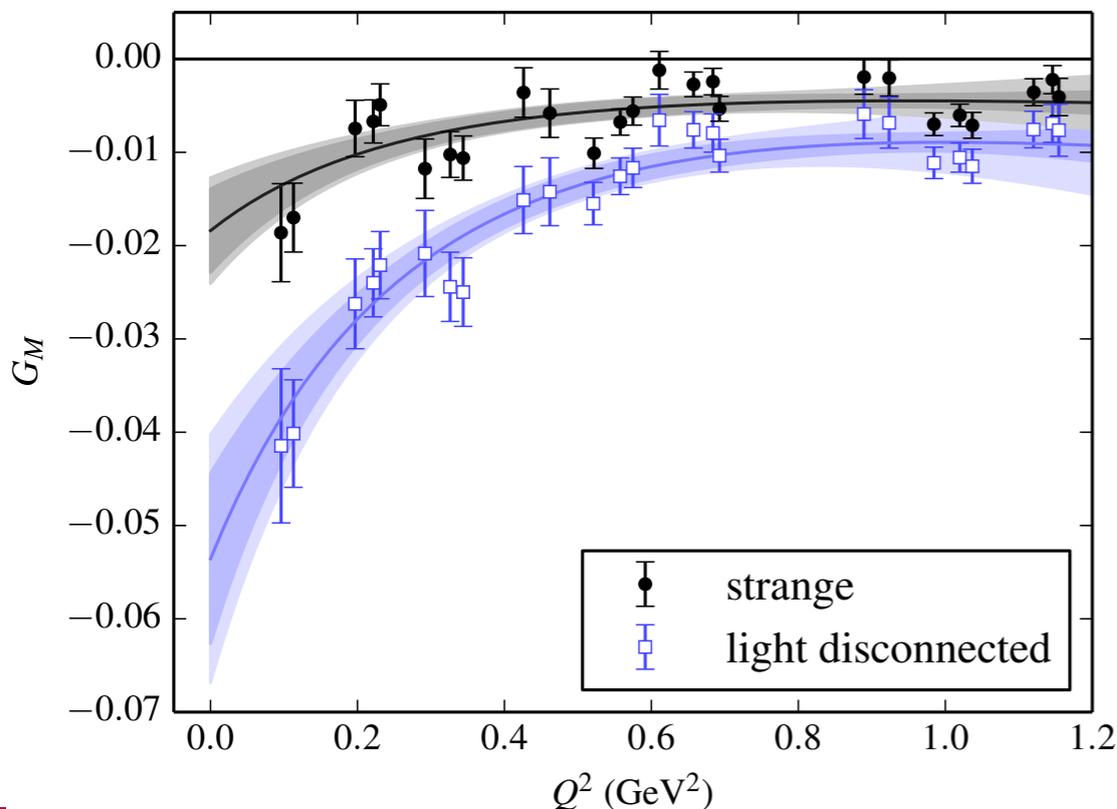
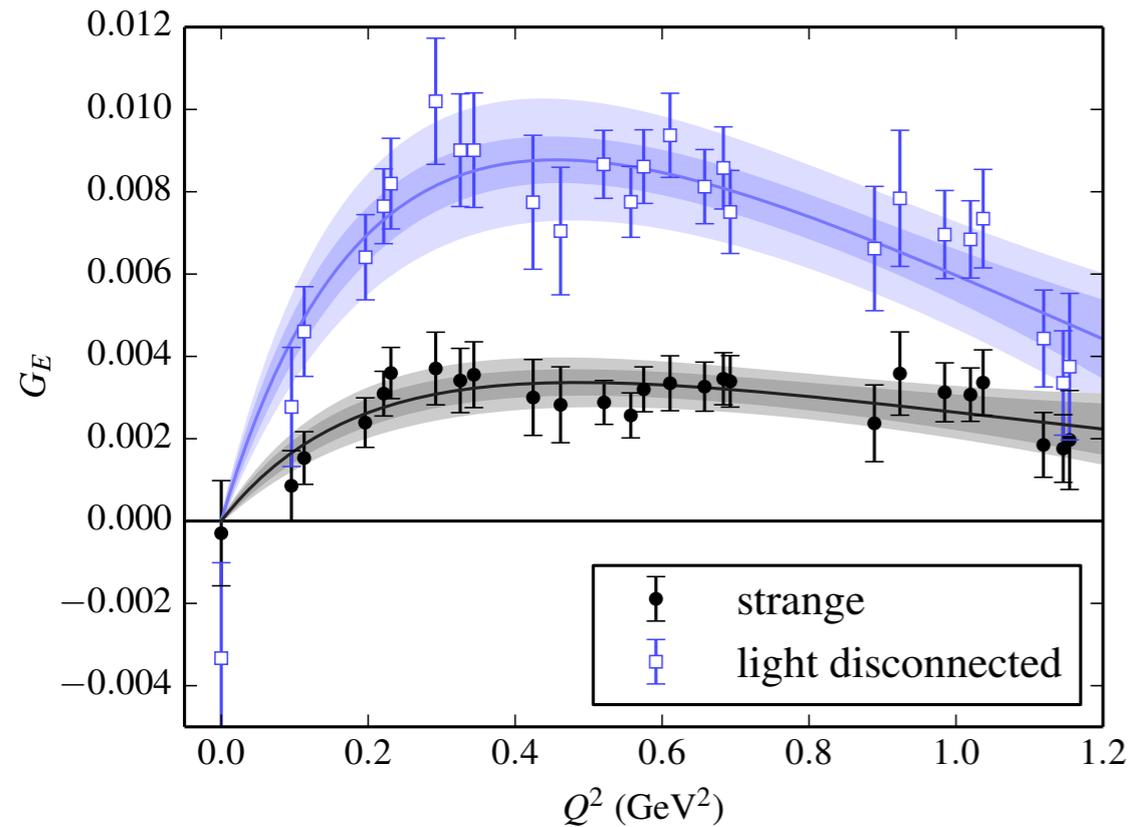
$N_f=2+1$ dynamical fermions, $m_\pi \approx 320$ MeV
(the "coarse" JLab Clover ensemble)

$$|(G_E^{u/d})_{\text{disc}}| \lesssim 0.010 \text{ of } |(G_E^{u-d})_{\text{conn}}|$$

$$|(G_E^s)_{\text{disc}}| \lesssim 0.005 \text{ of } |(G_E^{u-d})_{\text{conn}}|$$

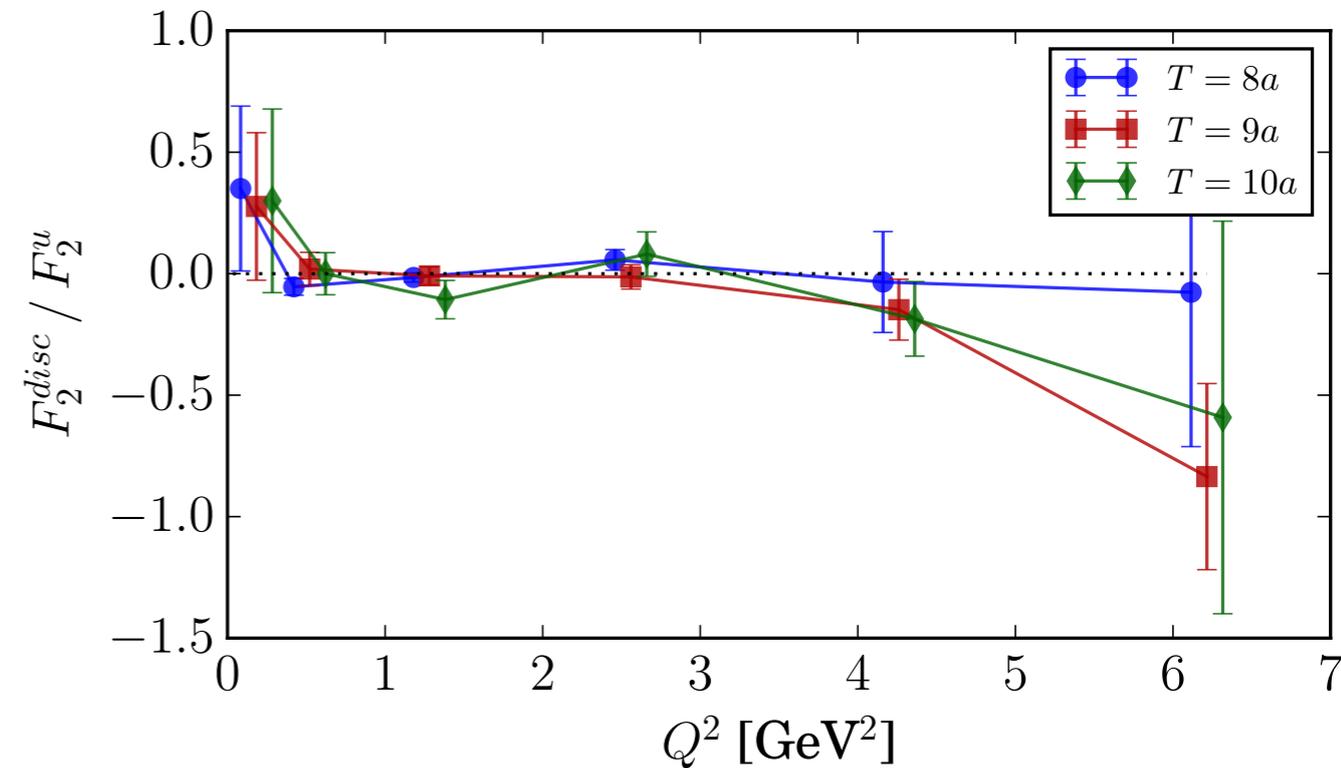
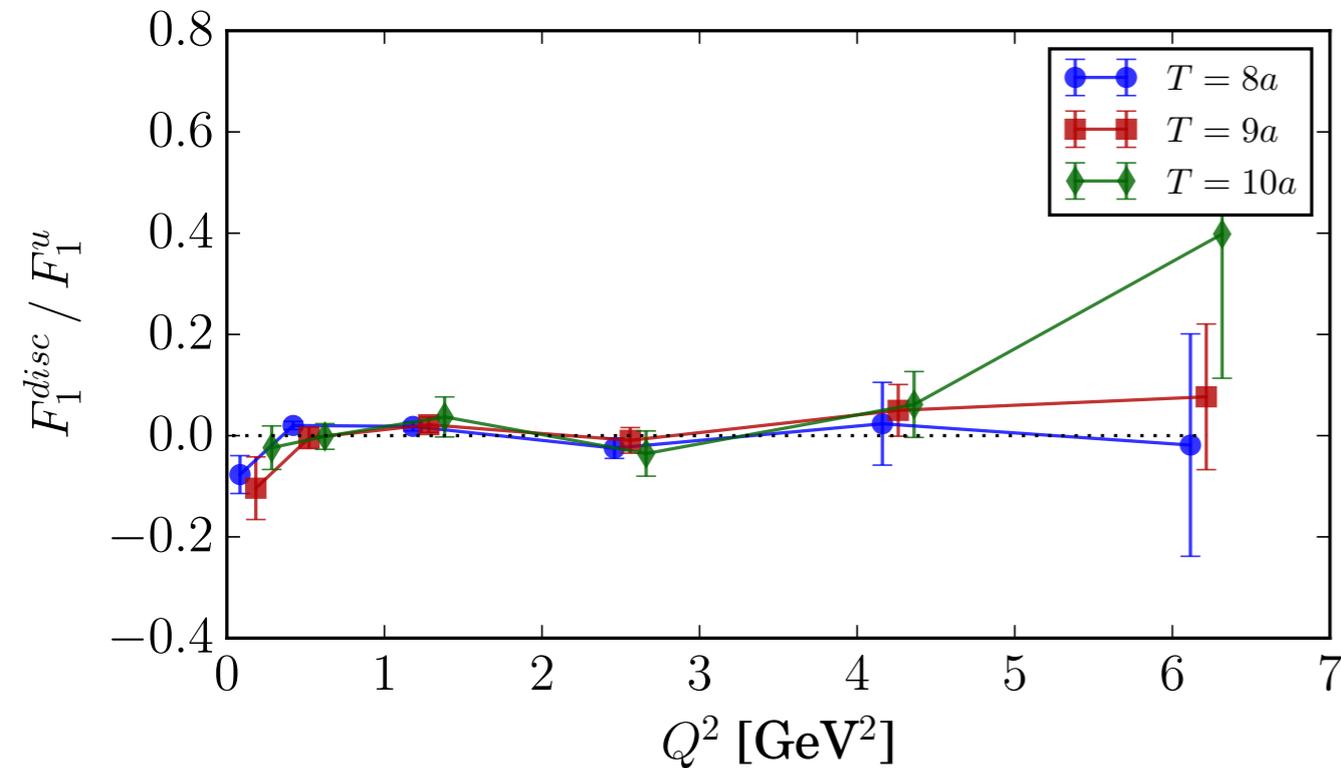
$$|(G_M^{u/d})_{\text{disc}}| \lesssim 0.015 \text{ of } |(G_M^{u-d})_{\text{conn}}|$$

$$|(G_M^s)_{\text{disc}}| \lesssim 0.005 \text{ of } |(G_M^{u-d})_{\text{conn}}|$$



Disconnected vs. Connected : Large Q^2

Ratio of disconnected to connected(U) contributions



- D5 ensemble($m_\pi=280$ MeV, $a=0.094$ fm)
- Indication for smallness of the strange form factor (up to $Q^2 \lesssim 4$ GeV 2)

$$|F_{1,2}^s| \stackrel{?}{\lesssim} |(F_{1,2}^{u/d})_{disc}| \lesssim 0.1 |F_{1,2}^{u,d}| \quad (Q^2 \lesssim 4 \text{ GeV}^2)$$

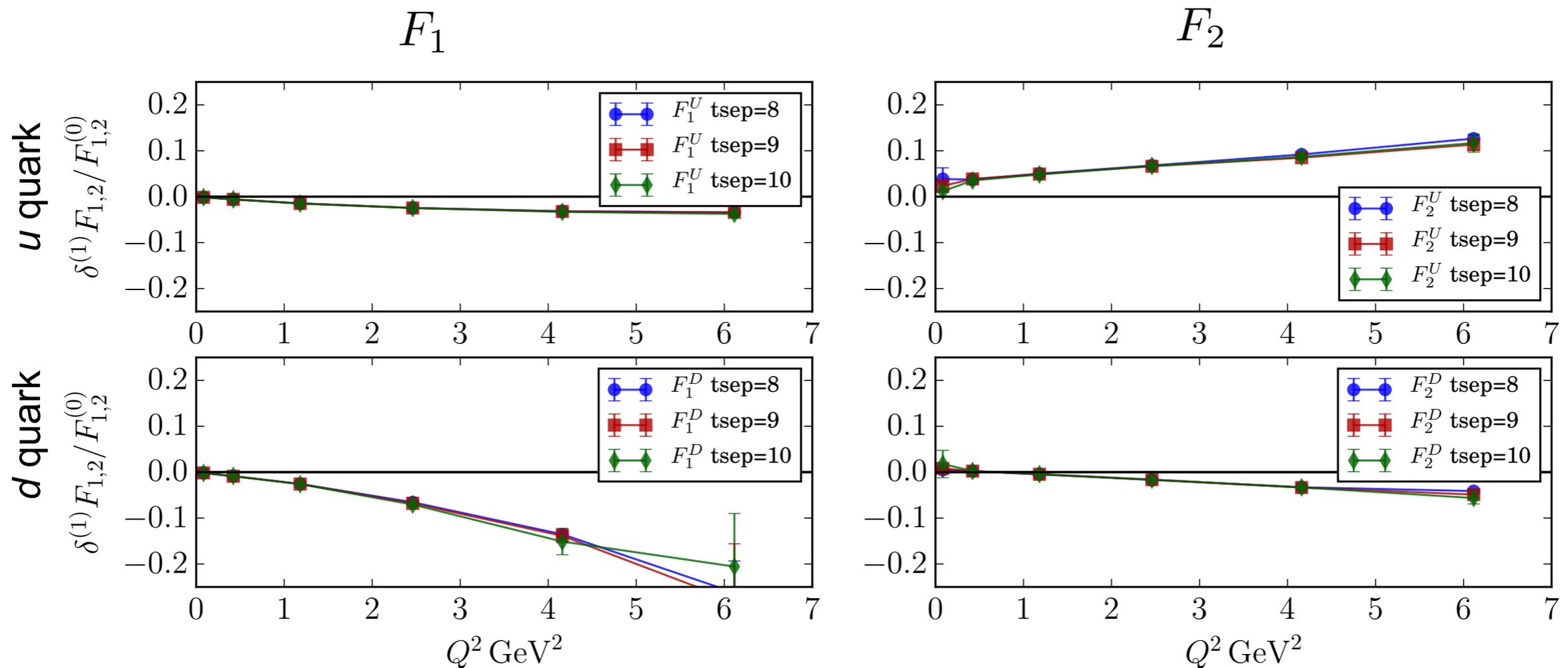
(study with increased statistics underway)

O(a) Vector Current Correction

• No disconnected diagrams

Improved vector current $(V_\mu)_I = \bar{q}\gamma_\mu q + c_V a\partial_\nu \bar{q}i\sigma_{\mu\nu}q$

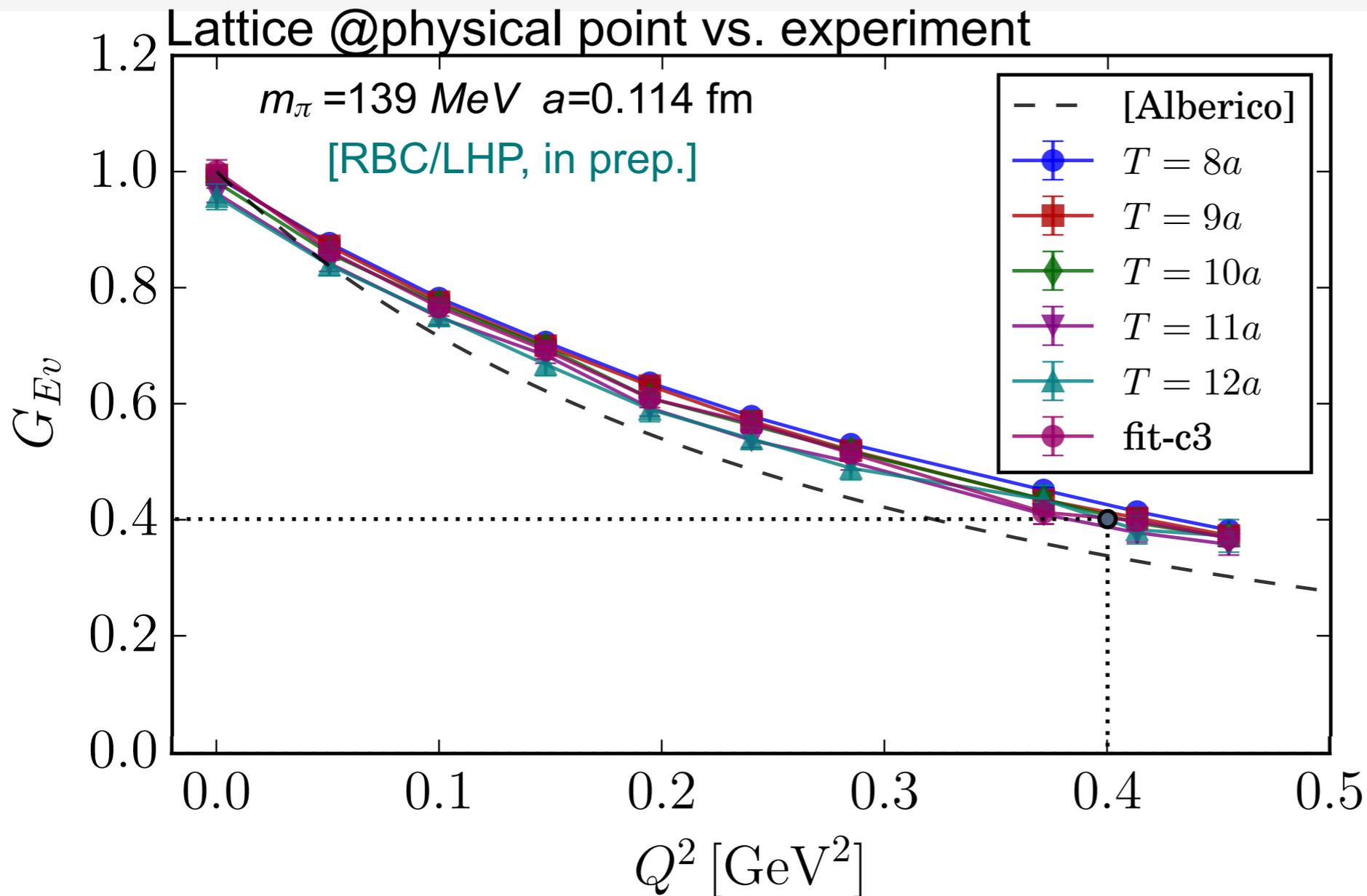
O(a¹) correction : form factors of $a \langle N | \partial_\nu (\bar{q}i\sigma^{\mu\nu}q) | N \rangle$



Relative magnitude of O(a¹) effects : $\{O(a^1)\} / \{O(a^0)\}$ form factors
(assuming $c_V=0.05$)

- improvement coefficient c_V : must be computed on lattice from WI
- perturbation theory: $c_V \approx -0.01 C_F (g_0)^2$

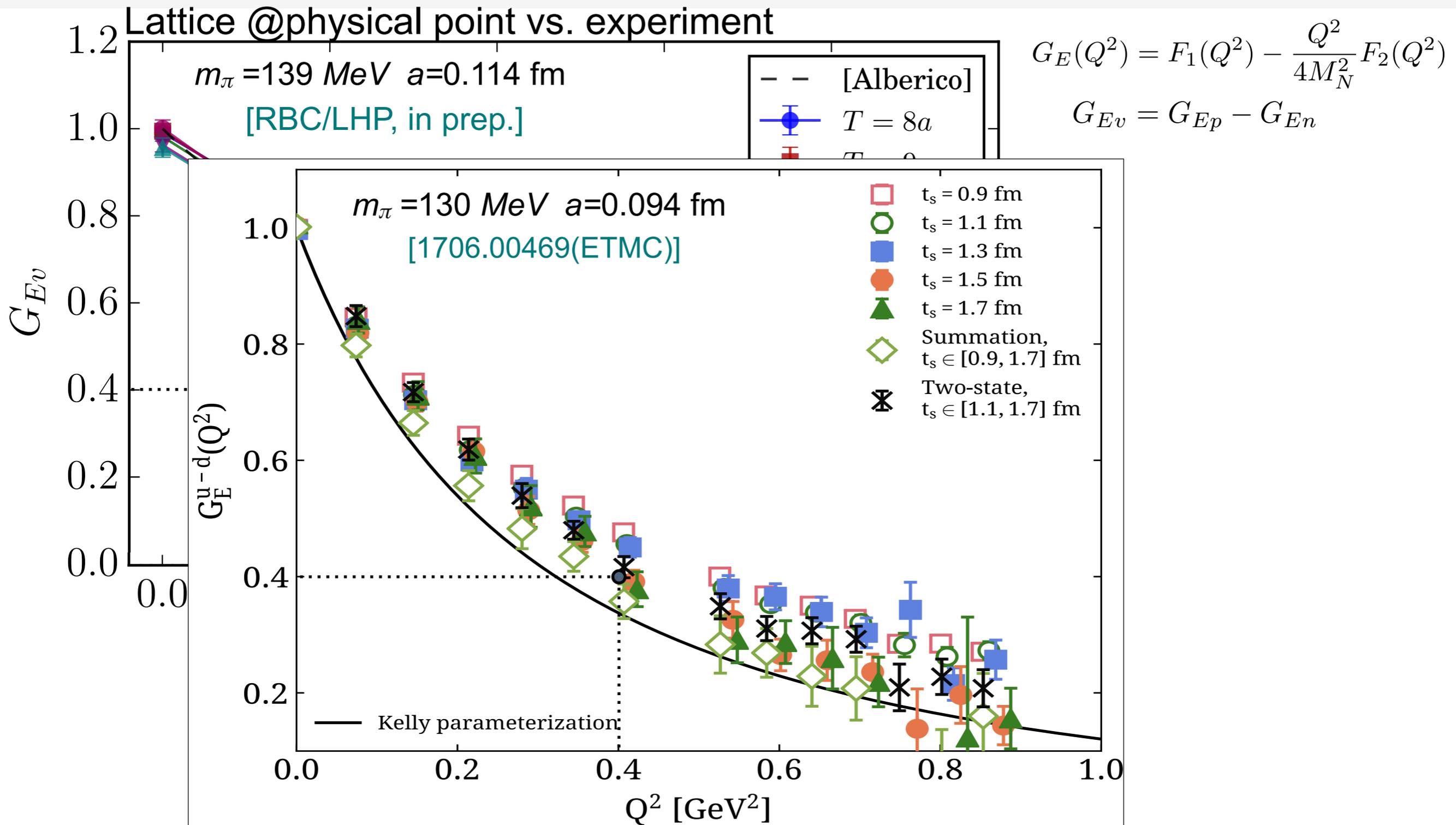
(u-d) Electric Form Factor for $Q^2 \lesssim 1 \text{ GeV}^2$



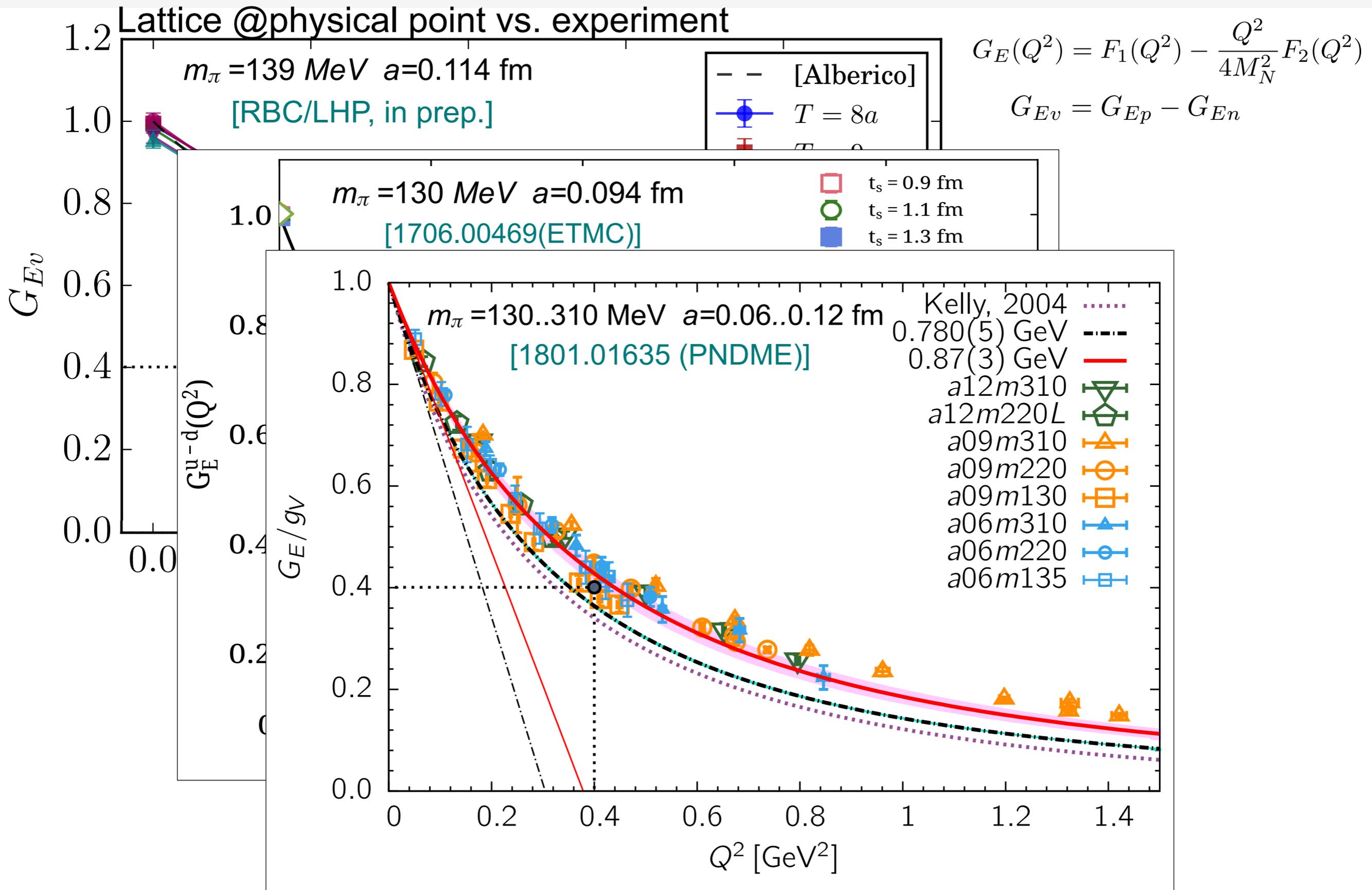
$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M_N^2} F_2(Q^2)$$

$$G_{Ev} = G_{Ep} - G_{En}$$

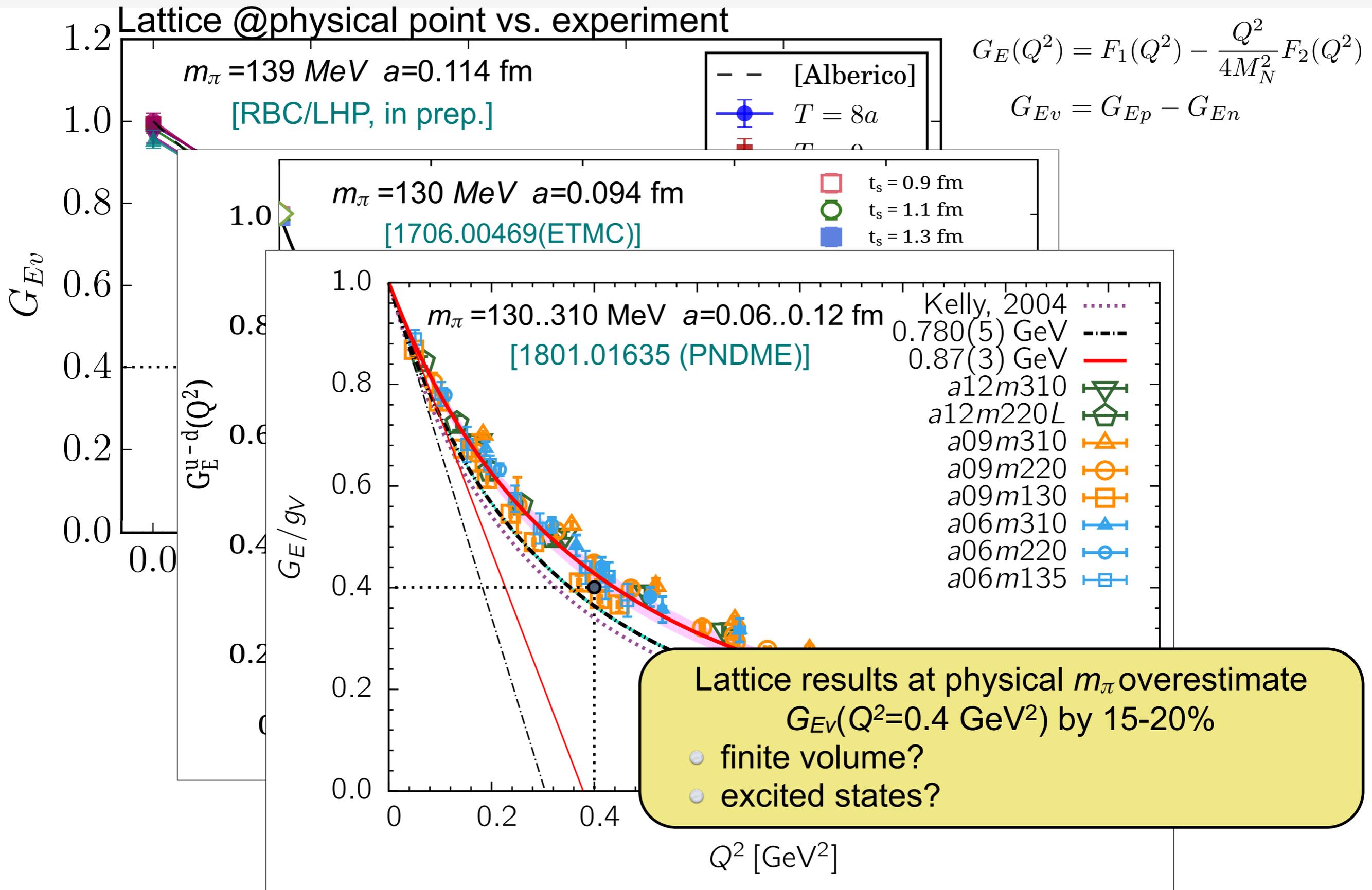
(u-d) Electric Form Factor for $Q^2 \lesssim 1 \text{ GeV}^2$



(u-d) Electric Form Factor for $Q^2 \lesssim 1 \text{ GeV}^2$



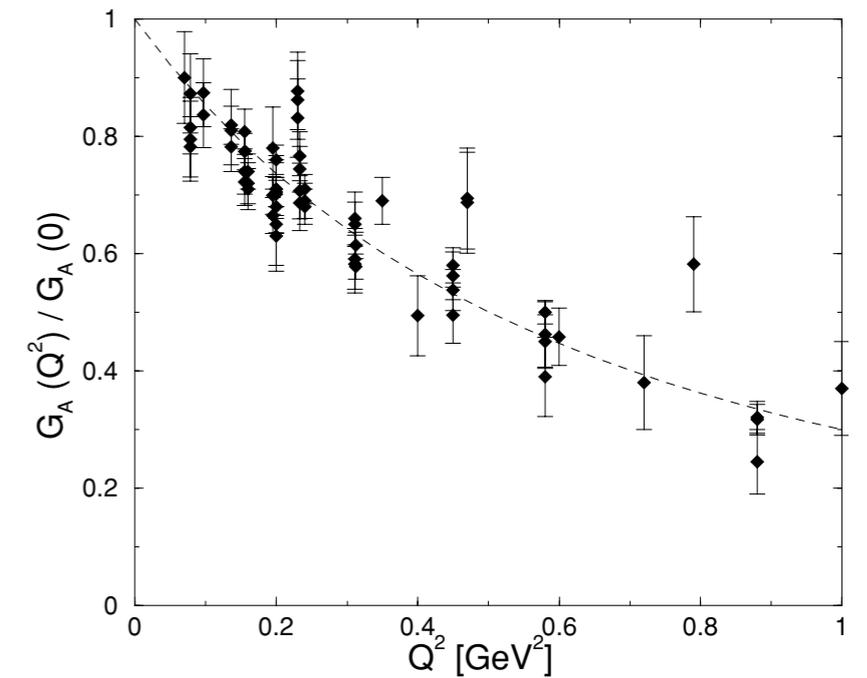
(u-d) Electric Form Factor for $Q^2 \lesssim 1 \text{ GeV}^2$



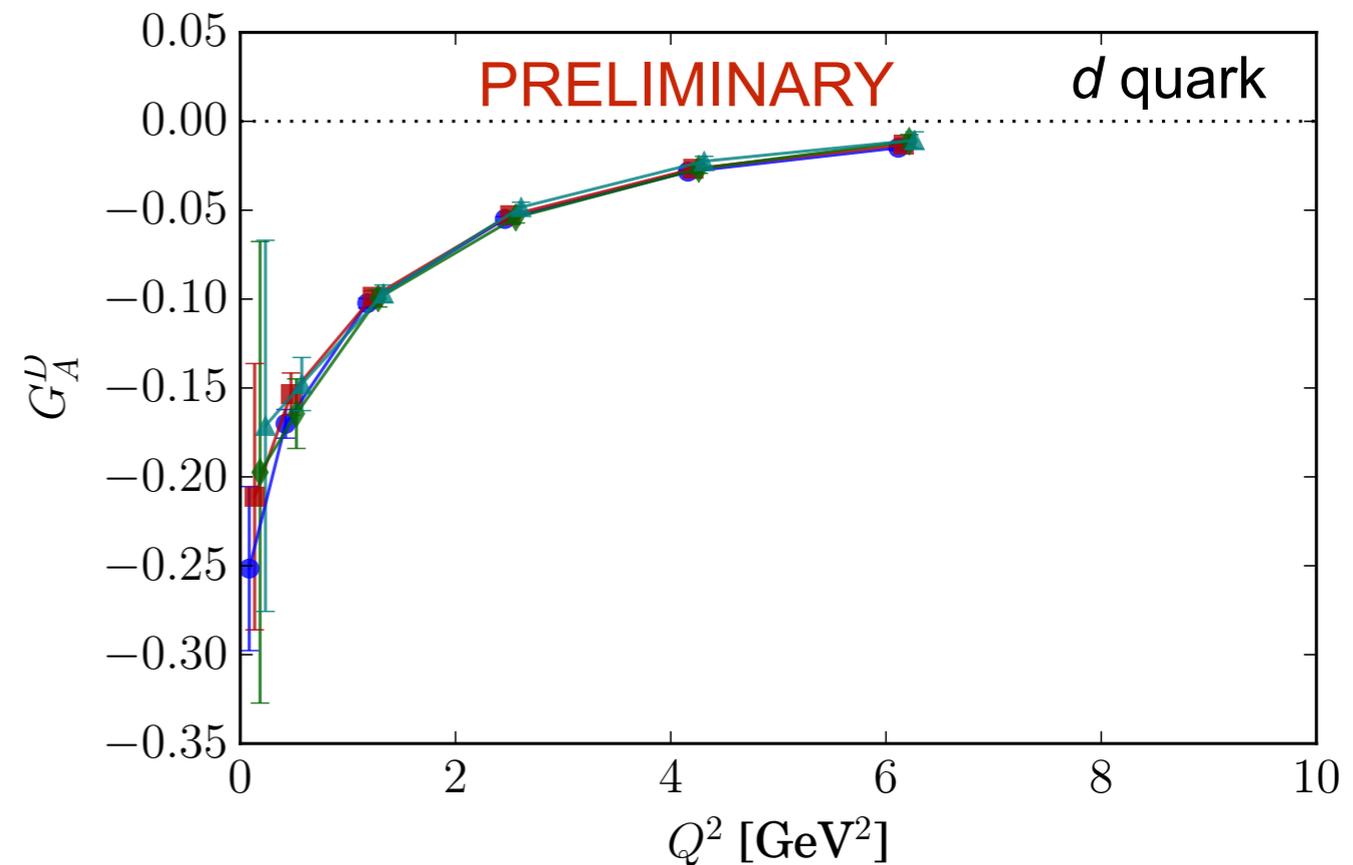
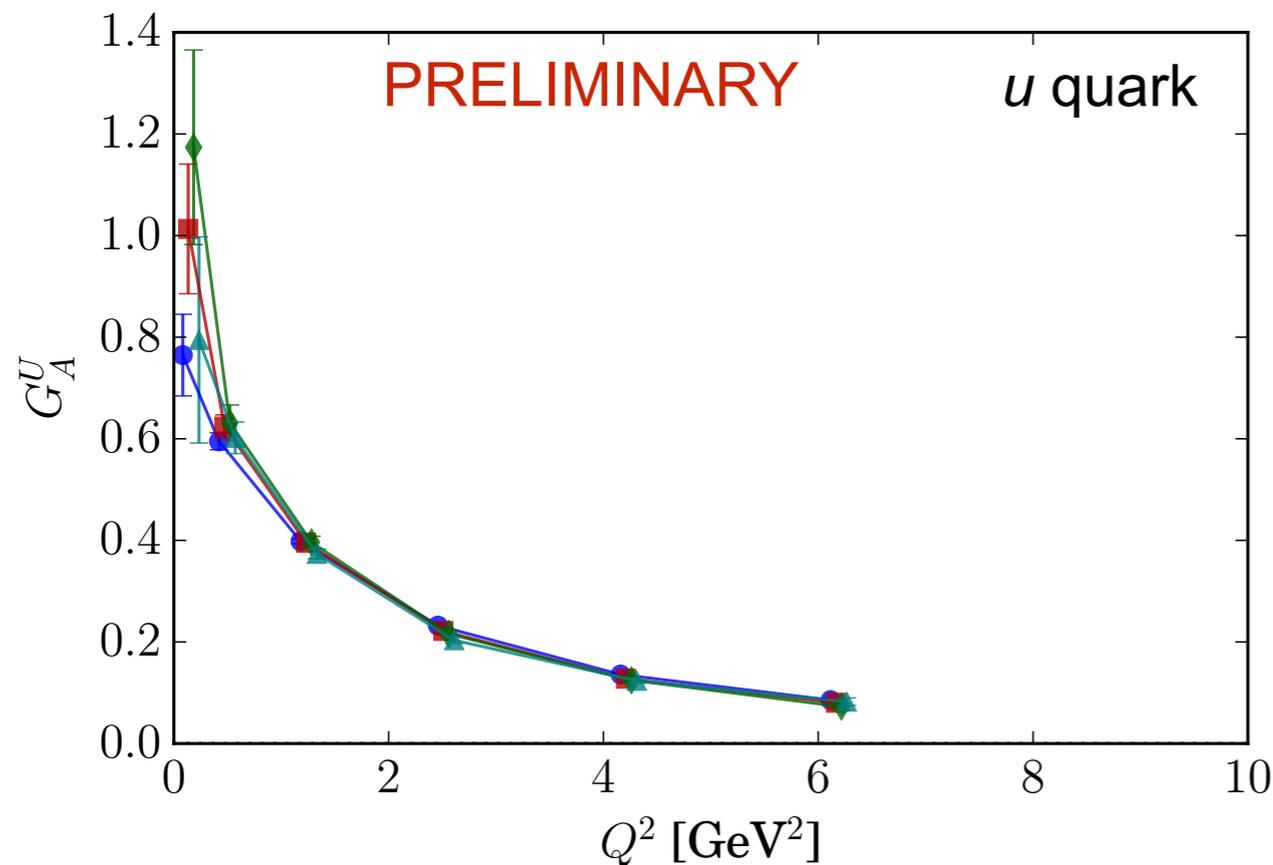
Axial Form Factors

- No disconnected diagrams
- No discretization corrections

- $G_A(Q^2)$ are measured in ν -scattering, π -production;
implications for neutrino flux norm. (e.g. in IceCube)
- Large uncertainty in $G_A(Q^2)$ from model dependence
[B.Bhattacharya,R.Hill,G.Paz, PRD84:073006(2011)]
- Will be accessible in LBNF [see e.g. R.Petti's talk, POETIC'19]
clean probe (only EW interaction)
flavor separation in CC interactions



[V.Bernard et al, J.Phys.G28:R1(2002)]



Summary

- Initial results for high-momentum form factors up to $Q^2 \lesssim 10 \text{ GeV}^2$
(No quark-disconnected contributions)
- Form factor results overshoot experiment $\times(2 \dots 2.5)$
Discretization? Non-physical quark masses? Excited states?
 \Rightarrow Will require $\gtrsim O(10^6)$ statistics
- G_{Ep}/G_{Mp} , F_2/F_1 , G_{En}/G_{Mn} are in qualitative agreement with experiment
Universality between ground & excited nucleon states?
- $O(a)$ discretization effects grow with Q^2
Finer lattices + Non-perturbative vector current improvement needed
- Access to flavor-dependent contributions to nucleon vector and axial-vector form factors
- Comparison to experiment will (eventually) validate lattice methods for computing relativistic nucleon matrix elements
Impact on lattice methodology for calculations of TMDs, PDFs, ...

BACKUP

Title

