

# The chiral phase transition in (2+1)-flavor QCD

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Faculty of Physics

- Critical behavior in the limit of vanishing light quark masses
- Finite size scaling and chiral limit
- The chiral PHASE TRANSITION temperature

# The chiral **PHASE TRANSITION** temperature

R. D. Pisarski, F. Wilczek,

Remarks on the chiral phase transition in chromodynamics,

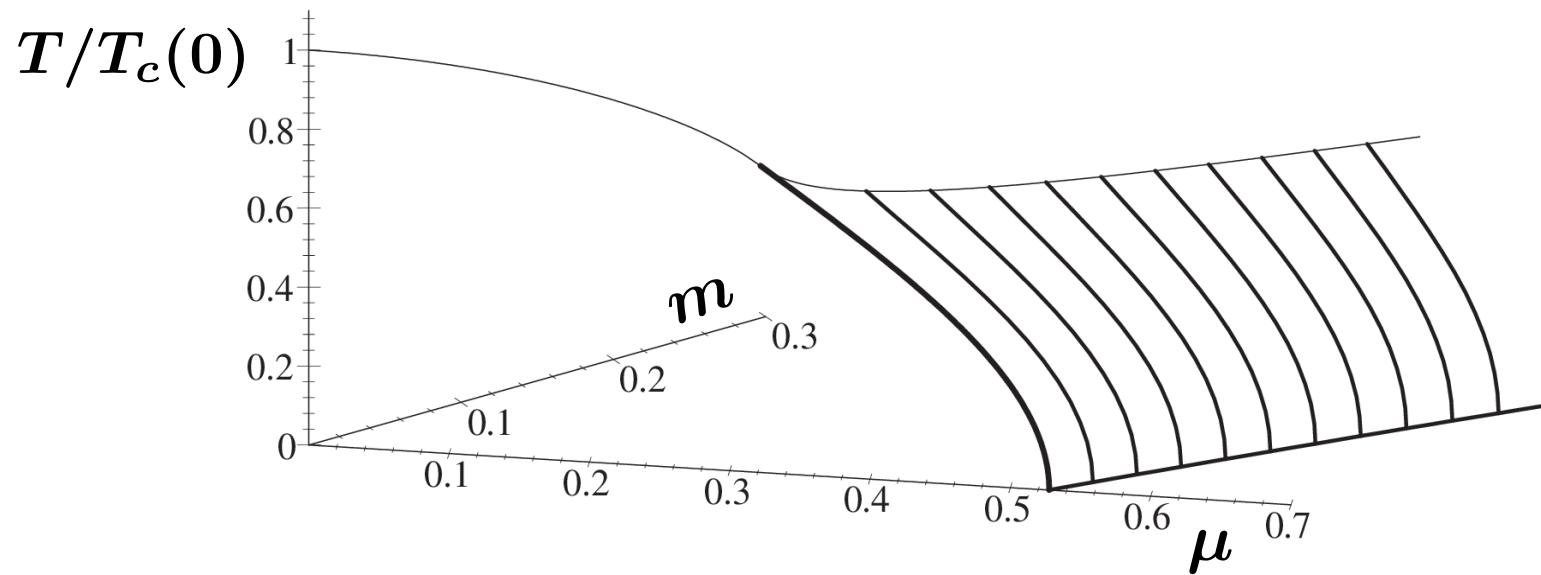
Phys. Rev. D 29 (1984) 338(R)

Abstract:

The phase transition restoring chiral symmetry at finite temperatures is considered in a linear  $\sigma$  model. For three or more massless flavors, the perturbative  $\epsilon$  expansion predicts the phase transition is of first order. At high temperatures, the UA(1) symmetry will also be effectively restored.

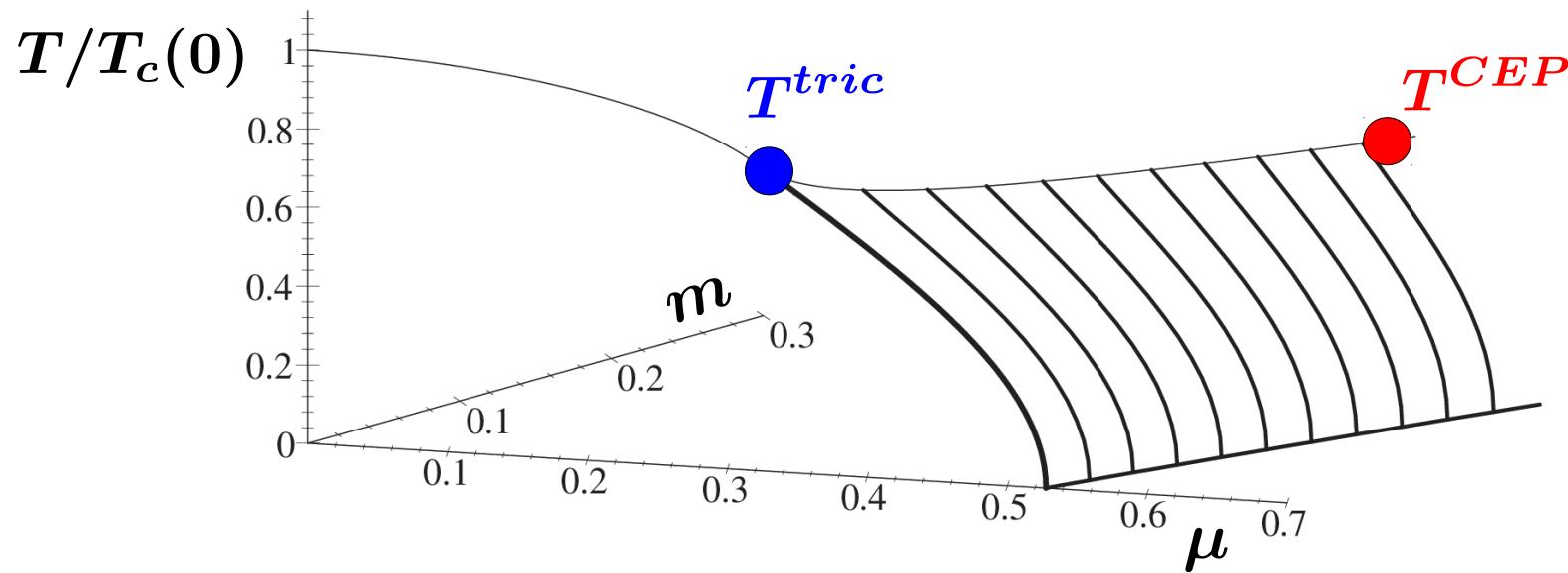
- since 35 years it is understood that critical behavior in strong-interaction matter is due to **chiral symmetry restoration**
- the **phase transition temperature** in the chiral limit of QCD is one of the fundamental scales in strong-interaction physics
- **neither the order of the transition in 2 or (2+1)-flavor QCD nor the value of the transition temperature have been established so far**

# Phase diagram of QCD with two light flavors of mass $m$ as calculated from random matrix theory



A.M. Halasz, A.D. Jackson, R.E. Shrock, M.A. Stephanov, J.J.M. Verbaarschot,  
On the phase diagram of QCD, Phys. Rev. D 58 (1998) 096007

# Phase diagram of QCD with two light flavors of mass $m$ as calculated from random matrix theory



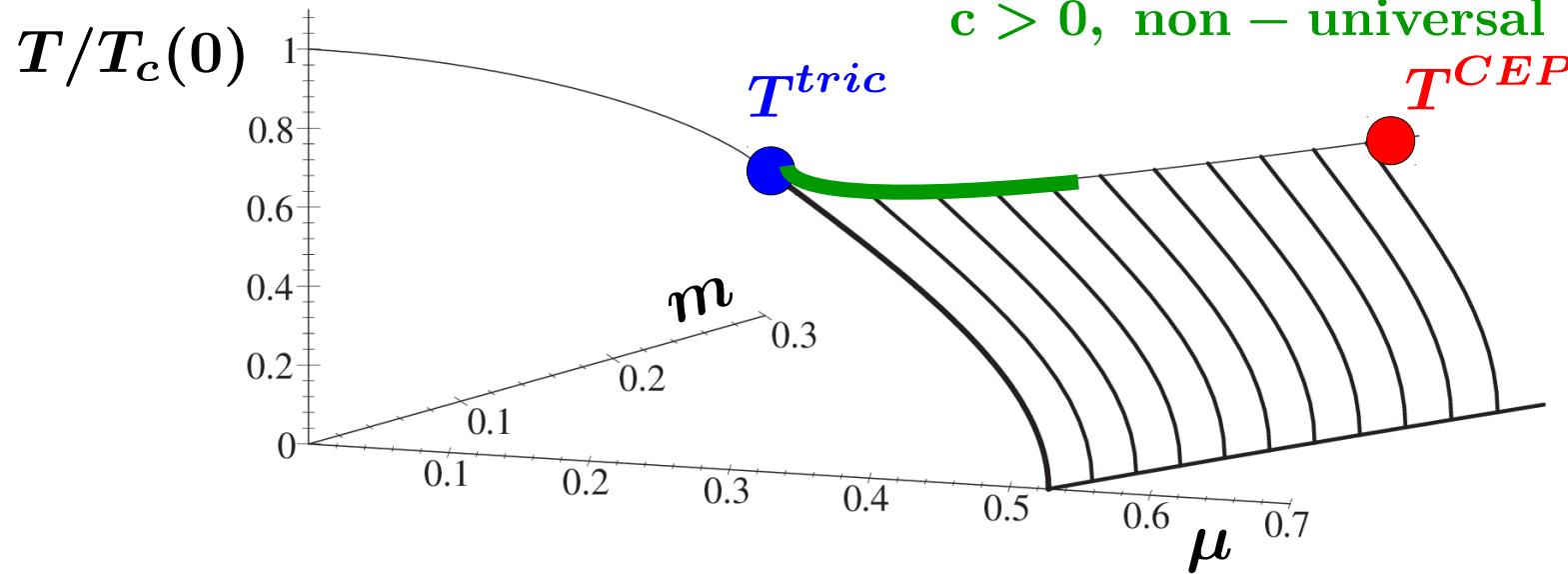
M.A. Stephanov,  
K. Rajagopal,  
E.V. Shuryak,  
PRL 81 (1998)

A.M. Halasz, A.D. Jackson, R.E. Shrock, M.A. Stephanov, J.J.M. Verbaarschot,  
On the phase diagram of QCD, Phys. Rev. D 58 (1998) 096007

# Phase diagram of QCD with two light flavors of mass $m$ as calculated from random matrix theory

$$T_c(m) = T^{tric} - c m^{2/5}$$

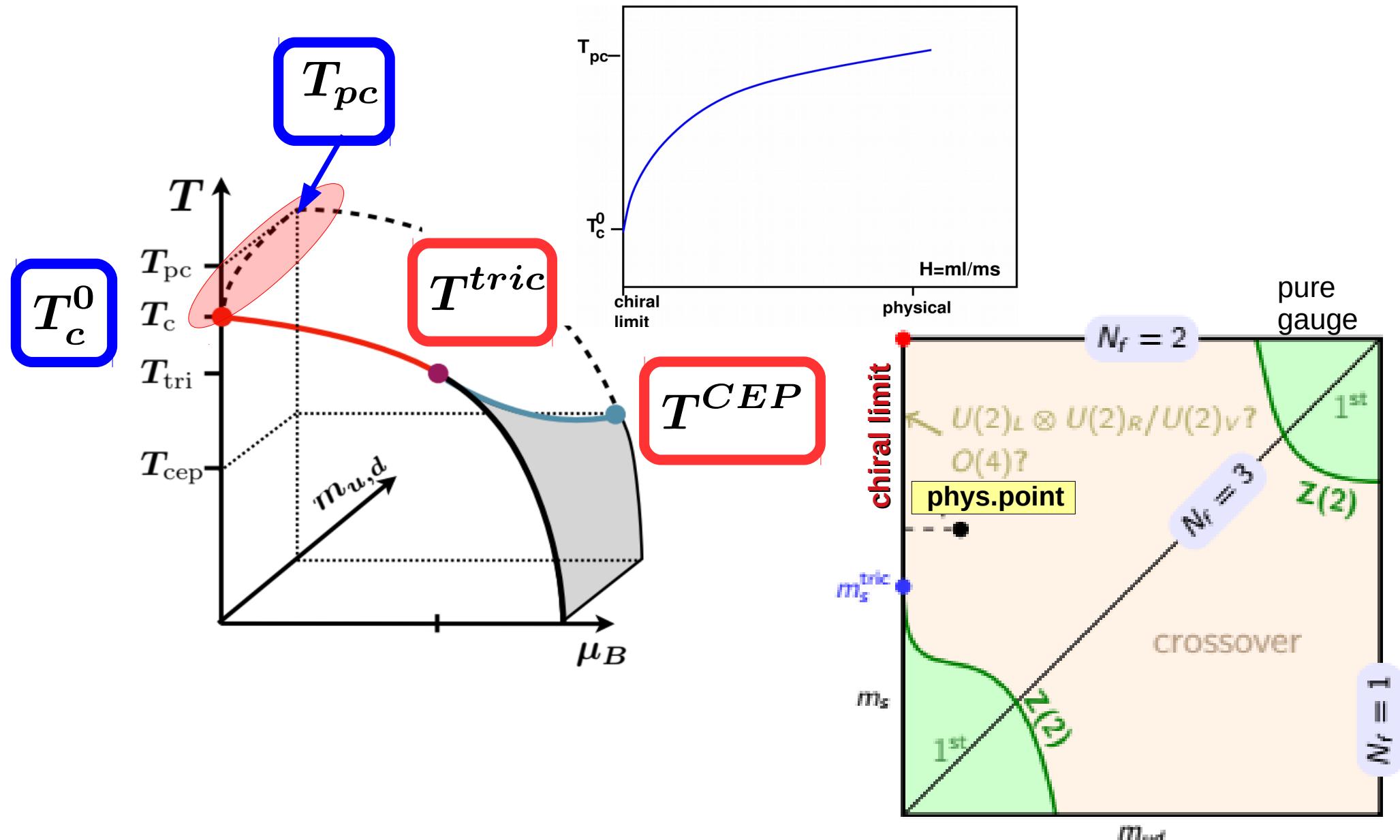
Y. Hatta, T. Ikeda, Phys. Rev. D67 (2003) 014028  
 $c > 0$ , non - universal



M.A. Stephanov,  
 K. Rajagopal,  
 E.V. Shuryak,  
 PRL 81 (1998)

A.M. Halasz, A.D. Jackson, R.E. Shrock, M.A. Stephanov, J.J.M. Verbaarschot,  
 On the phase diagram of QCD, Phys. Rev. D 58 (1998) 096007

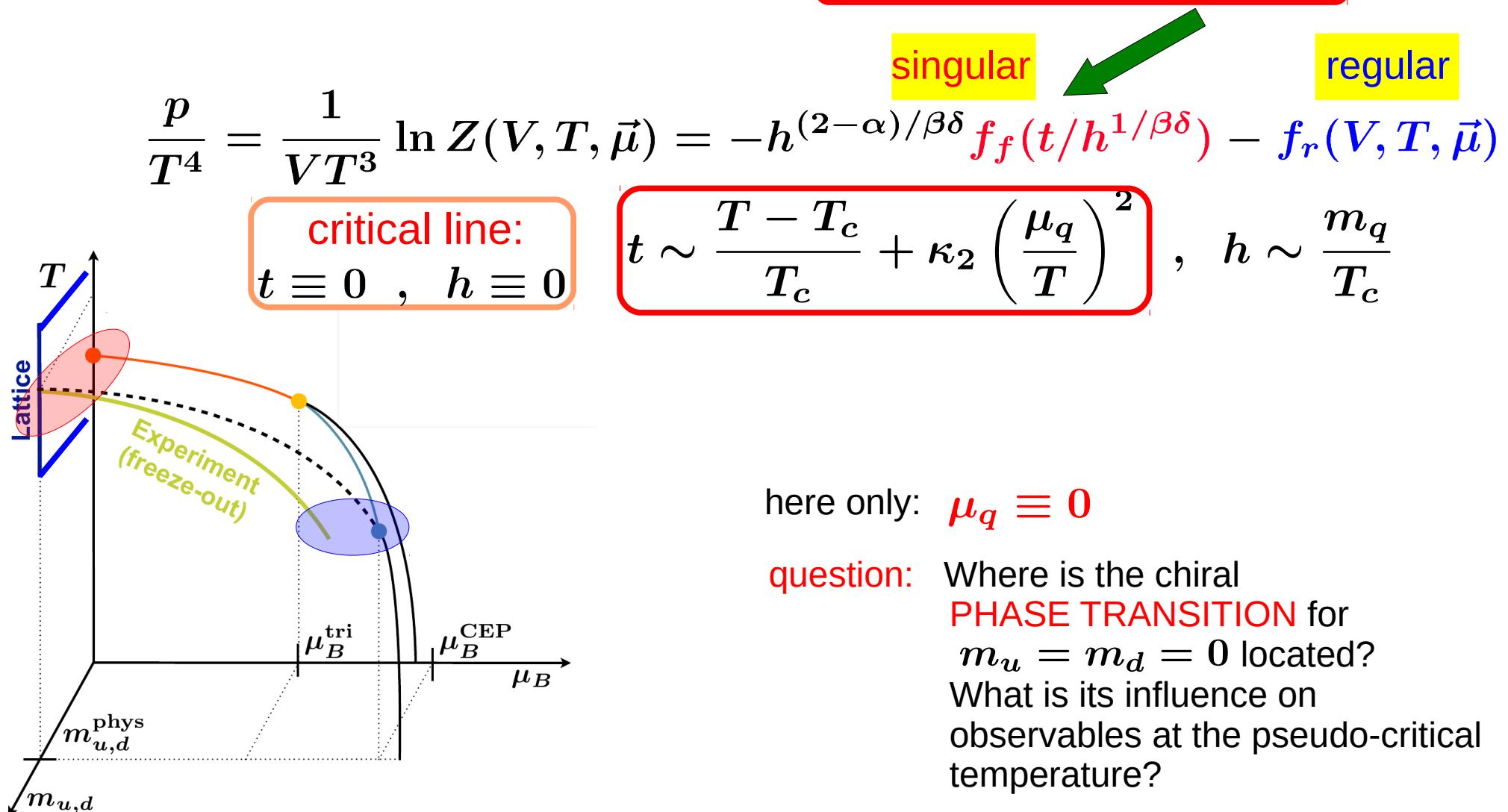
# Phases of strong-interaction matter



determination of  $T_c^0$  puts an upper limit on  $T^{CEP}$ , if  $c>0$  (not yet known)

# Critical behavior in QCD

- close to the chiral limit thermodynamics in the vicinity of the QCD transition(s) is controlled by a **universal scaling function**



# Critical behavior in QCD

- close to the chiral limit thermodynamics in the vicinity of the QCD transition(s) is controlled by a **universal scaling function**

$$\frac{p}{T^4} = \frac{1}{VT^3} \ln Z(V, T, \vec{\mu}) = -h^{(2-\alpha)/\beta\delta} f_f(t/h^{1/\beta\delta}) - f_r(V, T, \vec{\mu})$$

singular

regular

$$t \sim \frac{T - T_c}{T_c} + \kappa_2 \left( \frac{\mu_q}{T} \right)^2$$

$$h \sim \frac{m_q}{T_c}$$

## Pseudo-critical temperatures

**response functions**  
**2<sup>nd</sup> order cumulants**

magnetic

$$\frac{\partial^2 \ln Z}{\partial h^2}$$

mixed

$$\frac{\partial^2 \ln Z}{\partial h \partial t}$$

thermal

$$\frac{\partial^2 \ln Z}{\partial t^2}$$

O(4) critical exponents  
 $\alpha = -0.21$

$\beta = 0.38$

$\delta = 4.82$

$$\sim \left( \frac{m_l}{T_c} \right)^{1/\delta-1}$$

$$\sim -0.79$$

$$\sim \left( \frac{m_l}{T_c} \right)^{(\beta-1)/\beta\delta}$$

$$\sim -0.34$$

$$\sim \left( \frac{m_l}{T_c} \right)^{-\alpha/\beta\delta}$$

$$\sim +0.11$$

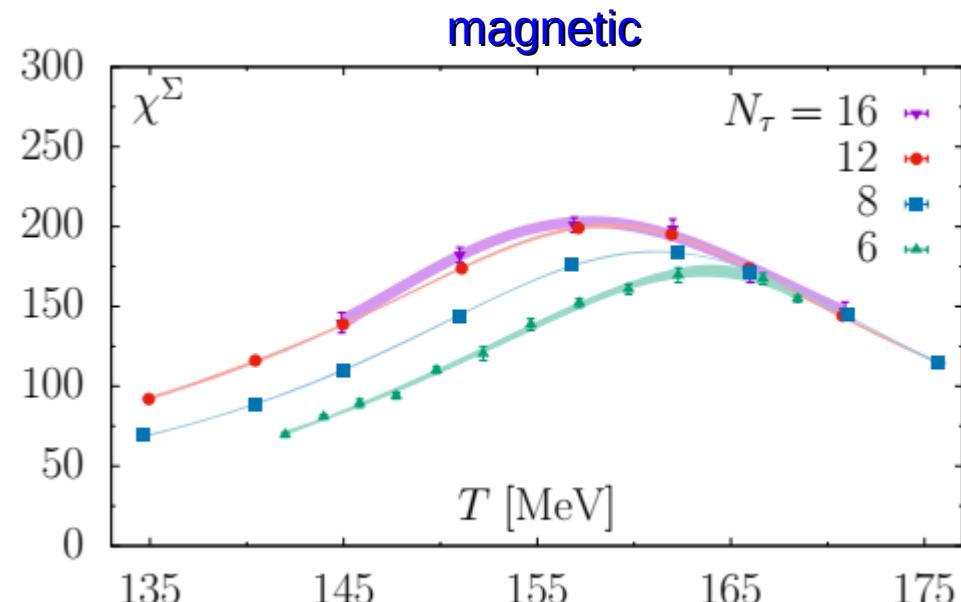
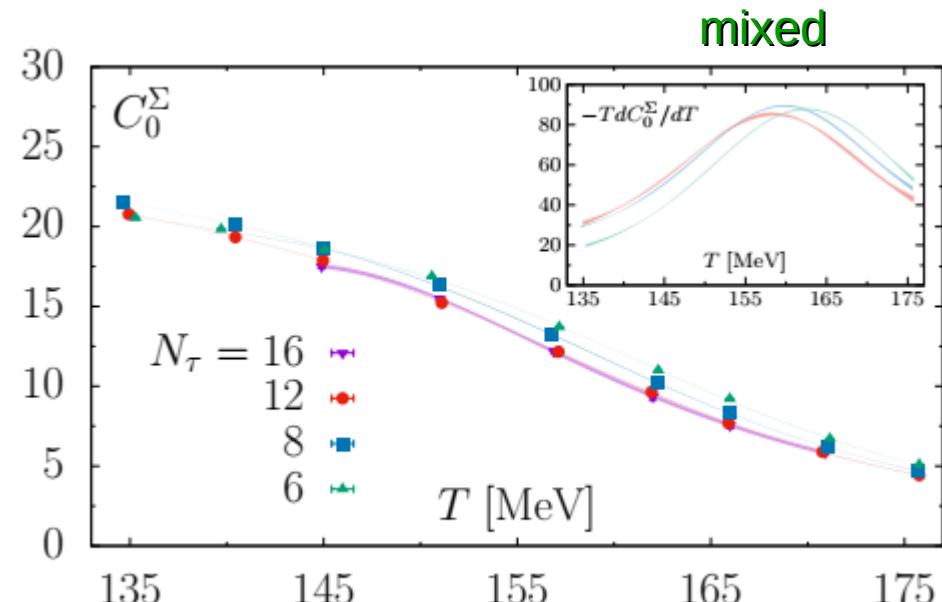
divergence:

strong

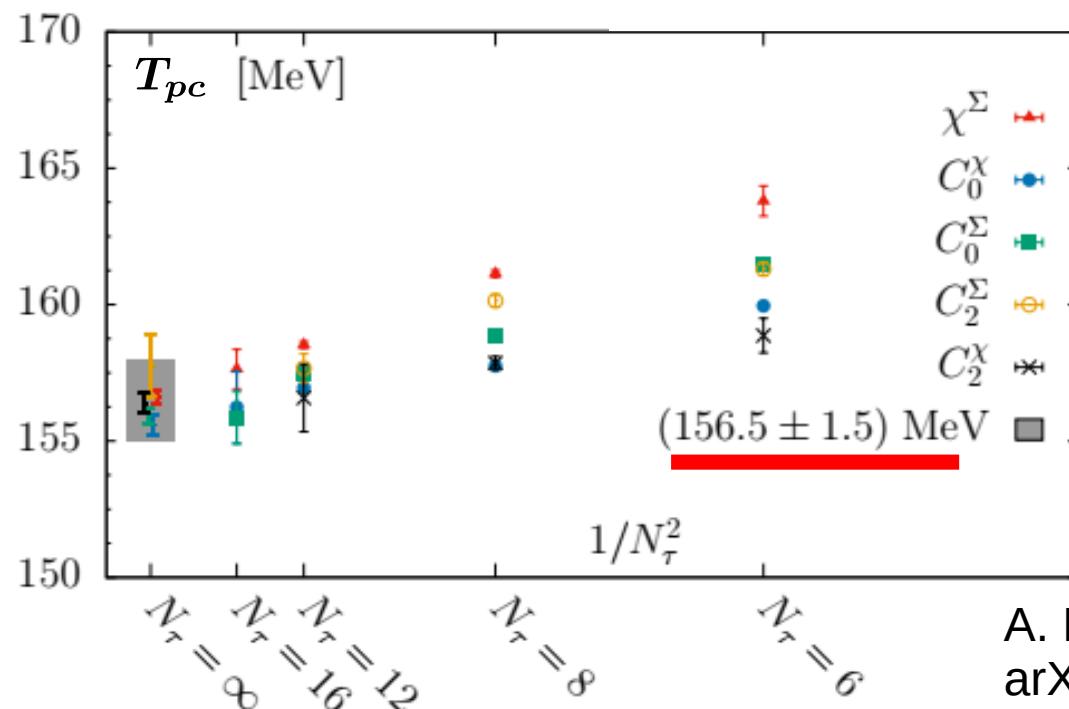
moderate

none

# Pseudo-critical temperatures from chiral observables



physical  
light & strange  
quark masses;  
continuum  
extrapolated



$$\chi^\Sigma \Leftrightarrow \chi_M$$

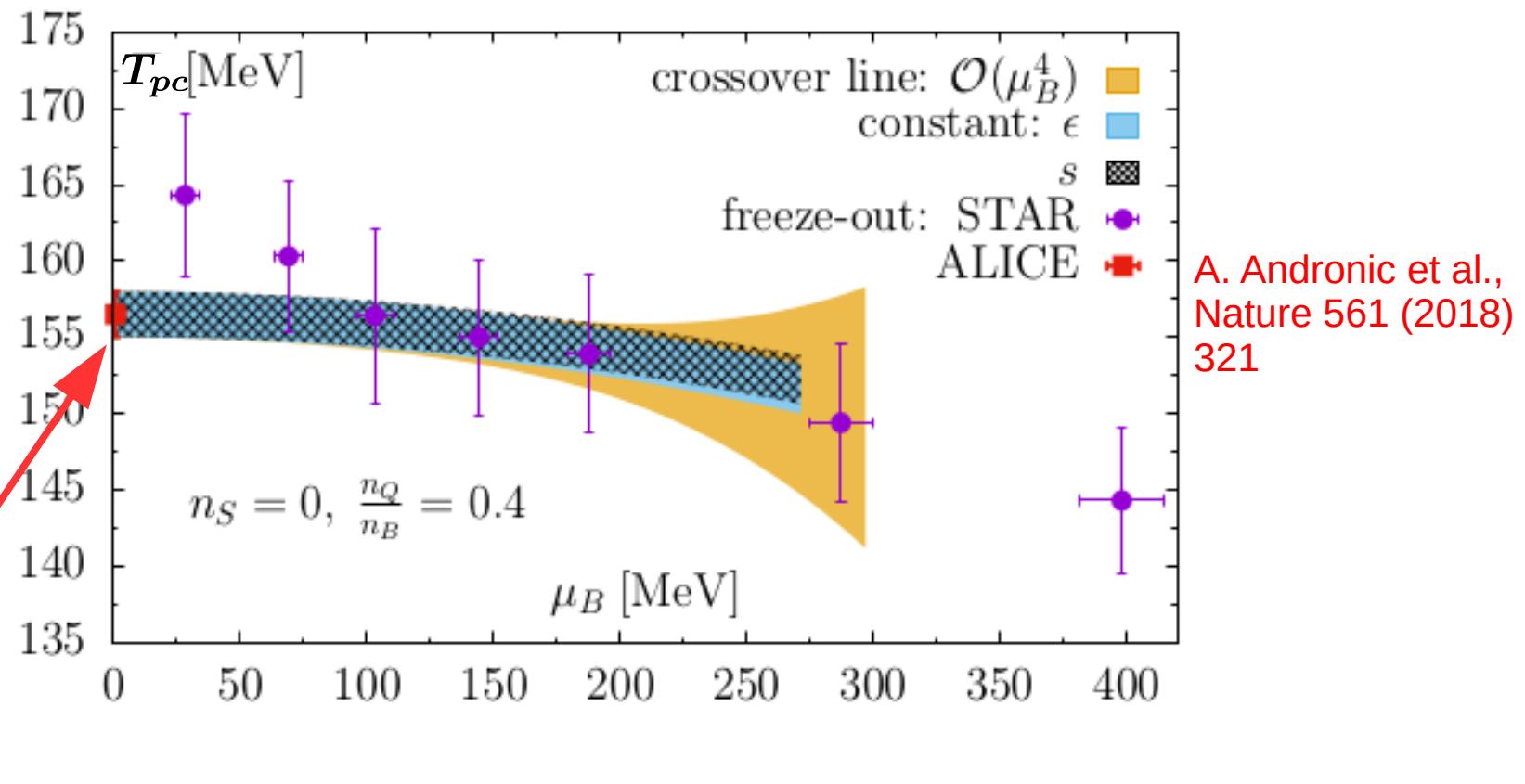
$$C_0^\Sigma \Leftrightarrow T(\partial M / \partial T)$$

A. Bazavov et al [HotQCD],  
arXiv:1812.08235

# Phases of strong-interaction matter

$$T_{pc}(\mu_B) = \textcolor{red}{T_{pc}} \left( 1 + \kappa_2 \left( \frac{\mu_B}{T_c} \right)^2 + \dots \right)$$

phase diagram at physical values of the quark masses



$$T_{pc} = (156.5 \pm 1.5) \text{ MeV}$$

$$\kappa_2 = 0.012(4)$$

A. Bazavov et al. [HotQCD],  
arXiv:1812.08235

# Scaling in the thermodynamic (infinite volume) limit

## – approaching the chiral limit –

- order parameter M and it's susceptibility

$$M = h^{1/\delta} f_G(z) + f_{sub}(T, H)$$

$$\chi_M = h_0^{-1} h^{1/\delta-1} f_\chi(z) + \tilde{f}_{sub}(T, H)$$

for ANY fixed z:

$$T_{pc}(H) = T_c^0 \left( 1 + \frac{z}{z_0} H^{1/\beta\delta} \right) + \text{sub leading}$$

conventional steps to determine  $T_c^0$

- choose a characteristic feature of  $\chi_M$   
→ the maximum  $\chi_M^{max}$
- in the scaling regime this is located at  $z_p$
- using the scaling ansatz for  $T_{pc}(H)$   
allows to extract  $T_c^0$

A. Lahiri et al, QM 2018, arXiv:1807.05727  
H.T. Ding et al, arXiv:1903.04801

some definitions

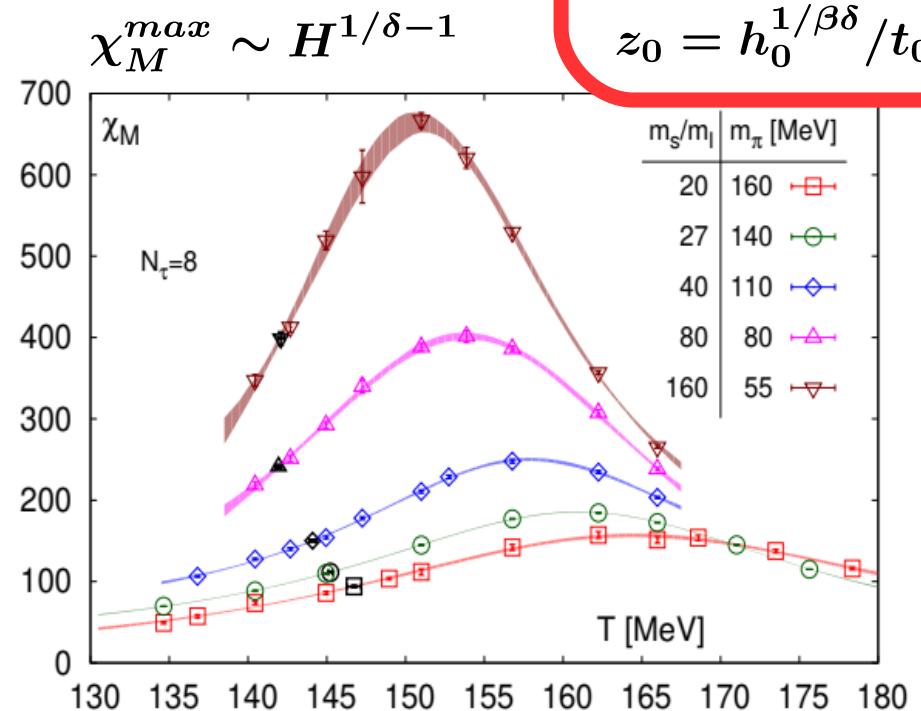
$$z = \frac{t}{h^{1/\beta\delta}}$$

$$t \equiv \frac{1}{t_0} \frac{T - T_c}{T_c}$$

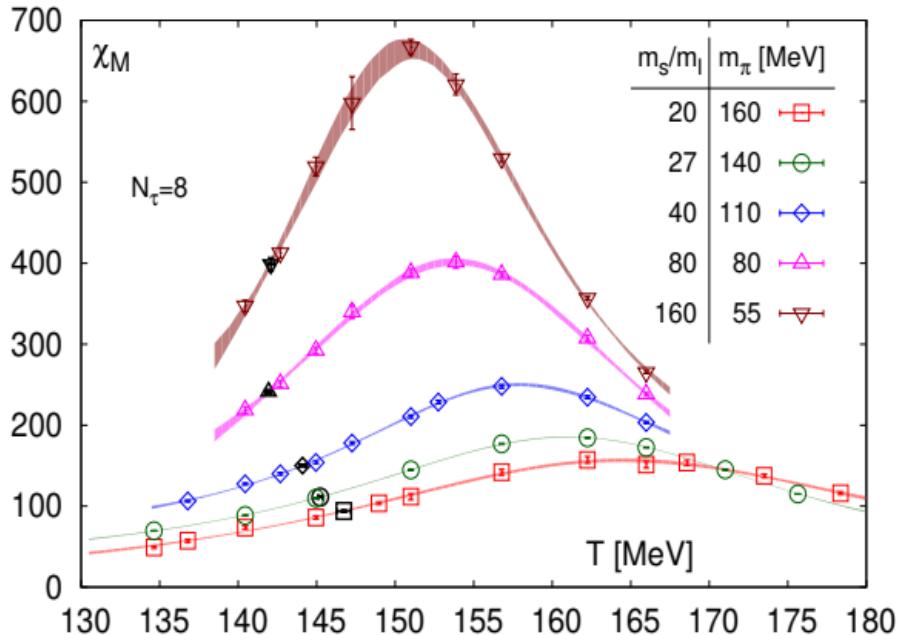
$$h = \frac{1}{h_0} H$$

$$H \equiv \frac{m_l}{m_s}$$

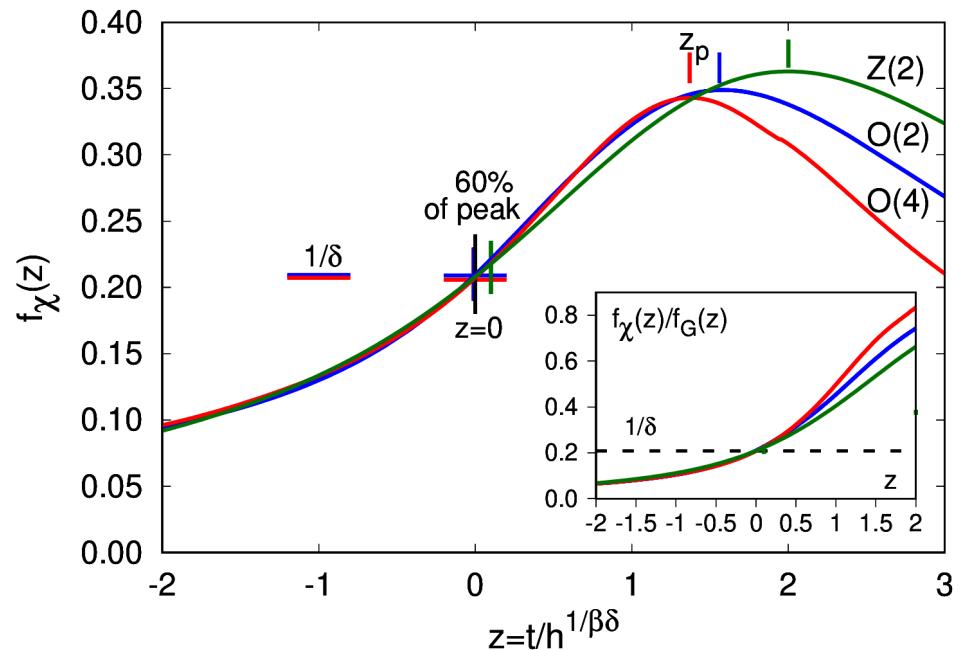
$$z_0 = h_0^{1/\beta\delta} / t_0$$



# Chiral PHASE TRANSITION temperature



$T_{60}$  temperature at which  $\chi_M$  reaches 60% of its maximal value



estimators for  
 $\sim T_c^0$

$$\begin{aligned} \frac{H\chi_M}{M} &= \frac{f_\chi(z)}{f_G(z)} + \text{regular} \\ &= \begin{cases} 1/\delta & , z = 0 \Rightarrow T_\delta \\ \sim 0.5 & , z = z_p \end{cases} \end{aligned}$$

# Finite size scaling functions of the 3-d, O(4) spin model

$$\begin{aligned} M &= h^{1/\delta} f_G(z, z_L) + f_{sub}(T, H, L) \\ \chi_M &= h_0^{-1} h^{1/\delta-1} f_\chi(z, z_L) + \tilde{f}_{sub}(T, H, L) \end{aligned}$$

$$\frac{H\chi_M}{M} = \frac{f_\chi(z, z_L)}{f_G(z, z_L)} + \text{sub leading}$$

$$\lim_{L \rightarrow \infty} \left( \frac{H\chi_M}{M} \right)_{z=0} = \frac{1}{\delta}$$

$$z_L = \frac{1}{L h^{\nu_c}}$$

$$z = \frac{t}{h^{1/\beta\delta}}$$

$$\nu_c = \nu/\beta\delta$$

$$= (0.5 - 0.6)$$

volume dependence controlled by  $z_L \sim 1/m_\pi^{2\nu_c} L$ ,  $2\nu_c \simeq 1$

define  $z_\delta(z_L)$  as the value  $z$  for given  $z_L$  at which  $\left( \frac{H\chi_M}{M} \right)_{z_\delta(z_L)} = \frac{1}{\delta}$

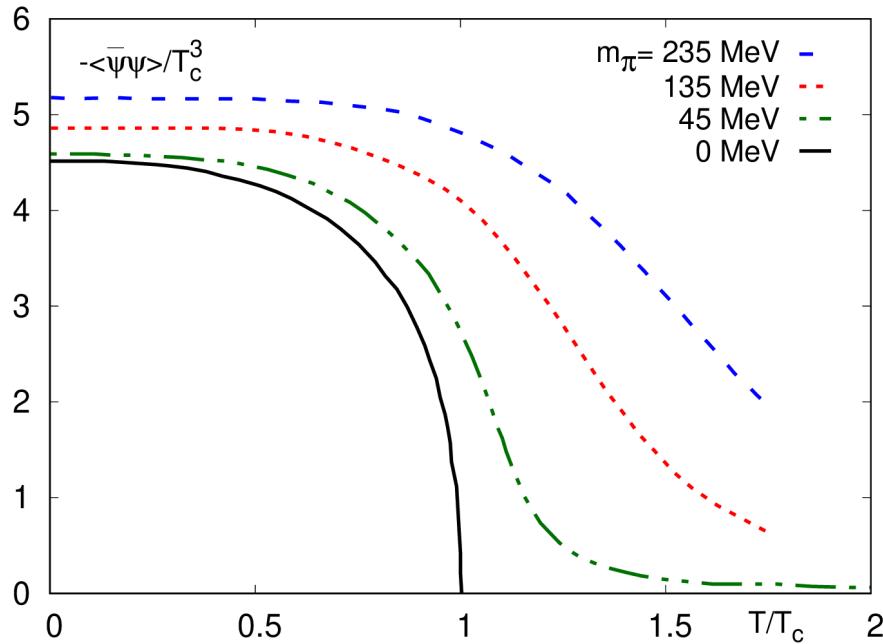
$$T_\delta(H, L) = T_c^0 \left( 1 + \frac{z_\delta(z_L)}{z_0} H^{1/\beta\delta} \right) + \text{sub leading}$$

$$z_\delta(0) = 0$$

$z_\delta \simeq 0 \Rightarrow$  weak H-dependence of  $T_\delta$  even at finite H and/or L  
 – almost perfect estimator for  $T_c$  in the limit  $H \rightarrow 0$ ,  $L \rightarrow \infty$

# Chiral extrapolation in the Quark Meson Model

$$\Gamma_{\Lambda_{UV}}[\phi] = \int d^4x \left\{ \bar{q}(\not{\partial} + gm_c)q + g\bar{q}(\sigma + i\vec{\tau} \cdot \vec{\pi}\gamma_5)q + \frac{1}{2}(\partial_\mu\phi)^2 + U_{\Lambda_{UV}}(\phi) \right\}$$



$$\Delta T \equiv T_{pc}(m_\pi^{phys}) - T_c(0) \simeq 30 \text{ MeV}$$

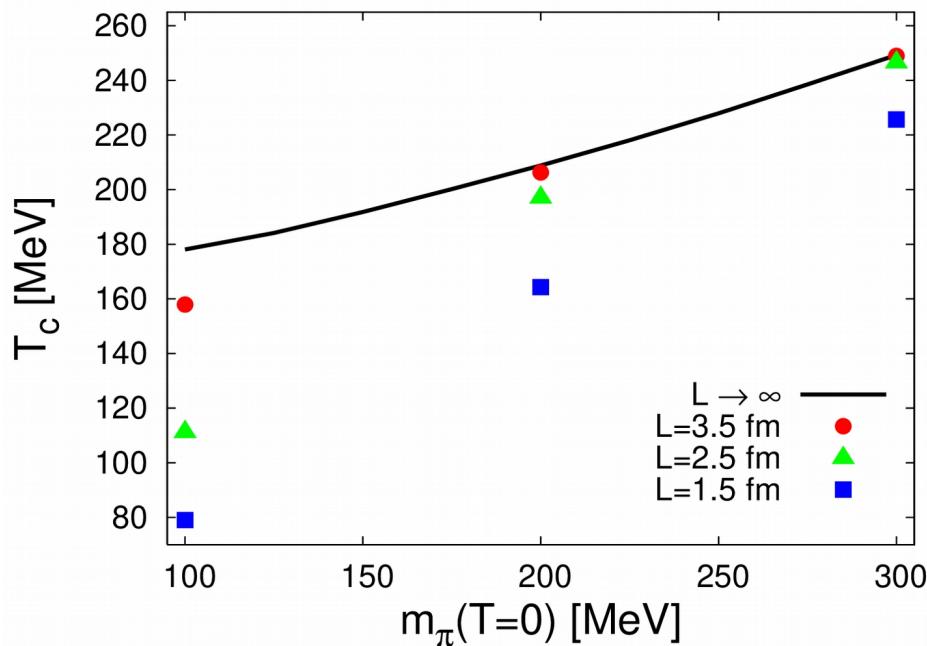
$m_\pi [\text{MeV}]$	0	45	135	230
$T_{pc}^{(1)} [\text{MeV}]$	100.7	$\simeq 110$	$\simeq 130$	$\simeq 150$
$T_{pc}^{(2)} [\text{MeV}]$	100.7	113	128	—

– strong pion mass dependence of  $T_{pc}(m_\pi)$

$T_{pc}(m_\pi)$  almost linear in  $m_\pi$ ,  
even for  $m_\pi = m_\pi^{phys}$   
trivial?  
put O(4) in, get O(4) out?

J. Berges, D.U. Jungnickel, C. Wetterich,  
Phys. Rev. D59 (1999) 034010

# Chiral extrapolation and finite volume effects in the O(4) $\phi^4$ model



$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 + \frac{1}{2}m^2\phi^2 + \frac{\lambda}{4}\phi^4$$

$$\phi = (\phi_1, \dots, \phi_4)$$

$$\Delta T \equiv T_{pc}(m_\pi^{phys}) - T_c(0) \simeq 35 \text{ MeV}$$

$L$ [fm]	$m_\pi^{(0)} = 100$ MeV	$m_\pi^{(0)} = 200$ MeV	$m_\pi^{(0)} = 300$ MeV
1.5	79.0 MeV	164.3 MeV	225.7 MeV
2.5	111.3 MeV	197.1 MeV	246.6 MeV
3.5	157.9 MeV	206.3 MeV	249.0 MeV
$\infty$	178.1 MeV	208.3 MeV	249.3 MeV

– increasing volume dependence with decreasing pion mass

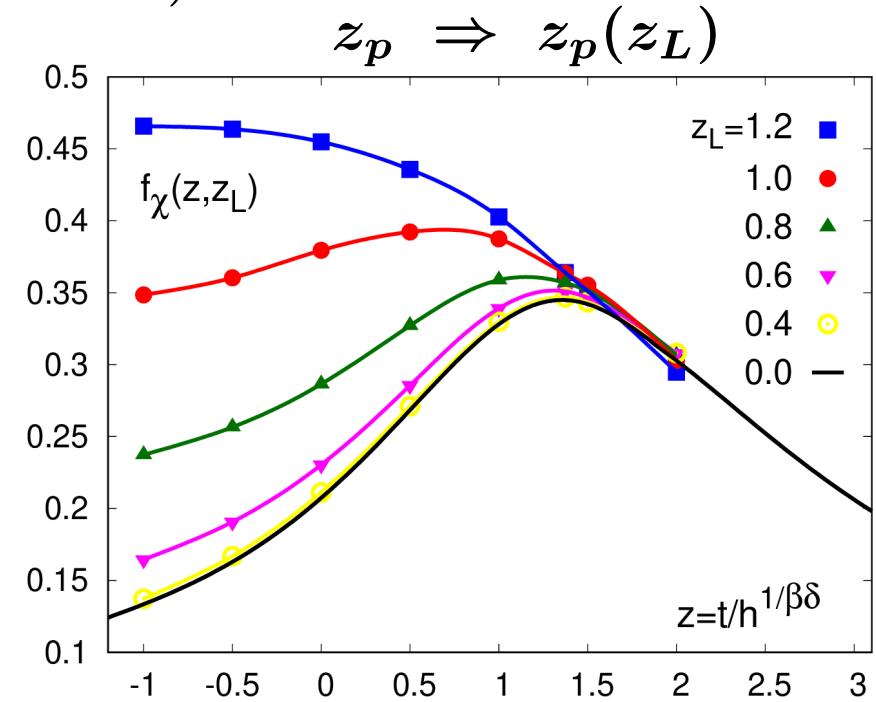
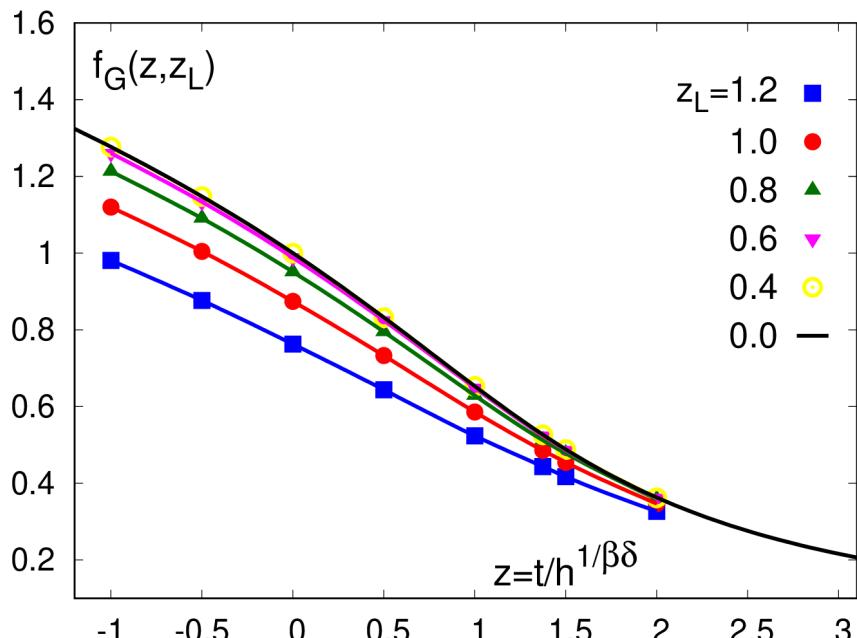
# Finite size scaling functions of the 3-d, O(4) spin model

$$V \equiv L^3 \\ \equiv (N_\sigma a)^3$$

$$\begin{aligned} M &= h^{1/\delta} f_G(z, z_L) + f_{sub}(T, H, L) \\ \chi_M &= h_0^{-1} h^{1/\delta-1} f_\chi(z, z_L) + \tilde{f}_{sub}(T, H, L) \end{aligned}$$

any "characteristic"  $z$  becomes a function of  $z_L$  :

$$T_{pc}(H, L) = T_c^0 \left( 1 + \frac{z_p(z_L)}{z_0} H^{1/\beta\delta} \right) + \text{sub leading}$$



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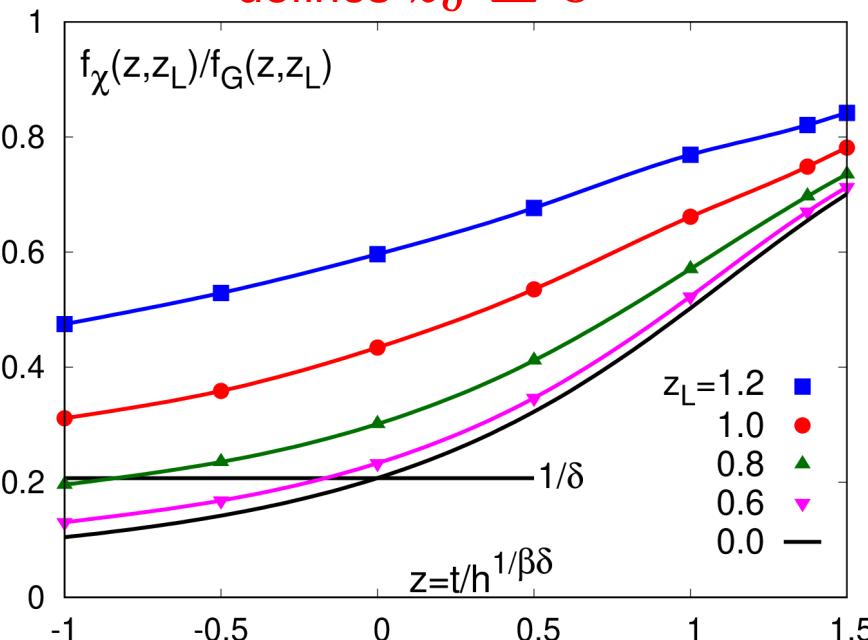
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$$\frac{H\chi_M}{M} = \frac{f_\chi(z, z_L)}{f_G(z, z_L)} + \text{sub leading}$$

$$\lim_{L \rightarrow \infty} \left( \frac{H\chi_M}{M} \right)_{z=0} = \frac{1}{\delta}$$

defines  $z_\delta \simeq 0$



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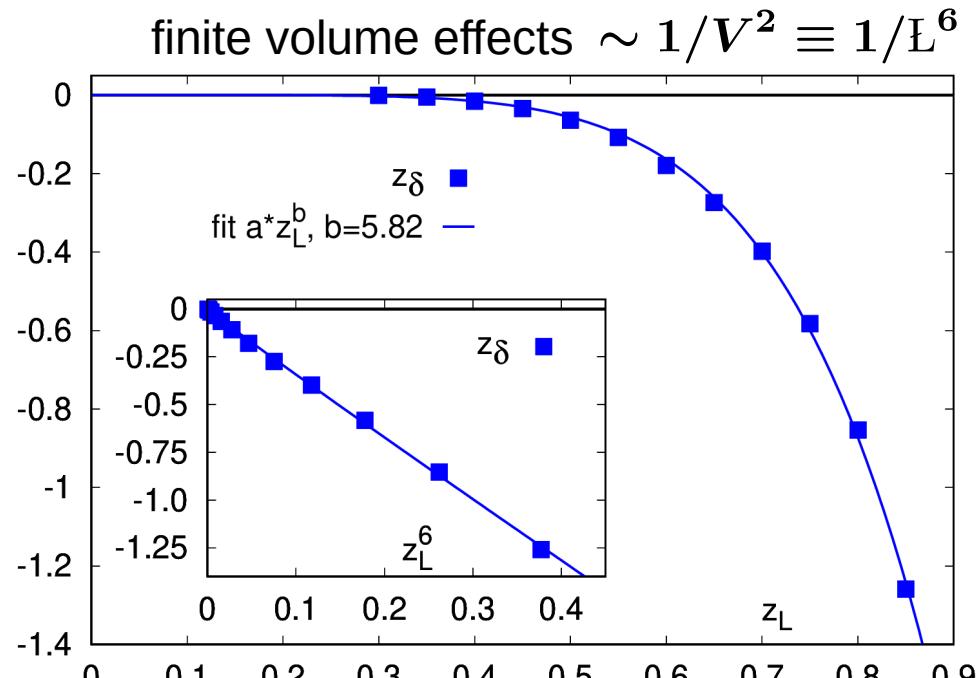
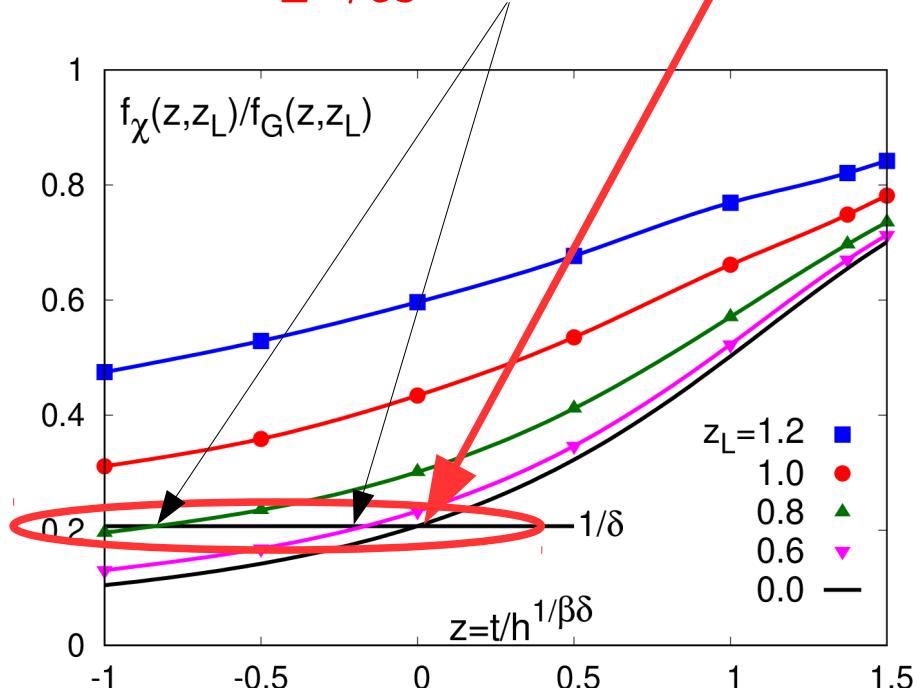
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$$\frac{H\chi_M}{M} = \frac{f_\chi(z, z_L)}{f_G(z, z_L)} + \text{sub leading}$$

quark mass dependence arises  
only as a finite volume effect (+s.l.)

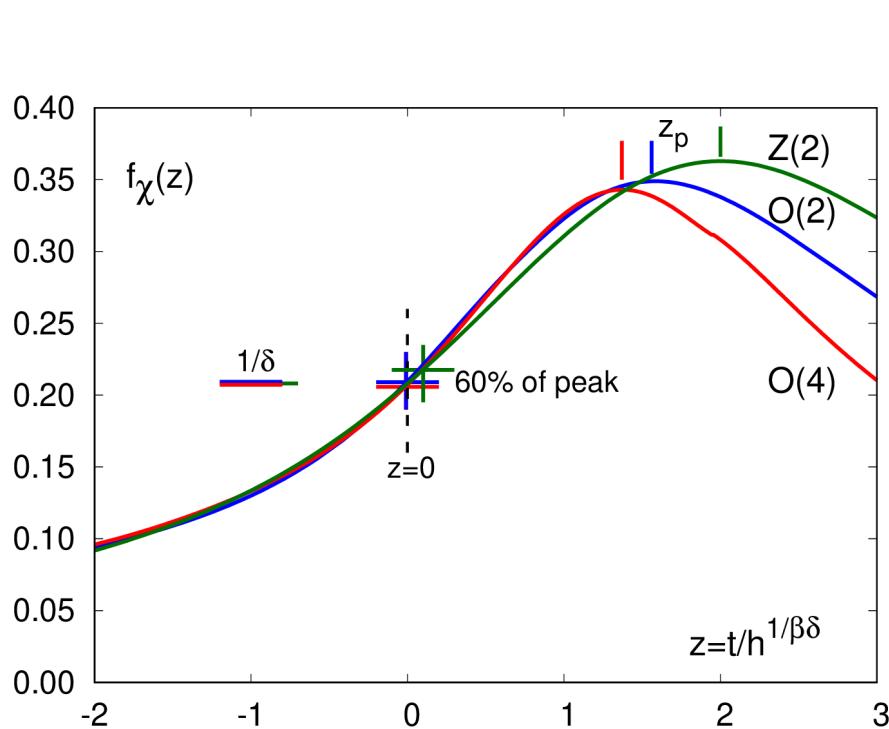
$$T_\delta(H, L) = T_c^0 \left( 1 + \frac{z_\delta(z_L)}{z_0} H^{1/\beta\delta} \right)$$

$$\lim_{L \rightarrow \infty} T_\delta(L) = T_c^0$$



# Chiral PHASE TRANSITION in (2+1)-flavor QCD

A. Lahiri et al, QM 2018, arXiv:1807.05727  
 H.T. Ding et al (HotQCD), arXiv:1903.04801



- physical strange quark mass
- vary light quark mass
- $55 \text{ MeV} \leq m_\pi \leq 160 \text{ MeV}$
- use new estimators for pseudo-critical temperatures  
 $T_\delta, T_{60}$
- control finite volume effects  
 $2 \leq m_\pi L \leq 5$
- extrapolate to infinite volume limit and chiral limit  
 $1/aT = 6, 8, 12$

	$\delta$	$z_p$	$z_{60}$	$f_G(z_p)$	$f_\chi(z_p)$	$f_\chi(0)/f_\chi(z_p)$
Z(2)	4.805	2.00(5)	0.10(1)	0.548(10)	0.3629(1)	0.573(1)
O(2)	4.780	1.58(4)	-0.005(9)	0.550(10)	0.3489(1)	0.600(1)
O(4)	4.824	1.37(3)	-0.013(7)	0.532(10)	0.3430(1)	0.604(1)

# Chiral PHASE TRANSITION in (2+1)-flavor QCD

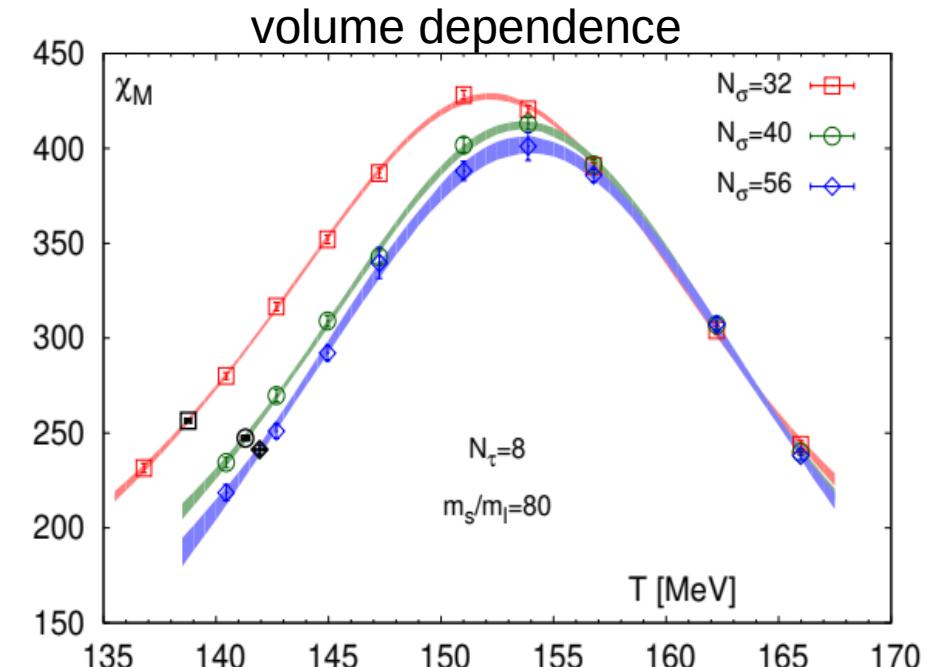
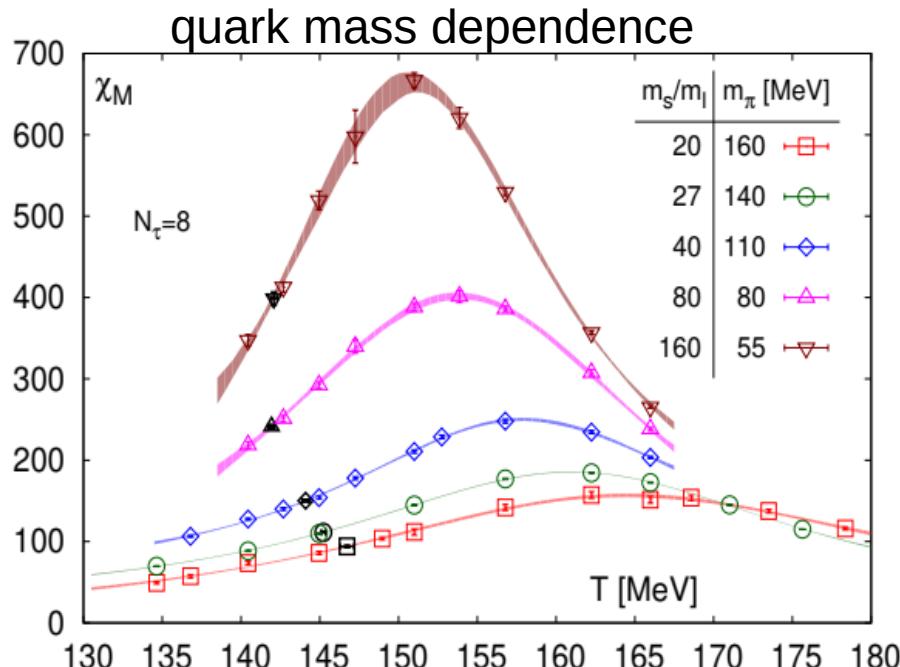
$$\langle \bar{\psi} \psi \rangle_f = \frac{T}{V} \frac{\partial \ln Z(T, V, m_u, m_d, m_s)}{\partial m_f}$$

$$\langle \bar{\psi} \psi \rangle_l = (\langle \bar{\psi} \psi \rangle_u + \langle \bar{\psi} \psi \rangle_d)/2$$

renormalization group invariant order parameter:  $M = 2 (m_s \langle \bar{\psi} \psi \rangle_l - m_l \langle \bar{\psi} \psi \rangle_s) / f_K^4$

chiral susceptibility:  $\chi_M = m_s (\partial_u + \partial_d) M$

lattice sizes:  $N_\sigma^3 \times N_\tau$ ,  $4 \leq N_\sigma/N_\tau \leq 8$



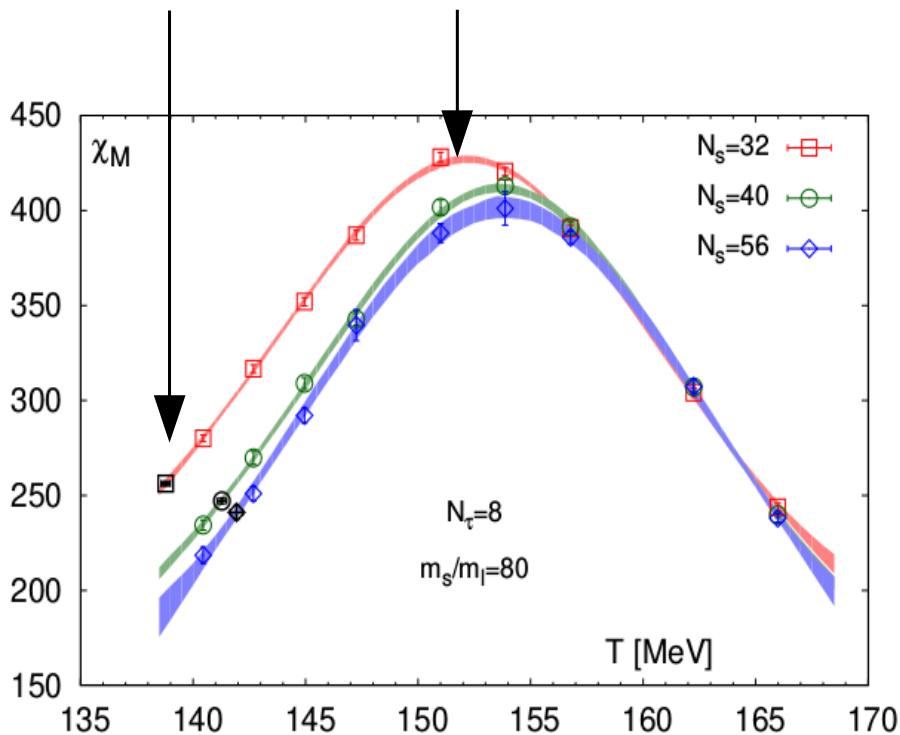
H.T. Ding et al, arXiv:1903.04801

# Chiral PHASE TRANSITION in (2+1)-flavor QCD

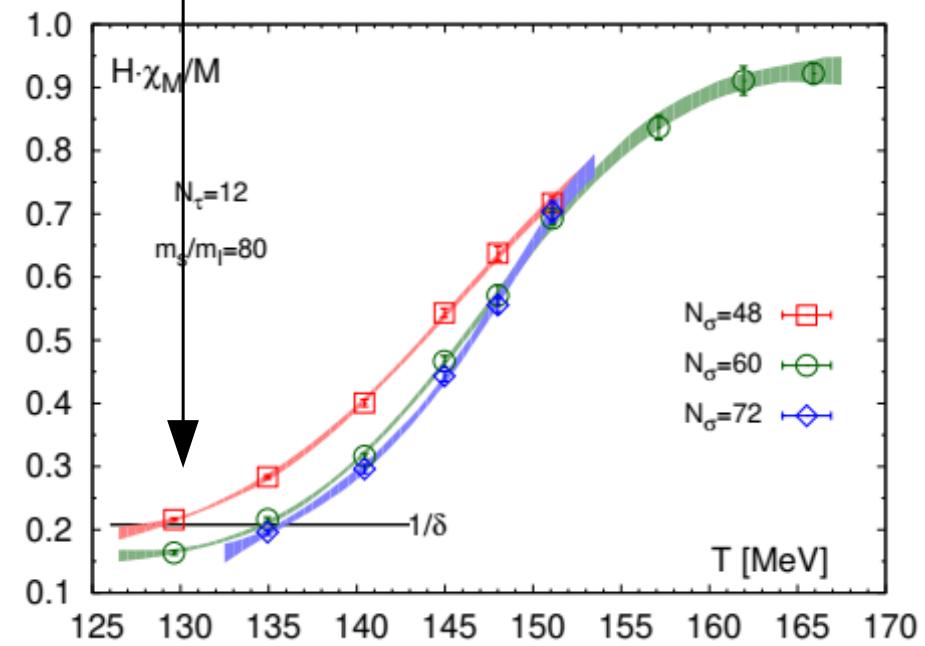
use two novel observables for the determination of the chiral PHASE TRANSITION TEMPERATURE, which in the infinite volume limit correspond to  $z \simeq 0$ , i.e. in the scaling regime they have almost no quark mass dependence

$$T_X(H, L) = T_c^0 \left( 1 + \frac{z_X(z_L)}{z_0} H^{1/\beta\delta} \right) + \text{sub leading , } X = \delta, 60$$

$$\chi_{M,60} = 0.6 \chi^{max} \Rightarrow T_{60}$$



$$\frac{H\chi_M}{M} = \frac{1}{\delta} \Rightarrow T_\delta$$



# Finite size & and quark mass scaling

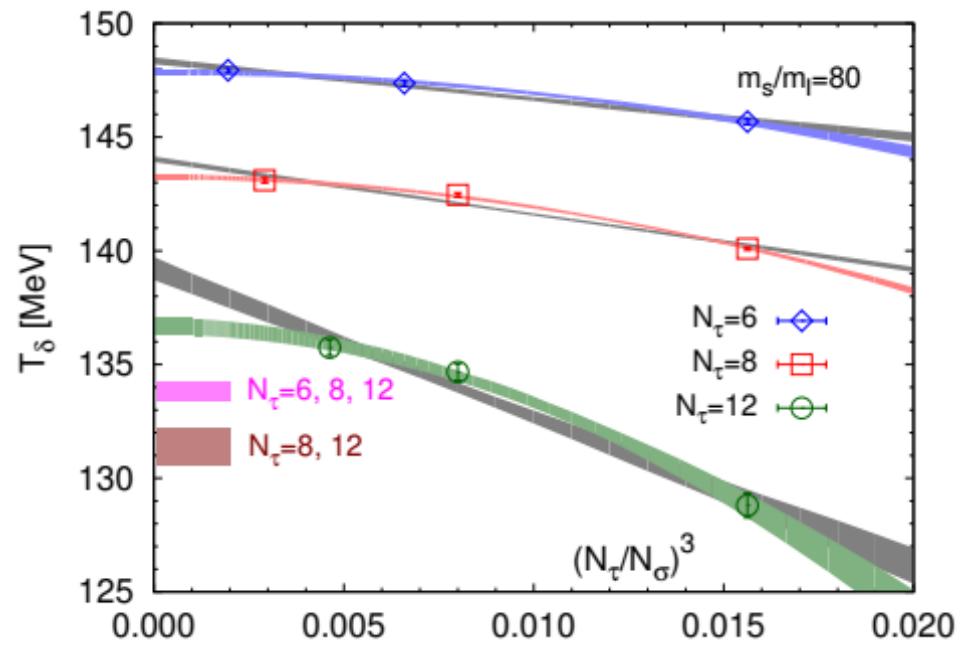
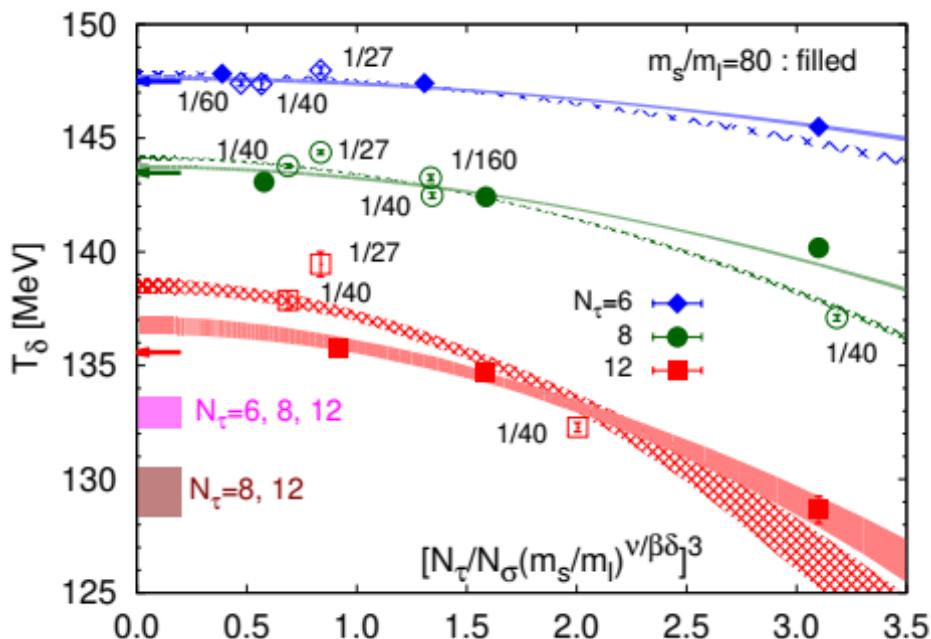
$$T_\delta(H, L) = T_c^0 \left( 1 + \frac{z_\delta(z_L)}{z_0} H^{1/\beta\delta} \right) + \text{sub leading}$$

$$(T_\delta(H, L)/T_c^0 - 1) H^{-1/\beta\delta} - c_r H^{1-1/\delta} = \frac{z_\delta(z_L)}{z_0}$$

leading regular term

$$z_L = z_{L,0} \left( \frac{m_s}{m_l} \right)^{\nu_c} \frac{N_\tau}{N_\sigma}$$

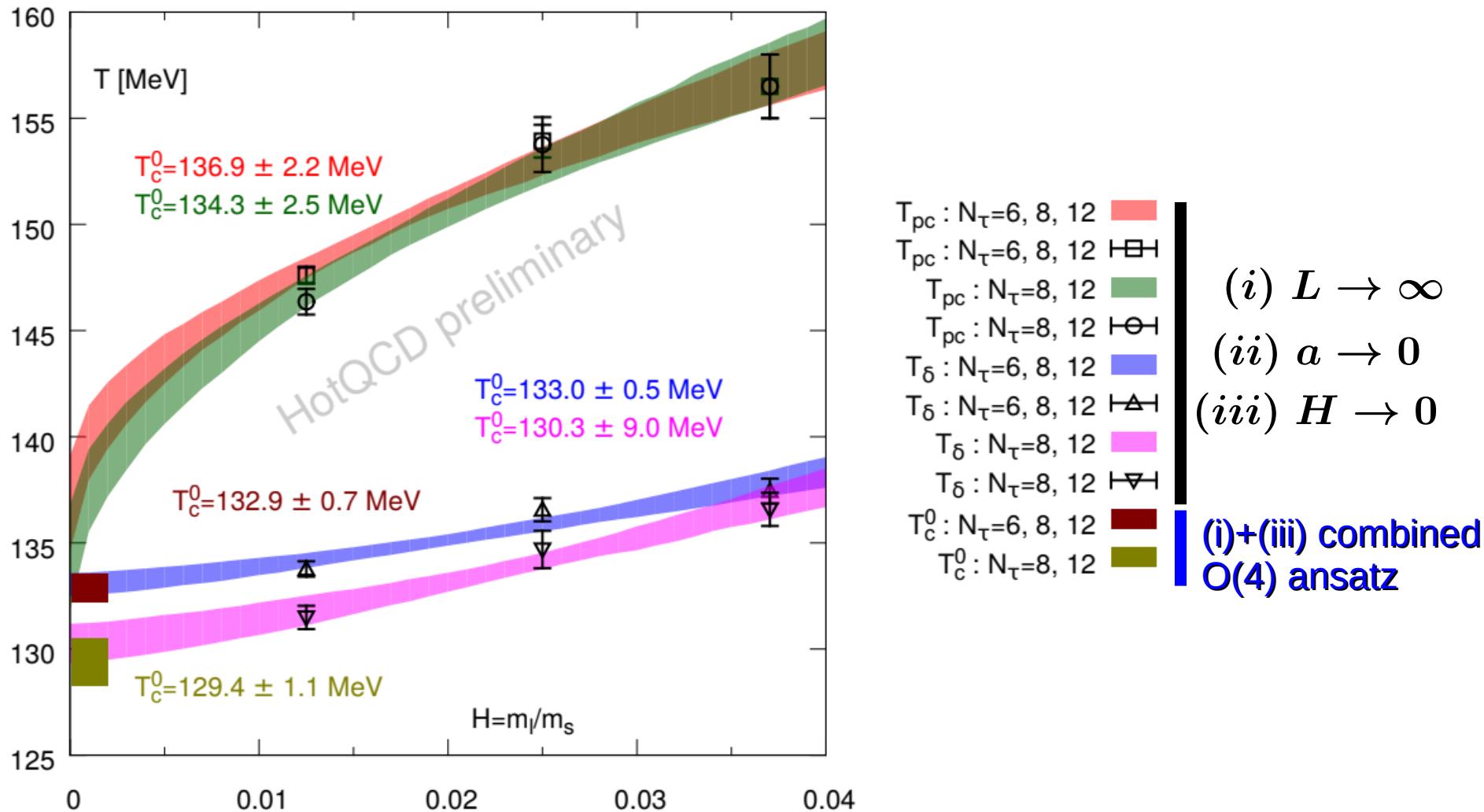
$$\nu_c = \nu/\beta\delta$$



$z_\delta(z_L)$  for O(4) from  
J. Engels, FK, Phys. Rev. D90 (2014) 014501

# Finite size & and quark mass scaling

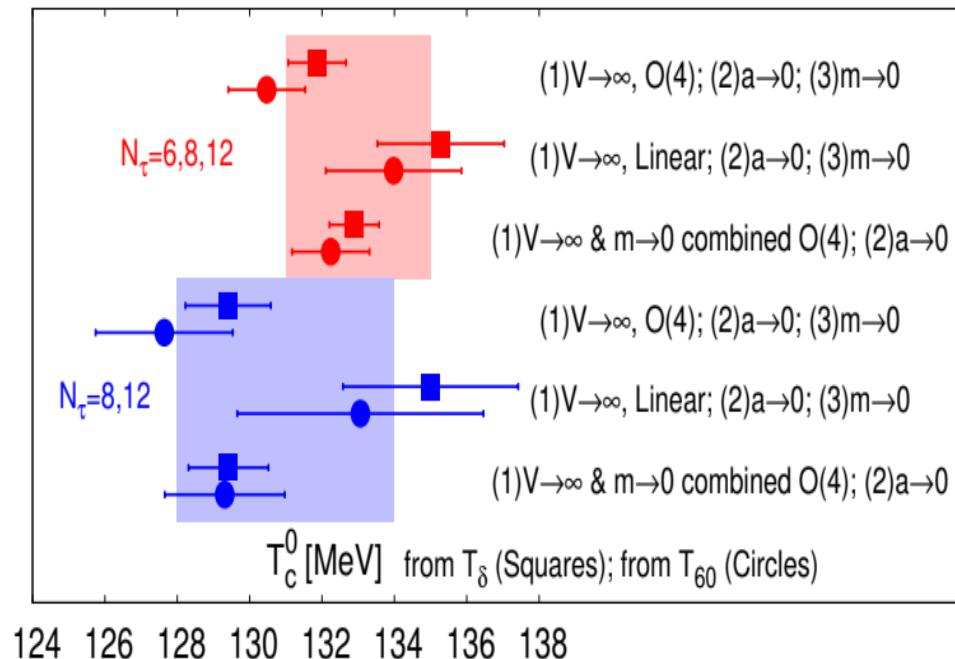
$$T_\delta(H, L) = T_c^0 \left( 1 + \frac{z_\delta(z_L)}{z_0} H^{1/\beta\delta} \right) + \text{sub leading}$$



- $T_{pc} : N_\tau=6, 8, 12$  ■
  - $T_{pc} : N_\tau=6, 8, 12$  □
  - $T_{pc} : N_\tau=8, 12$  ■
  - $T_{pc} : N_\tau=8, 12$  □
  - $T_\delta : N_\tau=6, 8, 12$  ■
  - $T_\delta : N_\tau=6, 8, 12$  □
  - $T_\delta : N_\tau=8, 12$  ■
  - $T_\delta : N_\tau=8, 12$  □
  - $T_c^0 : N_\tau=6, 8, 12$  ■
  - $T_c^0 : N_\tau=8, 12$  ■
- (i)  $L \rightarrow \infty$   
(ii)  $a \rightarrow 0$   
(iii)  $H \rightarrow 0$
- (i)+(iii) combined  
 $O(4)$  ansatz

# The chiral **PHASE TRANSITION** temperature

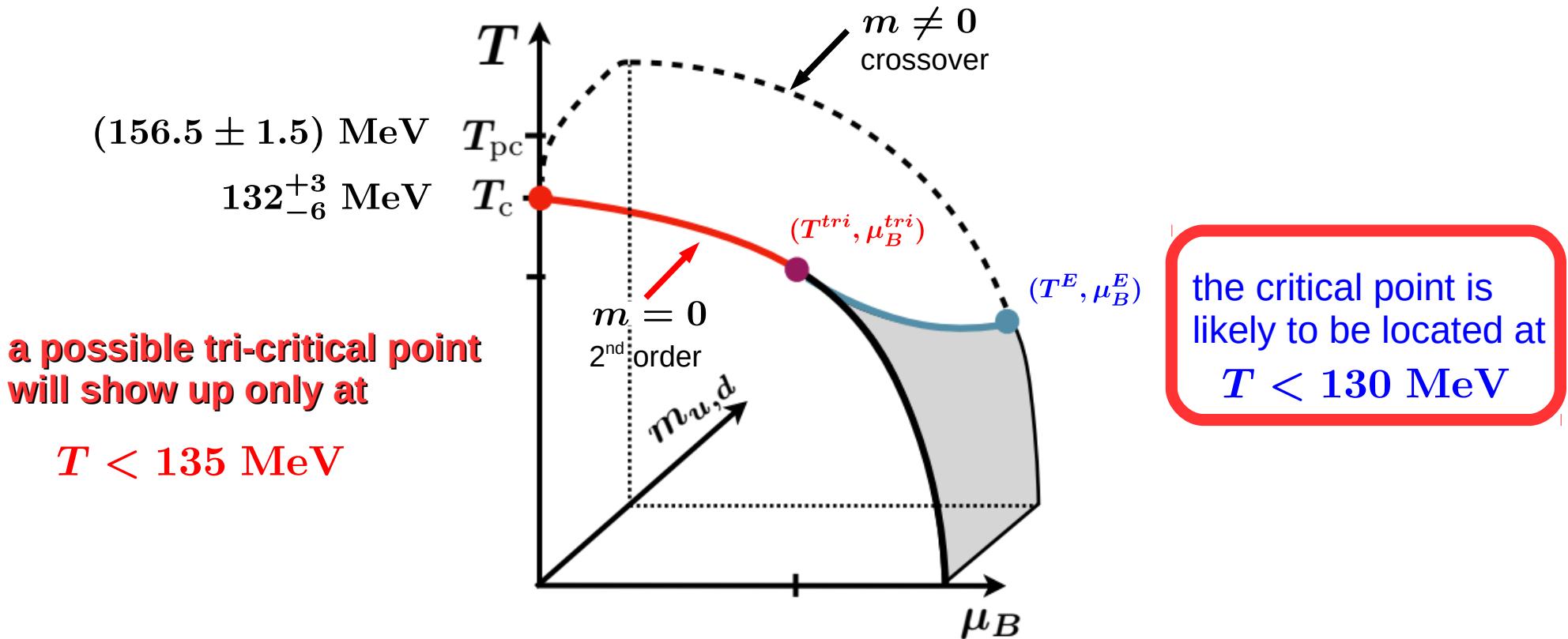
- using extrapolations linear in  $1/V$  and  $m$  as well as  $O(4)$  scaling ansatz
- extrapolations with and without data from coarsest lattice
- averaging results for  $T_\delta$  and  $T_{60}$



$$T_c = 132^{+3}_{-6} \text{ MeV}$$

H.T. Ding et al, arXiv:1903.04801

# Crossover, chiral phase transition at $\mu_B = 0$ and the (tri)-critical point at $\mu_B > 0$



Random Matrix Model

A. Halasz, A.D. Jackson, R.E. Shrock, M.A. Stephanov, J.J.,M. Verbaarschot, Phys. Rev. D58 (1998) 096007

QCD&RMT

M. Stephanov, Phys. Rev. D73 (2006) 094508

NJL

M. Buballa, S. Carignano, Phys. Lett. B791 (2019) 361

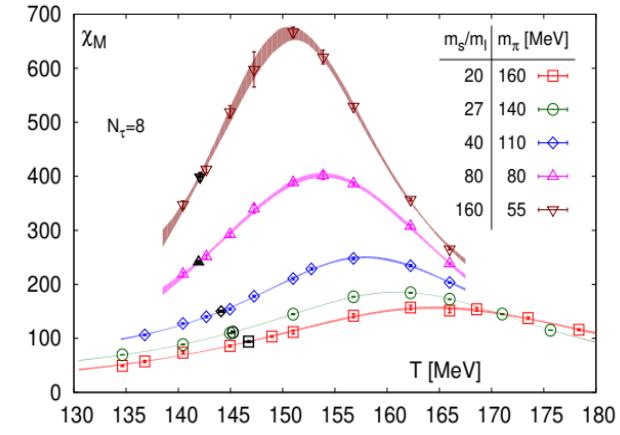
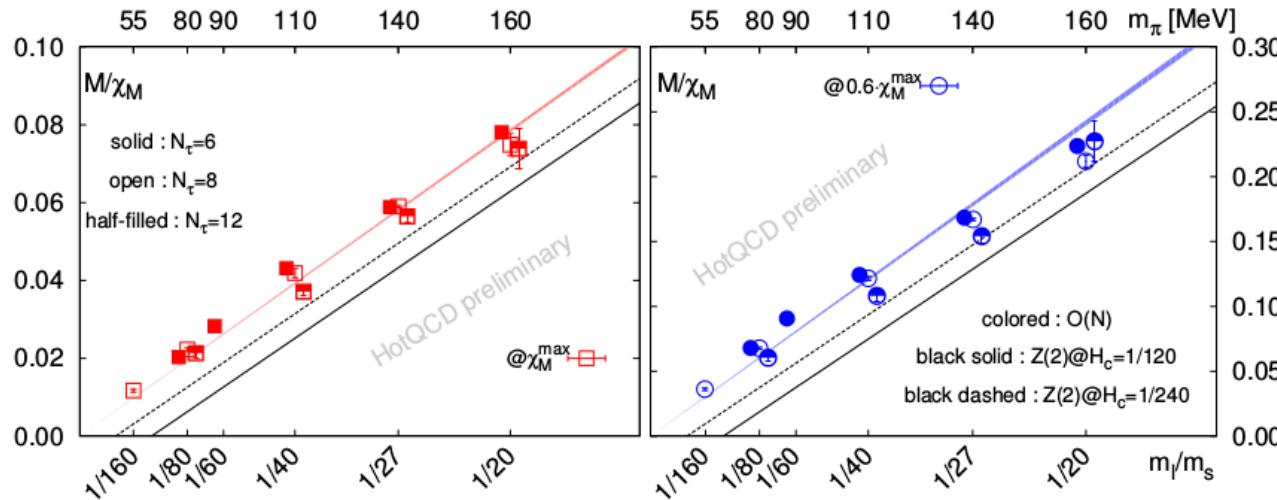
# The chiral PHASE TRANSITION temperature – evidence for a 2<sup>nd</sup> order transition in the chiral limit–

in the thermodynamic limit: suppose there occurs a 1<sup>st</sup> order transition for  $H < H_c$

$$M(T, H) \sim (H - H_c)^{1/\delta} f_G(z) \quad (\text{M is "almost" an order parameter})$$

$$\chi(T, H) \sim (H - H_c)^{1/\delta-1} f_\chi(z) + \dots$$

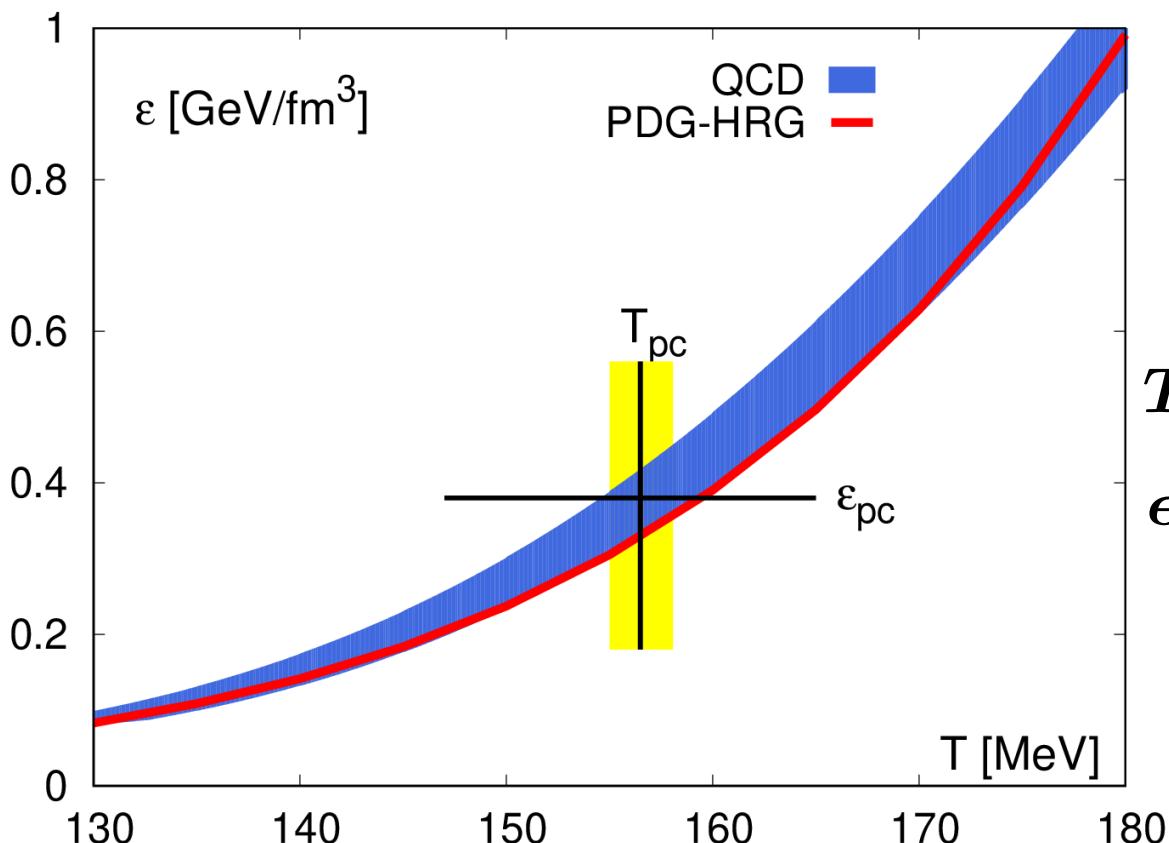
for ANY fixed  $z$ :  $\frac{M}{\chi_M} \sim (H - H_c) \frac{f_G(z)}{f_\chi(z)}$   $\rightarrow$  bound on  $H_c$



$\chi_M$  would diverge already for non-zero  $H_c$

# Crossover transition parameters

PDG: Particle Data Group hadron spectrum



$$\mu_B/T = 0$$

physical quark masses

$$T_{pc} = (156.5 \pm 1.5) \text{ MeV}$$

$$\epsilon_{pc} = (0.42 \pm 0.06) \text{ GeV/fm}^3$$

compare with:

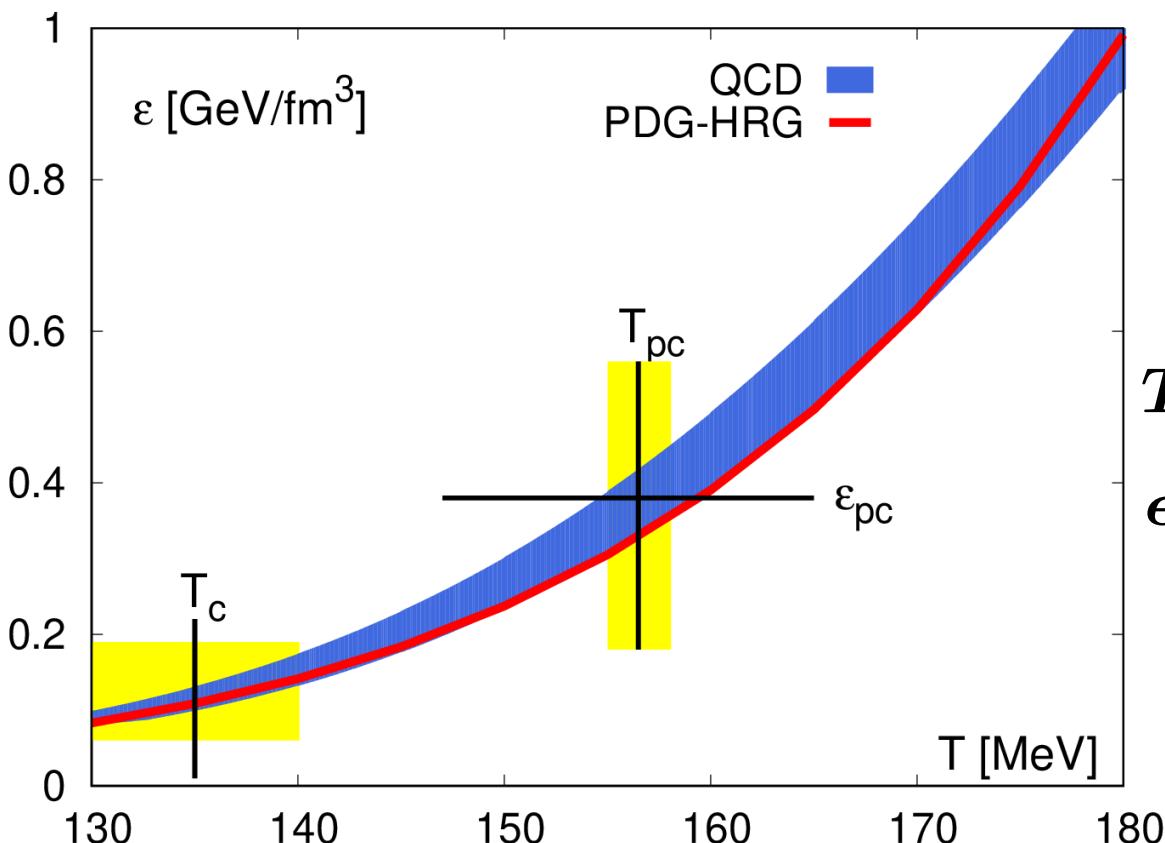
$$\epsilon^{\text{nucl. mat.}} \simeq 150 \text{ MeV/fm}^3$$

$$\epsilon^{\text{nucleon}} \simeq 450 \text{ MeV/fm}^3$$

A. Bazavov et al. (HotQCD) ,  
Phys. Rev. D90 (2014) 094503  
and arXiv:1812.08235

# Crossover transition parameters – and chiral limit –

PDG: Particle Data Group hadron spectrum



$$\mu_B/T = 0$$

**physical quark masses**

$$T_{pc} = (156.5 \pm 1.5) \text{ MeV}$$

$$\epsilon_{pc} = (0.42 \pm 0.06) \text{ GeV/fm}^3$$

chiral limit

$$T_c = 132^{+3}_{-6} \text{ MeV}$$

$$\epsilon_c \simeq 0.15(5) \text{ GeV/fm}^3$$

compare with:

$$\epsilon^{\text{nucl. mat.}} \simeq 150 \text{ MeV/fm}^3$$

$$\epsilon^{\text{nucleon}} \simeq 450 \text{ MeV/fm}^3$$

A. Bazavov et al. (HotQCD) ,  
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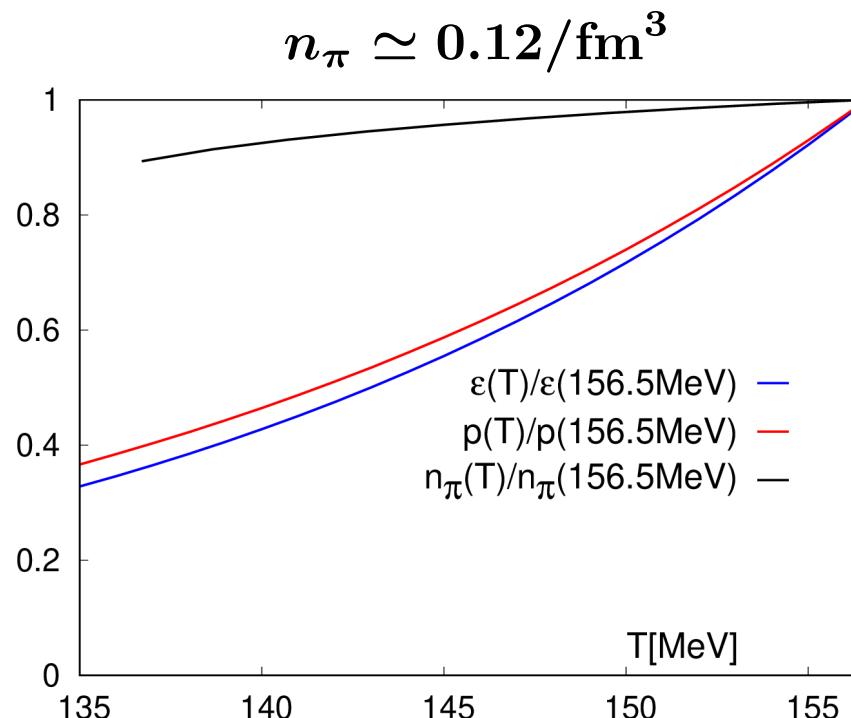
# Transition parameters in the chiral limit

What drives the chiral transition?

- hadron resonance gas in the interval (132-156.5) MeV
- pion mass varies from 0 to its physical values

in the range  $T \simeq (130 - 156.5)$  MeV :

contributions to total energy density and pressure change by a factor 3  
**but**, pion density stays roughly constant



# Conclusions

- no evidence for a 1<sup>st</sup> order transition in QCD for pion masses  $m_\pi \geq 55$  MeV
- the chiral phase transition in QCD is **likely to be 2<sup>nd</sup> order**
- the chiral phase transition is (20-25) MeV smaller than the pseudo-critical temperature for physical values of the quark masses

$$T = 132^{+3}_{-6} \text{ MeV}$$

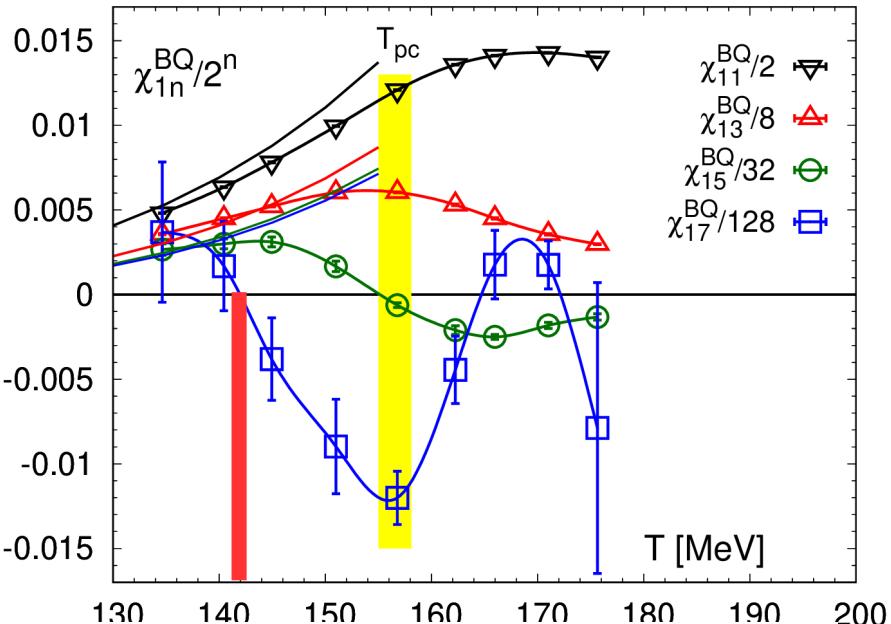
- the chiral phase transition occurs at a pion density

$$n_\pi \simeq 0.12/\text{fm}^3$$

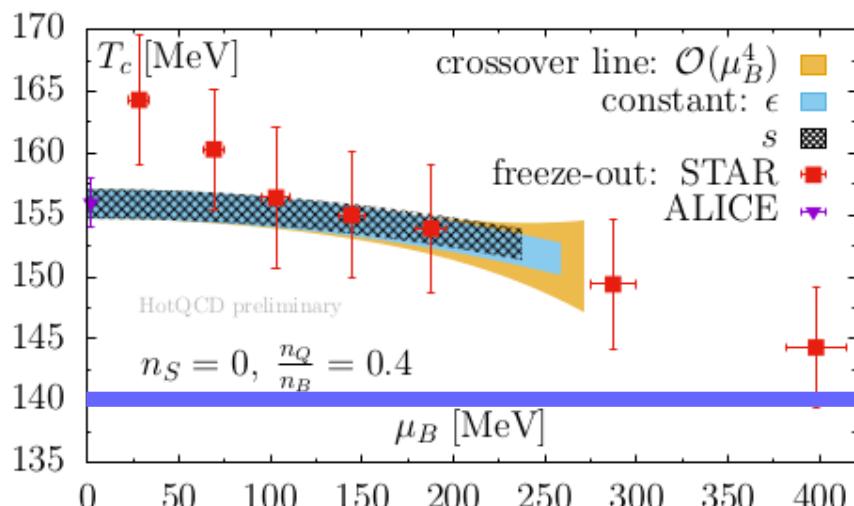
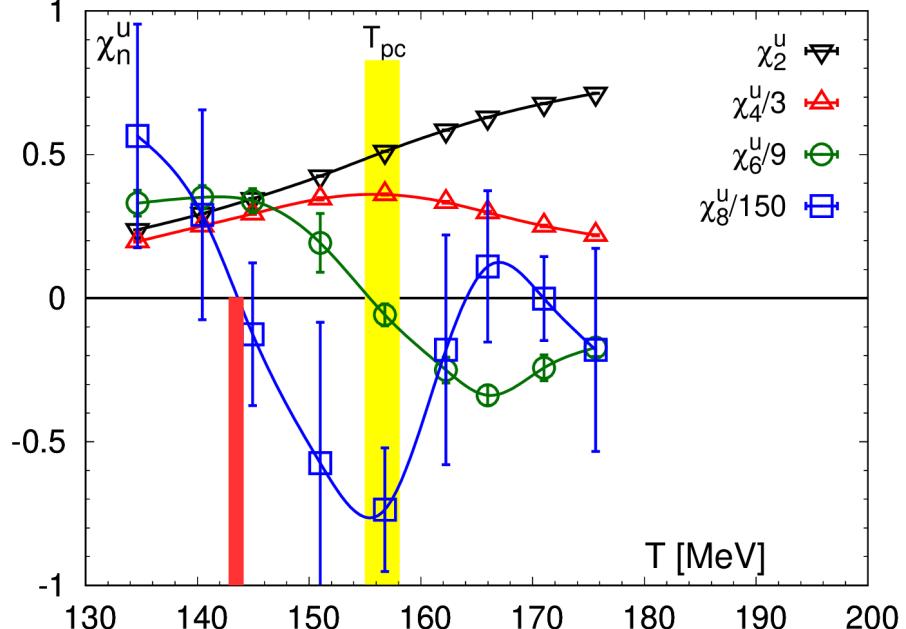
- a critical endpoint with  $T^{CEP} < 140$  MeV makes it difficult to be observed in experimental searches at RHIC

# Critical behavior and higher order cumulants

$$\chi_{1n}^{BQ} = \left. \frac{\partial^{n+1} P / T^4}{\partial \hat{\mu}_B \partial \hat{\mu}_Q^n} \right|_{\mu_{B,Q,S}=0}$$



$$\chi_n^u = \left. \frac{\partial^n P / T^4}{\partial \hat{\mu}_u^n} \right|_{\mu_{u,d,s}=0}$$



many 8<sup>th</sup> order cumulants turn negative for  
 $T^- \gtrsim (140 - 145)$  MeV

plausible scenario:

$$T_{cp} < 140 \text{ MeV}, \quad \mu_B^{cp} > 400 \text{ MeV}$$

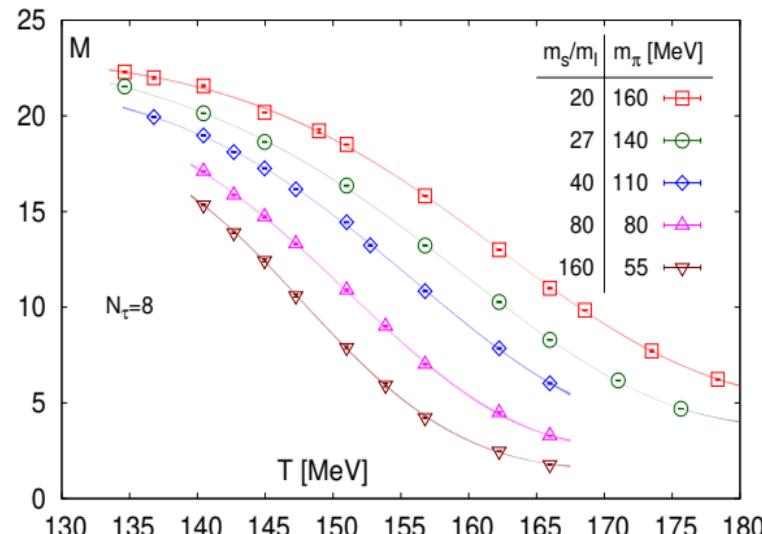
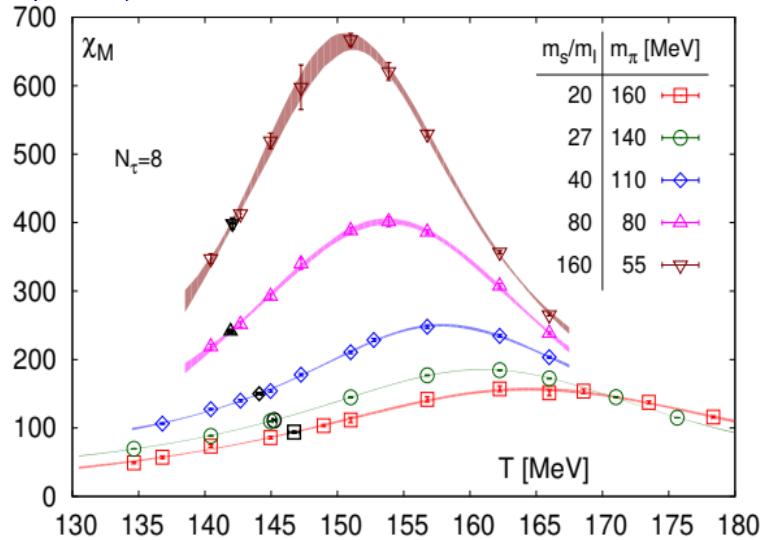
# The Chiral **PHASE TRANSITION** in (2+1)-flavor QCD

$$M \sim m_s \frac{\partial \ln Z}{\partial m_l}$$

**“magnetic” susceptibility**

$$\sim (m_s/m_l)^{0.79}$$

$$(160/27)^{0.79} \sim 4$$



$$m_l = (m_u + m_d)/2 \\ \Rightarrow 0$$

$m_s$  fixed, physical

**“mixed” susceptibility**

$$\sim (m_s/m_l)^{0.34}$$

$$(160/27)^{0.34} \sim 1.8$$

