



# BRST-invariant RG flows

Shimasadat Asnafi

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Trento, Italy

# Introduction

## Exact Renormalization Group Equation

Physical systems at different energy scales, are described by different descriptions.

Renormalization Group (RG) transformations, transform us between these different descriptions of a physical system at different energies.

By means of Wilsonian RG & specific regularization (Cut-off on Momenta),

We'll have a RG differential Equation (RGE), which is Exact.

## Gauge Symmetry

What will happen to the gauge symmetry, in this procedure?

- ▶ Cut-off on Momenta  $\rightarrow$  Breaking of Gauge Symmetry
- ▶ Gauge Symmetry through the flow

# Outline

- ▶ Exact Renormalization Group Equation, ERGE (Wetterich)
- ▶ The special way of regularization  $\longrightarrow$  Breaking of gauge symmetry
- ▶ Recovering the symmetry: (4-d gauge-fixed Yang-Mills theory)

## Regularized (mass-like regularization) & BRST symmetric

- ▶ Faddeev-Popov quantization with Fourier noise
- ▶ BRST symmetry and master equation
- ▶ Modified master equation
- ▶ flow equations and gauge invariance
- ▶ Non-linear gauge and mass-like regularization
- ▶ flow of the effective average action and compatibility with the master equation

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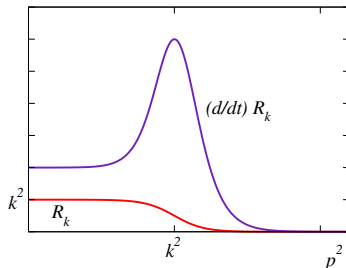
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# Wetterich ERGE

(Wetterich'93, Gies'06)

$$\begin{aligned} e^{W_k[J]} &\equiv \mathcal{Z}_k[J] := \exp \left( -\Delta S_k \left[ \frac{\delta}{\delta J} \right] \right) \mathcal{Z} \\ &= \int_{\Lambda} \mathcal{D}\varphi \, e^{-S[\varphi] - \Delta S_k[\varphi] + \int J\varphi}, \end{aligned}$$

$$\Delta S_k[\varphi] = \frac{1}{2} \int \frac{d^D q}{(2\pi)^D} \varphi(-q) R_k(q) \varphi(q)$$



$$\partial_t \Gamma_k = \frac{1}{2} \text{Tr} \left[ \partial_t R_k \left( \Gamma_k^{(2)}[\phi] + R_k \right)^{-1} \right]$$

$$\Gamma_k[\phi] = \sup_J \left( \int J\phi - W_k[J] \right) - \Delta S_k[\phi]$$

$$t = \ln \frac{t}{\Lambda}, \quad \partial_t = k \frac{d}{dk}, \quad \phi(x) = \langle \varphi(x) \rangle_J = \frac{\delta W_k[J]}{\delta J(x)}$$

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# Breaking of gauge symmetry by regularization

Adding a mass-like regulator term to gauge-fixed Yang-Mills theory:

$$\Delta S_k = \frac{1}{2} \int \frac{d^D p}{(2\pi)^D} A_\mu^a(-p) (R_{k,A})_{\mu\nu}^{ab}(p) A_\nu^b(p) + \textit{ghosts}$$

Manifest BRST invariance is certainly lost. For having a BRST symmetric RG flow:

- ▶ Starting with a gauge symmetric regularized effective action
- ▶ Compatibility of the flow equation with the gauge symmetry

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# Faddeev-Popov Quantization (Off-shell formulation)

(Zinn-Justin'74)

$$\mathcal{Z} = \int \mathcal{D}A e^{-S_{YM}[A]}$$

$$\mathcal{Z} = \int \mathcal{D}A e^{-S_{YM}[A]} \delta(F[A] - n(x)) \Delta_{FP}^{ab}$$

Using Fourier transform of  $\delta(F[A] - n(x))$ , introducing Nakanishi-Lautrup field and writing  $\Delta_{FP}^{ab} = \left. \frac{\delta F^a[A]}{\delta A_\mu^c} \frac{\delta A_\mu^{\omega c}}{\delta \omega^b} \right|_{\omega=0} = \frac{\delta F^a[A]}{\delta A_\mu^c} D_\mu^{cb}$  in terms of ghosts:

$$\mathcal{Z} = \int \mathcal{D}A \mathcal{D}b \mathcal{D}\bar{c} \mathcal{D}c e^{-S_{YM}[A] - b^a(x)(F^a[A] - n^a(x)) - S_{gh}[\bar{c}, c, A]}$$

Choosing a Gaussian weight for the noise field  $e^{\frac{-n^a n^a}{2\xi}}$  results in:

$$S_{NL} = \frac{\xi}{2} b^a b^a$$

$$S_{gf} = \frac{1}{2\xi} F^a[A] F^a[A]$$

Choosing a Fourier weight for the noise field  $e^{\frac{-v^a n^a}{2\xi}}$  results in:

$$e^{-S_{NL}} = \delta(b^a - v^a)$$

$$S_{gf} = v^a F^a[A]$$

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# BRST symmetry

(Zinn-Justin'74)

For any  $S_{NL}$ , the action, is invariant under the following BRST symmetry:

$$S_{YM}[A] + S_{gf}[A, b] + S_{NL}[b] + S_{gh}[A, \bar{c}, c]$$

where  $S_{gf}[A, b] = b^a F^a[A]$ .

$$sA_\mu^a = D_\mu^{ab} c^b$$

$$sc^a = \frac{g}{2} f^{abc} c^b c^c$$

$$s\bar{c}^a = b^a$$

$$sb^a = 0.$$

In the case of Fourier noise after integration over  $b^a$ , we'll left with:

$$sA_\mu^a = D_\mu^a c^a,$$

$$sc^a = \frac{g}{2} f^{abc} c^b c^c,$$

$$s\bar{c}^a = v^a,$$

$$sv^a = 0.$$

$$S_{gf}[A, v] = v^a F^a[A]$$

$S_{gf}$  remains linear in  $F^a[A]$

# BRST symmetry and Zinn-Justin Master equation

(Zinn-Justin'74)

Zinn-Justin Master Equation:

$$e^{W[J, \eta, \bar{\eta}, v, K, L]} = \int \mathcal{D}A \mathcal{D}c \mathcal{D}\bar{c} e^{-S[A, c, \bar{c}, v] - S_{\text{so}}}.$$

$$S_{\text{so}} = -J_a^\mu A_\mu^a - \bar{\eta}^a c^a - \bar{c}^a \eta^a \\ + K_\mu^a (D^\mu c)^a + L^a \frac{1}{2} g f^{abc} c^b c^c.$$

Using  $s^2 = 0$  and the Legendre transform:

$$\Gamma[A, c, \bar{c}, b, K, L] = \sup_{J, \eta, \bar{\eta}} \left\{ J_a^\mu A_\mu^a + \bar{\eta}^a c^a + \bar{c}^a \eta^a - W[J, \eta, \bar{\eta}, K, L] \right\},$$

$$\frac{\delta \Gamma}{\delta A_a^\mu} \frac{\delta \Gamma}{\delta K_\mu^a} + \frac{\delta \Gamma}{\delta c^a} \frac{\delta \Gamma}{\delta L^a} + v^a \frac{\delta \Gamma}{\delta \bar{c}^a} = 0.$$

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# Modified Master Equation

(Ellwanger'94; Reuter, Wetterich'94)

By adding the mass-like regulator term:

$$\tilde{\Gamma}_k = \Gamma_k + \Delta S_k, \quad \Delta S_k = \frac{1}{2} \int A_\mu^a R_{\mu\nu} A_\nu^a + \text{ghosts}$$

$$\frac{\delta \tilde{\Gamma}_k}{\delta A_\mu^a} \frac{\delta \tilde{\Gamma}_k}{\delta K_\mu^a} + \frac{\delta \tilde{\Gamma}_k}{\delta c^a} \frac{\delta \tilde{\Gamma}_k}{\delta L^a} + b^a \frac{\delta \tilde{\Gamma}_k}{\delta \bar{c}^a} - \text{Tr} R_{\mu\nu} \left( \frac{\delta^2 \tilde{\Gamma}_k}{\delta A_\mu^a \delta \Phi} \right)^{-1} \frac{\delta^2 \tilde{\Gamma}_k}{\delta \Phi^\dagger \delta K^{a\mu}} + \dots = 0.$$

where:

$$\Phi^i = \begin{pmatrix} A^{a\mu} \\ c^a \\ -\bar{c}^a \end{pmatrix}$$

concrete calculations possible but tedious

(Ellwanger,Hirsch,Weber'96'98)

(Gies,Jaeckel,Wetterich'04)

modified master equation preserve under the flow.

(Ellwanger'94; Litim, Pawłowski'99;Pawłowski'95)

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# Flow equations and gauge invariance

- ▶ Use of background field methods

(Reuter, Wetterich'94)  
True quantum gauge invariance encoded in modified symmetry identities  
(Nielsen identities, Shift-Ward identity) (...)

- ▶ Manifestly gauge invariant RG

(Morris'98)  
(Arnone, Gatti, Morris'02; Morris, Rosten'06)

Embedding into  $SU(N | N)$  gauge theory, no gauge fixing, no ghosts

- ▶ Geometric effective action and Wilsonian flows

(Pawlowski'03)  
(Branchina, Meissner, Veneziano'03; Donkin, Pawlowski'12)

Vilkovisky-DeWitt framework, modified Nielsen identities

- ▶ Gauge invariant flow equation

(Wetterich'16'17)

Projection onto physical modes, bootstrap construction of action and fields

BRST Symmetry:

- ▶ Quantum BRST transformation which is scale dependent  $BRST_k$

(Sonoda'07)  
(Igarashi, Itoh, Sonoda'07'08'10; Igarashi, Itoh, Morris'19)

- ▶ the regulator as a part of gauge fixing

$$\Delta S_k + S_{gf} \rightarrow S_{gf,k}$$

(Sh.A, Gies, Zambelli'19)



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# Non-Linear gauge and mass-like regularization

(Sh.A, Gies, Zambelli'19)

In the case of Fourier weight for the noise field,  $S_{gf} = v^a F^a[A]$ ; we choose a non-linear gauge:

$$F^a[A] = A^{b\mu} Q_{\mu\nu}^{abc} A^{c\nu} + L_\mu^{ab} A^{a\mu}$$

$$Q_{\mu\nu}^{abc} = \frac{v^a}{2|v|^2} Q_{\mu\nu} \delta^{bc},$$

$$L_\mu^{ab} = \left(1 + r_{\text{gh}}(-\partial^2)\right) \partial_\mu \delta^{ab}$$

$$Q_{\mu\nu} = R_{\mu\nu}(\partial) - \frac{1}{\xi} \partial_\mu \partial_\nu.$$

$$R^{\mu\nu}(\partial) = R_L(-\partial^2) \Pi_L^{\mu\nu} + R_T(-\partial^2) \Pi_T^{\mu\nu}$$

$$R_{\text{gh}}(\partial) = (-\partial^2) r_{\text{gh}}(-\partial^2)$$

$$S_{gf} = \frac{1}{2} A_\mu^a Q^{\mu\nu} A_\nu^a + v^a (1 + r_{\text{gh}}(-\partial^2)) \partial^\mu A_\mu^a,$$

$$S_{gh} = -\bar{c}^a (1 + r_{\text{gh}}(-\partial^2)) (\partial^\mu D_\mu c)^a$$

$$- \frac{v^a}{2|v|^2} \bar{c}^a \left( (Q^{\mu\nu} A_\nu^b) (D_\mu c)^b + A_\mu^b (Q^{\mu\nu} D_\nu c)^b \right)$$

Breaking of global color symmetry, non-local vertex, new and  $v$ -dependent ghost-gluon vertices.

BRST-symmetric and regularized effective action

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Obtaining the Exact RG flow equation:

$$e^{W_k[J,\eta,\bar\eta,v,K,L]} = \int \mathcal{D}A \mathcal{D}c \mathcal{D}\bar{c} \, e^{-S[A,c,\bar{c},v] - S_{\text{so}}}$$

$$S_{\text{so}} = -J^{a\mu} A^a_\mu - \bar\eta^a c^a - \bar{c}^a \eta^a + K^a_\mu (D^\mu c)^a + L^a \frac{g}{2} f^{abc} c^b c^c - \frac{v^a}{|v|^2} \bar{c}^a A^b_\mu l^{b\mu} - \frac{v^a}{|v|^2} \bar{c}^a (D_\mu c)^b M^{b\mu}.$$

$$\mathcal{J}_i = \begin{pmatrix} J^a_\mu \\ \bar\eta^a \\ \eta^a \end{pmatrix}, \quad \Phi^i = \begin{pmatrix} A^{a\mu} \\ c^a \\ -\bar{c}^a \end{pmatrix}, \quad \mathcal{I}_i = \begin{pmatrix} K^a_\mu \\ L^a \\ M^a_\mu \\ l^a_\mu \end{pmatrix}. \quad \tilde{\Gamma}[\Phi, v, \mathcal{I}] = \sup_{\mathcal{J}_i} \left\{ \mathcal{J}_i^\dagger \Phi^i - W[\mathcal{J}, v, \mathcal{I}] \right\}$$

$$\begin{aligned} \partial_t \tilde{\Gamma} &= \frac{1}{2} \left( \partial_t R_{\mu\nu} \delta^{ab} \right) \left( \left( \tilde{\Gamma}^{(2)-1} \right)_{A^a_\mu A^b_\nu} + \tilde{\Gamma}^{(2)}_{M^a_\mu \Phi^j} \left( \tilde{\Gamma}^{(2)-1} \right)_{\Phi^j A^b_\nu} + \tilde{\Gamma}^{(2)}_{K^b_\nu l^a_\mu} + \frac{\delta \tilde{\Gamma}}{\delta M^a_\mu} A^{b\nu} - \left( \frac{\delta}{\delta K^b_\nu} \tilde{\Gamma} \right) \left( \tilde{\Gamma} \frac{\overleftarrow{\delta}}{\delta l^a_\mu} \right) \right) \\ &\quad + \left( \partial_t r_{\text{gh}} \partial_\mu \delta^{ab} \right) \left( \tilde{\Gamma}^{(2)}_{K^a_\mu \Phi^j} \left( \tilde{\Gamma}^{(2)-1} \right)_{\Phi^j (-\bar{c}^b)} - \bar{c}^b \left( \frac{\delta}{\delta K^a_\mu} \tilde{\Gamma} \right) \right) + \partial_t \Delta S_{\text{gf}}, \\ \partial_t \Delta S_{\text{gf}} &= \left( \partial_t r_{\text{gh}} \partial_\mu \delta^{ab} \right) v^b A^{a\mu} + \frac{1}{2} \left( \partial_t R_{\mu\nu} \delta^{ab} \right) A^{a\mu} A^{b\nu}, \end{aligned}$$

$$\Gamma[\Phi, v, \mathcal{I}] = \tilde{\Gamma}[\Phi, v, \mathcal{I}] - \Delta S[\Phi, v]$$

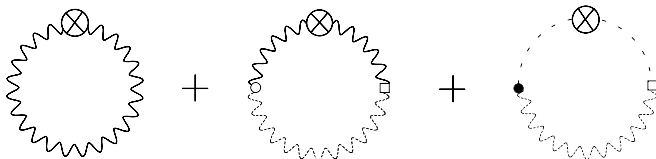
For a family of truncations:

$$\Gamma_k[\Phi, v, \mathcal{I}] = \Gamma[\Phi, v] + S_{\text{so}}^{\text{brst}}[\Phi, v, \mathcal{I}]$$

$$S_{gf} = \frac{1}{2} A_\mu^a Q^{\mu\nu} A_\nu^a + v^a (1 + r_{\text{gh}}(-\partial^2)) \partial^\mu A_\mu^a,$$

$$S_{gh} = -\bar{c}^a (1 + r_{\text{gh}}(-\partial^2)) (\partial^\mu D_\mu c)^a - \frac{v^a}{2|v|^2} \bar{c}^a \left( (Q^{\mu\nu} A_\nu^b) (D_\mu c)^b + A_\mu^b (Q^{\mu\nu} D_\nu c)^b \right)$$

$$\begin{aligned} \partial_t \Gamma[\Phi, v] = & \frac{1}{2} \left( \partial_t R_{\mu\nu} \delta^{ab} \right) (\tilde{\Gamma}^{(2)-1})_{A_\mu^a A_\nu^b} + \frac{1}{2} \left( \partial_t R_{\mu\nu} \delta^{ab} \right) \tilde{\Gamma}_{M_\mu^a \Phi j}^{(2)} (\tilde{\Gamma}^{(2)-1})_{\Phi j A_\nu^b} \\ & + \left( \partial_t r_{\text{gh}} \partial_\mu \delta^{ab} \right) \tilde{\Gamma}_{K_\mu^a \Phi j}^{(2)} (\tilde{\Gamma}^{(2)-1})_{\Phi j (-\bar{c}^b)}. \end{aligned}$$



# Master equation and BRST symmetry through the RG flow

(Sh.A, Gies, Zambelli'19)

$$\begin{aligned}\Sigma[\tilde{\Gamma}] = & \frac{\delta\tilde{\Gamma}}{\delta A_\mu^a} \frac{\delta\tilde{\Gamma}}{\delta K^{a\mu}} + \frac{\delta\tilde{\Gamma}}{\delta c^a} \frac{\delta\tilde{\Gamma}}{\delta L^a} + v^a \frac{\delta\tilde{\Gamma}}{\delta \bar{c}^a} \\ & + M_\mu^a \frac{\delta\tilde{\Gamma}}{\delta K_\mu^a} + A_\mu^a I^{a\mu} + \frac{\delta\tilde{\Gamma}}{\delta M_\mu^a} I_\mu^a.\end{aligned}$$

$\Sigma = 0$  is the master equation. We will have **BRST-symmetry through the flow** if the master equation and flow equation are compatible with each other:

$$\partial_t \mathcal{Z} = \mathcal{G}_t \mathcal{Z}$$

$$\mathcal{G}_t = \left( \partial_t r_{\text{gh}} \partial_\mu \delta^{ab} \right) \left( v^b \frac{\delta}{\delta J_\mu^a} - \frac{\delta^2}{\delta \eta^b \delta K_\mu^a} \right) - \frac{1}{2} \left( \partial_t R_{\mu\nu} \delta^{ab} \right) \left( \frac{\delta^2}{\delta J_\mu^a \delta J_\nu^b} - \frac{\delta^2}{\delta M_\mu^a \delta J_\nu^b} - \frac{\delta^2}{\delta I_\mu^a \delta K_\nu^b} \right).$$

$$\mathcal{D} = -J_\mu^a \frac{\delta}{\delta K_\mu^a} + \bar{\eta}^a \frac{\delta}{\delta L^a} + v^a \eta^a - M_a^\mu \frac{\delta}{\delta K_\mu^a} + I_\mu^a \frac{\delta}{\delta J_\mu^a} - I_a^\mu \frac{\delta}{\delta M_a^\mu},$$

$$[\mathcal{D}, \mathcal{G}_t] = 0.$$

# Summary

- ▶ Wetterich Exact Renormalization Group Equation
- ▶ Breaking gauge symmetry and modified master equation(non-linear and second-order master equation)
- ▶ Regularized and BRST symmetric effective action (bilinear master equation)
  - ▶ Fourier noise and Nonlinear gauge fixing
  - ▶ Breaking of the global color symmetry, an external Nakanishi-Lautrup field, a non-local vertex, new regulator-dependent ghost-gluon vertices
- ▶ Compatibility of the flow equation with the master equation

BRST symmetric RG flow

# Future Works

- ▶ Simplest perturbatively renormalizable truncation
- ▶ Proper-time regulator Renormalization Group flow



Thanks for Your Attention

# Backup

For any gauge-fixing functional  $F^a[A]$ :

$$S[A, c, \bar{c}, \nu] = S_{YM}[A] + S_{gf}[A, \nu] + S_{gh}[A, c, \bar{c}, \nu]$$

can be written as usual:

$$S[A, c, \bar{c}, \nu] = S_{YM}[A] + s\Psi,$$

where

$$\Psi = \bar{c}^a F^a[A].$$