

HOW TO DEAL WITH NEUTRINOS IN SIMULATIONS OF NEUTRON-STAR MERGERS AND CORE-COLLAPSE SUPERNOVAE?

(NO DEFINITIVE ANSWER GIVEN IN THIS TALK...)

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**NUCLEAR AND ASTROPHYSICS ASPECTS FOR THE RAPID NEUTRON
CAPTURE PROCESS IN THE ERA OF MULTIMESSENGER OBSERVATIONS**

TRENTO, JULY 1ST

WITH: H.-TH.-JANKA, A. BAUSWEIN, S. GORIELY, R. ARDEVOL,
M. OBERGAULINGER, S. NAGATAKI, R. GLAS, R. BOLLIG, AND OTHERS



Max Planck Institute
for Astrophysics

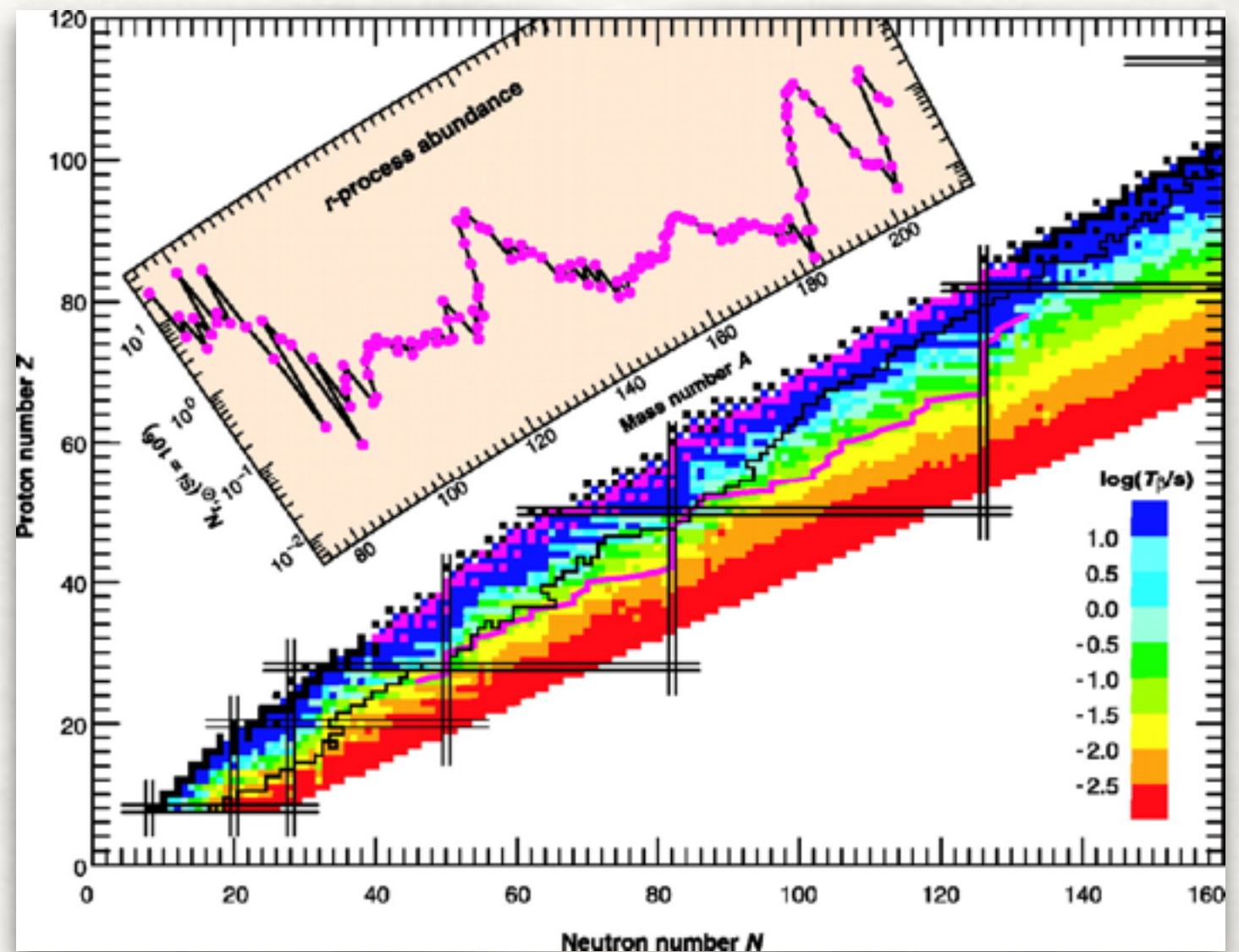


NEUTRON-STAR MERGERS AS SOURCES OF R-PROCESS ELEMENTS

(...SUCH AS GOLD)

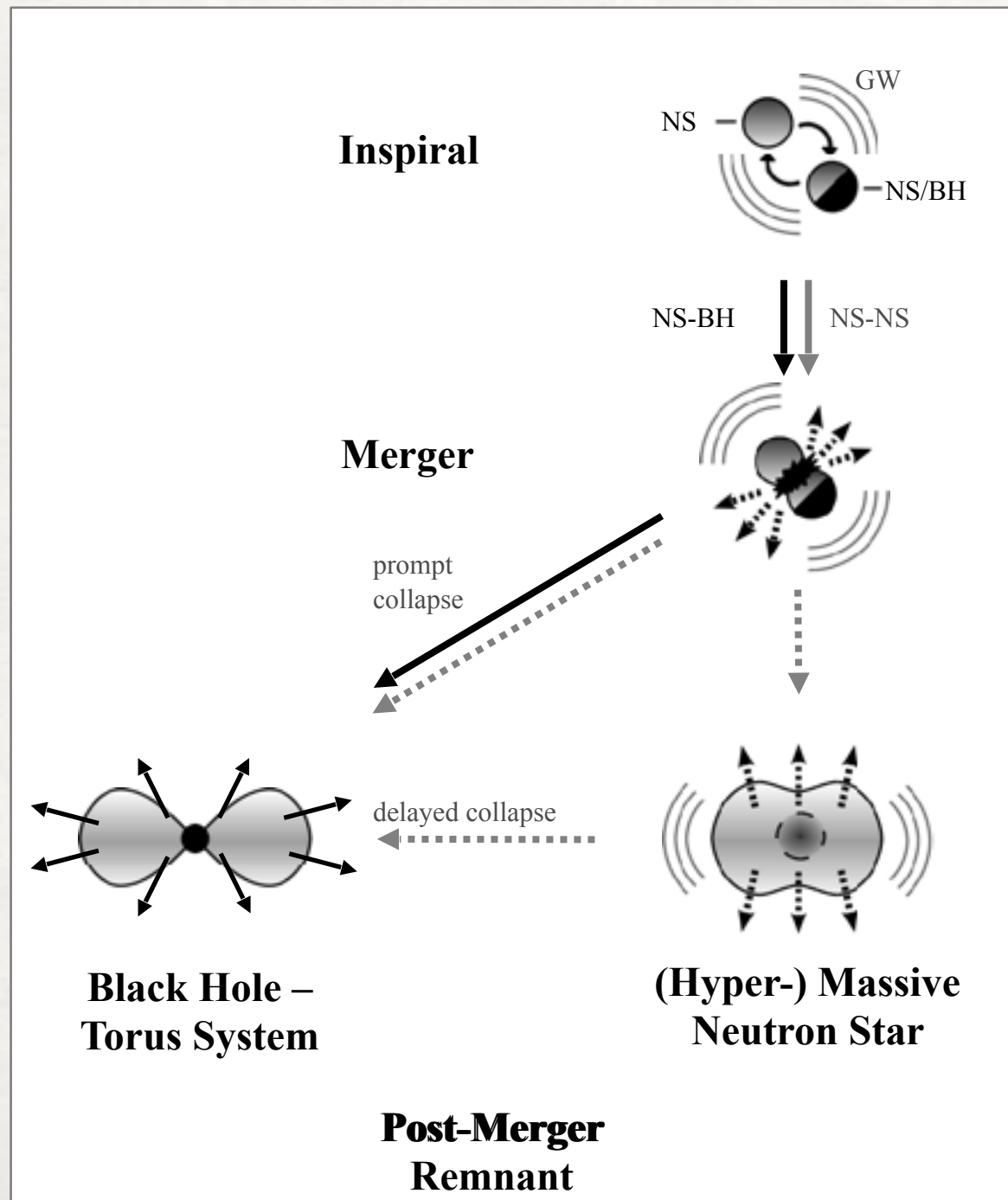


- Are neutron-star mergers significant/dominant sources?
- need explosive conditions with **very high neutron densities**
- neutron density depends sensitively on **neutrino interactions**
- **suggested alternatives:**
 - *core-collapse supernovae*
 - *jets of magneto-rotational supernovae*
 - *accretion disks in collapsars*

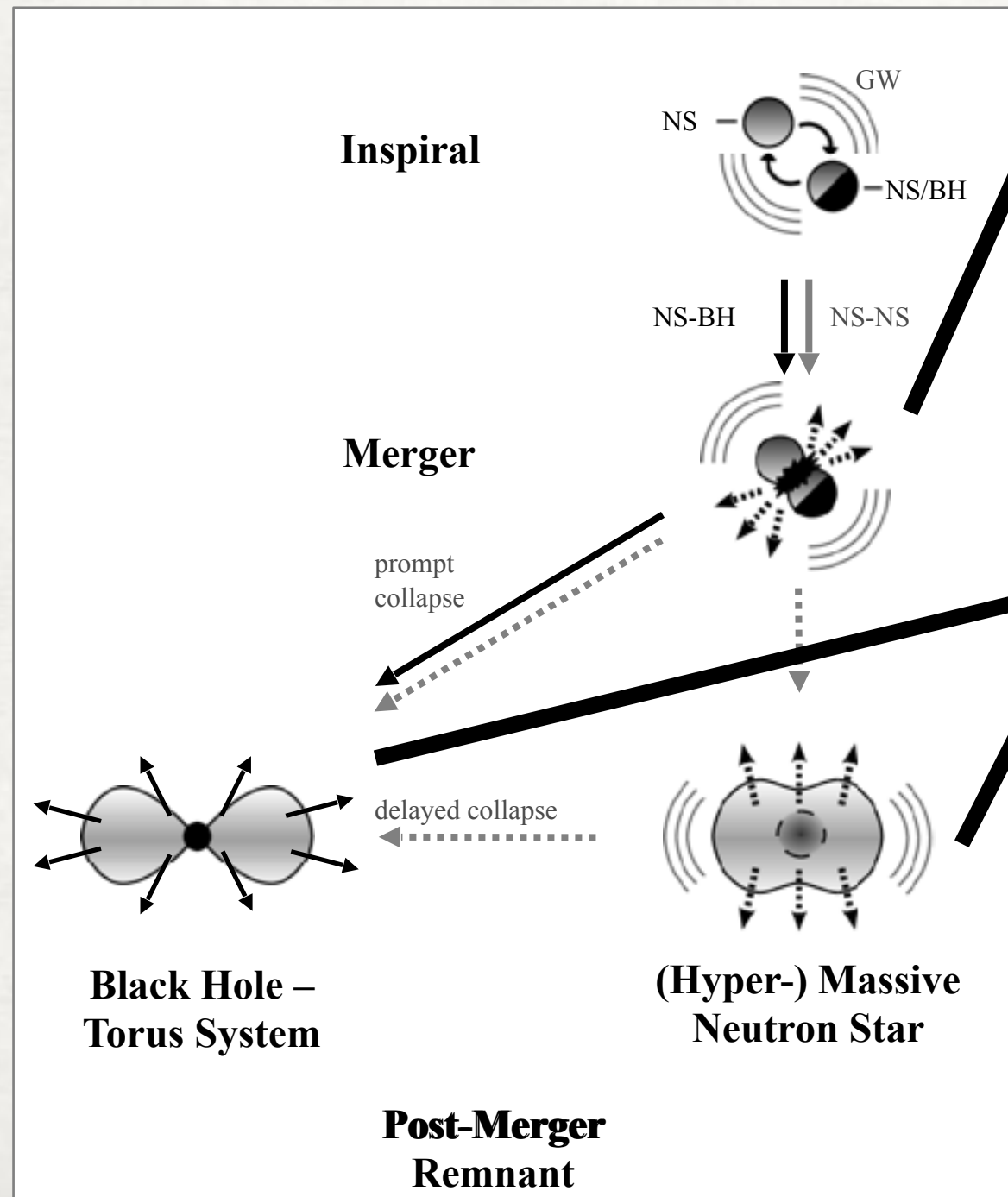


(e.g. Lattimer & Schramm, Freiburghaus, Goriely, Wanajo, Qian, Woosley, Martinez-Pinedo, Fischer, Metzger, Siegel, Pruet, McLaughlin, and many, many more)

Neutron-star mergers: ejecta components



Neutron-star mergers: ejecta components



dynamical/prompt ejecta

- tidal tails
- shock-heated

post-merger ejecta

- neutrino-driven
- viscous/turbulence driven
- due to other (MHD) effects?

(see e.g. works by: Ruffert & Janka, Rosswog & Liebendoerfer, Freiburghaus, Shibata & Sekiguchi, Hotokezaka, Bauswein, Fernandez & Metzger, Surman, Just, Perego, Foucart, Siegel, Radice, Rezzolla, Giacomazzo, Cioffi ...)

GW170817 + EM COUNTERPARTS

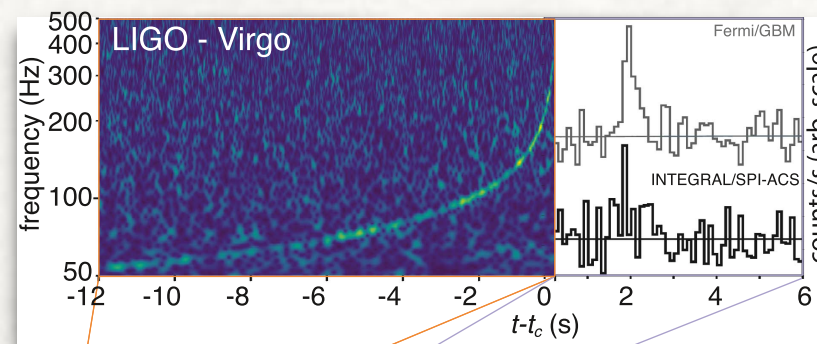
→ $M_{\text{tot}} = M_1 + M_2 \sim 2.74 \text{ Msun}$

→ $M_1/M_2 \sim 0.7 - 1$

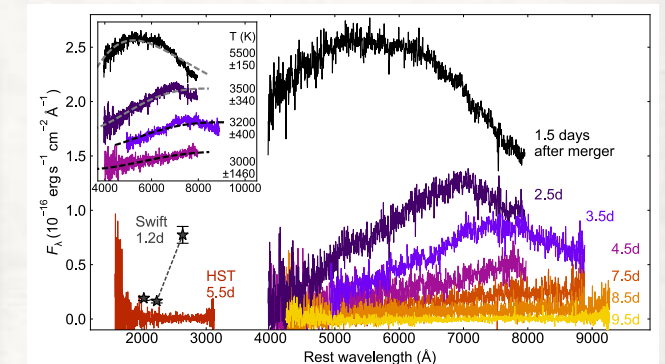
→ **blue ejecta component** with
 $\langle Y_e \rangle > 0.25$
 $M \sim 0.01\text{-}0.03 \text{ Msun}$

→ **red ejecta component** with
 $\langle Y_e \rangle < 0.25$
 $M \sim 0.01\text{-}0.03 \text{ Msun}$

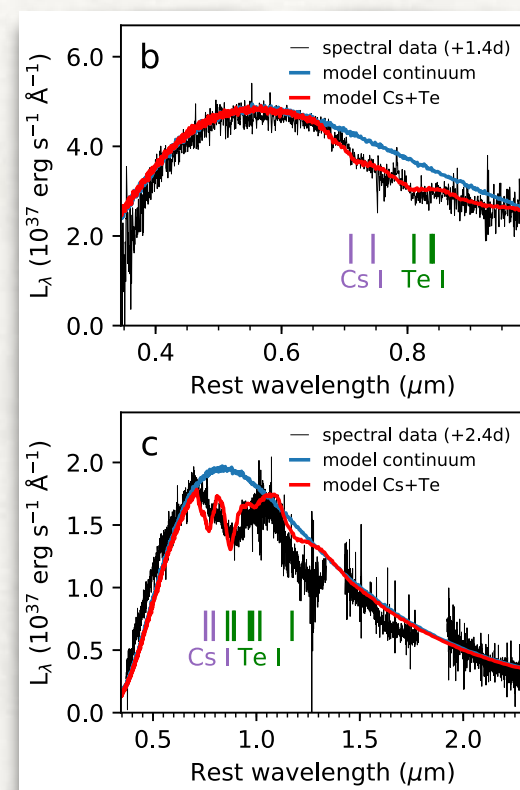
→ low-luminosity **gamma-ray burst**
 with $E_{\text{peak}} \sim 100 \text{ keV}$



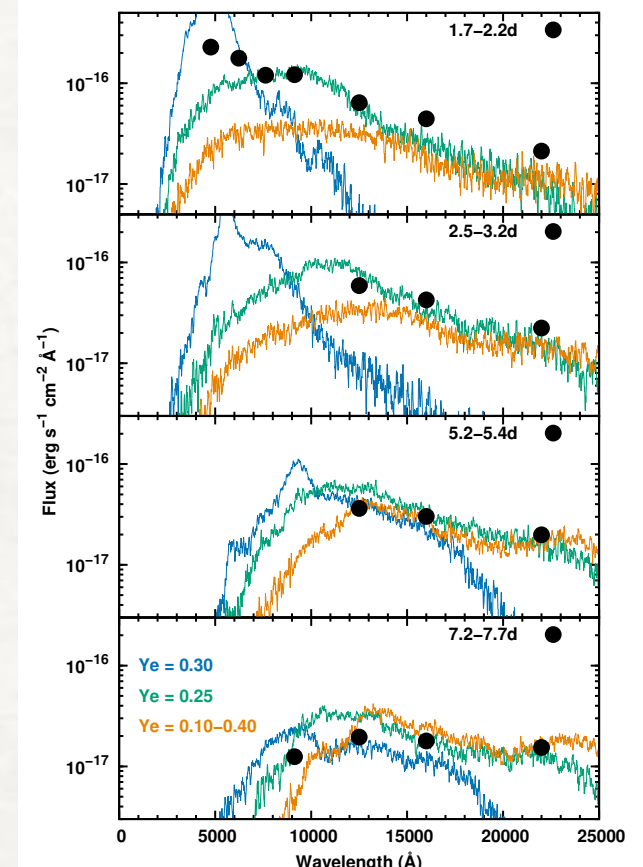
(LIGO Coll.+ '17)



(Nicholl+ '17)



(Smartt+ '17)



(Tanaka+ '17)

+ many other works by, e.g., Berger, Kasliwal, Kasen, Metzger, Mooley, Piran, Rosswog, Tanvir, Nakar, Gottlieb, MacFadyen, ...

GW170817 + EM COUNTERPARTS

→ $M_{\text{tot}} = M_1 + M_2 \sim 2.74 M_{\text{sun}}$

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→ **blue ejecta component** with
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shock-heated dynamical ejecta and/or
neutrino-processed ejecta launched
from a HMNS remnant? *High mass and
velocity still enigmatic...*

→ **red ejecta component** with
 $\langle Y_e \rangle < 0.25$
 $M \sim 0.01\text{-}0.03 M_{\text{sun}}$



dynamical ejecta launched during
merger or viscous ejecta from
the remnant?

→ *safe identification needs better modeling*

ejecta
mass+velocity

ejection
mechanism

nucleosynthesis
yields

collapse time
of HMNS

nuclear EOS
constraints

jet launching
mechanism

gravitational waves

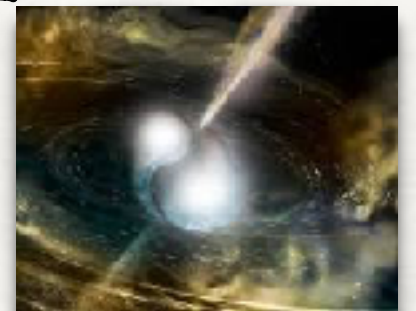
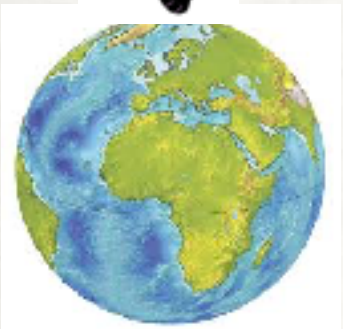
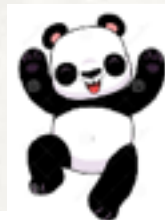
radio

optical

UV

X-ray

gamma-ray



ejecta
mass+velocity

ejection
mechanism

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modeling “black box”

- ★ hydrodynamic models
from numerical
simulations including GR,
neutrino transport, MHD
- ★ nucleosynthesis yields
from nuclear physics
calculations
- ★ atomic shell calculations
and radiative transfer for
EM light curve

gravitational waves

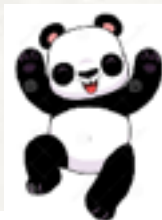
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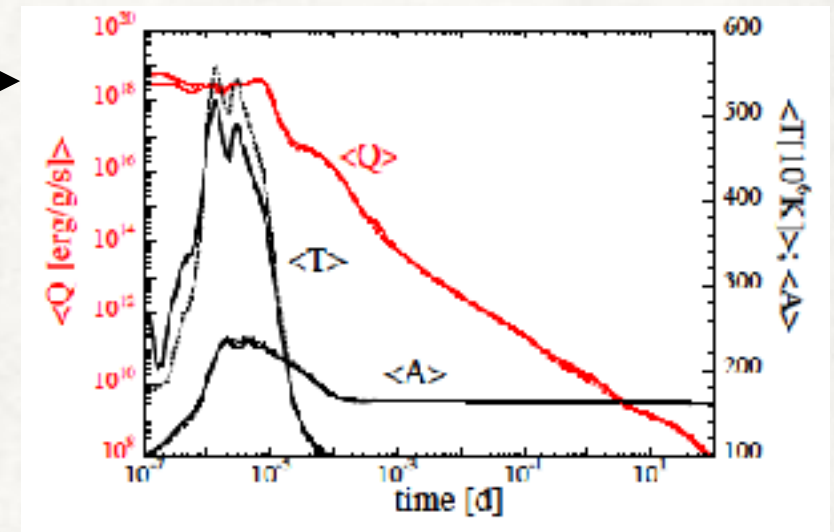
X-ray

gamma-ray



Kilo- / Macronovae

- **radioactive decay** heats newly synthesized material
- light curves most sensitive to **mass, velocity, and composition**

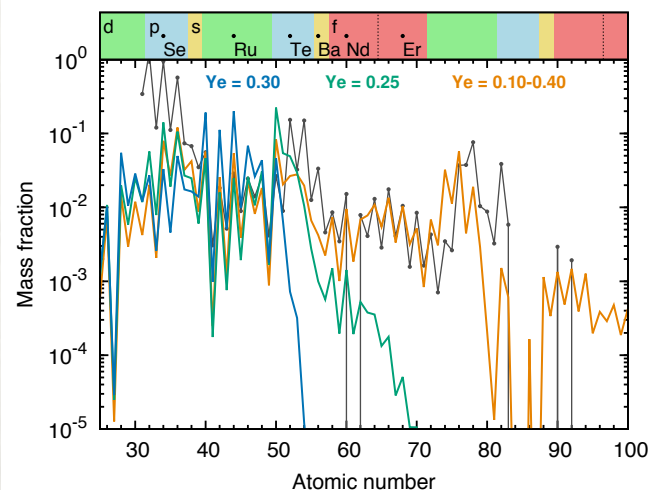


(Goriely et. al. 2011)

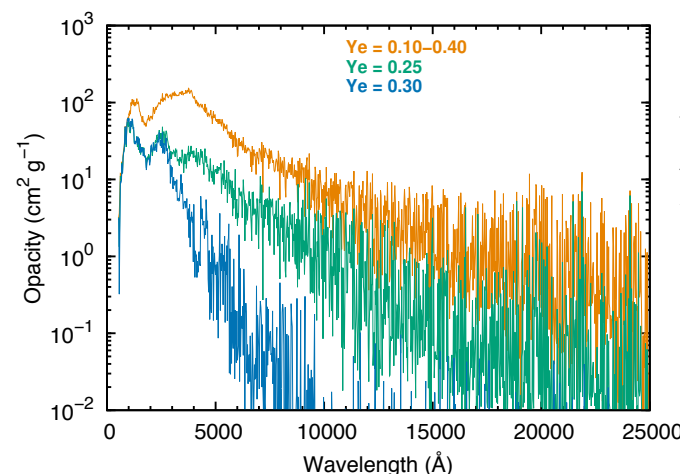
$$t_{\text{peak}} \approx \sqrt{\frac{\kappa M_{\text{ej}}}{4\pi c V_{\text{ej}}}} \xi \approx 1.5 \text{ days} \left(\frac{V_{\text{ej}}}{0.1 c} \right)^{-1/2} \left(\frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{1/2} \left(\frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{1/2} \xi^{1/2},$$

$$L_{\text{peak}} \approx \frac{f M_{\text{ej}} c^2}{t_{\text{peak}}} \approx 4.3 \times 10^{41} \text{ erg s}^{-1} \left(\frac{f}{10^{-6}} \right) \left(\frac{V_{\text{ej}}}{0.1 c} \right)^{1/2} \left(\frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{1/2} \left(\frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{-1/2} \xi^{-1/2},$$

$$T_{\text{eff,peak}} \approx \left[\frac{L_{\text{peak}}}{4\pi (V_{\text{ej}} t_{\text{peak}})^2 \sigma_{\text{SB}}} \right]^{1/4} \approx 8 \times 10^3 \text{ K} \left(\frac{f}{10^{-6}} \right)^{1/4} \left(\frac{V_{\text{ej}}}{0.1 c} \right)^{1/8} \left(\frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{-1/8} \left(\frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{-3/8} \xi^{-3/8}$$



(Tanaka '18)

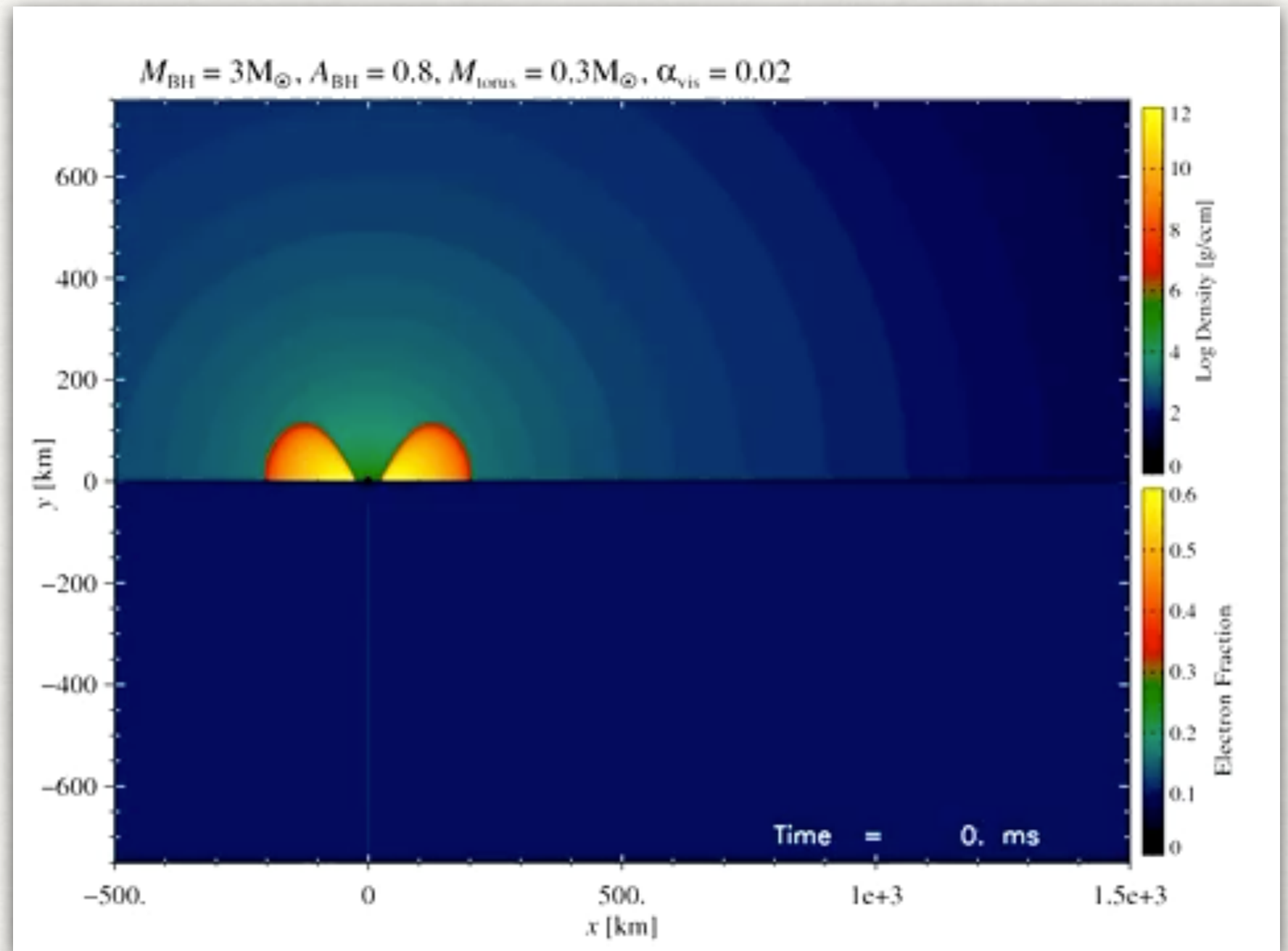


→ **strong Ye dependence calls for reliable neutrino treatment in simulations**

Example: Post-merger BH-torus remnant

Mainly two ejecta components:

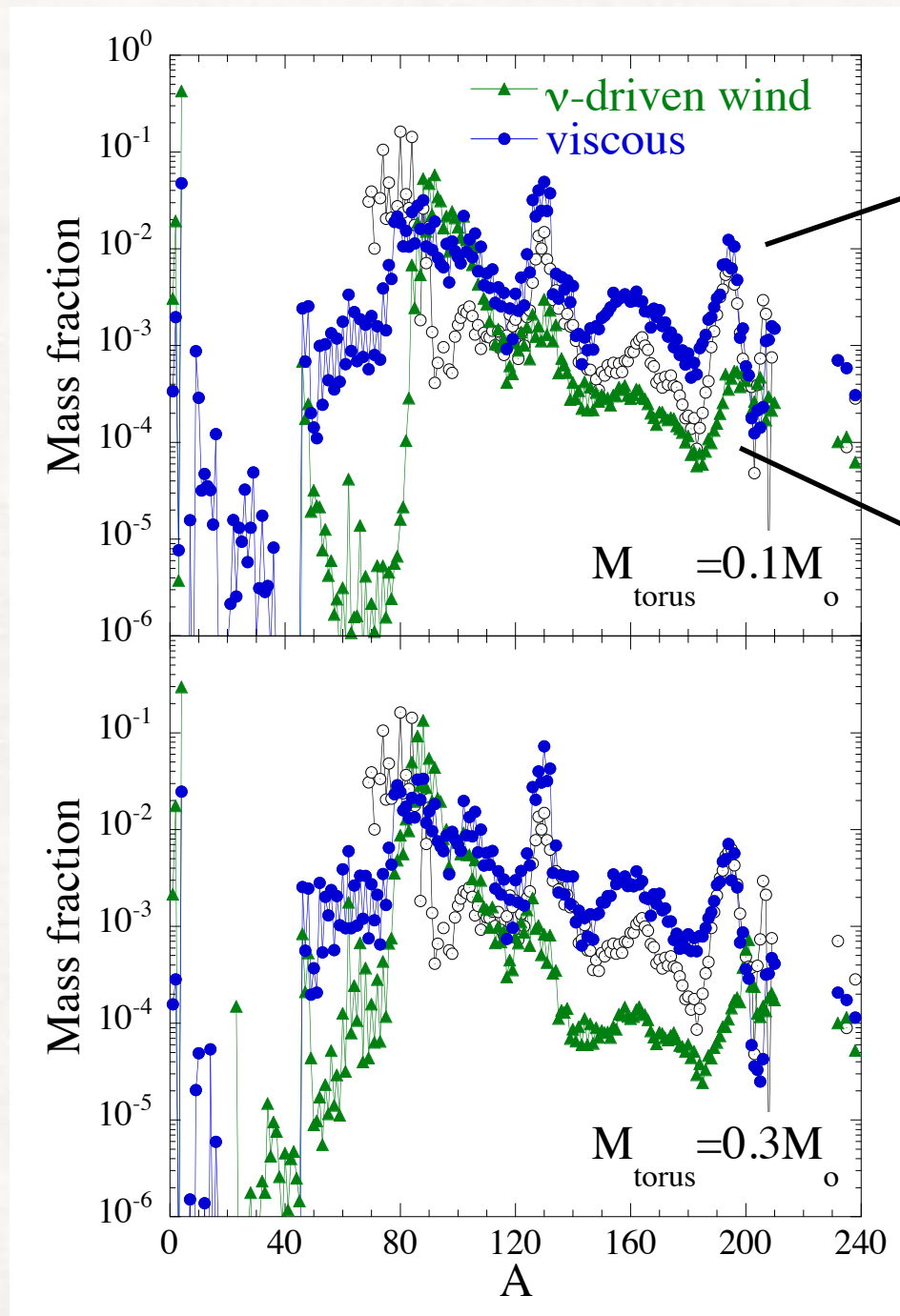
- **neutrino-driven wind,**
Ye controlled by neutrino absorption
- **wind driven by viscous expansion and angular momentum transport,**
Ye controlled by neutrino emission



(simulated with M1 neutrino transport code “ALCAR”,
OJ, Bauswein, Ardevol, Goriely, Janka '15)

(also: Fernandez '13, Wu '16, Siegel '17)

Nucleosynthesis yields of BH-torus ejecta



viscous outflows:

- > low Y_e
- > more lanthanides
- > higher opacity
- > **red Kilonova**
(if observed independently)

neutrino-driven outflows:

- > high Y_e
- > less lanthanides
- > lower opacity
- > **blue Kilonova**
(if observed independently)

(OJ, Bauswein, Ardevol, Goriely, Janka '15)

BASIC TYPES OF NEUTRINO TREATMENTS

- Boltzmann-solvers (e.g. Monte-Carlo, S_n):

$$\partial_t I(x, y, z, \theta, \phi, \epsilon) + \dots = Q_{\text{emission}}(\rho, T, Y_e) - Q_{\text{absorption}}(\rho, T, Y_e, I)$$

6 degrees of freedom
(3 spatial, 3 mom. coords.)

=> most accurate, most expensive

- Truncated moment methods \w local closure (e.g. M1, flux-limited diffusion):

$$\partial_t E(x, y, z, \epsilon) + \dots = Q_{\text{emission}}^0(\rho, T, Y_e) - Q_{\text{absorption}}^0(\rho, T, Y_e, E, F, \dots)$$

$$\partial_t F(x, y, z, \epsilon) + \dots = Q_{\text{emission}}^1(\rho, T, Y_e) - Q_{\text{absorption}}^1(\rho, T, Y_e, E, F, \dots)$$

4 degrees of freedom

=> less accurate, less expensive, but still expensive

- Leakage/trapping schemes:

$$Q_{\text{emission}} - Q_{\text{absorption}} =: Q_{\text{emission}}^{\text{effective}} = f(\rho, T, Y_e, \tau)$$

optical depth

=> no evolution equation for neutrinos, least expensive

WHY DIFFERENT NEUTRINO SCHEMES?

Approximate neutrino transport schemes:

- local cooling schemes
- neutrino-leakage schemes
- Flux-limited diffusion
- M1
- Ray-by-Ray approximation
- ...

- 😊 computationally efficient
- 😊 possible to explore larger parameter space
- 😊 accuracy may be sufficient for many questions

- 😞 potentially large uncertainties
- 😞 impact of each approximation must be tested individually for each application

Boltzmann-solvers:

- discrete ordinate method
- Monte Carlo
- tangent-ray scheme (only Ray-by-Ray)
- ...

- 😊 potentially most accurate
- 😊 necessary when high accuracy is needed
- 😊 provide reference solutions for approximate methods

- 😞 affordable resolution limited, impact not well known
- 😞 small number of available models: cross-comparisons and parameter exploration unfeasible

➡ cross-comparisons **invaluable** to assess reliability of each scheme

➡ **particular challenges in multi-D comparisons:** high computational costs per simulation, turbulence, resolution, stochasticity...

CLASSICAL LEAKAGE SCHEMES

(e.g. Ruffert & Janka, Rosswog & Liebend. '01, see, however, ASL leakage by Perego '15, Gizzi '19, which is improved in several respects)

**PNS
or
HMNS**

optical depth

$$\tau \gg 1$$

neutrinos emitted on
diffusion timescale

$$t_{\text{diff}} = \frac{3d}{c} \tau$$

$$\tau \approx 1$$

optical depth

$$\tau \ll 1$$

neutrinos emitted on
production timescale

$$t_{\text{prod}} = \frac{E_{\nu}^{\text{eq}}}{Q_{\text{emission}}}$$

interpolation:

$$\partial_t Y_e + v \nabla Y_e = Q_{\text{emission}}^{\text{effective}} \equiv Q_{\text{emission}} \left(1 + \frac{t_{\text{diff}}}{t_{\text{prod}}}\right)^{-1}$$

=> free parameter "d", no inclusion of neutrino equilibration, no absorption

NEW "ILEAS" LEAKAGE SCHEME

(Ardevol, Janka, OJ, Bauswein, '19)

**PNS
or
HMNS**

optical depth

$$\tau \gg 1$$

neutrinos emitted on
diffusion timescale

$$t_{\text{prod}} = \frac{E_{\nu}^{\text{eq}}}{-c \frac{\nabla E_{\nu}^{\text{eq}}}{3\kappa}}$$

equilibrium regime:

$$\partial_t(Y_e + Y_{\nu}) + v \nabla(Y_e + Y_{\nu}) = Q_{\text{emission}}^{\text{effective}}$$

optical depth

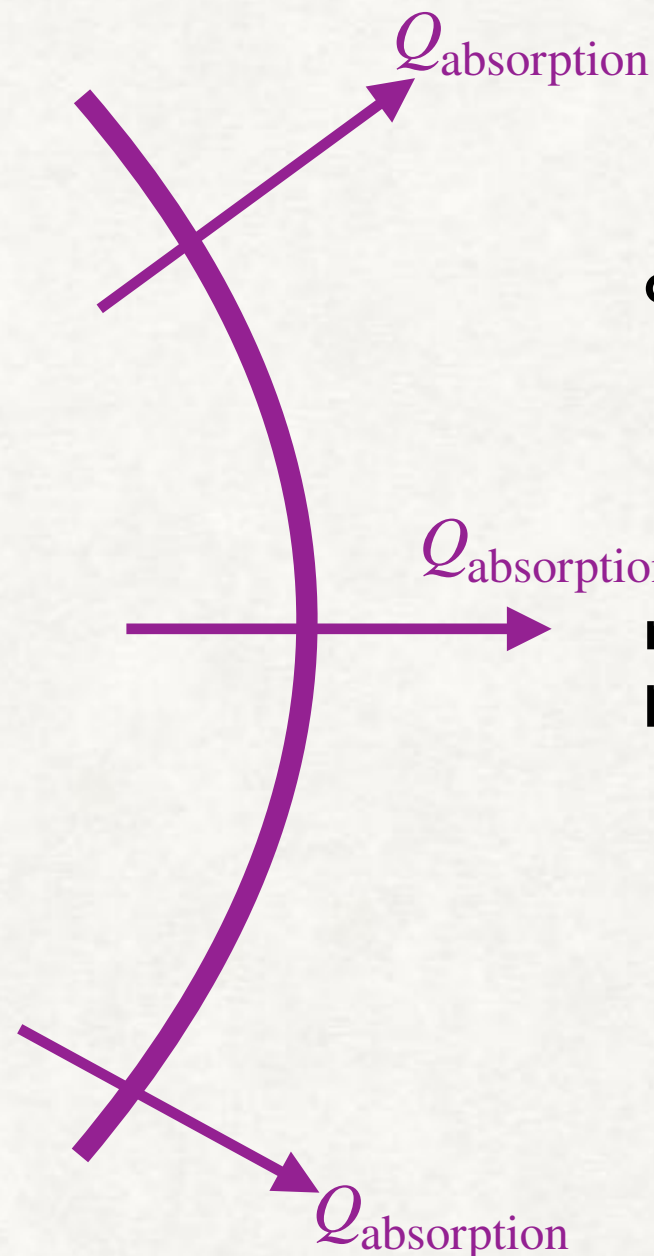
$$\tau \ll 1$$

neutrinos emitted on
production timescale

$$t_{\text{prod}} = \frac{E_{\nu}^{\text{eq}}}{Q_{\text{emission}}}$$

non-equil. regime:

$$\partial_t Y_e + v \nabla Y_e = Q_{\text{emission}}^{\text{effective}} + Q_{\text{absorption}}$$



=> more consistent inclusion of diffusion timescale and neutrino equilibration
and 'ray-tracing' method for absorption

ILEAS: COMPARISON WITH CLASSICAL LEAKAGE SCHEMES AND M1 CODE (ALCAR)

(Ardevol, Janka, OJ, Bauswein, '19)

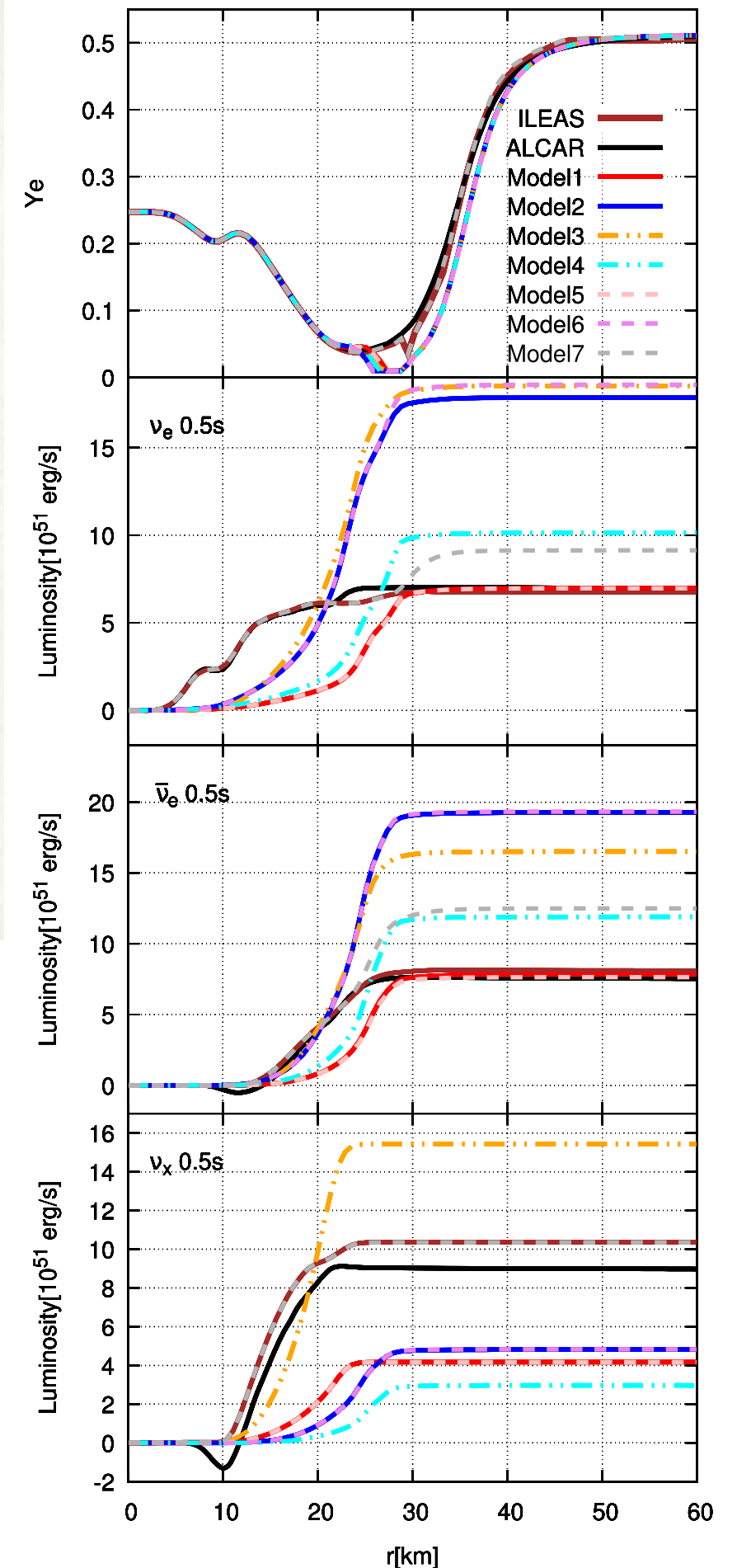
- stationary 1D hydro background taken from exploding CCSN at 0.5 s post-bounce
- new diffusion timescale improves fluxes at high optical depths ($r < 25\text{km}$)
- new absorption treatment improves fluxes around the neutrino sphere ($r \sim 25\text{km}$)

Model	$t_{\nu_i}^{\text{diff}}$	Energy average	μ_ν	L_{ν_e} ($10^{51} \text{ erg s}^{-1}$)	$L_{\bar{\nu}_e}$ ($10^{51} \text{ erg s}^{-1}$)	L_{ν_x} ($10^{51} \text{ erg s}^{-1}$)
Model 1	RJS ^a	RJS	RJS	7.0	7.8	4.2
Model 2	RL ^b	RL	RL	17.9	19.3	4.8
Model 3	RL	RJS	RJS	18.5	16.5	15.4
Model 4	RJS	RL	RJS	10.1	11.9	3.0
Model 5	RJS	RJS	RL	7.0	7.6	4.2
Model 6	RL	RL	RJS	18.6	19.3	4.8
Model 7	AJJB ^c	AJJB	AJJB	9.1	12.5	10.4
ILEAS	AJJB	AJJB	AJJB	6.7	8.1	10.4
ALCAR	—	—	—	7.0	7.6	9.0

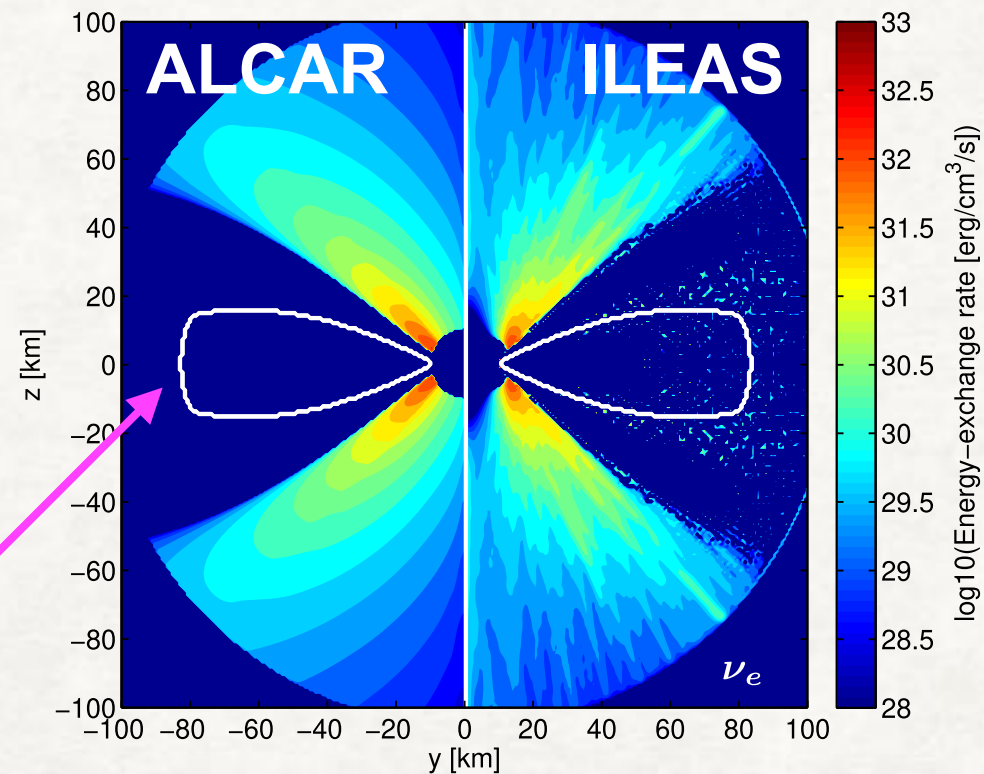
Notes: ^a Ruffert, Janka & Schäfer (Ruffert et al. 1996).

^b Rosswog & Liebendörfer (Rosswog & Liebendörfer 2003).

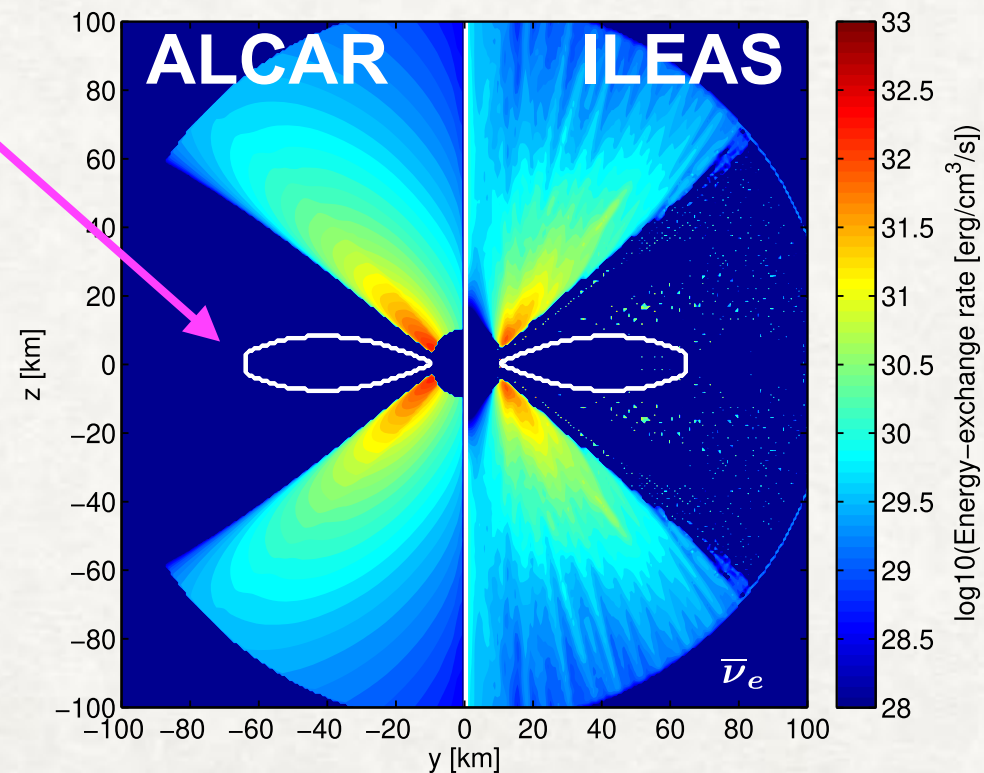
^c Ardevol, Janka, Just & Bauswein (this work).



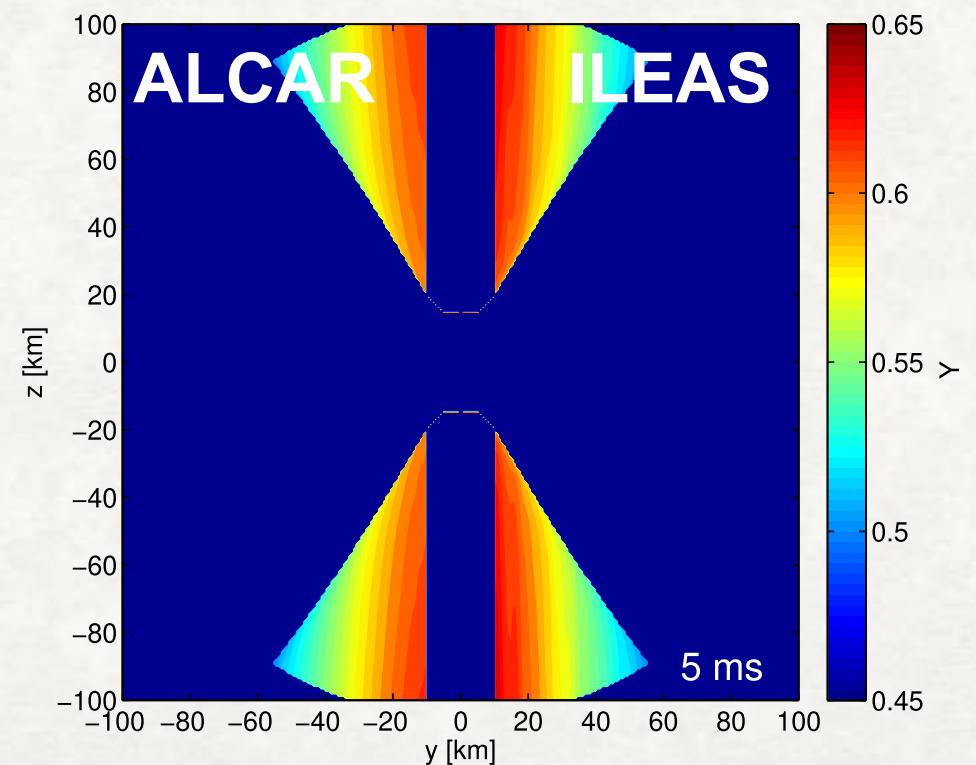
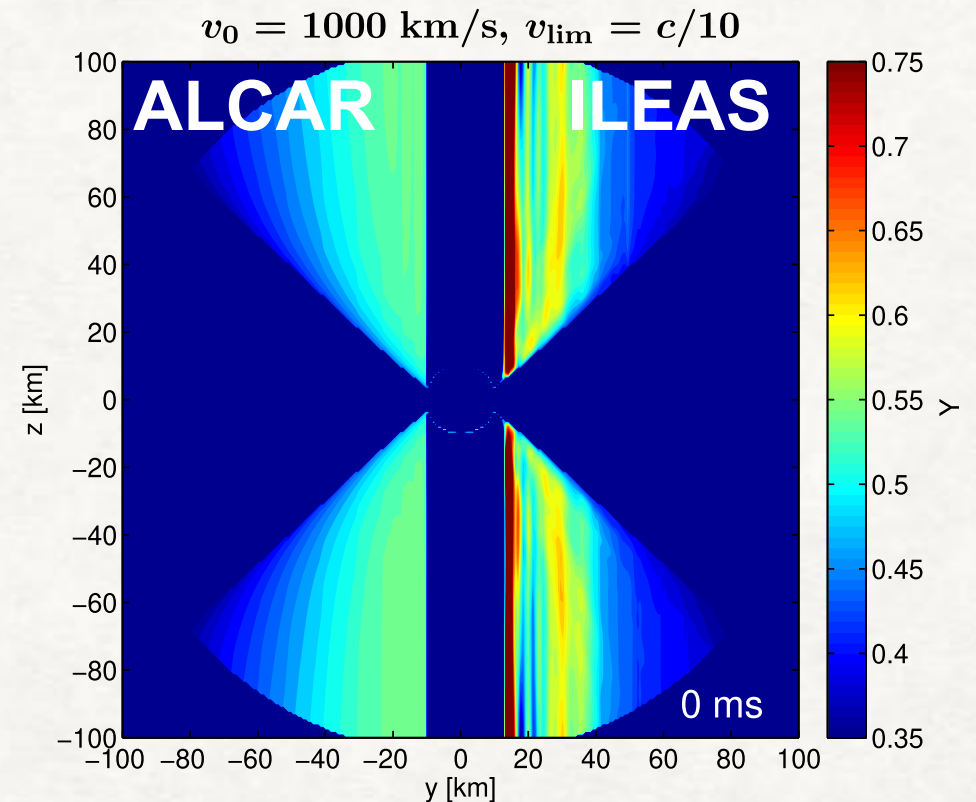
ILEAS: COMPARISON WITH M1 CODE (ALCAR) FOR A STATIONARY POST-MERGER BH-TORUS



neutrino-spheres



absorption rates

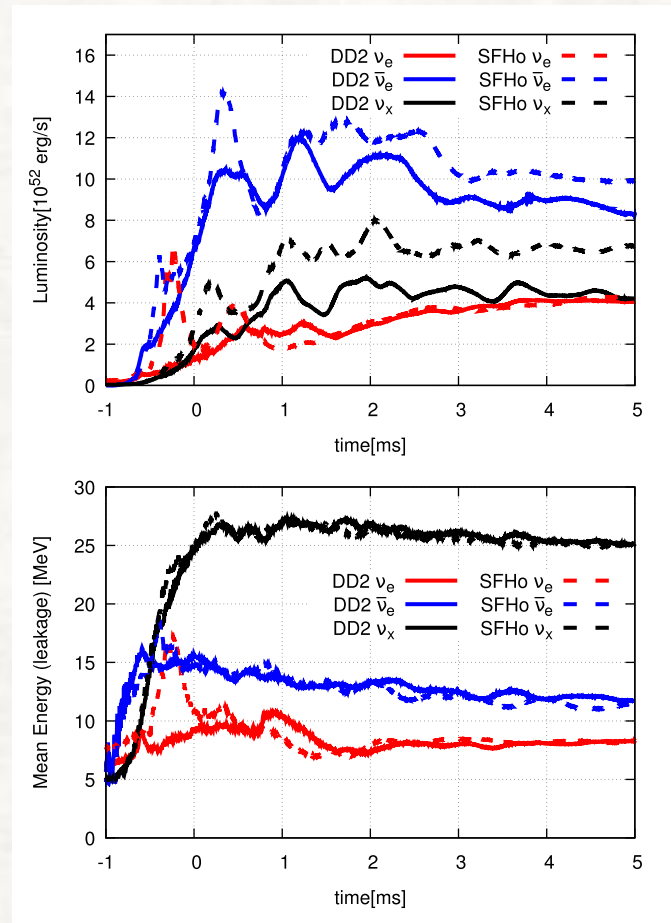
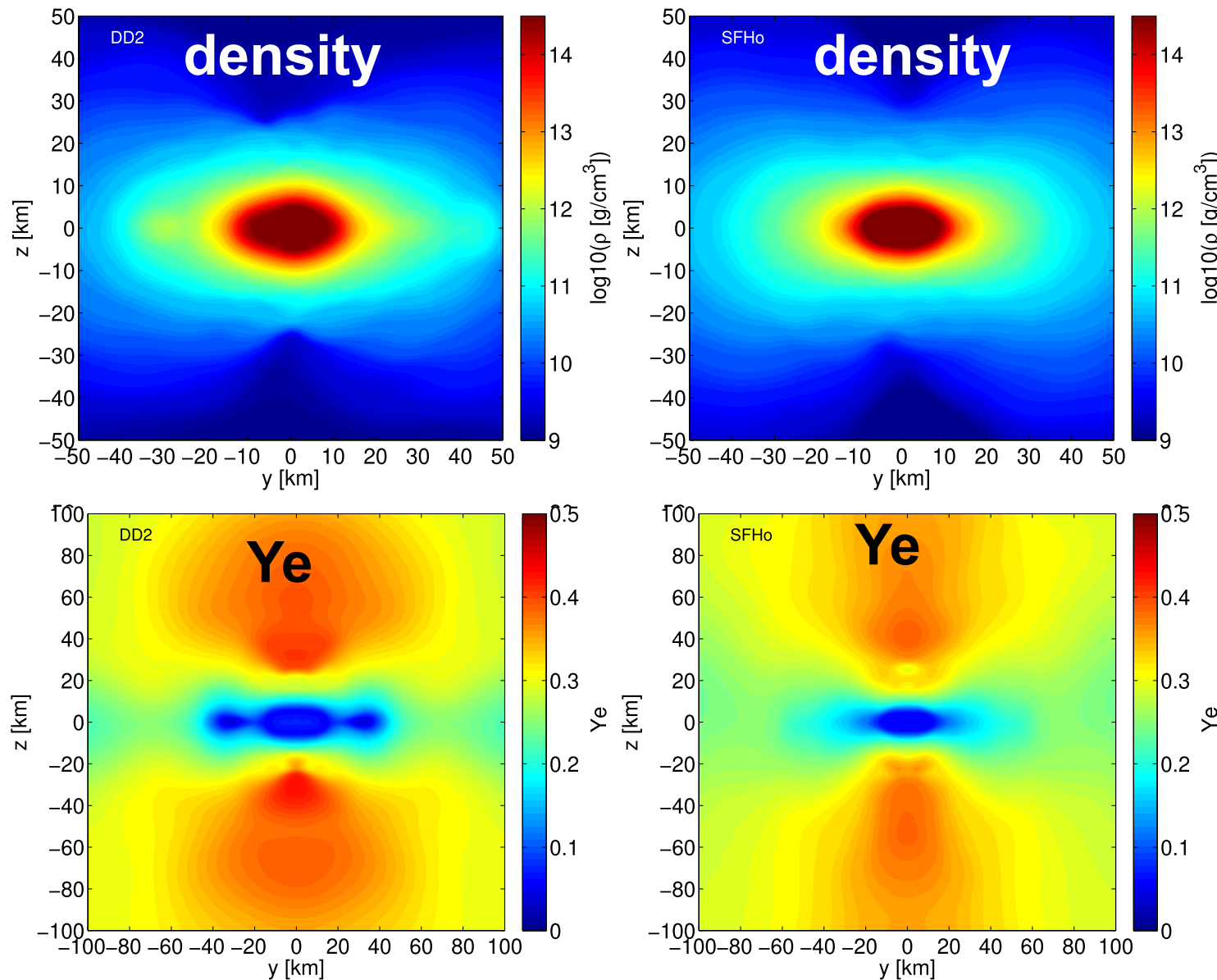


resulting Y_e of ejecta

ILEAS: FULLY DYNAMIC MERGER SIMULATION (WITH CFC-SPH HYDRO CODE)

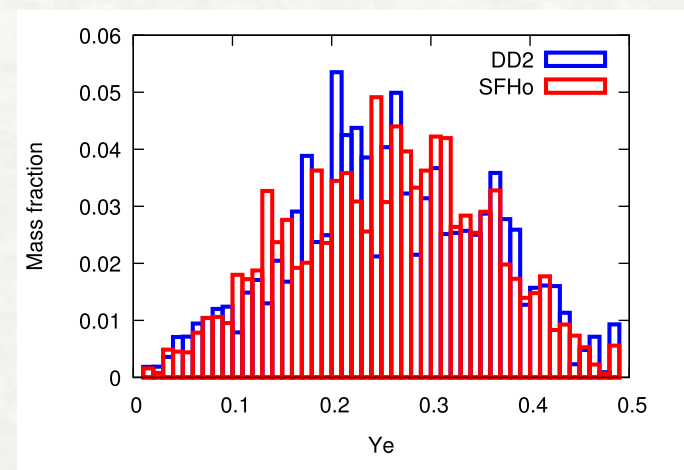
DD2 EOS

SFHO EOS



**neutrino
luminosities**

mean energies

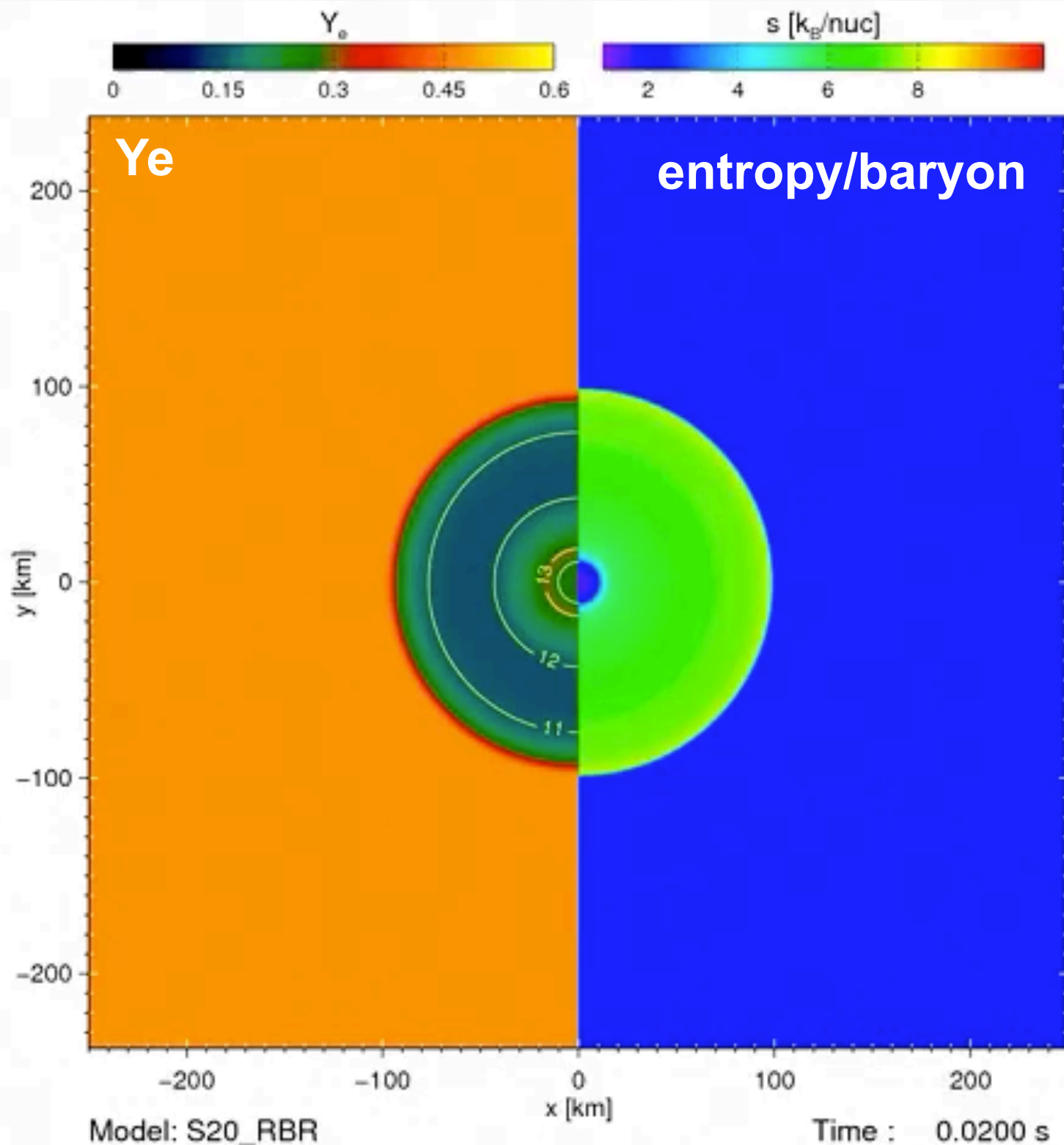


**ejecta Y_e
distribution**

- results qualitatively consistent with previous studies (e.g. Sekiguchi, Foucart, Palenzuela et al)
- quantitative differences (must be understood in future work)

(Ardevol, Janka, OJ, Bauswein, '19)

NEUTRINO TRANSPORT IN CCSNE: IMPACT OF RAY-BY-RAY APPROXIMATION

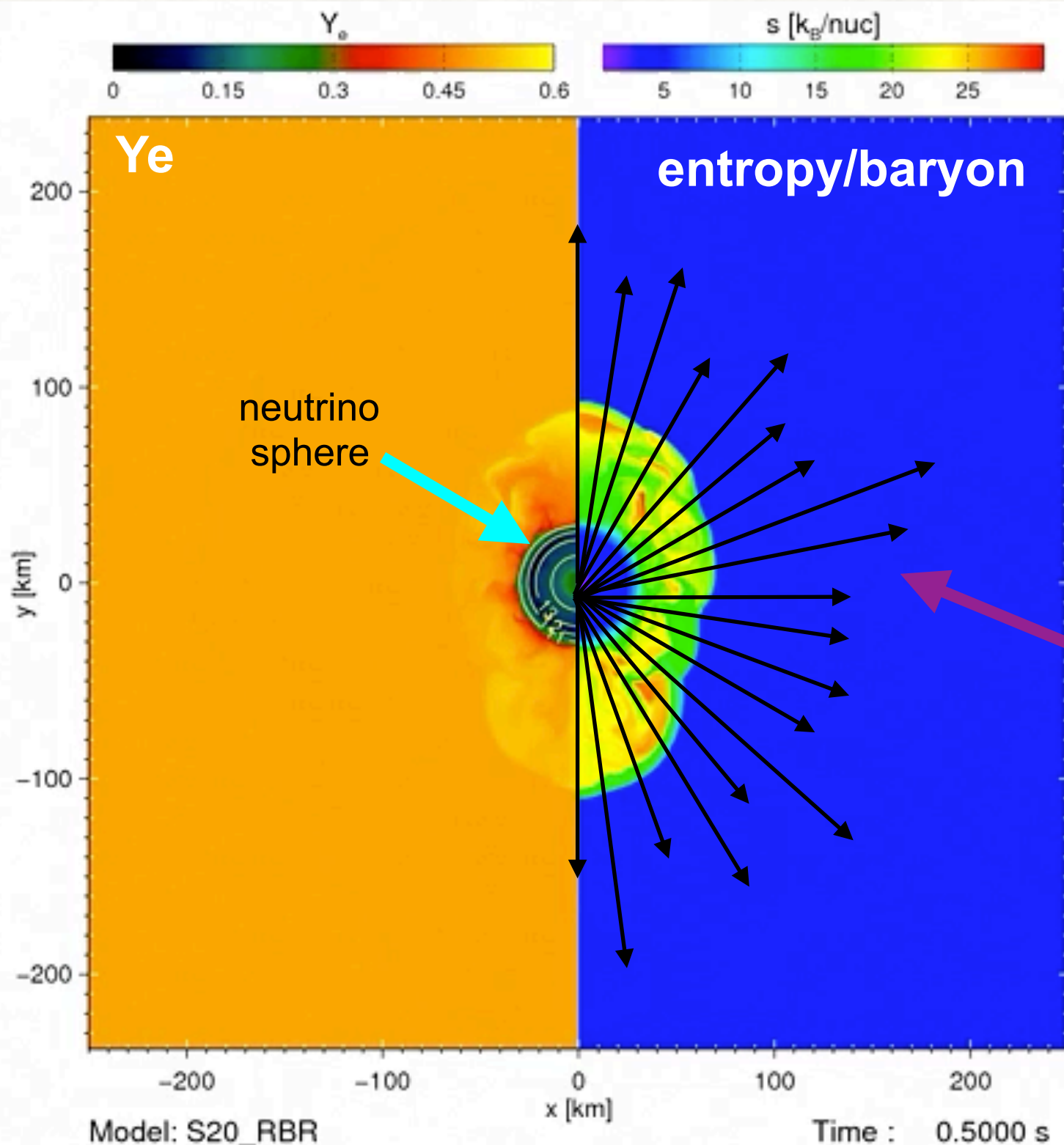


Neutrinos...

- ... cool down PNS and thereby regulate its size
- ... heat up post-shock material in “gain layer”
- ...control the Y_e of PNS and ejecta

20 Msun model, simulated with M1 code ALCAR
using ray-by-using (OJ, Bollig, Janka et al 2018)

NEUTRINO TRANSPORT IN CCSNE: IMPACT OF RAY-BY-RAY APPROXIMATION



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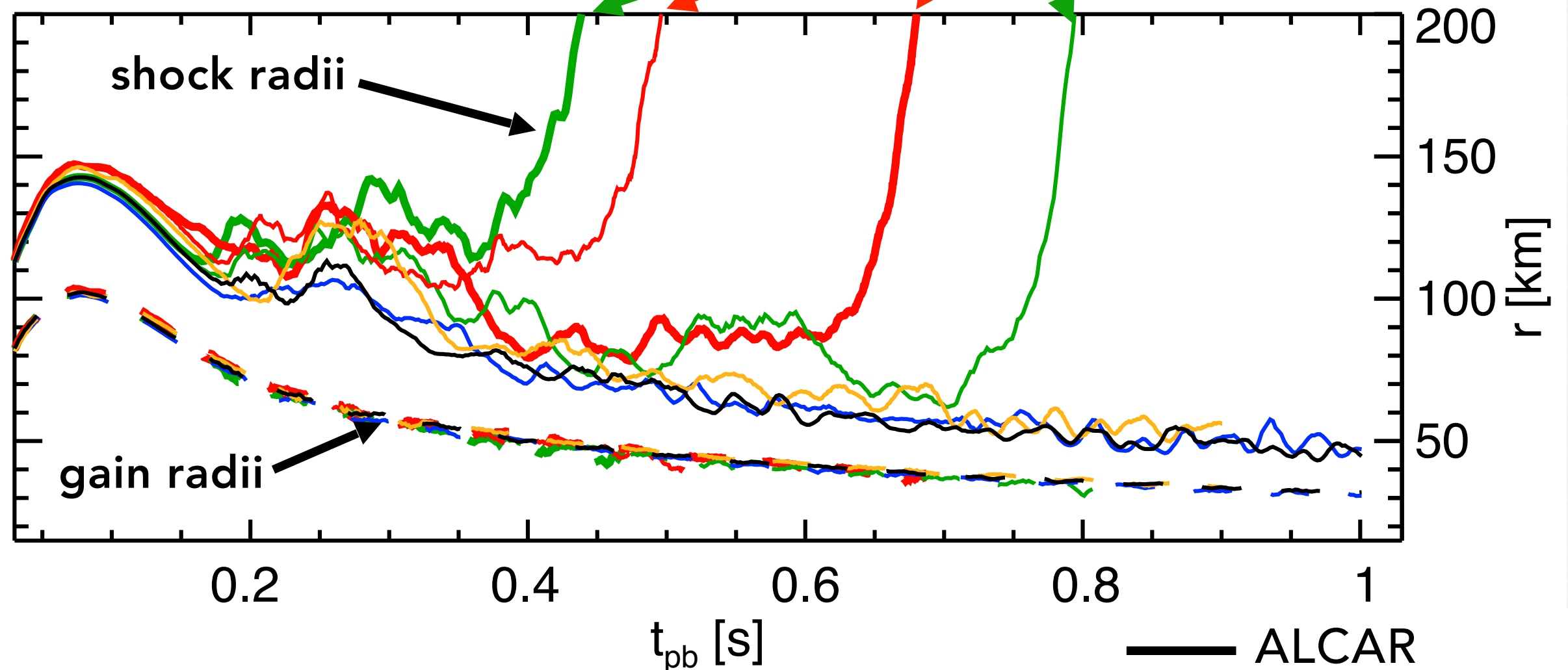
Ray-by-ray approximation:

- neutrino distribution along each radial ray assumed to be axisymmetric around ray
- motivated by spherical shape of neutrino sphere
- large computational savings
- **one of most often employed approximations in CCSN simulations**

20 Msun model, simulated with M1 code ALCAR
using ray-by-ray (OJ, Bollig, Janka et al 2018)

AXISYMMETRIC S20 MODEL

*only differ by initial
perturbation pattern*

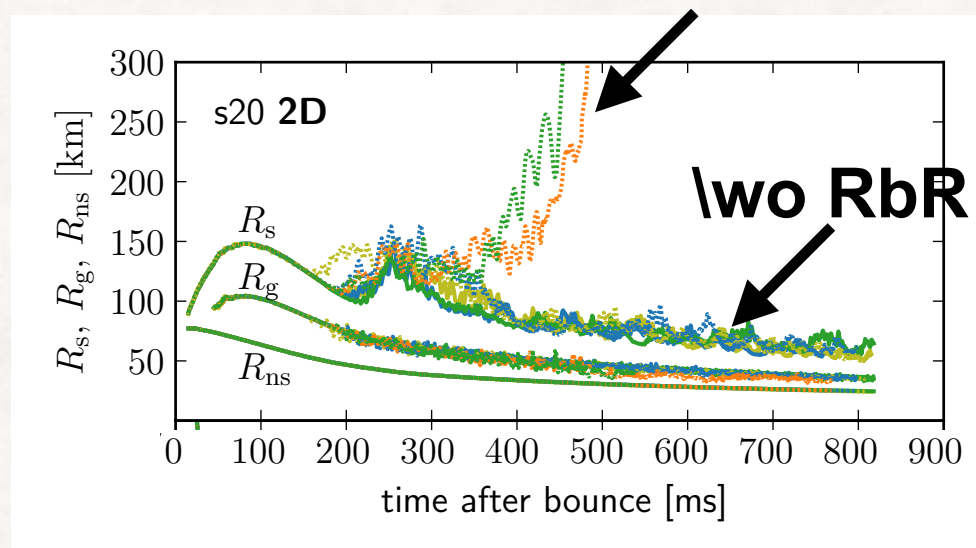


- ➡ **good agreement** between ALCAR and VERTEX with RbR
- ➡ RbR causes stronger linear sloshing = **earlier explosions**
- ➡ **large stochastic scatter** of explosion times

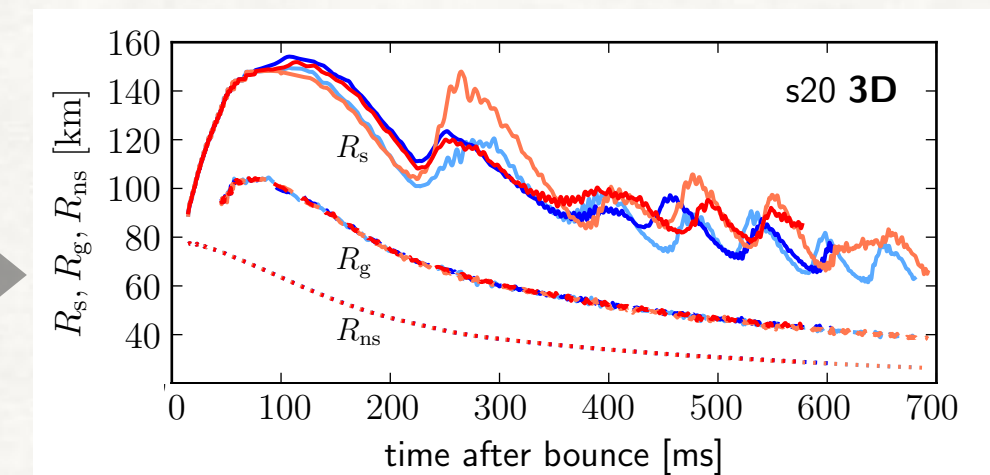
AND IN 3D? MUCH SMALLER IMPACT OF RbR

(Glas, OJ, Janka, Obergaulinger 2019, all simulations done with ALCAR code)

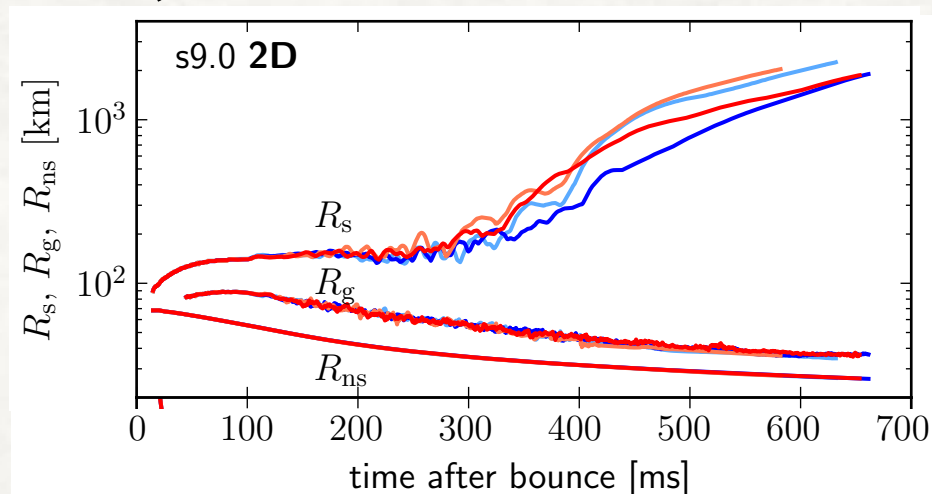
S20, 2D:



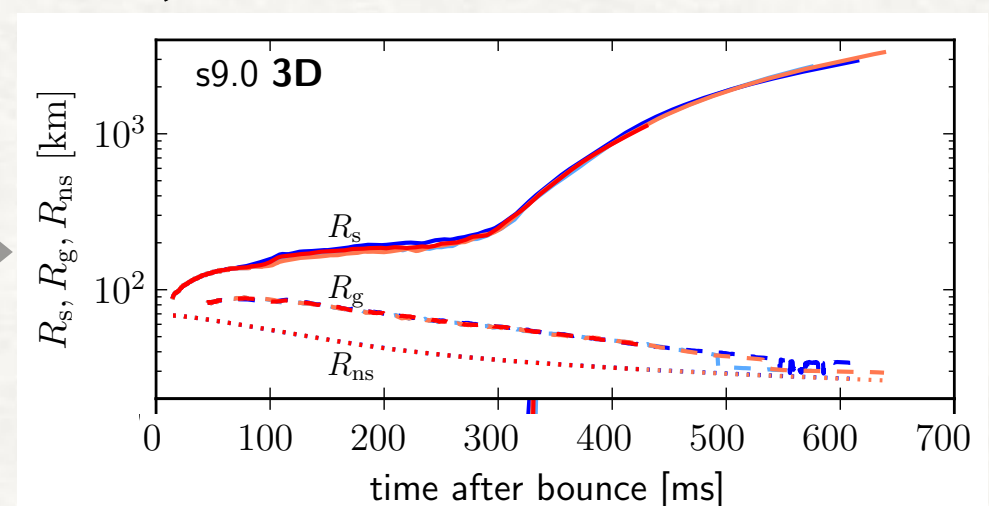
S20, 3D:



S9, 2D:



S9, 3D:



- ➡ overall **weaker oscillation amplitudes** in 3D than in 2D
- ➡ **small impact of RbR** in 3D
- ➡ **lends credibility** to most existing RbR models

- FMD L \wo RbR, low resolution
- FMD H \wo RbR, high resolution
- RbR+ L \w RbR, low resolution
- RbR+ H \w RbR, high resolution

Take Home Messages

- NS mergers produce a **variety of different outflow components**, each with individual nucleosynthesis signature as well as EM signal
- reliable determination of ejecta properties from multi-messenger observations calls for **reliable and robust neutrino schemes** (also holds for CCSNe)
- new scheme “ILEAS” incorporates important effects due to **equilibration, neutrino absorption, and diffusion** in a computationally efficient leakage method
- first results look qualitatively consistent with previous works, but still need to find reason for quantitative differences
- we tested the commonly employed **ray-by-ray (RbR) approximation** for CCSNe in 2D and 3D
- RbR **facilitates explosions in 2D**, at least in sloshing-dominated (“SASI”) cases
- in 3D **almost no sensitivity to RBR** because of absence of artificial symmetry axis