

# Non-standard self-interactions of neutrinos

## Impact on supernova neutrino oscillations

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Supernova neutrinos at the crossroads  
ECT\* workshop, Trento, May 14th, 2019

# Why NSSI...

... when the SM collective effects are already so complicated ?

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- May affect neutrino signal from a SN
- May affect neutrino oscillations → SN explosion
- Insights into symmetries / mathematical structures

# NSI effects on SN neutrino oscillations

- 1 The formalism
- 2 Box spectrum insights and neutronization burst
- 3 Fast conversions: linear stability analysis
- 4 Interplay of fast and slow oscillations

# Non-standard self-interactions (NSSI)

The new effective 6-dim operator

$$G_F \left( G^{\alpha\beta} \bar{\nu}_{L\alpha} \gamma^\mu \nu_{L\beta} \right) \left( G^{\zeta\eta} \bar{\nu}_{L\zeta} \gamma_\mu \nu_{L\eta} \right)$$

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Effective coupling matrix  $G^{\alpha\beta}$  (two-flavor,  $e$  and  $x$ ):

$$G = \begin{bmatrix} 1 + \gamma_{ee} & \gamma_{ex} \\ \gamma_{ex}^* & 1 + \gamma_{xx} \end{bmatrix}$$

Standard Model:  $\gamma_{\alpha\beta} = 0$

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Effective  $\nu - \nu$  Hamiltonian

$$\begin{aligned} \mathcal{H}_p^{\nu\nu} = & \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (1 - \mathbf{v}_p \cdot \mathbf{v}_q) \left\{ G(\varrho_q - \bar{\varrho}_q) G \right. \\ & \left. + G \operatorname{Tr} [(\varrho_q - \bar{\varrho}_q) G] \right\} \end{aligned}$$

Blennow, Mirizzi, Serpico 2008

# Bounds on NSSI from experiments

Direct constraints:  $|\gamma_{\alpha\beta}| \lesssim \mathcal{O}(0.1 - 1)$

- Flavor physics  
Bardeen, Bilenky, Pontecorvo 1970; Bakhti, Farzan, 2017
- Supernova 1987A bounds Kolb, Turner 1987
- Invisible Z width Bilenky, Bilenky, Santamaria 1993  
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## Constraints through gauge invariance

- Bounds on NSI with charged fermions:  $|\gamma_{\alpha\beta}| \lesssim \mathcal{O}(0.02 - 1)$   
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Gonzalez-Garcia, Maltoni, Schwetz 2016
- From electron-electron scattering:  $|\gamma_{\alpha\beta}| \lesssim \mathcal{O}(0.01)$
- Can be evaded by constructing models with higher-dim operators

Farzan, Heeck 2016

# Notations and normalizations

## Pauli vectors

$$\begin{aligned}\mathcal{H}_{\mathbf{p}}^{\text{vac}} &= \frac{1}{2} (\omega_0 \mathbb{I} + \omega_{\mathbf{p}} \mathbf{B} \cdot \boldsymbol{\sigma}), & \mathcal{H}^{\text{MSW}} &= \frac{1}{2} (\lambda \mathbb{I} + \lambda \mathbf{L} \cdot \boldsymbol{\sigma}), \\ \varrho_{\mathbf{p}} &= \frac{1}{2} (f_{\mathbf{p}} \mathbb{I} + n_{\bar{\nu}} \mathbf{P}_{\mathbf{p}} \cdot \boldsymbol{\sigma}), & \bar{\varrho}_{\mathbf{p}} &= \frac{1}{2} (\bar{f}_{\mathbf{p}} \mathbb{I} + n_{\bar{\nu}} \bar{\mathbf{P}}_{\mathbf{p}} \cdot \boldsymbol{\sigma})\end{aligned}$$

## Normalizations

$$n_{\nu} \equiv \int d^3 \mathbf{p} f_{\mathbf{p}}, \quad n_{\bar{\nu}} \equiv \int d^3 \mathbf{p} \bar{f}_{\mathbf{p}} \quad |\bar{\mathbf{P}}_{\mathbf{p}}| = 1$$

- $\mathbf{B} = (\sin 2\vartheta_0, 0, -\cos 2\vartheta_0)$
- $\mathbf{L} = (0, 0, 1)$
- Collective potential  $\mu$ :  $\mu \equiv \sqrt{2} G_F n_{\bar{\nu}}$
- Neutrino-antineutrino asymmetry  $\xi$ :  $n_{\nu} = (1 + \xi) n_{\bar{\nu}}$

# Effect of the new coupling matrix $G$

Pauli vector for  $G$

$$G = \frac{1}{2} (g_0 \mathbb{I} + \mathbf{g} \cdot \boldsymbol{\sigma})$$

SM:  $G = I$ , i.e.  $g_0 = 2, \mathbf{g} = 0$

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Equations of motion:

$$\begin{aligned}\dot{\mathbf{P}}_{\mathbf{p}} &= (\omega_{\mathbf{p}} \mathbf{B} + \lambda \mathbf{L} + \Omega_{\mathbf{p}}^{\nu\nu}) \times \mathbf{P}_{\mathbf{p}}, \\ \dot{\overline{\mathbf{P}}}_{\mathbf{p}} &= (-\omega_{\mathbf{p}} \mathbf{B} + \lambda \mathbf{L} + \Omega_{\mathbf{p}}^{\nu\nu}) \times \overline{\mathbf{P}}_{\mathbf{p}}\end{aligned}$$

$$\begin{aligned}\mathcal{H}_{\mathbf{p}}^{\nu\nu} &= \mu \int \frac{d^3 \mathbf{q}}{(2\pi)^3} (1 - \mathbf{v}_{\mathbf{p}} \cdot \mathbf{v}_{\mathbf{q}}) \left\{ \frac{1}{4} \left( g_0^2 - |\mathbf{g}|^2 \right) (\mathbf{P}_{\mathbf{q}} - \overline{\mathbf{P}}_{\mathbf{q}}) + \right. \\ &\quad \left. [g_0 \xi + \mathbf{g} \cdot (\mathbf{P}_{\mathbf{q}} - \overline{\mathbf{P}}_{\mathbf{q}})] \mathbf{g} \right\}\end{aligned}$$

# Rescaling to focus on two parameters

## Rescaling

$$\mu \rightarrow \mu (g_0/2)^2, \quad \mathbf{g} \rightarrow \frac{\mathbf{g}}{(g_0/2)}, \quad g_0 \rightarrow \frac{g_0}{(g_0/2)} = 2$$

- $g_0$  normalized to 2
- $g_2$  rotated away by redefinition of  $\nu_x$  phase
- $g_1$ : flavor-conserving (FP) NSSI
- $g_3$ : flavor-violating (FV) NSSI

$$\begin{aligned}\mathcal{H}_{\mathbf{p}}^{\nu\nu} = \mu \int \frac{d^3\mathbf{q}}{(2\pi)^3} (1 - \mathbf{v}_\mathbf{p} \cdot \mathbf{v}_\mathbf{q}) & \left\{ \left( 1 - \frac{|\mathbf{g}|^2}{4} \right) (\mathbf{P}_\mathbf{q} - \bar{\mathbf{P}}_\mathbf{q}) \right. \\ & \left. + [2\xi + \mathbf{g} \cdot (\mathbf{P}_\mathbf{q} - \bar{\mathbf{P}}_\mathbf{q})] \mathbf{g} \right\}.\end{aligned}$$

$$G = \begin{bmatrix} 1 + g_3 & g_1 \\ g_1 & 1 - g_3 \end{bmatrix}. \quad \mathbf{g} = (g_1, 0, g_3)$$

# The flavor pendulum analogy (a diversion)

EoMs maintain their form under:

$$\mu \rightarrow \tilde{\mu} \equiv \mu \left( 1 - |\mathbf{g}|^2 / 4 \right), \quad \lambda \mathbf{L} \rightarrow \tilde{\lambda} \tilde{\mathbf{L}} \equiv \lambda \mathbf{L} + \mu (2\xi + \mathbf{D} \cdot \mathbf{g}) \mathbf{g}$$

$\tilde{\mathbf{L}}$  normalized to unity

Spherical pendulum in  $Q$  (when  $\tilde{\lambda} = 0$ )

$$\mathbf{Q} \equiv \mathbf{S} - (\omega / \tilde{\mu}) \mathbf{B}, \quad \mathbf{S} \equiv \mathbf{P} + \bar{\mathbf{P}}, \quad \mathbf{D} \equiv \mathbf{P} - \bar{\mathbf{P}}$$

EoMs for  $Q$ :

$$\begin{aligned}\dot{\mathbf{Q}} &= \tilde{\mu} \mathbf{D} \times \mathbf{Q} + \tilde{\lambda} \tilde{\mathbf{L}} \times \mathbf{S} \\ \dot{\mathbf{D}} &= \omega \mathbf{B} \times \mathbf{Q} + \tilde{\lambda} \tilde{\mathbf{L}} \times \mathbf{D}\end{aligned}$$

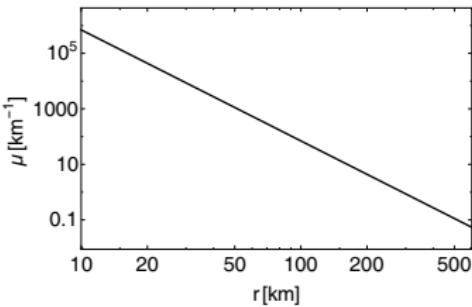
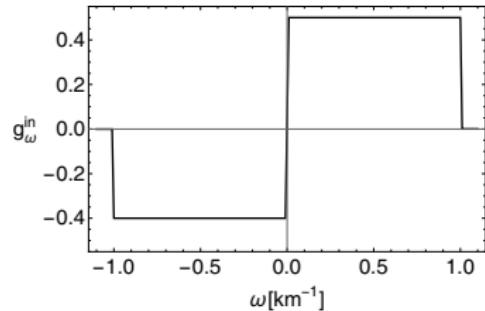
- When  $\tilde{\lambda} = 0$ ,  $|\mathbf{Q}|$  is conserved
- $\mathbf{Q}$  a spherical pendulum in the flavor space, with length  $|\mathbf{Q}|$ .



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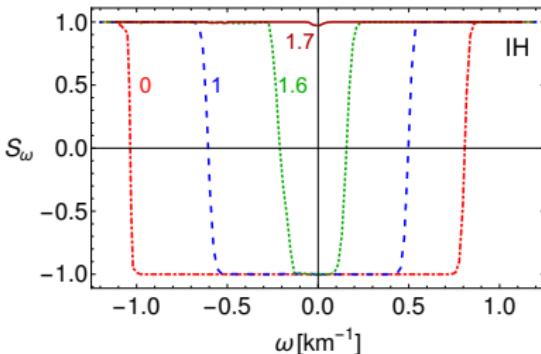
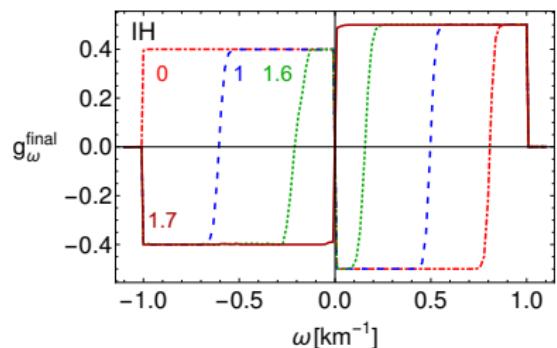
# Box spectrum: Initial conditions and evolution



$$\mu = 7.5 \times 10^5 \text{ km}^{-1} \left( \frac{r_0}{r} \right)^4, \quad r > r_0, \quad (1)$$

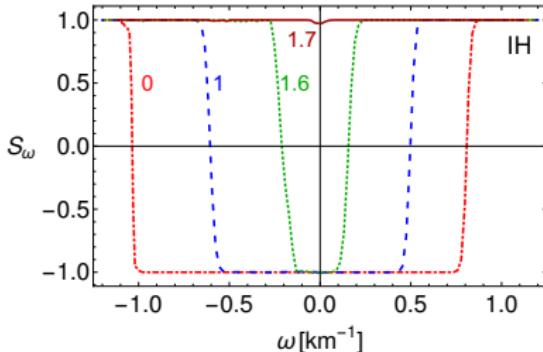
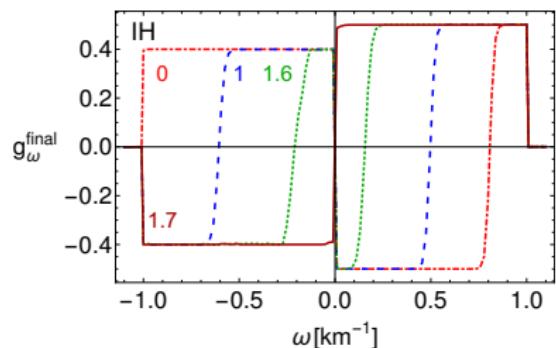
$r_0 = 10 \text{ km}$ ,  $\omega = 0.3 \text{ km}^{-1}$ ,  $E \simeq 20 \text{ MeV}$ , Flux asymmetry  $\xi = 20\%$

# FP-NSSI ( $g_3$ ): pinching of spectral swaps



$g_3$  values shown in the figures

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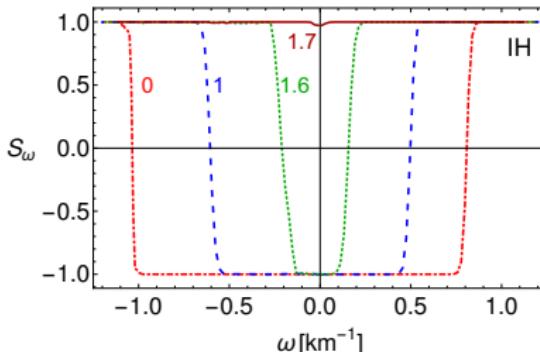
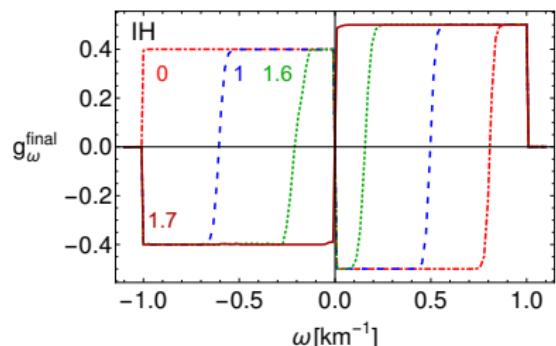


$g_3$  values shown in the figures

- NSSI terms act like a matter potential:

$$\bar{\lambda} = \lambda + u[\lambda + \mu\xi(1 - g_1^2 + 3g_3^2 + 4g_3)]$$

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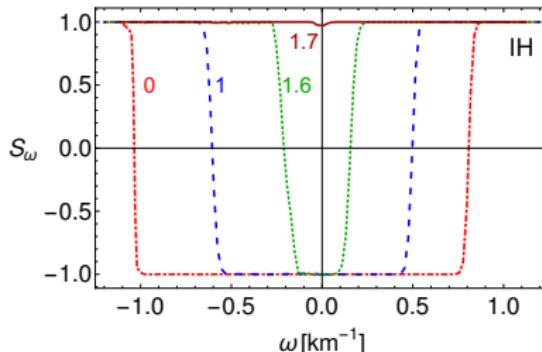
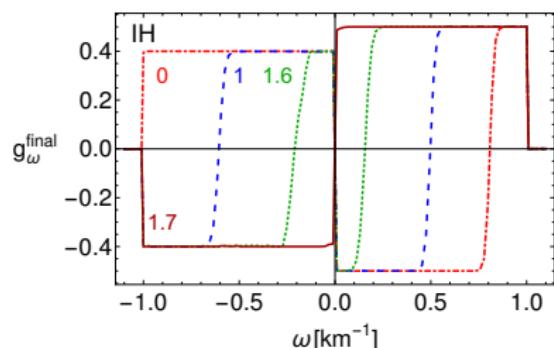
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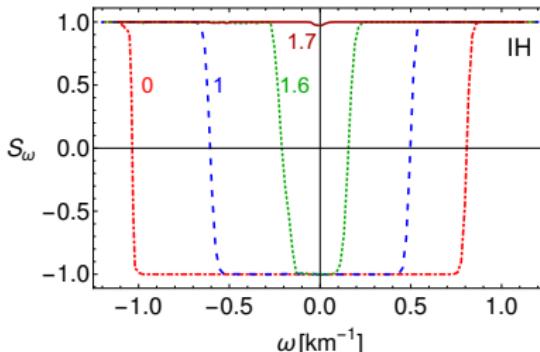
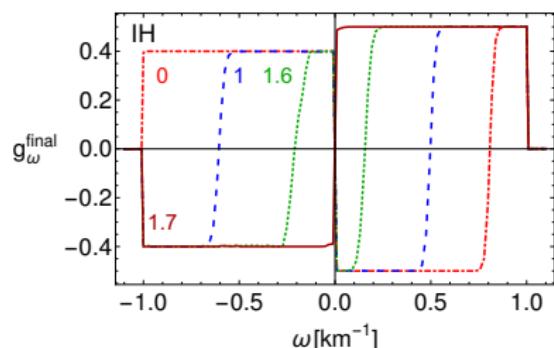
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- $\frac{d}{dt}\mathbf{B} \cdot \mathbf{D} = \tilde{\lambda} [\mathbf{B} \mathbf{g} \mathbf{D}]$

$\mathbf{B} \cdot \mathbf{D}$  approximately conserved since  $\vartheta \approx 0$

Raffelt, Smirnov 2007; Dasgupta, AD, Raffelt, Smirnov 2009

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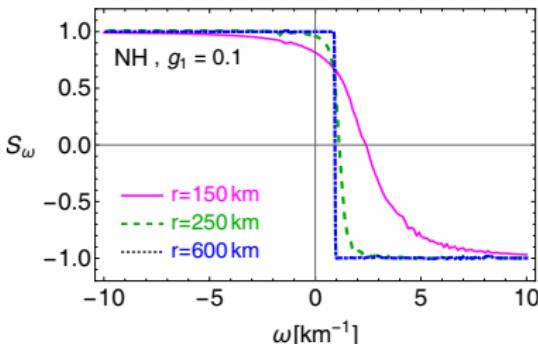
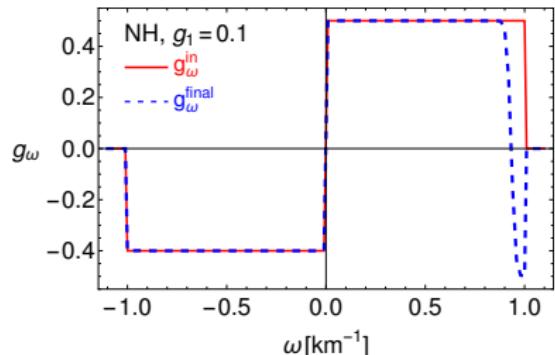
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Raffelt, Smirnov 2007; Dasgupta, AD, Raffelt, Smirnov 2009

- No swap for  $g_3 > 2$  (flavor pendulum)

# FV-NSSI ( $g_1$ ): swaps develop where they should not !

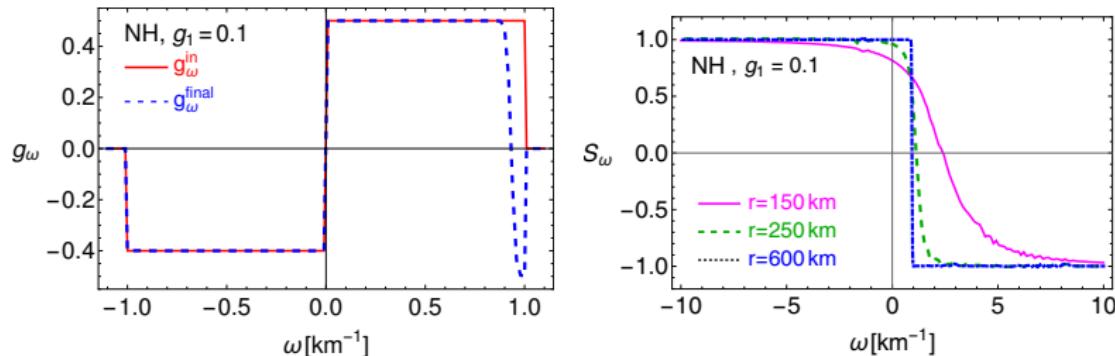


- $\mathbf{B} \cdot \mathbf{D}$  not conserved even when  $\vartheta = 0$ :

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(That wisdom was due to  $\mathbf{B} \cdot \mathbf{D}$  conservation)

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- Swaps do not have to develop about spectral crossing !  
(That wisdom was due to  $\mathbf{B} \cdot \mathbf{D}$  conservation)
- Swaps can start developing way beyond the spectrum !

# Neutronization burst: common wisdom

## Neutronization burst: a clean signal ?

- Only  $\nu_e$  so no collective effects
- Clean way of determining mass ordering:  
 $P_{ee} \approx 0.03 \Rightarrow$  Normal,  $P_{ee} \approx 0.3 \Rightarrow$  Inverted
- Energy-dependence of  $P_{ee}$   $\Rightarrow$  MSW-prepared spectral splits (O-Ne-Mg supernovae)

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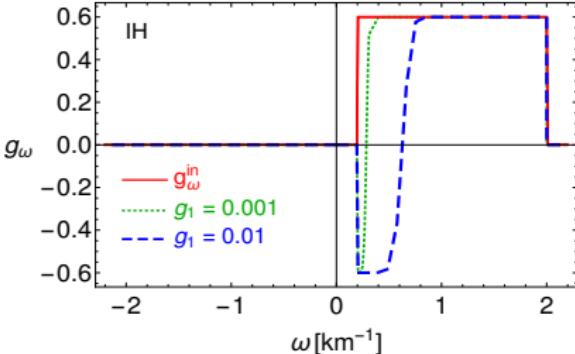
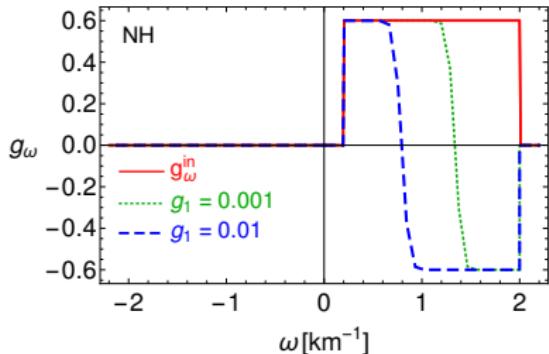
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Flavor lepton number non-conservation  $\Rightarrow$

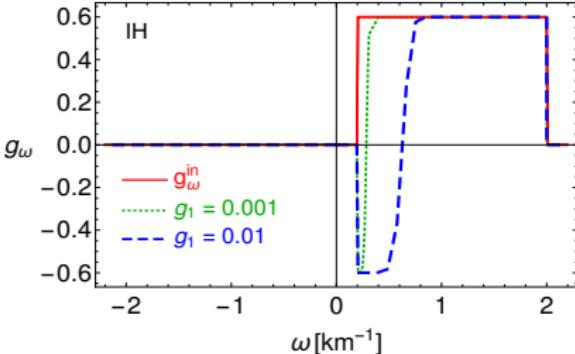
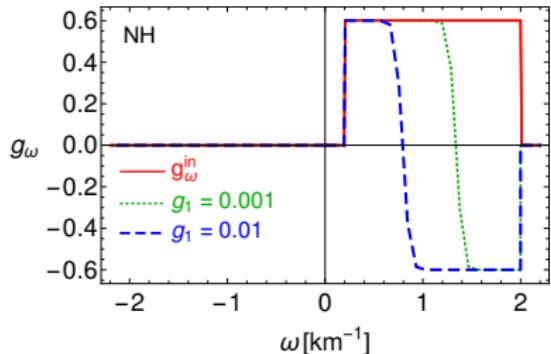
New collective effects and signals !

# FV-NSSI effects on only- $\nu_e$ spectra

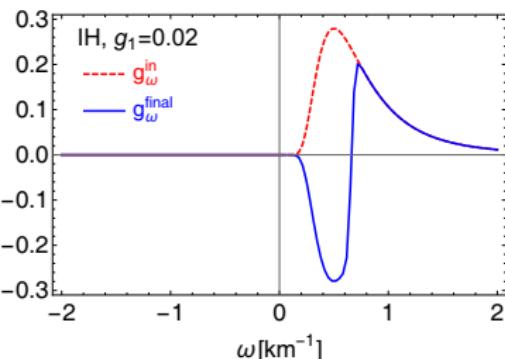
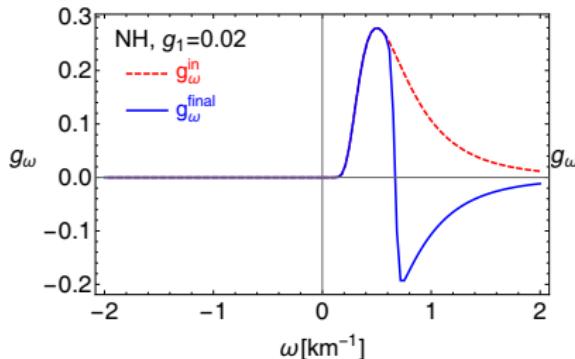


Spectral split possible, in both orderings !

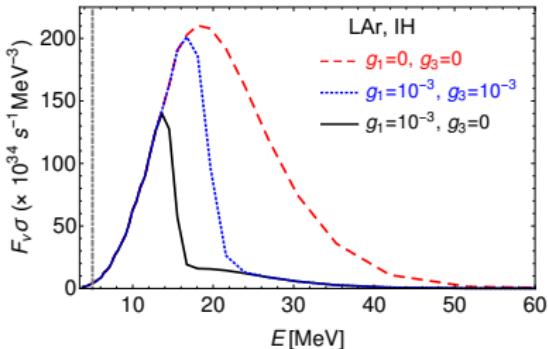
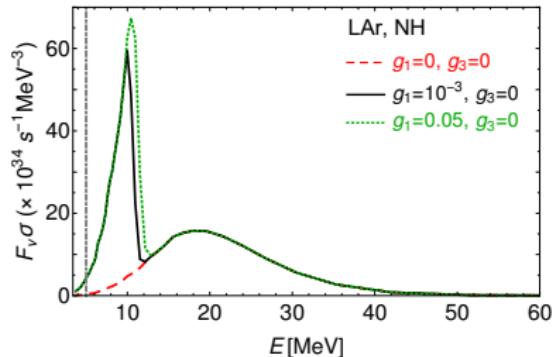
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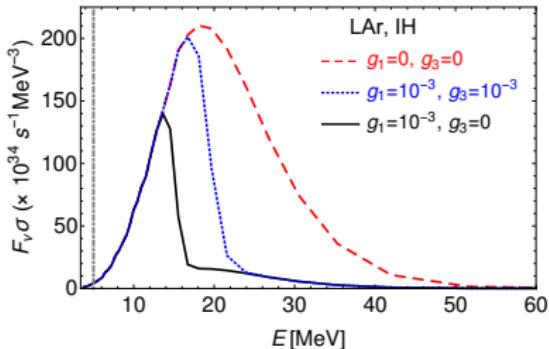
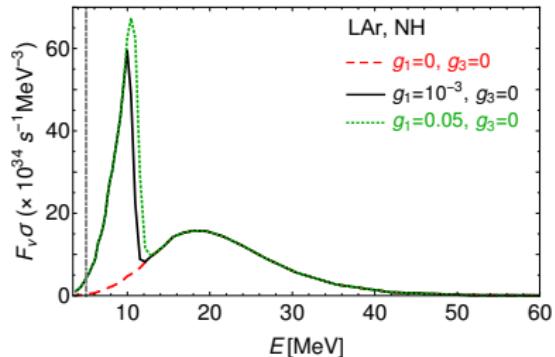
# Observation of the neutronization burst at LAr detector



- Possible to get similar-looking signals in both orderings
- Split may mimic lower-energy  $\nu_e$  flux
- Data analysis needs to be done with caution.

Das, AD, Sen 2017

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Re-emphasizes the importance of neutronization burst

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# Linear stability analysis

## Flux and its normalization

$$F_{\omega,u} d\omega du = 2\pi r^2 v_{r,u} \varrho p \frac{d^3 p}{(2\pi)^3}$$

- Rescaling  $F \rightarrow f$ :  $\int d\Gamma [f_{\omega,u}^{\bar{e}}(R) - f_{\omega,u}^{\bar{x}}(R)] = 1$

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$$f_{\omega,u} = \frac{\text{Tr}(f_{\omega,u})}{2} + \frac{g_{\omega,u}}{2} \begin{pmatrix} s_{\omega,u} & S_{\omega,u} \\ S_{\omega,u}^* & -s_{\omega,u} \end{pmatrix}$$

$$g_{\omega,u} = \begin{cases} f_{\omega,u}^e - f_{\omega,u}^x & \text{for } \omega > 0 \\ f_{\omega,u}^x - f_{\omega,u}^e & \text{for } \omega < 0 \end{cases} ,$$

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## Linear stability analysis in SM

- Find linearized EoMs for  $S_{\omega,u}$   $\Rightarrow$  eigenvalue equations
- Look for solutions that are unstable (grow as  $e^{\alpha t}$ )  
Banerjee, AD, Raffelt 2011
- Types of instabilities, dispersion relations, ...

Izquierre, Raffelt, Tamborra 2017; Capozzi, Dasgupta, Lisi, Marrone, Mirizzi 2017; Yi, Ma, Martin, Duan 2019

# No more an eigenvalue problem !

## Linearized EOMs for $S_{\omega,u}$

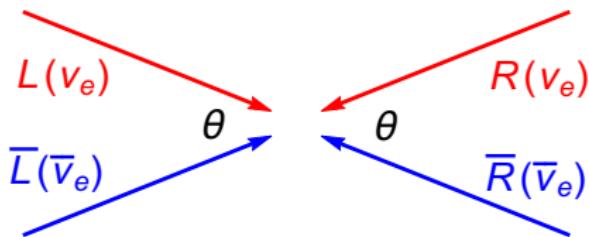
$$i\partial_r S_{\omega,u} = (\omega + \lambda_r) v_{u,r}^{-1} S_{\omega,u} \\ + (1 - g_1^2 + 3g_3^2 + 4g_3) \int d\Gamma' X_{\omega,u,r,u',r'} S_{\omega,u} \\ - \int d\Gamma' X_{\omega,u,r,u',r'} \left[ (1 + g_1^2 - g_3^2) S_{\omega',u'} + 2g_1^2 S_{\omega',u'}^* + 4g_1 g_3 \right]$$

$$\lambda_r \equiv \sqrt{2} G_F n_e(r), \quad v_{u,r} \equiv \sqrt{1 - uR^2/r^2} \\ X_{\omega,u,r,u',r'} \equiv \frac{\sqrt{2} G_F [F_{\omega,u}^{\bar{e}}(R) - F_{\omega,u}^{\bar{x}}(R)]}{4\pi r^2} \frac{(1 - v_{u,r} v_{u',r'})}{v_{u,r} v_{u',r'}} g_{\omega',u'}$$

- Not an eigenvalue equation if  $g_1$  nonzero (FV- NSSI)
- If both  $g_1$  and  $g_3$  nonzero, even linear growth possible !

# The intersecting four-beam model (constant $\mu$ )

SHO of fast oscillations:



- Fluxes for  $Q_L, Q_{\bar{L}}, Q_R, Q_{\bar{R}}$ :

$$g_R = g_L = \frac{1}{2}(1 + a) , \quad g_{\bar{R}} = g_{\bar{L}} = -\frac{1}{2}(1 - a)$$

- Two parameters describing fluxes (if homogeneous):  
**Asymmetry  $a$ , Angle  $\theta$**
- If non-homogeneous fluxes, more parameters: moments...  
Chakraborty, Hansen, Izaguerre, Raffelt 2016; Dasgupta, Sen 2018

# Discretized linearized EoM

$$i(\partial_t + \mathbf{v}_p \cdot \nabla) S_p = (w + \lambda) S_p$$

$$+ \mu (1 - g_1^2 + 3g_3^2 + 4g_3) \sum_{\mathbf{q}} (1 - \mathbf{v}_p \cdot \mathbf{v}_q) g_q \Big] S_p$$

$$- \mu \sum_{\mathbf{q}} (1 - \mathbf{v}_p \cdot \mathbf{v}_q) g_q \left[ S_q + (g_1^2 - g_3^2) S_q + 2g_1^2 S_q^* + 4 g_1 g_3 \right]$$

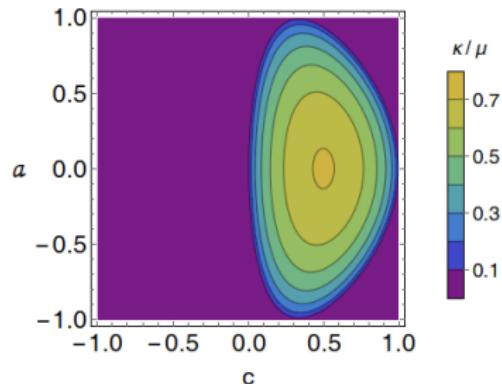
$\mathbf{q}$ : the other three modes

“Eigenmodes” when only  $g_1$  or  $g_3$  present:

- $Q_{\pm} \equiv (Q_L \pm Q_R)/2$
- $\bar{Q}_{\pm} \equiv (\bar{Q}_L \pm \bar{Q}_R)/2$
- $Q_+$ : L-R symmetric,  $Q_-$ : L-R symmetry breaking

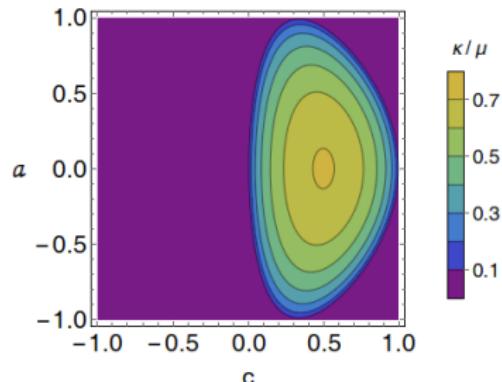
Chakraborty, Hansen, Izaguerre, Raffelt 2016; Dasgupta, Sen 2018

# Instabilities in $(Q_-, \bar{Q}_-)$ solution

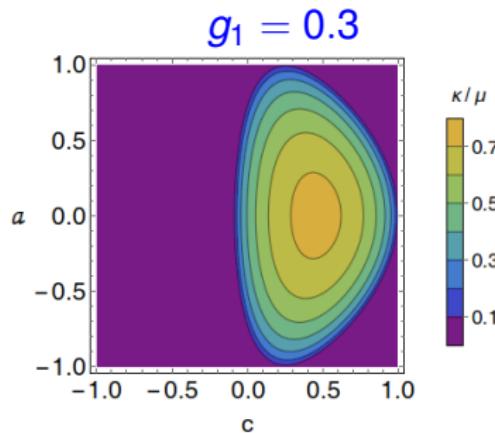
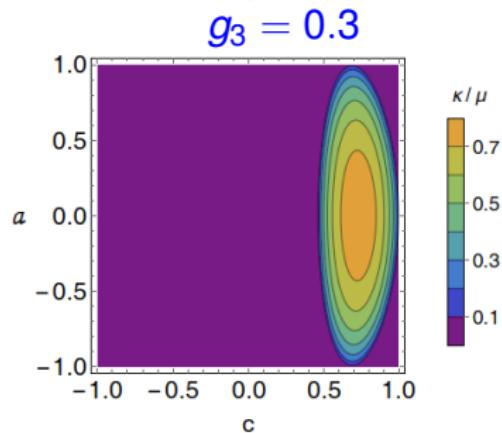


SM:  
instability only for  
 $c \equiv \cos \theta > 0$

# Instabilities in $(Q_-, \bar{Q}_-)$ solution

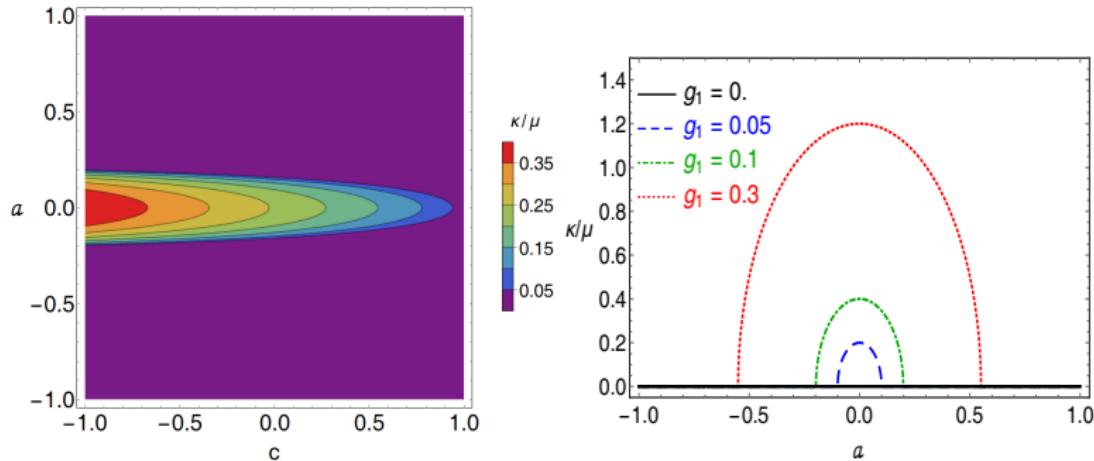


SM:  
instability only for  
 $c \equiv \cos \theta > 0$



- Fast oscillations possible for  $\theta$  obtuse angle (with FV-NSSI)

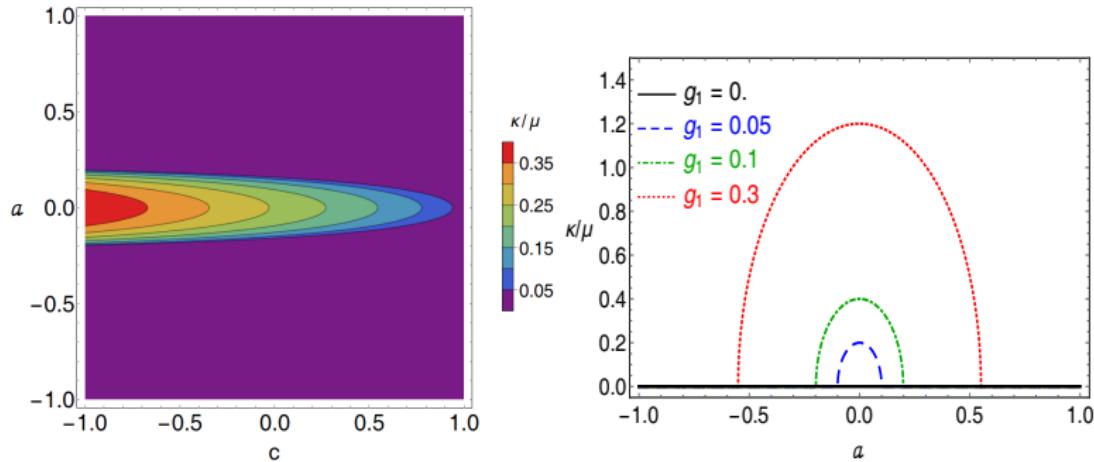
# Instabilities in $(Q_+, \bar{Q}_+)$ solution



- Instability possible with / without  $\nu-\bar{\nu}$  asymmetry
- Instability possible with  $\cos\theta = -1$ ,  
i.e. with two opposing  $\nu$  and  $\bar{\nu}$  beams !

AD, Sen 2018

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AD, Sen 2018

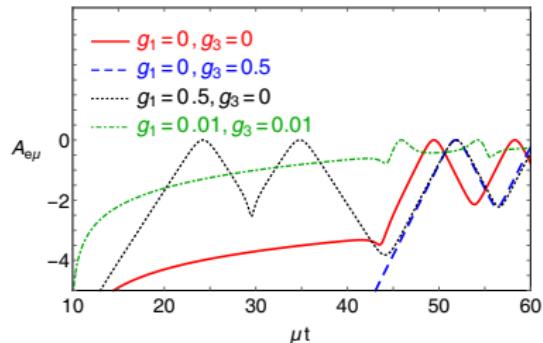
- SM: Instability with two beams needs inhomogeneities  
Chakraborty, Hansen, Izaguerre, Raffelt 2016
- FV-NSSI performs the job of symmetry-breaking ??

# NSI effects on SN neutrino oscillations

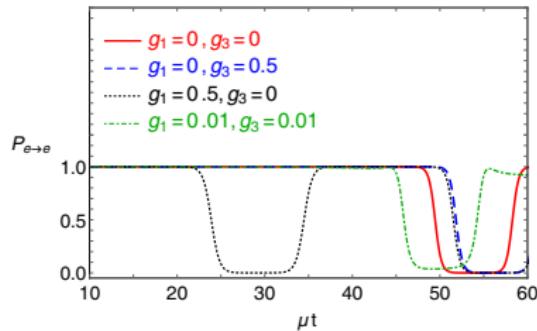
- 1 The formalism
  - 2 Box spectrum insights and neutronization bursts
  - 3 Fast conversions: linear stability analysis
  - 4 Interplay of fast and slow oscillations

# Effect of NSSI on onset time (four-beam model)

$$A_{e\mu} = \log_{10}|S|$$



$$P_{e \rightarrow e}$$

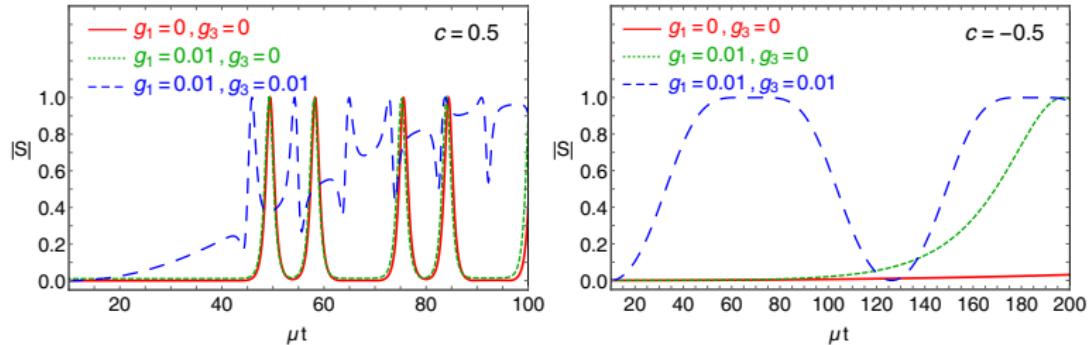


$$a = 0, c = 0.5, \omega/\mu_R = 10^{-5}, \vartheta_0 = 10^{-2}$$

- FV-NSSI ( $g_1$ ): extremely early onset
- FP-NSSI ( $g_3$ ): slightly delayed onset
- Both  $g_1$  and  $g_3$ : early linear increase in  $S$ , substantial even for very low  $g_1, g_3$  values

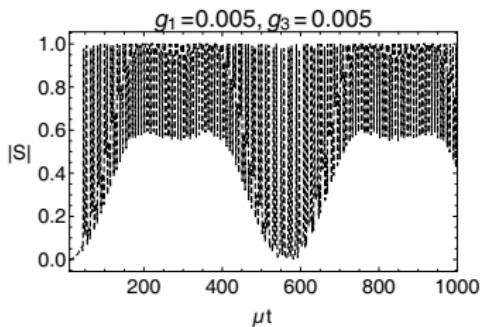
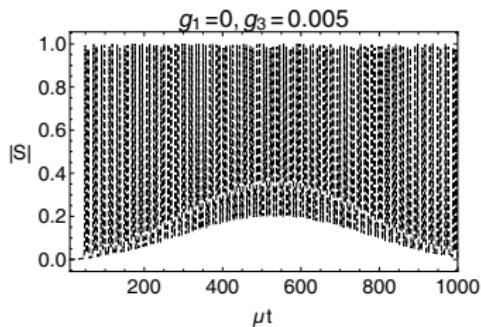
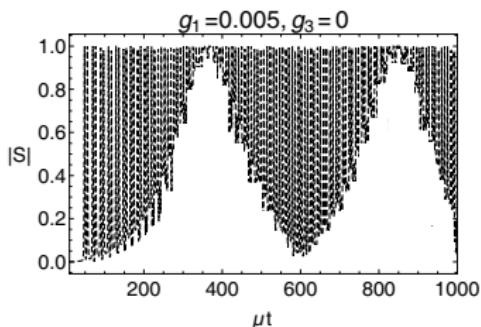
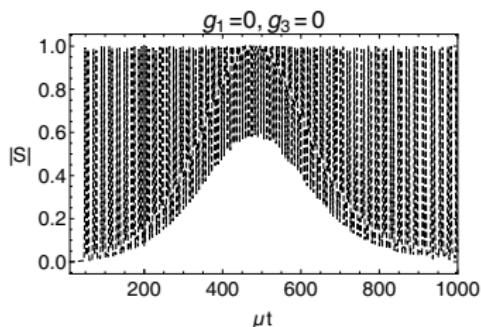
AD, Sen 2018

# Fast vs slow oscillations



- Linear increase in  $|S|$  when both  $g_1$  and  $g_3$  present
- When fast oscillations not expected, slow oscillations are still seeded much earlier

# Long-time behaviour (four-beam model, constant $\mu$ )



- Slow oscillations modulate fast oscillations

# Concluding remarks

Non-standard self-interactions (NSSI) of neutrinos can:

- Pinch / suppress spectral swaps (FP-NSSI)
- Violate flavor-lepton number even for  $\vartheta_0 = 0$  (FV-NSSI)
- Make spectral swaps possible without spectral crossing / with only  $\nu_e$
- Significantly alter neutronization burst signatures
- Prevent linear stability analysis from leading to an eigenvalue problem
- Allow instabilities to grow in previously disallowed regions
- Allows instability for two intersecting homogeneous  $\nu$  and  $\bar{\nu}$  beams
- Advance/delay the onset of oscillations