



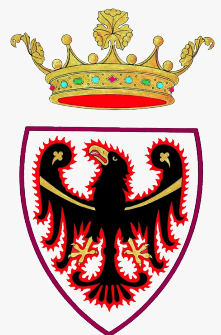
UNIVERSITÀ DEGLI STUDI  
DI TRENTO

# Superradiant effects in BECs: amplification and instabilities

Luca Giacomelli

ECT\* workshop

24 July 2019



# Analogue gravity in BECs

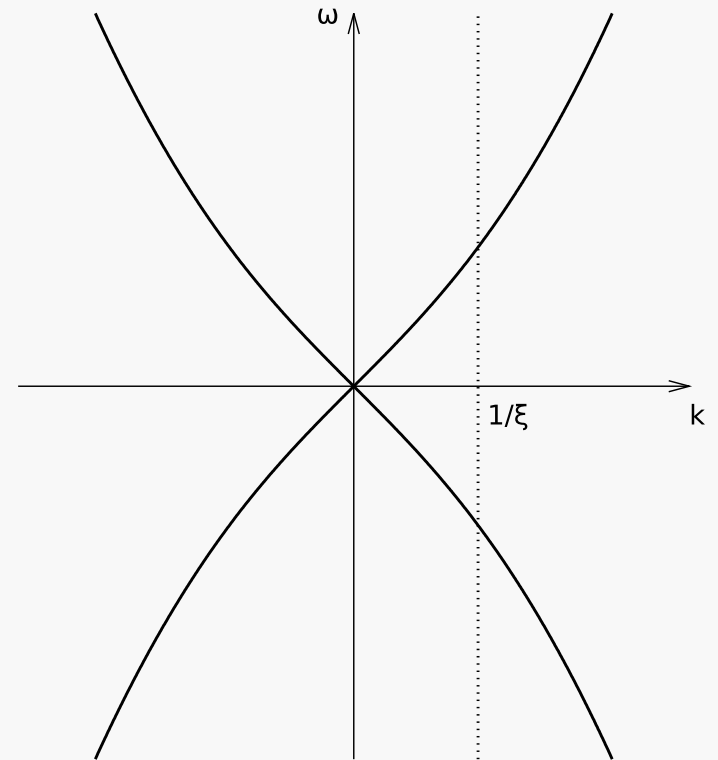
- Gross-Pitaevskii equation:  $i\hbar\partial_t\psi = \left(-\frac{\hbar^2\nabla^2}{2M} + V_{\text{ext}} + g|\psi|^2\right)\psi$

$$\psi(t, \mathbf{x}) = \sqrt{n(t, \mathbf{x})}e^{i\Theta(t, \mathbf{x})} ; \quad \mathbf{v} = \frac{\hbar\nabla\Theta}{M} ; \quad c_s = \sqrt{\frac{gn}{M}}$$

- Linear perturbations (Bogoliubov):

$$\hat{\psi} = \psi_0 \left( 1 + \frac{\delta\hat{n}}{n} + i\delta\hat{\Theta} \right)$$

$$\omega = \pm c_s \sqrt{k^2 + \frac{\xi^2 k^4}{4}}$$



# Analogue gravity in BECs

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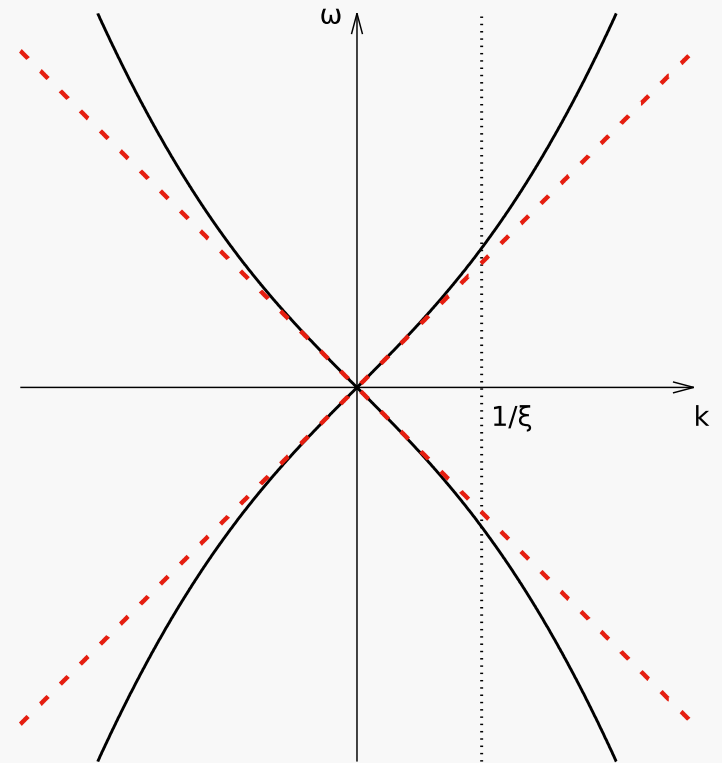
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Hydrodynamic approximation

$$\lambda \gg \xi = \frac{\hbar}{Mc_s}$$

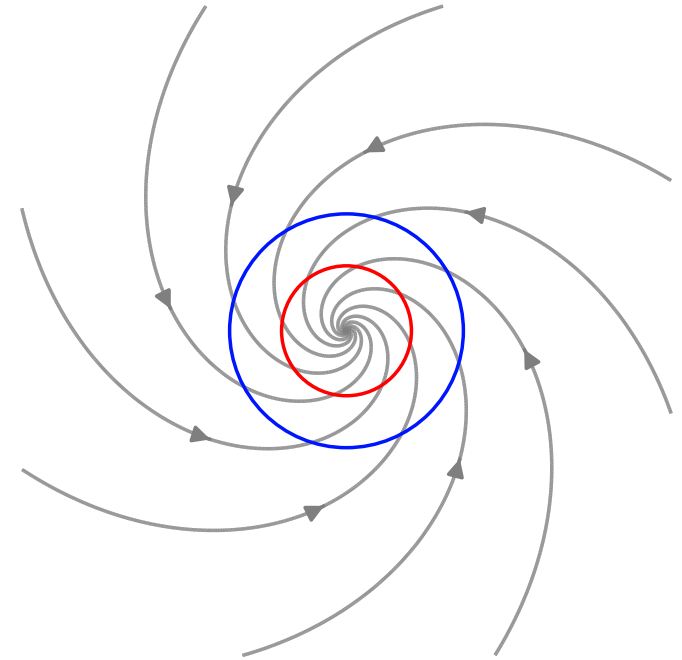
$$\square(\delta\Theta) = \nabla_\mu \nabla^\mu (\delta\Theta) = 0$$



# Rotating acoustic BH: the vortex geometry

$$\mathbf{v} = \frac{A}{r} \hat{r} + \frac{B}{r} \hat{\theta}$$

$$g_{\mu\nu} \propto \begin{bmatrix} -\left(c_s^2 - \frac{A^2+B^2}{r^2}\right) & 0 & -B \\ 0 & \frac{r^2 c_s^2}{r^2 c_s^2 - A^2} & 0 \\ -B & 0 & r^2 \end{bmatrix}$$

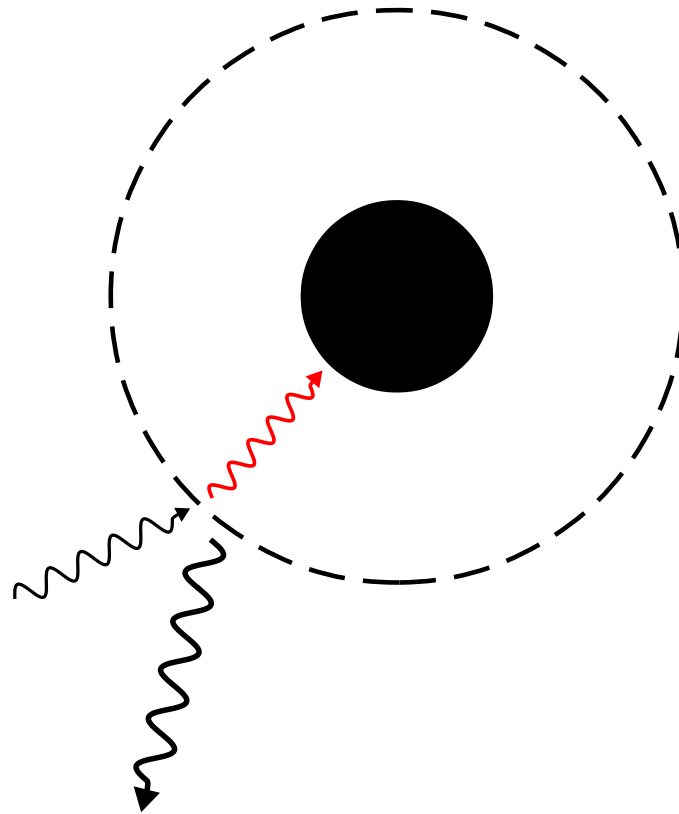


- Acoustic horizon:  $r_H^2 = \frac{A^2}{c_s^2}$   $v_r = c_s$

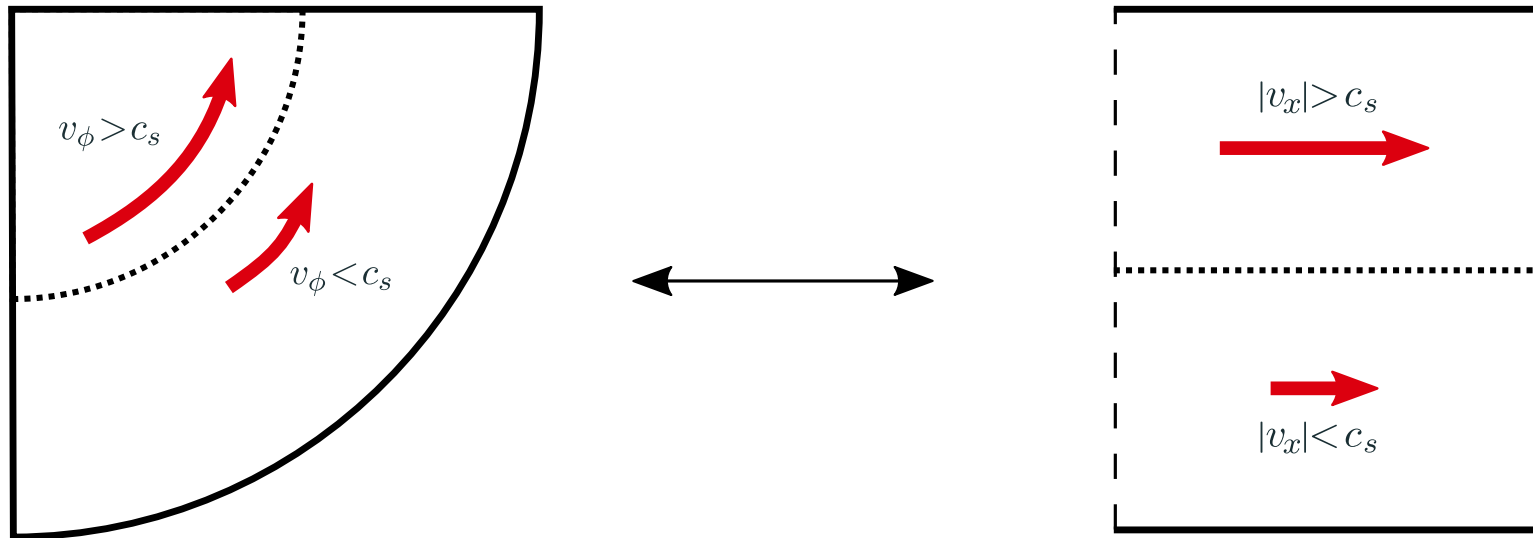
- Ergosurface:  $r_E^2 = \frac{A^2 + B^2}{c_s^2}$   $|v| = c_s$

# Superradiance

- Amplified reflection by transmission of negative energy



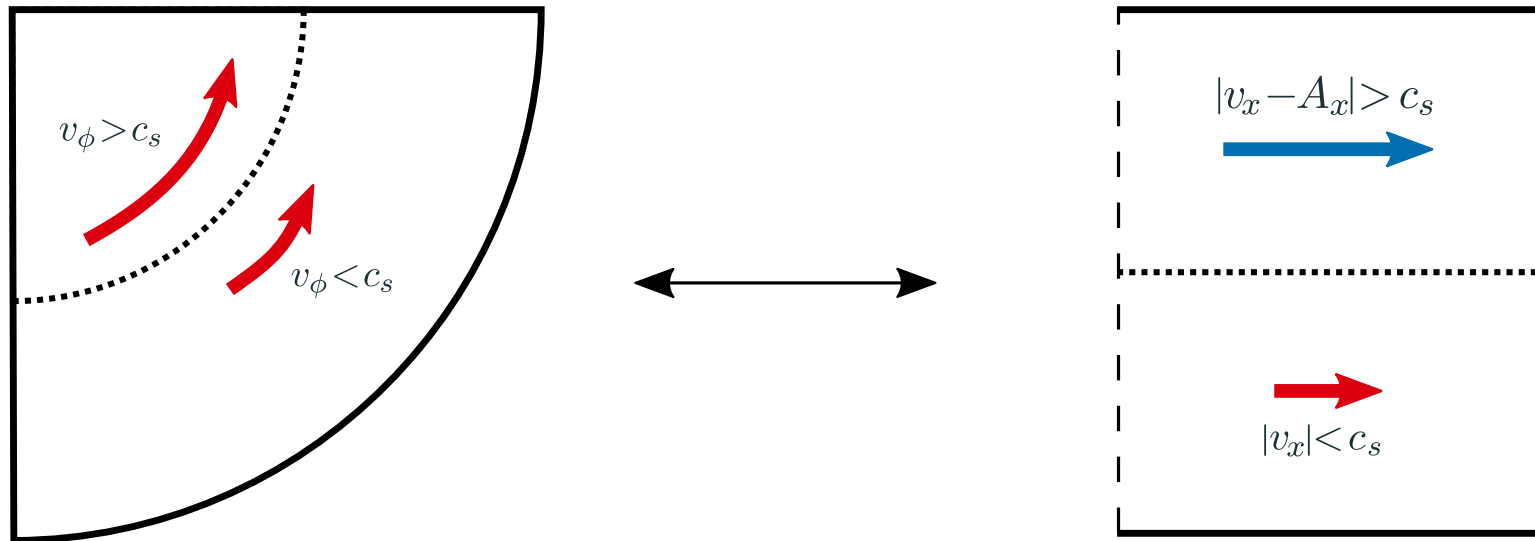
# A toy model to understand superradiance



- Can we really do this?

$$\mathbf{v} = \frac{\hbar \nabla \Theta}{M} \implies \nabla \times \mathbf{v} = 0$$

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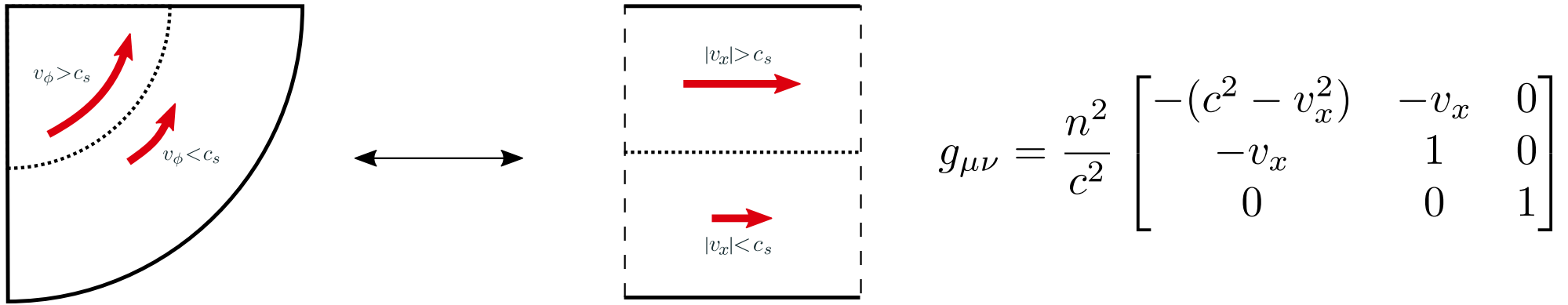
- We can play with synthetic gauge fields:

$$\mathbf{v} = \frac{\hbar \nabla \Theta - \mathbf{A}}{M}$$

For us it is a trick to get rotational flow.

For an introduction Dalibard et al. RMP 2011

# A toy model to understand superradiance

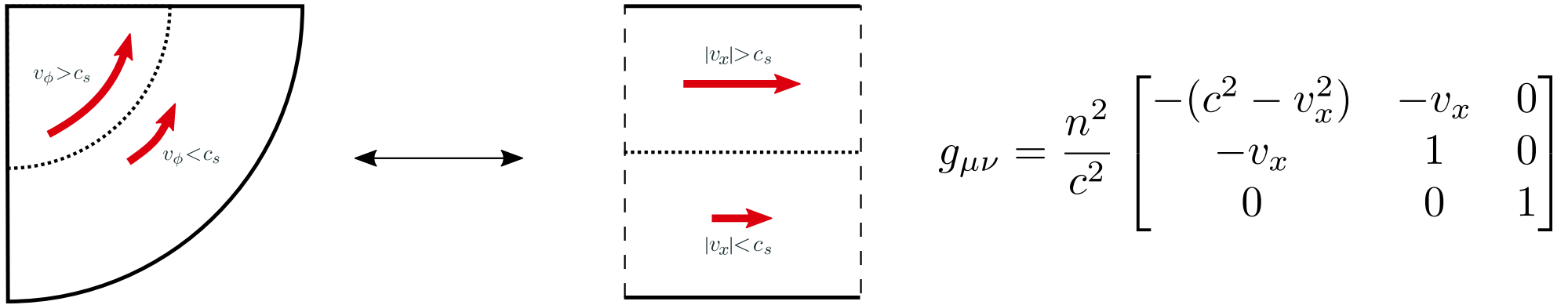


- Translational invariance along  $x$ :  $\phi(t, x, y) = e^{ik_x x} \phi(t, y)$
- Klein-Gordon equation becomes:

$$-\left(\frac{1}{c}\partial_t + i\frac{v_x}{c}k_x\right)^2 \phi + \partial_y^2 \phi - k_x^2 \phi = 0$$



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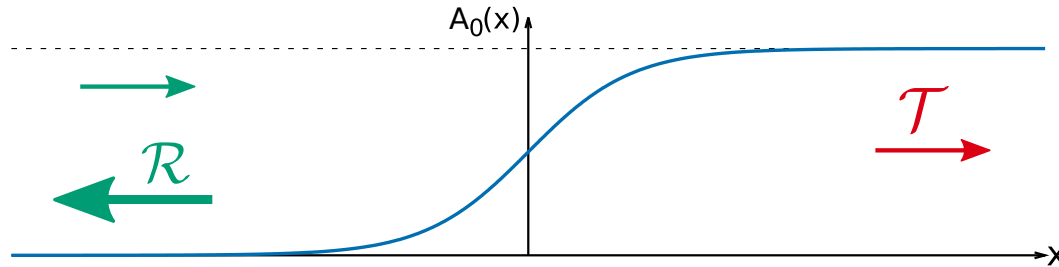
- KG for a charged field in an electrostatic potential:

$$-\left(\frac{1}{c}\partial_t + \frac{ie}{\hbar c}A_0\right)^2 \phi + \nabla^2 \phi - \frac{m^2 c^2}{\hbar^2} \phi = 0$$

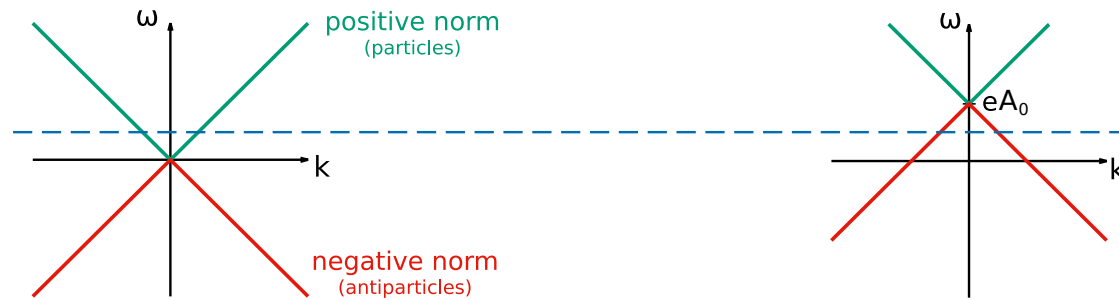
$$m^2 \longleftrightarrow \hbar^2 k_x^2 / c^2 \qquad eA_0 \longleftrightarrow \hbar k_x v_x$$

# Bosonic Klein paradox

$$-\left(\frac{1}{c}\partial_t + \frac{ie}{c}A_0\right)^2 \phi + \partial_x^2 \phi - \frac{m^2 c^2}{\hbar^2} \phi = 0$$

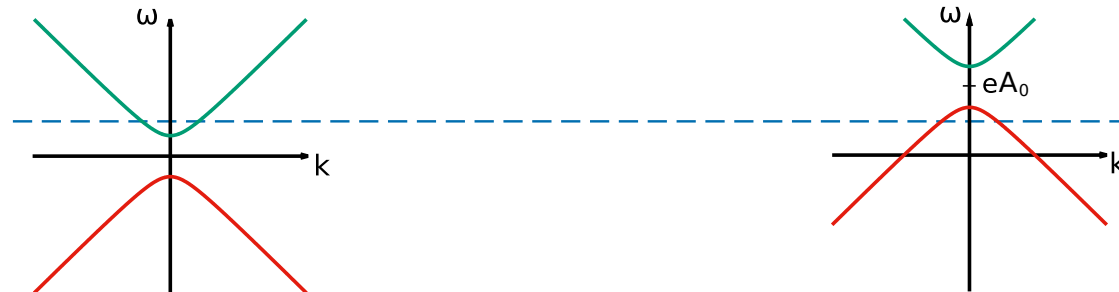


- Massless case:



$$\mathcal{R} > 1 \text{ for } \omega < eA_0$$

- Massive case:

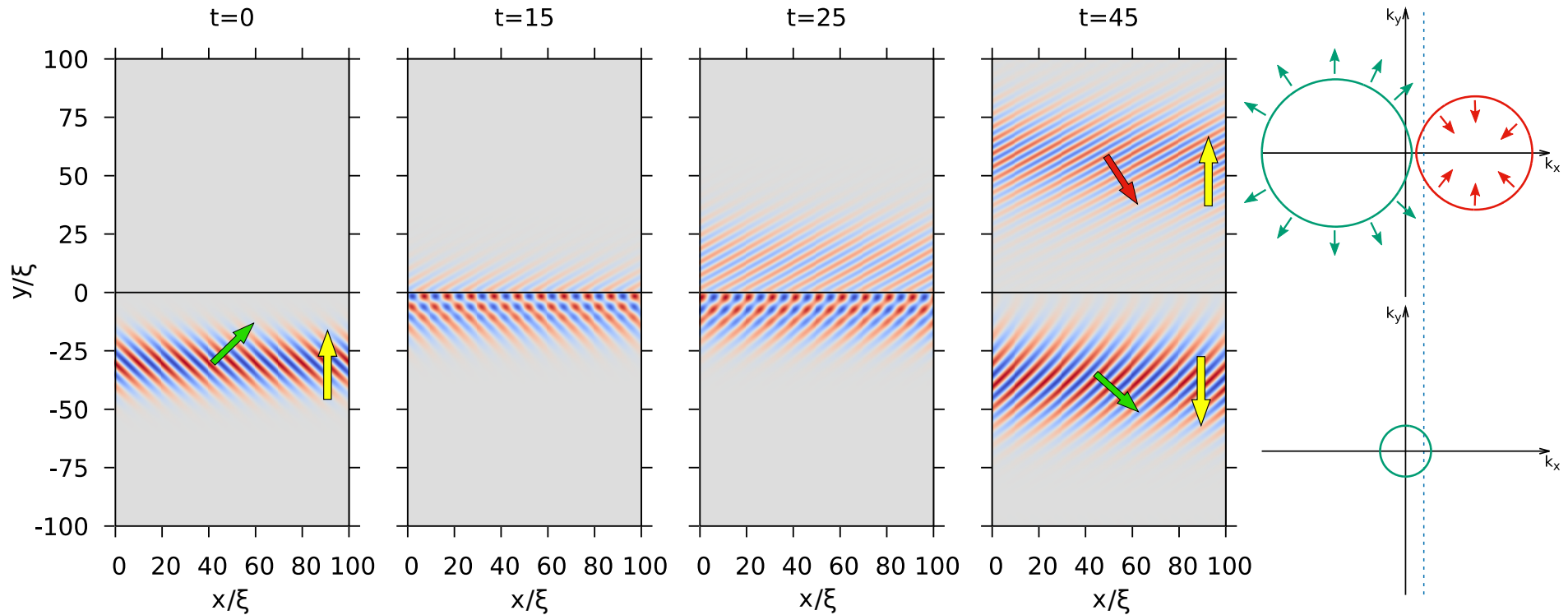


$$eA_0 > 2mc^2$$

- In our 2D configuration with  $A_x(y) = \begin{cases} 0 & \text{for } y < 0 \\ A_x & \text{for } y > 0 \end{cases} \implies |A_x| > 2Mc_s$

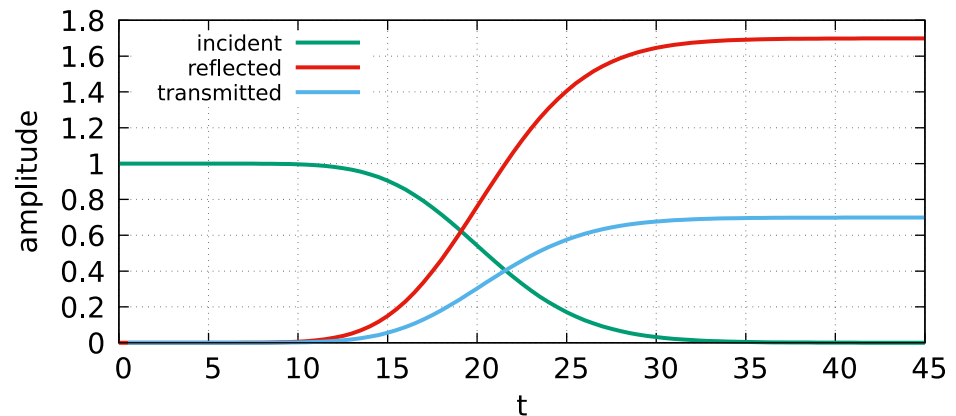
# 2D GPE simulations

$$i\hbar\partial_t\Psi = \left[ \frac{(-i\hbar\nabla - \mathbf{A}(\mathbf{r}))^2}{2M} + V(\mathbf{r}) + g\Psi^2 \right] \Psi ; \quad A_x(y) = \begin{cases} 0 & \text{for } y < 0 \\ A_x & \text{for } y > 0 \end{cases} ; \quad V(y) = \begin{cases} 0 & \text{for } y < 0 \\ -\frac{A_x^2}{2M} & \text{for } y > 0 \end{cases}$$



Energy of an eigenmode

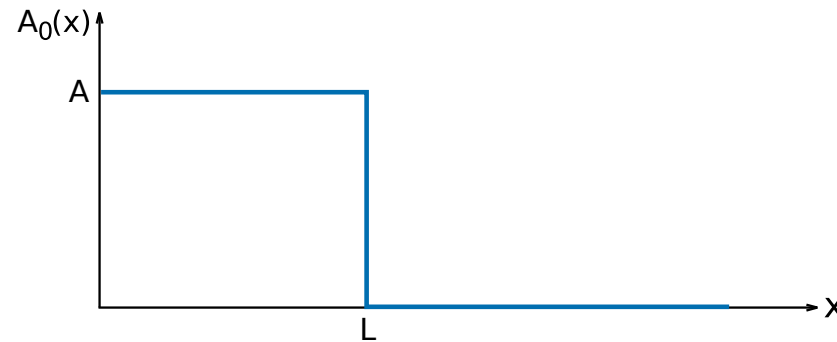
$$E_i = \langle \psi_i | \sigma_3 | \psi_i \rangle \epsilon_i$$



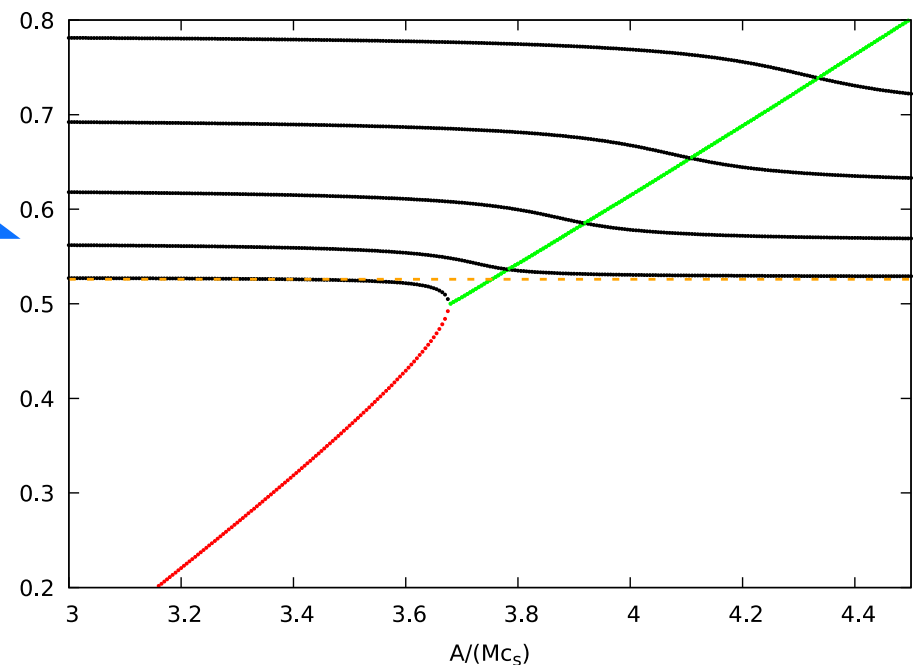
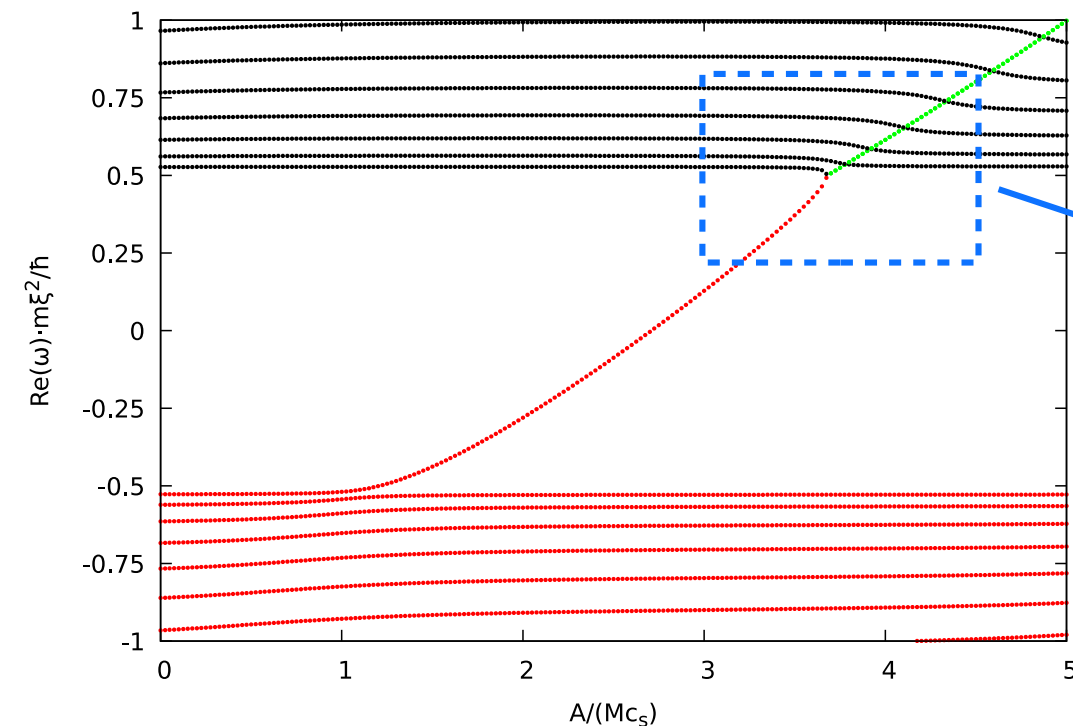
# The Schiff-Snyder-Weinberg effect

- It is the physics of an electrostatic potential box for a scalar field

[Schiff, Snyder, Weinberg (1940). Physical Review, 57(4), 315.]

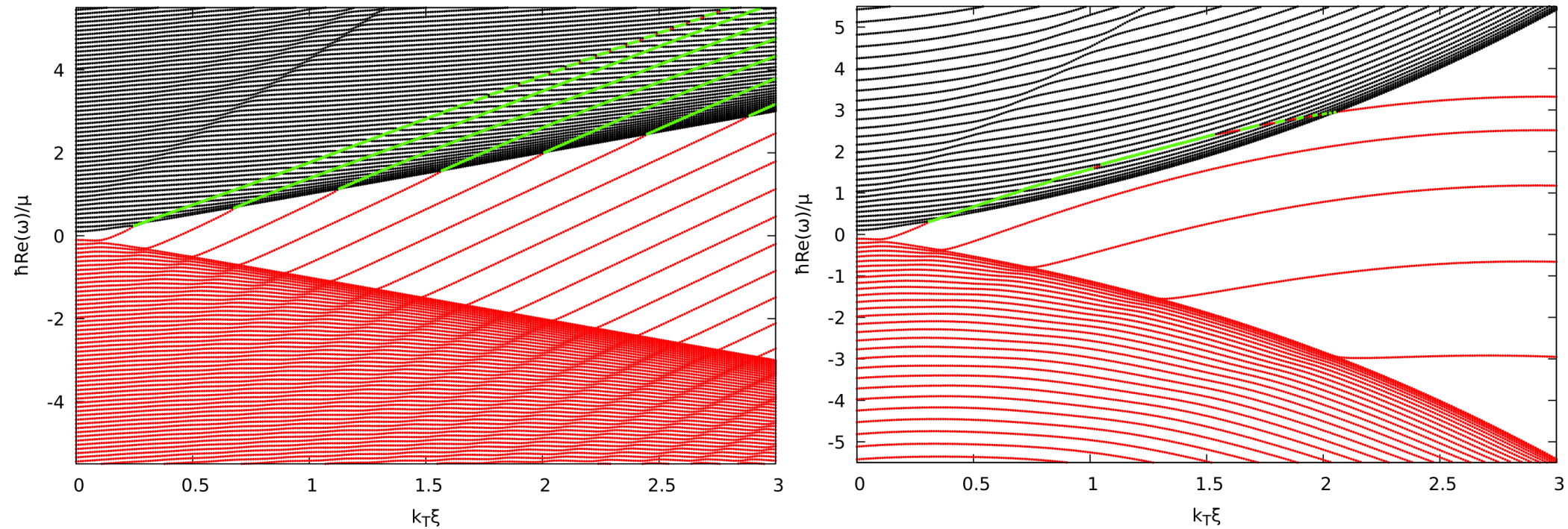


- Diagonalizing the Bogoliubov problem (  $L = 2\xi$ ;  $k_T = -0.5/\xi$  )



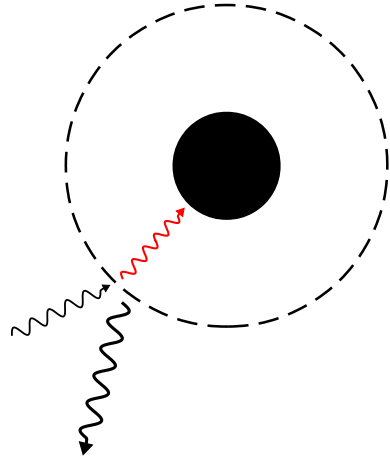
# Dispersive SSW effect

- Vary  $k_T$  for fixed  $A$  ( $L = 4\xi$ ;  $A = -3Mc_s$ )

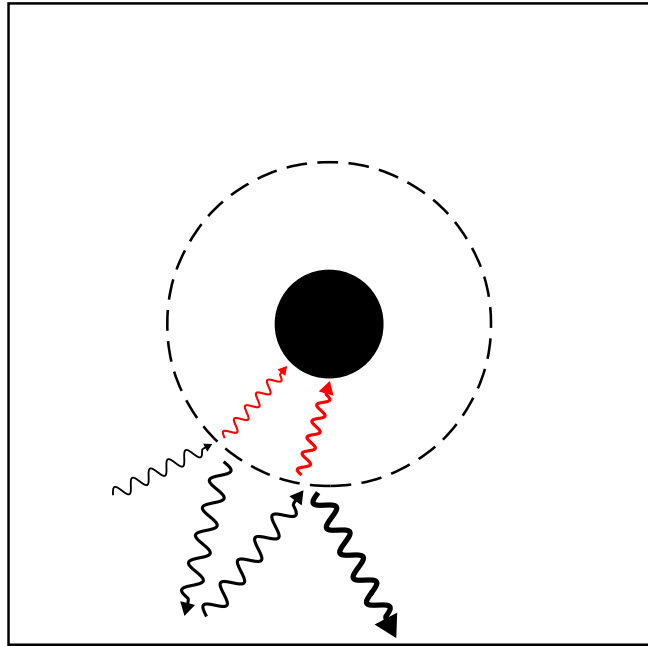


Dispersion bounds the instability range from above!

# Back to vortices: instabilities

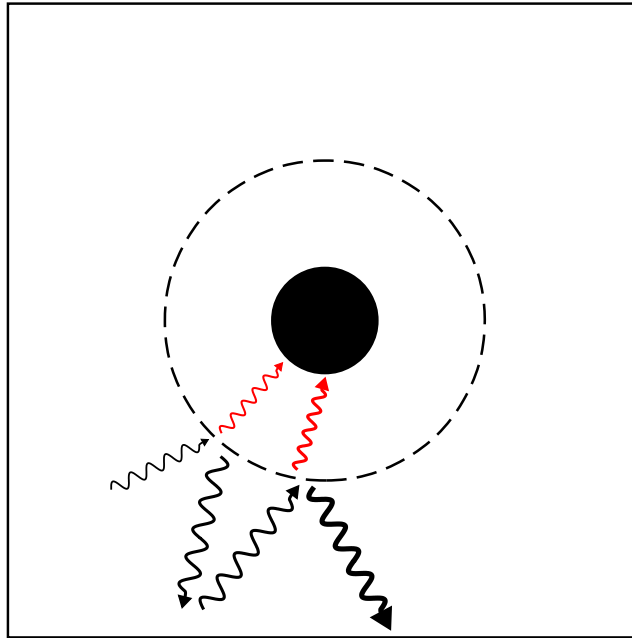


# Back to vortices: instabilities

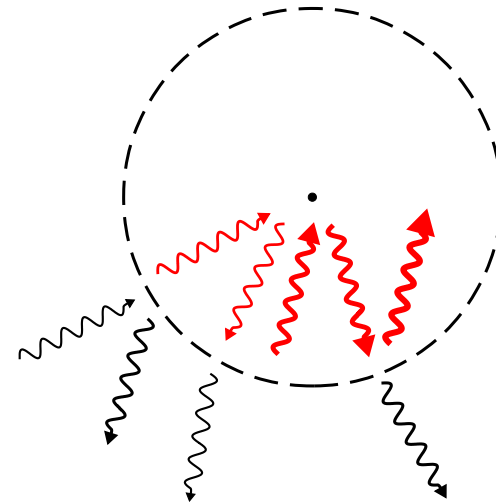


Black hole bomb

# Back to vortices: instabilities



Black hole bomb

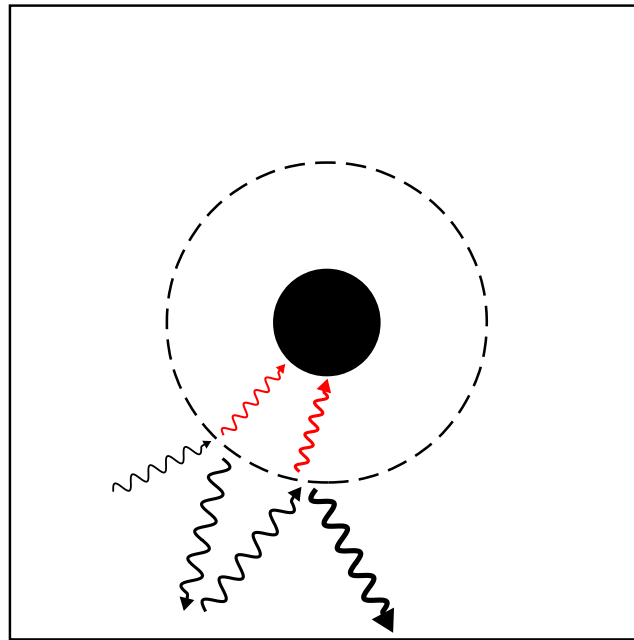


Ergoregion instability

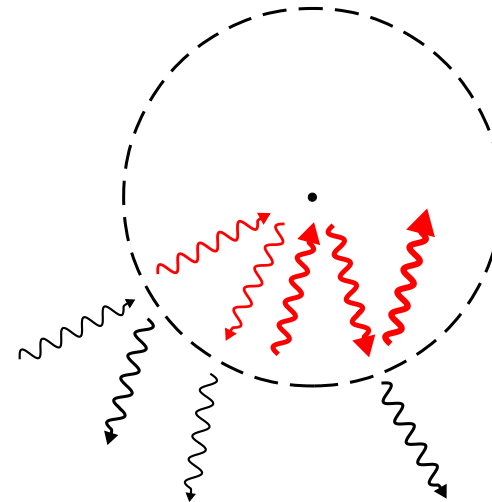
In analogues:  
Oliveira et al. PRD 2014



# Back to vortices: instabilities



Black hole bomb



Ergoregion instability

In analogues:  
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## What about quantized vortices?

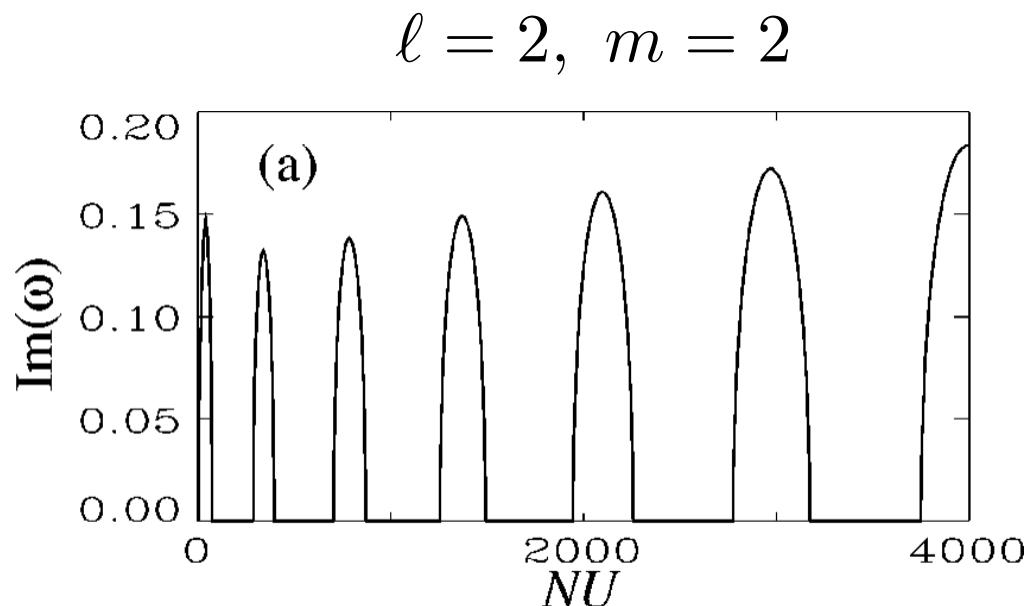
- In a BEC quantized vortex of charge  $\ell$  ergoregion at  $r_E \sim \ell \xi$
- A trap gives a reflecting boundary condition
- Hydrodynamic approximation does not hold!

# Vortices in trapped condensates

- Multiply charged vortices are energetically unstable
- A trapped singly charged vortex is energetically unstable but dynamically stable
- Trapped multiply charged vortices can be dynamically unstable

[Rokhsar (1997) PRL, 79(12), 2164]

[Pu et al. (1999) PRA, 59(2), 1533]



Study the spectrum as

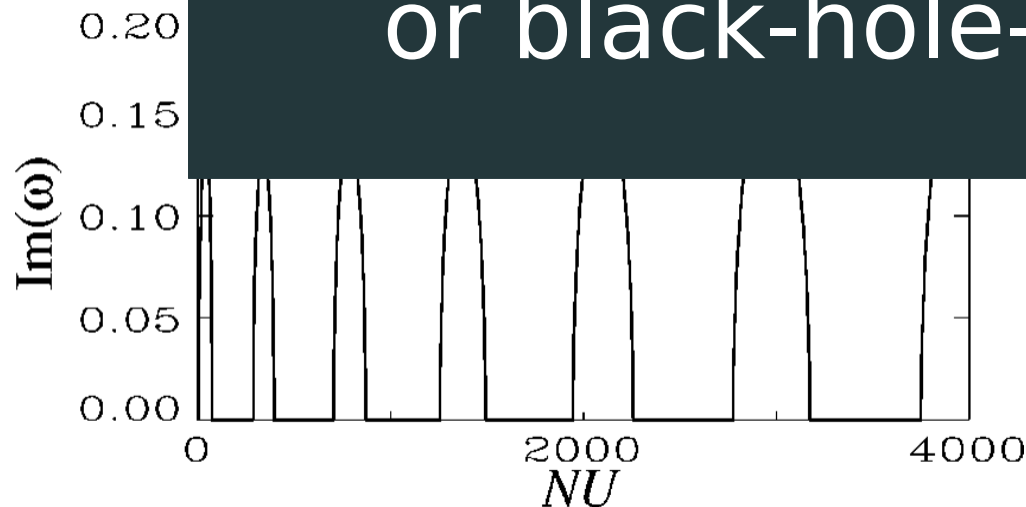
$$\Psi_0(r, \theta) = e^{i\ell\theta} f(r)$$

$$\begin{pmatrix} \delta\psi(r, \theta) \\ \delta\psi^*(r, \theta) \end{pmatrix} = e^{im\theta} \begin{pmatrix} e^{i\ell\theta} \phi(r) \\ e^{-i\ell\theta} \phi^*(r) \end{pmatrix}$$

# Vortices in trapped condensates

- Multiply charged vortices are energetically unstable
- A trapped singly charged vortex is energetically unstable but dynamically stable (e.g. [1, 2], 2164]
- Trapped singly charged vortices are stable (e.g. [1, 2], 1533]

Is the instability of multiply quantized vortices ergoregion-like or black-hole-bomb-like?



$$\begin{pmatrix} \delta\psi(r, \theta) \\ \delta\psi^*(r, \theta) \end{pmatrix} = e^{im\theta} \begin{pmatrix} e^{il\theta} \phi(r) \\ e^{-il\theta} \phi^*(r) \end{pmatrix}$$

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Is the instability of multiply quantized vortices ergoregion-like or black-hole-bomb-like?

$\text{Im}(\omega)$

0.20  
0.15  
0.10  
0.05  
0.00

Are multiply quantized vortices unstable in an unbound condensate?

(2), 2164]

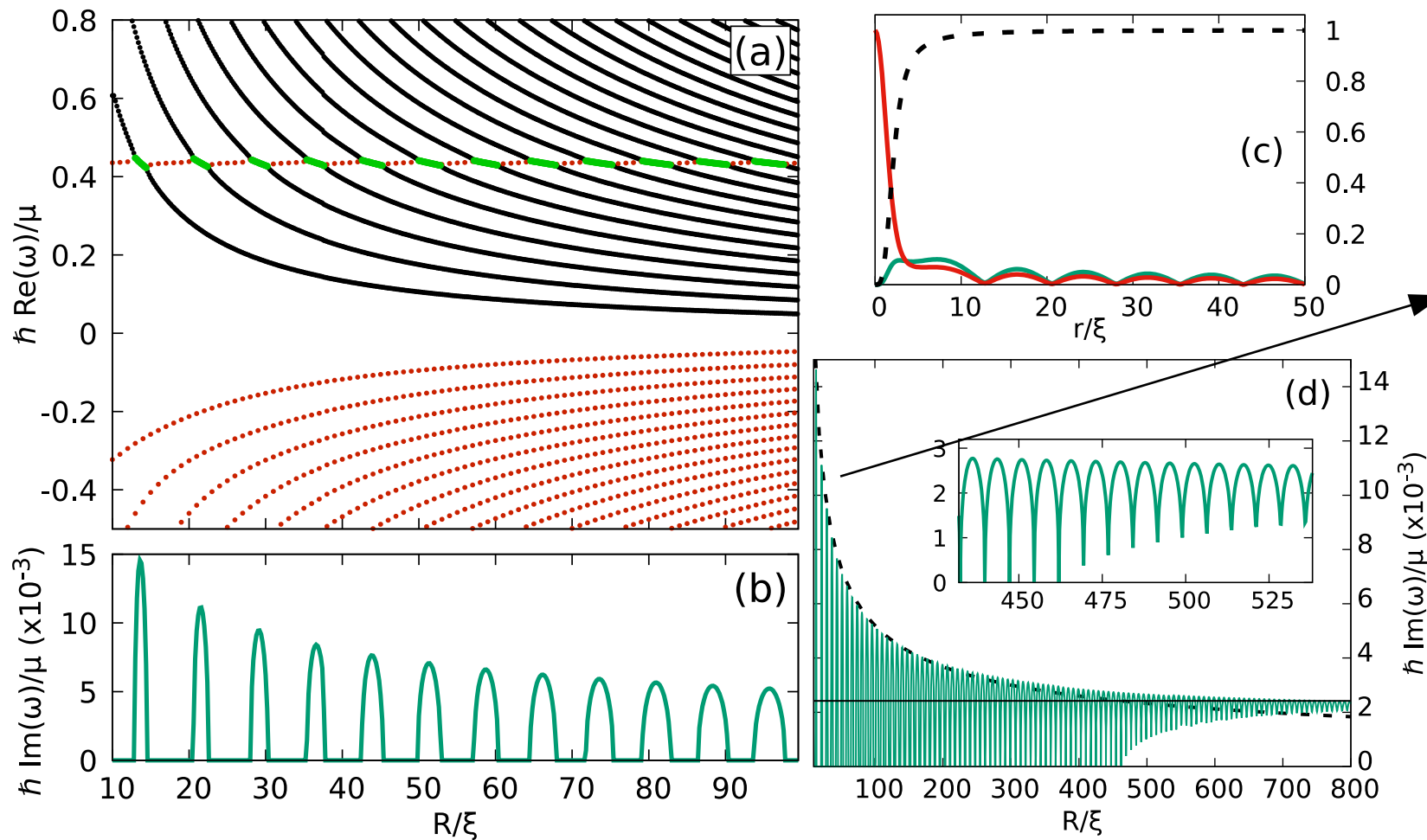
(2), 1533]

$\phi(r)$   
 $\phi^*(r)$

# System-size perturbation theory

- Ground state of the radial GPE with Neumann BC at some  $r=R$
- Diagonalize the Bogoliubov problem varying  $R$  at fixed  $m$

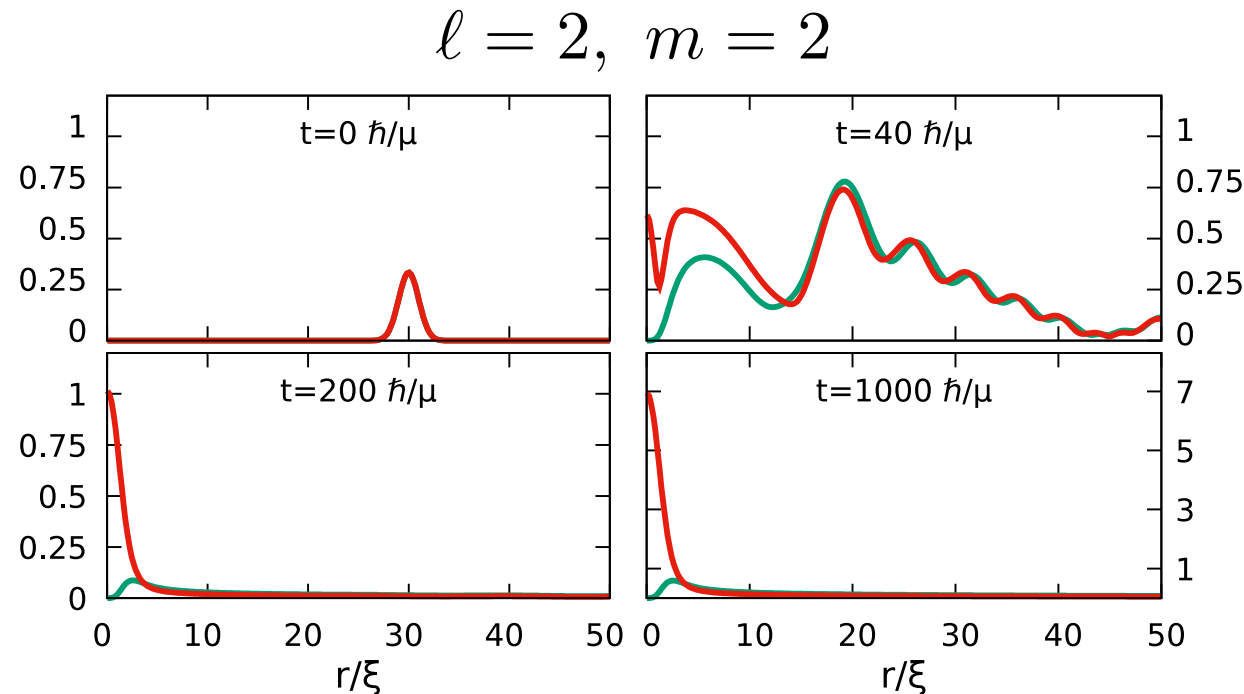
$$\ell = 2, \quad m = 2$$



$1/\sqrt{R}$   
As an emitter  
in a cavity

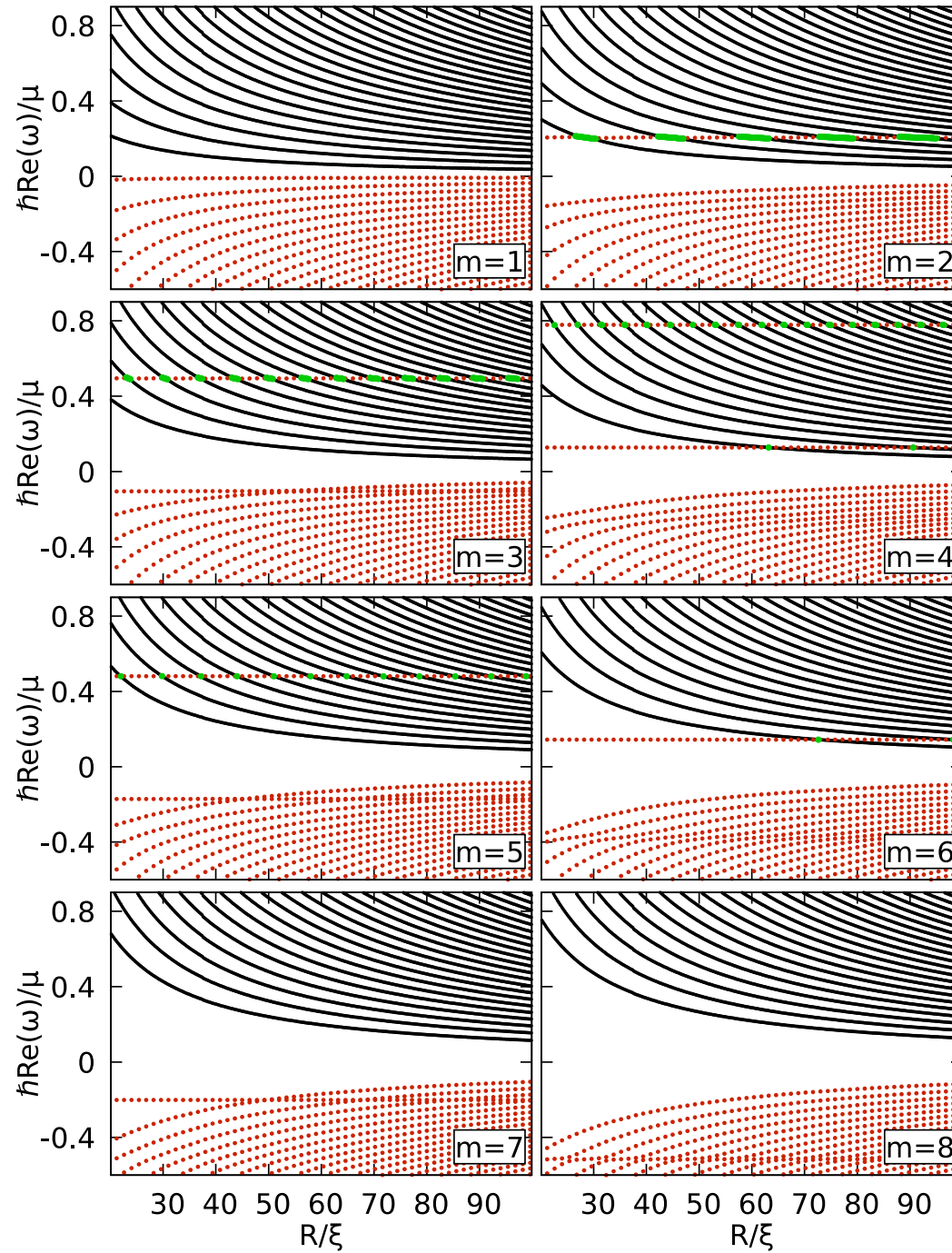
# Is the core really inherently stable?

- We always get stationary waves, so also incoming phonons
- Time dependent study of the Bogoliubov problem with absorbing boundary conditions:



The charge 2 vortex core is inherently dynamically unstable!

Example:  $\ell = 4$



# Higher charge vortices

Multiply quantized vortices display dispersive ergoregion instability

- Lower  $m$ -s are always the most unstable (as for hydrodynamic)  
[Oliveira et al. PRD 2014]

Instability not directly related to vortex splitting

- In general for a charge  $\ell$  vortex the unstable channels are

$$2 \leq m \leq 2\ell - 2$$

- For the hydrodynamic vortex no upper bound on  $m$   
[Oliveira et al. PRD 2014]

Dispersion introduces an upper bound on the angular momentum!



# Conclusions

- Superradiance and Klein scattering are essentially the same kind of phenomenon
- Introducing reflecting boundary conditions can make an energetic instability dynamical
- Multiply quantized vortices are intrinsically dynamically unstable via a dispersive ergoregion instability
- The role of dispersion is to suppress the instability at high (angular) momenta

Extra slides

# Analogue Gravity in BECs

- Linearization of the GPE (Bogoliubov-de Gennes eq.)

$$i\hbar\partial\Psi_0 = \left[ -\frac{\hbar^2}{2m}\nabla^2 + g|\Psi_0|^2 + V_{\text{ext}} - \mu \right] \Psi_0$$

$$\Psi = \sqrt{n}e^{i\Theta} \left( 1 + \frac{\delta n}{2n} + i\delta\Theta \right)$$

- Klein-Gordon equation in curved spacetime (stationary metric)

$$\frac{1}{\sqrt{-g}}\partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu \phi) = 0$$

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$$i\hbar\partial_t \begin{pmatrix} \delta\Theta \\ \delta n/n \end{pmatrix} = \mathcal{H} \begin{pmatrix} \delta\Theta \\ \delta n/n \end{pmatrix}$$

$$\mathcal{H} = \begin{bmatrix} -i\frac{\hbar^2}{M}\nabla\Theta \cdot \nabla & -i\hbar^2\frac{1}{n}\nabla(n\nabla) - i\frac{gn}{M} \\ -i\hbar^2\frac{1}{n}\nabla(n\nabla) & -i\frac{\hbar^2}{M}\nabla\Theta \cdot \nabla \end{bmatrix}$$

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(Feshbach-Villars repr)

$$i\hbar\partial_t \begin{pmatrix} \phi \\ \pi^* \end{pmatrix} = \mathcal{H} \begin{pmatrix} \phi \\ \pi^* \end{pmatrix}$$

$$\begin{bmatrix} -i\hbar c \frac{g^{0i}}{g^{00}} \partial_i & -ic^2 \\ -\frac{i\hbar^2}{\sqrt{-g}} \left( \frac{1}{g^{00}} \partial_i \sqrt{-g} g^{ij} \partial_j + \left( \frac{g^{0i}}{g^{00}} \right)^2 \partial_i^2 \right) & -i\hbar c \frac{g^{0i}}{g^{00}} \partial_i \end{bmatrix}$$

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In the hydrodynamic limit the BdG reduces to a massless KG in curved spacetime!

$$g_{\mu\nu} = \frac{n}{c_s} \begin{bmatrix} -(c_s^2 - v^2) & -\mathbf{v}^T \\ -\mathbf{v} & \mathbf{I} \end{bmatrix}; \quad \mathbf{v} = \frac{\hbar\nabla\Theta}{M}; \quad c_s = \sqrt{\frac{gn}{M}}$$

# Superradiant scattering in the vortex geometry

- Stationary and axisymmetric solution of the KG equation:

$$\phi(t, r, \theta) = \frac{1}{\sqrt{r}} R(r) e^{im\theta - i\omega t}$$

- Change coordinate:  $r \in [r_H, \infty] \longrightarrow r^* \in [-\infty, +\infty]$ 
  - For  $r^* \rightarrow \infty$ :  $R_{+\infty}(r^*) = e^{i\omega r^*} + \mathcal{R}e^{-i\omega r^*}$
  - For  $r^* \rightarrow -\infty$ :  $R_H(r^*) = \mathcal{T} \exp \left[ i \left( \omega - m \frac{c_s B}{A^2} \right) r^* \right]$
- Matching the two (conservation of the Wronskian):

$$1 - |\mathcal{R}|^2 = \frac{1}{\omega} \left( \omega - m \frac{c_s B}{A^2} \right) |\mathcal{T}|^2,$$

$$\omega < m c_s B / A^2 \implies |\mathcal{R}|^2 > 1$$

# Instability of the hydrodynamic vortex

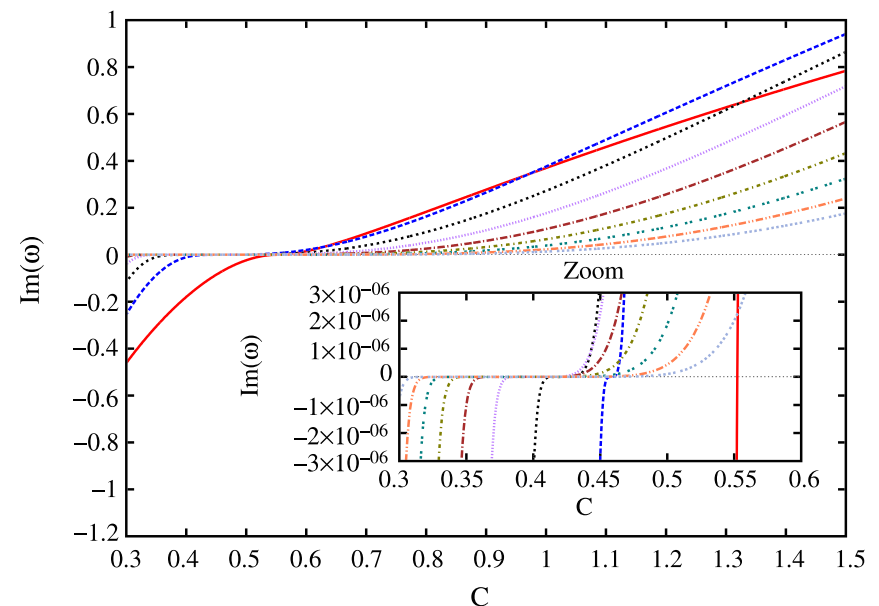
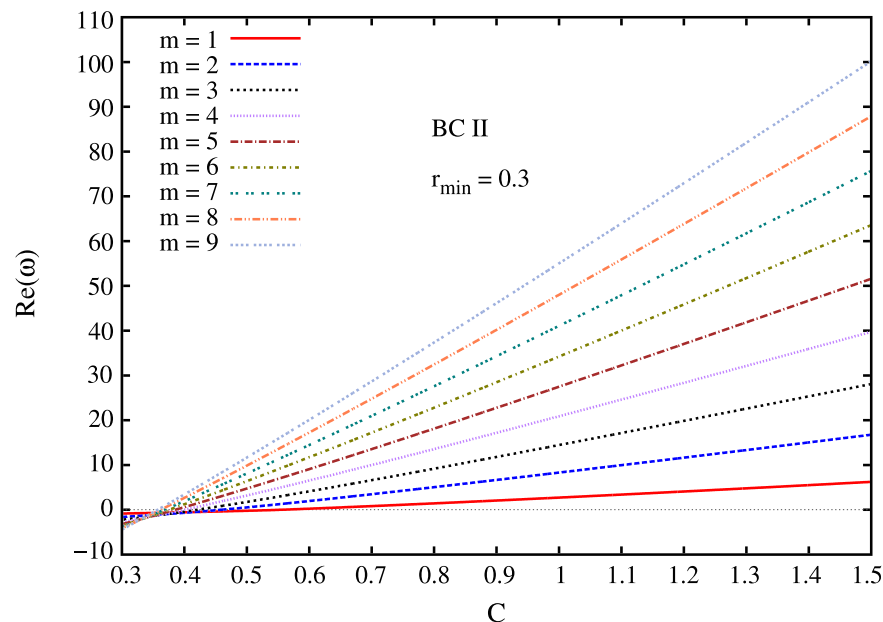
- What if there is no drain?  $\mathbf{v} = \frac{C}{r} \hat{\theta}$

- Spacetimes with an ergoregion but no horizon are unstable

[Friedman (1978). Ergosphere instability. Comm. Math. Phys., 63(3), 243-255]

- Hydrodynamic vortex with reflecting BC at a radius  $r_{min}$

[Oliveira et al. (2014). Ergoregion instability: The hydrodynamic vortex. PRD, 89(12), 124008]





# Eigenmodes of the Bogoliubov problem

- A more familiar shape of the problem:  $\Psi = \Psi_0 + \psi$

$$i\hbar\partial_t \begin{pmatrix} \psi \\ \psi^* \end{pmatrix} = \begin{bmatrix} H_{GP} + g|\Psi_0|^2 & g\Psi_0^2 \\ -g\Psi_0^{*2} & -(H_{GP} + g|\Psi_0|^2)^* \end{bmatrix} \begin{pmatrix} \psi \\ \psi^* \end{pmatrix}$$

- If  $\begin{pmatrix} \psi \\ \psi^* \end{pmatrix} = \begin{pmatrix} u_\psi \\ v_\psi \end{pmatrix}$ , conserved (nonpositive) inner product

$$\langle \psi | \sigma_3 | \phi \rangle = \int d\mathbf{x} [u_\psi^*(\mathbf{x})u_\phi(\mathbf{x}) - v_\psi^*(\mathbf{x})v_\phi(\mathbf{x})]$$

- The energy of an eigenmode is:

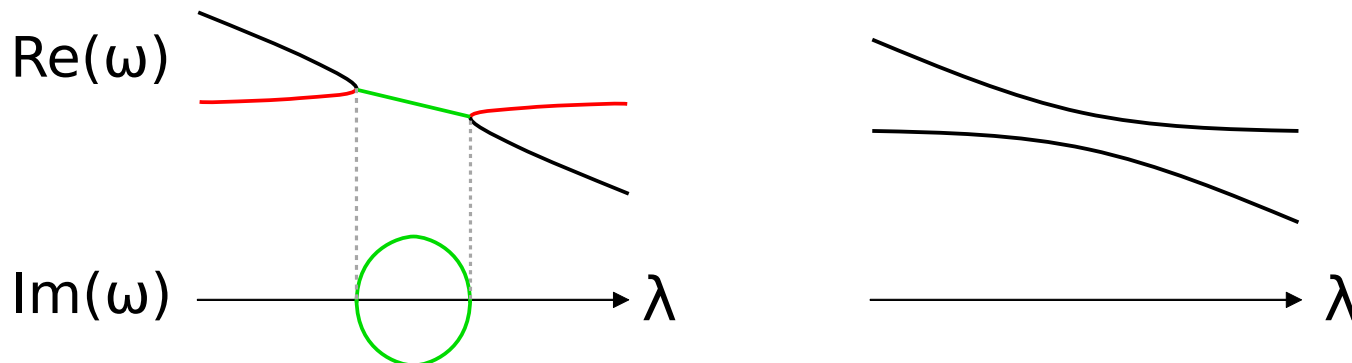
$$E_i = \langle \psi_i | \sigma_3 | \psi_i \rangle \epsilon_i$$

- Negative norm modes with positive frequency have negative energy
- Positive and negative norm modes with the same eigenvalue can be created at zero energy cost

# Pseudo-degenerate modes and instability

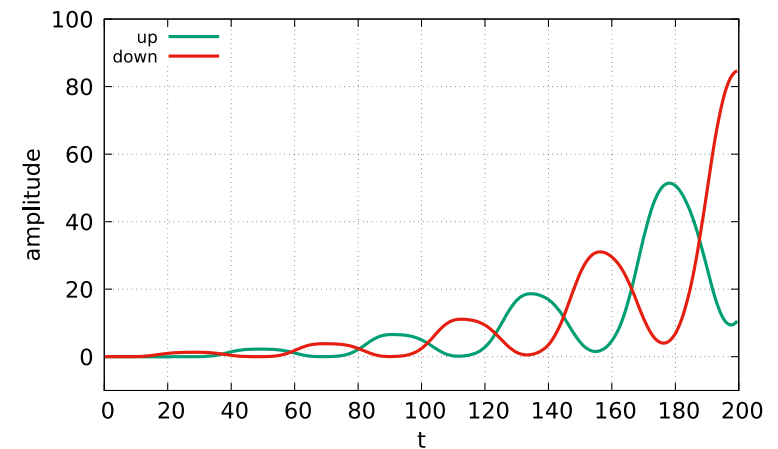
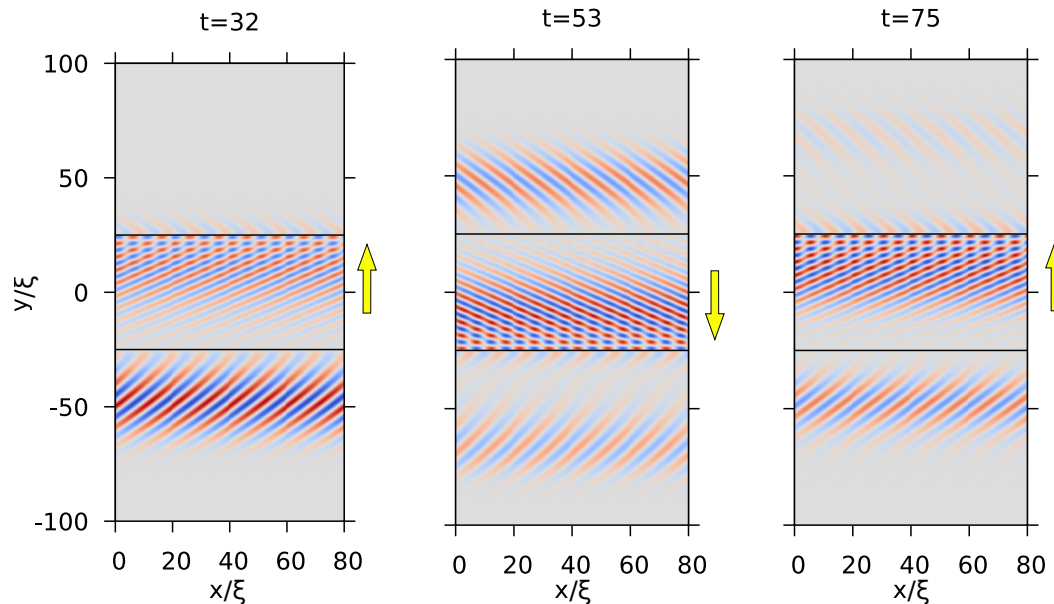
$$i\hbar\partial_t \begin{pmatrix} \psi \\ \psi^* \end{pmatrix} = \begin{bmatrix} H_{GP} + g|\Psi_0|^2 & g\Psi_0^2 \\ -g\Psi_0^{*2} & - (H_{GP} + g|\Psi_0|^2)^* \end{bmatrix} \begin{pmatrix} \psi \\ \psi^* \end{pmatrix}$$

- The problem is not hermitian  $\implies$  complex eigenvalues
- For eigenvectors:  $(\epsilon_i - \epsilon_j^*) \langle \psi_j | \sigma_3 | \psi_i \rangle = 0$ 
  - Complex frequency modes have zero norm
- When two modes of opposite norm have the same frequency they become pseudo-degenerate  $\epsilon_j = \epsilon_i^*$

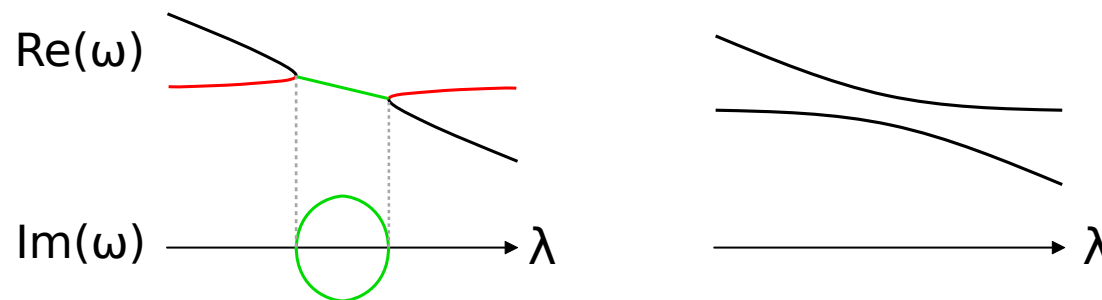


# 2D GPE simulations

- The amplification does not depend on the direction in which the barrier is crossed



- Trapped negative norm mode causing dynamical instability
- Dynamical instabilities emerge in the spectrum as zero-norm modes



# The 2D Bogoliubov problem

$$\Psi_0(r, \theta) = e^{i\ell\theta} f(r) ; \quad \begin{pmatrix} \delta\psi(r, \theta) \\ \delta\psi^*(r, \theta) \end{pmatrix} = e^{im\theta} \begin{pmatrix} e^{i\ell\theta} \phi(r) \\ e^{-i\ell\theta} \phi^*(r) \end{pmatrix}$$

$$i\hbar\partial_t \begin{pmatrix} \phi \\ \phi^* \end{pmatrix} = \begin{bmatrix} D_+ + V_{ext} - \mu + 2g|\Psi_0|^2 & g|\Psi_0|^2 \\ -g|\Psi_0|^2 & -(D_- + V_{ext} - \mu + 2g|\Psi_0|^2) \end{bmatrix} \begin{pmatrix} \phi \\ \phi^* \end{pmatrix}$$

$$D_{\pm} = \frac{\hbar^2}{2M} \left( -\partial_r^2 - \frac{\partial_r}{r} + \frac{(\ell \pm m)^2}{r^2} \right)$$

- Particle-antiparticle symmetry:  $\begin{pmatrix} u_{m,i} \\ v_{m,i} \end{pmatrix} \longleftrightarrow \begin{pmatrix} u_{-m,j} \\ v_{-m,j} \end{pmatrix} = \begin{pmatrix} v_{m,i} \\ u_{m,i} \end{pmatrix}$

$$\epsilon_{m,i} \longleftrightarrow \epsilon_{-m,j} = -\epsilon_{m,i}$$

- The different m-s are decoupled, all the modes at fixed m are independent and the the spectrum at -m is just specular