



# Application of AI/Deep learning in studying nuclear symmetry energy from HICs

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ECT\*, May 20-25, 2019

# Outline

## Background

- Artificial Intelligence and symmetry energy

## Data Zoo

- rapidity .vs.  $p_t$  data from UrQMD model

## Deep learning model

- Convolutional Neural Network

## Results and Outlook

- Classification and regression

# Artificial Intelligence

## ARTIFICIAL INTELLIGENCE

IS NOT NEW

### ARTIFICIAL INTELLIGENCE

Any technique which enables computers to mimic human behavior



### MACHINE LEARNING

AI techniques that give computers the ability to learn without being explicitly programmed to do so



Statistical techniques

### DEEP LEARNING

A subset of ML which make the computation of multi-layer neural networks feasible



Training from Big data

1950's

1960's

1970's

1980's

1990's

2000's

2010s

ORACLE

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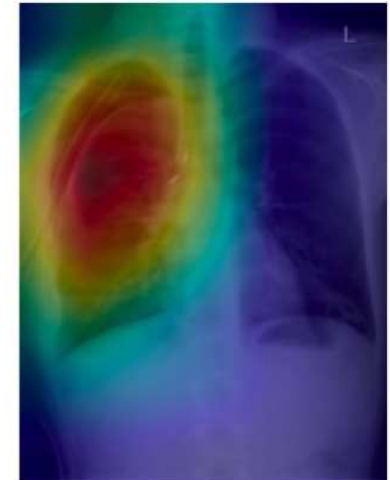
# Application of Artificial Intelligence

- 1- Automated customer support
- 2- Healthcare
- 3- Finance
- 4- Smart cars and drones
- 5- Social media
- 6- Smart home devices
- 7- Creative arts
- 8- Security and surveillance
- 9- Education
- 10- Agriculture

.....



Detect pneumothorax in real X-Ray scans





nature  
physics

Letter | Published: 13 February 2017

## Machine learning phases of matter

Juan Carrasquilla  & Roger G. Melko


*Nature Physics* **13**, 431–434 (2017) | [Download Citation](#) 

nature  
COMMUNICATIONS

Article | [OPEN](#) | Published: 15 January 2018

## An equation-of-state-meter of quantum chromodynamics transition from deep learning

Long-Gang Pang , Kai Zhou , Nan Su , Hannah Petersen, Horst Stöcker & Xin-Nian Wang

*Nature Communications* **9**, Article number: 210 (2018) | [Download Citation](#) 

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Michael R. Hush  
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Science 10 Feb 2017:  
Vol. 355, Issue 6325, pp. 580  
DOI: 10.1126/science.aam6564

nature > review articles > article

nature  
International journal of science

Review Article | Published: 01 August 2018

## Machine learning at the energy and intensity frontiers of particle physics

Alexander Radovic , Mike Williams , David Rousseau, Michael Kagan, Daniele Bonacorsi, Alexander Himmel, Adam Aurisano, Kazuhiro Terao & Taritree Wongjirad

*Nature* **560**, 41–48 (2018) | [Download Citation](#) 

# Application of Artificial Intelligence in physics

# Two main class of problems we deal with

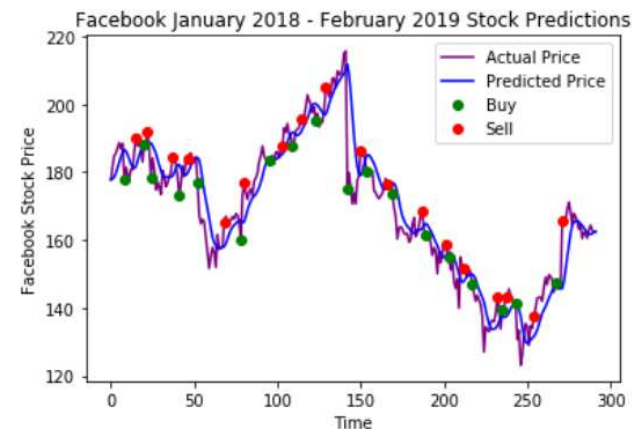
- Classification

- Identify if an object belongs to one of N subgroups
- Divide objects into distinct classes and find the discriminating feature(s)
- Identify outliers / class of interest in a dataset



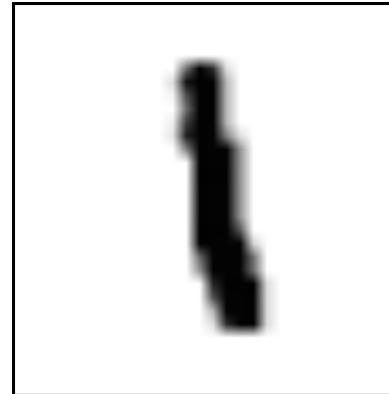
- Regression

- Estimate the relation between observables and quantities of interest
- Both parametric (eg. fitting a line to data) and nonparametric (eg. splining / kriging)
- Interpolation and extrapolation
- Prediction and forecasting.



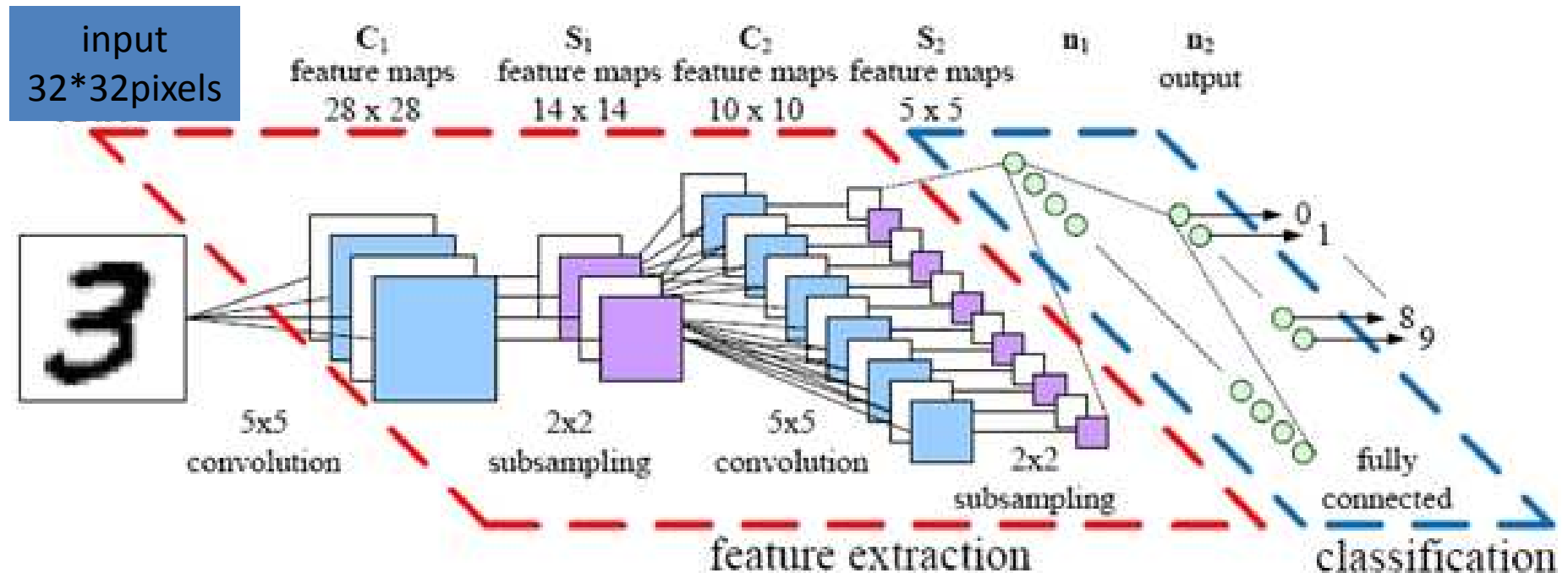
# Example of handwritten digit recognition

## MNIST database

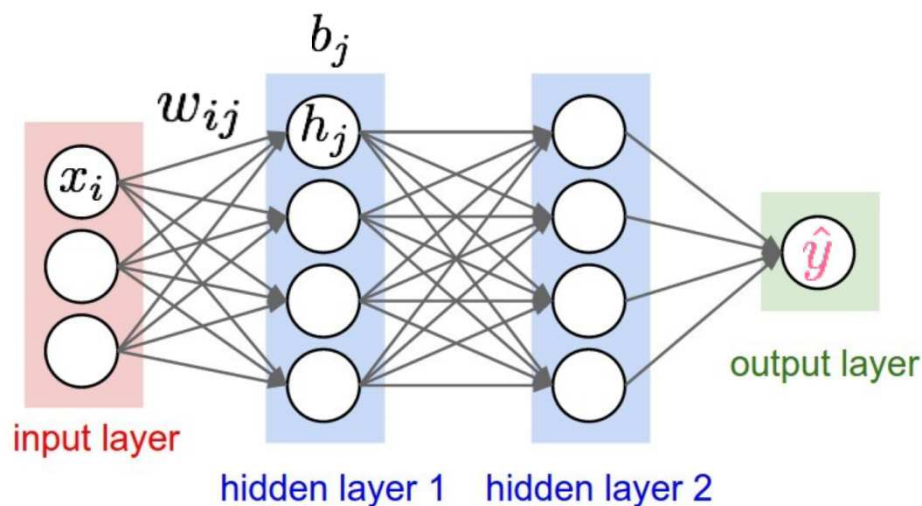
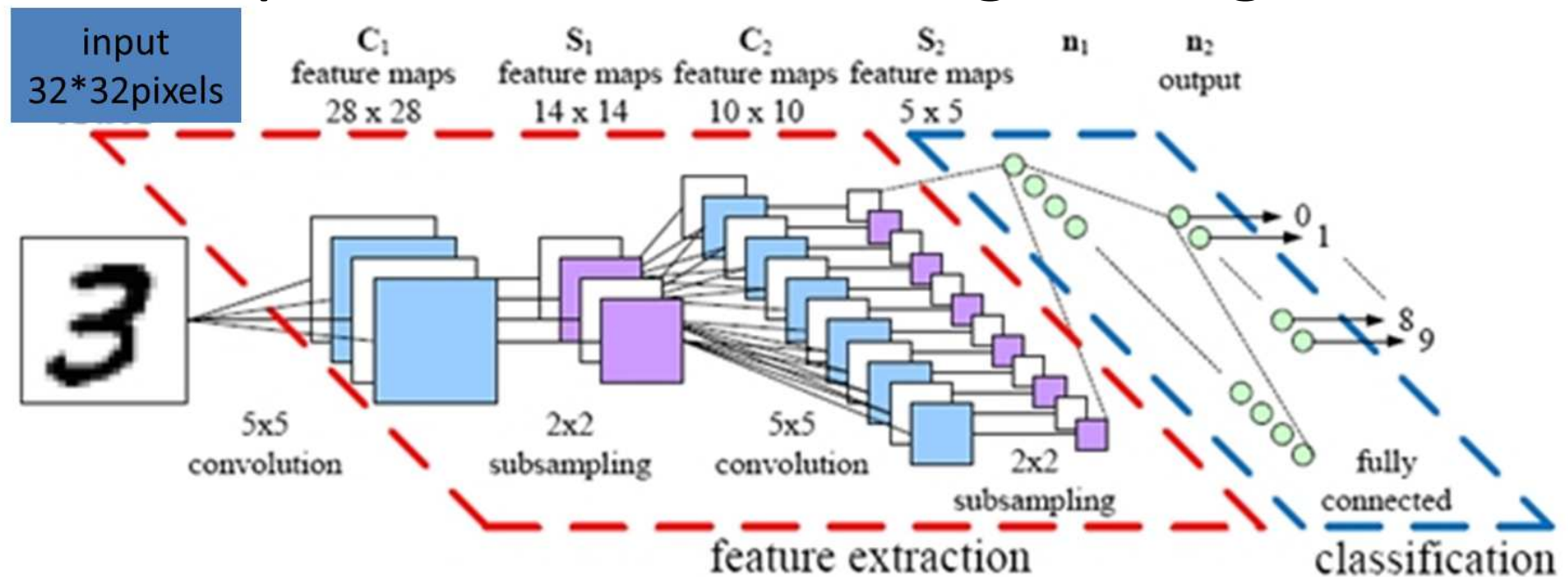


12

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	6	8	0	0	0	0	0	0	0
0	0	0	0	0	0	0	7	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	7	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	5	1	4	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	4	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	4	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	7	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0
0	0	0	0	0	0	0	0	9	1	1	0	0	0	0	0
0	0	0	0	0	0	0	0	3	1	1	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



# Example of handwritten digit recognition



weights and biases

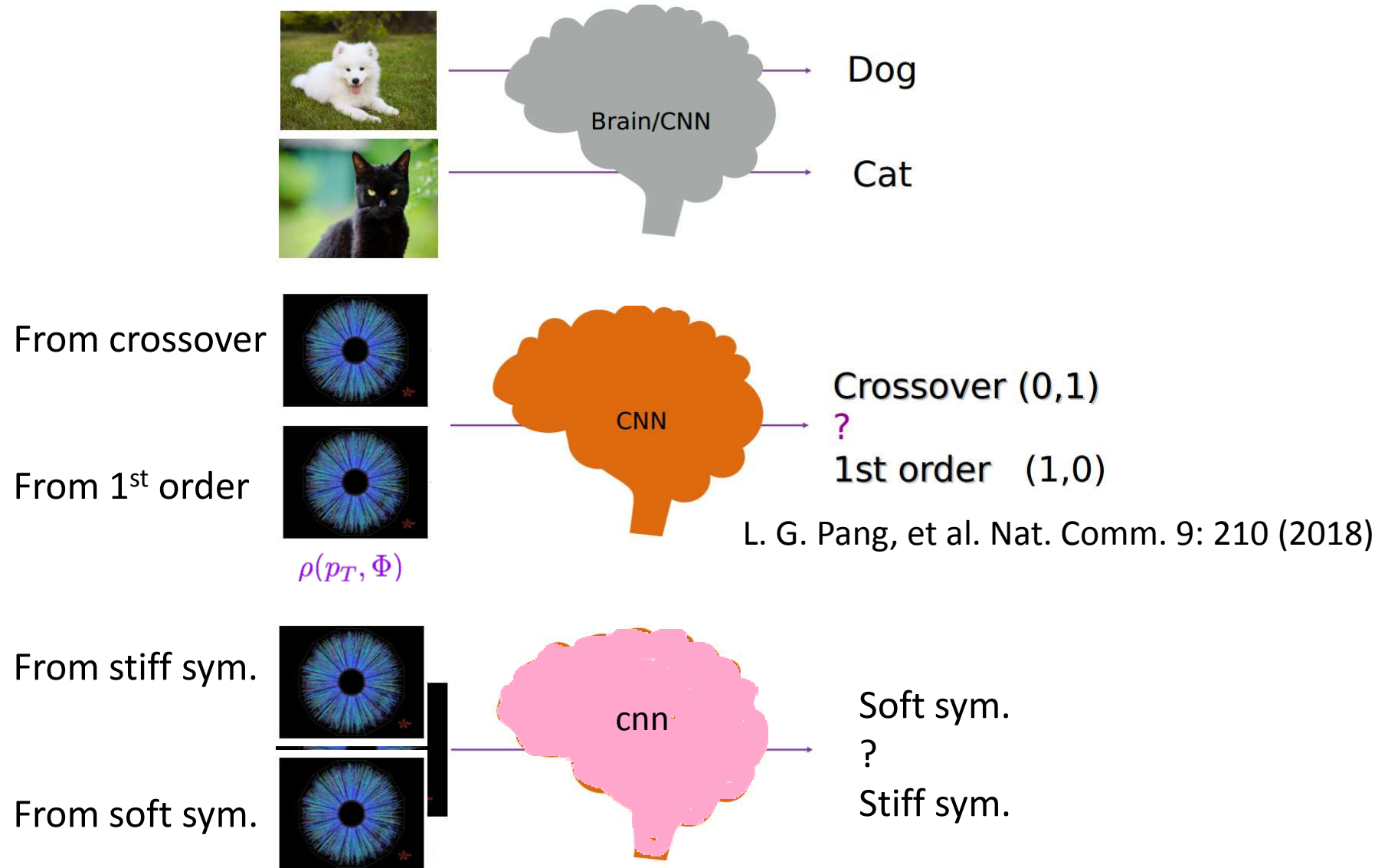
$$z_j = \sum_{i=1}^N x_i w_{ij} + b_j$$

$h_j = \sigma(z_j)$  Activation function

To find the particular values of weights and biases for which the loss is minimum.

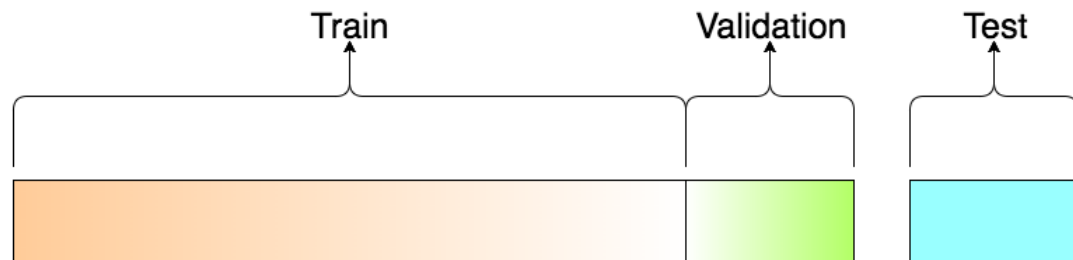


# Can we use deep learning to study symmetry energy?

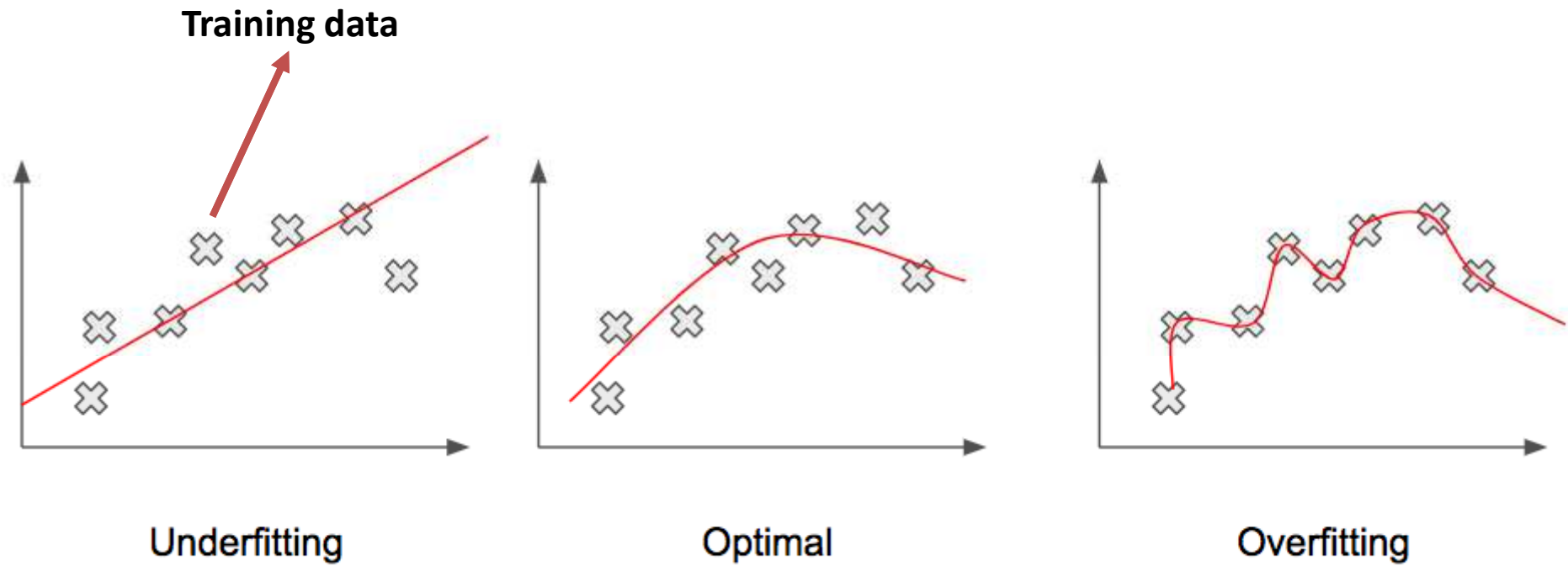


# Three terms: [Training, Testing, Validation] database

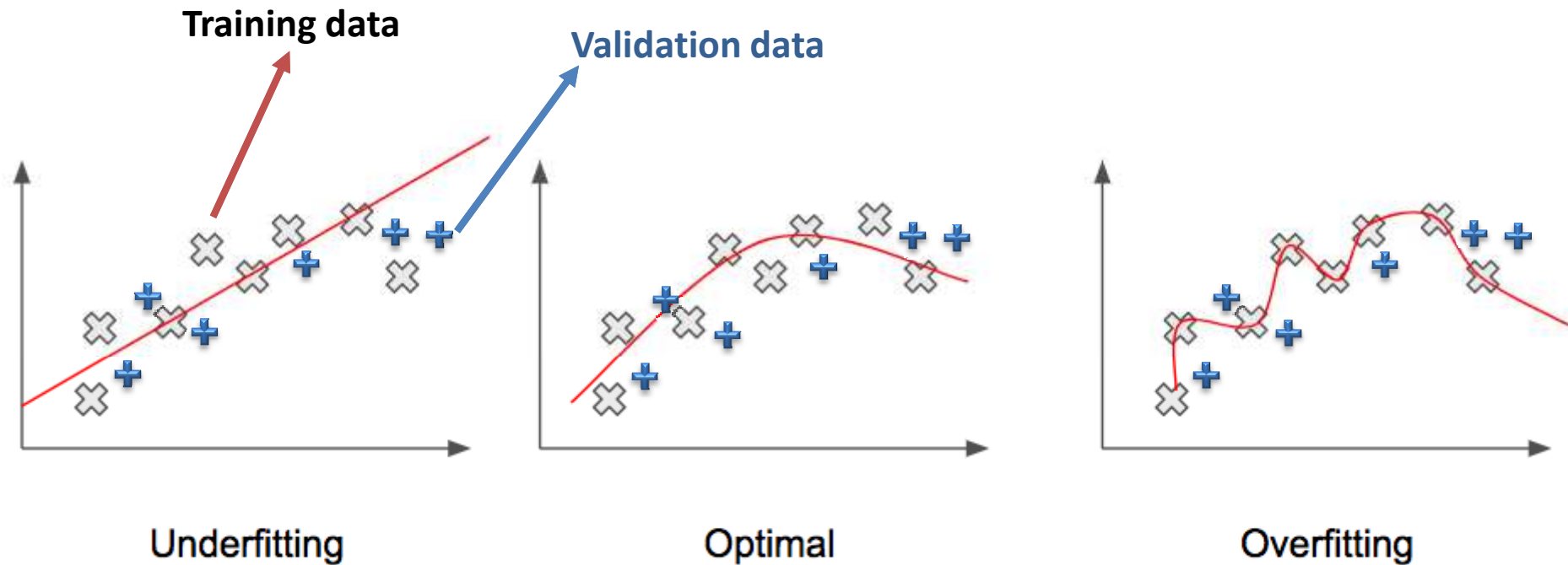
- Training - giving data to your method and letting it find a mapping between input and output variables.
- Validation - checking to see if this mapping still works when applied to data not in the training set.
- Testing - after the training is done, this last piece of data is used to check if the mapping we've got works - determines the predictive power.



# Overfitting



# Overfitting

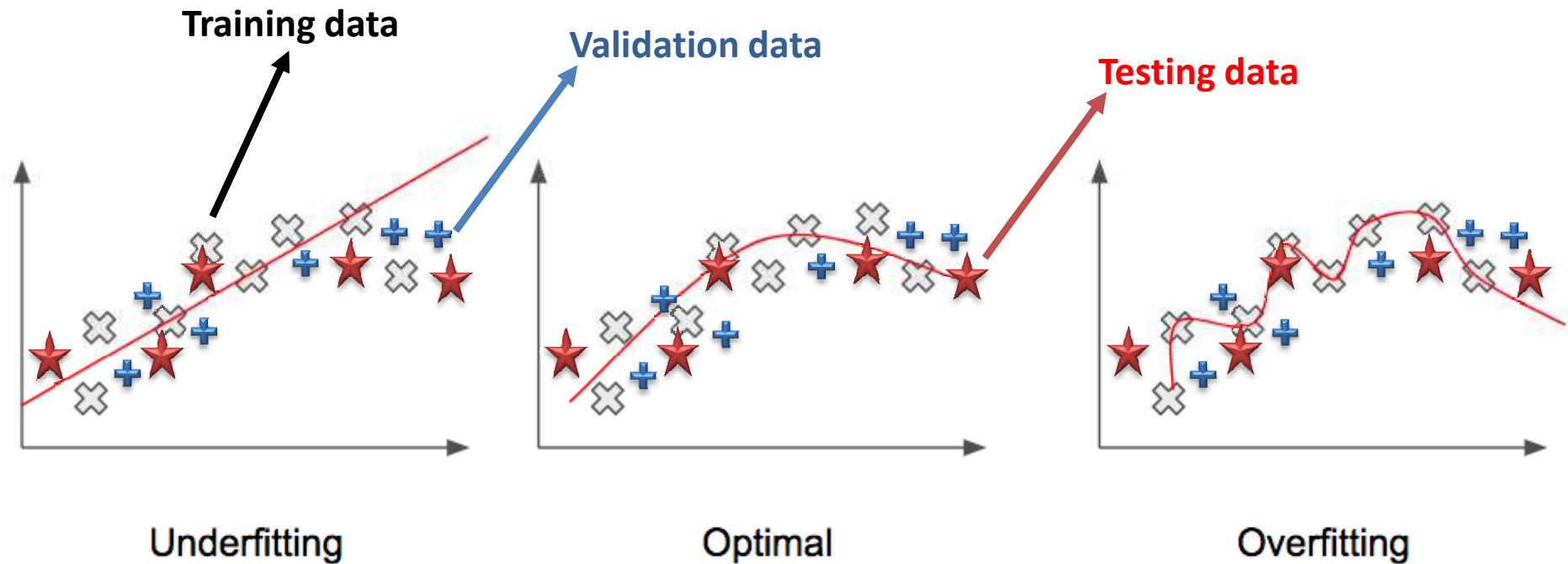


Mean squared error

$$\frac{1}{n} \sum_{i=1}^n (Y_i - \hat{Y}_i)^2$$

- \*  $n$  is the number of data points
- \*  $Y_i$  represents observed values
- \*  $\hat{Y}_i$  represents predicted values

# Overfitting



Mean squared error

$$\frac{1}{n} \sum_{i=1}^n (Y_i - \hat{Y}_i)^2$$

- \*  $n$  is the number of data points
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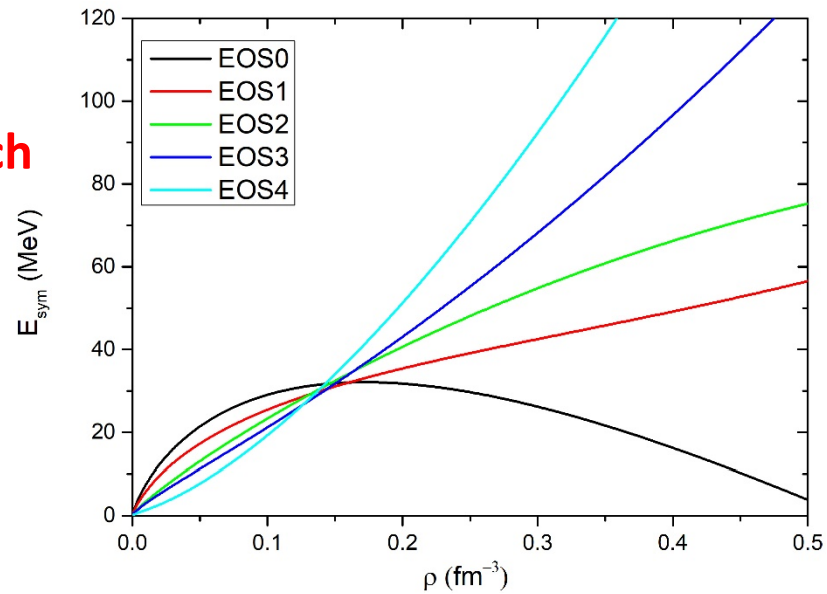


# Data Zoo

**Au+Au, b=5 fm**

**$E_{\text{lab}}=0.4$  GeV/nucleon**

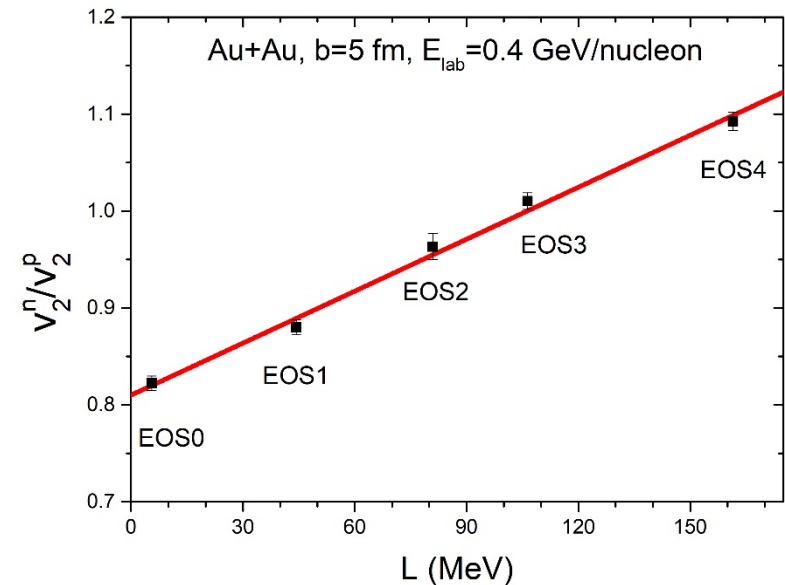
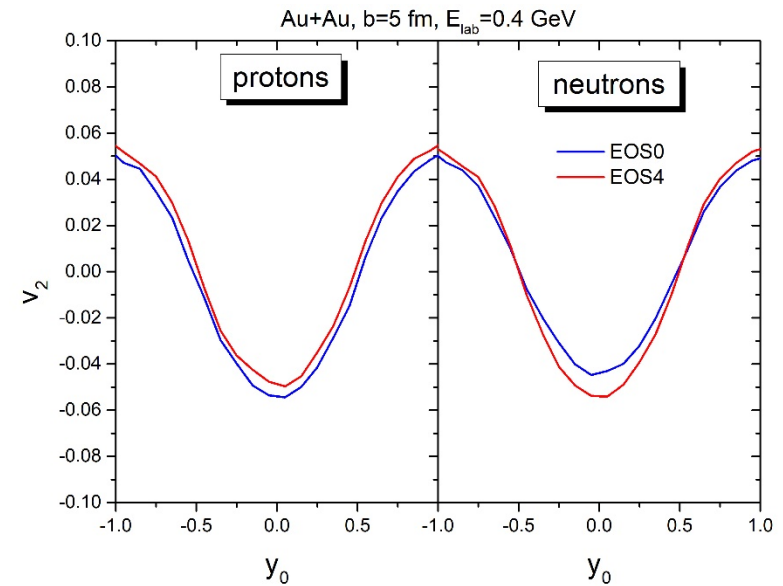
**800,000 events for each**

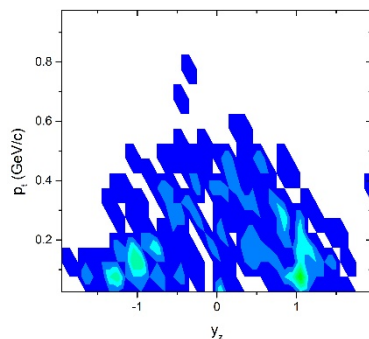


	Slope L (MeV)	Training	Validation	Testing
EOS0	5.8	60%	15%	25%
EOS1	44.3	60%	15%	25%
EOS2	81.2	60%	15%	25%
EOS3	106.4	60%	15%	25%
EOS4	159	60%	15%	25%

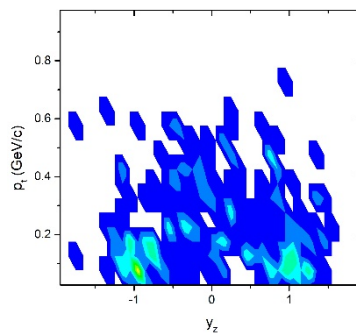
**Au+Au,  $b=5$  fm**  
 **$E_{\text{lab}}=0.4$  GeV/nucleon**  
**800, 000 events for each**

# Elliptic flow

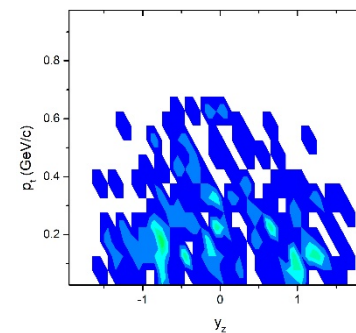




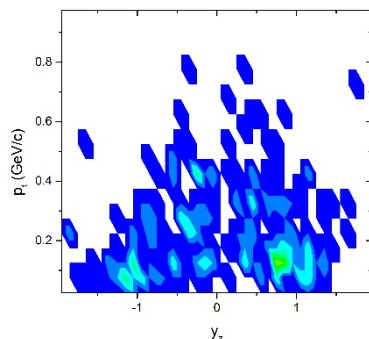
EOS0



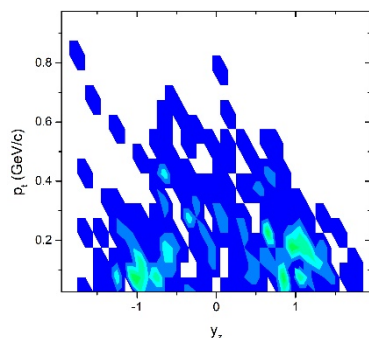
EOS1



EOS2



EOS3

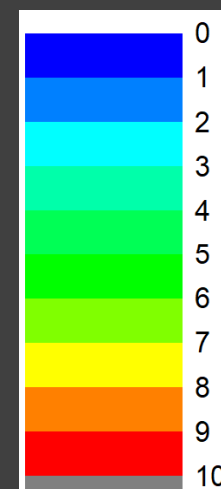


EOS4

$y_z$ : -2.0 to 2.0 with 40 bins  
 $p_t$ : 0 to 1 GeV/c with 20 bins

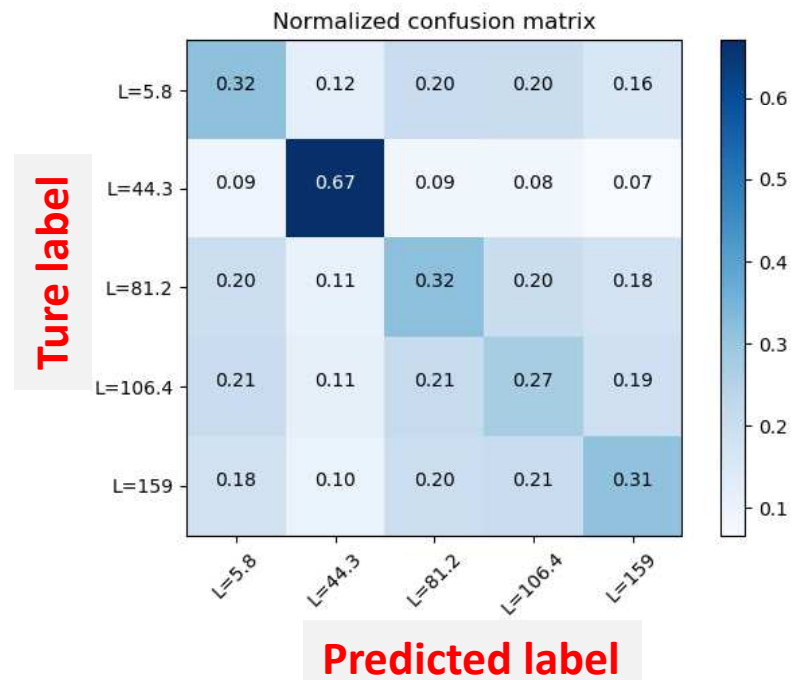
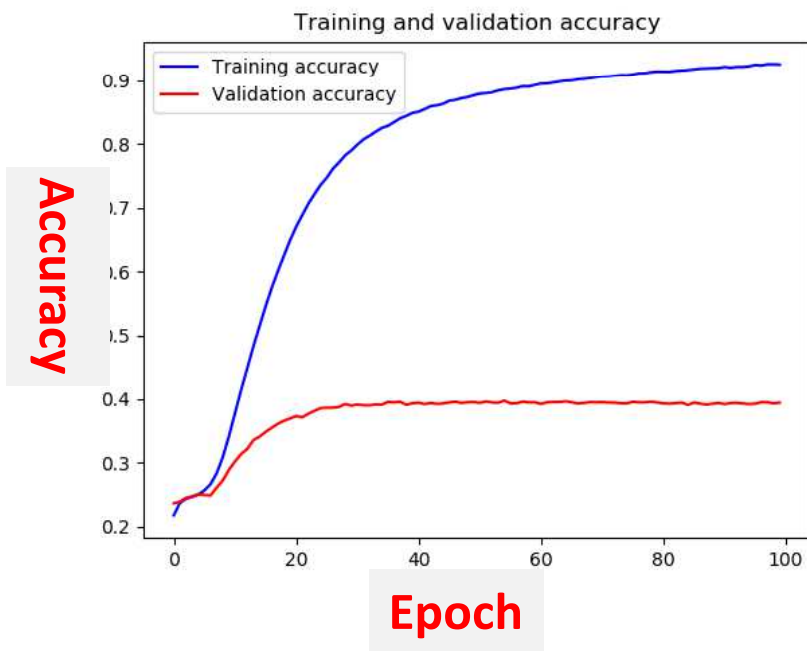
Data Zoo:  $y$  .vs.  $p_t$  of  
 proton from 1 events

20\*40=800 pixels



# Data Zoo: from 1 events

Epoch: The number times that the learning algorithm will work through the entire training dataset.



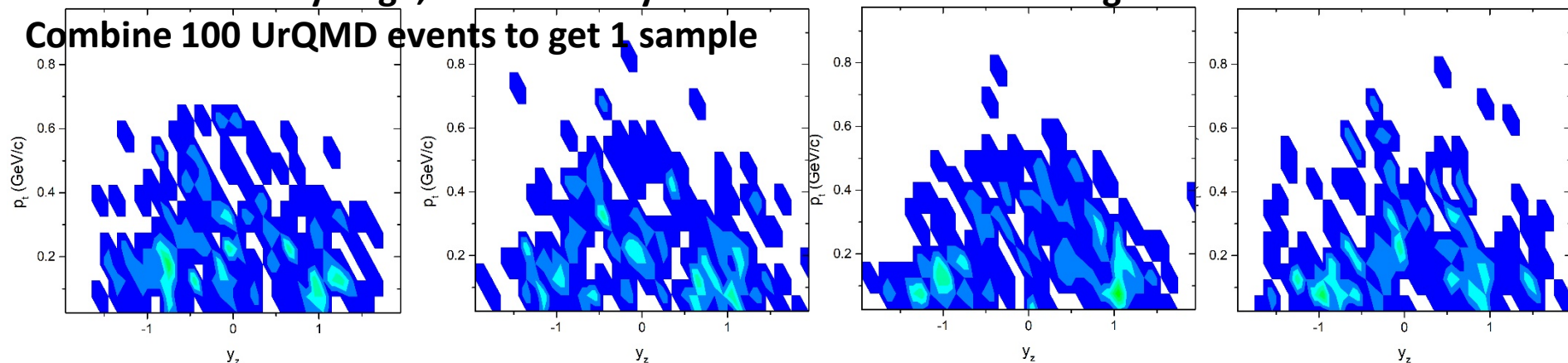
One epoch means that each sample in the training dataset has had an opportunity to update the internal model parameters.

# Data Zoo: from 1 events

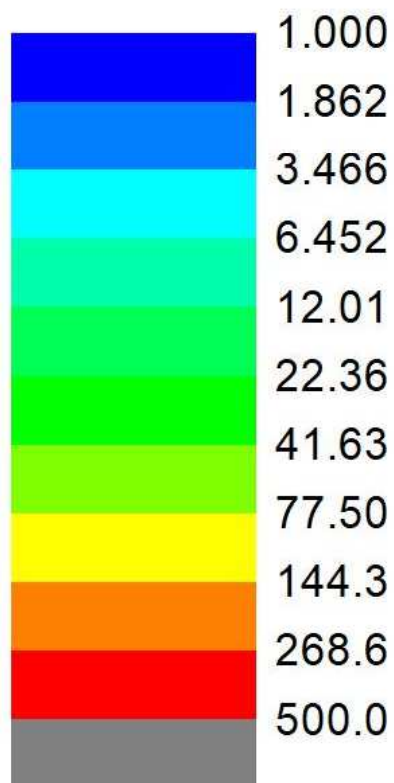
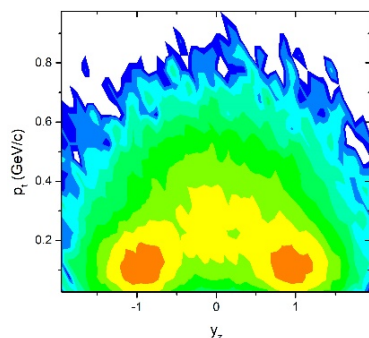
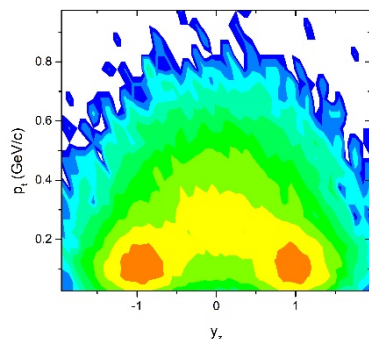
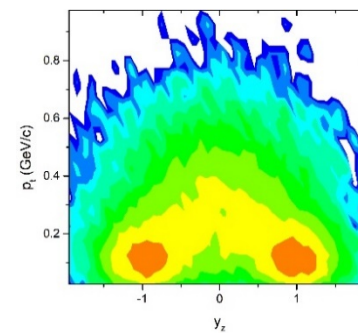
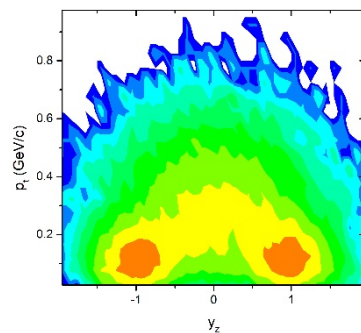
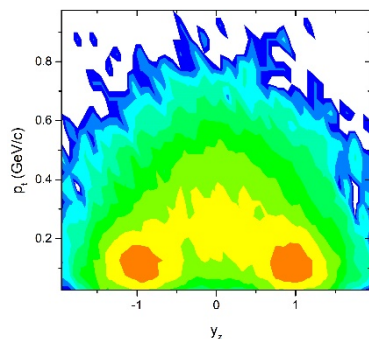
[illegible]

**Fluctuation is very large, thus it is very different to determine weights and biases.**

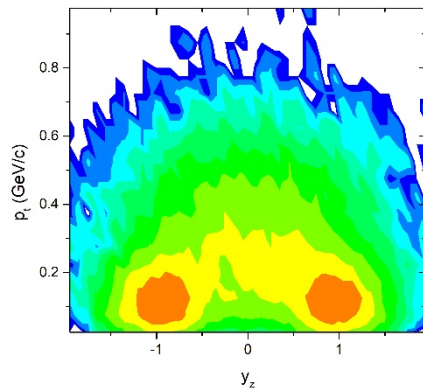
## Combine 100 UrQMD events to get 1 sample



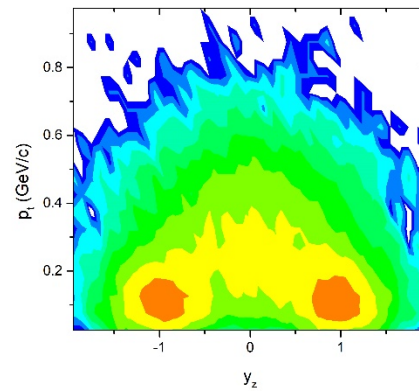




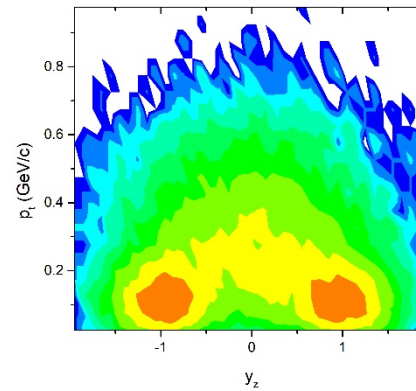
Data Zoo:  
1 sample  
combine  
with 100  
events



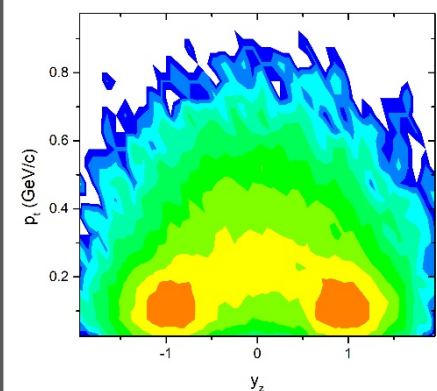
EOS4



EOS0



EOS0



EOS4

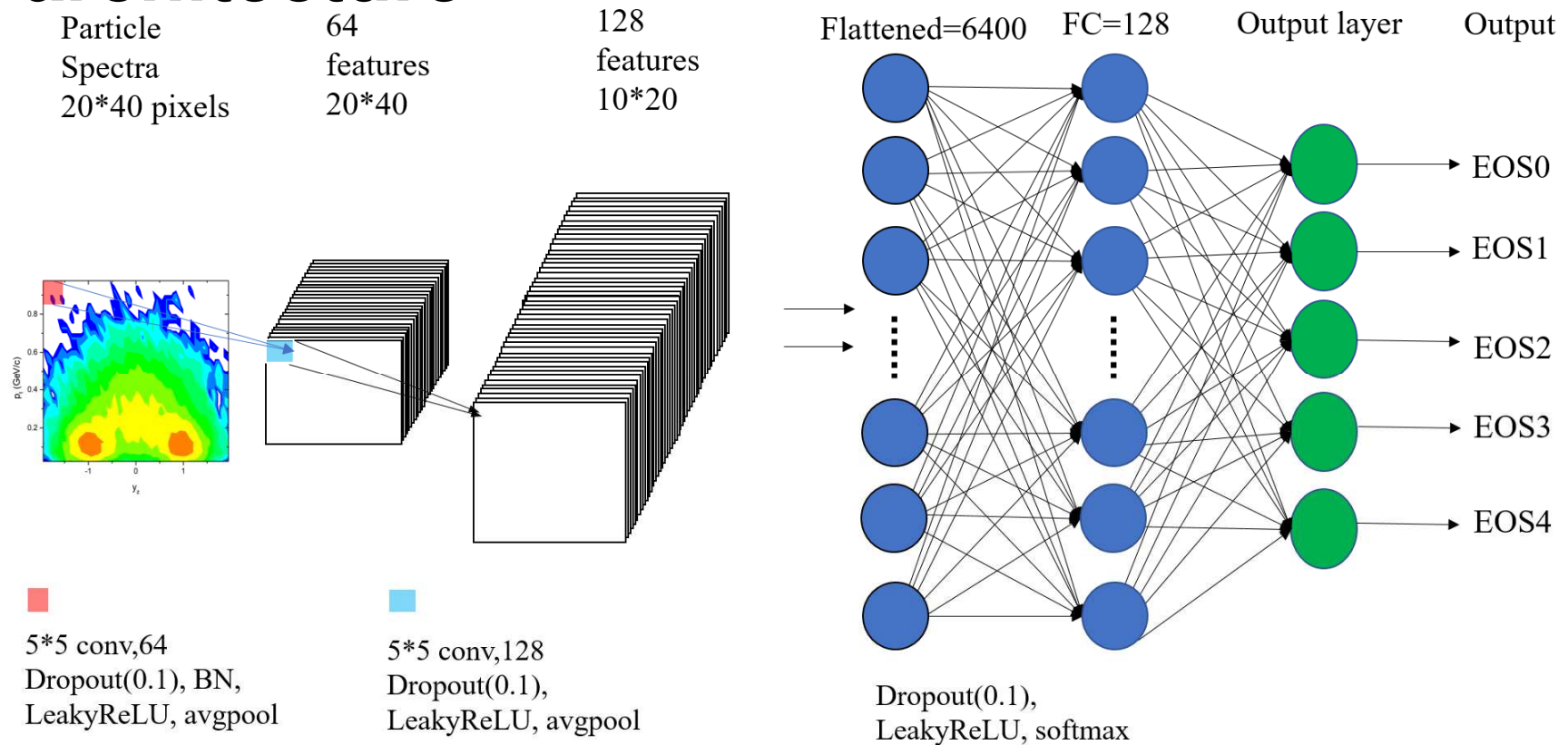
# Comparison of EOS0 and EOS4

Data Zoo :  
 $y$  .vs.  $p_t$  for  
proton

Totally 40,000 samples

	Slope L (MeV)	Training	Validation	Testing
<b>EOS0</b>	<b>5.8</b>	<b>4800</b>	<b>1200</b>	<b>2000</b>
<b>EOS1</b>	<b>44.3</b>	<b>4800</b>	<b>1200</b>	<b>2000</b>
<b>EOS2</b>	<b>81.2</b>	<b>4800</b>	<b>1200</b>	<b>2000</b>
<b>EOS3</b>	<b>106.4</b>	<b>4800</b>	<b>1200</b>	<b>2000</b>
<b>EOS4</b>	<b>159</b>	<b>4800</b>	<b>1200</b>	<b>2000</b>

# Convolution neural network (CNN) architecture



Two hidden layers

```

4 (12000, 20, 40, 1) (3000, 20, 40, 1) (12000, 5) (3000, 5)
5
6 Layer (type)                Output Shape                Param #
7 =====
8 conv2d_1 (Conv2D)           (None, 20, 40, 64)         1664
9
10 batch_normalization_1 (Batch Normalization) (None, 20, 40, 64)         160
11
12 leaky_re_lu_1 (LeakyReLU)   (None, 20, 40, 64)         0
13
14 dropout_1 (Dropout)         (None, 20, 40, 64)         0
15
16 average_pooling2d_1 (Average Pooling2D) (None, 10, 20, 64)         0
17
18 conv2d_2 (Conv2D)           (None, 10, 20, 128)        204928
19
20 leaky_re_lu_2 (LeakyReLU)   (None, 10, 20, 128)        0
21
22 dropout_2 (Dropout)         (None, 10, 20, 128)        0
23
24 average_pooling2d_2 (Average Pooling2D) (None, 5, 10, 128)         0
25
26 flatten_1 (Flatten)         (None, 6400)               0
27
28 dense_1 (Dense)             (None, 128)                819328
29
30 leaky_re_lu_3 (LeakyReLU)   (None, 128)                0
31
32 dropout_3 (Dropout)         (None, 128)                0
33
34 dense_2 (Dense)             (None, 5)                  645
35 =====
36 Total params: 1,026,725
37 Trainable params: 1,026,645
38 Non-trainable params: 80
39
40 Train on 12000 samples, validate on 3000 samples

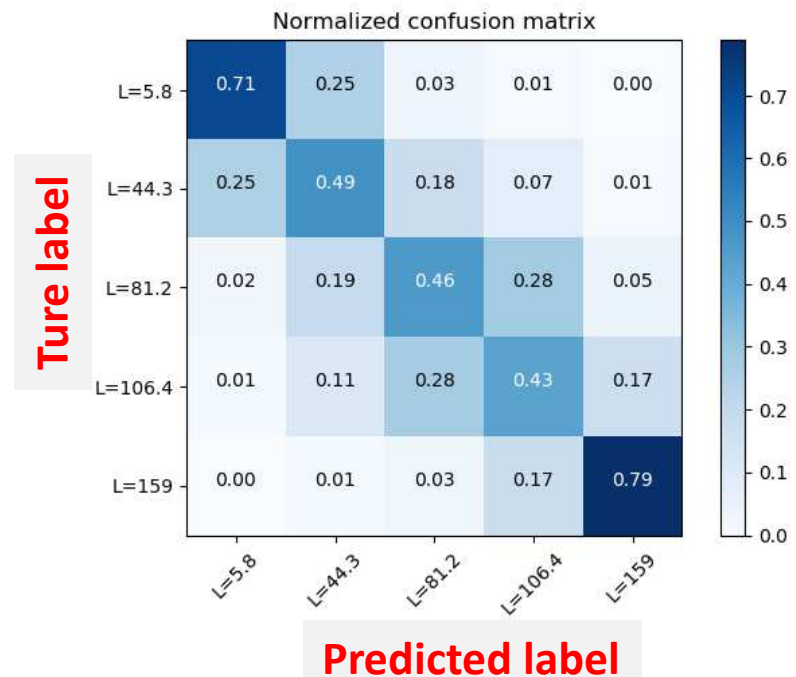
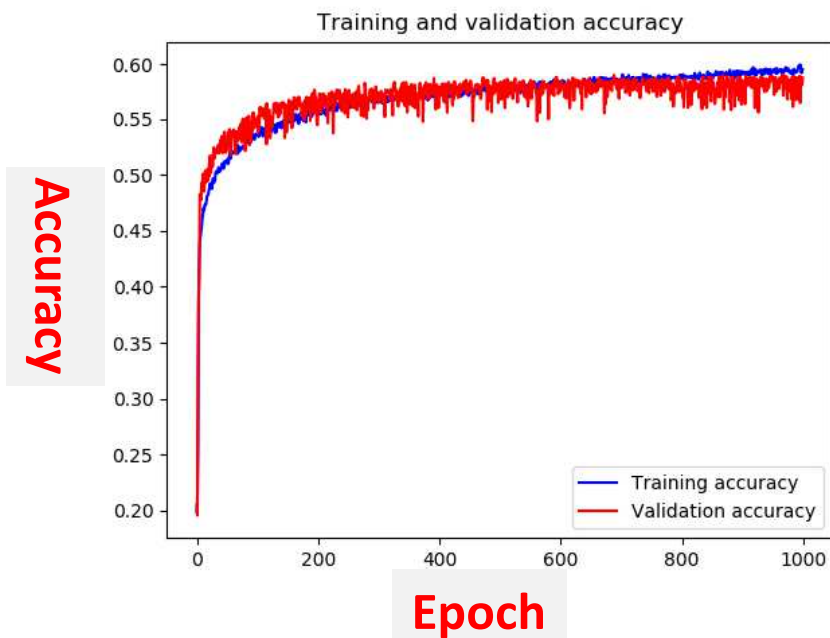
```

**One million parameters**



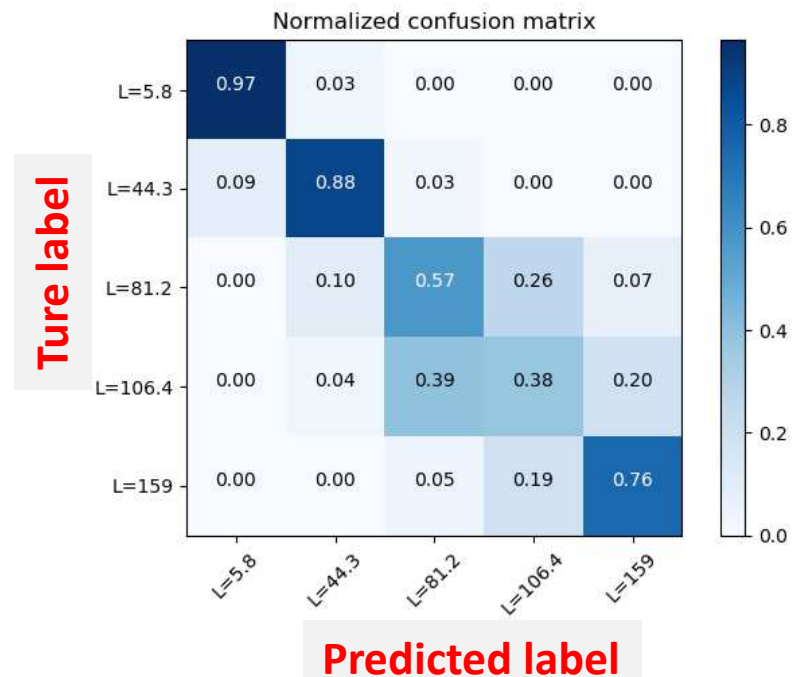
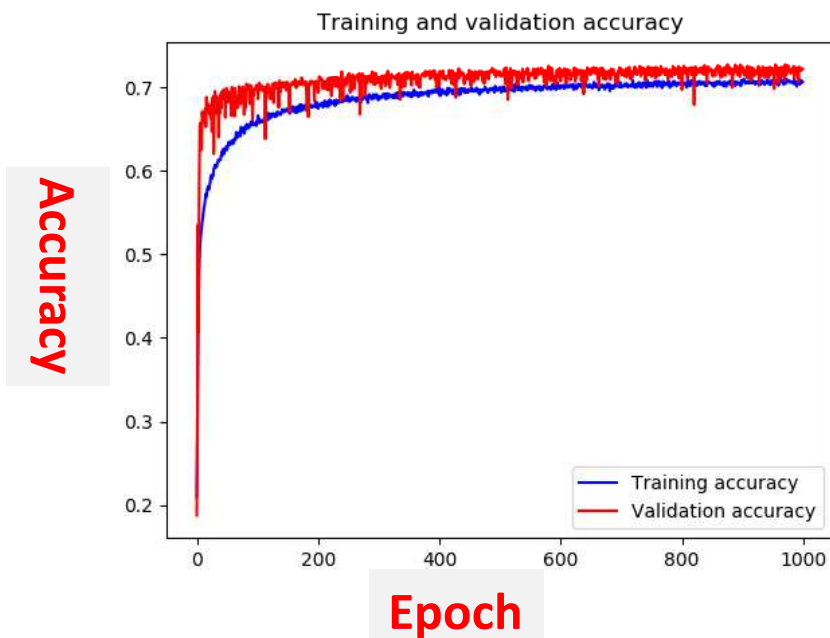
# Results: $\gamma$ .vs. $p_t$ for protons

## Classification



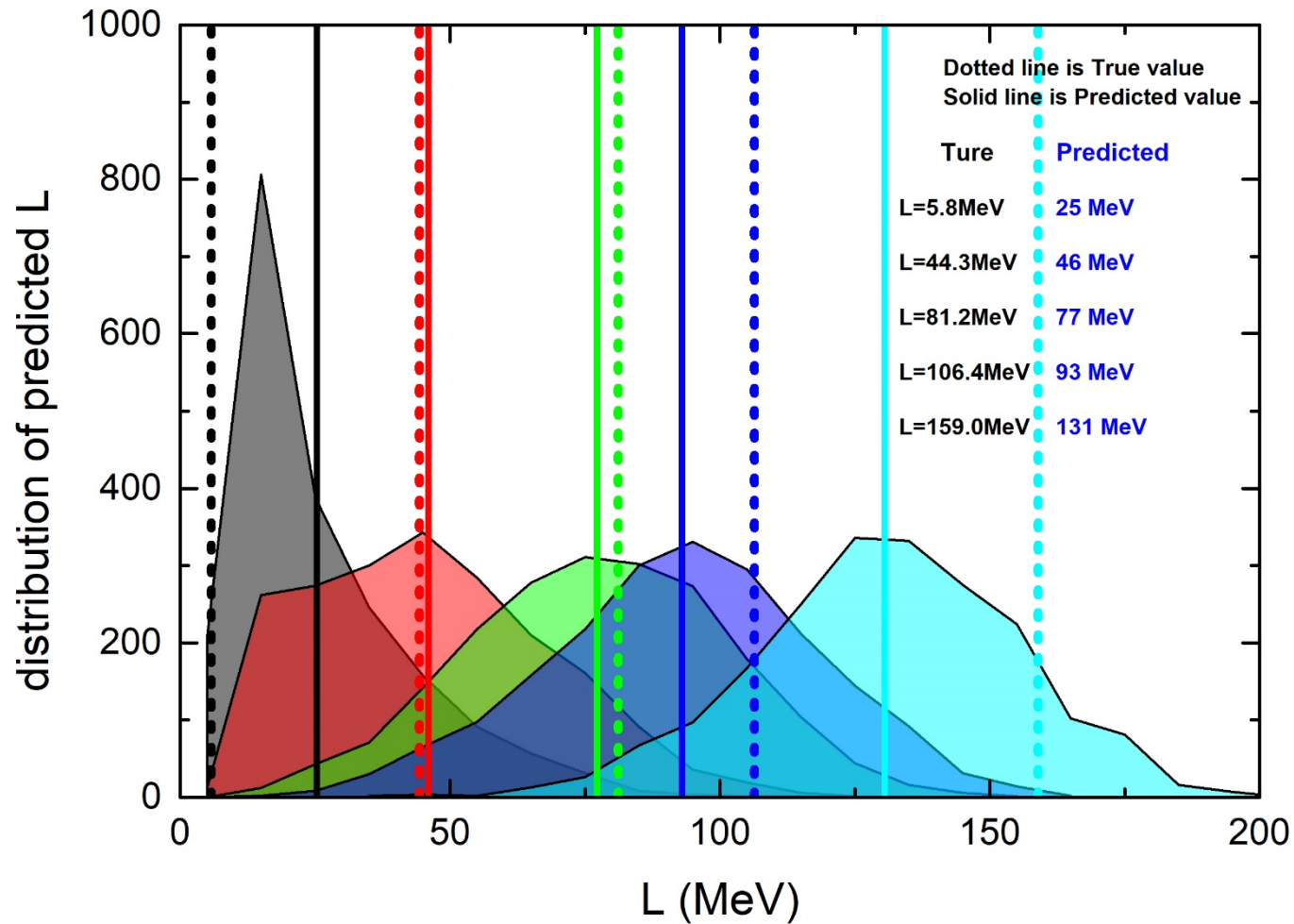
# Results: $y$ .vs. $p_t$ for neutrons

## Classification



# Results: $y$ .vs. $p_t$ for protons

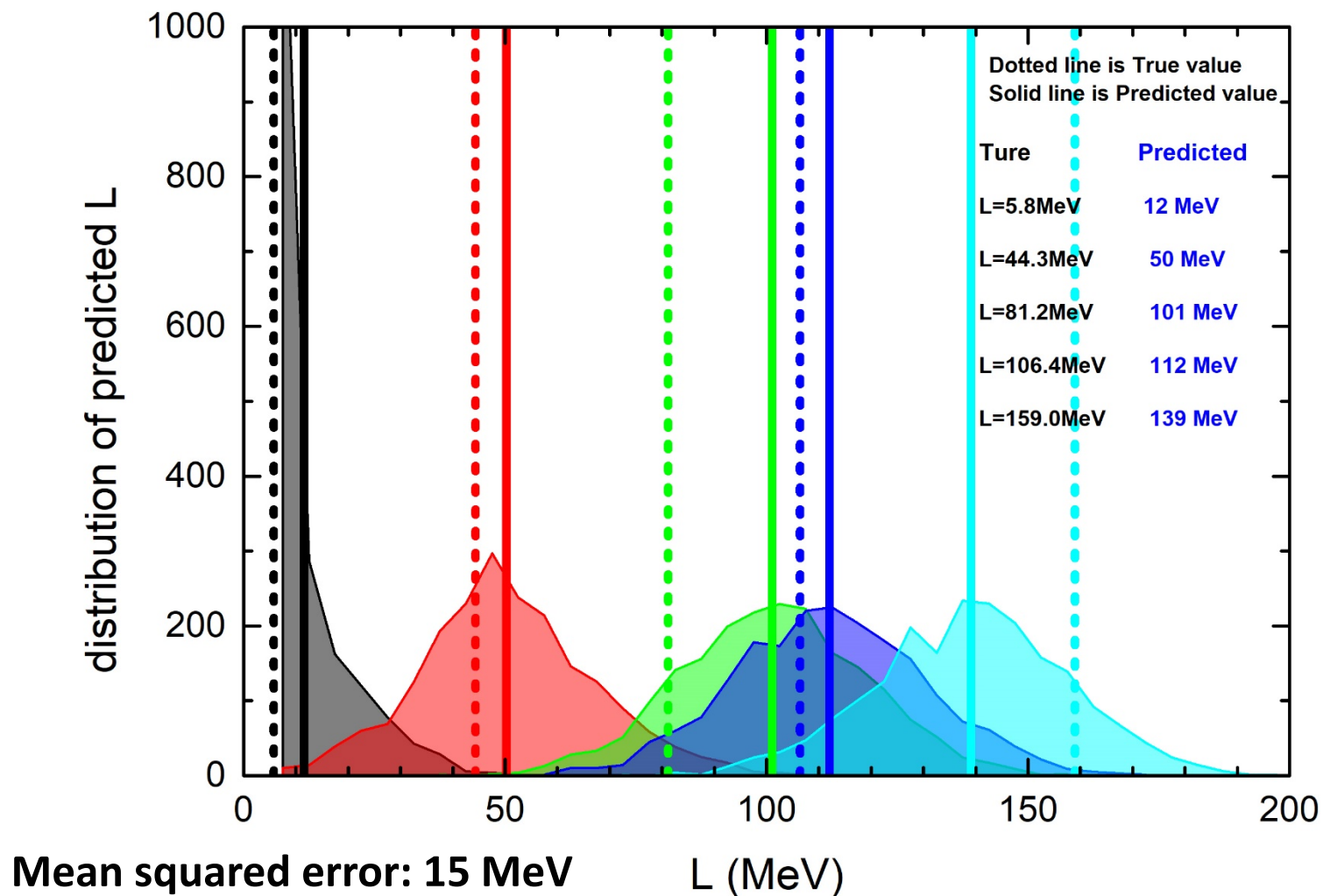
## Regression



Mean squared error: 22 MeV

# Results: $\gamma$ .vs. $p_t$ for neutrons

## Regression



# Summary

- Deep learning can be used to study nuclear symmetry energy.
- 100 events = 1 samples, with proton  $\gamma$ - $p_t$  data, accuracy is about 55%, but with neutron data, accuracy can reach to 70%.
- For regression task, mean squared error are about 22 and 15 MeV for proton and neutron data, respectively.



# Outlook

- Collecting different data, e.g.,  $y$ - $p_t$ - $v_1/v_2$ ,  $p_t$ - $\theta/\varphi$
- Collecting more data from different models
- Using data from experiments
- The use of AI in heavy-ion physics has yet to reach its full potential.

Thanks for your attention!