

Light clusters and pasta phases

Constança Providência

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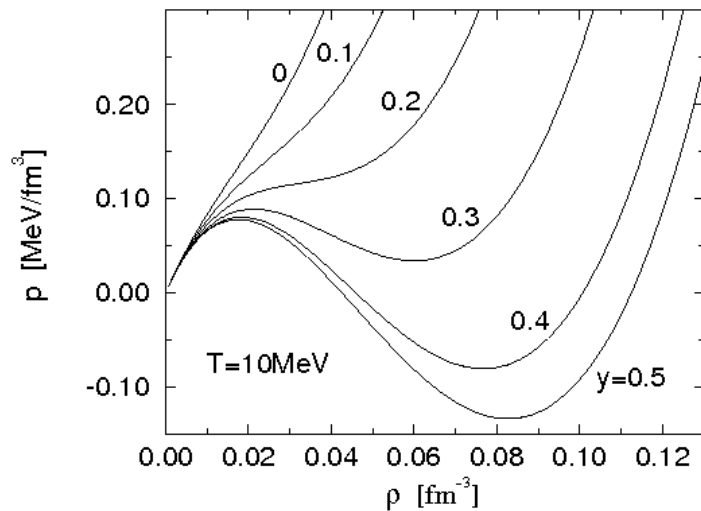


Motivation

- ▶ How can we describe the warm non-homogeneous stellar matter self-consistently?
- ▶ Which are the effects of including explicitly light clusters?
- ▶ What kind of information do we get from HIC to constrain neutron star matter?

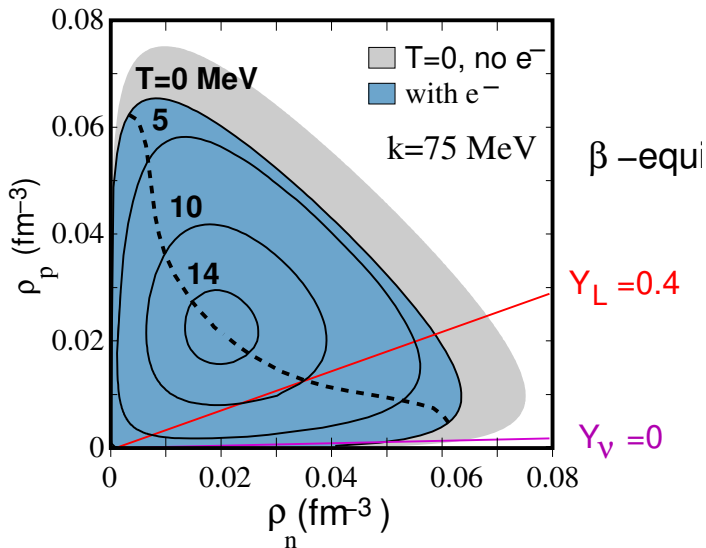
Collaboration: **Helena Pais** (Coimbra, Portugal),
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(Rostock, Germany) and **Márcio Ferreira** (Coimbra,
Portugal), **Sidney Avancini** (UFSC, Brazil)

Nuclear Matter: Liquid-Gas Phase Transition



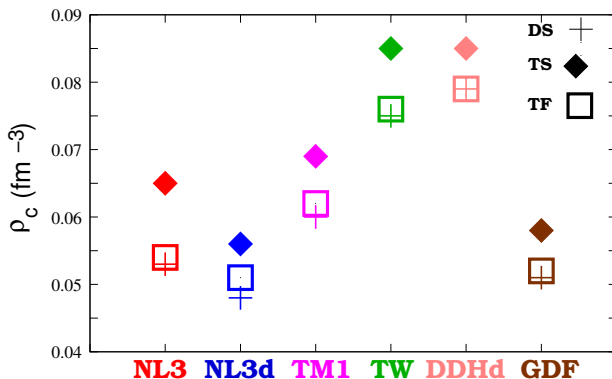
Dynamical Spinodal

Dynamical versus thermodynamical Spinodal



Transition density

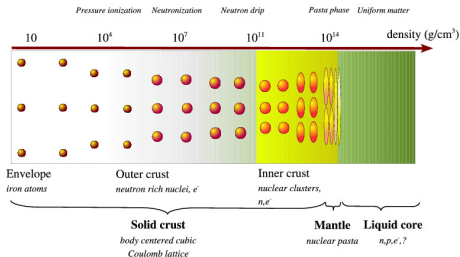
Pasta (TF) versus dynamical and thermodynamical spinodals, $T=0$



(Avancini *et al* PRC82(2010))

DS - Dynamical spinodal; TS - Thermodynamical spinodal; TF - Thomas Fermi

Crust



► Cold catalyzed matter

(Chamel and Haensel, Living Reviews 2008)

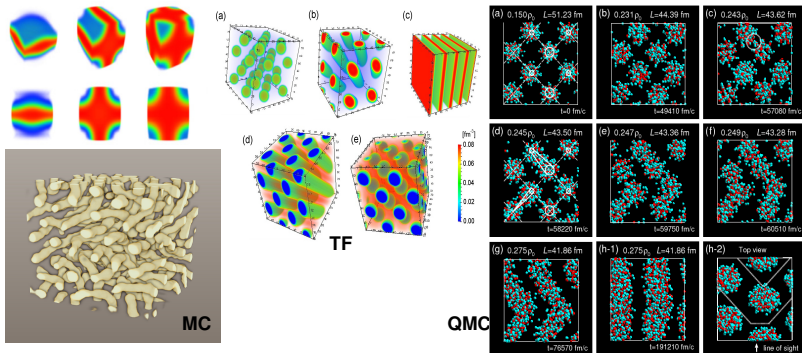
- Surface on NS: $p = 0$; ^{56}Fe
- a bit deeper: nuclei embedded in a electron sea
- $\rho > \rho_{\text{drip}}$: nucleons form clusters not necessarily spherical that take up lattice positions in a background gas of neutrons and electrons
- **Above cristalization temperature:** the crust melts and light clusters contribute to the equilibrium
- **Light clusters play a role:** neutron star cooling, accreting systems, and binary mergers.

Inner crust and pasta phases

- ▶ In the inner crust the attractive nuclear and repulsive atomic length scales are comparable
 - ▶ leads to a complex ground state
 - ▶ gives rise to non-spherical shapes (rod, slabs, tubes, bubbles...)
 - ▶ should have unusual dynamical and transport properties
- ▶ **First discussed:** liqui drop model
 - ▶ Ravenhall, Pethick, Wilson, PRL27, 1983
 - ▶ Hashimoto, Seki, Yamada, PTP71 (1984)
- ▶ **Developements**
 - ▶ Liquid drop models
 - ▶ Thomas Fermi approximation: Skyrme and RMF models
 - ▶ Hartree-Fock (+BCS) approximation with the Skyrme forces
 - ▶ Classical molecular dynamics (CMD)
 - ▶ Quantum molecular dynamics (QMD)

Pasta phase

Different methods



3D-HF (Newton, Stone, Pais),

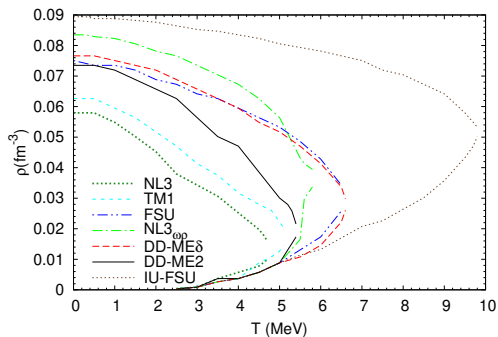
CMD (Horowitz et. al),

QMD (Watanabe et al)

TF (Okomada et al)

Effect of T on pasta extension

β -equilibrium matter, no light clusters



- The critical temperature T_{crit} is model dependent

Light clusters in neutron stars

- ▶ Light clusters influence supernova matter properties

(Arcones et al PRC78 (2008), Heckel et al PRC80 2009, Lalit et al EPJA 55:10 2019)

- ▶ Supernova EoS with clusters:

- ▶ **single nucleus approximation** (Lattimer & Swesty (CLDM) , Shen et al (RMF)) Wigner Seitz approximation, a single nucleus in equilibrium with a gas of nucleons, electrons, α -particles (within excluded volume approximation)
- ▶ **nuclear statistical equilibrium**: (Hempel & Schaffner-Bielich, Raduta & Gulminelli) all possible nuclear species in statistical equilibrium, excluded volume describes interaction of clusters with background gas

Light clusters in neutron stars

- ▶ Supernova EoS with clusters:
 - ▶ quantum statistical approach (QS): quantum correlations with the medium, takes into account the excited states and temperature effect. But, the mass shifts available only for a few nuclear species and a limited density domain. Can be implemented only with approximations (Typel et al 2010)
 - ▶ RMF approach with light clusters
 - ▶ considered as new degrees of freedom.
 - ▶ characterized by a density, and possibly temperature, dependent effective mass,
 - ▶ interact with the medium via meson couplings.
 - ▶ In-medium effects are incorporated via the meson couplings, the effective mass shift, or both.
 - ▶ Constraints are needed to fix the couplings!

Constraining light clusters

- ▶ Cluster formation has been measured in heavy ion collisions (Qin et al PRL 108 (2012), Hagel et al PRL108,062702): equilibrium constants, Mott points and medium cluster binding energies
- ▶ Cluster formation in Supernova EOS constrained with equilibrium constants from HIC was studied in (Hempel et al PRC91, 045805 (2015))
 - ▶ the SN EoS should incorporate: mean-field interactions of nucleons, inclusion of all relevant light clusters, and a suppression mechanism of clusters at high densities

EOS

RMF Lagrangian for stellar matter

- **Lagrangian density**: $\mathcal{L} = \mathcal{L}_N + \mathcal{L}_C + \mathcal{L}_m + \mathcal{L}_e$
 - nucleons, tritium, helion

$$\mathcal{L}_j = \bar{\psi}_j \left[\gamma_\mu i D_j^\mu - M_j^* \right] \psi_j \quad i = p, n, t, 3he$$

- alphas, deuterons

$$\mathcal{L}_\alpha = \frac{1}{2} (i D_\alpha^\mu \phi_\alpha)^* (i D_{\mu\alpha} \phi_\alpha) - \frac{1}{2} \phi_\alpha^* M_\alpha^2 \phi_\alpha,$$

$$\mathcal{L}_d = \frac{1}{4} (i D_d^\mu \phi_d^\nu - i D_d^\nu \phi_d^\mu)^* (i D_{d\mu} \phi_{d\nu} - i D_{d\nu} \phi_{d\mu}) - \frac{1}{2} \phi_d^{\mu*} M_d^2 \phi_{d\mu},$$

$$i D_j^\mu = i \partial^\mu - \textcolor{red}{g}_{vj} \omega^\mu - \frac{\textcolor{red}{g}_{\rho j}}{2} \boldsymbol{\tau} \cdot \mathbf{b}^\mu,$$

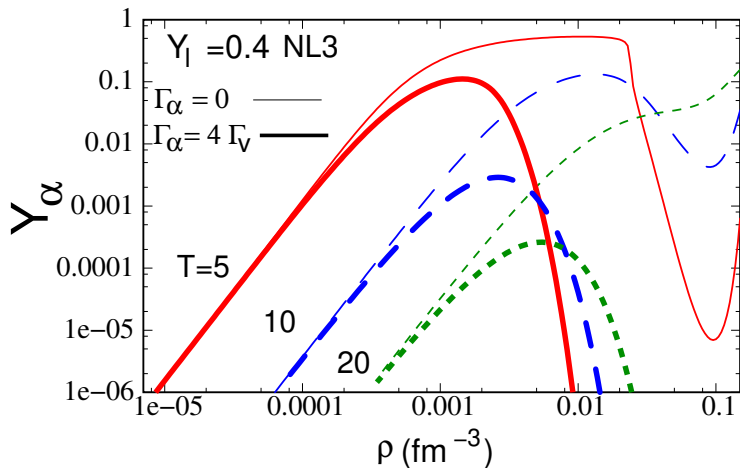
$$M_j^* = m^* = m - g_s \phi_0, \quad j = p, n$$

$$M_j^* = M_j - \textcolor{red}{g}_{sj} \phi_0 - \textcolor{red}{B}_j, \quad j = t, h, d, \alpha$$

- **couplings: constrained by HIC data ou first principle calculations**

Cluster-Vector meson coupling g_{vj}

α particles in homogeneous matter, β -equilibrium matter with trapped neutrinos



- ▶ $g_{vj} = A_j g_v$
- ▶ ω -meson: partially the classical Excluded Volume mechanism

Mass shift in clusters - g_{sj}

- ▶ Binding energy for each cluster: $B_j = A_j m^* - M_j^*$
- ▶ $m^* = m - g_s \phi_0$, nucleon effective mass
- ▶ $M_j^* = A_j m - g_{sj} \phi_0 - (B_j^0 + \delta B_j)$, cluster effective mass
- ▶ $g_{sj} = x_{sj} A_j g_s$, the cluster- scalar meson coupling
 - ▶ needs to be determined from experiments

Mass shift in clusters - δB_j

- ▶ Binding energy for each cluster $B_j = A_j m^* - M_j^*$
- ▶ $m^* = m - g_s \phi_0$, nucleon effective mass
- ▶ $M_j^* = A_j m - g_{sj} \phi_0 - (B_j^0 + \delta B_j)$, cluster effective mass
- ▶ $-\delta B_j = \frac{Z_j}{\rho_0} (m \rho_p^* - \epsilon_p^*) + \frac{N_j}{\rho_0} (m \rho_n^* - \epsilon_n^*)$
 ϵ_j^* and ρ_j^* associated with the gas lowest energy levels

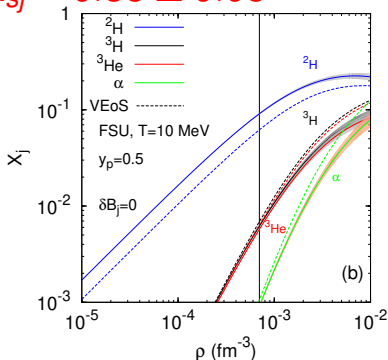
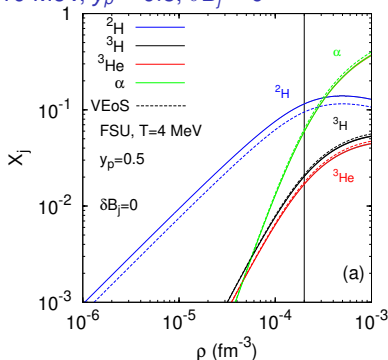
$$\epsilon_j^* = \frac{1}{\pi^2} \int_0^{p_{F_j}^{(\text{gas})}} p^2 e_j(p) (f_{j+}(p) + f_{j-}(p)) dp$$
$$\rho_j^* = \frac{1}{\pi^2} \int_0^{p_{F_j}^{(\text{gas})}} p^2 (f_{j+}(p) + f_{j-}(p)) dp,$$

- ▶ the energy states occupied by the gas are excluded: **double counting avoided!**
- ▶ δB_j contributes to the ω and ρ -meson equations of motion
 ρ_n and ρ_p are written in terms of V_0 and b_0

Determination of x_s : Virial EoS

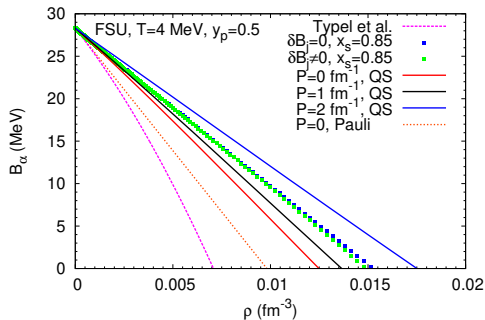
$T = 4, 10 \text{ MeV}$, $y_p = 0.5$, $\delta B_j = 0$ -

$$x_{sj} = 0.85 \pm 0.05$$

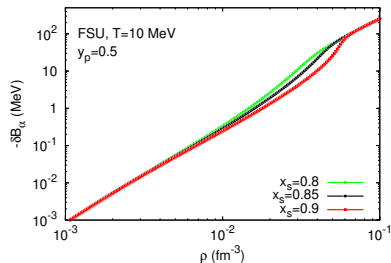
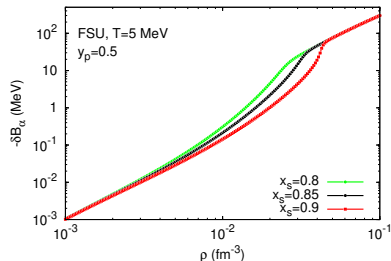


- ▶ **VEoS**: model independent constraint, only depends on experimental binding energies and scattering phase shifts
- ▶ Provides correct zero-density limit for finite T EoS
 - ▶ $\rho_j \lambda_j^3 \ll 1$, λ_j thermal wavelength of particle (black line $\rho_n \lambda^3 = 0.01$)
- ▶ **Breaks down** when the interaction with particles becomes stronger
 $\rightarrow \delta B_j$ takes action

Contribution of δB_j

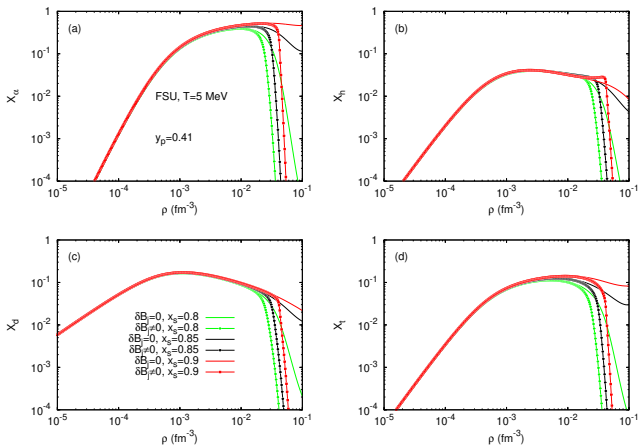


- ▶ δB_j completely negligible in the VEOs range of densities
- ▶ but rises fast for larger densities



Cluster fractions: effect of δB_j

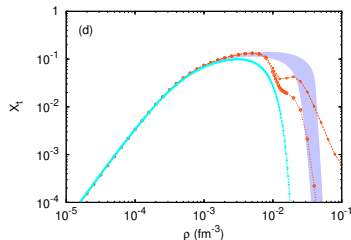
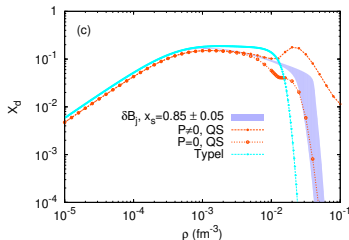
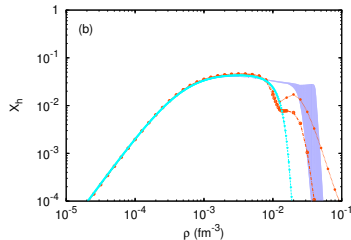
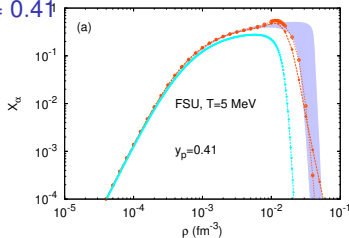
FSU, $T = 5$ MeV, $y_p = 0.41$ with $g_{vj} = A_j g_v$



- δB_j is important for dissolution of clusters!
- The higher x_s the higher the dissolution density:
 $0.04 < \rho_{dis} < 0.06 \text{ fm}^{-3}$

Cluster fractions: comparing approaches

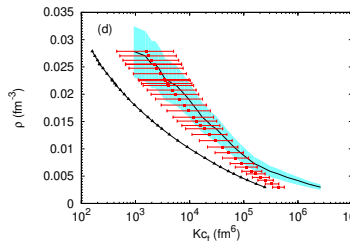
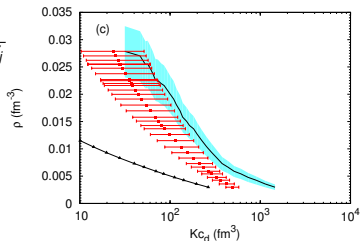
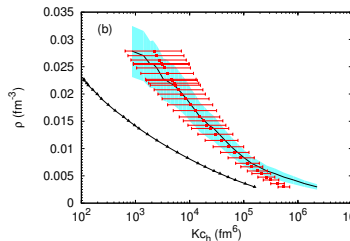
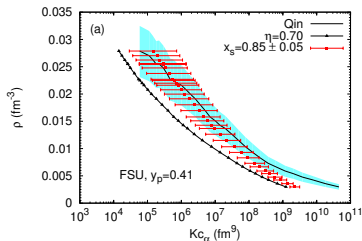
FSU, $T = 5$ MeV, $y_p = 0.41$



- ▶ **blue band:** $0.8 \leq g_{sj} \leq 0.9$
- ▶ **Type1 et al:** empirical quadratic term in ρ : too much suppression at high ρ
- ▶ **QS:** Pauli blocking strongly dependent on CM motion, correlations shift Mott density to larger densities

Equilibrium constants

Qin et al, PRL 108, 172701 2012



- K_c from HIC:

$$K_c[j] = \frac{\rho_j}{N_j Z_j \rho_n \rho_p}$$

- Unique existing constraint on in-medium modifications of light clusters at finite T
- with $g_{sj} = (0.85 \pm 0.05) A_j g_s$

EoS for HM with light clusters

- ▶ The total baryonic density:

$$\rho = \rho_p + \rho_n + \sum_{\text{cluster } j} A_j \rho_j$$

- ▶ Global proton fraction

$$Y_p = y_p + \sum_j \frac{Z_j}{A_j} y_j$$

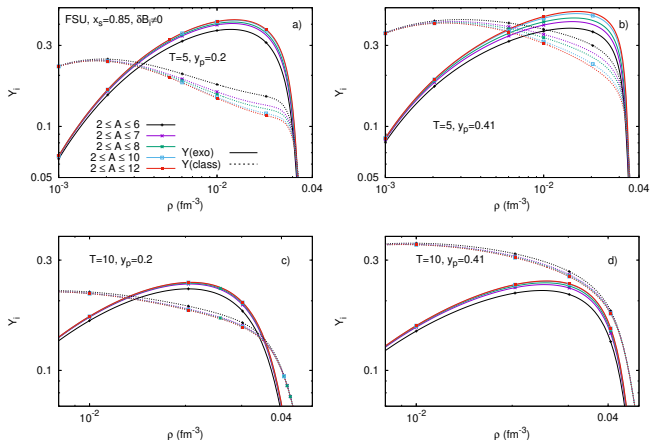
with $y_i = A_i \rho_i / \rho$ the mass fraction of cluster i

- ▶ Charge neutrality: $\rho_e = Y_p \rho$
- ▶ Light clusters are in chemical equilibrium

$$\mu_i = N_i \mu_n + Z_i \mu_p$$

Light clusters: including “exotic” clusters, $A > 4$

Pais Phys Rev C 99, 055806

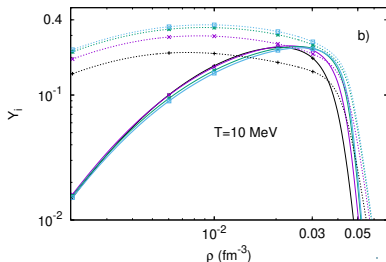
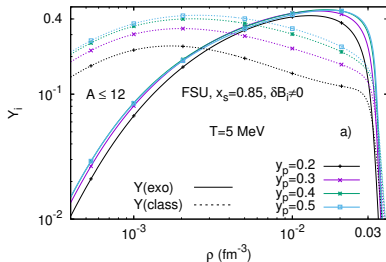


- ▶ classic clusters: d , t , h , α
- ▶ $Y_{light} = \sum_{i=2}^{A_{max}} Y_i$ $Y_{exo} = Y_{light} - Y_{class}$
- ▶ The largest contribution of the “exotic” clusters occurs for intermediate densities, when the total distribution of clusters has a peak

Exotic clusters and proton fraction

Pais Phys Rev C 99, 055806

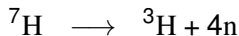
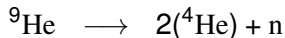
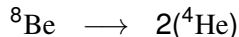
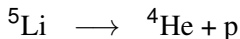
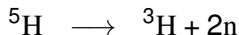
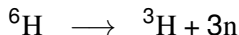
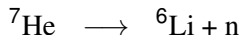
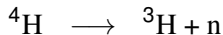
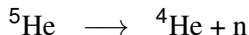
- ▶ “exotic” clusters do not play a role at small densities and close to the transition to homogeneous matter
- ▶ but at the maximum: “exotic” clusters are more abundant if T not too high (differences disappear at $T > 10$)
- ▶ at the maximum distribution, the difference between “exotic” and “classical” clusters increases as the proton fraction decreases



Exotic clusters: decays and effective densities

Pais Phys Rev C 99, 055806

Decay modes:



Effective densities, $\tilde{\rho}_i$:

$$\tilde{\rho}_{{}^4\text{He}} = \rho_{{}^4\text{He}} + \rho_{{}^5\text{He}} + \rho_{{}^5\text{Li}} + 2\rho_{{}^8\text{Be}} + 2\rho_{{}^9\text{He}}$$

$$\tilde{\rho}_{{}^3\text{H}} = \rho_{{}^3\text{H}} + \rho_{{}^4\text{H}} + \rho_{{}^5\text{H}} + \rho_{{}^6\text{H}} + \rho_{{}^7\text{H}}$$

$$\tilde{\rho}_{{}^6\text{Li}} = \rho_{{}^6\text{Li}} + \rho_{{}^7\text{He}}$$

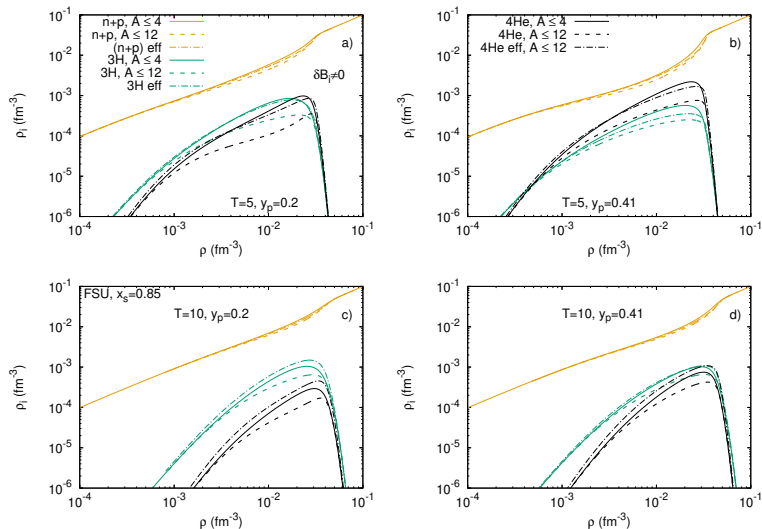
$$\tilde{\rho}_{{}^7\text{Li}} = \rho_{{}^7\text{Li}} + \rho_{{}^7\text{Be}}$$

$$\begin{aligned}\tilde{\rho}_{{}^3\text{H}} = & \rho_{{}^3\text{H}} + \rho_{{}^5\text{He}} + \rho_{{}^4\text{H}} + \rho_{{}^7\text{He}} + 3\rho_{{}^6\text{H}} \\ & + 2\rho_{{}^5\text{H}} + \rho_{{}^9\text{He}} + 4\rho_{{}^7\text{H}}\end{aligned}$$

$$\tilde{\rho}_{{}^4\text{He}} = \rho_{{}^4\text{He}} + \rho_{{}^5\text{Li}}$$

Light clusters: accounting for exotic cluster decays

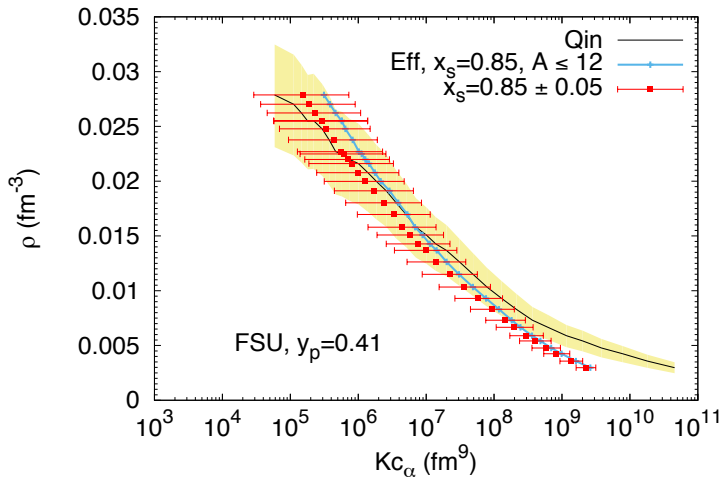
Pais et al PRC99, 055806



- “exotic” clusters: non-negligible role at intermediate densities
- “classical” light clusters only takes into account, in a reasonable way, the distribution of light clusters with $A \leq 12$

Exotic cluster decays and equilibrium constants K_c

How important are clusters with $A \leq 12$?



Equilibrium constant: $K_c[i] = \rho_i / (\rho_p^{Z_i} \rho_n^{N_i})$

Light clusters: average isospin, charge, mass number

Role of isospin, charge and mass

Define

- ▶ the average isospin of the light clusters, $\langle I \rangle$

$$\langle I \rangle = \frac{\sum_i I_i \rho_i}{\sum_i \rho_i}$$

- ▶ the average charge $\langle Z \rangle$

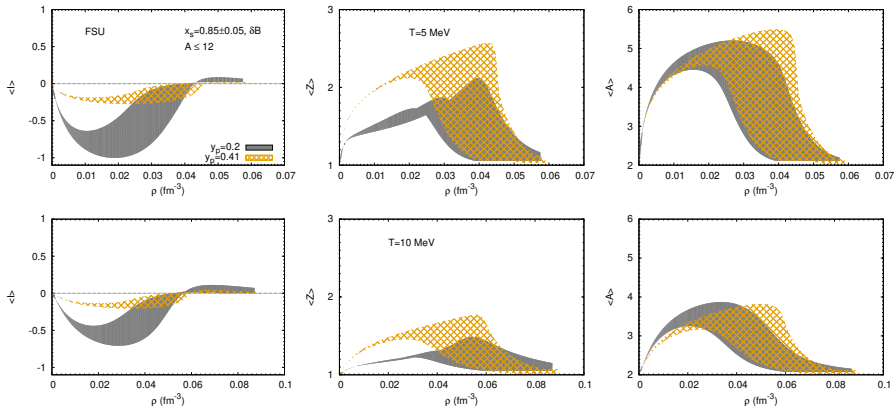
$$\langle Z \rangle = \frac{\sum_i Z_i \rho_i}{\sum_i \rho_i}$$

- ▶ the average number of nucleons in the light clusters $\langle A \rangle$

$$\langle A \rangle = \frac{\sum_i A_i \rho_i}{\sum_i \rho_i}$$

Light clusters: average isospin, charge, mass number

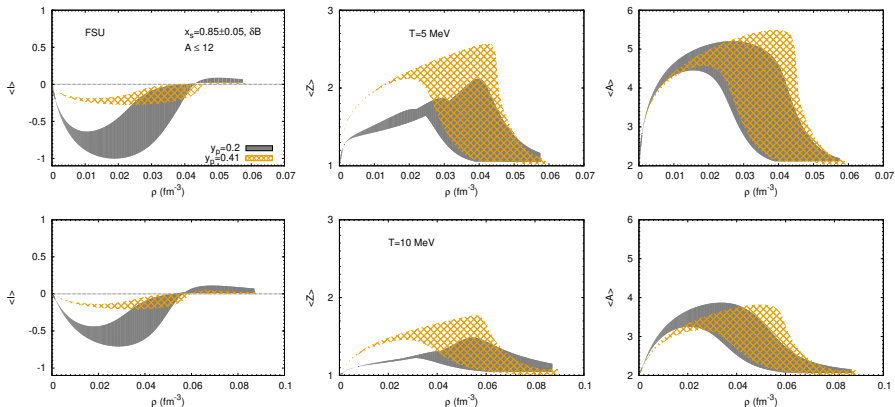
How important are clusters with $A \leq 12$?



- Important to include in the calculation for very asymmetric matter exotic neutron rich clusters.
- Even for $T = 10$ MeV, the maximum of the average isospin is above $-\frac{1}{2}$ for $y_p = 0.2$.

Light clusters: average isospin, charge, mass number

How important are clusters with $A \leq 12$?



- ▶ The presence of clusters with a large charge, i.e. $Z > 2$, is more important in symmetric matter and low temperature.
- ▶ $\langle A \rangle$: sensitive to the temperature:
 - ▶ the larger T , the smaller the contribution from the most massive clusters.

Pasta phase EOS

- ▶ β -equilibrium non-homogeneous matter within a TF calculation
- ▶ assumed a preferred single geometry (least free energy) for a given T , ρ and y_p
- ▶ only five possible shapes are considered: droplets, rods, slabs, tubes and bubbles
- ▶ β -equilibrium, $T = 0$: y_p is very small and only three shapes are energetically favorable: droplets, rods and slabs.
- ▶ a regular lattice in the Wigner-Seitz approximation is considered, the WS cell having the shape of the clusters
- ▶ a fixed Z and N number at a given density determines the WS volume, β -equilibrium condition determines N
- ▶ electrons: charge neutrality is imposed

Pasta phase: Thomas Fermi

Avancini et al PRC78,015802, PRC95,045804

► Meson equations

$$\nabla^2 \phi = m_s^2 \phi + \frac{1}{2} \kappa \phi^2 + \frac{1}{3!} \lambda \phi^3 - g_s \rho_s,$$

$$\nabla^2 V_0 = m_v^2 V_0 + \frac{1}{3!} \xi g_v^4 V_0^3 - g_v \rho_B,$$

$$\nabla^2 b_0 = m_\rho^2 b_0 - \frac{g_\rho}{2} \rho_3,$$

$$\nabla^2 A_0 = -e(\rho_p - \rho_e)$$

► Minimization of thermodynamic potential under the constraint of a fixed number of protons, neutrons, and electrons

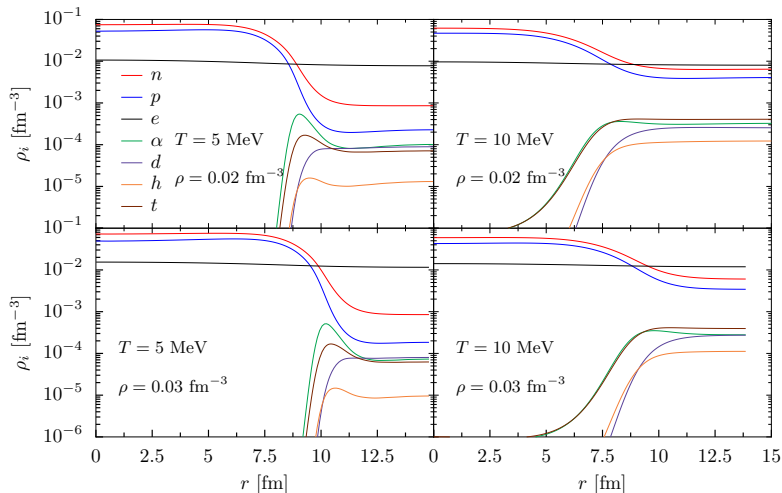
$$(p_{F_p}^2(\mathbf{r}) + M^{*2}(\mathbf{r}))^{1/2} + g_v V_0(\mathbf{r}) + \frac{1}{2} g_\rho b_0(\mathbf{r}) + e A_0(\mathbf{r}) = \mu_p,$$

$$(p_{F_n}^2(\mathbf{r}) + M^{*2}(\mathbf{r}))^{1/2} + g_v V_0(\mathbf{r}) - \frac{1}{2} g_\rho b_0(\mathbf{r}) = \mu_n,$$

$$(p_{F_e}^2(\mathbf{r}) + m_e^2)^{1/2} - e A_0(\mathbf{r}) = \mu_e.$$

Thomas-Fermi Density profiles

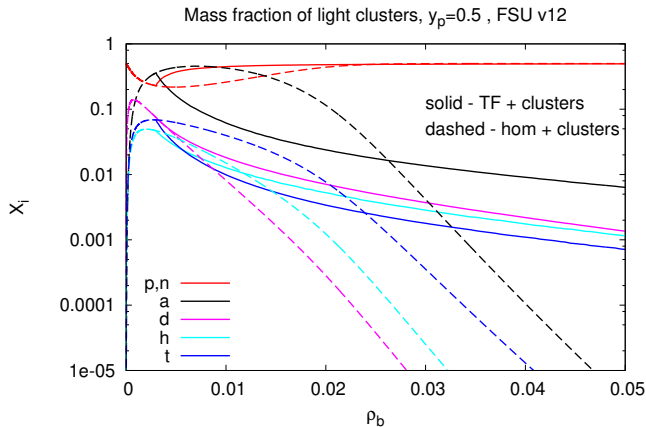
FSU, $T = 5$ MeV and $Y_p = 0.5$.



- **light clusters**: concentration at the surface of the pasta clusters, and presence in the neutron drip gas

Mass fraction of light clusters

FSU, $T = 5$ MeV and $Y_p = 0.5$



► light clusters with pasta:

- the presence of pasta clusters reduce the contribution of light clusters at for densities 0.01-0.03 fm^{-3}
- for intermediate densities ($\rho \gtrsim 0.03 \text{ fm}^{-3}$): larger fractions of light clusters in pasta matter, smoother reduction

Pasta phases - calculation

Pais et al PRC 91, 055801 2015

- ▶ Compressible Liquid Drop (CLD) approximation:
 - ▶ The total free energy density is minimized, including the surface and Coulomb terms.
 - ▶ Separated regions of higher (pasta phases, f volume fraction) and lower density (background nucleon gas).
 - ▶ Minimization with respect to r_d , ρ_B^I , y_p^I , f
 - ▶ The Gibbs equilibrium conditions for $T = T^I = T^{II}$

$$\mu_n^I = \mu_n^{II},$$

$$\mu_p^I = \mu_p^{II} - \frac{\varepsilon_{surf}}{f(1-f)(\rho_p^I - \rho_p^{II})},$$

$$P^I = P^{II} - \varepsilon_{surf} \left(\frac{1}{2\alpha} + \frac{1}{2\Phi} \frac{\partial \Phi}{\partial f} - \frac{\rho_p^{II}}{f(1-f)(\rho_p^I - \rho_p^{II})} \right).$$

- ▶ Total free energy density $\mathcal{F}$ and total ρ_p of the system:

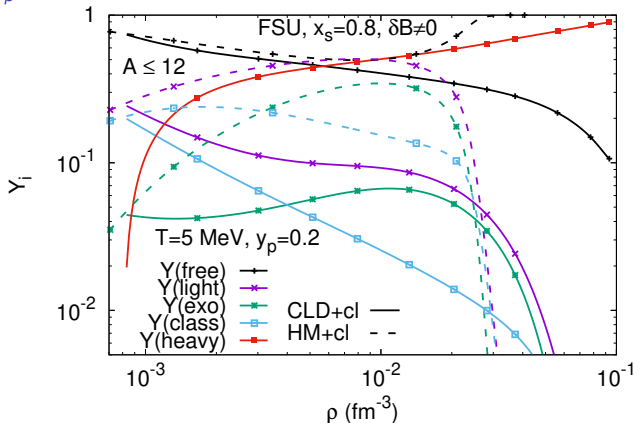
$$\mathcal{F} = f \mathcal{F}^I + (1-f) \mathcal{F}^{II} + F_e + \varepsilon_{surf} + \varepsilon_{Coul},$$

$$\rho_p = \rho_e = y_p \rho = \rho_p^I + (1-f) \rho_p^{II},$$

$$\varepsilon_{surf} = 2\varepsilon_{Coul}$$

Cluster fractions: including pasta phases

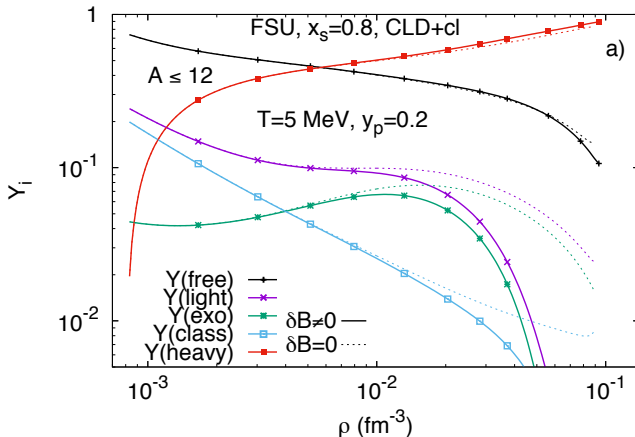
$T = 5 \text{ MeV}$, $y_p = 0.2$



- ▶ The heavy cluster (CLD+cl calculation): light clusters less abundant but increases their melting density
- ▶ Increasing $T \rightarrow$ onset of both heavy and light clusters moves to larger densities.

Cluster fractions with pastas: effect of δB_j

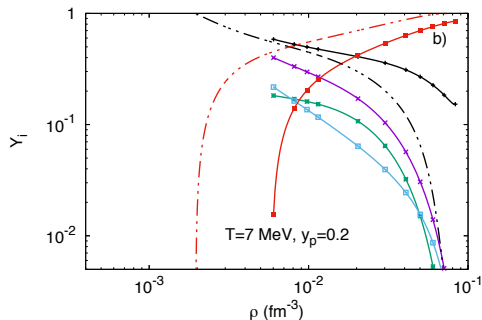
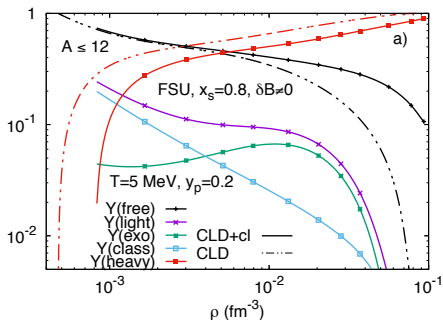
$T = 5 \text{ MeV}$, $y_p = 0.2$



- ▶ δB_j has no effect at the onset of the heavy cluster, only close to the melting density of the light clusters.
- ▶ light clusters abundances are reduced and dissolve at lower densities.

Pasta versus Cluster fractions with pastas

$T = 5$ and 10 MeV, $y_p = 0.2$

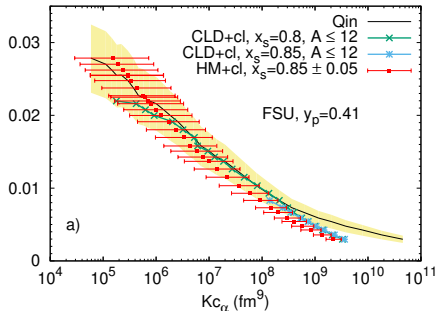
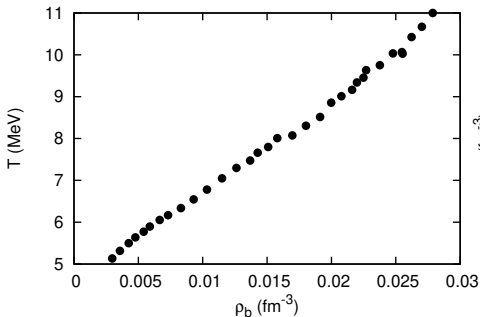


► inclusion of light clusters

- moves the onset of the heavy cluster to larger densities
- reduces the size of the heavy cluster
- reduces the mass fraction of nucleons in the heavy clusters
- increases the fraction of free nucleons in the background
- if too restrictive concerning competing degrees of freedom, overestimation of the role of the heavy cluster

Equilibrium constants K_c : light and heavy clusters

How important are clusters with $A \leq 12$?



Equilibrium constant: $K_c[i] = \rho_i / (\rho_p^{Z_i} \rho_n^{N_i})$

Conclusions

- ▶ A self-consistent method to include in-medium effects acting on light clusters is proposed in a RMF framework and inclusion of a density dependent mass shift.
- ▶ Interactions of clusters with medium described by modification of sigma-meson coupling constant.
- ▶ Clusters dissolution obtained by the density-dependent extra term on the binding energy.
- ▶ reproduces both virial limit and K_C from HIC.
- ▶ Including the light clusters with $4 < A < 12$ has visible effects on the particle abundances and equilibrium constants
- ▶ Heavy cluster reduces the contribution of light clusters, but also shifts the melting density to higher densities.
- ▶ Extra constraints from experimental data are needed

Thank you !