

Fermions on the lattice

Andrea Shindler

shindler@frib.msu.edu

www.nscl.msu.edu/directory/shindler.html

TALENT School - From quarks and
gluons to nuclear forces and
structures



ECT* - Trento
07.19.2019

Quantization of LQCD

Gauge invariant correlation function

$$\begin{aligned}\langle O_1(x_1) \dots O_n(x_n) \rangle &= \frac{1}{\mathcal{Z}} \int \mathcal{D}[U] \int \mathcal{D}[\psi, \bar{\psi}] \\ &\times O_1(x_1) \dots O_n(x_n) \exp \left\{ -S_F[\psi, \bar{\psi}, U] - S_G[U] \right\}\end{aligned}$$



Lattice action

$$\mathcal{Z} = \int \mathcal{D}[U] \int \mathcal{D}[\psi, \bar{\psi}] \exp \left\{ -S_F[\psi, \bar{\psi}, U] - S_G[U] \right\}$$



QCD path integral

$$\mathcal{D}[\psi, \bar{\psi}] = \prod_{x \in \Lambda} \prod_{f, \alpha, A} d\psi_{f\alpha}^A(x) d\bar{\psi}_{f\alpha}^A(x) \quad \text{Grassmann numbers}$$

$$\mathcal{D}[U] = \prod_{x \in \Lambda} \prod_{\mu=1}^4 dU(x, \mu) \quad \text{Haar measure}$$

Fermi statistics and Grassmann numbers

$$\langle O \rangle = \langle [O]_F \rangle_G \quad [O]_F = \frac{1}{Z_F} \int \mathcal{D}[\psi, \bar{\psi}] e^{-S_F[\psi, \bar{\psi}, U]} O[\psi, \bar{\psi}, U]$$

$$X[U] = [O]_F \quad Z_F = \int \mathcal{D}[\psi, \bar{\psi}] e^{-S_F[\psi, \bar{\psi}, U]}$$

Fermion determinant

$$\langle X \rangle_G = \frac{1}{Z} \int \mathcal{D}[U] e^{-S_G[U]} Z_F[U] X[U]$$

$$Z = \int \mathcal{D}[\psi, \bar{\psi}, U] e^{-S_G[U] - S_F[\psi, \bar{\psi}, U]} = \int \mathcal{D}[U] e^{-S_G[U]} Z_F[U]$$

Fermi statistics and Grassmann numbers

Fermi statistics \leftrightarrow antisymmetry
interchange quantum numbers

$$\left\langle \psi_{f_1, \alpha_1}^{A_1}(x_1) \psi_{f_2, \alpha_2}^{A_2}(x_2) \bar{\psi}_{g_1, \beta_1}^{B_1}(y_1) \cdots \bar{\psi}_{g_m, \beta_m}^{B_m}(y_m) \right\rangle$$

$$f_1 \leftrightarrow f_2 \quad x_1 \leftrightarrow x_2 \quad \alpha_1 \leftrightarrow \alpha_2 \quad A_1 \leftrightarrow A_2$$

$$\psi_{f, \alpha}^A(x) \psi_{f', \alpha'}^{A'}(x') = -\psi_{f', \alpha'}^{A'}(x') \psi_{f, \alpha}^A(x)$$

$$\bar{\psi}_{f, \alpha}^A(x) \bar{\psi}_{f', \alpha'}^{A'}(x') = -\bar{\psi}_{f', \alpha'}^{A'}(x') \bar{\psi}_{f, \alpha}^A(x)$$

Smit

$$\psi_{f, \alpha}^A(x) \bar{\psi}_{f', \alpha'}^{A'}(x') = -\bar{\psi}_{f', \alpha'}^{A'}(x') \psi_{f, \alpha}^A(x)$$

Grassmann numbers

$$\eta_i \eta_j = -\eta_j \eta_i \quad \forall i, j \quad i, j = 1, \dots, N \quad \Rightarrow \eta_i^2 = 0$$

Nilpotent

Any $f(\underline{\eta})$ is a polynomial

$$f(\eta_1, \dots, \eta_N) = a + \sum_i a_i \eta_i + \sum_{i < j} a_{ij} \eta_i \eta_j + \sum_{i < j < k} a_{ijk} \eta_i \eta_j \eta_k + \dots a_{12\dots N} \eta_1 \dots \eta_N$$

$$a, a_i, a_{ij}, \dots = \mathbb{C}$$

Derivatives

$$f(\eta_1, \eta_2) = a + a_1\eta_1 + a_2\eta_2 + a_{12}\eta_1\eta_2$$

$$\frac{\partial}{\partial \eta_1} f(\eta_1, \eta_2) \equiv a_1 + a_{12}\eta_2$$

$$f(\eta_1, \eta_2) = a + a_1\eta_1 + a_2\eta_2 - a_{12}\eta_2\eta_1 \quad \rightarrow \quad \frac{\partial}{\partial \eta_1} \eta_2 = -\eta_2 \frac{\partial}{\partial \eta_1}$$

$$\frac{\partial}{\partial \eta_2} \frac{\partial}{\partial \eta_1} = - \frac{\partial}{\partial \eta_1} \frac{\partial}{\partial \eta_2}$$

$$\frac{\partial}{\partial \eta_i} 1 = 0 \quad \frac{\partial}{\partial \eta_i} \eta_i = 1 \quad \frac{\partial}{\partial \eta_i} \frac{\partial}{\partial \eta_j} = - \frac{\partial}{\partial \eta_j} \frac{\partial}{\partial \eta_i} \quad \frac{\partial}{\partial \eta_i} \eta_j = -\eta_j \frac{\partial}{\partial \eta_i} \quad i \neq j$$

Integration

Keep properties of integration in $\mathbb{R}^N \quad \Omega \subset \mathbb{R}^N \quad f(\underline{x}) = 0 \quad \underline{x} \in \partial\Omega$

$$\int_{\Omega} f(\underline{x}) = \int dx_1 \cdots dx_N f(x_1, \dots, x_N) \quad \text{Linear function of } f$$

$$\int d^N \eta f(\underline{\eta}) \in \mathbb{C} \quad \text{and linear}$$

$$1. \int d^N \eta (\lambda_1 f_1(\underline{\eta}) + \lambda_2 f_2(\underline{\eta})) = \lambda_1 \int d^N \eta f_1(\underline{\eta}) + \lambda_2 \int d^N \eta f_2(\underline{\eta})$$

$$2. \int d^N \eta \frac{\partial}{\partial \eta_i} f(\underline{\eta}) = 0 \quad 2.1 \int d^N \eta f(\underline{\eta}) = a_{12\dots N} \quad \int d^N \eta \eta_1 \cdots \eta_N = 1$$

Integration

Keep properties of integration in $\mathbb{R}^N \quad \Omega \subset \mathbb{R}^N \quad f(\underline{x}) = 0 \quad \underline{x} \in \partial\Omega$

$$d^N \eta = d\eta_N d\eta_{N-1} \cdots d\eta_1$$

$$\int d\eta_i = 0 \qquad \int d\eta_i \eta_i = 1 \qquad d\eta_i d\eta_j = -d\eta_j d\eta_i$$

$$\int d\eta_i \Leftrightarrow \frac{\partial}{\partial \eta_i}$$

Linear change of variables

$$\eta'_i = M_{ij} \eta_j \quad M_{ij} \in \mathbb{C} \quad N \times N$$

$$\int d^N \eta \ \eta_1 \cdots \eta_N = \int d^N \eta' \ \eta'_1 \cdots \eta'_N = \int d^N \eta' \sum_{i_1, \dots, i_N} M_{1i_1} \cdots M_{Ni_N} \eta_{i_1} \cdots \eta_{i_N}$$

$$\int d^N \eta' \sum_{i_1, \dots, i_N} \epsilon_{i_1 \dots i_N} M_{1i_1} \cdots M_{Ni_N} \eta_1 \cdots \eta_N = \det[M] \int d^N \eta' \ \eta_1 \cdots \eta_N$$

$$d^N \eta = \det M d^N \eta' \qquad \qquad d^N x = \frac{1}{\det M} d^N x'$$

Gaussian Integrals

2N generators $\eta_i, \bar{\eta}_i \quad i = 1, \dots, N$

$$\eta_i \eta_j = -\eta_j \eta_i \quad \bar{\eta}_i \bar{\eta}_j = -\bar{\eta}_j \bar{\eta}_i \quad \eta_i \bar{\eta}_j = -\bar{\eta}_j \eta_i$$

$$Z_F = \int d\eta_N \ d\bar{\eta}_N \cdots d\eta_1 d\bar{\eta}_1 \exp [\bar{\eta}_i M_{ij} \eta_j] = \det[M]$$

$\eta_i, \bar{\eta}_i \quad \theta_i, \bar{\theta}_i \quad i = 1, \dots, N$

Gaussian Integrals

$$\eta_i, \bar{\eta}_i \quad \theta_i, \bar{\theta}_i \quad i = 1, \dots, N$$



Sources

$$W[\theta, \bar{\theta}] = \int \left(\prod_{i=1}^N d\eta_i \ d\bar{\eta}_i \right) \exp \left\{ \bar{\eta}_k M_{kl} \eta_l + \bar{\theta}_i \eta_i + \bar{\eta}_i \theta_i \right\}$$

$$W[\theta, \bar{\theta}] = \det[M] \exp \left\{ -\bar{\theta}_k (M^{-1})_{kl} \theta_l \right\}$$

Wick's theorem

$$[\eta_{i_1} \bar{\eta}_{j_1} \cdots \eta_{i_N} \bar{\eta}_{j_N}]_F = \frac{1}{Z_F} \int \left(\prod_{k=1}^N d\eta_k \, d\bar{\eta}_k \right) \eta_{i_1} \bar{\eta}_{j_1} \cdots \eta_{i_N} \bar{\eta}_{j_N} \times \exp \{ \bar{\eta}_i M_{ij} \eta_j \} =$$

$$= (-1)^N \sum_{P(1,2,\dots,N)} \text{sign}(P) (M^{-1})_{i_1 j_{P_1}} \cdots (M^{-1})_{i_N j_{P_N}}$$

$$[\eta_{i_1} \bar{\eta}_{j_1} \cdots \eta_{i_N} \bar{\eta}_{j_N}]_F = \frac{1}{Z_F} \frac{\partial}{\partial \theta_{j_1}} \frac{\partial}{\partial \bar{\theta}_{i_1}} \cdots \frac{\partial}{\partial \theta_{j_N}} \frac{\partial}{\partial \bar{\theta}_{i_N}} W[\theta, \bar{\theta}] \Big|_{\theta, \bar{\theta}=0}$$

Fermion action

Wilson 1974

$$S = S_G + S_F \quad S_G = \frac{1}{g_0^2} \sum_x \sum_{\mu, \nu} P_{\mu\nu}(x)$$

$$S_F = a^4 \sum_x \bar{\psi}(x) \left[\gamma_\mu \frac{1}{2} (\nabla_\mu + \nabla_\mu^*) + m \right] \psi(x)$$

Covariant derivatives

$$\nabla_\mu \psi(x) = \frac{1}{a} [U(x, \mu) \psi(x + a\hat{\mu}) - \psi(x)]$$

$$\nabla_\mu^* \psi(x) = \frac{1}{a} [\psi(x) - U(x - a\hat{\mu}, \mu)^{-1} \psi(x - a\hat{\mu})]$$

Fermion action

Wilson 1974

$$S_F = a^4 \sum_x \bar{\psi}(x) D \psi(x) \quad D = \left[\gamma_\mu \frac{1}{2} (\nabla_\mu + \nabla_\mu^*) + m \right]$$

$$U(x; \mu) = 1 \quad \frac{1}{2} (\partial_\mu^* + \partial_\mu) \longrightarrow \frac{i}{a} \sin(ap_\mu) \equiv i\mathring{p}_\mu \quad \mathring{p}_\mu = p_\mu + O(a^2)$$

$$\tilde{D}(p) = i\gamma_\mu \mathring{p}_\mu + m \quad \tilde{D}(p)^{-1} = \frac{m - i\gamma_\mu \mathring{p}_\mu}{m^2 + \mathring{p}^2} \quad \mathring{p}^2 = \frac{1}{a^2} \mathring{p}_\mu \mathring{p}_\mu$$

Quark propagator

$$m = 0 \quad \tilde{D}(p)^{-1} \Big|_{m=0} = \frac{-i\gamma_\mu \mathring{p}_\mu}{\mathring{p}^2} \xrightarrow{a \rightarrow 0} \frac{-i\gamma_\mu p_\mu}{p^2}$$



16 poles



1 pole

Wilson fermions

Wilson 1974

$$S_F = a^4 \sum_x \bar{\psi}(x) \left[\gamma_\mu \frac{1}{2} (\nabla_\mu + \nabla_\mu^*) + m \right] \psi(x) \quad \rightarrow \quad S_F = a^4 \sum_x \bar{\psi}(x) [D_W + m] \psi(x)$$

$$D_W = \frac{1}{2} [\gamma_\mu (\nabla_\mu + \nabla_\mu^*) - a \nabla_\mu^* \nabla_\mu]$$

$$\nabla_\mu \psi(x) = \frac{1}{a} [U(x, \mu) \psi(x + a\hat{\mu}) - \psi(x)]$$

$$\nabla_\mu^* \psi(x) = \frac{1}{a} [\psi(x) - U(x - a\hat{\mu}, \mu)^{-1} \psi(x - a\hat{\mu})]$$

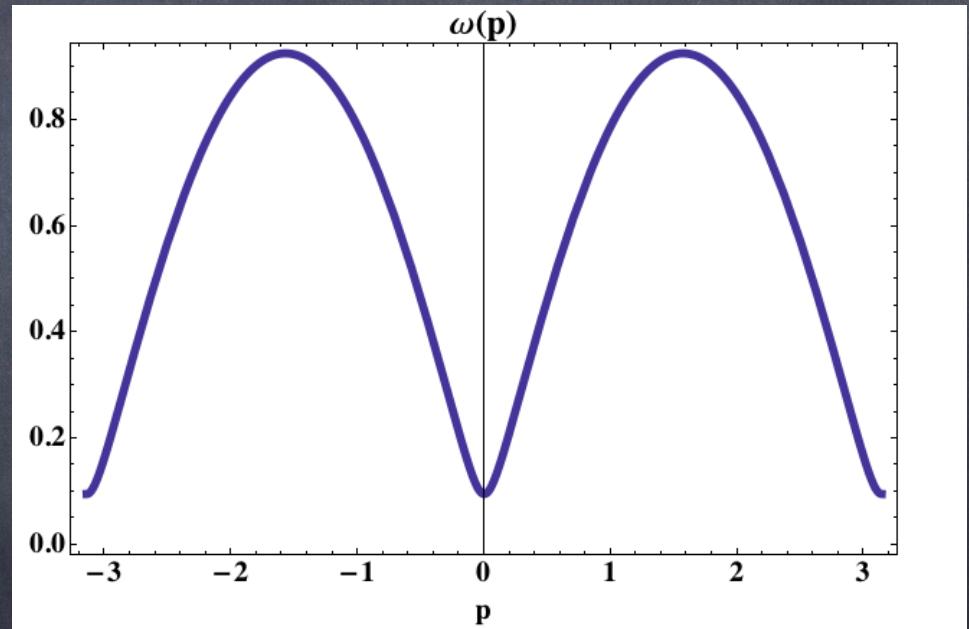
Doublers

$$\frac{1}{i \not{p} + m} = \frac{-i \not{\dot{p}} + m}{\not{\dot{p}}^2 + m^2}$$

Poles

$$p_0 = \pm i\omega(\underline{p})$$

$$\omega(p) = \frac{2}{a} \operatorname{asinh} \left\{ \frac{a}{2} \sqrt{\frac{\not{\dot{p}}^2 + m^2}{1 + am}} \right\}$$



Additional states with energy = mass

Wilson-Dirac operator

$$D_W = \sum_{\mu=0}^3 \frac{1}{2} [\gamma_\mu (\partial_\mu^* + \partial_\mu) - \cancel{a \partial_\mu^* \partial_\mu}] \longrightarrow i \cancel{p} + \frac{1}{2} a \hat{p}^2$$

Free-quark two-point functions

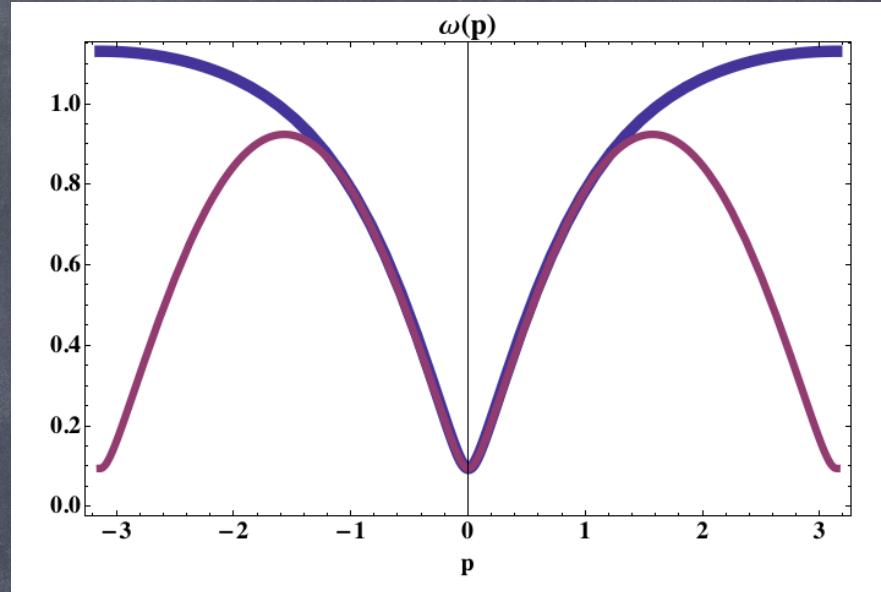
$$(D_W + m) \underline{\psi(x)\bar{\psi}}(0) = \frac{1}{a^4} \delta_{x,0}$$

$$\underline{\psi(x)\bar{\psi}}(0) = \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \frac{e^{ipx}}{i \cancel{p} + \frac{1}{2} a \hat{p}^2 + m}$$

Energy

$$\frac{1}{i \not{p} + \frac{1}{2}a\not{\hat{p}}^2 + m} = \frac{-i \not{\hat{p}} + M(\underline{p})}{\not{\hat{p}}^2 + M(\underline{p})^2}$$

Poles $p_0 = \pm i\omega(\underline{p})$



$$\omega(\underline{p}) = \frac{2}{a} \operatorname{asinh} \left\{ \frac{a}{2} \sqrt{\frac{\not{\hat{p}}^2 + M(\underline{p})^2}{1 + aM(\underline{p})}} \right\}$$

$$M(\underline{p}) \equiv m + \frac{1}{2}a\not{\hat{p}}^2$$

Wilson fermions

Wilson 1974

$$S_F = a^4 \sum_x \bar{\psi}(x) \left\{ \frac{1}{2} [\gamma_\mu (\nabla_\mu + \nabla_\mu^*) - a \nabla_\mu^* \nabla_\mu + m] \right\} \psi(x)$$

$$\nabla_\mu^* \nabla_\mu \psi(x) = \frac{1}{a^2} \sum_{\mu=1}^4 [U(x; \mu) \psi(x + a\hat{\mu}) + U(x - a\hat{\mu}; \mu)^{-1} \psi(x - a\hat{\mu}) - 2\psi(x)]$$

$$\bar{\psi}(x) [D_W + m] \psi(x) = \bar{\psi}(x) \left\{ \frac{1}{2a} \sum_{\mu=1}^4 \gamma_\mu [U(x; \mu) \psi(x + a\hat{\mu}) - U(x - a\hat{\mu}; \mu)^{-1} \psi(x - a\hat{\mu})] \right.$$

$$- \frac{1}{2a} \sum_{\mu=1}^4 [U(x; \mu) \psi(x + a\hat{\mu}) + U(x - a\hat{\mu}; \mu)^{-1} \psi(x - a\hat{\mu})]$$

$$+ \left(m + \frac{4}{a} \right) \psi(x) \right\}$$

Wilson fermions

Wilson 1974

$$\begin{aligned}\bar{\psi}(x) [D_W + m] \psi(x) &= \bar{\psi}(x) \left\{ -\frac{1}{2a} \sum_{\mu=1}^4 [(1 - \gamma_\mu) U(x; \mu) \psi(x + a\hat{\mu}) + (1 + \gamma_\mu) U(x - a\hat{\mu}; \mu)^{-1} \psi(x - a\hat{\mu})] + \right. \\ &\quad \left. + \left(m + \frac{4}{a} \right) \psi(x) \right\} \\ &= \left(m + \frac{4}{a} \right) \bar{\psi}(x) \left[\psi(x) - \frac{1}{2am + 8} \sum_{\mu=1}^4 (1 - \gamma_\mu) U(x; \mu) \psi(x + a\hat{\mu}) + (1 + \gamma_\mu) U(x - a\hat{\mu}; \mu)^{-1} \psi(x - a\hat{\mu}) \right]\end{aligned}$$

$$\kappa = \frac{1}{2am + 8} \quad \begin{aligned}\psi(x) &\rightarrow \sqrt{2a\kappa} \psi(x) \\ \bar{\psi}(x) &\rightarrow \bar{\psi}(x) \sqrt{2a\kappa}\end{aligned}$$

Hopping parameter

$$\bar{\psi}(x) [D_W + m] \psi(x) \rightarrow \bar{\psi}(x) [1 - \kappa \cdot H] \psi(x)$$

Lattice fermions

Incomplete list

- ⦿ Clover fermions Sheikholeslami, Wohlert: 1985
 Lüscher et al.: 1996,1997
- ⦿ Staggered fermions Kogut, Susskind: 1975
- ⦿ Wilson twisted mass fermions Frezzotti, Grassi, Sint, Weisz: 2001
 A.S.: 2007
- ⦿ Ginsparg-Wilson fermions: Neuberger,
domain-walls, perfect action, chirally
improved Narayanan, Neuberger: 1992-1994
 Kaplan: 1992 - Shamir: 1993
 Neuberger: 1998 - Lüscher: 1998
 Hasenfratz, Niedermeyer: 1993- Hasenfratz:1998