Neutrinoless Double-Beta Decay in Chiral Effective Field Theory

Jordy de Vries

Mostly based on :

"A new leading contribution to 0νββ", 1802.10097, PRL
``A 0νββ Master formula from EFT", 1806.02780 JHEP
<u>"</u>A renormalized approach to 0νββ", 1907.xxxxx

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The puzzle of the neutrino mass

- The Standard Model does not allow for a neutrino mass
- But of course neutrino oscillations

$$P_{i \to j} \sim \sin^2 \left(\frac{\Delta m_{ij} L}{2E} \right)$$

• Easiest solution: add the gauge singlet v_R and use Higgs mechanism

$$L_{v} = -y_{v} \,\overline{L}\tilde{\varphi}v_{R} + h.c. \rightarrow -\frac{y_{v} \,v}{\sqrt{2}}\overline{v}_{L}v_{R} \qquad y_{v} \sim 10^{-12} \rightarrow m_{v} \sim 0.1 \,eV$$

• Nothing wrong with this!

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• Nothing wrong with this! But nothing forbids a new mass term !

 $L_v = -M_R v_R^T C v_R$ M_R New mass scale not linked to EW scale

• Diagonalize the neutrino mass matrix. If $M_R >> y_v v$

$$M_{diag} \approx \begin{pmatrix} (y_v v)^2 / M_R & 0 \\ 0 & M_R \end{pmatrix}$$

$$\boldsymbol{\nu} = \boldsymbol{\nu}_L + \boldsymbol{\nu}_L^c \qquad \qquad N = \boldsymbol{\nu}_R + \boldsymbol{\nu}_R^c$$

Standard interpretation

- $0\nu\beta\beta$ induced by a light-neutrino exchange $m_{\beta\beta} = \sum U_{ei}^2 m_i$
- Function of neutrino masses + mixing angles + Majorana phases

$$m_{\beta\beta} = m_{v1}c_{12}^2c_{13}^2 + m_{v2}s_{12}^2c_{13}^2e^{2i\lambda_1} + m_{v3}s_{13}^2e^{2i(\lambda_2 - \delta_{13})}$$



• Interpretation of experimental results requires theory

For this talk: SM-EFT framework

• Assume BSM physics exists but is heavy → Integrate it out

Fermi's theory:



• We don't need 'high-energy details', the W boson, at low energies !



Effective lepton number violation

- Lepton number = **accidental** symmetry in Standard Model (at zero T)
- But no longer once we allow for operators of dim>4
- Consider the SM as an EFT $L_{new} = L_{SM} + \frac{1}{\Lambda}L_5 + \frac{1}{\Lambda^2}L_6 + \cdots$
- Contain SM fields only and obey SM gauge and Lorentz symmetry
- Note: framework is not suitable for light sterile neutrinos
- At energy E, operators of dimension (4+n) contribute as $\left(\frac{E}{\Lambda}\right)^n$

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- Note: framework is not suitable for light sterile neutrinos
- At energy E, operators of dimension (4+n) contribute as $\left(\frac{E}{\Lambda}\right)^{n}$ Weinberg '79
- Gauge symmetry is restrictive: only 1 dim-5 operator

$$L_5 = \frac{c_5}{\Lambda} (L^T C \tilde{H}) (\tilde{H}^T L) \longrightarrow c_5 \frac{v^2}{\Lambda} v_L^T C v_L \quad \Rightarrow \text{Majorana neutrino mass}$$

If
$$m_v \sim 0.1 \, eV \quad \Rightarrow \quad \Lambda \sim c_5 \cdot 10^{15} GeV$$

Higher-order in the SM-EFT

 $\Delta L = 2$ operators only appear at odd dimensions 5, 7, Kobach '16



• Seems crazy to go to dim-7 if expansion parameter is

 $\left(\frac{v}{\Lambda}\right)^2 \sim 10^{-24}$

- Example: in LR symmetry $c_5 \sim y_e^2 \sim 10^{-10}$ $c_7 \sim y_e \sim 10^{-5}$ $c_9 \sim y_e^0 \sim 1$
- Then if scale is low ~ $\Lambda \sim (10-100) TeV$ dim 5 ~ dim 7 ~ dim 9
- I will focus on dim-5. See Wouter's + Lukas' talks on Thursday.

Crossing the electroweak scale



The anatomy of the decay

Decay can be roughly factorized into

 $m_{\beta\beta}^2$

G

$$\frac{1}{T_{1/2}^{0\upsilon}} \sim m_{\beta\beta}^2 \cdot g_A^4 \cdot \left| M \right|^2 \cdot G$$

Energy

> TeV

 $\sim GeV$

 $\sim 100 MeV$

 $\sim 10 MeV$

Lepton-number-violating (LNV) source (not necessarily neutrino mass)

Hadronic ME: quarks \rightarrow hadrons (domain g_A^4 of ChPT and lattice-QCD)

Depends on 'neutrino-potential' (ChEFT) $\left|M\right|^{2} = \left|\left\langle 0^{+} \left|V_{v}\right| 0^{+}\right\rangle\right|^{2}$ and many-body calculations

> Phase space factor, depends on Q value $\sim Q^5$ (of order 2-5 MeV for experimental targets)

Chiral perturbation theory

Use the symmetries of QCD to obtain chiral Lagrangian

$$L_{QCD} \rightarrow L_{chiPT} = L_{\pi\pi} + L_{\pi N} + L_{NN} + \cdots$$

- Quark masses = $0 \rightarrow$ QCD has SU(2)_LxSU(2)_R symmetry
 - Spontaneously broken to SU(2)-isospin (pions are Goldstone)
 - Explicit breaking (quark mass) \rightarrow pion mass
- ChPT gives systematic expansion in $Q/\Lambda_{\chi} \sim m_{\pi}/\Lambda_{\chi}$ $\Lambda_{\chi} \simeq 1 \, GeV$ •

- Form of interactions fixed by symmetries
- Each interactions comes with an unknown constant (LEC)
- LECs are related to nonperturbative QCD matrix elements
- Fit LECs or use lattice QCD or chiral symmetry arguments

Weinberg, Gasser, Leutwyler, and many many others

~ GeV $L = L_{QCD}$ light quarks and gluons + electrons + neutrinos ~100 MeV Chiral limit $L_{\chi} = L_{kin} - m_N \bar{N}N + \frac{g_A}{f_{\pi}} D_{\mu} \vec{\pi} \cdot \bar{N} \gamma^{\mu} \gamma^5 \vec{\tau} N + C_0 \bar{N} N \bar{N} N$ Nucleon mass, g_{A} , C_0 are 'LECs' and must be **measured** or **lattice QCD**

$$L_m = -\frac{m_\pi^2}{2}\pi^2 - \delta m_N \ \bar{N}\tau^3 N$$

Quark mass

Small quark masses \rightarrow Small pion mass and small nucleon mass splitting

~ GeV $L = L_{QCD} + L_{Fermi}$ light quarks and gluons + electrons + neutrinos

~100 MeV Chiral limit $L_{\chi} = L_{kin} - m_N \overline{N}N + \frac{g_A}{f_{\pi}} D_{\mu} \vec{\pi} \cdot \overline{N} \gamma^{\mu} \gamma^5 \vec{\tau} N + C_0 \overline{N} N \overline{N} N$

Nucleon mass, $g_{A_1}C_0$ are 'LECs' and must be **measured** or **lattice QCD**

$$L_m = -\frac{m_\pi^2}{2}\pi^2 - \delta m_N \ \bar{N}\tau^3 N$$

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Weak interactions

$$L_{\chi,Fermi} = G_F f_\pi \left(\partial_\mu \pi^- \overline{e}_L \gamma^\mu v_L \right)$$

$$+ G_F \overline{p} \left(\gamma^\mu - g_A \gamma^\mu \gamma^5 \right) n \overline{e}_L \gamma^\mu v_L + \cdots \quad v_L$$

$$e$$
Fermi (F) Gamow-Teller (GT)
Prezeau et al '03

~ GeV $L = L_{QCD} + L_{Fermi} - m_{\beta\beta} v_L^T C v_L$ light quarks and gluons + electrons + neutrinos

~100 MeV Neutrinos are still degrees of freedom in the low-energy EFT



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`Hard' neutrino exchange $(E, |\vec{p}| > \Lambda_{\chi}) \rightarrow$ short-range operators

n p e p e p e

Expected at N²LO $\sim \frac{m_{\beta\beta}}{\Lambda_{\chi}^2}$ (Weinberg counting)

Majorana mass contribution

- Apply chiral EFT to construct a 'neutrino potential'
- Standard mechanism: leading order



- LO potential very simple and long-range $\sim 1/q^2$
- All other contributions are higher order
- Crucial: no unknown hadronic input (only unknown is m_{ββ})

Quick look at higher orders

Cirigliano et al '17

- The EFT approach allows for systematic corrections
 - 1. Factorizable 'one-body' corrections (form factors)

$$g_A \longrightarrow g_A(q^2)$$

Quick look at higher orders

- The EFT approach allows for systematic corrections
 - 1. Factorizable 'one-body' corrections

 $g_A \longrightarrow g_A(q^2)$ New non-factorizable pieces

+ associated **counter terms**

able pieces π^{-}

 $\pi^{-} e^{e} n^{-} \frac{p}{\nu_{e}} e^{e}$

Cirigliano et al '17

Some diagrams are UV divergent.....

$$V_{v}^{N2LO} = \tau_{1}^{+}\tau_{2}^{+}\left(V_{loops,finite} + V_{UV}\log\frac{m_{\pi}^{2}}{\mu_{UV}^{2}} + V_{CT}\right) \quad \otimes \overline{e}_{L}e_{L}^{c}$$

• Counter terms appear at N²LO

2.

• Right size to absorb UV divergencies since loops bring factor $\sim \frac{g_A^2 m_\pi^2}{(4\pi f_\pi^2)} \sim \frac{m_\pi^2}{\Lambda_\pi^2}$

$$n$$
 pe pe pe

 $L_{CT} = C_{v} (\overline{p}n) (\overline{p}n) \otimes \overline{e}_{I} e_{I}^{c}$

Quick look at higher orders

- The EFT approach allows for systematic corrections
 - 1. Factorizable 'one-body' corrections

 $g_A \longrightarrow g_A(q^2)$

2. New non-factorizable pieces+ associated counter terms



Cirigliano et al '17

- Closure corrections from ultrasoft neutrino exchange
- Depends on nuclear excited states

• Appear at N²LO ~
$$\frac{(E_n - E_0)}{(4\pi k_E)}$$

$$\frac{(E_n - E_0)}{(4\pi k_F)} \sim \frac{q^2}{\Lambda_{\chi}^2}$$



• Correspond to so-called 'closure corrections'

Review by Doi et al '83

The neutrino amplitude

• At LO the 'standard' mechanism is long-range



$$V_{v} = (2G_{F}^{2}m_{\beta\beta})\tau_{1}^{+}\tau_{2}^{+}\frac{1}{\vec{q}^{2}}\left[1 - g_{A}^{2}\left(\vec{\sigma}_{1}\cdot\vec{\sigma}_{2} - \vec{\sigma}_{1}\cdot\vec{q}\vec{\sigma}_{2}\cdot\vec{q}\frac{2m_{\pi}^{2} + \vec{q}^{2}}{(m_{\pi}^{2} + \vec{q}^{2})^{2}}\right)\right] \otimes \overline{e}_{L}e_{L}^{c}$$

- Now insert this in nuclear wave functions
- Different methods have roughly a factor 2 to 3 `many-body spread'



Nuclear structure problem ? Other problems ? QPRA (Hyvarinen/Suhonen '15) Shell model (Horoi/Neacsu '17 & Menendez '18) IBM (Barea et al '15 '18)

Back to the basics

- Size of short-range piece was estimated by perturbation theory (NDA)
- Let's test this by studying the most simple process: $nn \rightarrow pp$ +ee

"A new leading contribution to $0\nu\beta\beta$ ", <u>1802.10097</u>, <u>PRL 120</u>

Back to the basics

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"A new leading contribution to $0\nu\beta\beta$ ", <u>1802.10097</u>, PRL 120

• First describe NN scattering by solving LS equation

 $T = V + VG_0T$

- The potential calculated in **perturbation theory from chiral Lagrangian**
- Leading-order potential is simple (corrections discussed later)

• Need to 'regulate' the potential (physics should be regulator independent!)

$$V_{strong}^{1S_{0}} = C_{0} - \frac{g_{A}^{2}}{4f_{\pi}^{2}} \frac{m_{\pi}^{2}}{\vec{q}^{2} + m_{\pi}^{2}} \qquad V \rightarrow e^{-\frac{p^{6}}{\Lambda^{6}}} V e^{-\frac{p^{6}}{\Lambda^{6}}} C_{0}(\Lambda)$$

$$T(p', p, E) = V(p', p) + \int dl V(p', l) \frac{l^{2}}{E - l^{2}/m_{N} + i\varepsilon} T(l, p)$$

- The counter term is fitted to low-energy data (scattering lengths)
- Predictions are made for nucleon-nucleon phases shifts (all energies)

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- The counter term is fitted to low-energy data (scattering lengths)
- Predictions are made for nucleon-nucleon phases shifts (all energies) EFT breakdown scale $\sim \Lambda_{\gamma}$
- Λ is a momentum cut-off. It should be $\Lambda \ge M_{high}$ so that we do not miss soft physics. In practice $\Lambda \cong M_{high}$ is often useful.
- But we can in principle use $\Lambda >> M_{high}$
- Note: 3 different regulators used in actual calculations (dim-reg, coordinate space cut-off, momentum space cut-off)

- Counter term shows a logarithmic dependence on cut-off
- But phase shifts are cut-off independent (for Lambda > 600 MeV)





The neutrino amplitude

• Now insert the neutrino potential

$$V_{\nu} = (2G_F^2 m_{\beta\beta})\tau_1^+ \tau_2^+ \frac{1}{\vec{q}^2} \left[(1+2g_A^2) + \frac{g_A^2 m_\pi^4}{\left(\vec{q}^2 + m_\pi^2\right)^2} \right] \otimes \overline{e}_L e$$

 $A_{\nu} = V_{\nu} + V_{\nu}G_0T_{LO} + T_{LO}G_0V_{\nu} + T_{LO}G_0V_{\nu}G_0T_{LO}$



• Can be measured in principle \rightarrow should be independent of regulator !!

The neutrino amplitude



- But it is not... The amplitude depends logarithmically on the regulator.
- Note divergence is not NLO ! It is LO * $\log \Lambda$



$$\sim (1+2g_A^2) \left(\frac{m_N C_0}{4\pi}\right)^2 \left(\frac{1}{\varepsilon} + \log \frac{\mu^2}{p^2}\right)$$

Non-perturbative renormalization

- Now a divergence is nothing scary in an EFT calculations
- It just signals dependence on hard scales \rightarrow need a counter term
- The surprising thing perhaps is that it violates NDA (but happens in other cases too)



- Contact term comes with new LEC ~ QCD at lengths $< (\Lambda_{\chi})^{-1}$
- The LO decay rate depends on unknown LEC \rightarrow hadronic uncertainty
- Independent of short-range correlations (we use fully correlated NN wave functions)
- It gets the job done though !

Non-perturbative renormalization

Fit the counter term to a 'measurement' at some kinematic point

$$\frac{1}{G_F^2 m_{\beta\beta}} A_v (p = 1 \, MeV) = 0.05 \, MeV^{-2}$$





Urgent questions and some answers

- 1. Just a study of S-waves. Do higher waves need counter terms too?
- 2. Based a leading-order NN potential. What are the effects of higher-order corrections? More LNV counter terms ?
- 3. Can we determine the LEC of the counter term in absence of data ?
- 4. How to incorporate into realistic calculations of nuclear matrix elements? Not so easy to change regulators there.
- 5. Are there similar issues for non-standard LNV mechanisms? Yes, for mechanisms with LO $\pi\pi \rightarrow ee$

Higher waves

- 1. This was a study of S-waves. Do higher waves need counter terms too?
 - We studied P- and D-waves in similar fashion
 - Strong tensor force attractive in ${}^{3}P_{0}$ and an NN counter term is needed for the strong phase shifts Nogga et al '05
 - But once NN force is renormalized so is $nn \rightarrow pp + ee$



Higher-order corrections

- 1. Effects of higher-order corrections? More LNV counter terms ?
 - At NLO the strong potential gets contributions from C₂ interaction

$$L_{NLO} = C_2 \ \overline{N} \nabla^2 N \overline{N} N \qquad \text{Long \& Yang '11 '12}$$

• Treat NLO in **perturbation theory** (not typically done in nuclear calculations where the whole potential is resummed).



Higher-order corrections

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- Treat NLO in **perturbation theory** (not typically done in nuclear calculations where the whole potential is resummed).
- Fit C_2 to the effective range \rightarrow much better description



Higher-order corrections

- 1. This was based on a leading-order NN potential. What are the effects of higher-order corrections? More LNV counter terms ?
 - We calculate NLO corrections to the neutrino amplitude
 - Semi-analytic calculations finds $\sim (\log \Lambda) / \Lambda$ dependence

$$A_{v}^{NLO} = V_{v}G_{0}T_{NLO} + T_{NLO}G_{0}V_{v} + T_{NLO}G_{0}V_{v}G_{0}T_{LO} + T_{LO}G_{0}V_{v}G_{0}T_{NLO}$$



• No problems at NLO and corrections ~ 10-20%. Everything ok !

Determining the LEC

- Can we determine the LEC of the counter term in absence of data ? We have identified **two potential strategies to get** g_{ν}^{NN}
 - 1. Lattice QCD calculations of $nn \rightarrow pp + ee$ (obvious but hard). Interesting progress on $\pi\pi \rightarrow ee$ Nicholson et al '18, Feng et al '18

This would be great if possible !

- 2. Chiral symmetry to connect to measured isospin-violating processes
 - Convincingly (IMO) demonstrates the need for a LO counterterm
 - So far cannot give the full determination of

Using chiral symmetry

• The shape of the neutrino potential is very similar to photon exchange



- LO scattering of nn, pp, and np is the same
- EM and isospin-breaking changes the picture
- Dominant contributions from photon exchange + pion-mass splitting

$$V_{\text{CIB}}^{1S_{0}} = \frac{e^{2}}{4} \left(\tau_{3}^{(1)} \tau_{3}^{(2)} - \frac{1}{3} \boldsymbol{\tau}^{(1)} \cdot \boldsymbol{\tau}^{(2)} \right) \frac{1}{\mathbf{q}^{2}} \left\{ 1 + \frac{g_{A}^{2}}{F_{\pi}^{2}} \frac{m_{\pi^{\pm}}^{2} - m_{\pi^{0}}^{2}}{e^{2}} \left(\frac{\mathbf{q}^{2}}{\mathbf{q}^{2} + m_{\pi}^{2}} \right)^{2} \right\}.$$

• In Weinberg counting short-range operators at N²LO

Waltz, Epelbaum, Meißner '01

Charge-independence breaking

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• We calculate the combination of scattering lengths

 a_{nn} a_{np} a_{pp}

- Weinberg counting: once LO strong counter term is fitted to a_{np} then a_{nn} and a_{pp} are predicted. **They should be cut-off independent**
- We use as potentials:

$$V = V_{strong}^{LO} + V_{CIB}$$

Log dependence !!!



Adding counter terms

• As we found for double beta, we need CTs for CIB



• Construct contact operators from EM I=2 operators

$$C_{1}\left(\bar{N}Q_{L}N\ \bar{N}Q_{L}N - \frac{Tr[Q_{L}^{2}]}{6}\bar{N}\vec{\tau}N\cdot\bar{N}\vec{\tau}N + L \Leftrightarrow R\right) \qquad Q_{L,R} = u^{\dagger}Q_{L,R}u$$
$$C_{2}\left(\bar{N}Q_{L}N\ \bar{N}Q_{R}N - \frac{Tr[Q_{L}Q_{R}]}{6}\bar{N}\vec{\tau}N\cdot\bar{N}\vec{\tau}N + L \Leftrightarrow R\right)$$

- Fit to the CIB data gives us $C_1 + C_2$ for each value of regulator
- for neutrinoless double beta we need. $g_v^{NN} = C_1$
- For now we assume $C_1 = C_2$ but this gives an **undetermined error**
- Note $C_1 \sim C_2$ from power counting

A link to electromagnetism

• We can extract $C_1 + C_2$ from $\Delta I = 2$ data

$$\frac{a_{nn} + a_{pp} - 2a_{np}}{2}$$

- We calculate all scattering lengths and fit $C_1 + C_2$
- Include isospin-breaking and Coulomb interactions



- Clear log dependence: Absorbs cut-off dependence in $nn \rightarrow pp + ee$
- Extract $C1(\Lambda)+C2(\Lambda)$ as a function of the cut-off
- This then determines $g_{\nu}^{NN}(\Lambda)$

Partial success

• Recalculate amplitude with modified neutrino potential including CT





- Total amplitude is regulator independent: data-driven !
- For regulators R_S ~ (0.3-0.8) fm (Lambda ~ 0.4 1 GeV) about 20-30% corrections (but based on C₁=C₂!!)
- The effect is amplified in $\Delta I=2$ transitions

Ab initio calculations of light nuclei

- We study neutrinoless double beta decay in light nuclei Pastore et al, PRC '17 '19 ${}^{6}He \rightarrow {}^{6}Be + e + e$ ${}^{12}Be \rightarrow {}^{12}C + e + e$
- Wave functions from QMC calculations with chiral potential Piarulli et al, PRC '14

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- The CIB counter term extracted from potential $\rightarrow g_v^{NN} = C_1 + C_2$
- Study impact of short-range versus long-range neutrino potential
- Note: these potentials all have $C_1 + C_2 \sim O(1)$ instead of N2LO

Model	Ref.	R_S (fm)	C_0^{IT} (fm ²)	$(\mathcal{C}_1 + \mathcal{C}_2)/2 \ (\mathrm{fm}^2)$	Model	Ref.	$\Lambda \ ({ m MeV})$	$\left (\mathcal{C}_1 + \mathcal{C}_2)/2 \right (\mathrm{fm}^2)$
NV-Ia*	[35]	0.8	0.0158	-1.03	Entem-Machleidt	[31]	500	-0.47
NV-IIa*	[35]	0.8	0.0219	-1.44	Entem-Machleidt	[31]	600	-0.14
NV-Ic	[35]	0.6	0.0219	-1.44	Reinert <i>et al.</i>	[36]	450	-0.67
NV-IIc	[35]	0.6	0.0139	-0.91	Reinert <i>et al.</i>	[36]	550	-1.01
					NNLO _{sat}	[34]	450	-0.39

TABLE II. Values of $C_1 + C_2$ obtained from the CIB contact interactions in various chiral potentials

Ab initio calculations of light nuclei $A_v = \int dr C(r)$ $C(r) = C_{Long}(r) + C_{Short}(r)$

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Dimensionless NME	Long range	Short range
$^{6}He \rightarrow ^{6}Be + e + e$	7.8	1.2
$^{12}Be \rightarrow {}^{12}C + e + e$	0.7	0.55

- $\Delta I=2$ transitions: orthogonal initial and final-state wave functions
- Feature of all isotopes of experimental interest
- 100% corrections to $\Delta I=2$ transitions from g_v^{NN}
- If similar in heavier nuclei: large impact on neutrino mass extractions

Summary of 'standard mechanism'

- Progress towards systematic derivation of $0\nu\beta\beta$ decay rate
- Main result: a LO contact $nn \rightarrow pp$ + ee operator must be added
- Potentially large impact on the neutrino mass limits
- Strong evidence for the need of the short-range currents
- Lattice input needed to resolve + more ab initio calculations
- Not all bad! Could enhance 0νββ decay rate and perhaps help align manybody calculations that are currently disagreeing?



Future developments

- Study three-nucleon double-beta decay processes in the same framework
- Some debate about role of two-nucleon weak currents

Menendez et al PRL '11 Engel et al PRC '15 '18

- Can we disentangle C₁ and C₂ from CIB data ?
- C_1 and C_2 are degenerate in pure nucleon processes
- Instead study associated interactions with 2 pions

Double charge exchange $\pi^{\pm} + A(N,Z) \rightarrow \pi^{\mp} + A(N \mp 2, Z \pm 2)$ CIB scattering $\sigma(\pi^+ + A) + \sigma(\pi^- + A) - 2\sigma(\pi^0 + A)$ CIB level splittings $E({}^{6}He) + E({}^{6}Be) - 2E({}^{6}Li^*)$

- But not clear if this works....
- Ideally, calculations on heavier nuclei