

# Effective field theory for atomic $^4\text{He}$ clusters (and matter)

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Pan-American Few-Body Physics Boot Camp: Fostering Collaboration



Projects: IsCc7\_EFTANS and INF25\_monstre

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## Objective

- To investigate the ground-state properties of  $^4\text{He}$  clusters and matter using an Effective Field Theory (EFT) approach
- Work in progress!

- $^4\text{He}$ – $^4\text{He}$  interatomic potentials
- Two-body low-energy scattering
- $^4\text{He}$  beyond atomic physics
- $^4\text{He}$ : from  $N = 3$  to  $N \rightarrow \infty$
- This work

# Lennard-Jones

- Lennard-Jones potential

$$V(r) = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right]$$

- Number of parameters: **2**

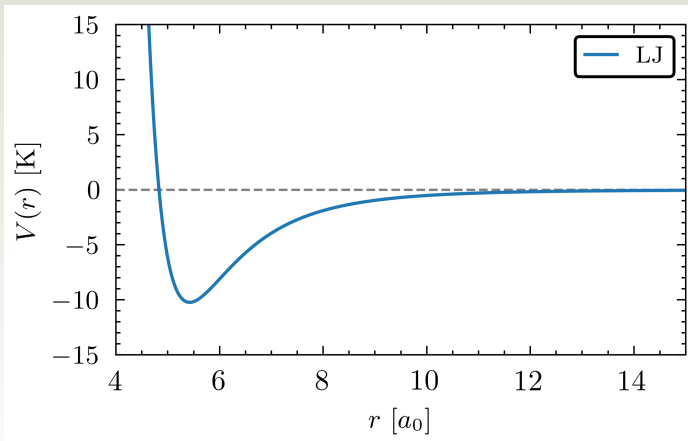
- $\sigma = 2.556 \text{ \AA}$
- $\varepsilon/k_B = 10.22 \text{ K}$

Jones. On the determination of molecular fields. —II. From the equation of state of a gas. *Proc. R. Soc. Lond.*, 1924

Lennard-Jones. On the forces between atoms and ions. *Proc. R. Soc. Lond.*, 1925

de Boer and Michels. Contribution to the quantum-mechanical theory of the equation of state and the law of corresponding states. Determination of the law of force of helium. *Physica*, 1938

Aziz, Nain, Carley, Taylor, and McConville. An accurate intermolecular potential for helium. *J. Chem. Phys.*, 1979

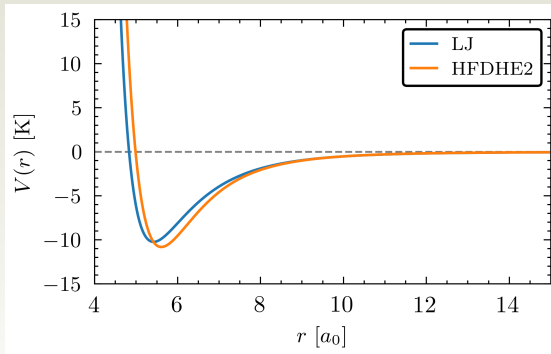


# HFDHE2

- Lennard-Jones potential
  - $r^{-12}$ : represents poorly the Pauli repulsion
  - $r^{-6}$ : misses higher-order multipolar contributions
- **HFDHE2** (**H**artree-**F**ock **D**ispersion, **HE**lium, **2**nd generation):

$$V(r) = \varepsilon \left[ A e^{-\alpha x} - F(x) \sum_{n=3}^5 \frac{C_{2n}}{x^{2n}} \right]$$

- $x = r/r_m$
- $F(x)$  has one parameter
- “Simple” and “realistic” potential
- Number of parameters: **8**



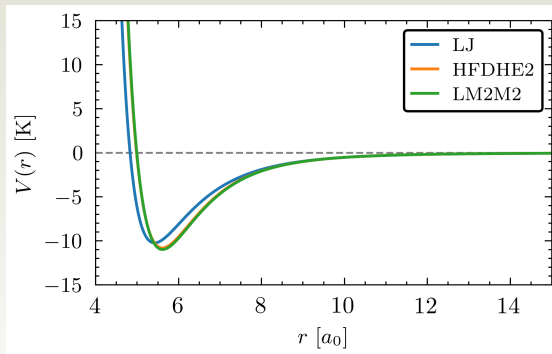
Aziz, Nain, Carley, Taylor, and McConville. An accurate intermolecular potential for helium. *J. Chem. Phys.*, 1979.

# LM2M2

- **LM2M2** (Liu and McLean 2, Mimic 2):

$$V(r) = \varepsilon \left[ A e^{-\alpha x + \beta x^2} - F(x) \sum_{n=3}^5 \frac{C_{2n}}{x^{2n}} \right]$$

- $x = r/r_m$
- $F(x)$  has one parameter
- Improvement on HFDHE2
- Number of parameters: **9**



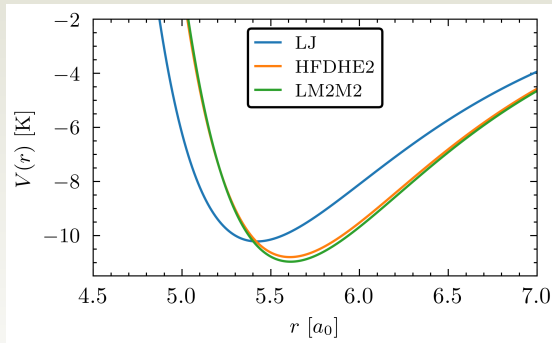
Aziz and Slaman. An examination of ab initio results for the helium potential energy curve. *J. Chem. Phys.*, 1991.

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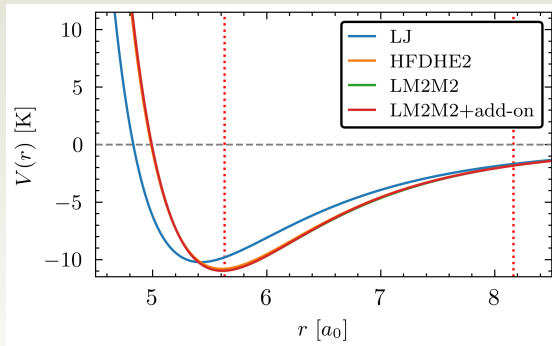
# LM2M2 + add-on

- LM2M2 + add-on:

$$V(r) = V_{\text{LM2M2}}(r) + \varepsilon V_a(r)$$

$$V_a(r) = \begin{cases} A_a \left\{ \sin \left[ \frac{2\pi(x-x_1)}{x_2-x_1} - \frac{\pi}{2} \right] + 1 \right\}, & x_1 \leq x \leq x_2 \\ 0, & \text{otherwise} \end{cases}$$

- Improvement on LM2M2
- Number of parameters: **9+3=12**



Aziz and Slaman. An examination of ab initio results for the helium potential energy curve. *J. Chem. Phys.*, 1991.

# LM2M2 + add-on

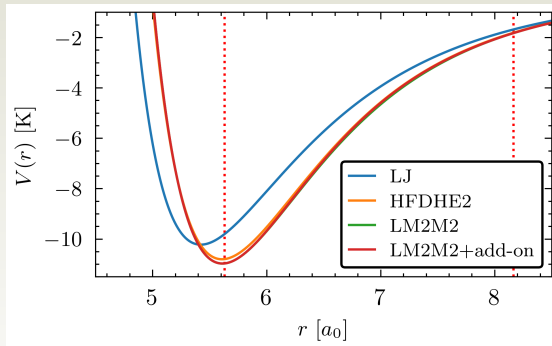
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$$V(r) = V_{\text{LM2M2}}(r) + \varepsilon V_a(r)$$

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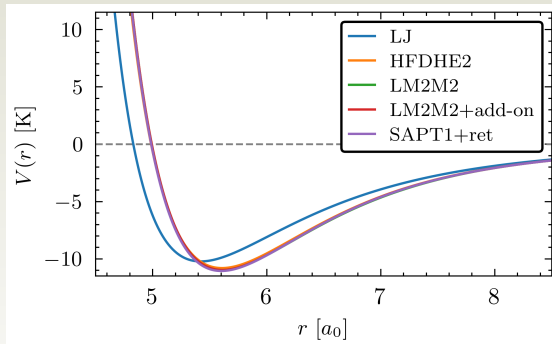
# SAPT1

- Symmetry-Adapted Perturbation Theory (SAPT):

$$V(r) = A e^{-\alpha r + \beta r^2} + \sum_{n=3}^8 f_{2n}(r, b) \frac{C_{2n}}{r^{2n}}$$

$$f_{2n}(r, b) = 1 - \left( \sum_{k=0}^{2n} \frac{(br)^k}{k!} \right) \exp(-br)$$

- Number of parameters: **10**
- Retardation effects  $\rightarrow$  introduce a function of  $r$  multiplying  $C_6/r^6 \rightarrow$  **+30** parameters



Korona, Williams, Bukowski, Jeziorski, and Szalewicz. Helium dimer potential from symmetry-adapted perturbation theory calculations using large Gaussian geminal and orbital basis sets. *J. Chem. Phys.*, 1997.

Janzen and Aziz. An accurate potential energy curve for helium based on ab initio calculations. *J. Chem. Phys.*, 1997.

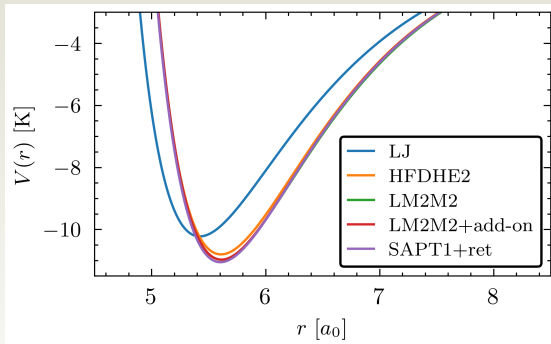
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Janzen and Aziz. An accurate potential energy curve for helium based on ab initio calculations. *J. Chem. Phys.*, 1997.

# What kind of $^4\text{He}$ am I having today?

- What makes helium ...well...helium?
- We want a simple model that can explain the key features of  $^4\text{He}$
- Goal: ground-state properties of  $^4\text{He}$  clusters and bulk matter
- Let us start at the beginning: the helium dimer
  - Low-energy scattering theory works remarkably well!

- $^4\text{He}$ – $^4\text{He}$  interatomic potentials
- Two-body low-energy scattering
- $^4\text{He}$  beyond atomic physics
- $^4\text{He}$ : from  $N = 3$  to  $N \rightarrow \infty$
- This work

# Two-body scattering: the effective range expansion

- The  $s$ -wave **scattering length** and **effective range** are related to the low-energy phase shift  $\delta_0(k)$  through:

$$k \cot \delta_0(k) = -\frac{1}{a} + \frac{r_0 k^2}{2} + \mathcal{O}(k^4)$$

- The two-body  $s$ -wave scattering amplitude is given by

$$f(k) = \frac{1}{k \cot \delta_0 - ik}$$

- From the pole of the  $s$ -wave scattering amplitude:

$$E_2 = -\frac{\hbar^2}{2m_r a^2} \text{ (zero-range)}$$

or

$$E_2 = -\frac{\hbar^2}{2m_r r_0^2} \left(1 - \sqrt{1 - \frac{2r_0}{a}}\right)^2 \text{ (finite-range)}$$

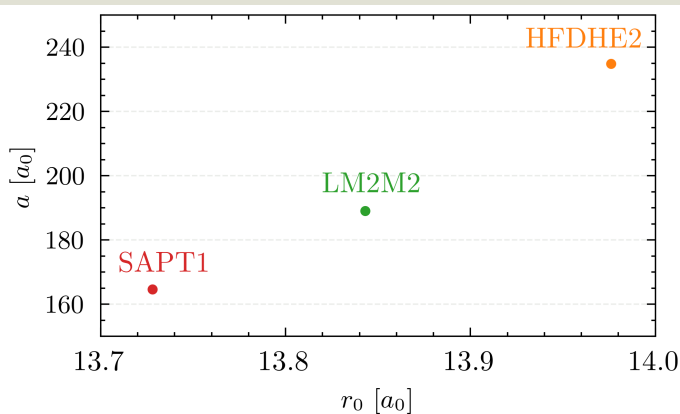
Bethe. Theory of the Effective Range in Nuclear Scattering. *Phys. Rev.*, 1949.

Macêdo-Lima and **Madeira**. Scattering length and effective range of microscopic two-body potentials. *RBEF*, 2023.

# $^4\text{He}$ dimer: scattering length and effective range

- LJ with the parameters presented before: not even bound!  
( $a \sim -300 a_0$ )
- Similar effective ranges
- Scattering lengths differ, but

$$a \gg r_0$$



Janzen and Aziz. Modern He–He potentials: Another look at binding energy, effective range theory, retardation, and Efimov states. *J. Chem. Phys.*, 1995.

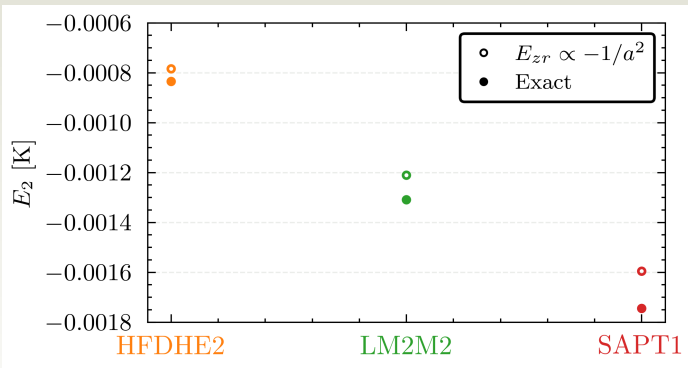
Janzen and Aziz. An accurate potential energy curve for helium based on ab initio calculations. *J. Chem. Phys.*, 1997.

Kievsky, Garrido, Romero-Redondo, and Barletta. The Helium Trimer with Soft-Core Potentials. *Few-Body Syst.*, 2011.

# <sup>4</sup>He dimer: binding energy (zero range)

- Zero-range approximation:

$$E_{zr} = -\frac{\hbar^2}{2m_r a^2}$$



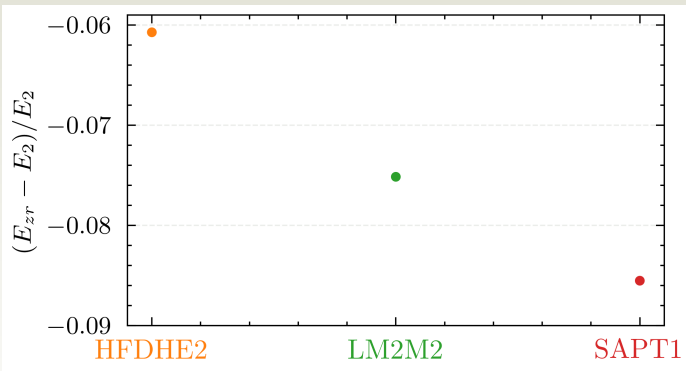
Macêdo-Lima and **Madeira**. Scattering length and effective range of microscopic two-body potentials. *RBEF*, 2023.

# <sup>4</sup>He dimer: binding energy (zero range)

- Zero-range approximation:

$$E_{zr} = -\frac{\hbar^2}{2m_r a^2}$$

- Less than 10% error!



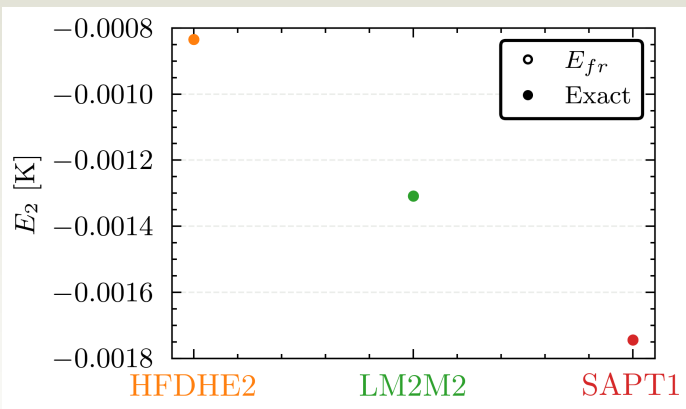
Macêdo-Lima and **Madeira**. Scattering length and effective range of microscopic two-body potentials. *RBEF*, 2023.

# <sup>4</sup>He dimer: binding energy (finite range)

- Finite-range approximation:

$$E_{fr} = -\frac{\hbar^2}{2m_r r_0^2} \left( 1 - \sqrt{1 - \frac{2r_0}{a}} \right)^2$$

- Low-energy scattering theory
  - Less than 10% error if we use only the scattering length
  - Excellent results if we include range corrections
- **The agreement is independent of the potential we choose**



Macêdo-Lima and **Madeira**. Scattering length and effective range of microscopic two-body potentials. *RBEF*, 2023.

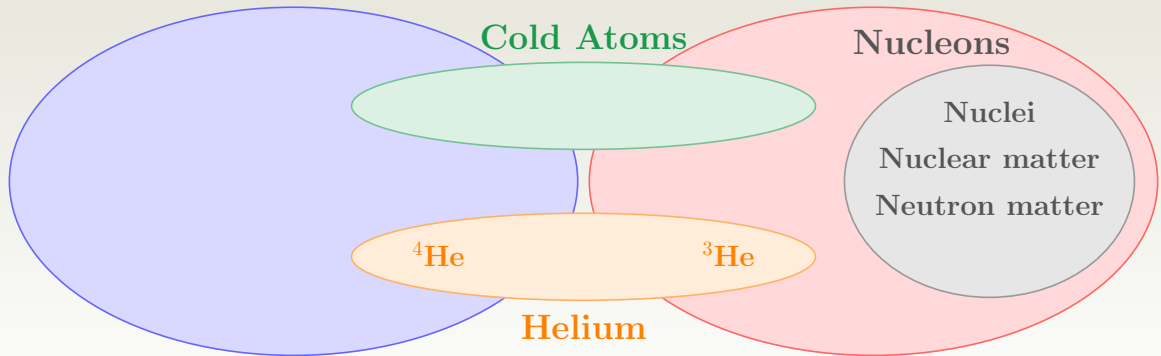
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## Themes of this workshop

- How to connect this broad range of systems?

**Bosons**

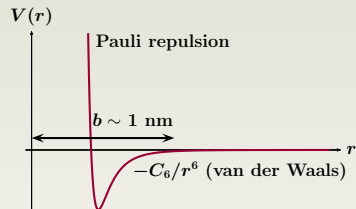
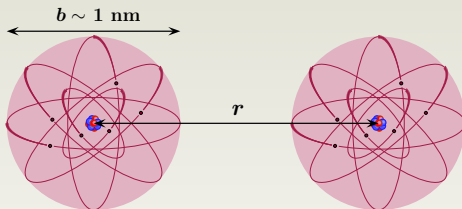
**Fermions**



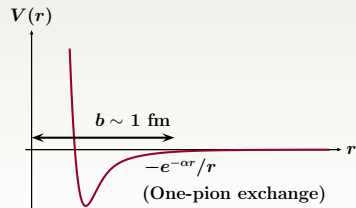
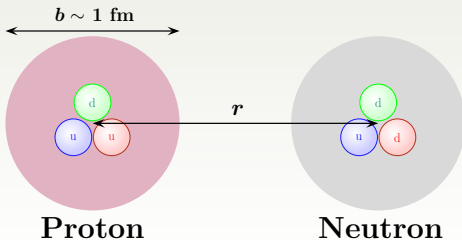
# Physical systems

Universality is not apparent in these systems!

- Atomic physics
- Electromagnetic force



- Nuclear physics
- Residual strong force



# Two-body scattering: zero-range vs physical systems

$$k \cot \delta_0(k) = -\frac{1}{a} + \mathcal{O}(k^2)$$

- From the pole of the  $s$ -wave scattering amplitude:

$$E_{zr} = -\frac{\hbar^2}{2m_r a^2} \text{ (zero-range)}$$

- This can be compared with physical systems:

$$E_B = -\frac{\hbar^2}{2m_r a_B^2}$$

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Bethe. Theory of the Effective Range in Nuclear Scattering. *Phys. Rev.*, 1949.

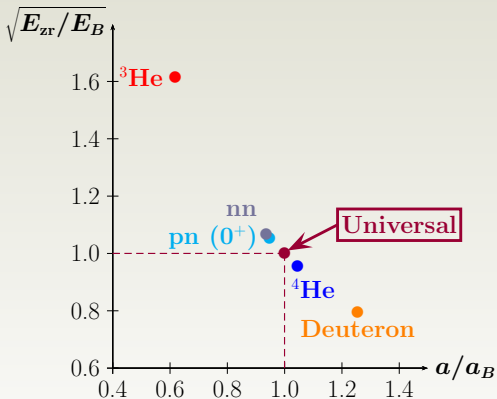
Kievsky, Gattobigio, Girlanda, and Viviani. Efimov Physics and Connections to Nuclear Physics. *Annu. Rev. Nucl. Part. Sci.*, 2021.

Macêdo-Lima and **Madeira**. Scattering length and effective range of microscopic two-body potentials. *RBEF*, 2023.

# Zero-range universality

- **He**: HFDHE2
- **Nucleon-nucleon**: AV18

| System                    | $a$    | $a_B$  |
|---------------------------|--------|--------|
| Atomic Physics (nm)       |        |        |
| $^4\text{He}$ dimer       | 9.04   | 8.65   |
| $^3\text{He}$ dimer       | −0.70  | −1.13  |
| Nuclear Physics (fm)      |        |        |
| deuteron [p-n ( $1^+$ )]  | 5.42   | 4.32   |
| proton-neutron ( $0^+$ )  | −23.74 | −25.05 |
| neutron-neutron ( $0^+$ ) | −18.90 | −20.19 |



Gutiérrez, de Llano, and Stwalley. Accurate direct determination of effective-range expansion parameters for several central potentials. *PRB*, 1984.

Kievsky, Gattobigio, Girlanda, and Viviani. Efimov Physics and Connections to Nuclear Physics. *Annu. Rev. Nucl. Part. Sci.*, 2021.

- $^4\text{He} - ^4\text{He}$  interatomic potentials
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# $^4\text{He}$ trimer and Efimov physics

## ● Efimov trimer

- Predicted by Efimov for three identical bosons with **large two-body scattering lengths**
- Requires resonant (or near-resonant)  **$s$ -wave interactions** and **short-range forces**
- Characterized by **discrete scale invariance** and a universal three-body parameter
- Exhibits a geometric spectrum:  $E^{(n)} \propto E^{(0)} e^{-2\pi n/|s_0|}$

## ● Helium trimer

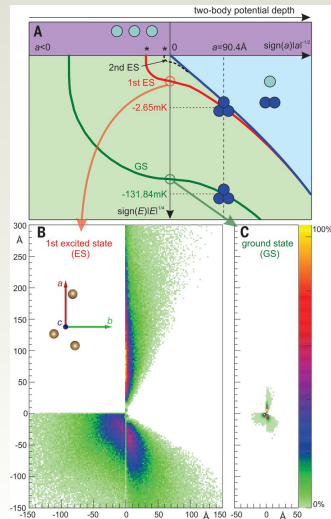
- First real-world realization of an Efimov state in a **naturally** occurring system

Efimov. Energy levels arising from resonant two-body forces in a three-body system. *Phys. Lett. B*, 1970

Efimov. Weakly-bound states of 3 resonantly-interacting particles. *Sov. J. Nucl. Phys.*, 1971

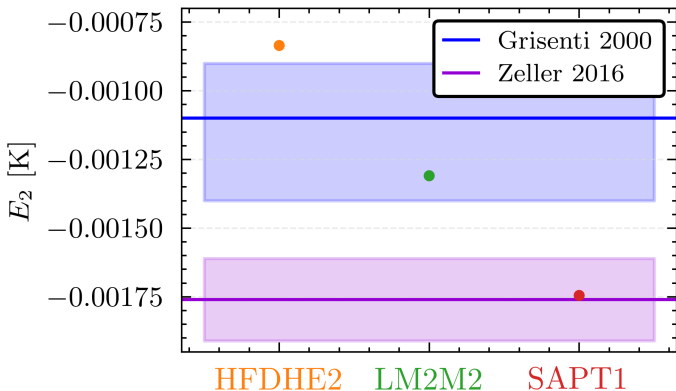
Kunitski, Zeller, Voigtsberger, Kalinin, Schmidt, Schoffler, Czasch, Schollkopf, Grisenti, Jahnke, Blume, and Dörner.

Observation of the Efimov state of the helium trimer. *Science*, 2015



# Experimental measurements

- **Binding energy**
  - Dimer
  - Trimer excited state: 2.6(2) mK
- **Equation of state** (of the bulk)
  - Energy per particle as a function of the density
- If we want to study ground-state properties of  $N \geq 3$  clusters, **we have to perform “numerical experiments”**



Ouboter and Yang. The thermodynamic properties of liquid  $^3\text{He}$ - $^4\text{He}$  mixtures between 0 and 20 atm in the limit of absolute zero temperature. *Physica B+C*, 1987

Grisenti, Schöllkopf, Toennies, Hegerfeldt, Köhler, and Stoll. Determination of the Bond Length and Binding Energy of the Helium Dimer by Diffraction from a Transmission Grating. *PRL*, 2000

Kunitski, Zeller, Voigtsberger, Kalinin, Schmidt, Schoffler, Czasch, Schollkopf, Grisenti, Jahnke, Blume, and Dörner. Observation of the Efimov state of the helium trimer. *Science*, 2015

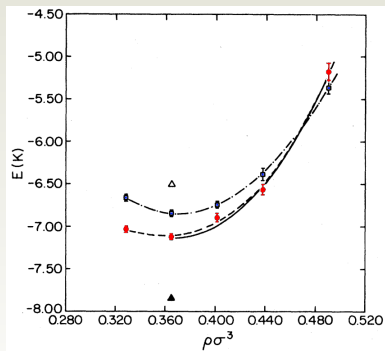
Zeller et al. Imaging the He 2 quantum halo state using a free electron laser. *PNAS*, 2016

# Ground-state $^4\text{He}$ properties: Quantum Monte Carlo methods

## ● Clusters: HFDHE2

| $N$      | $E/N$ (K)   |         | $r_0$ (Å)         | $T$ (Å)          |
|----------|-------------|---------|-------------------|------------------|
|          | GFMC        | VMC     |                   |                  |
| 3        | − 0.0391(1) | ...     | 5.35              | 5.0              |
| 4        | − 0.1333(5) | − 0.128 | 4.20              | 4.5              |
| 8        | − 0.6165(6) | − 0.597 | 3.19              | 4.4              |
| 20       | − 1.627(3)  | − 1.570 | 2.71              | 5.5              |
| 40       | − 2.487(3)  | − 2.396 | 2.57              | 5.6              |
| 70       | − 3.12(4)   | − 3.02  | 2.47              | 6.1              |
| 112      | − 3.60(1)   | − 3.52  | 2.44              | 7.3              |
| 240      | ...         | − 4.19  | 2.36 <sup>a</sup> | 6.8 <sup>a</sup> |
| 728      | ...         | − 4.95  | 2.32 <sup>a</sup> | 7.2 <sup>a</sup> |
| $\infty$ | − 7.11(2)   | − 6.88  | 2.22              | ...              |

## ● Matter: Lennard-Jones, HFDHE2, Expt. (solid line)



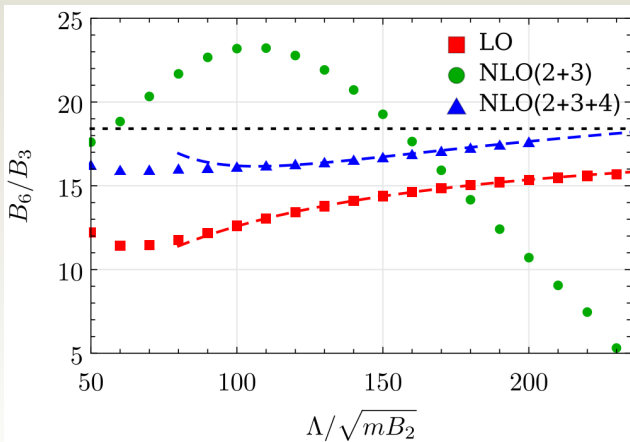
Kalos, Lee, Whitlock, and Chester. Modern potentials and the properties of condensed He 4. *PRB*, 1981.

Pandharipande, Zabolitzky, Pieper, Wiringa, and Helmbrecht. Calculations of Ground-State Properties of Liquid  $^4\text{He}$  Droplets. *PRL*, 1983.

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# Motivation

- Success of EFT describing properties of  $^4\text{He}$  clusters with  $N \leq 6$
- Universal properties of unitary bosons
  - Clusters (up to  $N = 60$ )
  - Matter
- Objective: apply EFT to  $^4\text{He}$  **clusters** (the largest  $N$  within our computational capabilities) and **matter**

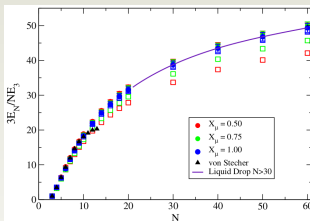


Bazak, Eliyahu, and van Kolck. Effective field theory for few-boson systems. *PRA*, 2016.

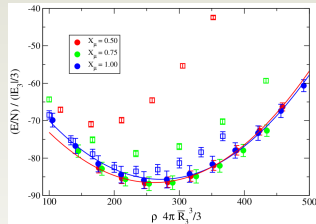
Bazak, Kirscher, König, Valderrama, Barnea, and van Kolck. Four-Body Scale in Universal Few-Boson Systems. *PRL*, 2019.

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Clusters



Matter

Carlson, Gandolfi, van Kolck, and Vitiello. Ground-State Properties of Unitary Bosons: From Clusters to Matter. *PRL*, 2017.

De-Leon and Pederiva. Equation of State of a Strongly Interacting many-Boson System from an Effective Interaction.

arXiv:2211.00165. 2022.

# EFT approach

- The LO EFT Hamiltonian for non-relativistic bosons with large scattering lengths is:

$$H = -\frac{\hbar^2}{2m} \sum_{i=1}^N \nabla_i^2 + \sum_{i<j} V_{ij} + \sum_{i<j<k} V_{ijk}$$

- The contact interactions are regularized:

$$V_{ij} = V_2(\Lambda) e^{-r_{ij}^2 \Lambda^2 / 4} \quad \text{and} \quad V_{ijk} = V_3(\Lambda) e^{-(r_{ij}^2 + r_{ik}^2 + r_{jk}^2) \Lambda^2 / 6}$$

- where we introduced the **low-energy constants (LECs)**

- Typical momentum scale of the  $N$  particle system:

$$Q_N = \frac{1}{\hbar} \sqrt{\frac{-2mE_N}{N}}$$

- Extrapolating quantities to infinite cutoff:

$$O_N(\Lambda) = O_N(\Lambda \rightarrow \infty) \left[ 1 + \alpha_N \left( \frac{Q_N}{\Lambda} \right) + \beta_N \left( \frac{Q_N}{\Lambda} \right)^2 + \dots \right]$$

- $\alpha_N$  and  $\beta_N$  should be of order 1

# Quantum Monte Carlo

- QMC: *ab-initio* many-body methods used to compute ground-state properties of strongly-interacting systems

- Trial wave function:

$$\Psi_T = \prod_i f^{(1)}(r_i) \prod_{i < j} f^{(2)}(r_{ij}) \prod_{i < j < k} f^{(3)}(R_{ijk})$$

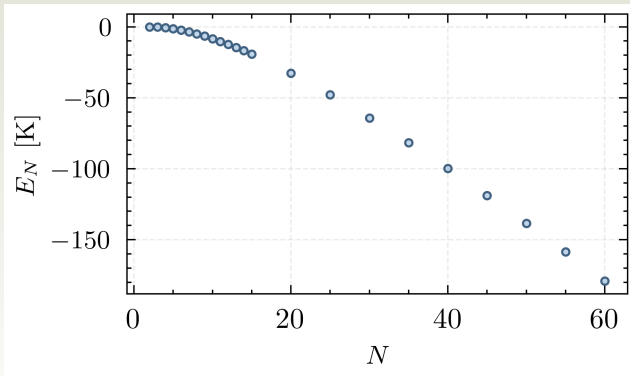
- Variational Monte Carlo (VMC) is used to optimize the parameters
- Diffusion Monte Carlo (DMC): Ground-state energy
  - Method for solving the imaginary-time many-body Schrödinger equation
  - Projects out the lowest energy eigenstate that has non-zero overlap with the initial state

$$|\Phi_0\rangle \propto \lim_{\tau \rightarrow \infty} \exp[-(H - E_T)\tau] |\Psi_T\rangle$$

- Exact for bosons, but with controllable statistical uncertainties

# Numerical experiments

- HFDHE2 potential
- Data is used to:
  - determine the LECs ( $N = 2$  and  $N = 3$ )
  - compare with EFT predictions for  $N \geq 4$
- $V_2(\Lambda)$  reproduces  $E_2 = -0.8348$  mK
- $V_3(\Lambda)$  reproduces  $E_3 = -117.2$  mK

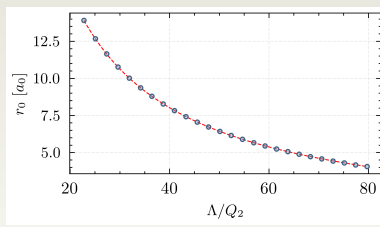
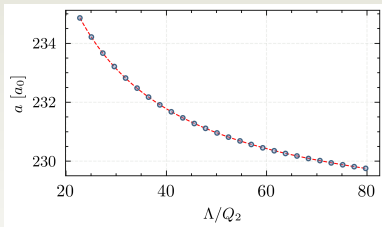
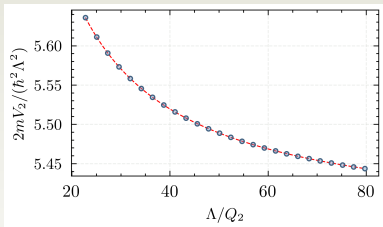


Madeira, Pederiva, and van Kolck. In preparation.

# EFT and the two-body sector

$$O(\Lambda) = O(\Lambda \rightarrow \infty) \left[ 1 + \alpha \left( \frac{Q_2}{\Lambda} \right) + \beta \left( \frac{Q_2}{\Lambda} \right)^2 \right]$$

$$r_0(\Lambda) = r_{0,\infty} + \alpha \left( \frac{Q_2}{\Lambda} \right) + \beta \left( \frac{Q_2}{\Lambda} \right)^2$$

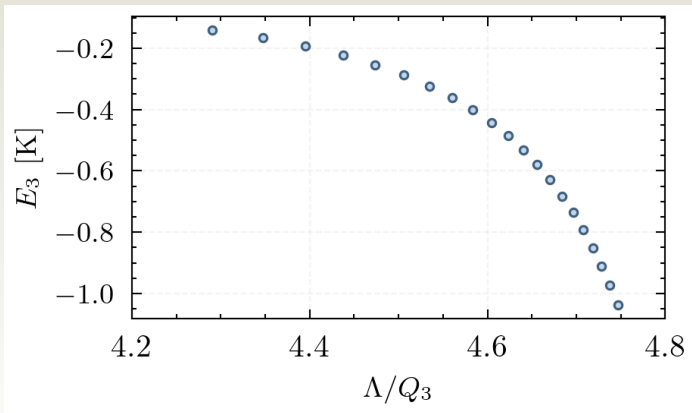


- $2mV_2/(\hbar^2 \Lambda^2) \xrightarrow{\Lambda \rightarrow \infty} 5.368011(1)$
- $\alpha = 1.12031(2)$
- $\beta = 0.3642(3)$
- $a_\infty = 227.723(2) a_0$  ( $-\hbar^2/ma_\infty^2 = E_2$ )
- $\alpha = 0.710(1)$
- $\beta = 0.09(1)$
- $r_{0,\infty} = 0.0028(3) a_0$
- $\alpha = 326.44(2) a_0$
- $\beta = -222.2(5) a_0$

Madeira, Pederiva, and van Kolck. In preparation.

# The Thomas collapse

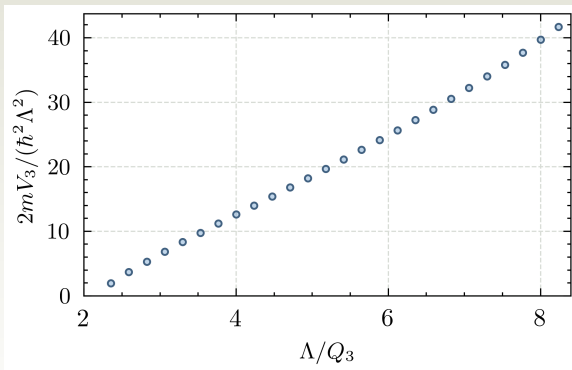
- What happens if we do not include a three-body force?



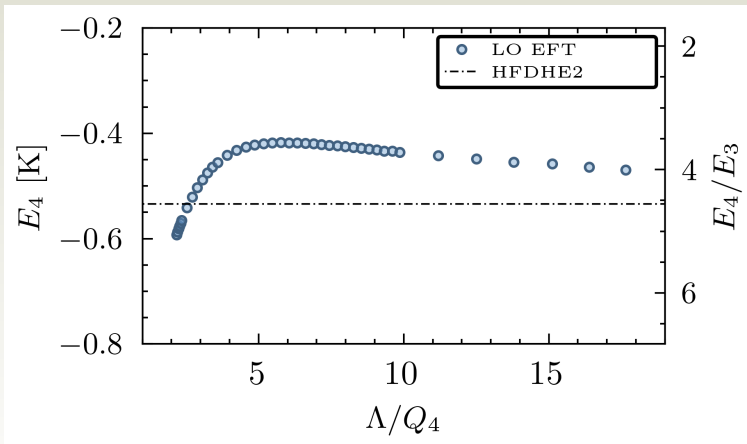
Madeira, Pederiva, and van Kolck. In preparation.

# The $^4\text{He}$ trimer

- $V_3(\Lambda)$  reproduces  $E_3 = -117.2$  mK
- At this point, the LO Hamiltonian is fully determined for  $N$  particles



Madeira, Pederiva, and van Kolck. In preparation.

Clusters -  $N = 4$ 

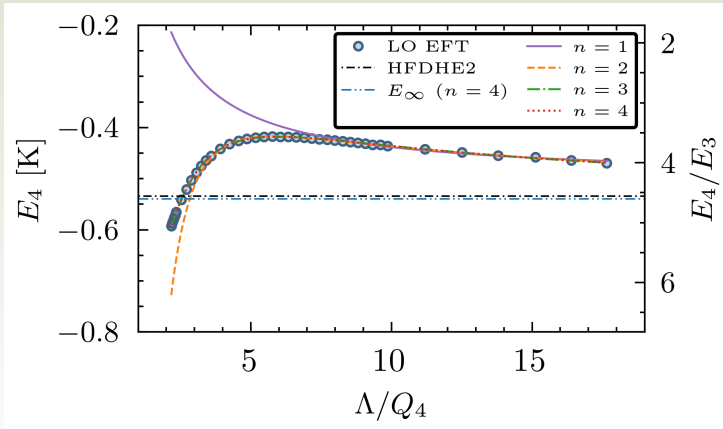
**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 4$

- Extrapolation:

$$E_4(\Lambda) = E_{4,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_4}{\Lambda} \right)^i \right]$$

- 1% relative difference!

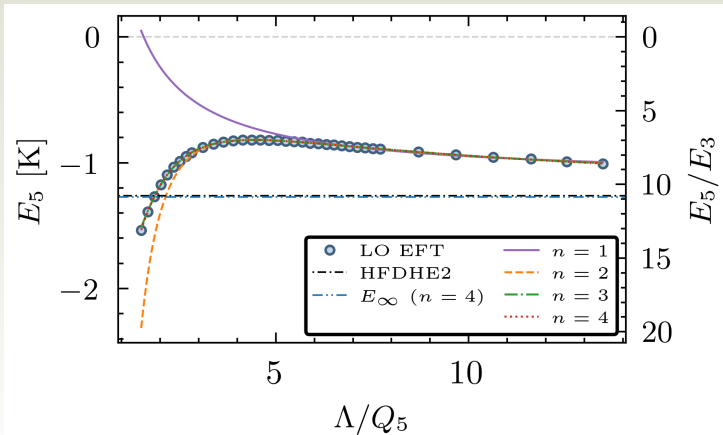


Madeira, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 5$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

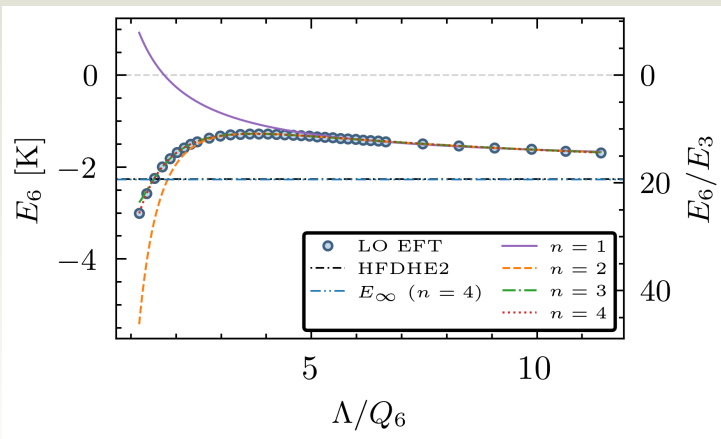


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 6$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

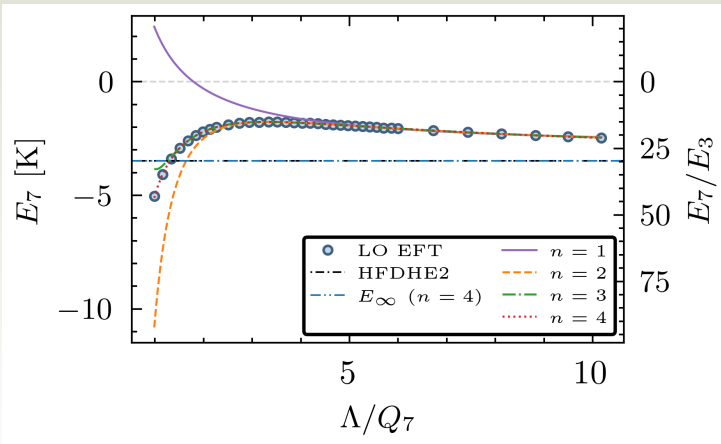


Madeira, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 7$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

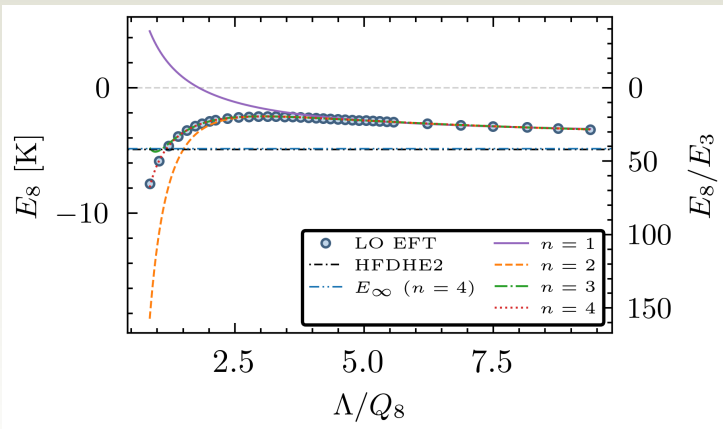


Madeira, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 8$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

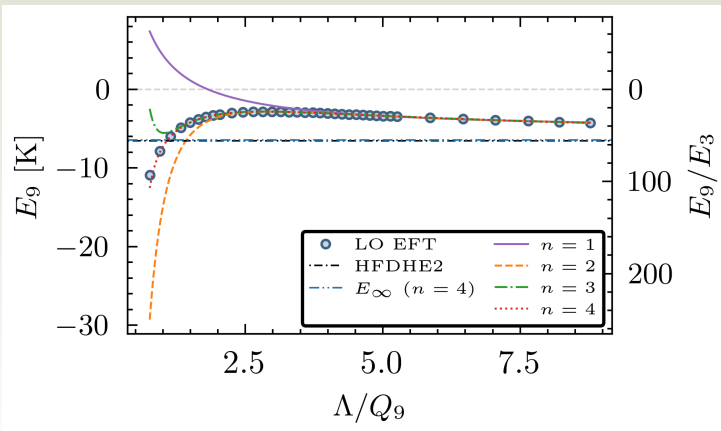


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 9$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

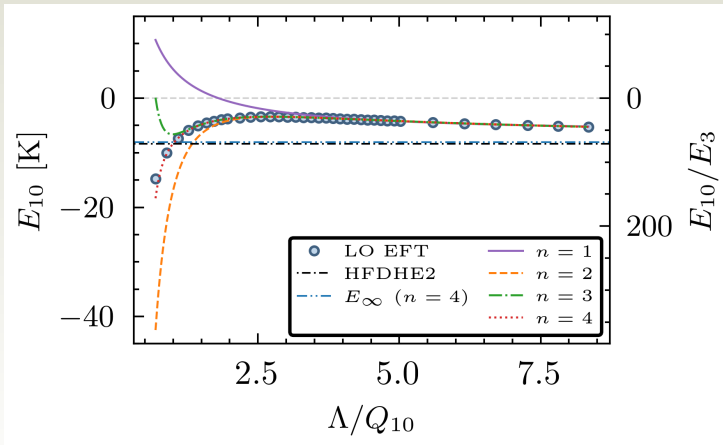


Madeira, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 10$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

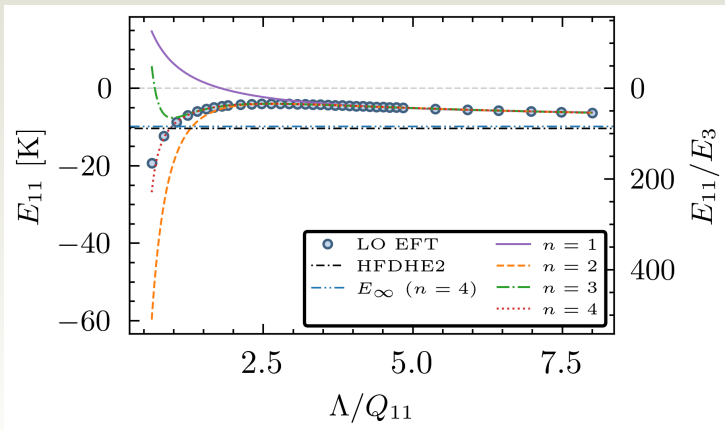


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 11$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

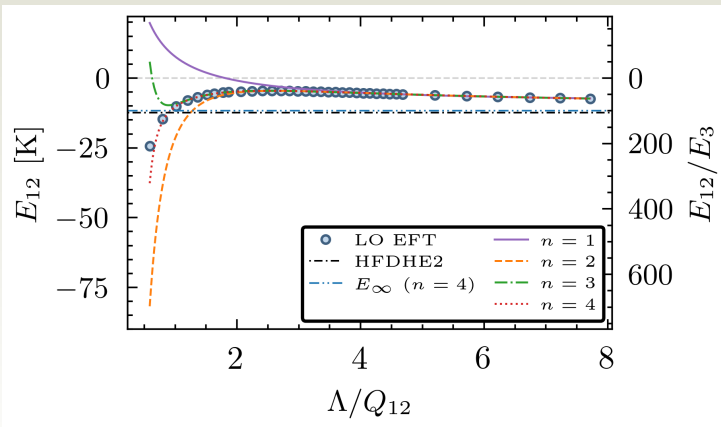


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 12$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

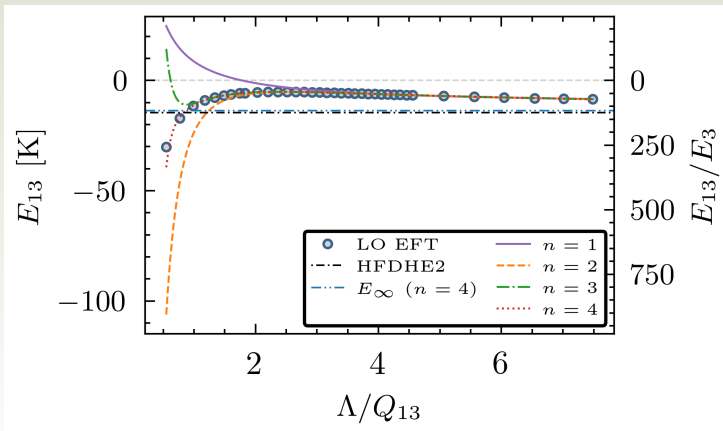


Madeira, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 13$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

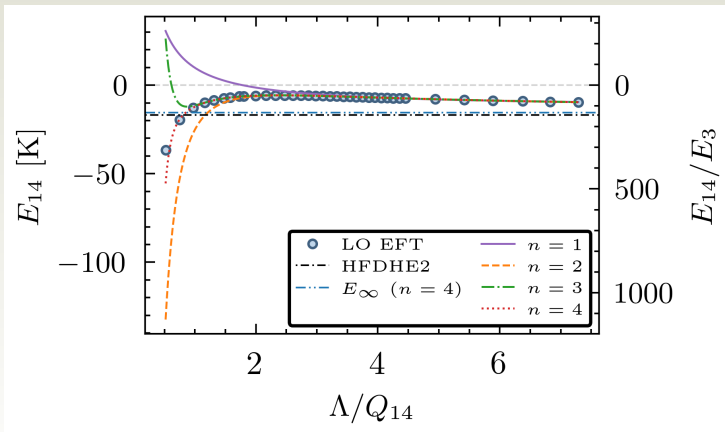


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 14$

• Extrapolation:

$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$

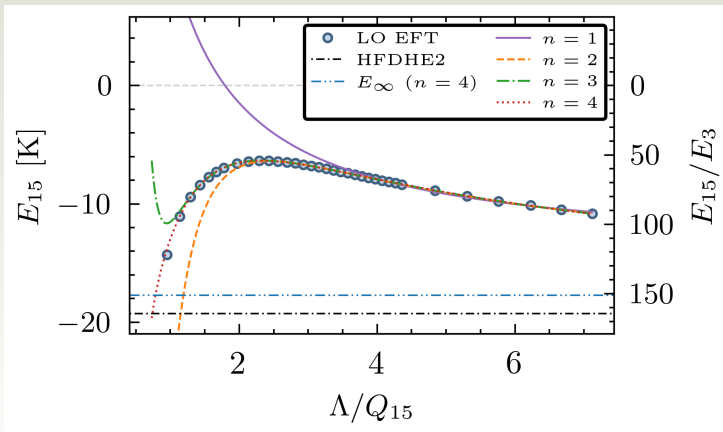


**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - $N = 15$

• Extrapolation:

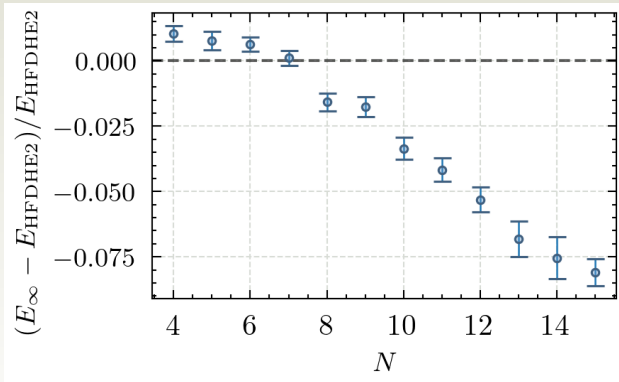
$$E_N(\Lambda) = E_{N,\infty} \left[ 1 + \sum_{i=1}^n c_i \left( \frac{Q_N}{\Lambda} \right)^i \right]$$



**Madeira**, Pederiva, and van Kolck. In preparation.

# Clusters - comparison with the HFDHE2 potential

- Compare  $E_N(\Lambda \rightarrow \infty)$  with  $E_{N,\text{HFDHE2}}$
- Relative difference
  - 1% ( $N = 4$ )
  - 8% ( $N = 15$ )



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# Conclusions

- Motivation and advantages of using an EFT description of  $^4\text{He}$
- Leading Order: only two inputs
  - $E_2$
  - $E_3$
- Clusters: relative differences to the “numerical experiment”
  - 1% ( $N = 4$ )
  - 8% ( $N = 15$ )

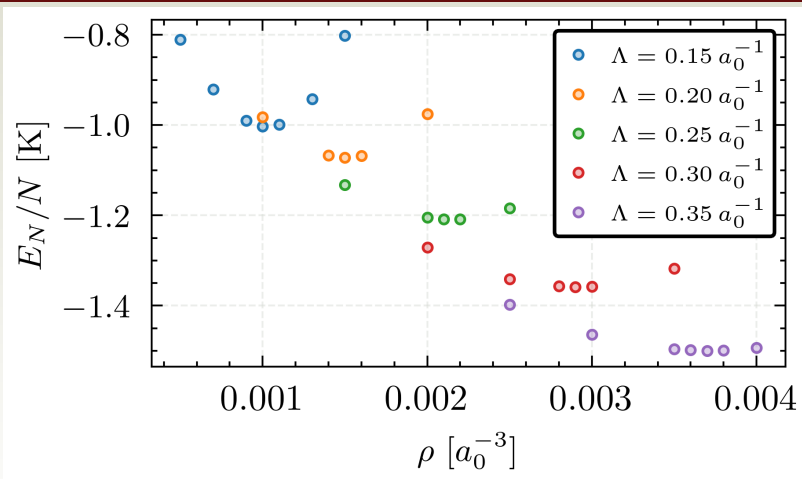
## Outlook

- Next-to-Leading-Order (NLO)
  - Four-body scale
- Uncertainty quantification
- Matter

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Bazak, Kirscher, König, Valderrama, Barnea, and van Kolck. Four-Body Scale in Universal Few-Boson Systems. *PRL*, 2019.

# Matter



Madeira, Pederiva, and van Kolck. In preparation.