

# Thermalization and entropy production in heavy-ion collisions

Li Yan

Fudan University



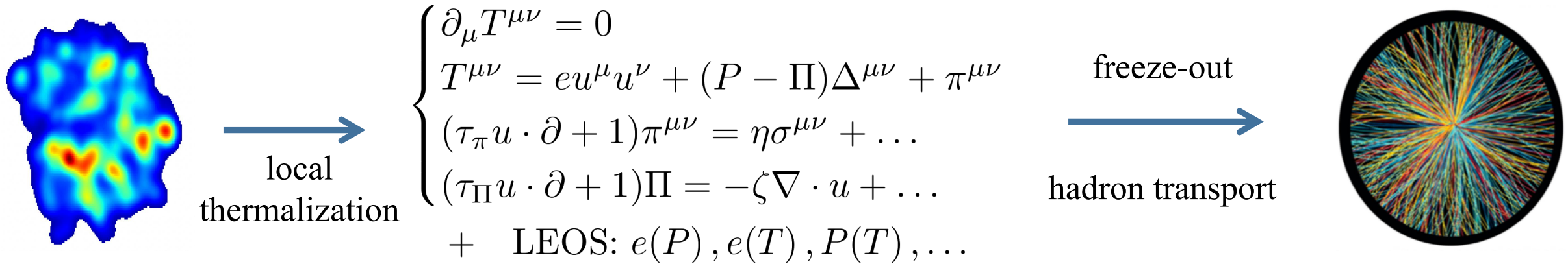
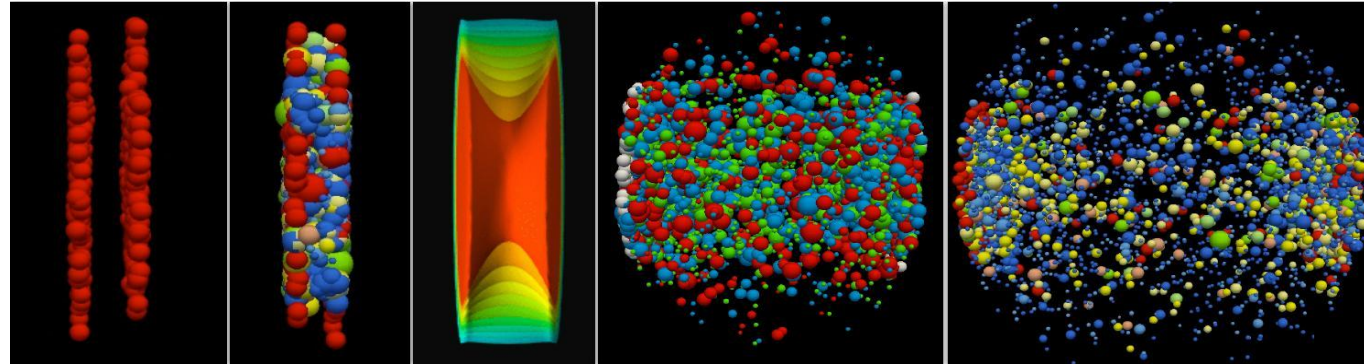
Attractors and thermalization in nuclear collisions and cold quantum gases

Sep. 25, 2025, Trento, Italy



With Chenxi Liang

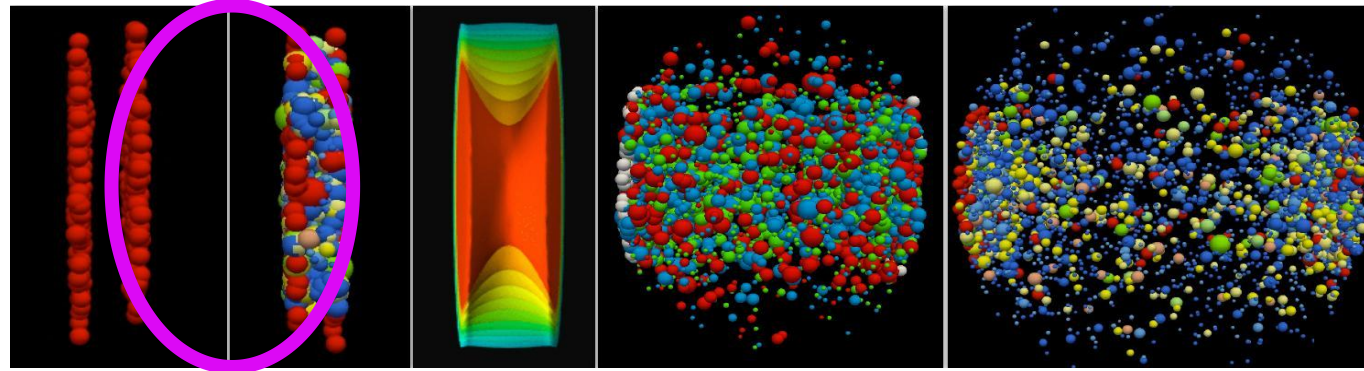
# Hybrid Hydro modeling of heavy-ion collisions



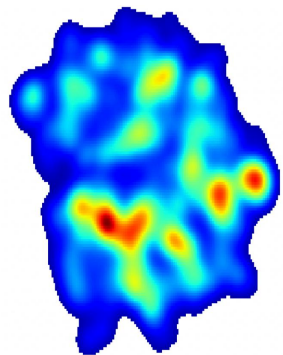
- Relativistic hydrodynamics solves conservation of energy and momentum (baryon charge, etc.)

# Hybrid Hydro modeling of heavy-ion collisions

hydro attractor



How and why?



local  
thermalization

$$\left\{ \begin{array}{l} \partial_\mu T^{\mu\nu} = 0 \\ T^{\mu\nu} = eu^\mu u^\nu + (P - \Pi)\Delta^{\mu\nu} + \pi^{\mu\nu} \\ (\tau_\pi u \cdot \partial + 1)\pi^{\mu\nu} = \eta\sigma^{\mu\nu} + \dots \\ (\tau_\Pi u \cdot \partial + 1)\Pi = -\zeta\nabla \cdot u + \dots \\ + \text{LEOS: } e(P), e(T), P(T), \dots \end{array} \right.$$

freeze-out

hadron transport



- Relativistic hydrodynamics solves conservation of energy and momentum (baryon charge, etc.)

# Flow-related observables

[J. Grosse-Oetringhaus and  
U. Wiedemann, 2407.07484]

Observable or effect	Pb-Pb, Xe-Xe, Au-Au	p-Pb, a-A (high $N$ )	pp (high $N$ )	Refs.
Near-side ridge yields	yes	yes	yes	<a href="#">33</a> <a href="#">36</a> <a href="#">74</a> <a href="#">76</a> <a href="#">77</a> <a href="#">79</a> <a href="#">79</a> <a href="#">100</a>
Azimuthal anisotropy	$v_1$ - $v_9$	$v_1$ - $v_5$	$v_2$ - $v_4$	<a href="#">34</a> <a href="#">36</a> <a href="#">46</a> <a href="#">73</a> <a href="#">89</a> <a href="#">101</a> <a href="#">102</a>
Weak $\eta$ dependence	yes	yes	yes	<a href="#">82</a> <a href="#">90</a> <a href="#">98</a>
Characteristic mass dependence	$v_2$ - $v_5$	$v_2, v_3$	$v_2$	<a href="#">78</a> <a href="#">81</a> <a href="#">83</a> <a href="#">87</a> <a href="#">103</a> <a href="#">110</a>
Higher-order cumulants (mainly $v_2\{n\}$ , $n \geq 4$ )	“ $4 \approx 6 \approx 8 \approx \text{LYZ}$ ” +higher harmonics	“ $4 \approx 6 \approx 8 \approx \text{LYZ}$ ” +higher harmonics	“ $4 \approx 6$ ”	<a href="#">83</a> <a href="#">84</a> <a href="#">88</a> <a href="#">96</a> <a href="#">109</a> <a href="#">111</a> <a href="#">123</a>
Symmetric cumulants (SC)	up to (5, 3)	only (4, 2), (3, 2)	only (4, 2), (3, 2)	<a href="#">86</a> <a href="#">88</a> <a href="#">124</a> <a href="#">130</a>
Non-linear flow modes	up to $v_7$	not measured	not measured	<a href="#">89</a> <a href="#">131</a> <a href="#">132</a>
Factorization breaking	$n = 2$ -4, {2}, {4}	$n = 2, 3, \{2\}$	not measured	<a href="#">77</a> <a href="#">85</a> <a href="#">133</a> <a href="#">137</a>
Event-by-event $v_n$ distributions	$v_2$ - $v_4$	not measured	not measured	<a href="#">138</a> <a href="#">140</a>
Flow- $p_T$ correlation	up to $v_4$	$v_2$	not measured	<a href="#">141</a> <a href="#">142</a>
Directed flow (from spectators)	yes	no	no	<a href="#">143</a>
Charge-dependent correlations	yes	yes	yes	<a href="#">144</a> <a href="#">150</a>
Low $p_T$ spectra (“radial flow”)	yes	yes	yes	<a href="#">52</a> <a href="#">151</a> <a href="#">161</a>
Intermediate $p_T$ (“recombination”)	yes	yes	yes	<a href="#">153</a> <a href="#">156</a> <a href="#">160</a> <a href="#">162</a> <a href="#">166</a>
Particle ratios	GC level	GC level	GC level	<a href="#">153</a> <a href="#">154</a> <a href="#">157</a> <a href="#">158</a> <a href="#">167</a> <a href="#">168</a>
Statistical model	$\gamma_s^{\text{GC}} = 1$	$\gamma_s^{\text{GC}} \approx 1$	$\gamma_s^{\text{C}} < 1$	<a href="#">52</a> <a href="#">161</a> <a href="#">169</a> <a href="#">171</a>
HBT radii ( $R(k_T)$ , $R(\sqrt[3]{N})$ )	$R_{\text{out}}/R_{\text{side}} \approx 1$	$R_{\text{out}}/R_{\text{side}} \lesssim 1$	$R_{\text{out}}/R_{\text{side}} \lesssim 1$	<a href="#">172</a> <a href="#">180</a>
Direct photons at low $p_T$	yes	not measured	not observed	<a href="#">181</a> <a href="#">183</a>

To some extent, hydro works for all these observables! (unreasonable success of hydro.)

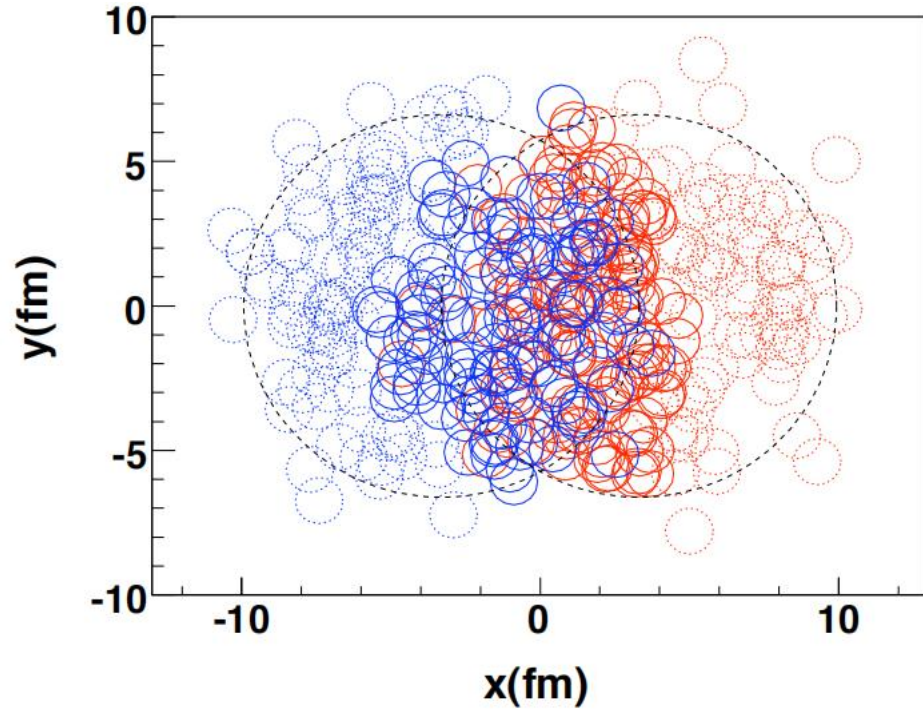
---

Entropy production  $\leftrightarrow$  Thermalization

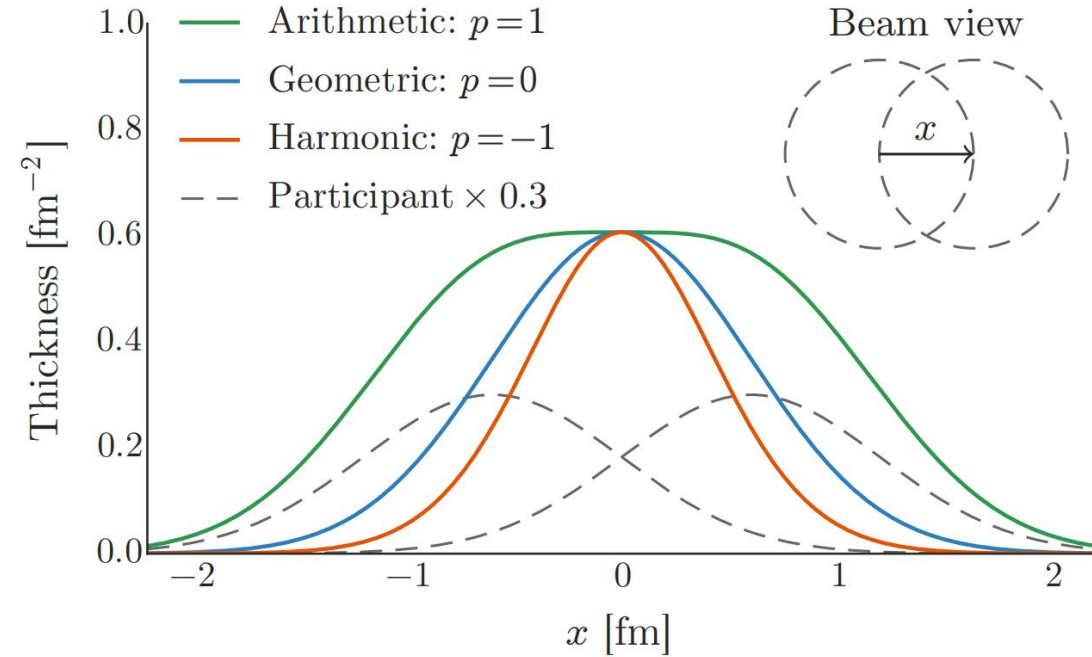


# Thermalization assumption and entropy production in models

[MC-Glauber, 0805.4411]



[Trento Model, J. Moreland, J. Bernhard, and S. Bass, 1412.4708]

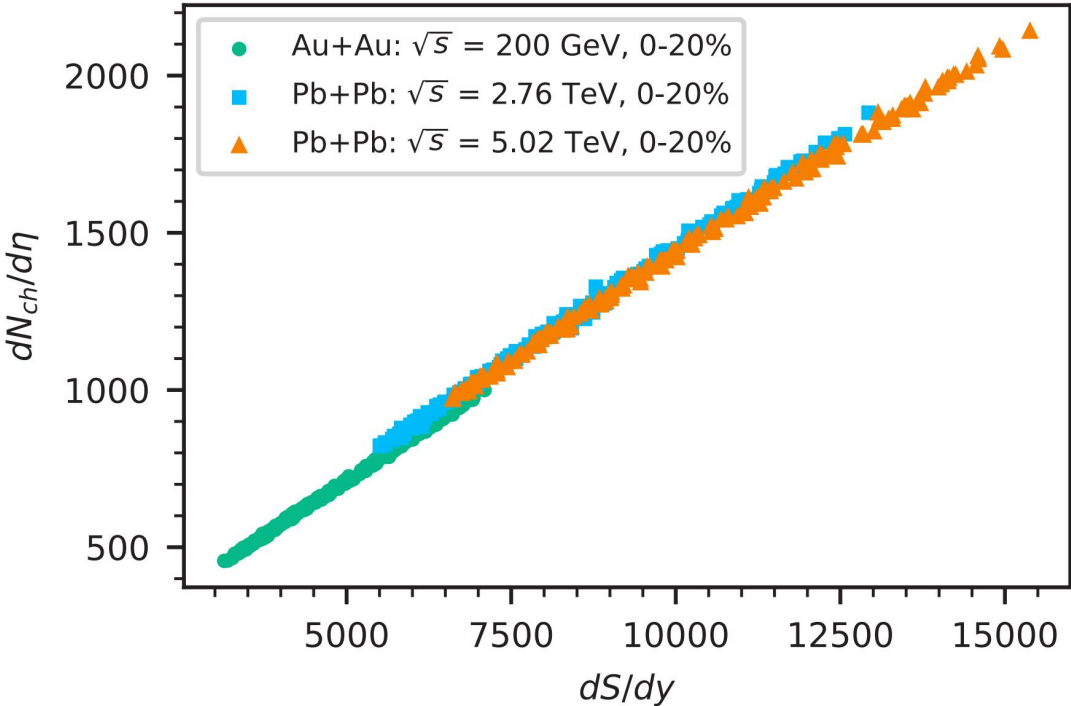


- Determine where entropy is produced.
- Determine whether entropy is produced.
- How much entropy is produced?

$$\left. \frac{dS}{dy} \right|_{\tau_0} = \underbrace{N_{norm} T_R(T_A, T_B)}_{\text{nucleon-nucleon collision}} \propto \left( \frac{T_A^p + T_B^p}{2} \right)^{1/p}$$

# Estimate entropy production from $dN_c/d\eta$

- Linearity between  $dN_c/d\eta$  and  $dS/dy$



$$\frac{dN_c}{d\eta} \approx \frac{1}{7.14} \left. \frac{dS}{dy} \right|_{hyd}$$

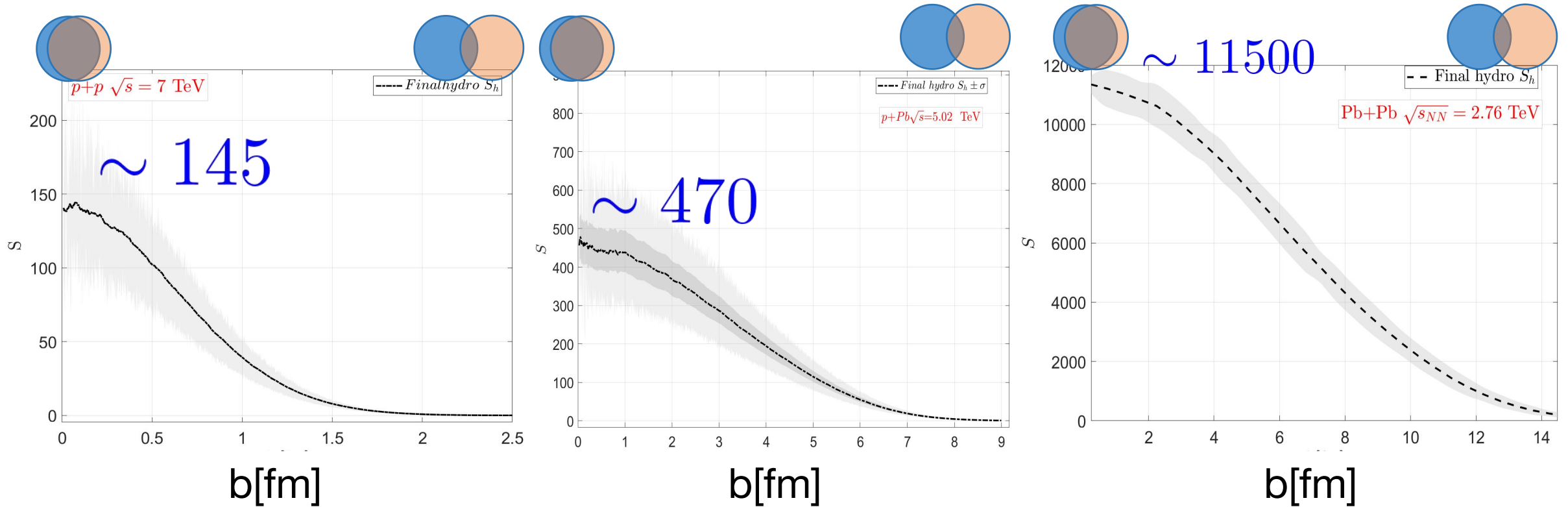
[P. Hanus, K. Reygers and A. Mazeliauskas, 1908.02792]

system	$dS/dy$	$(dS/dy)/(dN_{ch}/dy)$
Pb–Pb, 0–10%	$11\,335 \pm 1188$	$6.7 \pm 0.8$
pp minimum bias	$37.8 \pm 3.7$	$5.2 \pm 0.5$
pp high mult.	$135.7 \pm 17.9$	$5.4 \pm 0.7$

$$\frac{dN_c}{d\eta} \propto \left. \frac{dS}{dy} \right|_{total} \gtrsim \left. \frac{dS}{dy} \right|_{hyd}$$

[J. Churchill, LY, S. Jeon and C. Gale, PRC 21]

# Empirical estimate of the total entropy $S_{\text{total}}$ from Trento

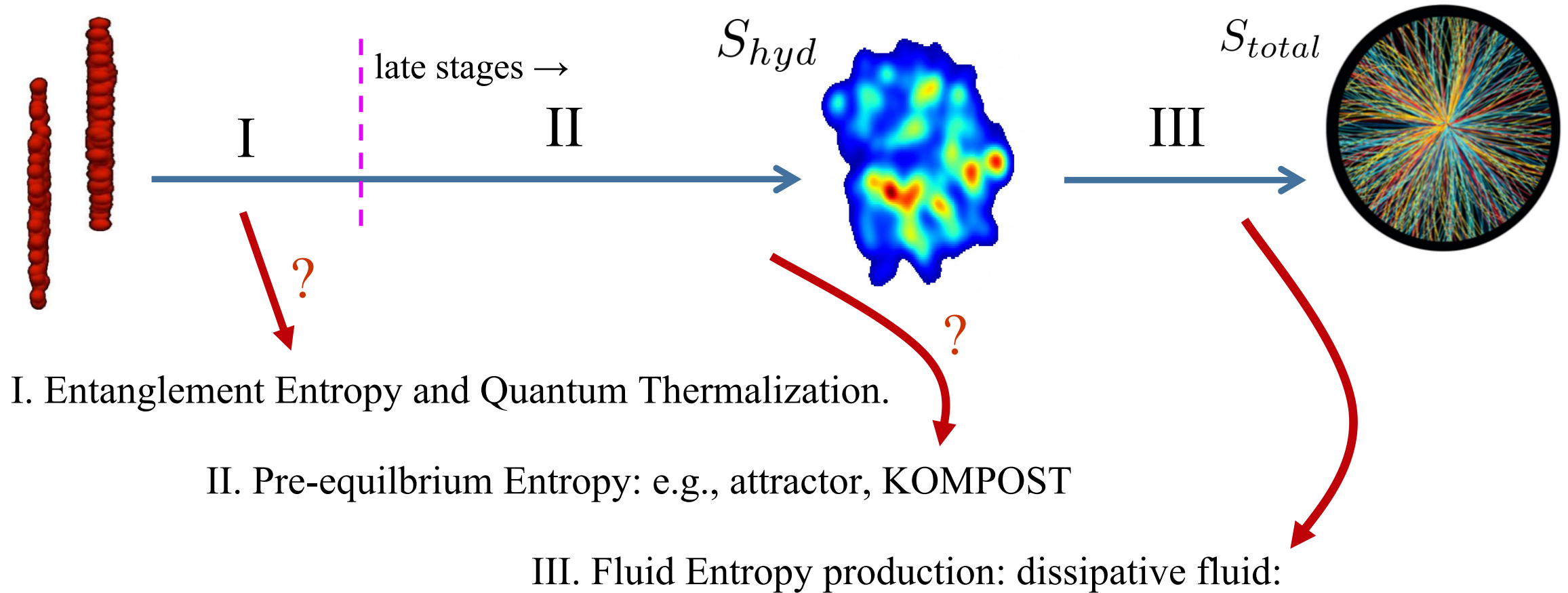


- Calibrate with respect to  $N_c \propto S_{\text{total}}$  in ultra-central events.
- Determine collision centrality using EbE Trento, estimate  $S_{\text{total}}$  for all centralities.
- Empirical based on hydro, less reliable at peripheral collisions.



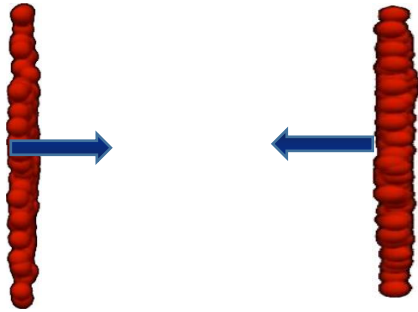
# Entropy production in different stages

- Evolution stages and effective model characterization



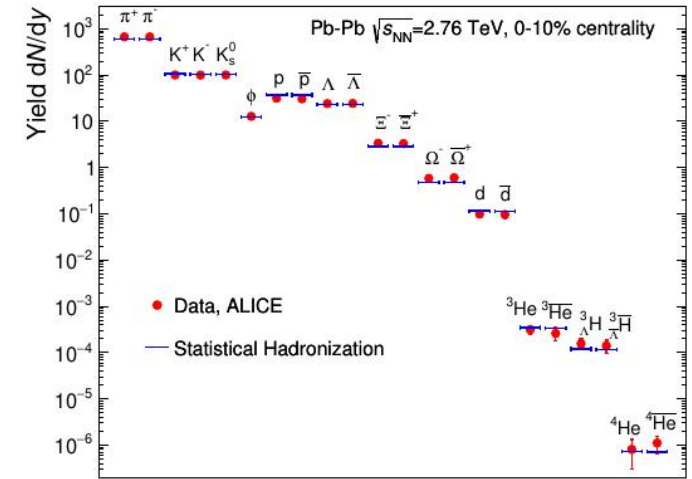
$$\partial_\mu s^\mu = \pi^{\alpha\beta} \langle \nabla_\alpha u_\beta \rangle / T + \dots$$

# Thermalization of a QCD matter: quantum thermalization



$$\lim_{t \rightarrow \infty} \langle \Psi(t) | \hat{O} | \Psi(t) \rangle \propto \text{Tr}[e^{-\hat{H}/T} \hat{O}]$$

[Nature 561, 321(2018)]

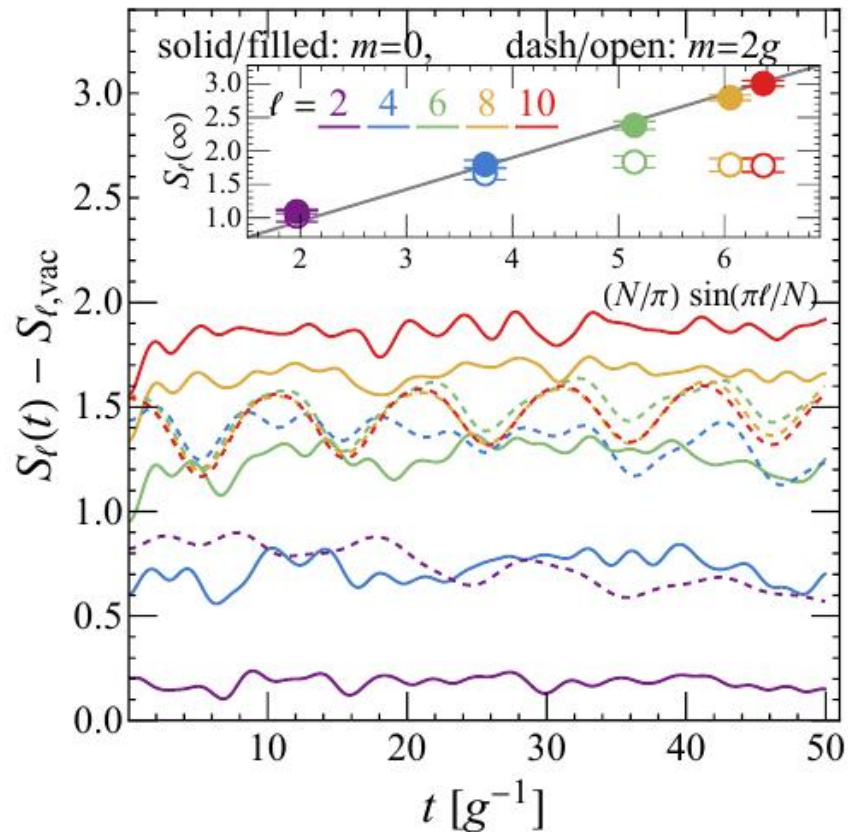


similarly for pp, electron-positron

How thermal ensemble emerges from pure Quantum states, under unitary interaction?

- QGP is a high-energy (closed) quantum system obeying non-Abelian gauge theory.
- QGP thermalization is beyond perturbative QCD characterization.
- Beyond current lattice QCD simulation: time evolution requires diagonalization of Hamiltonian.

# Quantum thermalization and entanglement entropy in QED<sub>2</sub>



Entanglement Entropy

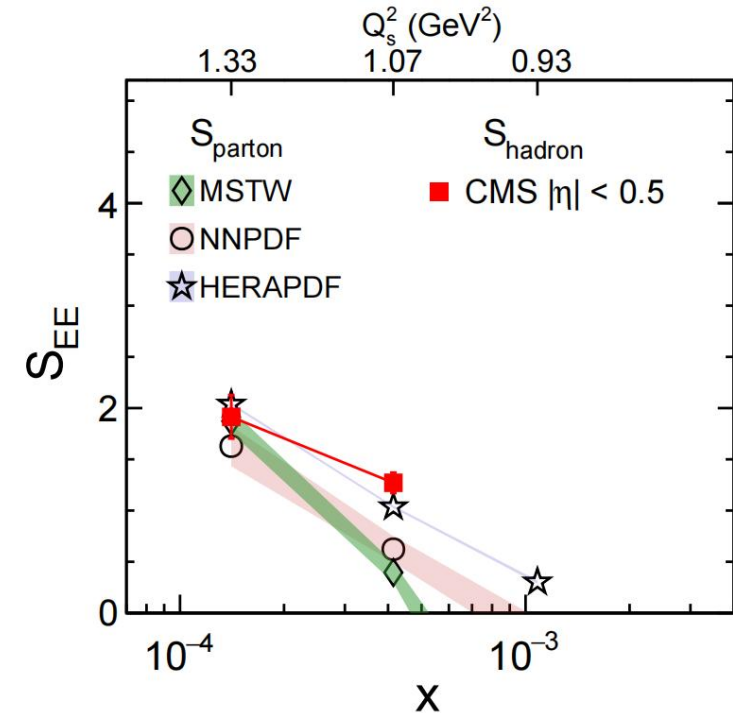
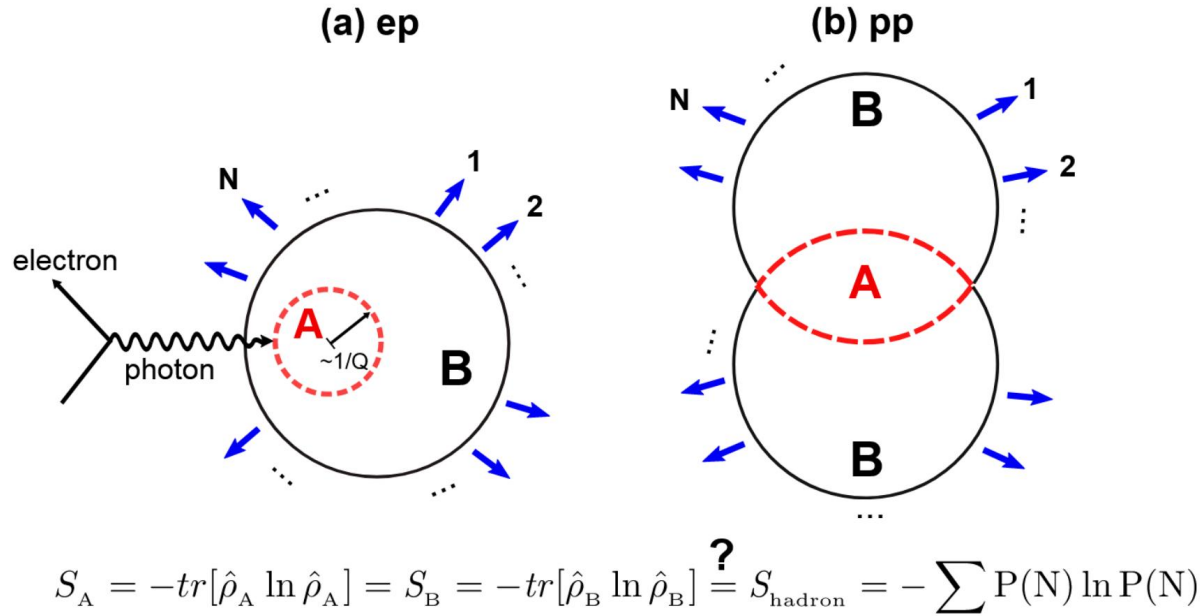
$$\hat{H} = \int \left( \bar{\psi} (\gamma^1 (-i\partial_z - g A_1) + m e^{i\theta} \gamma^5) \psi + \frac{1}{2} \mathcal{E}^2 \right) dz$$

- How a system resembles QCD thermalizes quantum mechanically? (with confinement, vacuum topology, ...)
- Realization on 1D lattice and quantum computation (classical/quantum device): 1D spin model
- Quantum thermalization realized in “massless”/strongly-coupled system:  $\delta m \sim ag^2$

[Shile Chen, Shuzhe Shi, LY, 2412.00662]

# Entanglement entropy production in high- $Q^2$ inclusive scatterings

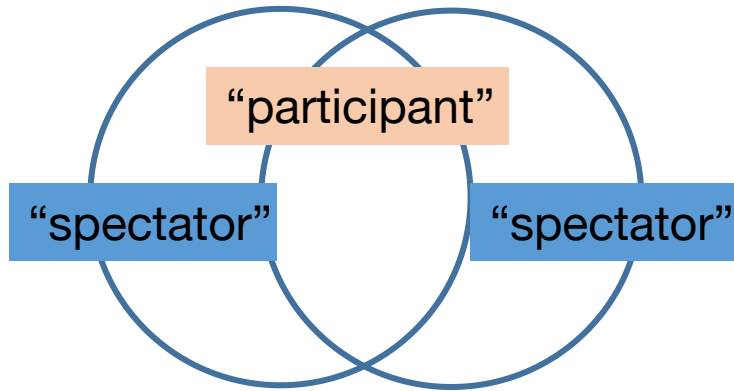
[D. Kharzeev and E. Levin, PRD 95, 114008 (2017),  
Z. Tu, D. Kharzeev and T. Ulrich, PRL19]



- In high- $Q^2$  inclusive scatterings no interference among states of different  $n_{\text{parton}}$ , in small  $x$  limit.
- Region involved in collision approaches maximally entanglement.
- Entanglement entropy is calculable and related to the parton distribution function (pdf).

$$S_{En} = \log(xG(x, Q^2))$$

# Quantum thermalization in inclusive scatterings



- “Spectators” are traced away  $\rightarrow$  reduced density matrix

$$|\psi\rangle_p = \sum_n \frac{1}{\sqrt{\mathcal{D}}} |n, x, Q^2\rangle, \quad \mathcal{D}: \text{dimension of Fock space}$$

- Maximally entangled in a bipartite system: “participant” + “spectator”

[PRA43, 2046 (1991); PRE50.888 (1994)]

$$xG(x, Q^2) \equiv \langle \psi | \hat{N} | \psi \rangle = \frac{\mathcal{D}}{2} \overleftarrow{\text{ETH}} N_{mn} = N(E) \delta_{mn} + e^{-S} r_{mn}$$

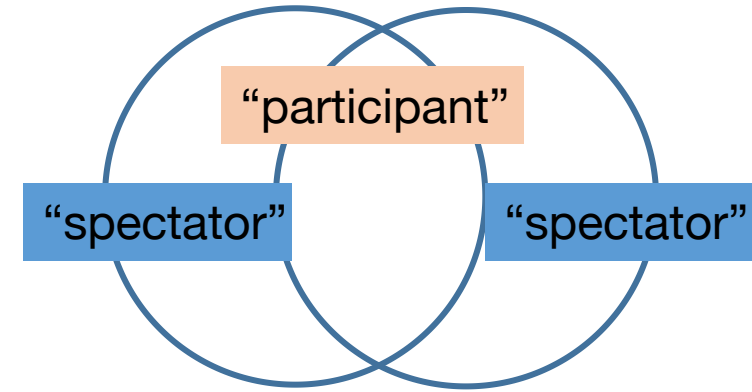
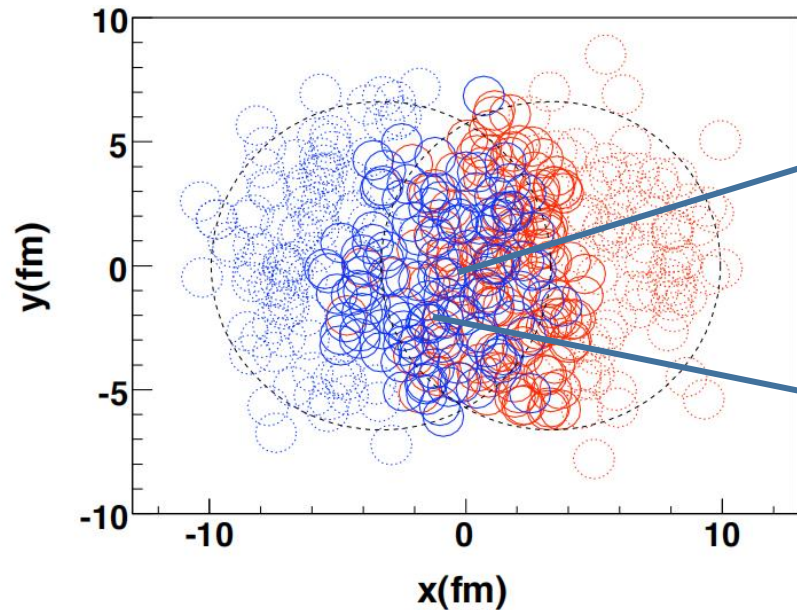
$$\rho_p = |\psi\rangle_p \langle \psi| \rightarrow S_{En} = -\text{tr}[\rho_p \log \rho_p] = \log \mathcal{D} \approx \log(xG(x, Q^2))$$

Quantum thermalization in high- $Q^2$  and small- $x$  inclusive scatterings is generic.

$$Q \gg \Lambda_{QCD}, \quad x \ll 1$$



# Generalization to heavy-ion collisions



$$S_{En} = N_{part} \times \log[xG_N(x, Q^2)]$$

- Generalization: (pdf  $\rightarrow$  npdf) + nuclear geometry from Trento model [\[2112.12462\]](#)
- Consider central rapidity and  $Q$  depends on collision energy and collision system, e.g.,

$$Q \sim A^{1/3}, \quad Q \sim \sqrt{s}^\lambda, \quad x \sim Q/\sqrt{s}$$

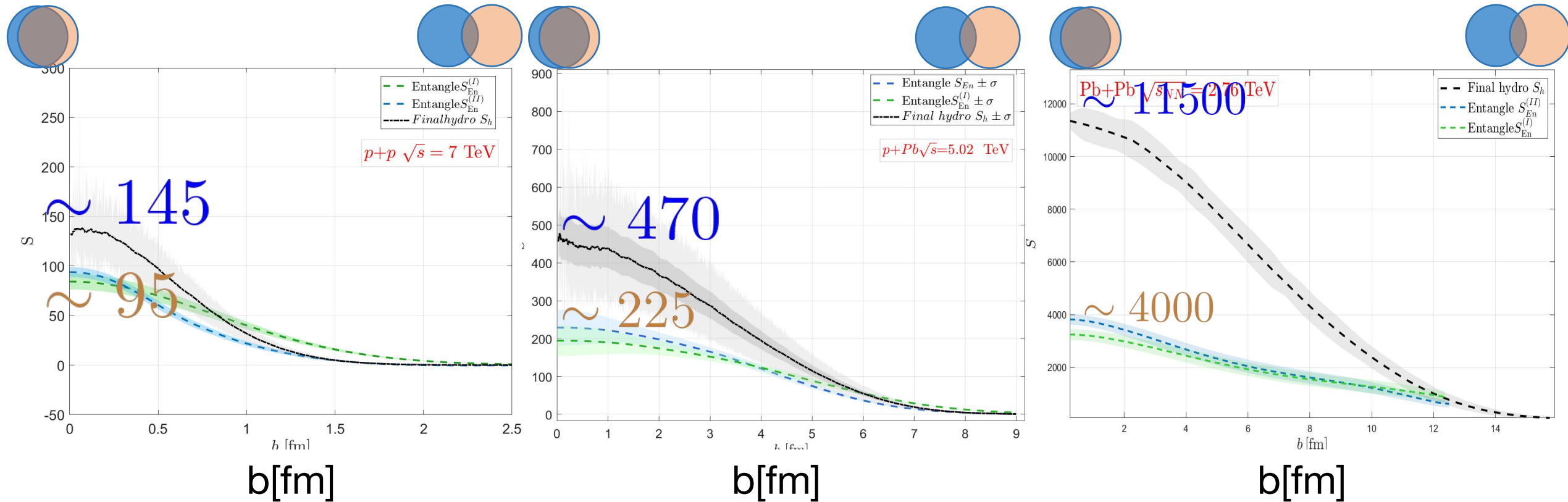
- A more sophisticated/realistic formulation:

$$S_{En} = \sum_i^{N_{part}} \log[xG_N(x, Q^2(\vec{x}_\perp^i, b))]$$

[\[L. McLerran and R. Venugopalan, PRD 1994\]](#)

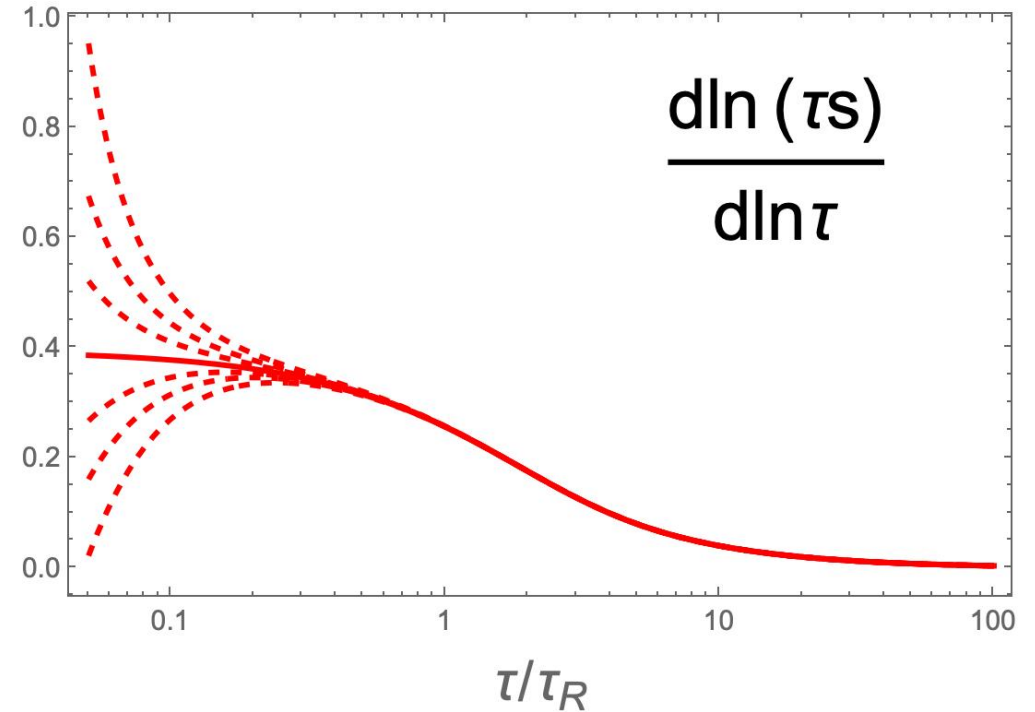
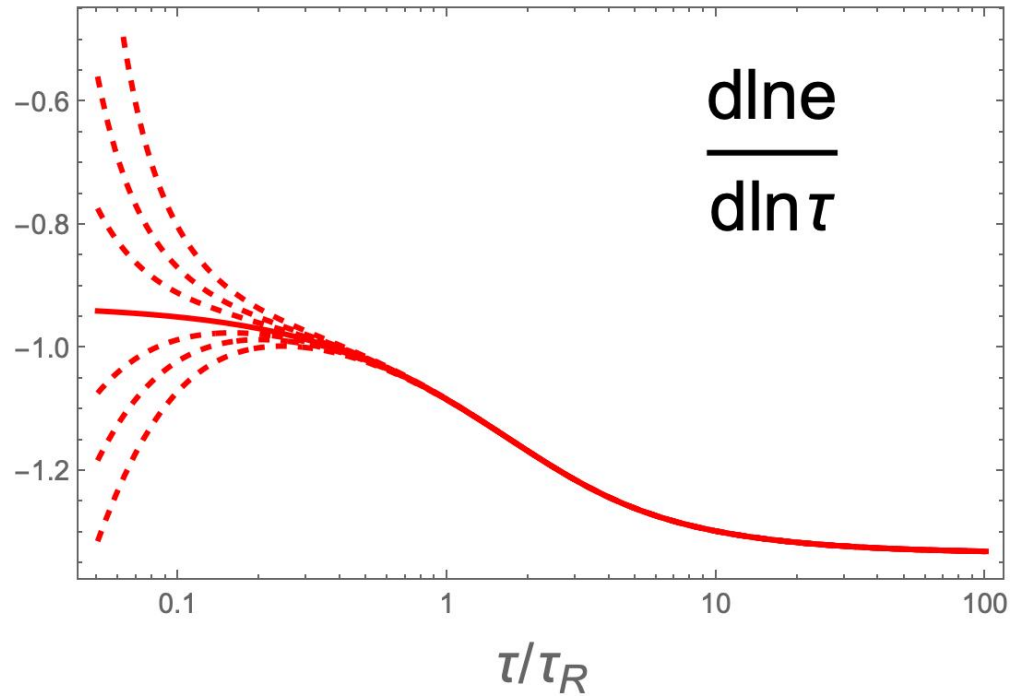
[\[H. Kowalski and D. Teaney, PRD 2003\]](#)

# Entanglement entropy production



Exclude  $S_{\text{En}}$ , one does notice an increase of entropy production from late stages, from pp to PbPb.

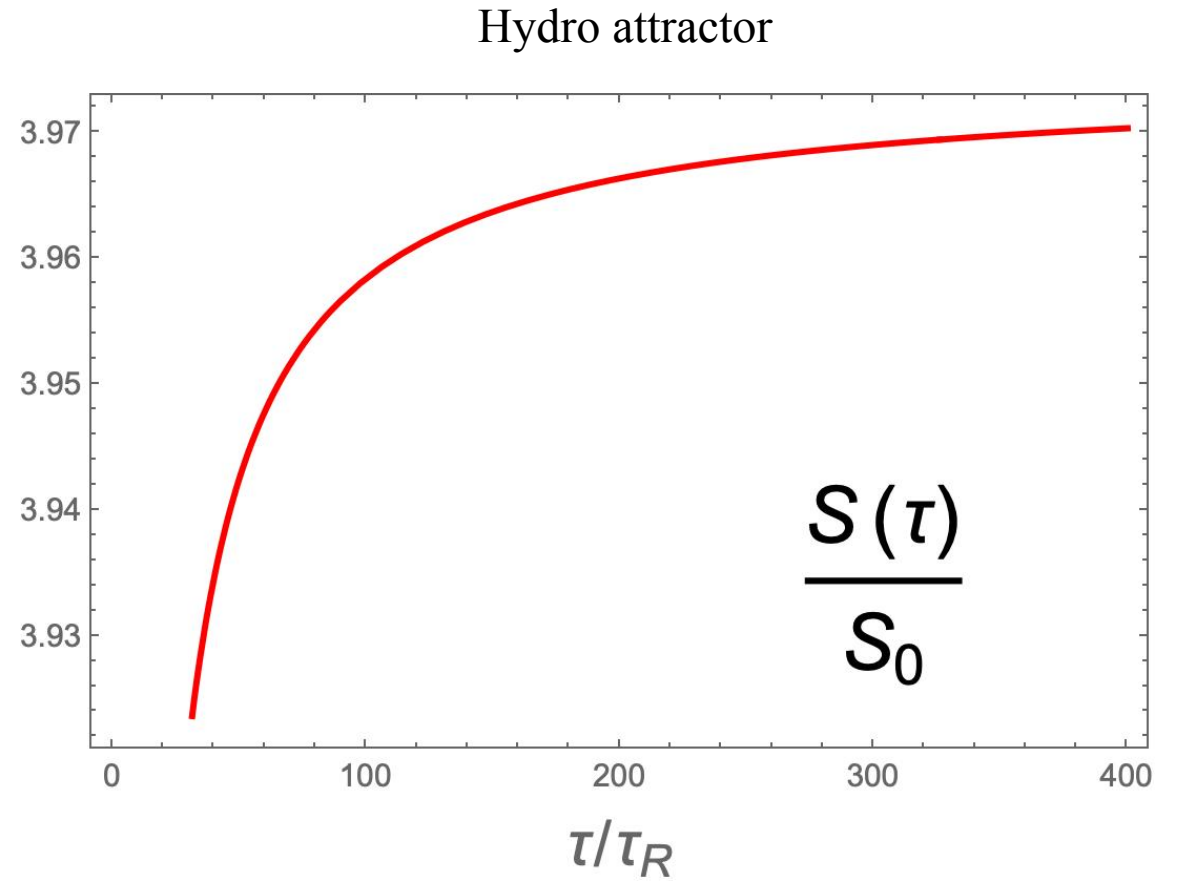
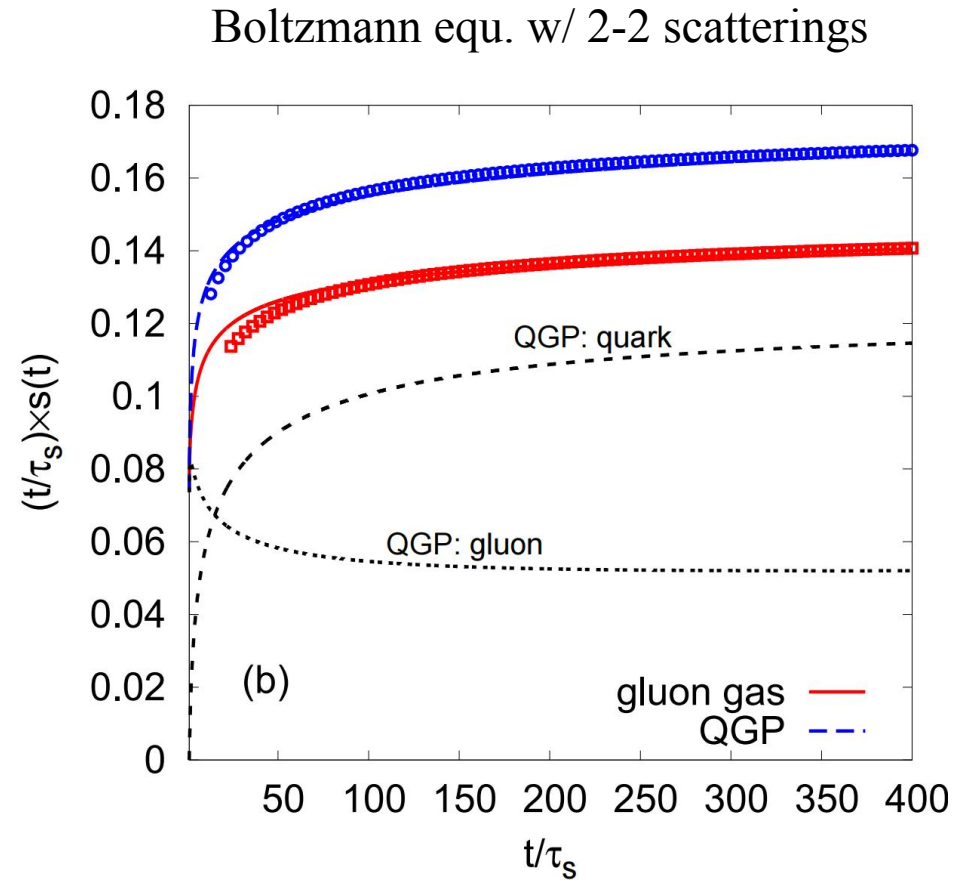
# Pre-equilibrium entropy production wrt. hydro attractor



$$\begin{aligned} \partial_\tau e + \frac{4}{3} \frac{e}{\tau} &= \frac{\pi}{\tau} \\ \tau_\pi \partial_\tau \pi + \pi &= \frac{4}{3} \frac{\eta}{\tau} - a_1 \frac{\tau_\pi}{\tau} \pi \end{aligned} \rightarrow g(\tau/\tau_R) \equiv \frac{d \ln e}{d \ln \tau} \xrightarrow{de=Tds} \frac{d \ln(s\tau)}{d \ln \tau} = 1 + \frac{3}{4} g(\tau/\tau_R)$$

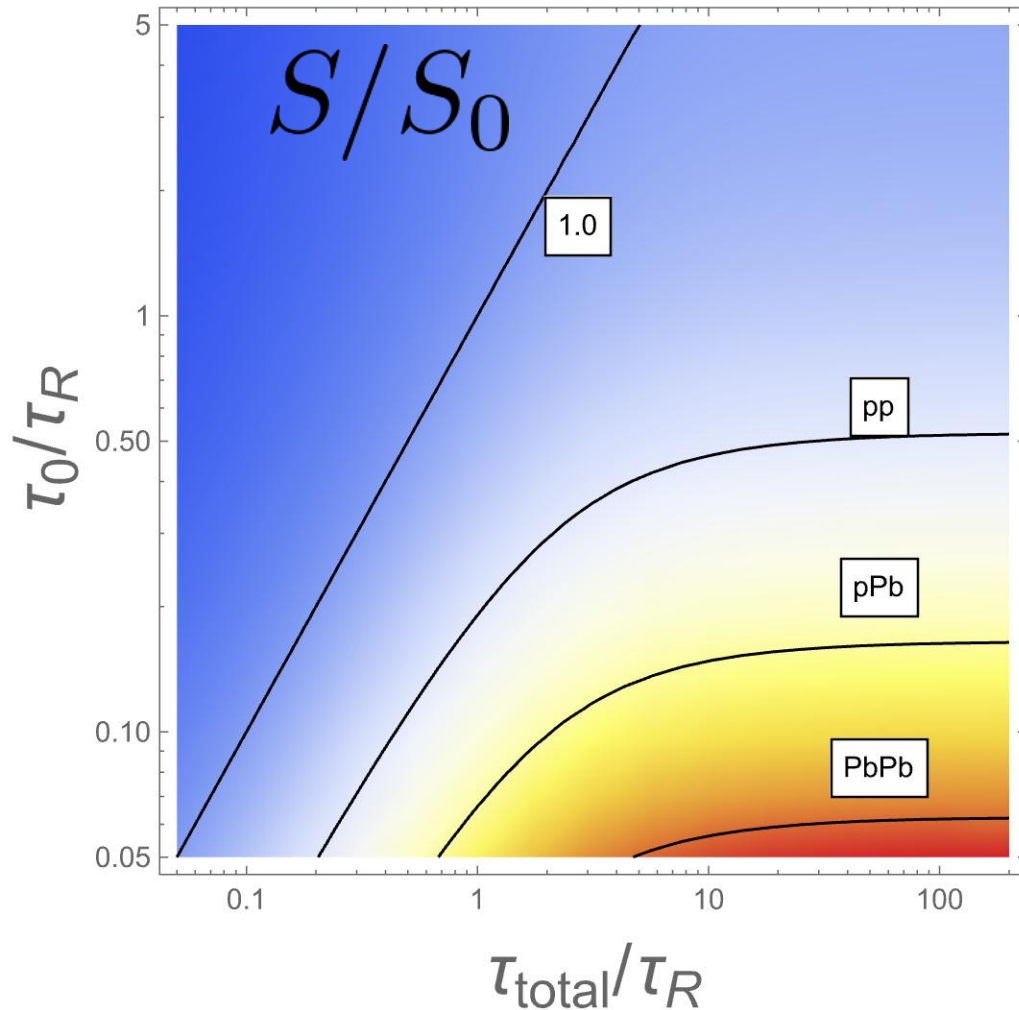
[Blaizot, LY, 2106.10508]

# Non-equilibrium entropy production



- 2nd law: Thermal entropy increases as system approaching equilibrium.

# Entropy production and thermalization



- Structure of thermalization plateau.
- Initial time ( $\sim 1/Q$ ) is bounded from above in different systems: earlier in larger systems.
- pp is unlikely fully thermalized ...



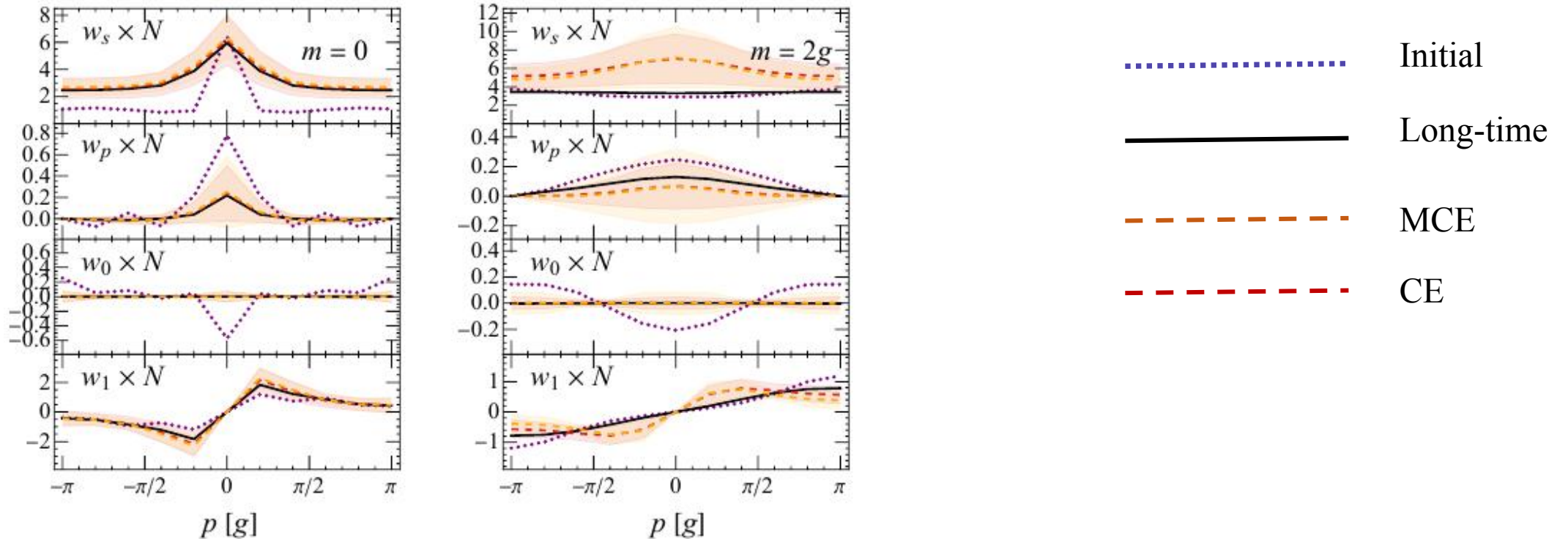
# Summary

---

- Late-stage entropy production can be estimated in heavy-ion collisions, by experiment.
- Thermalization can be quantified in terms of late-stage entropy production.

# Wigner function thermalization in QED<sub>2</sub>

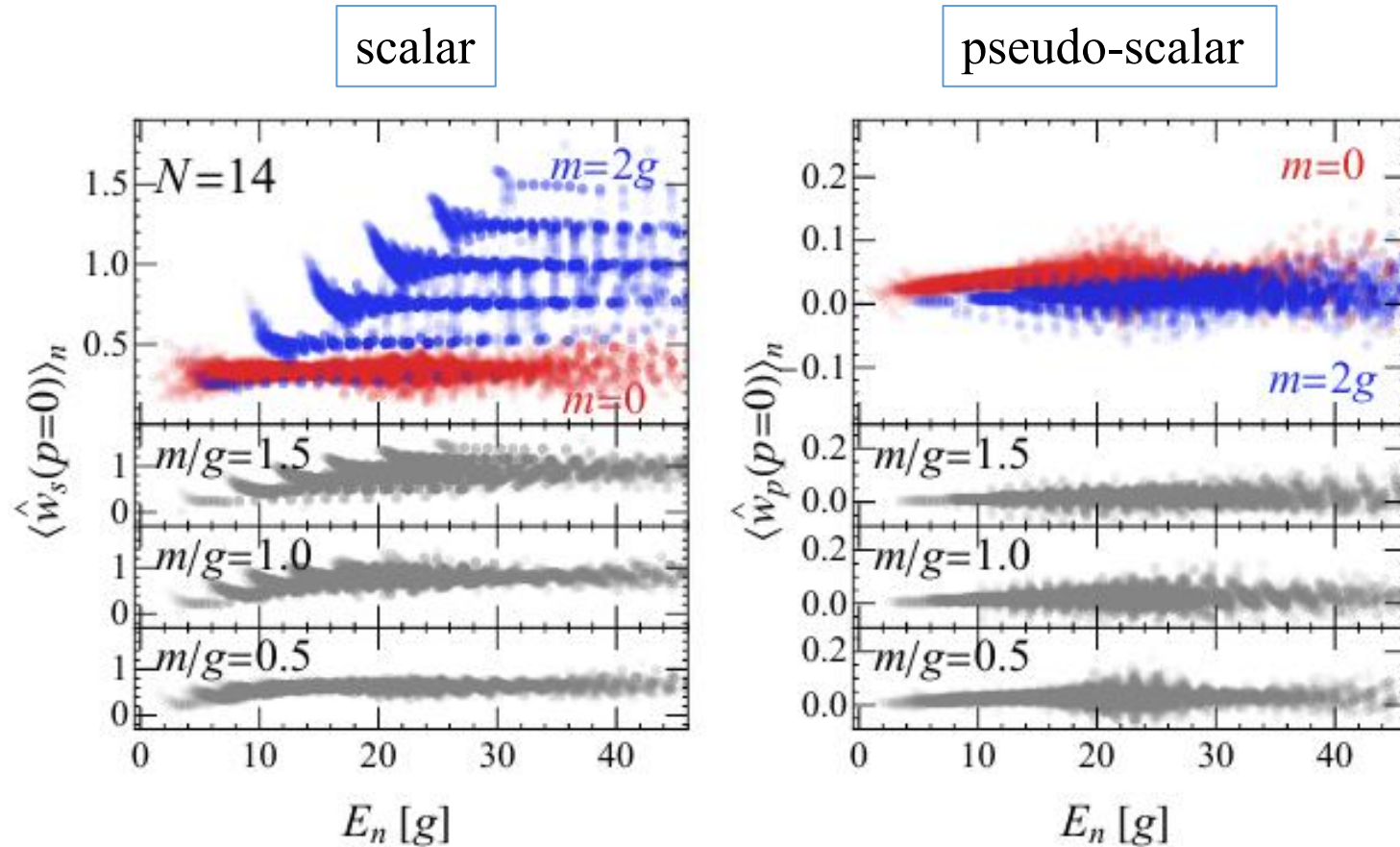
$\theta = 0$



- Quantum thermalization realized in “massless”/strongly-coupled system:  $\delta m \sim ag^2$
- Quantum thermalization **partly** realized in massive/weakly-coupled system: parity dependent!
  - thermalized: pseudo-scalar and vector charge
  - not thermalized: scalar and axial vector charge

# Distribution of diagonal elements of Wigner function

$$\theta = 0$$



- Strong coupling: single and narrow band  $\implies$  smooth function  $\implies$  ETH condition  $\implies$  thermalization
- Scalar: The distribution gradually splits as coupling gets weaker: break down of ETH
- Pseudo-scalar: always thermalize