

Nonthermal fixed points beyond the transport paradigm

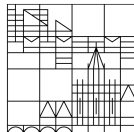
ECT* Workshop “Attractors and thermalization in nuclear collisions and cold quantum gases”

Aleksandr N. Mikheev

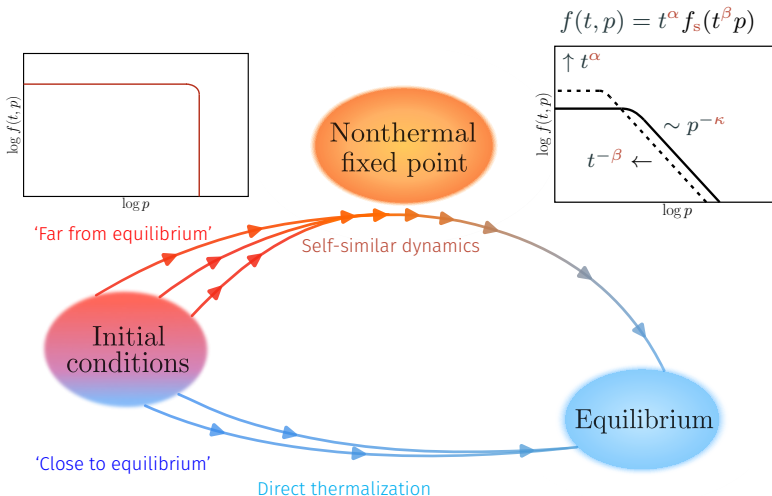
22 September, 2025

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Dynamics of closed quantum systems out of equilibrium



J. Berges, A. Rothkopf, J. Schmidt, *Phys. Rev. Lett.* **101**, 041603 (2008)

Dynamics of closed quantum systems out of equilibrium

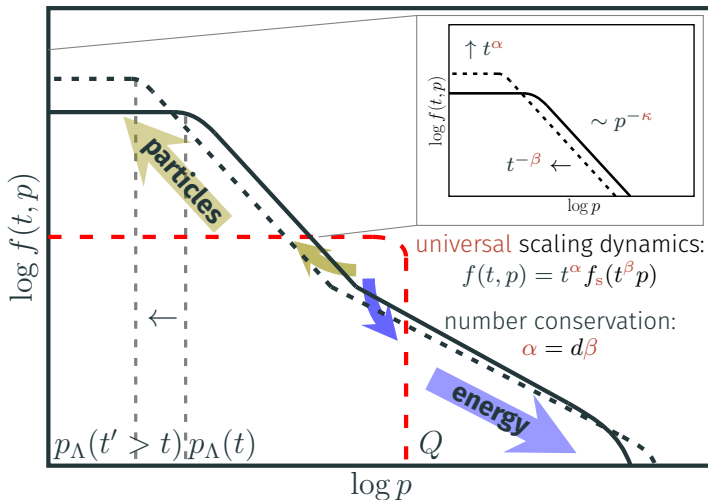


Figure taken from ANM, I. Sivovitz, T. Gasenzer, *Eur. Phys. J. Spec. Top.* **232**, 3393-3415 (2023)

Dynamics of closed quantum systems out of equilibrium

Experimental observations:

- ◇ M. Prüfer, P. Kunkel, H. Strobel, S. Lannig, D. Linnemann, C.-M. Schmied, J. Berges, T. Gasenzer, M. K. Oberthaler, *Nature* **563**, 217 (2018)
- ◇ S. Erne, R. Bücker, T. Gasenzer, J. Berges, J. Schmiedmayer, *Nature* **563**, 225 (2018)
- ◇ J.A.P. Glidden, C. Eigen, L.H. Dogra, T.A. Hilker, R.P. Smith, Z. Hadzibabic, *Nat. Phys.* **17**, 457 (2021)
- ◇ A.D. García-Orozco, L. Madeira, M.A. Moreno-Armijos, A.R. Fritsch, P.E.S. Tavares, P.C.M. Castilho, A. Cidrim, G. Roati, V.S. Bagnato, *Phys. Rev. A* **106**, 023314 (2022)
- ◇ S. Huh, K. Mukherjee, K. Kwon, J. Seo, S.I. Mistakidis, H.R. Sadeghpour, J. Choi, *Nat. Phys.* **20**, 402 (2024)

Countless numerical examples, e.g.:

- ◇ A. Piñeiro Orioli, K. Boguslavski, J. Berges, *Phys. Rev. D* **92**, 025041 (2015)
- ◇ M. Karl, T. Gasenzer, *New. J. Phys.* **19**, 093014 (2017)
- ◇ ANM, C.-M. Schmied, T. Gasenzer, *Phys. Rev. A* **99**, 063622 (2019)
- ◇ C.-M. Schmied, ANM, T. Gasenzer, *Phys. Rev. Lett.* **122**, 170404 (2019)
- ◇ K. Boguslavski, A. Piñeiro Orioli, *Phys. Rev. D* **101**, 091902 (2020)

Common **theoretic perspective**: Particle/energy transport $\implies$ **kinetic theory**
Solve numerically/semi-analytically, study **scaling properties** of the **scattering integral** $I[f](t, \mathbf{p})$, ...

- Consider a $U(N)$ -symmetric Bose gas with quartic contact interactions:

$$\hat{H}_{U(N)} = \int_{\mathbf{x}} \left[\hat{\Phi}_a^\dagger \left(-\frac{\nabla^2}{2m} \right) \hat{\Phi}_a + \frac{g}{2} \left(\hat{\Phi}_a^\dagger \hat{\Phi}_a \right)^2 \right]$$

- Quantum Boltzmann equation (2-to-2, $f \gg 1$):

$$\partial_t f(t, \mathbf{p}) = \underbrace{\int_{\mathbf{k}, \mathbf{q}, \mathbf{r}} |T_{\mathbf{p}\mathbf{k}\mathbf{q}\mathbf{r}}|^2 (f_{\mathbf{p}} f_{\mathbf{q}} f_{\mathbf{r}} \pm \text{perm}^s) \delta \left(\sum \mathbf{p}_i \right) \delta \left(\sum \omega(\mathbf{p}_i) \right)}_{I[f](t, \mathbf{p})}$$

- Each loop brings one coupling g and one propagator $G \Rightarrow$ expansion in $\sim g G \sim g f \Rightarrow$ fails in the IR region once $f \sim p^{-\kappa} \gtrsim g^{-1}$
- Collective effects have to be taken into account $\Rightarrow$ standard solution: $1/N$ expansion (resummation of an infinite number of bubble diagrams)

$$\text{wavy line} = \text{cross} + \text{wavy line} \circlearrowleft \text{cross} \Rightarrow \text{resummed effective coupling } g_{\text{eff}}(\mathbf{p}) \sim p^2$$

G. Aarts, D. Ahrensmeier, R. Baier, J. Berges, J. Serreau, *Phys. Rev. D* **66**, 045008 (2002)

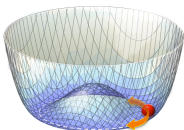
R. Walz, K. Boguslavski, J. Berges, *Phys. Rev. D* **97**, 116011 (2018)

I. Chantesana, A. Piñeiro Orioli, T. Gasenzer, *Phys. Rev. A* **99**, 043620 (2019)

- Quantum Boltzmann equation (2-to-2, $f \gg 1$):

$$\partial_t f(t, \mathbf{p}) = \underbrace{\int_{\mathbf{k}, \mathbf{q}, \mathbf{r}} |T_{\mathbf{p}\mathbf{k}\mathbf{q}\mathbf{r}}|^2 (f_{\mathbf{p}} f_{\mathbf{q}} f_{\mathbf{r}} \pm \text{perm}^s) \delta\left(\sum \mathbf{p}_i\right) \delta\left(\sum \omega(\mathbf{p}_i)\right)}_{I[f](t, \mathbf{p})}$$

- Each loop brings one coupling g and one propagator $G \Rightarrow$ expansion in $\sim g G \sim gf \Rightarrow$ fails in the IR region once $f \sim p^{-\kappa} \gtrsim g^{-1}$
- Alternative: describe IR dynamics in terms of d.o.f. that are relevant in the IR $\Rightarrow$ low-energy effective theory



$U(N)$ -symmetric Bose gas $\Rightarrow$ N Goldstones:
 $N - 1$ quadratic ones (phase diffs), with $\omega_Q(\mathbf{p}) \sim p^2$,
and a linear Bogoliubov (total phase), with $\omega_B(\mathbf{p}) \sim p$

The effective coupling emerges again via momentum-dependent interactions between the Goldstone modes

ANM, C.-M. Schmied, T Gasenzer, *Phys. Rev. A* **99**, 063622 (2019)

C.-M. Schmied, ANM, T. Gasenzer, *Phys. Rev. Lett.* **122**, 170404 (2019)

Results comparison

Remarkable **agreement** between **next-to-leading order $1/N$** resummation, **two-loop EFT**, and **$N = 3$ classical-statistical simulations**

	EFT [1]	$1/N$ expansion [2]	Numerics [1,3]	Experiment [4]
sym	$U(N \rightarrow \infty)$	$U(N \rightarrow \infty)$	$U(3)$	$SO(2) \times U(1)$
dim	d	d	3	1
α	$d/2$	$d/2$	1.62 ± 0.37	0.54 ± 0.06
β	$1/2$	$1/2$	0.53 ± 0.09	0.33 ± 0.08
κ	$d + 1$	$d + 1$	$\simeq 4$	$\simeq 2.6$

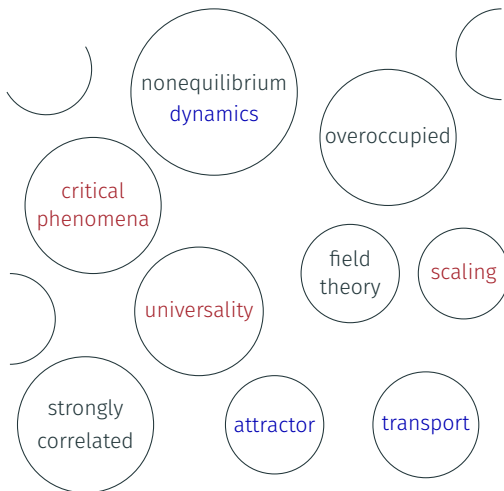
Kinetic theory framework has proven **incredibly successful** in describing nonthermal fixed points! But is it how we usually think about **scaling**?

[1] ANM, C.-M. Schmied, T. Gasenzer, *Phys. Rev. A* **99**, 063622 (2019)

[2] I. Chantesana, A. Piñeiro Orioli, T. Gasenzer, *Phys. Rev. A* **99**, 043620 (2019)

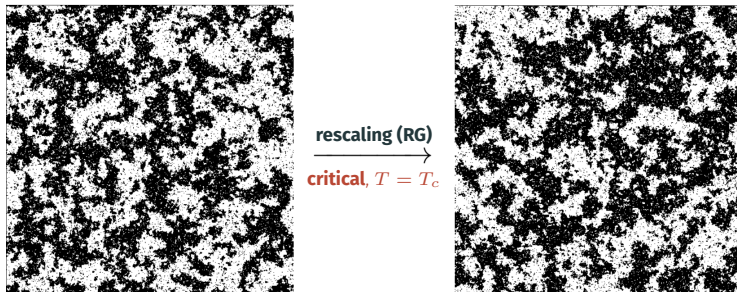
[3] C.-M. Schmied, ANM, T. Gasenzer, *Phys. Rev. Lett.* **122**, 170404 (2019)

[4] M. Prüfer, P. Kunkel, H. Strobelt, S. Lannig, D. Linnemann, C.-M. Schmied, J. Berges, T. Gasenzer, M.;K. Oberthaler, *Nature* **563**, 217 (2018)



(Nonequilibrium) scaling: RG perspective

- Critical phenomena/scaling $\iff$ RG fixed points. Example from statistical field theory: 2D Ising model



- Self-similarity/scale-invariance!
- Substantial progress also in stationary nonequilibrium:

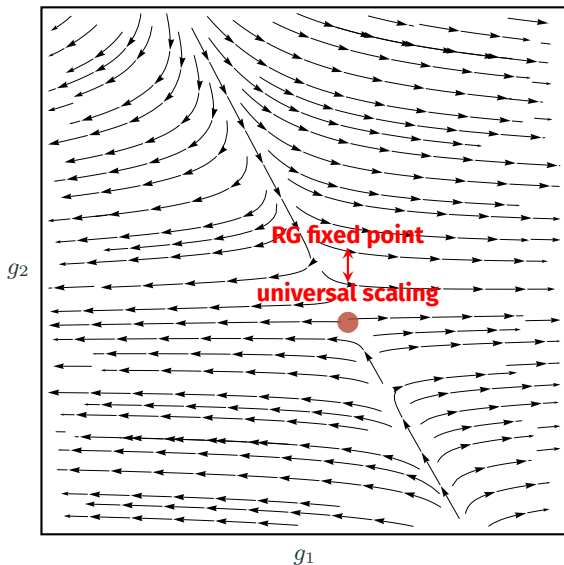
J. Berges, G. Hoffmeister, *Nucl. Phys. B* **813**, 383 (2009)

J. Berges, D. Mesterházy, *Nucl. Phys. B Proc. Suppl.* **228**, 37 (2012)

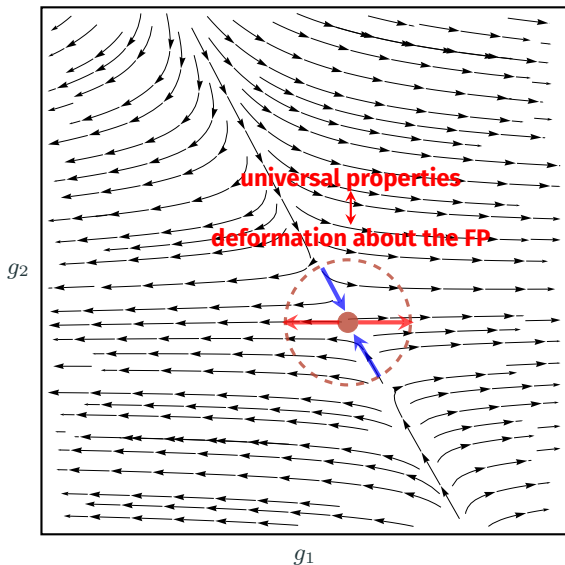
S. Mathey, J.M. Pawłowski, T. Gasenzer, *Phys. Rev. A* **92**, 023635 (2015)

...

RG fixed points and universal scaling



RG fixed points and universal scaling



(Equilibrium) fixed-point equation

- The regularized (inverse) propagator in the vicinity of a **fixed point**:

$$\Gamma_k^{(2)}(p) = \underbrace{\Gamma^{(2)}(p)}_{\text{scaling}} [1 + \delta Z_k(p)], \quad \Gamma^{(2)}(p) \sim p^\kappa$$

Since the RG scale k is **the only remaining scale** $\implies \delta Z_k$ should **only depend on k through $p^2/k^2 \equiv x$**

- k -dependence of $\Gamma_k^{(2)}$ is governed by the **Wetterich flow equation**

$$k\partial_k \Gamma_k^{(2)}(\mathbf{p}) = \text{Flow}_2 \left[\Gamma_k^{(m \leq 4)} \right] (\mathbf{p}) = \int_{\mathbf{q}} I_k \left[\Gamma_k^{(m \leq 4)} \right] (\mathbf{p}, \mathbf{q})$$

- For a 2PI-like truncation scheme, $k\partial_k \Gamma_k^{(2)} = k\partial_k \Sigma_k[G_k]$, the **integrated flow** takes the form

$$\delta Z(x) = \lambda_d \int_x^\infty \frac{dx'}{x' \chi(\kappa)} f(x'; \delta Z, \kappa)$$

J.M. Pawłowski, D.F. Litim, S. Nedelko, L. von Smekal, *Phys. Rev. Lett.* **93**, 152002 (2004)
S. Mathey, T. Gasenzer, J.M. Pawłowski, *Phys. Rev. A* **92**, 023635 (2015)

Asymptotic behavior

- For $x \rightarrow \infty \iff k \rightarrow 0$, we should recover $\Gamma^{(2)} \implies \delta Z(x \rightarrow \infty) = 0$
- For $x \rightarrow 0 \iff k \rightarrow \infty$, the **regulator dominates**, so the **scaling must be canceled**:

$$\delta Z(x \rightarrow 0) = -1 + \frac{\Gamma_{k \rightarrow \infty}^{(2)}(\mathbf{p})}{\Gamma^{(2)}(\mathbf{p})} = -1 + \frac{\hat{S}^{(2)}(x)}{\hat{\Gamma}^{(2)}(x)}$$

- The second term consists of something **finite and/or diverging**, so

$$\delta Z(x \rightarrow 0) = \underbrace{-1}_{\text{the key!}} + \hat{m}^2 x^{-\#} + \text{subdominant}$$

- For $x \ll 1$ the integrated flow may be simplified as

$$\delta Z(x \ll 1) = \lambda_d \int_x^\infty \frac{dx'}{x'^{\chi(\kappa)}} f(x'; \delta Z \rightarrow 0, \kappa) = -1 + \dots$$

$\implies$ vary κ and see if you get -1 . If so, **proper scaling solution**!

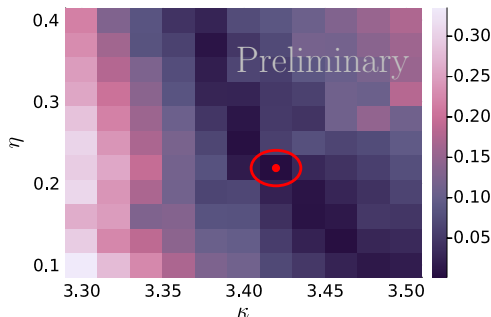
- **Recipe** for fixed points:



Searching for NTFP scaling solutions

- Nonequilibrium $\implies$ two propagators (F and ρ) $\implies$ two asymptotics
- Two scaling exponents: $\beta \equiv 1/(2 - \eta)$ and κ
- It's convenient to introduce an auxiliary constraint function

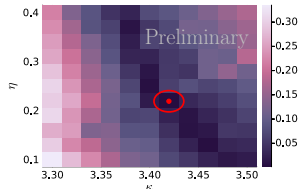
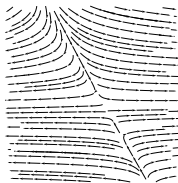
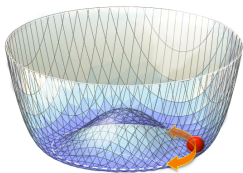
$$Q(\hat{\tau}, \hat{\omega}, x) \equiv \left| \frac{\delta Z_F^W(\hat{\tau} \rightarrow \infty, \hat{\omega} \rightarrow 0, x \rightarrow 0)}{\delta Z_\rho^W(\hat{\tau} \rightarrow \infty, \hat{\omega} \rightarrow 0, x \rightarrow 0)} - 1 \right| \rightarrow 0$$



ANM, J.M. Pawłowski, T. Gasenzer, *work in progress*

Summary and outlook

- NTFPs are often viewed from the **dynamical perspective** through the lens of formalisms such as **kinetic theory** and **hydrodynamics**
- Complementary perspective: NTFPs = **self-consistent scaling solutions** of **nonequilibrium quantum field theories**
- A natural framework for scaling is **renormalization group**: **universal** properties $\iff$ **RG fixed-point equations**
- Other “alternative perspectives”: **self-consistency** condition for the **Dyson equation** (work with V. Noel, C. Huang, J. Berges), **holography**, ...
- Un(der)explored directions: **relevant/irrelevant initial-condition** terms, **timescales** for approach/departure from attractor as $V \rightarrow \infty$, ...
Perhaps **more natural** to address through frameworks **beyond transport**?



Backup slides

Nonequilibrium case: parametrization

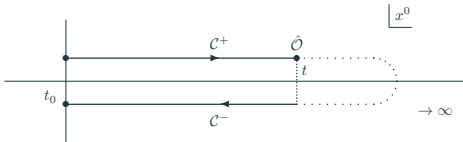
- Nonequilibrium $\implies$ double the number of fields/propagators:

$$\underbrace{F(x, y) = \langle \{ \hat{\varphi}(x), \hat{\varphi}(y) \} \rangle - \langle \hat{\varphi}(x) \rangle \langle \hat{\varphi}(y) \rangle}_{\text{statistical function} \leftrightarrow \text{occupancies}}, \quad \underbrace{\rho(x, y) = \langle [\hat{\varphi}(x), \hat{\varphi}(y)] \rangle}_{\text{spectral function} \leftrightarrow \text{spectrum}}$$

- In Keldysh basis:

$$\hat{\varphi}_{\text{cl}} = \frac{\hat{\varphi}_+ + \hat{\varphi}_-}{2}$$

$$\hat{\varphi}_{\text{q}} = \hat{\varphi}_+ - \hat{\varphi}_-$$



- Accordingly, two δZ 's:

$$\Gamma_{k, \text{clq}}^{(2)}(t, t', \mathbf{p}) = \left[\Gamma_{\text{clq}}^{(2)} - i \Gamma_{\text{clq}}^{(2)} \circ (G_{\text{qcl}} \delta Z_{k, \rho}) \circ \Gamma_{\text{clq}}^{(2)} \right] (t, t', \mathbf{p})$$

$$\Gamma_{k, \text{qq}}^{(2)}(t, t', \mathbf{p}) = \left[\Gamma_{\text{qq}}^{(2)} + i \Gamma_{\text{qq}}^{(2)} \circ (F \delta Z_{k, F}) \circ \Gamma_{\text{clq}}^{(2)} \right] (t, t', \mathbf{p})$$

- Consider **phase fluctuations** (phonons) in a single-component Bose gas.
To leading order:

$$\mathcal{L}_{\text{eff}}^{\text{LO}} = P(D_t \theta), \quad D_t \theta = \partial_t \theta - \frac{1}{2m} (\nabla \theta)^2 \equiv D_t \varphi - \mu$$

$\Rightarrow$ **momentum-dependent vertices!**

- Simplest approximation = truncate at P_2
- Galilei Slavnov-Taylor identities** control the ratios between the vertices

[L. Canet, H. Chaté, B. Delamotte, N. Wschebor, *Phys. Rev. E* **86**, 061128 (2012)]

$$\begin{aligned} \mathcal{L}_{\text{int}} = & \frac{g_{\text{cl}}}{4} \partial_t \varphi_{\text{q}} (\nabla \varphi_{\text{cl}})^2 - \frac{g_{\text{cl}}}{2} \partial_t \varphi_{\text{cl}} \nabla \varphi_{\text{cl}} \nabla \varphi_{\text{q}} + \frac{g_{\text{cl}}^2}{4} (\nabla \varphi_{\text{cl}})^3 \nabla \varphi_{\text{q}} \\ & - \cancel{\frac{g_{\text{q}}}{16} \partial_t \varphi_{\text{q}} (\nabla \varphi_{\text{q}})^2} + \cancel{\frac{g_{\text{q}} g_{\text{cl}}}{16} \nabla \varphi_{\text{cl}} (\nabla \varphi_{\text{q}})^3} \end{aligned}$$

leaving **only one coupling**, g_{cl} , in the classical-statistical approximation

- Simplest choice for vertices: $\Gamma_k^{(n>2)} \propto S^{(n>2)}$

D. T. Son, M. Wingate, *Annals Phys.* **321**, 197 (2006)

M. Greiter, F. Wilczek, E. Witten, *Mod. Phys. Lett. B* **03**, 903 (1989)

Y. Takahashi, *Fortschr. Phys.* **36**, 83 (1988)

Y. Takahashi, *Fortschr. Phys.* **36**, 63 (1988)

Scaling forms and the regulator

- Full propagators $F, \rho = \underbrace{\text{scaling functions}}_{\text{input}} + \underbrace{\text{scaling exponents } \kappa}_{\text{to be determined}}$

Scaling forms:

$$\rho(\tau, \sigma, \mathbf{p}) = \frac{\sin[\omega(\tau, \mathbf{p}) \sigma]}{\omega(\tau, \mathbf{p})}, \quad F(\tau, \sigma, \mathbf{p}) = \frac{\tau^{-\gamma}}{p^\kappa} \cos[\omega(\tau, \mathbf{p}) \sigma]$$

where $\omega(\tau, \mathbf{p}) = \tau^{-\beta(z-z_0)} |\mathbf{p}|^{z_0}$, $z_0 \rightarrow 1$, and $z = 1/\beta \equiv 2 - \eta$

- Simplest choice for the regulator concerns only spatial fluctuations:

$$R_{k,\text{ab}}(t, t', \mathbf{p}) = \Gamma_{\text{ab}}^{(2)}(t, t', \mathbf{p}) r_{\text{ab}}(p^2/k^2)$$

with $r_{\text{clq}} = r_{\text{qcl}} = r_{\text{qq}} \equiv r$, $r_{\text{clcl}} \equiv 0$. Doesn't explicitly change the scaling & symmetries preserved by construction!

- With this, we have all the ingredients to solve for the deformation functions δZ

K. Boguslavski, A. Piñeiro Orioli, *Phys. Rev. D* **101**, 091902 (2020)
A. Piñeiro Orioli, J. Berges, *Phys. Rev. Lett.* **122**, 150401 (2019)