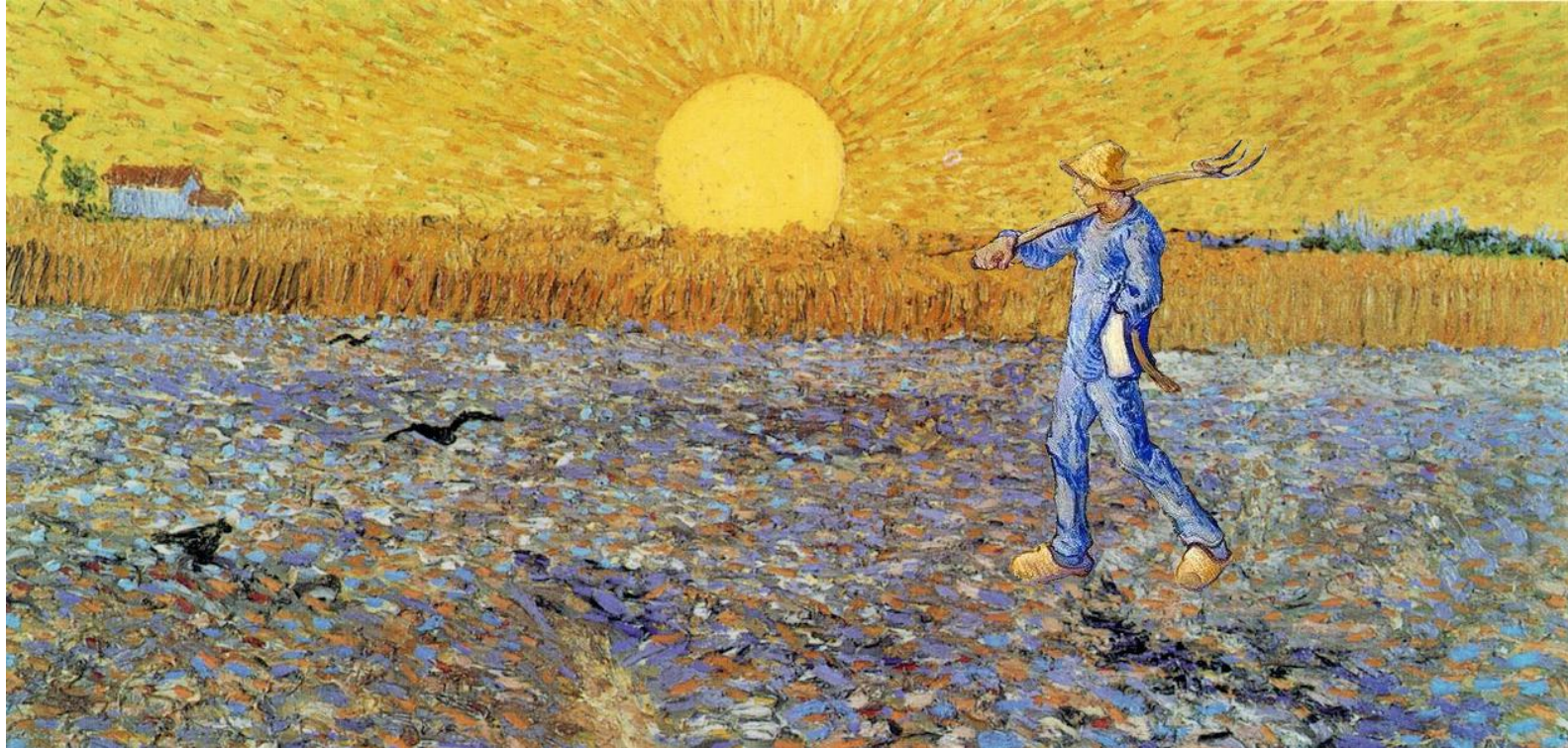


3D U(1) confining strings beyond effective string theory



Alessandro Mariani

University of Turin (Italy)

26 August 2025

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Closely related to:
Talk by Aharony
Wednesday 3:30pm

Abelian gauge theory in three dimensions

Continuum action: $S = \int d^3x \left(-\frac{1}{4} F_{\mu\nu} F_{\mu\nu} \right)$

$$Z = \int DA e^{-S}$$

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Dependence on the gauge group (UV completion): $\mathbb{R}$ vs $U(1)$

$U(1)$ vs $\mathbb{R}$ gauge theory in three dimensions

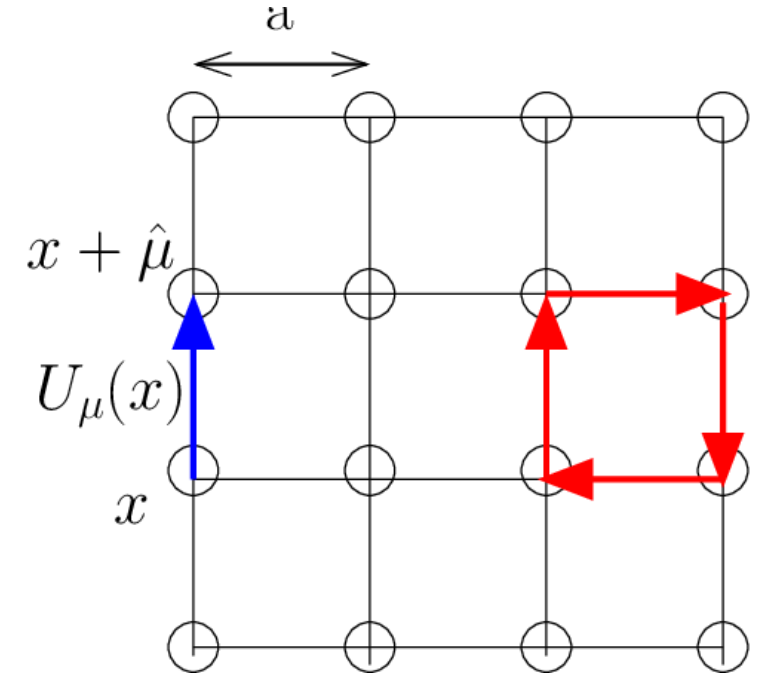
Two possible gauge groups: $\mathbb{R}$ and $U(1)$. Continuum $L = -\frac{1}{4}F_{\mu\nu}F_{\mu\nu}$

Gauge group $\mathbb{R}$. Field variable $A_\mu(x) \in \mathbb{R}$.

Partition function: $Z = \int_{\mathbb{R}} \prod_{x,\mu} dA_\mu(x) e^{-S}$

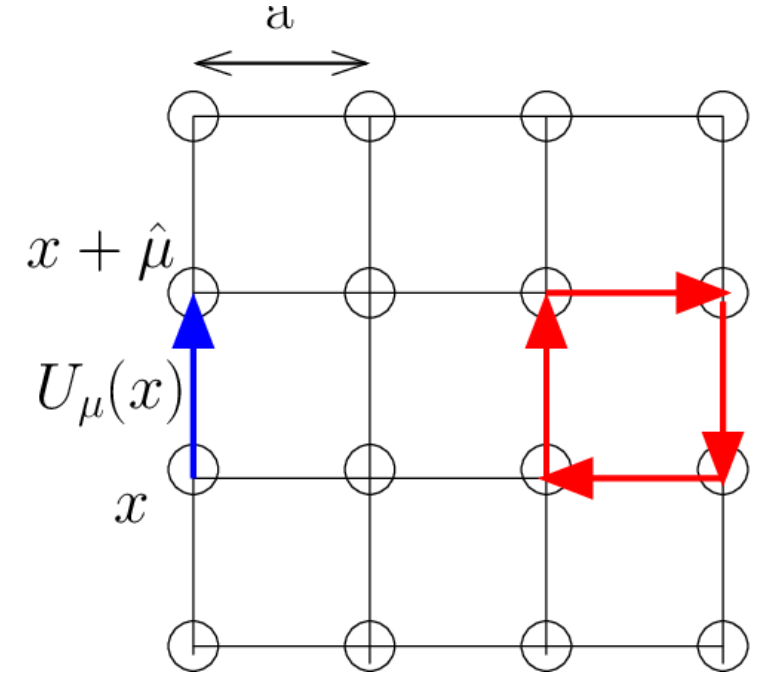
Lattice action: $S = -\beta \sum_{x,\mu,\nu} F_{\mu\nu} F_{\mu\nu}$ $F_{\mu\nu} = \partial_\mu A_\nu(x) - \partial_\nu A_\mu(x)$

Discrete derivative



$U(1)$ vs $\mathbb{R}$ gauge theory in three dimensions

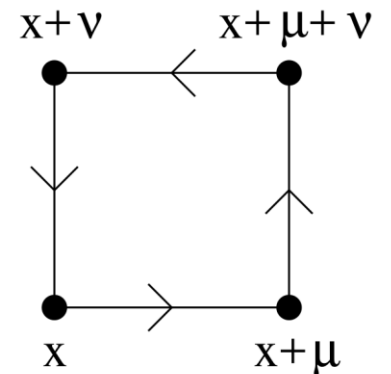
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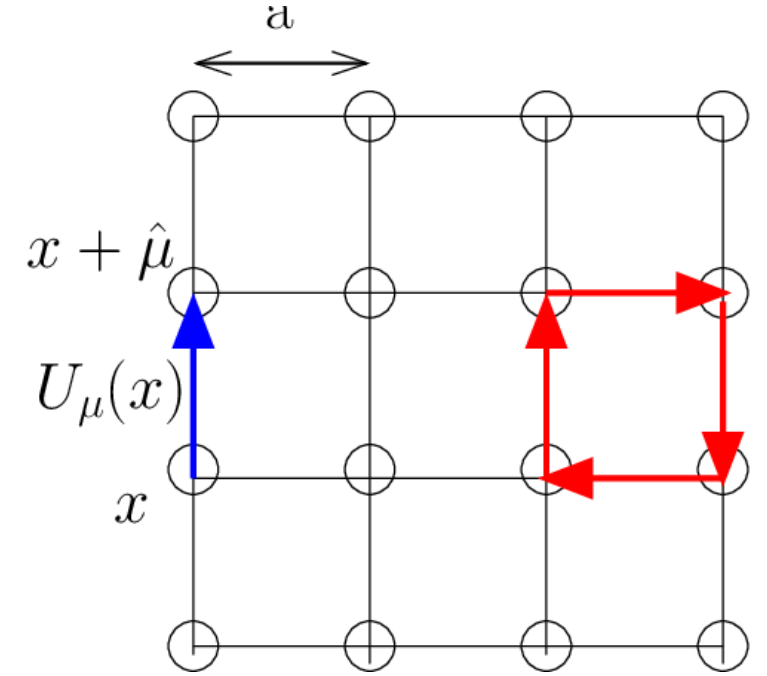
Action: $S = -\beta \sum_{x,\mu,\nu} U_\mu(x) U_\nu(x + \mu) U_\mu(x + \nu)^{-1} U_\nu(x)^{-1}$



$U(1)$ vs $\mathbb{R}$ gauge theory in three dimensions

Two possible gauge groups: $\mathbb{R}$ and $U(1)$. Continuum $L = -\frac{1}{4}F_{\mu\nu}F_{\mu\nu}$

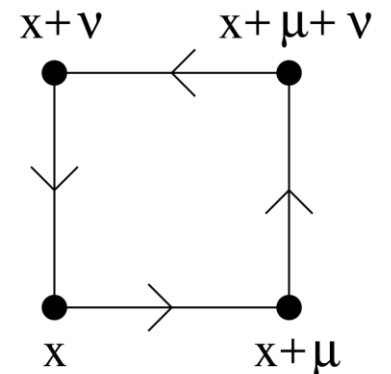
As $a \rightarrow 0$, get continuum action: $S \approx \int d^3x \left(-\frac{1}{4}F_{\mu\nu}F_{\mu\nu} \right)$



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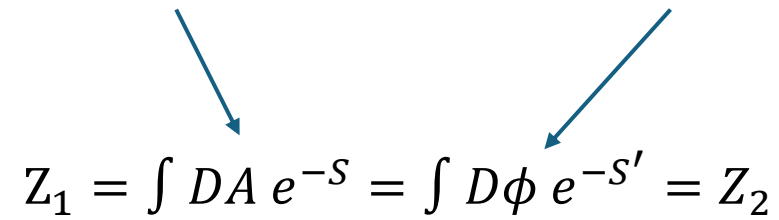
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Duality transformation

On the lattice, can do **exact** change of variables:

In 3D: **gauge theories** are dual to **scalar theories**


$$Z_1 = \int DA e^{-S} = \int D\phi e^{-S'} = Z_2$$

Strong to weak coupling:

$$\beta \leftrightarrow 1/\beta$$

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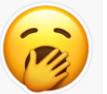
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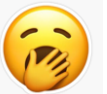
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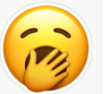
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Weird but
Interesting!



$$h_x \in \mathbb{Z}$$

3D U(1): properties and duality

Analytical predictions: [Polyakov, Göpfert & Mack]



$$Z = \prod_x \sum_{h_x \in \mathbb{Z}} \exp \left[-\frac{1}{2\beta} \sum_{x,\mu} (\partial_\mu h_x)^2 \right]$$

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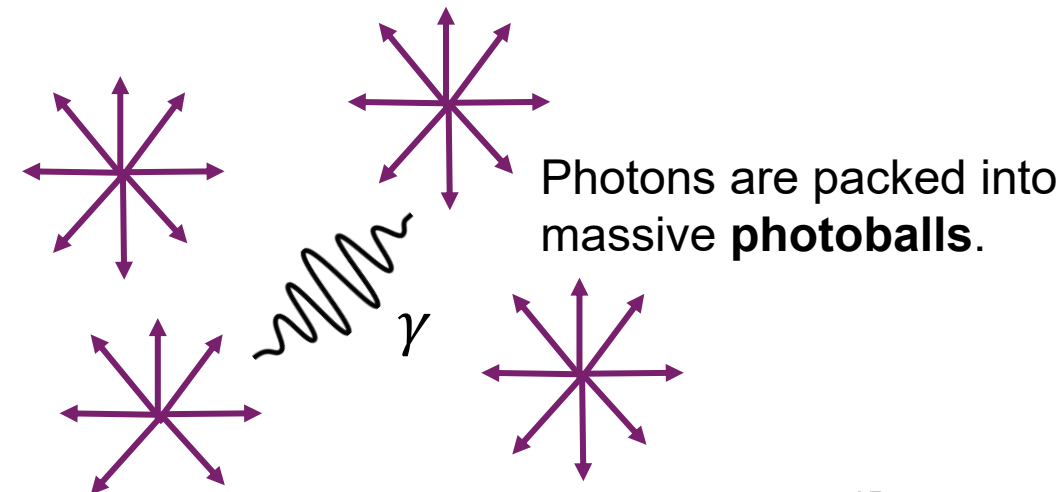
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Replace $h_x \in \mathbb{Z}$ with $\Phi_x \in \mathbb{R}$ and compute corrections systematically for large β :

$$S = \frac{1}{4\pi^2\beta} \sum_x \left[\frac{1}{2} (\nabla \Phi_x)^2 + 8\pi^2\beta e^{-2\pi^2 v_0 \beta} \cos(\Phi_x) \right]$$

Get a Sine-Gordon model, with a potential generated by monopole configurations.

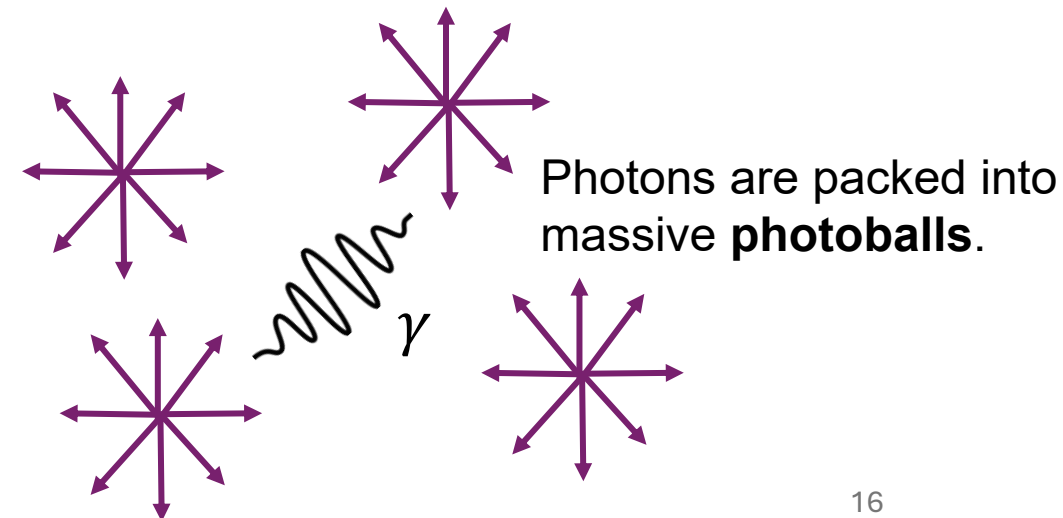
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Mass gap prediction

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Get mass gap by expanding cosine and reading off the quadratic term:

$$a^2 m^2 = 8\pi^2 \beta e^{-2\pi^2 v_0 \beta}$$

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Insert Wilson
loop source

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Continuum limit

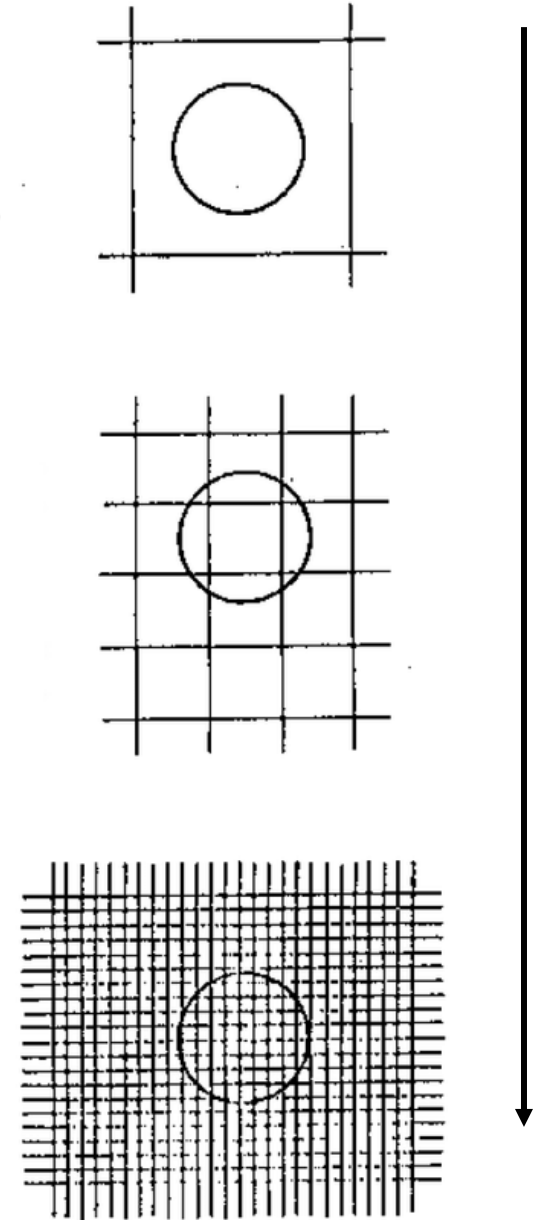
$$a^2 m^2 = 8\pi^2 \beta e^{-2\pi^2 v_0 \beta}$$
$$a^2 \sigma = c \frac{am}{\beta}$$

Note that in the continuum limit $\beta \rightarrow \infty$:

$$\frac{m^2}{\sigma} \rightarrow 0$$

Inequivalent length scales, different continuum limits.

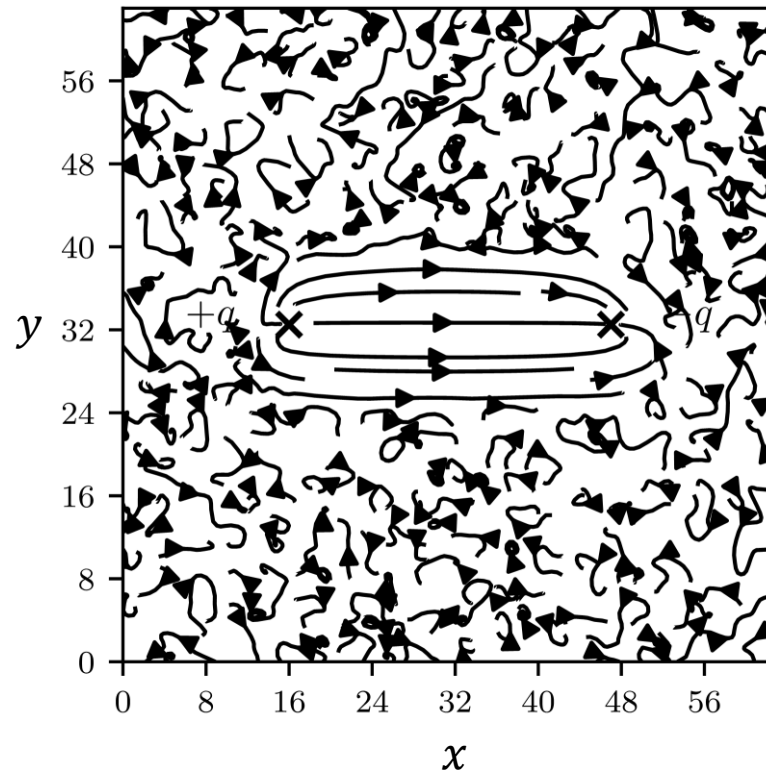
Holding σ fixed the mass **the mass goes to zero.**



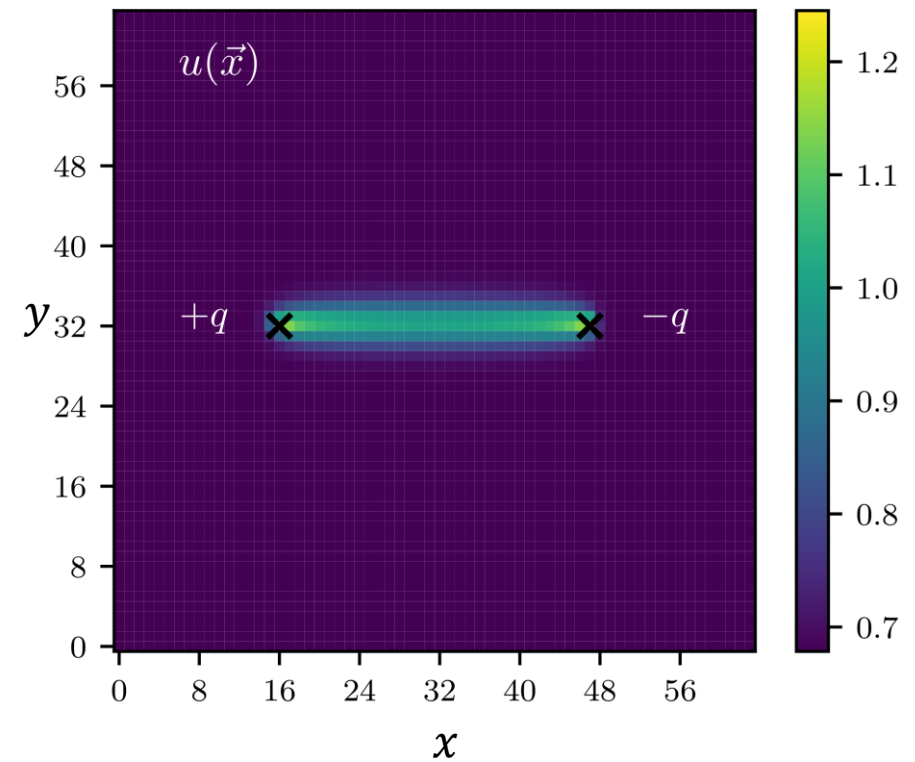
The confining string for U(1) in 3D

Static electrons are **confined**: (pictures are time-averaged)

Electric field:



Energy density:

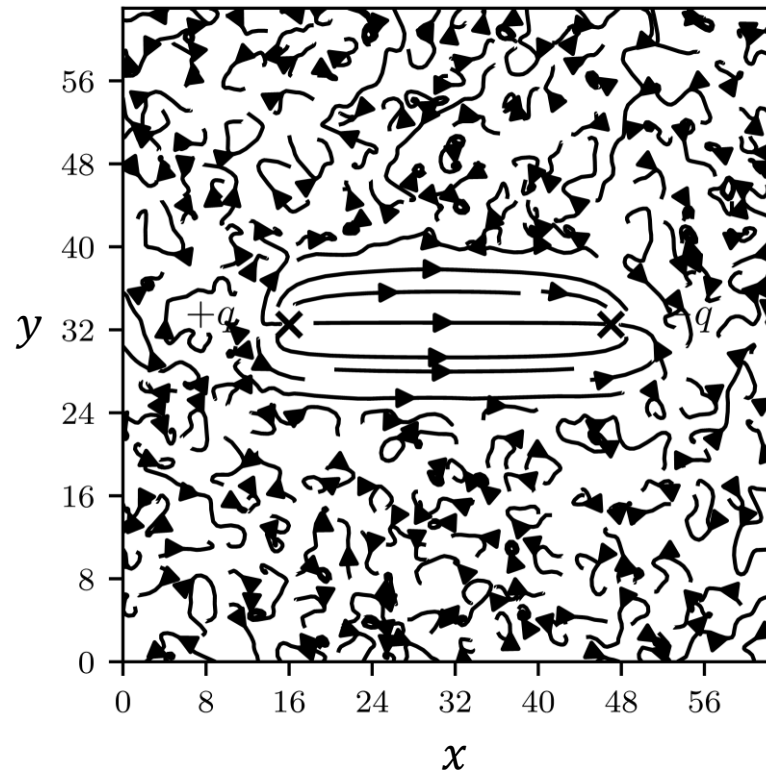


$$V(R) = \sigma R + O\left(\frac{1}{R}\right)$$

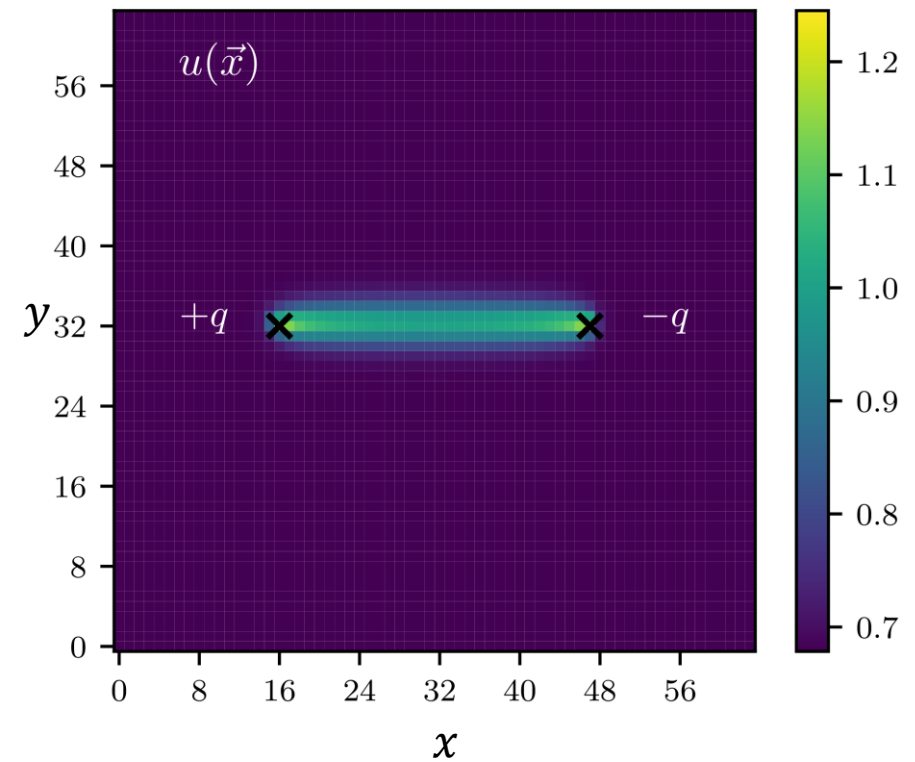
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Subleading
corrections?

Effective string theory (EST)

[Luscher '81, etc.]

Parametrize:

- t time
- s string arclength

Relevant field:

- $\xi(t, s)$ transverse displacement



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Effective theory: string breaks **transverse translational invariance**

————→ write down derivative expansion for ξ (Goldstone bosons)

$$S_{EST} = \int dt ds \left[c_0 \partial_\mu \xi \partial^\mu \xi + c_1 \partial_\mu \partial^\mu \xi \partial_\nu \partial^\nu \xi + c_2 (\partial_\mu \xi \partial^\mu \xi)^2 + \dots \right]$$

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[Luscher, Weisz, Aharony,
Mayer, Gorbenko, Gliozzi,
Meineri, etc]



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$$= \int dt ds \sqrt{\det g} [\sigma + \gamma_1 R + \gamma_2 K^2 + \gamma_3 K^4 + \dots]$$

Nambu-Goto

$$X^\alpha = (t, s, \xi)$$

$g_{\mu\nu} = \partial_\mu X^\alpha \partial_\nu X_\alpha$ induced metric

R Ricci scalar

K extrinsic curvature

Effective string theory (EST)

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Concrete predictions for the ground state energy:

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$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}}$$

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$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}} - \frac{32\pi^6 \gamma_3}{225\sigma^3 N_t^7} - \frac{64\pi^7 \gamma_3}{675\sigma^4 N_t^9} - \frac{\frac{2\pi^8 \gamma_3}{45} + \frac{32768\pi^{10} \gamma_5}{3969}}{\sigma^5 N_t^{11}} .$$

[Elias Mirò et al '19]

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[Elias Mirò et al '19]

$$\tilde{\gamma}_n = \gamma_n + (-1)^{(n+1)/2} \frac{1}{n 2^{3n-1}}$$

$$\tilde{\gamma}_3 \geq 0 ,$$

$$\tilde{\gamma}_5 \geq 4\tilde{\gamma}_3^2 - \frac{1}{64}\tilde{\gamma}_3 .$$

[Dubovsky et al '12]

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Works well for non-Abelian gauge theories:

[Elias Mirò et al '19]

arXiv > hep-lat > arXiv:2407.10678

High Energy Physics - Lattice

[Submitted on 15 Jul 2024]

Confining strings in three-dimensional gauge theories beyond the Nambu-Gotō approximation

Michele Caselle, Nicodemo Magnoli, Alessandro Nada, Marco Panero, Dario Panfalone, Lorenzo Verzhichelli

Effective string theory (EST)

[Luscher '81, etc.]

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- t time
- s string arclength

Relevant field:

- $\xi(t, s)$ transverse displacement



Effective theory: string breaks **transverse translational invariance**

—————→ write down derivative expansion for ξ (Goldstone bosons)

Does EST apply to U(1) in 3D?

Key assumption: below the mass gap scale, the only degrees of freedom are the above Goldstone bosons.

This breaks down for 3D U(1). If we hold σ fixed, then $\frac{m}{\sqrt{\sigma}} \rightarrow 0$ in the continuum.

Aharony, Barel, Sheaffer '24

Recall how we computed the string tension:

$$S = \frac{1}{4\pi^2\beta} \sum_x \left[\frac{1}{2} (\nabla\Phi_x)^2 + 8\pi^2\beta e^{-2\pi^2 v_0\beta} \cos(\Phi_x - \delta j_x) \right]$$

source
↙



Semiclassical approximation:

$$Z[j] \approx \exp(-S[\Phi_{cl}]) \approx \exp(-\sigma \text{ Area})$$

See:
Talk by Aharony
Wednesday 3:30pm

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Idea: go beyond semiclassical. Write

$$\Phi = \Phi_{cl} + \hat{\Phi}$$

$$S[\Phi] = S[\Phi_{cl}] + \Delta S[\hat{\Phi}] + \dots$$

↙
quantize these modes

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quantize these modes

$$E_0(N_t) = \sigma N_t - \frac{\pi}{6N_t} + \frac{1}{\pi N_t} \text{Li}_2(e^{-mN_t})$$

this is the mass gap

Possible fit forms

1) Nambu-Goto:

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3) Rigid string:

$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}} - \frac{\tilde{m}}{\pi} \sum_{n=1}^{\infty} \frac{K_1(n\tilde{m}N_t)}{n}$$

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Expansion in $1/N_t$



Expansion in $\frac{m^2}{\sigma} \sim (am)\beta$



Ground state energy of the string

Based on: M. Caselle, A. Mariani, *The finite temperature ground state energy of the confining string in three-dimensional $U(1)$ gauge theory*, JHEP 06 (2025) 010. arXiv:2503.05354.

Ground state energy of the string

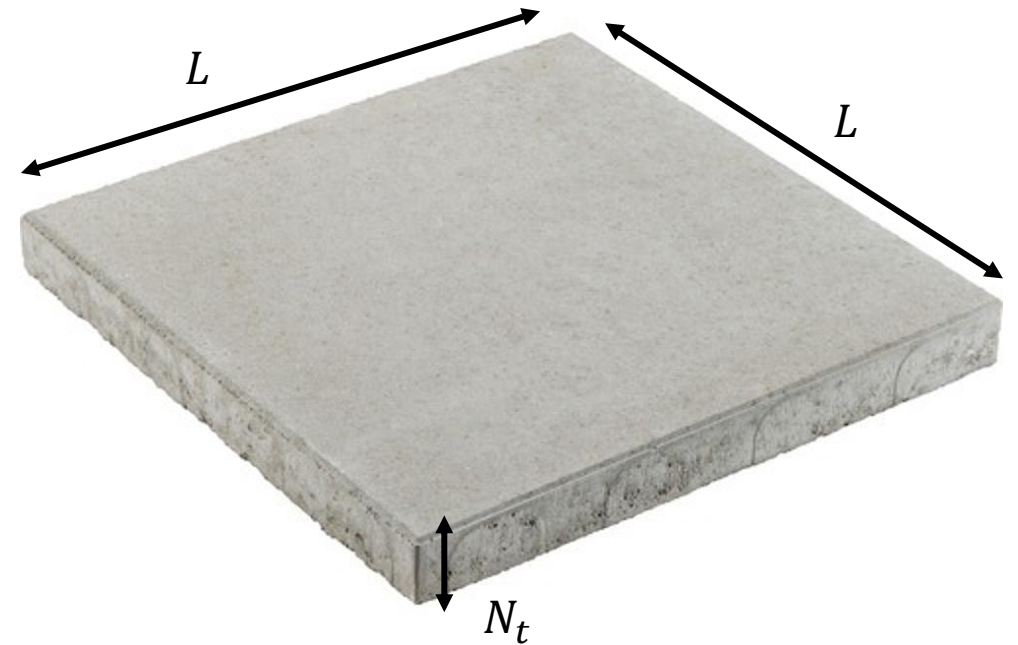
Based on: M. Caselle, A. Mariani, *The finite temperature ground state energy of the confining string in three-dimensional U(1) gauge theory*, JHEP 06 (2025) 010. arXiv:2503.05354.

Quantum-classical correspondence gives

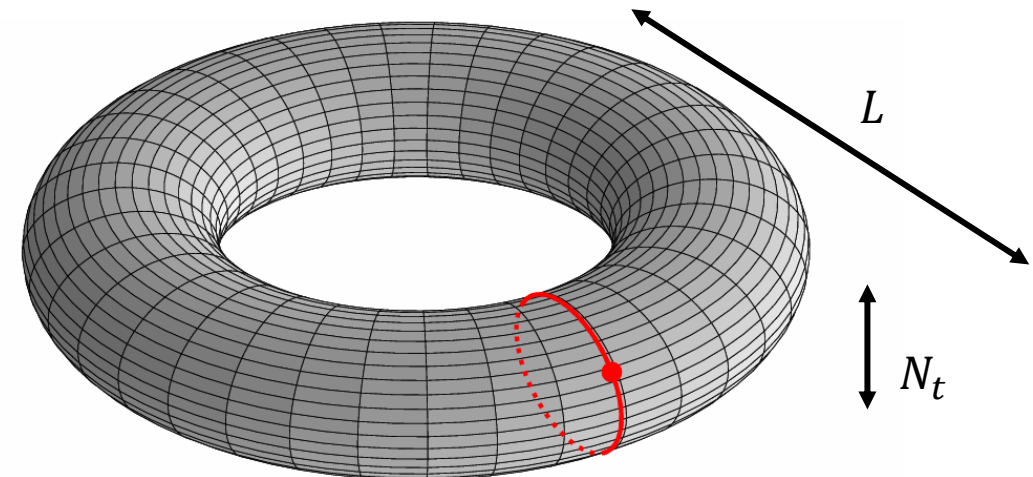
$$T = \frac{1}{aN_t}$$

with $N_t \ll L$.

The lattice looks like this:



- N_t = no. lattice sites in the **time** direction
- L = no. lattice sites in the **space** directions



Ground state energy of the string

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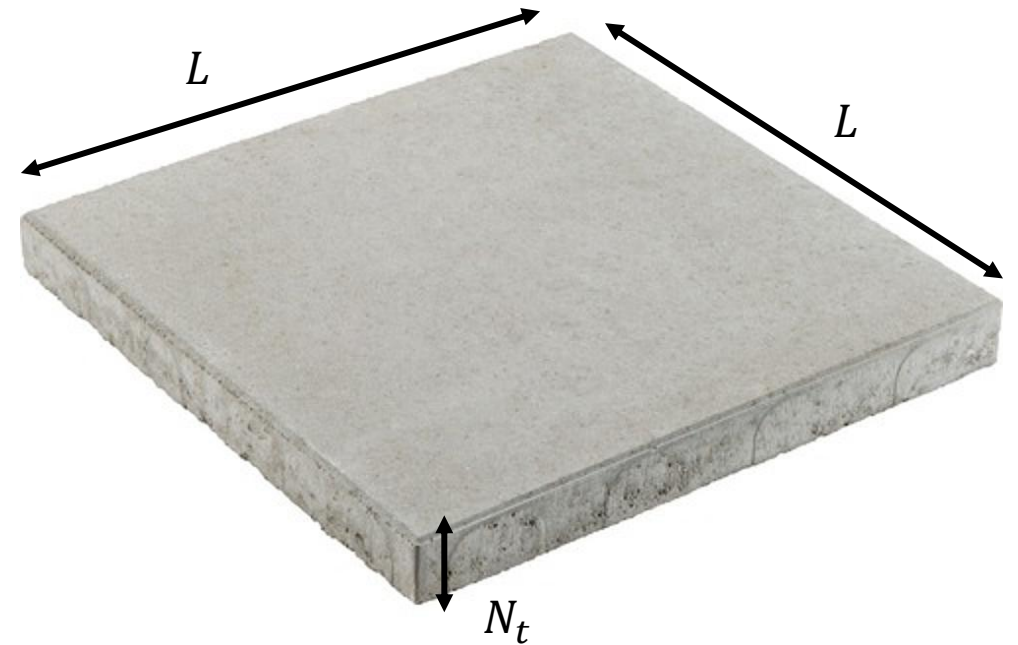
We work in the **closed string channel**, we measure the string ground state energy:

$$E_0(N_t) = \sigma N_t + \dots$$

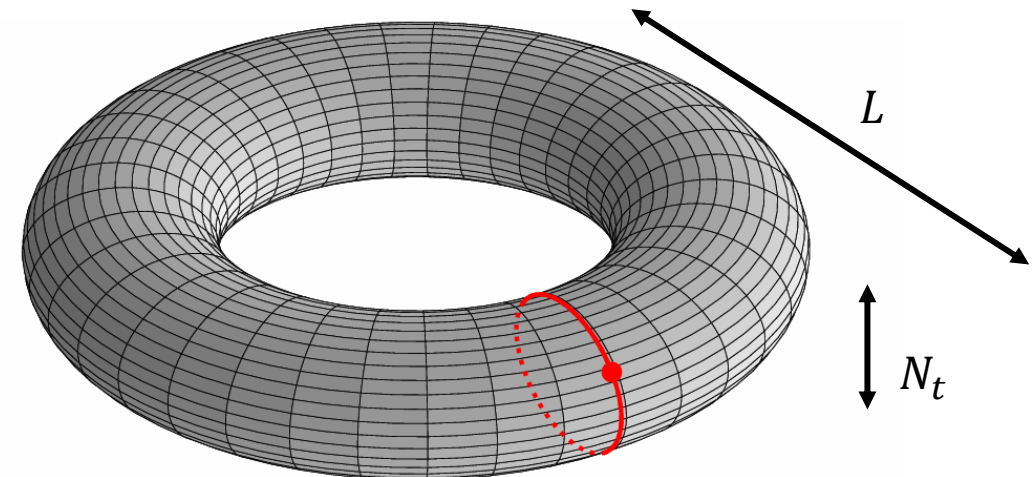
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This avoids boundary contributions.

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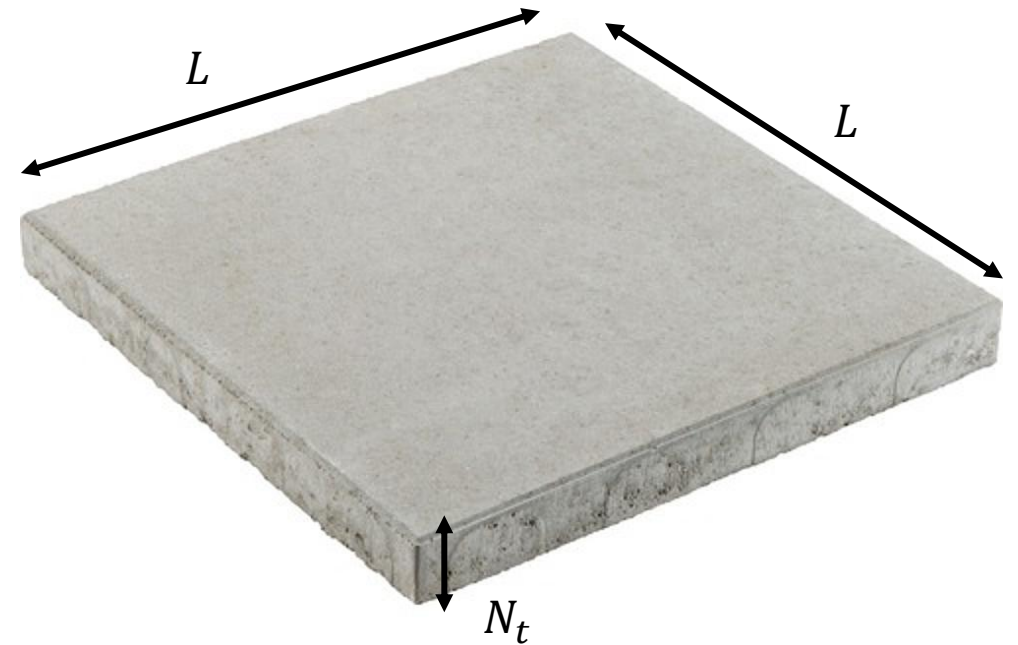
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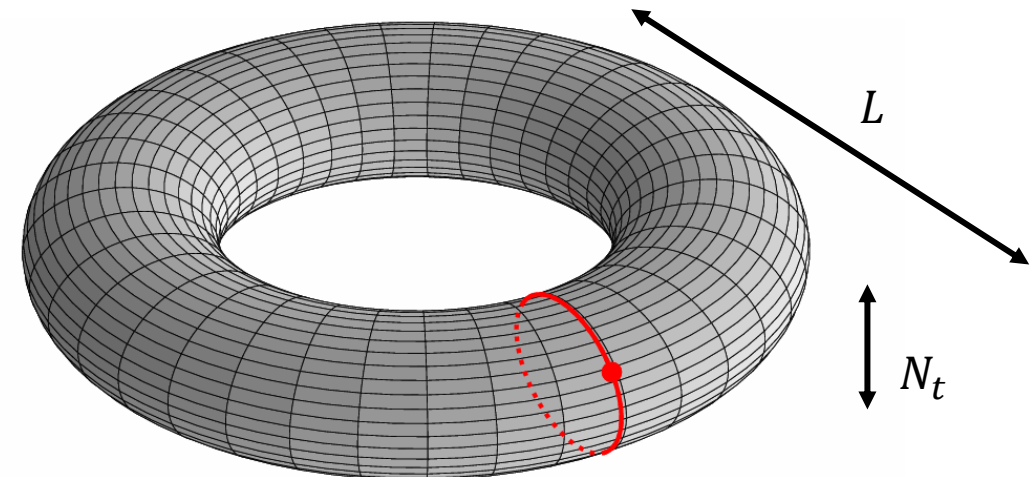
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Subleading
corrections?

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Numerical calculation

1) Compute Polyakov loop correlator

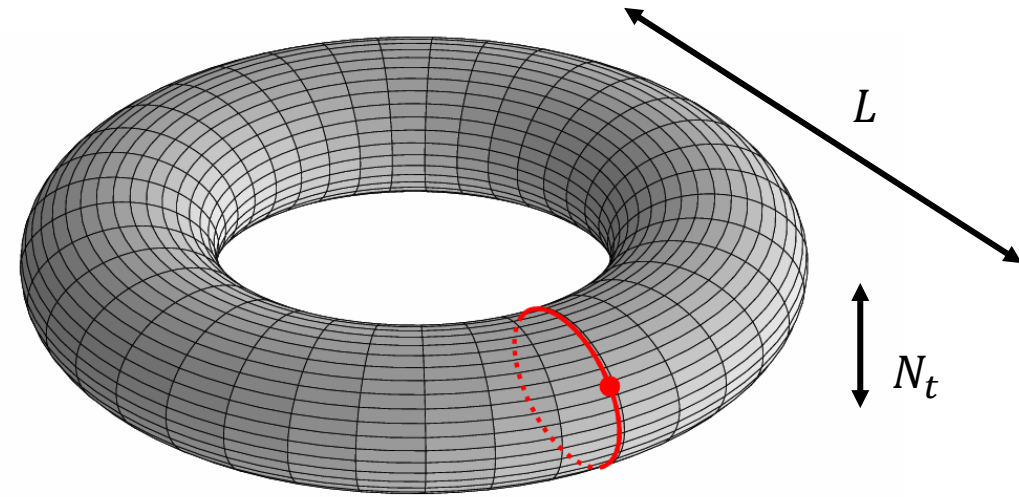
$$\langle P(x)P(x+R)^* \rangle$$

2) Extract energy by fitting to theoretical prediction:

$$\langle P(x)P(x+R)^* \rangle \sim K_0(E_0 R)$$

Get $E_0(N_t)$.

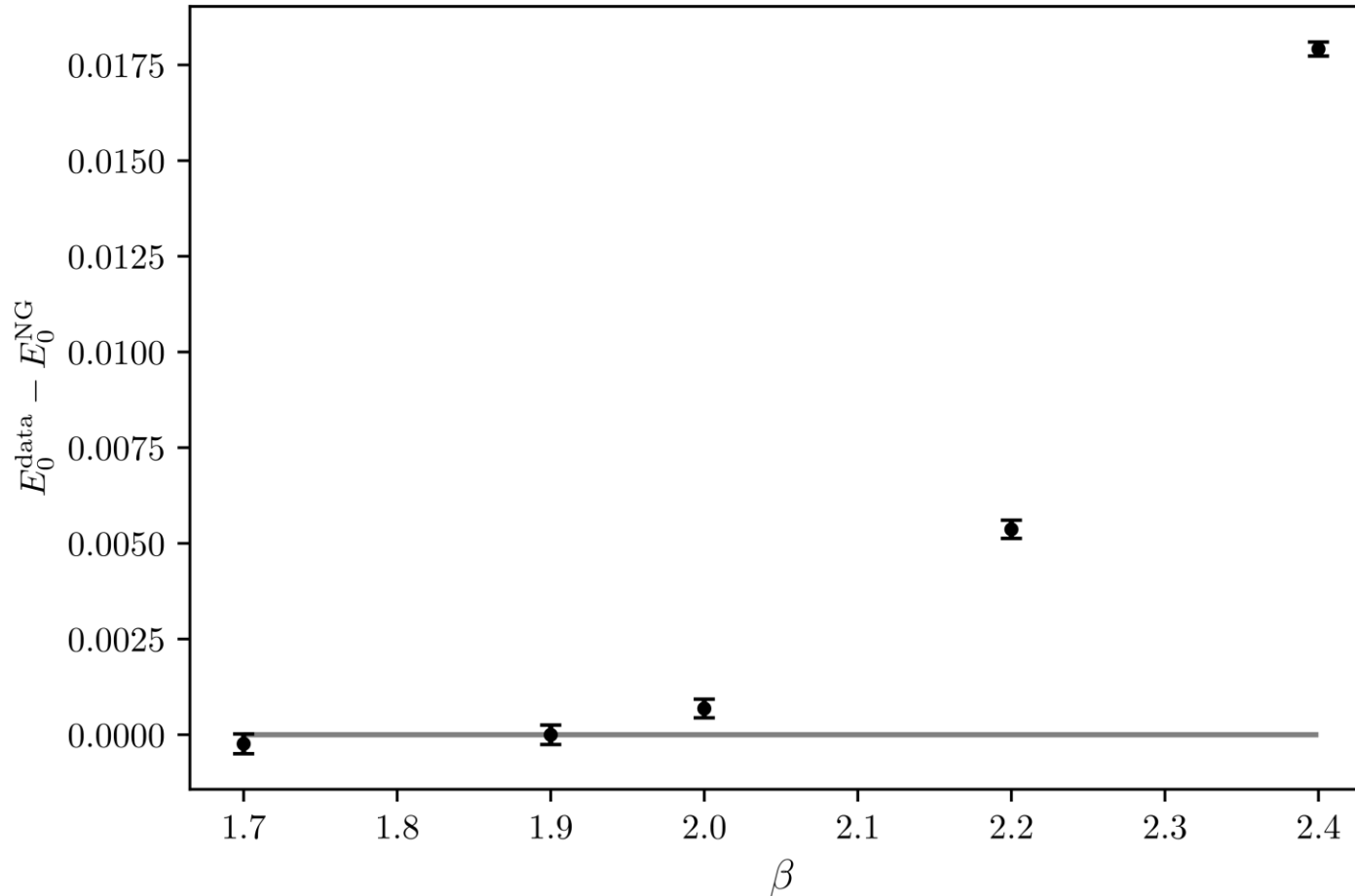
We use the **snake algorithm**.



Polyakov loop:

$$P(x) = \prod_{t=0}^{N_t-1} U_0(x, t)$$

Comparing Nambu-Goto with numerical data: fixed $N_t = 10$

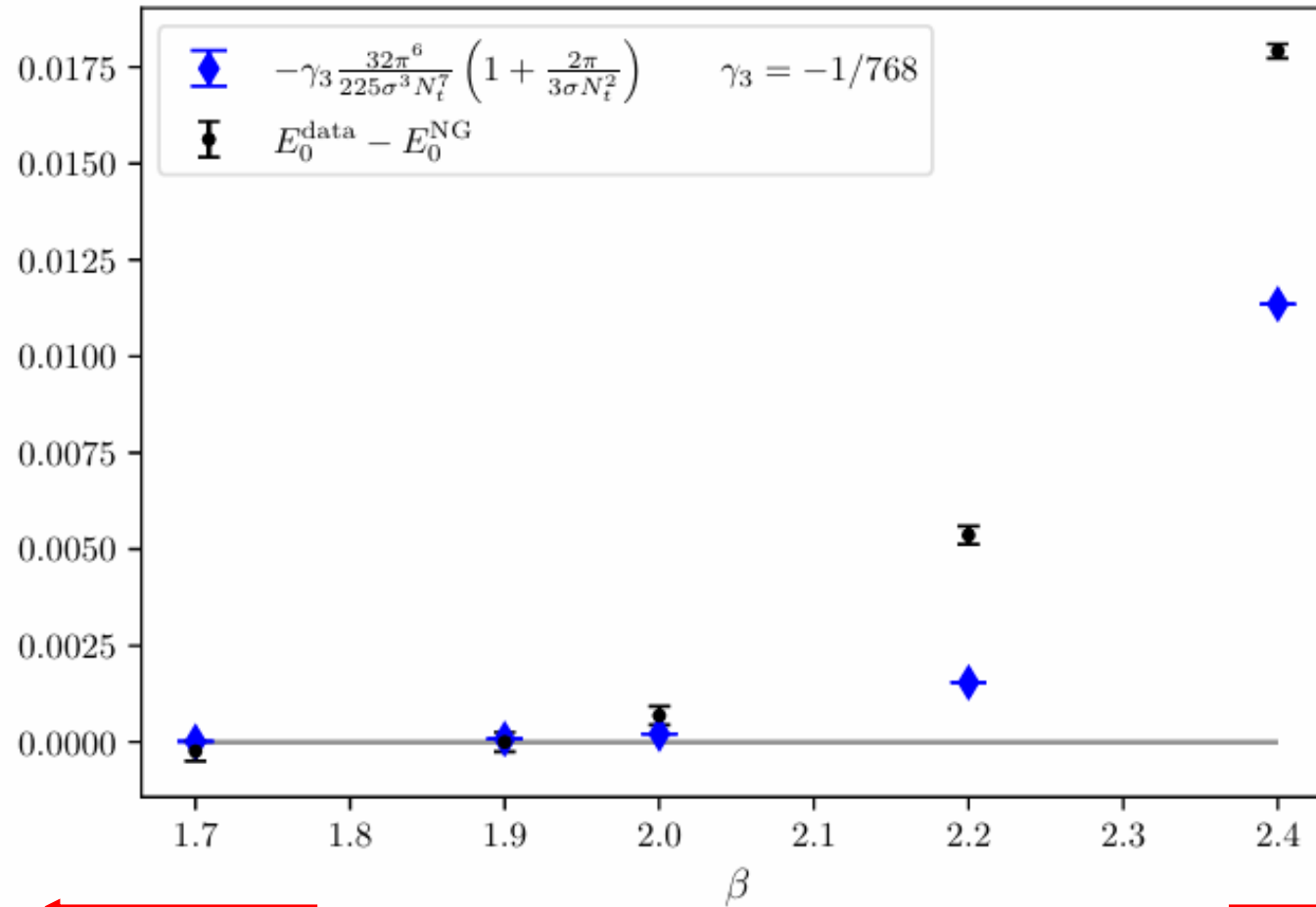


- Agreement at small β , disagreement at larger β .
- Difference is positive, i.e. data lies above Nambu-Goto.
- Therefore incompatible with rigid string.
- Can it be accounted for by γ_3 ?

← “small m ”

→ “large m ”

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- Agreement at small β , disagreement at larger β .
- Difference is positive, i.e. data lies above Nambu-Goto.
- Therefore incompatible with rigid string.
- Can it be accounted for by γ_3 ?
- Also incompatible with γ_3 .

← “small m ”

→ “large m ”

Possible fit forms

1) Nambu-Goto:

$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}}$$



small β

larger β

2) Nambu-Goto + γ corrections:

$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}} - \frac{32\pi^6 \gamma_3}{225\sigma^3 N_t^7} - \frac{64\pi^7 \gamma_3}{675\sigma^4 N_t^9} - \frac{\frac{2\pi^8 \gamma_3}{45} + \frac{32768\pi^{10} \gamma_5}{3969}}{\sigma^5 N_t^{11}} .$$

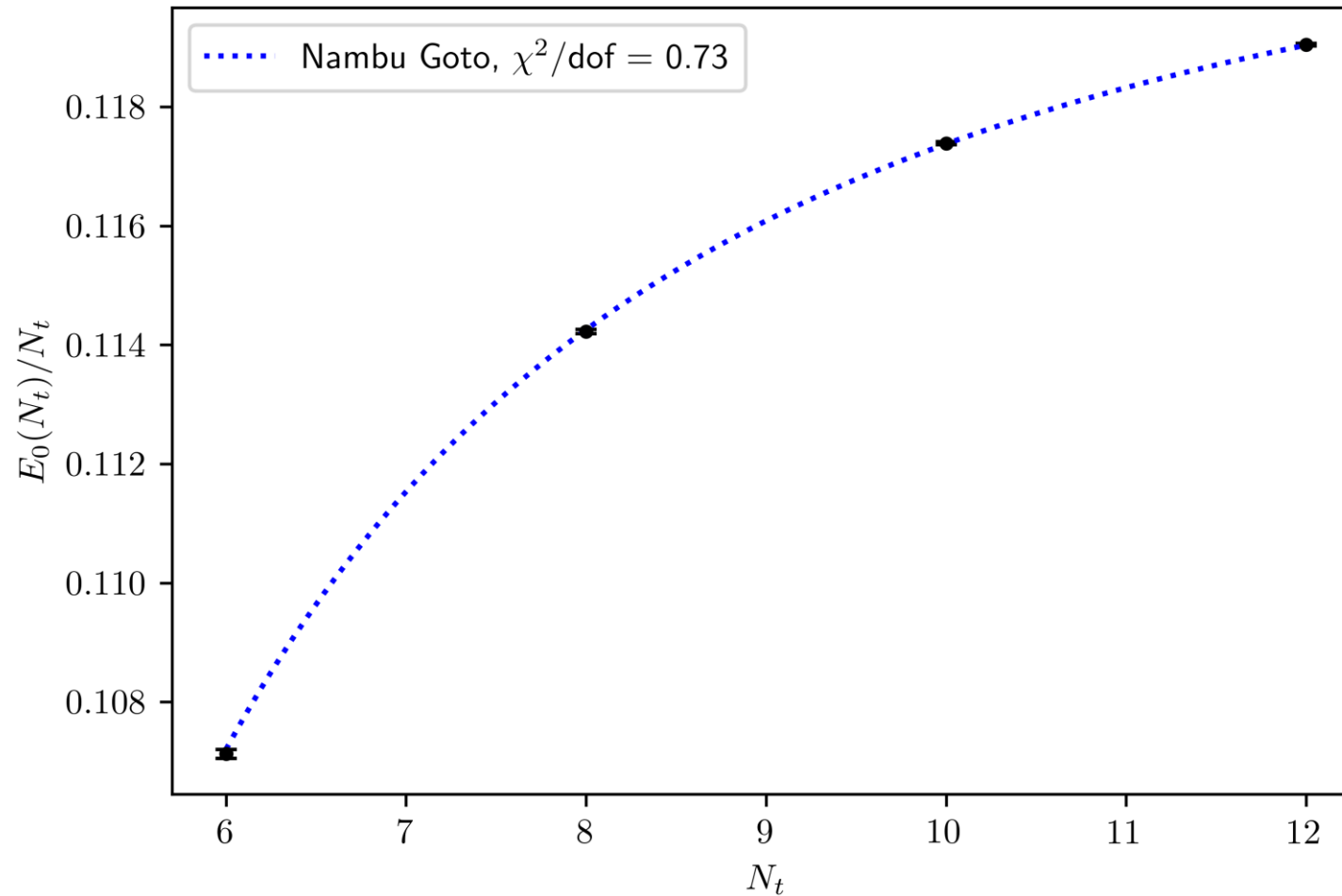
3) Rigid string

$$E_0(N_t) = \sigma N_t \sqrt{1 - \frac{\pi}{3\sigma N_t^2}} - \frac{\tilde{m}}{\pi} \sum_{n=1}^{\infty} \frac{K_1(n\tilde{m}N_t)}{n}$$

4) Aharony, Barel, Sheaffer:

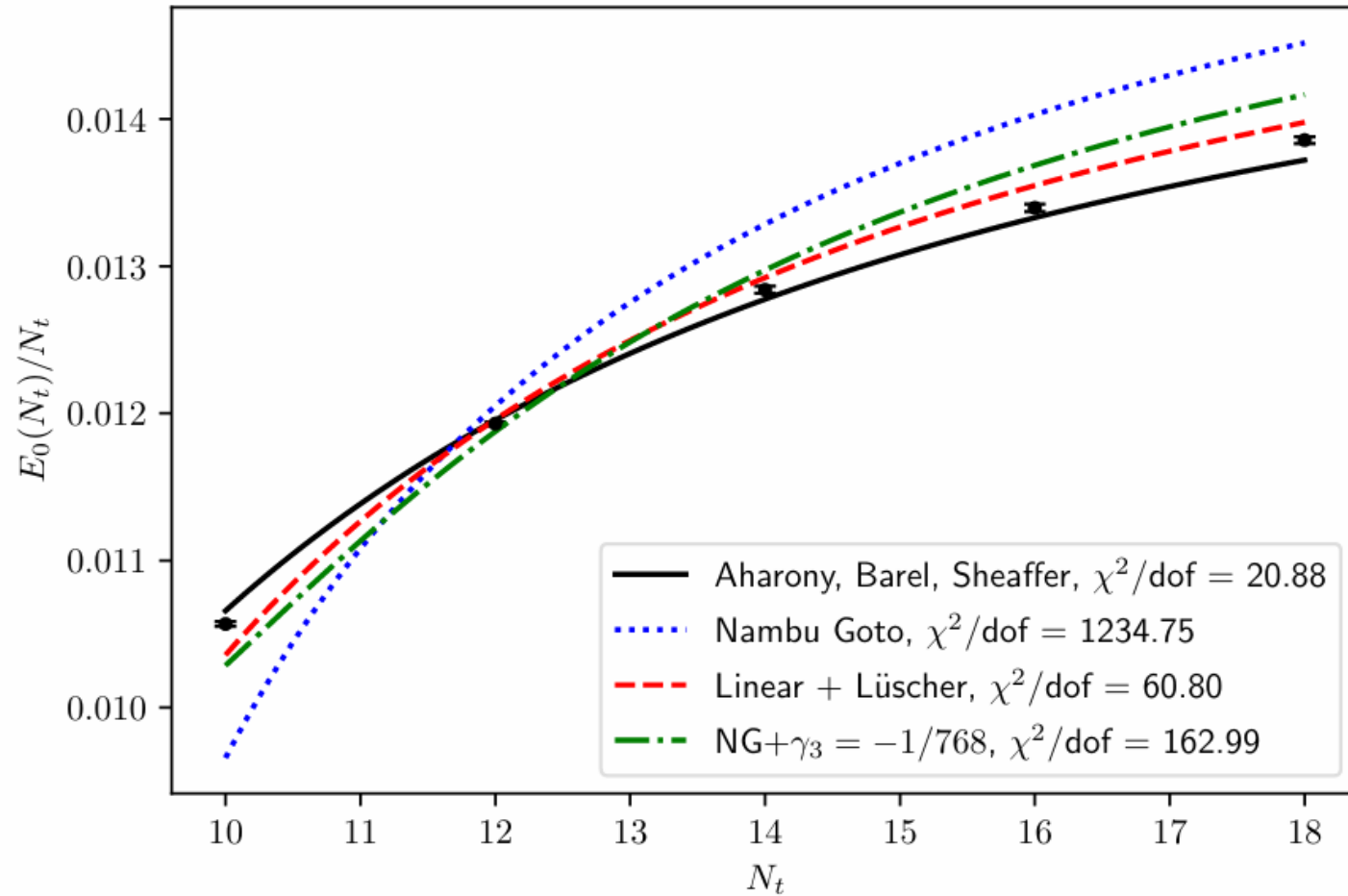
$$E_0(N_t) = \sigma N_t - \frac{\pi}{6N_t} + \frac{1}{\pi N_t} \text{Li}_2(e^{-mN_t})$$

Comparing with numerical data: fixed $\beta = 1.7$



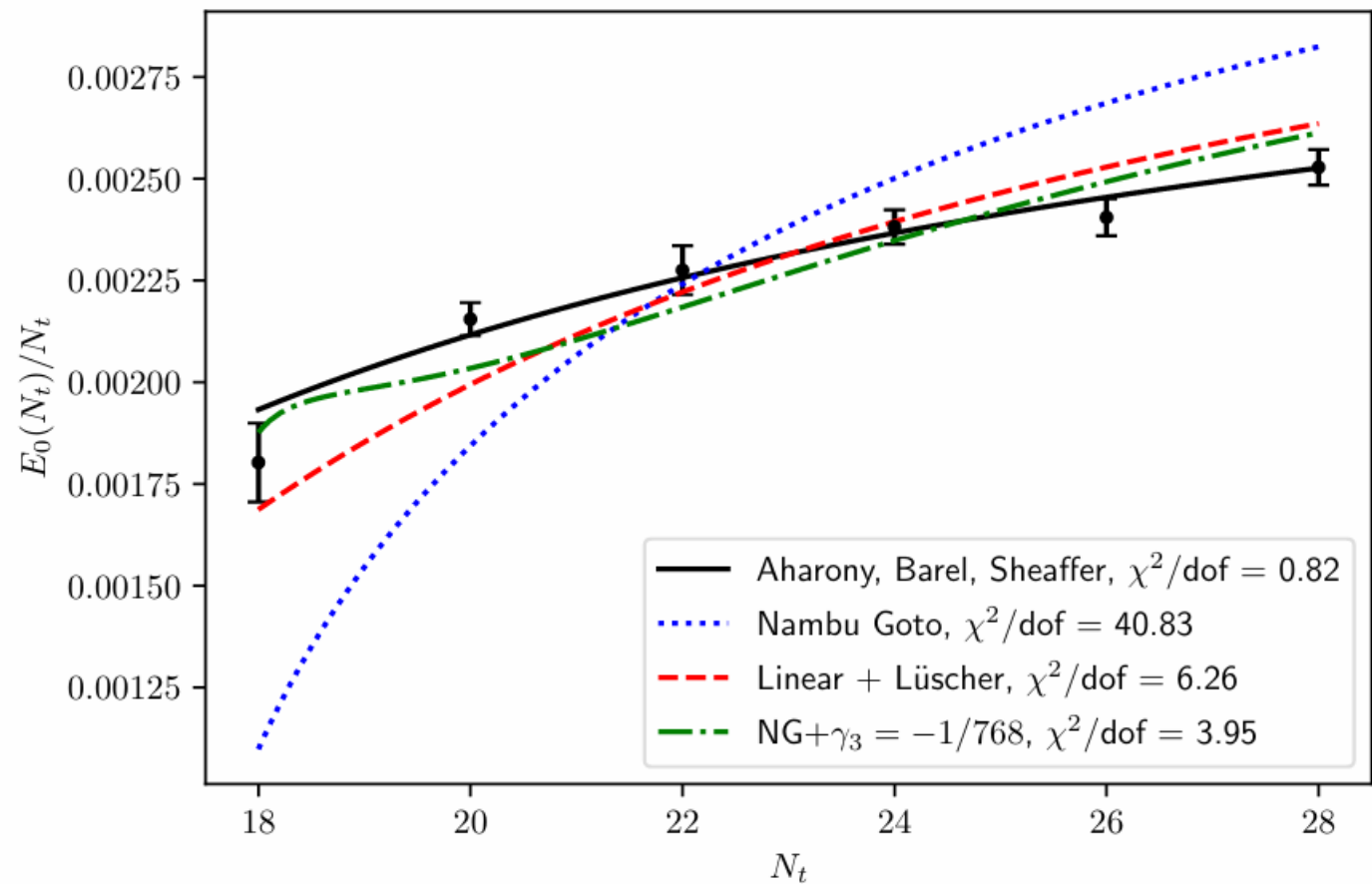
- Fit $E_0(N_t)$ vs N_t keeping the string tension as a fit parameter.
- For this β , Nambu-Goto works perfectly (as expected).

Comparing with numerical data: fixed $\beta = 2.4$



- No agreement

Comparing with numerical data: fixed $\beta = 3.0$



- Aharony, Barel, Sheaffer is clearly preferred.

Summary

3D U(1) has remarkably different
confinement properties.
Effective string theory does not
always apply.

Keeping the string tension
fixed, we find good agreement
with Nambu-Goto at low β ,
and with Aharony, Barel,
Sheaffer at large β .