







# Nuclear charge radii and CKM unitarity tests

#### Misha Gorshteyn (Gorchtein)

JGU Mainz

Based on: 2502.17070 2501.15274 2412.05932 2407.09743 2311.00044 2309.16893 2212.02681

2208.03037



With
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Ben Ohayon
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Mehdi Drissi
John Behr
Arup Chakraborty
Vaibhav Katyal

#### Outline

Precision tests of the Standard Model with CKM unitarity

 $V_{ud}$  from nuclear eta decays and nuclear radii

Nuclear polarization in muonic atoms, light vs heavy

Results, comparisons

Outlook

Discovery of radioactivity 1896 (Becquerel) —> Contact theory 1934 (Fermi)

- -> Parity violation 1956-7 (Lee-Yang, Wu)
- —> V A theory 1957 (Sudarshan, Marshak, Gell-Mann, Feynman); S-PS not excluded

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Radiative corrections to muon decay: important evidence for V-A theory RC to muon decay - UV finite for V-A but divergent for S-PS

Muon lifetime  $\tau_{\mu} = 2196980.3(2.2)ps$  —> Fermi constant  $G_{\mu} = 1.1663788(7) \times 10^{-5} GeV^{-2}$ 

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Is weak interaction universal for leptons and hadrons?

1967: Sirlin applied current algebra:  $\frac{\alpha}{2\pi}P^0d^3p\ 3[1+2\bar{Q}]\ln(\Lambda/M)$  general UV behavior of  $\beta$  decay rate at 1-loop

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Eventually, massive weak bosons render RC to beta decay UV-finite:  $\Lambda=M_{W,Z}$ 

In SM the same coupling of W-boson to leptons and quarks,  $G_V$  =  $G_\mu$ 

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Large  $\log(M_Z/M_p)$  in RC for neutron —>  $G_V \sim 0.95 G_\mu$ 

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Cabibbo: strength shared between 2 generations

Cabibbo unitarity:  $\cos^2\theta_C + \sin^2\theta_C = 1$ 

$$|G_V^{\Delta S=0}| = \cos \theta_C G_\mu$$

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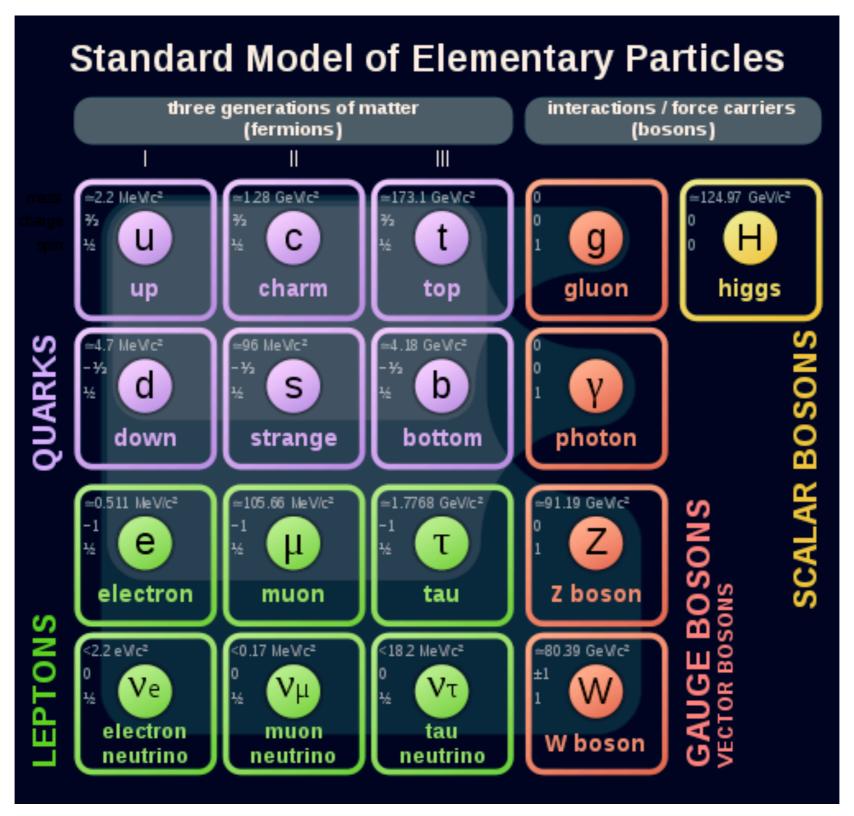
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Kobayashi & Maskawa: 3 flavors + CP violation — CKM matrix V

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$
 CKM unitarity - completeness of the SM:  $VV^{\dagger} = \mathbf{1}$  Top row unitarity constraint:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$ 

# Detailed understanding of $\beta$ decays largely shaped the Standard Model



$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(6)_{V_{ud}}(4)_{V_{us}}$$
  
  $\sim 0.95 \sim 0.05 \sim 10^{-5}$ 

 $V_{ud}$  and  $V_{us}$  determinations inconsistent with the SM

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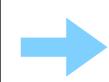
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$$K \to \pi \ell \nu$$
:  $|V_{us}| = 0.2233(5)$   
Unitarity  $\to |V_{ud}| = \sqrt{1 - |V_{us}|^2} = 0.9747(1)$ 

$$\frac{K \to \mu \nu}{\pi \to \mu \nu}$$
:  $|V_{us}/V_{ud}| = 0.2311(5)$ 

Unitarity 
$$\rightarrow |V_{ud}| = [1 + |V_{us}/V_{ud}|^2]^{-1/2} = 0.9743(1)$$



PDG [S = 2.5]:  $|V_{us}| = 0.2243(8)$ Unitarity  $\rightarrow |V_{ud}| = 0.9745(2)$ 

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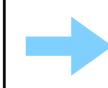
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$$|V_{ud}| = 0.9743(9)$$

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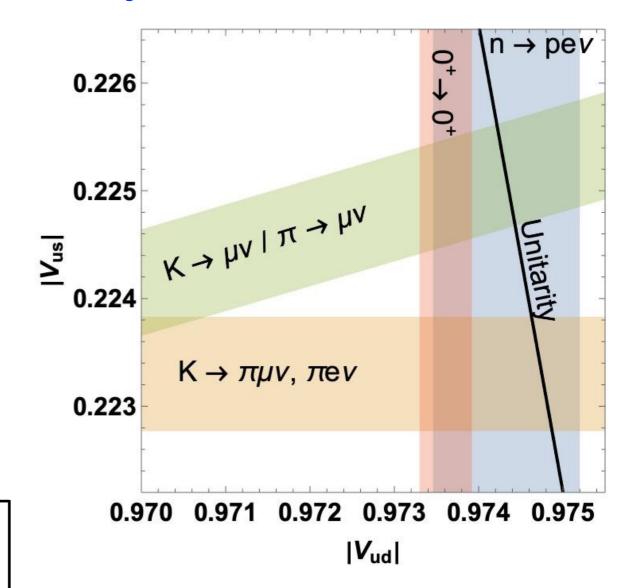
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## CAA summary - 3 anomalies!

3 observables:  $|V_{us}|^{K\ell 3}$ ,  $|V_{us}/V_{ud}|^{K\mu 2}$ ,  $V_{ud}$ 

2 quantities to determine:  $V_{us}$ ,  $V_{ud}$ 



$$\Delta_{\text{CKM}}^{(1)} = |V_{ud}|^2 + |V_{us}^{K_{\ell 3}}|^2 - 1 = -0.00176(56) -3.1\sigma$$

$$\Delta_{\text{CKM}}^{(2)} = |V_{ud}|^2 \left[ 1 + \left( \left| \frac{V_{us}}{V_{ud}} \right|^{K_{\mu 2}} \right)^2 \right] - 1 = -0.00098(58) -1.7\sigma$$

$$\Delta_{\text{CKM}}^{(3)} = |V_{us}^{K_{\ell 3}}|^2 \left[ \left( \frac{1}{|V_{us}/V_{ud}|^{K_{\mu 2}}} \right)^2 + 1 \right] - 1 = -0.0164(63) -2.6\sigma$$

Can it be a signal of BSM?

## CAA in presence of RH currents

In SM, W couples only to LH chiral fermion states

- Cirigliano et al. PLB 838 (2023)
- New physics with couplings to RH currents could explain both unitarity deficit and  $K_{\ell 3}$ - $K_{\mu 2}$  difference
- Define  $\epsilon_R$  = admixture of RH currents in non-strange sector  $\epsilon_R$  +  $\Delta\epsilon_R$  = admixture of RH currents in strange sector

$$\Delta_{\text{CKM}}^{(1)} = 2\epsilon_R + 2\Delta\epsilon_R V_{us}^2$$

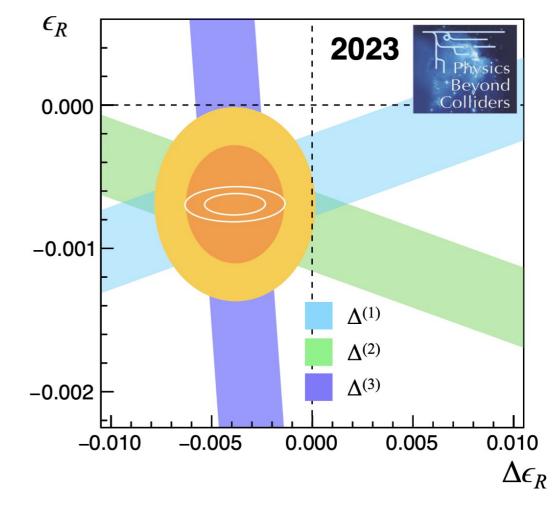
$$\Delta_{\text{CKM}}^{(2)} = 2\epsilon_R - 2\Delta\epsilon_R V_{us}^2$$

$$\Delta_{\text{CKM}}^{(3)} = 2\epsilon_R + 2\Delta\epsilon_R (2 - V_{us}^2)$$

$$r \equiv \left(\frac{1 + \Delta_{\text{CKM}}^{(2)}}{1 + \Delta_{\text{CKM}}^{(3)}}\right)^{1/2} = \frac{\frac{V_{us}}{V_{ud}}\Big|_{K_{\ell 2}/\pi_{\ell 2}}}{\frac{V_{us}^{K_{\ell 3}}}{V_{ud}^{\beta}}} = 1 - 2\Delta\epsilon_{R}$$

From current fit:

$$\epsilon_R = -0.69(27) \times 10^{-3} (2.5\sigma)$$
 $\Delta \epsilon_R = -3.9(1.6) \times 10^{-3} (2.4\sigma)$ 
 $\epsilon_R = \Delta \epsilon_R = 0$  excluded at 3.1 $\sigma$ 



Review the " $\sigma$ " that defines the significance of the Cabibbo angle anomaly!

#### Are all SM contributions under control?



Nuclear radii and V<sub>ud</sub>:

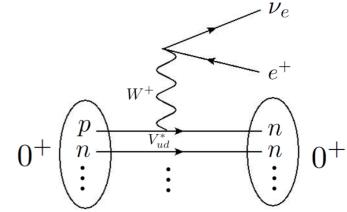
An impressive interplay of

Theory + Experiment

# $V_{ud}$ from superallowed decays

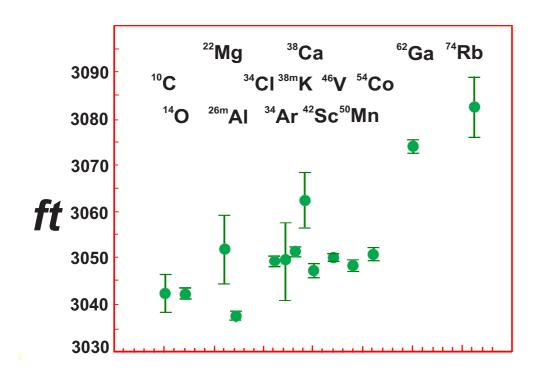
Superallowed 0+-0+ nuclear decays:

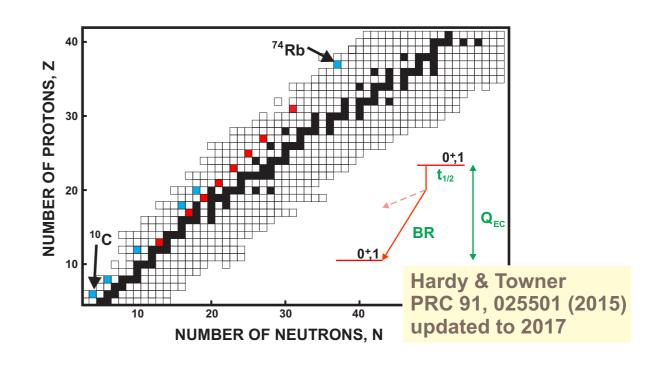
- only conserved vector current
- many decays
- all rates equal modulo phase space



Experiment:  $\mathbf{f}$  - phase space (Q value) and  $\mathbf{t}$  - partial half-life ( $t_{1/2}$ , branching ratio)

- 8 cases with ft-values measured to <0.05% precision; 6 more cases with 0.05-0.3% precision.
- ◆ ~220 individual measurements with compatible precision





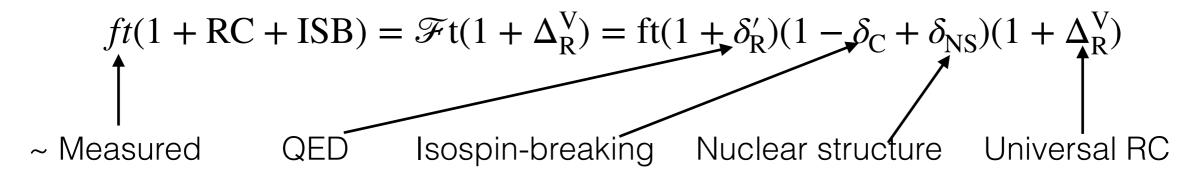
ft values: same within ~2% but not exactly!

Reason: SU(2) slightly broken

- a. RC (e.m. interaction does not conserve isospin)
- b. Nuclear WF are not SU(2) symmetric(proton and neutron distribution not the same)

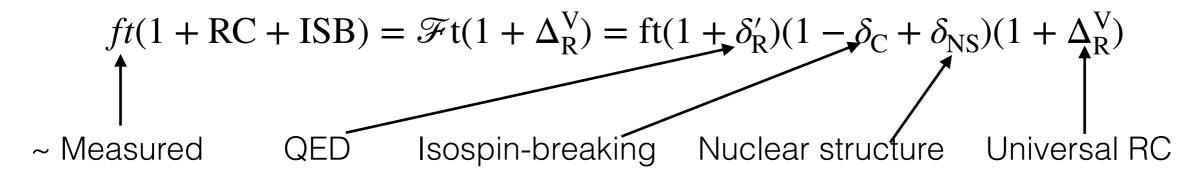
#### V<sub>ud</sub> extraction: Universal RC and Universal Ft

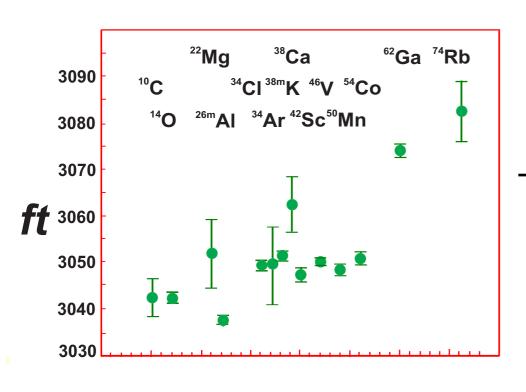
To obtain Vud —> absorb all decay-specific corrections into universal **Ft** 

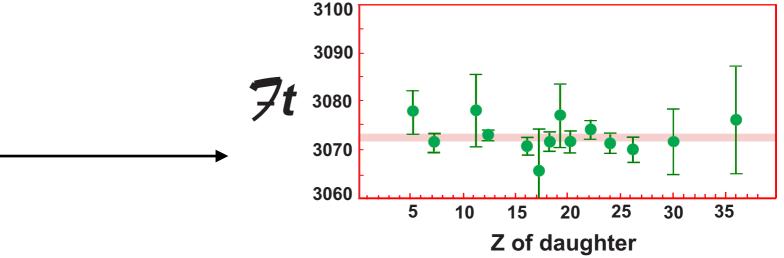


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Average of 14 decays

Hardy, Towner 1972 - 2020

Pre-2018:  $\overline{\mathcal{F}t} = 3072.1 \pm 0.7 \, s$ 

PDG 2022:  $\overline{\mathcal{F}t} = 3072 \pm 2 s$ 

$$|V_{ud}|^2 = \frac{2984.43s}{\mathcal{F}t(1+\Delta_R^V)}$$

$$|V_{ud}^{0^+-0^+}| = 0.9737 (1)_{exp, nucl} (3)_{NS} (1)_{RC} [3]_{total}$$

$$f = m_e^{-5} \int_{m_e}^{E_0} dE_e |\vec{p}_e| E_e(E_0 - E_e)^2 F(E_e) C(E_e) Q(E_e) R(E_e) r(E_e)$$

Unperturbed beta spectrum

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Finite nuclear size

Fermi function: e+ in Coulomb field of daughter

Shape factor: spatial distribution of decay

Recoil correction

Atomic screening and overlap

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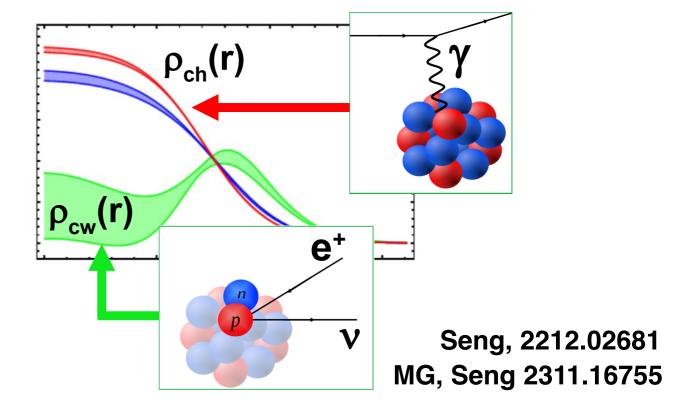
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Recent development:

isospin symmetry + known charge distributions

Only the outer protons can decay: all neutron states in the core occupied

Photon probes the entire nuclear charge



Wilfried's talk

Recent measurement at ISOLDE Plattner et al, arXiv: 2310.15291

IS in Al 27-26m  $3s^23p ^2P_{3/2} \rightarrow 3s^24s ^2S_{1/2}$  transition

$$\delta \nu^{27,26m} = F \delta \langle r^2 \rangle^{27,26m} + M \frac{m_{26m} - m_{27}}{m_{27} (m_{26m} + m_e)}$$
  
 $\delta \nu^{27,26m} = 377.5(3.4) \text{ MHz}$ 

$$R_c(^{26m}\text{A1}) = 3.130(15) \text{ fm}$$

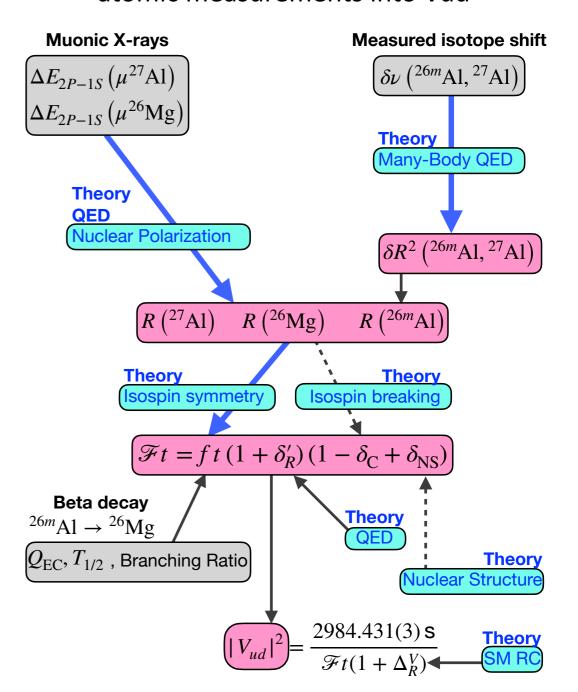
Previously guessed  $R_c(^{26m}Al) = 3.040(20) \,\mathrm{fm}$ 

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Many theory ingredients to translate atomic measurements into Vud



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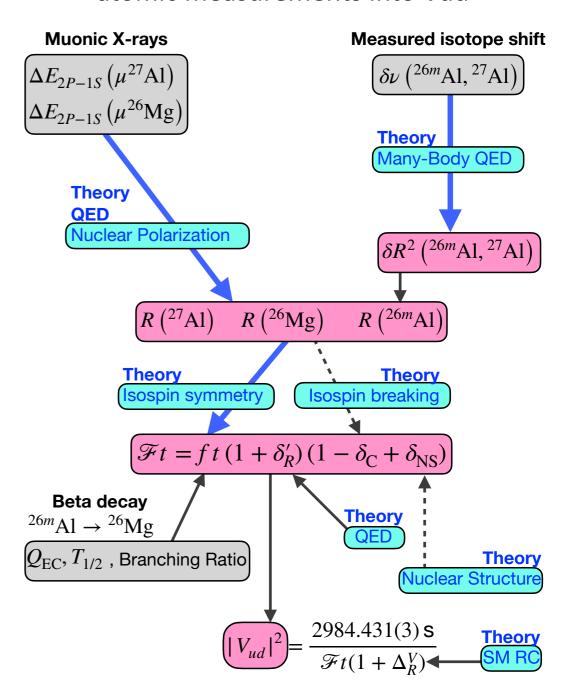
We re-examined ~ALL ingredients MG et al, arXiv: 2502.17070

Careful reevaluation of f-value (QED) isotope shift factors F, M (Many-body QED) charge radii of Al-27, Mg-26 (Nuclear theory)

Major impact on Ft value uncovered

$$\mathcal{F}t[^{26m}\text{Al} \to ^{26}\text{Mg}] = 3072.4(1.1)_{\text{stat}} \text{ s} \to 3070.0(1.2)_{\text{stat}} \text{ s}$$

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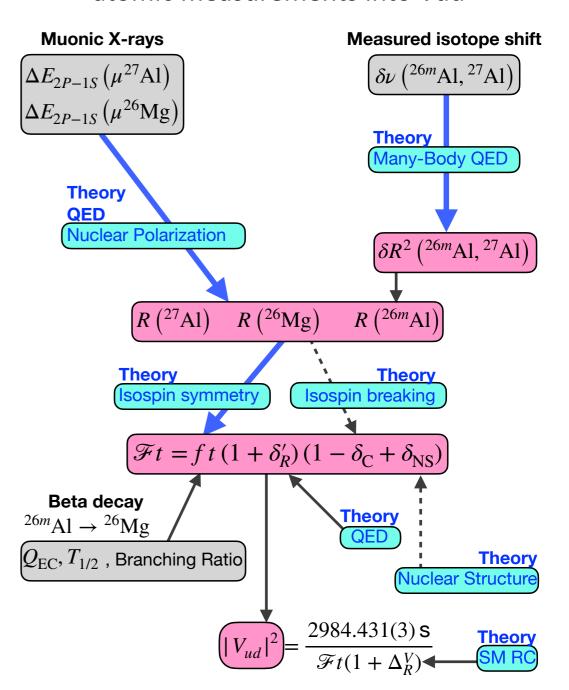
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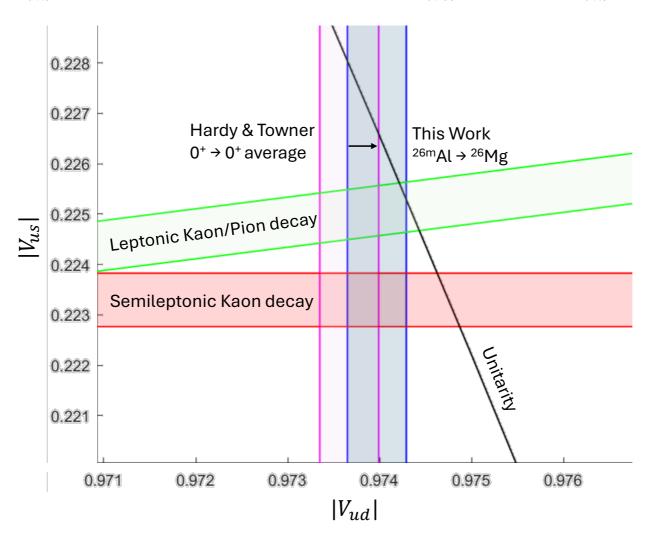


Al-26m—> Mg-26 is the most precisely measured transition —> impacts the V<sub>ud</sub> determination!

#### One radius makes a difference in BSM search!

Anno 2025: Cabibbo anomaly disappears —  $2.5\sigma$  to  $1.3\sigma$  (?)

$$|V_{ud}|^2 + |V_{us}|^2 = 0.9985(7) - |V_{ud}|^2 + |V_{us}|^2 = 0.9991(7)$$



MG et al, arXiv: 2502.17070

But: only f was revisited; need to check  $\delta_{\!N\!S}$  and  $\delta_{\!C}$ 

# Test of isospin symmetry in $T = 1, O^+$ isotriplet

Isospin symmetry was assumed—but we know that it is slightly broken! Why isospin limit is good enough for QED corrections to spectrum?

Shape factor and finite size effects are ~small corrections to Fermi function 1-2% ISB effect on top of a RC may be assumed negligible (but needs to be tested)

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ISB dominated by Coulomb repulsion between protons

Nuclear Hamiltonian:  $H = H_0 + V_{\rm ISB} \approx H_0 + V_C$ 

Miller, Schwenk 0805.0603; 0910.2790; Auerbach 0811.4742; 2101.06199; Seng, MG 2208.03037; 2304.03800; 2212.02681

Coulomb potential for uniformly charged sphere

$$V_C \approx -\frac{Ze^2}{4\pi R_C^3} \sum_{i=1}^A \left(\frac{1}{2}r_i^2 - \frac{3}{2}R_C^2\right) \left(\frac{1}{2} - \hat{T}_Z(i)\right)$$

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Miller, Schwenk 0805.0603; 0910.2790; Auerbach 0811.4742; 2101.06199; Seng, MG 2208.03037; 2304.03800; 2212.02681

Coulomb potential for uniformly charged sphere

$$V_C \approx -\frac{Ze^2}{4\pi R_C^3} \sum_{i=1}^A \left(\frac{1}{2}r_i^2 - \frac{3}{2}R_C^2\right) \left(\frac{1}{2} - \hat{T}_Z(i)\right)$$

ISB due to IV monopole, 
$$V_{\rm ISB} \approx \frac{Ze^2}{8\pi R^3} \sum_i r_i^2 \hat{T}_z(i) = \frac{Ze^2}{8\pi R^3} \hat{M}_0^{(1)}$$
 Same operator generates nuclear radii 
$$R_{p/n,\phi} = \sqrt{\frac{1}{X} \langle \phi | \sum_{i=1}^A r_i^2 \left(\frac{1}{2} \mp \hat{T}_z(i)\right) | \phi \rangle}$$

# Test of isospin symmetry in $T = 1, O^+$ isotriplet

$$\frac{0^{+}, T = 1, T_{z} = -1}{R_{\text{Ch},-1}}$$

$$\frac{0^{+}, T = 1, T_{z} = 0}{R_{\text{Ch},0}}$$

$$\frac{0^{+}, T = 1, T_{z} = 1}{R_{\text{Ch},1}}$$

In isospin limit nuclear radii in the isotriplet are not independent

$$\Delta M_B^{(1)} \equiv \frac{1}{2} \left( Z_1 R_{p,1}^2 + Z_{-1} R_{p,-1}^2 \right) - Z_0 R_{p,0}^2 = 0$$
 if isospin symmetry exact

Test requires that all 3 nuclear radii in the isotriplet are known; Currently only the case for A=38 system

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Test requires that all 3 nuclear radii in the isotriplet are known; Currently only the case for A=38 system

26	$^{26}_{14}{ m Si}$	$^{26m}_{13}$ Al: $3.130(15)^f$	$^{26}_{12}$ Mg: $3.0337(18)^a$	4.11(15)
30	$^{30}_{16}{ m S}$	$^{30}_{15}P(ex)$	$^{30}_{14}$ Si: $3.1336(40)^a$	N/A
34	$^{34}_{18}$ Ar: $3.3654(40)^a$	$^{34}_{17}{ m Cl}$	$^{34}_{16}$ S: $3.2847(21)^a$	3.954(68)
38	$^{38}_{20}$ Ca: $3.467(1)^c$	$^{38m}_{19}$ K: $3.437(4)^d$	$^{38}_{18}$ Ar: $3.4028(19)^a$	3.999(35)
42	$^{42}_{22}{ m Ti}$	$^{42}_{21}$ Sc: $3.5702(238)^a$	$^{42}_{20}$ Ca: $3.5081(21)^a$	4.64(39)
16	46 Cr	$46 \sqrt{f}$	$46 \text{Ti} \cdot 3.6070(22)^a$	N/Δ

$$\frac{1}{2} \left( 20 \times 3.467(1)^2 + 18 \times 3.4028(19)^2 \right) - 19 \times 3.437(4)^2 = -0.00(12)(14)(52)$$

Improvement of K-38m radius necessary! (Plans at TRIUMF on IS K-38m, K-37?)

## Data-driven approach to ISB

ISB dominated by Coulomb repulsion between protons

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Same operator generates nuclear radii

$$R_{p/n,\phi} = \sqrt{\frac{1}{X} \langle \phi | \sum_{i=1}^{A} r_i^2 \left( \frac{1}{2} \mp \hat{T}_z(i) \right) | \phi \rangle}$$

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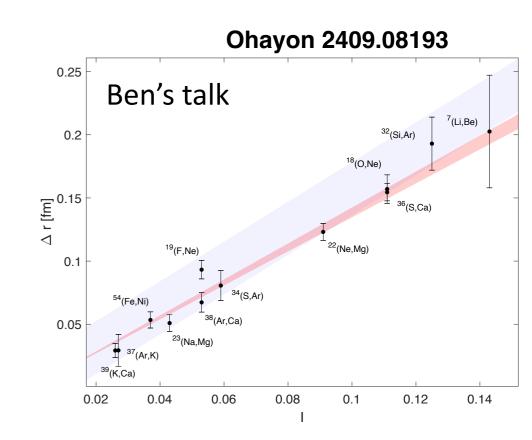
$$R_{p/n,\phi} = \sqrt{\frac{1}{X}} \langle \phi | \sum_{i=1}^{A} r_i^2 \left( \frac{1}{2} \mp \hat{T}_z(i) \right) | \phi \rangle$$

Superallowed isotriplets contain mirrors Use info about radii of other mirror nuclei

$$\Delta_I = r_{N,Z}(I) - r_{Z,N}(I) = 1.382(34) \times I \text{ fm}$$

$$I = (N - Z)/A$$

Agrees with ab-initio nuclear theory (Novario, 2111.12775) but more precise



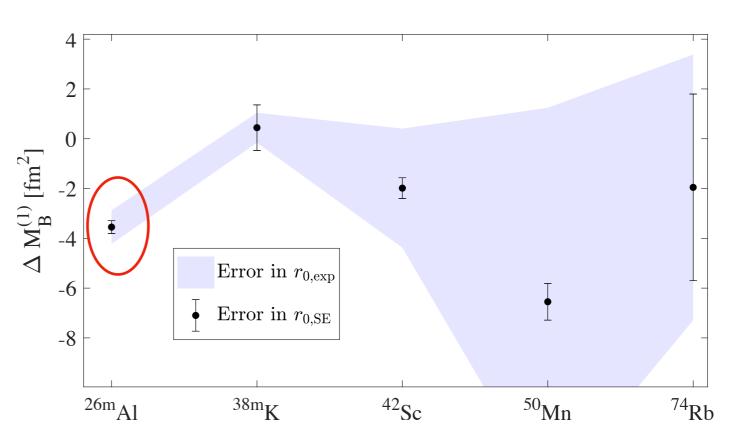
# Test of isospin symmetry using mirror fit

Fill in missing entries using mirror fit

$$r_{-1}^2 - r_{+1}^2 = \Delta_I (2r_{+1} + \Delta_I)$$
$$r_{0,SE}^2 = r_{+1}^2 + \frac{Z_{-1}}{2Z_0} \Delta_I (2r_{+1} + \Delta_I)$$

	$r_{-1}$ fm	$r_{0,\mathrm{SI}}$	$_{\mathbb{Z}}$ $\mathrm{fm}$	$r_{0,\mathrm{exp}} \; \mathrm{fm}$	$r_{+1}$	$_{ m l}$ fm	$\Delta M_B^{(1)} \; \mathrm{fm}^2$	$r_{\mathrm{CW}}^2 \; \mathrm{fm}^2$	Ref. [38]
$_{6}^{10}{ m C}$	2.638(36)	$_{5}^{10}\mathrm{B}^{*}$	2.531(38)		$_4^{10}{ m Be}$	2.361(36)		9.72(25)	N/A
$_{8}^{14}{\rm O}$	2.706(11)	$_{7}^{14}\mathrm{N*}$	2.623(10)		$_{6}^{14}{ m C}$	2.508(09)		10.41(12)	N/A
$^{18}_{10}{ m Ne}$	2.934(09)	$_{9}^{18}\mathrm{F*}$	2.863(07)		$_{8}^{18}{ m O}$	2.777(07)		12.08(12)	13.4(5)
$_{12}^{22}\mathrm{Mg}$	3.071(05)	$^{22}_{11}$ Na*	3.017(05)		$_{10}^{22}{ m Ne}$	2.948(04)		13.24(12)	12.9(7)
$^{26}_{14}{ m Si}$	3.137(04)	$^{26m}_{13}{ m Al}$	3.088(04)	3.132(08)	$_{12}^{26}{ m Mg}$	3.030(03)	-3.5(0.7)	13.77(12)	N/A
$^{30}_{16}{ m S}$	3.224(07)	$^{30}_{15}\mathrm{P}^{*}$	3.181(06)		$^{30}_{14}{ m Si}$	3.132(06)		14.50(13)	N/A
$^{34}_{18}{ m Ar}$	3.365(11)	$^{34}_{17}{ m Cl}$	3.328(04)		$_{16}^{34}{ m S}$	3.284(04)		15.66(13)	15.6(5)
$_{20}^{38}\mathrm{Ca}$	3.469(04)	$_{19}^{38m}{ m K}$	3.440(07)	3.437(05)	$^{38}_{18}{ m Ar}$	3.402(06)	0.6(1.1)	16.58(13)	16.0(3)
$^{42}_{22}{ m Ti}$	3.576(05)	$^{42}_{21}\mathrm{Sc}$	3.545(04)	3.558(16)	$_{20}^{42}\mathrm{Ca}$	3.510(04)	-2.0(2.4)	17.46(13)	21.5(3.6)
$^{46}_{24}\mathrm{Cr}$	3.670(05)	$^{46}_{23}{ m V}$	3.642(05)		$^{46}_{22}\mathrm{Ti}$	3.610(04)		18.29(14)	N/A
$_{26}^{50}{ m Fe}$	3.719(04)	$^{50}_{25}\mathrm{Mn}$	3.693(04)	3.728(41)	$_{24}^{50}\mathrm{Cr}$	3.664(04)	-6.6(7.8)	18.73(14)	23.2(3.8)
$^{54}_{28}\mathrm{Ni}$	3.741(05)	$_{27}^{54}\mathrm{Co}$	3.715(04)		$_{26}^{54}{ m Fe}$	3.688(04)		18.93(14)	18.3(9)
$_{30}^{58}{ m Zn}$	3.820(03)	$_{29}^{58}\mathrm{Cu}^{*}$	3.797(03)		$^{58}_{28}\mathrm{Ni}$	3.773(03)		19.66(14)	N/A
$_{32}^{62}{ m Ge}$	3.927(06)	$_{31}^{62}\mathrm{Ga}$	3.906(06)		$^{62}_{30}\mathrm{Zn}$	3.883(06)		20.65(15)	N/A
$^{74}_{38}\mathrm{Sr}$	4.205(12)	$_{37}^{74}\mathrm{Rb}$	4.187(12)	4.194(17)	$^{74}_{36}\mathrm{Kr}$	4.168(12)	-1.9(6.5)	23.32(19)	19.5(5.5)

At present can test 5 isotriplets
A=26 shows significant ISB (??)
Others consistent with 0 within errors



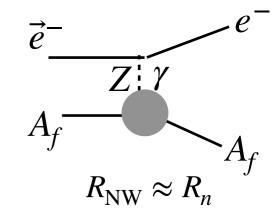
#### Closing in on ISB: neutron radii from PVES

Another ISB combination involves neutron radius vs proton radius of the mirror companion

$$\Delta M_A^{(1)} = \frac{N_1}{2} \left[ R_{n,1}^2 - R_{p,-1}^2 \right]$$

Neutron radius of stable daughter: from parity-violating e-scattering

$$A^{PV} = -\frac{G_F Q^2}{4\sqrt{2}\pi\alpha} \frac{Q_W}{Z} \frac{F_{NW}(Q^2)}{F_{Ch}(Q^2)} \qquad \frac{\vec{e}}{Z} \frac{\vec{Q}_W}{F_{NW}(Q^2)} \frac{\vec{e}}{A_f}$$



Z-boson couples to neutrons, photon - to protons; PV asymmetry at low Q<sup>2</sup> sensitive to the difference  $\langle r_{n,1}^2 \rangle - \langle r_{p,1}^2 \rangle$  - neutron skin

Cadeddu et al, 2407.09743: feasibility study for PVES on  $^{12}$ C at Mainz 3-5% PV asymmetry at backward angles -> **0.3-0.5%**  $R_n$  **extraction possible** PVES on stable superallowed daughters (Mg-26, Fe-54, ...) + mirror fit — test of ISB!

3-fold cross check:  $R_{p,-1}$  from  $R_{n,1}$  (i) mirror fit (ii) and IS measurement (iii)

# Reference radii from muonic atoms: Nuclear Polarization

Gorchtein 2501.15274

See also talks by Saori, Natalia, Sonia, Mehdi, Vadim, Chen Ji, ...

#### Nuclear Charge Radii from µ atoms

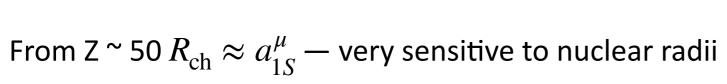
Lepton feels pointlike Coulomb potential far outside the nucleus

Finite size effects modify this potential in the vicinity of the nucleus

Interplay between atomic and nuclear radii

$$a_{1S}^{eA} = (Z\alpha m_{er})^{-1} \approx 500\,000\,\mathrm{fm}\,Z^{-1}$$

$$\bigvee$$
 $a_{1S}^{\mu A} = (Z\alpha m_{\mu r})^{-1} \approx 250\,\mathrm{fm}\,Z^{-1}$ 
 $R_{\mathrm{ch}} \approx 1.1\,\mathrm{fm} \times A^{1/3}$ 



$$\Delta E_{1S} \propto Z\alpha m_r (R_{\rm ch}/a_{1S}^{\mu})^2$$

For precision: include higher-order corrections (QED + nuclear structure)

QED: numerical solutions of Dirac/Schroedinger radial equations, or analytical  $Z\alpha$ -expansion

#### In presence of nuclear polarization

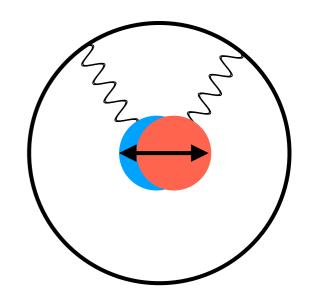
Muon may induce polarization of the nucleus

Structure constant  $\alpha_{E1}$  —> electric dipole polarizability

Charges inside nucleus are displaced against each other

 $\alpha_{E1}$  has dimension of volume

$$\Delta E_{1S} \propto -Z\alpha m_r \alpha_{E1}/(a_{1S}^{\mu})^3$$



Empirical scaling (giant dipole resonance)  $\alpha_{E1} \approx 0.00225 A^{5/3} \, \mathrm{fm}^3$ 

Effectively shifts the extracted radius by

$$\frac{\delta R_{\rm ch}}{R_{\rm ch}} \propto \frac{\alpha_{E1}}{2R_{\rm ch}^2 a_{1S}^{\mu}} \propto \frac{Z\alpha m_r 0.00225 \,\text{fm}^3 \times A^{5/3}}{2 \times (1.1 \,\text{fm} \times A^{1/3})^2} \sim 3.6 ZA \times 10^{-6}$$

Typical precision  $\delta R/R \sim 10^{-4}$  —> precision requirement on NP  $10^4 \frac{\delta R_{\rm ch}}{R_{\rm ch}} \sim 7 \frac{Z}{10} \frac{A}{20}$ 

Accuracy of calculated NP reflects directly in the precision of nuclear radii (not via this formula)

#### Nuclear polarization - basics

2nd order PT 
$$\Delta E_p = \sum_{N \neq 0} \langle 0' | \Delta H_c | N \rangle \left[ \sum_{n} \frac{|n\rangle \langle n|}{\epsilon_0 - \epsilon_n - \omega_N} \right] \langle N | \Delta H_c | 0' \rangle$$

Ericson, Hüfner for the second order PT  $\Delta E_p = \sum_{N \neq 0} \langle 0' | \Delta H_c | N \rangle \left[ \sum_{n} \frac{|n\rangle \langle n|}{\epsilon_0 - \epsilon_n - \omega_N} \right] \langle N | \Delta H_c | 0' \rangle$ 

Ericson, Hüfner 1972 Friar 1977

Perturbation: transition induced by Coulomb interaction

$$\Delta H_c(\vec{\mathbf{r}}) = -\alpha \int \frac{d^3 \vec{\mathbf{r}}_N}{|\vec{\mathbf{r}} - \vec{\mathbf{r}}_N|} \hat{\rho}(\vec{\mathbf{r}}_N)$$

First approximation:

nucleus much smaller than atom

nuclear energy splittings much larger than atomic energy

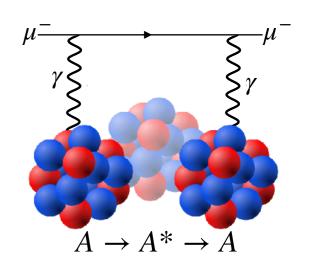
$$\left[\sum_{n}\frac{|n\rangle\langle n|}{\epsilon_{0}-\epsilon_{n}-\omega_{N}}\right]$$

Npol-induced potential -  $\delta$ -function at origin; relativistic treatment of nuclear system

Start with leading-order result:

$$\Delta E_{n\ell} = \frac{8\alpha^2 m}{i\pi} \phi_{n\ell}(0) \left|^2 \int d^4 q \frac{(q^2 - \nu^2)T_2 - (q^2 + 2\nu^2)T_1}{q^4(q^4 - 4m^2\nu^2)} \right|^2$$

Bernabeu-Jarlskog 1974; Rosenfelder 1983



#### Nuclear polarization - basics

Im parts of forward Compton amplitudes

~ photoabsorption data

Im 
$$T_1(\nu, q^2) = \frac{1}{4M} F_1(\nu, q^2)$$

Real photoabsorption data:

Nuclear range  $\nu < 140 \, \mathrm{MeV}$ 

Hadronic range  $\nu \ge 140\,\mathrm{MeV}$ 

Nonrelativistic Migdal sum rule

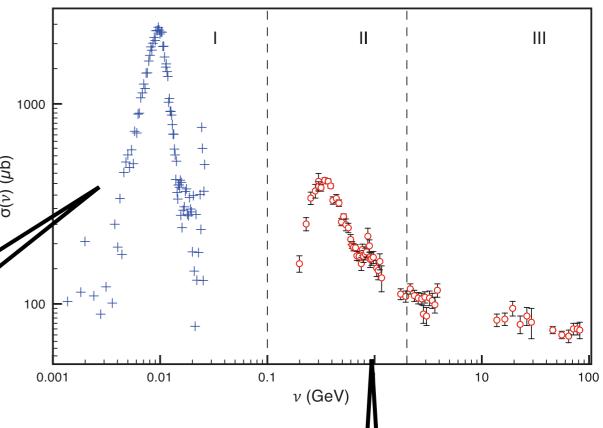
$$\alpha_{E1} = \frac{1}{2\pi^2} \int_{\text{thr}}^{\nu_{mas}} \frac{d\nu}{\nu^2} \sigma_{\gamma}(\nu)$$

Total photoabsorption data in hadronic range: scales as ~A

Nuclear polarizability scales as A<sup>5/3</sup>

$$\Delta E_{n\ell} = \frac{8\alpha^2 m}{i\pi} \phi_{n\ell}(0) \left|^2 \int d^4 q \frac{(q^2 - \nu^2) T_2 - (q^2 + 2\nu^2) T_1}{q^4 (q^4 - 4m^2 \nu^2)} \right|$$

Im 
$$T_2(\nu, q^2) = \frac{1}{4\nu} F_2(\nu, q^2)$$



Baldin sum rule (relativistic)

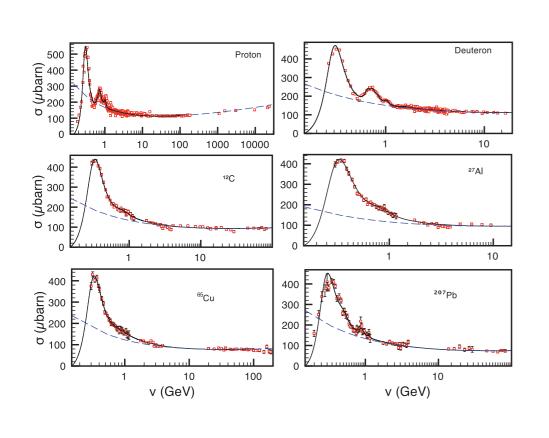
$$\alpha_E + \beta_M = \frac{1}{2\pi^2} \int_{\text{thr}}^{\infty} \frac{d\nu}{\nu^2} \sigma_{\gamma}(\nu)$$

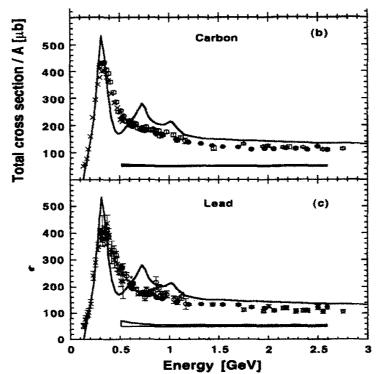
#### A-scaling of total photoabsorption in hadronic range

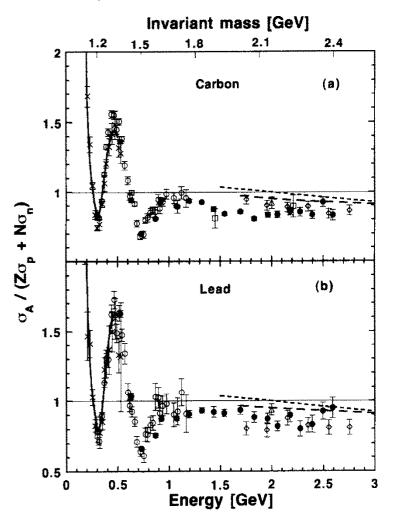
Fit to nuclear photoabsorption — CS per nucleon MG et al, 1110.5982

Total hadronic photoabsorption on carbon and lead in the shadowing threshold region

M. Mirazita<sup>a</sup>, H. Avakian<sup>a</sup>, N. Bianchi<sup>a,\*</sup>, A. Deppman<sup>a</sup>, E. De Sanctis<sup>a</sup>, V. Gyurjyan<sup>a</sup>, V. Muccifora<sup>a</sup>, E. Polli<sup>a</sup>, P. Rossi<sup>a</sup>, R. Burgwinkel<sup>b</sup>, J. Hannappel<sup>b</sup>, F. Klein<sup>b</sup>, D. Menze<sup>b</sup>, W. Schwille<sup>b</sup>, F. Wehnes<sup>b</sup>







Oscillating around  $A_{eff} = A$  in resonance region;

Shadowing (A<sub>eff</sub> < A) at high energies

For  $\mu$ -atoms:  $\bar{\nu} = \sigma_{-1}/\sigma_{-2} \sim 500 \, \mathrm{MeV}$ 

#### A-scaling of total photoabsorption in nuclear range

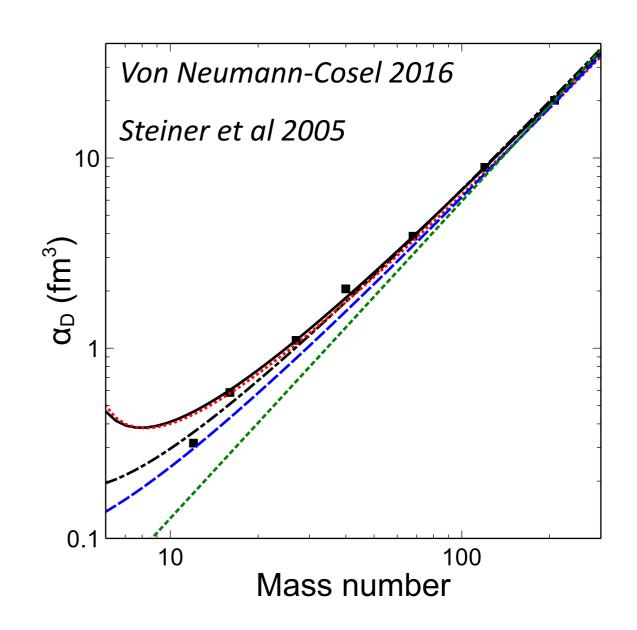
Dipole polarizability: external input (nuclear theory or data)

Fit from oxygen to lead

$$\alpha_{E1} = \frac{0.0518 \,\text{MeV fm}^3 A^2}{S_v(A^{1/3} - \kappa)}$$
 $S_v = 27.3(8) \,\text{MeV and } \kappa = 1.69(6)$ 

Some lighter nuclei: data (Ahrens et al, 1974)

	Ê (MeV)	$\sum_{-2} (mb/MeV)$	±(%)
Li	100	0.196	1.1
	140	0.197	1.1
	210	0.198	1.1
Be	100	0.192	2.5
	140	0.194	2.5
	210	0.195	2.5
C	100	0.313	1.7
	140	0.316	1.7



#### Nuclear polarization - leading order

Loop integral is evaluated in two different ways for nuclear and hadronic parts —> nuclear polarization (NP) and nucleon polarization (nP)

Hadronic: exact relativistic expression; direct use of real and virtual photoabsorption data

Evaluated on H, He isotopes — use the A-scaling of cross section to extrapolate to arbitrary A

$$\left[\Delta E_{2S}^{\text{hadr}}\right]_{\mu D} = -28(2)\,\mu\text{eV} \longrightarrow \left[\Delta E_{nS}^{\text{nP}}\right]_{\mu A} = -28(2)\,\mu\text{eV}\frac{|\phi_{nS}^{\mu A}(0)|^2}{|\phi_{2S}^{\mu D}(0)|^2}\frac{A}{2}$$

Carlson, Vanderhaeghen 2011; Carlson, MG, Vanderhaeghen 2013, 2016; ...

Nuclear shadowing (A<sub>eff</sub> < A) concentrated at high energies, ~does not affect Npol

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Nuclear shadowing (A<sub>eff</sub> < A) concentrated at high energies, ~does not affect Npol

Nuclear: keep dominant longitudinal response

$$\Delta E_{nS}^{NP} = -8\alpha^2 |\phi_{nS}(0)|^2 \int_0^\infty \frac{d\mathbf{q}}{\mathbf{q}^2} \int_0^\infty \frac{d\nu S_L(\nu, \mathbf{q})}{\nu + \mathbf{q}^2/2m}$$

$$S_L(\nu, \mathbf{q}) = \mathbf{q}^2 \frac{\sigma_{\gamma}(\nu)}{4\pi^2 \alpha \nu} F^2(\mathbf{q})$$
$$\alpha_{E1} = \frac{1}{2\pi^2} \int_{-1}^{\nu_{mas}} \frac{d\nu}{\nu^2} \sigma_{\gamma}(\nu)$$

Leading-order nuclear polarization

$$\Delta E_{nS}^{\text{NP}} = -2\pi\alpha |\phi_{nS}(0)|^2 \alpha_{E1} \sqrt{2m\bar{\nu}} \, e^{\beta^2(\bar{\nu})} \text{Erfc}(\beta(\bar{\nu}))$$

E.g., Rosenfelder 1983

Approximation scheme:

define small parameters

$$\epsilon_1 = Z\alpha m_r R_{\rm ch} = R_{\rm ch}/a_{1S}^{\mu}$$

$$\epsilon_2 = (Z\alpha)^2 \frac{m_r}{2\nu_N} = \left| \frac{E_{1S}}{E_{\mu}^{\rm Nucl. Exc.}} \right|$$

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Corrections in 
$$\epsilon_1$$
: variation of atomic WF over nucleus volume 
$$F_R = \int_0^\infty r^2 dr e^{-2Z\alpha m_r r} \rho_{\rm Nuc}(r)$$

Approximation scheme:

define small parameters

$$\epsilon_1 = Z\alpha m_r R_{\rm ch} = R_{\rm ch}/a_{1S}^{\mu}$$

$$\epsilon_2 = (Z\alpha)^2 \frac{m_r}{2\nu_N} = \left| \frac{E_{1S}}{E_{\mu}^{\text{Nucl. Exc.}}} \right|$$

Corrections in  $\boldsymbol{\epsilon}_1$  : variation of atomic WF over nucleus volume

$$F_R = \int_0^\infty r^2 dr e^{-2Z\alpha m_r r} \rho_{\text{Nuc}}(r)$$

Corrections in  $\epsilon_2$ : keep Coulomb energy in the Green's function

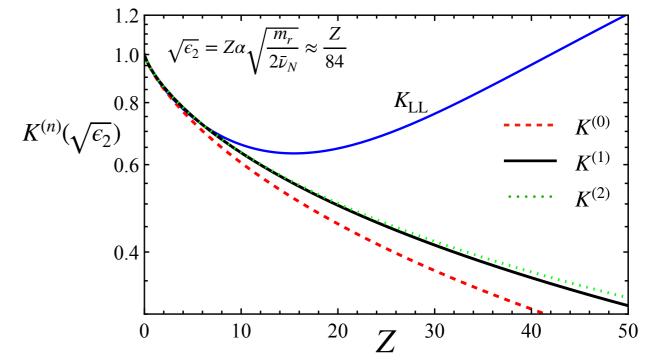
$$\left[\sum_{n}\frac{|n\rangle\langle n|}{(\epsilon_{0}-\epsilon_{n})-\omega_{N}}\right]$$

Obtained via radial integral with Coulomb GF and atomic WF

$$K = -\sqrt{\frac{\nu_N}{2m_r}} \int_{0}^{\infty} dr \int_{0}^{\infty} dr' \phi_{nS}(r) \frac{g_1(-\nu_N, r, r')}{rr'} \phi_{nS}(r')$$

\*New closed-form expressions for CD correction

Until now taken in leading-log approximation from Friar 1977



Final expression: leading-order + corrections

$$\Delta E_{nS}^{\text{TOT}} = \Delta E_{nS}^{\text{NP}} F_R(\epsilon_1) K^{(1)}(\sqrt{\epsilon_2})$$

$$+ \Delta E_{nS}^{\text{nP}} F_R(\epsilon_1) K^{(1)}(\sqrt{\epsilon_2^n}), \qquad \epsilon_2^n = (Z\alpha)^2 m_r / 2\nu_n$$

$$\nu_n \approx 500 \text{ MeV}$$

All ingredients have simple parametrization in terms of few input parameters

Easy to use and reproduce! Evaluate and compare to entries in Fricke, Heilig (used to extract radii)

Final expression: leading-order + corrections

$$\Delta E_{nS}^{\text{TOT}} = \Delta E_{nS}^{\text{NP}} F_R(\epsilon_1) K^{(1)}(\sqrt{\epsilon_2})$$

$$+ \Delta E_{nS}^{\text{nP}} F_R(\epsilon_1) K^{(1)}(\sqrt{\epsilon_2^n}), \qquad \epsilon_2^n = (Z\alpha)^2 m_r / 2\nu_n$$

$$\nu_n \approx 500 \text{ MeV}$$

All ingredients have simple parametrization in terms of few input parameters

Easy to use and reproduce! Evaluate and compare to entries in Fricke, Heilig (used to extract radii)

Rinker, Speth 1978:

$$\Delta E_{a} = \frac{\alpha^{2}B^{2}k^{2}Z}{2M} \langle r^{2k-2} \rangle \left[ \frac{Z}{A} \langle E_{N}^{(b)} - E_{N}^{(a)} \rangle_{\tau=0}^{-2} + \frac{N}{A} \langle E_{N}^{(b)} - E_{N}^{(a)} \rangle_{\tau=1}^{-2} \right]$$

Energy-weighted (TRK) sum rule to normalize

Polarizability ~ inverse energy sum rule —> enhanced sensitivity to low-lying states (PDR)

Long-range part of the induced dipole potential  $\sim lpha_{E1}/r^4$  taken between atomic WF

Already noted in Ericson, Hüfner 1972

Results, Uncertainties, Comparisons

Predictions for Npol for  $3 \le Z \le 41$  — not the final answer (which is 42)

#### **Uncertainties:**

Polarizability 10%;  $F_R$  (Gauss vs hard sphere), Coulomb distortion (higher orders in  $\epsilon_2$ )

If a "better" dipole polarizability at hand — simply rescale the NP contribution

Z-Element	A	$\alpha_{E1}  (\mathrm{fm}^3)$	$-\Delta E_{1S}^{NP}$	$-\Delta E_{1S}^{nP}$	Total NP	Entry in [7]	$\sigma_{ m exp}$
4-Be	9	$0.192(19)^a$	0.44(4)(0)(0)	0.063(6)(0)(0)	0.50(4)	1.0(3)	10
5-B	10	$0.230(23)^{a*}$	0.99(10)(0)(1)	0.13(1)(0)(0)	1.12(10)	1.0(3)	7
6-C	12	$0.313(31)^a$	2.1(2)(0)(0)	0.27(3)(0)(0)	2.4(2)	2.5(7)	0.5
7-N	14	$0.405(40)^{a*}$	3.8(4)(0)(1)	0.48(5)(0)(0)	4.3(4)	3.0(9)	5
8-O	16	$0.580(58)^a$	7.8(0.8)(0.1)(0.1)	0.79(8)(1)(1)	8.6(8)	5.0(1.5)	4
9-F	19	0.700(70)	11.9(1.2)(0.1)(0.2)	1.28(13)(1)(1)	13.2(1.2)	9.0(2.7)	2
10-Ne	20	0.741(74)	15.7(1.6)(0.2)(0.3)	1.78(18)(2)(1)	17.5(1.6)	19(6)	5
	21	0.783(78)	17.0(1.7)(0.2)(0.4)	1.88(19)(2)(1)	19(2)	18(5)	4
	22	0.823(82)	18.0(1.8)(0.2)(0.4)	1.98(20)(2)(1)	20(2)	18(5)	4
11-Na	23	0.870(87)	23.3(2.3)(0.3)(0.6)	2.64(26)(4)(1)	26(3)	25(8)	2
12-Mg	24	0.915(91)	30.0(3.0)(0.5)(0.8)	3.46(35)(6)(2)	33(3)	38(11)	2
	25	0.961(96)	31.3(3.1)(0.5)(0.8)	3.61(36)(6)(2)	35(3)	31(9)	3
	26	1.01(10)	32.3(3.2)(0.5)(0.9)	3.75(38)(6)(2)	36(3)	33(10)	3
13-Al	27	$1.10(11)^a$	42.2(4.2)(0.8)(1.2)	4.80(48)(9)(3)	48(5)	40(12)	2
							•

Close agreement with F&H for light elements

Should not be taken for granted: approaches are different

Nucleon polarization surprisingly large ~10% — has been neglected until now!

<i>l</i>							
Z-Element	A	$\alpha_{E1}(\mathrm{fm}^3)$	$-\Delta E_{1S}^{NP}$	$-\Delta E_{1S}^{nP}$	Total NP	Entry in [7]	$\sigma_{ m exp}$
17-Cl	35	1.47(15)	98.5(9.9)(2.9)(3.4)	11.9(1.2)(0.3)(0.1)	110(11)	-	-
	37	1.58(16)	106(11)(3)(4)	12.6(1.3)(0.4)(0.1)	119(12)	-	_
18-Ar	36	1.53(15)	116(12)(4)(4)	14(1.4)(0.4)(0.1)	130(12)	118(36)	24
	38	1.64(16)	124(12)(4)(5)	15(1.5)(0.5)(0.1)	139(14)	107(32)	24
	40	1.75(18)	132(13)(4)(5)	16(1.6)(0.5)(0.1)	148(15)	126(38)	25
19-K	39	1.70(17)	141(14)(5)(5)	18(1.8)(0.6)(0.2)	159(16)	119(36)	32
	41	1.81(18)	150(15)(5)(6)	18(1.8)(0.6)(0.2)	168(17)	132(40)	28
20-Ca	40	1.75(18)	160(16)(6)(6)	20(2.0)(0.7)(0.2)	181(18)	142(40)	25
	42	1.87(19)	170(17)(6)(7)	21(2.1)(0.8)(0.2)	191(19)	166(50)	29
	43	1.93(19)	176(18)(7)(7)	21(2.1)(0.8)(0.2)	198(20)	145(43)	27
	44	2.00(20)	180(18)(7)(7)	22(2.2)(0.8)(0.2)	203(21)	175(52)	26
	46	2.12(21)	193(19)(7)(8)	23(2.3)(0.8)(0.2)	216(22)	156(47)	107
	48	2.25(22)	206(21)(8)(8)	24(2.4)(0.9)(0.2)	230(24)	153(46)	26

Nucleon polarization from Ca on exceeds exp. precision!

Z-Element	A	$\alpha_{E1}(\mathrm{fm}^3)$	$-\Delta E_{1S}^{NP}$	$-\Delta E_{1S}^{nP}$	Total NP	Entry in [7]	$\sigma_{ m exp}$
$\overline{26}$ -Fe	54	$2.65(26)^{-}$	371(37)(21)(19)	48(5)(3)(1)	419(47)	362(109)	48
	56	2.79(28)	384(38)(22)(20)	49(5)(3)(1)	433(49)	403(121)	44
	57	2.86(29)	391(39)(22)(20)	50(5)(3)(1)	441(50)	390(117)	56
	58	2.93(29)	397(40)(23)(20)	50(5)(3)(1)	447(50)	400(120)	54
27-Co	59	3.00(30)	433(43)(26)(23)	56(6)(4)(2)	489(56)	438(131)	50
28-Ni	58	2.93(29)	459(46)(29)(25)	59(6)(4)(1)	518(60)	437(131)	46
	60	3.07(31)	467(47)(30)(25)	61(6)(4)(1)	528(61)	461(138)	45
	61	3.14(31)	476(48)(30)(26)	62(6)(4)(1)	538(63)	426(138)	54
	62	3.22(32)	484(48)(31)(26)	62(6)(4)(1)	546(64)	458(138)	45
	64	3.36(34)	502(50)(33)(27)	64(6)(4)(1)	566(66)	438(138)	49
29-Cu	63	3.29(33)	506(51)(35)(29)	68(7)(5)(1)	574(68)	538(161)	47
	65	3.44(34)	530(53)(36)(30)	70(7)(5)(1)	600(71)	489(147)	49
41-Nb	93	5.78(58)	1264(126)(156)(92)	177(18)(20)(3)	1441(223)	1127(338)	16

Agreement worse for larger Z, nP contribution important!

If disagree with other calculations, also extracted radii disagree

What do we learn from comparing two theory calculations?

If disagree — which one's right? If agree — what if both wrong?

### Nuclear polarization - how good is good enough?

Compare to more advanced calculation by Natalia et al:

NP Natalia MG

 $^{35}$ Cl  $\Delta E_{1S}$ : 104(24) eV vs. 99(11) eV

 $^{37}\text{Cl }\Delta E_{1\text{S}}$ : 100(23) eV vs. 106(12) eV

Take the simple model to the extreme:  $^{208}\mathrm{Pb}$ 

Should not work great:  $\varepsilon_1$  = 1.76 and  $\varepsilon_2$  = 1.33

NP Natalia et al 2504.19977 MG

 $^{208}$ Pb  $\Delta E_{1S}$ : 5.7(6) keV vs. 4.9(7) keV

nP (nucleon polarization) ~10%, unexpectedly large

Simple E1 polarizability approach gives the bulk of NP Fine details (magnetic, higher multipoles) important for IS — but are below uncertainty!

#### Light vs. Heavy

Anything heavier than lithium:

$$\left[\boldsymbol{\alpha} \cdot \mathbf{p} + \beta m_r + V(r)\right] \left| \psi_{n\kappa m} \right\rangle = E_{n\kappa} \left| \psi_{n\kappa m} \right\rangle$$

numerical solution of Dirac eq. with "realistic" charge distribution (e.g. 2pF, 3pF, ...)

Nuclear polarization: ~effective potential between muon Dirac (or Schrödinger) WF

But uncorrelated with bound-state QED calculation

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Light (hydrogen - lithium):  $Z\alpha$ -expansion

**Friar 1977** 

1. Analytical Schrödinger WF with point Coulomb + corrections on top

Eides-Grotch 2000

2. Npol: part of two-photon exchange elastic + inelastic

- Pachucki et al., 2212.13782
- 3. Computed systematically via  $\eta$ -expansion (slow convergence!)

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$$\delta_{\text{pol}}^{\text{NR}} = \sum_{N \neq N_0} \int d^3R \ d^3R' \rho_N^p(\mathbf{R}) W(\mathbf{R}, \mathbf{R}', \omega_N) \rho_N^p(\mathbf{R}')$$

Hernandez et al, 1909.05717

$$W(\mathbf{R}, \mathbf{R}', \omega_N) = -Z^2 |\phi_{\mu}(0)|^2 \int \frac{d^3q}{(2\pi)^3} \left(\frac{4\pi\alpha}{q^2}\right)^2 (1 - e^{i\mathbf{q}\cdot\mathbf{R}}) \frac{1}{\frac{q^2}{2m_r} + \omega_N} \left(1 - e^{-i\mathbf{q}\cdot\mathbf{R}'}\right)$$

$$= -\frac{\pi}{m_r^2} (Z\alpha)^2 |\phi_{\mu}(0)|^2 \left(\frac{2m_r}{\omega_N}\right)^{3/2} \frac{1}{n} \left(e^{-\eta} - 1 + \eta - \frac{1}{2}\eta^2\right)$$

$$\eta = \sqrt{2m_r\omega} |\overrightarrow{R} - \overrightarrow{R}'|$$

Point-Coulomb extracted at step 1, has to be subtracted — cancellations inherent to the method

# Example of cancellation: $\mu^{6,7} Li^{2+}$

 $\delta_{Zem}^{A}$  — elastic piece

 $\delta^A_{pol}$  — inelastic piece

Alarming 95% cancellation!

Maybe OK because 100% correlated

Intrinsic approximations may destroy

this correlation — dangerous!

Compare with my simple model

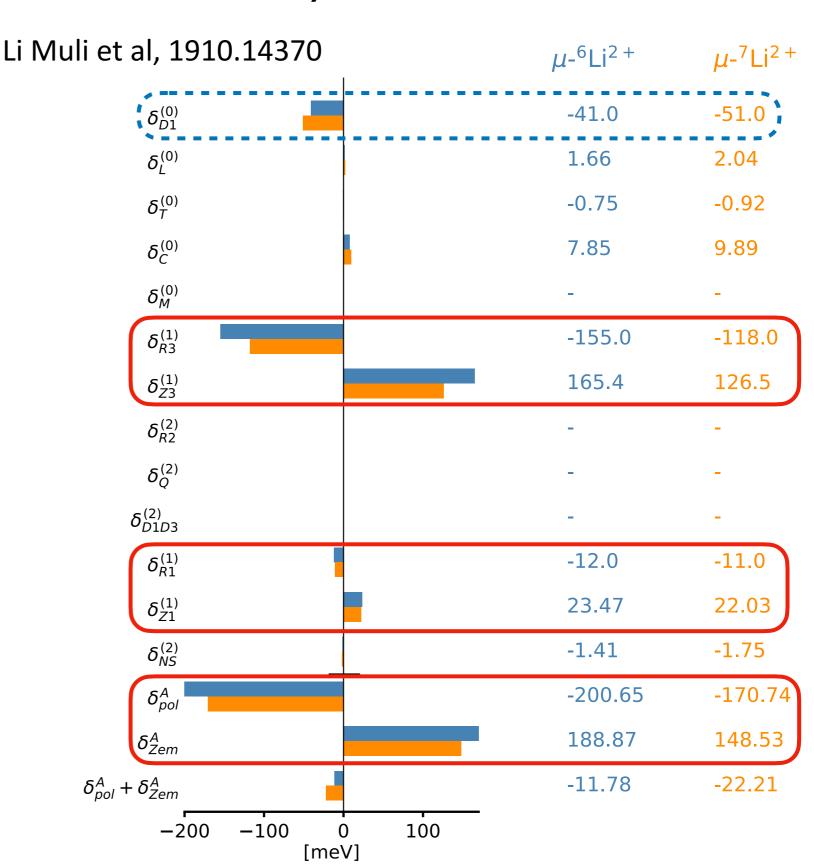
$$\mu^{6}\text{Li}^{2+}$$
  $\mu^{7}\text{Li}^{2+}$ 

 $\Delta E_{2P-2S}^{NP} = 23(2) \text{ meV}$  24(2) meV

Factor 2 off for Li-6, OK for Li-7

Relativistic effects expected to matter

for lightest systems



### Conclusions & Outlook

Presumable quote by Wolfgang Pauli:

Nothing is worse than a wrong theory describing data

But:

How about agreement between two wrong calculations?

- Nuclear charge radii: crucial input to SM tests and BSM searches at low energies
- Cabibbo (CKM) unitarity and V<sub>ud</sub>: nuclear corrections current bottleneck use R<sub>ch</sub> as input
- Nuclear polarization crucial to extraction of R<sub>ch</sub> from atomic transitions
- Are uncertainties of NP firmly under control?
- ullet NP is related to dispersion corrections in e-scattering and to NS correction in eta-decay
- Look for a uniform treatment of all of these
- Ab-initio methods are hot right now: (potentially) very accurate and systematically improvable are not easy to understand and are very expensive computationally;
   viable recipe for nuclear radii tables? no single ab-initio method covers full nuclear chart
- Generally, μ atoms difficult: nuclear and atomic scales are not well separated;
   full-blown ab-initio nuclear calculation per se is not enough to guarantee precision
- Methods used in light and heavy μ-atoms are different should be reconciled