

# In-Medium SRG for Deformed Nuclei and Other New Developments

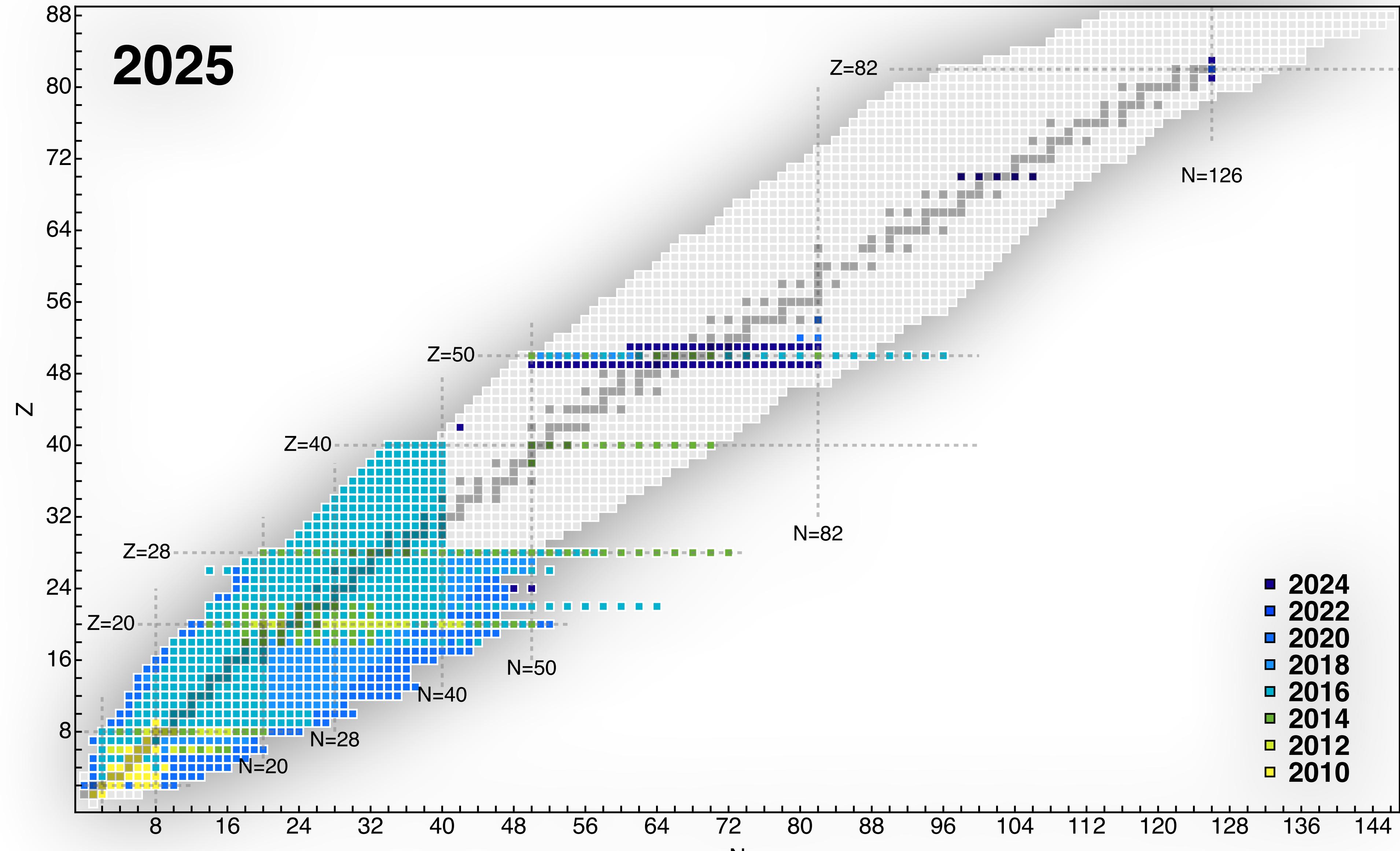
Heiko Hergert  
Facility for Rare Isotope Beams  
& Department of Physics and Astronomy  
Michigan State University



# Progress in *Ab Initio* Calculations



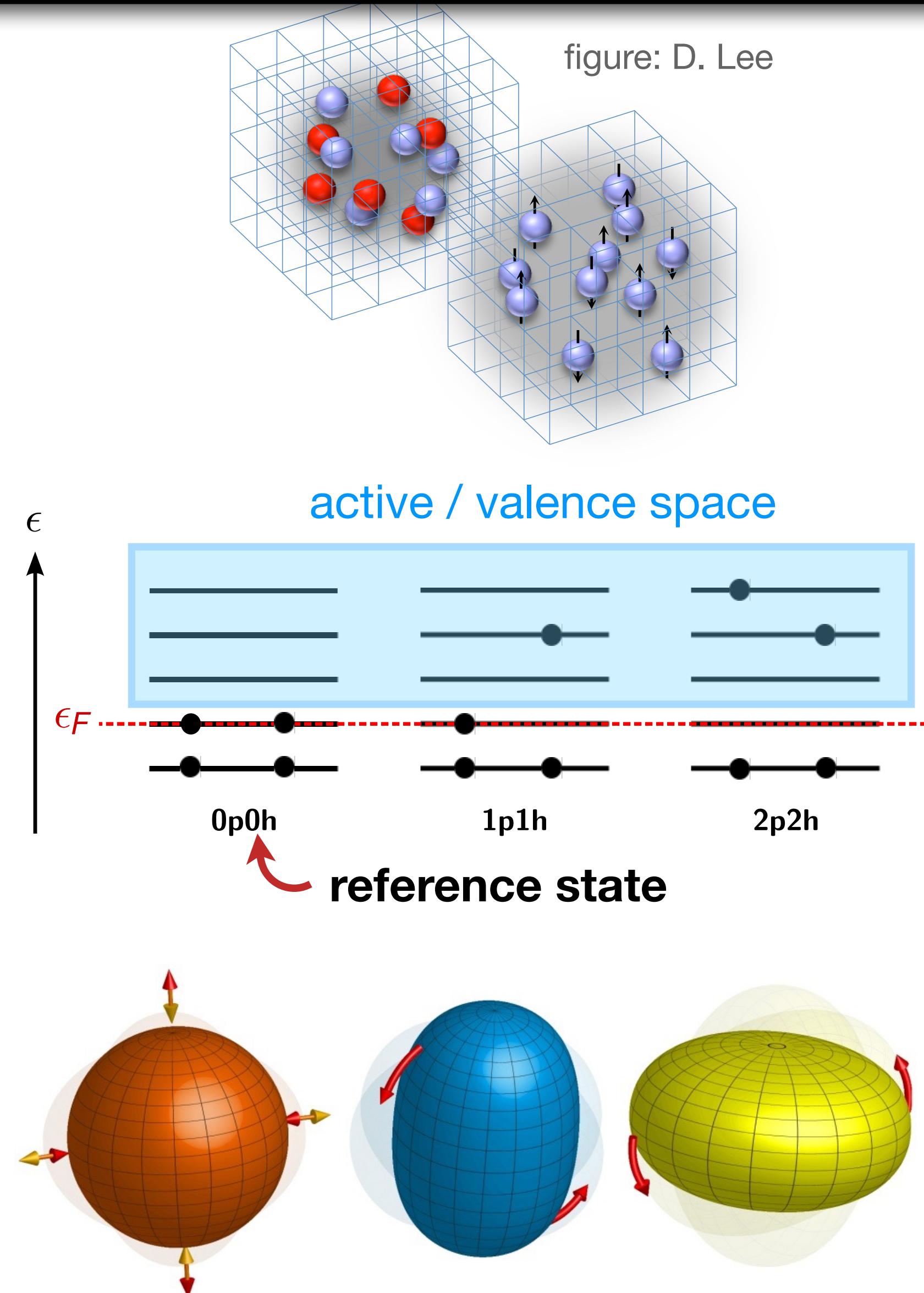
[ cf. HH, *Front. Phys.* **8**, 379 (2020) ]



# Many-Body Methods: Paradigms



- **Coordinate Space**
  - Quantum Monte Carlo
  - Lattice EFT
- **Configuration Space: Particle-Hole Expansions**
  - Many-Body Perturbation Theory (MBPT)
  - (No-Core) Configuration Interaction (aka Shell Model, (NC)SM)
  - Coupled Cluster (CC)
  - In-Medium Similarity Renormalization Group (IMSRG)
  - Self-Consistent Green's Functions (SCGF / ADC)
- **Configuration Space / Coordinate Space: Geometric Expansions**
  - deformed HF(B) + projection
  - projected Generator Coordinate Method (PGCM)
  - symmetry-adapted NCSM



# Many-Body Methods: Paradigms



- Coordinate Space
  - Quantum Monte Carlo



figure: D. Lee

## Recent(-ish) Reviews:

HH, Front. Phys. **8**, 379 (2020)

S. Gandolfi, D. Lonardoni, A. Lovato and M. Piarulli, Front. Phys. **8**, 117 (2020)

D. Lee, Front. Phys. **8**, 174 (2020)

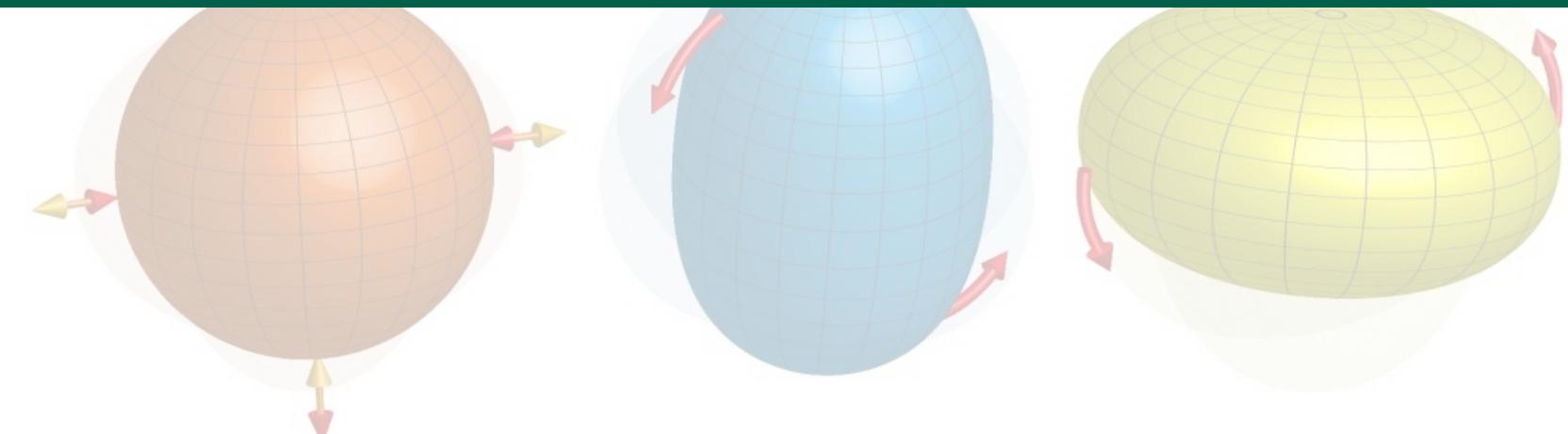
V. Somà, Front. Phys. **8**, 340 (2020)

## also see

“What is *ab initio* in nuclear theory?”, A. Ekström, C. Forssén, G. Hagen, G. R. Jansen, W. Jiang, T. Papenbrock, Front. Phys. **11**, 1129094 (2023)

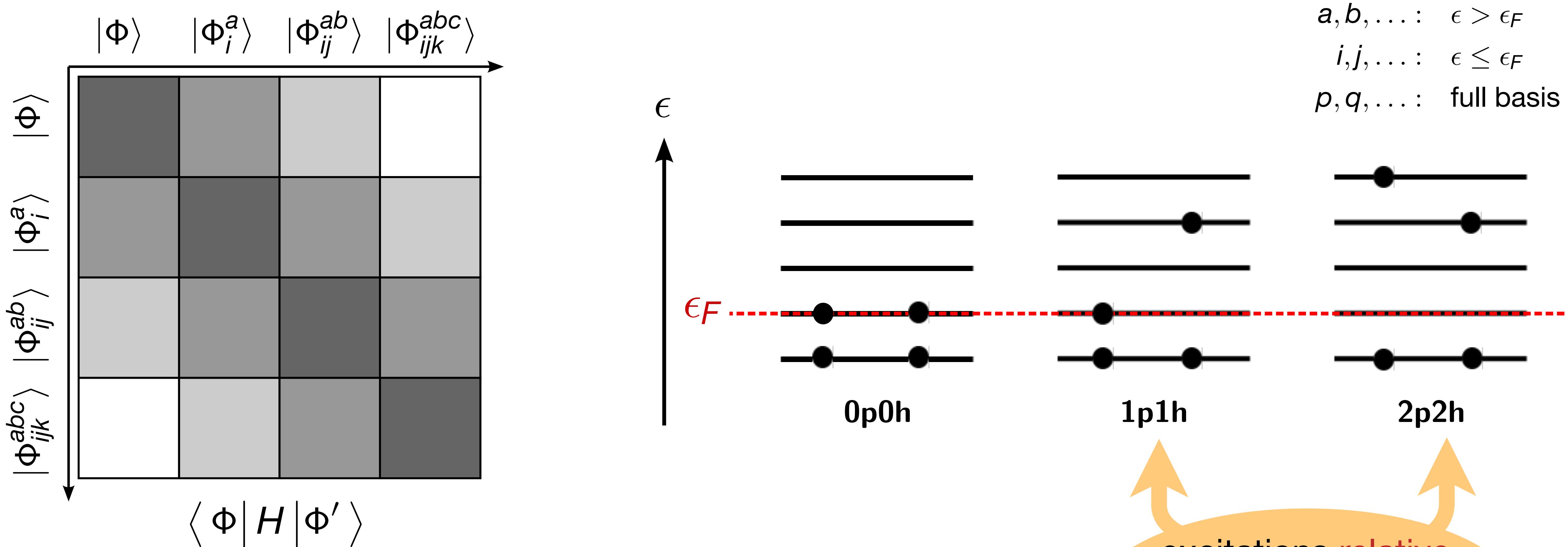
## Expansions

- deformed HF(B) + projection
- projected Generator Coordinate Method (PGCM)
- symmetry-adapted NCSM



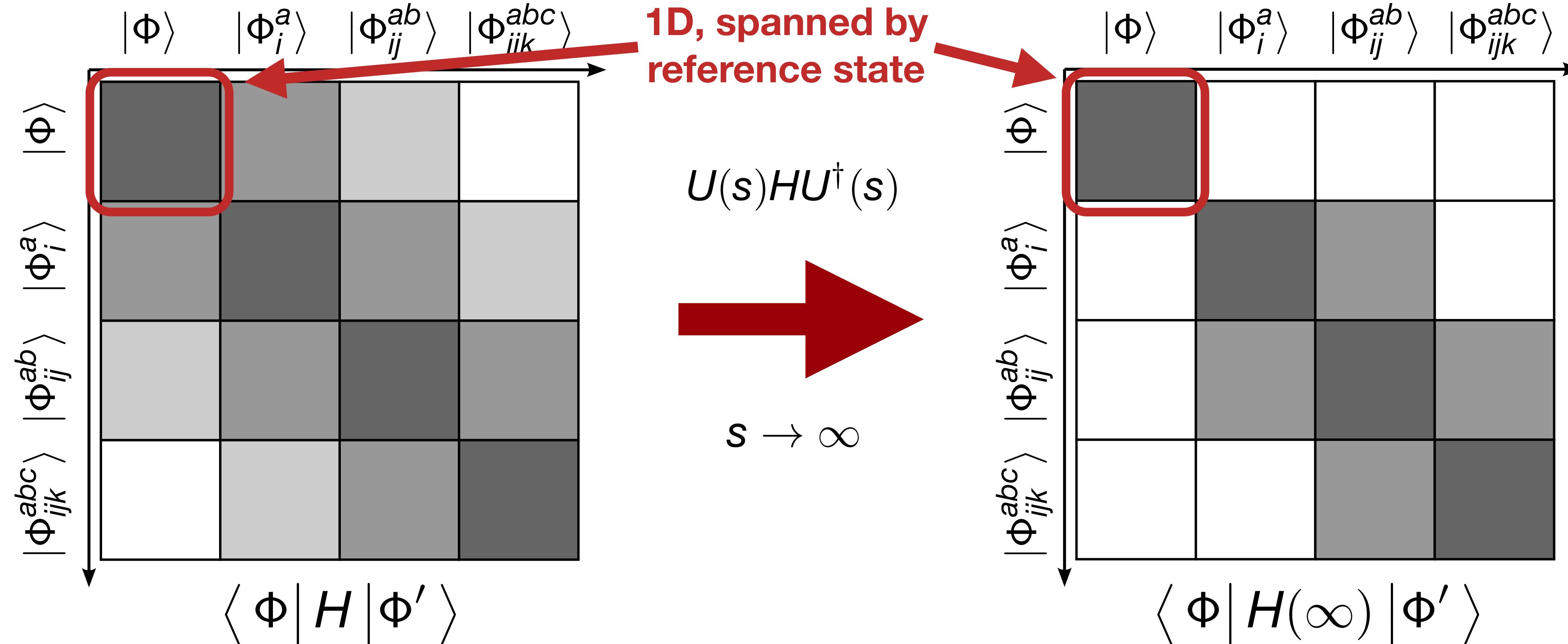
# In-Medium SRG Methods for Deformed Nuclei

# Many-Body Methods: Configuration Space



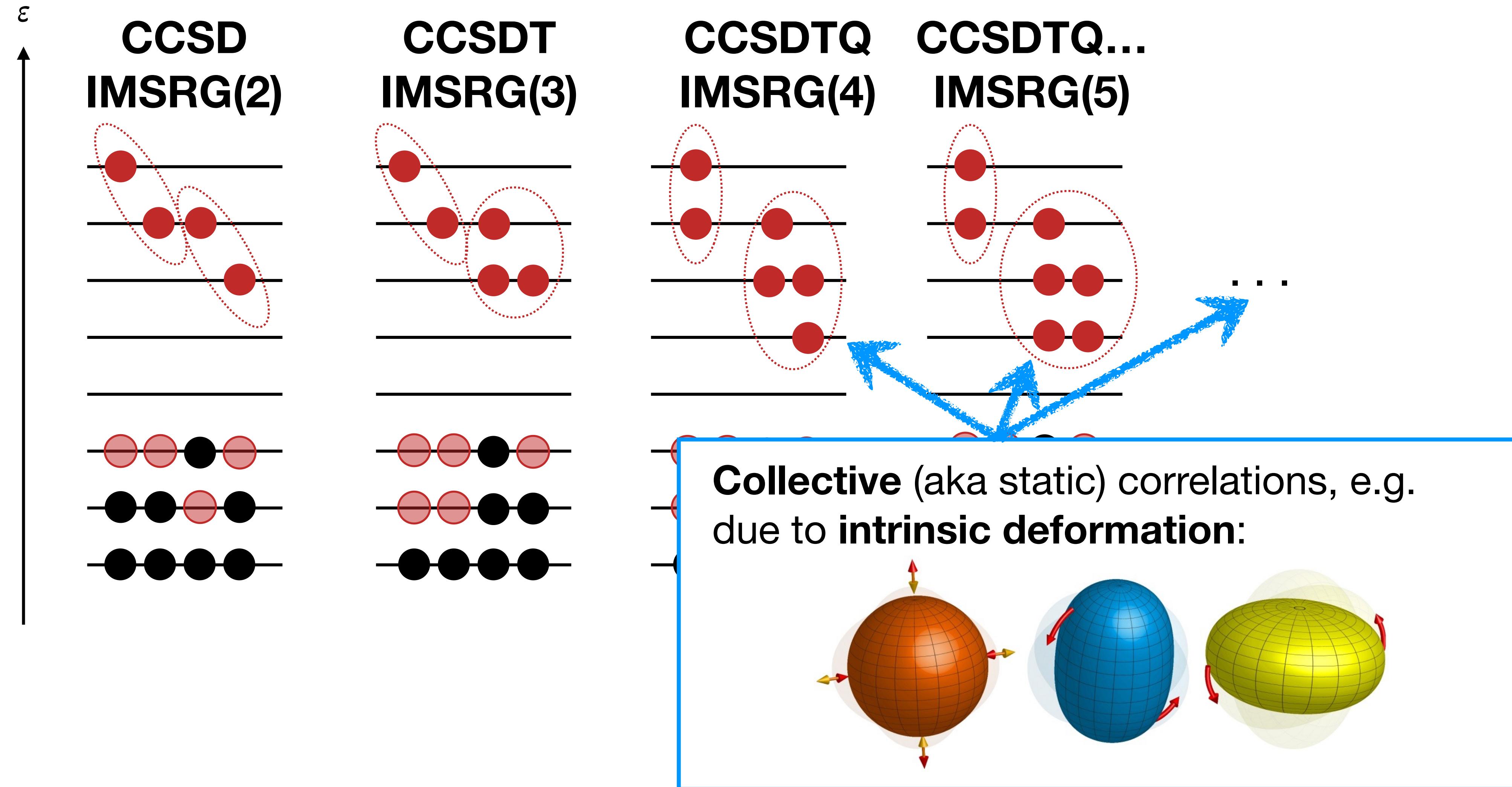
construct **particle-hole excitations** of a **reference state** - usually an optimized **mean-field Slater determinant**

# Decoupling in A-Body Space

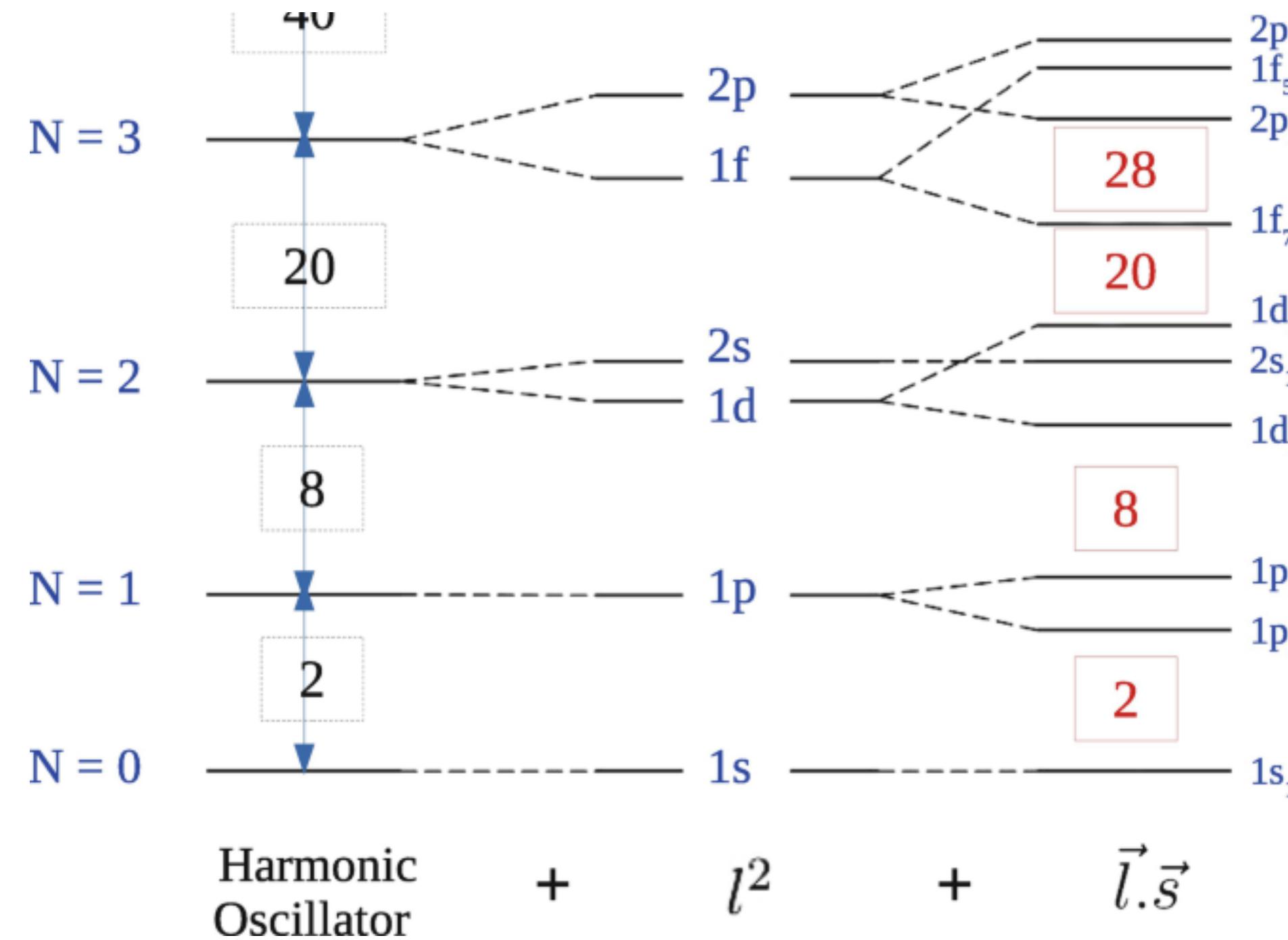


- **identify** the parts of the **operator  $H$**  which **couple reference state to excitations**
- **eliminate** them with unitary (**IMSRG**) or general similarity transformations (**CC**)
- **efficient: polynomial scaling**, no need to construct matrix !

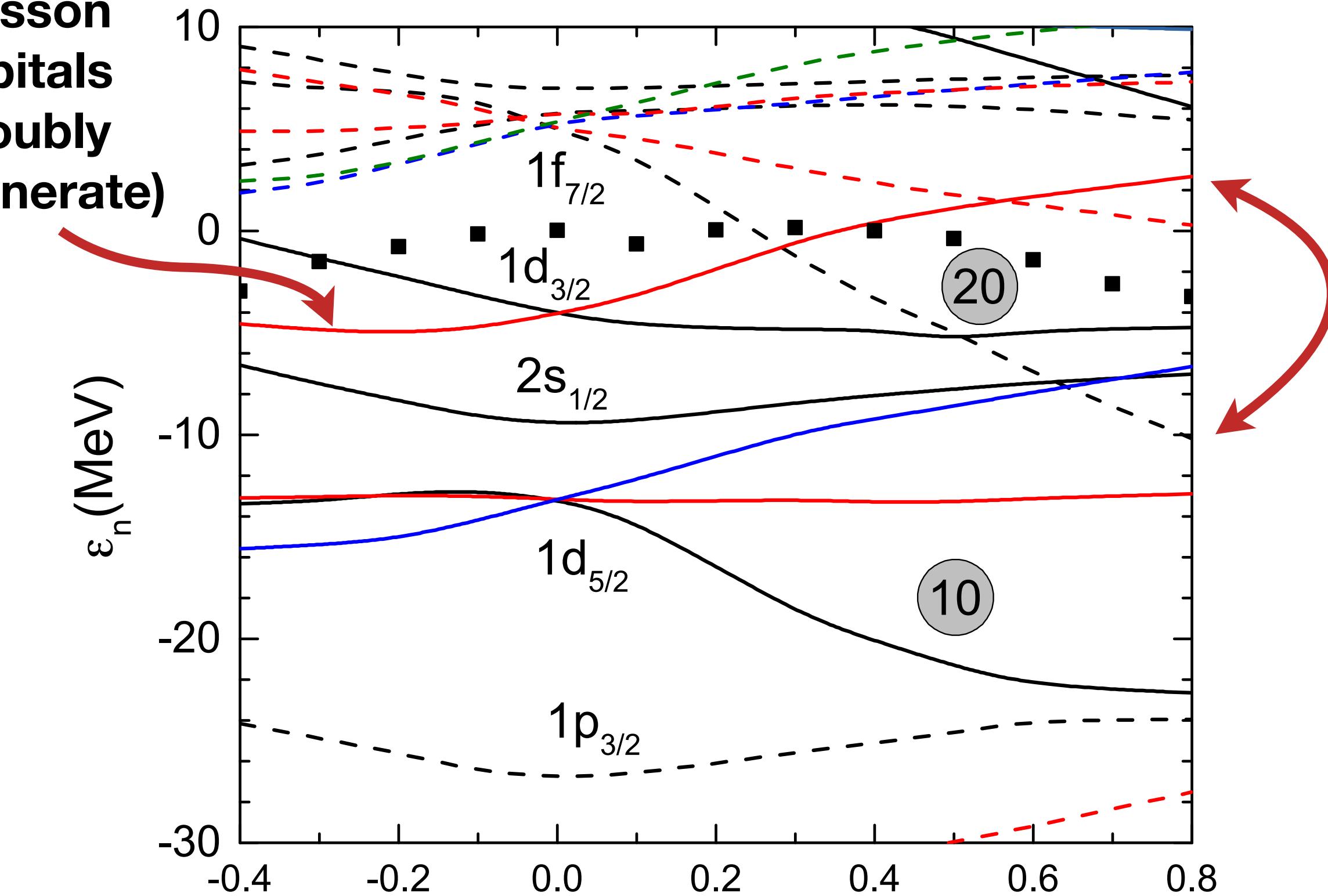
# Correlations in Nuclei



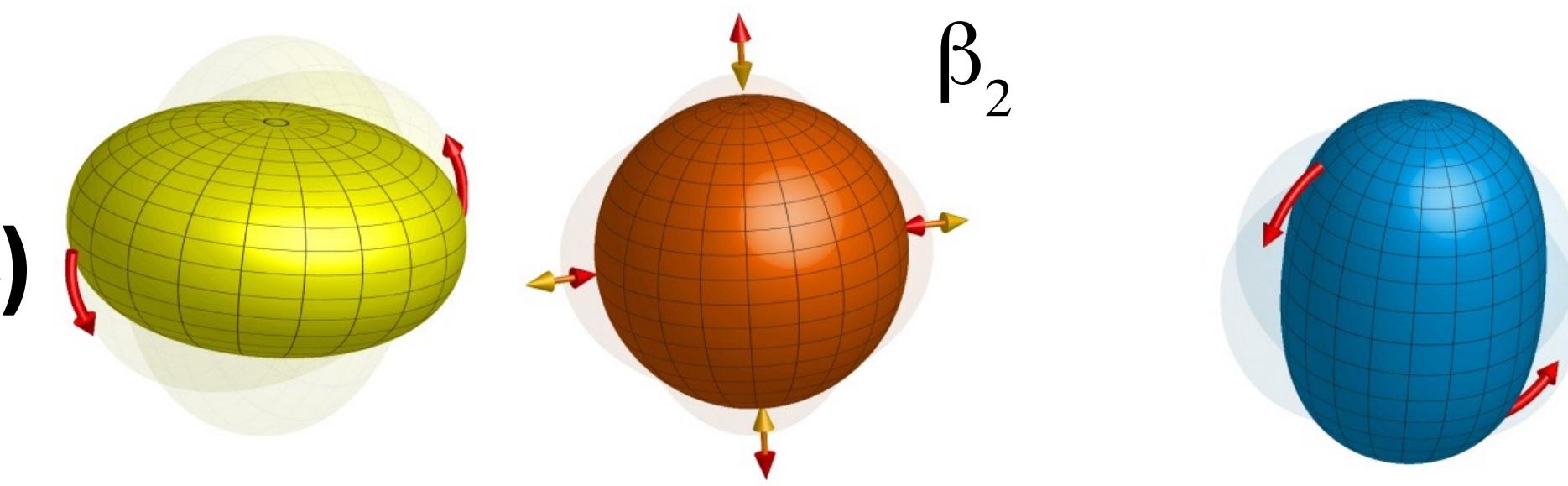
# Symmetry Breaking and Restoration



**Nilsson  
orbitals  
(doubly  
degenerate)**



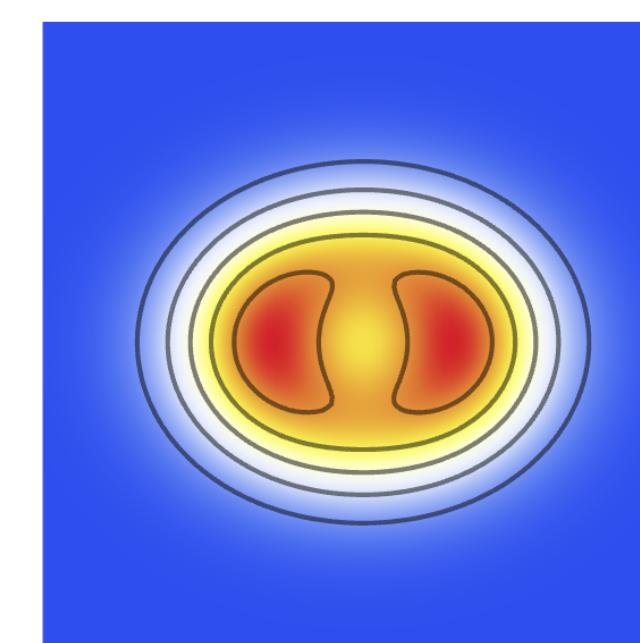
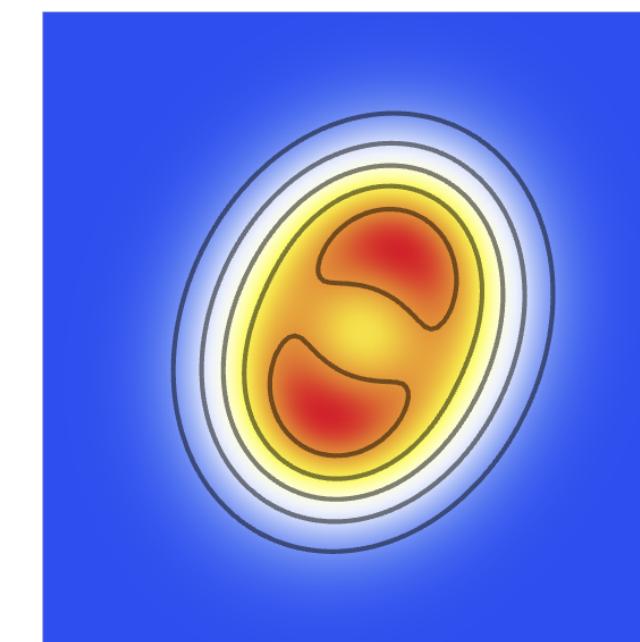
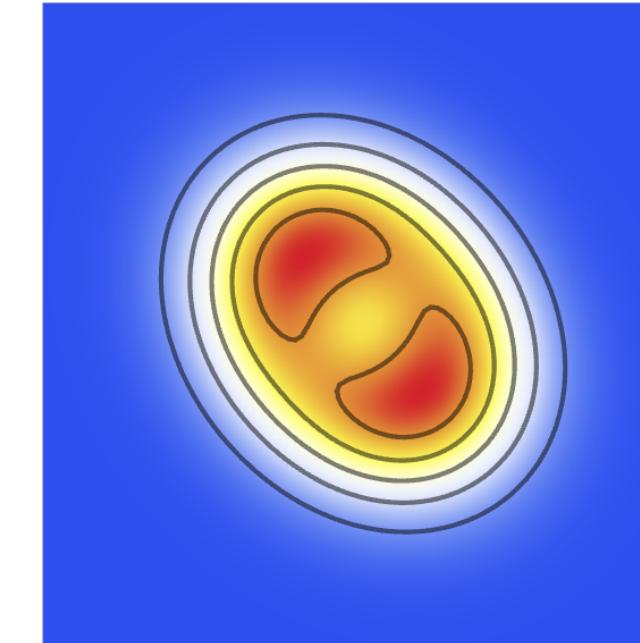
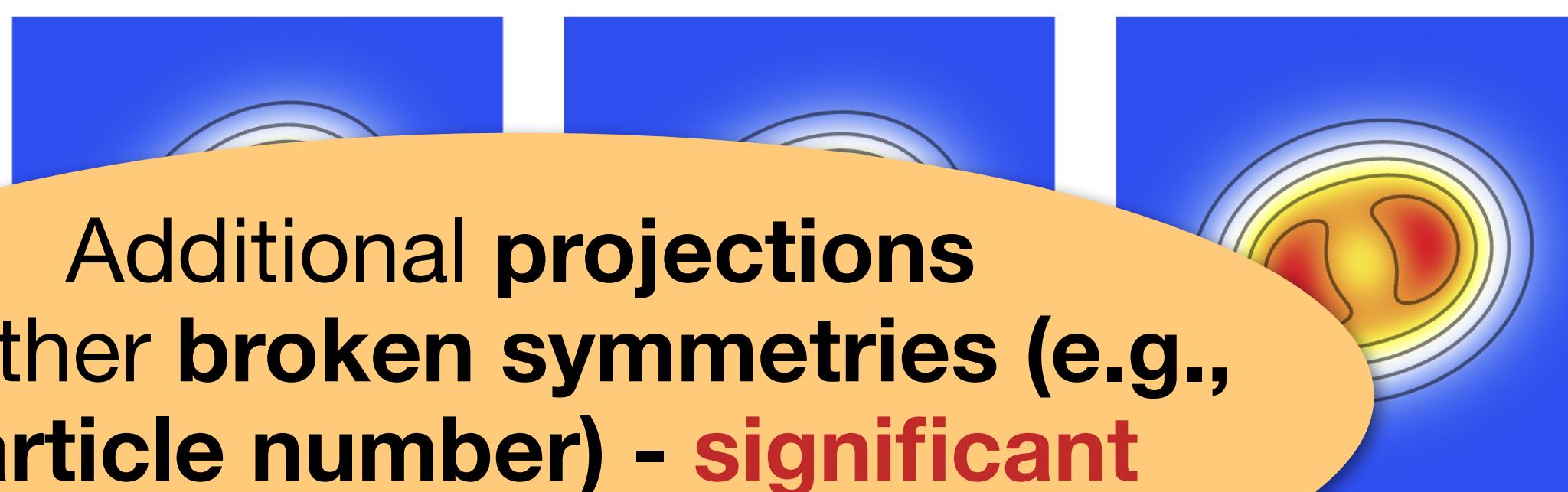
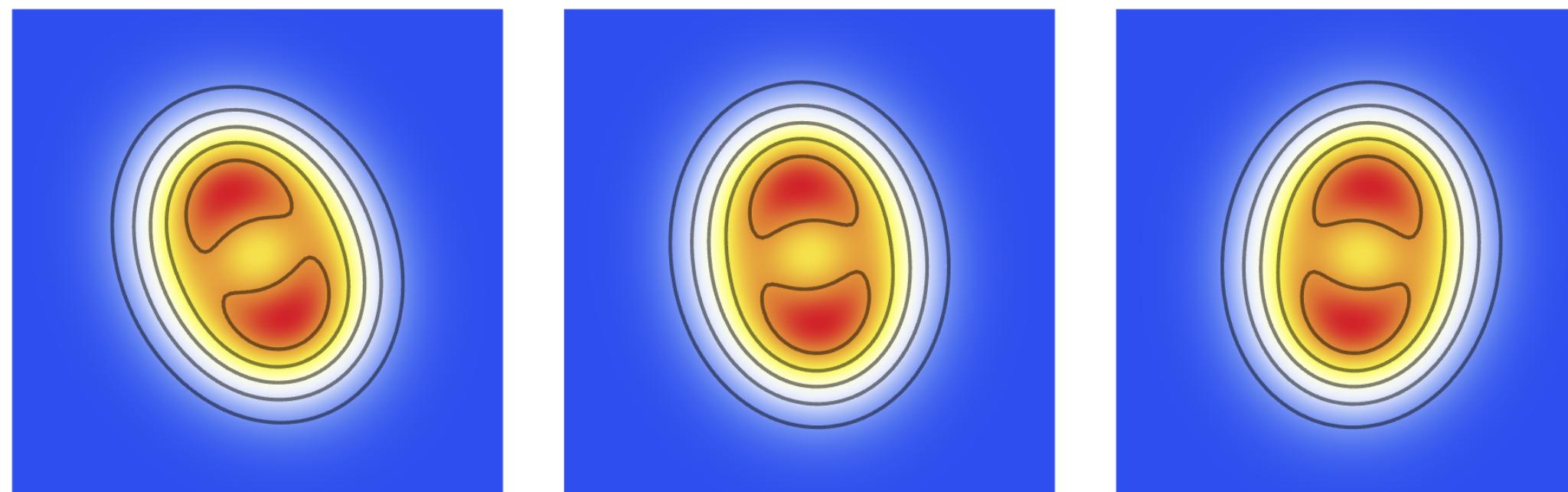
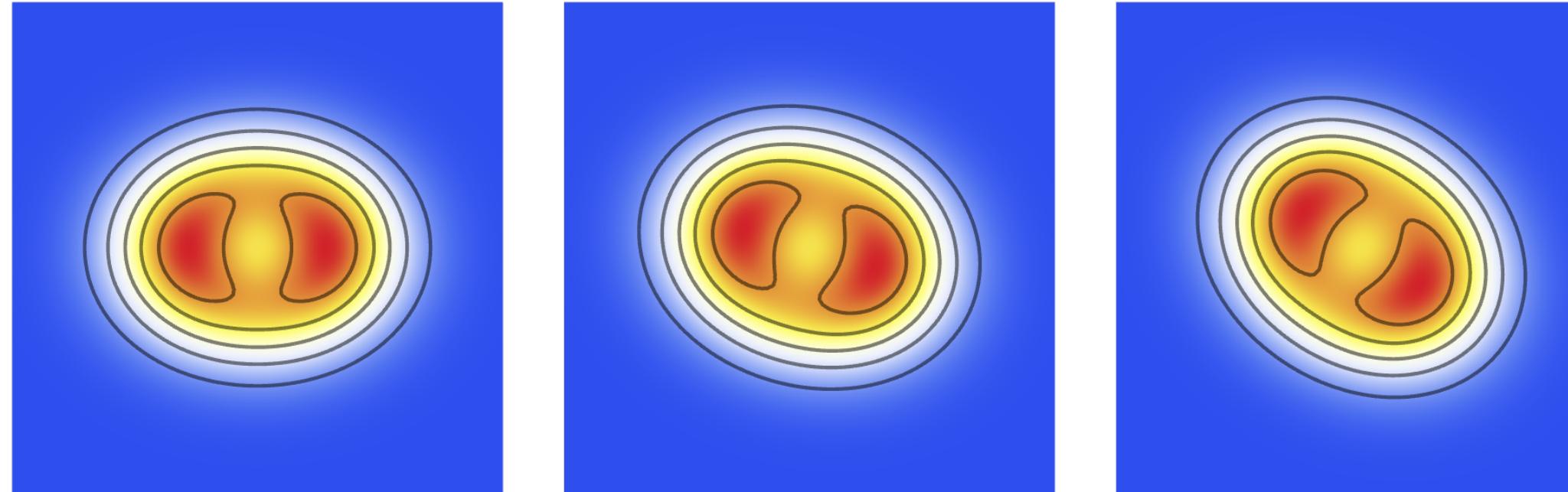
- **break spherical symmetry to capture static correlation** from deformation
- **restore symmetry** by constructing **(specific)** superpositions of all rotations of intrinsic state (aka **projection**)



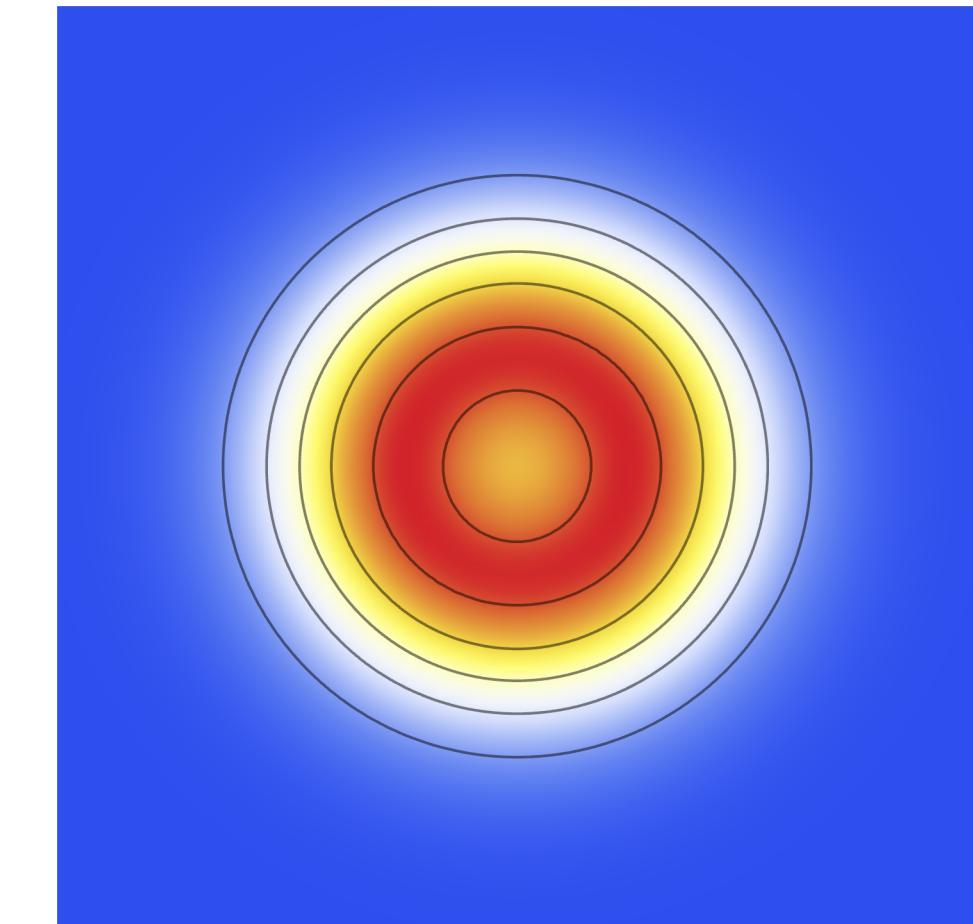
# Symmetry Breaking and Restoration



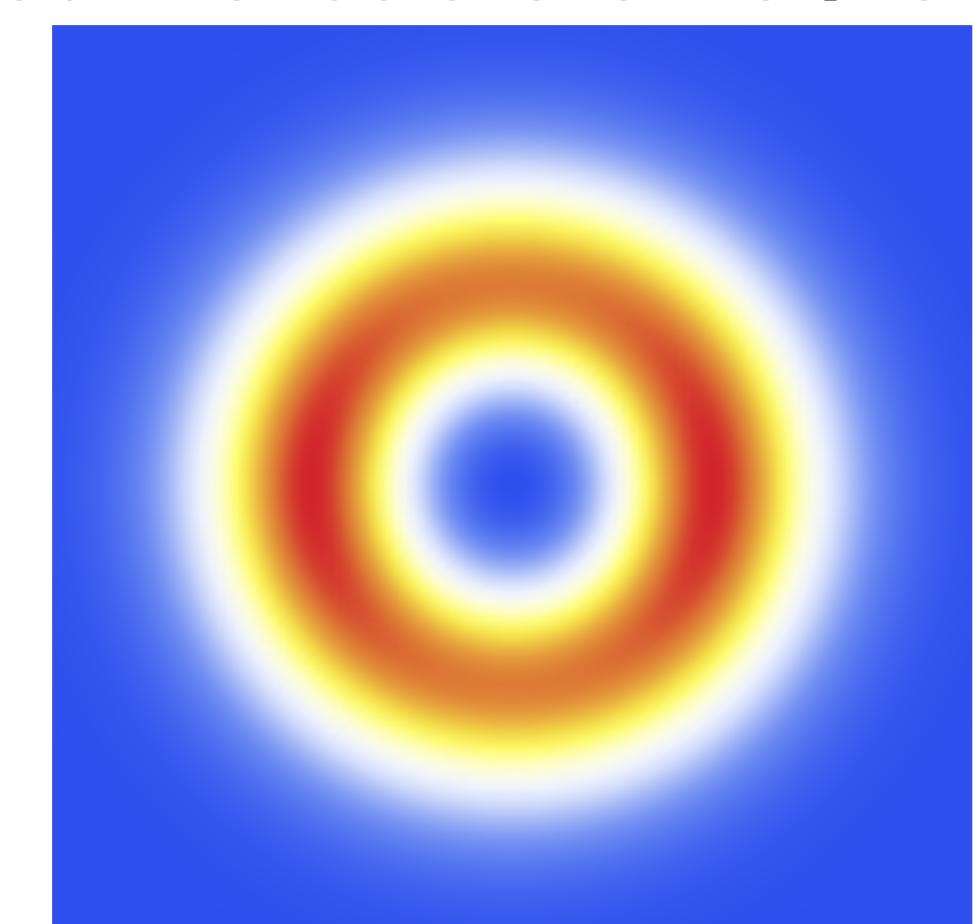
deformed configurations



projected w.f.

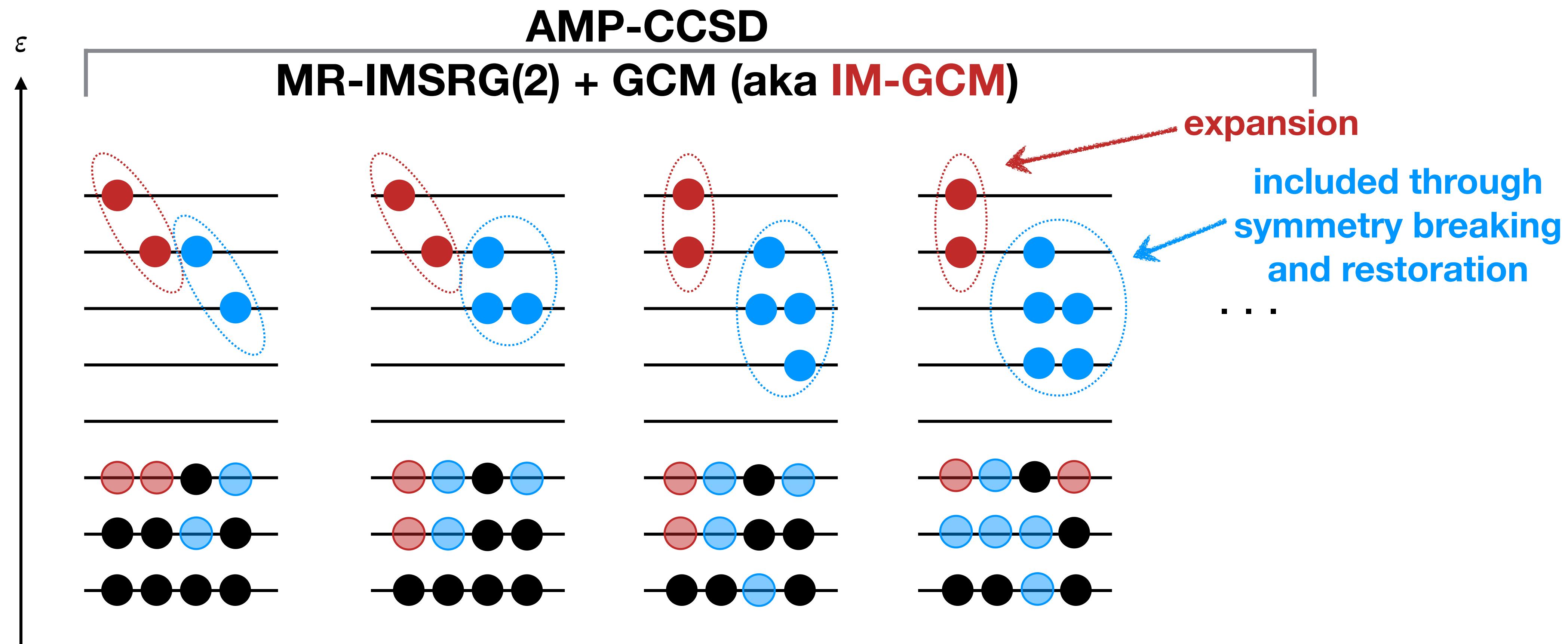


**difference from spherical w.f.  
with same basis size /length scale**



Additional projections  
for other **broken symmetries** (e.g.,  
**particle number**) - significant  
**overhead!**

# Correlations in Nuclei



# In-Medium Similarity Renormalization Group



HH et al., Phys. Rept. 621, 165; Phys. Scr. 92, 023002  
S. R. Stroberg et al., Ann. Rev. Nucl. Part. Sci., 69, 307  
J. M. Yao et al., PRC 98, 054311; PRL 124, 232501

- IMSRG can be used to build specific types of correlations into **RG-improved interactions and operators**
  - ideally, IMSRG captures correlations that are **complementary** to target method
  - mean-field or **correlated reference state(s)** for a specific nucleus define(s) **operator basis** for IMSRG evolution
  - **diagnostic:** flow is unitary if all **relevant operators** are included
  - **examples:**
    - (MR-)IMSRG(2) aka In-Medium HF / PHFB
    - Valence-Space IMSRG for Shell model / VS-Cl
    - In-Medium No-Core Shell Model / NC-Cl
    - In-Medium Generator Coordinate Method (IM-GCM)

XYZ  
define  
reference

IMSRG  
evolve  
operators

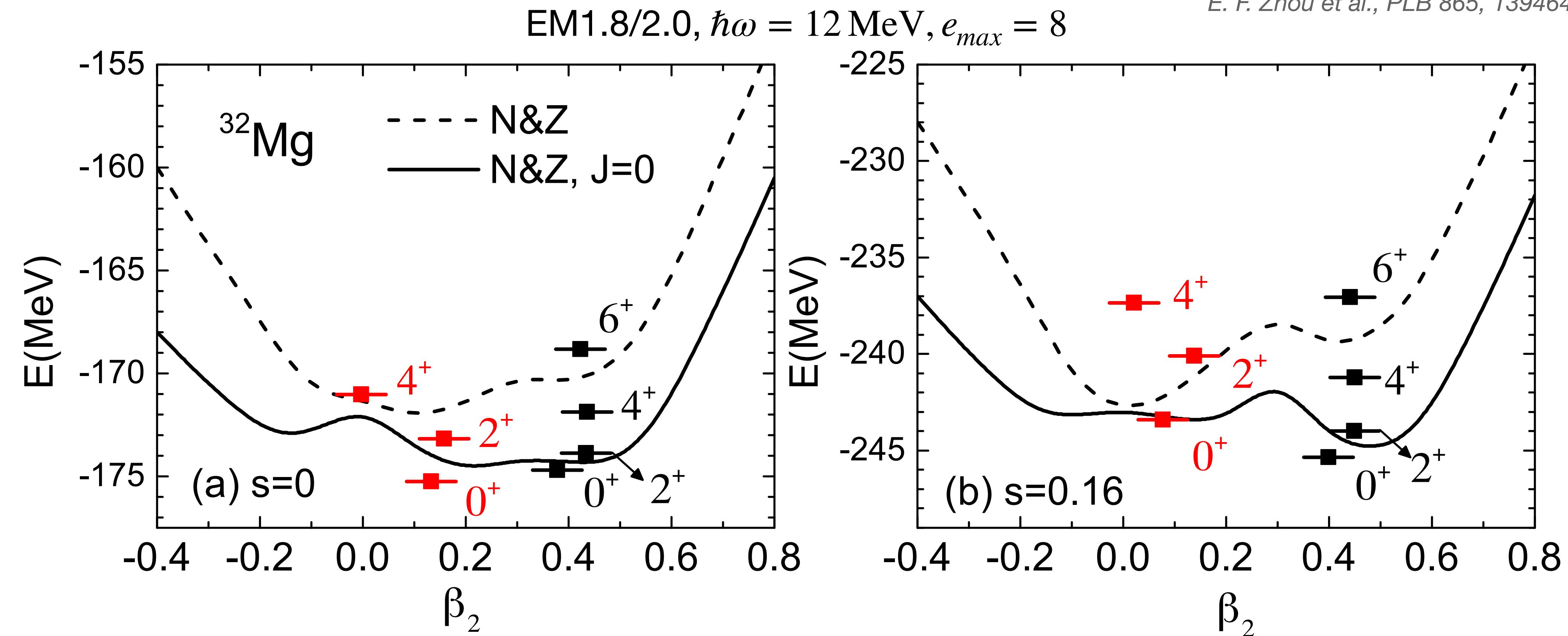
XYZ  
extract  
observables

# Selected Applications

# N=20 Island of Inversion: $^{32}\text{Mg}$



E. F. Zhou et al., PLB 865, 139464 (2025) & in prep.

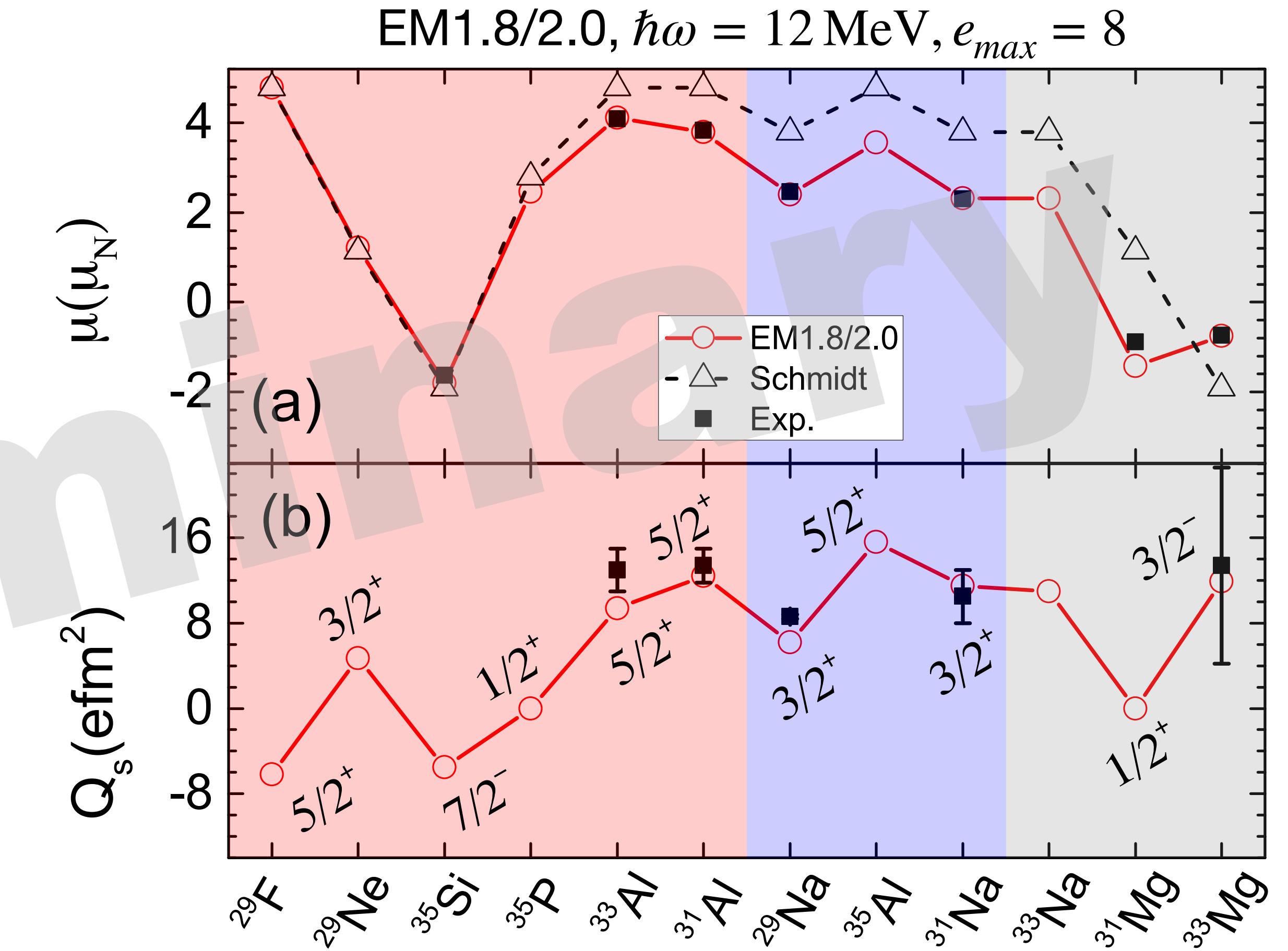
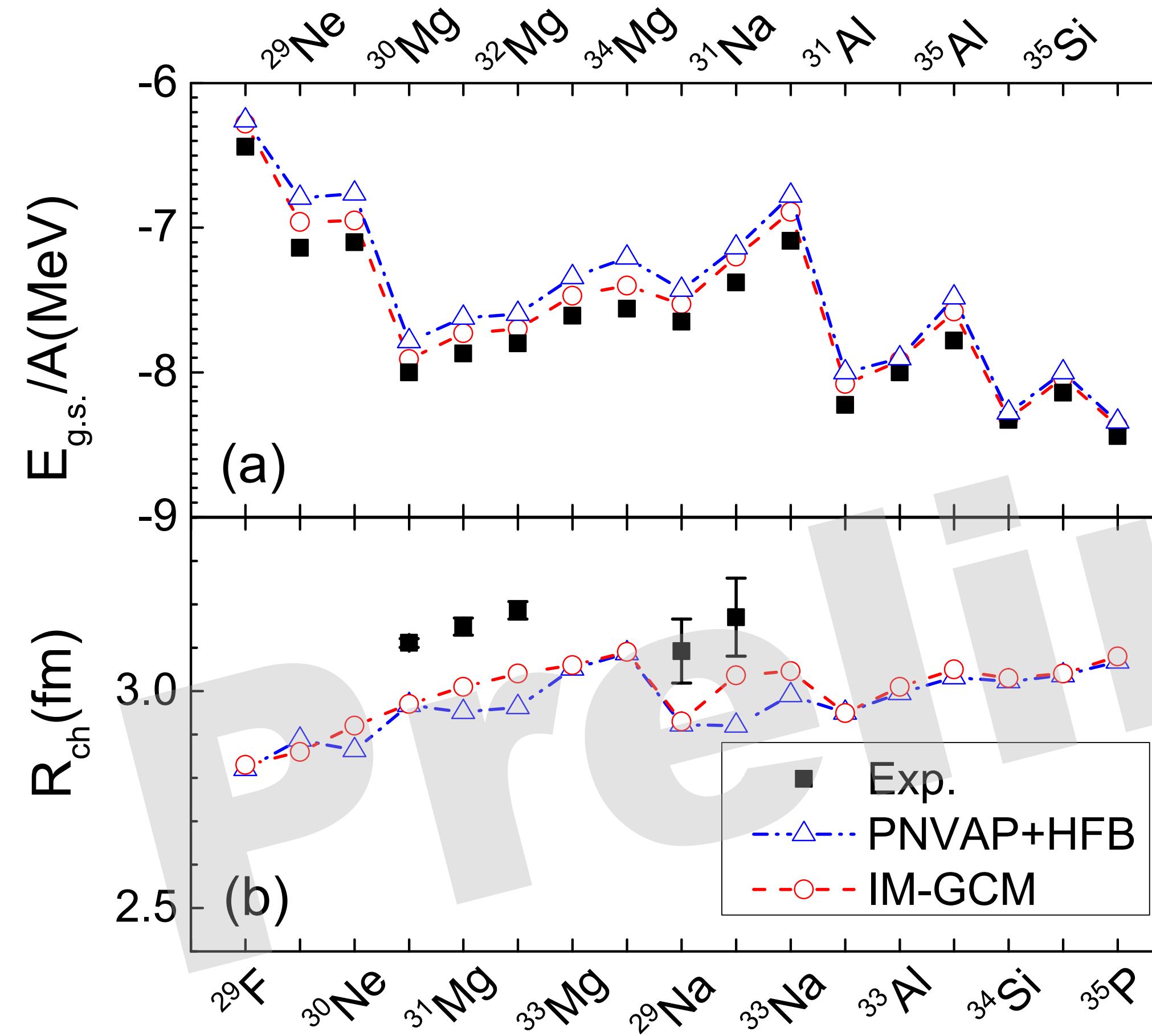


- **Dynamic correlations** captured by IMSRG...
  - bring **absolute energies** close to experiment ( $E=-249.7 \text{ MeV}$  (AME) vs.  $-249.5 \text{ MeV}$  (extrapolated theory))
  - reveal prominent **prolate deformation** of the **ground state**

# N=20 Island of Inversion Region

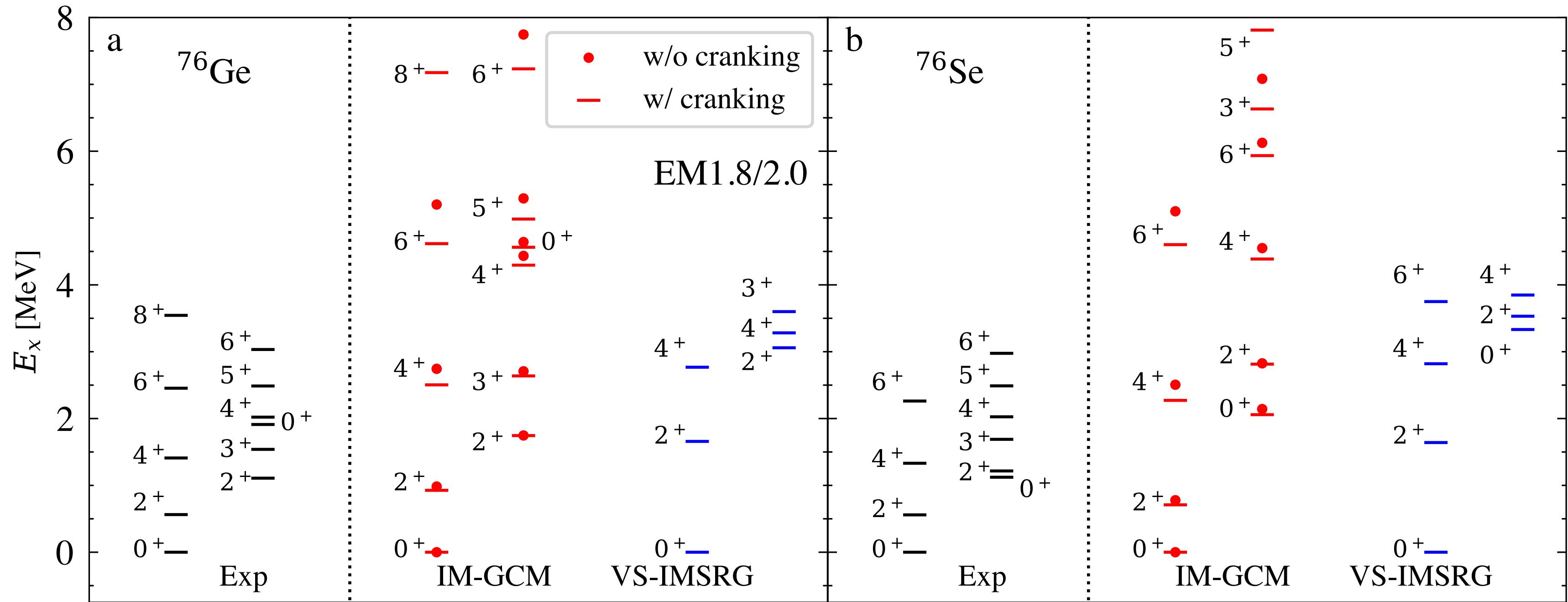


E. F. Zhou et al., arXiv:250x.xxxx



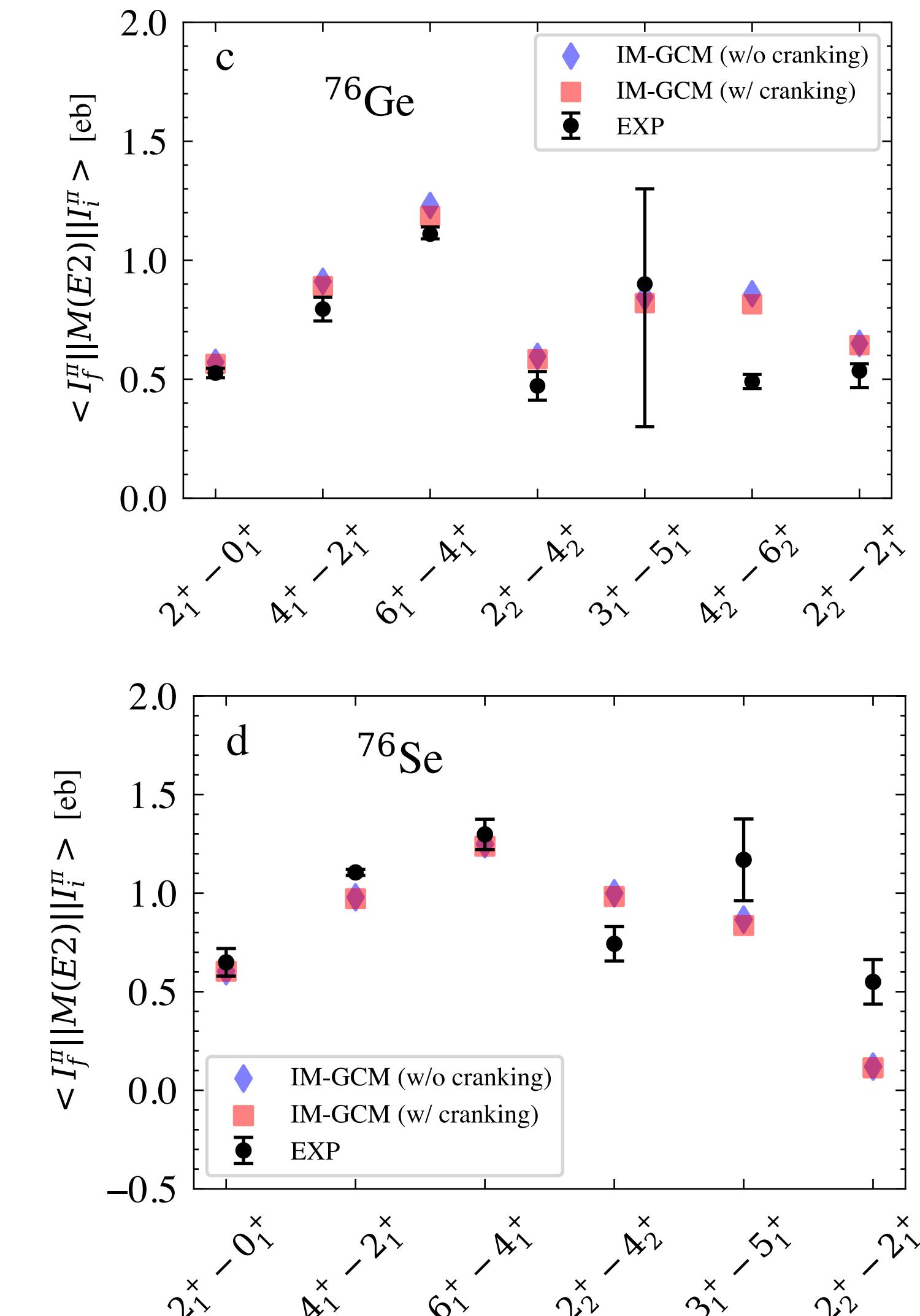
**IM-GCM survey in progress**

# $^{76}\text{Ge} / {^{76}\text{Se}}$ Structure



EM1.8/2.0 NN+3N interaction,  $\hbar\omega = 12 \text{ MeV}$ ,  $e_{max} = 10$

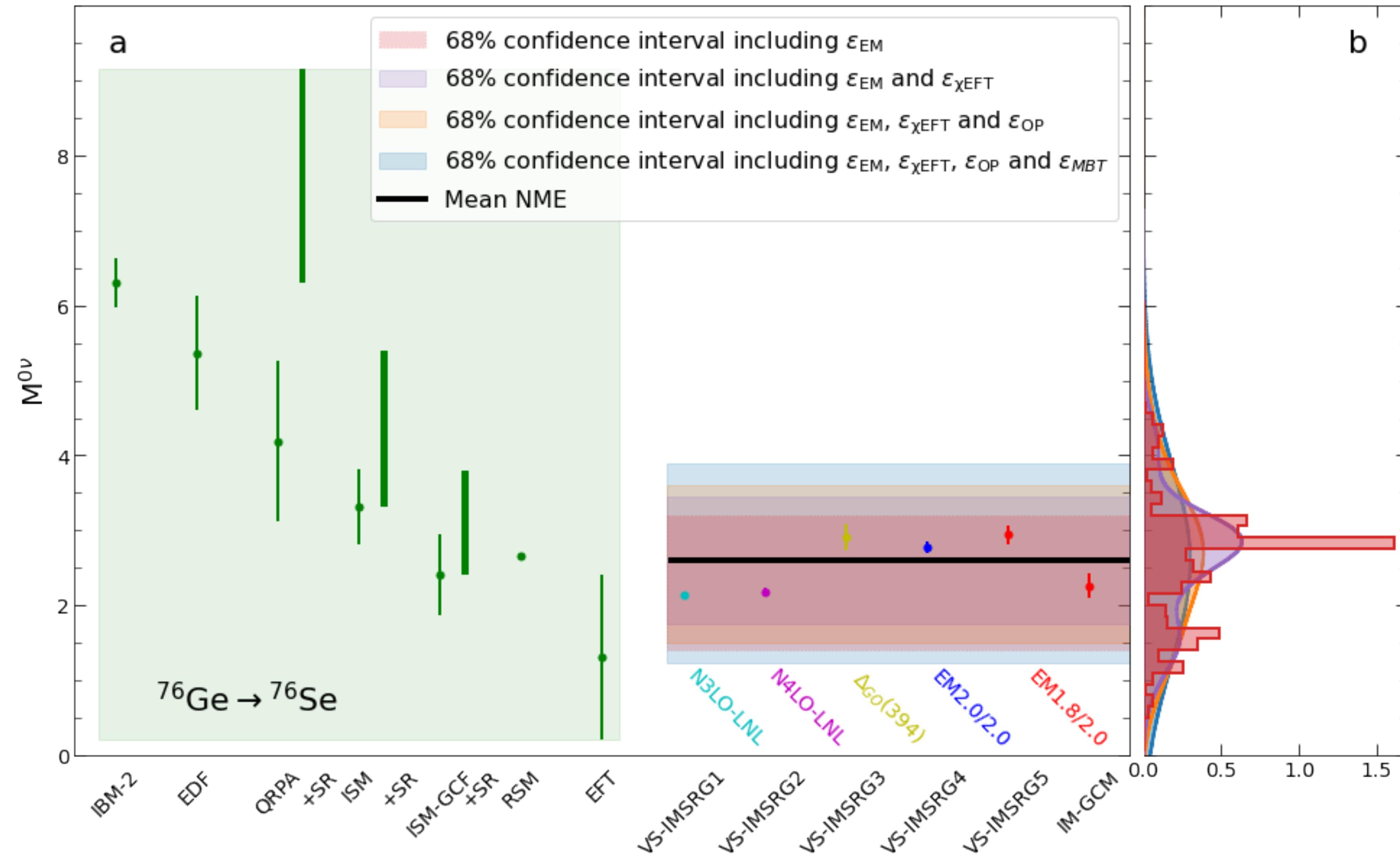
- IM-GCM spectra too extended (common)
- E2 transitions (and static moments) in **excellent agreement with experiment...**
- ...although EM1.8/2.0 **underpredicts radii by a few percent**



# $^{76}\text{Ge}$ : Neutrinoless Double Beta Decay



A. Belley et al., PRL 132, 182502 (2024)



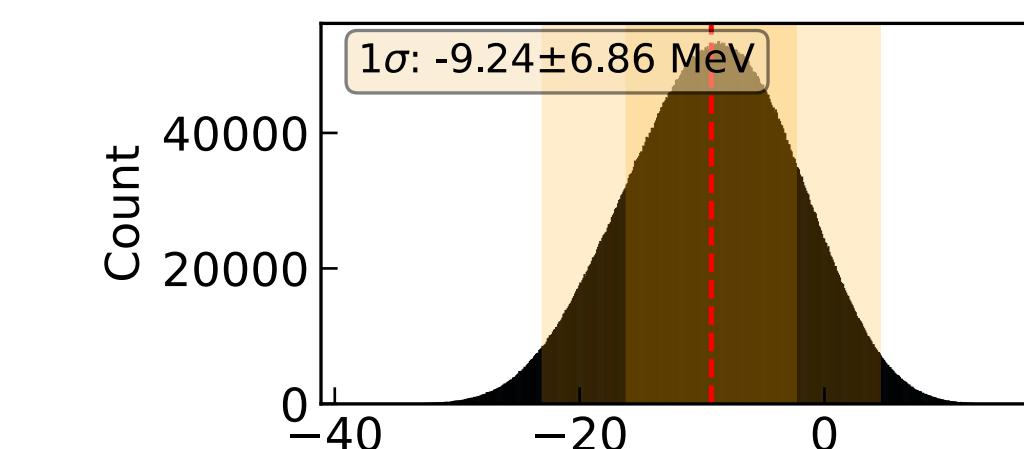
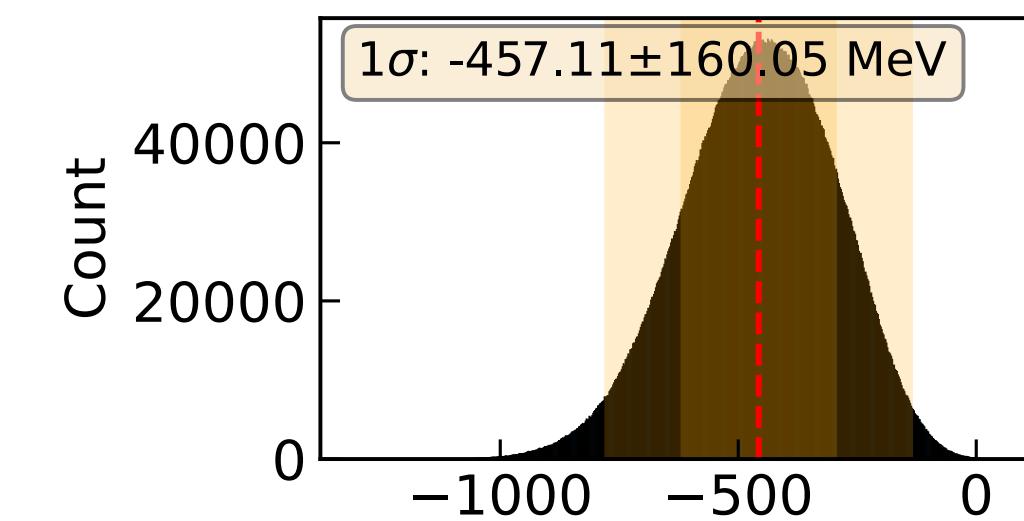
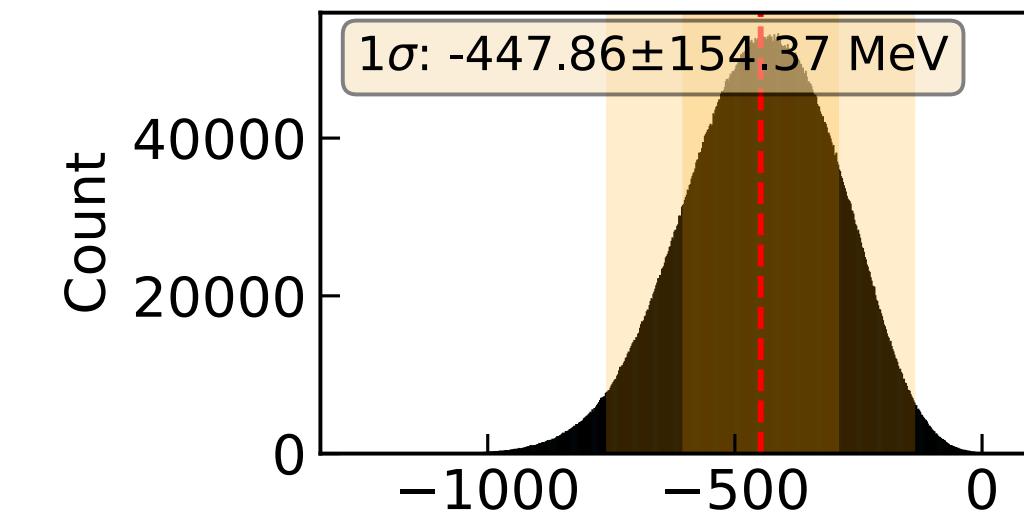
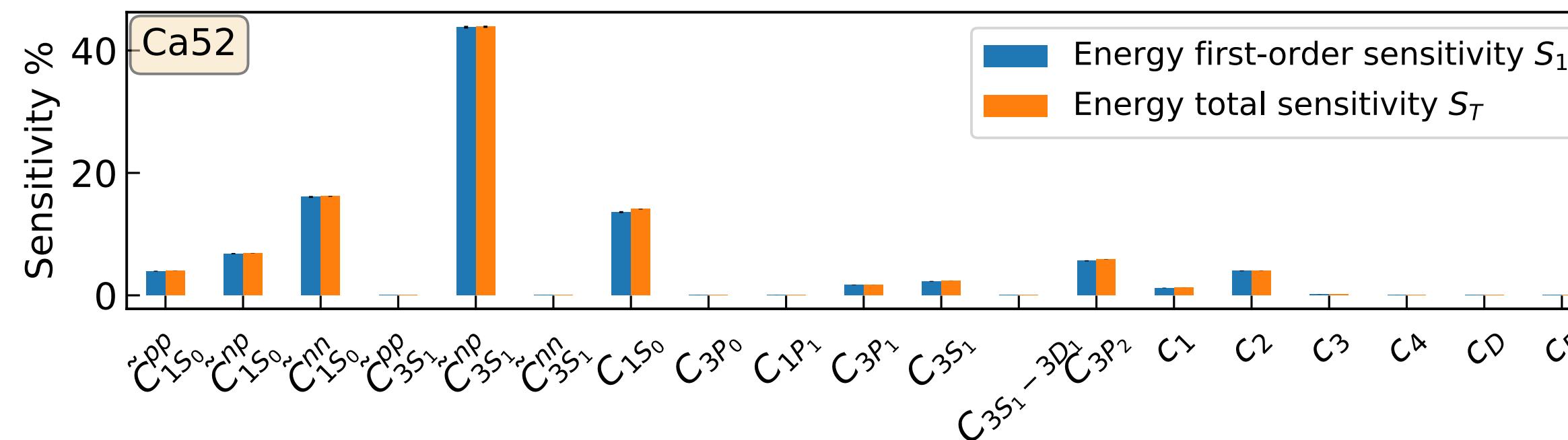
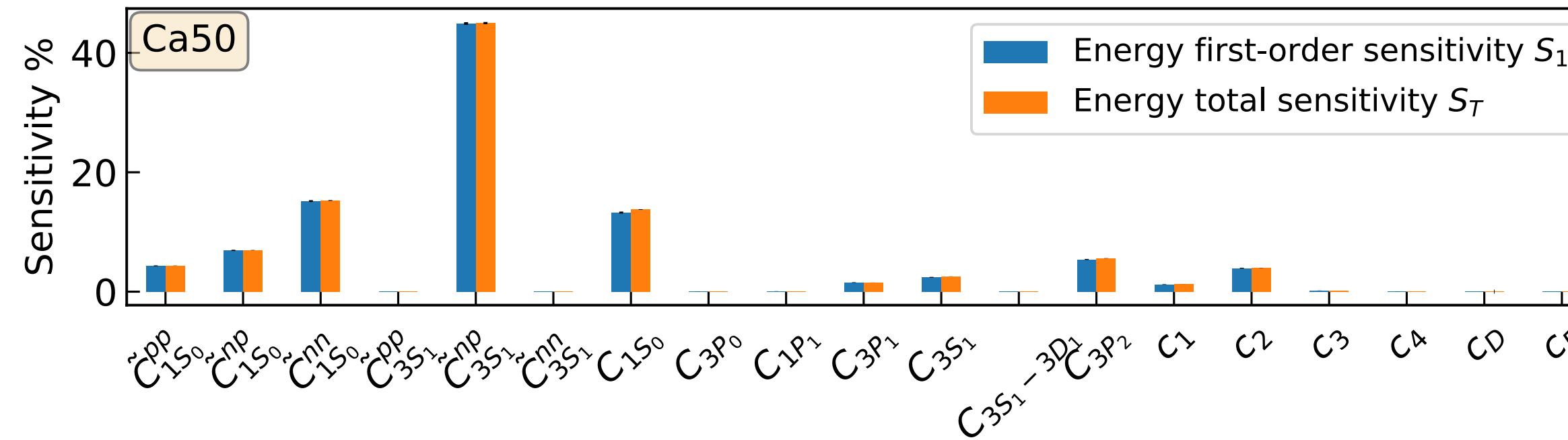
- **state of the art** study
- **complementary methods**: IM-GCM & VS-IMSRG
- explores interactions, many-body and EFT truncations, contact term, ...
- leverages **novel emulators**
- identifies **main drivers** of uncertainty and what to improve next

# IMSRG Emulators

# IMSRG Emulators

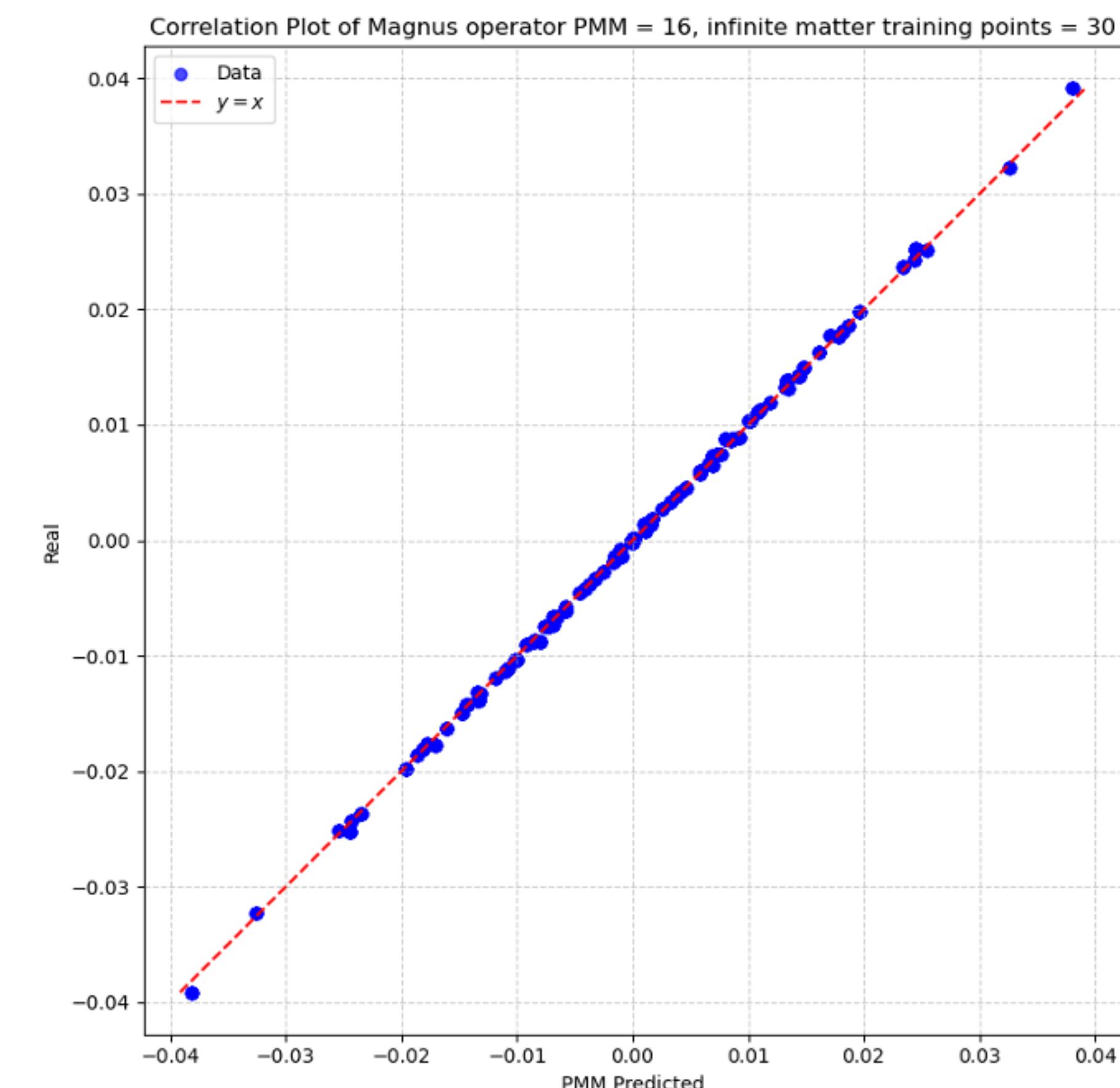
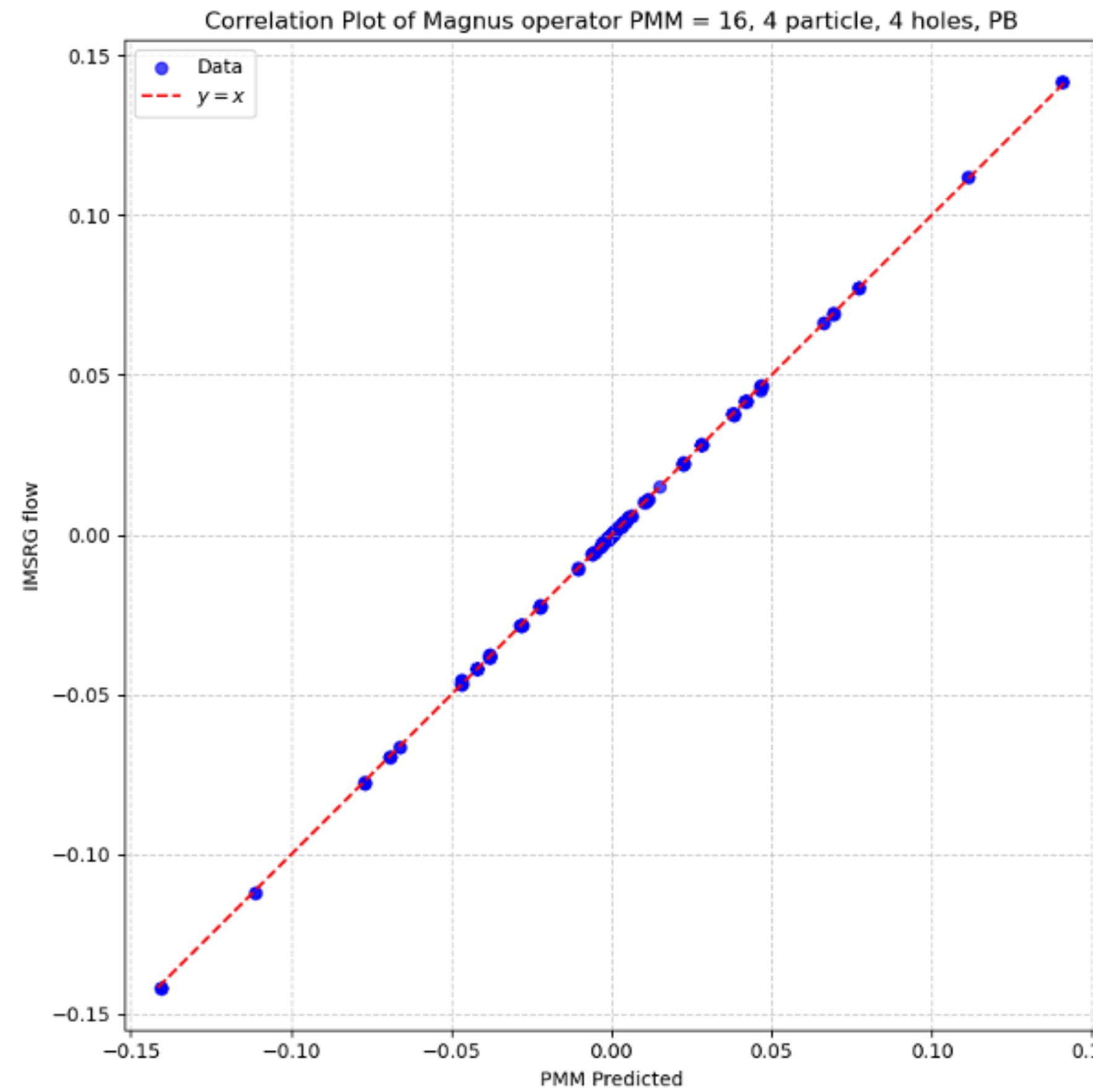


J. A. Davison et al., arXiv:250x.xxxx



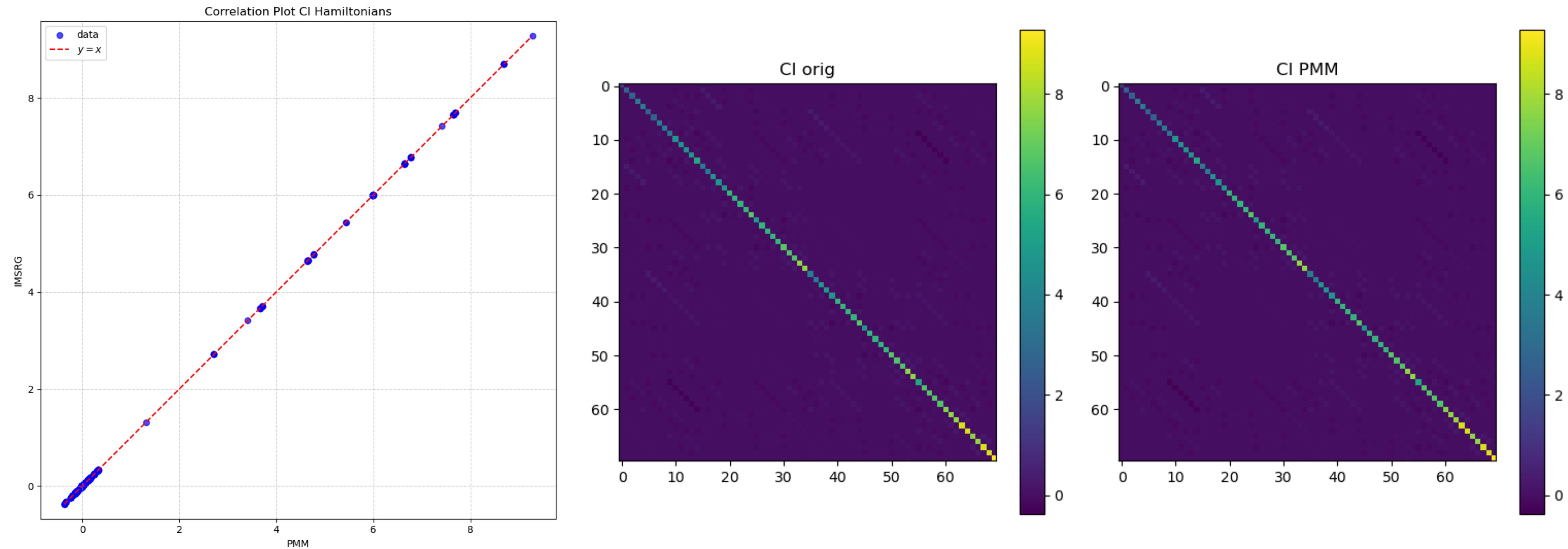
- non-invasive **ROM emulator** based on **Dynamic Mode Decomposition**
- $\Delta\text{NNLOGO}$ ,  $\text{NN}+3\text{N}$ ,  $e_{max} = 12$ ,  $E_{3max} = 14$
- $\mathcal{O}(10\text{M})$  samples
- **computational effort reduced by 5+ orders of magnitude**

# Parametric Matrix Models



- DMD fails to emulate  $\Omega(s)$  unless training is done at large  $s$ , **but PMMs work**
- **promising** first results for **parametric emulation** (schematic models, neutron matter @N3LO)

# Parametric Matrix Models



- **PMM-emulated Hamiltonians** in hybrid approaches (VS-IMSRG, IM-NCSM, IM-GCM, ...)

# Emulating Observables



B. Clark et al., in progress

- **Two strategies available:**
  - emulate Magnus operators  $\Omega(s)$
  - use reduced-order model for  $H(s)$  to construct  $\eta(s)$  at arbitrary flow parameters and “trotterize” the unitary transformation:

S <sup>2</sup>	CI	IMSRG	IMSRG DMD	Magnus	Magnus PMM
ground state no pair breaking	0	0	0	0.000856	0.00085 <sup>4</sup>
ground state with pair breaking	0	0	0	0.001356	0.00135 <sup>7</sup>
1st excited state no pair breaking	2	2	2	2.000002	2.000002
1st excited state with pair breaking	2	1.999461	1.999 <sup>787</sup>	1.99928	1.99928

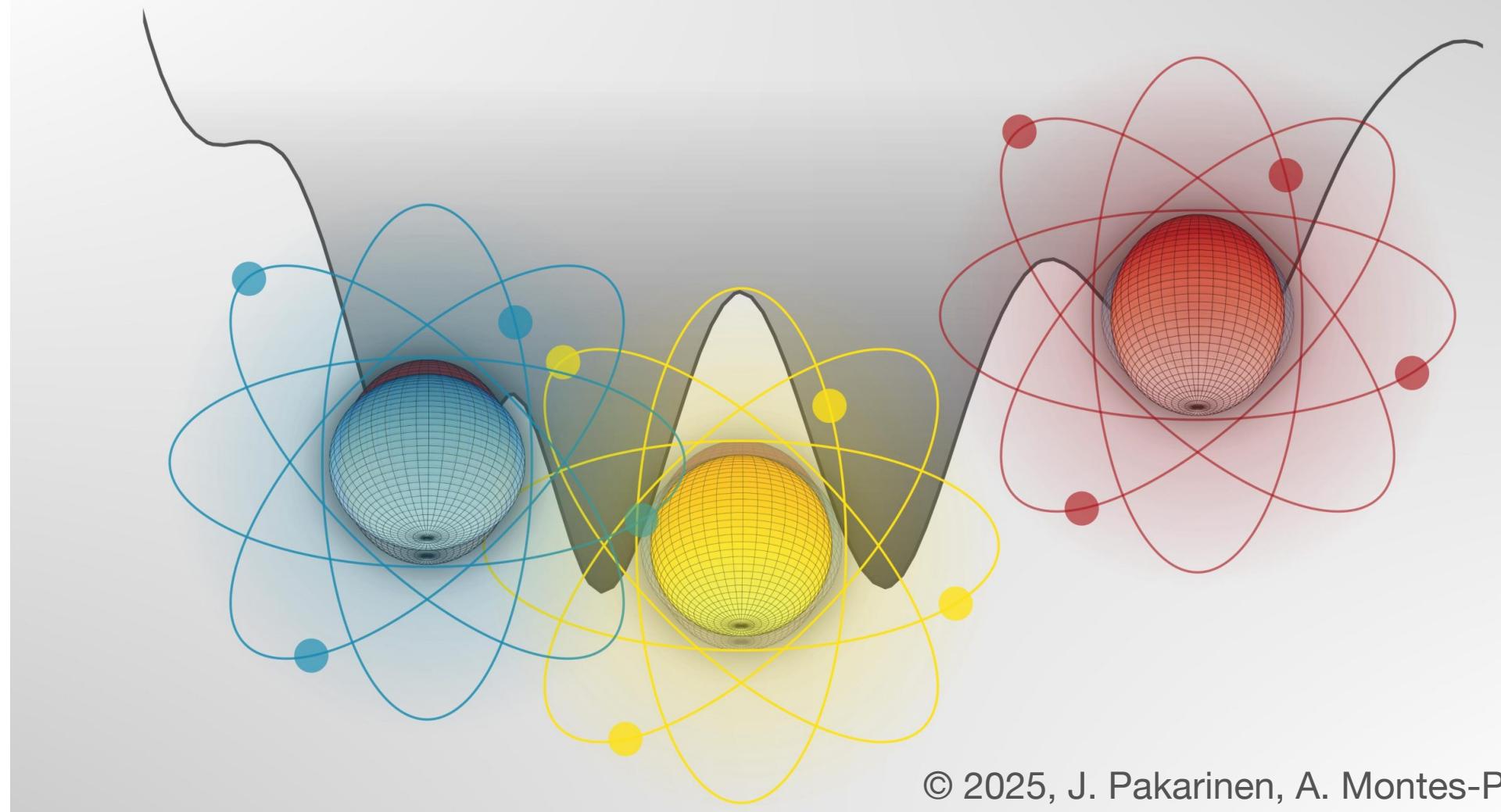
$$U(s) = \lim_{n \rightarrow \infty} \prod_n e^{\eta(s_n)}$$

# Challenges and Opportunities

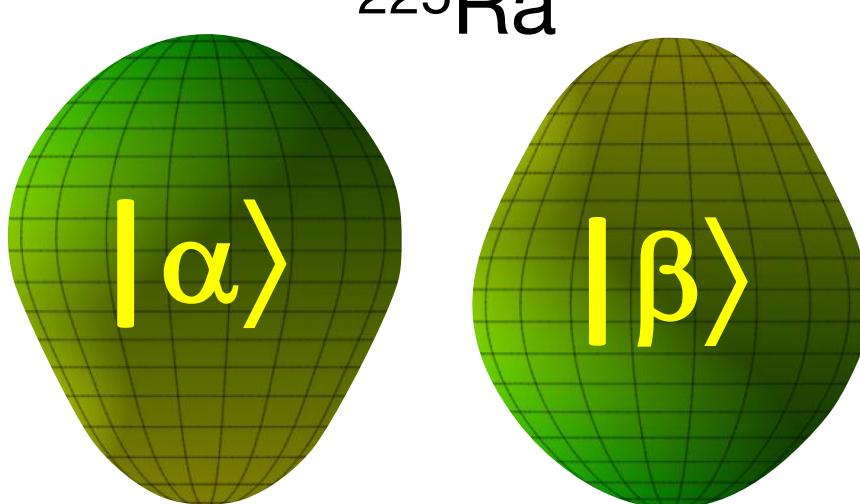
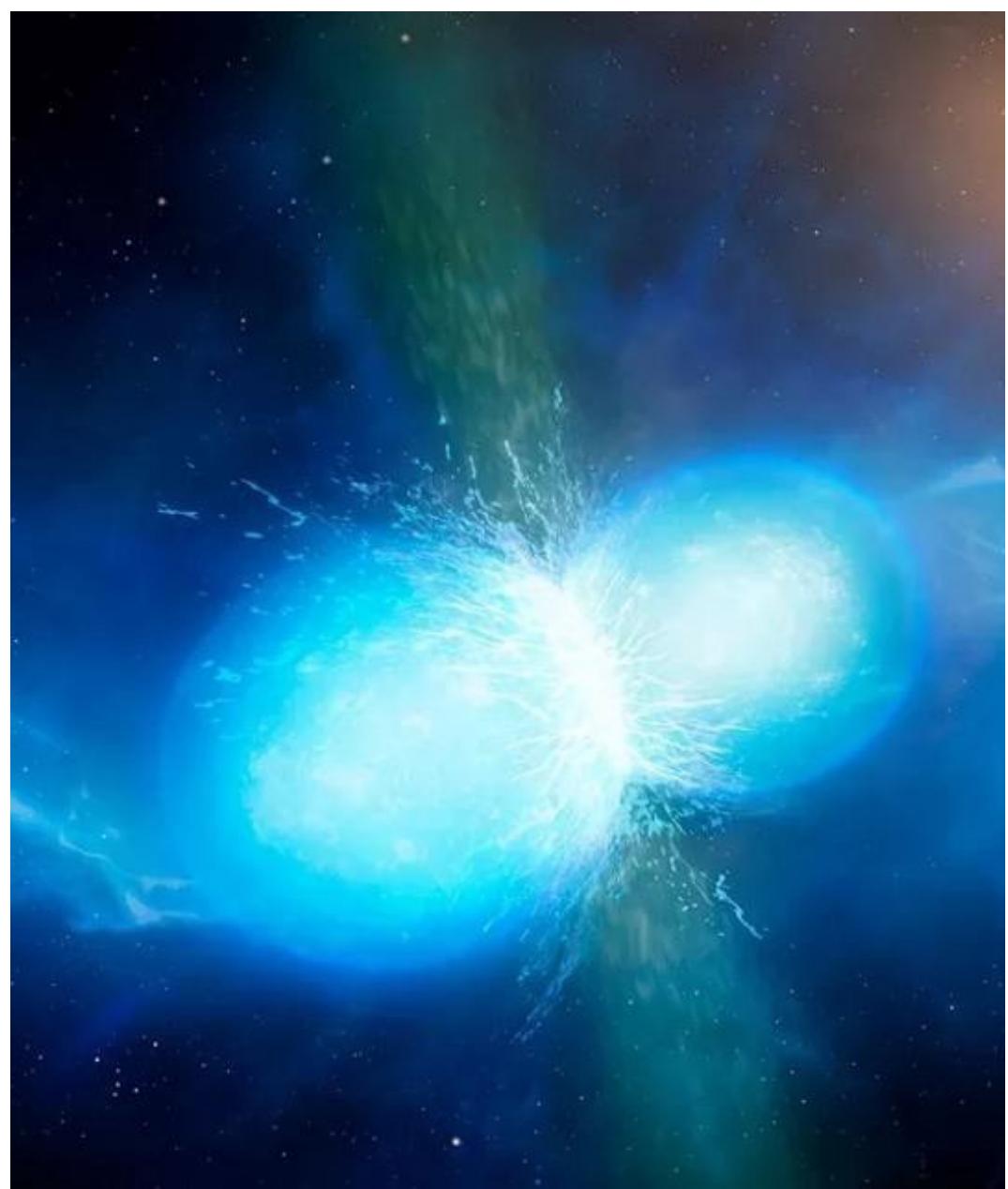
# Challenges and Opportunities



- **Asks: ab initio results for nuclear structure, reactions, astrophysics**
  - structure calculations (shape coexistence, isomerism, ...) for multiple experimental groups
  - inputs for nuclear astrophysics: masses, beta decay rates, **finite temperature effects**
  - nuclear observables relevant for **BSM physics**: beta decays for CKM unitarity, Schiff moments, ...)
  - **differential quantities (often) converge more rapidly**, ab initio results play a role, e.g., in **describing isotopic trends** in excitation spectra, charge radii, magnetic moments, ...
- **Asks: Precision, Quantified Uncertainties, Experimental Design**



© 2025, J. Pakarinen, A. Montes-Plaza



$$|\Psi_1\rangle = \frac{|\alpha\rangle - |\beta\rangle}{\sqrt{2}}$$

55 keV

$$|\Psi_0\rangle = \frac{|\alpha\rangle + |\beta\rangle}{\sqrt{2}}$$

image credit: J. Singh

# Challenges and Opportunities



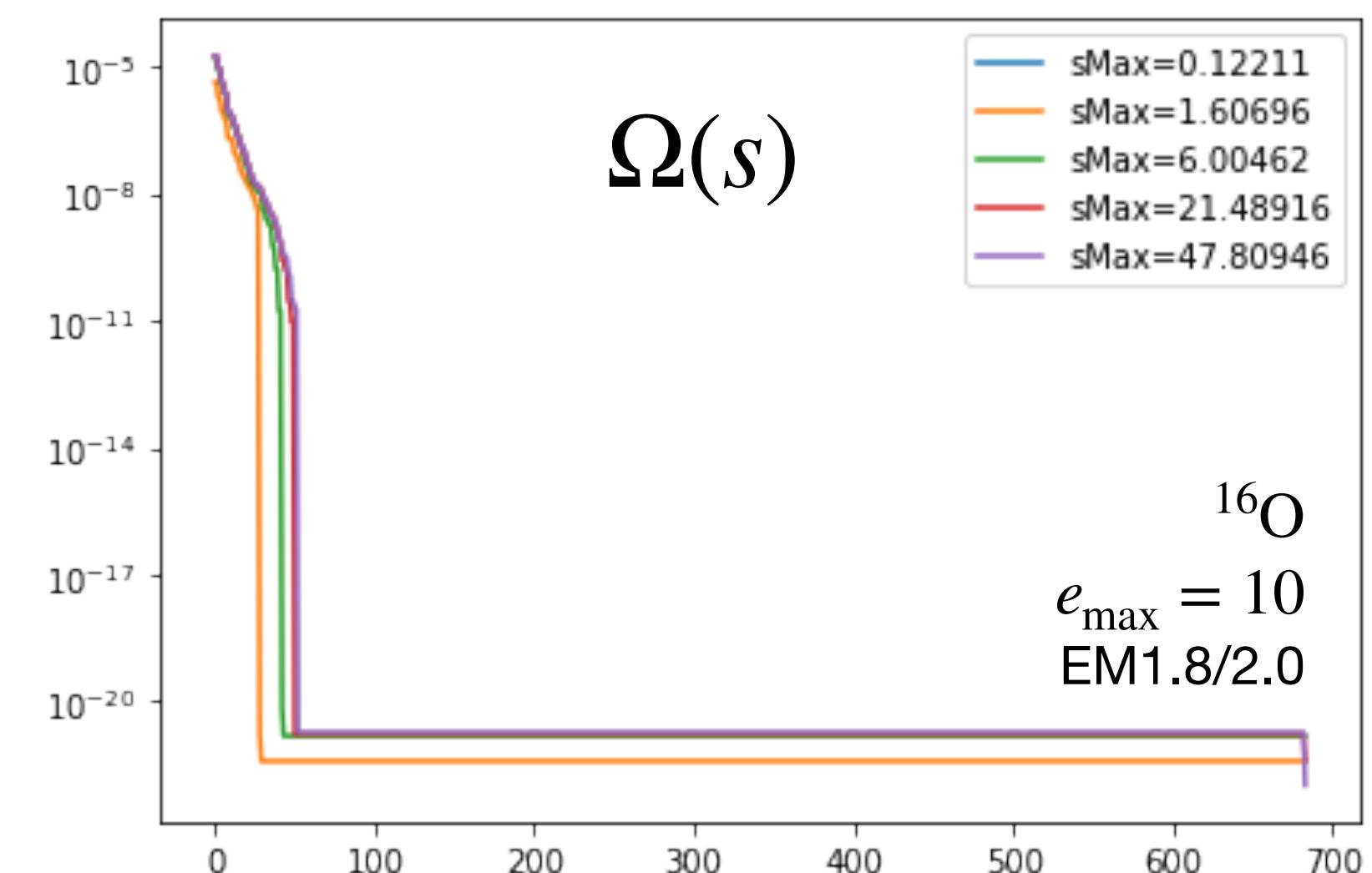
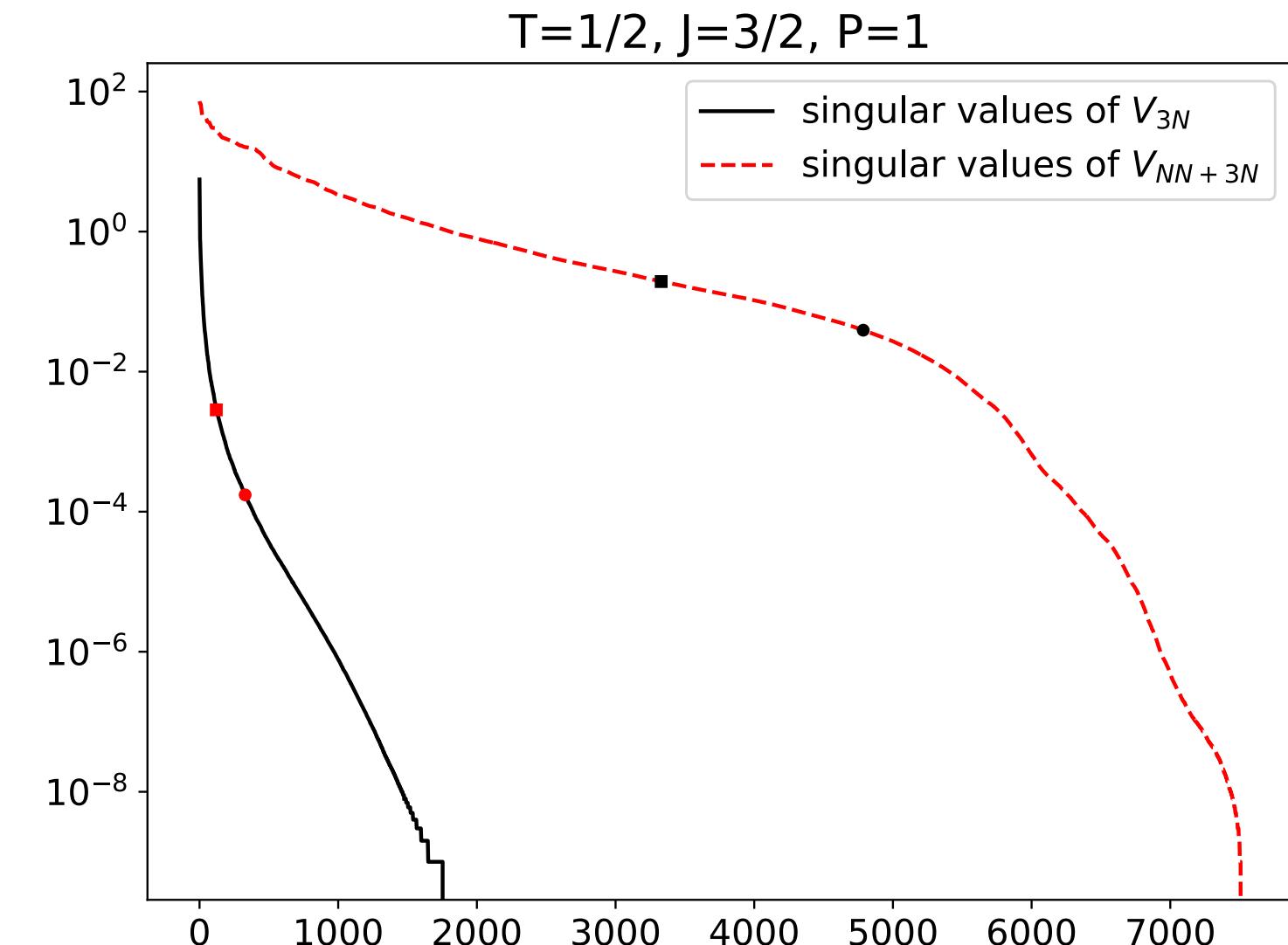
- **Need improved truncations** for **Hilbert space & many-body expansion**:
  - **approximate (MR-)IMSRG(3)** (or more), e.g., through **factorization** (He & Stroberg)
  - full MR-IMSRG(3) is worked out, but scaling is prohibitive for everyday use (and implementation would require automation)
  - **tailored operator bases?**
- **Need emulators** for **uncertainty quantification / sensitivity analysis**
  - **efficient DMD and PMM emulators** for (MR-)IMSRG evolution
  - operators are **no longer linear in original LECs**, which is key for “**offline**” **pre-computation** in **reduced-basis methods** (eigenvector continuation, ....)
  - Can we tackle this with Discrete Empirical Interpolation Method (DEIM), PMMs, ... ?

# Challenges and Opportunities

- Can we compress interactions / Hamiltonians?
- **interactions** are low-rank in Jacobi NN / 3N representation, but this is **spoiled by SRG and transformation to lab frame**
- **working equations** may still allow rank reduction, e.g.,

cf. talk  
by L. Zurek  
IMSRG: generator  $\eta_{abij}$ , Magnus operator  
(less obvious), irreducible densities  $\lambda_{pqrs}$  etc.

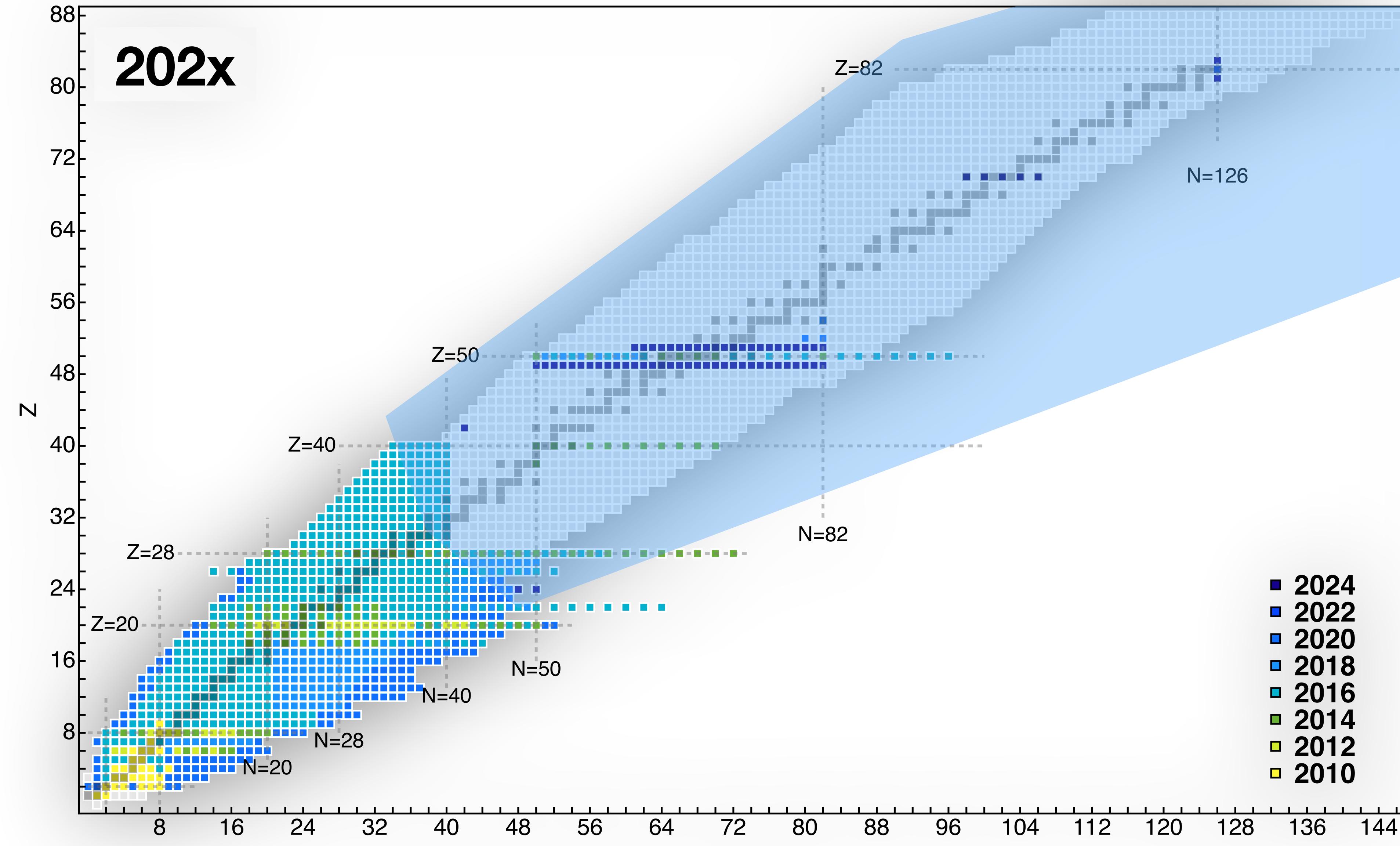
- CC: cluster operator  $T$
- SVD, cross interpolation / CUR, **tensor networks**, ...
  - **symmetries can be an obstacle**
- IMSRG can act as a **disentangler**



# Outlook



[ cf. HH, *Front. Phys.* **8**, 379 (2020) ]



# Acknowledgments



T. S. Blade, S. K. Bogner, B. Clark, P. Cook, M. Gajdosik, P. Gysbers, M. Hjorth-Jensen, D. Lee  
FRIB, Michigan State University

C. Ding, J. M. Yao, E. F. Zhou  
Sun Yat-sen University

M. Caprio, B. He, S. R. Stroberg, S. Vittal  
University of Notre Dame

J. Engel  
University of North Carolina - Chapel Hill

M. Gennari, J. D. Holt, P. Navrátil  
TRIUMF

A. Belley  
MIT

M. Iwen  
CMSE, Michigan State University

K. Hebeler, R. Roth, A. Schwenk, A. Tichai, C.

Wenz  
TU Darmstadt

B. Bally, T. Duguet, V. Somà, L. Zurek  
CEA Saclay

A. McCoy  
Argonne National Laboratory

**Support:** US DOE-SC **DE-SC0023516, DE-SC0023175** (SciDAC NUCLEI Collaboration),  
**DE-SC0023663** (NTNP Topical Collaboration), NSF **PHY-2402275** (@NDBD Fundamental Research Hub)

M. Atkinson, K. Kravvaris  
Lawrence Livermore National Laboratory

T. R. Rodríguez  
Universidad de Seville

S. Bofos, M. Frosini  
CEA Cadarache

T. Miyagi  
University of Tsukuba

V. Cirigliano, W. Dekens, C.-Y. Seng  
Institute for Nuclear Theory, Seattle

S. Gandolfi, E. Mereghetti  
Los Alamos National Laboratory

A.O. Henz  
Oak Ridge National Laboratory

S. Yoshida  
Utsunomiya University

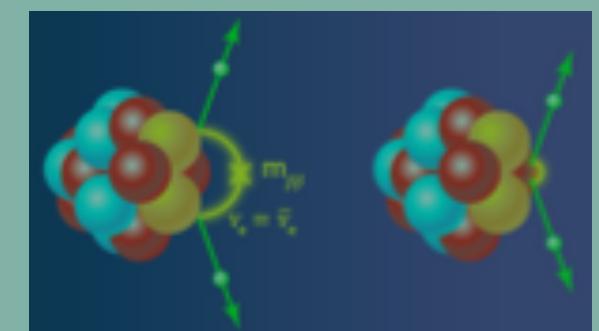
S. König  
North Carolina State University

K. Fossez  
Florida State University

**and many more...**



**NUCLEI**  
Nuclear Computational Low-Energy Initiative



@NDB

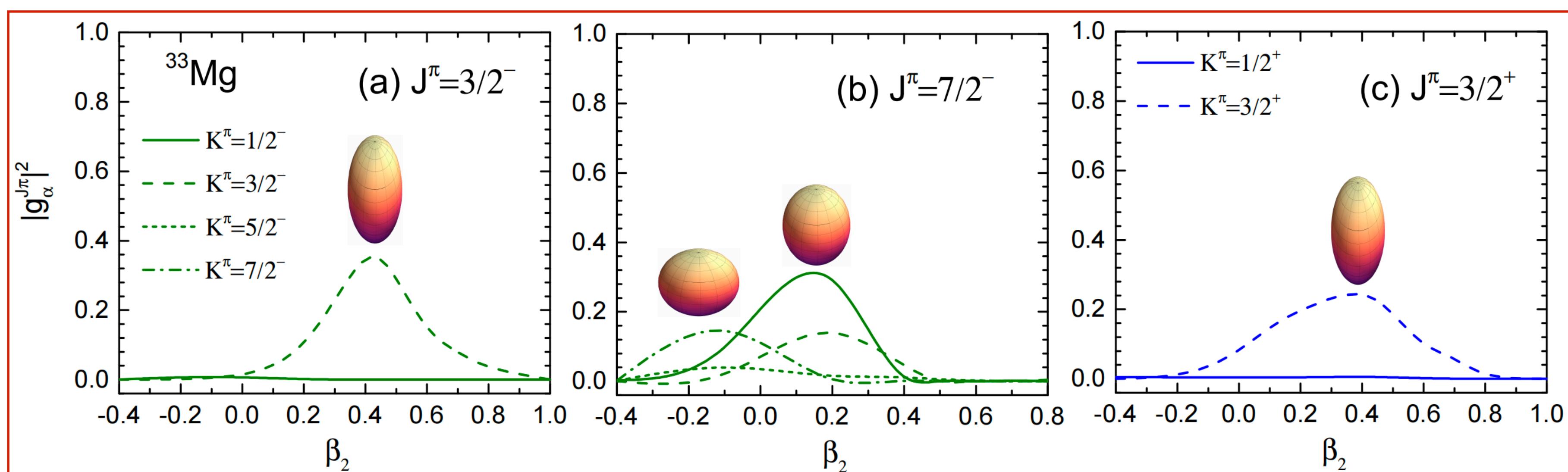
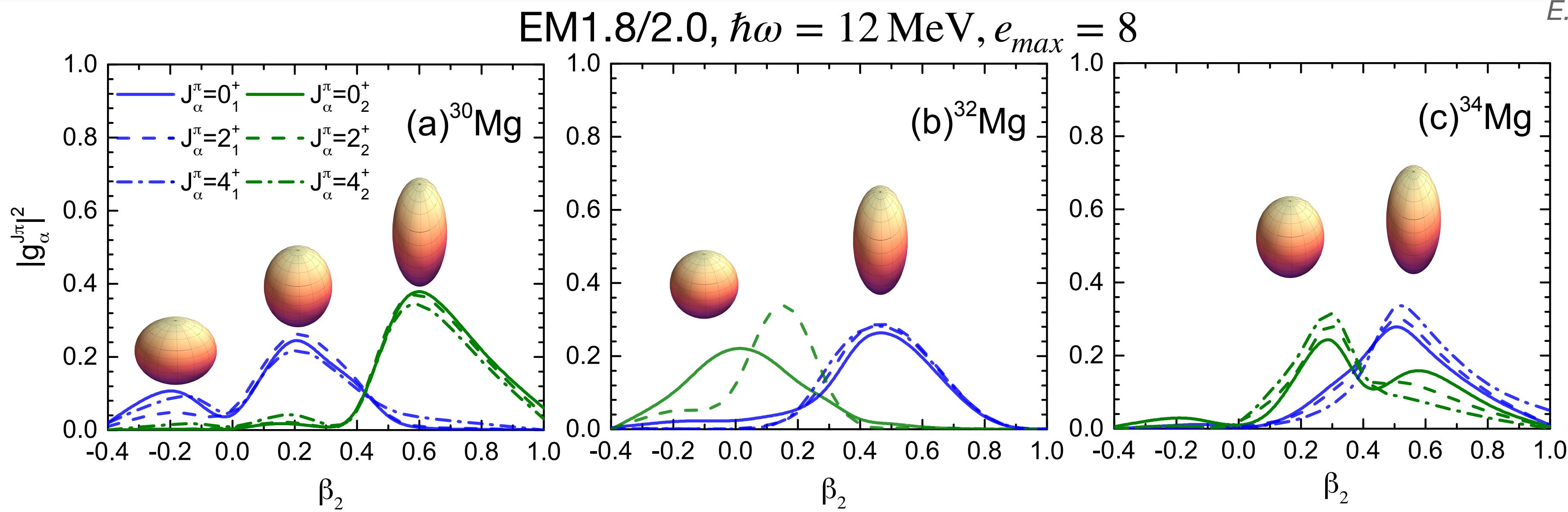
**NTNP Topical  
Collaboration**



# Supplements

# N=20 Island of Inversion: $^{32-34}\text{Mg}$

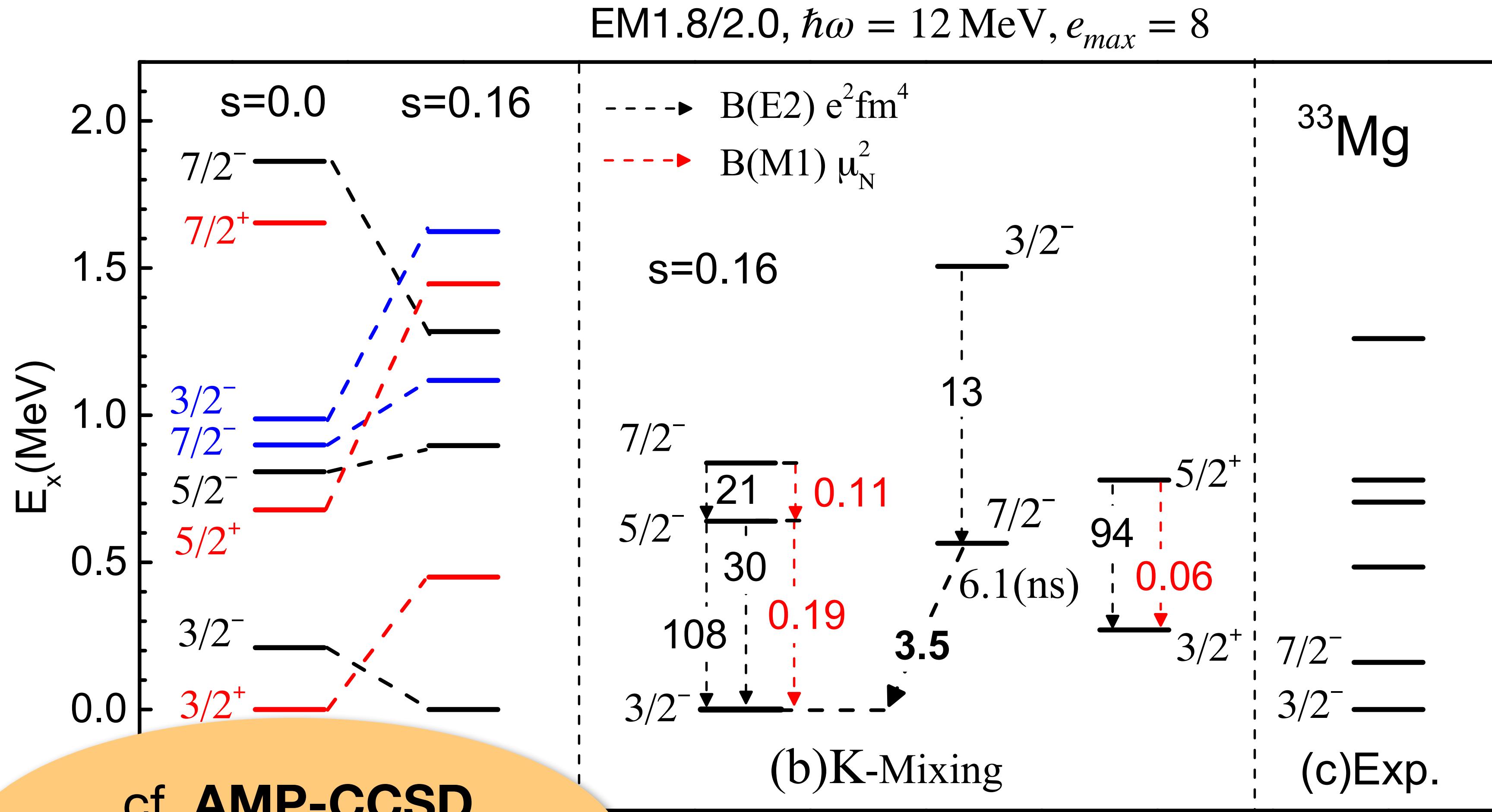
E. F. Zhou et al., PLB 865, 139464 (2025) & in prep.



- **shape coexistence** comes with **shape mixing**
- **caveat:** wave function components are not observable - **compare with care!**

# N=20 Island of Inversion: $^{33}\text{Mg}$

E. F. Zhou et al., PLB 865, 139464 (2025) & in prep.



- **shape and K mixing have notable impact on  $^{33}\text{Mg}$  spectrum**
- **boosts lifetime of  $\frac{7}{2}^-$  state - predicted to be a shape isomer**
- related to  $^{32}\text{Na}$  shape isomer measured at FDSi in 2023 ?  
[Gray et al., PRL 130, 132501]

# (Multireference) EOM-IMSRG



cf. N. M. Parzuchowski et al., PRC95, 044304 (2017)

- describe “excited” states based on reference state  $|\Phi_k\rangle = Q_k^\dagger |\Phi_0\rangle$
- **(MR-)IMSRG effective Hamiltonian** in EOM approach:

$$[H(s), Q_k^\dagger(s)] |\Phi_0\rangle = \omega_k(s) Q_k^\dagger(s) |\Phi_0\rangle, \quad \omega_k(s) \equiv E_k(s) - E_0(s)$$

- **approximations** make  $\omega_k(s)$  **s-dependent**
- ansätze for excitation operators (**g.s. correlations built into Hamiltonian**):

$$Q_k^\dagger(s) = \sum_{pq} (Q^{(k)})_{pq}(s) : a_p^\dagger a_q : + \frac{1}{4} \sum_{pqrs} (Q^{(k)})_{pqrs}(s) : a_p^\dagger a_q^\dagger a_s a_r : + \dots$$

$$Q_k^\dagger(s) = \sum_p (Q_k^{(k)})_p(s) : a_p^\dagger : + \frac{1}{4} \sum_{pqr} (Q^{(k)})_{pqr}(s) : a_p^\dagger a_q^\dagger a_r : + \dots$$

- **polynomial** effort, commutator formulation **identical to flow equations**

# Reshuffling of Correlations

