

Transfer reactions: the DWBA method

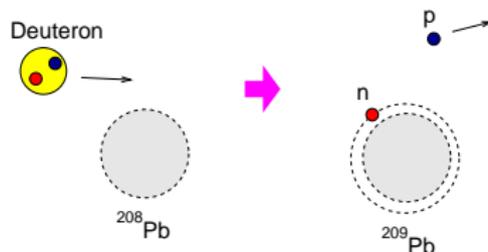
A.M.Moro



July 14, 2025

Material available at: <https://github.com/ammoro/R4E>

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



1 What do we measure in a transfer reaction?

- 1 For a typical transfer reaction (e.g. $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$), one measures the **angular** and **energy** distribution of outgoing fragments (e.g. protons).
- 2 Additionally, one may collect information of decay products of ${}^{209}\text{Pb}$ (e.g. γ -rays, n, p, etc)

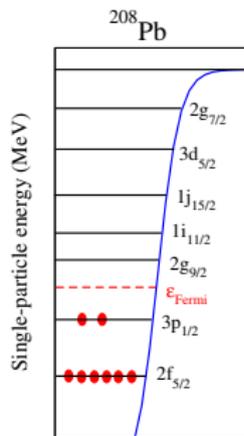
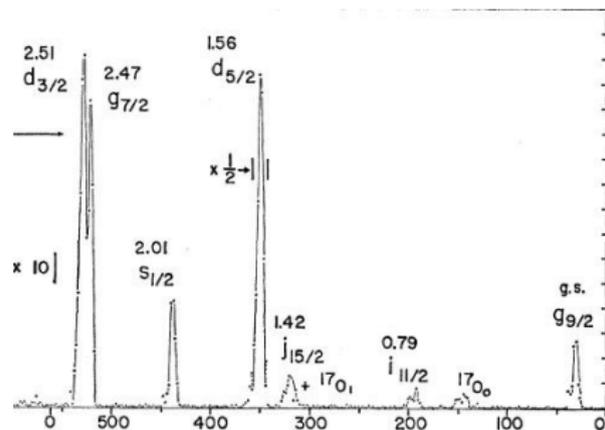
2 What information can we infer from a transfer reaction?

- 1 **Excitation energies** of the residual nucleus (${}^{209}\text{Pb}$).
- 2 **Angular momentum** assignment.
- 3 Single-particle content of populated states (i.e. **spectroscopic factors**).

What do we measure in a transfer reaction?



Phys. Rev. 159 (1967) 1039

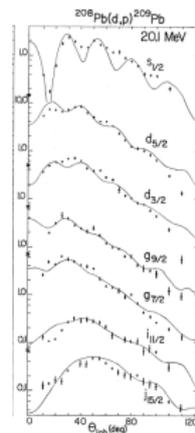
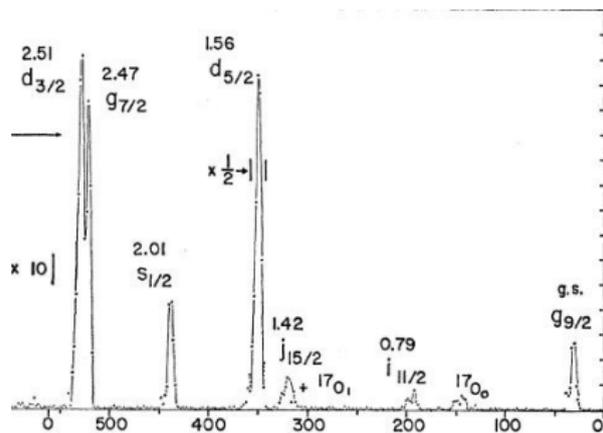


- ☞ The proton energy spectrum shows some peaks which reflect the **energy spectrum** of the residual nucleus (${}^{209}\text{Pb}$).
- ☞ Each peak has a characteristic **angular distribution**, which depends on the structure of the associated state.
- ☞ The population probability will depend on the **reaction dynamics** and on the **structure** properties of these states.

What do we measure in a transfer reaction?

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$

Phys. Rev. 159 (1967) 1039



- ☞ The proton energy spectrum shows some peaks which reflect the **energy spectrum** of the residual nucleus (${}^{209}\text{Pb}$).
- ☞ Each peak has a characteristic **angular distribution**, which depends on the structure of the associated state.
- ☞ The population probability will depend on the **reaction dynamics** and on the **structure** properties of these states.

Consider: $a + A \rightarrow b + B$

- Energy balance (in CM frame):

$$E_{\text{cm}}^i + M_a c^2 + M_A c^2 = E_{\text{cm}}^f + M_b c^2 + M_B c^2$$

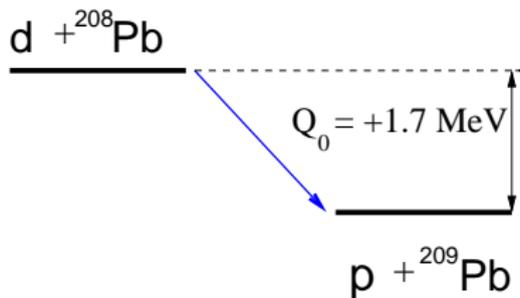
- Q_0 value:

$$Q_0 = M_a c^2 + M_A c^2 - M_b c^2 - M_B c^2$$

$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q_0$$

- $Q_0 > 0$: the system gains kinetic energy (exothermic reaction)
- $Q_0 < 0$: the system loses kinetic energy (endothermic reaction)

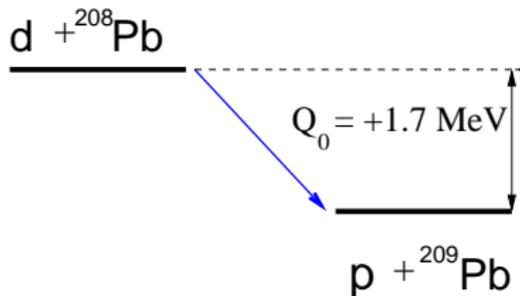
Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



$$Q_0 = M_d c^2 + M({}^{208}\text{Pb})c^2 - M_p c^2 - M({}^{209}\text{Pb})c^2 = +1.7 \text{ MeV}$$

☞ $Q_0 > 0$: the outgoing proton will gain energy with respect to the incident deuteron.

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



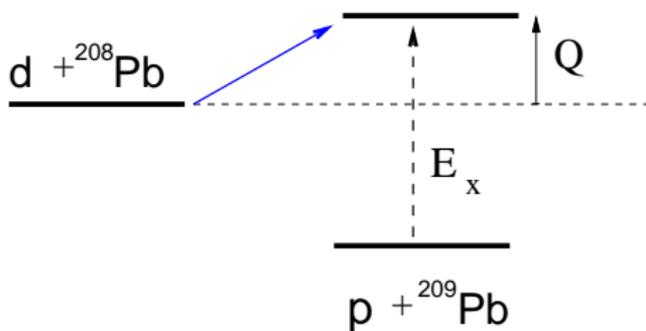
$$Q_0 = M_d c^2 + M({}^{208}\text{Pb})c^2 - M_p c^2 - M({}^{209}\text{Pb})c^2 = +1.7 \text{ MeV}$$

☞ $Q_0 > 0$: the outgoing proton will gain energy with respect to the incident deuteron.

N.b.: For a transfer reaction, the Q value is just the difference in binding energies of the transferred particle/cluster in the initial and final nuclei:

$$Q_0 = \varepsilon_b(f) - \varepsilon_b(i) = 3.936 - 2.224 = +1.7 \text{ MeV}$$

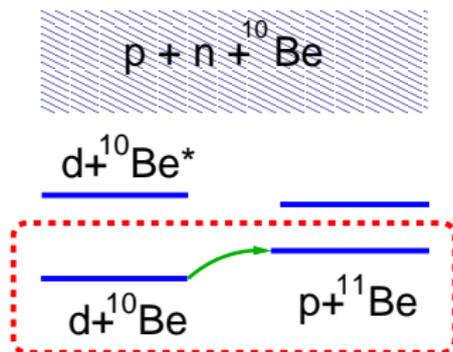
If the transfer leads to an excited state, the Q -value will change accordingly, and hence the kinetic energy of the outgoing nuclei.



Energy balance:

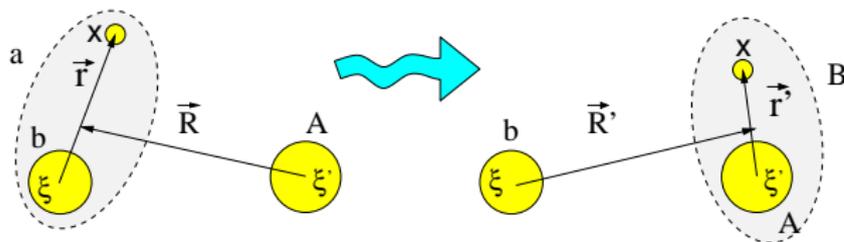
$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q = E_{\text{cm}}^i + Q_0 - E_x$$

☞ If we know Q_0 we can infer the excitation energies (E_x) measuring the final kinetic energy of outgoing fragments.



☞ *In a transfer calculation, the modelspace will contain states belonging to different mass partitions, and hence to different internal Hamiltonians.*

- Transfer process: $\underbrace{(b+x)}_a + A \rightarrow b + \underbrace{(A+x)}_B$



- Complications arise with respect to inelastic scattering because now we have two different mass partitions involved

$$\underbrace{a+A}_\alpha \rightarrow \underbrace{b+B}_\beta$$

- Projectile-target interaction in post representation:

$$V_{\beta}(\mathbf{R}', \mathbf{r}') = V_{xb} + U_{bA} = \underbrace{U_{\beta}(\mathbf{R}')}_{\text{Aux. pot.}} + \underbrace{[V_{xb} + U_{bA} - U_{\beta}(\mathbf{R}')]_{\text{Resid. inter.}}} \equiv U_{\beta}(\mathbf{R}') + \Delta V_{\beta}$$

- Differential cross section: In general, $\left(\frac{d\sigma}{d\Omega}\right)_{(\beta,\alpha)} = \frac{\mu_{\alpha}\mu_{\beta}}{(2\pi\hbar^2)^2} |\mathcal{T}_{\beta,\alpha}|^2$

- In DWBA:

$$\mathcal{T}_{\beta,\alpha}(\theta) = \int \underbrace{\chi_{\beta}^{(-)*}(\mathbf{K}_{\beta}, \mathbf{R}') \Phi_{\beta}^{*}(\xi_{\beta})}_{\text{final state}} \Delta V_{\beta} \underbrace{\chi_{\alpha}^{+}(\mathbf{K}_{\alpha}, \mathbf{R}) \Phi_{\alpha}(\xi_{\alpha})}_{\text{initial state}} \underbrace{d\xi_{\beta} d\mathbf{R}'}_{\text{(all coordinates)}}$$

- Initial and final internal states:

$$\text{Initial state: } \Phi_{\alpha}(\xi_{\alpha}) = \varphi_a(\xi, \mathbf{r}) \Phi_A(\xi') \quad \xi_{\alpha} \equiv \{\xi, \xi', \mathbf{r}\}$$

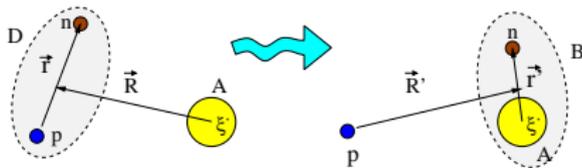
$$\text{Final state: } \Phi_{\beta}(\xi_{\beta}) = \varphi_b(\xi) \Phi_B(\xi', \mathbf{r}') \quad \xi_{\beta} \equiv \{\xi, \xi', \mathbf{r}'\}$$

- $\chi_{\alpha\beta}^{(\pm)}$ are distorted waves for entrance and exit channels, obtained with appropriate optical potentials $U_{\alpha}(\mathbf{R}), U_{\beta}(\mathbf{R}')$

$$\left[E_{\text{c.m.}} - \hat{T}_{\mathbf{R}} - U_{\alpha}(\mathbf{R}) \right] \chi_{\alpha}^{+}(\mathbf{K}_{\alpha}, \mathbf{R}) = 0$$

$$\left[E'_{\text{c.m.}} - \hat{T}_{\mathbf{R}'} - U_{\beta}(\mathbf{R}') \right] \chi_{\beta}^{+}(\mathbf{K}_{\beta}, \mathbf{R}') = 0$$

The important (d, p) case



- ➔ Introduce auxiliary potentials in entrance ($U_\alpha(\mathbf{R})$) and exit ($U_\beta(\mathbf{R}')$) channels.
- ➔ Projectile-target interaction: $V_\beta = V_{pn} + U_{pA} = U_{pB}(\mathbf{R}') + \underbrace{V_{pn} + U_{pA} - U_{pB}}_{\Delta V_\beta} \equiv U_\beta(\mathbf{R}') + \Delta V_\beta$
- ➔ Internal states:

$$\begin{aligned}\Phi_\alpha^{(0)}(\xi_\alpha) &= \varphi_d(\mathbf{r})\phi_A(\xi') & \xi_\alpha &= \{\xi', \mathbf{r}\} \\ \Phi_\beta(\xi_\beta) &= \Phi_B(\xi', \mathbf{r}') & \xi_\beta &= \{\xi', \mathbf{r}'\}\end{aligned}$$

- ➔ Post-form DWBA transition amplitude:

$$\mathcal{T}_{d,p}^{\text{DWBA}} = \int \int \chi_p^{(-)*}(\mathbf{K}_p, \mathbf{R}') \Phi_B(\xi', \mathbf{r}') (V_{pn} + U_{pA} - U_{pB}) \chi_d^{(+)}(\mathbf{K}_d, \mathbf{R}) \varphi_d(\mathbf{r}) \phi_A(\xi') d\xi_\beta d\mathbf{R}'$$

- ➔ For medium-mass/heavy targets: $U_{pA} \approx U_{pB} \Rightarrow V_{pn} + U_{pA} - U_{pB} \approx V_{pn}(\mathbf{r})$

⇒ We need to evaluate the **overlap integral**

$$\int d\xi' \phi_B^*(\xi', \mathbf{r}') \phi_A(\xi') \equiv \langle \phi_B | \phi_A \rangle$$

⇒ Use the **parentage decomposition** of $B \rightarrow A + n$

$$\Phi_B(\xi', \mathbf{r}') = \mathcal{A}_{BA}^{\ell j l} \phi_A(\xi') \varphi_{nA}^{\ell j l}(\mathbf{r}') + \sum_{A' \neq A} \phi_{A'}(\xi') \varphi_{nA'}^{\ell' j' l'}(\mathbf{r}')$$

$$\Rightarrow \langle \phi_B | \phi_A \rangle = \mathcal{A}_{BA}^{\ell j l} \varphi_{nA}^{\ell j l}(\mathbf{r}')$$

⇒ $\mathcal{A}_{BA}^{\ell j l}$ = spectroscopic amplitude

⇒ $|\mathcal{A}_{BA}^{\ell j l}|^2 = S_{BA}^{\ell j l}$ = spectroscopic factor

⇒ $\varphi_{nA}^{\ell j l}(\mathbf{r}')$ = single-particle wavefunction describing motion of n with respect to A .

☞ *The spectroscopic factor quantifies how much a given nuclear state (B) resembles a particular configuration of a core nucleus (A) plus a nucleon (or cluster of nucleons) in a given single-particle configuration.*

❶ Double-magic nucleus plus a single nucleon:

$$|^{209}\text{Bi}(\text{g.s.})\rangle_{9/2^-} \approx \left[|^{208}\text{Pb}(0^+)\rangle \otimes |\pi 1h_{9/2}\rangle \right]_{9/2^-}$$

☞ *almost* single-particle configuration ($S_{IJ}^{\ell sj} \approx 1$).

❷ Deformed core plus an extra nucleon:

$$|^{11}\text{Be}(\text{gs})\rangle_{1/2^+} = \alpha \left[|^{10}\text{Be}(0^+)\rangle \otimes |\nu 2s_{1/2}\rangle \right]_{1/2^+} + \beta \left[|^{10}\text{Be}(2^+)\rangle \otimes |\nu 1d_{5/2}\rangle \right]_{1/2^+} + \dots$$

with $|\alpha|^2 + |\beta|^2 + \dots \approx 1$

❸ Due to indistinguishability of neutrons (or protons) the SF can be even larger than 1!

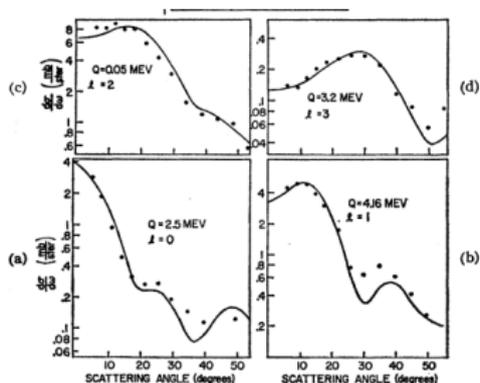
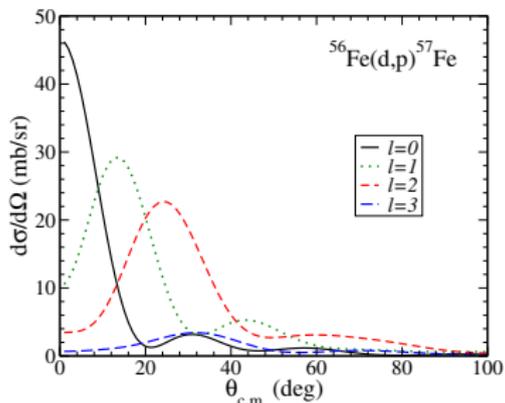
⇒ In post form:

$$\mathcal{T}_{d,p}^{\text{DWBA}} = \mathcal{A}_{BA}^{\ell j l} \int \int \chi_p^{(-)*}(\mathbf{K}_p, \mathbf{R}') \varphi_{nA}^{\ell j l, *}(\mathbf{r}') V_{pn}(\mathbf{r}) \chi_d^{(+)}(\mathbf{K}_d, \mathbf{R}) \varphi_d(\mathbf{r}) d\mathbf{r}' d\mathbf{R}'$$

$$\left(\frac{d\sigma}{d\Omega} \right)_{(d,p)} = \frac{\mu_\alpha \mu_\beta}{(2\pi\hbar^2)^2} S_{BA}^{\ell j l} \left| \int \int \chi_p^{(-)*}(\mathbf{K}_p, \mathbf{R}') \varphi_{nA}^{\ell j l, *}(\mathbf{r}') V_{pn}(\mathbf{r}) \chi_d^{(+)}(\mathbf{K}_d, \mathbf{R}) \varphi_d(\mathbf{r}) d\mathbf{r}' d\mathbf{R}' \right|^2$$

$$|\mathcal{A}_{BA}^{\ell j l}|^2 = S_{BA}^{\ell j l} = \text{spectroscopic factor}$$

☞ In DWBA, the transfer cross section is proportional to the product of the projectile and target spectroscopic factors. Comparing the data with DWBA calculations, one can extract the values of $S_{BA}^{\ell j l}$



Angular distributions of transfer cross sections are very sensitive to the single-particle configuration of the transferred nucleon/s. $\Rightarrow \varphi_{nlj}(\mathbf{r})$

From classical arguments, and assuming an infinite mass target, the angle of the first maximum appears at:

$$\theta_{\max} \approx \arcsin\left(\frac{\sqrt{\ell(\ell+1)}\hbar}{P_i R}\right) = \arcsin\left(\frac{\sqrt{\ell(\ell+1)}}{K_0 R}\right)$$

with P_i the incident momentum of the projectile and R the distance at grazing.

1 Excitation energies of residual nucleus

⇒ *The Q-value is related to the masses and excitation energies*

2 Spectroscopic factors (related to occupation numbers)

⇒ In DWBA, $\sigma^{\ell j l} \propto S_{BA}^{\ell j l}$

3 Angular momentum of populated states.

⇒ For heavy targets, the first maximum occurs at:

$$\theta_{\max} \approx \arcsin \left(\frac{\sqrt{\ell(\ell+1)}\hbar}{P_i R} \right)$$

$$|^{11}\text{Be}\rangle = \alpha |^{10}\text{Be}(0^+) \otimes \nu 2s_{1/2}\rangle + \beta |^{10}\text{Be}(2^+) \otimes \nu 1d_{5/2}\rangle + \dots$$

⇒ In DWBA:

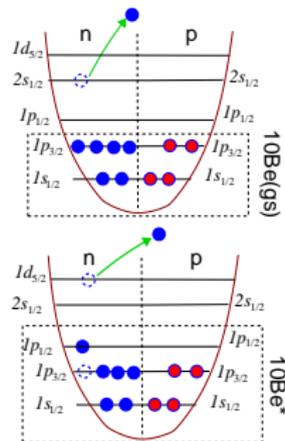
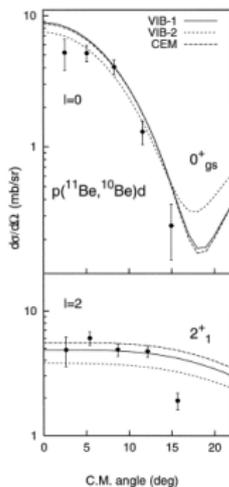
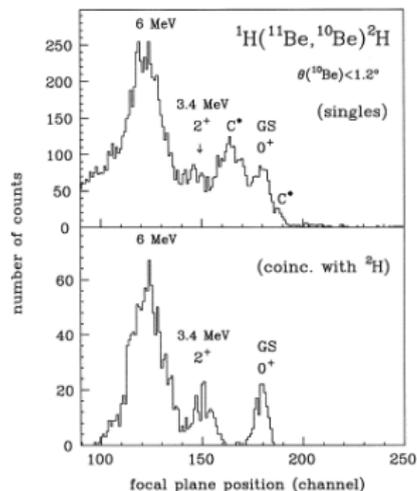
$$\sigma(0^+) \propto |\alpha|^2; \quad \sigma(2^+) \propto |\beta|^2$$

$$|^{11}\text{Be}\rangle = \alpha |^{10}\text{Be}(0^+) \otimes \nu 2s_{1/2}\rangle + \beta |^{10}\text{Be}(2^+) \otimes \nu 1d_{5/2}\rangle + \dots$$

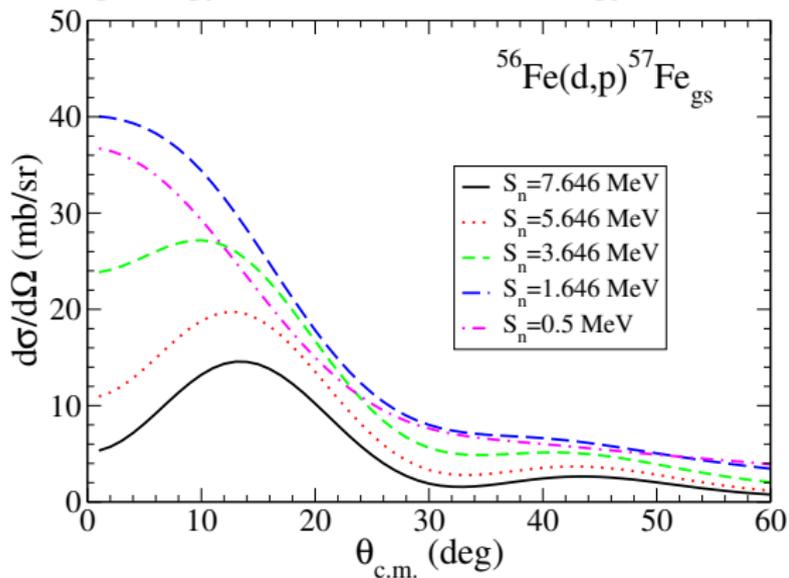
⇒ In DWBA:

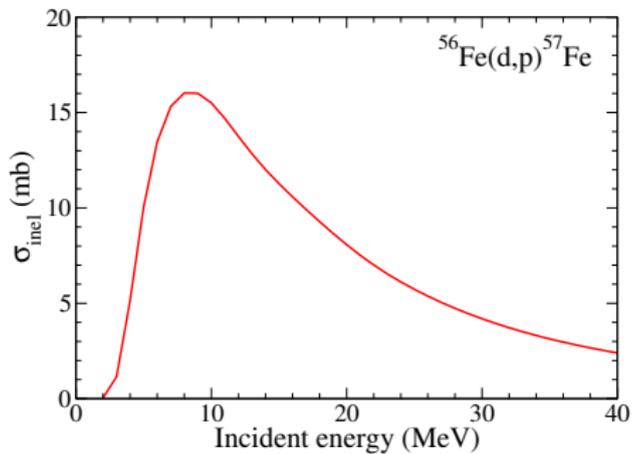
$$\sigma(0^+) \propto |\alpha|^2; \quad \sigma(2^+) \propto |\beta|^2$$

Fortier et al, PLB461, 22 (1999)

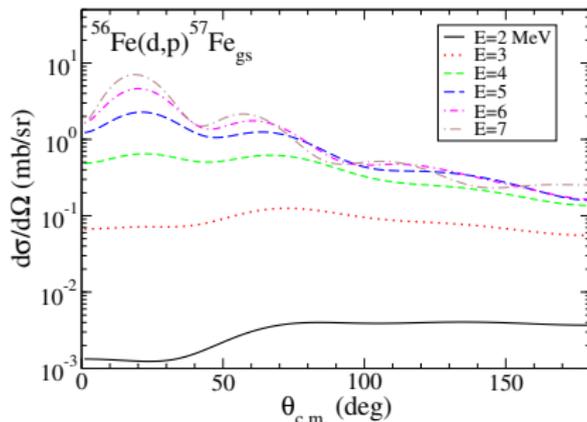


Dependence with binding energy for a fixed incident energy (12 MeV):





- $E \gg V_b$: diffractive structure, forward peaked.
- $E \ll V_b$: smooth dependence with θ , backward peaked.



⇒ At sub-Coulomb energies, the angular distribution is weakly sensitive to ℓ transfer (but sensitive to other parameters, such as the tail of the bound-state wavefunction)