

Optical Model and Inelastic Scattering

Mario Gómez-Ramos, Antonio M. Moro



Universidad de Sevilla, Spain

Scattering amplitude and cross sections

Asymptotically, when the projectile and target are well far apart,

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \xrightarrow{R_\alpha \gg} \Phi_\alpha(\xi_\alpha) e^{i\mathbf{K}_\alpha \cdot \mathbf{R}_\alpha} + \Phi_\alpha(\xi_\alpha) f_{\alpha,\alpha}(\theta) \frac{e^{iK_\alpha R_\alpha}}{R_\alpha} \quad (\text{elastic})$$

$$+ \sum_{\alpha' \neq \alpha} \Phi_{\alpha'}(\xi_\alpha) f_{\alpha',\alpha}(\theta) \frac{e^{iK_{\alpha'} R_\alpha}}{R_\alpha} \quad (\text{inelastic})$$

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \xrightarrow{R_\beta \gg} \sum_{\beta} \Phi_\beta(\xi_\beta) f_{\beta,\alpha}(\theta) \frac{e^{iK_\beta R_\beta}}{R_\beta} \quad (\text{transfer})$$

where the function $f_{\beta,\alpha}$ modulating the outgoing waves is called **scattering amplitude**

Cross sections:

$$\left(\frac{d\sigma}{d\Omega} \right)_{\alpha \rightarrow \beta} = \frac{\mu_\alpha}{\mu_\beta} \frac{K_\beta}{K_\alpha} |f_{\beta,\alpha}(\theta)|^2$$

$$E = \frac{\hbar^2 K_\alpha^2}{2\mu_\alpha} + \varepsilon_\alpha = \frac{\hbar^2 K_\beta^2}{2\mu_\beta} + \varepsilon_\beta$$

Single-channel approach to elastic scattering: the optical model

Elastic scattering in the optical model (no spin case)

- Effective Hamiltonian:

$$H = T_{\mathbf{R}} + U(\mathbf{R}) \quad (U(\mathbf{R}) \text{ complex!})$$

- Schrödinger equation:

$$[T_{\mathbf{R}} + U(\mathbf{R}) - E_{\alpha}] \chi_0^{(+)}(\mathbf{K}, \mathbf{R}) = 0 \quad (E_{\alpha} = \text{incident energy in CM})$$

- Boundary condition: Plane wave plus spherical wave, multiplied by the scattering amplitude $f(\theta, \phi)$:

$$\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) \rightarrow e^{i\mathbf{K} \cdot \mathbf{R}} + f(\theta, \phi) \frac{e^{iKR}}{R} \quad K = \frac{\sqrt{2\mu E_{\alpha}}}{\hbar}$$

- Elastic differential cross section:

$$\frac{d\sigma}{d\Omega} = |f(\theta, \phi)|^2$$

Partial wave decomposition

- For a central potential [$U(\mathbf{R}) = U(R)$], the scattering wavefunction can be expanded in spherical harmonics:

$$\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) = \frac{1}{KR} \sum_{\ell} i^{\ell} \chi_{\ell}(K, R) (2\ell + 1) P_{\ell}(\cos \theta) \quad (\theta = \text{scattering angle})$$

- The radial wavefunctions $\chi_{\ell}(K, R)$ satisfy the equation:

$$\left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \frac{\hbar^2}{2\mu} \frac{\ell(\ell + 1)}{R^2} + U(R) - E_0 \right] \chi_{\ell}(K, R) = 0.$$

- For a zero potential ($U = 0$) the solution is just the plane wave:

$$\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) = e^{i\mathbf{K}\mathbf{R}} \quad \Rightarrow \quad \chi_{\ell}(K, R) \rightarrow F_{\ell}(KR) = \frac{i}{2} [H_{\ell}^{(-)}(KR) - H_{\ell}^{(+)}(KR)]$$

where: $F_{\ell}(KR) \rightarrow \sin(KR - \ell\pi/2)$; $H_{\ell}^{(\pm)}(KR) \rightarrow e^{\pm i(KR - \ell\pi/2)}$

Non-zero potential: asymptotic behaviour

- For $R \gg \Rightarrow U(R) = 0 \Rightarrow \chi_\ell(K, R)$ will be a combination of F_ℓ and G_ℓ

$$F_\ell(KR) \rightarrow \sin(KR - \ell\pi/2) \quad G_\ell(KR) \rightarrow \cos(KR - \ell\pi/2)$$

or their *outgoing/ingoing* combinations:

$$H^{(\pm)}(KR) \equiv G_\ell(KR) \pm iF_\ell(KR) \rightarrow e^{\pm i(KR - \ell\pi/2)}$$

- The specific combination is determined by the physical boundary condition:

$$\begin{array}{rcccl} \chi_0^{(+)}(\mathbf{K}\mathbf{R}) & \rightarrow & e^{i\mathbf{K}\cdot\mathbf{R}} & + & f(\theta) \frac{e^{iKR}}{R} \\ \Downarrow & & \Downarrow & & \Downarrow \\ U = 0 & \chi_\ell(KR) & \rightarrow & F_\ell(KR) & + & 0 \\ U \neq 0 & \chi_\ell(KR) & \rightarrow & F_\ell(KR) & + & T_\ell H^{(+)}(KR) \end{array}$$

 The coefficients T_ℓ are to be determined by numerical integration.

Numerical integration of Schrodinger equation

- 1 Fix a *matching radius*, R_m , such that $U(R_m) \approx 0$
- 2 Integrate $\chi_\ell(R)$ from $R = 0$ up to R_m , starting with the condition:

$$\lim_{R \rightarrow 0} \chi_\ell(K, R) = 0$$

- 3 At $R = R_m$ impose the boundary condition:

$$\begin{aligned}
 \chi_\ell(K, R) &\rightarrow F_\ell(KR) + T_\ell H_\ell^{(+)}(KR) \\
 &= \frac{i}{2} [H_\ell^{(-)}(KR) - S_\ell H_\ell^{(+)}(KR)]
 \end{aligned}$$

☞ $S_\ell = 1 + 2iT_\ell = \mathbf{S}\text{-matrix}$

- 4 Phase-shifts:

$$S_\ell \equiv e^{i2\delta_\ell}$$

$$T_\ell = e^{i\delta_\ell} \sin(\delta_\ell)$$

$$\chi_\ell(K, R) \rightarrow e^{i\delta_\ell} \sin(KR + \delta_\ell - \ell\pi/2)$$

The scattering amplitude

- Replace the asymptotic $\chi_\ell(K, R)$ in the general expansion:

$$\begin{aligned}
 \chi^{(+)}(\mathbf{K}, \mathbf{R}) &= \frac{1}{KR} \sum_{\ell} i^{\ell} (2\ell + 1) \chi_{\ell}(K, R) P_{\ell}(\cos \theta) \\
 &\rightarrow \frac{1}{KR} \sum_{\ell} i^{\ell} (2\ell + 1) \left[F_{\ell}(KR) + T_{\ell} H^{(+)}(KR) \right] P_{\ell}(\cos \theta)
 \end{aligned}$$

- The scattering amplitude is the coefficient of e^{iKR}/R in $\chi^{(+)}(\mathbf{K}, \mathbf{R})$:

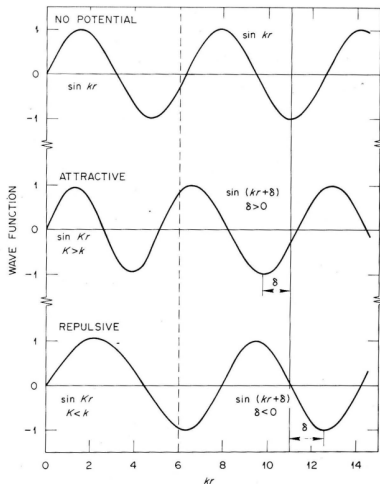
$$f(\theta) = \frac{1}{2iK} \sum_{\ell} (2\ell + 1) (S_{\ell} - 1) P_{\ell}(\cos \theta).$$

- Elastic differential cross section:

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2$$

Interpretation of the S-matrix (single-channel case)

- S_ℓ = coefficient of the outgoing wave for partial wave ℓ .
- For $U = 0 \Rightarrow S_\ell = 1$
- $|S_\ell|^2$ is the *survival* probability for the partial wave ℓ :
 - U real $\Rightarrow |S_\ell| = 1 \Rightarrow \delta_\ell$ real
 - U complex $\Rightarrow |S_\ell| < 1 \Rightarrow \delta_\ell$ complex
- For $\ell \gg \Rightarrow S_\ell \rightarrow 1$
- Sign of $Re[\delta]$:
 - $Re[\delta] > 0 \Rightarrow$ attractive potential
 - $Re[\delta] < 0 \Rightarrow$ repulsive potential
 - $Re[\delta] = 0$ ($S_\ell = 1$) $\Rightarrow$ no potential ($U(R) = 0$)



Coulomb plus nuclear case

Radial equation:

$$\left[\frac{d^2}{dR^2} + K^2 - \frac{2\eta K}{R} + \frac{2\mu}{\hbar^2} U(R) + \frac{\ell(\ell+1)}{R^2} \right] \chi_\ell(K, R) = 0$$

$$\eta = \frac{Z_p Z_t e^2}{4\pi\epsilon_0 \hbar v} = \frac{Z_p Z_t e^2 \mu}{4\pi\epsilon_0 \hbar^2 K}$$

(Sommerfeld parameter)

Asymptotic condition:

$$\chi^{(+)}(\mathbf{K}, \mathbf{R}) \rightarrow e^{i[\mathbf{K} \cdot \mathbf{R} + \eta \log(kR - \mathbf{K} \cdot \mathbf{R})]} + f(\theta) \frac{e^{i(KR - \eta \log 2KR)}}{R}$$

$$\chi_\ell(K, R) \rightarrow \frac{i}{2} e^{i\sigma_\ell} \left[H_\ell^{(-)}(\eta, KR) - S_\ell H_\ell^{(+)}(\eta, KR) \right]$$

- ☞ $\sigma_\ell(\eta)$ = Coulomb phase shift
- ☞ $F_\ell(\eta, KR)$ = regular Coulomb wave
- ☞ $H_\ell^{(\pm)}(\eta, KR)$ = outgoing/ingoing Coulomb wave

Coulomb plus nuclear case: scattering amplitude

Total scattering amplitude:

$$f(\theta) = f_C(\theta) + \frac{1}{2iK} \sum_{\ell} (2\ell + 1) e^{2i\sigma_{\ell}} (S_{\ell} - 1) P_{\ell}(\cos \theta)$$

☞ $f_C(\theta)$ is the amplitude for pure Coulomb:

$$\frac{d\sigma_R}{d\Omega} = |f_C(\theta)|^2 = \frac{\eta^2}{4K^2 \sin^4(\frac{1}{2}\theta)} = \left(\frac{Z_p Z_t e^2}{16\pi\epsilon_0 E} \right)^2 \frac{1}{\sin^4(\frac{1}{2}\theta)}$$

Deflection function and classical cross section

- For a given projectile-target potential $V(r)$, the deflection function can be obtained for each impact parameter solving:

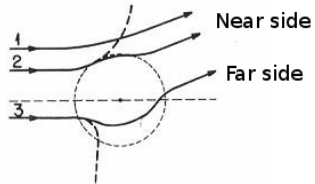
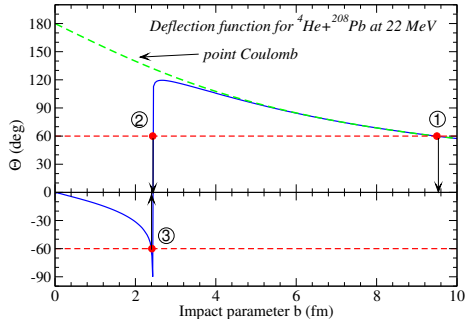
$$\Theta(b) = \pi - 2 \int_{r_0}^{\infty} \frac{b}{r^2} \frac{dr}{\sqrt{1 - \frac{V(r)}{E} - \frac{b^2}{r^2}}}$$

- The **classical scattering cross section** is a function of the deflection function (or scattering angle) according to:

$$\frac{d\sigma}{d\Omega} = \frac{b}{\sin(\theta)} \left| \frac{db}{d\theta} \right|$$

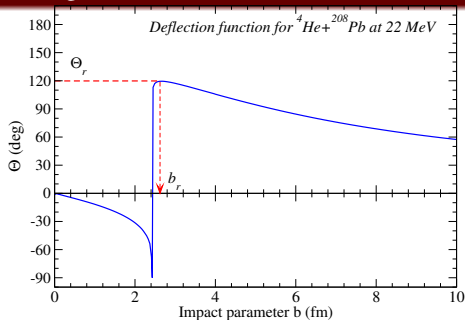
Coulomb + nuclear scattering: deflection function

For large values of b , the scattering is Coulombic (the projectile does not feel the nuclear potential).



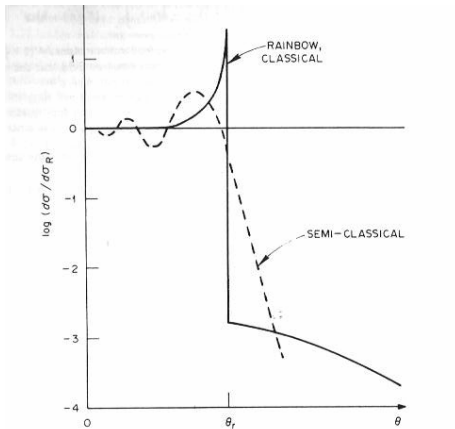
For a given scattering angle θ there are in general 3 values of b contributing to this angle. (1) is the Coulomb trajectory, (2) is the nuclear near-side trajectory, and (3) is the nuclear far-side trajectory.

Coulomb + nuclear scattering: Rainbow



- ☞ The deflection function has a maximum at $b = b_r \rightarrow \Theta_r$ (rainbow angle)
- ☞ For $b = b_r$: $\frac{d\Theta}{db} = 0 \Rightarrow \frac{db}{d\Theta} = \infty \Rightarrow \frac{d\sigma}{d\Omega} \rightarrow \infty$
- ☞ In the vicinity of b_r , many trajectories give approximately the same scattering angle (Θ_r)
- ☞ For angles greater than the rainbow ($\theta > \Theta_r$), neither the Coulomb trajectories nor the nuclear nearside trajectories contribute to the cross section so, classically, there is a sharp decrease in the differential cross section for ($\theta > \Theta_r$). This is the “shadow region”.

Coulomb + nuclear scattering: undulatory effects



☞ In a treatment beyond the classical limit, several trajectories may interfere, and the divergence at the rainbow is smoothed.

Elastic scattering phenomenology

Nucleus-nucleus scattering: Optical Potential

Optical potential: $\mathcal{V} \approx U(r) = U_{\text{nuc}}(r) + V_{\text{coul}}(r)$

- **Coulomb potential:** charge sphere distribution

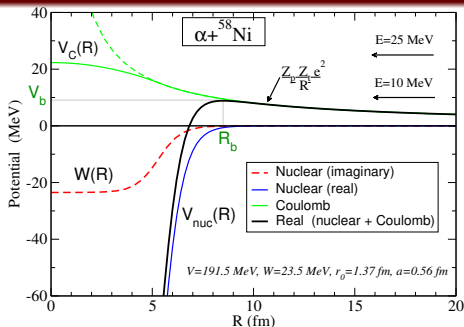
$$V_{\text{coul}}(r) = \begin{cases} \frac{Z_1 Z_2 e^2}{2R_c} \left(3 - \frac{r^2}{R_c^2} \right) & \text{if } r \leq R_c \\ \frac{Z_1 Z_2 e^2}{r} & \text{if } r \geq R_c \end{cases}$$

- **Nuclear potential (complex):** Eg. Woods-Saxon parametrization

$$U_{\text{nuc}}(r) = V(r) + iW(r) = -\frac{V_0(E)}{1 + \exp\left(\frac{r-R_V}{a_V}\right)} - i \frac{W_0(E)}{1 + \exp\left(\frac{r-R_W}{a_W}\right)}$$

- **Potential parameters:** 6, fitted to reproduce the elastic differential cross sections.
 - Depths $V_0(E)$, $W_0(E)$;
 - Radii $R_{V,W} = r_{V,W}(A_p^{1/3} + A_t^{1/3})$. $r_V \approx r_W \sim 1.1 - 1.4$ fm.
 - Difuseness $a_V \approx a_W \sim 0.5 - 0.7$ fm

Nucleus-nucleus scattering: The Coulomb barrier



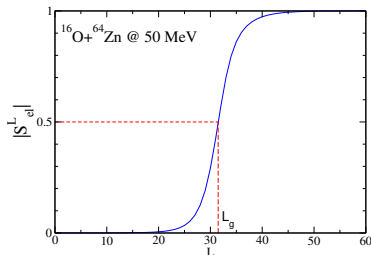
- The maximum of $V_N(r) + V_C(r)$ defines the Coulomb barrier. The radius of the barrier is R_b . The height of the barrier is $V_b = V_N(R_b) + V_C(R_b)$
- As a **rough approximation**,

$$R_b \simeq 1.44(A_p^{1/3} + A_t^{1/3}) \text{ fm}$$

$$V_b \simeq \frac{Z_p Z_t e^2}{4\pi\epsilon_0 R_b} \approx \frac{Z_p Z_t}{(A_p^{1/3} + A_t^{1/3})} [\text{MeV}]$$

Nucleus-Nucleus Elastic scattering: Strong absorption

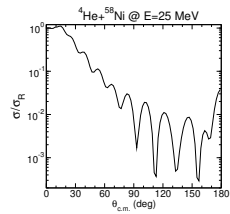
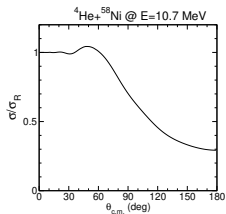
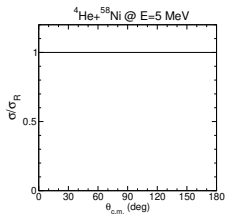
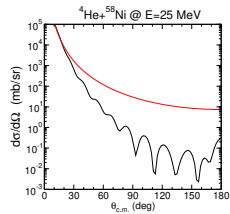
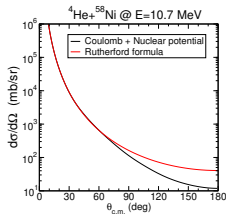
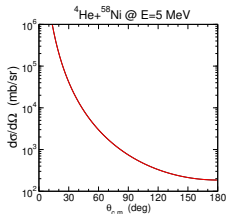
- The **nuclear attraction** is determined by the **real part** of the optical potential $V(r)$. Together with the Coulomb potential, determines the Coulomb barrier.
- The **absorption**, which corresponds to the removal of flux from the elastic channel, is determined by the **imaginary part** of the optical potential $W(r)$.
- Elastic scattering of heavy nuclei (beyond He) displays strong absorption. One can define a **grazing angular momentum** (ℓ_g), such that:
 - $|S_\ell| \approx 0$ when $\ell \ll \ell_g$ and $|S_\ell| \rightarrow 1$ when $\ell \gg \ell_g$.
 - A convenient quantitative definition of the grazing angular momentum (ℓ_g) is provided by the condition $|S(\ell_g)| \simeq \frac{1}{2}$



Patterns of elastic scattering: Energy dependence

- The semi-classical vs quantum character of the scattering can be given in terms of the Sommerfeld parameter: $\eta = \frac{Z_p Z_t e^2}{4\pi\epsilon_0 \hbar v}$
- The Coulomb vs nuclear relevance, in terms of the energy of the Coulomb barrier: $V_b \simeq \frac{Z_p Z_t}{A_p^{1/3} + A_t^{1/3}} \text{ [MeV]}$
- Three distinct patterns appear for the elastic cross sections
 - Nuclear relevant $E > V_b$, quantum $\eta \lesssim 1 \Rightarrow$ Fraunhofer scattering
 - Nuclear relevant $E > V_b$, semiclassical $\eta \gg 1 \Rightarrow$ Fresnel scattering
 - Coulomb-dominated $E < V_b \Rightarrow$ Rutherford scattering

Patterns of elastic scattering: $^4\text{He}+^{58}\text{Ni}$ example



Rutherford scattering

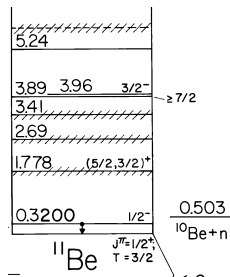
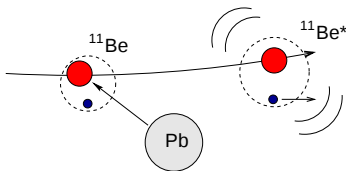
Fresnel Scattering

Fraunhofer Scattering

Inelastic scattering

Inelastic scattering to bound states

- Nuclei are not inert or *frozen* objects; they do have an internal structure of protons and neutrons that can be modified (excited) during the collision.
- Quantum systems exhibit, in general, an energy spectrum with bound and unbound levels.



Formal treatment of inelastic scattering

Asymptotically, when the projectile and target are well far apart,

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \xrightarrow{R_\alpha \gg} \Phi_\alpha(\xi_\alpha) e^{i\mathbf{K}_\alpha \cdot \mathbf{R}_\alpha} + \Phi_\alpha(\xi_\alpha) f_{\alpha,\alpha}(\theta) \frac{e^{iK_\alpha R_\alpha}}{R_\alpha} \quad (\text{elastic})$$

$$+ \sum_{\alpha' \neq \alpha} \Phi_{\alpha'}(\xi_\alpha) f_{\alpha',\alpha}(\theta) \frac{e^{iK_{\alpha'} R_\alpha}}{R_\alpha} \quad (\text{inelastic})$$

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \xrightarrow{R_\beta \gg} \sum_{\beta} \Phi_\beta(\xi_\beta) f_{\beta,\alpha}(\theta) \frac{e^{iK_\beta R_\beta}}{R_\beta} \quad (\text{transfer})$$

where the function $f_{\beta,\alpha}$ modulating the outgoing waves is called **scattering amplitude**

Cross sections:

$$\left(\frac{d\sigma}{d\Omega} \right)_{\alpha \rightarrow \beta} = \frac{\mu_\alpha}{\mu_\beta} \frac{K_\beta}{K_\alpha} |f_{\beta,\alpha}(\theta)|^2$$

$$E = \frac{\hbar^2 K_\alpha^2}{2\mu_\alpha} + \varepsilon_\alpha = \frac{\hbar^2 K_\beta^2}{2\mu_\beta} + \varepsilon_\beta$$

The coupled-channels method

The coupled-channels method for inelastic scattering

We need to incorporate explicitly in the Hamiltonian the internal structure of the nucleus being excited (e.g. **projectile**).

$$H = T_R + h(\xi) + V(\mathbf{R}, \xi)$$

- T_R : Kinetic energy for projectile-target relative motion.
- $\{\xi\}$: Internal degrees of freedom of the projectile (depend on the model).
- $V(\mathbf{R}, \xi)$: Projectile-target interaction.
- $h(\xi)$: Internal Hamiltonian of the projectile.

$$h(\xi)\phi_n(\xi) = \varepsilon_n\phi_n(\xi)$$

- $\phi_n(\xi)$: internal states of the projectile.

CC model wavefunction (target excitation)

The total wave function is expanded in a subset of internal states representing the adopted modelspace:

$$\Psi_{\text{model}}(\mathbf{R}, \xi) = \phi_0(\xi)\chi_0(\mathbf{K}_0, \mathbf{R}) + \sum_{n>0} \phi_n(\xi)\chi_n(\mathbf{K}_n, \mathbf{R})$$

and impose the boundary conditions for the (unknown) $\chi_n(\mathbf{R})$:

$$\begin{aligned} \chi_0^{(+)}(\mathbf{K}_0, \mathbf{R}) &\rightarrow e^{i\mathbf{K}_0 \cdot \mathbf{R}} + f_{0,0}(\theta) \frac{e^{iK_0 R}}{R} && \text{for } n=0 \text{ (elastic)} \\ \chi_n^{(+)}(\mathbf{K}_n, \mathbf{R}) &\rightarrow f_{n,0}(\theta) \frac{e^{iK_n R}}{R} && \text{for } n>0 \text{ (non-elastic)} \end{aligned}$$

Calculation of $\chi_n^{(+)}(\mathbf{R})$: the coupled equations

- The model wavefunction must satisfy the Schrödinger equation:

$$[H - E]\Psi_{\text{model}}^{(+)}(\mathbf{R}, \xi) = 0$$

- Multiply on the left by each $\phi_n^*(\xi)$, and integrate over $\xi \Rightarrow$ coupled channels equations for $\{\chi_n(\mathbf{R})\}$:

$$[E - \varepsilon_n - T_R - V_{n,n}(\mathbf{R})]\chi_n(\mathbf{R}) = \sum_{n' \neq n} V_{n,n'}(\mathbf{R})\chi_{n'}(\mathbf{R})$$

- The structure information is embedded in the **coupling potentials**:

$$V_{n,n'}(\mathbf{R}) = \int d\xi \phi_{n'}^*(\xi) V(\mathbf{R}, \xi) \phi_n(\xi)$$

👉 $\phi_n(\xi)$ will depend on the assumed structure model (collective, few-body, etc).

Optical Model vs. Coupled-Channels method

Optical Model

- **The Hamiltonian:**

$$H = T_R + V(\mathbf{R})$$
- **Internal states:** Just $\phi_0(\xi)$
- **Model wavefunction:**

$$\Psi_{\text{mod}}(\mathbf{R}, \xi) \equiv \chi_0(\mathbf{K}, \mathbf{R})\phi_0(\xi)$$
- **Schrödinger equation:**

$$[H - E]\chi_0(\mathbf{K}, \mathbf{R}) = 0$$

Coupled-channels method

- **The Hamiltonian:**

$$H = T_R + h(\xi) + V(\mathbf{R}, \xi)$$
- **Internal states:**

$$h(\xi)\phi_n(\xi) = \varepsilon_n\phi_n(\xi)$$
- **Model wavefunction:**

$$\Psi_{\text{model}}(\mathbf{R}, \xi) = \phi_0(\xi)\chi_0(\mathbf{K}, \mathbf{R}) + \sum_{n>0} \phi_n(\xi)\chi_n(\mathbf{K}, \mathbf{R})$$
- **Schrödinger equation:**

$$[H - E]\Psi_{\text{model}}(\mathbf{R}, \xi) = 0$$

$$\Downarrow$$

$$[E - \varepsilon_n - T_R - V_{n,n}(\mathbf{R})]\chi_n(\mathbf{K}, \mathbf{R}) = \sum_{n' \neq n} V_{n,n'}(\mathbf{R})\chi_{n'}(\mathbf{K}, \mathbf{R})$$

The DWBA approximation for inelastic scattering

- Assume that we can write the p-t interaction as: $V(\mathbf{R}, \xi) = V_0(R) + \Delta V(\mathbf{R}, \xi)$
- Use central $V_0(R)$ part to calculate the (distorted) waves for p-t relative motion:

$$\begin{aligned}
 [\hat{T}_{\mathbf{R}} + V_0(R) - E_i] \chi_i^{(+)}(\mathbf{K}_i, \mathbf{R}) &= 0 & (E_i = \text{c.m. energy}) \\
 [\hat{T}_{\mathbf{R}} + V_0(R) - E_f] \chi_f^{(+)}(\mathbf{K}_f, \mathbf{R}) &= 0 & (E_f = E_i + Q = E_i - E_x)
 \end{aligned}$$

- In first order of $\Delta V(\mathbf{R}, \xi)$ (DWBA) :

$$f_{i \rightarrow f}^{\text{DWBA}}(\theta) = -\frac{\mu}{2\pi\hbar^2} \int \chi_f^{(-)*}(\mathbf{K}_f, \mathbf{R}) \Delta V_{if}(\mathbf{R}) \chi_i^{(+)}(\mathbf{K}_i, \mathbf{R}) d\mathbf{R}$$

with the **transition potential**:

$$\Delta V_{if}(\mathbf{R}) \equiv \int \phi_f^*(\xi) \Delta V(\mathbf{R}, \xi) \phi_i(\xi) d\xi$$

Multipole expansion of the interaction: reduced matrix elements

- In actual calculations, the internal states will have definite spin/parity:

$$\phi_i(\xi) = |I_i M_i\rangle \quad \text{and} \quad \phi_f(\xi) = |I_f M_f\rangle$$

- The projectile-target interaction can be expanded in multipoles:

$$V(\mathbf{R}, \xi) = \sum_{\lambda, \mu} V_{\lambda\mu}(R, \xi) Y_{\lambda\mu}(\hat{R}) \equiv V_0(\mathbf{R}) + \Delta V(\mathbf{R}, \xi)$$

- In many practical (and important) situations:

$$\Delta V(\mathbf{R}, \xi) = \sum_{\lambda>0} \underbrace{\mathcal{F}_\lambda(R)}_{\text{formfactor}} \sum_{\mu} \underbrace{\mathcal{T}_{\lambda\mu}(\xi)}_{\text{structure}} Y_{\lambda\mu}(\hat{R})$$

- DWBA and CC calculations require the coupling potentials

$$\langle I_f M_f | \Delta V(\mathbf{R}, \xi) | I_i M_i \rangle = \sum_{\lambda>0} \mathcal{F}_\lambda(R) \langle I_f M_f | \mathcal{T}_{\lambda\mu}(\xi) | I_i M_i \rangle Y_{\lambda\mu}(\hat{R})$$

- Wigner-Eckart theorem $\rightarrow$ **reduced matrix elements** (r.m.e.):

$$\langle I_f M_f | \mathcal{T}_{\lambda\mu}(\xi) | I_i M_i \rangle = (2I_f + 1)^{-1/2} \langle I_f M_f | I_i M_i \lambda \mu \rangle \underbrace{\langle I_f || \mathcal{T}_\lambda(\xi) || I_i \rangle_{\text{BM}}}_{\text{r.m.e.}}$$

Coulomb and nuclear cases

- Form factors and r.m.e.:

Potential	$\mathcal{F}_\lambda(R)$	$\langle I_f \mathcal{T}_\lambda(\xi) I_i \rangle$
Coulomb	$\frac{4\pi Z_f e}{(2\lambda+1)R^{\lambda+1}}$	$\langle f; I_f \mathcal{M}(E\lambda, \mu) i; I_i \rangle$
Nuclear	$-\frac{dU}{dR}$	$\langle f; I_f \hat{\delta}_\lambda i; I_i \rangle$

☞ *This nuclear formfactor is only applicable for small deformations (small δ_λ)*

- Relation to physical quantities (Coulomb case)

$$B(E\lambda; I_i \rightarrow I_f) = (2I_i + 1)^{-1} |\langle f; I_f || \mathcal{M}(E\lambda, \mu) || i; I_i \rangle|^2 \quad (I_i \neq I_f)$$

$$Q_2 = \sqrt{16\pi/5} (2I + 1)^{-1/2} \langle II20 || II \rangle \langle I || \mathcal{M}(E2) || I \rangle \quad (I_i = I_f \equiv I)$$

DWBA amplitude (nuclear case)

DWBA SCATTERING AMPLITUDE:

$$f(\mathbf{K}', \mathbf{K})_{iM_i \rightarrow fM_f} = -\frac{\mu}{2\pi\hbar^2} \langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle \int d\mathbf{R} \chi_f^{(-)*}(\mathbf{K}', \mathbf{R}) \frac{dV}{dR} Y_{\lambda\mu}(\hat{\mathbf{R}}) \chi_i^{(+)}(\mathbf{K}, \mathbf{R})$$

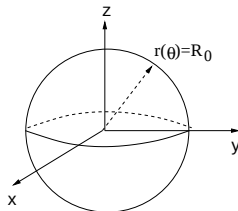
CROSS SECTIONS:

$$\left(\frac{d\sigma(\theta)}{d\Omega} \right)_{iM_i \rightarrow fM_f} = \frac{K_f}{K_i} \left(\frac{\mu}{2\pi\hbar^2} \right)^2 |\langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle|^2 \times \left| \int d\mathbf{R} \chi_f^{(-)*}(\mathbf{K}', \mathbf{R}) \frac{dV}{dR} Y_{\lambda\mu}(\hat{\mathbf{R}}) \chi_i^{(+)}(\mathbf{K}, \mathbf{R}) \right|^2$$

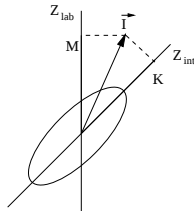
- ☞ *The differential cross section is proportional to the deformation parameters*
- ☞ *If the approximations are valid, the deformation parameters can be obtained comparing the magnitude of the inelastic cross sections with DWBA calculations.*

Collective excitations in the rotor model

Spherical nucleus ($\beta = 0$)



Deformed nucleus ($\beta \neq 0$)



$$r(\theta') = R_0 [1 + \beta_2 Y_{20}(\theta', 0)]$$

- ⇒ For a permanent deformed nucleus (or for a nucleus with surface vibrations) the nucleus-nucleus potential will no longer be central.
- ⇒ States are characterized by their spin (I) and its projection of the angular momentum along the symmetry axis (K).

Reduced matrix elements in the rotor model

⇒ For axial deformation, the **charge** and **matter** deformations can be characterized by the parameters $M_n(E\lambda)$ and δ_λ .

1 Coulomb excitation:

$$\langle f; K I_f | \mathcal{M}(E\lambda) | i; K I_i \rangle_{\text{BM}} = \sqrt{2I_i + 1} \langle I K \lambda 0 | I_f K \rangle M_n(E\lambda) = \pm \sqrt{2I_i + 1} \sqrt{B(E\lambda; I_i \rightarrow I_f)}$$

2 Nuclear excitation:

$$\langle f; K I_f | \hat{\delta}_\lambda | i; K I_i \rangle_{\text{BM}} = (-1)^\lambda \langle I_i K \lambda 0 | I_f K \rangle \delta_\lambda$$

⇒ In the case of even-even nucleus ($K = 0$) and $I_i = 0 \rightarrow I_f$ transitions:

1 Coulomb excitation:

$$\langle f; K I_f | \mathcal{M}(E\lambda) | i; K I_i \rangle_{\text{BM}} = M_n(E\lambda) = \pm \sqrt{B(E\lambda; I_i \rightarrow I_f)}$$

2 Nuclear excitation:

$$\langle f; K I_f | \hat{\delta}_\lambda | i; K I_i \rangle_{\text{BM}} = (-1)^\lambda \delta_\lambda$$

Coulomb + nuclear potential

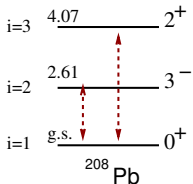
- We expect the **Coulomb** excitation to be more important when:
 - The projectile and/or target charges are large (i.e. large $Z_1 Z_2 \gg 1$)
 - At energies below the Coulomb barrier (where nuclear effects are less important).
 - At very forward angles (large impact parameters).
- If both **Coulomb** and **nuclear** contributions are important the scattering *amplitudes* for both processes should be added:

$$\left(\frac{d\sigma}{d\Omega} \right)_{i \rightarrow f} = \frac{K_f}{K_i} |f_{if}^{\text{coul}} + f_{if}^{\text{nuc}}|^2$$

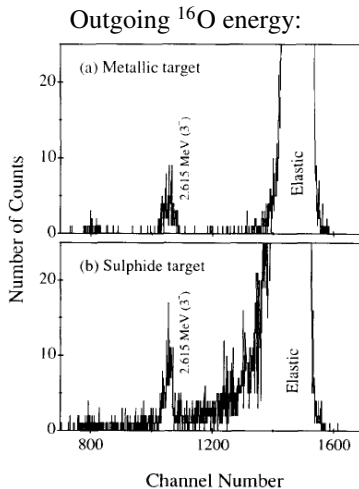
👉 *In this case, interferences effects will appear!*

Inelastic scattering example: collective excitations

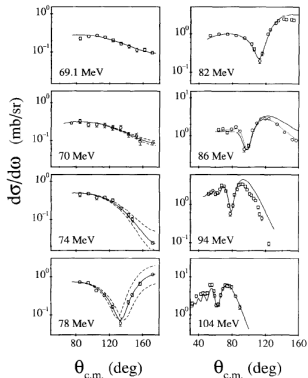
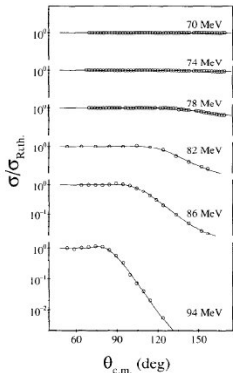
Physical example: $^{16}\text{O} + ^{208}\text{Pb} \rightarrow ^{16}\text{O} + ^{208}\text{Pb}(3^-, 2^+)$



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Collective excitations: example



☞ Coulomb barrier:

$$V_{\text{barrier}} = \frac{Z_p Z_t e^2}{R_b} \approx \frac{Z_p Z_t e^2}{1.44(A_p^{1/3} + A_t^{1/3})} \simeq 78 \text{ MeV}$$

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Coulomb and Nuclear excitations can produce constructive or destructive interference:

