

The chiral torsional anomaly and the Nieh-Yan invariant with and without boundaries

Based on [JHEP 12 \(2024\) 149](#),
with Johanna Erdmenger, René Meyer, Dmitri Vassilevich



Ioannis Matthaiakakis - University of Southampton

ECT workshop 24-28 March 2025*

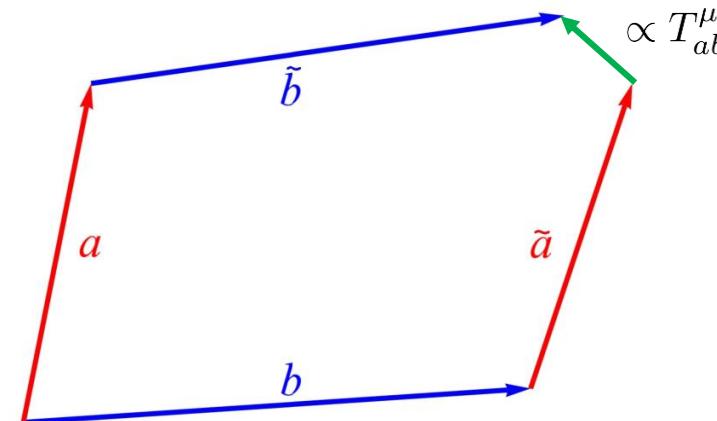
Motivation

- Anomalies strongly affect (3+1d) fermion transport

[[Landsteiner, Acta Phys. Polon. B47 \(2016\) 2617](#), [Chernodub, Ferreiros, Grushin, Landsteiner, and Vozmediano, Phys. Rept. 977 \(2022\) 1-58](#)]

$$\partial_\mu J^\mu = c\mathcal{A}$$

- Must have consensus on anomaly existence and form
- Torsional Nieh-Yan anomaly controversial across two fields and ~3 decades



- Problem 1: Total derivative \rightarrow Absence of boundaries = No anomaly

$$\mathcal{A}_{\text{NY}} = \frac{1}{4} \epsilon^{\mu\nu\rho\lambda} \left(\eta_{\alpha\beta} T_{[\mu\nu}^{\alpha} T_{\rho\lambda]}^{\beta} - 2R_{\mu\nu\lambda\rho} \right) = \nabla_{\mu} \left(\frac{1}{3!} \epsilon^{\mu\nu\rho\lambda} T_{[\nu\rho\lambda]} \right)$$

[\[Nieh, Yan, J. Math. Phys. 23 \(1982\) 373\]](#)

- Resolutions?:
 - “Singular” geometries with torsion flux [\[Y. N. Obukhov, E. W. Mielke, J. Budczies, and F. W. Hehl, Found. Phys. 27 \(1997\)\]](#)
 - Boundaries

- Problem 1: Total derivative \rightarrow Absence of boundaries = No anomaly

$$\mathcal{A}_{\text{NY}} = \frac{1}{4} \epsilon^{\mu\nu\rho\lambda} \left(\eta_{\alpha\beta} T_{[\mu\nu}^{\alpha} T_{\rho\lambda]}^{\beta} - 2R_{\mu\nu\lambda\rho} \right) = \nabla_{\mu} \left(\frac{1}{3!} \epsilon^{\mu\nu\rho\lambda} T_{[\nu\rho\lambda]} \right)$$

[\[Nieh, Yan, J. Math. Phys. 23 \(1982\) 373\]](#)

- Resolutions?:
 - “Singular” geometries with torsion flux [\[Y. N. Obukhov, E. W. Mielke, J. Budczies, and F. W. Hehl, Found. Phys. 27 \(1997\)\]](#)
 - Boundaries

- Problem 2: Anomaly coefficient

$$c \propto p^2 , \quad \text{and regulator – dependent}$$

- Resolutions?:
 - Weyl invariance [\[Chandia, Zanelli, PRD 55 \(1997\) 7589, Kreimer and Milke PRD 63 \(2001\) 048501\]](#)
 - Explicit cutoff
 - Temp.-dependence [\(counter counterargument\)\[Chernodub, Ferreiros, Grushin, Landsteiner, and Vozmediano, Phys. Rept. 977 \(2022\) 1-58\]](#)
- Goal = Consistent/coherent interpretation

Outline

- Setup
- Torsional Anomaly sans boundaries
- Torsional Anomaly with boundaries
- Conclusions & Outlook

Setup

- Background = Riemann-Cartan = independent vielbein and connection

[\[Shapiro, Phys. Rept. 357 \(2002\) 113\]](#)

$$g_{\mu\nu} = \delta_{\alpha\beta} e_\mu^\alpha e_\nu^\beta$$

$$\partial_\mu e_\rho^\alpha + \omega_{\mu\beta}^\alpha e_\rho^\beta - e_\lambda^\alpha \Gamma_{\mu\rho}^\lambda = 0$$

$$T_{\nu\rho}^\mu = E_a^\mu \overset{\omega}{\nabla}_{[\nu} e_{\rho]}^a = \Gamma_{[\nu\rho]}^\mu$$

- Matter = single Dirac fermion

$$S_E = \int_{\mathcal{M}} d^4x |e| \psi^\dagger \gamma^\mu i D_\mu \psi = \int_{\mathcal{M}} dx^4 |e| \psi^\dagger \not{D} \psi$$

- Couple to geometry and chiral gauge field

$$D_\mu = \partial_\mu + \omega_\mu + A_{5\mu} \gamma_* \longrightarrow \not{D} \rightarrow \not{D} + i \not{B} \gamma_*$$

$$\omega_\mu = \frac{1}{2} \omega_\mu^{\alpha\beta} \Sigma_{\alpha\beta}, \quad \Sigma_{\alpha\beta} = \frac{1}{4} [\gamma^\alpha, \gamma^\beta] \quad B_\mu = A_{5\mu} + \frac{1}{64} \varepsilon^{\lambda_1\lambda_2\lambda_3\mu} T_{[\lambda_1\lambda_2\lambda_3]} \quad \gamma_* = -\gamma^1\gamma^2\gamma^3\gamma^4$$

Torsional Anomaly sans boundaries: Generalities

[Bertlmann, [Anomalies in quantum field theory \(1996\)](#)]

- (local) Chiral anomaly $\delta_{\delta\phi} \ln \mathcal{Z} \neq 0 \Leftrightarrow \int_{\mathcal{M}} \delta\phi (\partial_\mu J^\mu - c\mathcal{A}) = 0$
 $(\psi \rightarrow e^{i\gamma_* \delta\phi} \psi)$
- Can calculate directly $\langle \partial_\mu J^\mu \rangle = \dots$
- Can calculate via (local) heat kernel

$$\int_{\mathcal{M}} \delta\phi c\mathcal{A} = \lim_{M \rightarrow \infty} \text{Tr} \left[\delta\phi \gamma_* e^{-\not{D}^2/M^2} \right] \sim \sum_{k=0}^{\infty} M^{n-k} a_k(\delta\phi \gamma_*, \not{D}^2)$$

- Nieh-Yan anomaly = Non-zero $a_2(\delta\phi \gamma_*, \not{D}^2)$

The caveat

- For constant $\delta\phi \rightarrow$ Index theorem [\[Atiyah, Patodi, and Singer, Math. Proc. Cambridge Phil. Soc. 77 \(1975\) 43\]](#)

$$\int_{\mathcal{M}} c\mathcal{A} = \text{Ind } \not{D} = n_+ - n_- = \text{Tr} \left[\gamma_* e^{-\not{D}^2/M^2} \right]$$

- Index = regulator-independent
- If heat-kernel index exists, $a_2(\gamma_*, \not{D}^2) = 0 \rightarrow a_2(\delta\phi\gamma_*, \not{D}^2)$ renorm. artifact
 \rightarrow No NY anomaly
- Nieh-Yan invariant exists \Rightarrow Nieh-Yan anomaly exists

The punchline

- Instanton-like spacetimes sans boudaries

[[Y. N. Obukhov, E. W. Mielke, J. Budczies, and F. W. Hehl, Found. Phys. 27 \(1997\)](#)]

$$g = h(r)^2 dr^2 + f(r)^2 d\Omega_3^2 \quad (\text{E.g. } f = \frac{ar^2}{r^2 + c^2})$$

- Support Nieh-Yan flux but...

$$\int_{\mathcal{M}} \mathcal{A}_{\text{NY}} = f(\infty) V_{S_3}$$

- ... no global Index \rightarrow **No Nieh-Yan anomaly in spaces without boundaries**

Adding the boundary

- Boundary $\partial\mathcal{M}$ with normal \mathbf{n}

- Most general BCs (chiral bag)

[\[Wipf and Durr, Nucl. Phys. B 443 \(1995\) 201-232\]](#)

$$\Pi_-(\theta)\psi|_{\partial\mathcal{M}} = 0, \quad \Pi_-(\theta) = \frac{1}{2}(1 - i\gamma_* e^{\gamma_* \theta} \gamma^\mathbf{n})$$

- Chiral angle = free $\partial\mathcal{M}$ parameter

$$\theta \rightarrow \theta - 2\phi$$

- Chirality not preserved globally \Rightarrow May/may not support global Index

- Focus on local Index

$$\int_{\mathcal{M}} \delta\phi c_A + \int_{\partial\mathcal{M}} \delta\phi c_B C_B = \text{Tr} \left[\delta\phi \gamma_* e^{-\not{D}^2/M^2} \right]$$

The anomaly

- Sanity check: Use zeta and cutoff regulators (Reminder: $\not{D} \rightarrow \not{D} + i\not{\mathcal{B}}\gamma_*$)

- Zeta function:
$$\int_{\mathcal{M}} \delta\phi c\mathcal{A} + \int_{\partial\mathcal{M}} \delta\phi c_B \mathcal{C}_B = -2a_4(\delta\phi\gamma_*, \not{D}^2)$$

- Cutoff (“flat” $\partial\mathcal{M}$):
$$\int_{\mathcal{M}} \delta\phi c\mathcal{A} + \int_{\partial\mathcal{M}} \delta\phi c_B \mathcal{C}_B \simeq -2 \sum_{k=0}^4 \Lambda^{4-k} a_k(\delta\phi\gamma_*, \not{D}^2)$$

Nieh-Yan $\longrightarrow = -\frac{\Lambda^2}{2\pi^2} \int_{\mathcal{M}} d^4x \sqrt{g}(\delta\phi) \not{\nabla}_\mu B^\mu + \frac{\Lambda}{8\pi^{3/2}} \int_{\partial\mathcal{M}} d^3x \sqrt{h}(\delta\phi) \not{\nabla}_\mu B^\mu$

Pontryagin $\longrightarrow + \int_{\mathcal{M}} d^4x \sqrt{g}(\delta\phi) \left[-\frac{i}{48\pi^2} \epsilon^{\mu\nu\rho\sigma} \left(B_{\mu\nu} B_{\rho\sigma} - \frac{1}{2} \not{R}_{\mu\nu\lambda\theta} \not{R}_{\rho\sigma}^{\lambda\theta} \right) \right]$

$\longrightarrow + \frac{1}{12\pi^2} \left(\not{G}^{\mu\nu} + g^{\mu\nu} \not{\nabla}^2 \right) \not{\nabla}_\mu B_\nu - \frac{2}{3\pi^2} \left(B^\mu B^\nu + \frac{1}{2} g^{\mu\nu} B^2 \right) \not{\nabla}_\mu B_\nu \right]$

Chern-Simons + ?? $\longrightarrow - \int_{\partial\mathcal{M}} d^3x \sqrt{h} \left[\delta\phi \left(\frac{i}{30\pi^2} \epsilon^{abc} B_b \not{\nabla}_a B_c + \frac{2}{15\pi^2} B^2 B_n \right) + \frac{1}{12\pi^2} (\nabla_n \delta\phi) \not{\nabla}_\mu B^\mu \right]$

Nieh-Yan = scheme artifact

$$W_{\text{c.t.}} = -\frac{\Lambda^2}{4\pi^2} \left[\int_{\mathcal{M}} d^4x \sqrt{g} B^2 - \int_{\partial\mathcal{M}} d^3x \sqrt{h} \theta B_{\mathbf{n}} \right] + \frac{\Lambda}{16\pi^{3/2}} \int_{\partial\mathcal{M}} d^3x \sqrt{h} \theta \overset{\circ}{\nabla}_\mu B^\mu$$

Pontryagin = Chiral anomaly + $\overset{\circ}{\nabla}$ Torsion effects ($A_{\mu\nu} = \overset{\circ}{\nabla}_{[\mu} A_{\nu]}^5$)

$$\epsilon^{\mu\nu\rho\sigma} B_{\mu\nu} B_{\rho\sigma} = \epsilon^{\mu\nu\rho\sigma} \left(A_{\mu\nu} A_{\rho\sigma} + 2\xi A_{\mu\nu} \overset{\circ}{\nabla}_\kappa T_{\rho\sigma}^\kappa + \xi^2 \overset{\circ}{\nabla}_\lambda T_{\mu\nu}^\lambda \overset{\circ}{\nabla}_\kappa T_{\rho\sigma}^\kappa \right)$$

?? = Pure boundary term

$$\begin{aligned} \int_{\partial\mathcal{M}} c_B \mathcal{C}_B &= \frac{1}{60\pi^2} \int_{\partial\mathcal{M}} d^3x \sqrt{h} \left[\delta\phi \left(-\frac{5}{2} g_{\mathbf{n}\mu} \overset{\circ}{R}_3 + 5 \overset{\circ}{\nabla}_{\mathbf{n}} \overset{\circ}{\nabla}_\mu - 80 \overset{\leftarrow}{\overset{\circ}{\nabla}}_{\mathbf{n}} \overset{\circ}{\nabla}_\mu + (30 \overset{\leftarrow}{\overset{\circ}{\nabla}}^2 - 336 B^2) n_\mu \right) B^\mu \right. \\ &\quad \left. + \frac{i}{3} \delta\phi \epsilon^{abc} B_{[a} \overset{\circ}{\nabla}_b B_{c]} \right] \end{aligned}$$

$$\int_{\mathcal{M}} \delta\phi c_A + \int_{\partial\mathcal{M}} c_B \mathcal{C}_B \simeq -2 \sum_{k=0}^4 \Lambda^{4-k} a_k(\delta\phi\gamma_*, \not{D}^2)$$

Nieh-Yan $\longrightarrow = -\frac{\Lambda^2}{2\pi^2} \int_{\mathcal{M}} d^4x \sqrt{g} (\delta\phi) \overset{\circ}{\nabla}_\mu B^\mu + \frac{\Lambda}{8\pi^{3/2}} \int_{\partial\mathcal{M}} d^3x \sqrt{h} (\delta\phi) \overset{\circ}{\nabla}_\mu B^\mu$

Pontryagin $\longrightarrow + \int_{\mathcal{M}} d^4x \sqrt{g} (\delta\phi) \left[-\frac{i}{48\pi^2} \epsilon^{\mu\nu\rho\sigma} \left(B_{\mu\nu} B_{\rho\sigma} - \frac{1}{2} \overset{\circ}{R}_{\mu\nu\lambda\theta} \overset{\circ}{R}_{\rho\sigma}^{\lambda\theta} \right) \right.$

Chern-Simon + ?? $\longleftarrow \left. + \frac{1}{12\pi^2} \left(\overset{\circ}{G}^{\mu\nu} + g^{\mu\nu} \overset{\circ}{\nabla}^2 \right) \overset{\circ}{\nabla}_\mu B_\nu - \frac{2}{3\pi^2} \left(B^\mu B^\nu + \frac{1}{2} g^{\mu\nu} B^2 \right) \overset{\circ}{\nabla}_\mu B_\nu \right]$

$$- \int_{\partial\mathcal{M}} d^3x \sqrt{h} \left[\delta\phi \left(\frac{i}{30\pi^2} \epsilon^{abc} B_b \overset{\circ}{\nabla}_a B_c + \frac{2}{15\pi^2} B^2 B_{\mathbf{n}} \right) + \frac{1}{12\pi^2} (\nabla_{\mathbf{n}} \delta\phi) \overset{\circ}{\nabla}_\mu B^\mu \right]$$

Consequences/Conclusions & Outlook

- Torsional anomaly exists, Nieh-Yan term doesn't with or without boundaries
- Caveats: Lattice, finite temperature, derivative expansion
- Adding boundary = non-trivial Hall effect transport...

$$j_5^a|_{\partial\mathcal{M}} = \frac{\delta\theta}{180\pi^2} i\epsilon^{abc} \mathring{\nabla}_{[b} B_{c]} \equiv \sigma_T i\epsilon^{abc} \mathring{\nabla}_{[b} B_{c]}$$

- ... and bulk-boundary transport via ??
- Dynamics of b.c.s?

Thank you!