# Consequences of anomaly in holographic QCD

Matti Järvinen

asia pacific center for theoretical physics

Holographic perspectives on chiral transport and spin dynamics  $\mathsf{ECT}^*-27\ \mathsf{March}\ 2025$ 

in collaboration with

Jesús Cruz Rojas (UNAM Mexico), Tuna Demircik (Utrecht); Niko Jokela, Aleksi Piispa (Helsinki) [2405.02392, 2405.02399] Domingo Gallegos (UNAM Mexico), Eamonn Weitz (Bielefeld) [2406.07617]

# Outline

# 1. The holographic V-QCD model

- Implementation of anomalies
- Fitting to lattice data
- 2. Spatial instability in V-QCD
- 3. Chiral separation effect in V-QCD
- 4. Conclusion

# Outline

# 1. The holographic V-QCD model

- Implementation of anomalies
- Fitting to lattice data
- 2. Spatial instability in V-QCD
- 3. Chiral separation effect in V-QCD

# The V-QCD model

V-QCD: A holographic bottom-up model for QCD in the Veneziano limit (large  $N_f$ ,  $N_c$ ; fixed  $N_f/N_c$ )

- Bottom-up, but trying to follow principles from string theory as closely as possible
- Many parameters: effective description of QCD
- Comparison with QCD data essential
- Relatively complicated model (because QCD is complicated)
- Inclusion of axial and chiral anomalies worked out

The model is obtained through a fusion of two building blocks: [MJ, Kiritsis arXiv:1112.1261]

- 1. IHQCD: model for glue inspired by string theory (dilaton gravity) [Gürsoy, Kiritsis, Nitti; Gubser, Nellore]
- 2. Adding flavor and chiral symmetry breaking via space filling  $D4 \overline{D4}$  branes and tachyon condensation

[Klebanov,Maldacena; Bigazzi,Casero,Cotrone,Iatrakis,Kiritsis,Paredes]

Full backreaction between the two sectors in the Veneziano limit

## Chirally symmetric V-QCD

Dual fields:  $\phi \leftrightarrow G_{\mu\nu}G^{\mu\nu}$ ,  $\mathfrak{a} \leftrightarrow G_{\mu\nu}\widetilde{G}^{\mu\nu}$ ,  $(A^{L/R}_{\mu})^{ij} \leftrightarrow \overline{\psi}^{i}(1 \pm \gamma_{5})\gamma_{\mu}\psi^{j}$  $S_{V-OCD} = S_{\sigma} + S_{DBI} + S_2 + S_{CS}$  $S_{g} = M^{3}N_{c}^{2} \int d^{5}x \sqrt{-g} \left[ R - \frac{4}{3}(\partial \phi)^{2} + V_{g}(\phi) \right]$  $S_{\text{DBI}} = -M^3 N_c \int d^5 x \, V_f(\phi) \, \text{Tr} \left[ \sqrt{-\det(g_{\mu\nu} + w(\phi)F_{\mu\nu}^{(L)})} + (L \leftrightarrow R) \right]$  $S_{a} = -\frac{M^{3}N_{c}^{2}}{2} \int d^{5}x \sqrt{-g} \, \mathbf{Z}(\phi) \left[\partial_{\mu}\mathfrak{a} - \operatorname{Tr}\left(A_{\mu}^{L} - A_{\mu}^{R}\right)/N_{c}\right]^{2}$  $S_{\rm CS} = \frac{iN_c}{24\pi^2} \int {\rm Tr} \Big[ -iA_L \wedge F_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L$  $+\frac{i}{10}A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L + (L \leftrightarrow R)$ 

A slight generalization of models discussed e.g. by Sebastian on Tuesday
 Most of the structure fixed by chiral symmetry, parity, and anomalies
 Many potentials V<sub>g</sub>, V<sub>f</sub>, w, Z - however need to be "simple" functions - determined by comparing to lattice data

# Anomaly terms in V-QCD

1. Axial anomaly:

$$S_{a} = -\frac{N_{c}^{2}M^{3}}{2} \int d^{5}x \sqrt{-g} \ Z(\phi) \left[\partial_{\mu}\mathfrak{a} - \operatorname{Tr}\left(A_{\mu}^{L} - A_{\mu}^{R}\right)/N_{c}\right]^{2}$$

is invariant under the  $U(1)_A$  gauge transformation

 $(A^L_\mu)^{ij} o (A^L_\mu)^{ij} + \delta^{ij}\partial_\mu\epsilon , \qquad (A^R_\mu)^{ij} \to (A^R_\mu)^{ij} - \delta^{ij}\partial_\mu\epsilon, \qquad \mathfrak{a} \to \mathfrak{a} + 2\frac{N_f}{N_c}\epsilon$ 

Symmetry implies the axial anomaly in QCD
 S<sub>a</sub> includes a bulk mass for the axial gauge field

$$\partial_\mu J^\mu_A = rac{N_f}{16\pi^2} \, G_{\mu
u} \, ilde{G}^{\mu
u}$$

2. Global chiral anomalies:

The gauge transformation of  $S_{CS}$  (with parameters  $\Lambda_{L/R}$ ) is a boundary term matching with field theory anomaly [See Niko's talk]

$$\delta S_{\rm CS} = \frac{iN_c}{24\pi^2} \int_{\partial} \operatorname{Tr} \left[ \Lambda_L F_L \wedge F_L - \Lambda_R F_R \wedge F_R + \cdots \right]$$

N.B. chirally broken  $S_a$  and  $S_{CS}$  also available [Casero, Paredes, Kiritsis hep-th/0702155; Arean, Iatrakis, MJ, Kiritsis 1309.2286, 1609.08922] [MJ, Kiritsis, Nitti, Préau 2209.05868; 2212.06747] 5/16

# Comparing with lattice data

Potentials  $V_g$ ,  $V_f$ , w (gravity + DBI) determined by fitting to lattice QCD data [Jokela, MJ, Remes 1809.07770] Interaction measure  $\frac{\epsilon - 3p}{\tau_4}$ , Baryon number susceptibility  $\chi_2 = \frac{d^2 p}{d\mu^2}\Big|_{\mu=0}$ 2+1 flavors [Data: Borsanvi et al. 1309.5258] [Data: Borsanyi et al. 1112.4416]  $\chi_B/T^2$ (c-3p)/T<sup>4</sup> 0.30 0.25 0.20 0.15 0.10 0.05 2.5 T 2.0 1.0 1.5 1.0 1.5 2.0 2.5 Choice of  $Z(\phi)$  in  $S_a$  less constrained – consider three possibilities

 $Z(\phi) = Z_0(1 + c_1e^{\phi} + c_4e^{4\phi}), \quad Z(\phi) = Z_0(e^{\phi} + c_4e^{4\phi}), \quad Z(\phi) = Z_0(e^{2\phi} + c_4e^{4\phi})$ Fit  $c_i$  to lattice Yang-Mills data for pseudo-scalar glueballs and  $Z_0$  to topological susceptibility [Gallegos, MJ, Weitz 2406.07617]  $_{6/16}$ 

# Outline

# 1. The holographic V-QCD model

- ► Implementation of anomalies
- Fitting to lattice data

# 2. Spatial instability in V-QCD

3. Chiral separation effect in V-QCD

# Spatially modulated instability in V-QCD?

The CS term could drive the Nakamura-Ooguri-Park instability in V-QCD [Nakamura, Ooguri, Park 0911.0679; Ooguri, Park 1011.4144]

 $S_{\rm CS} = \frac{iN_c}{24\pi^2} \int {\rm Tr} \big[ -iA_L \wedge F_L \wedge F_L + iA_R \wedge F_R \wedge F_R + \cdots \big]$ 

Background: charged black hole in V-QCD
 CS term only affects "helicity-one" gauge field fluctuations



Two cases:

- 1. Non-Abelian fluctuations: decoupled fields  $\sim (\delta A_{L/R}^x(r) \pm i \delta A_{L/R}^y(r)) t^a e^{-i\omega t + iqz}$ where  $t^a$  is a generator of  $SU(N_f)$
- 2. Abelian fluctuations (simpler in vector/axial basis due to parity)

 $\delta A^{\mathsf{x}} \pm i \delta A^{\mathsf{y}} \underset{\text{coupled (CS)}}{\longleftrightarrow} \delta V^{\mathsf{x}} \pm i \delta V^{\mathsf{y}} \underset{\text{coupled}}{\longleftrightarrow} \delta g_{\mathsf{z}\mathsf{x}} \pm i \delta g_{\mathsf{z}\mathsf{y}}$ 

Check numerically the region where the instability exists

[Cruz Rojas, Demircik, MJ 2405.02399] 8/16

### Results for instability

- Extends to surprisingly low  $\mu/T!$
- Non-Abelian instability stronger!
- Three variants 5b, 7a, 8b: uncertainty after lattice fit
- Result actually "universal"! [Niko's talk] [Demircik, Jokela, MJ, Piispa 2405.02392]





### **Dispersion relations**

Dispersion relations of the unstable modes at low  $\mu/T$  (upper plot) and high  $\mu/T$  (lower plot)

- Details vary over the phase diagram
- Characteristic frequency and momentum roughly constant,  $\omega \sim \Lambda_{\rm QCD} \sim q$
- Apart from minor mixing effects, results for Abelian and non-Abelian modes similar



# Outline

# 1. The holographic V-QCD model

- Implementation of anomalies
- Fitting to lattice data

# 2. Spatial instability in V-QCD

3. Chiral separation effect in V-QCD

## Anomalous transport in V-QCD

We did the basic computation of anomalous conductivities

[Gallegos, MJ, Weitz 2406.07617]

$$\delta \langle \mathcal{J}_{V}^{k} \rangle = \sigma_{VV} B^{k} + \sigma_{VA} B^{k}_{A} + \sigma_{V\Omega} \omega^{k}$$

Background: black holes with vectorial and/or axial charge

- ► Turned on constant infinitesimal magnetic fields and vorticity  $\omega^i = \epsilon^{ijk} \partial_j \delta g_{tk}|_{bdry}$
- Computed variation of consistent vectorial current by solving the fluctuations
- In V-QCD, the consistent current is UV finite, whereas the covariant current is not
- ln this basis, only  $\sigma_{VA}$  is nonzero the chiral separation conductivity
- Result deviates from universal value  $\sigma_{VA} = \frac{N_f N_c}{2\pi^2} \mu$  due to dynamical gauge fields, i.e. the mass of the axial gauge field in the bulk

[Jimenez-Alba, Landsteiner, Melgar 1407.8162; Gallegos, Gürsoy 1806.07138]

# Results at zero density

We show the conductivity normalized to the universal result in the limit of  $\mu \to 0$ 

- Used three different variants of  $Z(\phi)$  controling the mass of the axial gauge field
- Qualitative agreement with recent lattice analysis [Brandt, Endrodi, Garnacho-Velasco, Marko 2312.02945]
- Tuning  $Z(\phi)$  to obtain more precise match with lattice seems possible

Our result

Lattice  $(N_f = 2 + 1)$ 



## Results at finite density

Predictions at finite vectorial or axial density

- $(N_c = 3)$  lattice QCD results unavailable (sign problem)
- However can compare to  $N_c = 2$  results

[Buividovich, Smith, von Smekal 2012.05184]

Our result





# Outline

# 1. The holographic V-QCD model

- Implementation of anomalies
- ► Fitting to lattice data
- 2. Spatial instability in V-QCD
- 3. Chiral separation effect in V-QCD

- I presented a (chirally symmetric) holographic model which fits lattice data and includes QCD anomalies
- First application: Nakamura-Ooguri-Park instability at surprisingly low  $\mu/T$ 
  - Turns out to be a universal result (see Niko's talk)
- Second application: analyzed chiral separation effect found qualitative agreement with lattice results

# Thank you!

Search for the critical point: ongoing effort at RHIC

 Beam Energy Scan stage I results available

Stage II finished, results being analyzed
 Will be extended by future experiments at
 FAIR, J-PARC, NICA



Search for the critical point: ongoing effort at  $\ensuremath{\mathsf{RHIC}}$ 

 Beam Energy Scan stage I results available

Stage II finished, results being analyzed
 Will be extended by future experiments at
 FAIR, J-PARC, NICA

Neutron star observations give complementary information at high density



#### Theoretical approaches

- First-principles methods do not work in the region relevant for critical point
- Phase diagram or even relevant phases not known



#### Theoretical approaches

- First-principles methods do not work in the region relevant for critical point
- Phase diagram or even relevant phases not known
- May include spatially modulated phases



#### Theoretical approaches

- First-principles methods do not work in the region relevant for critical point
- Phase diagram or even relevant phases not known
- May include spatially modulated phases
- Can be accessed via the gauge/gravity duality?



#### Theoretical approaches

- First-principles methods do not work in the region relevant for critical point
- Phase diagram or even relevant phases not known
- May include spatially modulated phases
- Can be accessed via the gauge/gravity duality?



Basic idea (bottom-up): use the gauge/gravity duality to extrapolate lattice (and other) data to higher density

[DeWolfe et al. 1012.1864; Knaute et al. 1702.06731; Critelli et al. 1706.00455 Jokela, MJ, Remes 1809.07770; Demircik, Ecker, MJ 2112.12157 Cai, He, Li, Wang 2201.02004; Li, Liang, He, Li 2305.13874 ...]

[See also the talk by Mei Huang]

# Outline

# 1. Introduction

# 2. Holographic models

3. Spatial Instability

# Generic holographic approach: fields

We want to describe holographically (chirally symmetric) QCD plasma ( $N_f$  massless flavors)

Most important (relevant and marginal) operators

- $T_{\mu\nu}$ , dual to the metric  $g_{\mu\nu}$
- Gluon operator  $G_{\mu\nu}^2$ , dual to a scalar (the dilaton)  $\phi$
- Flavor currents  $\bar{\psi}_i \gamma_\mu (1 \pm \gamma_5) \psi_j$ , dual to the gauge fields  $(A_\mu^{L/R})_{ij}$  (with  $i, j = 1 \dots N_f$ ) global  $U(N_f)_L \times U(N_f)_R$  of QCD promoted to gauge symmetry

Flavor bilinears  $\overline{\psi}_i \psi_j$  dual to a complex scalar  $T_{ij}$ 

# Generic holographic approach: fields

We want to describe holographically (chirally symmetric) QCD plasma ( $N_f$  massless flavors)

Most important (relevant and marginal) operators

- $T_{\mu\nu}$ , dual to the metric  $g_{\mu\nu}$
- Gluon operator  $G_{\mu\nu}^2$ , dual to a scalar (the dilaton)  $\phi$
- Flavor currents  $\bar{\psi}_i \gamma_\mu (1 \pm \gamma_5) \psi_j$ , dual to the gauge fields  $(A_\mu^{L/R})_{ij}$  (with  $i, j = 1 \dots N_f$ ) global  $U(N_f)_L \times U(N_f)_R$  of QCD promoted to gauge symmetry
- Flavor bilinears  $\bar{\psi}_i \psi_j$  dual to a complex scalar  $T_{ij}$  irrelevant in chirally symmetric phase

# Generic holographic approach: fields

We want to describe holographically (chirally symmetric) QCD plasma ( $N_f$  massless flavors)

Most important (relevant and marginal) operators

- $T_{\mu\nu}$ , dual to the metric  $g_{\mu\nu}$
- Gluon operator  $G_{\mu\nu}^2$ , dual to a scalar (the dilaton)  $\phi$
- Flavor currents  $\bar{\psi}_i \gamma_\mu (1 \pm \gamma_5) \psi_j$ , dual to the gauge fields  $(A_\mu^{L/R})_{ij}$  (with  $i, j = 1 \dots N_f$ ) global  $U(N_f)_L \times U(N_f)_R$  of QCD promoted to gauge symmetry
- Flavor bilinears  $\bar{\psi}_i \psi_j$  dual to a complex scalar  $T_{ij}$  irrelevant in chirally symmetric phase

What are our options for the choice of 5D action?

# Chern-Simons (CS) terms in holography

Chiral anomalies in QCD: consider the chiral  $U(N_f)_L \times U(N_f)_R$  coupled to external fields  $A_L$ ,  $A_R$ 

• Under transformation with parameters  $\Lambda_{L/R}$ 

$$S_{\text{QCD}} \mapsto S_{\text{QCD}} + \frac{iN_c}{24\pi^2} \int \text{Tr} \left[\Lambda_L F_L \wedge F_L - \Lambda_R F_R \wedge F_R + \cdots\right]$$

# Chern-Simons (CS) terms in holography

Chiral anomalies in QCD: consider the chiral  $U(N_f)_L \times U(N_f)_R$  coupled to external fields  $A_L$ ,  $A_R$ 

• Under transformation with parameters  $\Lambda_{L/R}$ 

$$S_{\text{QCD}} \mapsto S_{\text{QCD}} + \frac{iN_c}{24\pi^2} \int \text{Tr} \left[\Lambda_L F_L \wedge F_L - \Lambda_R F_R \wedge F_R + \cdots\right]$$

Holographic counterpart

- External fields promoted to 5D gauge fields
- Gauge variation at the boundary must agree with the anomaly
- 5D CS term unique when chiral symmetry intact

$$S_{\rm CS} = \frac{iN_c}{24\pi^2} \int {\rm Tr} \left[ -\frac{iA_L \wedge F_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge F_L + \frac{i}{10}A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L - (L \leftrightarrow R) \right]$$

# Chern-Simons (CS) terms in holography

Chiral anomalies in QCD: consider the chiral  $U(N_f)_L \times U(N_f)_R$  coupled to external fields  $A_L$ ,  $A_R$ 

• Under transformation with parameters  $\Lambda_{L/R}$ 

$$S_{\text{QCD}} \mapsto S_{\text{QCD}} + \frac{iN_c}{24\pi^2} \int \text{Tr} \left[\Lambda_L F_L \wedge F_L - \Lambda_R F_R \wedge F_R + \cdots\right]$$

Holographic counterpart

- External fields promoted to 5D gauge fields
- Gauge variation at the boundary must agree with the anomaly

[Witten hep-th/9802150]

$$S_{\rm CS} = \frac{iN_c}{24\pi^2} \int {\rm Tr} \left[ -iA_L \wedge F_L \wedge F_L + \frac{1}{2}A_L \wedge A_L \wedge A_L \wedge F_L + \frac{i}{10}A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L \wedge A_L - (L \leftrightarrow R) \right]$$

Generalizations (e.g. chirally broken) worked out

5D CS term – unique when chiral symmetry intact

[Casero, Paredes, Kiritsis hep-th/0702155; Lau, Sugimoto 1612.09503; MJ, Kiritsis, Nitti, Préau 2209.05868]

▶ Note:  $U(1)_A$  anomaly is a separate issue – not needed here

## Generic holographic approach: actions

We write down expected (two-derivative) terms

 $S = S_{\rm gr} + S_{\rm matter} + S_{\rm CS}$ 

where  $S_{CS}$  is fixed by anomalies, and

$$S_{
m gr} = M_{
m p}^3 N_c^2 \int d^5 x \sqrt{-\det g} \left[ R - rac{4}{3} (\partial \phi)^2 + V_{
m g}(\phi) 
ight]$$

## Generic holographic approach: actions

We write down expected (two-derivative) terms

 $S = S_{
m gr} + S_{
m matter} + S_{
m CS}$ 

where  $S_{CS}$  is fixed by anomalies, and

$$S_{
m gr} = M_{
m p}^3 N_c^2 \int d^5 x \sqrt{-\det g} \left[ R - rac{4}{3} (\partial \phi)^2 + V_{
m g}(\phi) 
ight]$$

Choice of  $S_{matter}$  less obvious. Options:  $S_{matter} = S_{DBI}$  or  $S_{matter} = S_{YM}$ , with

1. 
$$S_{\text{DBI}} = M_{\text{p}}^{3} N_{c} \int V_{\text{f}}(\phi) \operatorname{Tr} \left[ \sqrt{-\det \left[ g_{\mu\nu} + w(\phi)(F_{L})_{\mu\nu} \right]} + (L \leftrightarrow R) \right]$$
  
2. 
$$S_{\text{YM}} = M_{\text{p}}^{3} N_{c} \int Z(\phi) \operatorname{Tr} \left[ F_{L}^{2} + F_{R}^{2} \right]$$

## Generic holographic approach: actions

We write down expected (two-derivative) terms

 $S = S_{\rm gr} + S_{\rm matter} + S_{\rm CS}$ 

where  $S_{CS}$  is fixed by anomalies, and

$$S_{
m gr} = M_{
m p}^3 N_c^2 \int d^5 x \sqrt{-\det g} \left[ R - rac{4}{3} (\partial \phi)^2 + V_{
m g}(\phi) 
ight]$$

Choice of  $S_{matter}$  less obvious. Options:  $S_{matter} = S_{DBI}$  or  $S_{matter} = S_{YM}$ , with

1. 
$$S_{\text{DBI}} = M_{\text{p}}^{3} N_{c} \int V_{\text{f}}(\phi) \operatorname{Tr} \left[ \sqrt{-\det \left[ g_{\mu\nu} + w(\phi)(F_{L})_{\mu\nu} \right]} + (L \leftrightarrow R) \right]$$
  
2.  $S_{\text{YM}} = M_{\text{p}}^{3} N_{c} \int Z(\phi) \operatorname{Tr} \left[ F_{L}^{2} + F_{R}^{2} \right]$ 

- ▶ Background gauge fields sourced by  $\mu_B \Rightarrow$  at small density,  $F_{L/R}$  small  $\Rightarrow$  DBI and YM reduce to the same choice
- ▶ Potentials  $(V_g, V_f, w \text{ or } V_g, Z)$  to be fixed by QCD data

# Fitting the potentials to data

Potentials determined by comparison to lattice data

- ▶ Data for Yang-Mills  $(V_g)$
- ► Data for full QCD (other potentials): equation of state,  $\chi_2^B = \frac{d^2p}{d\mu_B^2}|_{\mu_B=0}$  ...

In case of DBI action we use two approaches

1. With confinement and phase transition (V-QCD)

2. Without confinement, direct fit to data





# Outline

# 1. Introduction

- 2. Holographic models
- 3. Spatial Instability
- 4. Conclusion

# Inhomogeneity in holographic plasma?

Spatially modulated instability

[Nakamura, Ooguri, Park 0911.0679; Ooguri, Park 1011.4144]

- Exponentially growing perturbation at q ≠ 0: a quasi-normal mode with Im ω > 0
- The Chern-Simons term can drive such a modulated instability at finite density



# Inhomogeneity in holographic plasma?

Spatially modulated instability

[Nakamura, Ooguri, Park 0911.0679; Ooguri, Park 1011.4144]

- Exponentially growing perturbation at q ≠ 0: a quasi-normal mode with Im ω > 0
- The Chern-Simons term can drive such a modulated instability at finite density

Schematic fluctuation equation



$$\psi''(r) + \left(A' + \frac{f'}{f}\right)\psi'(r) + \underbrace{\frac{qn}{\mathcal{M}_{\rho}^{3}fe^{2A}Z(\phi)^{2}}\psi(r)}_{\text{From CS term}} + \left(\frac{\omega^{2}}{f^{2}} - \frac{q^{2}}{f}\right)\psi(r) = 0$$

$$\psi = \delta A_{L/R}^{x} \pm i\delta A_{L/R}^{y} \qquad r = \text{holographic coord.}$$

# Inhomogeneity in holographic plasma?

Spatially modulated instability

[Nakamura, Ooguri, Park 0911.0679; Ooguri, Park 1011.4144]

- Exponentially growing perturbation at q ≠ 0: a quasi-normal mode with Im ω > 0
- The Chern-Simons term can drive such a modulated instability at finite density

Schematic fluctuation equation



$$\psi''(r) + \left(A' + \frac{f'}{f}\right)\psi'(r) + \underbrace{\frac{qn}{M_p^3 f e^{2A} Z(\phi)^2}\psi(r)}_{\text{From CS term}} + \left(\frac{\omega^2}{f^2} - \frac{q^2}{f}\right)\psi(r) = 0$$

$$\psi = \delta A_{L/R}^x \pm i\delta A_{L/R}^y \qquad r = \text{holographic coord.}$$

 Ground state: Modulated 5D gauge fields dual to modulated persistent chiral currents in field theory

26/16

The region where instability exists in V-QCD

[Cruz Rojas, Demircik, MJ 2405.02399]







- ▶ Instability is found at low *T* and large density (expected)
- Instability is also found at higher T, near the regime with critical point?! (a big surprise)



- ▶ Instability is found at low *T* and large density (expected)
- Instability is also found at higher T, near the regime with critical point?! (a big surprise)
- Estimate for transition and critical point from earlier work

# Model dependence: fitting uncertainty

Low-density instability would be phenomenologically highly interesting and potentially testable

- There may be caveats and uncertainties (choices in fitting the data, model dependence and reliability...)
- However, at low densities, expect that models strictly fixed by lattice data
- Important to check this!

[Demircik, Jokela, MJ, Piispa 2405.02392]

# Model dependence: fitting uncertainty

Low-density instability would be phenomenologically highly interesting and potentially testable

- There may be caveats and uncertainties (choices in fitting the data, model dependence and reliability...)
- However, at low densities, expect that models strictly fixed by lattice data
- Important to check this!

[Demircik, Jokela, MJ, Piispa 2405.02392]



Parameter dependence in V-QCD: rather weak

 Onset of instability solidly determined by lattice fit

## Model dependence: other checks

#### Vary fitting strategy



Only minor changes

## Model dependence: other checks

#### Vary fitting strategy

Vary matter action



 Only minor changes – in particular, DBI and Yang-Mills actions give essentially identical results

## Model dependence: other checks

#### Vary fitting strategy

Vary matter action



 Only minor changes – in particular, DBI and Yang-Mills actions give essentially identical results

> This means that the instability appears in a wide class of models in the literature

## Model dependence: strange quark mass

- Instability potentially sensitive to fit to  $\chi_2 = \frac{d^2 p}{d\mu^2}\Big|_{\mu=0}$
- Lattice data shows mild flavor dependence

[Borsanyi et al. 1112.4416]

Naive test: fit instead of the full  $\chi_2$  the light quark  $\chi_2$  (dashed curves) of the  $N_f = 2 + 1$  lattice result  $\Rightarrow$  isolate the instability in the light quark sector



## Model dependence: strange quark mass



- Rather strong suppression of the instability!
- However, not a consistent check due to strange quark effects in lattice data
- Moreover, fit to strange quark  $\chi_2$  would instead enhance instability

## Model dependence: strange quark mass



- Rather strong suppression of the instability!
- However, not a consistent check due to strange quark effects in lattice data
- Moreover, fit to strange quark  $\chi_2$  would instead enhance instability
- Therefore further careful study is required

# Outline

# 1. Introduction

- 2. Holographic models
- 3. Spatial Instability

Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability

- Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability
  - Model dependence weak, so perhaps also a feature of real QCD?

- Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability
  - Model dependence weak, so perhaps also a feature of real QCD?
- Appears at high density, region potentially reached in neutron star cores and neutron star mergers

- Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability
  - Model dependence weak, so perhaps also a feature of real QCD?
- Appears at high density, region potentially reached in neutron star cores and neutron star mergers
- A surprise: also found at low density and high temperature, region reachable by lattice or experiments

- Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability
  - Model dependence weak, so perhaps also a feature of real QCD?
- Appears at high density, region potentially reached in neutron star cores and neutron star mergers
- A surprise: also found at low density and high temperature, region reachable by lattice or experiments
- Dependence on fitting procedure and choice of flavor action small at low density – affects ALL models fitted to equation of state and \(\chi\_2^B\)

- Holographic bottom-up QCD models anchored to lattice data suffer from strong Nakamura-Ooguri-Park instability
  - Model dependence weak, so perhaps also a feature of real QCD?
- Appears at high density, region potentially reached in neutron star cores and neutron star mergers
- A surprise: also found at low density and high temperature, region reachable by lattice or experiments
- Dependence on fitting procedure and choice of flavor action small at low density – affects ALL models fitted to equation of state and \(\chi\_2^B\)
- Flavor effects, in particular dependence on strange quark mass, expected to be significant
- Next step, therefore: add separate flavors and strange quark mass in progress with Toshali Mitra – fitting already done

# Thank you!

# Generic holographic approach: fitting strategies

Potentials are determined by comparing with lattice results for QCD thermodynamics. Two main strategies:

Strategy I: Include confined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^0)$ , and the transition to a deconfined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^2)$ 

Used in Improved Holographic QCD and V-QCD models

[Gürsoy, Kiritsis 0707.1324; Gürsoy, Kiritsis, Nitti 0707.1349; MJ, Kiritsis 1112.1261]

Fit lattice data above  $T = T_c$ 

[Gürsoy, Kiritsis, Mazzanti, Nitti 0903.2859; Jokela, MJ, Remes 1809.07770]

• Faithful to the behavior in the limit of large  $N_c$ 

# Generic holographic approach: fitting strategies

Potentials are determined by comparing with lattice results for QCD thermodynamics. Two main strategies:

Strategy I: Include confined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^0)$ , and the transition to a deconfined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^2)$ 

Used in Improved Holographic QCD and V-QCD models

[Gürsoy, Kiritsis 0707.1324; Gürsoy, Kiritsis, Nitti 0707.1349; MJ. Kiritsis 1112.1261]

Fit lattice data above  $T = T_c$ 

[Gürsoy, Kiritsis, Mazzanti, Nitti 0903.2859; Jokela, MJ, Remes 1809.07770]

• Faithful to the behavior in the limit of large  $N_c$ 

Strategy II: Only deconfined black holes: no phase transition at low density

Fit lattice data at all temperatures

[Gubser, Nellore, Pufu, Rocha 0804.1950; Gubser, Nellore 0804.0434;

DeWolfe, Gubser, Rosen 1012.1864; ...]

Follows the behavior in the phase diagram of QCD (crossover at low density)

# Generic holographic approach: fitting strategies

Potentials are determined by comparing with lattice results for QCD thermodynamics. Two main strategies:

Strategy I: Include confined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^0)$ , and the transition to a deconfined phase, with  $S_{\text{on-shell}} = \mathcal{O}(N_c^2)$ 

Used in Improved Holographic QCD and V-QCD models

[Gürsoy, Kiritsis 0707.1324; Gürsoy, Kiritsis, Nitti 0707.1349; MJ. Kiritsis 1112.1261]

Fit lattice data above  $T = T_c$ 

[Gürsoy, Kiritsis, Mazzanti, Nitti 0903.2859; Jokela, MJ, Remes 1809.07770]

• Faithful to the behavior in the limit of large  $N_c$ 

Strategy II: Only deconfined black holes: no phase transition at low density

Fit lattice data at all temperatures

[Gubser, Nellore, Pufu, Rocha 0804.1950; Gubser, Nellore 0804.0434;

DeWolfe, Gubser, Rosen 1012.1864; ...]

▶ Follows the behavior in the phase diagram of QCD (crossover at low density)

We study both approaches

## Fitting the models: setup

Solve numerically black hole geometries

$$ds^2=e^{2A(r)}\left(rac{1}{f(r)}dr^2-f(r)dt^2+dec{x}^2
ight)$$

with a horizon  $f(r = r_h) = 0$  and a background gauge field

 $A_L^t(r) = A_R^t(r) = \Phi(r)\mathbb{I}$ 

Black hole thermodynamics  $\Rightarrow$  equation of state

$$T = rac{1}{4\pi} |f'(r_h)| \qquad s = 4\pi M_p^2 N_c^2 e^{3A(r_h)}$$

Relation between quark number n and chemical potential (for YM action)

$$\mu = \Phi(r=0) = n \int_0^{\infty} \frac{1}{e^A Z(\phi)}$$

Numerical expansion  $\Rightarrow$  susceptibilities

$$\chi_k(T,\mu) = \frac{\partial^k p(T,\mu)}{\partial \mu^k} = \frac{\partial^{k-1} n(T,\mu)}{\partial \mu^{k-1}}$$

# Constraining the potentials

In the UV (  $\lambda \rightarrow 0$ ):

 $\blacktriangleright$  UV expansions of potentials matched with perturbative QCD beta functions  $\Rightarrow$  asymptotic freedom and logarithmic flow of the coupling and quark mass, as in QCD

[Gürsoy, Kiritsis 0707.1324; MJ, Kiritsis 1112.1261]

In the IR  $(\lambda \to \infty)$ : various qualitative constraints

- ▶ Linear confinement, discrete glueball & meson spectrum, linear radial trajectories
- Existence of a "good" IR singularity
- Correct behavior at large quark masses
- Working potentials often string-inspired power-laws, multiplied by logarithmic corrections (i.e, first guesses usually work!)

[Gürsoy, Kiritsis, Nitti 0707.1349; MJ, Kiritsis 1112.1261; Arean, latrakis, MJ, Kiritsis 1309.2286, 1609.08922;

MJ 1501.07272]

Final task: determine the potentials in the middle,  $\lambda = \mathcal{O}(1)$ 

Qualitative comparison to lattice/experimental data

Ansatz for potentials, (x = 1)

$$\begin{split} V_{g}(\lambda) &= 12 \left[ 1 + V_{1}\lambda + \frac{V_{2}\lambda^{2}}{1 + \lambda/\lambda_{0}} + V_{\mathrm{IR}}e^{-\lambda_{0}/\lambda}(\lambda/\lambda_{0})^{4/3}\sqrt{\log(1 + \lambda/\lambda_{0})} \right] \\ V_{f0}(\lambda) &= W_{0} + W_{1}\lambda + \frac{W_{2}\lambda^{2}}{1 + \lambda/\lambda_{0}} + W_{\mathrm{IR}}e^{-\lambda_{0}/\lambda}(\lambda/\lambda_{0})^{2} \\ &\frac{1}{w(\lambda)} = w_{0} \left[ 1 + \frac{w_{1}\lambda/\lambda_{0}}{1 + \lambda/\lambda_{0}} + \bar{w}_{0}e^{-\lambda_{0}/\lambda_{W_{s}}}\frac{(w_{s}\lambda/\lambda_{0})^{4/3}}{\log(1 + w_{s}\lambda/\lambda_{0})} \right] \\ &V_{1} = \frac{11}{27\pi^{2}} , \quad V_{2} = \frac{4619}{46656\pi^{4}} \\ &W_{1} = \frac{8 + 3W_{0}}{9\pi^{2}} ; \quad W_{2} = \frac{6488 + 999W_{0}}{15552\pi^{4}} \end{split}$$

Fixed UV/IR asymptotics  $\Rightarrow$  fit parameters only affect details in the middle

# Fitting example: V-QCD (strategy I)

Fit to lattice data near  $\mu = 0$  with DBI action and fitting strategy I (with transition): the V-QCD model (in the chirally symmetric phase) [MJ, Jokela, Remes, 1809.07770]

- Choose suitable Ansätze for the potentials, many parameters
- Parameters adjusted "by hand"
- Good description of lattice data nontrivial result!
- $\blacktriangleright$  Flat direction in the fit  $\Rightarrow$  a one-parameter family of models



39/16

# Fitting example: direct fit (strategy II)



# How does the instability arise?

Looks quite different from Nakamura-Ooguri-Park, where the onset was at fixed  $\mu/T...$  what is going on?

 Also differs from result in Witten-Sakai-Sugimoto

Look at the fluctuation equation



$$\psi'' + \left(A' + \frac{f'}{f}\right)\psi' + \frac{qn}{M_p^3 f e^{2A} Z(\phi)^2}\psi + \left(\frac{\omega^2}{f^2} - \frac{q^2}{f}\right)\psi = 0$$

 $\blacktriangleright$  Values of  $\phi$  largest near horizon, and grow for smaller black holes

Smallest black holes found near the deconfinement transition

[Alho, MJ, Kajantie, Kiritsis, Rosen, Tuominen 1312.5199]

- Z(φ) determined by fit to χ<sub>2</sub>: fast increase of χ<sub>2</sub> with T ⇒ fast decrease of Z with φ
- Enhances instability strongly for small black holes