

# Perturbation vs. confinement for bound states

Paul Hoyer

University of Helsinki

Emergent mass and its consequences in the Standard Model

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“The most fundamental emergent phenomena in Quantum Chromodynamics (QCD), e.g. confinement, dynamical chiral symmetry breaking, mass generation for both gluons and quarks, and **bound state** formation, can only be tackled using **non-perturbative** methods.”

**Yes, but:** Bound states can have both non-perturbative and perturbative aspects (QED).

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G. S. Adkins,  
Hyperfine Interact. **233** (2015) 59

$$\begin{aligned} \Delta\nu_{QED} = m_e \alpha^4 & \left\{ \frac{7}{12} - \frac{\alpha}{\pi} \left( \frac{8}{9} + \frac{\ln 2}{2} \right) \right. \\ & + \frac{\alpha^2}{\pi^2} \left[ -\frac{5}{24} \pi^2 \ln \alpha + \frac{1367}{648} - \frac{5197}{3456} \pi^2 + \left( \frac{221}{144} \pi^2 + \frac{1}{2} \right) \ln 2 - \frac{53}{32} \zeta(3) \right] \\ & \left. - \frac{7\alpha^3}{8\pi} \ln^2 \alpha + \frac{\alpha^3}{\pi} \ln \alpha \left( \frac{17}{3} \ln 2 - \frac{217}{90} \right) + \mathcal{O}(\alpha^3) \right\} = 203.39169(41) \text{ GHz} \end{aligned}$$

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- **Binding energy** is perturbative in  $\alpha$  and  $\log(\alpha)$  (measurable)
- **Wave function**  $\psi(r) \propto \exp(-m\alpha r)$  is of  $\mathcal{O}(\alpha^\infty)$  (gauge dependent)

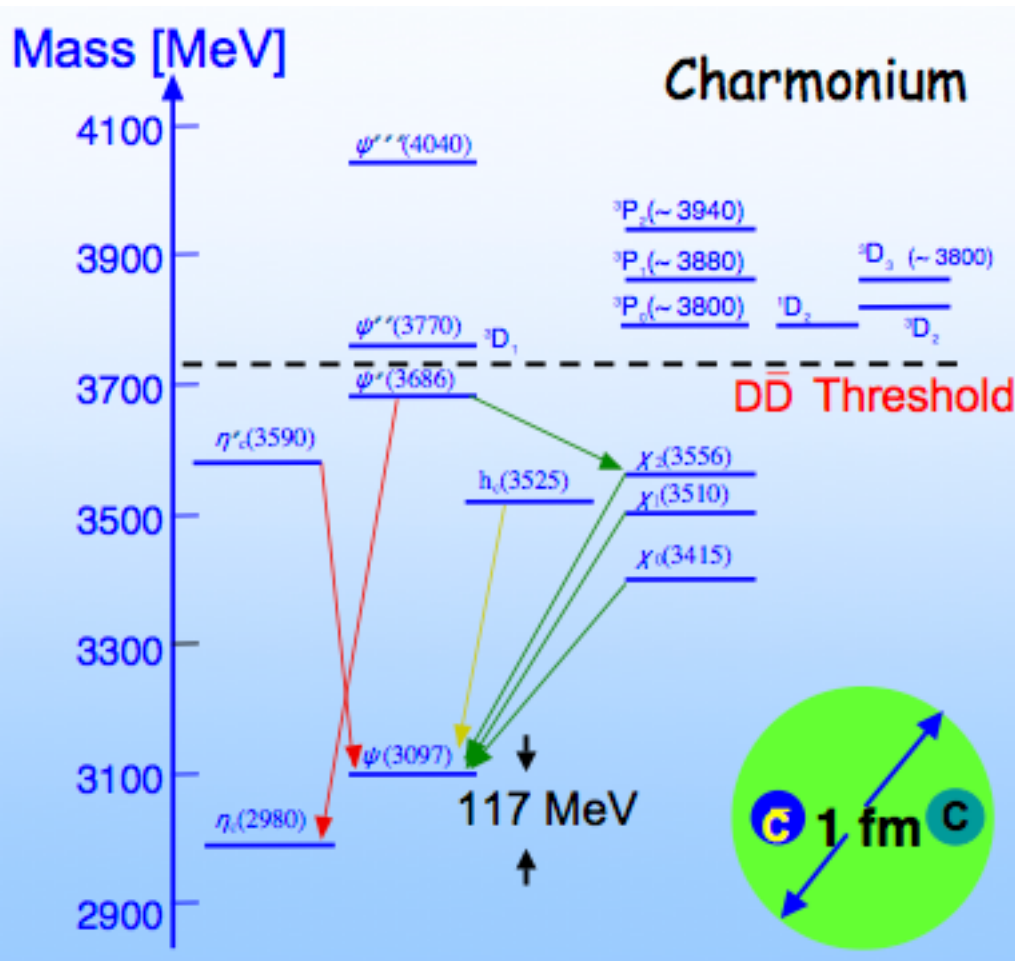
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- Indications from data: Spectra ( $q\bar{q}$ ,  $qqq$ ), OZI rule, Duality, ...



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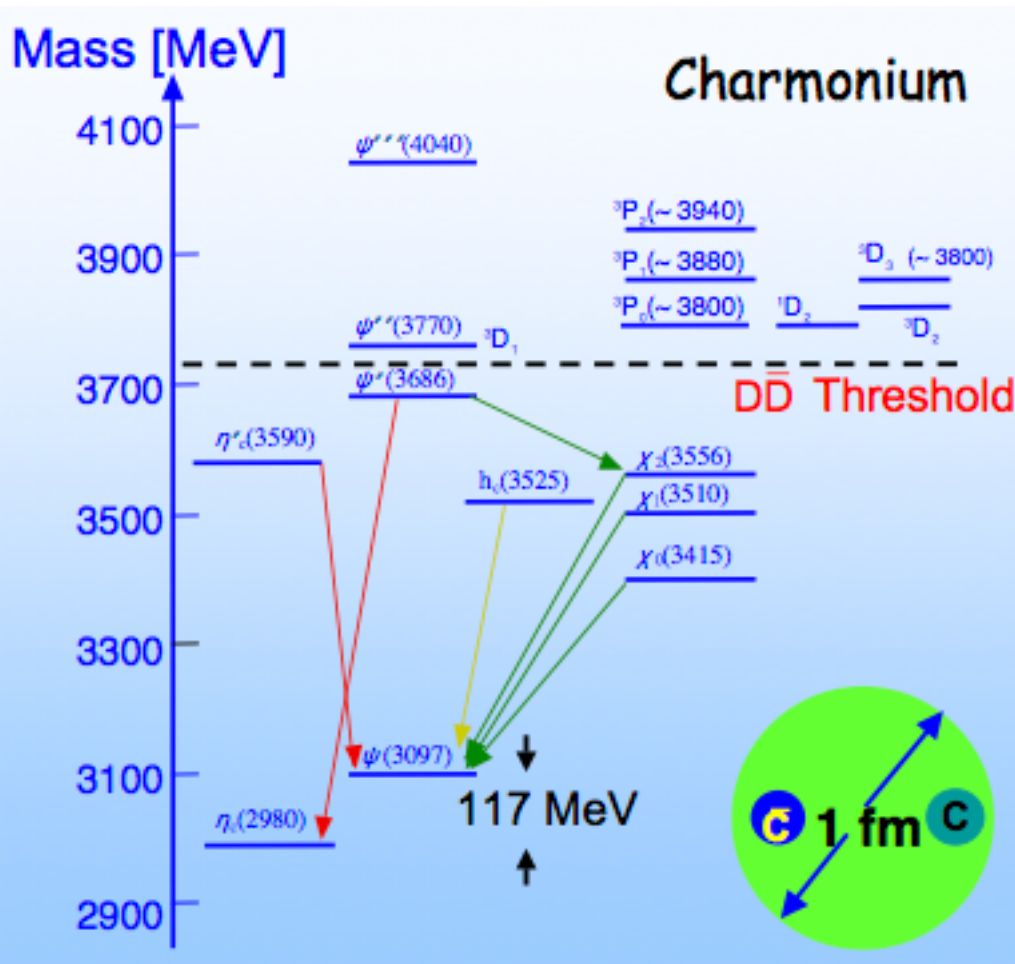
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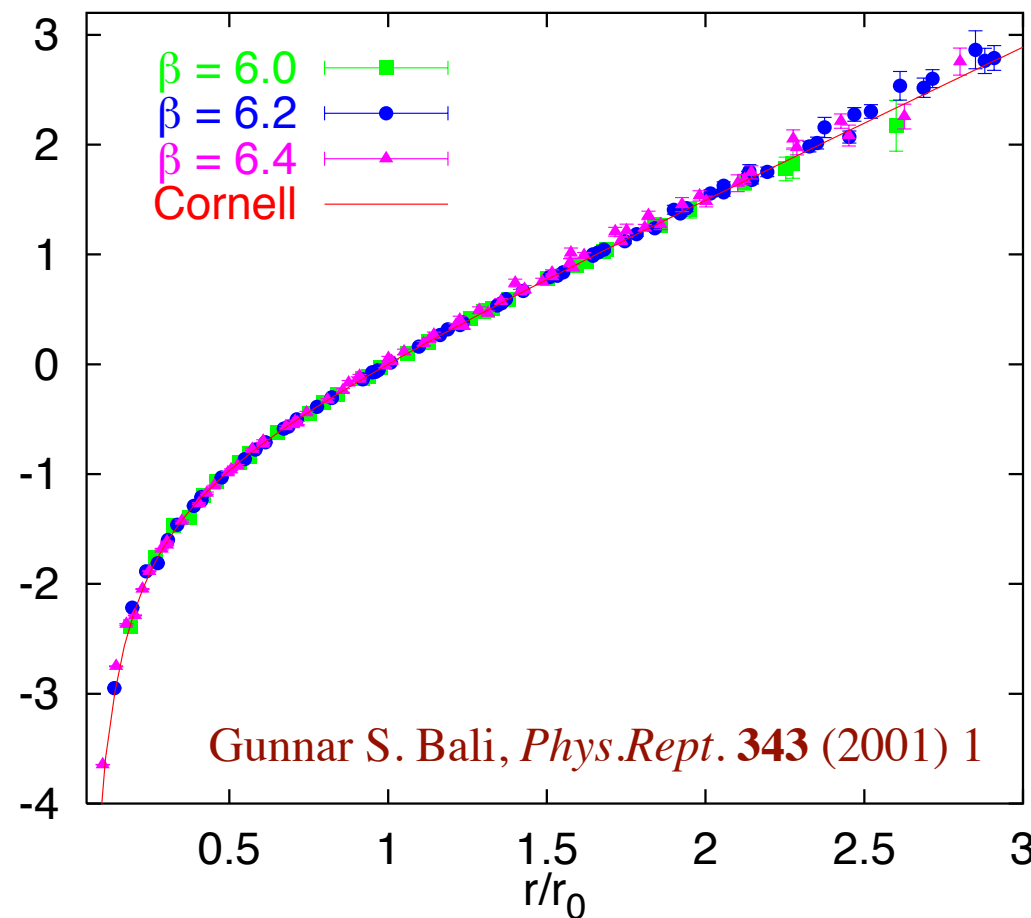
$$V(r) = cr - \frac{4}{3} \frac{\alpha_s}{r}$$

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$V(r)$ : Cornell vs. Lattice



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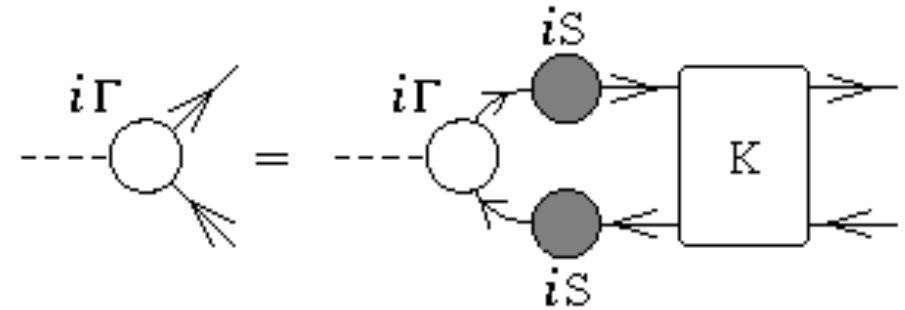
## Quoting Craig Roberts, et al.:

- Interpretation of given observable depends on the basis employed
- K. G. Wilson, Walhout, Harindranath, Zhang, Perry, Glazek: Phys. Rev. D **49** (1994) pp. 6720-6766 ... Arguing for the use of quasiparticle operators:  
*As is always the case, the division of the Hamiltonian into a free part and an interaction part is arbitrary; however, it is also true that the convergence of a perturbative expansion depends crucially on how this choice is made.*
- **Clearest/simplest picture will likely change with the resolution scale**

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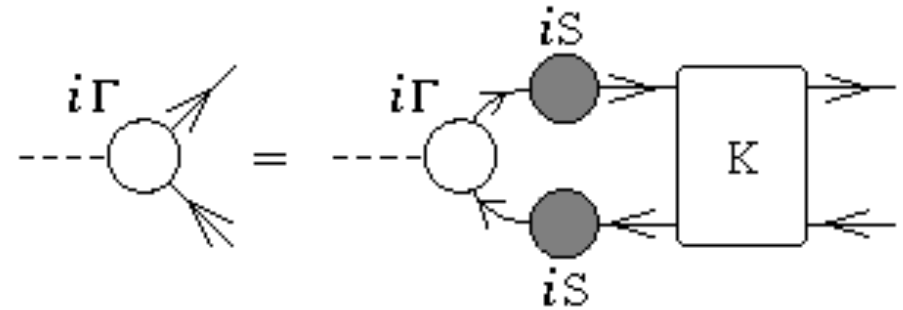
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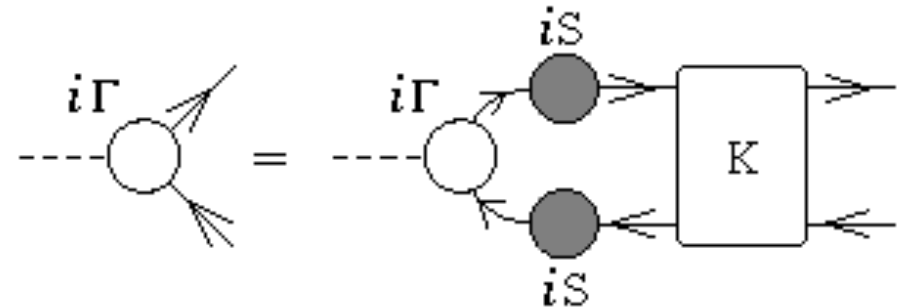
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- 1986: Caswell & Lepage **NRQED**: Effective NR field theory  
Expand QED action in powers of  $\nabla/m_e$   
**Choose** to start from Schrödinger atoms

# Bound states in the S-matrix

Feynman diagrams are derived in the Interaction Picture:

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_I$$

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Formally exact expression, provided the *in*- and *out*-states have a non-vanishing **overlap** with the the **physical** *i, f* states.

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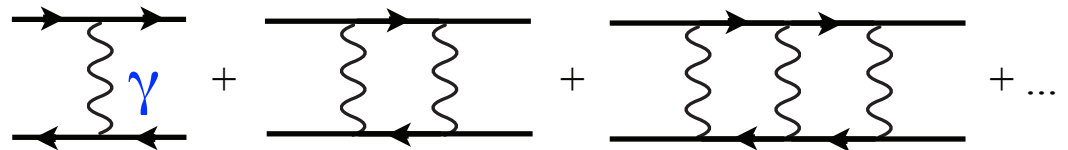
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No Feynman diagram has a bound state pole.



Expanding around free states is inappropriate for bound states.

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**The  $\hbar \rightarrow 0$  limit**:

- Selects an optimal perturbative expansion.
- Preserves symmetries and unitarity.
- Applies also to relativistic dynamics



# Implementation: The "Potential Picture"

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- Classical field vanishes for widely separated charges  $\Rightarrow$  Standard P.T.
- For bound states,  $A_{cl}$  is **not** given by Feynman diagrams.
- Born level must give a fair approximation of bound states:  $(\mathcal{H}_I)^0, \hbar^0$

# The classical field for Positronium

$$\frac{\delta \mathcal{S}_{QED}}{\delta \hat{A}^0(t, \mathbf{x})} = 0 \quad \Rightarrow \quad -\nabla^2 \hat{A}^0(t, \mathbf{x}) = e\psi^\dagger(t, \mathbf{x})\psi(t, \mathbf{x})$$
$$\hat{A}^0(t, \mathbf{x}) = \int d^3\mathbf{y} \frac{e}{4\pi|\mathbf{x} - \mathbf{y}|} \psi^\dagger\psi(t, \mathbf{y})$$

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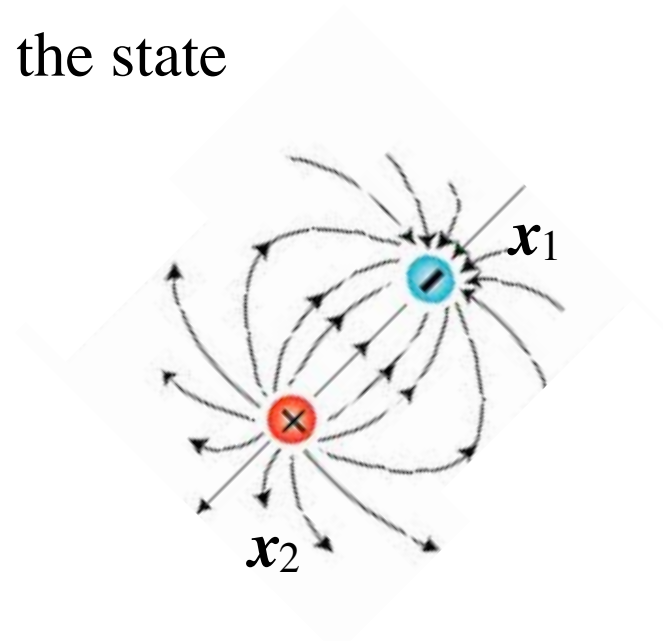
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The classical field is the expectation value of  $\hat{A}^0$  in the state

$$|\mathbf{x}_1, \mathbf{x}_2\rangle = \bar{\psi}(t, \mathbf{x}_1) \psi(t, \mathbf{x}_2) |0\rangle$$

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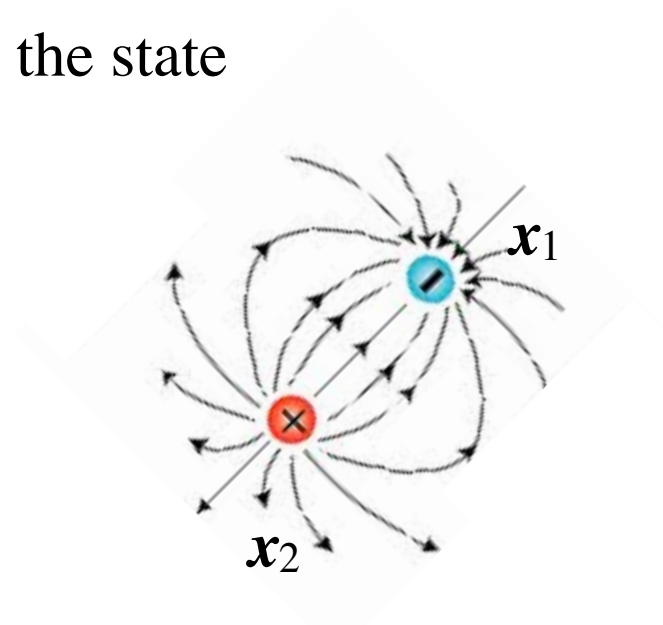
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**Note:** •  $A^0$  is determined **instantaneously** for all  $\mathbf{x}$

• It **depends on  $\mathbf{x}_1, \mathbf{x}_2$**   $\Rightarrow$  **The charges determine the field**

•  $eA^0(\mathbf{x}_1) = -eA^0(\mathbf{x}_2) = -\frac{\alpha}{|\mathbf{x}_1 - \mathbf{x}_2|}$  is the classical  $-\alpha/r$  potential

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$$|M\rangle_V = \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}(\mathbf{x}_1) \Phi(\mathbf{x}_1 - \mathbf{x}_2) \psi(\mathbf{x}_2) |0\rangle$$

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The Schrödinger equation should be derived from the QED action in courses on field theory!

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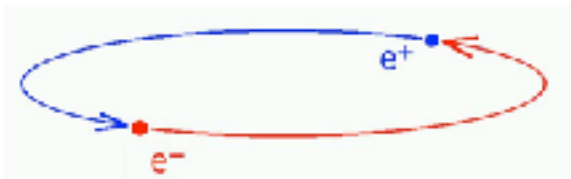
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Positronium

**QED**

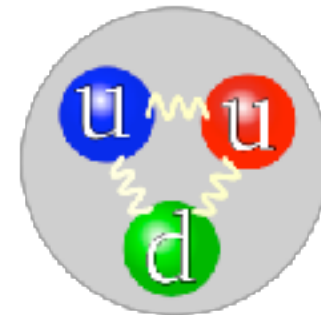
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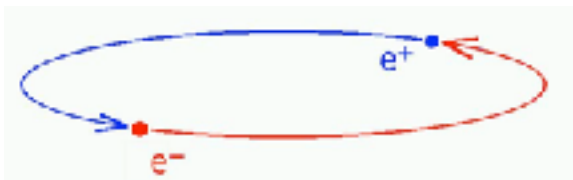


$$A_a^0(\mathbf{x}) = 0$$

Proton  
QCD

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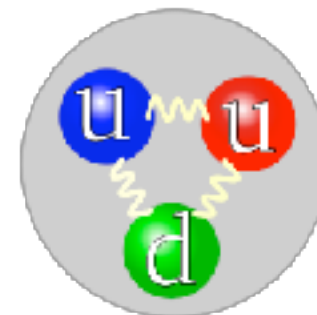
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Positronium

QED



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Proton

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However:

The classical gluon field is non-vanishing for each color component  $C$  of the state

$$A_a^0(\mathbf{x}; C) \neq 0$$

$$\sum_C A_a^0(\mathbf{x}; C) = 0$$

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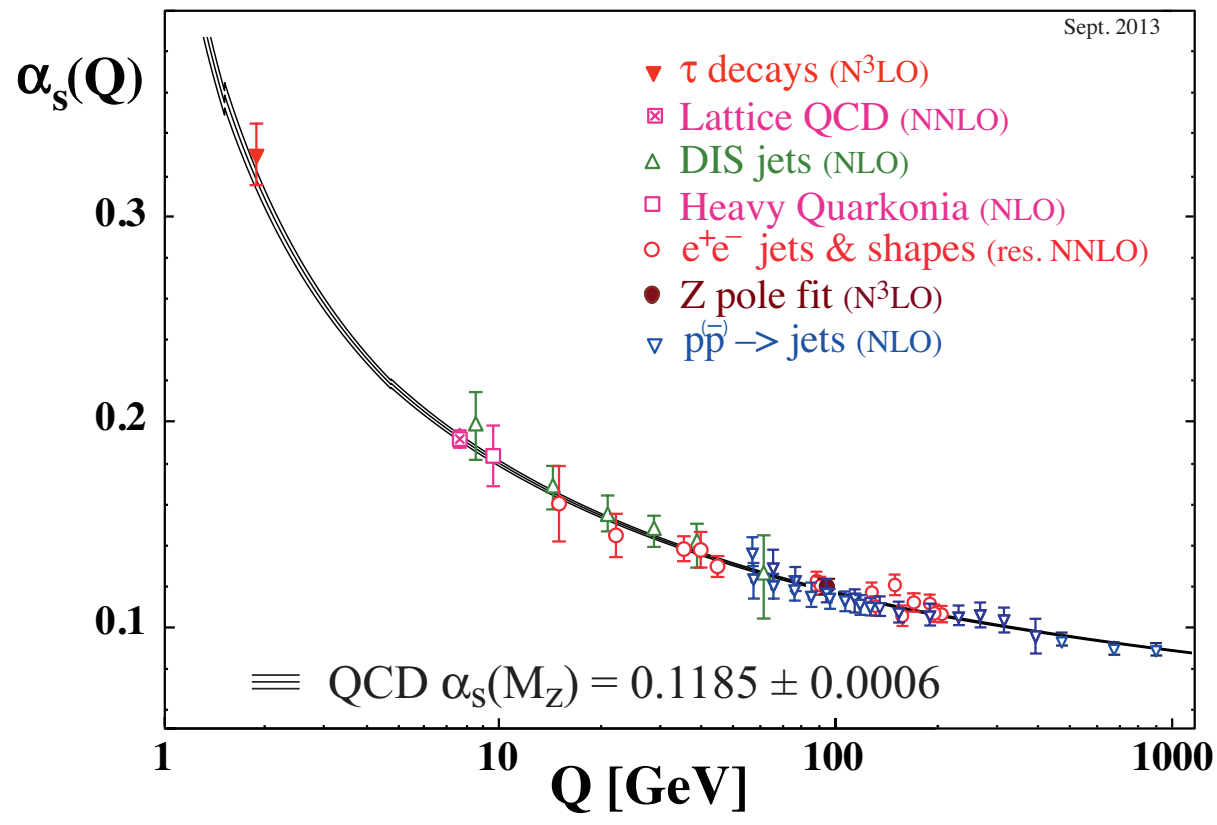
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★ ←

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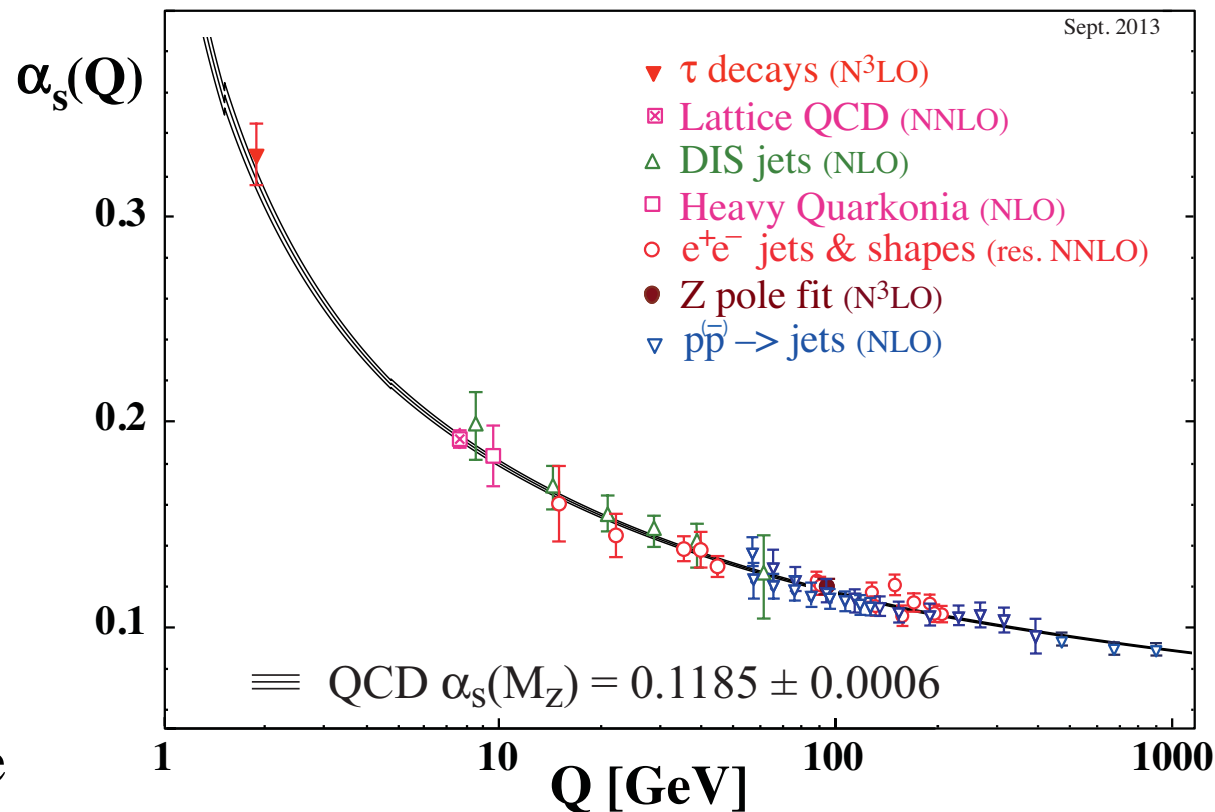
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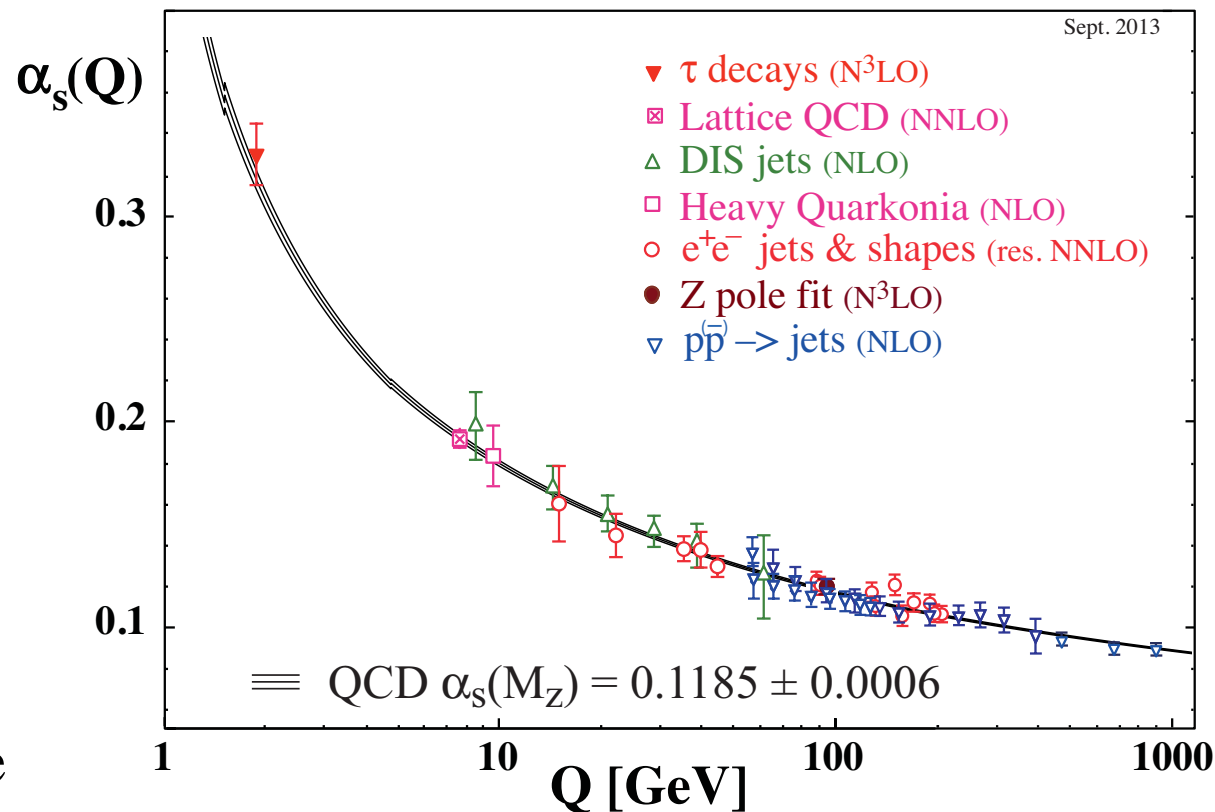
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3. Poincaré invariance, unitarity etc. should hold at each power of  $\hbar$

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At  $O(\hbar^0)$  (no loops) the QCD scale can only arise via a **boundary condition**

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- $\mathbf{x} \cdot \mathbf{y}$  for rotational invariance
- $\mathbf{x}$ -independent field energy density  $\sum_a |\nabla \hat{A}_a^0(\mathbf{x})|^2$  must be **universal**  
 $\Rightarrow$  determines  $\kappa$  up to a **scale  $\Lambda$  [GeV]**

# Classical color field for mesons

$$|M\rangle = \sum_{A,B} \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}^A(\mathbf{x}_1) \Phi^{AB}(\mathbf{x}_1 - \mathbf{x}_2) \psi^B(\mathbf{x}_2) |0\rangle$$

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no field at any  $\mathbf{x}$

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$$V(\mathbf{x}_1 - \mathbf{x}_2) = \frac{1}{2}g \sum_a T_a^{AA} \left[ A_a^0(\mathbf{x}_1; \mathbf{x}_1, \mathbf{x}_2, A) - A_a^0(\mathbf{x}_2; \mathbf{x}_1, \mathbf{x}_2, A) \right] = g\Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2|$$

Linear potential, independent of quark color component  $A$

# Classical color field for baryons

$$|M\rangle = \sum_{A,B,C} \int d\mathbf{x}_1 d\mathbf{x}_2 d\mathbf{x}_3 \psi_A^\dagger(\mathbf{x}_1) \psi_B^\dagger(\mathbf{x}_2) \psi_C^\dagger(\mathbf{x}_3) \Phi^{ABC}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) |0\rangle \quad \Phi^{ABC} = \epsilon^{ABC} \Phi$$



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in  $\psi_A^\dagger(\mathbf{x}_1) \psi_B^\dagger(\mathbf{x}_2) \psi_C^\dagger(\mathbf{x}_3) |0\rangle$  ( $A \neq B \neq C$ ) determines the classical field:

$$A_a^0(\mathbf{x}; \mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3, ABC) = \left[ \mathbf{x} - \frac{1}{3}(\mathbf{x}_1 + \mathbf{x}_2 + \mathbf{x}_3) \right] \cdot (T_a^{AA} \mathbf{x}_1 + T_a^{BB} \mathbf{x}_2 + T_a^{CC} \mathbf{x}_3) \frac{6\Lambda^2}{d(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3)}$$

where  $d(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) = \frac{1}{\sqrt{2}} \sqrt{(\mathbf{x}_1 - \mathbf{x}_2)^2 + (\mathbf{x}_2 - \mathbf{x}_3)^2 + (\mathbf{x}_3 - \mathbf{x}_1)^2}$

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$$\sum_{A,B,C} \epsilon^{ABC} A_a^0(\mathbf{x}; \mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3, ABC) = 0 \quad \text{No classical field for singlet state}$$

$$V(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) = g\Lambda^2 d(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3)$$

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$$i\nabla \cdot \{\gamma^0 \boldsymbol{\gamma}, \Phi(\mathbf{x})\} + m [\gamma^0, \Phi(\mathbf{x})] = [M - V(\mathbf{x})] \Phi(\mathbf{x})$$

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Expanding the  $4 \times 4$  wave function in a basis of 16 Dirac structures  $\Gamma_i(\mathbf{x})$

$$\Phi(\mathbf{x}) = \sum_i \Gamma_i(\mathbf{x}) F_i(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

we may use rotational, parity and charge conjugation invariance to determine which  $\Gamma_i(\mathbf{x})$  may occur for a state of given  $j^{PC}$ :

$0^{-+}$ trajectory	$[s = 0, \ell = j] :$	$-\eta_P = \eta_C = (-1)^j$	$\gamma_5, \gamma^0 \gamma_5, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}$
$0^{--}$ trajectory	$[s = 1, \ell = j] :$	$\eta_P = \eta_C = -(-1)^j$	$\gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \boldsymbol{\alpha} \cdot \mathbf{L}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{L}$
$0^{++}$ trajectory	$[s = 1, \ell = j \pm 1] :$	$\eta_P = \eta_C = +(-1)^j$	$1, \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{x}, \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{L}$
$0^{+-}$ trajectory	[exotic] :	$\eta_P = -\eta_C = (-1)^j$	$\gamma^0, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{L}$

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$\Rightarrow$  There are no solutions for quantum numbers that would be exotic in the quark model (despite the relativistic dynamics)

## Example: $0^-$ trajectory wf's

$$\Phi_{-+}(\mathbf{x}) = \left[ \frac{2}{M - V} (i\boldsymbol{\alpha} \cdot \vec{\nabla} + m\gamma^0) + 1 \right] \gamma_5 F_1(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

$$\eta_P = (-1)^{j+1}$$

$$\eta_C = (-1)^j$$

Radial equation:  $F_1'' + \left( \frac{2}{r} + \frac{V'}{M - V} \right) F_1' + \left[ \frac{1}{4}(M - V)^2 - m^2 - \frac{j(j + 1)}{r^2} \right] F_1 = 0$

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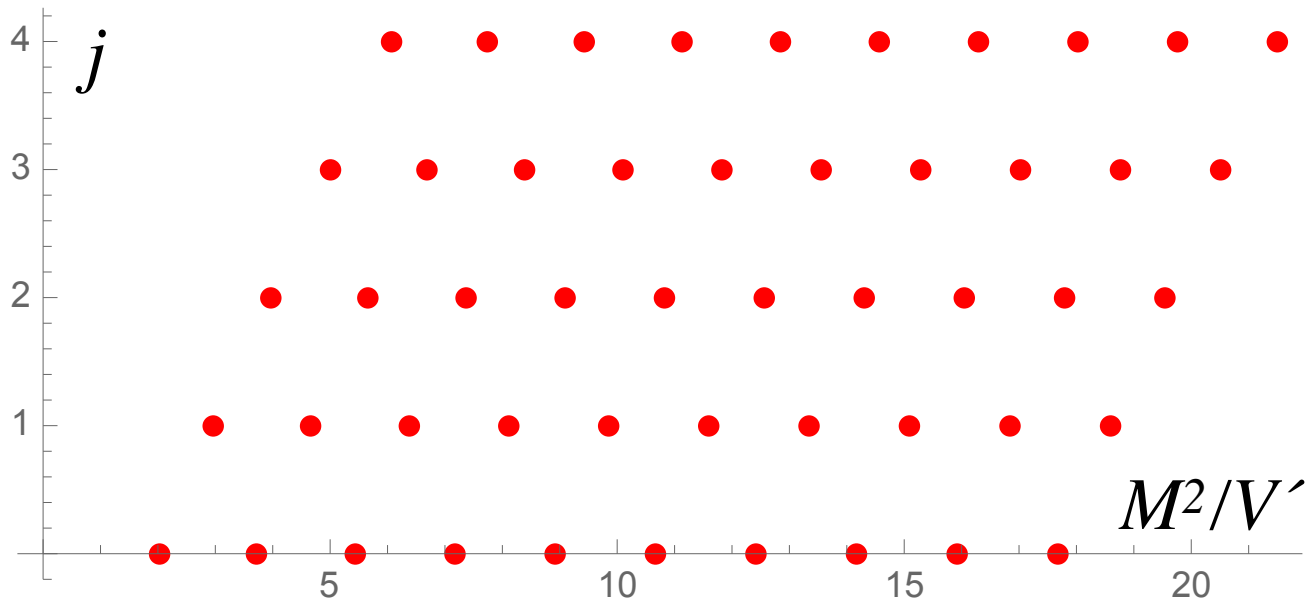
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$m = 0$

Mass spectrum:

Linear Regge trajectories with daughters

Spectrum similar to dual models

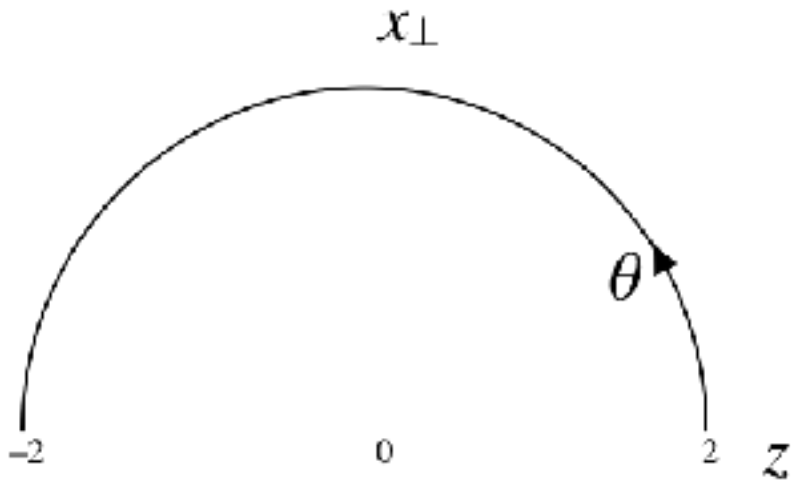


Spin  $S^z$  contribution to  $J^z = L^z + S^z = 1$

In NR limit,  $S = S^z = 0$   
for  $0^{-+}$  trajectory states

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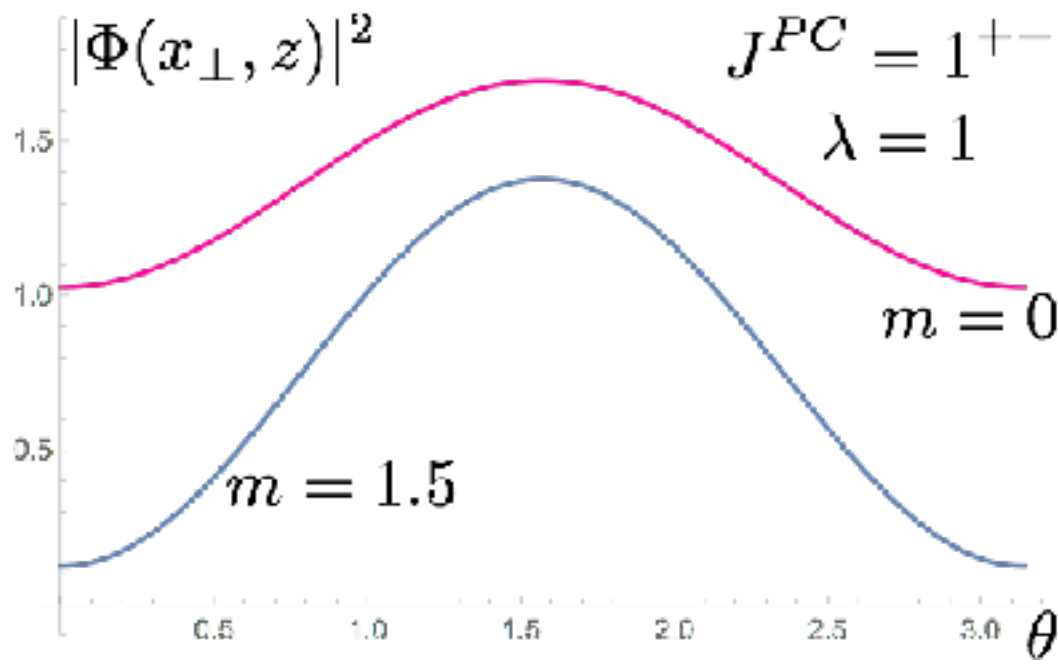
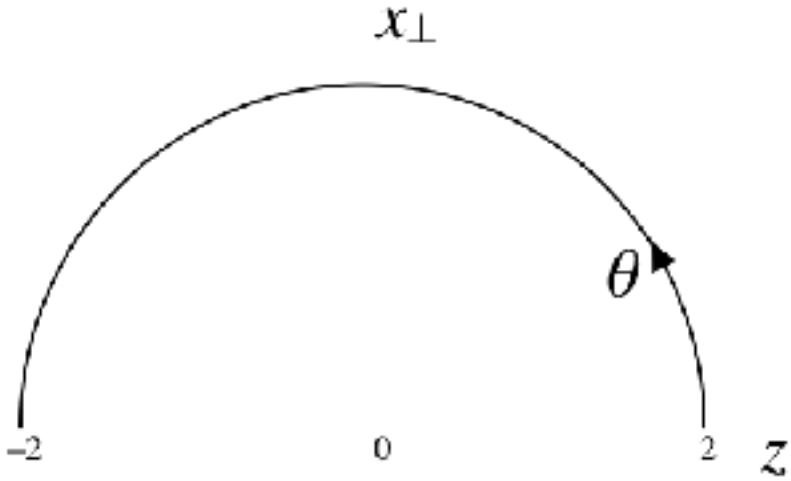
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$|\Phi|^2$  and  $\langle S^z \rangle$  along  
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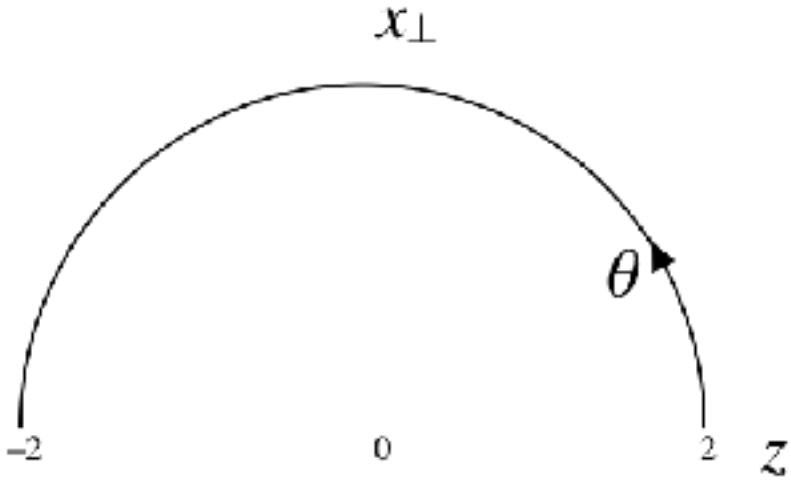
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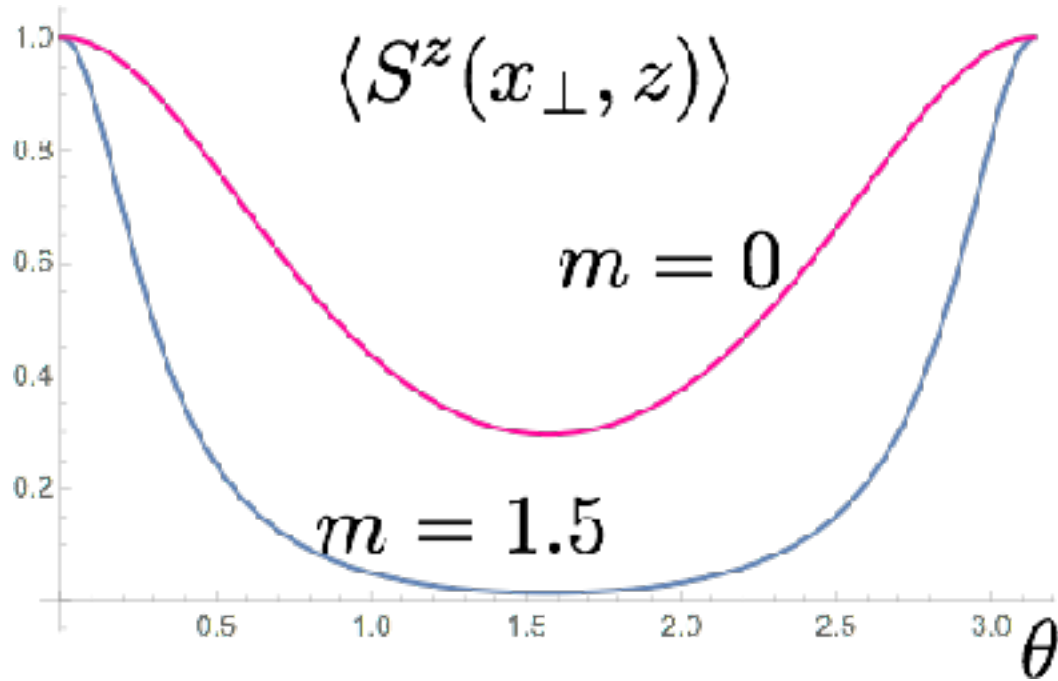
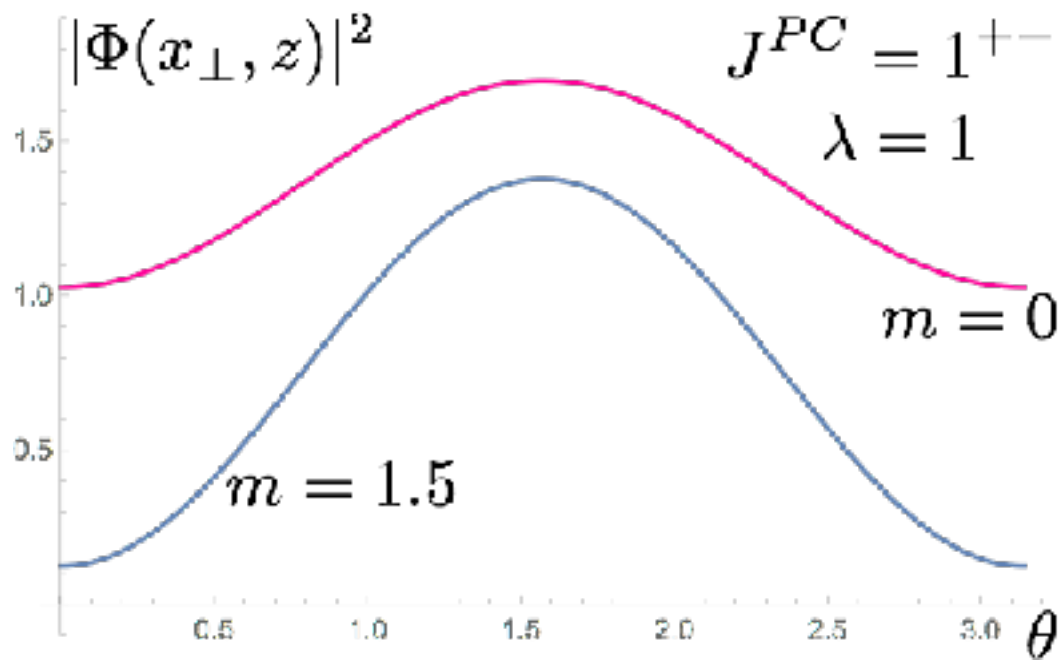
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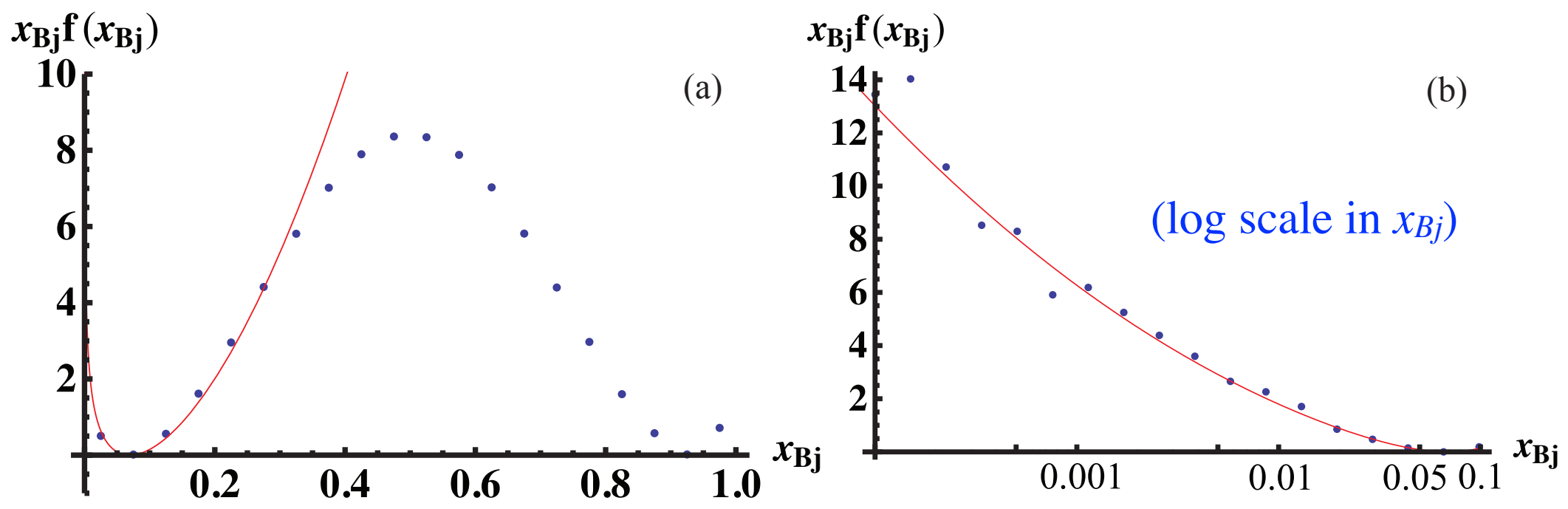


# Parton distributions have a sea component

In D=1+1 dimensions the sea component is prominent at low  $m/e$  :

$$m/e = 0.1$$

D. D. Dietrich, PH, M. Järvinen  
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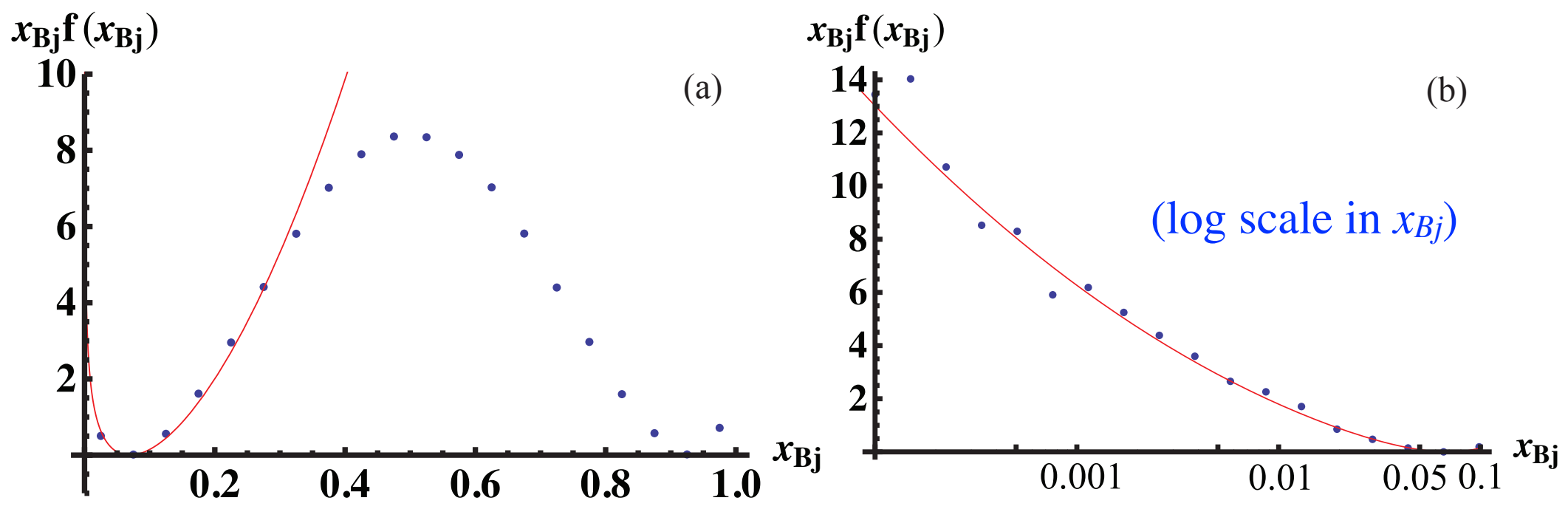
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The red curve is an analytic approximation, valid in the  $x_{Bj} \rightarrow 0$  limit.

**Note:** Enhancement at low  $x$  is due to  $bd$  (sea), **not** to  $b^+d^+$  (valence) component.  
String breaking at  $O(1/N_c)$  is calculable, but is not included here.

# Bound states in motion

A  $q\bar{q}$  bound state with CM momentum  $\mathbf{P}$  may be expressed as

$$|M, P\rangle_V \equiv \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}(t=0, \mathbf{x}_1) e^{i\mathbf{P}\cdot(\mathbf{x}_1+\mathbf{x}_2)/2} \Phi^{(P)}(\mathbf{x}_1 - \mathbf{x}_2) \psi(t=0, \mathbf{x}_2) |0\rangle$$



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What is the classical field  $A_{(P)}^\mu$  in the potential Hamiltonian?

$$\mathcal{H}_V = \int d\mathbf{x} \psi^\dagger(t, \mathbf{x}) \left[ -i\boldsymbol{\alpha} \cdot \vec{\nabla} + m\gamma^0 + \frac{1}{2}\gamma^0 g A_{(P)} \right] \psi(t, \mathbf{x})$$

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The answer depends on the **frame of the observer**.

- Observer at rest, bound state is moving:  $A_{(P)}^\mu = A^0$   $P$ -independent
- Observer is moving, bound state at rest:  $A_{(P)}^\mu$  is **boosted**  $A^0$  field

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The massless  $0^{++}$  meson “ $\sigma$ ”  $|\sigma\rangle = \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}(\mathbf{x}_1) \Phi_\sigma(\mathbf{x}_1 - \mathbf{x}_2) \psi(\mathbf{x}_2) |0\rangle \equiv \hat{\sigma} |0\rangle$

For  $m = 0$  and  $V' = 1$  :  $\Phi_\sigma(\mathbf{x}) = N_\sigma \left[ J_0\left(\frac{1}{4}r^2\right) + \boldsymbol{\alpha} \cdot \mathbf{x} \frac{i}{r} J_1\left(\frac{1}{4}r^2\right) \right]$

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$\hat{P}^\mu |\sigma\rangle = 0$  State has *vanishing four-momentum* in any frame.  
It may mix with the perturbative vacuum.  
This *spontaneously breaks chiral invariance*.



## A chiral condensate ( $m = 0$ )

Since  $|\sigma\rangle$  has vacuum quantum numbers and zero momentum it can mix with the perturbative vacuum without violating Poincaré invariance

Ansatz:  $|\chi\rangle = \exp(\hat{\sigma}) |0\rangle$  implies  $\langle\chi|\bar{\psi}\psi|\chi\rangle = 4N_\sigma$

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An infinitesimal chiral rotation of the condensate generates a pion

$$U_\chi(\beta) = \exp \left[ i\beta \int d\mathbf{x} \psi^\dagger(\mathbf{x}) \gamma_5 \psi(\mathbf{x}) \right] \quad U_\chi(\beta) |\chi\rangle = (1 - 2i\beta \hat{\pi}) |\chi\rangle$$

where  $\hat{\pi}$  is the massless  $0^-+$  state with wave function  $\Phi_\pi = \gamma_5 \Phi_\sigma$

## Small quark mass: $m > 0$

When  $m \neq 0$  the massless ( $M_\sigma = 0$ ) sigma  $0^{++}$  state has wave function

$$\Phi_\sigma(\boldsymbol{x}) = f_1(r) + i \boldsymbol{\alpha} \cdot \boldsymbol{x} f_2(r) + i \boldsymbol{\gamma} \cdot \boldsymbol{x} g_2(r)$$

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 $\Rightarrow$ 

$$F_4(0) = \frac{1}{4} i M_\pi f_\pi$$

$$\langle \chi | \bar{\psi}(x) \gamma_5 \psi(x) \hat{\pi} | \chi \rangle = -i \frac{M^2}{2m} f_\pi e^{-iP \cdot x}$$

 $\Rightarrow$ 

$$F_1(0) = i \frac{M^2}{8m} f_\pi$$

CSB relations are satisfied for any  $P$ .

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At  $O(\hbar^0)$  this implies phenomenologically relevant features:

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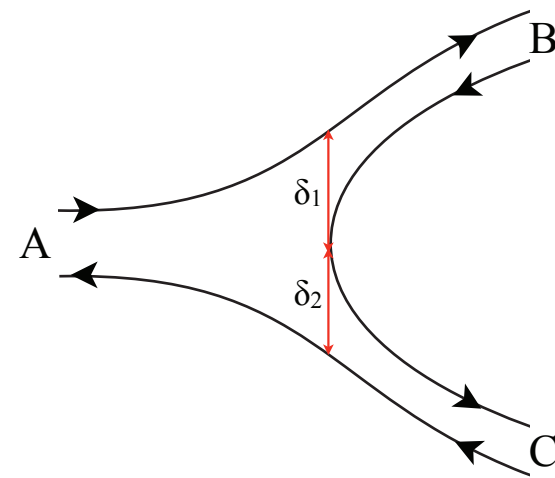
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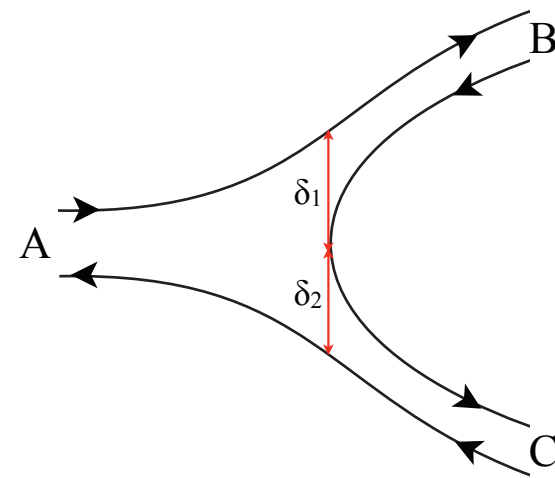
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**Many interesting studies & tests are waiting to be done!**

