



# Nonlocal chiral anomaly and generalized parton distributions

Yoshitaka Hatta BNL/RIKEN BNL

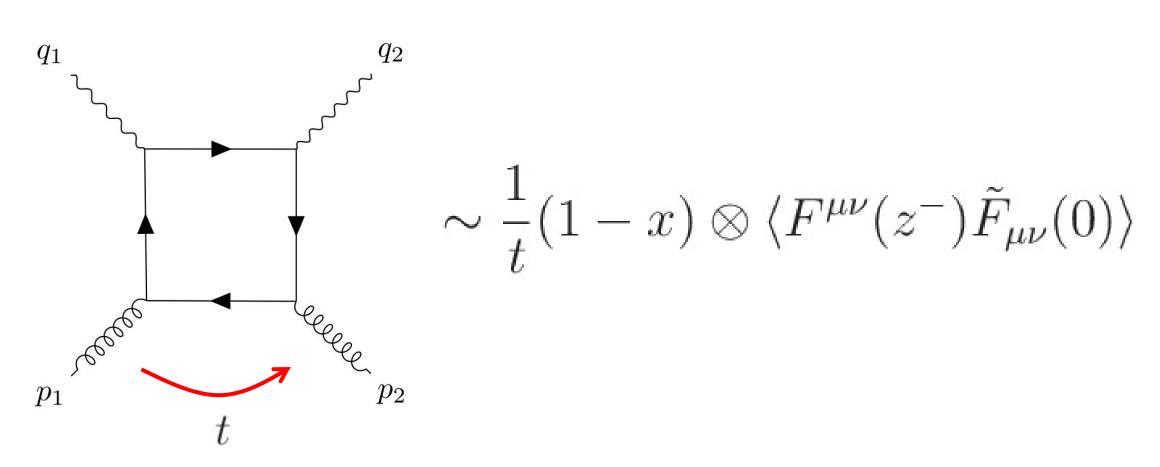
with Shohini Bhattacharya and Jakob Schoenleber, 2411.07024

Probing the CGC and QCD matter at hadron colliders, GGI, March 24-27, 2025

# 'Anomaly pole' in perturbation theory

Tarasov, Venugopalan (2019,2021)

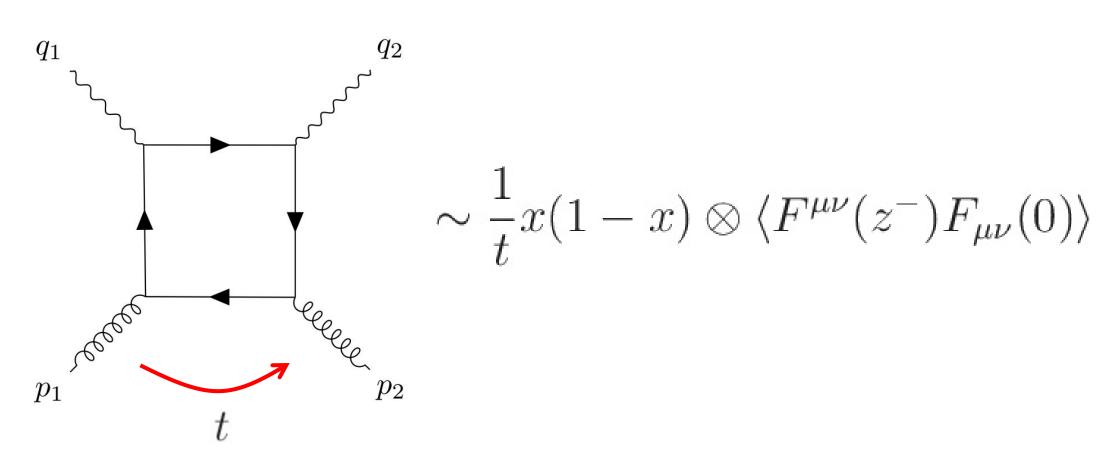
Compton amplitude, photon indices antisymmetric



# `Anomaly pole' in perturbation theory`

Bhattacharya, YH, Vogelsang (2022,2023)

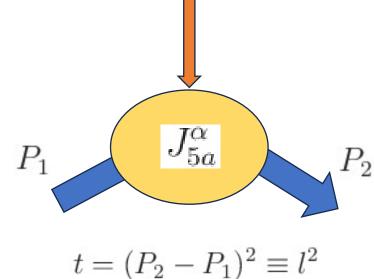
### Compton amplitude, photon indices symmetric



### Circa 1960: Isovector axial form factors

Noether current of SU(2) chiral symmetry  $~q 
ightarrow e^{i lpha^a au^a \gamma_5} q$ 

$$J_{5a}^{\alpha} = \sum_{q} \bar{q} \gamma^{\alpha} \gamma_{5} \frac{\tau^{a}}{2} q$$



$$t = (P_2 - P_1)^2 \equiv l^2$$

Nucleon form factors

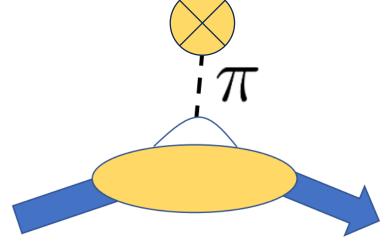
$$\langle P_2|J_{5a}^{\alpha}|P_1\rangle = \bar{u}(P_2)\left[\gamma^{\alpha}\gamma_5F_A(t) + \frac{l^{\alpha}\gamma_5}{2M}F_P(t)\right]\frac{\tau^a}{2}u(P_1)$$

pseudovector

pseudoscalar

### Chiral symmetry breaking and pion pole

In massless QCD, the current is conserved  $\;\partial_{lpha}J^{lpha}_{5a}=0\;$ 



$$2MF_A(t) + \frac{tF_P(t)}{2M} = 0 \qquad \Longrightarrow \qquad F_P(t) \approx \frac{-4M^2g_A^{(3)}}{t}$$

massless pole!

In real QCD with finite quark masses , 
$$\frac{1}{t} \to \frac{1}{t-m_-^2}$$

Pion nearly massless due to spontaneously broken chiral symmetry Nambu (1960)

### Pion pole in GPD

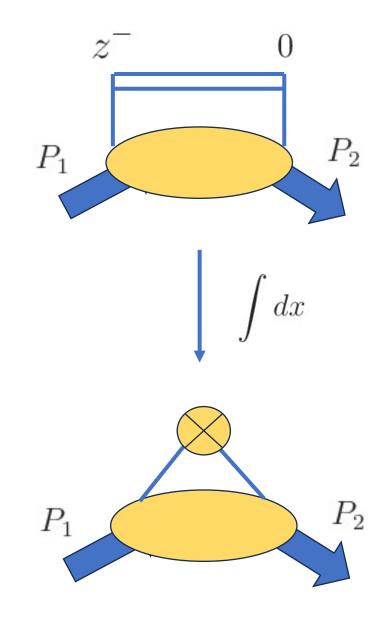
Generalized parton distribution = x-distribution of form factor

$$F_P(t) = \int_{-1}^1 dx \left( \tilde{E}_u(x,\xi,t) - \tilde{E}_d(x,\xi,t) \right) \approx \frac{-4M^2 g_A^{(3)}}{t}$$

skewness 
$$\xi = \frac{P_1^+ - P_2^+}{P_1^+ + P_2^+}$$

Massless pole already in GPD

$$\tilde{E}_u(x,\xi,t) - \tilde{E}_d(x,\xi,t) \sim \frac{1}{t}$$



Penttinen, Polyakov, Goeke (1999)

### Singlet axial form factors

Next consider the U(1) axial current  $J_5^{lpha} = \sum_{a} ar{q} \gamma^{lpha} \gamma_5 q$ 

$$J_5^{\alpha} = \sum_q \bar{q} \gamma^{\alpha} \gamma_5 q$$

$$\langle P_2|J_5^{\alpha}|P_1\rangle = \bar{u}(P_2)\left[\gamma^{\alpha}\gamma_5g_A(t) + \frac{l^{\alpha}\gamma_5}{2M}g_P(t)\right]u(P_1)$$

$$g_A(0) = \Delta \Sigma$$
 quark spin contribution to the nucleon spin

In massless QCD, the current is conserved due to axial U(1) symmetry

$$2Mg_A(t) + \frac{tg_P(t)}{2M} = 0 \qquad \qquad \frac{g_P(t)}{2M} \approx -\frac{2M\Delta\Sigma}{t}$$

Pole at t=0 from massless  $\eta_0$  meson exchange

# Chiral anomaly

Quantum mechanically, the current is not conserved

$$\partial_{\alpha}J_5^{\alpha} = -\frac{n_f \alpha_s}{4\pi} F^{\mu\nu} \tilde{F}_{\mu\nu}$$

$$\Rightarrow \frac{g_P(t)}{2M} = \frac{1}{t} \left( i \frac{\langle P_2 | \frac{n_f \alpha_s}{4\pi} F \tilde{F} | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)} - 2M g_A(t) \right)$$
 anomaly pole  $\eta_0$  pole

In real QCD, there is no massless pole in  $g_P(t)$ 

Pole shifted to the physical  $\eta'$  meson mass

$$g_P(t) \sim \frac{1}{t - m_\eta^2}$$

### Witten-Veneziano mechanism

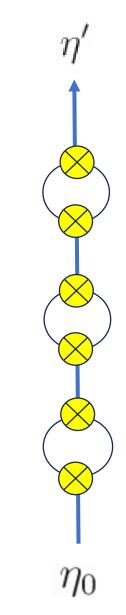
In large-Nc QCD, there is no chiral anomaly.

 $\rightarrow \eta'$  meson mass  $O(1/\sqrt{N_c})$ 

$$m_{\eta}^2 = -\frac{4n_f}{f_{\eta'}^2} \langle (F\tilde{F})^2 \rangle$$

Mass generation due to the topological fluctuation of the QCD vacuum

$$\frac{1}{t} + \frac{m_{\eta'}^2}{t^2} + \frac{m_{\eta'}^4}{t^3} + \dots = \frac{1}{t - m_{\eta'}^2} = -\left(\frac{1}{t} \frac{m_{\eta'}^2}{m_{\eta'}^2 - t} - \frac{1}{t}\right)$$
anomaly pole  $\eta_0$  pole



QCD energy momentum tensor

$$\Theta^{\mu\nu} = \sum_{f} \bar{\psi}_{f} \gamma^{(\mu} i D^{\nu)} \psi_{f} - F^{\mu\rho} F^{\nu}_{\ \rho} + \frac{g^{\mu\nu}}{4} F^{\alpha\beta} F_{\alpha\beta}$$

Nucleon form factors

$$\langle P_2 | \Theta^{\alpha\beta} | P_1 \rangle = \bar{u}(P_2) \left[ A(t) \frac{P^{\alpha} P^{\beta}}{M} + (A(t) + B(t)) \frac{P^{(\alpha} i \sigma^{\beta)\lambda} l_{\lambda}}{2M} + D(t) \frac{l^{\alpha} l^{\beta} - g^{\alpha\beta} t}{4M} \right] u(P_1)$$

In massless QCD,  $\Theta^{\alpha\beta}$  is traceless due to conformal symmetry

$$A(t) + \frac{B(t)}{4M^2}t - \frac{3D(t)}{4M^2}t = 0$$
  $\frac{3}{4}D(t) \approx \frac{M^2}{t}A(t)$   $(t \to 0)$ 

## Trace anomaly

Quantum mechanically, the trace is nonzero

$$(\Theta)^{\alpha}_{\alpha} = \frac{\beta(g)}{2g} F^{\mu\nu} F_{\mu\nu}$$

$$\frac{3}{4}D(t) \approx -\frac{M}{t} \left( \frac{\langle P_2|\frac{\beta(g)}{2g}F^2|P_1\rangle}{\bar{u}(P_2)u(P_1)} - MA(t) \right)$$

anomaly pole

`glueball' pole

In real QCD, there is no massless pole in D(t) due to pole cancellation

Poles in D(t) at 2++, 0++ glueball masses.

Fujita, YH, Sugimoto, Ueda (2022)

## Take-home message

#### Anomalies relate form factors

Chiral anomaly 
$$2Mg_A(t) + \frac{tg_P(t)}{2M} = i\frac{\langle P_2|\frac{n_f\alpha_s}{4\pi}F\tilde{F}|P_1\rangle}{\bar{u}(P_2)\gamma_5u(P_1)}$$

Trace anomaly 
$$M\left(A(t)+\frac{B(t)}{4M^2}t-\frac{3D(t)}{4M^2}t\right)\bar{u}(P_2)u(P_1)=\langle P_2|\frac{\beta(g)}{2g}F^{\mu\nu}F_{\mu\nu}|P_1\rangle$$

#### Form factors are moments of GPDs

$$g_P(t) = \sum_{q} \int_{-1}^{1} dx \tilde{E}_q(x,\xi,t) \qquad A_q(t) + \xi^2 D_q(t) = \int_{-1}^{1} dx x H_q(x,\xi,t)$$



Anomalies relate/constrain GPDs

## Chiral anomaly in QCD

$$\partial_{\mu}J_{5}^{\mu}(x) = \partial_{\mu}(\bar{\psi}\gamma^{\mu}\gamma_{5}\psi) = 2im_{q}\bar{\psi}\gamma_{5}\psi - \frac{n_{f}\alpha_{s}}{4\pi}F_{a}^{\mu\nu}\tilde{F}_{\mu\nu}^{a}$$

There are many derivations of this, but here I use the point-splitting method

$$J_5^{\mu}(x) = \lim_{z \to 0} \bar{\psi} \left( x - \frac{z}{2} \right) \gamma^{\mu} \gamma_5 P \exp \left( ig \int_{x - \frac{z}{2}}^{x + \frac{z}{2}} dw_{\nu} A^{\nu}(w) \right) \psi \left( x + \frac{z}{2} \right)$$

$$\partial_{\mu}J_{5}^{\mu}(x) \sim \lim_{z \to 0} z_{\nu} F^{\mu\nu}(x) \operatorname{Tr} \left[ \gamma_{\mu} \gamma_{5} \psi(x + \frac{z}{2}) \bar{\psi}(x - \frac{z}{2}) \right]$$

# Operator product expansion

$$\partial_{\mu} J_{5}^{\mu}(x) \sim \lim_{z \to 0} z_{\nu} F^{\mu\nu}(x) \operatorname{Tr} \left[ \gamma_{\mu} \gamma_{5} \psi(x + \frac{z}{2}) \bar{\psi}(x - \frac{z}{2}) \right]$$
$$\sim \frac{z^{\rho}}{z^{2}} \tilde{F}_{\rho\lambda}(x) \gamma^{\lambda} \gamma_{5}$$

Take the symmetric limit

$$\lim_{z \to 0} \frac{z^{\nu} z^{\rho}}{z^2} \to \frac{g^{\nu \rho}}{4}$$

Anomaly!

$$\partial_{\mu}J_{5}^{\mu} \sim \alpha_{s}F\tilde{F}$$

### A side remark

The symmetric limit  $\lim_{z\to 0} \frac{z^{\nu}z^{\rho}}{z^2} \to \frac{g^{\nu\rho}}{4}$  is not necessary

$$4z^{\nu}F_{\nu\mu}\tilde{F}^{\mu\rho}z_{\rho} = -z^2F^{\mu\nu}\tilde{F}_{\mu\nu}$$

This is an identity. (Hint: use the Schouten identity)

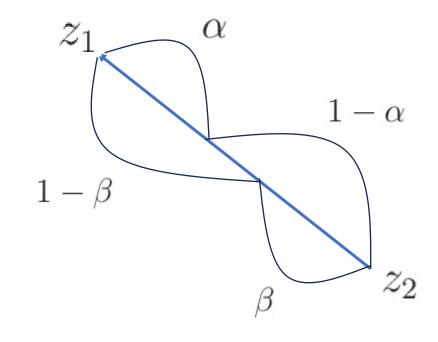
### Nonlocal chiral anomaly

If the separation is light-like,

$$z^{\mu} = \delta^{\mu}_{-}z^{-}$$

the anomaly is still there even when  $z^- \neq 0$ 

D. Muller and Teryaev (1997)



$$\mathcal{D}_{\mu} \left[ \bar{\psi}(z_{2}^{-}) W \gamma^{\mu} \gamma_{5} \psi(z_{1}^{-}) \right] = i z^{\nu} \int_{0}^{1} d\alpha \bar{\psi}(z_{2}) \gamma^{\mu} \gamma_{5} W g F_{\mu\nu}(z_{21}^{\alpha}) W \psi(z_{1})$$
$$- \frac{n_{f} \alpha_{s}}{2\pi} \int_{0}^{1} d\alpha \int_{0}^{1-\alpha} d\beta F^{\mu\nu}(z_{12}^{\beta-}) \tilde{W} \tilde{F}_{\mu\nu} \left( z_{21}^{\alpha-} \right)$$

Approach the light-cone from the space-like region

$$z^2 < 0$$

where naïve equation of motion holds.

$$\mathcal{D}_{\mu} \left[ \bar{\psi}(z_2) \gamma^{\mu} \gamma_5 W_{z_2, z_1} \psi(z_1) \right] = i z^{\nu} \int_0^1 d\alpha \bar{\psi}(z_2) \gamma^{\mu} \gamma_5 W g F_{\mu\nu}(z_{21}^{\alpha}) W \psi(z_1)$$

Then take  $z^2 \to 0$ 

### Nonlocal operator product expansion

Balitsky, Braun (1989)

$$\psi(z_{1})\bar{\psi}(z_{2}) = \frac{i\not z}{2\pi^{2}(z^{2})^{2}}W_{z_{1},z_{2}}\left(-\frac{iz^{\rho}}{8\pi^{2}z^{2}}\int_{0}^{1}d\beta W_{z_{1},z_{12}^{\beta}}g\tilde{F}_{\rho\lambda}(z_{12}^{\beta})W_{z_{12}^{\beta},z_{2}}\gamma^{\lambda}\gamma_{5}\right) + \frac{i}{32\pi^{2}}\left(\frac{1}{\epsilon_{IR}} + \ln\frac{-z^{2}\mu_{IR}^{2}e^{2\gamma_{E}}}{4}\right)\left[g\int_{0}^{1}d\alpha\alpha(1-\alpha)z_{\mu}D^{2}\tilde{F}^{\mu\nu}(z_{12}^{\alpha})\gamma_{\nu}\gamma_{5} + ig^{2}z_{\mu}\int_{0}^{1}d\alpha\int_{0}^{\alpha}d\beta\right] \times \left\{(1-2\alpha+2\beta)F^{\mu\lambda}(z_{12}^{\alpha})\tilde{F}_{\lambda\rho}(z_{12}^{\beta})\gamma^{\rho} + \tilde{F}^{\mu\lambda}(z_{12}^{\alpha})F_{\lambda\rho}(z_{12}^{\beta})\gamma^{\rho} + \beta F^{\rho\nu}(z_{12}^{\alpha})\tilde{F}_{\rho\nu}(z_{12}^{\beta})\gamma^{\mu}\right\}\gamma_{5} + \cdots\right]$$

Again, Schouten identity (exact, no symmetric limit!)

$$2z^{\nu} \left( F_{\nu\mu} W \tilde{F}^{\mu\rho} + \tilde{F}_{\nu\mu} W F^{\mu\rho} \right) z_{\rho} = -z^2 F^{\mu\nu} W \tilde{F}_{\mu\nu}$$

# **UV** Matching

The light-cone limit  $\,z^2 
ightarrow 0\,\,$  is not smooth. One needs operator matching

$$A_i(z^2) = \sum_j C_{ij}(\ln(-z^2\mu_{UV}^2)) \otimes A_j(z^2 = 0, \mu_{UV}^2) + \mathcal{O}(z^2),$$

Nowadays familiar in quasi-PDF, pseudo-PDF business

$$\mathcal{D}_{\mu} \left[ \bar{\psi}(z_{2}^{-}) W \gamma^{\mu} \gamma_{5} \psi(z_{1}^{-}) \right] = i z^{\nu} \int_{0}^{1} d\alpha \bar{\psi}(z_{2}) \gamma^{\mu} \gamma_{5} W g F_{\mu\nu}(z_{21}^{\alpha}) W \psi(z_{1})$$

$$- \frac{n_{f} \alpha_{s}}{2\pi} \int_{0}^{1} d\alpha \int_{0}^{1-\alpha} d\beta F^{\mu\nu}(z_{12}^{\beta-}) \tilde{W} \tilde{F}_{\mu\nu}(z_{21}^{\alpha-}) + \cdots$$

 $\mathcal{O}(\alpha_s)$  coefficient functions and higher twist neglected in leading logarithmic approximation

Take the nucleon matrix element  $\langle P'|...|P\rangle$  of

$$\mathcal{D}_{\mu} \left[ \bar{\psi}(z_{2}^{-}) W \gamma^{\mu} \gamma_{5} \psi(z_{1}^{-}) \right] = i z^{\nu} \int_{0}^{1} d\alpha \bar{\psi}(z_{2}) \gamma^{\mu} \gamma_{5} W g F_{\mu\nu}(z_{21}^{\alpha}) W \psi(z_{1})$$
$$- \frac{n_{f} \alpha_{s}}{2\pi} \int_{0}^{1} d\alpha \int_{0}^{1-\alpha} d\beta F^{\mu\nu}(z_{12}^{\beta-}) \tilde{W} \tilde{F}_{\mu\nu} \left( z_{21}^{\alpha-} \right)$$

Right hand side, anomaly term

$$\left[ \int_{-1}^{x} dx' \frac{x - x'}{x'^2 - \xi^2} - \theta(x + \xi) \int_{-1}^{0} dx' \frac{\xi + x}{x'^2 - \xi^2} \right] \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | F^{\mu\nu}(-z^-/2) \tilde{W} \tilde{F}_{\mu\nu}(z^-/2) | p \rangle$$

Tarasov, Venugopalan (2019,2021)  $\xi=0$ Bhattacharya, YH, Vogelsang (2022,2023)  $\xi\neq0$ 

Left hand side is GPD  $\langle P'|\mathcal{D}_{\mu}[\bar{\psi}\gamma^{\mu}\gamma_{5}\psi|P\rangle=i\Delta_{\mu}\langle P'|[\bar{\psi}\gamma^{\mu}\gamma_{5}\psi|P\rangle$   $\sim \tilde{H}, \tilde{E}$ 

### Nonlocal Witten-Veneziano mechanism

Bhattacharya, YH, Schoenleber (2024)

$$\begin{split} \tilde{E}(x,\xi,t) \approx & \left[\frac{4M^2}{t} \left(\frac{n_f\alpha_s}{2\pi}\tilde{C}^{anom} \otimes \tilde{\mathcal{F}}_2\right] - \tilde{H} + O_{F2}\right) \\ & \int dx \\ \frac{g_P(t)}{2M} = & \frac{1}{t} \left(i\frac{\langle P_2|\frac{n_f\alpha_s}{4\pi}F\tilde{F}|P_1\rangle}{\bar{u}(P_2)\gamma_5 u(P_1)} - 2Mg_A(t)\right) \end{split}$$
 This is what was found in perturbation theory

#### Vanishing of the residue requires

$$\sum \Delta q(x) \approx \frac{n_f \alpha_s}{2\pi} \int_x^1 \frac{dx'}{x'} \left(1 - \frac{x}{x'}\right) \tilde{\mathcal{F}}_2(x') + O_{F2} \qquad \text{cf. Tarasov, Venugopalan (2019,2021)}$$

### Conclusions

Anomalies relate form factors
Form factors are moments of GPDs

→ Anomalies relate GPDs

GPDs encode profound aspects of QCD such as chiral symmetry breaking and the origin of mass.