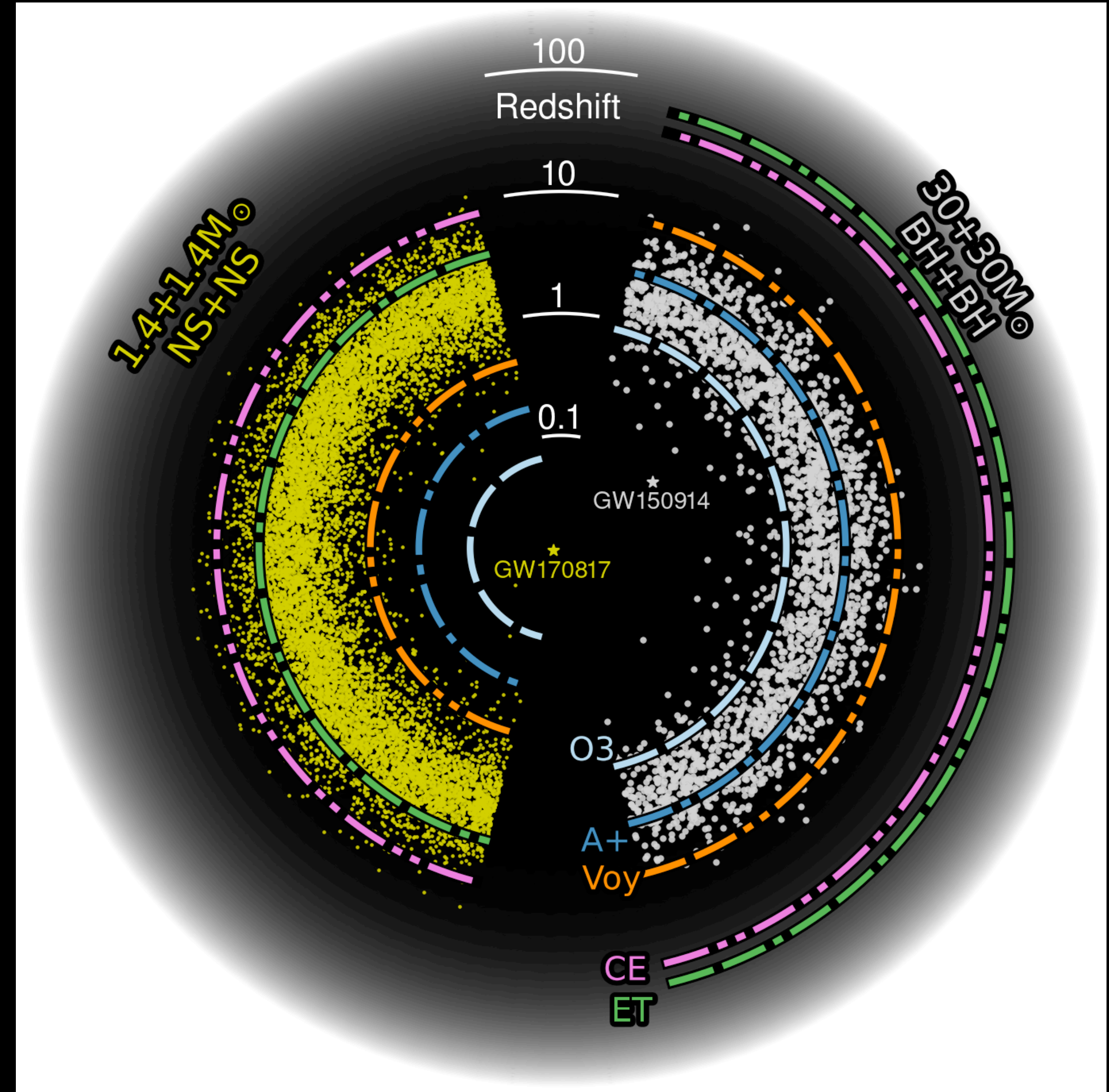
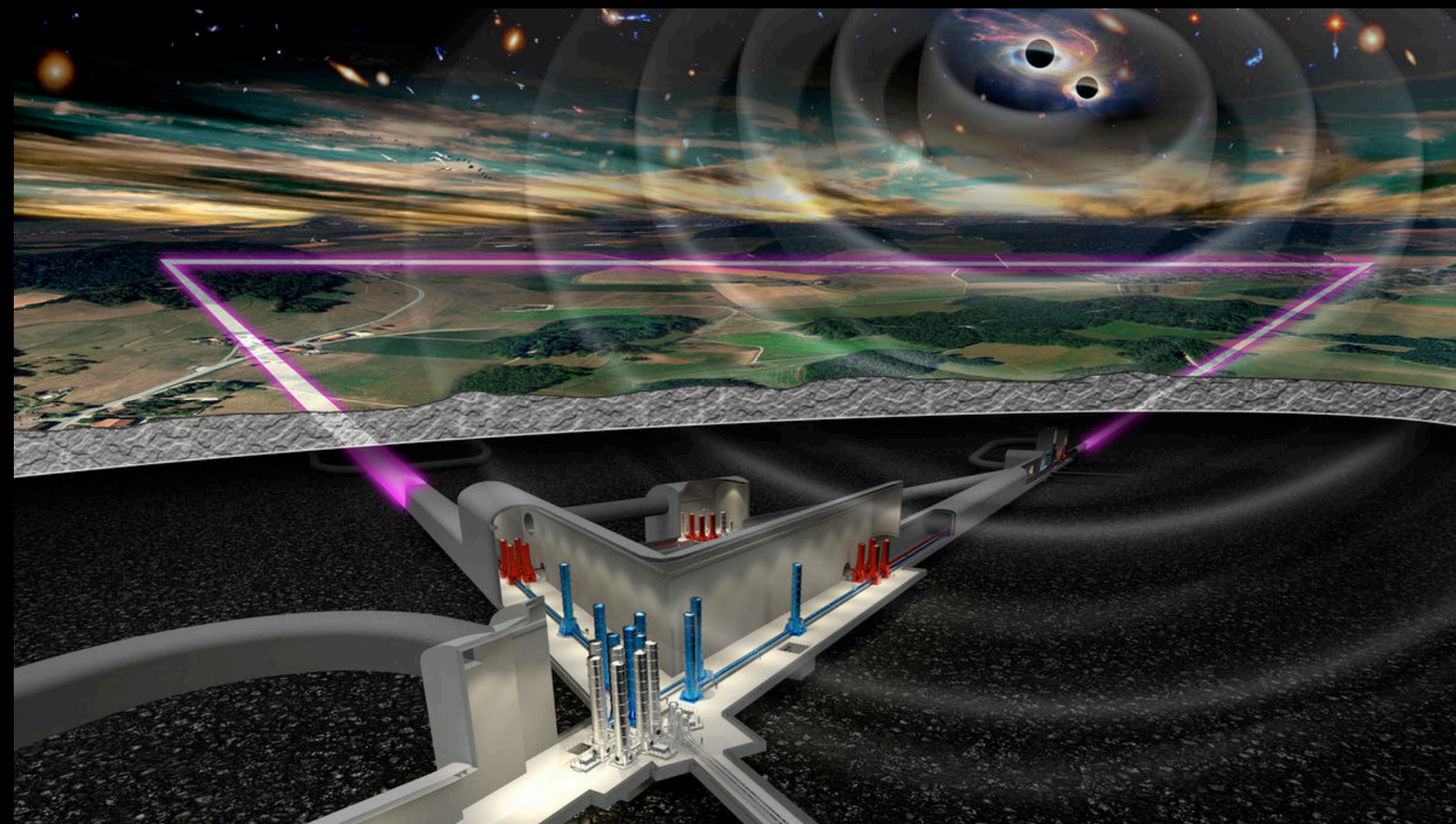


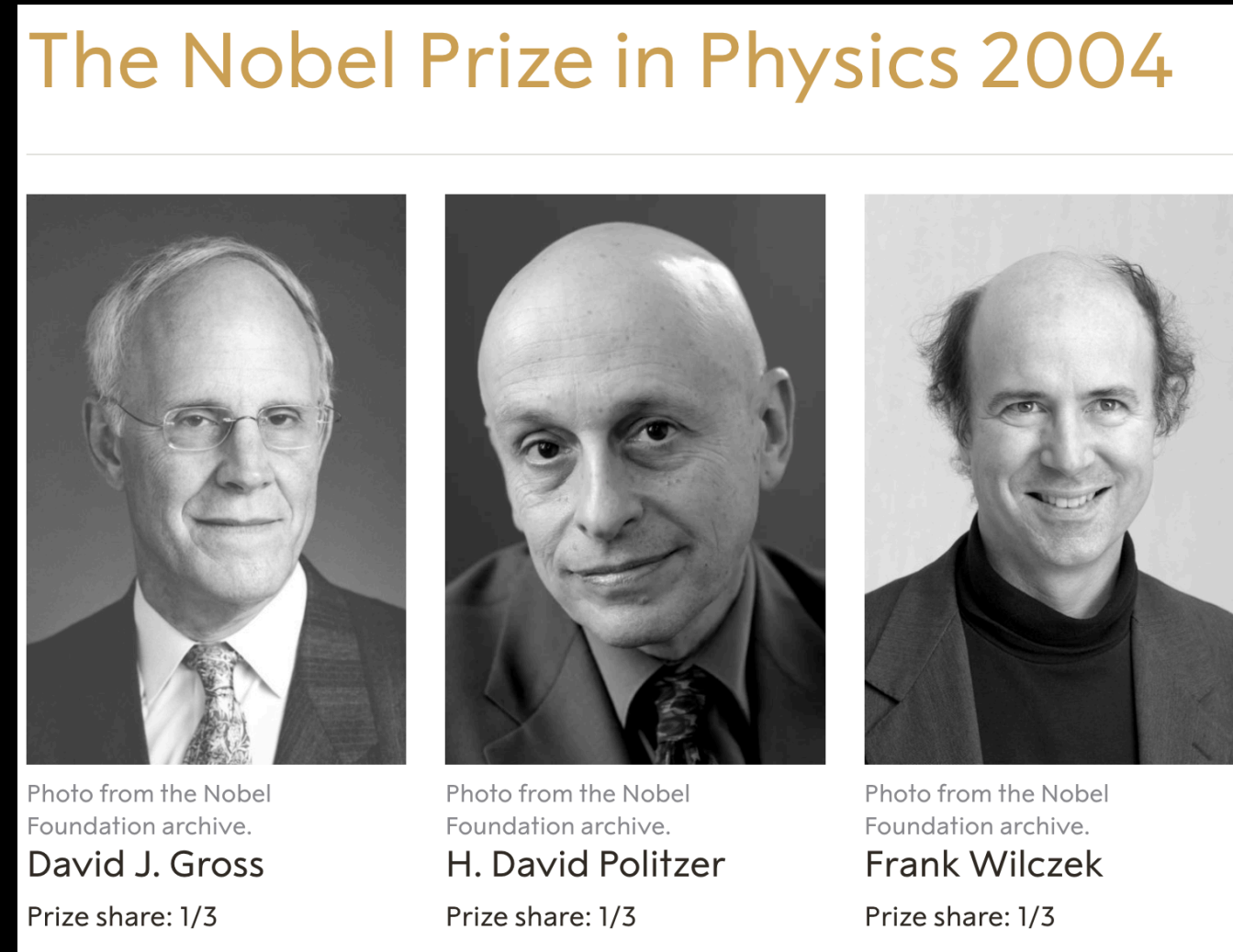
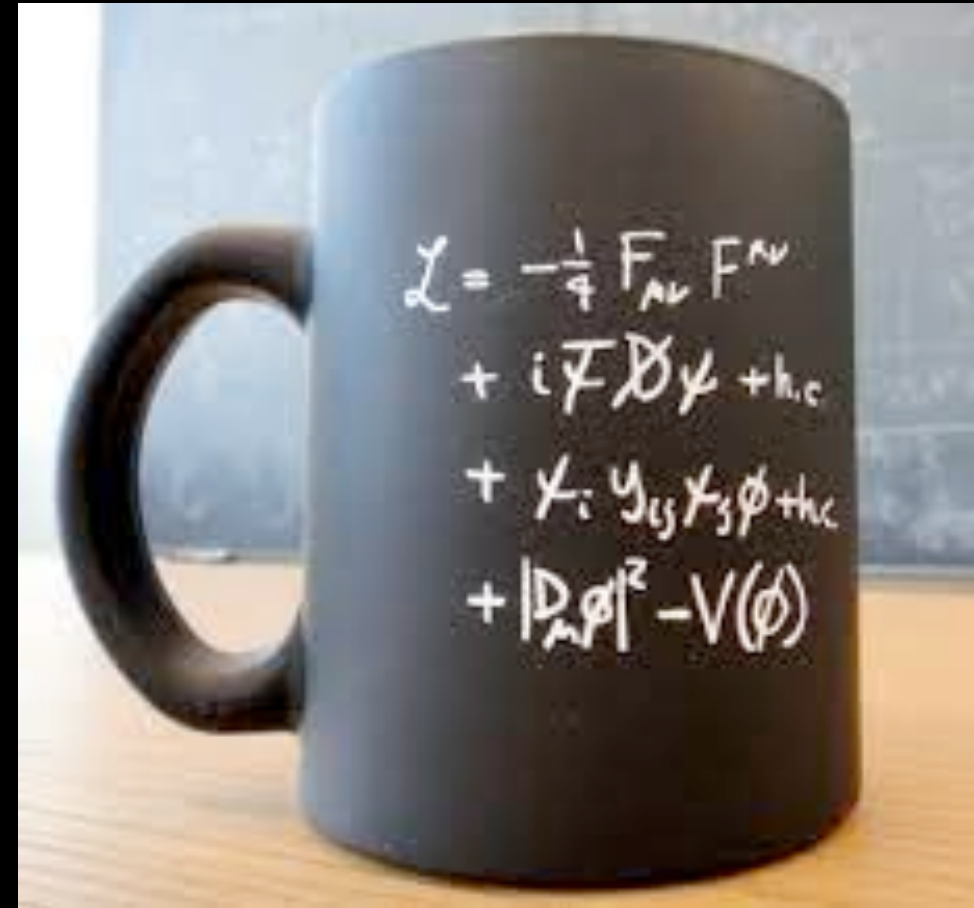
# Gravitational Waves: Past, Present and Future



Thursday Afternoon Session: Anna Puecher

# Neutron Stars meet Bayesian Inference

Model Building for the understanding of atomic nuclei and neutron stars



Walter Kohn  
Nobel Laureate  
Chemistry 1998

Quantum Chromodynamics (QCD) is the fundamental theory of the strong interactions

- Although the basic equations can be written in a coffee cup, their exact solution in the region of interest to atomic nuclei and neutron stars are unknown
- One must then resort to models that (hopefully!) embody the properties of QCD
- One such model is Density Functional Theory

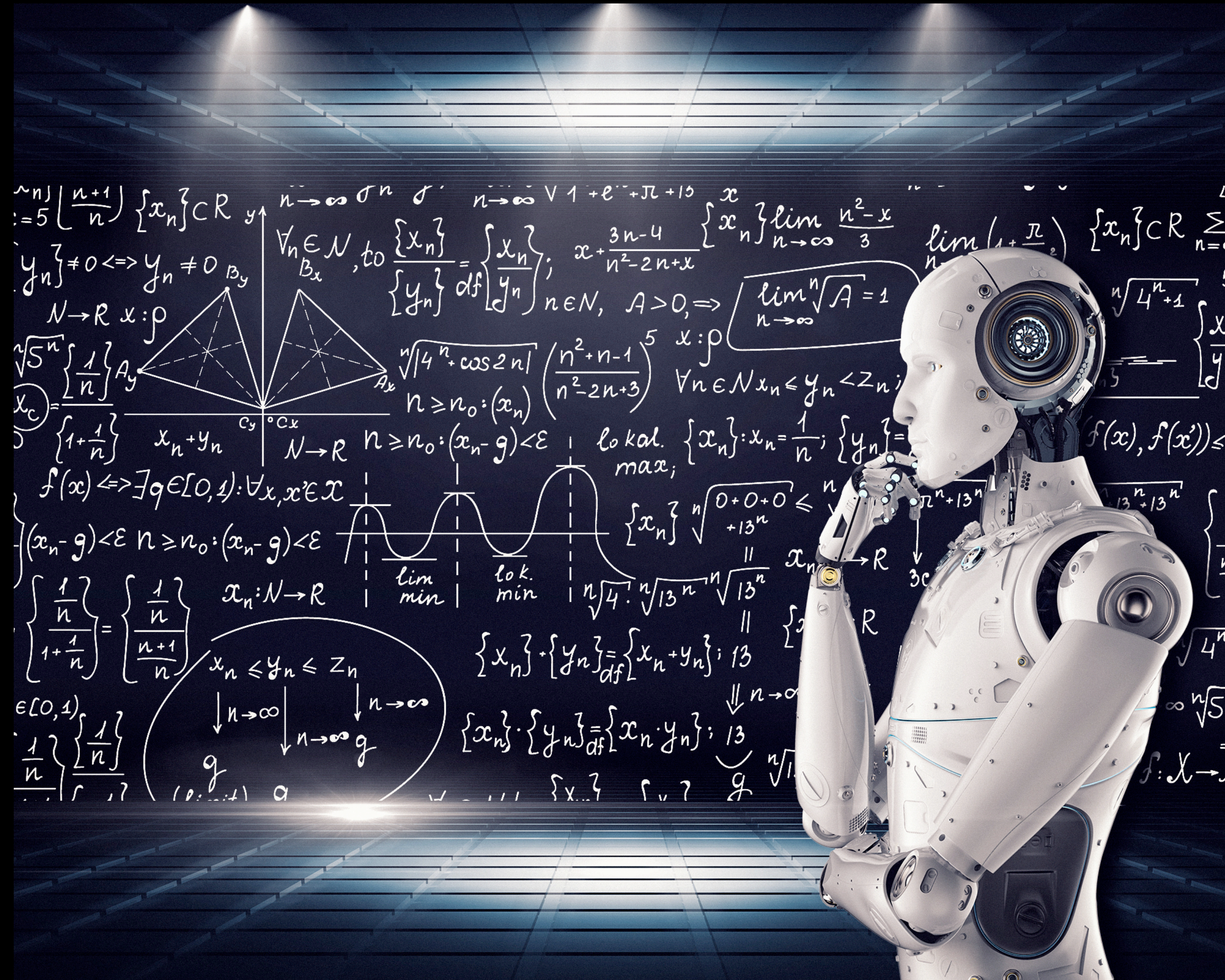
## Covariant Density Functional Theory

- Empirical parameters calibrated to physical observables
- Ground state properties emerge from functional minimization

$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \boldsymbol{\tau} \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

# A Brief Introduction to Machine Learning



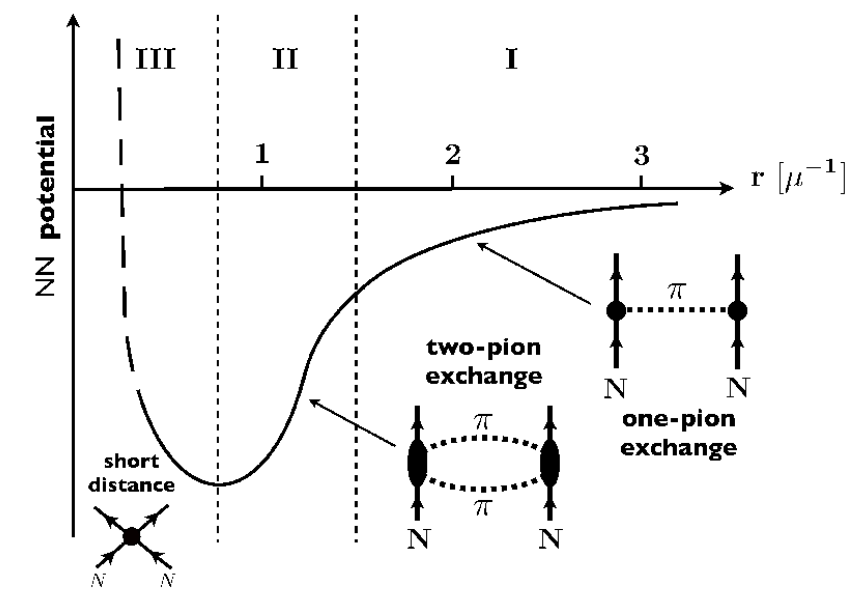
... it has to be brief since I am just learning it myself ...

# The Tools of the Trade

## Chiral Effective Field Theory

- A theory of nucleons, pions, and unresolved contact interactions
- Systematic, Improvable, and quantifiable
- Breaks down at  $\sim 1.5$  normal nuclear density

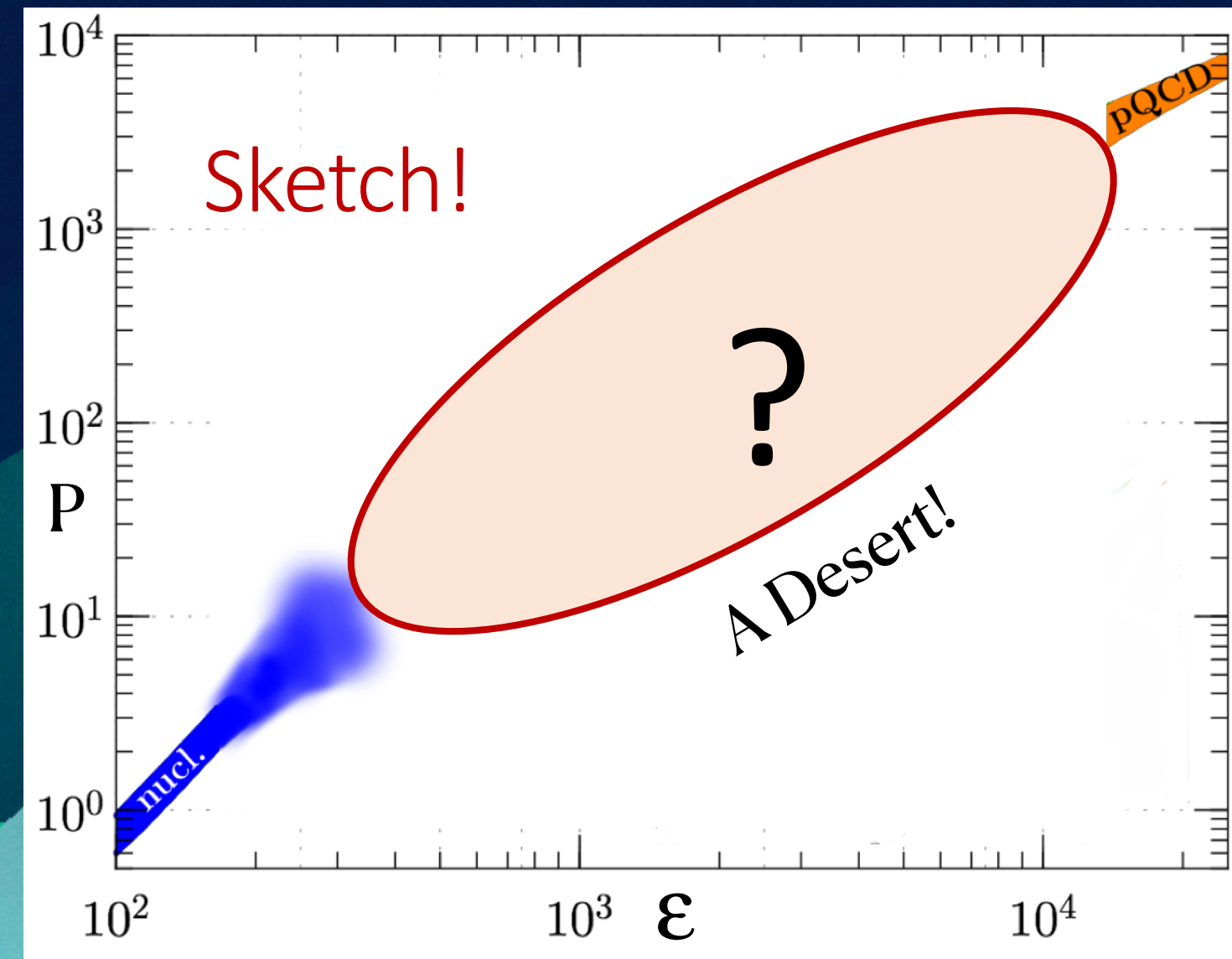
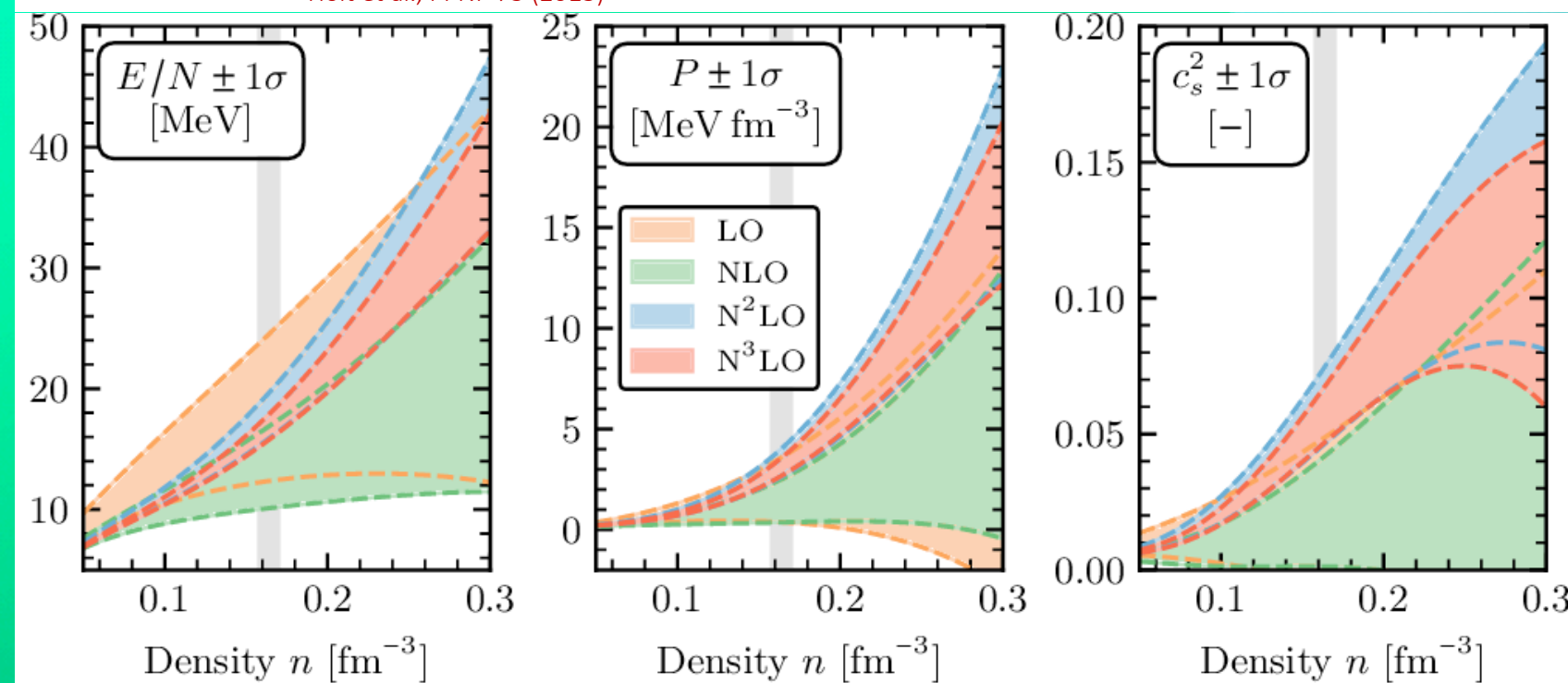
### Chiral Effective Field Theory



		NN	3N	4N
LO	$\mathcal{O}(\frac{Q^0}{\Lambda^0})$ (2 LECs)	X H	—	—
NLO	$\mathcal{O}(\frac{Q^2}{\Lambda^2})$ (7 LECs)	X H K K X H	—	—
N <sup>2</sup> LO	$\mathcal{O}(\frac{Q^3}{\Lambda^3})$ (2 LECs: 3N)	H K K	H H H X X	—
N <sup>3</sup> LO	$\mathcal{O}(\frac{Q^4}{\Lambda^4})$ (12 LECs)	X H K K + ...	H H H X X + ...	— + ...

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum, Kaiser, Machleidt, Meißner, Hammer ...

Holt et al., PNP 73 (2013)



How to link  $\chi$ EFT to pQCD

Lattice QCD at finite density ( $\mu/T \ll 1$ )

Covariant Density Functional Theory (Relativistic MFT with a slight twist)

## QCD MADE SIMPLE

Quantum chromodynamics, familiarly called QCD, is the modern theory of the strong interaction. Historically its roots are in nuclear physics and the description of ordinary matter—understanding what protons and neutrons are and how they interact. Nowadays QCD is used to describe most of what goes on at high-energy accelerators.

Quantum chromodynamics is conceptually simple. Its realization in nature, however, is usually very complex. But not always.

Frank Wilczek

to the presence or motion of color charge, very similar to the way photons respond to electric charge.

Quarks and gluons

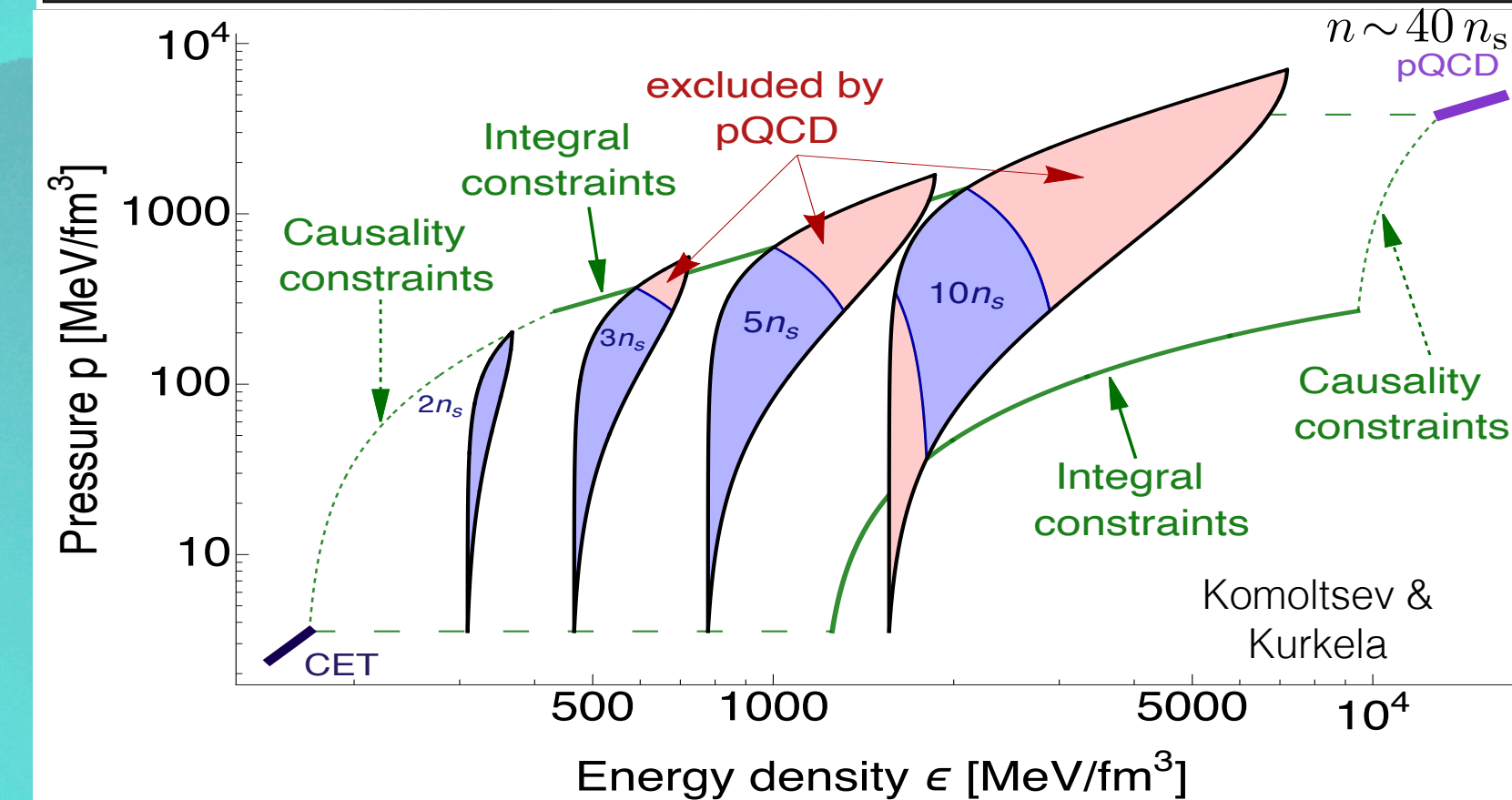
One class of particles that carry color charge are the quarks. We know of six different kinds, or "flavors," of quarks—denoted u, d, s, c, b, and t, for: up, down,

$$\mathcal{L} = \frac{1}{4g^2} G_{\mu\nu}^a G_{\mu\nu}^a + \sum_j \bar{q}_j (i\gamma^\mu D_\mu + m_j) q_j$$

where  $G_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + if_{bc}^a A_\mu^b A_\nu^c$

and  $D_\mu \equiv \partial_\mu + it^a A_\mu^a$

*That's it!*

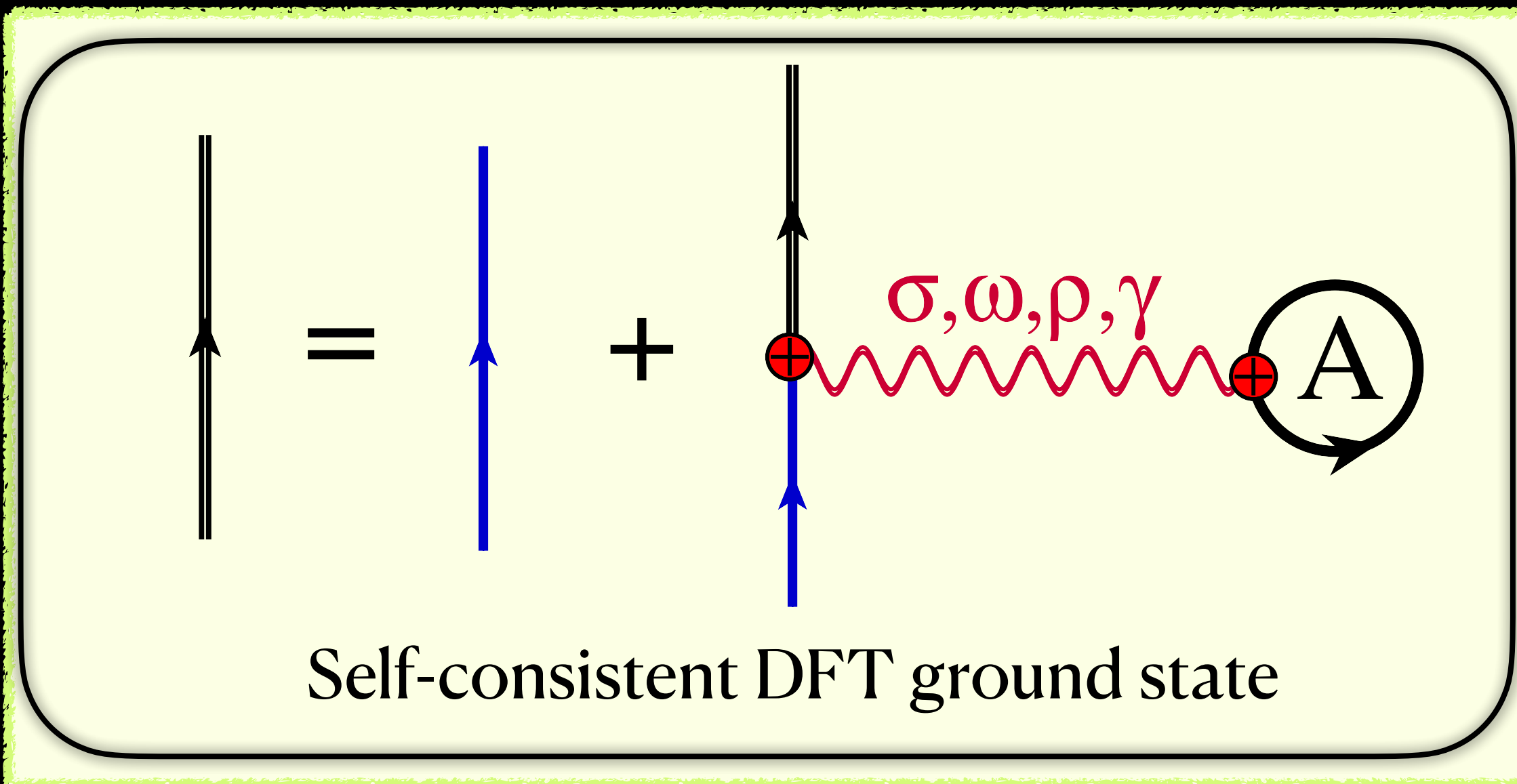


# Covariant Density Functional Theory

$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \boldsymbol{\tau} \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

- $\sigma$ : intermediate range scalar attraction ( $2\pi$  exchange)
- $\omega$ : short-range vector repulsion (contact term in  $\chi$ EFT)
- $\rho$ : isospin (flavor) dependent short-range interaction
- $\gamma$ : long-range Coulomb repulsion between protons



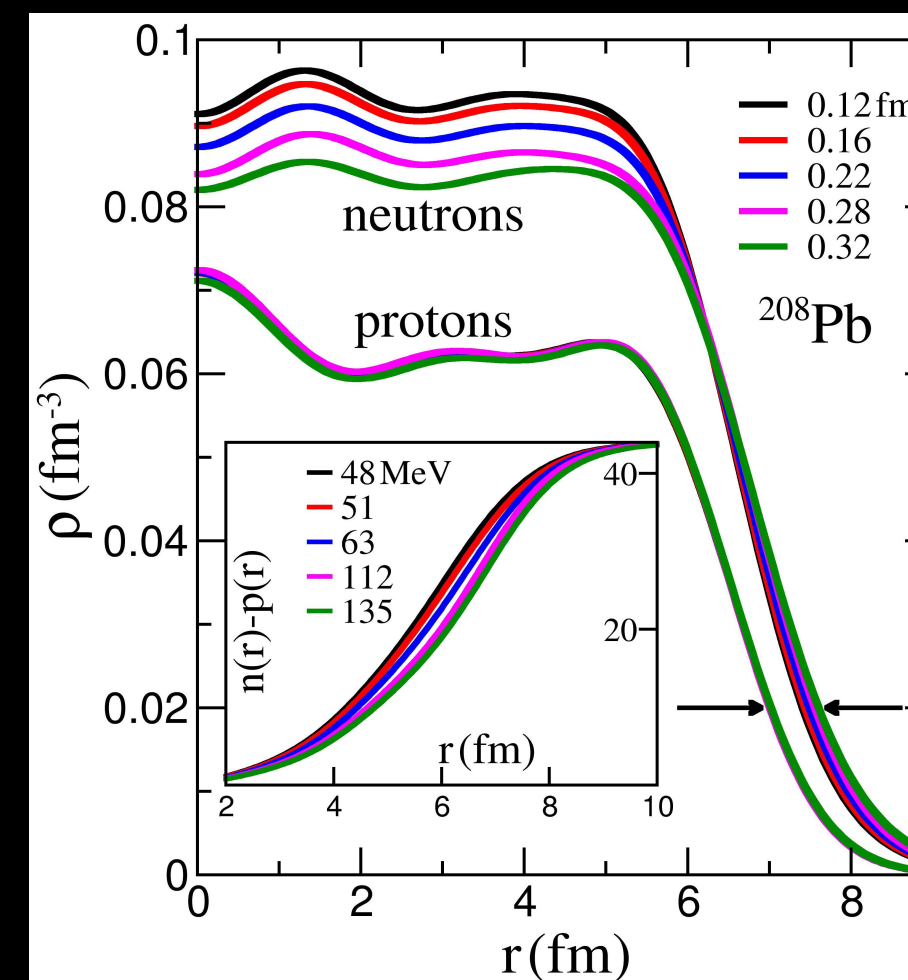
Walter Kohn  
Nobel Laureate  
Chemistry 1998

## Anatomy of a self-consistent Covariant DFT calculation

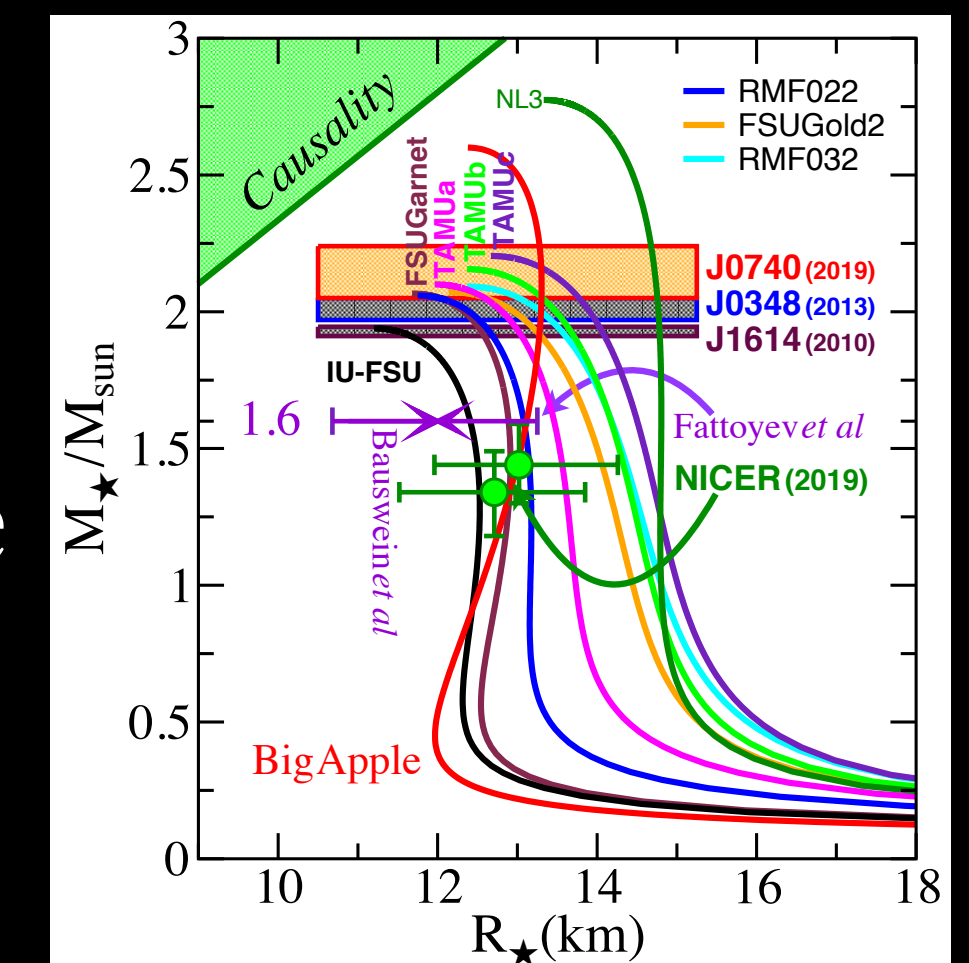
The Hohenberg-Kohn Theorem: The ground state energy can be obtained variationally: the density that minimizes the total energy is the exact ground state density

- Empirical parameters calibrated to physical observables
- Ground state properties emerge from functional minimization

## From finite nuclei to neutron stars!



18 orders  
magnitude



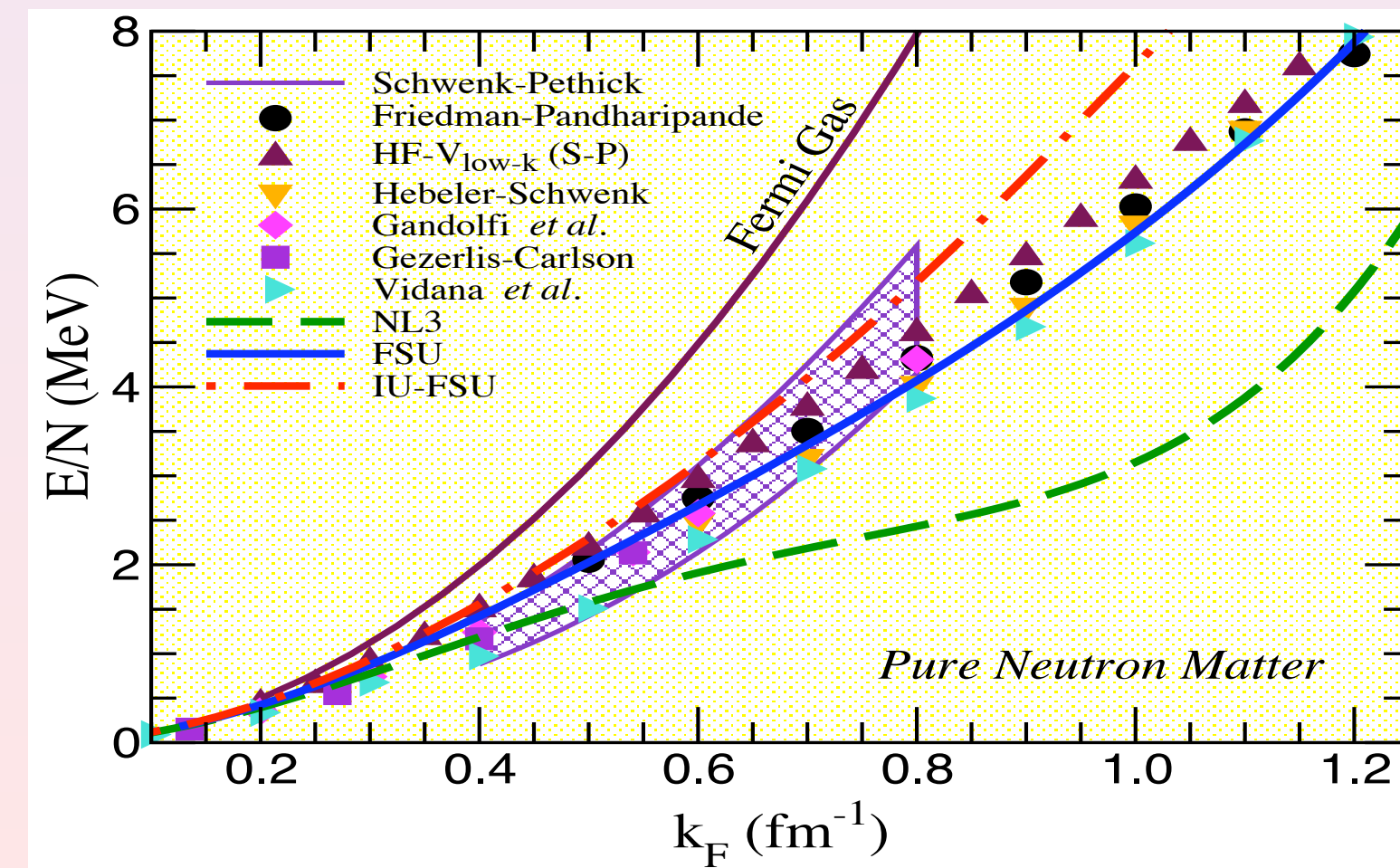
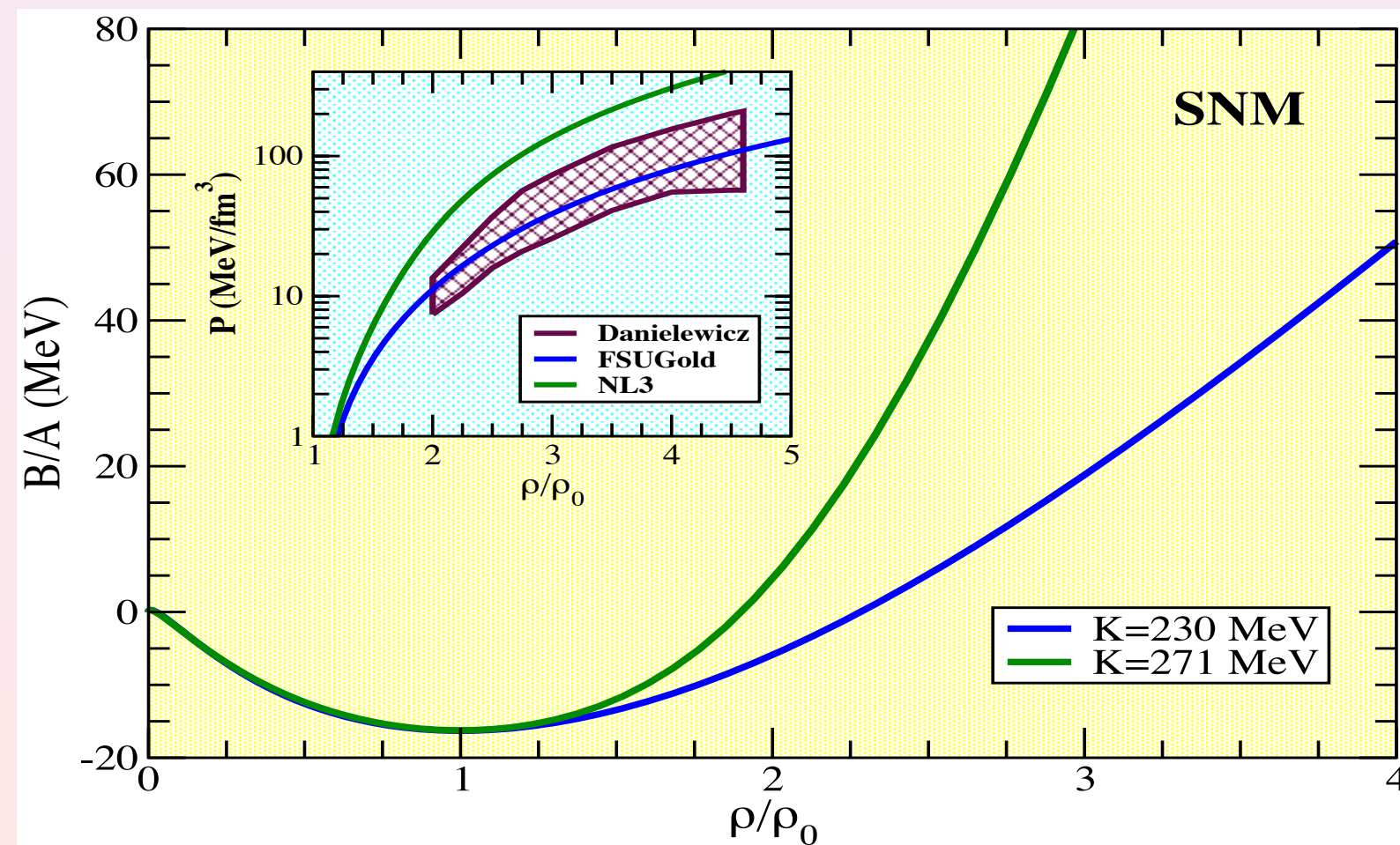
# Covariant Density Functional Theory

## Relativistic Density Functional: The Effective Lagrangian Density

$$\mathcal{L}_{\text{int}} = g_s \bar{\psi} \psi \phi - g_v \bar{\psi} \gamma^\mu \psi V_\mu - \frac{g_\rho}{2} \bar{\psi} \gamma^\mu \boldsymbol{\tau} \cdot \mathbf{b}_\mu \psi - e \bar{\psi} \gamma^\mu \tau_3 \psi A_\mu - \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \Lambda_v (g_v^2 V^\mu V_\mu) (g_\rho^2 b^\mu b_\mu) + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2$$

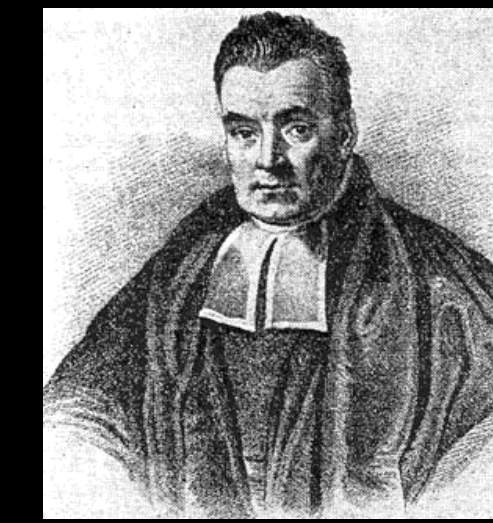
### The Encoding:

- $g_s$  and  $g_v$ : saturation properties ( $\rho_0, \varepsilon_0 \rightarrow$  masses, charge radii)
- $g_\rho$ : symmetry energy ( $J \equiv a_4 \rightarrow$  masses, charge radii)
- $\kappa$  and  $\lambda$ : nuclear compressibility ( $K_0 \rightarrow$  ISGMR)
- $\Lambda_v$ : slope symmetry energy ( $L \rightarrow$  neutron skins, neutron-star radii)
- $\zeta$ : high-density component of EOS (limiting neutron-star mass)



# Neutron Stars meet Bayesian Inference

Model Building for the understanding of atomic nuclei and neutron stars



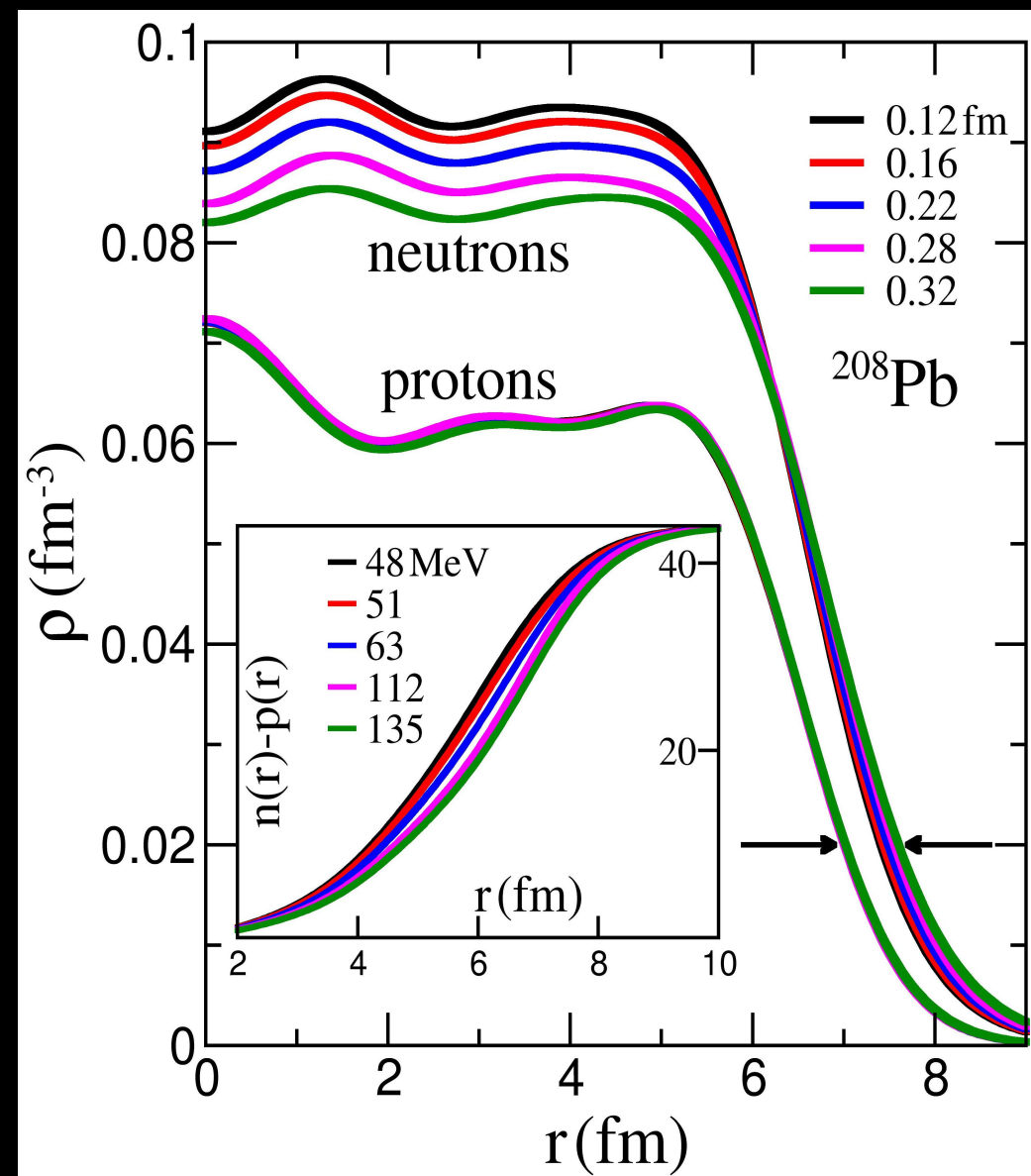
Thomas Bayes  
(1701-1761)

$$\text{Posterior} \leftarrow P(M|D) = \frac{P(D|M)P(M)}{P(D)} \rightarrow \text{Prior}$$

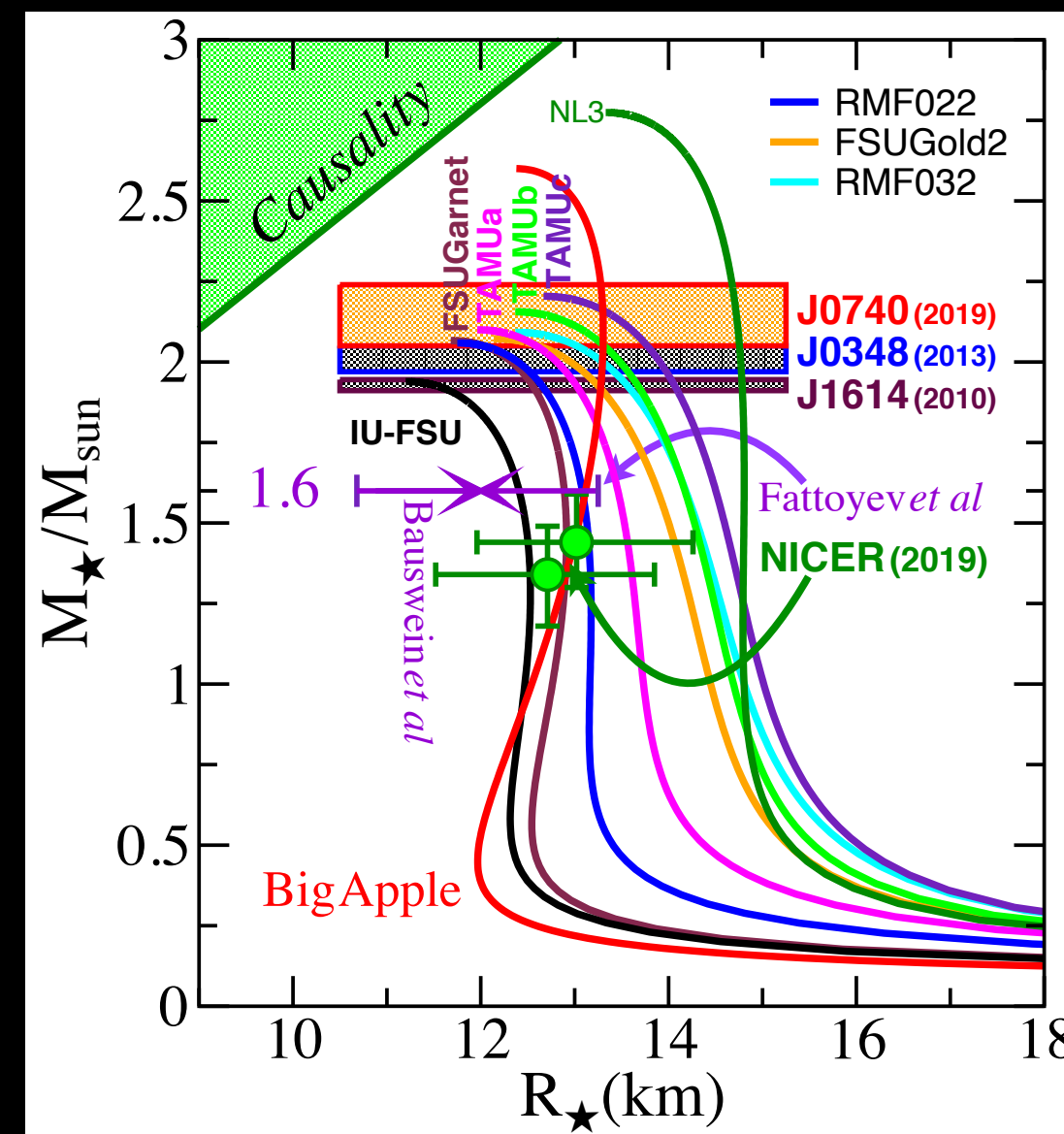
Likelihood
Marginal Likelihood

M: A theoretical MODEL with parameters and biases  
 D: A collection of experimental and observational DATA

From finite nuclei to neutron stars!



18 orders  
 magnitude



$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \tau \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

$$(g_s, g_v, g_\rho, \kappa, \lambda, \Lambda_v) \iff (\rho_0, \epsilon_0, M^*, K, J, L)$$

The Prior  $P(M)$ : An insightful transformation in DFT

The Likelihood provides new evidence to update  $P(M)$

$$P(D/M) \simeq \exp(-\chi^2/2)$$

$$\chi^2(D, M) = \sum_{n=1}^N \frac{\left( O_n^{(\text{th})}(M) - O_n^{(\text{exp})}(D) \right)^2}{\Delta O_n^2}$$

The marginal likelihood (or evidence) is an overall normalization factor in Monte Carlo simulations

# Covariant Density Functional Theory: From Finite Nuclei to Neutron Stars

HOW THE SAUSAGE IS MADE is the practical *and often unpleasant or messy aspects of a process that are usually not made public.*

$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \boldsymbol{\tau} \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

## The Kohn-Sham (Mean-field like) Equations

In the particular case of the Lagrangian density given in Equation 1, the classical meson fields satisfy Klein–Gordon equations containing both nonlinear meson interactions and ground-state baryon densities as source terms. That is,

$$(\nabla^2 - m_s^2) \phi_0(r) - \frac{\partial U_{\text{eff}}}{\partial \phi_0} = -g_s \rho_s(r), \quad 5a.$$

$$(\nabla^2 - m_v^2) V_0(r) + \frac{\partial U_{\text{eff}}}{\partial V_0} = -g_v \rho_v(r), \quad 5b.$$

$$(\nabla^2 - m_\rho^2) b_0(r) + \frac{\partial U_{\text{eff}}}{\partial b_0} = -\frac{g_\rho}{2} \rho_3(r). \quad 5c.$$

In turn, the Coulomb field satisfies the much simpler Poisson's equation,

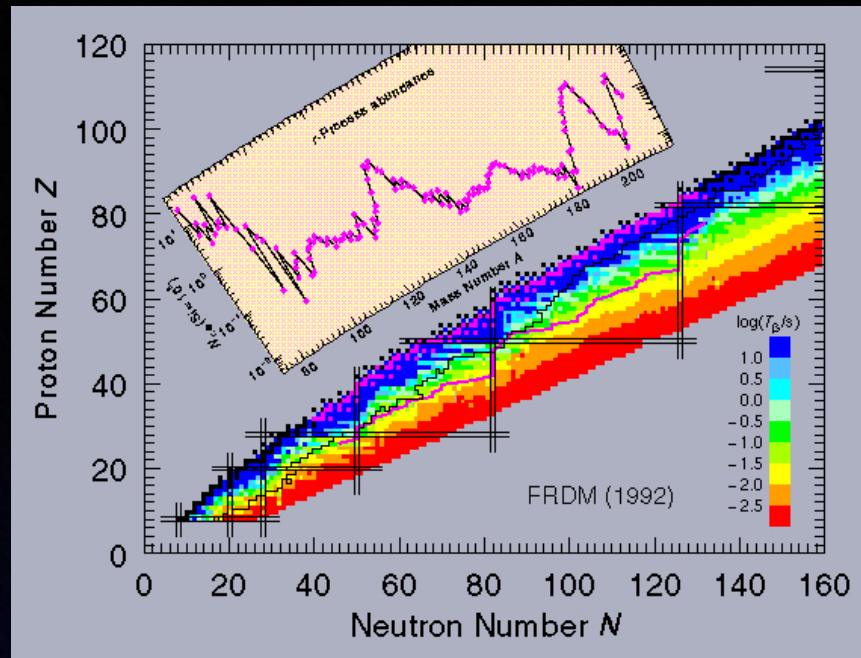
$$\nabla^2 A_0 = -e \rho_p, \quad 6.$$

$$\left[ -i\boldsymbol{\alpha} \cdot \nabla + g_v V_0(r) + \frac{g_\rho}{2} \tau_3 b_0(r) + e\tau_p A_0(r) + \beta \left( M - g_s \phi_0(r) \right) \right] \psi(\mathbf{r}) = E\psi(\mathbf{r}). \quad 7.$$

The above set of equations—Equations 5–7—represents the effective KS equations for the nuclear many-body problem. As such, this set of mean-field equations must be solved self-consistently. That is, the single-particle orbitals satisfying the Dirac equation are generated from the various meson fields, which in turn satisfy Klein–Gordon equations with the appropriate ground-state densities as the source terms. This process demands an iterative procedure in which mean-field potentials of the Wood–Saxon form are initially provided to solve the Dirac equation for the occupied nucleon orbitals, which are then combined to generate the appropriate densities for the meson field. The Klein–Gordon equations are then solved with the resulting meson fields providing



# Model Building: From Finite Nuclei to Neutron Stars



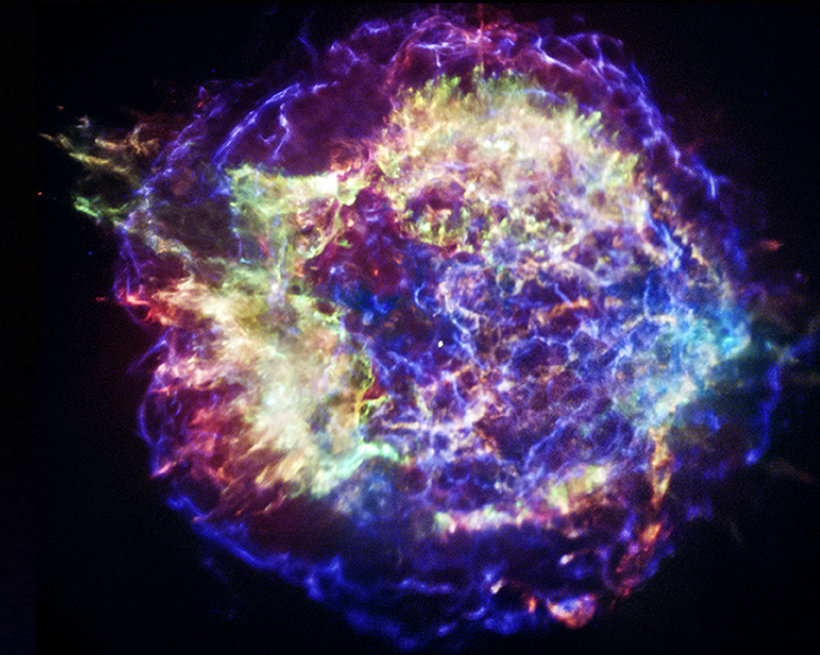
PHYSICAL REVIEW C **90**, 044305 (2014)



## Building relativistic mean field models for finite nuclei and neutron stars

Wei-Chia Chen\* and J. Piekarewicz†

Department of Physics, Florida State University, Tallahassee, Florida 32306, USA



$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \tau \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

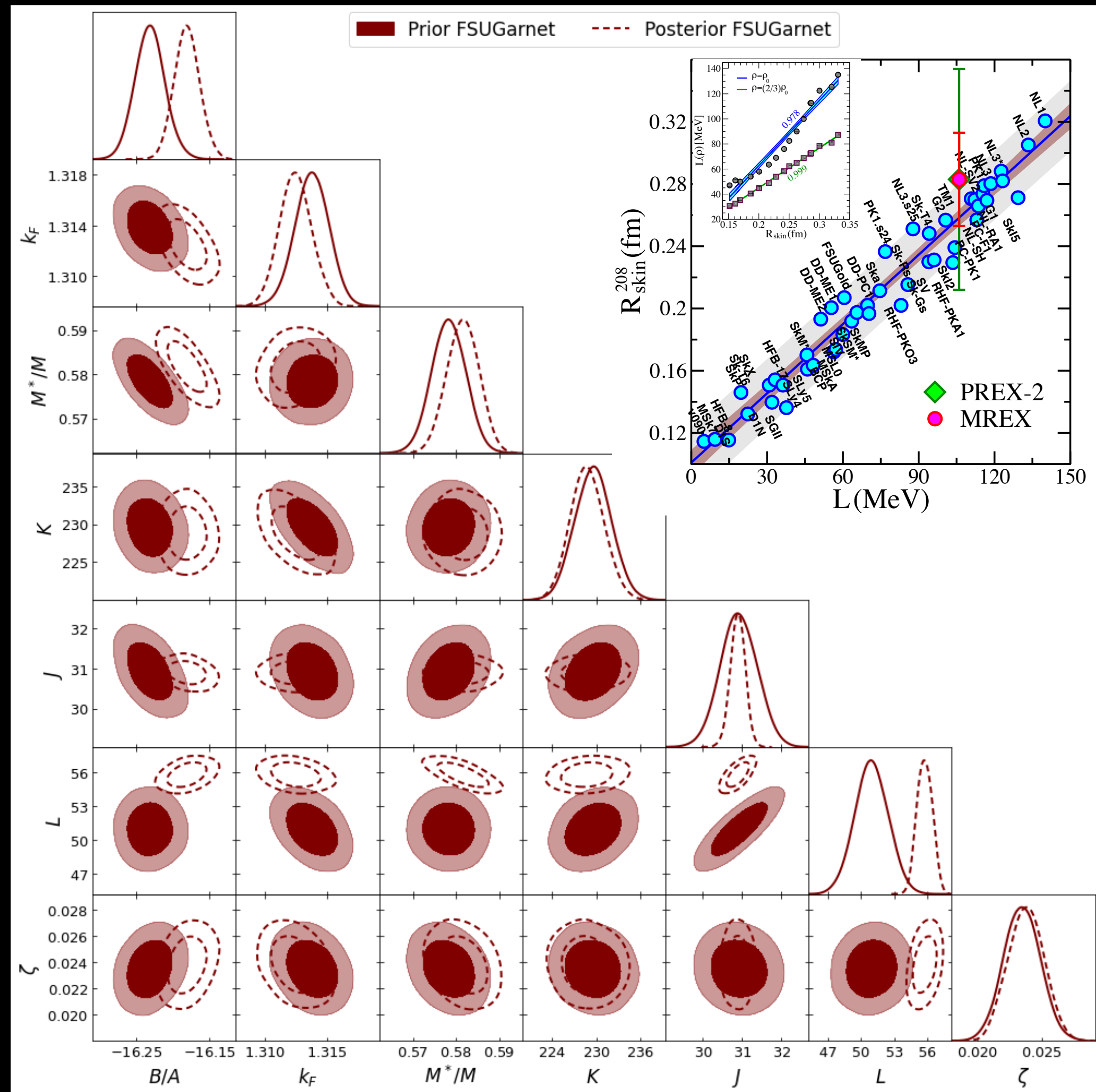
### Nuclear Density Functional Theory (DFT)

- Ab-initio calculations of heavy nuclei remains daunting task
- Search for energy functional valid over a large physics domain  
*“From finite nuclei all the way to neutron stars”*
- Incorporate physics insights into the construction of the functional
- Accurately calibrated to various properties of finite nuclei  
*masses, charge radii, and giant monopole resonances*
- Empirical constants encode physics beyond mean field
- Empirical constants obtained from the optimization of a quality measure

Nucleus	Observable	Experiment	NL3	FSU	FSU2
<sup>16</sup> O	B/A	7.98	8.06	7.98	8.00
	R <sub>ch</sub>	2.70	2.75	2.71	2.73
<sup>40</sup> Ca	B/A	8.55	8.56	8.54	8.54
	R <sub>ch</sub>	3.48	3.49	3.45	3.47
<sup>48</sup> Ca	B/A	8.67	8.66	8.58	8.63
	R <sub>ch</sub>	3.48	3.49	3.48	3.47
<sup>68</sup> Ni	B/A	8.68	8.71	8.66	8.69
	R <sub>ch</sub>	—	3.88	3.88	3.86
<sup>90</sup> Zr	B/A	8.71	8.70	8.68	8.69
	R <sub>ch</sub>	4.27	4.28	4.27	4.26
<sup>100</sup> Sn	B/A	8.25	8.30	8.24	8.28
	R <sub>ch</sub>	—	4.48	4.48	4.47
<sup>116</sup> Sn	B/A	8.52	8.50	8.50	8.49
	R <sub>ch</sub>	4.63	4.63	4.63	4.61
<sup>132</sup> Sn	B/A	8.36	8.38	8.34	8.36
	R <sub>ch</sub>	4.71	4.72	4.74	4.71
<sup>144</sup> Sm	B/A	8.30	8.32	8.32	8.31
	R <sub>ch</sub>	4.95	4.96	4.96	4.94
<sup>208</sup> Pb	B/A	7.87	7.90	7.89	7.88
	R <sub>ch</sub>	5.50	5.53	5.54	5.51

Nucleus	TAMU	RCNP	NL3	FSU	FSU2
<sup>90</sup> Zr	17.81 ± 0.35	—	18.76	17.86	17.93 ± 0.09
<sup>116</sup> Sn	15.90 ± 0.07	15.70 ± 0.10	17.19	16.39	16.47 ± 0.08
<sup>144</sup> Sm	15.25 ± 0.11	15.77 ± 0.17	16.29	15.55	15.59 ± 0.09
<sup>208</sup> Pb	14.18 ± 0.11	13.50 ± 0.10	14.32	13.72	13.76 ± 0.08

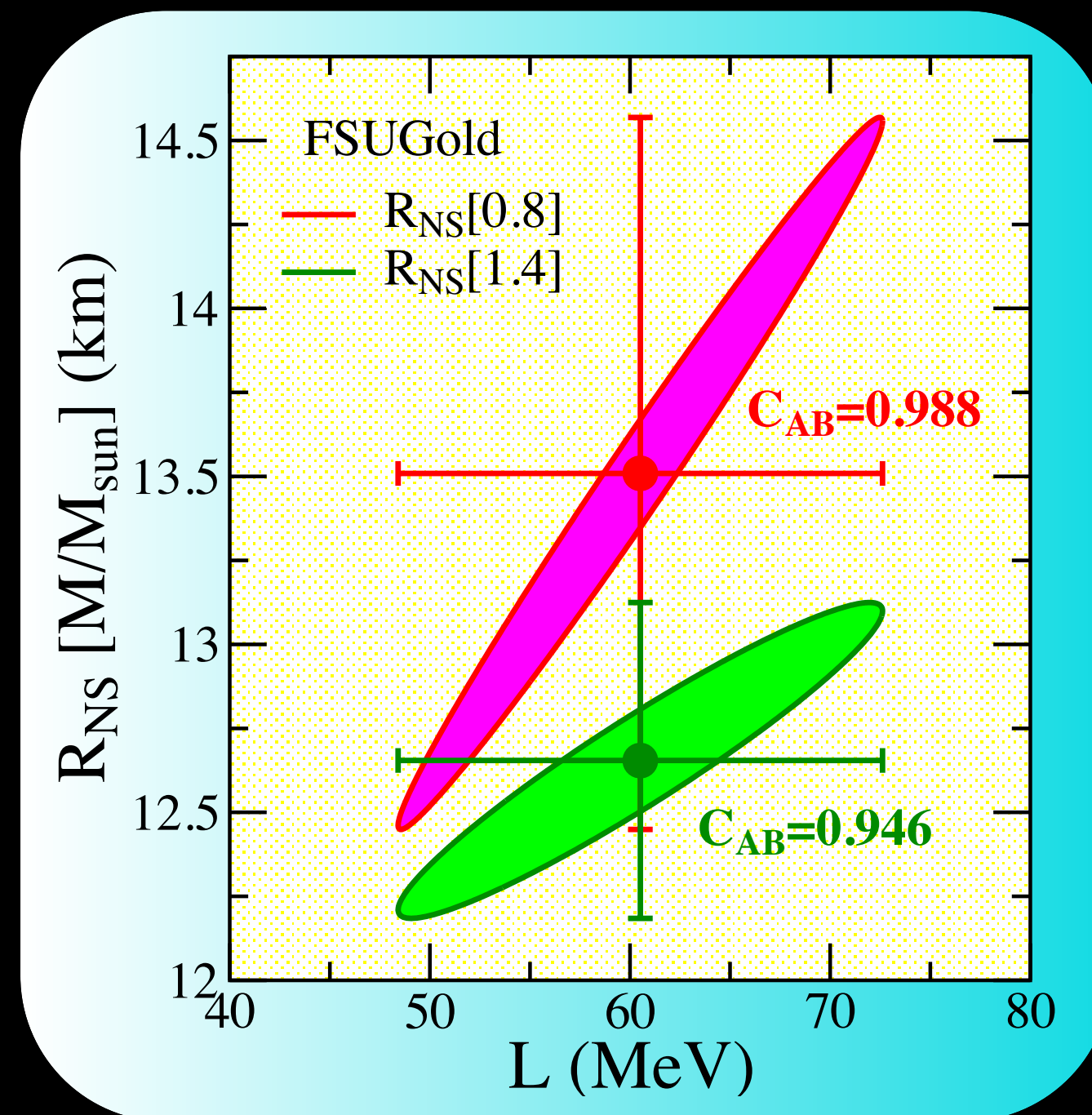
# Bayesian Inference for Uncertainty Quantification: Model building for the understanding of atomic nuclei, neutron stars, and unveiling correlations



$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[ g_s \phi - \left( g_v V_\mu + \frac{g_\rho}{2} \tau \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

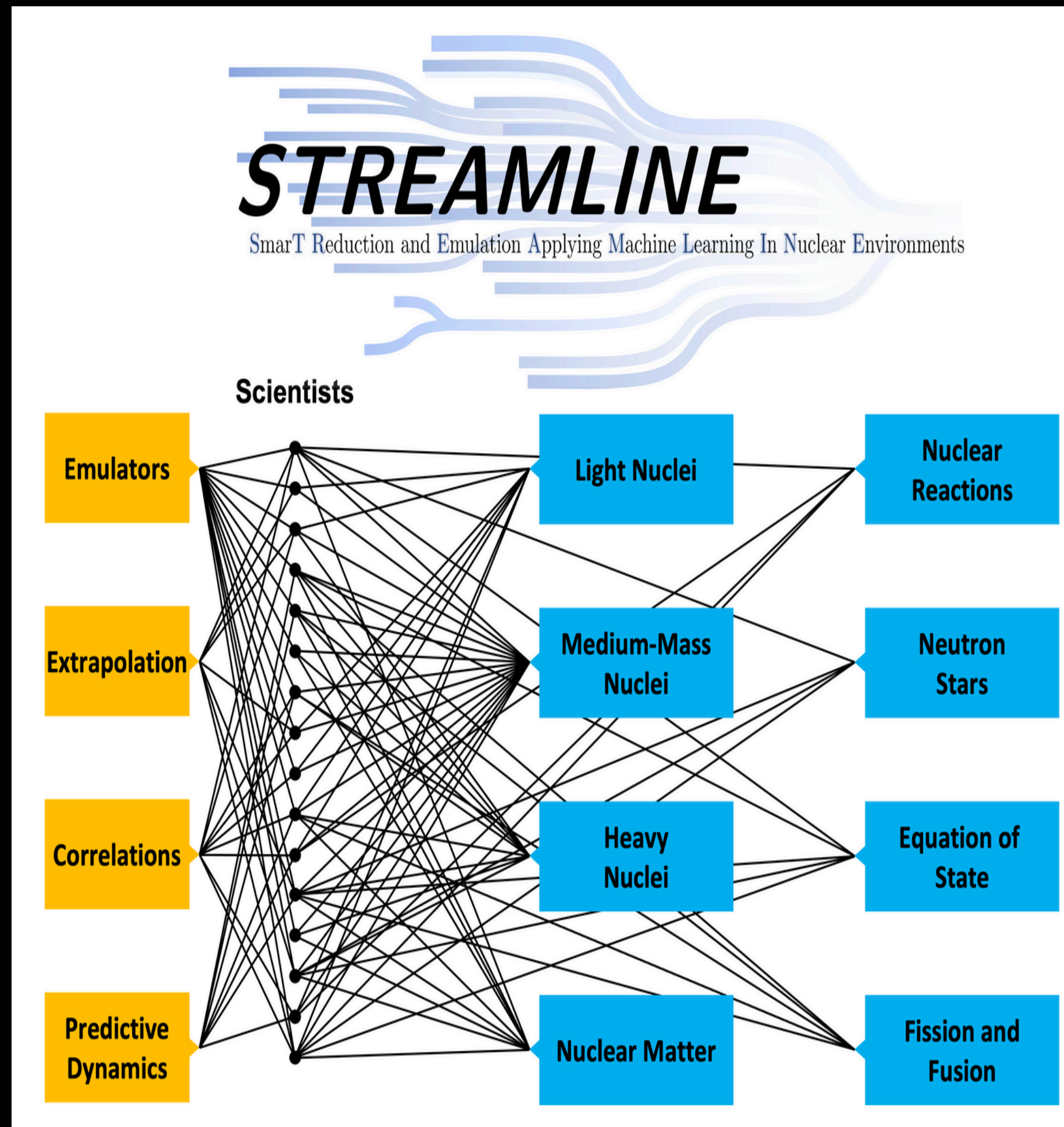
$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left( g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left( g_v^2 V_\nu V^\nu \right)$$

$$(g_s, g_v, g_\rho, \kappa, \lambda, \Lambda_v) \iff (\rho_0, \epsilon_0, M^*, K, J, L)$$



Neutron skins and stellar radii (quantities that differ by 18 orders of magnitude) are both sensitive to L!

# Emulators and Reduced Order Models



Emulators are statistical ML models that faithfully reproduce the behavior of a complex physical system at a “tiny” fraction of the computational cost!

# Neutron Stars meet Bayesian Emulators

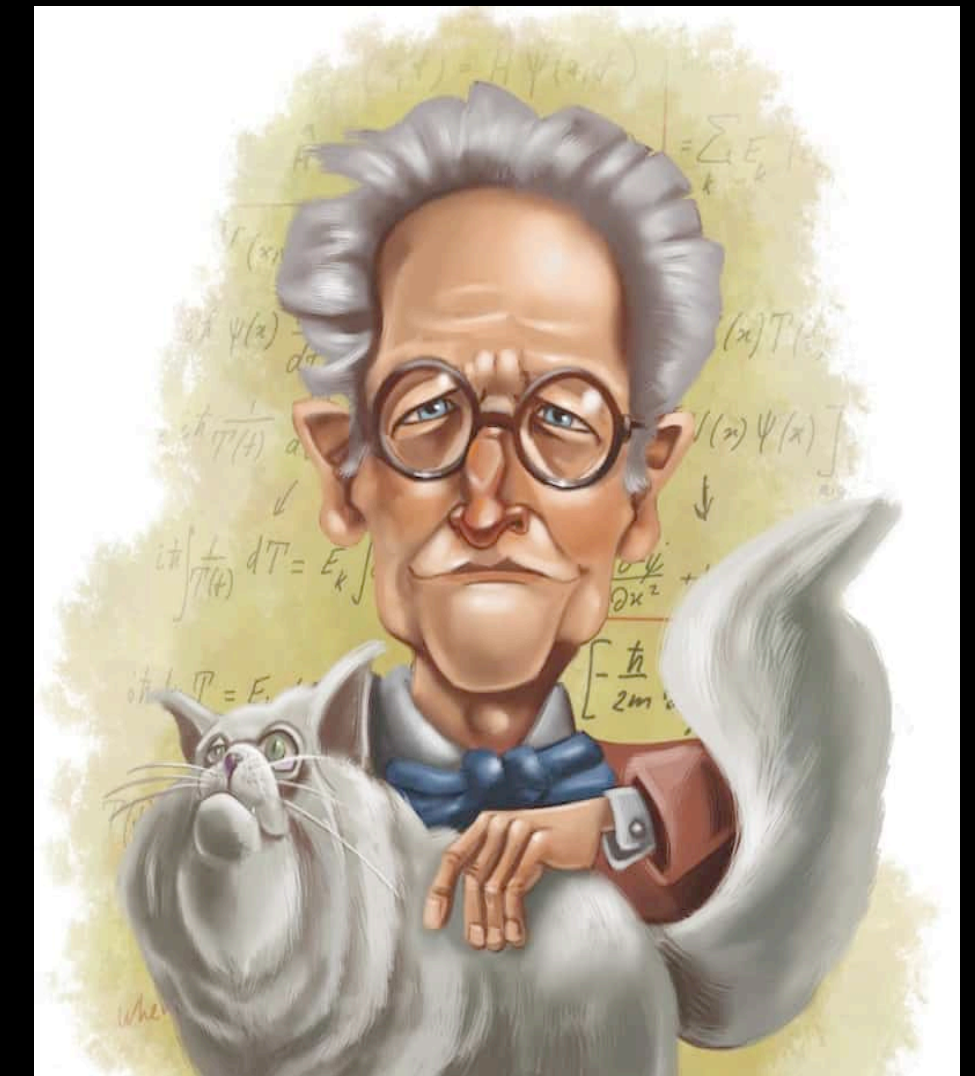
Today's posterior is tomorrow's prior!

- The influx of experimental/observational data will challenge future model building.
- Given that updates to the model are computationally expensive, an emulator provides an inexpensive and (hopefully) accurate alternative to the original high-fidelity model.
- Reduced order models — although widely used in other fields — are just making their entrance in the nuclear science arena.



$$\left( -\frac{d^2}{dx^2} + V_\lambda(x) + \frac{\kappa(\kappa + 1)}{x^2} \right) \phi_{n\kappa}(x) = \varepsilon \phi_{n\kappa}(x)$$

A large set of parameters



# Neutron Stars meet Bayesian Emulators

## Reduced Basis Methods

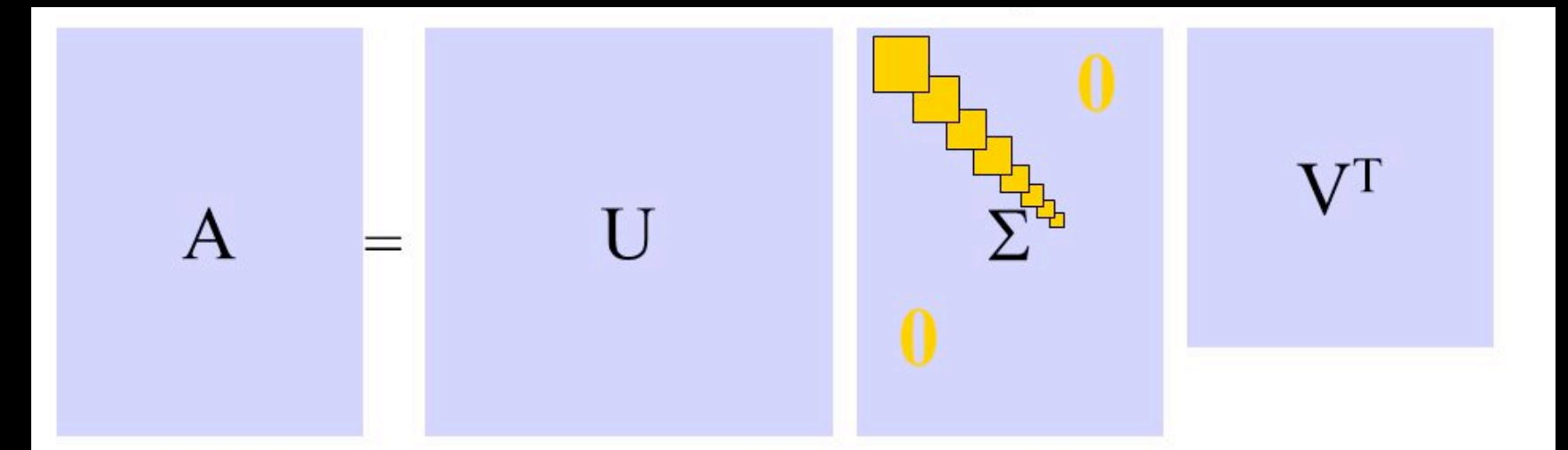
A “universal” reduced basis capable of accurately and efficiently reproduce the entire single-particle spectrum of a variety of nuclei at a small fraction in computational cost

1. Scaled Schrodinger equation as a function of some parameter(s)  $\lambda$  (for example, depth of the potential)

$$\left( -\frac{d^2}{dx^2} + V_\lambda(x) + \frac{\kappa(\kappa + 1)}{x^2} \right) \phi_{n\kappa}(x) = \varepsilon \phi_{n\kappa}(x)$$

2. Solve the exact “high-fidelity” model for a few values of the model parameters  $\lambda$  and collect all the the resulting eigenfunctions  $\phi_{n\kappa}(x, \lambda_i)$

3. Implement a “singular value decomposition” (SVD) to construct the low-dimensional orthonormal and universal reduced basis:

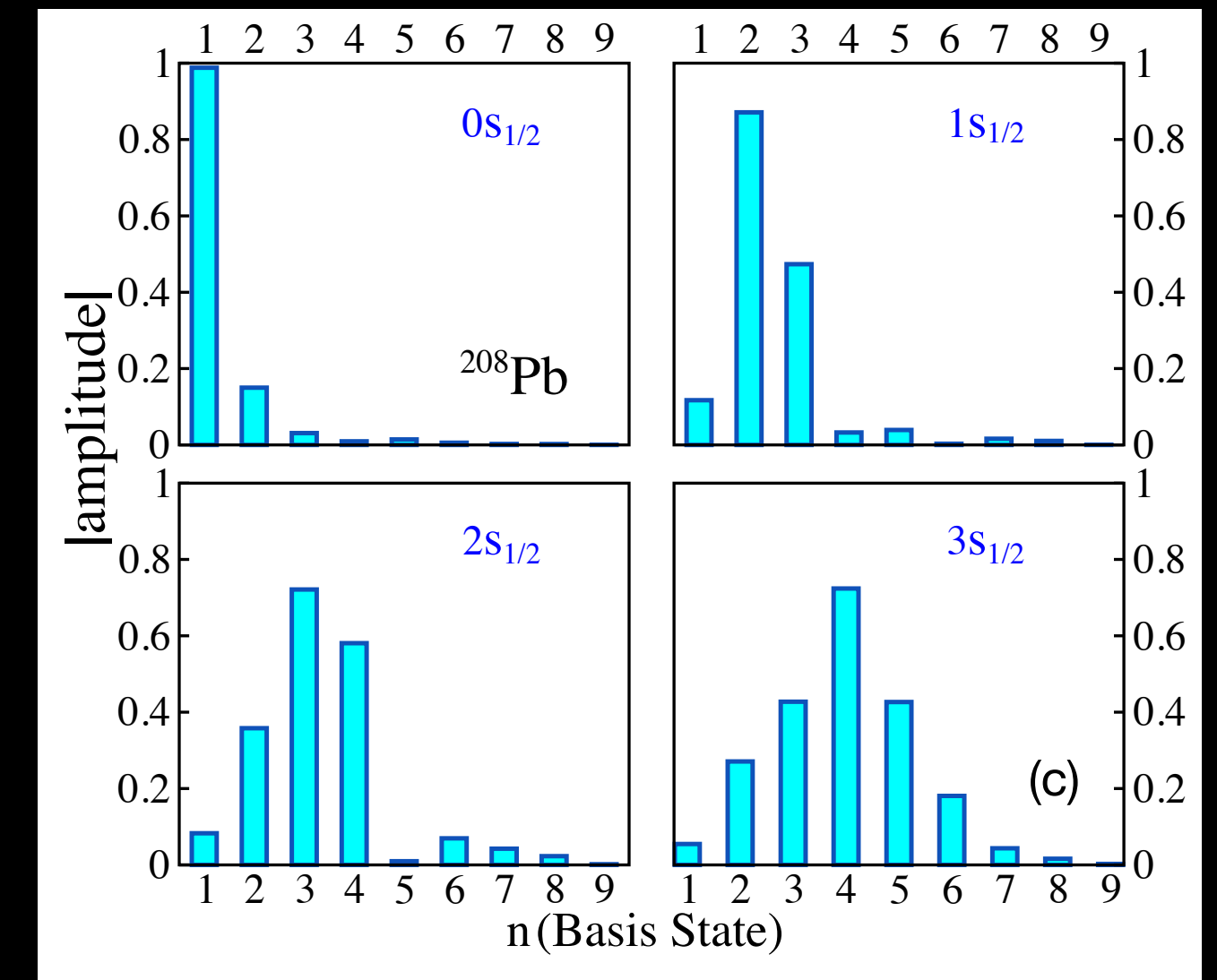
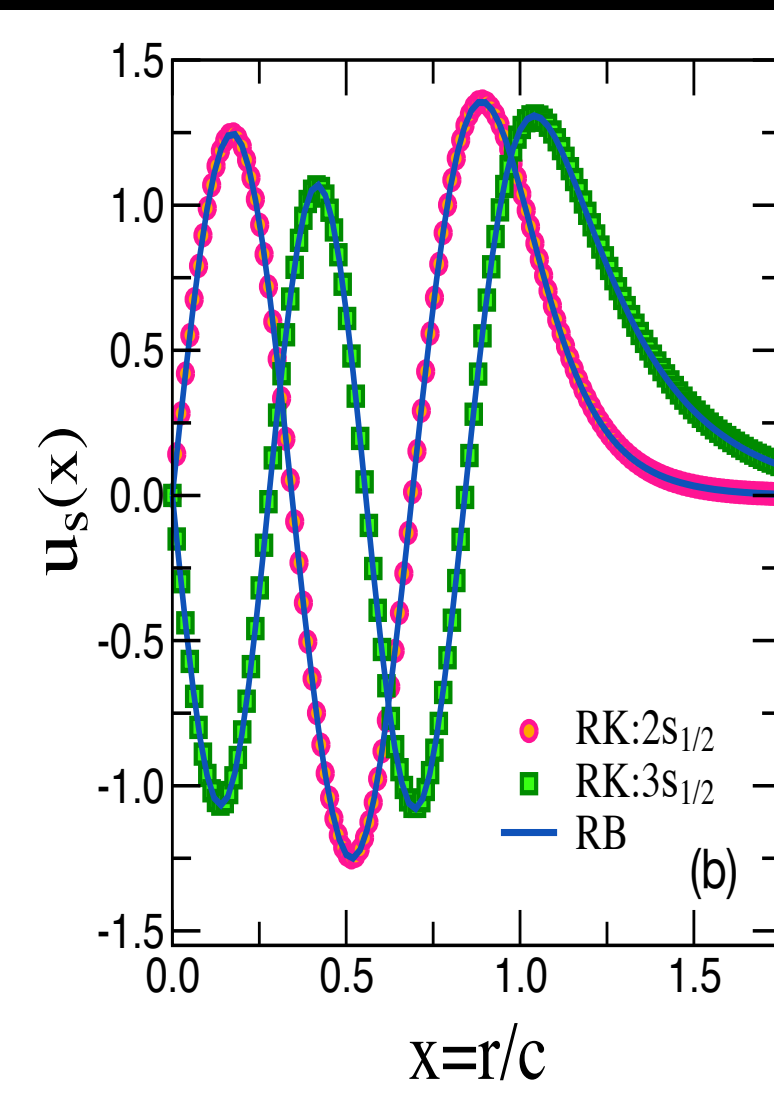
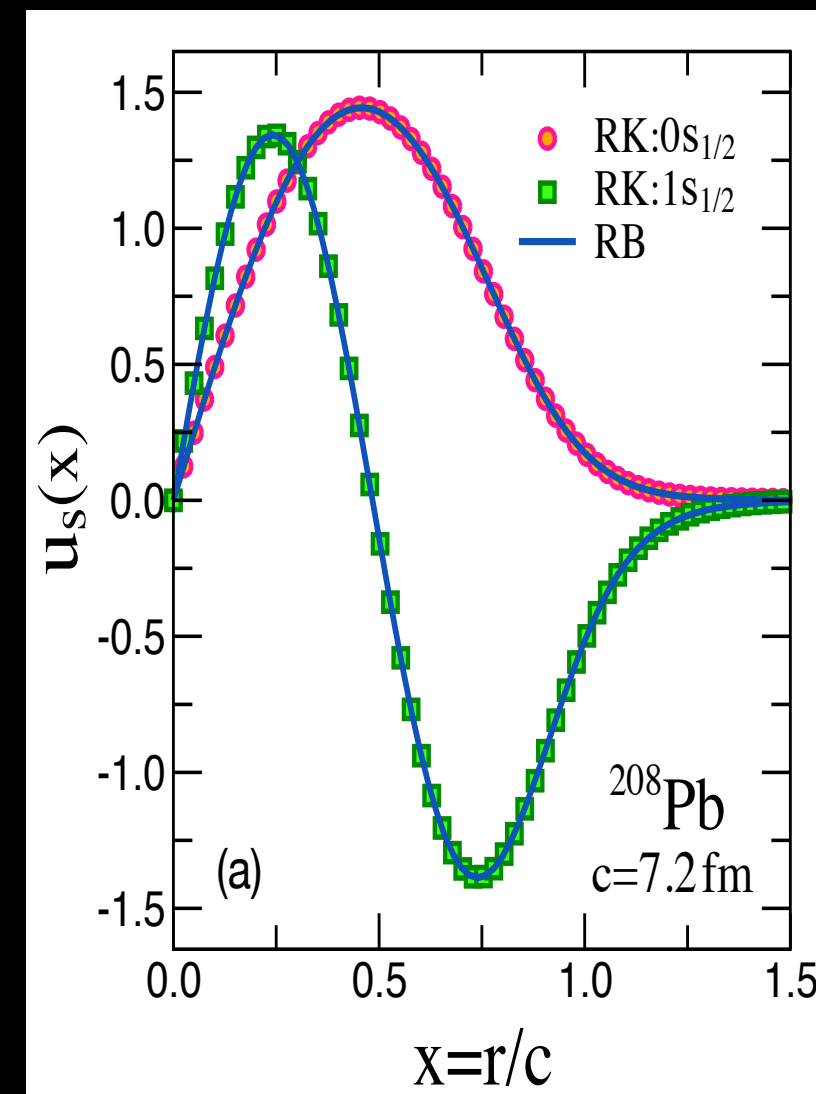
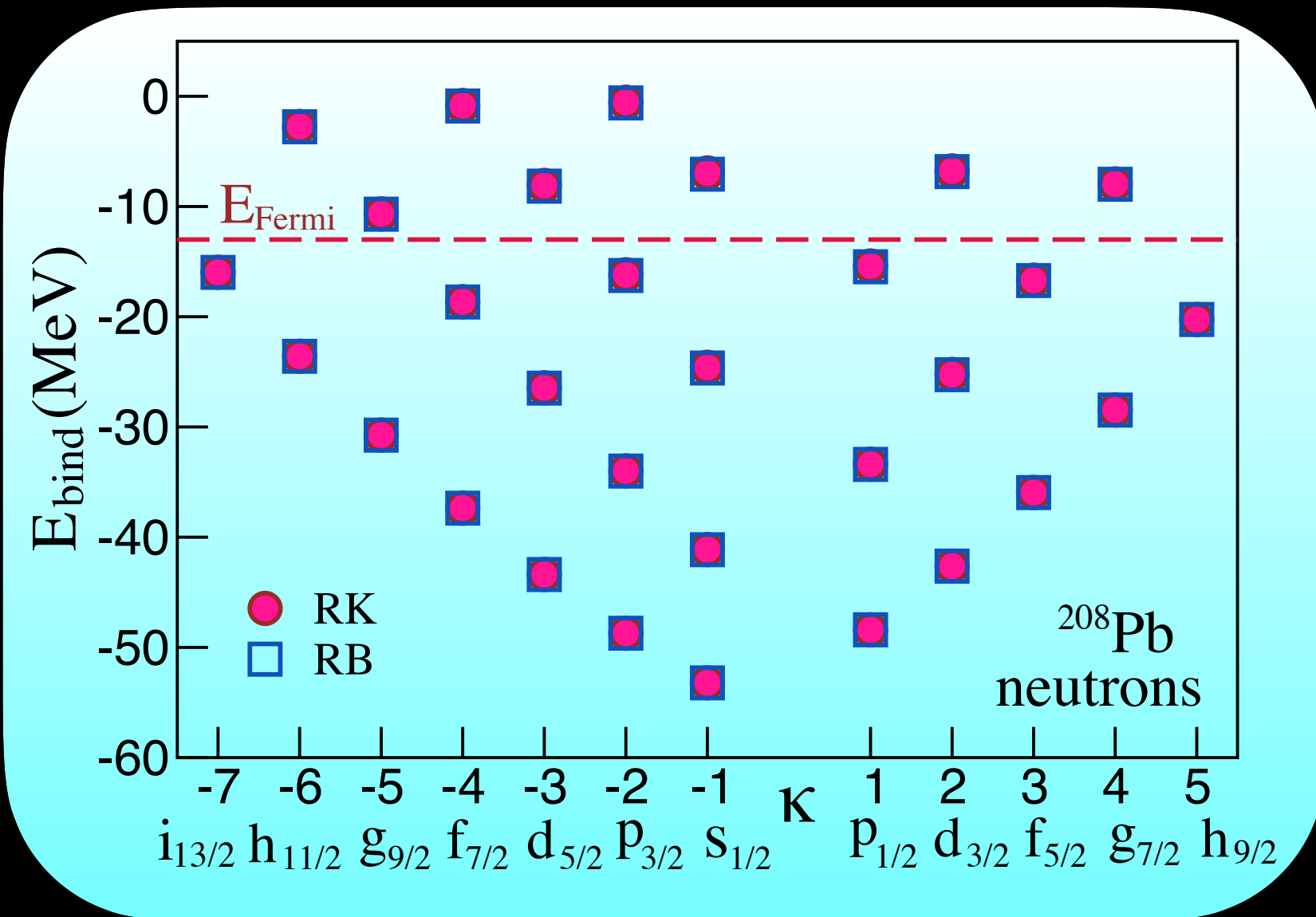


4. Compute the single-particle spectrum of all nuclei of interest at a small fraction in computational cost (three orders of magnitude in speedup!)

# Neutron Stars meet Bayesian Emulators

## Reduced Basis Methods

A “universal” reduced basis capable of accurately and efficiently reproduce the entire single-particle spectrum of a variety of nuclei at a small fraction in computational cost!

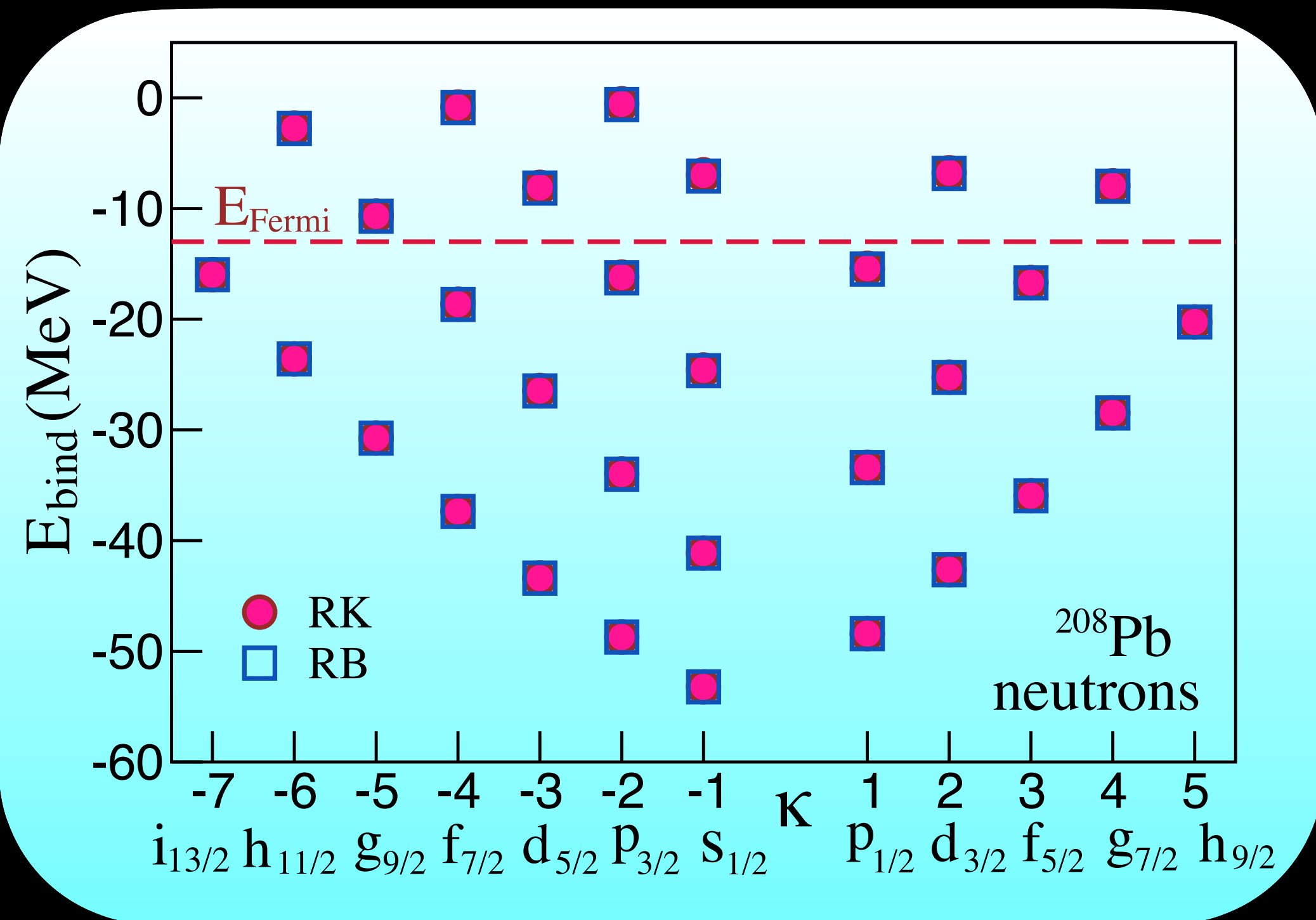


# Neutron Stars meet Bayesian Emulators

## Reduced Basis Methods

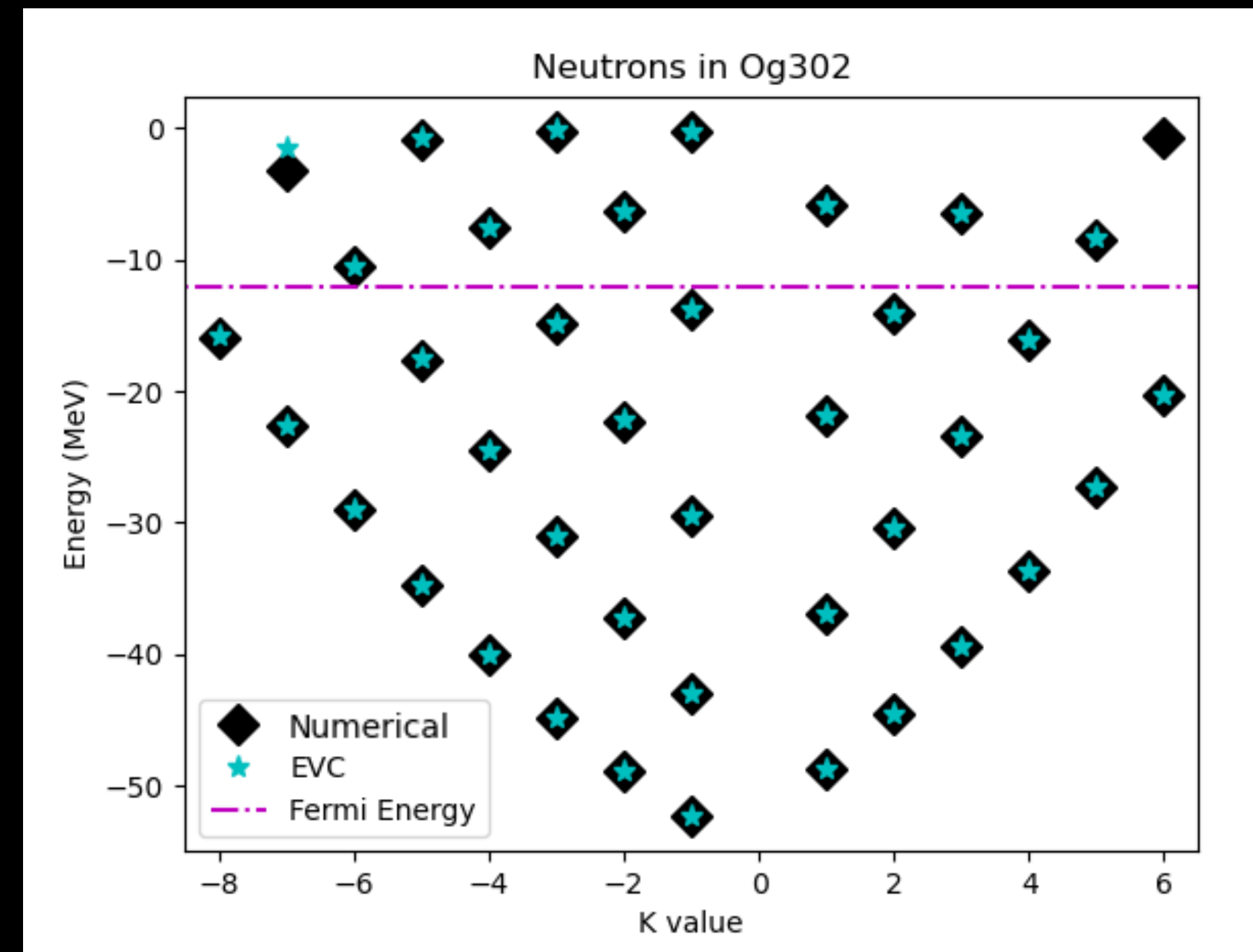
A “universal” reduced basis capable of accurately and efficiently reproduce the entire single-particle spectrum of a variety of nuclei at a small fraction in computational cost ... **and extrapolate!**

$^{208}\text{Pb}(Z = 82, N = 126)$



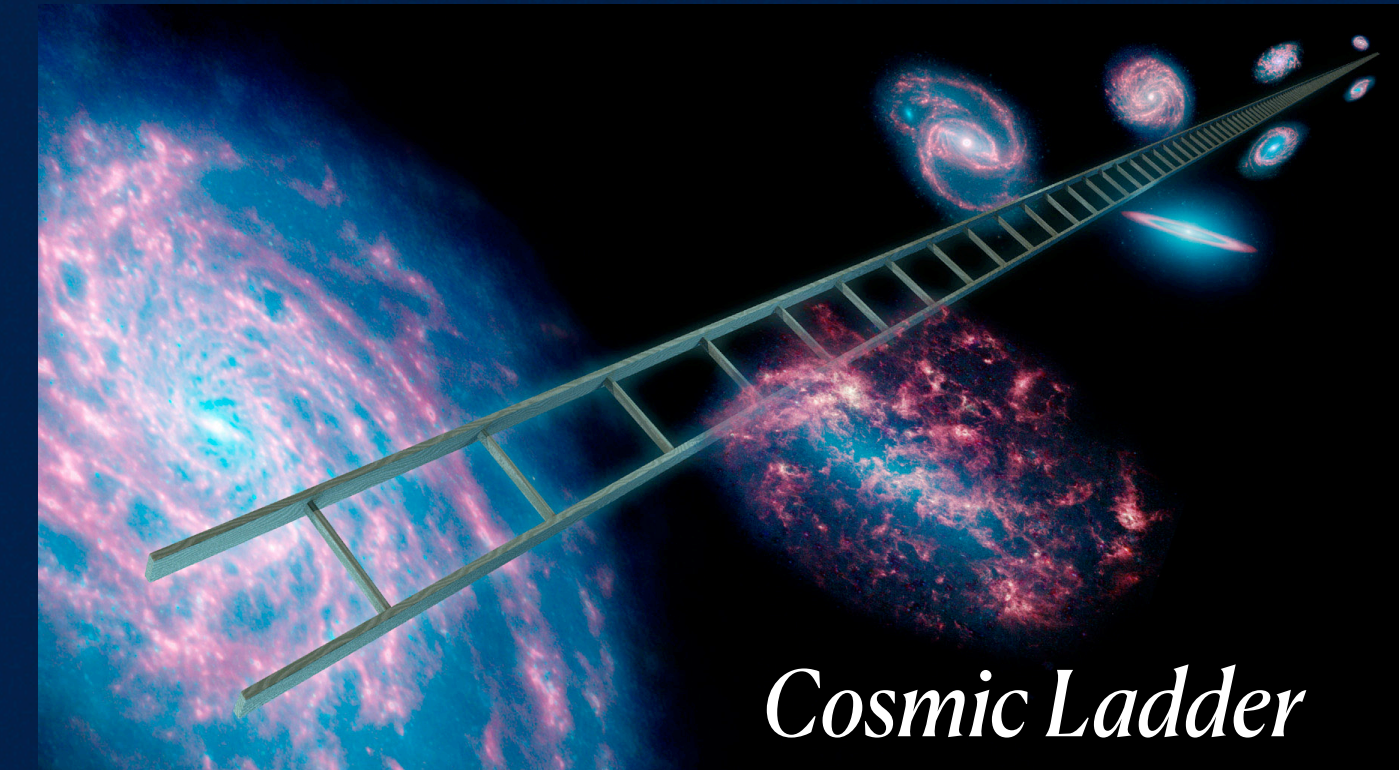
Genuine  
  
 Extrapolation

$^{302}\text{Og}(Z = 118, N = 184)$



# Heaven and Earth: Nuclear EOS Density Ladder

No single method can constrain the EOS over the entire density domain. Instead, each rung on the ladder provides information that can be used to determine the **EOS** at neighboring rungs

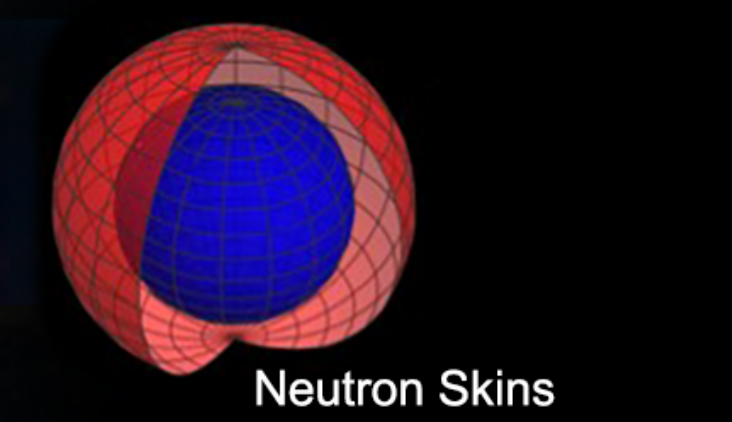
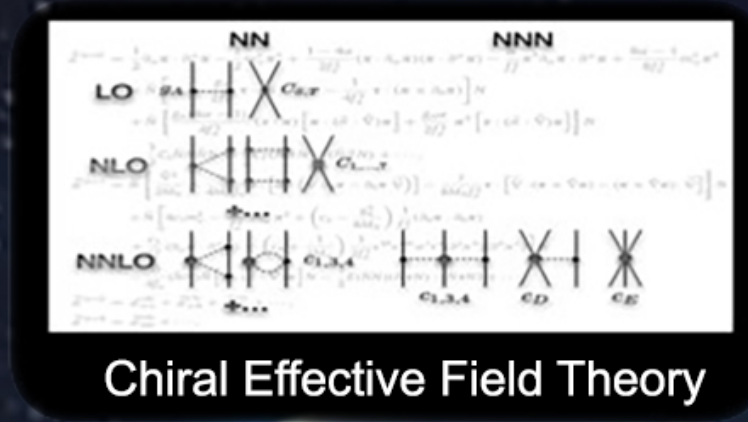
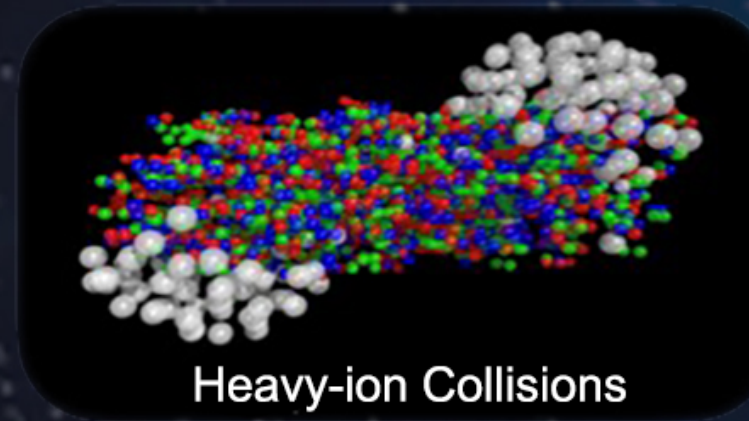
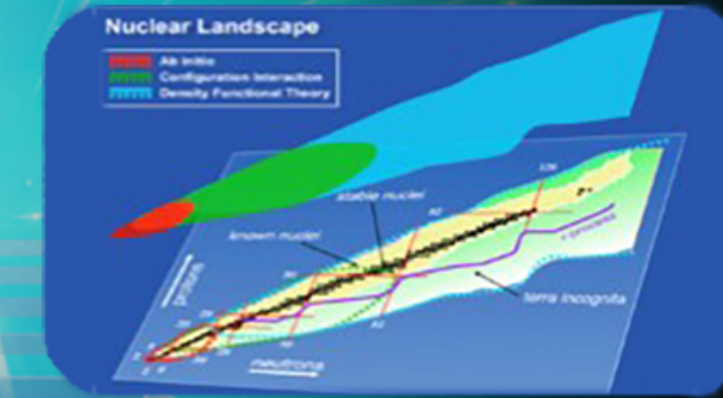


## A NEW ERA OF DISCOVERY THE 2023 LONG RANGE PLAN FOR NUCLEAR SCIENCE

2023 | VERSION 1.1



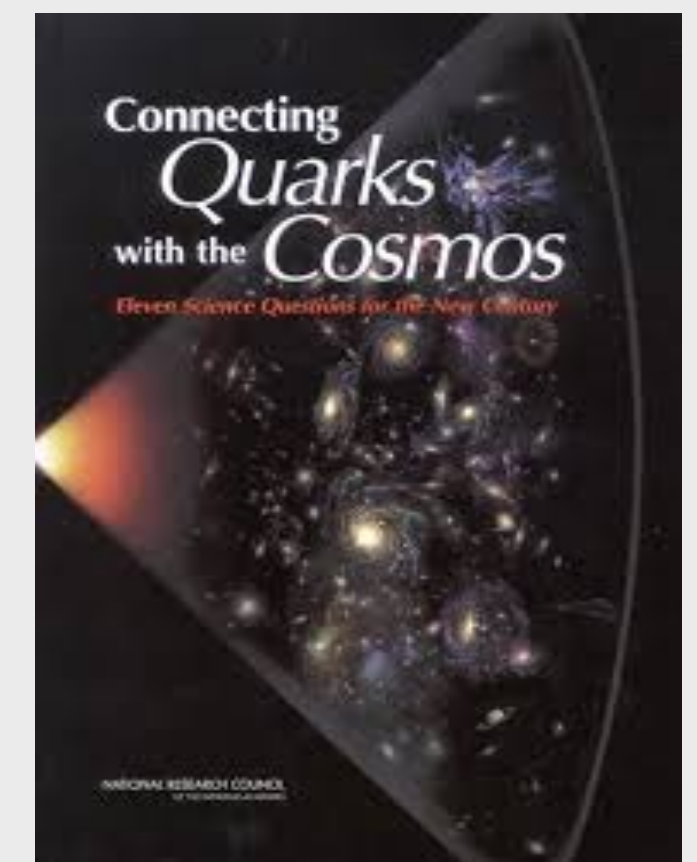
**HEAVEN AND EARTH**  
Connecting Atomic Nuclei  
to Neutron Stars –  
systems that differ in size  
by 18 orders of magnitude!



## The Nuclear Physics of Neutron Stars

*How were the heavy elements from iron to uranium made?*

*Are there new states of matter at ultrahigh temperatures and densities?*





Training in Advanced Low Energy Nuclear Theory  
Nuclear theory for astrophysics

**Organizers**

Almudena Arcones (TU Darmstadt), Bruno Giacomazzo (Università degli Studi di Milano-Bicocca), Jorge Piekarewicz (Florida State University)

**Student coordinator and Advisor**  
 Bruno Giacomazzo

The school is truly multidisciplinary as it addresses fundamental questions in fields as diverse as astrophysics, gravitational physics, nuclear physics, and particle physics. Neutron stars are supported against gravitational collapse by nuclear interactions that become strongly repulsive at short densities leading, in turn, to an equation of state (EOS) capable of supporting neutron stars in excess of two solar masses. Neutron stars are unique cosmic laboratories that probe the strong interaction at the extremes of density and isospin asymmetry, and which may harbor exotic states of matter in their cores. Finally, the gravitational-wave and electromagnetic emission from the collision of binary neutron stars is starting to provide fundamental new insights into the astrophysical site for the r-process and on the nature of dense matter. In this school we will discuss neutron stars and their EOS, core-collapse supernovae and neutron star mergers. These two high-energy events allow us to understand the extreme conditions in neutron stars as well as the origin of heavy elements in the universe.

**Keynote Speakers and Lecturers**

Almudena Arcones (TU Darmstadt), Andre da Silva Schneider (Universidade Federal de Santa Catarina), Bruno Giacomazzo (Università degli Studi di Milano-Bicocca), Alejandra Gonzalez (University of Jena), Martin Obergaulinger (University of Valencia), Albino Perego (University of Trento), Jorge Piekarewicz (Florida State University), Anna Puecher (University of Potsdam), Adriana Raduta (IFIN-HH Bucharest), Moritz Reichert (University of Valencia), Concettina Sfienti (Johannes Gutenberg-Universität), Om Sharan Salafia (INAF), Irene Tamborra (Niels Bohr Institute), Serena Vinciguerra (University of Amsterdam), Anna Watts (University of Amsterdam)

**APPLICATIONS**

Applications for the ECT\* DTP/TALENT Training School 2024 should be made electronically through the ECT\* web page. It should include: a curriculum vitae, a 1-page description of academic and scientific achievements, a short letter expressing the applicants' personal motivation for participating in the School. In addition, a reference letter from the candidate's supervisor should be sent to Barbara Gazzoli (gazzoli@ectstar.eu) for the attention of Professor Gert Aarts - Director of ECT\*. For further details see [www.ectstar.eu](http://www.ectstar.eu)

**Director of ECT\*: Professor Gert Aarts**

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# Nuclear Theory for Astrophysics

- ★ **First Week: Heaven and Earth — Informing the Equation of State of NS Matter**  
 (Adriana, Anna, Concettina, Serena; Jorge)
- ★ **Second Week: Core Collapse Supernovae**  
 (Andre, Francesco, Martin, Moritz; Almudena)
- ★ **Third Week: Neutron Star Mergers**  
 (Albino, Alejandra, Om; Bruno)

