

Legacy of HERA: Quarkonium production at ZEUS and H1

Alessia Bruni, INFN Bologna, Italy

Quarkonia in exclusive & diffractive processes

Quarkonia in inclusive reactions

https://www-h1.desy.de/publications/H1publication.short_list.html

https://www-zeus.desy.de/zeus_papers/zeus_papers.html

Synergies between LHC and EIC for quarkonium physics, 8-12 July 2024

<https://indico.ectstar.eu/event/219/overview>



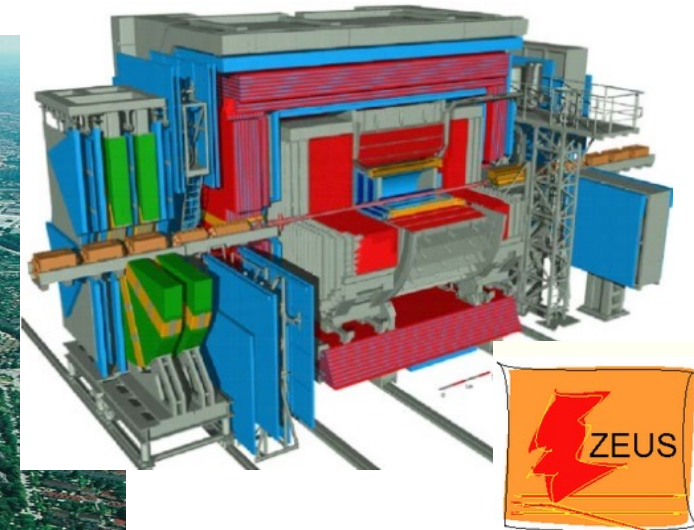
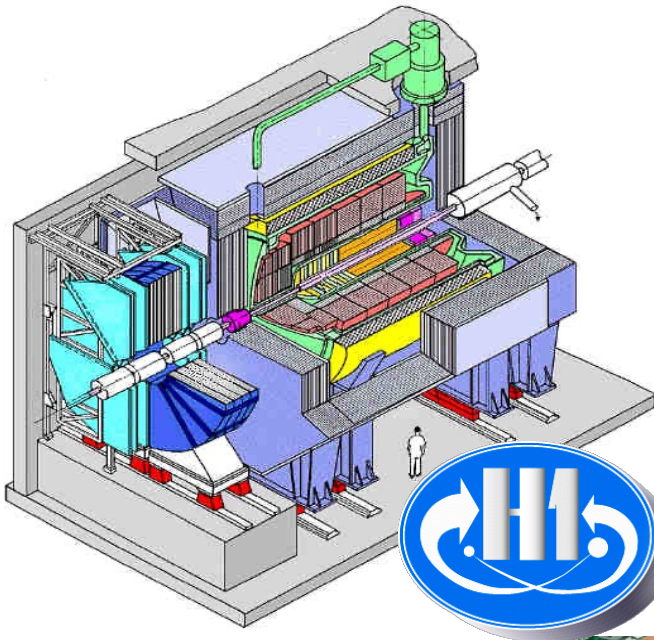
This workshop is part of a project that has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 824093.



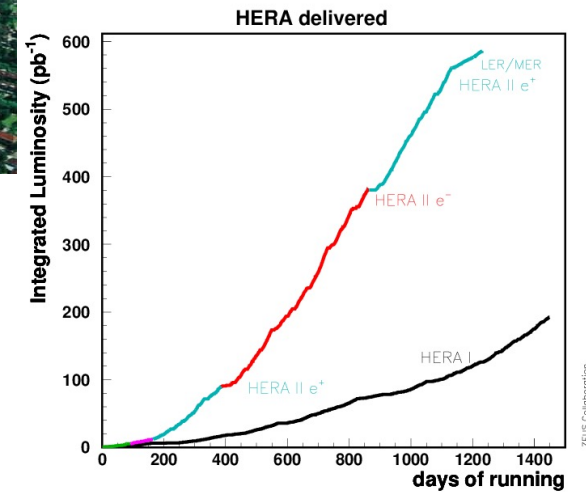
HERA electron/positron-proton collider (1992-2007)

$E_e = 27.6 \text{ GeV}$, $E_p = 920 \text{ GeV}$ (but also 820, 460, 575 GeV)

DESY, Hamburg (DE)



- > Total luminosity $\sim 0.5 \text{ fb}^{-1}$ per experiment
- > Center-of-mass energies 318 GeV - 225 GeV

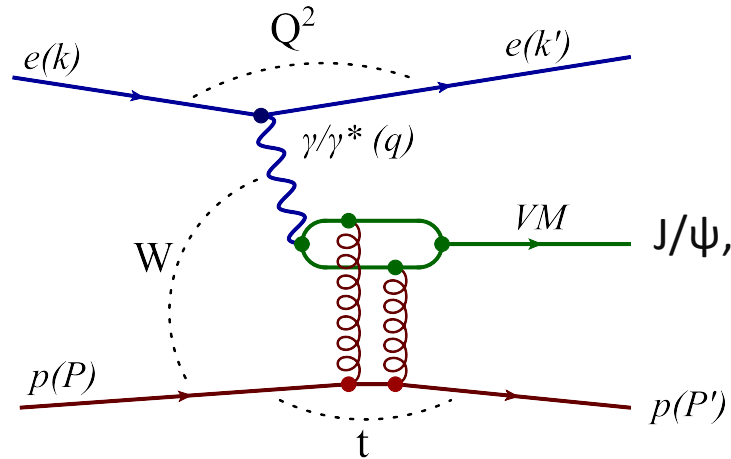


Quarkonia in exclusive & diffractive processes

H1 and ZEUS paper on J/ψ , $\psi(2S)$, Y production

Photoproduction	<p>H1, Elastic and p-diss J/ψ in PHP Eur.Phys.J.C73 (2013) 2466</p> <p>H1, Elastic J/ψ in PHP and DIS Eur.Phys.J.C46 (2006) 585</p> <p>ZEUS, Exclusive PHP of J/ψ mesons Eur. Phys. J. C 24 (2002) 345</p> <p>H1, Elastic J/ψ and Y in PHP Phys.Lett.B483 (2000) 23</p> <p>H1, Elastic and Inelastic J/ψ in PHP Nucl.Phys.B472 (1996) 3</p>
Deep Inelastic Scattering	<p>ZEUS, Exclusive J/ψ in DIS Nucl. Phys. B 695 (2004) 3</p> <p>H1, Charmonium Production in Deep Inelastic Scattering at HERA, Eur.Phys.J.C10 (1999) 373-393</p> <p>H1, Elastic ρ^0 and J/ψ at large Q^2 Nucl.Phys.B468 (1996) 3</p> <p>ZEUS, Exclusive ρ^0 and J/ψ in DIS Eur. Phys. J. C 6 (1999) 603</p>
High- t , Mandelstam variable	<p>ZEUS, p-dissociative J/ψ in PHP at large t JHEP 05 (2010) 085</p> <p>H1, Diffractive PHP of J/ψ with large t Phys Lett B568 (2003) 205</p>
$\psi(2S)$	<p>$\psi(2S) / J/\psi$ ratio in PHP, JHEP 12 (2022) 164</p> <p>ZEUS, $R(\sigma\psi(2S)/\sigma J/\psi(1S))$ in DIS Nucl. Phys. B 909 (2016) 934</p> <p>H1, Diffractive PHP of $\psi(2S)$ Phys.Lett.B541 (2002) 251</p>
Y	<p>ZEUS, Y in PHP (t-dependence), Phys.Lett. B 708 (2011) 14</p> <p>ZEUS, Exclusive Y in PHP, Phys.Lett. B 680 (2009) 4</p> <p>H1, Elastic J/ψ and Y in PHP Phys.Lett.B483 (2000) 23</p> <p>ZEUS, Elastic Y production, Phys.Lett. B 437 (1998) 432</p>

Kinematics



Exclusive production of Vector Mesons fully described by:

- Q^2 photon virtuality, kinematic regimes:

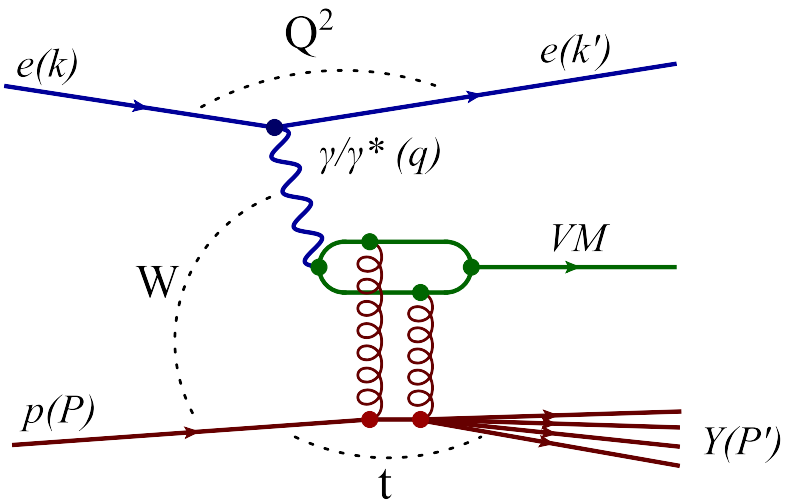
- photoproduction, $Q^2 \approx 0 \text{ GeV}^2$

- Deep Inelastic Scattering: $Q^2 > 1 \text{ GeV}^2$

- $W_{\gamma p}$ photon-proton centre-of-mass energy

- $t = (p - p')^2$ – four momentum transfer squared at proton vertex

- **x-Bjorken** x – fraction of proton's momentum carried by struck quark/gluon $x \sim 1/W^2 \gamma p$



Kinematic variable fully reconstructed usually measuring **scattered electron** (in DIS) and vector meson decay products or final photons or jets. **Scattered p** detected with lower acceptance

Diffractive vector meson production studied at HERA as a **function of several scales**: Q^2 , MV , t over a wide range of $W_{\gamma p}$.

Event topology/selection: exclusive di-muon /di-electron production

Very clean signature: J/ψ mesons identified via the decay channels: $\mu^+\mu^-$, e^+e^-

Photoproduction: trigger and selection driven by tracking + muon chambers / electrons in CAL

DIS: trigger given by scattered electron in CAL

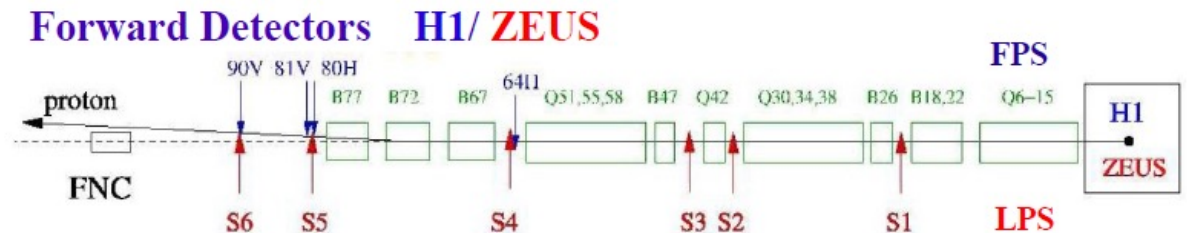
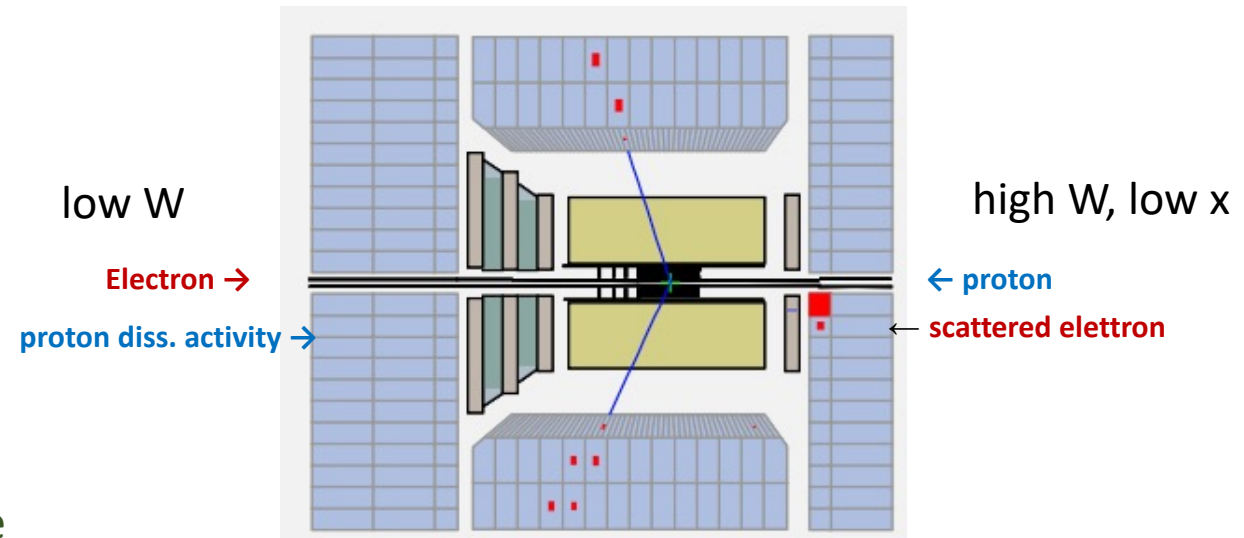
Selection: 2 tracks matched with calorimeter (MIPs or electron clusters), no additional CAL cluster.
For electron channel, 1 track + 1 CAL electron is also possible

Experimental challenge:

- trigger on exclusive events while rejecting non ep background: halo mu and **cosmics**

Analysis requires:

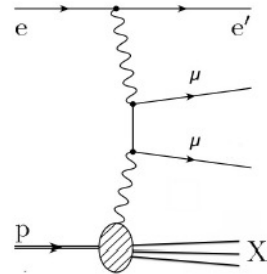
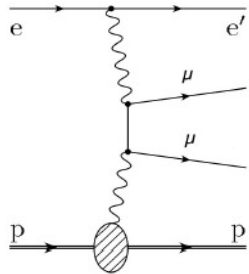
- a good understanding of trigger efficiencies
- forward detector to tag/study p-dissociative process



H1: Forward Proton Spectrometer (FPS), Very Forward Proton Spectrometer, Forward Tagging System (HERAII)

ZEUS: Leading Proton Spectrometer; Proton Remnant Tagger (HERAI)

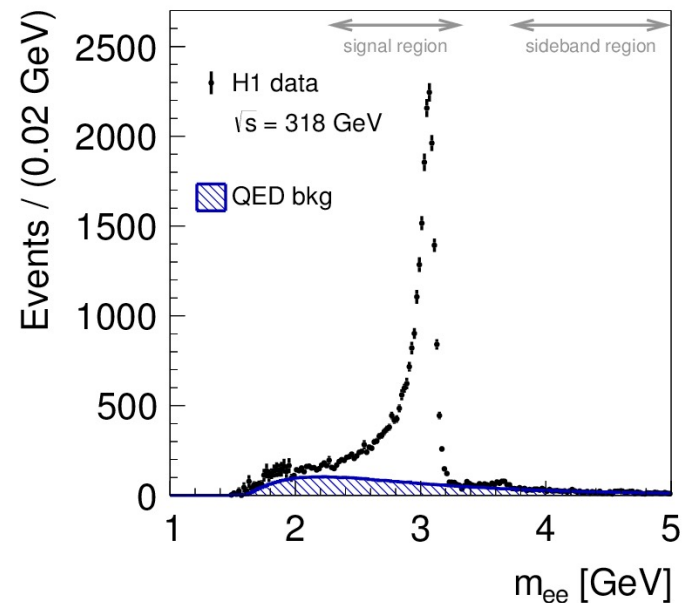
Signal extraction from invariant mass distributions



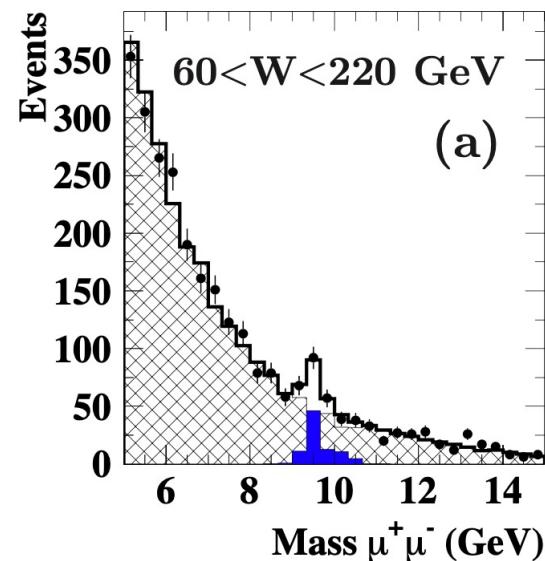
$J/\psi, \psi(2S), \Upsilon \rightarrow \mu^+\mu^-, e^+e^-$

- only background source from QED non-resonant muon/electron pair production $\gamma\gamma \rightarrow l^+l^-$ (Bethe-Heitler process) subtracted by QED simulation, usually normalized in control region, as it contains p-diss contribution, not perfectly simulated

H1 $J/\psi \rightarrow e^+e^-$ photoproduction



$\Upsilon \rightarrow \mu^+\mu^-$ photoproduction

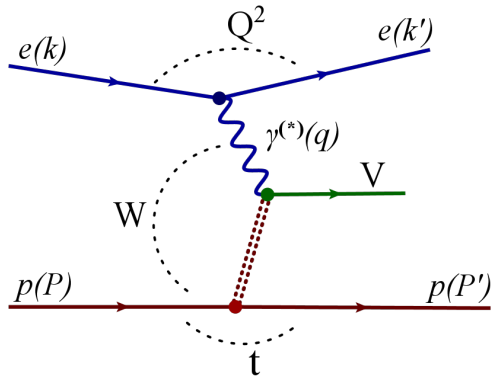


signal events:

- fitted
- or counted in signal region, procedure insensitive to low mass tail due to QED radiation losses and Bremsstrahlung, large for electrons and sizeable for muons; adequate in regions at low and high W where mass resolution is poor.

Theoretical models

- > **Regge phenomenology:** Vector Dominance Model + Soft Pomeron IP exchange



$$\alpha_P(t) = \alpha_0 + \alpha' t$$

$$\alpha_0 = 1.08, \alpha' = 0.25 \text{ GeV}^{-2} \quad (\text{DL})$$

$$\frac{d\sigma}{dt} \propto e^{bt} \left(\frac{W_{\gamma P}}{W_0} \right)^\delta \quad \delta = 4(\alpha_0 - 1)$$

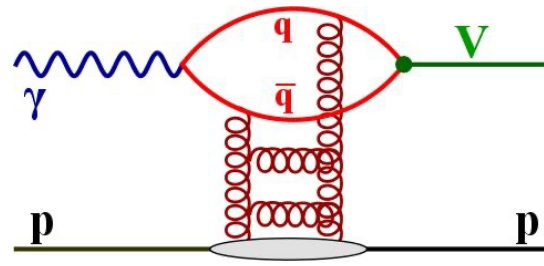
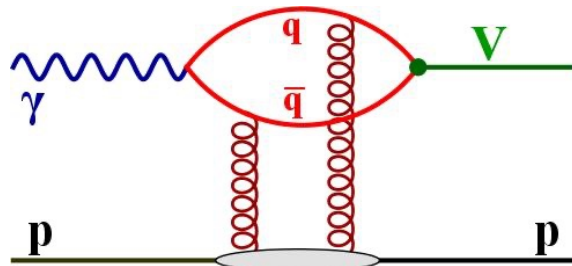
$$b = b_0 + 4\alpha' \ln \left(\frac{W_{\gamma P}}{W_0} \right)$$

Weak energy dependence

$$\sigma \propto W^{\delta_{\gamma P}}$$

- > In the presence of a hard scale (M_{VM} , Q^2 , t) calculations in **pQCD** are possible.

- > Exchange of a gluon ladder. **Fast increase of the cross section with energy**

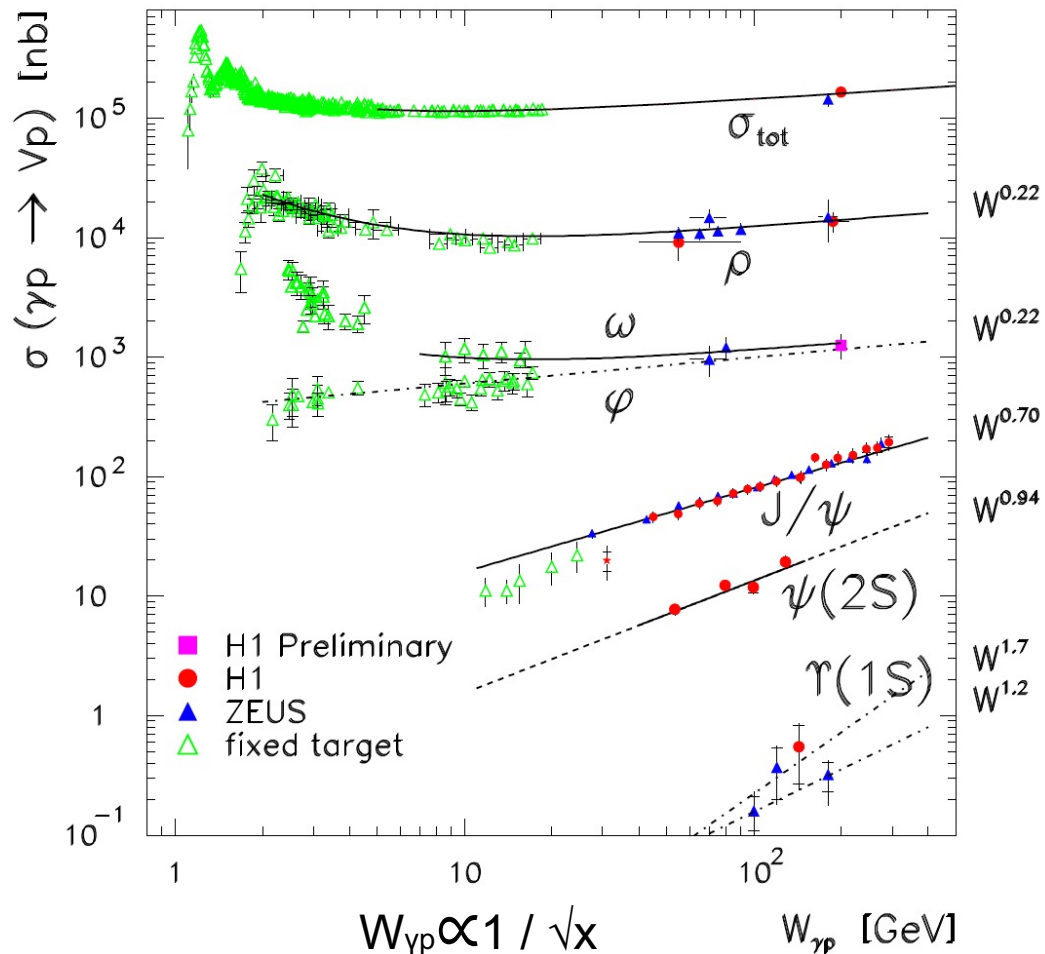


$$\left\{ \begin{array}{l} \sigma \propto [x g(x, \mu^2)]^2 \\ x = \mu^2 / W^2 \\ \mu^2 \propto (Q^2 + M_V^2) \end{array} \right.$$

- > Generalised Parton Densities relevant here. Calculations performed now at NLO.

W_{γp} dependence of Elastic Vector Meson Photoproduction

- › The cross section dependence on W can be parameterised as $\sigma \sim W^\delta$
- › Increasing VM mass, process gets harder

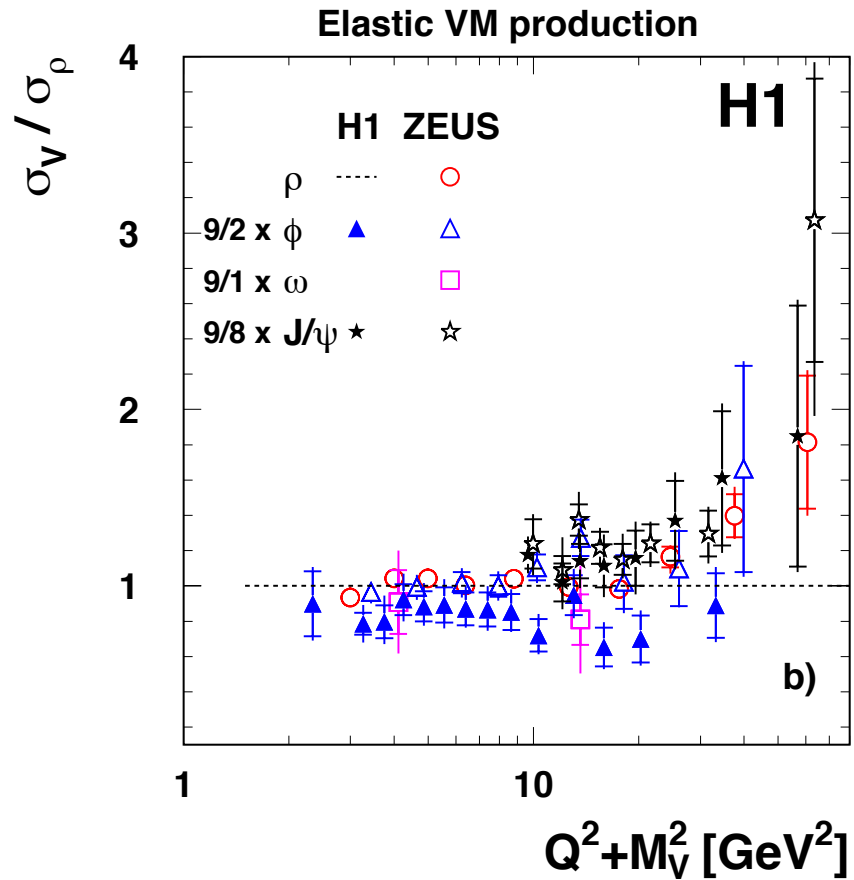


Low mass VM consistent with soft model, $\delta \sim 0.2$

$\sigma \propto [x g(x, \mu)]^2$, with $x \sim 1/W^2 \gamma p$

For high mass VM, rapid rise of cross section with $W_{\gamma p}$ related to the increasing gluon density with decreasing of fractional momentum

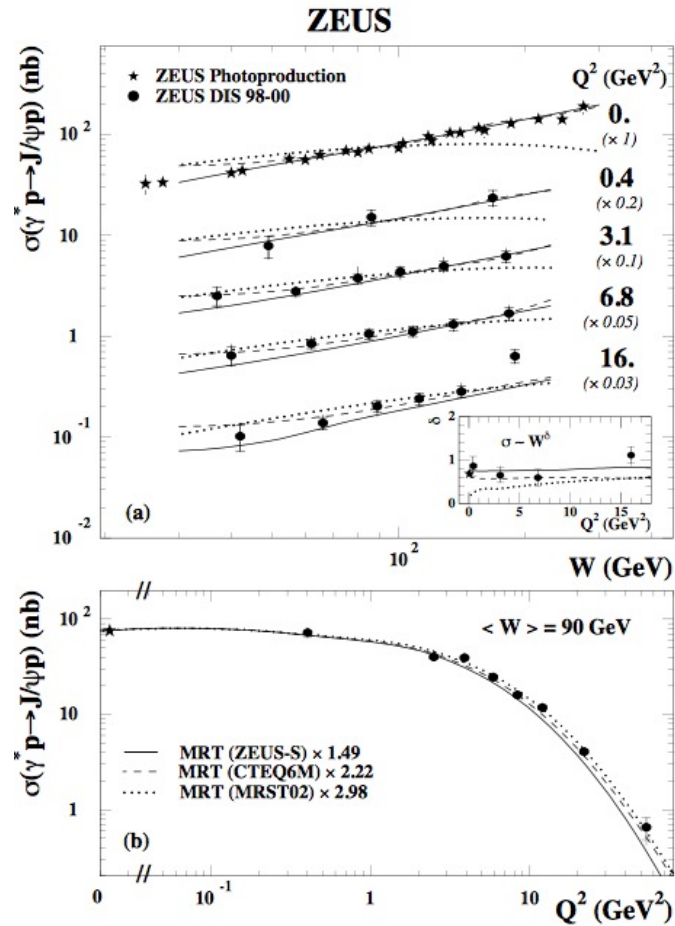
Universality of VM production



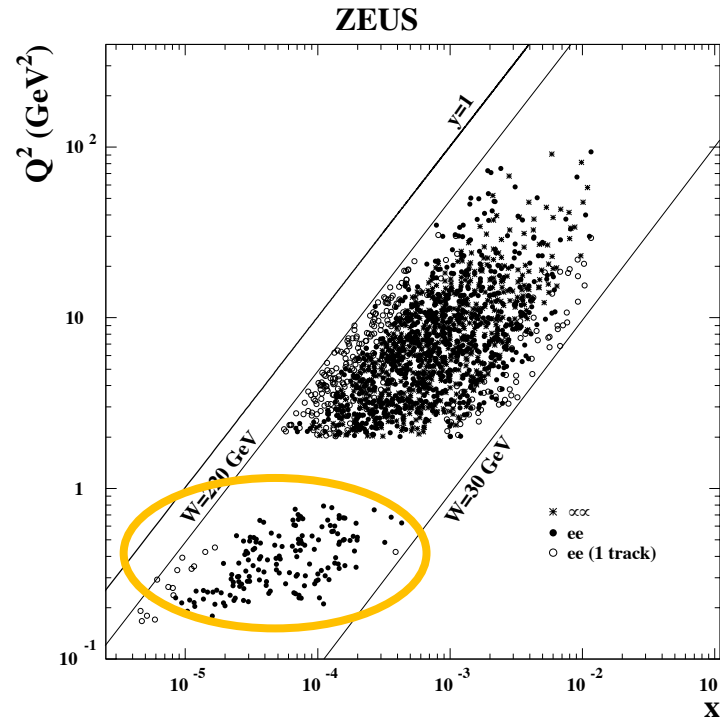
- Despite cross sections of light VM and J/ψ differ by order of magnitude, they are close when corrected for factors accounting VM charge (rho : phi : J/ψ = 9 : 2 : 8) and plotted vs scaling variable $\mu^2 = (Q^2 + M_V^2) / 4 \approx 3-5 \text{ GeV}^2$
- Ratios, scaled according to quark charge content, close to 1 (up to Wave Function effects) once plotted vs $(Q^2 + M_V^2)$

This supports the dipole approach of VM production at high energy: cross sections are essentially determined by the dipole size

Wyp dependence of J/ψ in photoproduction and DIS



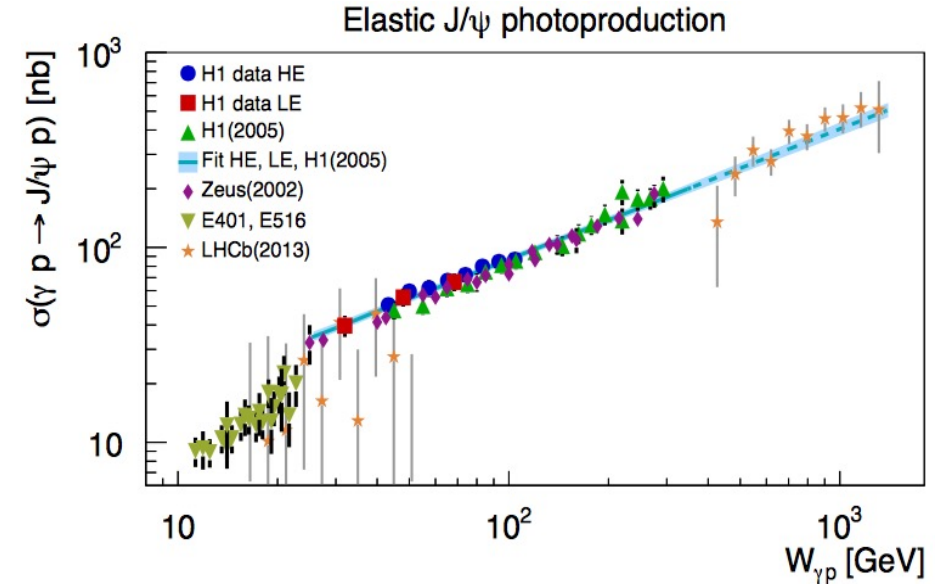
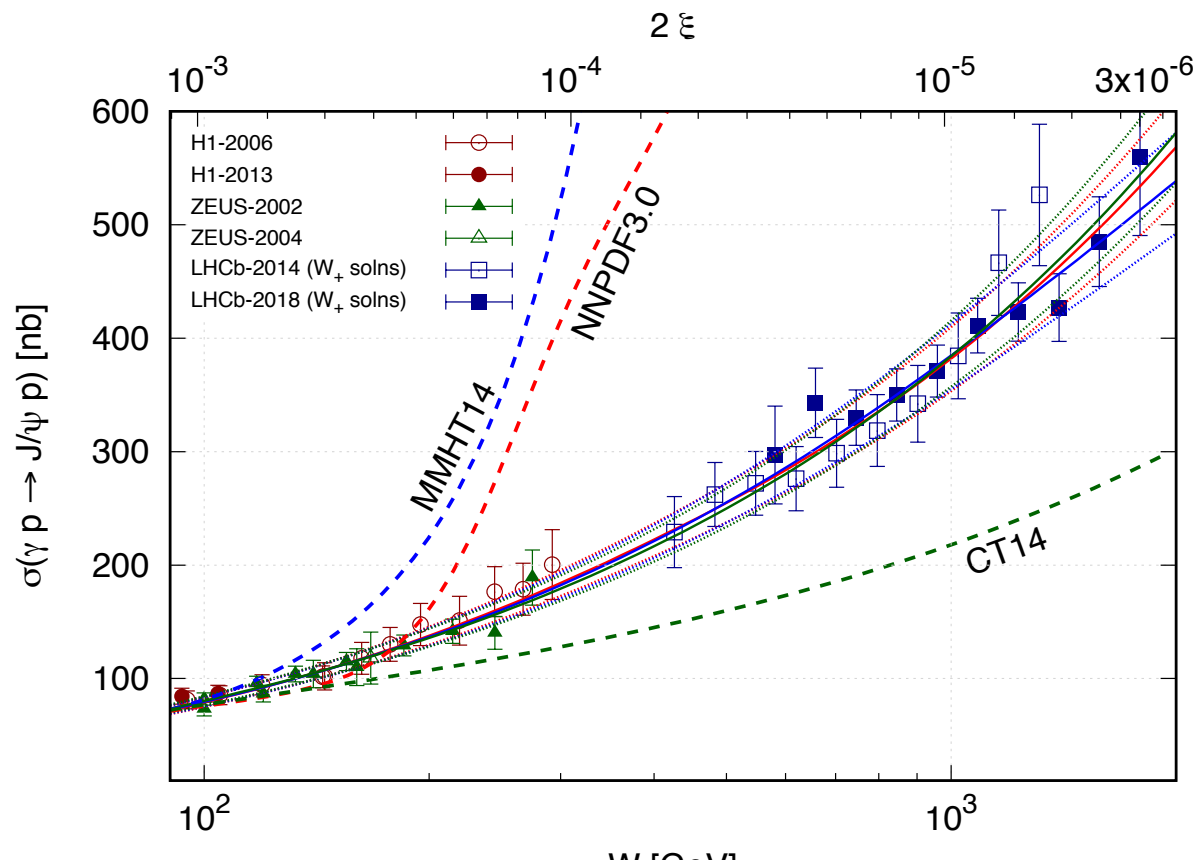
distribution of the J/ψ events in the kinematic plane of Bjorken-x and Q^2



lowest values of Bjorken-x reached in photoproduction

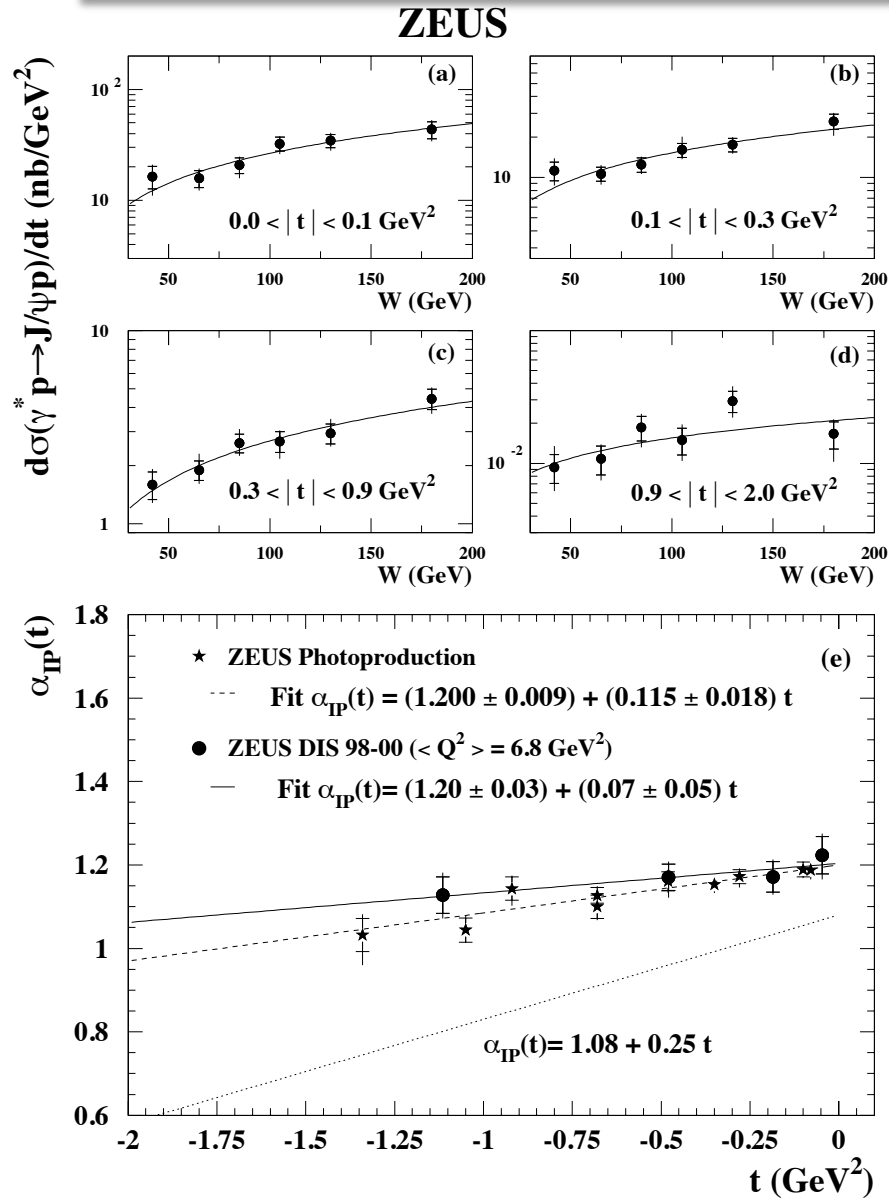
W_{γp} dependence of J/ψ photoproduction

Since 2015 “data J/ψ p can included in the global PDF fits to determine the gluon in the low x regime” (1507.06942 Jones, Martin, Ryskin, Teubner)



- A. Martin et al Phys. Rev. D 102, 114021 (2020)
No hint of saturation observed in exclusive J/ψ data at the scale $\mu^2 = 2.4 \text{ GeV}^2$ and x down to 10^{-5} .

Regge models - Pomeron trajectory

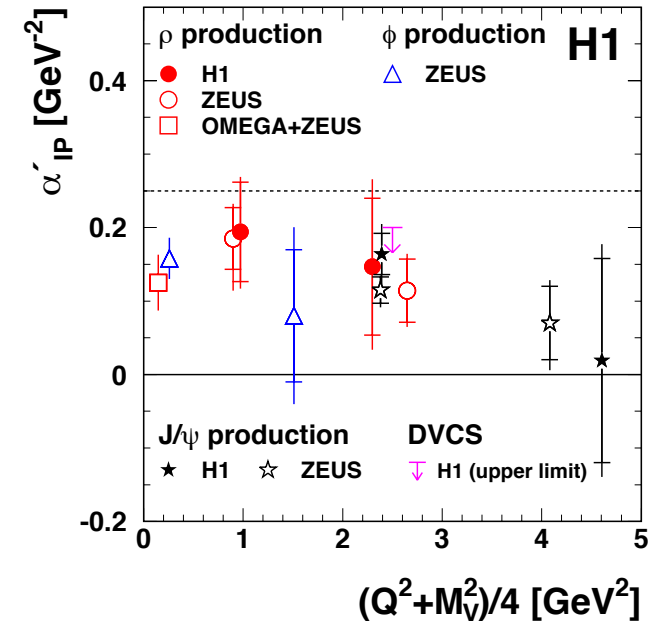
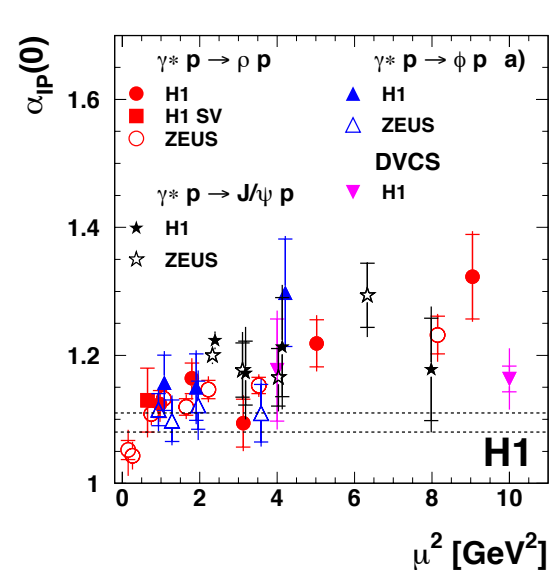


W dependence investigated using parametrisation inspired by Regge theory, interactions mediated by particles

Differential cross sections $d\sigma/dt$ as a function of W for fixed ranges of t as $W^4(\alpha_{IP}(t)-1)$

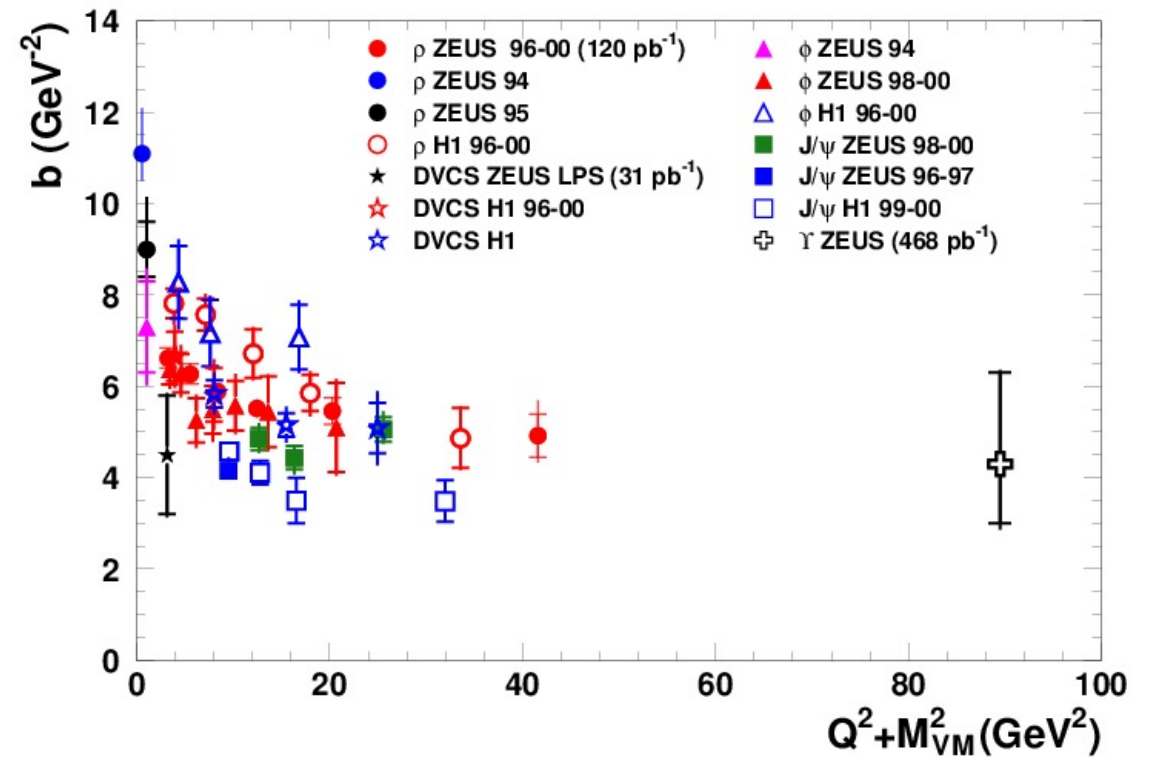
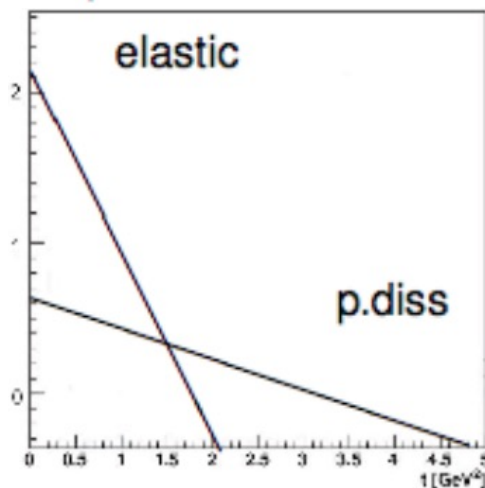
Pomeron trajectory associated to J/ψ is not soft

In BFKL approach, α' is related to the average kt of gluons around the ladder in their random walk, expected to be small

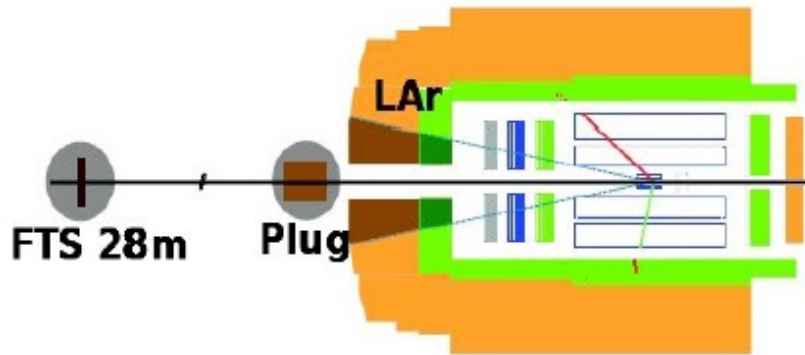


t-dependence of J/ψ photoproduction

- ❖ t-dependence of cross section $d\sigma / dt \simeq e^{bt}$
- In optical model approach, b is related to the quadratic size of interacting objects:
- $b \sim (R_p^2 + R_{VM}^2)/4$
- in p-diss events proton breaks and size is smaller. **As σ is similar for exclusive and p-diss. events, p-diss dominates at high-t**

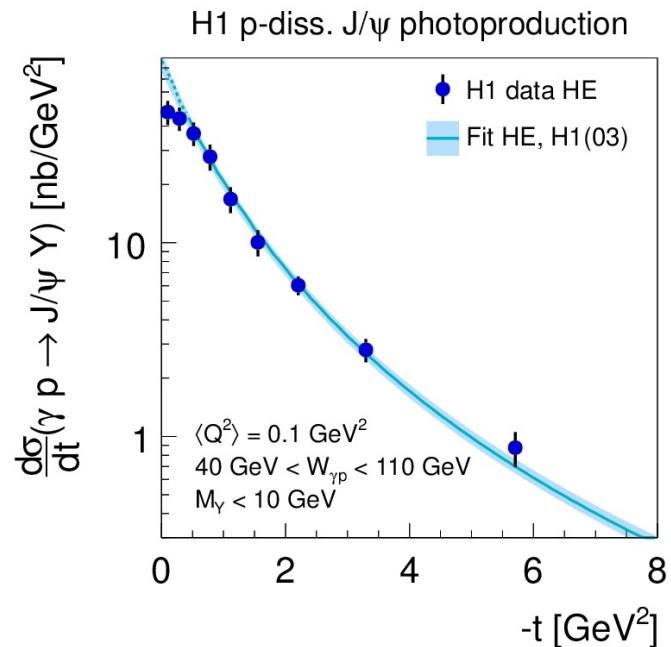
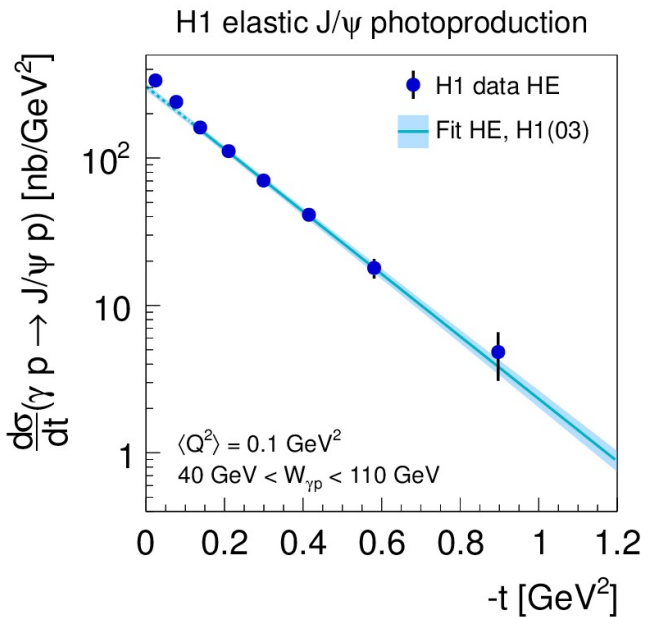


t-dependence of J/ψ photoproduction - low t



H1:

- Use forward detectors (FTS, Plug, LAr) to tag proton dissociative process at low $|t|$.
- Use data from HERA low energy run to extend the range to lower $W_{\gamma p}$. Simultaneous measurement of elastic and proton-dissociative process.



Phenomenological fits:

$$d\sigma/dt = N_{el} e^{-b_{el}|t|}$$

$$d\sigma/dt = N_{pd} (1 + (b_{pd}/n)|t|)^{-n}$$

t-dependence of J/ψ photoproduction – b slope

H1 PHP. pdiss $m_p < M_Y < 10$ GeV

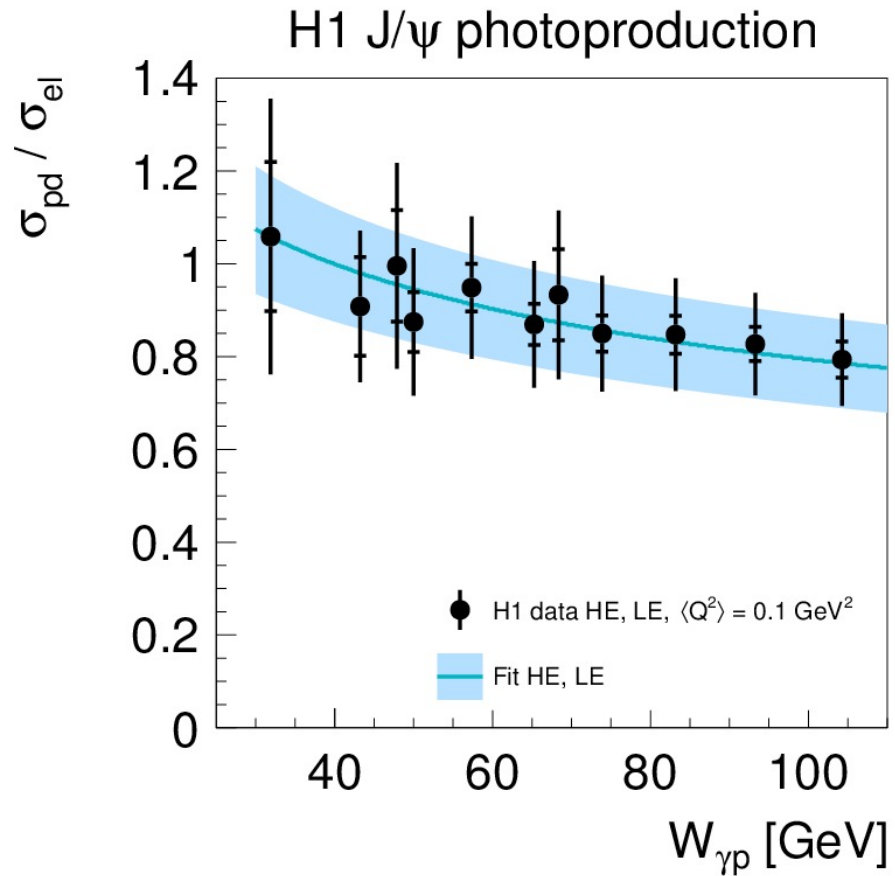
Data period	Process	Parameter	Fit value	Correlation
HE	$\gamma p \rightarrow J/\psi p$	b_{el}	$(4.88 \pm 0.15) \text{ GeV}^{-2}$	$\rho(b_{el}, N_{el}) = 0.50$ $\rho(b_{el}, b_{pd}) = 0.49$ $\rho(b_{el}, n) = -0.21$ $\rho(b_{el}, N_{pd}) = 0.68$
		N_{el}	$(305 \pm 17) \text{ nb/GeV}^2$	$\rho(N_{el}, b_{pd}) = 0.23$ $\rho(N_{el}, n) = -0.07$ $\rho(N_{el}, N_{pd}) = 0.46$
	$\gamma p \rightarrow J/\psi Y$	b_{pd}	$(1.79 \pm 0.12) \text{ GeV}^{-2}$	$\rho(b_{pd}, n) = -0.78$ $\rho(b_{pd}, N_{pd}) = 0.76$
		n	3.58 ± 0.15	$\rho(n, N_{pd}) = -0.46$
		N_{pd}	$(87 \pm 10) \text{ nb/GeV}^2$	
LE	$\gamma p \rightarrow J/\psi p$	b_{el}	$(4.3 \pm 0.2) \text{ GeV}^{-2}$	$\rho(b_{el}, N_{el}) = 0.37$ $\rho(b_{el}, b_{pd}) = 0.10$ $\rho(b_{el}, N_{pd}) = 0.41$
		N_{el}	$(213 \pm 18) \text{ nb/GeV}^2$	$\rho(N_{el}, b_{pd}) = -0.24$ $\rho(N_{el}, N_{pd}) = -0.10$
	$\gamma p \rightarrow J/\psi Y$	b_{pd}	$(1.6 \pm 0.2) \text{ GeV}^{-2}$	$\rho(b_{pd}, N_{pd}) = 0.53$
		n	3.58 (fixed value)	
		N_{pd}	$(62 \pm 12) \text{ nb/GeV}^2$	

- ZEUS Eur. Phys. J. C 24 (2002)
- Finite Mass Sum Rule (from Mueller generalized optical theorem) applied to proton diffraction provide constraints on M_Y spectrum of proton diffractive state, which MC have to respect. It also explain why t-distribution is steeper for resonant part than for the continuum part of proton diffraction
- MC simulation: *In the baryon resonance region, at low M_Y , a resonant component with slope $b = 6.5 \text{ GeV}^{-2}$ was considered. A second component due to non-resonant proton dissociation with slope $b = 0.65 \text{ GeV}^{-2}$ was added. The two components were constrained to satisfy the first moment of the finite-mass sum rule.* Ref: G. Alberi and G. Goggi, Phys. Rev. 74, 1 (1980)

ZEUS; proton-diss, continuum region for for $3.5 < M_Y < 30$ GeV and $p_T^2 < 10 \text{ GeV}^2$

- p-diss $b = 0.65 \pm 0.10 \text{ GeV}^{-2}$
- $\sigma \simeq 1/M_Y^{\text{beta}}$ with beta = 2.6 +- 0.3
- elastic $b = 4.15 +0.05$ (stat) $+0.30 -0.18$ (syst) GeV^{-2}

W-dependence of J/psi photoproduction – p. diss.



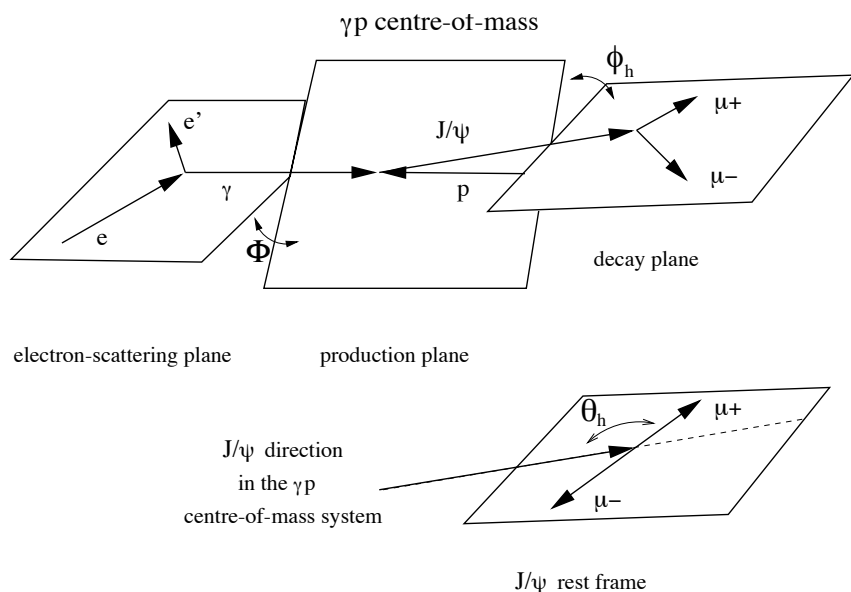
Process	Parameter	Fit value	Correlation
$\gamma p \rightarrow J/\psi p$	δ_{el}	0.67 ± 0.03	$\rho(\delta_{el}, N_{el}) = -0.08$ $\rho(\delta_{el}, \delta_{pd}) = 0.01$ $\rho(\delta_{el}, N_{pd}) = 0.09$
	N_{el}	$81 \pm 3 \text{ nb}$	$\rho(N_{el}, \delta_{pd}) = -0.27$ $\rho(N_{el}, N_{pd}) = -0.18$
$\gamma p \rightarrow J/\psi Y$	δ_{pd}	0.42 ± 0.05	$\rho(\delta_{pd}, N_{pd}) = 0.09$
	N_{pd}	$66 \pm 7 \text{ nb}$	

Ratio of elastic and proton dissociative cross is approximately equal to 1

A slight dependence of this ratio as a function of $W_{\gamma p}$ is observed

Decay angular distribution, helicity frame

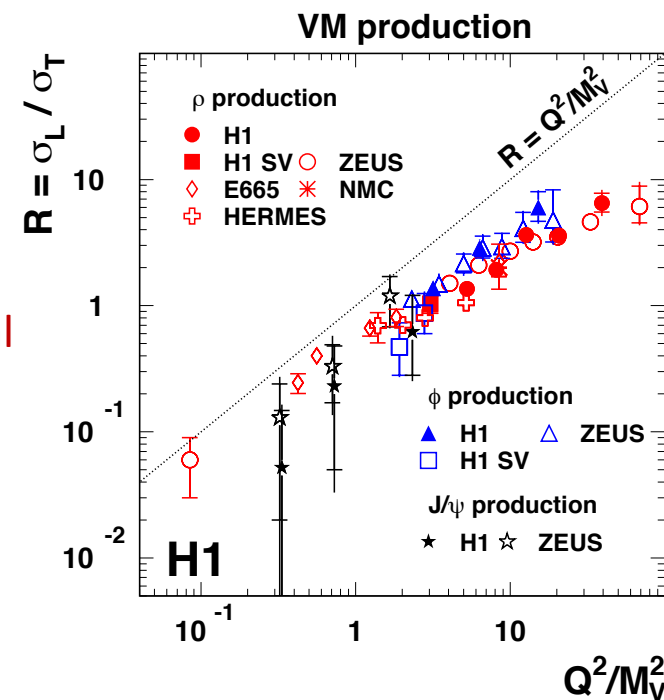
Measurement of VM production and decay angles give access to spin density matrix elements
 Angular distr. \rightarrow spin density matrix elements r_{ji}^{kl} , \rightarrow helicity amplitudes $T\lambda_{VM} \lambda_\gamma$



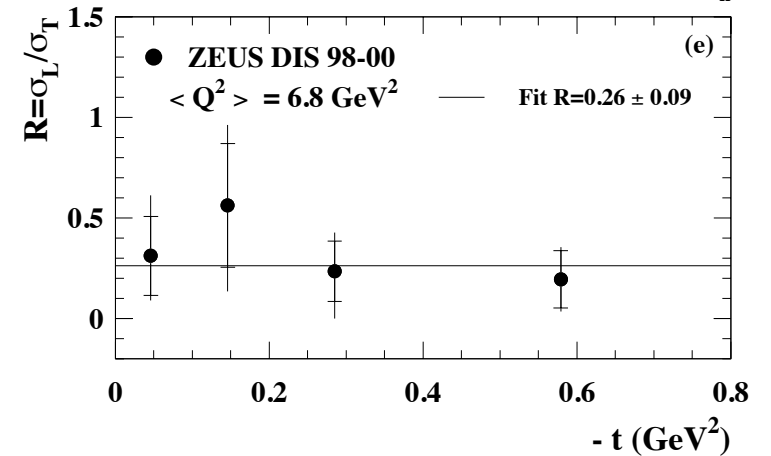
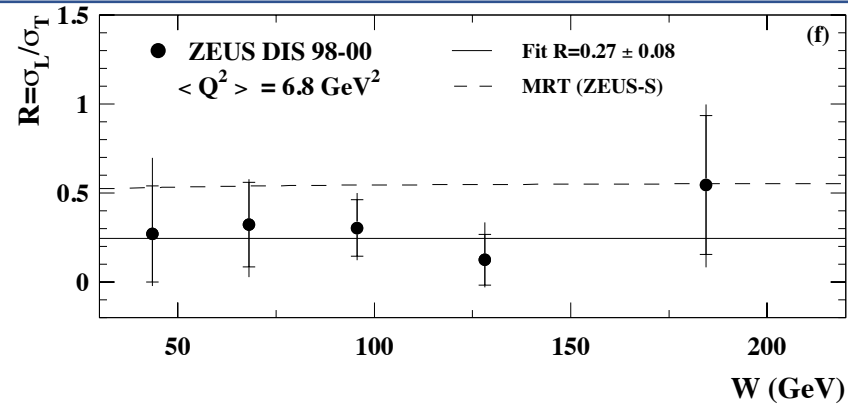
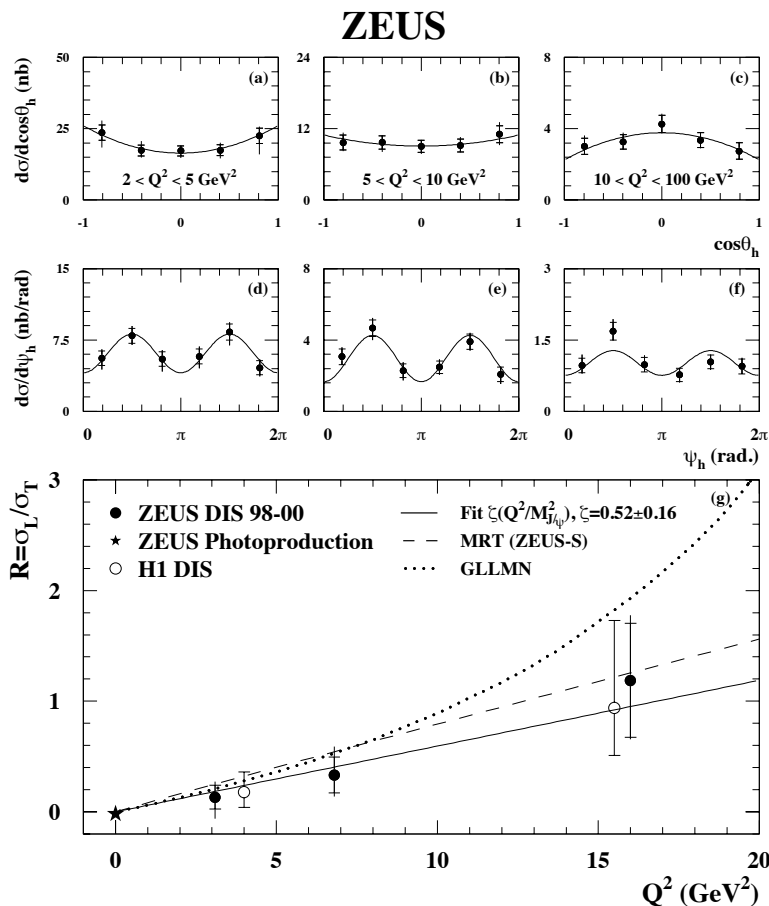
θ_h, ϕ_h angles of decay muons in the meson rest frame
 Φ angle between scattering and production plane

S-channel helicity conservation: the outgoing VM retains the γ helicity

In the accessible Q^2 range, J/ψ production is almost transverse, while for light VM the longitudinal amplitude dominates



Ratio $R = \sigma_L/\sigma_T$ as a function of Q^2 , W and t



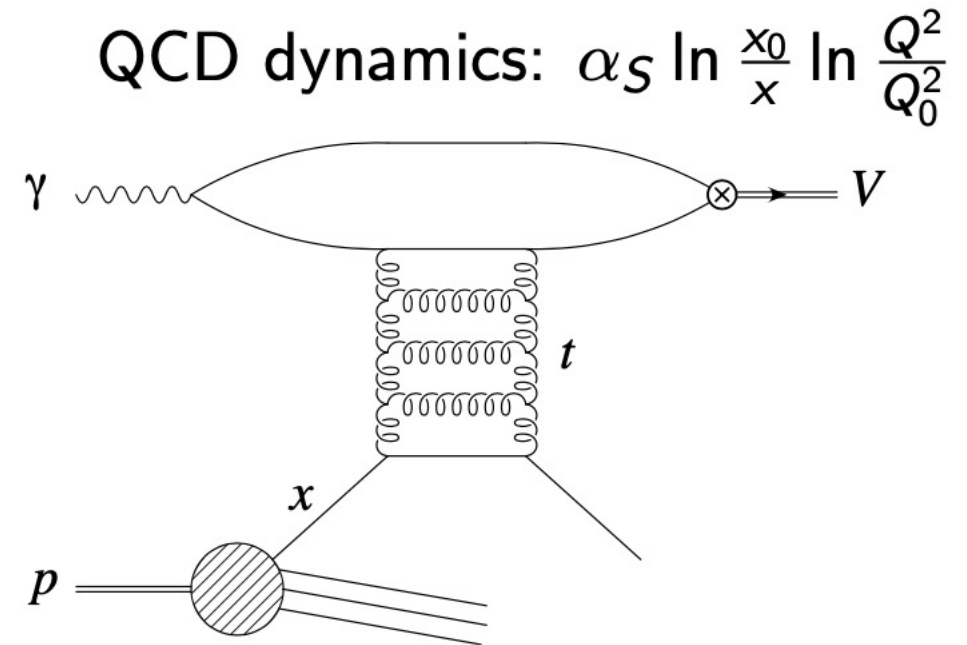
In the accessible Q^2 range, J/ψ production is almost transverse
 No dependence from W or t

pQCD: during the interaction, the orbital angular momentum of $q\bar{q}$ can be modified due to the transfer of momentum of the gluons; \Rightarrow the helicity of the outgoing VM differs from the one of the γ , helicity flip between photon and meson is possible

Photoproduction J/ψ at high t – test BFKL dynamics

- large values of $|t|$ provide a hard scale
- for $|t| > 1 \text{ GeV}^2$, process dominated by p-diss production

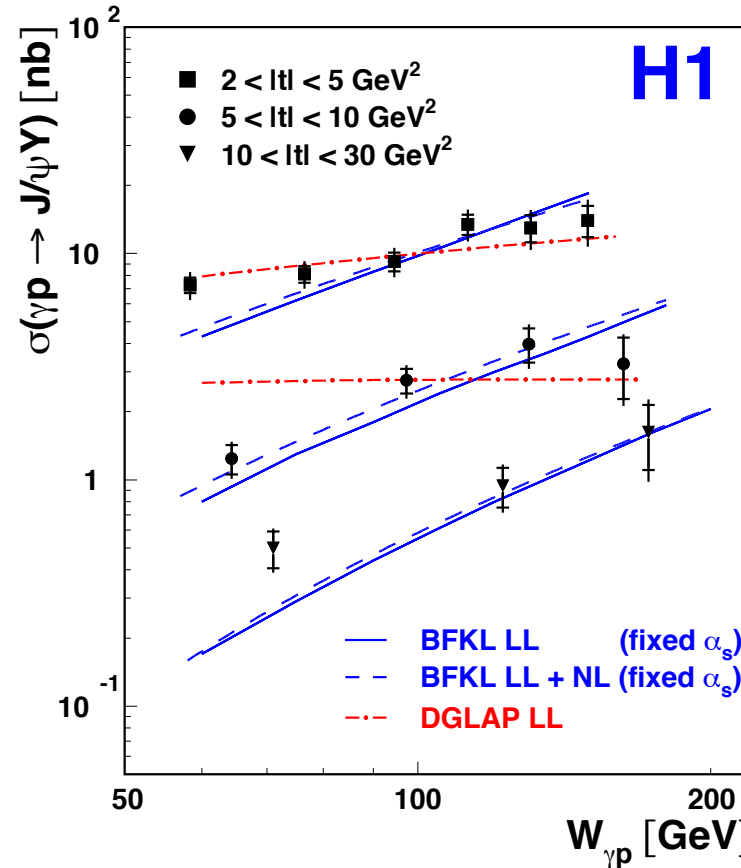
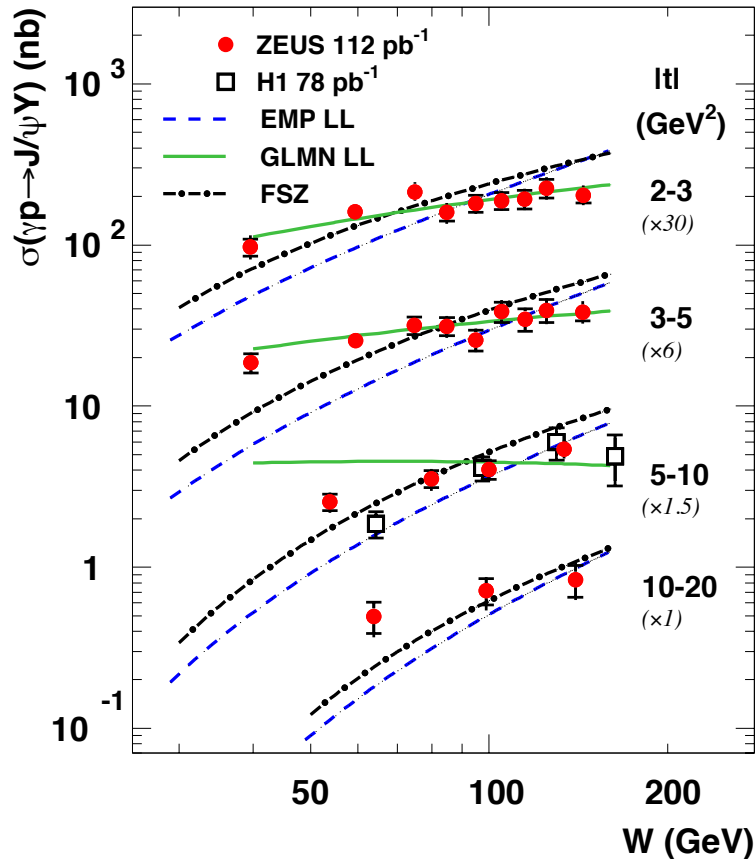
Hard scale at both ends of the exchanged gluon ladder, no strong kt ordering is expected (expected in DGLAP), as in typical BFKL evolution



HERA I data, 1996-2000, 112 pb^{-1} of luminosity
 $30 < W < 160 \text{ GeV}$, $2 < |t| < 20 \text{ GeV}^2$
 $z > 0.95$, inelasticity cut

J/ $\psi(1S)$ at large $|t|$, W dependence

ZEUS

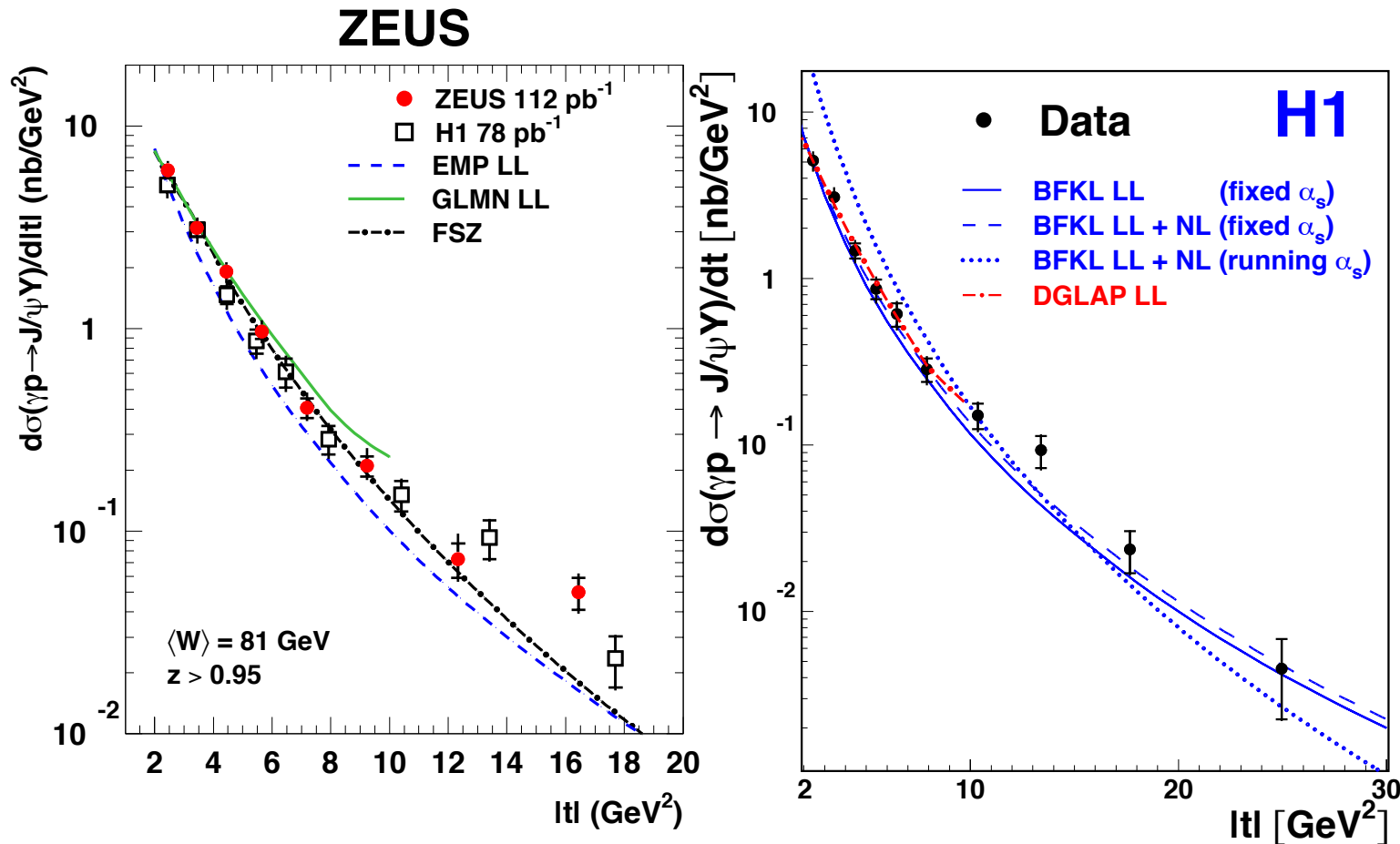


H1 and ZEUS results in good agreement in the common kinematic region

Data rise with W for all t region

BFKL (EMP) predictions too steep
 DGLAP (GLMN) approach fails to describe σ rise at low x
 FKS: increase of σ due to gluon distribution in the proton

J/ψ(1S) at large |t|; t-dependence



Data cannot be described by a single exponential fit $d\sigma/dt \approx e^{bt}$ neither by a single power $d\sigma/dt \approx |t|^n$

Models predict n dependent on t

Models able to describe data but not for the full t (x) range

EMP (BFKL) below data

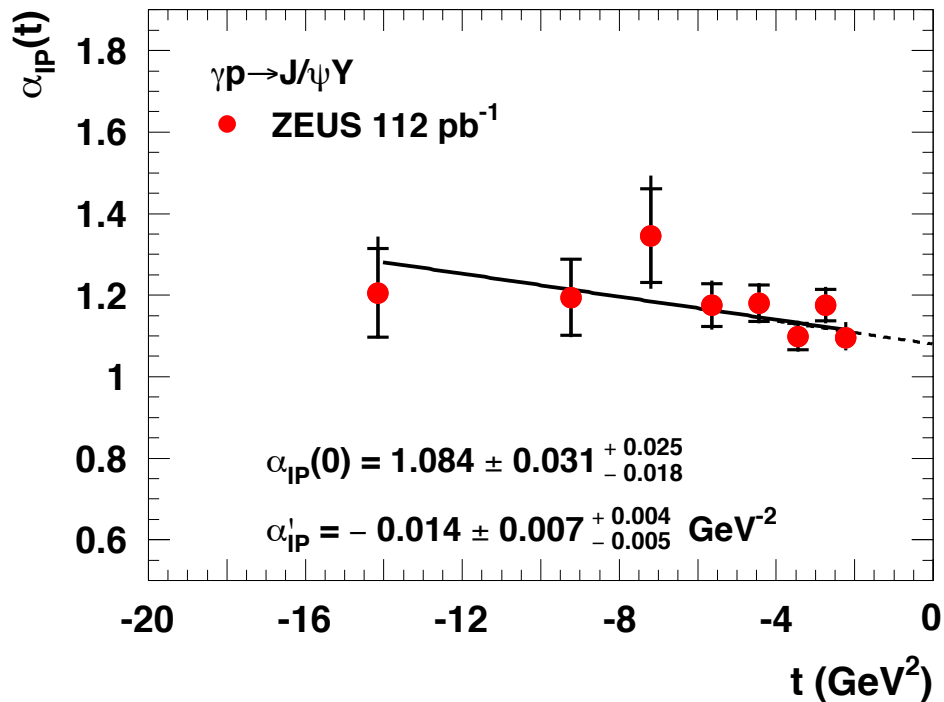
GLMN (DGLAP) validity range of $|t| < M_{J/\psi}$

FSZ describe data up to 12 GeV²

J/ψ(1S) at large |t|, W dependence as a function of t

Effective Pomeron trajectory $d\sigma/dt = F(t) \cdot W^{4(\alpha_P(t)-1)}$

ZEUS



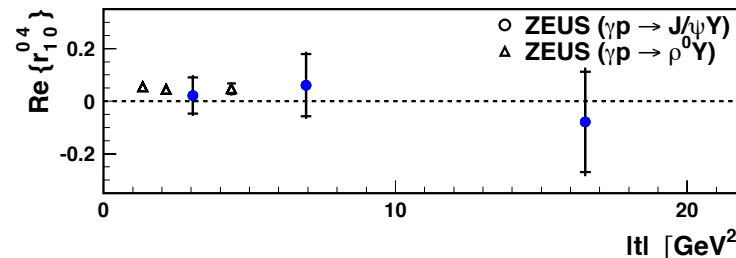
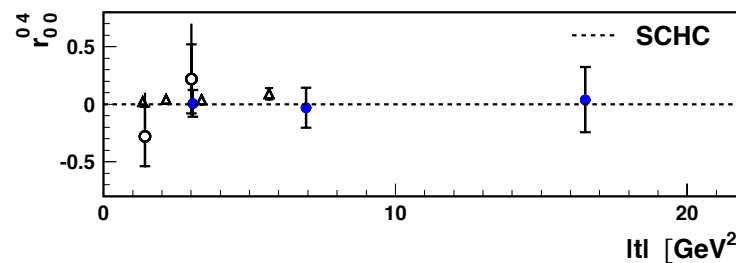
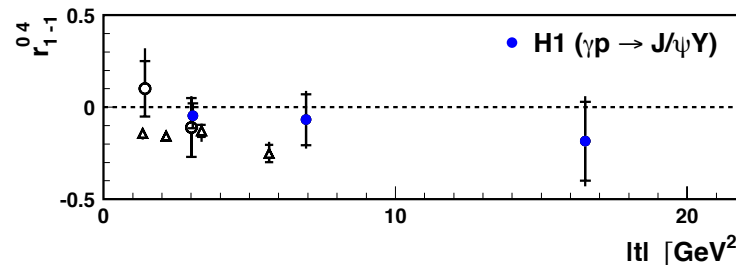
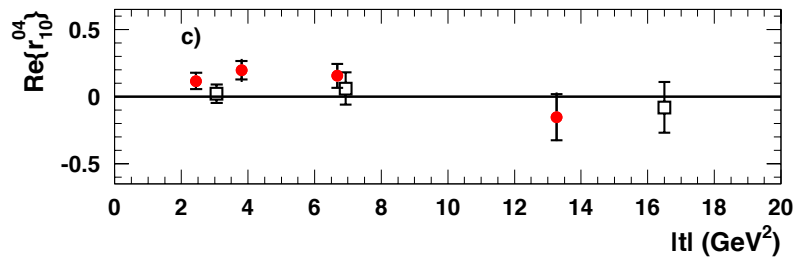
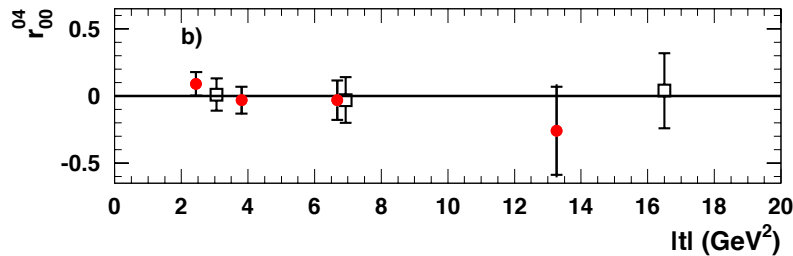
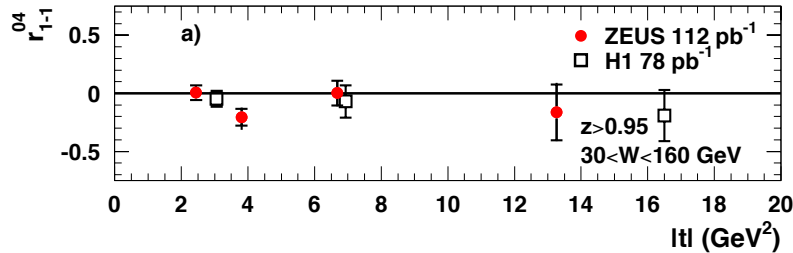
Pomeron intercept consistent with soft $\alpha_{PI}(0) = 1.0808$ Pomeron
slope consistent with BFKL Pomeron

H1 $\alpha_{PI}(0) = 1.167 \pm 0.048(\text{stat}) \pm 0.024(\text{syst})$

H1 $\alpha = -0.0135 \pm 0.0074(\text{stat}) \pm 0.0051(\text{syst})$

Helicity spin density matrix elements as a function of $|t|$

ZEUS



θ_h, ϕ_h angles

angles of decay muons in the meson rest frame estimated in different t bins

Spin density matrix elements are extracted from fit to the angular distributions

r_{1-1}^{04} is related to interference between non-flip and double flip amplitude

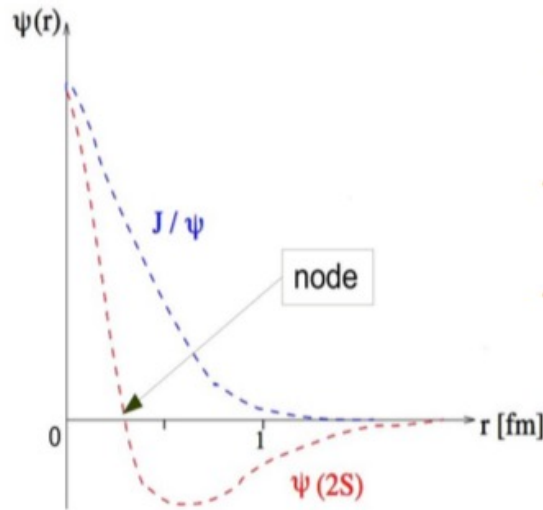
r_{00}^{04} represents the probability that $J\psi$ has 0 helicity

$\text{Re}(r_{00}^{04})$ is proportional to the single flip amplitude 10

These spin density elements expected to be 0 in SCHC

$\psi(2S)$ in photoproduction and DIS

$\psi(2S)$ and $J/\psi(1S)$ have same quark content but different wave function



$$\langle r^2 \psi(2S) \rangle \sim \langle 2 r^2 J/\psi(1S) \rangle$$

$\psi(2S)$ has a radial node at ~ 0.4 fm

models predicts $\sigma_{\gamma p \rightarrow \psi(2S)p}$ suppressed w.r.t. $\sigma_{\gamma p \rightarrow J/\psi(1S)p}$

$$\text{Ratio } R = \sigma_{\gamma p \rightarrow \psi(2S)p} / \sigma_{\gamma p \rightarrow J/\psi(1S)p}$$

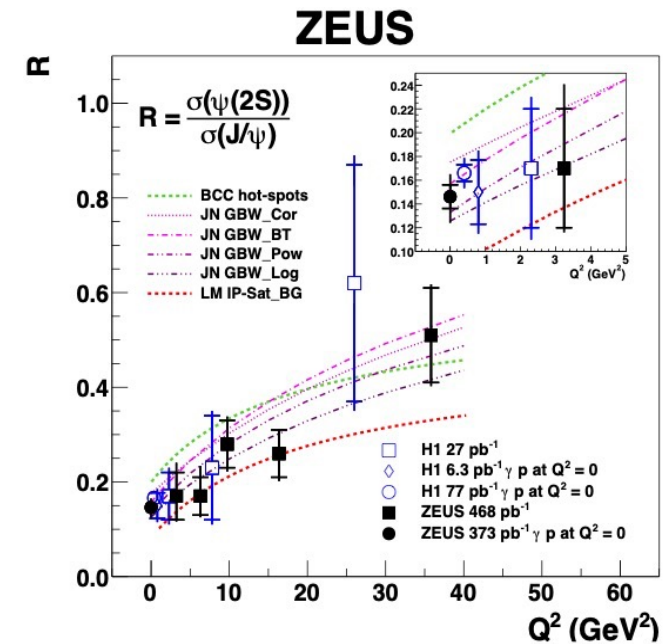
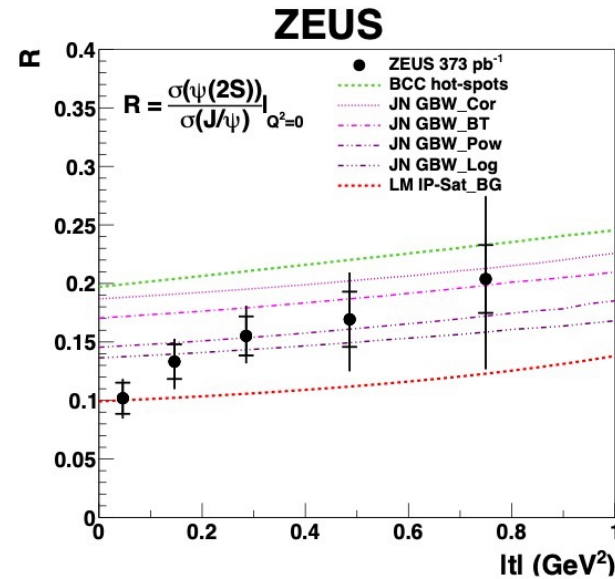
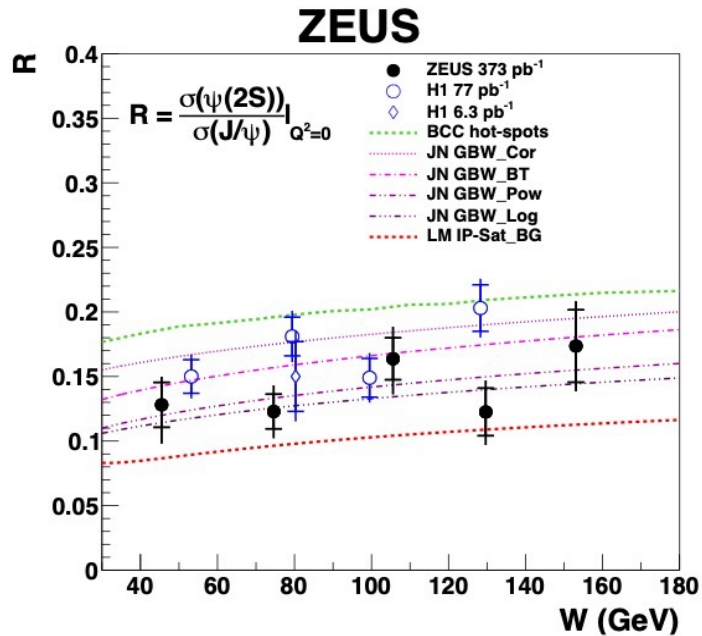
- sensitive to radial wave function of charmonium
- provides insight into the dynamics of the hard process

HIKT, Hufner et al.: dipole model, dipole-proton constrained by inclusive DIS data

AR, Armesto and Rezaeian: impact parameter dependent CGC and IP-Sat model
KMW, Kowalski Motyka Watt: QCD description and universality of quarkonia production
FFJS, Fazio et al.: two component Pomeron model

KNNPZZ, Nemchik et al.: color-dipole cross section derived from BFKL generalised eq.
LM, Lappi and Mäntysaari: dipole picture in IP-Sat model

$$R = \sigma_{\gamma p \rightarrow \psi(2S)p} / \sigma_{\gamma p \rightarrow J/\psi(1S)} \text{ vs } Q^2, t, W$$



$$R = \sigma_{\gamma p \rightarrow \psi(2S)p} / \sigma_{\gamma p \rightarrow J/\psi(1S)p}$$

ZEUS at $Q^2 = 0 \text{ GeV}^2$ $R = 0.146 \pm 0.010(\text{stat.}) \pm 0.020(\text{syst.})$

- no or moderate dependence in t
- no or moderated dependence on W
- Increase in Q^2

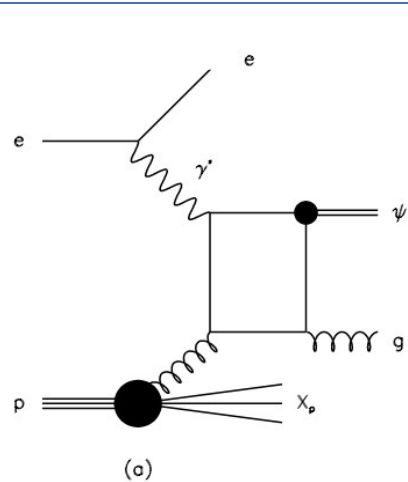
pQCD model calculations predict $R \sim 0.17$ in PHP, rising with Q^2 reaching plateau at $Q^2 \gg M^2 \psi$

Quarkonia in inclusive reaction

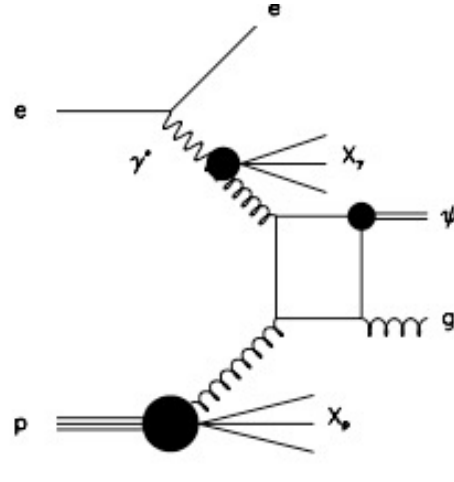
H1 and ZEUS paper on inelastic J/ψ , $\psi(2S)$ production

<p>Photoproduction</p>	<p>ZEUS, inelastic J/ψ and $\psi(2S)$ in PHP JHEP 02 (2013) 071 H1, inelastic J/ψ and $\psi(2S)$ in PHP, <i>Eur.Phys.J.C</i> 68 (2010) 401 ZEUS, inelastic J/ψ and $\psi(2S)$ in PHP <i>Eur.Phys.J.C</i> 27 (2003) H1, inelastic J/ψ in PHP, <i>Eur.Phys.J.C</i> 25 (2002) 25 ZEUS, inelastic J/ψ in PHP, <i>Z.Phys.C</i> 76 (1997) 599 H1, elastic and inelastic J/ψ in PHP, <i>Nucl.Phys.B</i> 472 (1996) 3</p>
<p>DIS</p>	<p>ZEUS, inelastic J/ψ in DIS Eur.Phys.J.C 44 (2005) H1, inelastic leptonproduction of J/ψ, <i>Eur.Phys.J.C</i> 25 (2002) 41 H1, charmonium production in DIS, <i>Eur.Phys.J.C</i> 10 (1999) 373</p>

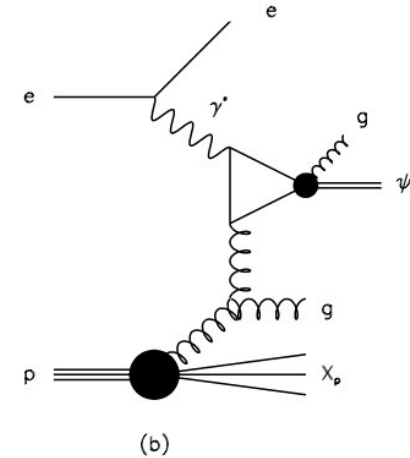
Inelastic J/ψ , $\psi(2S)$ production



Direct photon, color singlet model, medium z



Resolved photon, color singlet model, low z



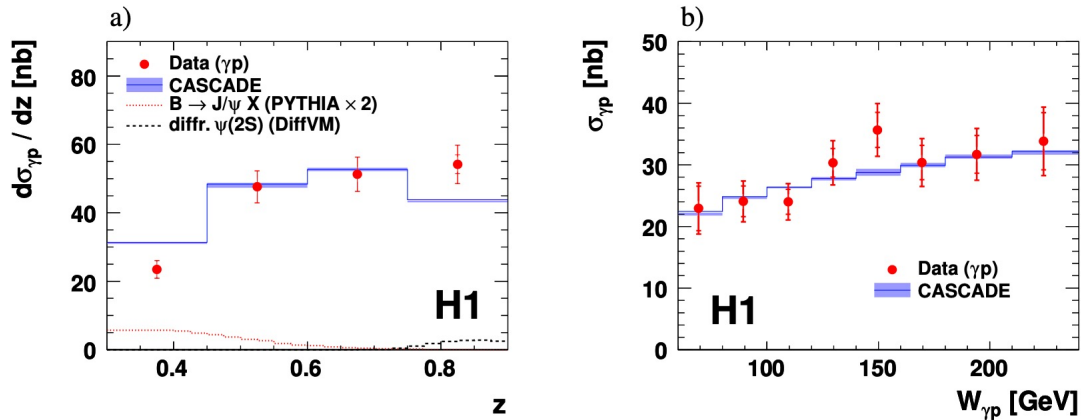
Direct photon, color octet model

$$z = E_{J/\psi} / E_{\gamma} \text{ (p rest frame)}$$

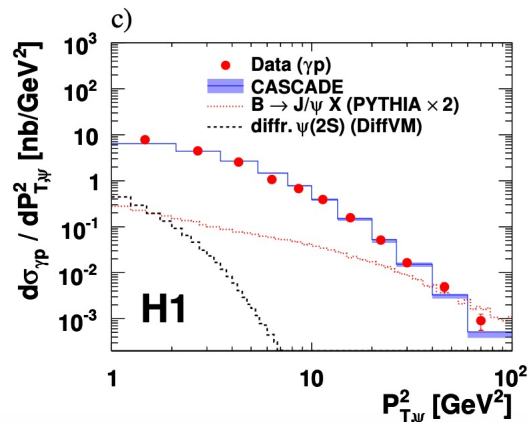
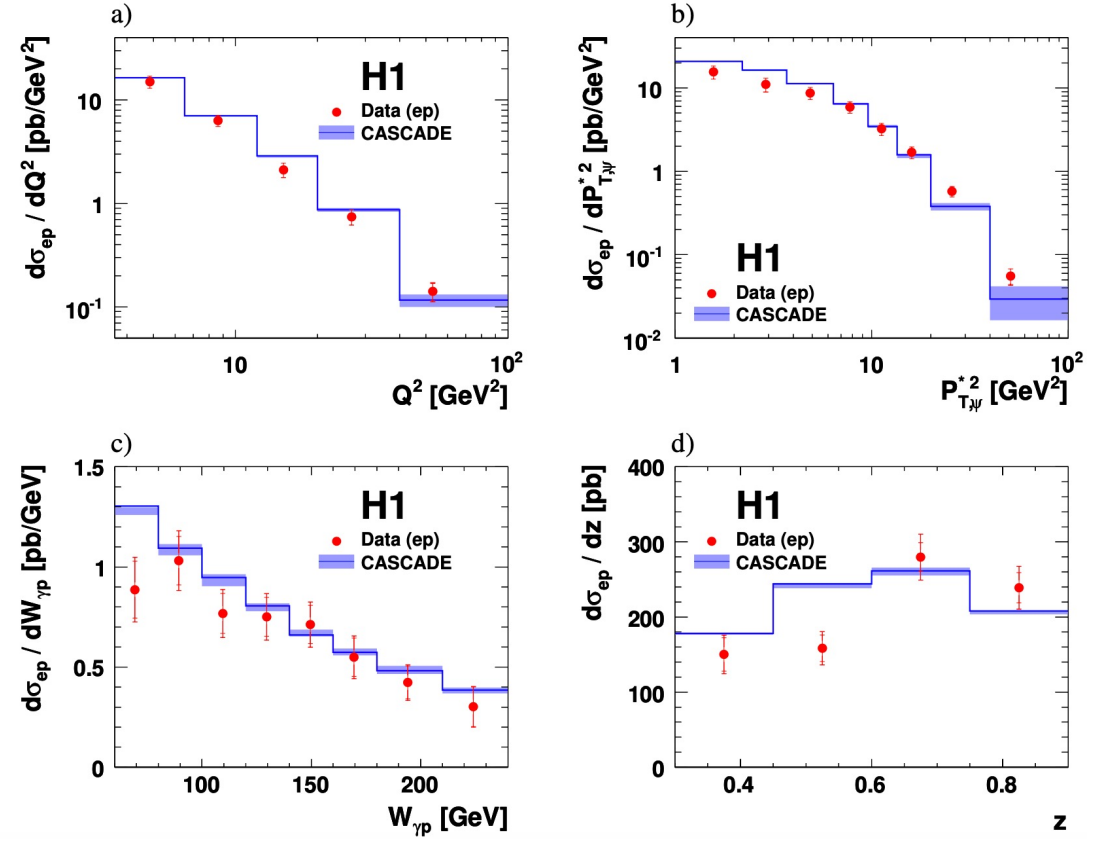
studied at HERA as a function of several scales: Q^2 , z , over a wide range of $W_{\gamma p}$ (30-270 GeV).

H1 and ZEUS paper on inelastic J/ψ , $\psi(2S)$ production

Inelastic J/ψ Photoproduction



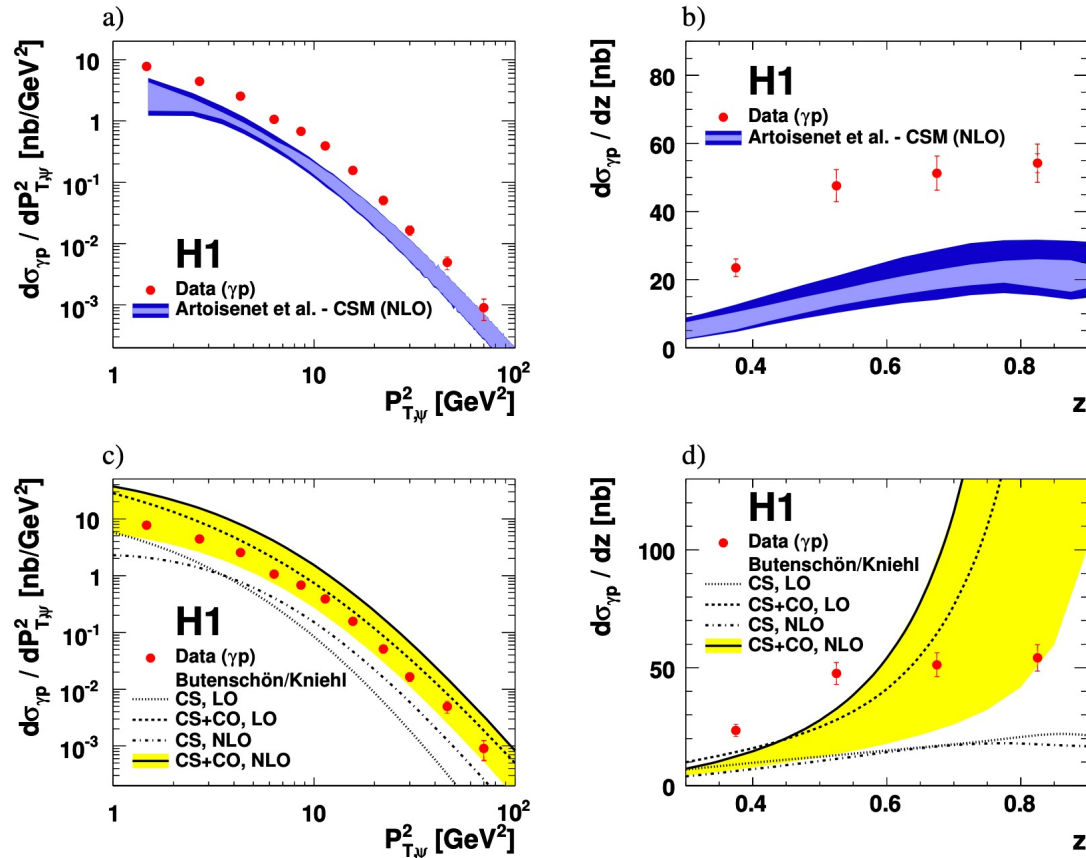
Inelastic J/ψ Electroproduction



Predictions based on k_T factorisation in the colour singlet model able to describe cross sections

H1 and ZEUS paper on inelastic J/ψ , $\psi(2S)$ production

Inelastic J/ψ Photoproduction



- Differential cross sections compared to a number of theory predictions
- Calculations based on collinear factorisation in the colour singlet model at NLO describe the shape but are lower in normalisation
- Calculations beyond NLO are necessary, contributions from colour octet states may be significant

Summary

- Quarkonium is a clean experimental process, but precise measurements require a strategy for trigger and dedicated detectors to tag proton-dissociation
- At HERA, quarkonium production was a field of continuous dialogue between data and theory, extremely interesting for pQCD understanding
- This dialogue will continue at EIC