

# Finite temperature QCD from lattice simulations with overlap fermions

**A. Yu. Kotov**

in collaboration with **T. Kovacs, K. Szabo, Z. Fodor**



**New developments in studies of the QCD phase diagram, Trento, 2024**

# Chiral fermions on the lattice

# Chiral fermions on the lattice

- Extremely wanted for studies of chiral effects

# Chiral fermions on the lattice

- Extremely wanted for studies of chiral effects

## Nielsen-Ninomiya «no-go» theorem:

- Lattice chiral fermions  $\implies$  fermion doubling:  
equal number of left- and right- handed particles

[Nielsen and Ninomiya, 1981]

# Chiral fermions on the lattice

Way to avoid Nielsen-Ninomiya «no-go» theorem

# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D \gamma_5 = 0$

# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D \gamma_5 = 0$
- Ginsparg-Wilson relation:  $\gamma_5 D + D \gamma_5 = 2a D \gamma_5 D$  [Ginsparg and Wilson, 1982]

# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D \gamma_5 = 0$
- Ginsparg-Wilson relation:  $\gamma_5 D + D \gamma_5 = 2a D \gamma_5 D$  [Ginsparg and Wilson, 1982]
- **Overlap fermions:**  $a D_{\text{ov}} = \frac{1}{2} \left( 1 + \gamma_5 \text{sign}(\gamma_5 D_w(-m_w)) \right)$  [Neuberger, 1998]



# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D \gamma_5 = 0$
- Ginsparg-Wilson relation:  $\gamma_5 D + D \gamma_5 = 2a D \gamma_5 D$  [Ginsparg and Wilson, 1982]
- **Overlap fermions:**  $a D_{\text{ov}} = \frac{1}{2} \left( 1 + \gamma_5 \text{sign}(\gamma_5 D_w(-m_w)) \right)$  [Neuberger, 1998]
- **Very expensive numerically:** require multiple tricks

# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D \gamma_5 = 0$
- Ginsparg-Wilson relation:  $\gamma_5 D + D \gamma_5 = 2a D \gamma_5 D$  [Ginsparg and Wilson, 1982]
- **Overlap fermions:**  $a D_{\text{ov}} = \frac{1}{2} \left( 1 + \gamma_5 \text{sign}(\gamma_5 D_w(-m_w)) \right)$  [Neuberger, 1998]
- **Very expensive numerically:** require multiple tricks
- My talk: some selected results on QCD @ finite  $T$  (around chiral crossover  $T_c$ )  
 $N_f = 2 + 1$  dynamical overlap fermions  $m_\pi = m_\pi^{\text{phys}} = 135 \text{ MeV}$

# Chiral fermions on the lattice

## Way to avoid Nielsen-Ninomiya «no-go» theorem

- Continuous chiral symmetry:  $\gamma_5 D + D\gamma_5 = 0$
- Ginsparg-Wilson relation:  $\gamma_5 D + D\gamma_5 = 2aD\gamma_5 D$  [Ginsparg and Wilson, 1982]
- **Overlap fermions:**  $aD_{\text{ov}} = \frac{1}{2} \left( 1 + \gamma_5 \text{sign}(\gamma_5 D_w(-m_w)) \right)$   
[Neuberger, 1998]
- **Very expensive numerically:** require multiple tricks
- My talk: some selected results on QCD @ finite  $T$  (around chiral crossover  $T_c$ )  
 $N_f = 2 + 1$  dynamical overlap fermions  $m_\pi = m_\pi^{\text{phys}} = 135 \text{ MeV}$

Also [Y. Aoki, Wed, 10.50]

# Some details

# Some details

- $N_f = 2 + 1$  overlap quarks, **physical** masses:  $m_\pi = m_\pi^{\text{phys}}$

# Some details

- $N_f = 2 + 1$  overlap quarks, **physical** masses:  $m_\pi = m_\pi^{\text{phys}}$
  - Two Wilson fermions,  $m_W a = -1.3$  (kernel of  $D_{\text{OV}}$ )
  - Two boson fields with mass  $m_B a = 0.54$
- $a \rightarrow 0$  : irrelevant
  - Keep  **$Q = \text{const}$**  ( $Q = 0$ )
  - Make calculations faster

[Fukaya et al., 2006]

# Some details

- $N_f = 2 + 1$  overlap quarks, **physical** masses:  $m_\pi = m_\pi^{\text{phys}}$
  - Two Wilson fermions,  $m_W a = -1.3$  (kernel of  $D_{\text{OV}}$ )
  - Two boson fields with mass  $m_B a = 0.54$
  - $N_t = 8, 10, 12$ :  $Q = 0$
- $a \rightarrow 0$  : irrelevant
  - Keep  **$Q = \text{const}$**  ( $Q = 0$ )
  - Make calculations faster
- [Fukaya et al., 2006]

# Some details

- $N_f = 2 + 1$  overlap quarks, **physical** masses:  $m_\pi = m_\pi^{\text{phys}}$
  - Two Wilson fermions,  $m_W a = -1.3$  (kernel of  $D_{\text{OV}}$ )
  - Two boson fields with mass  $m_B a = 0.54$
  - $N_t = 8, 10, 12$ :  $Q = 0$
  - $N_t = 8$ :  $\sum_Q$
- $a \rightarrow 0$  : irrelevant
  - Keep  **$Q = \text{const}$**  ( $Q = 0$ )
  - Make calculations faster

[Fukaya et al., 2006]



# Some details

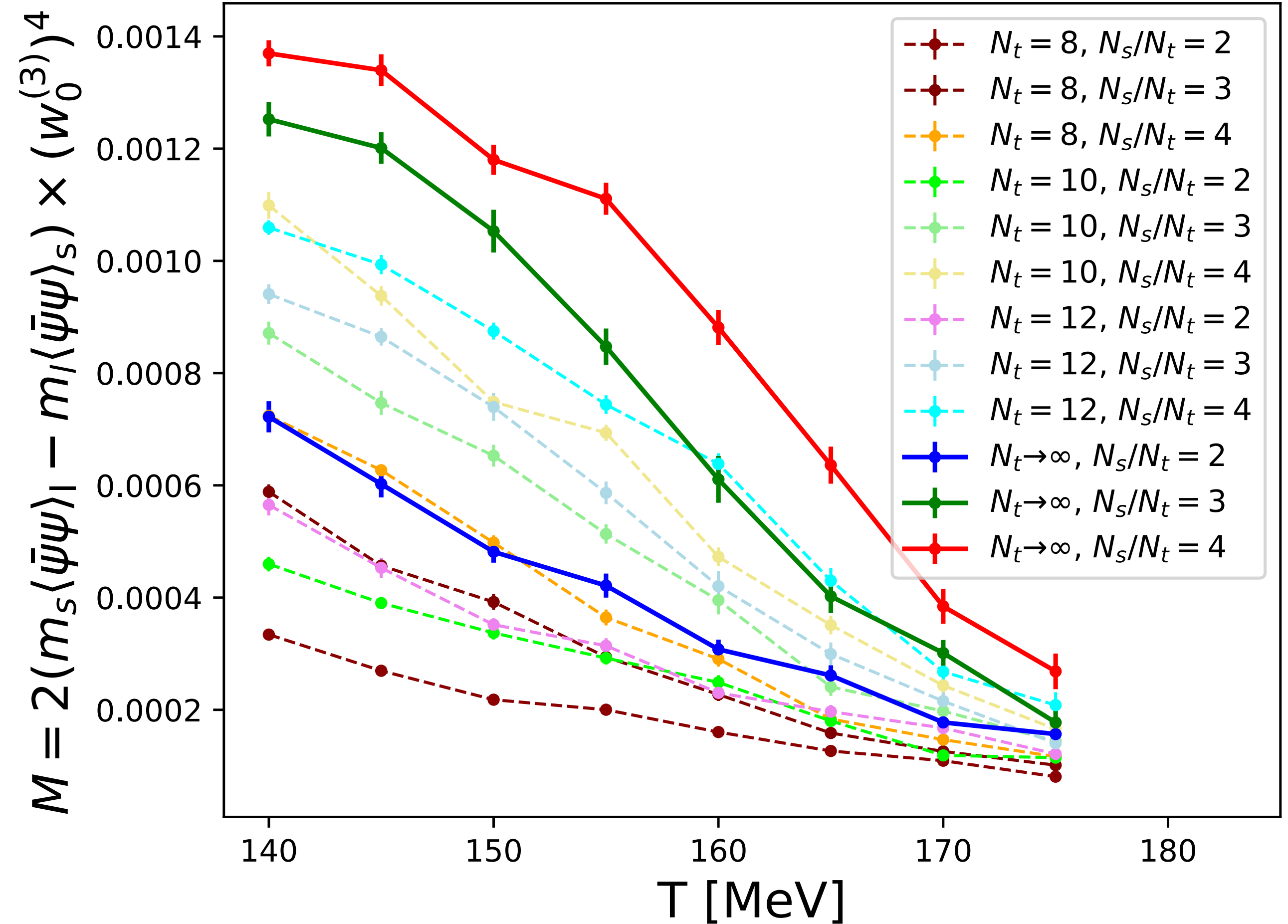
- $N_f = 2 + 1$  overlap quarks, **physical** masses:  $m_\pi = m_\pi^{\text{phys}}$
  - Two Wilson fermions,  $m_W a = -1.3$  (kernel of  $D_{\text{OV}}$ )
  - Two boson fields with mass  $m_B a = 0.54$
  - $N_t = 8, 10, 12$ :  $Q = 0$
  - $N_t = 8$ :  $\sum_Q$
  - Everything is preliminary!
- $a \rightarrow 0$  : irrelevant
  - Keep  **$Q = \text{const}$**  ( $Q = 0$ )
  - Make calculations faster

[Fukaya et al., 2006]

# Chiral condensate

$Q = 0$  sector

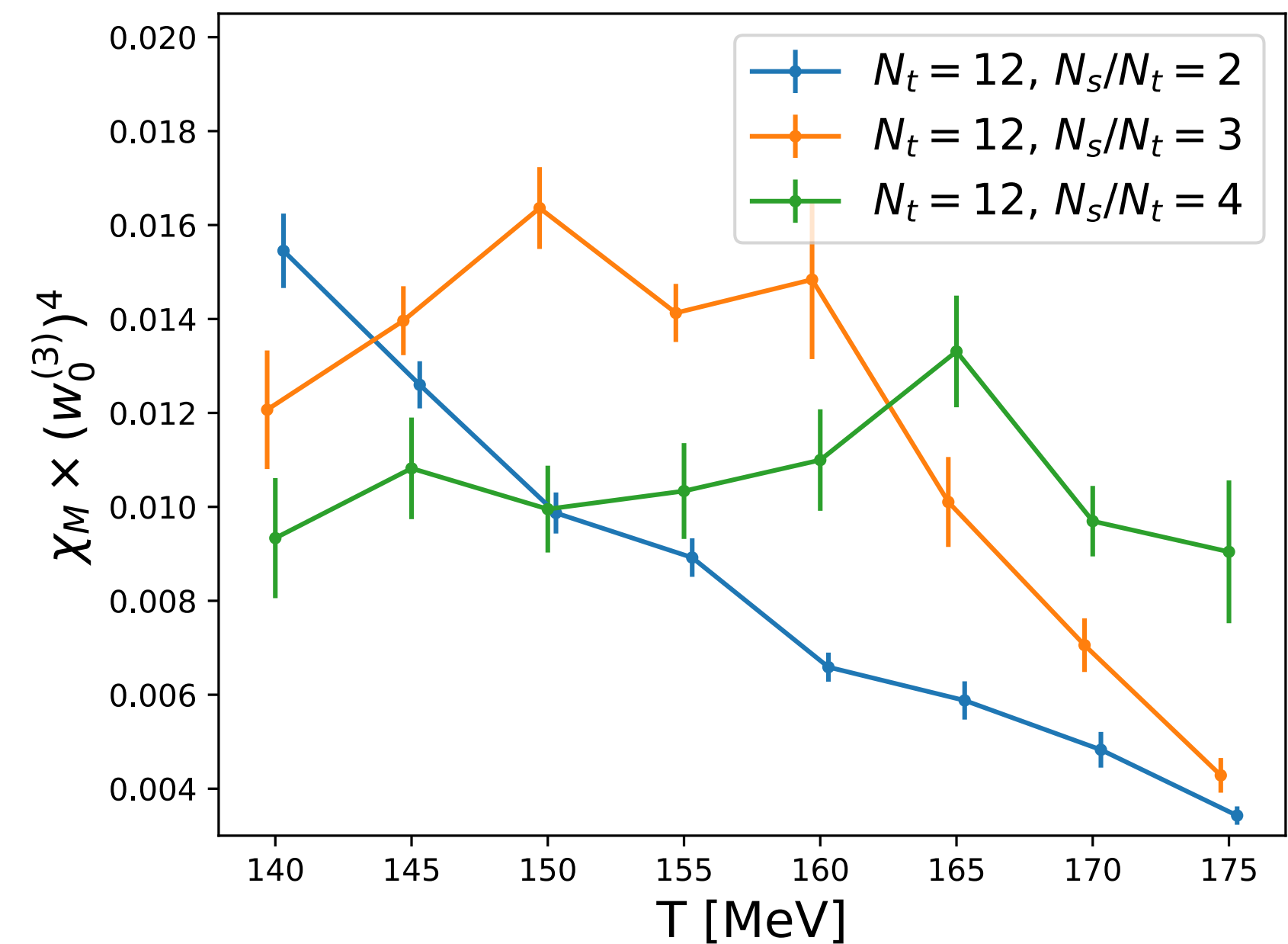
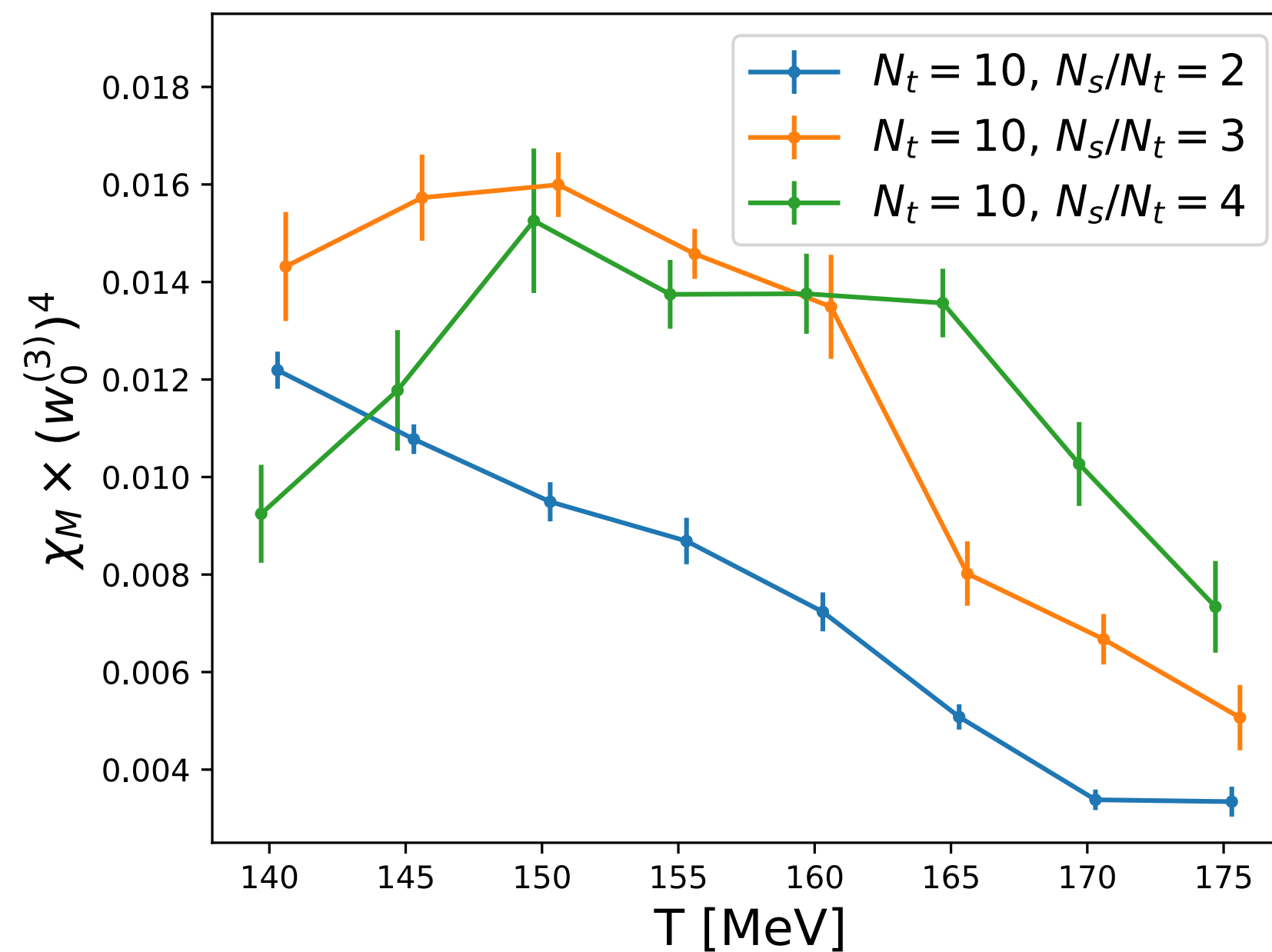
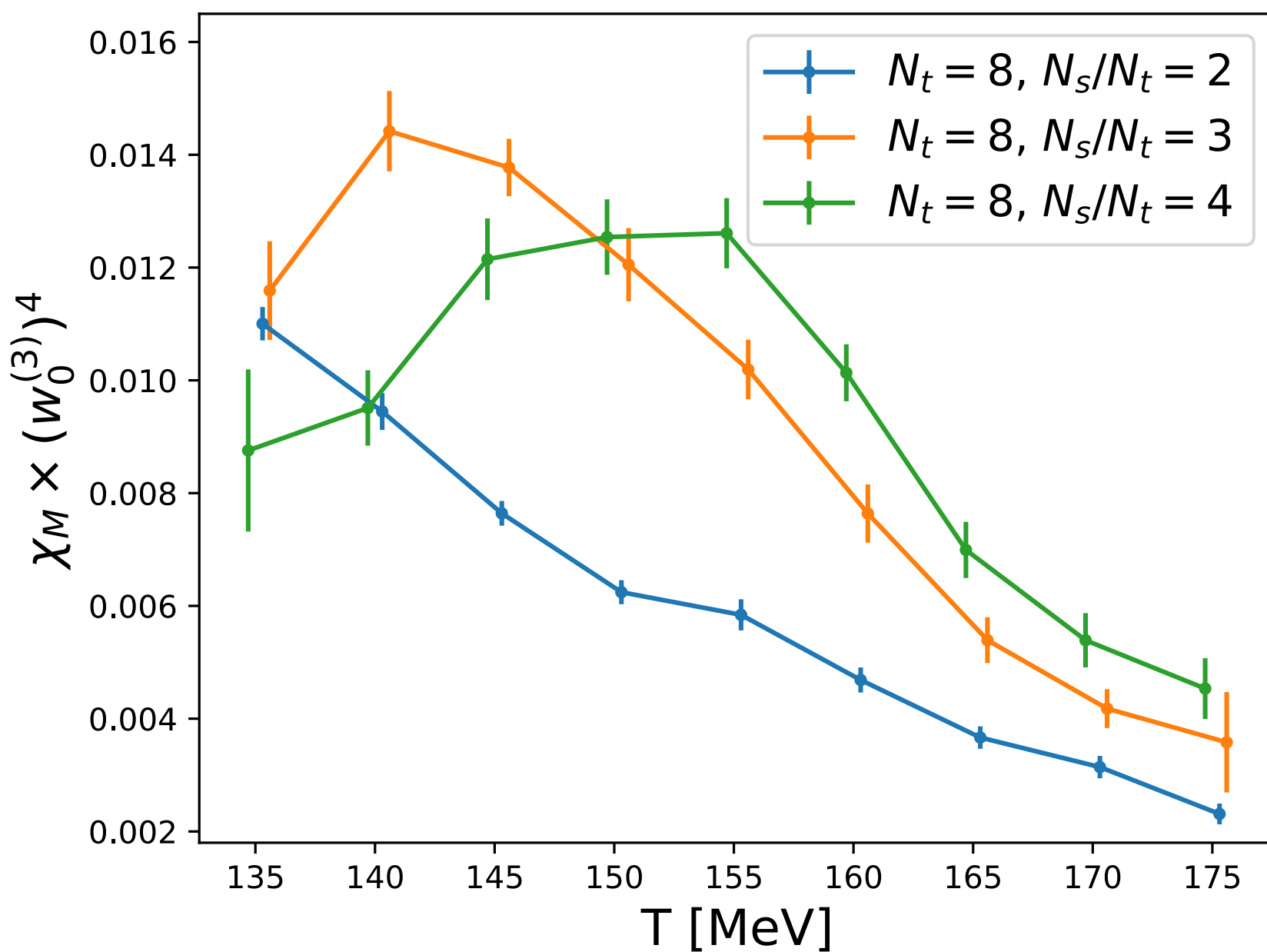
- $M = 2 (m_s \langle \bar{\psi} \psi \rangle_l - m_l \langle \bar{\psi} \psi \rangle_s)$
- Large cutoff effects and FV effects
- $T_{pc} \sim 160$  MeV
- $N_s/N_t = 2$  is completely off



# Chiral susceptibility

$Q = 0$  sector

$$\chi_M = m \partial_m M$$



- $N_s/N_t = 2$  is completely off
- Same for staggered [Borsanyi et al., 2024]

$T_{pc} \sim 160$  MeV

**Can we do better and sum over  $Q$ ?**

# Can we do better and sum over $Q$ ?

- We need:

# Can we do better and sum over $Q$ ?

- We need:
  - $M, \chi$  for  $Q \neq 0$  - just simulate for  $Q \neq 0$

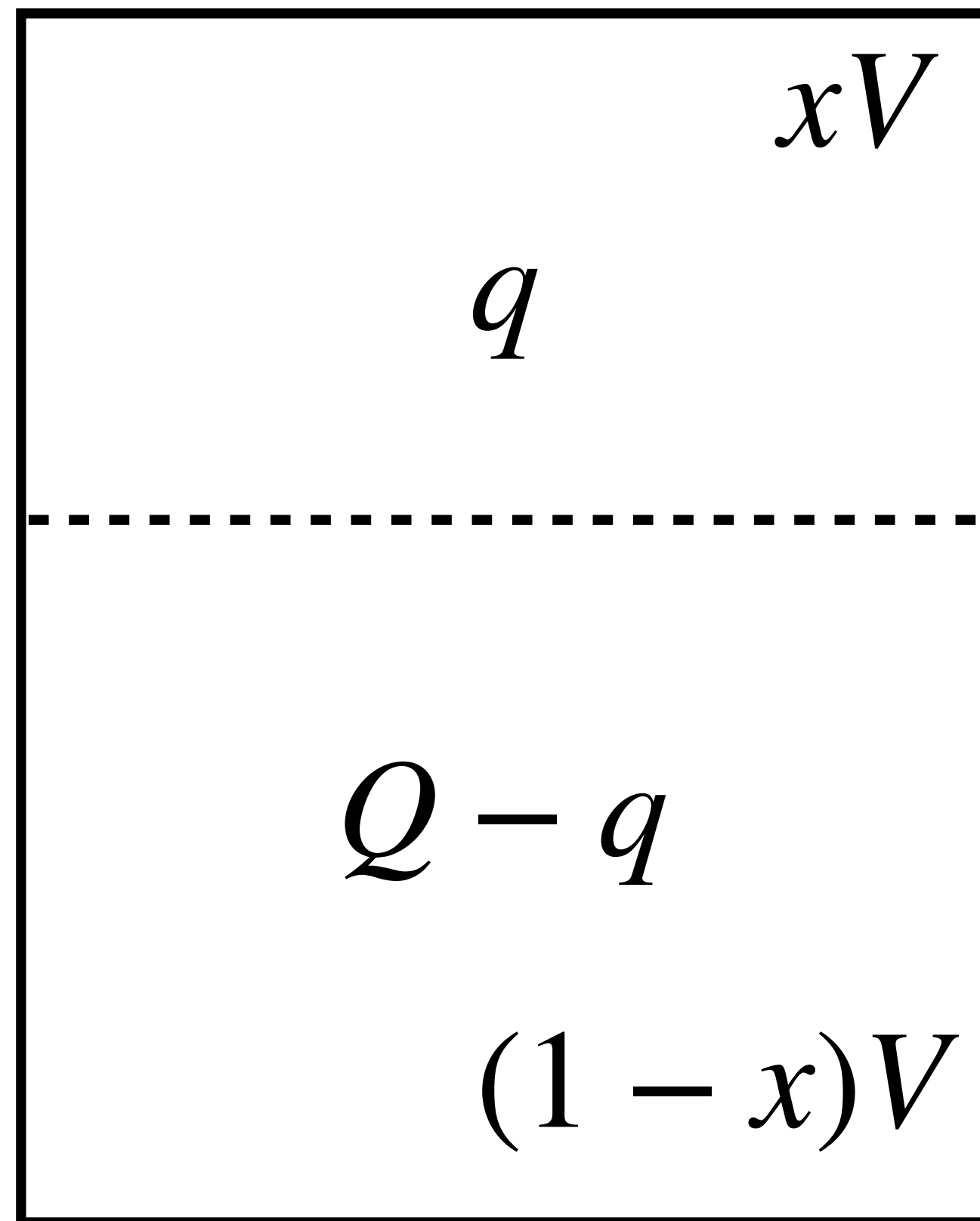
# Can we do better and sum over $Q$ ?

- We need:
  - $M, \chi$  for  $Q \neq 0$  - just simulate for  $Q \neq 0$
  - Weights  $Z_Q/Z_0$  (or topological susceptibility  $\chi$ ) - is also possible

# Topological susceptibility from simulations at fixed $Q$

## Slab method

$$Q, V \equiv V_4$$



$$p(q, Q - q) \propto p_1(q)p_2(Q - q) \propto$$

$$e^{-\frac{q^2}{2\chi V x}} e^{-\frac{(Q - q)^2}{2\chi V (1 - x)}} \propto e^{-\frac{1}{2\chi V} \frac{q'^2}{x(1 - x)}}$$

$$q' = q - xQ$$

$$\langle q \rangle = xQ$$

$$\langle q'^2 \rangle = \langle q^2 \rangle - x^2 Q^2 \propto \chi V x(1 - x)$$

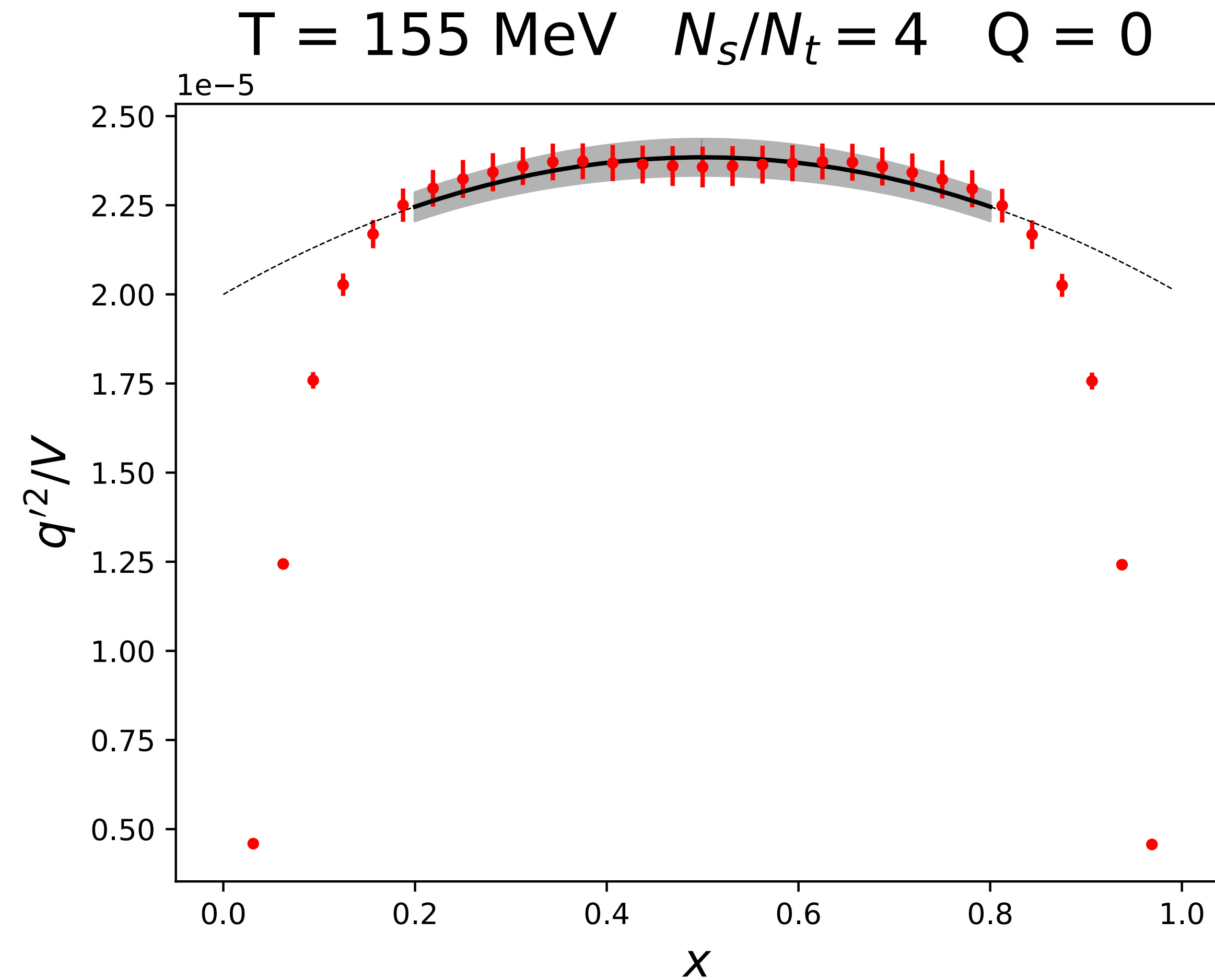
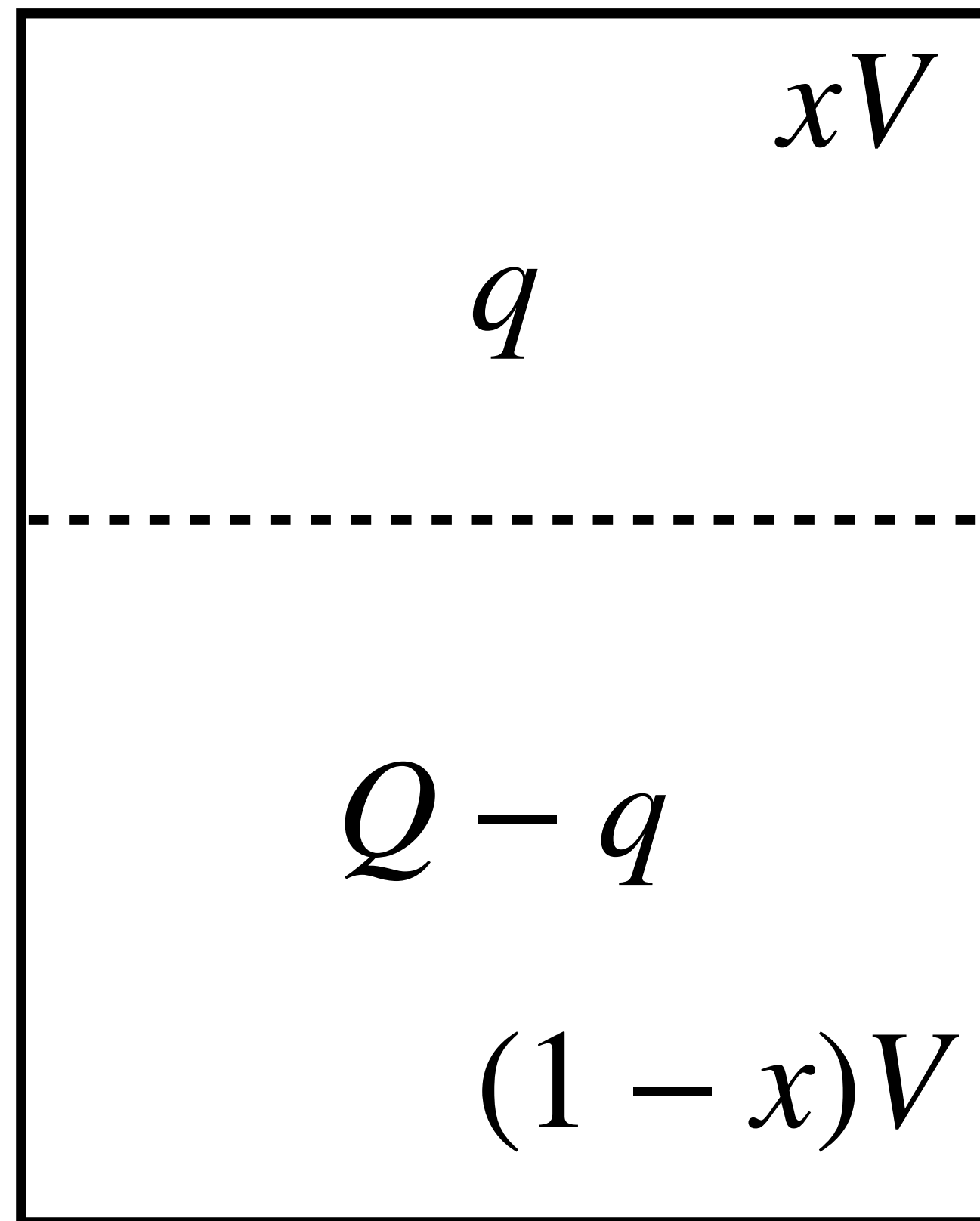
Up to boundary effects:  $V \rightarrow \infty$



# Topological susceptibility from simulations at fixed $Q$

Slab method

$$Q, V \equiv V_4$$

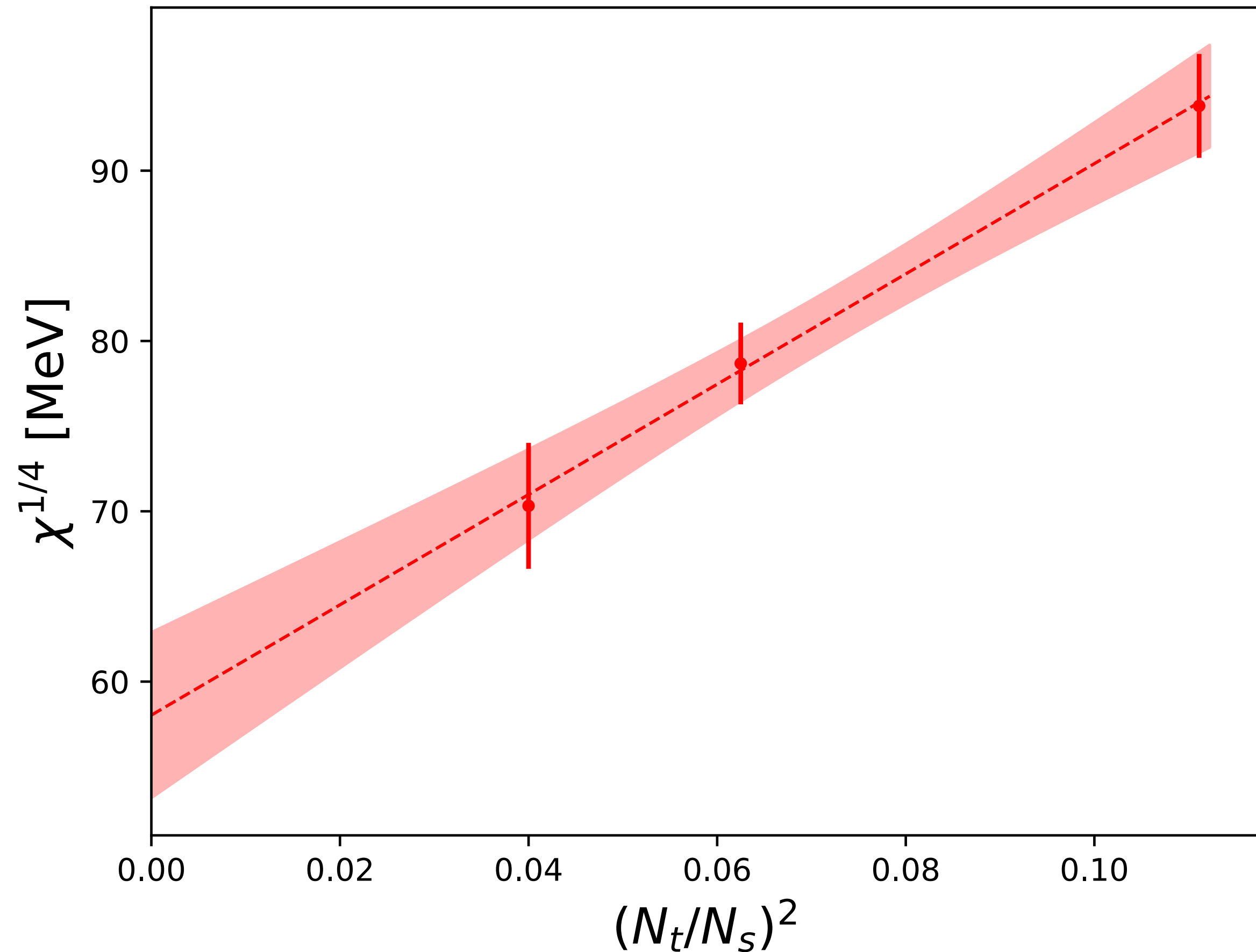


$$\langle q'^2 \rangle \propto \chi V x(1 - x)$$

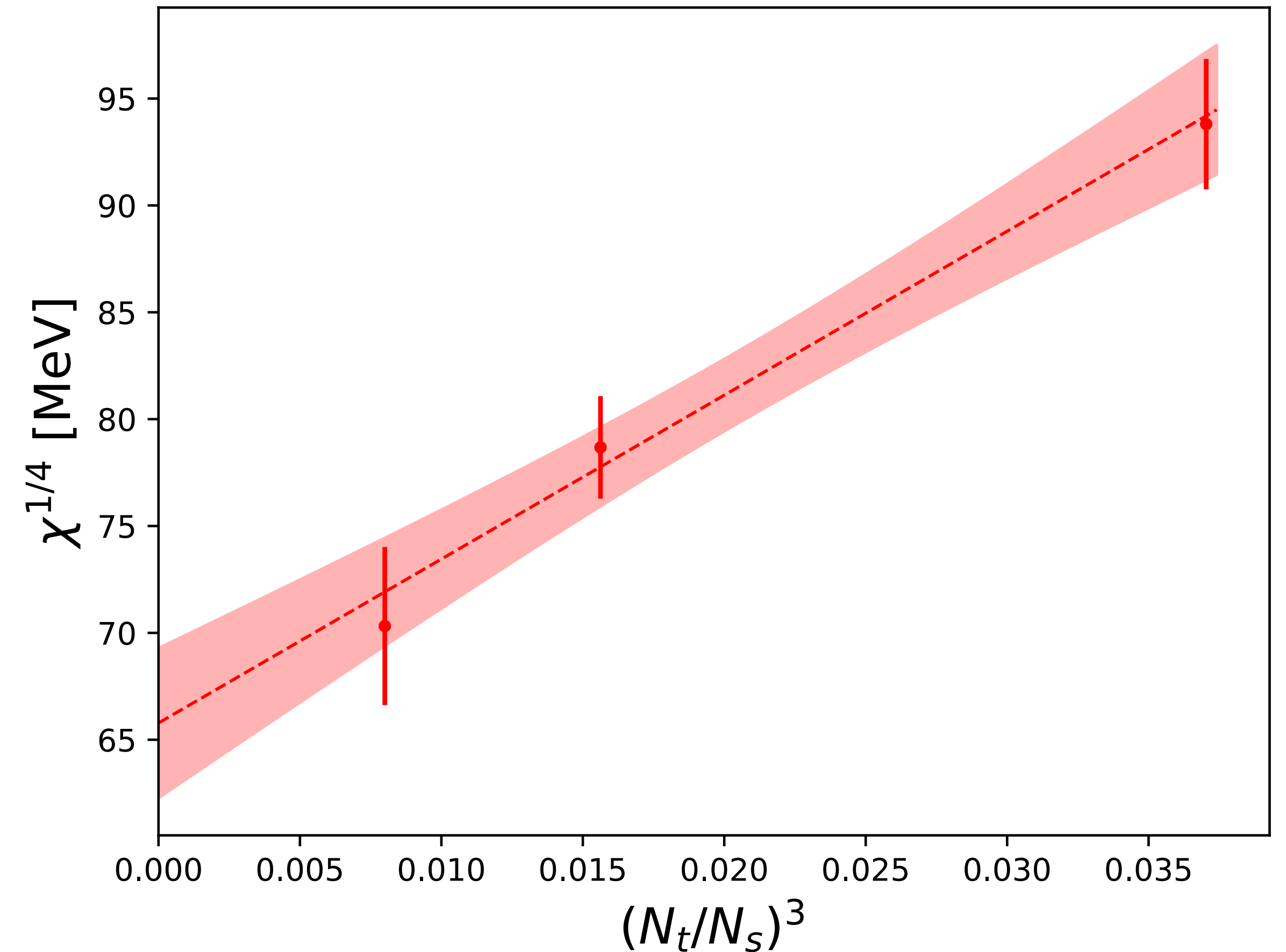
# Topological susceptibility from fixed Q

Taking  $V \rightarrow \infty$

$N_t = 8$   $T = 155$  [MeV]



$N_t = 8$   $T = 155$  [MeV]

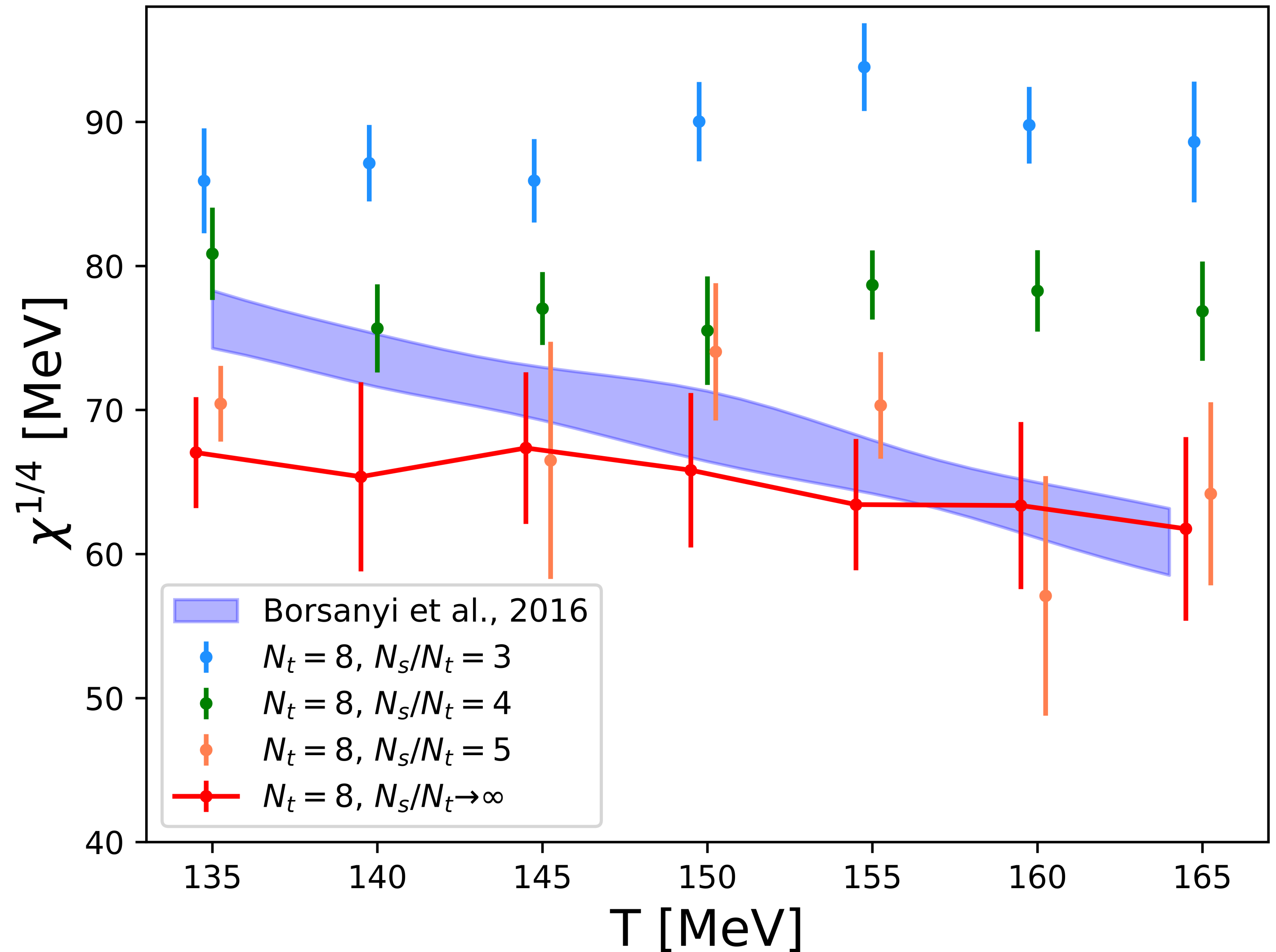


Take half-sum  $\sim (N_s/N_t)^2$  and  $\sim (N_s/N_t)^3$ : systematic uncertainty

# Topological susceptibility from simulations at fixed $Q$

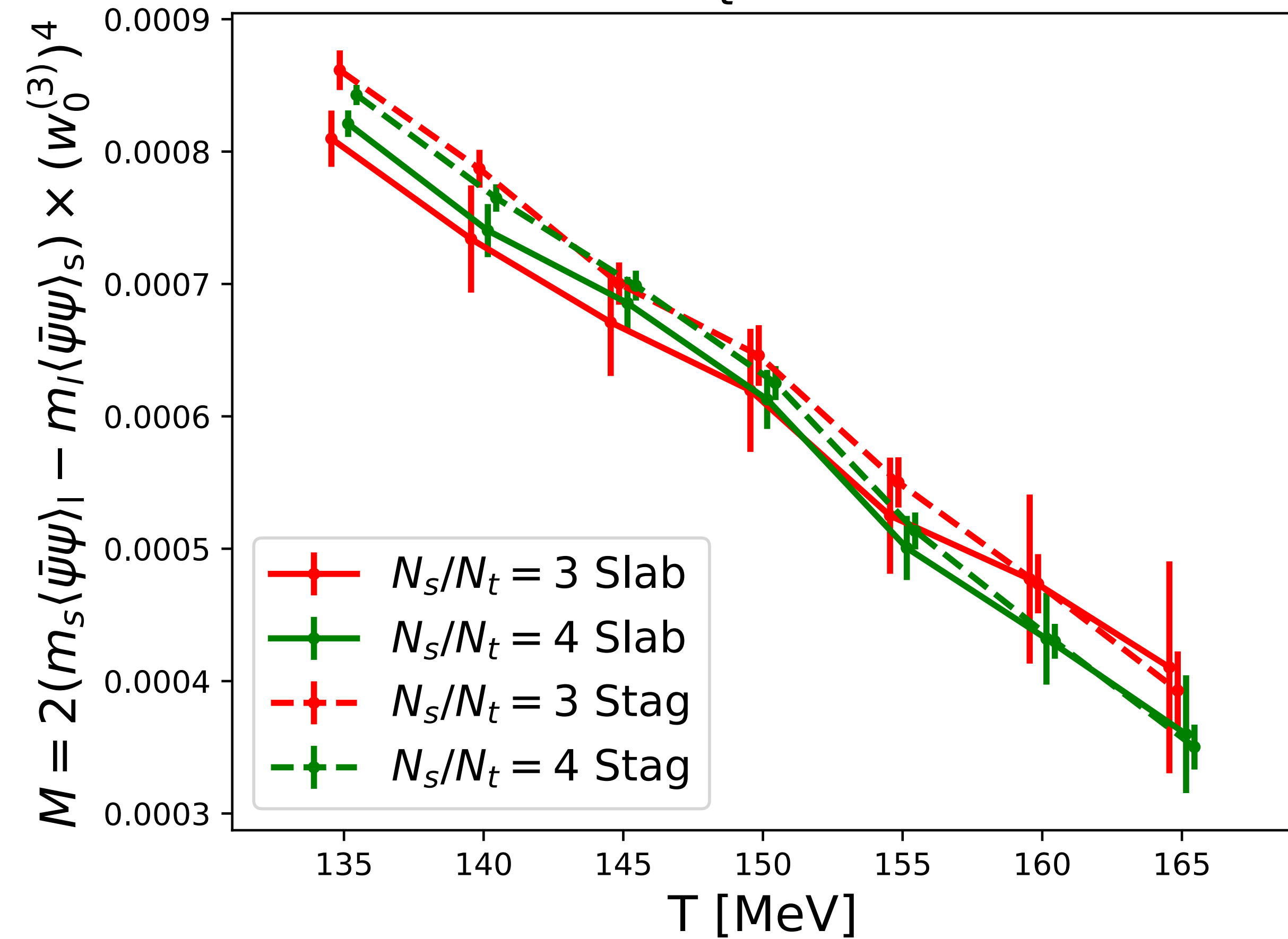
Slab method  $N_t = 8$

- Noisy
- Consistent with  
[Borsanyi et al., 2016]
- Local topological fluctuations

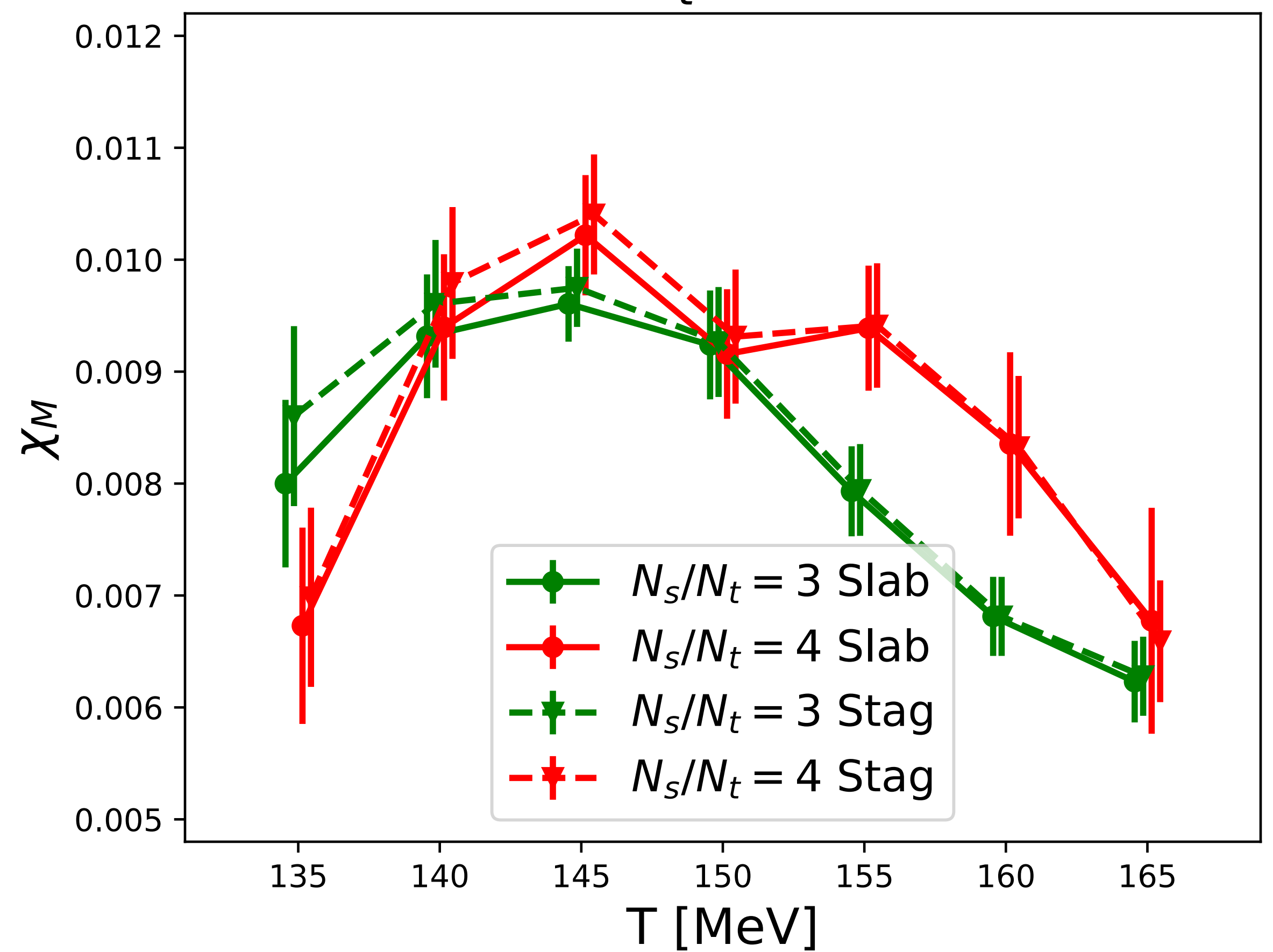


# Summing over topological sectors

$N_t = 8$



$N_t = 8$



$\chi_Q$  from:

- Stag: [Borsanyi et al., 2016]
- Slab: overlap results at fixed  $Q$

# Dirac operator spectrum

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

- Chiral symmetry (Banks-Casher relation):

$$\bar{\psi}\psi \propto \int \frac{m}{\lambda^2 + m^2} \rho(\lambda) \xrightarrow{m \rightarrow 0} \rho(\lambda = 0)$$

- Axial symmetry:

$$\chi_A = \chi_\pi - \chi_\delta \propto \int d\lambda \frac{m^2}{(m^2 + \lambda^2)^2} \rho(\lambda)$$

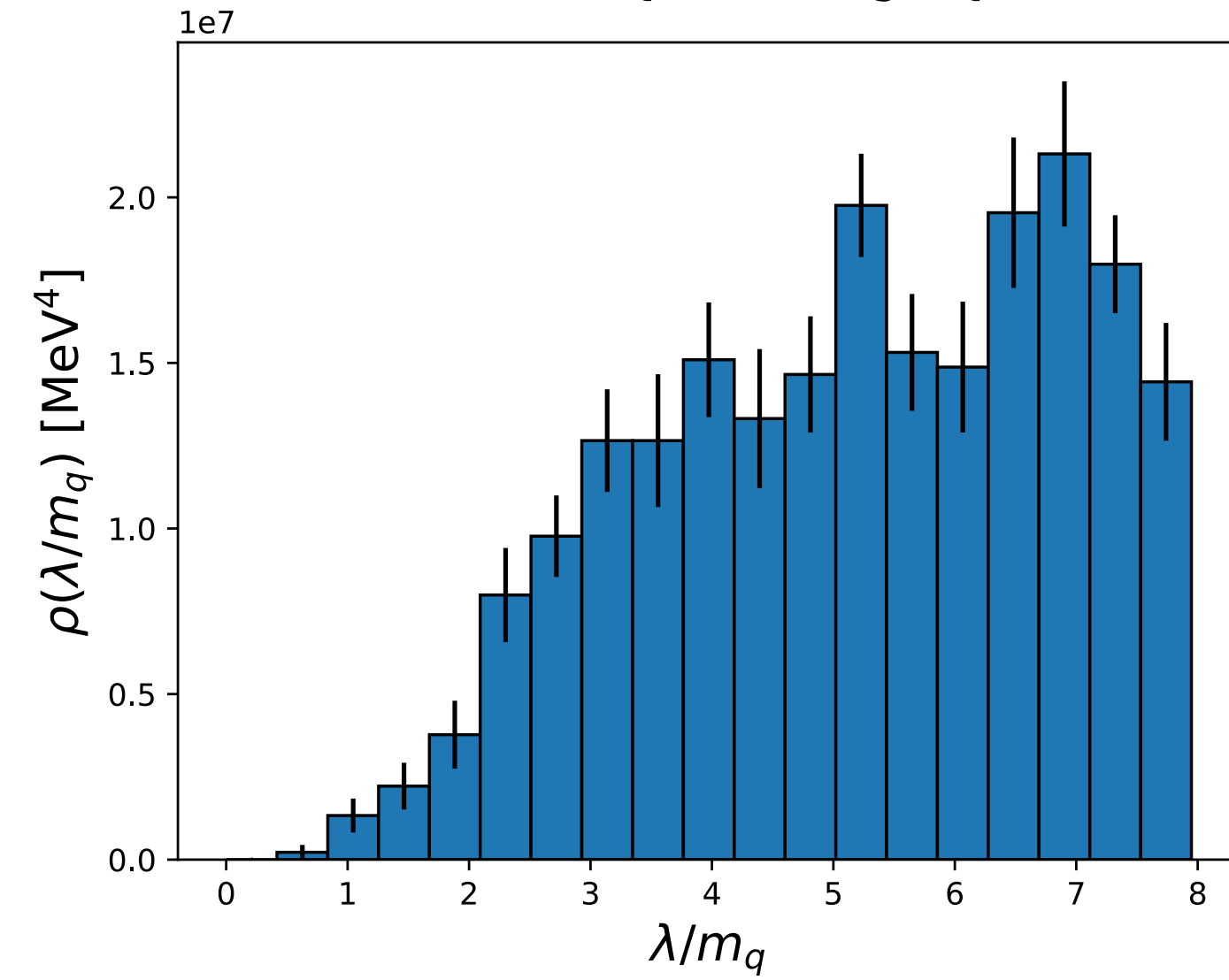
- Talks: [I. Horvath, Tue, 11.30] [T. Kovacs, Tue, 14.30] [W.-P. Huang, Tue, 15.10]

# Dirac operator spectrum, $T = 145$ MeV

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

# Dirac operator spectrum, $T = 145$ MeV

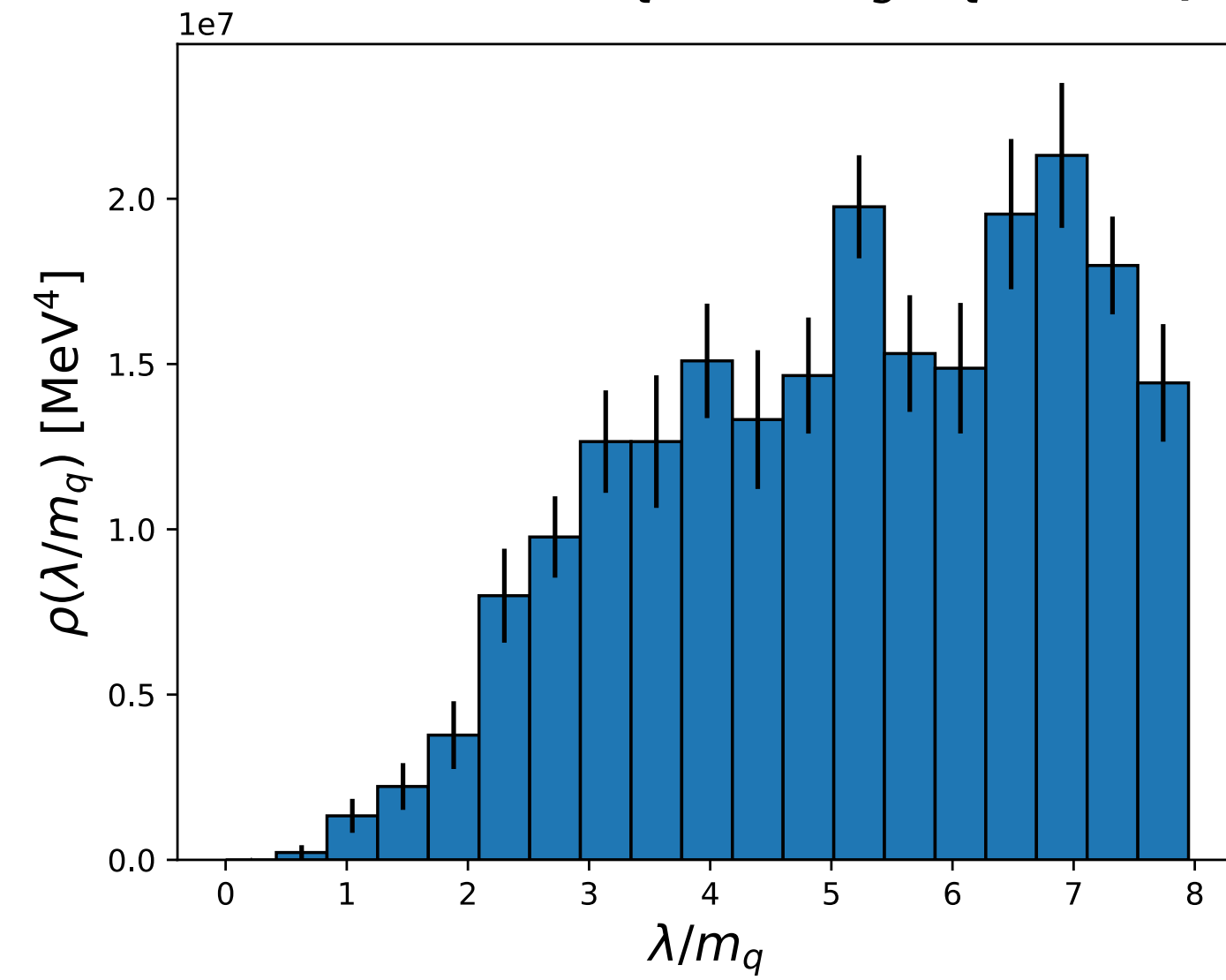
$T = 145.0$  MeV  $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



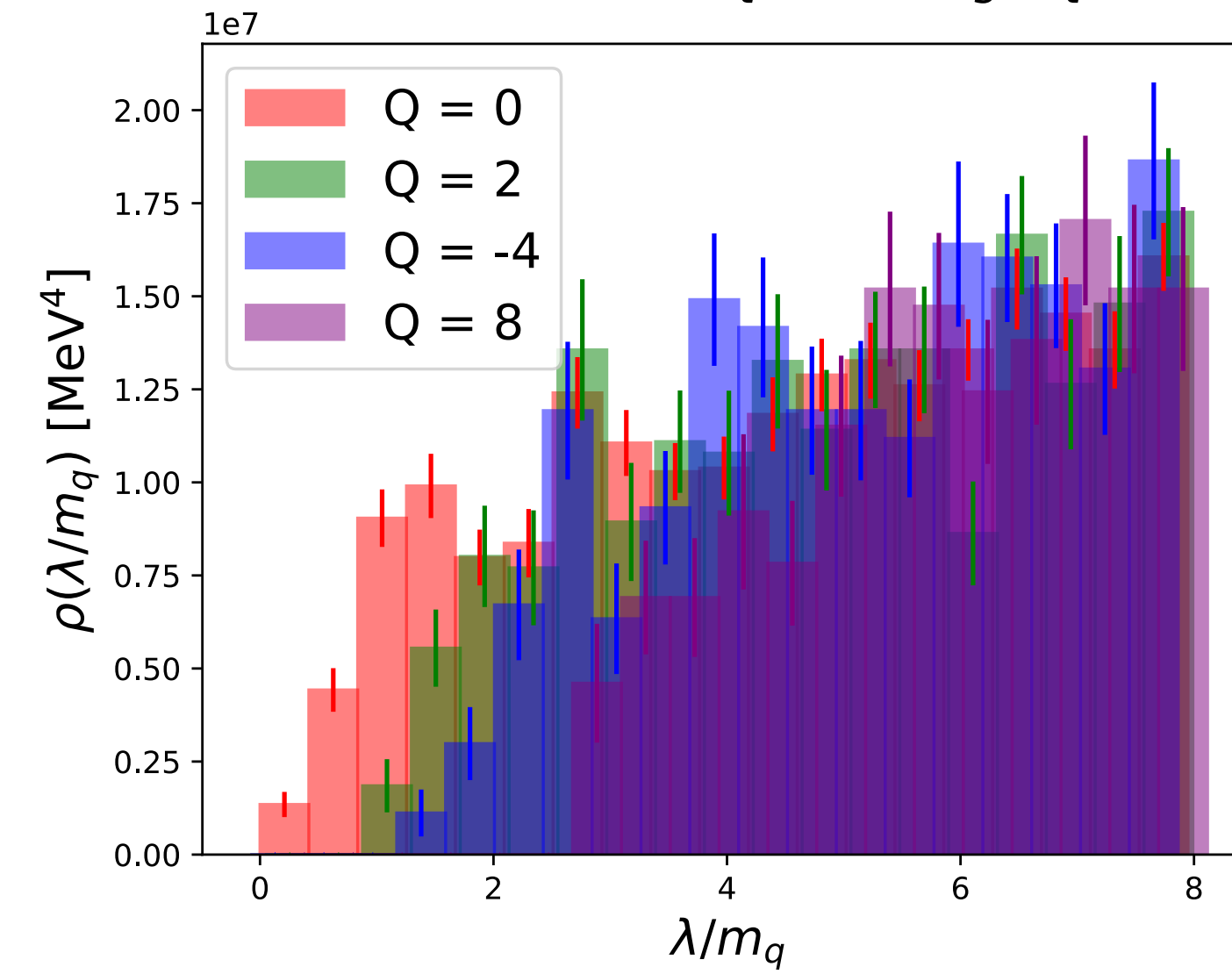
$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

# Dirac operator spectrum, $T = 145 \text{ MeV}$

$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



$T = 145 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 3$



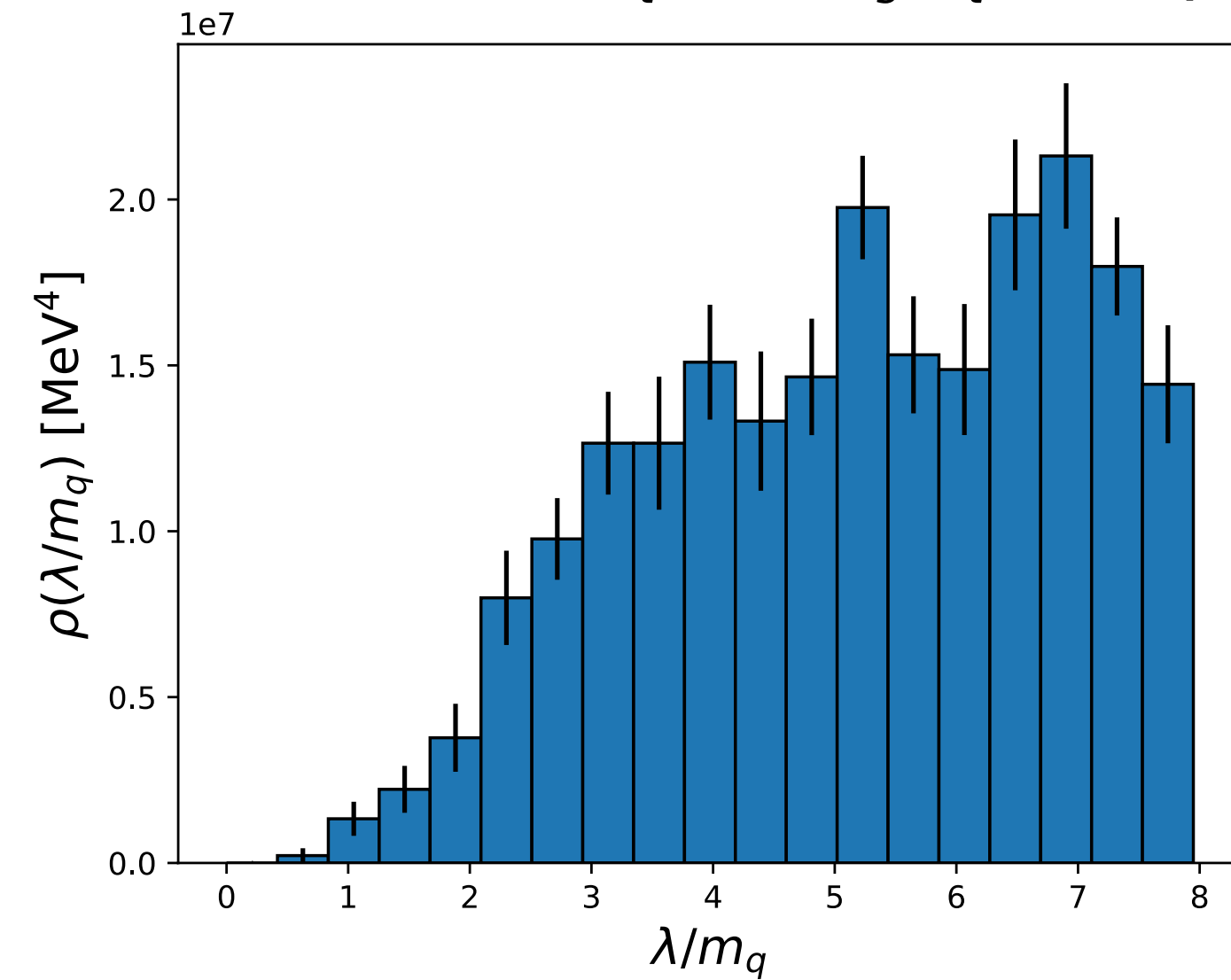
$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$



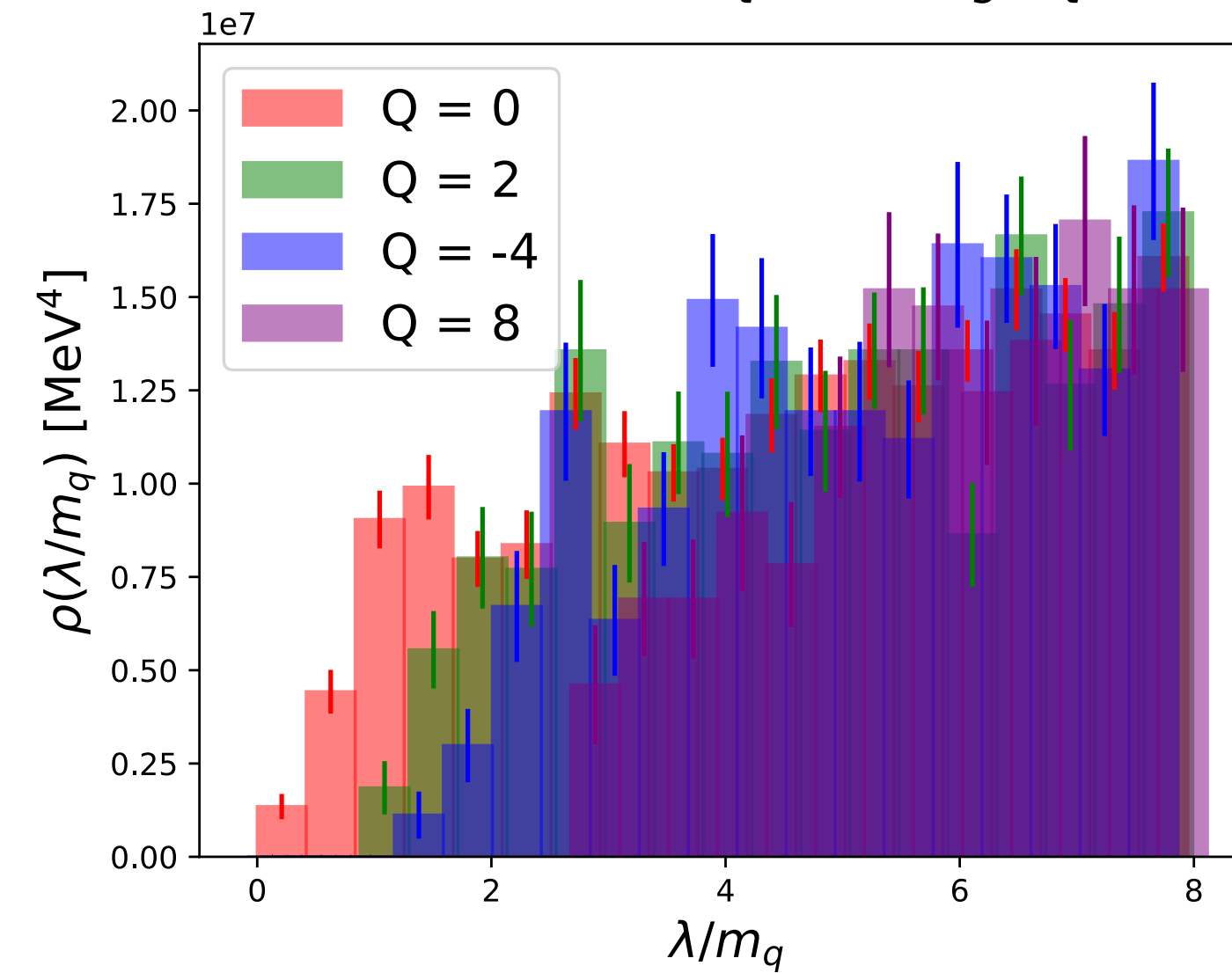
# Dirac operator spectrum, $T = 145 \text{ MeV}$

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

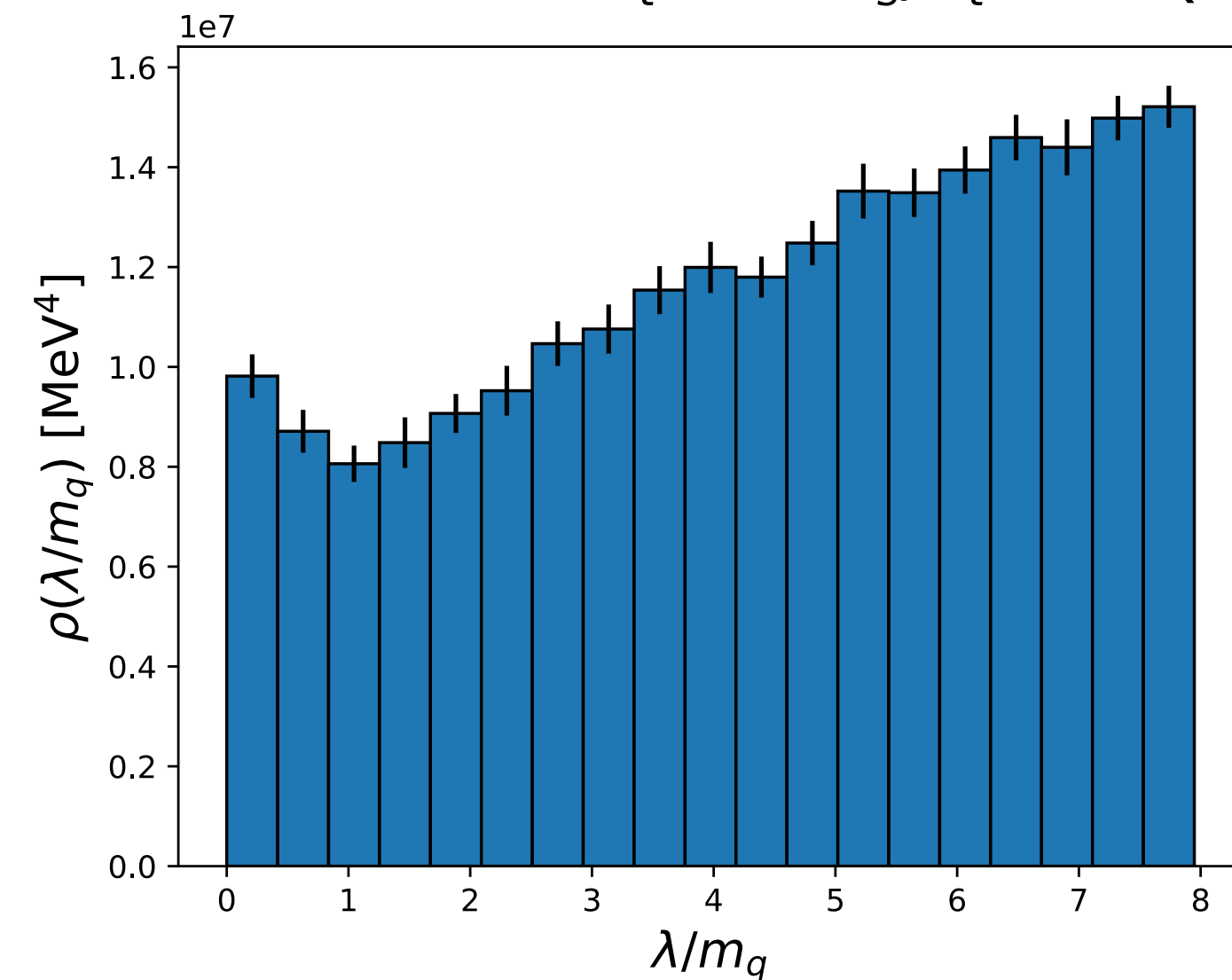
$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



$T = 145 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 3$



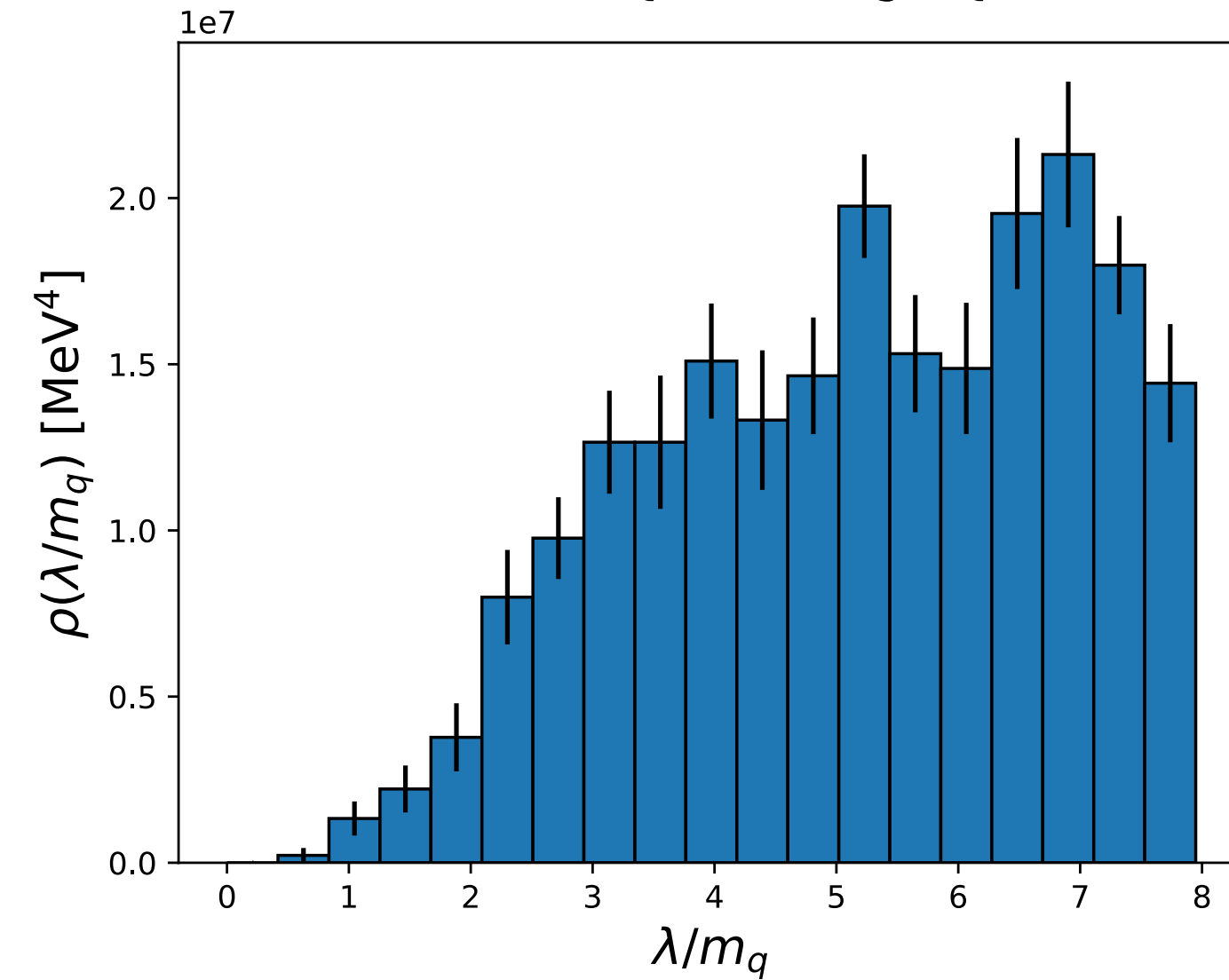
$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 4$   $Q = 0$



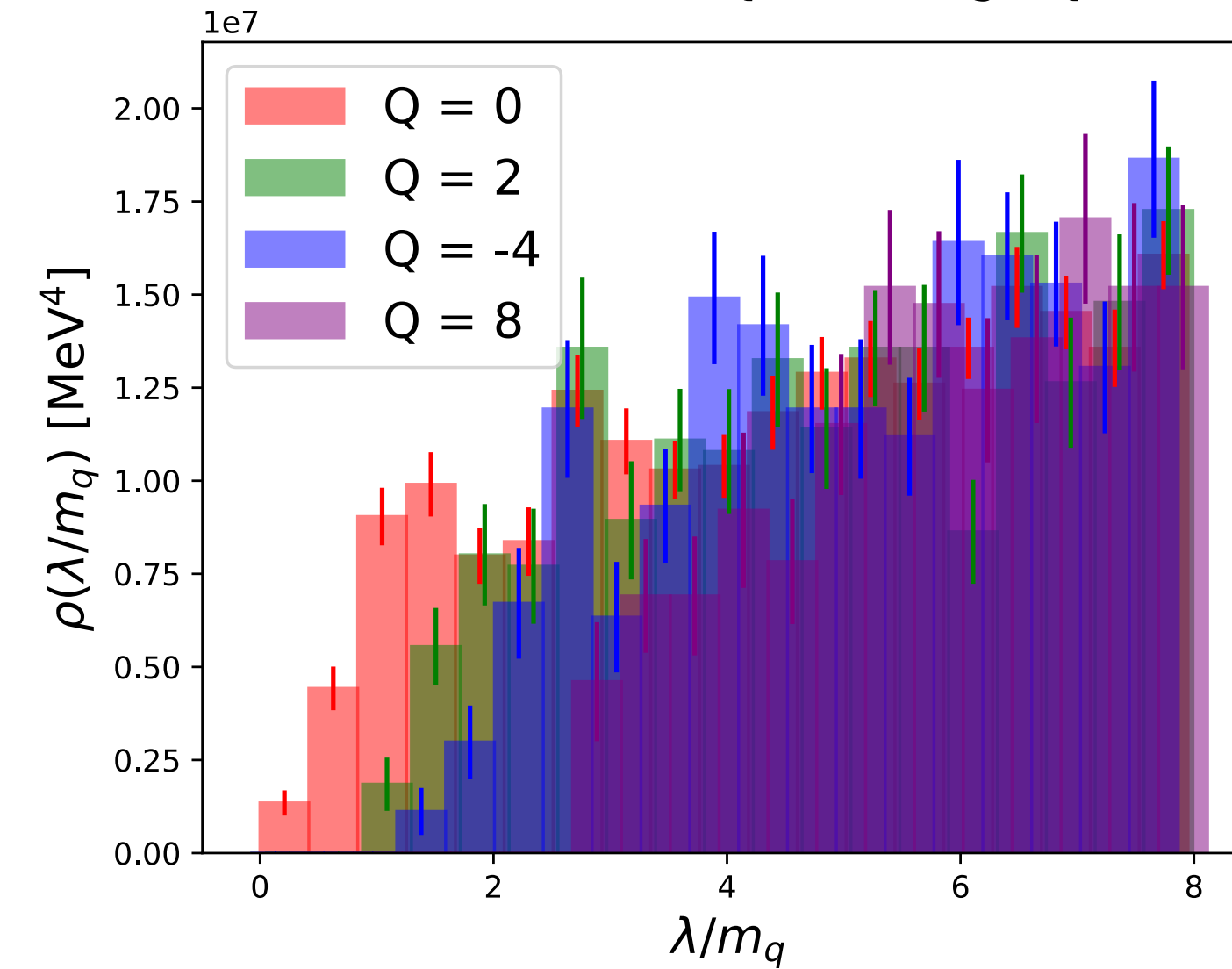
# Dirac operator spectrum, $T = 145 \text{ MeV}$

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

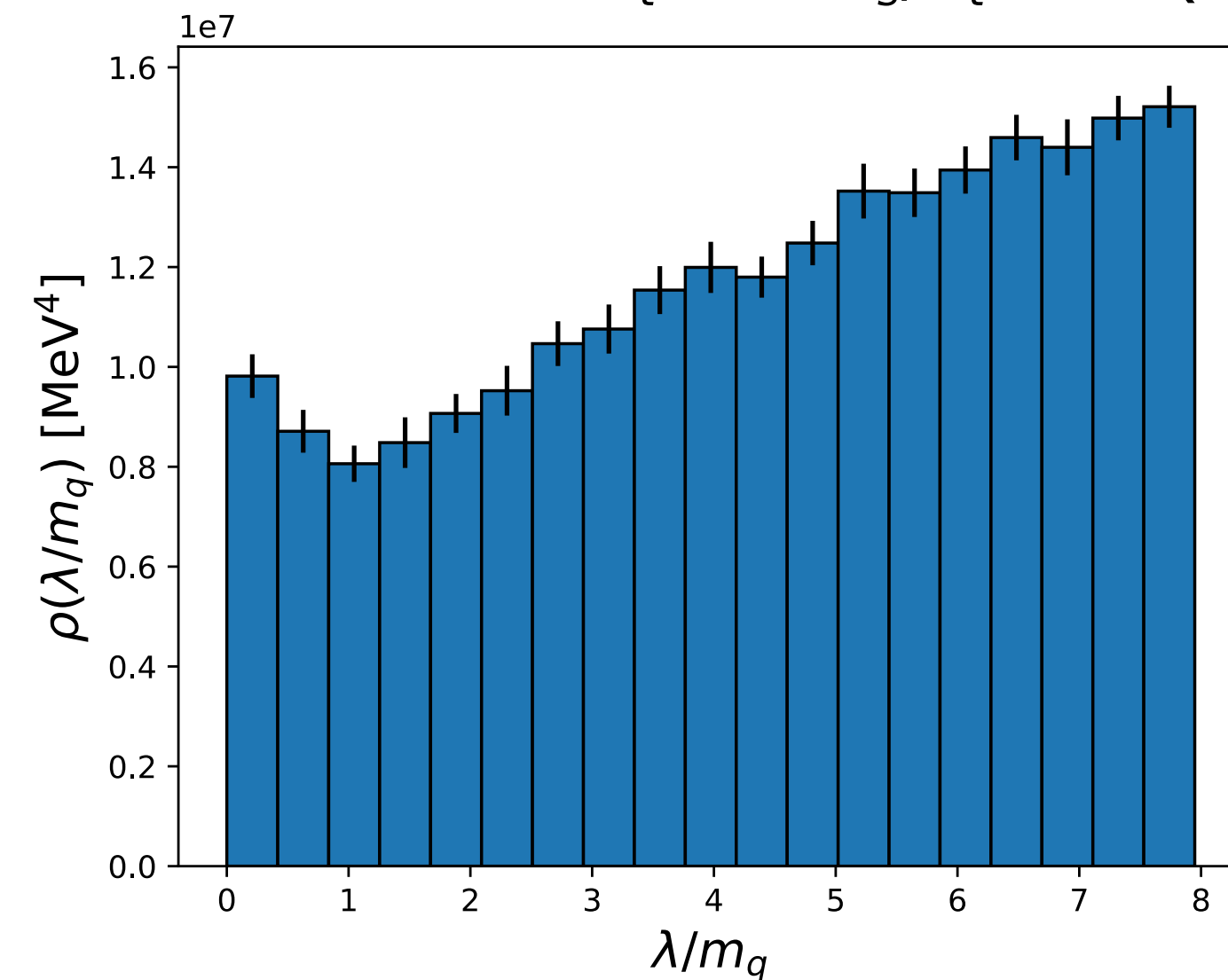
$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



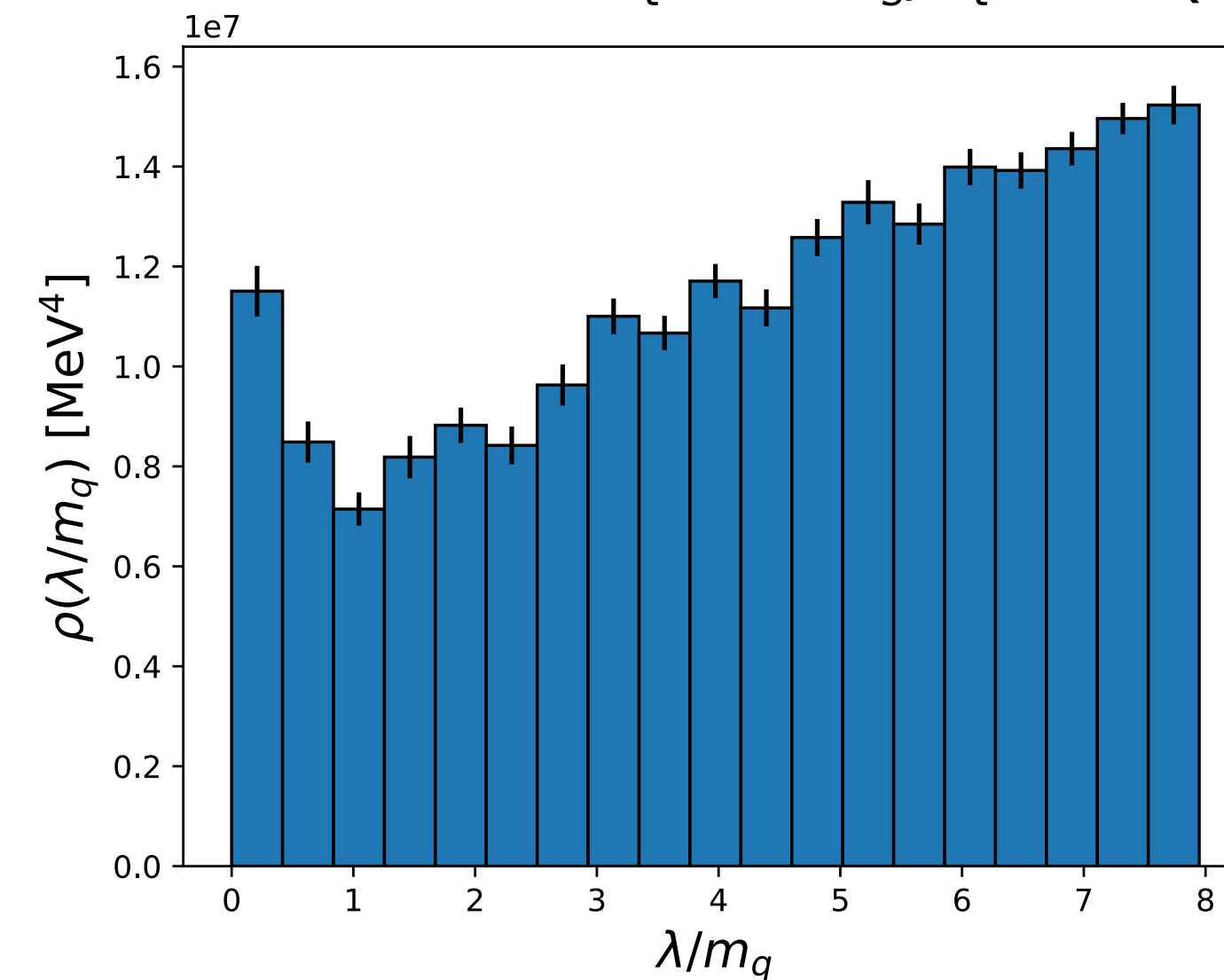
$T = 145 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 3$



$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 4$   $Q = 0$



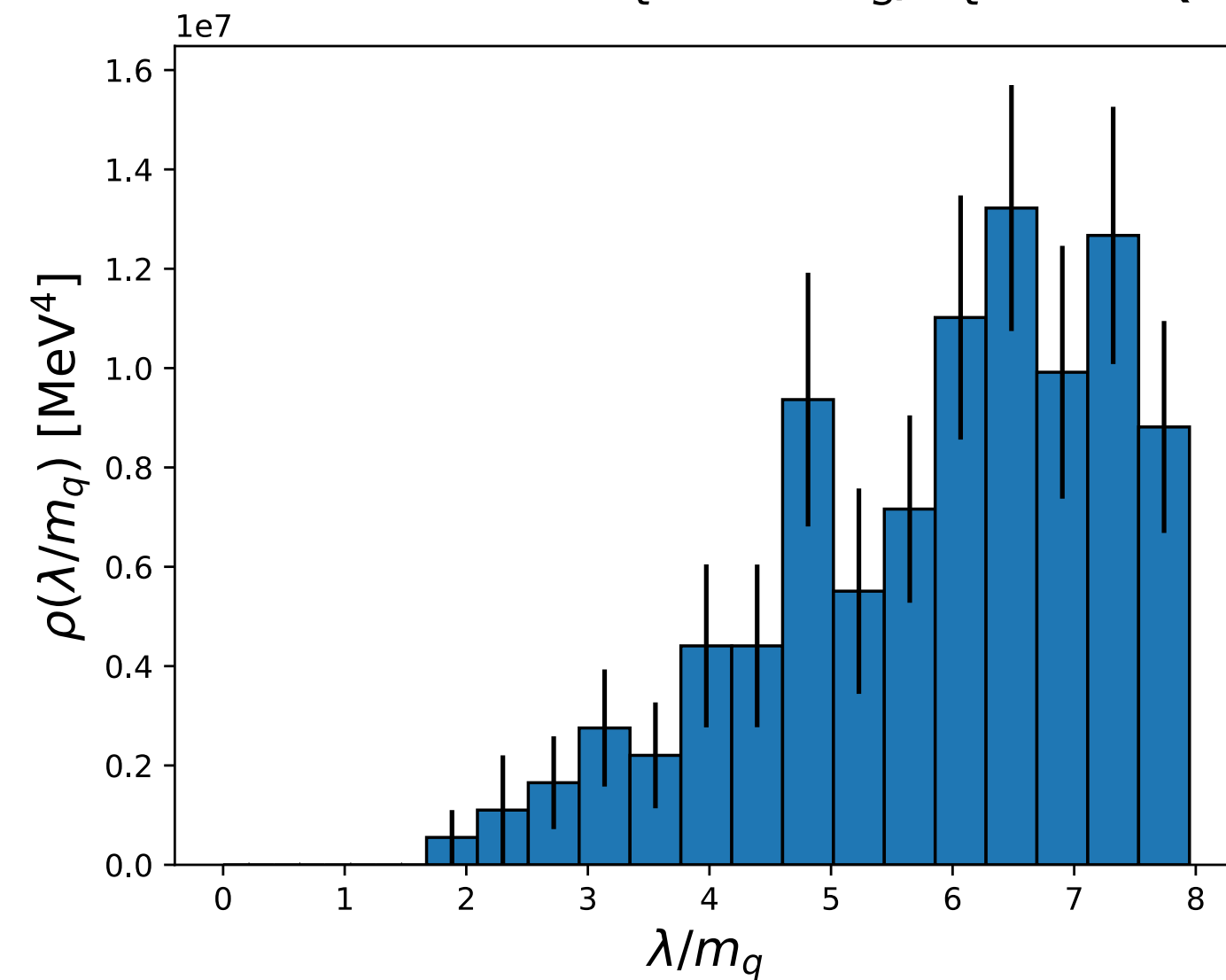
$T = 145.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$



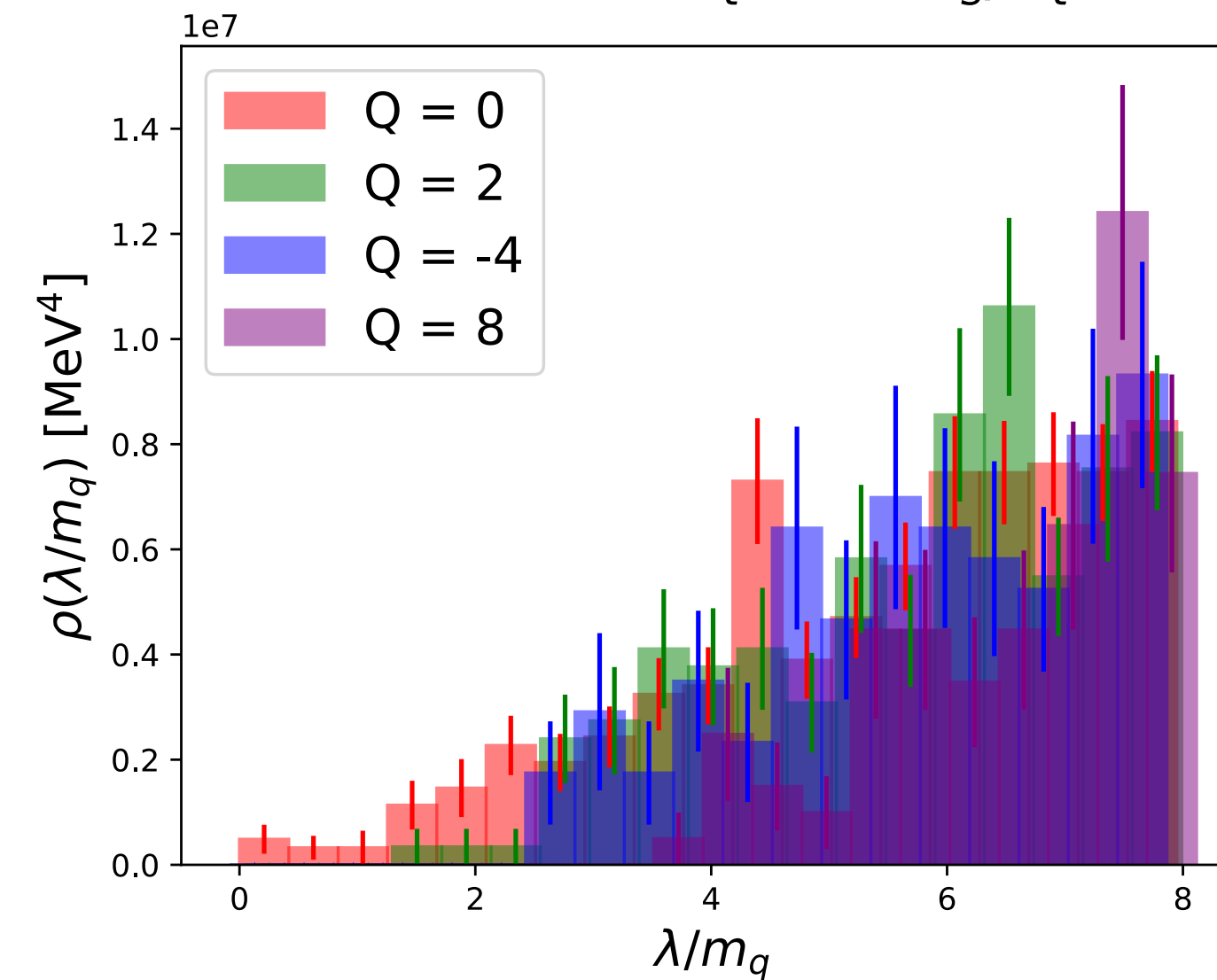
# Dirac operator spectrum, $T = 170 \text{ MeV}$

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

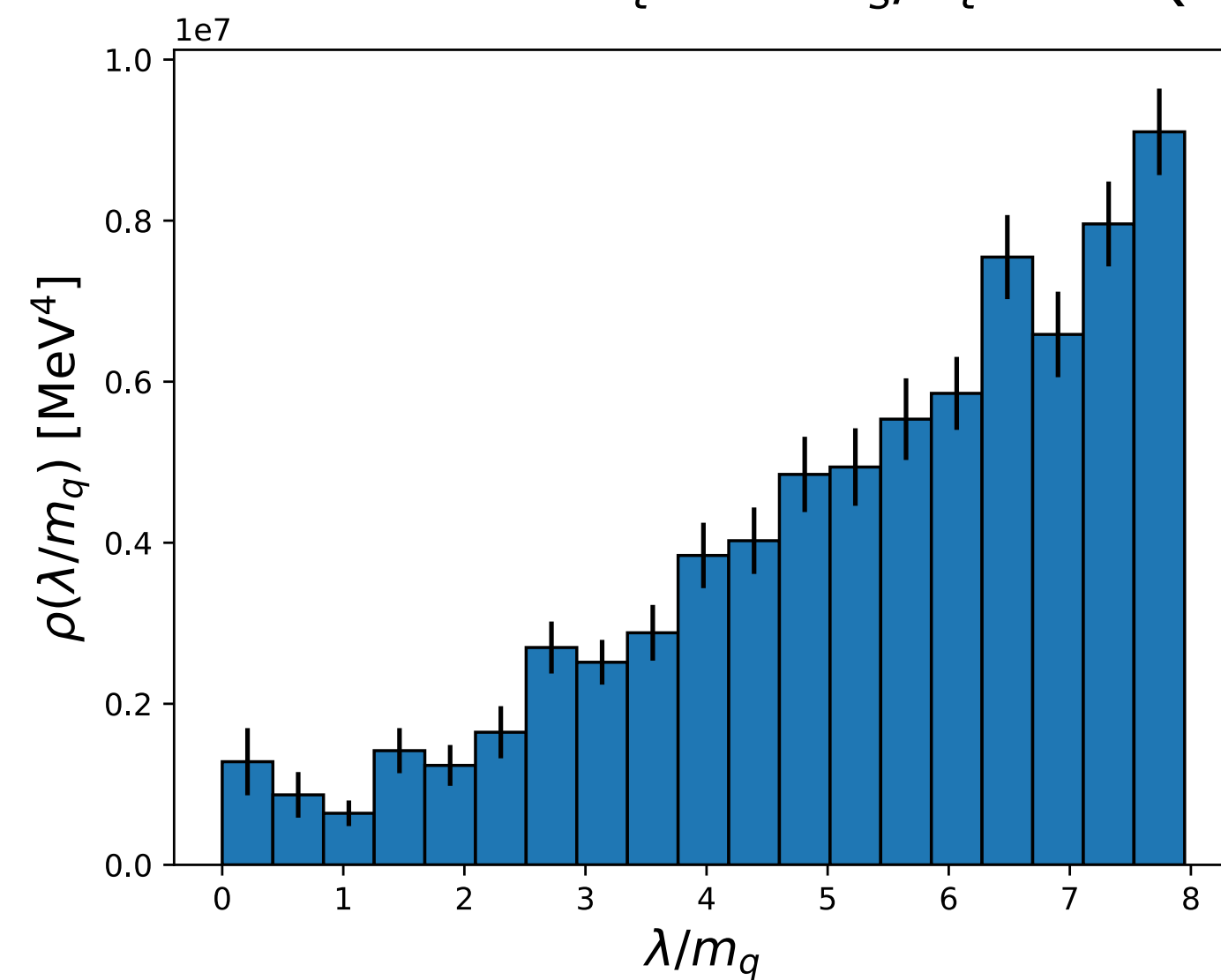
$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



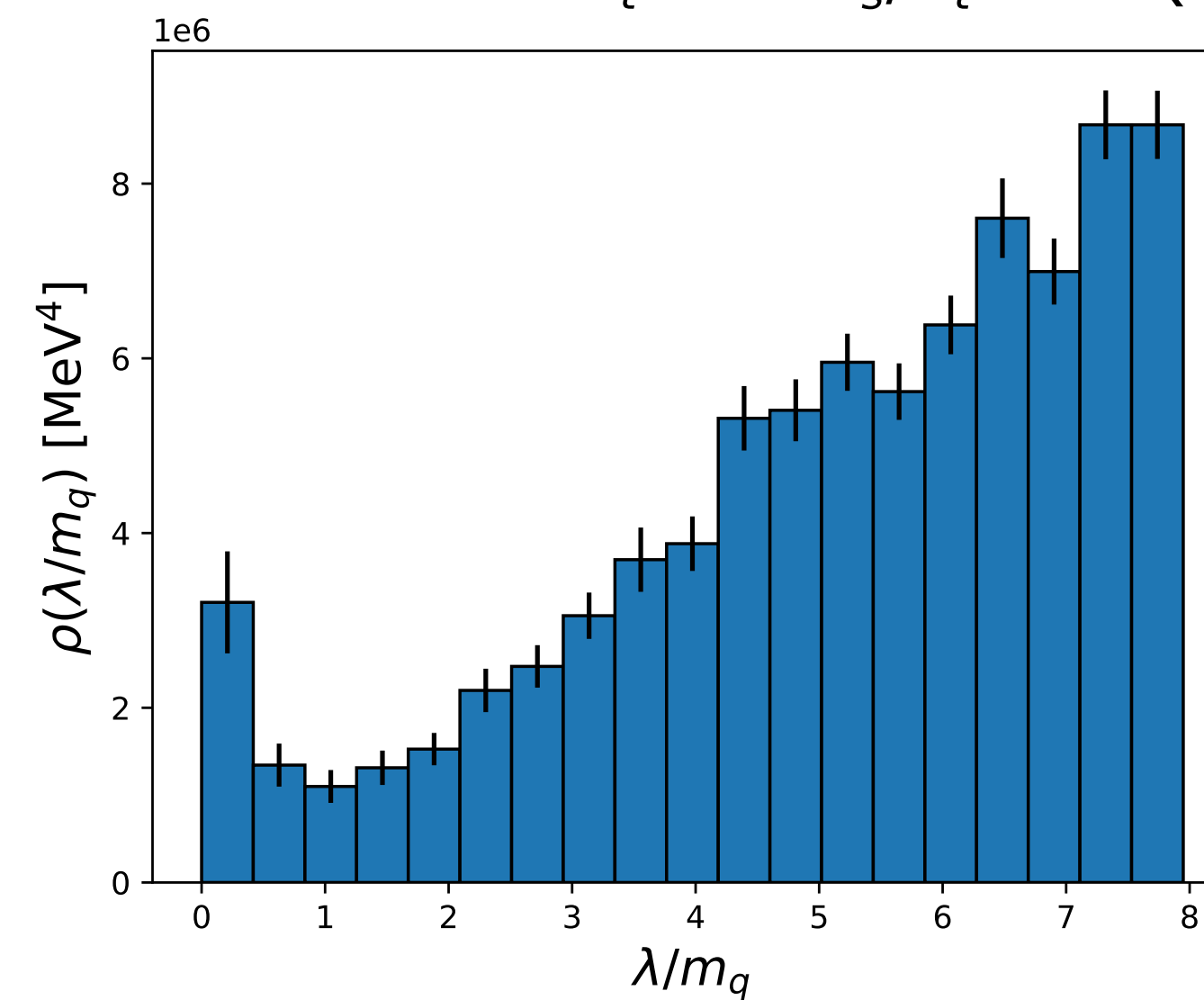
$T = 170 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 3$



$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 4$   $Q = 0$



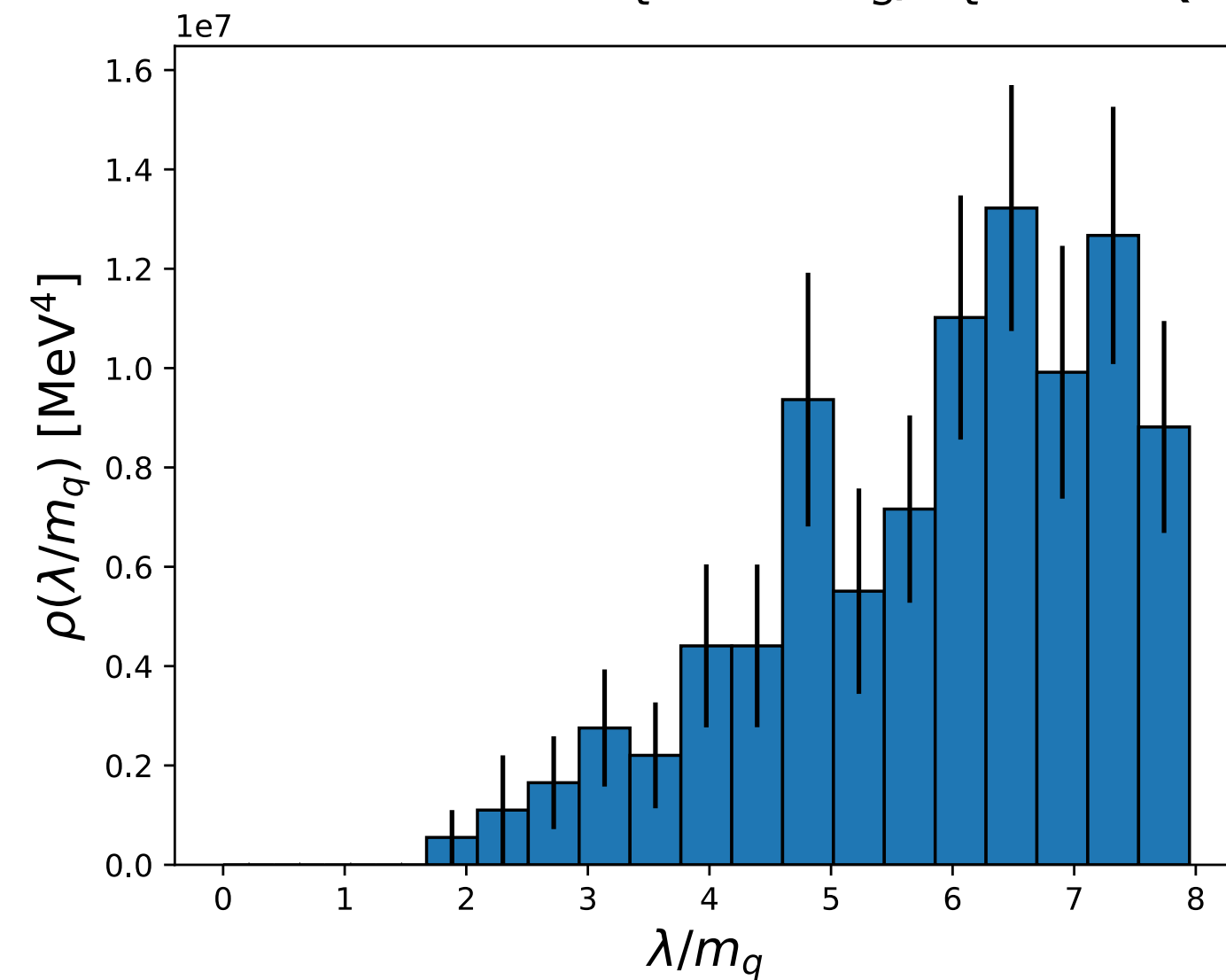
$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$



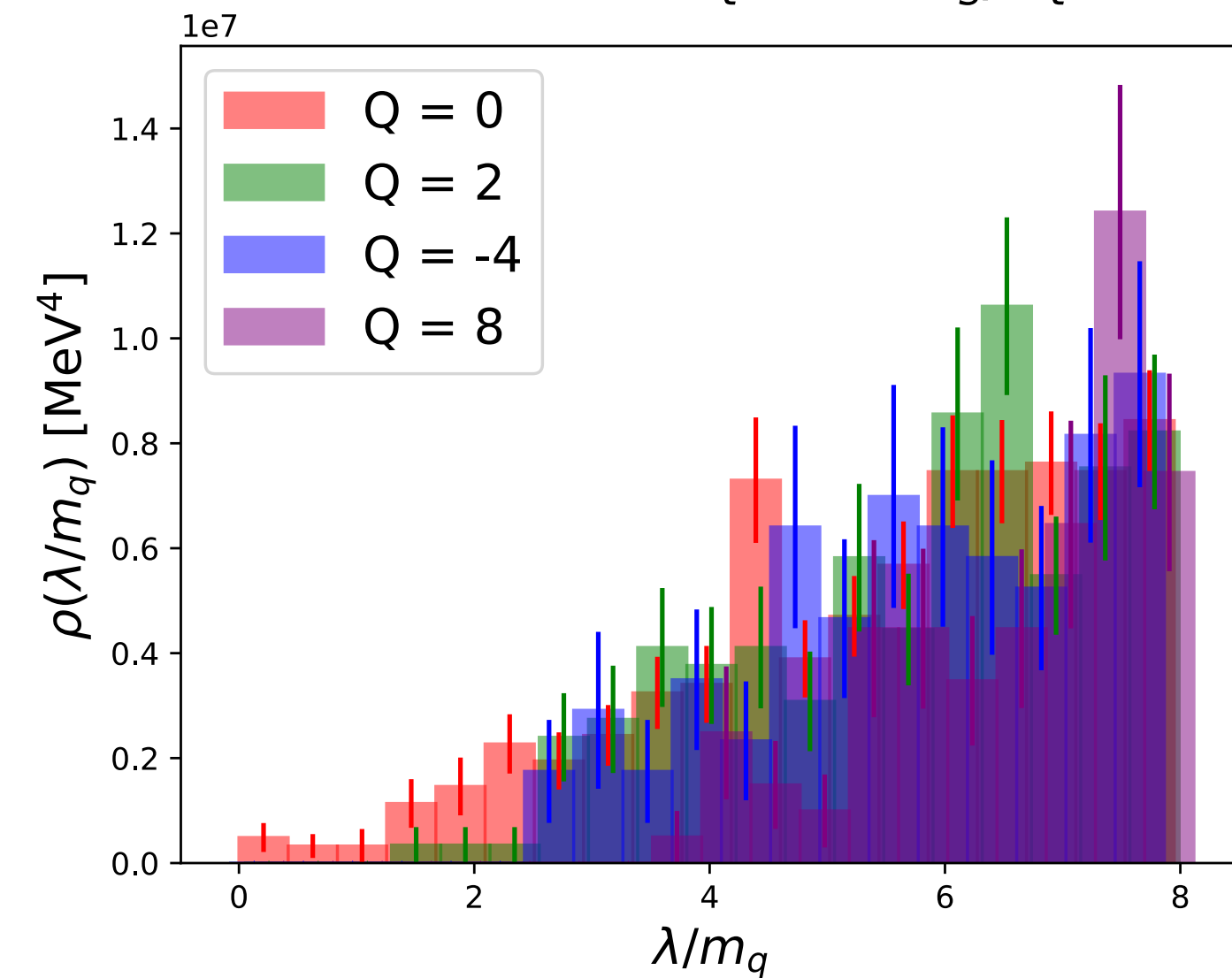
# Dirac operator spectrum, $T = 170 \text{ MeV}$

$$D_{\text{ov}}^\dagger D_{\text{ov}} |e_i\rangle = \lambda_i^2 |e_i\rangle$$

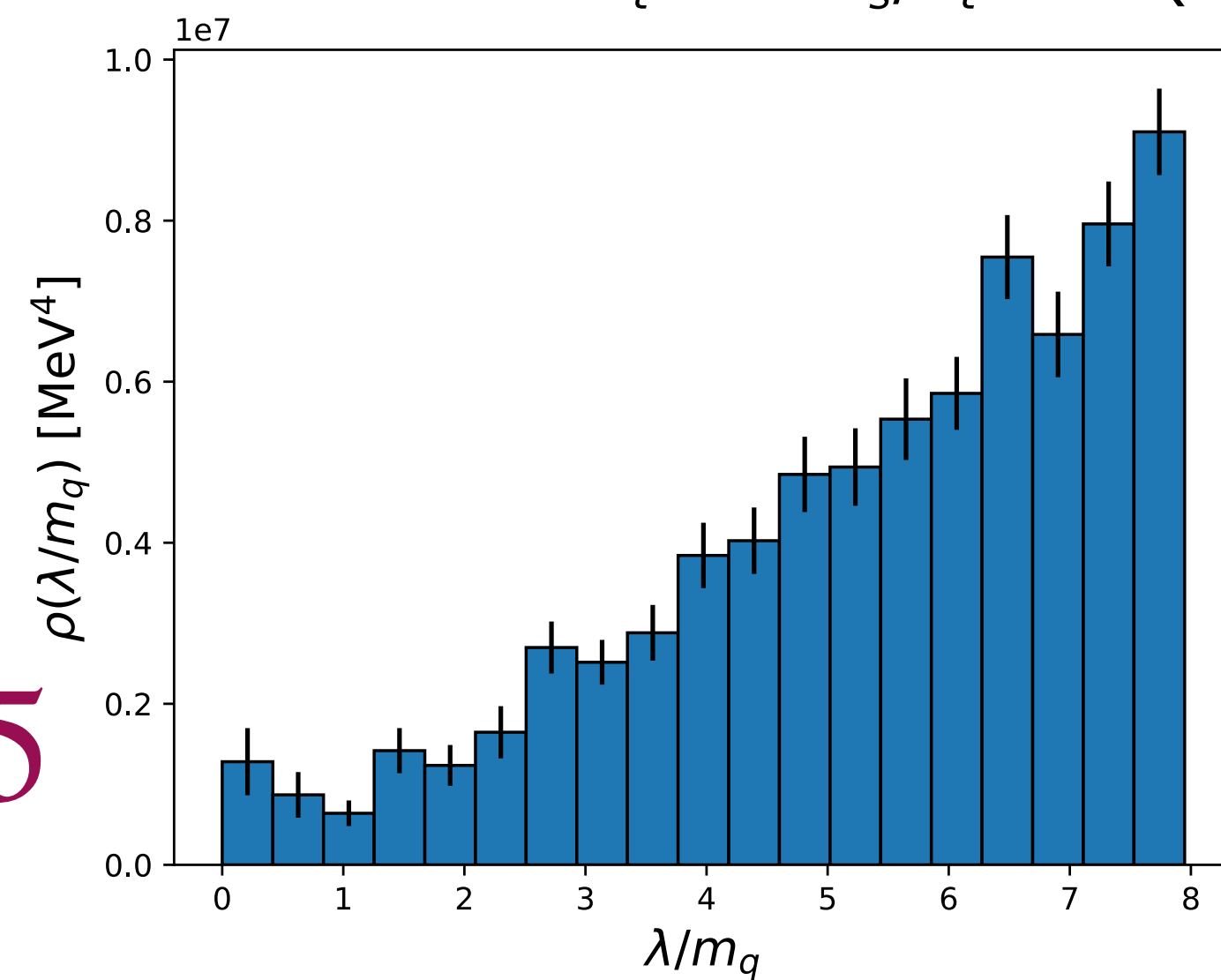
$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 2$   $Q = 0$



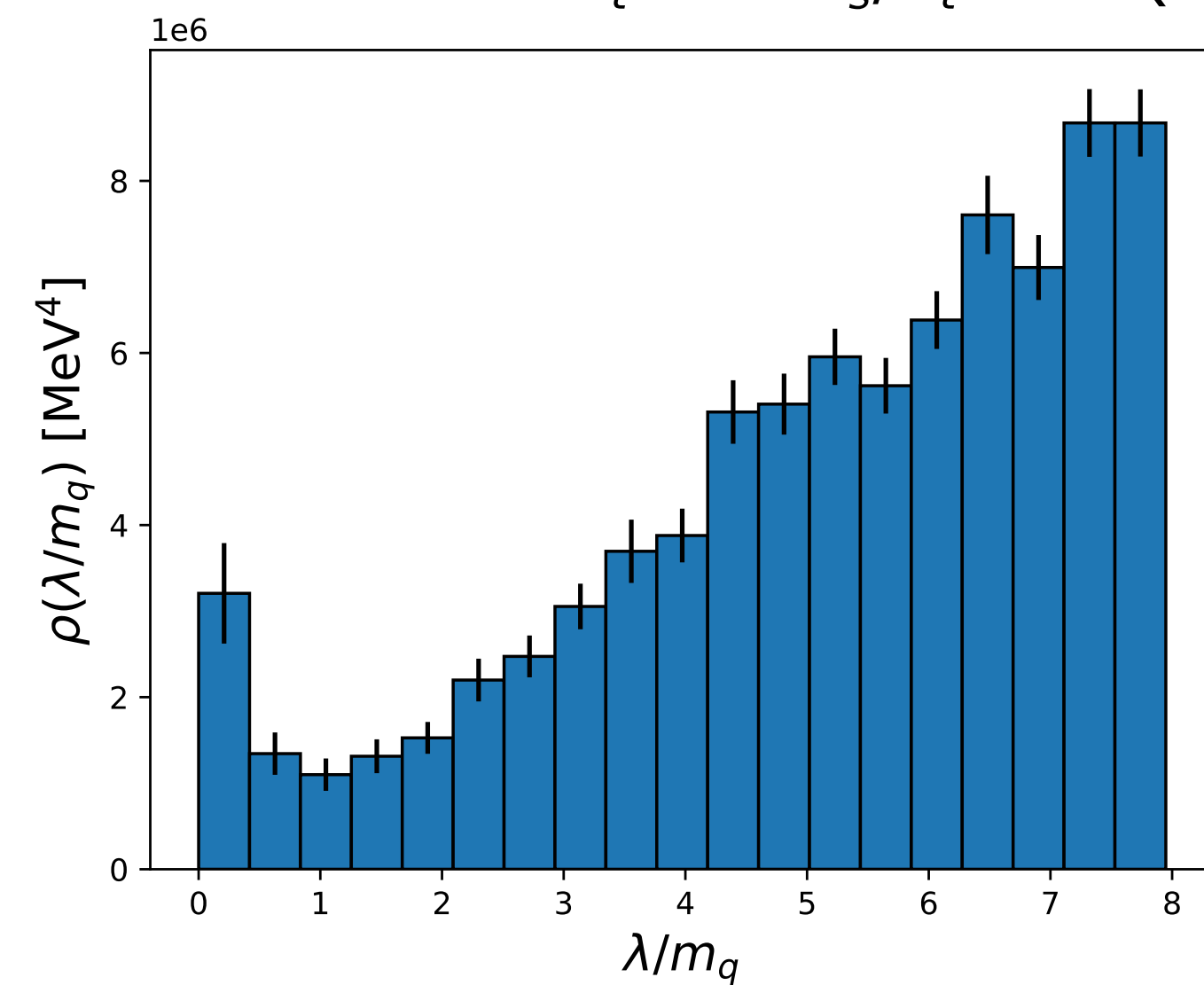
$T = 170 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 3$



$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 4$   $Q = 0$



$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$



Peak  $\rho(\lambda \rightarrow 0)$ :  
Large  $N_s/N_t \gtrsim 4 - 5$

# Summary

- **Dynamical overlap fermions** at  $m_\pi = m_\pi^{\text{phys}}$ 
  - Preliminary data around  $T_{\text{pc}}$ , mainly  $N_t = 8$

- Simulations at fixed  $Q$

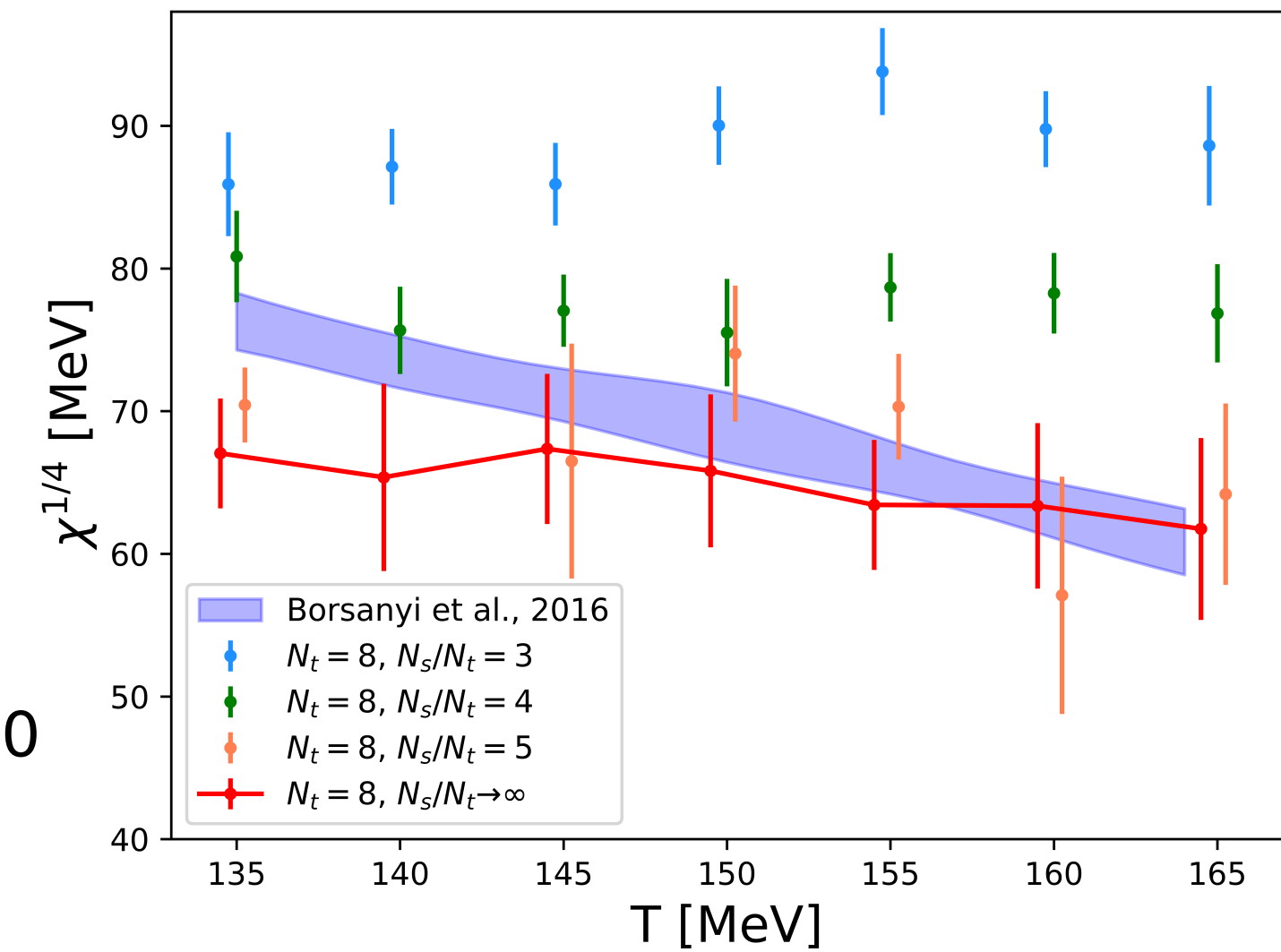
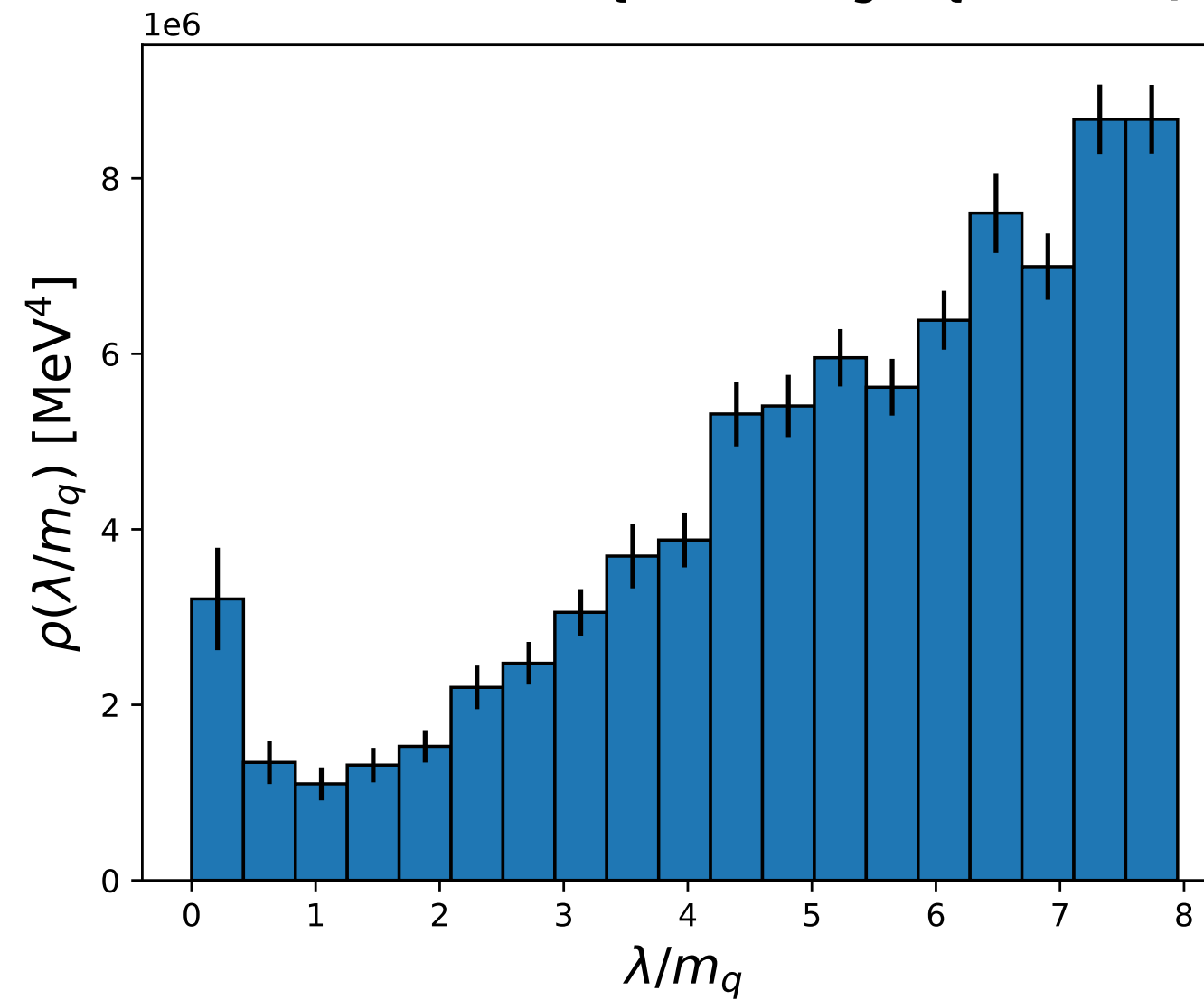
- **Summation over  $Q$**

- $\chi_Q$  from overlap simulations

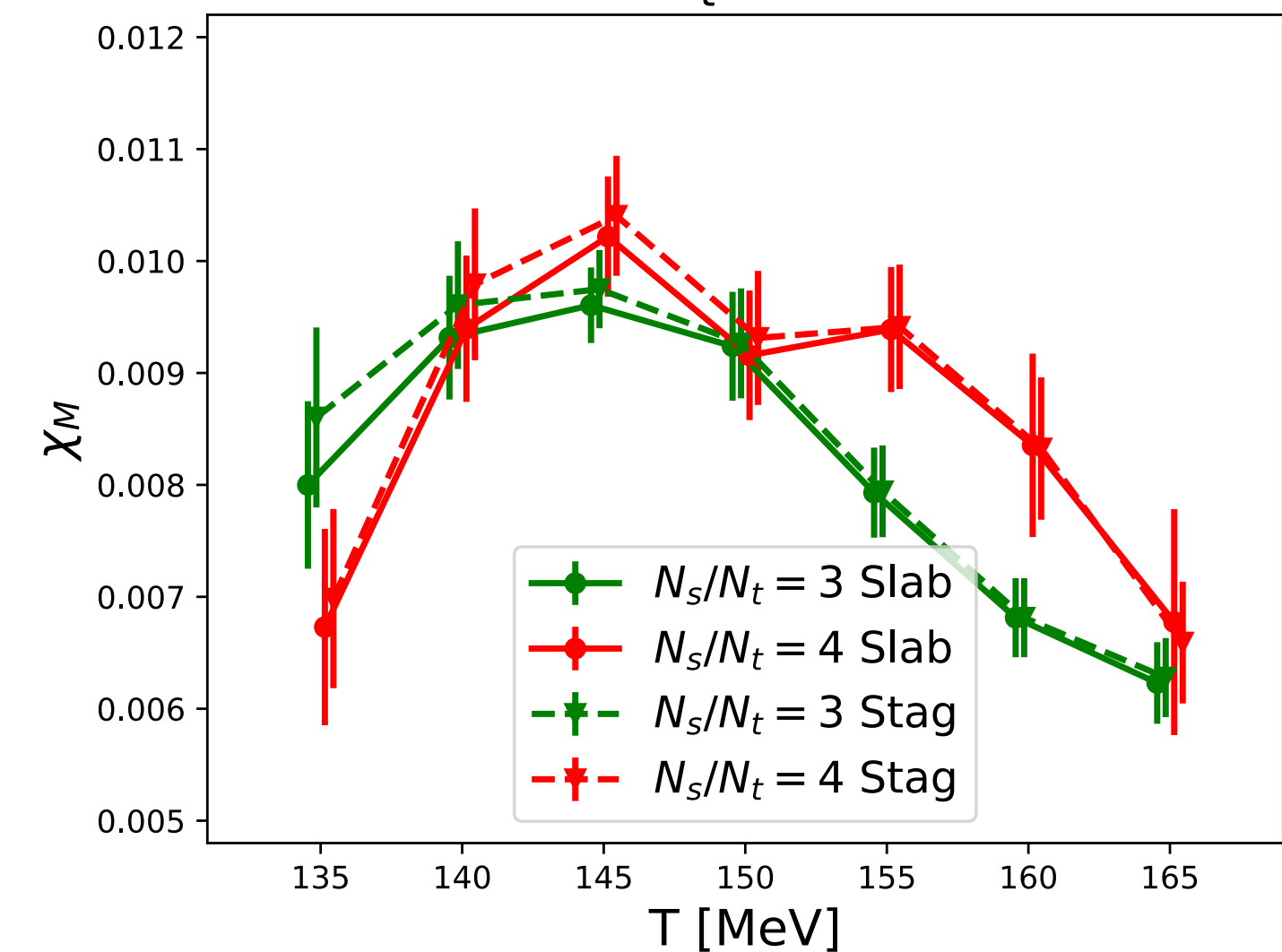
- Dirac spectrum: **peak at  $\rho(\lambda \rightarrow 0)$**

for  $N_s/N_t \gtrsim 4 - 5$  at  $T \gtrsim T_{\text{pc}}$

$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$



$N_t = 8$

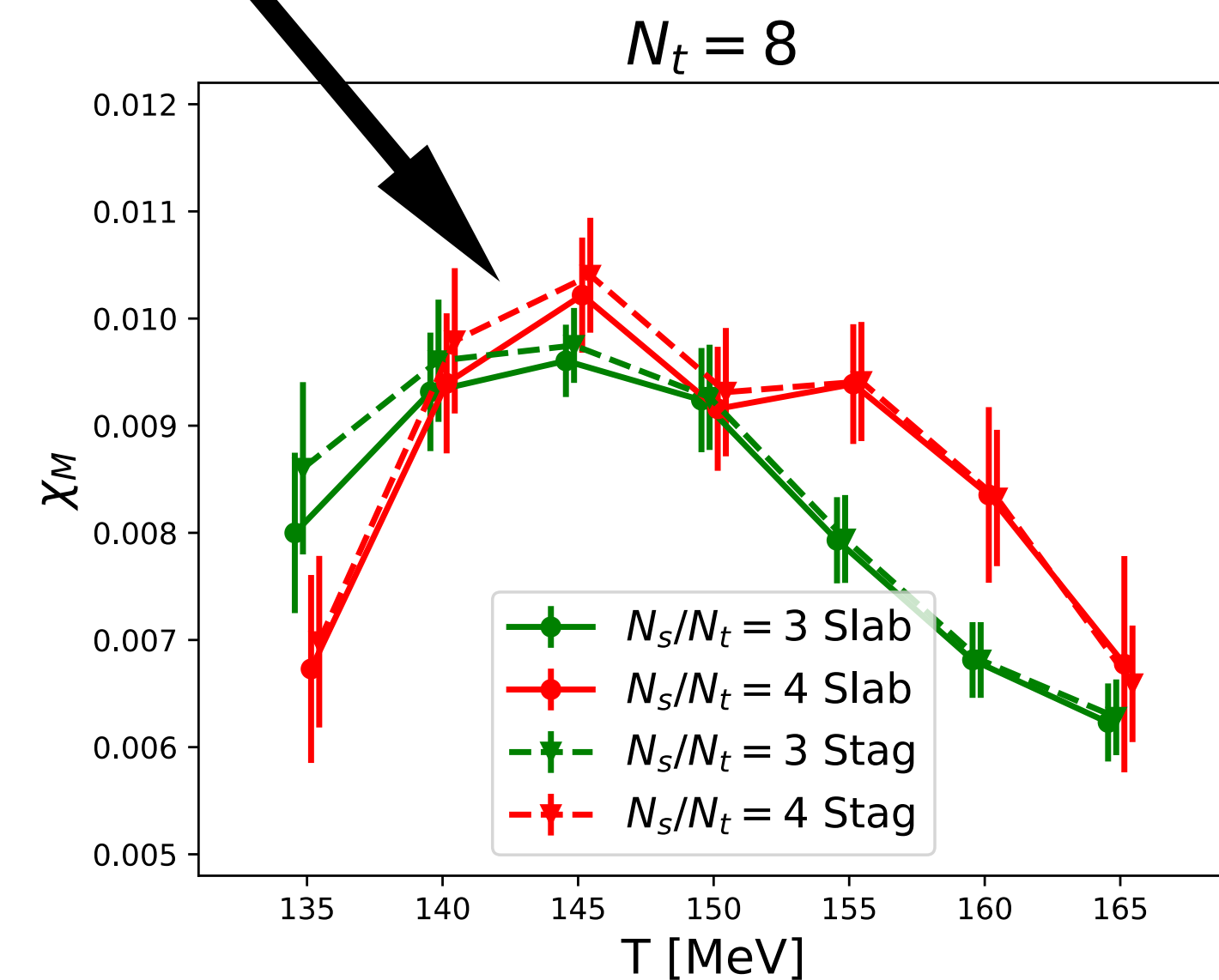
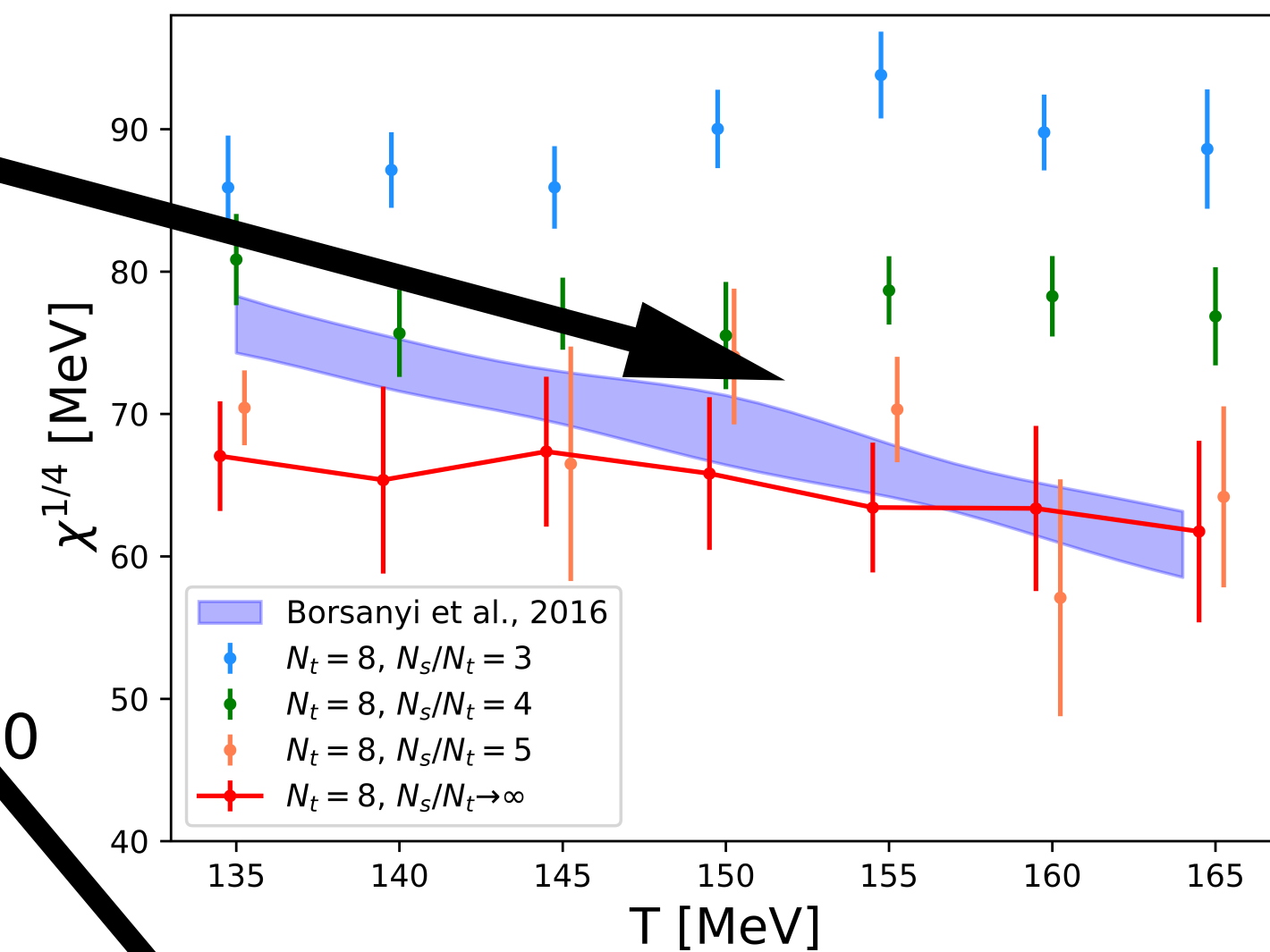
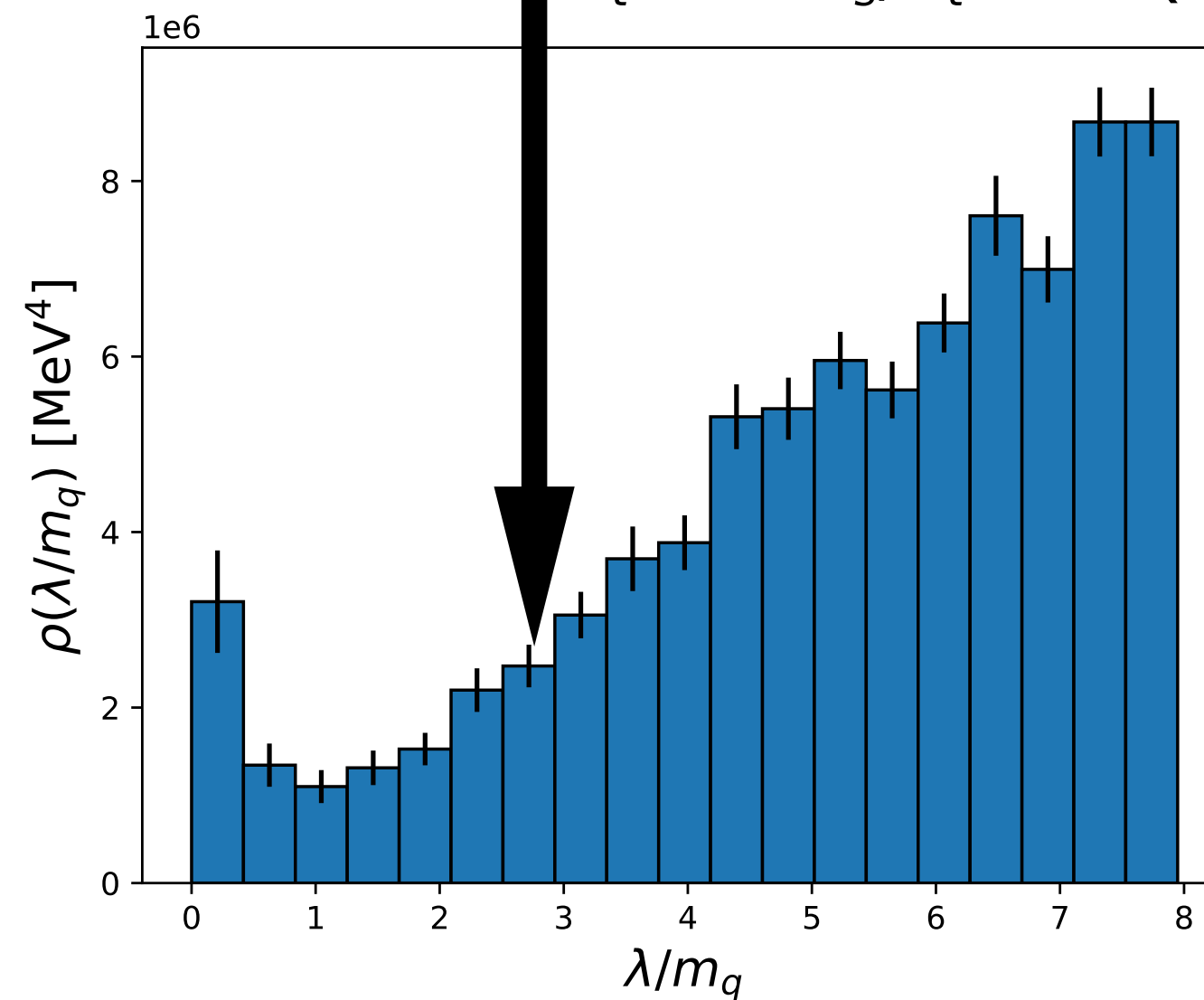


# Summary

- **Dynamical overlap fermions** at  $m_\pi = m_\pi^{\text{phys}}$ 
  - Preliminary data around  $T_{\text{pc}}$ , mainly  $N_t = 8$
  - Simulations at fixed  $Q$
  - **Summation over  $Q$**
- $\chi_Q$  from overlap simulations
- Dirac spectrum: **peak at  $\rho(\lambda \rightarrow 0)$**   
for  $N_s/N_t \gtrsim 4 - 5$  at  $T \gtrsim T_{\text{pc}}$

Purely overlap result!

$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$

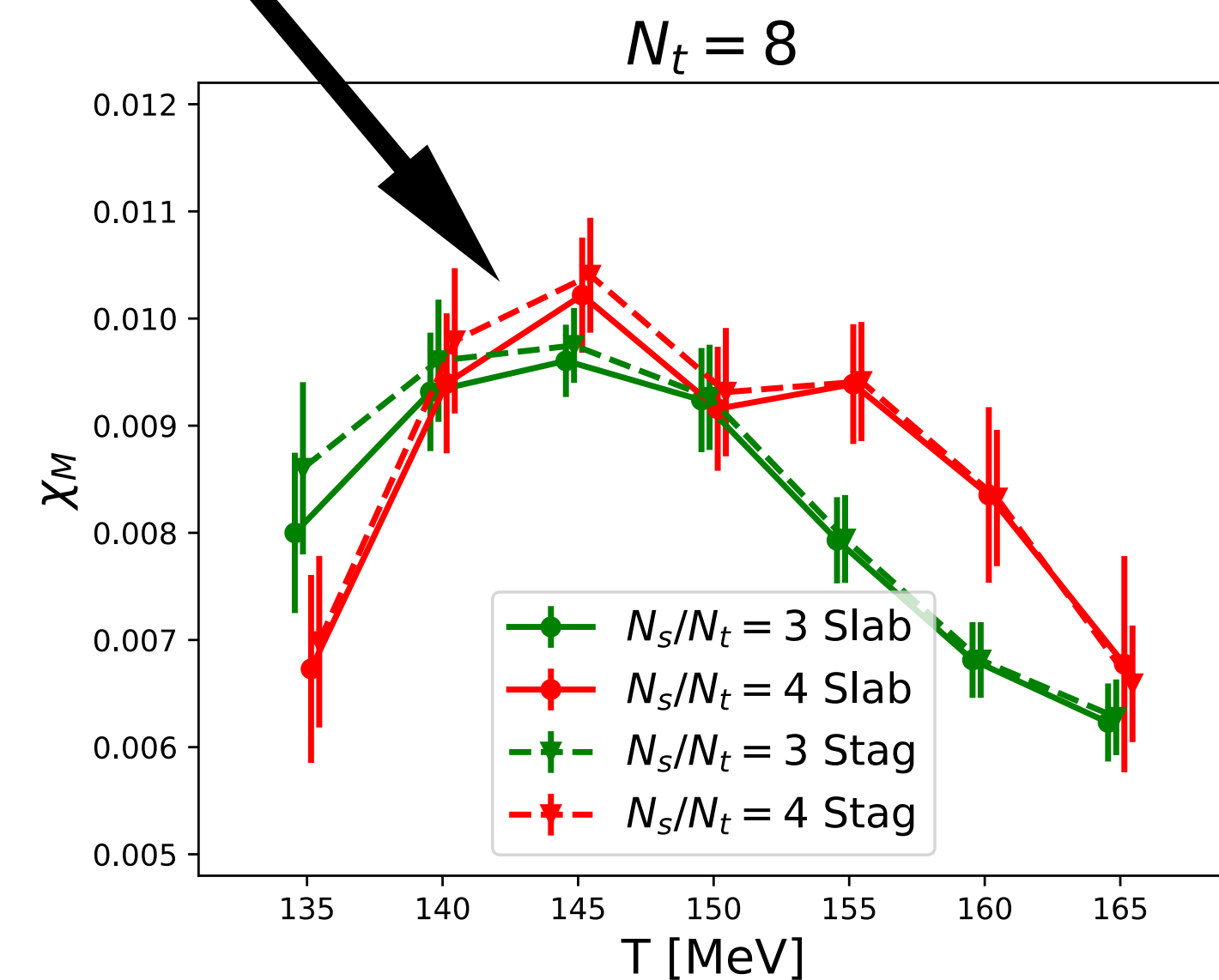
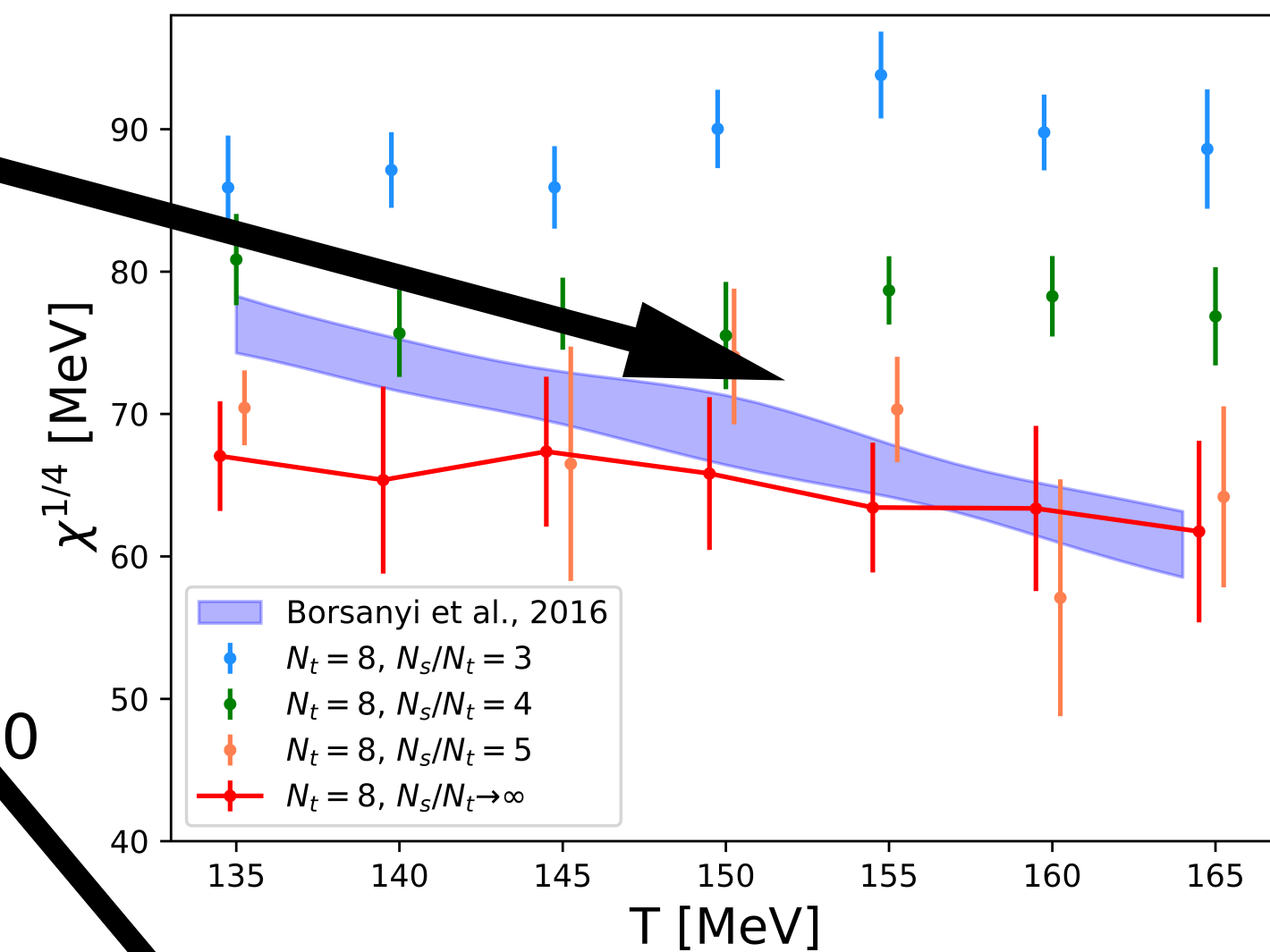
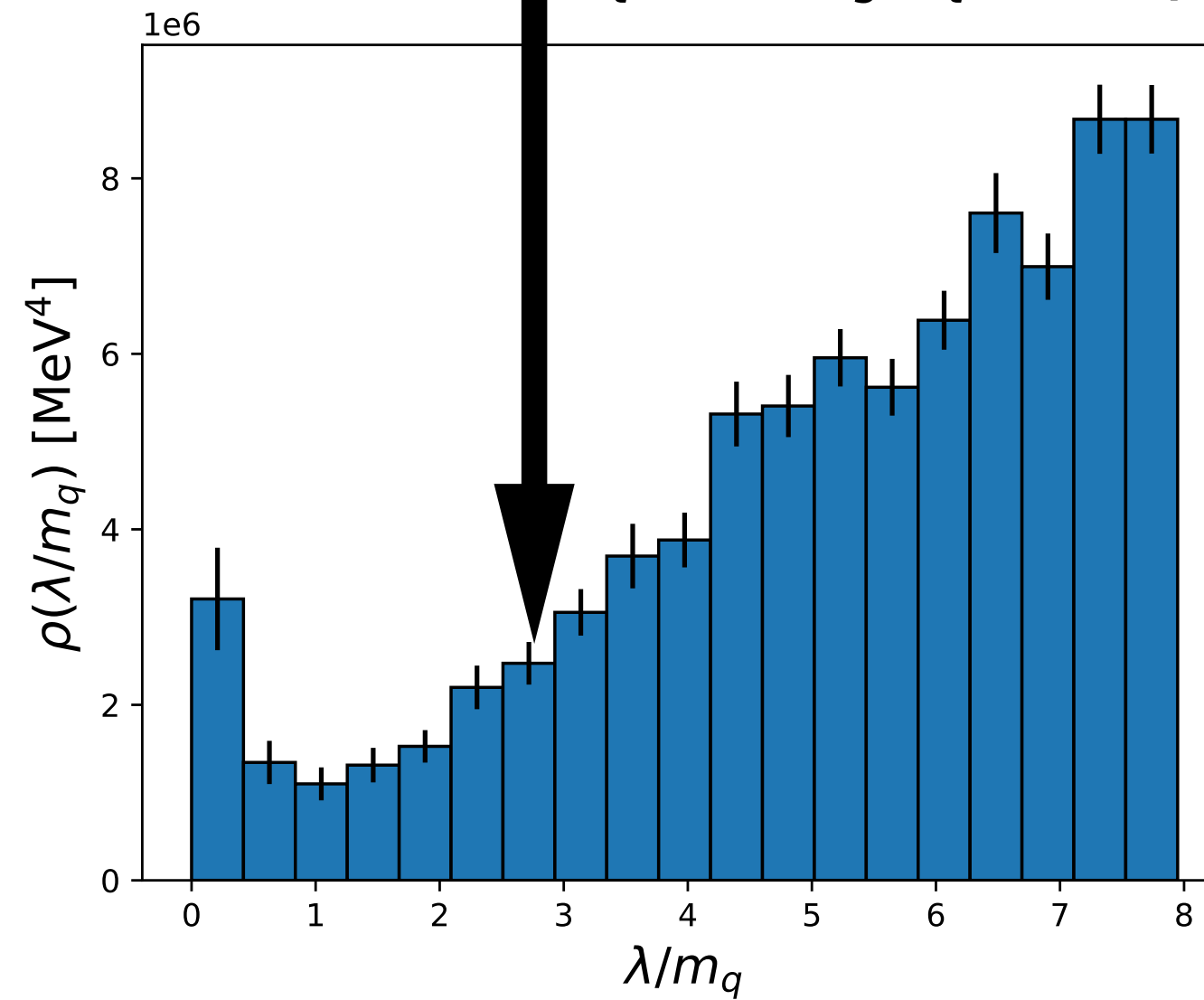


# Summary

- **Dynamical overlap fermions** at  $m_\pi = m_\pi^{\text{phys}}$ 
  - Preliminary data around  $T_{\text{pc}}$ , mainly  $N_t = 8$
  - Simulations at fixed  $Q$
  - **Summation over  $Q$**
- $\chi_Q$  from overlap simulations
- Dirac spectrum: **peak at  $\rho(\lambda \rightarrow 0)$**   
for  $N_s/N_t \gtrsim 4 - 5$  at  $T \gtrsim T_{\text{pc}}$

Purely overlap result!

$T = 170.0 \text{ MeV}$   $N_t = 8$   $N_s/N_t = 5$   $Q = 0$



Thank you for your attention!

**Backup**



# Action details

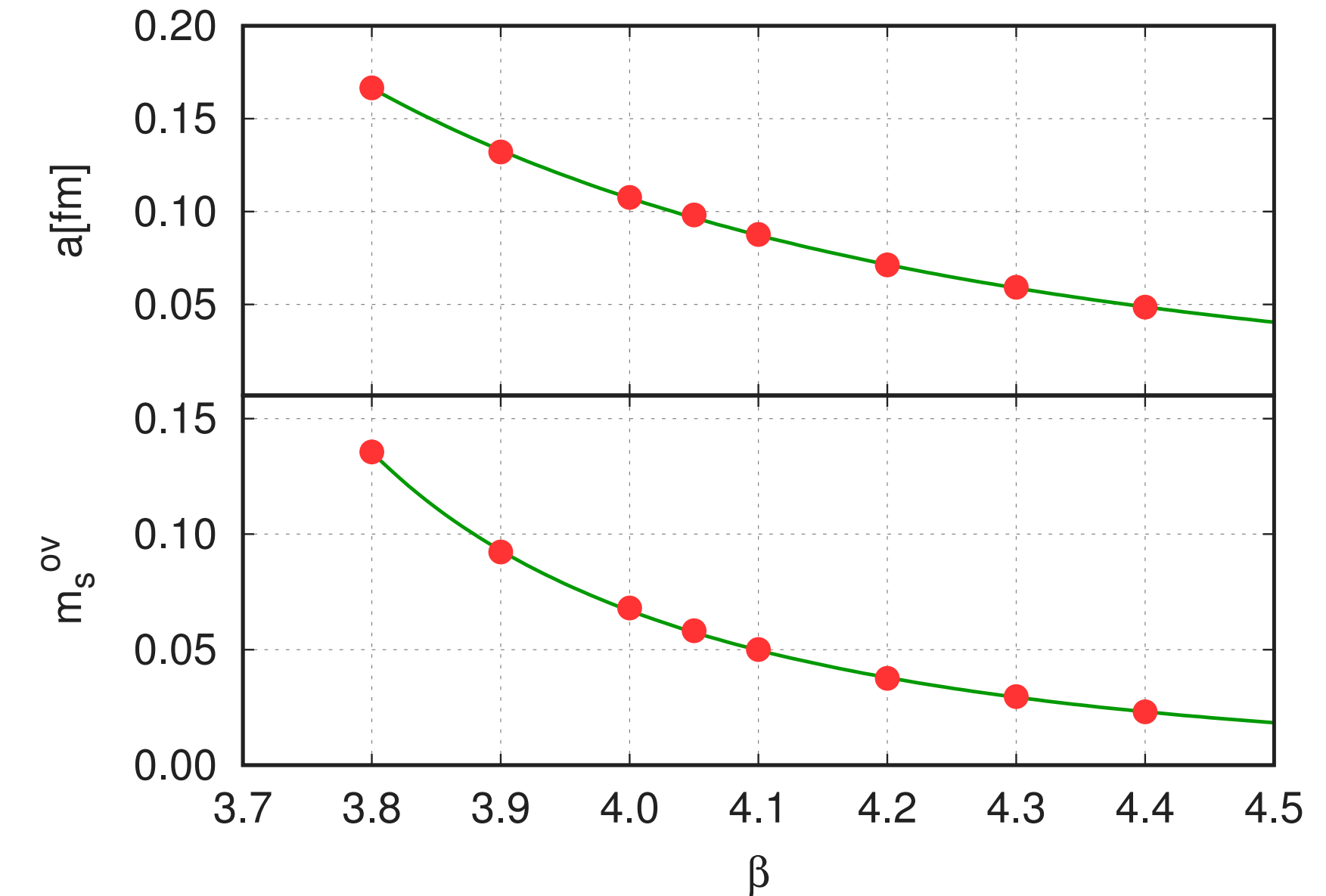
- Symanzik improved gauge action
  - Fermion sector: 2 steps of HEX smeared gauge fields
  - $N_f = 2 + 1$  flavours of overlap quarks, **physical** masses
  - 2 flavours of Wilson fermions with mass  $-m_W$
  - Two boson fields with mass  $m_B a = 0.54$
  - $O(1000 - 10000)$  MD trajectories per point  $(Q, T, L)$
- $a \rightarrow 0$  : irrelevant
  - Keep  **$Q = \text{const}$**  ( $Q = 0$ )
  - Make calculations faster

[Fukaya et al., 2006]

# Lattice details, scale setting

## Scale setting from simulations with large $m_\pi$

- Simulations are done along the LCP
- Scale setting: require  $T = 0$  simulations
- $N_f = 3$  staggered simulations,  $T = 0$ ,  $w_0^{(3)} = 0.153(1)$  fm,  $m_\pi^{(3)} = 712(5)$  MeV
- $N_f = 3$  overlap simulations,  $T = 0$ , at each  $\beta$  tune  $m_s^{\text{ov}}$  to have  $m_\pi w_0 \equiv m_\pi^{(3)} w_0^{(3)}$
- $N_f = 2 + 1$  overlap simulations,  $T \neq 0$ :  $m_s = m_s^{\text{ov}}$ ,  $m_{ud} = R m_s^{\text{ov}}$ ,  $a = w_0^{(3)} / w_0^{\text{ov}}$
- Physical point:  $m_{ud} = m_{ud}^{(phys)}$ ,  $m_s = m_s^{(phys)}$



[Borsanyi et al., 2016]

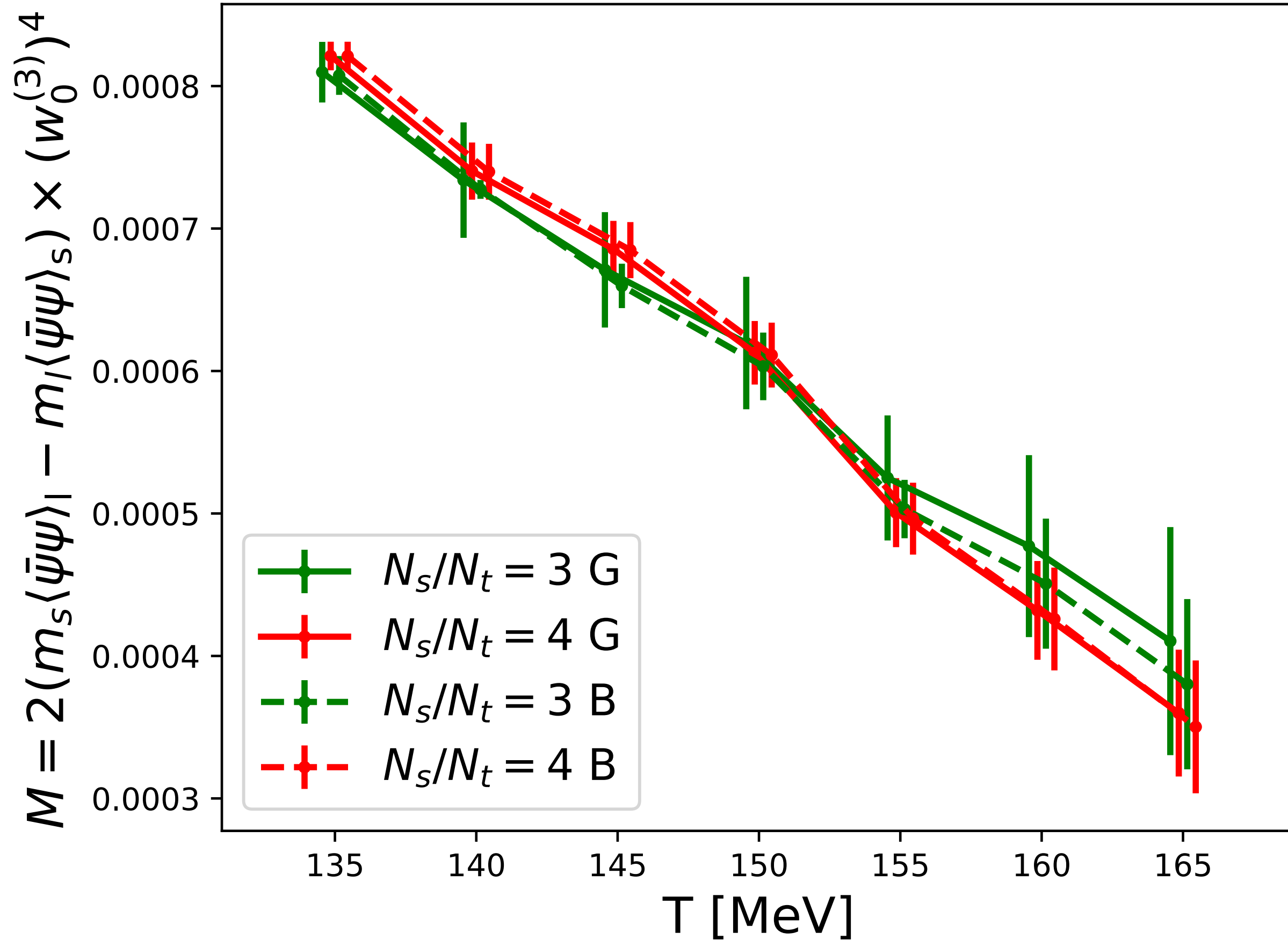
# Implementing odd number of flavours

Exploiting  $Q = \text{const}$

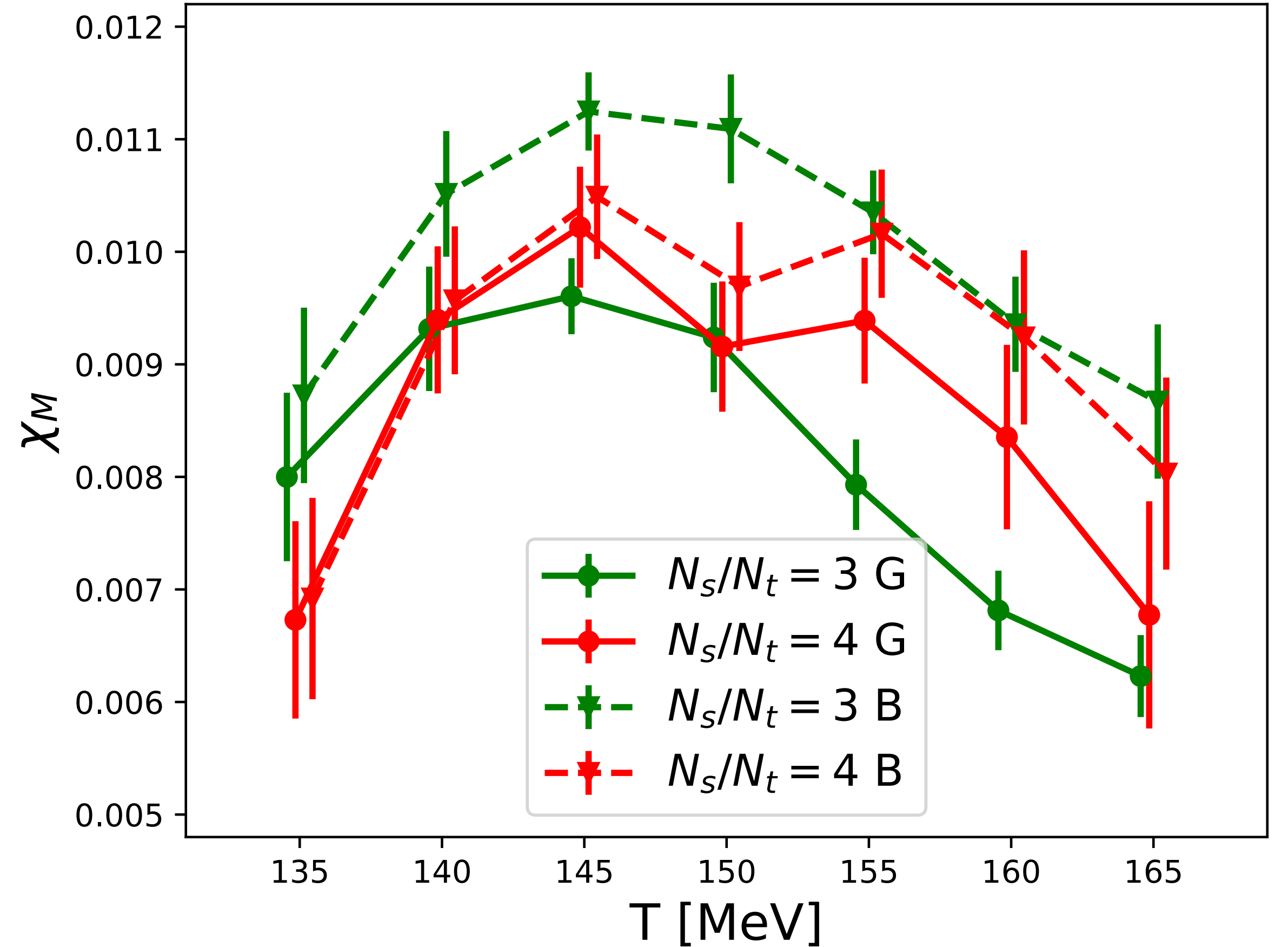
- Monte Carlo: determinant of a **hermitian** operator  $H^2 = D_{\text{ov}} D_{\text{ov}}^\dagger$ :  $N_f = 2$
- To simulate  $N_f = 1$  (strange quark): need to take the **square root**
- Chirality projectors:  $P_\pm = \frac{1 \pm \gamma_5}{2}$ ,  $H_\pm^2 = P_\pm H^2 P_\pm$
- Fixed topology  $Q = \text{const}$ :  
 $\det H^2 \sim \det H_+^2 \det H_-^2 \sim (\det H_+^2)^2 \sim (\det H_-^2)^2$
- Take  $\det H_+^2$  or  $\det H_-^2$

# Summing over topological sectors

$N_t = 8$



$N_t = 8$



- Gaussian:  $Z_Q/Z_0 = e^{-Q^2/(2\chi V)}$  - central limit theorem
- Bessel:  $Z_Q/Z_0 = I_V(\chi V)$  - motivated by free instanton-antiinstanton gas