

# Chiral symmetry breaking in hot QCD

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# Symmetries of QCD and their realization

- partition function  $Z = \int \mathcal{D}U \prod_f \det(D[U] + m_f) \cdot e^{-S_g[U]}$
- $m_u \approx m_d \approx 0$
- Symmetries:  $SU(2)_V \times SU(2)_A \times U(1)_V \times U(1)_A$ 
  - $U(1)_A$  anomalous
  - $SU(2)_A$  spontaneously broken below  $T_c$
- Order parameter of  $SU(2)_A$  (Banks-Casher formula):

$$\langle \bar{\psi} \psi \rangle \propto \frac{1}{V} \sum_i \frac{1}{\lambda_i + m} \propto \int_{-\Lambda}^{\Lambda} d\lambda \frac{m}{\lambda^2 + m^2} \rho(\lambda) \xrightarrow{m \rightarrow 0} \rho(0)$$

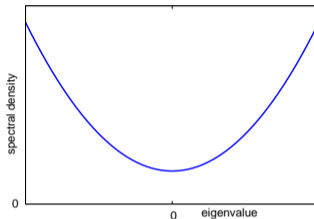
$\lambda_i$ : eigenvalues of the Dirac operator,  $\rho(\lambda)$ : its spectral density

# The finite temperature transition

## Standard picture

Below  $T_c$

- Chiral symmetry broken
- Order parameter:  
 $\rho(0) \neq 0$



# The finite temperature transition

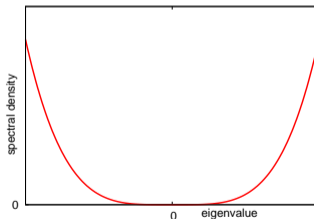
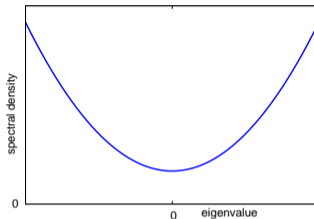
## Standard picture

### Below $T_c$

- Chiral symmetry broken
- Order parameter:  
 $\rho(0) \neq 0$

### Above $T_c$

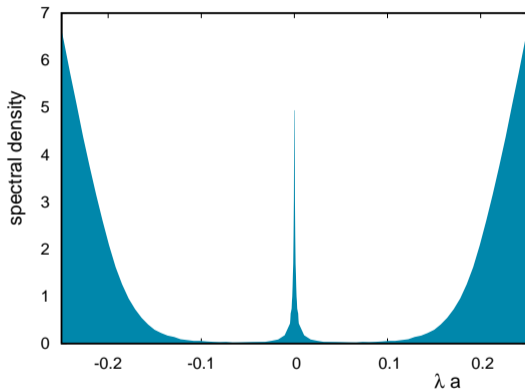
- Chiral symmetry restored
- Order parameter  $\rho(0) = 0$
- (Pseudo)gap (lowest Matsubara mode)



spectral density at 0  $\iff$  realization of chiral symmetry

# Spectral density at $T = 1.1 T_c$ on the lattice

quenched (quark back reaction omitted), exact zero modes not shown



$$Z = \int \mathcal{D}U \prod_f \det(D[U] + m_f) \cdot e^{-S_g[U]}$$

Peak at zero in the spectral density!

Edwards et al. PRD 61 (2000); Alexandru & Horvath, PRD 92 (2015); 2404.12298; Kaczmarek, Mazur, Sharma, PRD 104 (2021) 2021

- Why is there a peak at zero?
- How is it suppressed if the quark determinant is included?
- How does the peak influence chiral symmetry as  $m \rightarrow 0$ ?

## Instantons $\rightarrow$ zero eigenvalues of $D(A)$

- (Anti)instanton  
 $\rightarrow$  zero eigenvalue of  $D(A)$  with  $(-)+$  chirality eigenmode
- High  $T$ :  
large instantons “squeezed out” in the temporal direction  
 $\rightarrow$  dilute gas of instantons and antiinstantons
- Zero modes exponentially localized:

$$\psi(r) \propto e^{-\pi Tr}$$

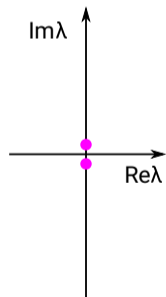
# Instanton-antiinstanton pair

The Dirac operator in the subspace of zero modes

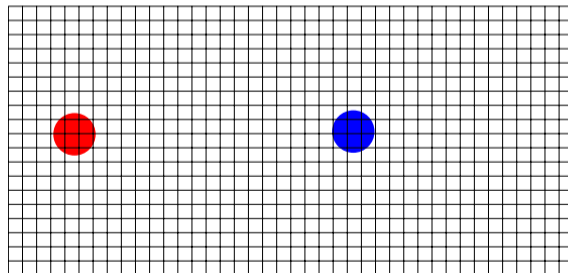
$$D(A) = \begin{pmatrix} 0 & iw \\ iw & 0 \end{pmatrix}$$

$$w \propto e^{-\pi T r}$$

Spectrum of  $D(A)$



Instanton and antiinstanton





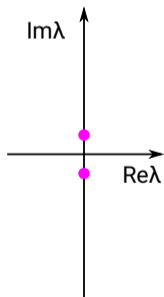
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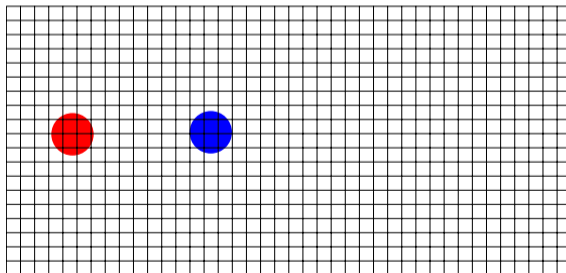
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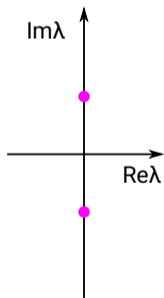
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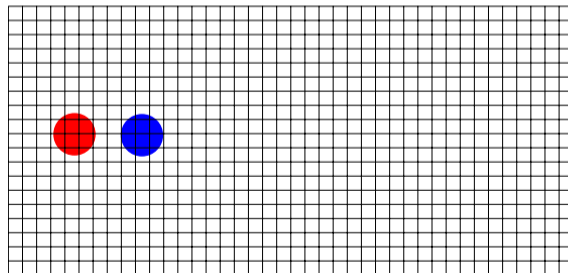
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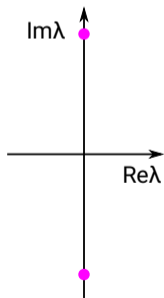
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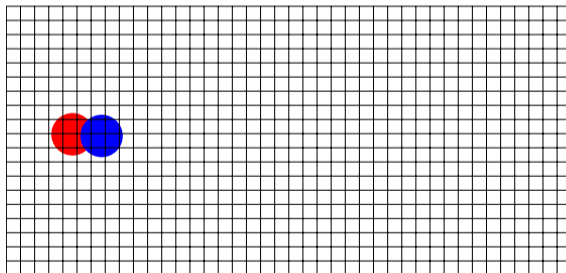
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Spectrum of  $D(A)$



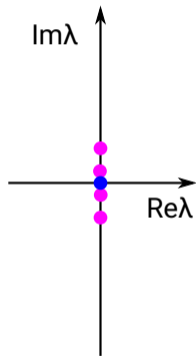
Instanton and antiinstanton



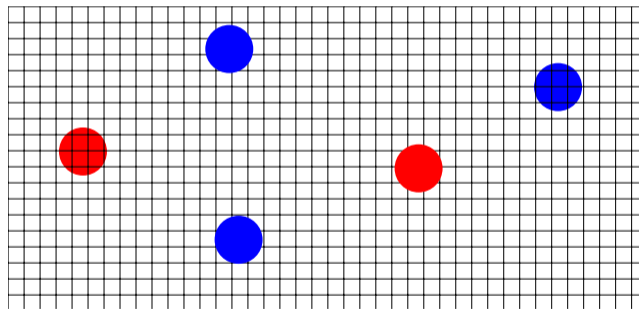
# Spectrum of $D(A)$ in dilute gas of instantons

The Dirac operator in the subspace of zero modes

Spectrum of  $D(A)$



Instantons and antiinstantons



$n_i$  instantons  $n_a$  antiinstantons

→  $|n_i - n_a|$  exact zero modes + mixing near zero modes

# Dirac operator in the subspace of zero modes (ZMZ)

Work by E.V. Shuryak, J.J.M. Verbaarschot, T. Schäfer... (1990-2000)

- Given  $n_i$  instantons,  $n_a$  antiinstantons in 3d box of size  $L^3$
- Construct  $(n_i + n_a) \times (n_i + n_a)$  matrix:

$$D = \begin{pmatrix} \overbrace{0}^{n_i} & \overbrace{iW}^{n_a} \\ iW^\dagger & 0 \end{pmatrix}$$

- $w_{ij} = A \cdot \exp(-\pi T \cdot r_{ij})$        $r_{ij}$  is the distance of instanton  $i$  and antiinstanton  $j$

# Random matrix model of $D(A)$ in the zero mode zone

- How to choose instanton numbers  $(n_i, n_a)$  and locations?

- Quenched lattice  $T > 1.05 T_c \rightarrow$  free instanton gas

Bonati et al. PRL 110 (2013); Vig R. & TGK, PRD 103 (2021)

- $n_i$  and  $n_a$  independent identical Poisson-distributed

$$p(n_i, n_a) = e^{-\chi V} \cdot \frac{(\chi V/2)^{n_i}}{n_i!} \cdot \frac{(\chi V/2)^{n_a}}{n_a!}$$

$\chi$  is the topological susceptibility

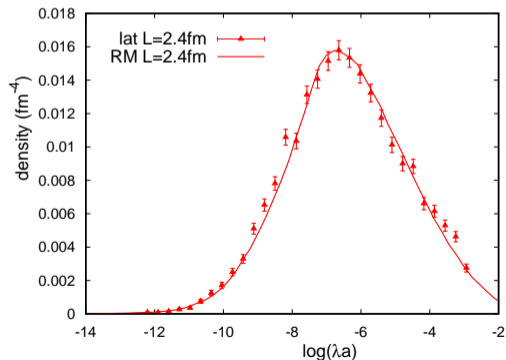
- Locations random (uniform)
- $\rightarrow$   $D(A)$  in quenched QCD: ensemble of random matrices

# Fit parameters to quenched lattice Dirac spectrum

$T = 1.1 T_c$  overlap Dirac spectrum

- Two parameters:
  - $\chi$  – topological susceptibility: from exact zero modes  $\rightarrow \chi = \langle Q^2 \rangle / V$
  - $A$  – prefactor of the exponential mixing between zero modes
- Fit  $A$  to distribution of Dirac eigenvalues (lowest eigenvalue)

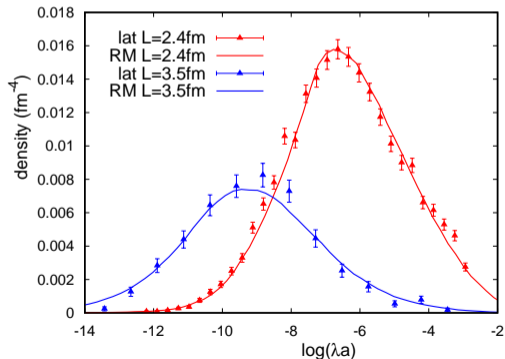
$L = 2.4\text{fm}$  fit



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$L = 2.4\text{fm}$  fit

$L = 3.5\text{fm}$  prediction



# Random matrix model of full QCD zero mode zone

- Include  $\det(D + m)^{N_f}$  in Boltzmann weight

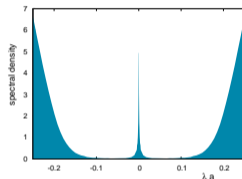
- $\det(D + m) = \prod_{\text{zms}} (\lambda_i + m) \times \prod_{\text{bulk}} (\lambda_i + m)$

- Bulk weakly correlated with zero mode zone

- Approximate det with  $\prod_{\text{zms}} (\lambda_i + m)$

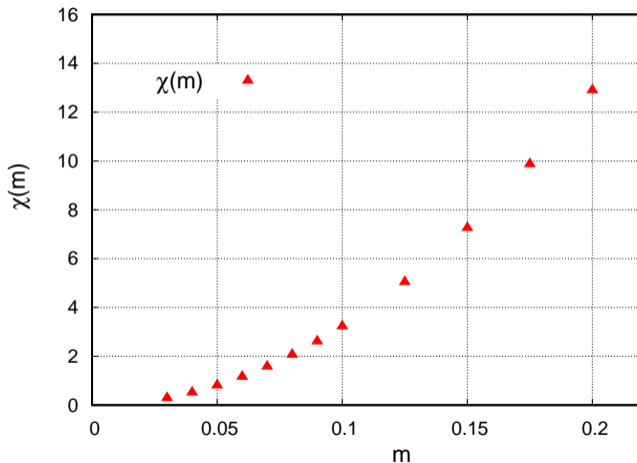
- Consistently included in RM model:

$$P(n_i, n_a) = \underbrace{e^{-\chi_0 V} \frac{1}{n_i!} \frac{1}{n_a!} \left( \frac{\chi_0 V}{2} \right)^{n_i + n_a}}_{\text{free instanton gas with random locations}} \times \det(D + m)^{N_f}$$



# Random matrix simulation: results for $N_f = 2$

Topological susceptibility:



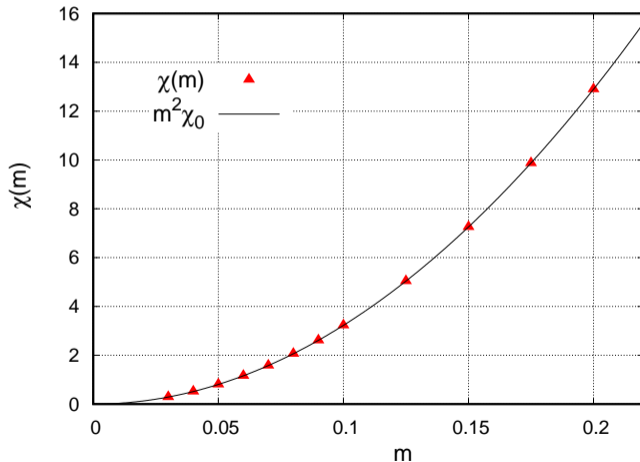
# Random matrix simulation: results for $N_f = 2$

Topological susceptibility:

$$\chi(m) = m^2 \chi_0$$

not a fit!

↑ quenched susceptibility



# Explanation: free instanton gas

- Quark determinant for  $n_i$  instantons and  $n_a$  antiinstantons:

$$\det(D + m)^{N_f} = \prod_{n_i, n_a} (\lambda_j + m)^{N_f} \approx m^{N_f(n_i + n_a)}$$

if  $|\lambda_j| \ll m$

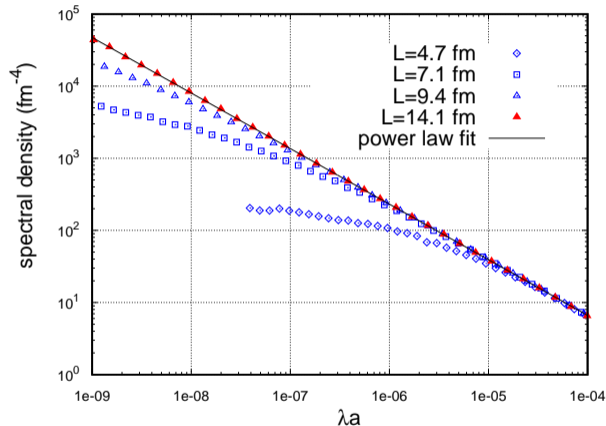
- Reweighting depends on number of topological objects, not on their type or location

$$P(n_i, n_a) \propto \left(\frac{\chi_0 V}{2}\right)^{n_i + n_a} \times \det(D + m)^{N_f} \approx \left(\frac{m^{N_f} \chi_0 V}{2}\right)^{n_i + n_a}$$

- Free gas, but susceptibility suppressed as  $\chi_0 \rightarrow m^{N_f} \chi_0$
- As  $m \rightarrow 0$  instanton gas more dilute  $\Rightarrow |\lambda_j|$  smaller
- Even in the chiral limit  $|\lambda_j| \ll m \Rightarrow$  free instanton gas

# Spectral density singular at the origin for $V \rightarrow \infty$

RM model simulation, parameters from quenched  $T = 1.1 T_c$  overlap spectrum



$$\rho(\lambda) \propto \lambda^\alpha$$

fit:  $\alpha = -0.770(5)$

Singular spectral density from similar instanton model:

Sharan and Teper, hep-ph/9910216

Banks-Casher for a singular spectral density?

# “Banks-Casher” for singular spectral density

$$\langle \bar{\psi} \psi \rangle \propto \left\langle \sum_i \frac{m}{m^2 + \lambda_i^2} \right\rangle \approx \underbrace{\left( \text{avg. number of in-stantons in free gas} \right)}_{m^{N_f} \chi_0 V} \cdot \frac{1}{m} = m^{N_f-1} \chi_0 V$$

$|\lambda_i| \ll m$

# “Banks-Casher” for singular spectral density

$$\langle \bar{\psi} \psi \rangle \propto \left\langle \sum_i \frac{m}{m^2 + \lambda_i^2} \right\rangle \approx \underbrace{\left( \text{avg. number of in-stantons in free gas} \right)}_{m^{N_f} \chi_0 V} \cdot \frac{1}{m} = m^{N_f-1} \chi_0 V$$

$|\lambda_i| \ll m$

$U(1)_A$  breaking susceptibility  $\chi_\pi - \chi_\delta$

$$\left\langle \sum_i \frac{m^2}{(m^2 + \lambda_i^2)^2} \right\rangle \approx \underbrace{\left( \text{avg. number of in-stantons in free gas} \right)}_{m^{N_f} \chi_0 V} \cdot \frac{1}{m^2} = m^{N_f-2} \chi_0 V$$

$$\rightarrow \lim_{m \rightarrow 0} (\chi_\pi - \chi_\delta) \neq 0 \quad \text{for } N_f = 2$$

- RM model @ small  $m$   $\rightarrow$  also has instanton-antiinstanton molecules do not contribute to  $\langle \bar{\psi}\psi \rangle$  and  $\chi_\pi - \chi_\delta$  in the chiral limit
- Constraints on the Dirac spectrum from chiral symmetry restoration  
 $\rightarrow$  consistent with free instanton gas [M. Giordano, 2404.03546 \(2024\)](#)
- Localization properties of eigenmodes in ZMZ  
[M. Giordano and TGK, Universe 7 \(2021\);](#) [A. Alexandru and I Horvath, PRL 127 \(2021\), PLB 833 \(2022\)](#)
- What is the lowest temperature where the instanton gas is ideal?  
 $\rightarrow$  dynamical simulation with chiral quark action [talk by A. Kotov on Wed.](#)



# Conclusions for high enough $T < \infty$

- Breaking of chiral symmetry controlled by ideal instanton gas
- $N_f = 2$ :  $U(1)_A$  broken even in the chiral limit at any  $T < \infty$   
Symmetry is not spontaneously broken, but order of the  $m \rightarrow 0$  and  $V \rightarrow \infty$  limit still matters.
- Dirac spectral density has singular peak at zero

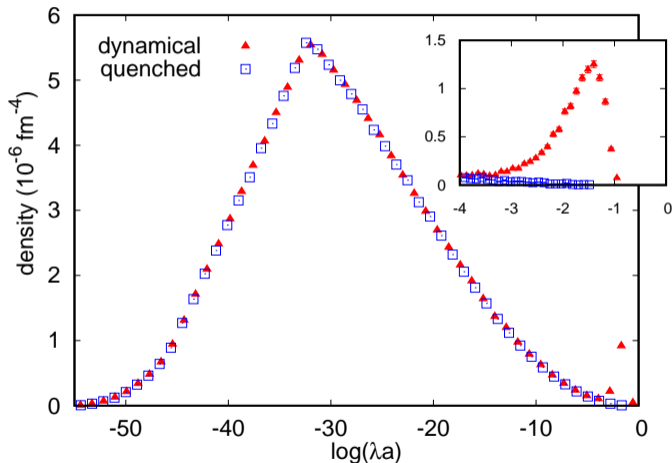
$$\rho(\lambda) \propto \lambda^{-p}$$

- $p < 1$  (integrable!)
- Smaller  $m_q$  or higher  $T \rightarrow p$  increases (peak more singular)
- Conjecture: if  $m \rightarrow 0$  or  $T \rightarrow \infty$ , then  $p \rightarrow 1$

# BACKUP SLIDES

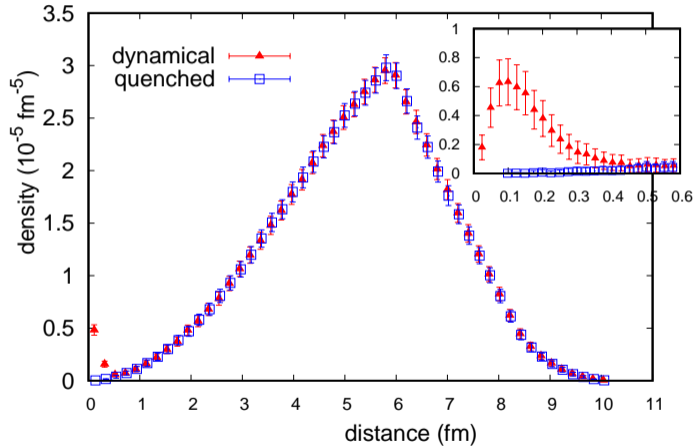
# Spectral density – full QCD vs. ideal instanton gas

random matrix model, same topological susceptibility



# Instanton-antiinstanton molecules

density of closest opposite charge pairs at given distance



# Direct lattice simulations?

- Important to resolve small Dirac eigenvalues  
→ chiral action needed [JLQCD, PRD 103 \(2021\)](#)
- To see spectral peak: large volume, close to  $T_c$  needed
- $\frac{\chi_\pi - \chi_\delta}{\chi_{\text{top}}} \propto m^{-2}$  instanton contribution independent of  $T$
- Explore how far down in  $T$  free instanton gas persists
  - Compare eigenvalue statistics to prediction of free instanton gas
  - Can be done in each topological sector separately