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# Diagrammatic Monte Carlo for Atomic Nuclei

Towards a consistent approach for nuclear structure and reactions: microscopic optical potentials

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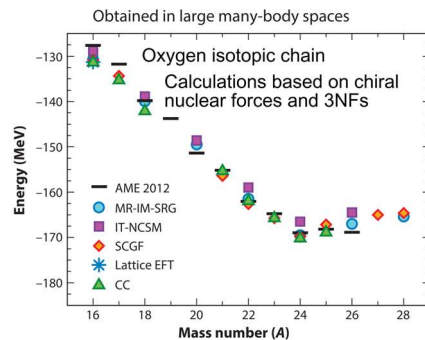
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<sup>2</sup>INFN, Sezione di Milano, Milan, Italy.

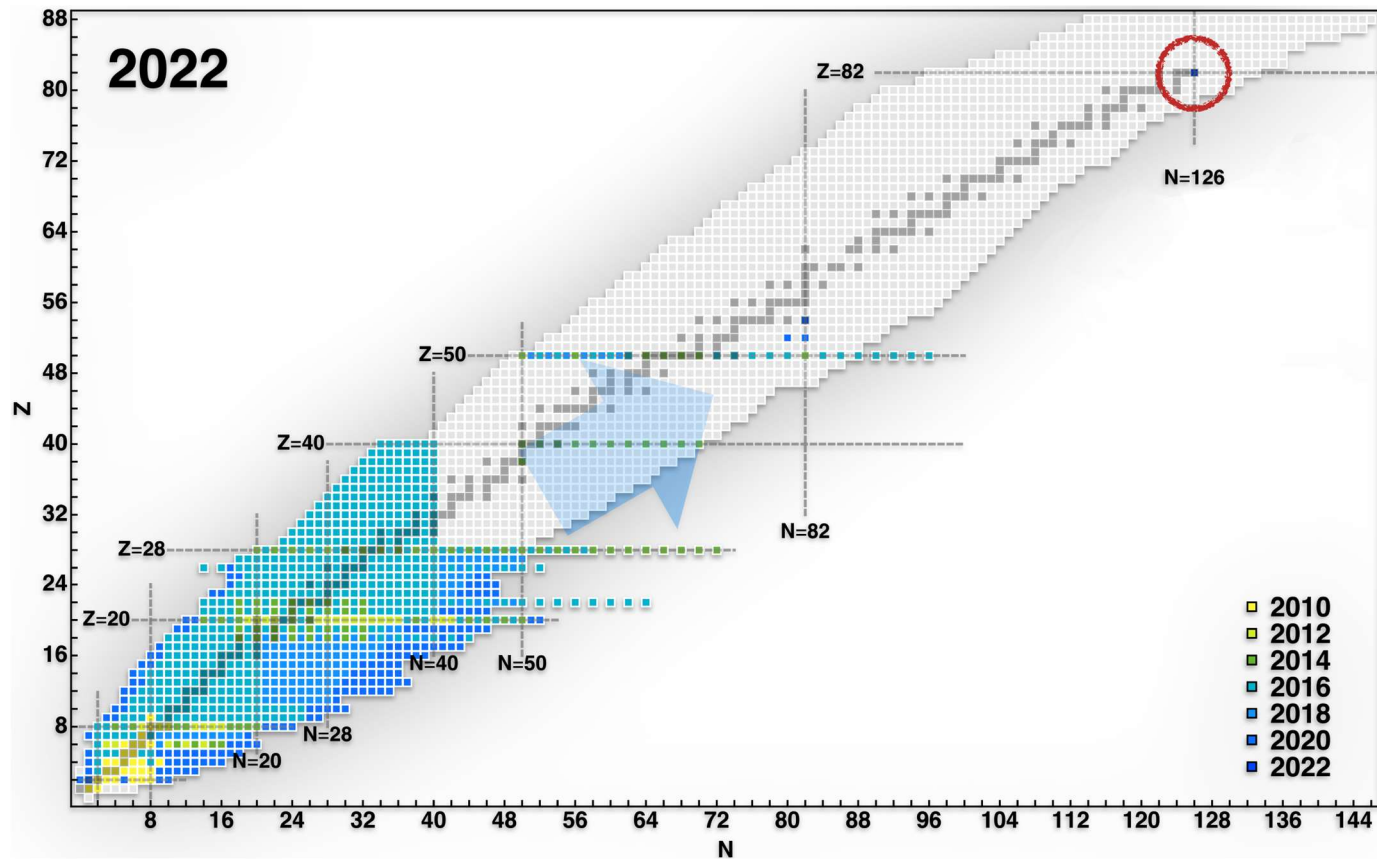


# Ab initio structure calculations

- Medium-light mass nuclei are well described.
- Most of the uncertainty comes from the Hamiltonian.
- Many-body methods (SCGF, NCSM, MBPT, IMSRG, CC, ...) agree on ground state structure.
- More recently: push for heavy and deformed nuclei.



Hebeler et al., *Annu. Rev. Nucl. Part. Sci.* (2015)



Hergert, *A Guided Tour of ab initio Nuclear Many-Body Theory*, *Front. Phys.* 8 (2020)

# The Green's function

The Green's function describes the propagation of a particle (hole) in the system.

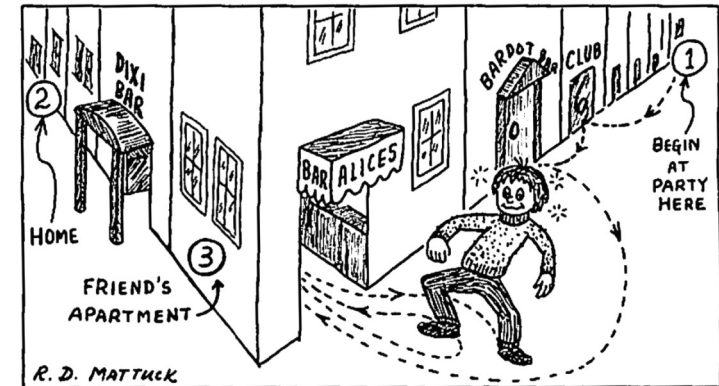
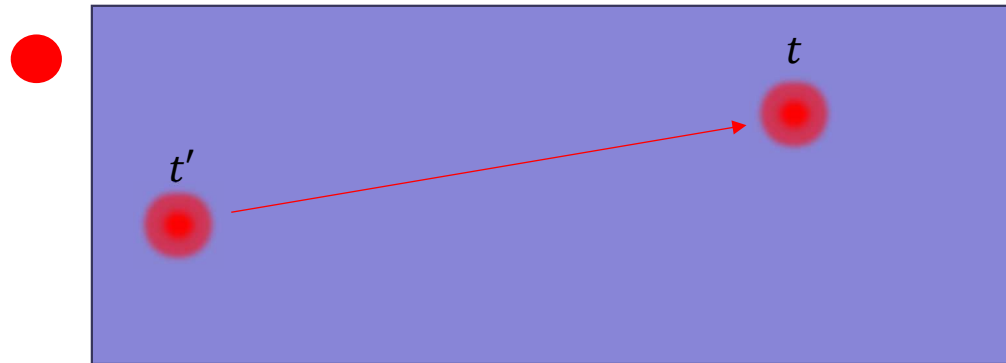


Fig. 1.1 Propagation of Drunken Man

*Mattuck, A Guide to Feynman Diagrams in the Many-Body Problem (1992)*



# Spectral function

$$G_{\alpha\beta}(\omega) = \sum_n \frac{(\chi_\alpha^n)^* \chi_\beta^n}{\omega - \varepsilon_n^+ + i\eta} + \sum_k \frac{y_\alpha^k (y_\beta^k)^*}{\omega - \varepsilon_k^- - i\eta}$$

$$(\chi_\alpha^n)^* = \langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle$$

$$\varepsilon_n^+ = E_n^{A+1} - E_0^A$$

$$y_\alpha^k = \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle$$

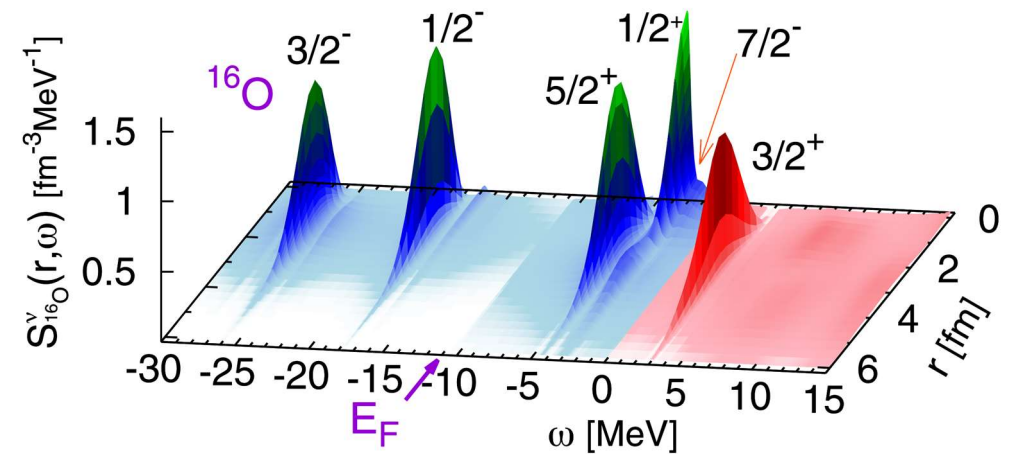
$$\varepsilon_k^- = E_0^A - E_k^{A-1}$$

The Green's function contains the full one-particle addition and removal spectroscopy

$$S(\omega) = \sum_n SF_n^+ \delta(\omega - \varepsilon_n^+) + \sum_k SF_k^- \delta(\omega - \varepsilon_k^-)$$

Probability of adding a nucleon to the single-particle orbit of energy  $\varepsilon_n^+$ .

Probability of removing a nucleon to the single-particle orbit of energy  $\varepsilon_k^-$ .



*Cipollone et al., Phys. Rev. C, 92, 014306 (2015)*

# Nuclear structure

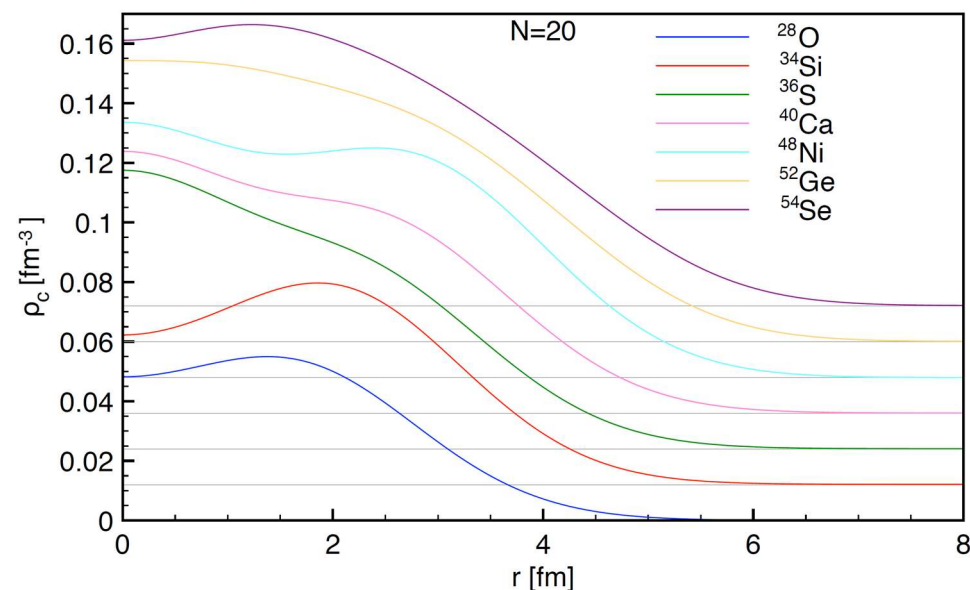
$$G_{\alpha\beta}(\omega) = \sum_n \frac{(\mathcal{X}_\alpha^n)^* \mathcal{X}_\beta^n}{\omega - \varepsilon_n^+ + i\eta} + \sum_k \frac{\mathcal{Y}_\alpha^k (\mathcal{Y}_\beta^k)^*}{\omega - \varepsilon_k^- - i\eta}$$

$$(\mathcal{X}_\alpha^n)^* = \langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \quad \mathcal{Y}_\alpha^k = \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle$$

$$\varepsilon_n^+ = E_n^{A+1} - E_0^A \quad \varepsilon_k^- = E_0^A - E_k^{A-1}$$

Structure information:

- All one-body observables (e.g. charge and point-matter densities and radii).
- Ground state energy.
- Single-particle energies and spectroscopic factors.




*SB, Bachelor's thesis (2020)*

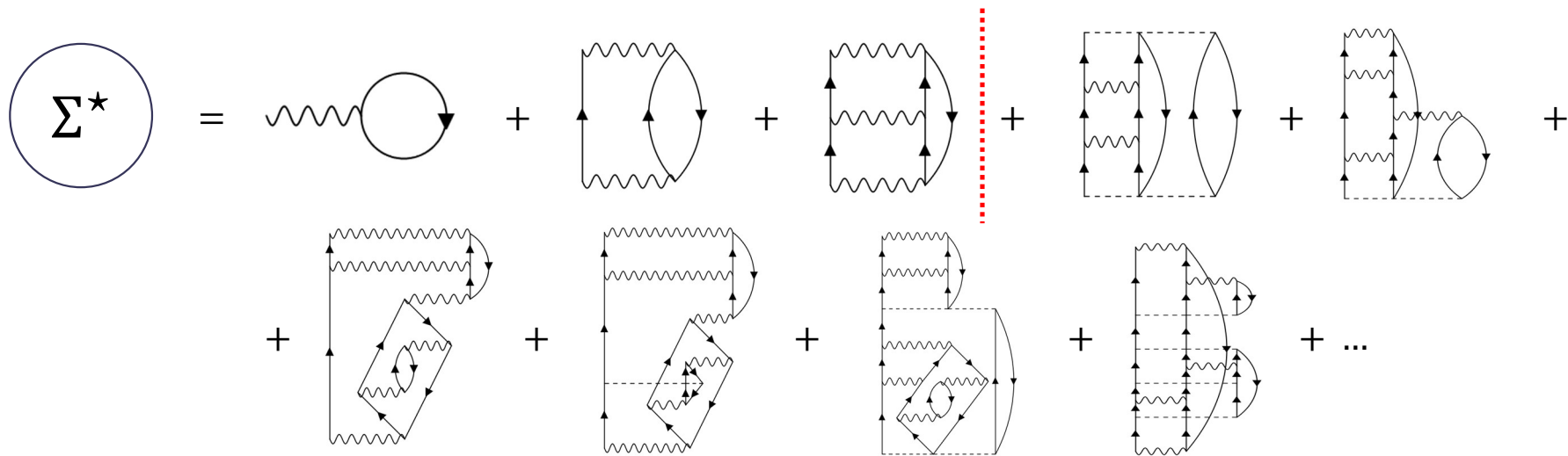


# Dyson equation

The Green's function is the exact solution of the Dyson equation

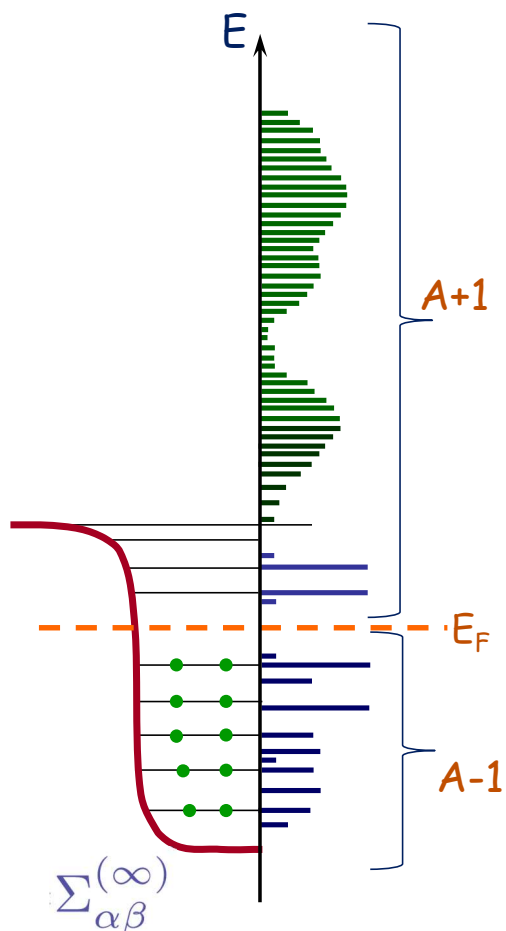
$$G_{\alpha\beta}(\omega) = G_{\alpha\beta}^{(0)}(\omega) + G_{\alpha\gamma}^{(0)}(\omega)\Sigma_{\gamma\delta}^*(\omega)G_{\delta\beta}(\omega)$$


  
 Unperturbed propagator      Irreducible self-energy, the central element of the theory





# Microscopic optical potential



The Feshbach formalism projects on the space of ground state + a particle flying off.

The self-energy is an extension of the Feshbach optical potential that includes both holes and particles.

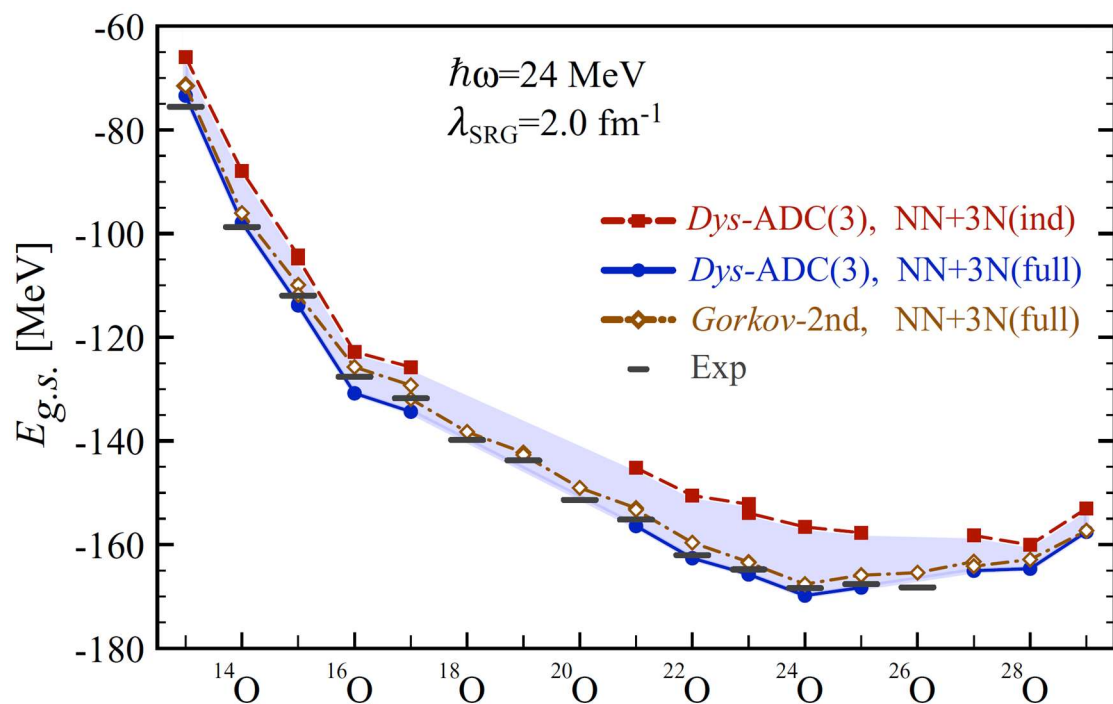
Complex, non-local, energy-dependent optical potential

$$\frac{k^2}{2m} \psi^{l,j}(k) + \int dk' k'^2 \Sigma^{l,j*}(k, k', E_{c.m.}, \eta) \psi^{l,j}(k') = E_{c.m.} \psi^{l,j}(k)$$

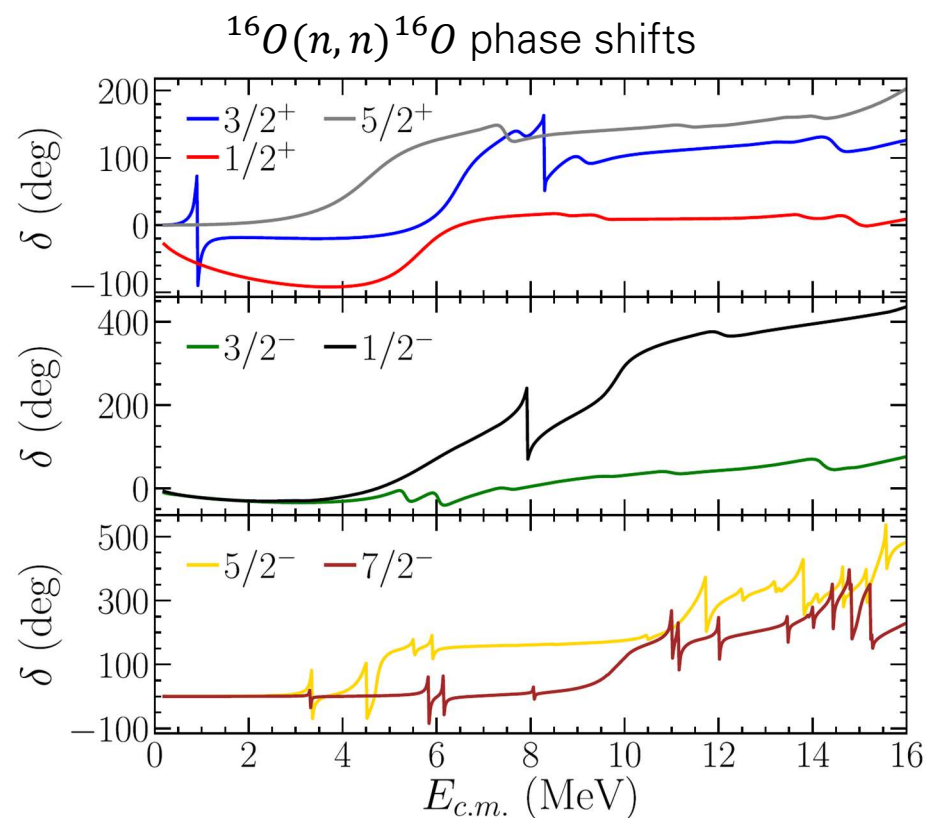
Dyson equation

# Structure AND Reactions

*The Green's function naturally contains both structure and reaction information*



Cipollone et al., Phys. Rev. C, 92, 014306 (2015)

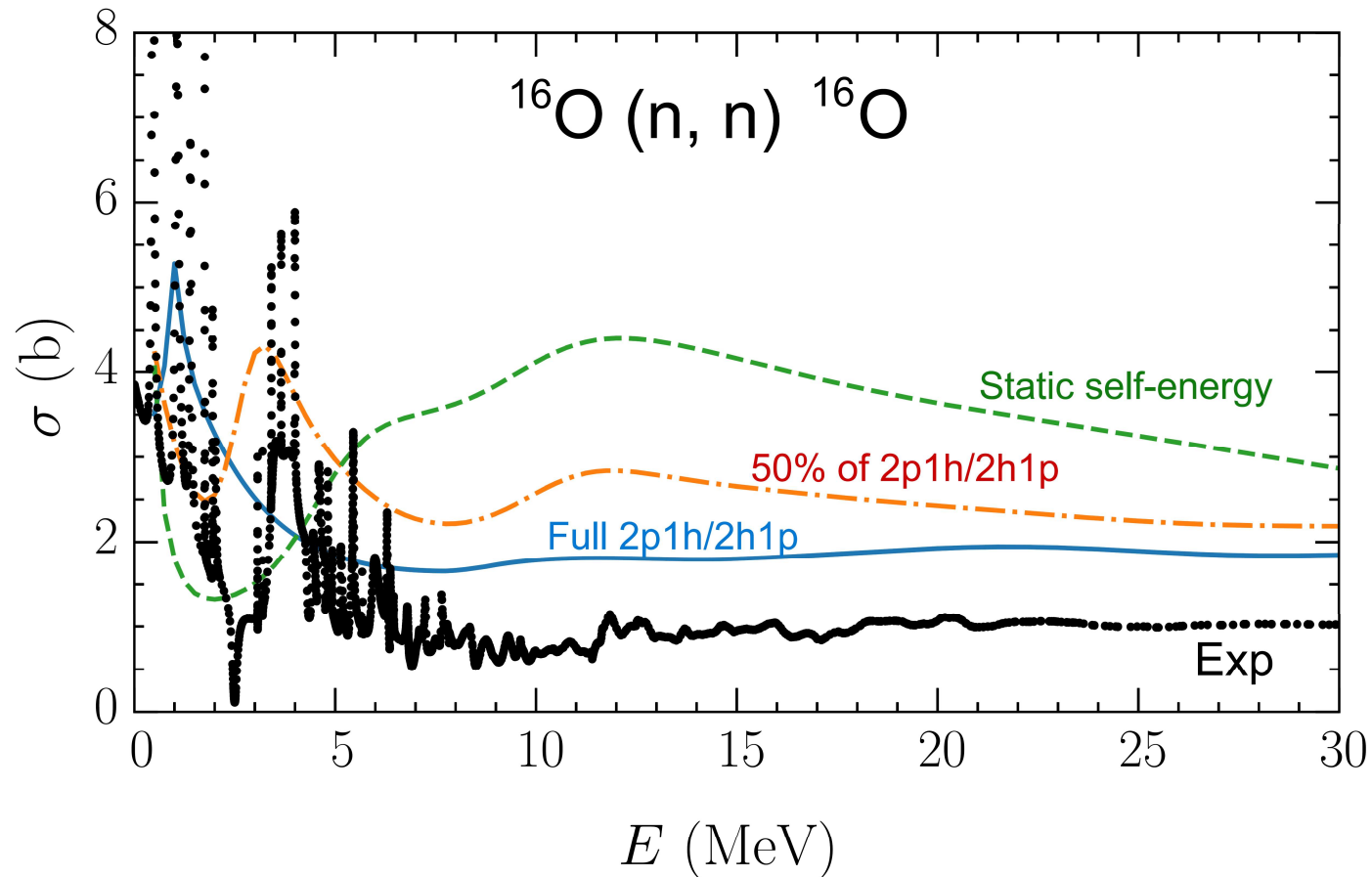


Idini et al., Phys. Rev. Lett., 123, 092501 (2019)





# Status of reactions



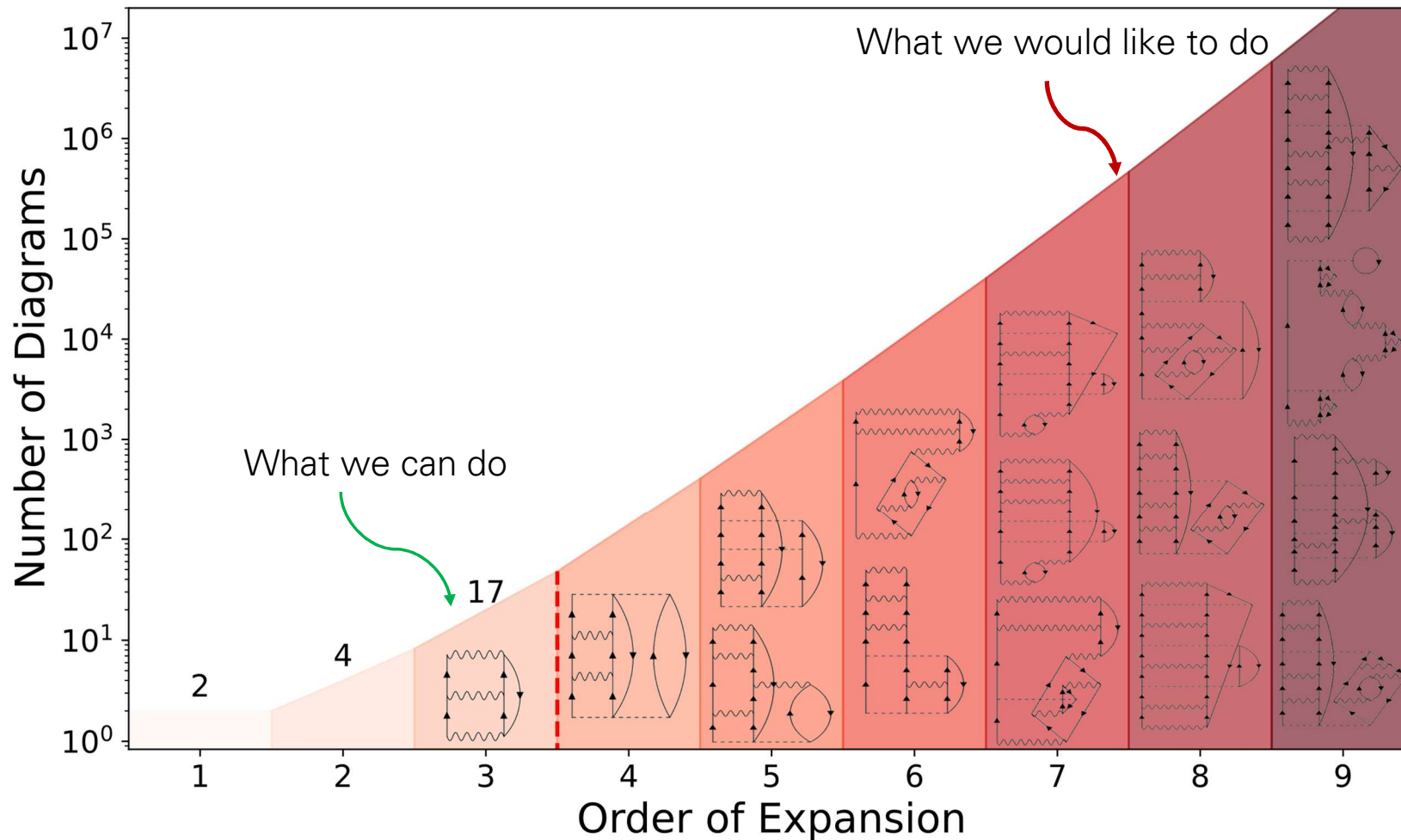
*Idini et al., Phys. Rev. Lett., 123, 092501 (2019)*

- We do not include ISCs beyond  $2p1h$ .
- $3p2h$  (and beyond) configurations have an important absorption contribution.
- As a result the cross section is overestimated.
- We need to include high order diagrams ( $\gg 3$ ).
- Diagrams at order 4 are already computationally unreachable.

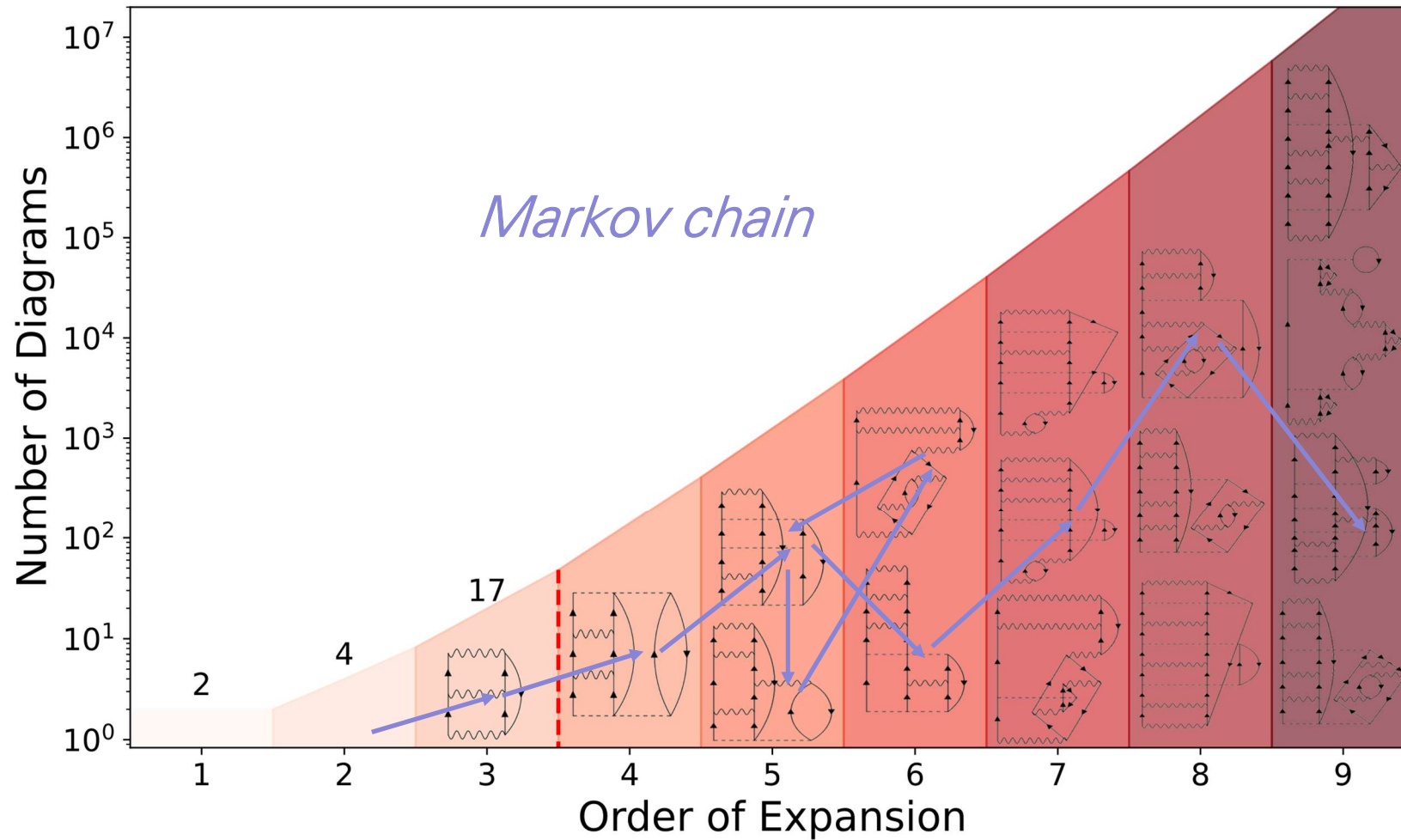


# What we have

# What we want



# Diagrammatic Monte Carlo sampling



# Diagrammatic Monte Carlo

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Diagrammatic Monte Carlo (diagMC) has been developed in the context of condensed matter physics.

- It proved to be a reliable way to include contributions from high order Feynman diagrams in solid state systems<sup>1</sup>.
- Designed for infinite systems in the finite temperature regime.

It has never been applied in nuclear physics systems, and it needs to be adapted to

- Handle discrete-level system at zero-temperature.
- Much more challenging *ab initio* nuclear interactions.
- DiagMC samples perturbation theory contributions, but the causality principle needs to be implemented non-perturbatively (Lehmann representation).

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1. *DiagMC included diagrams up to order 9 for the unitary Fermi gas, see K. Van Houcke et al., Phys. Rev. B., 99, 035140 (2019)*

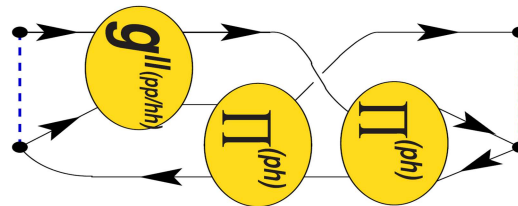


# Lehmann representation of the self-energy

Particle-vibration couplings

$$\Sigma_{\alpha\beta}^*(\omega) = \Sigma_{\alpha\beta}^{(\infty)} + \overbrace{M_{\alpha,r}^\dagger \frac{1}{\omega - [E^> + C]_{r,r'} + i\eta} M_{r',\beta} + N_{\alpha,s} \frac{1}{\omega - [E^< + D]_{s,s'} - i\eta} N_{s',\beta}^\dagger}^{\text{Particle-vibration couplings}}$$

Mean field



The Lehmann representation encapsulates the causality principle.

$ADC(n)$  truncations were developed with the aim of preserving the Lehmann representation.

State-of-the-art  $ADC(3)$  only retains  $2p1h$  intermediate state configurations (ISCs).

Nuclear structure



Reactions

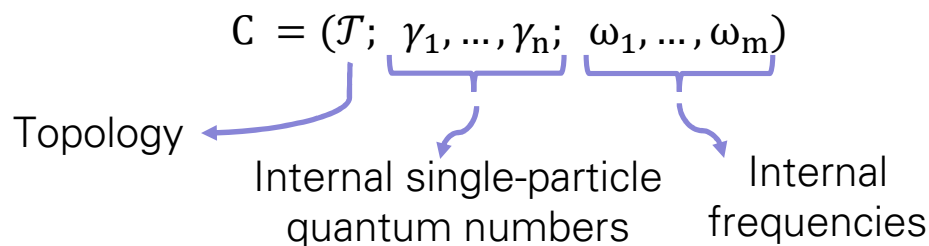
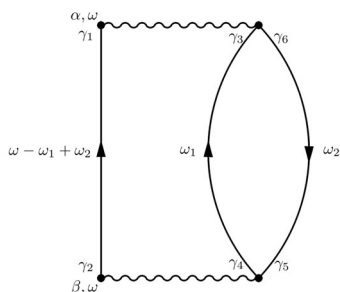


# A bit of mathematical machinery (just a bit, I promise)

We can project the self-energy on a basis  $B_n(\omega)$  (for us orthonormalized Legendre polynomials over a range  $[\omega_{min}, \omega_{Max}]$ ).

DiagMC simulates this overlap

$$\Sigma_{\alpha\beta}^*(\omega) = \sum_n \Sigma_{\alpha\beta}^n B_n(\omega), \quad \Sigma_{\alpha\beta}^n = \int d\omega B_n(\omega) \Sigma_{\alpha\beta}^*(\omega)$$



Diagrams of the self-energy expansion

Normalization factor

$$\Sigma_{\alpha\beta}^n = \int d\omega \int dC B_n(\omega) D_{\alpha\beta}(\omega, C) \mathbf{1}_{\mathcal{T} \in S_\Sigma} = Z_{\alpha\beta} \int d\omega \int dC B_n(\omega) \frac{|D_{\alpha\beta}(\omega, C)|}{Z_{\alpha\beta}} e^{i \text{arg}[D_{\alpha\beta}(\omega, C)]} \mathbf{1}_{\mathcal{T} \in S_\Sigma}$$

$$= Z_{\alpha\beta} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{j=1}^N B_n(\omega_j) e^{i \text{arg}[D_{\alpha\beta}(\omega_j, C_j)]} \mathbf{1}_{\mathcal{T}_j \in S_\Sigma}$$

$w_{\alpha\beta}(\omega, C)$ , probability distribution function

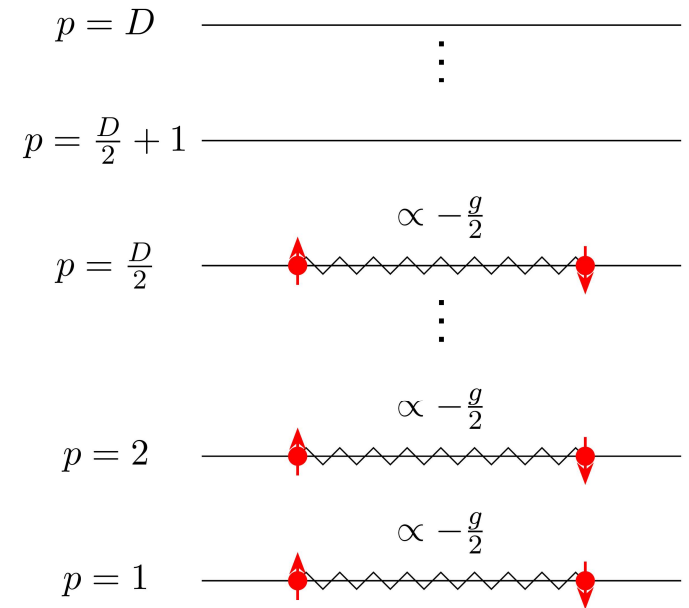


# Proof of principle: Richardson pairing model

$$H^{(D)} = \sum_{p=1}^D \sum_{s=\uparrow,\downarrow} (p-1) c_{ps}^\dagger c_{ps} - \frac{g}{2} \sum_{p,q=1}^D c_{p\uparrow}^\dagger c_{p\downarrow}^\dagger c_{q\downarrow} c_{q\uparrow}$$

Energy level
Spin (up or down)

- $D$  equally spaced energy levels.
- Particles of opposite spin on the same energy level interact with a constant interaction.
- We consider a half-filling situation of  $D/2$  pairs of nucleons ( $D$  is even).
- Simple but challenging due to a pure pairing interaction (we'll see that *ADC(3)* does not do well for this model).
- Exactly solvable: it has been used to benchmark many-body methods<sup>1</sup>.



1. M. Hjorth-Jensen et al., *An Advanced Course in Computational Nuclear Physics*, Springer (2017)



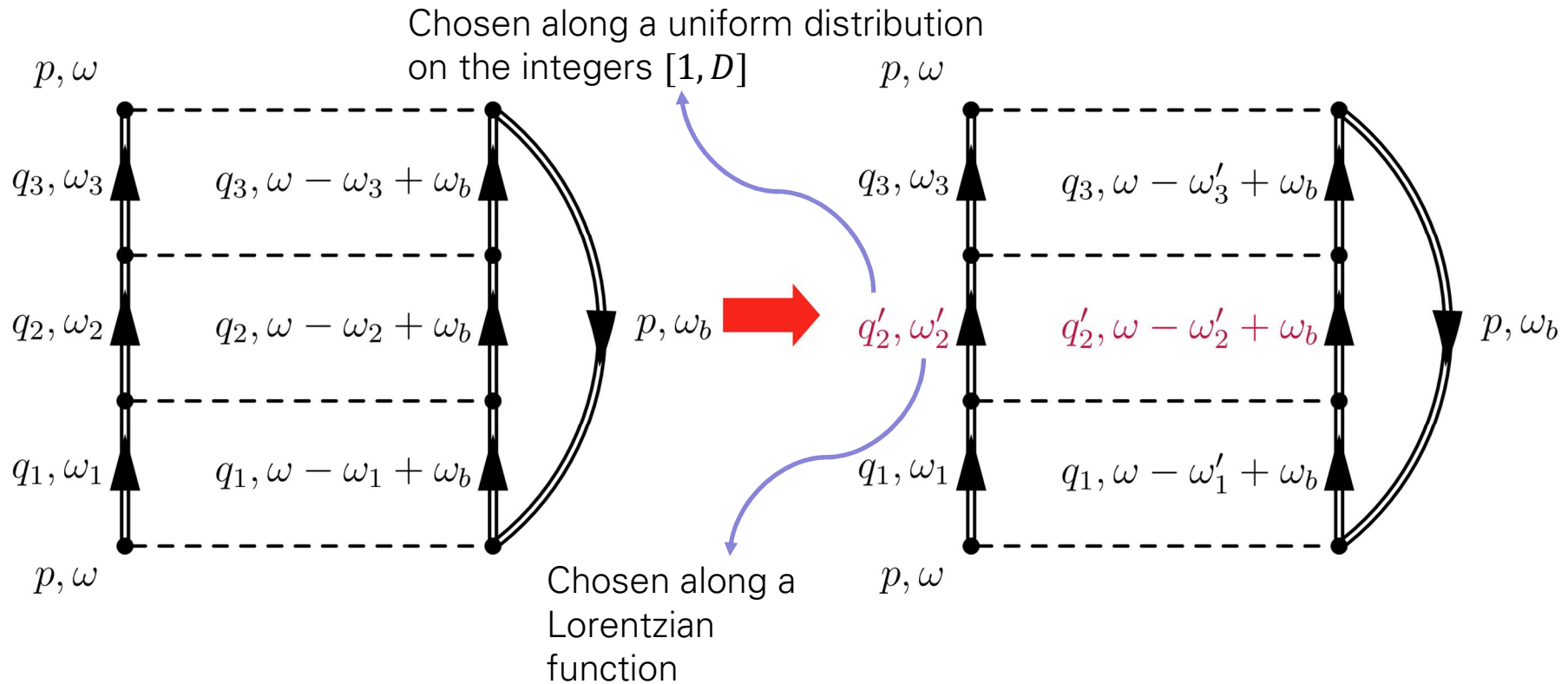
# Sampling diagrams

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- The self-energy expansion of the Richardson model is dominated by the ladder diagrams.
- We adopt the self-consistent formalism – the self-energy is expressed as a function of  $G$ .
- To sample diagrams, we build a Markov chain with carefully tuned Metropolis-Hastings update ratios designed to reproduce the PDF  $w_{\alpha\beta}(\omega, C)$ .
- The Markov chain “moves” thanks to four possible updates
  1. *Change  $\omega$*
  2. *Change internal frequencies*
  3. *Change  $sp$  quantum numbers and frequencies*
  4. *Add/Remove Rung*
- After the diagMC simulation, we fit the imaginary part of the self-energy as a function of Lorentzians to enforce the causality principle (i.e. the Lehmann representation).
- This is allowed only within the convergence radius of the self-energy expansion. The extension to non-perturbative regimes ( $g \gg 1$ ) is a work in progress...

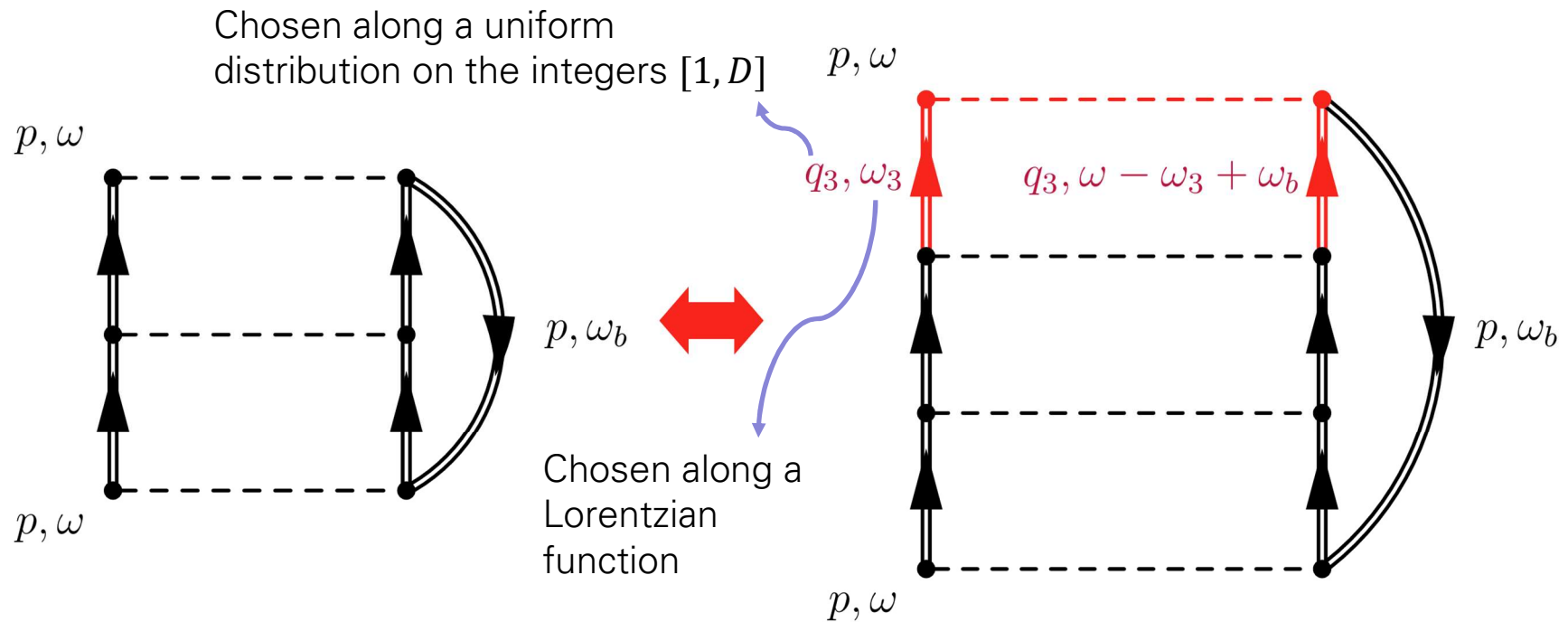


# Change sp quantum numbers and frequencies



$$q_{q,\omega} = \frac{L(\omega_2) |G_{q'_2}(\omega'_2) G_{q'_2}(\omega - \omega'_2 + \omega_b)|}{L(\omega'_2) |G_{q_2}(\omega_2) G_{q_2}(\omega - \omega_2 + \omega_b)|}$$

# Add/Remove rung




$$q_{Add} = \frac{|g|}{4\pi} \frac{D}{L(\omega_3)} |G_{q_3}(\omega_3) G_{q_3}(\omega - \omega_3 + \omega_b)|$$

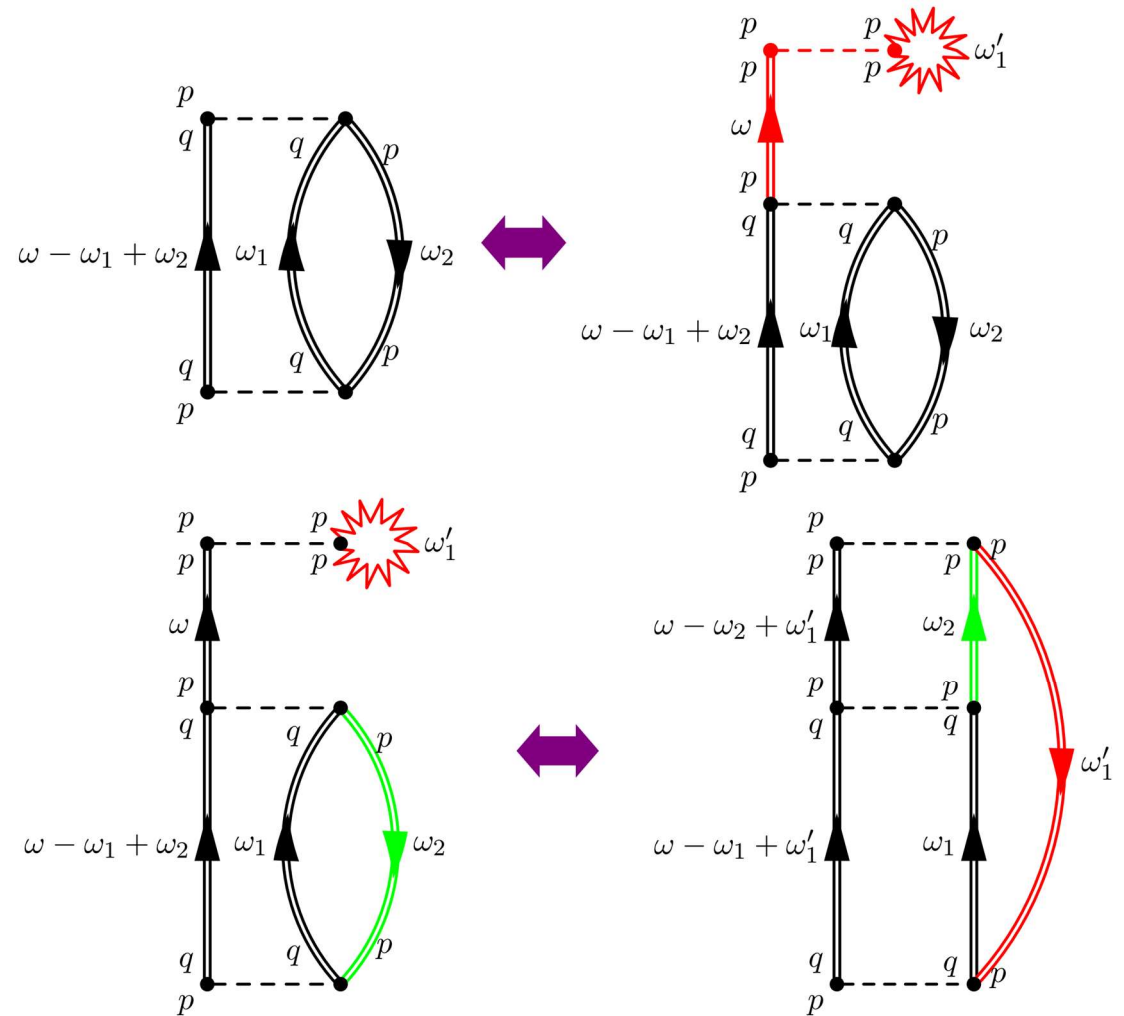
$$q_{Rem} = \frac{1}{q_{Add}}$$

# Is this the only possible way to sample diagrams?

**NO.** It might not even be the best way.

There were other attempts 

We chose the current one through trial and error.



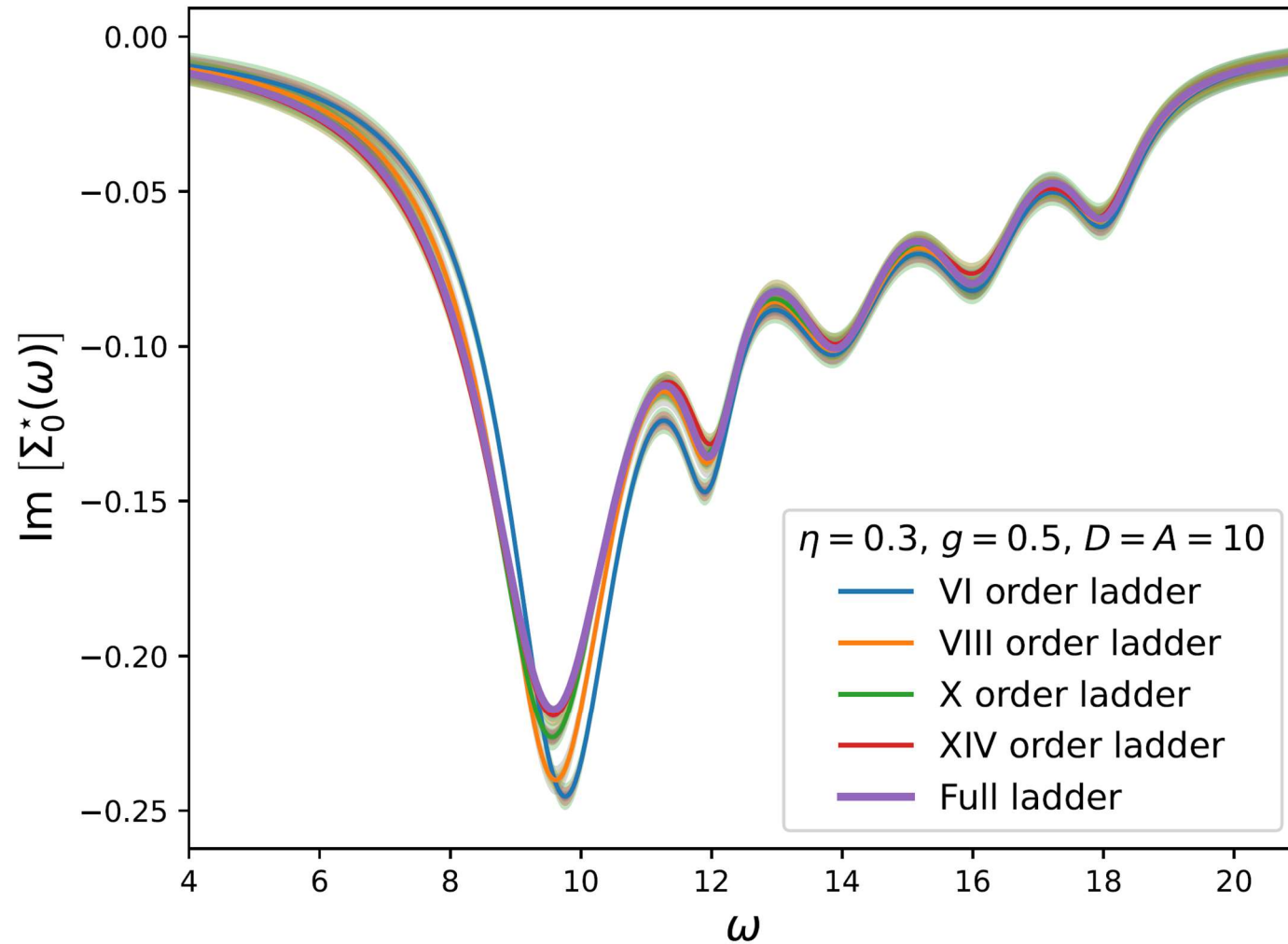
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# Some results





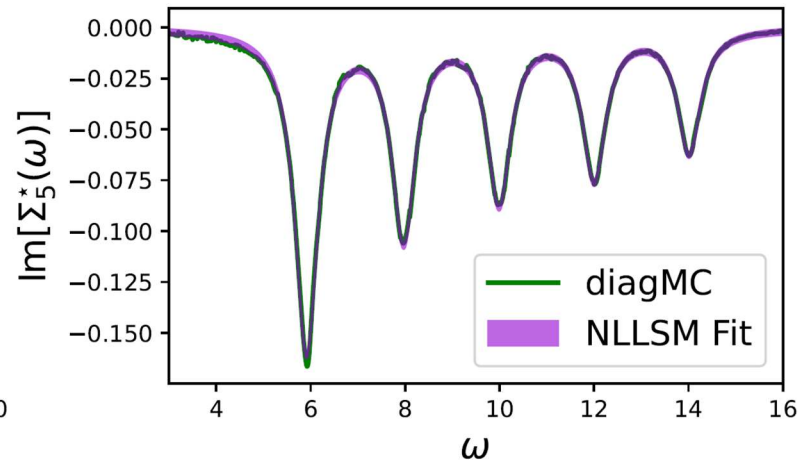
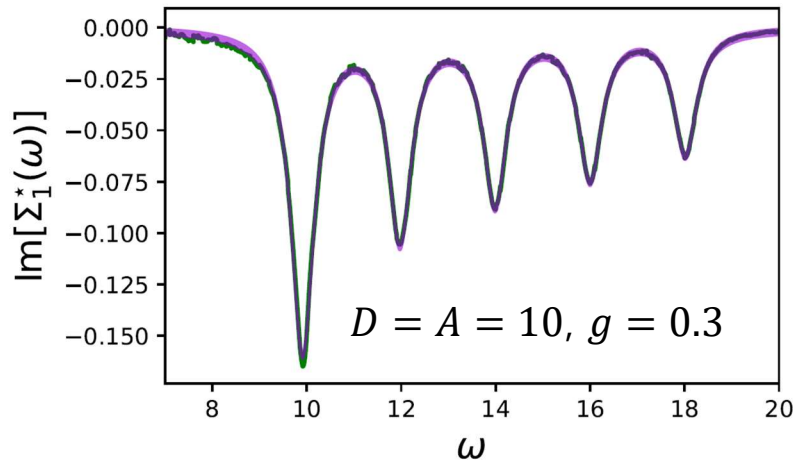
# Sampling at very high orders



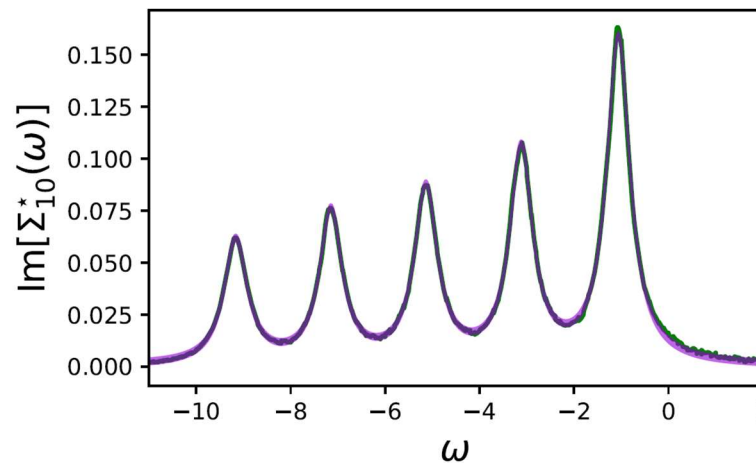
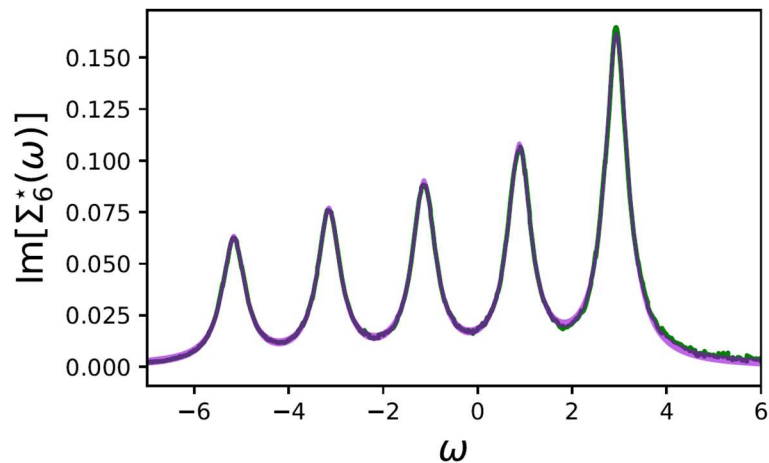
*SB, Barbieri, Vigezzi, to be published*



# Results of the self-energy simulation



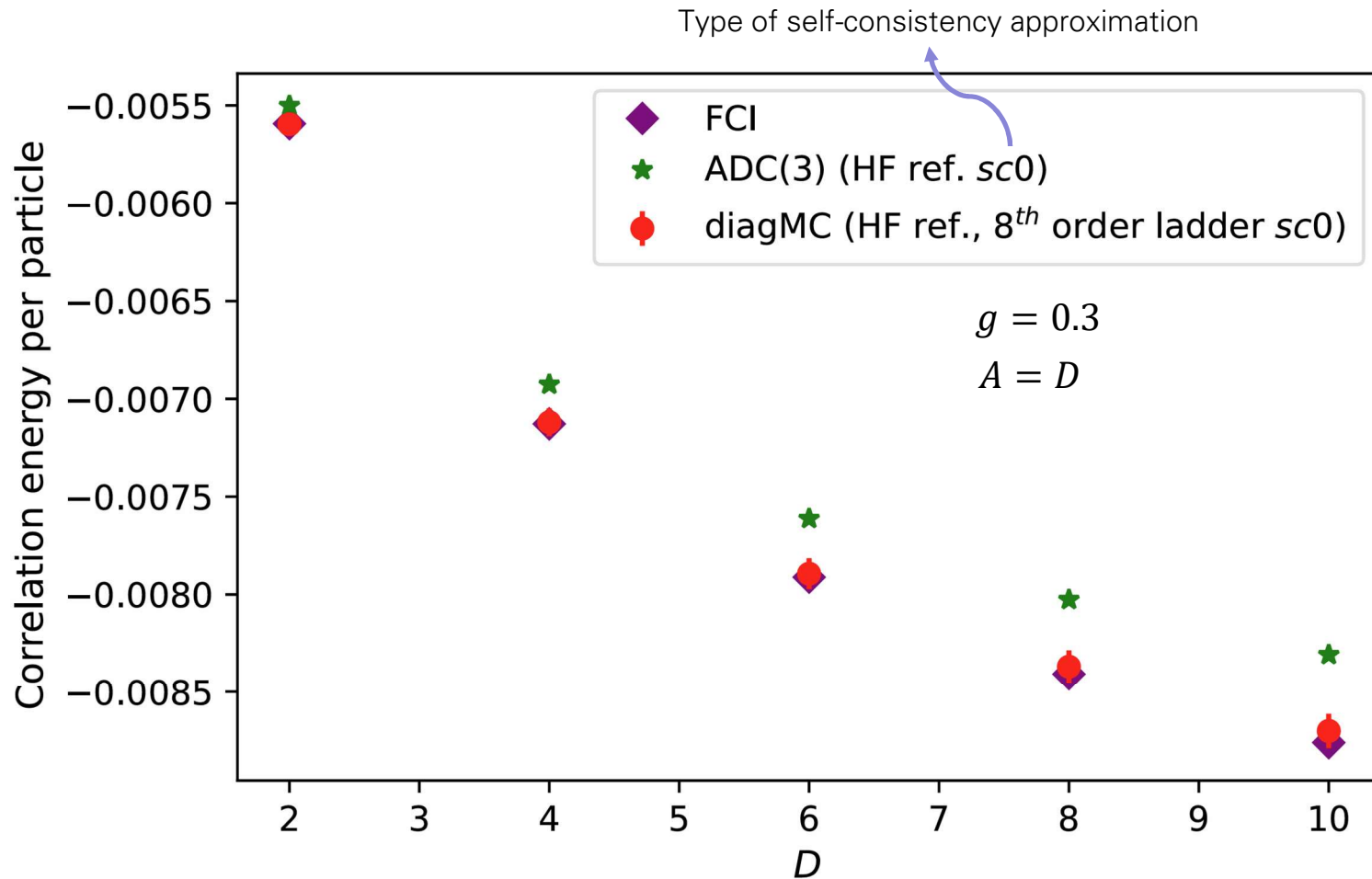
$8^{\text{th}}$ -order ladder self-energy simulation (HF ref.).



*SB, Barbieri, Vigezzi, to be published*



# Benchmarking with FCI and ADC(3)

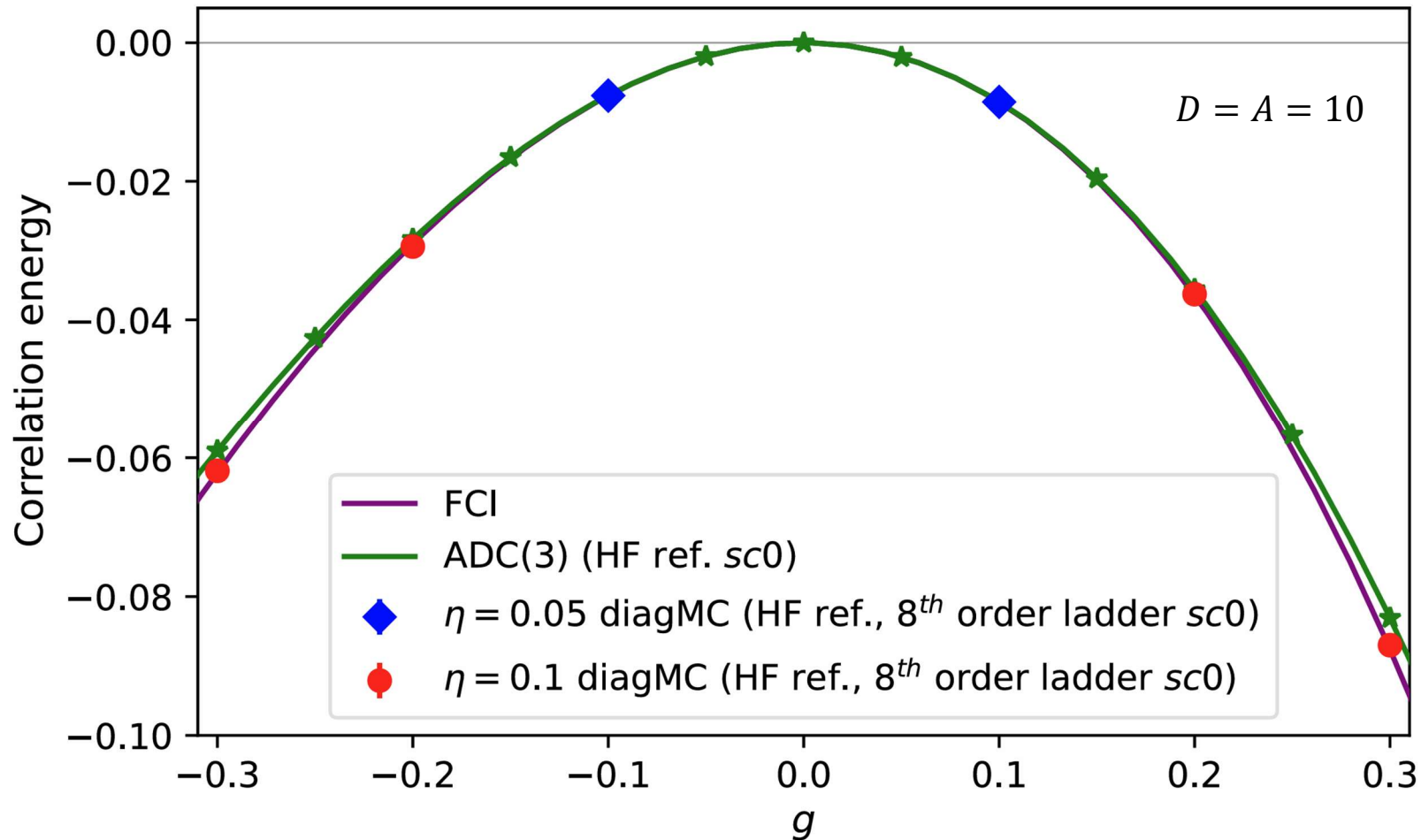


*diagMC does much better than ADC(3)!!*

*SB, Barbieri, Vigezzi, to be published*



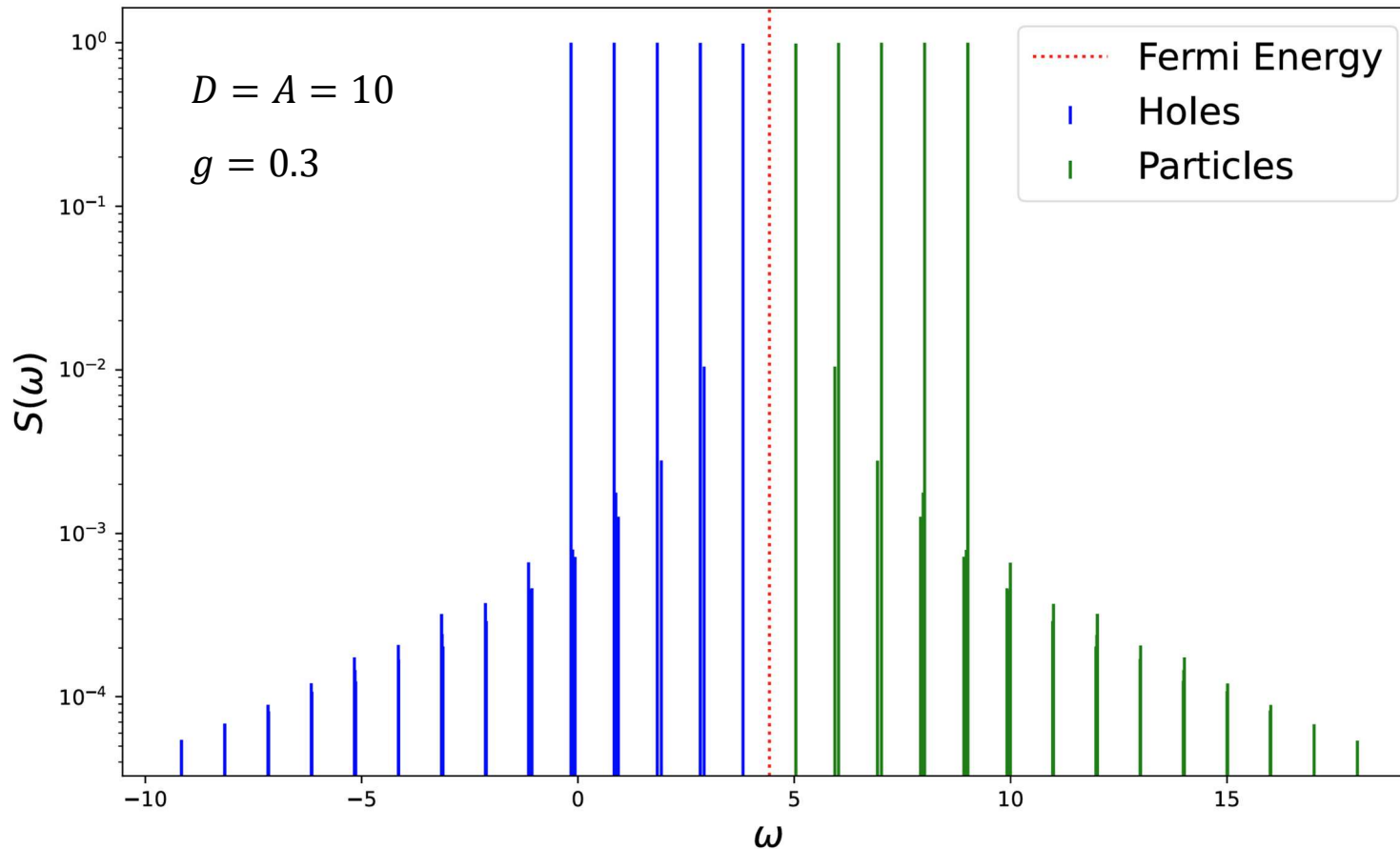
# Benchmarking with FCI and ADC(3) (2)



*SB, Barbieri, Vigezzi, to be published*



# Spectral function and fragmentation



The model is weakly fragmented and highly symmetric (as expected from particle-hole symmetry).

*SB, Barbieri, Vigezzi, to be published*



# Towards *ab initio* potentials

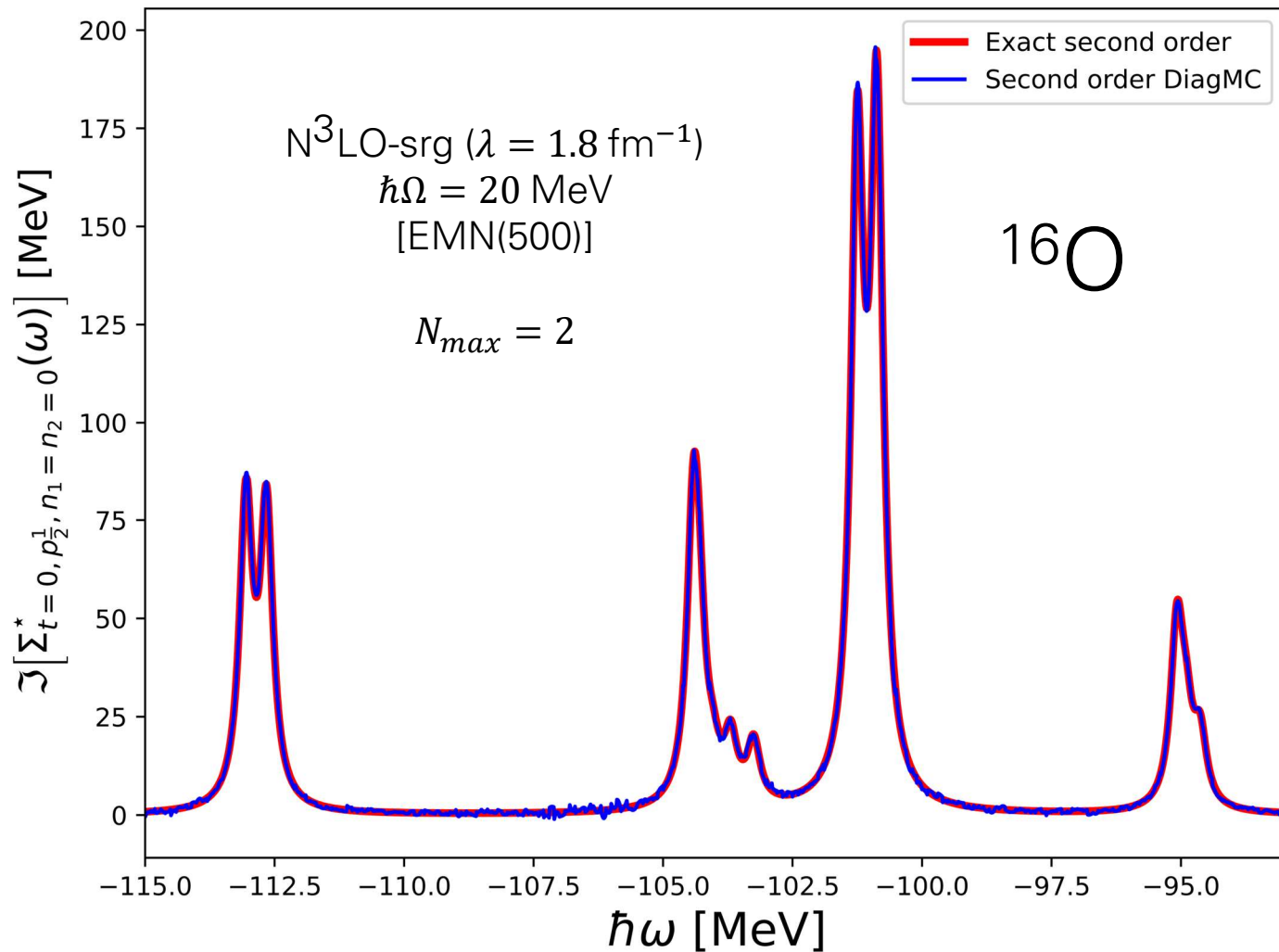
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- To our knowledge diagMC calculations with such difficult potentials have never been attempted.
- They require a much more complicated updating scheme that can keep track of all the conservation laws at each vertex (to avoid sampling too many zero diagrams).
- We are in the early stages, however...





# DiagMC calculation of a second order self-energy



*SB, Barbieri, Vigezzi, to be published*



# Outlook & An important comment

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- Move to large model spaces
- Better way to retain causality
- Higher order calculations

*I only spoke of the Green's function but DiagMC can be used in any expansion that involves diagrams (CC, MBPT, ...)*



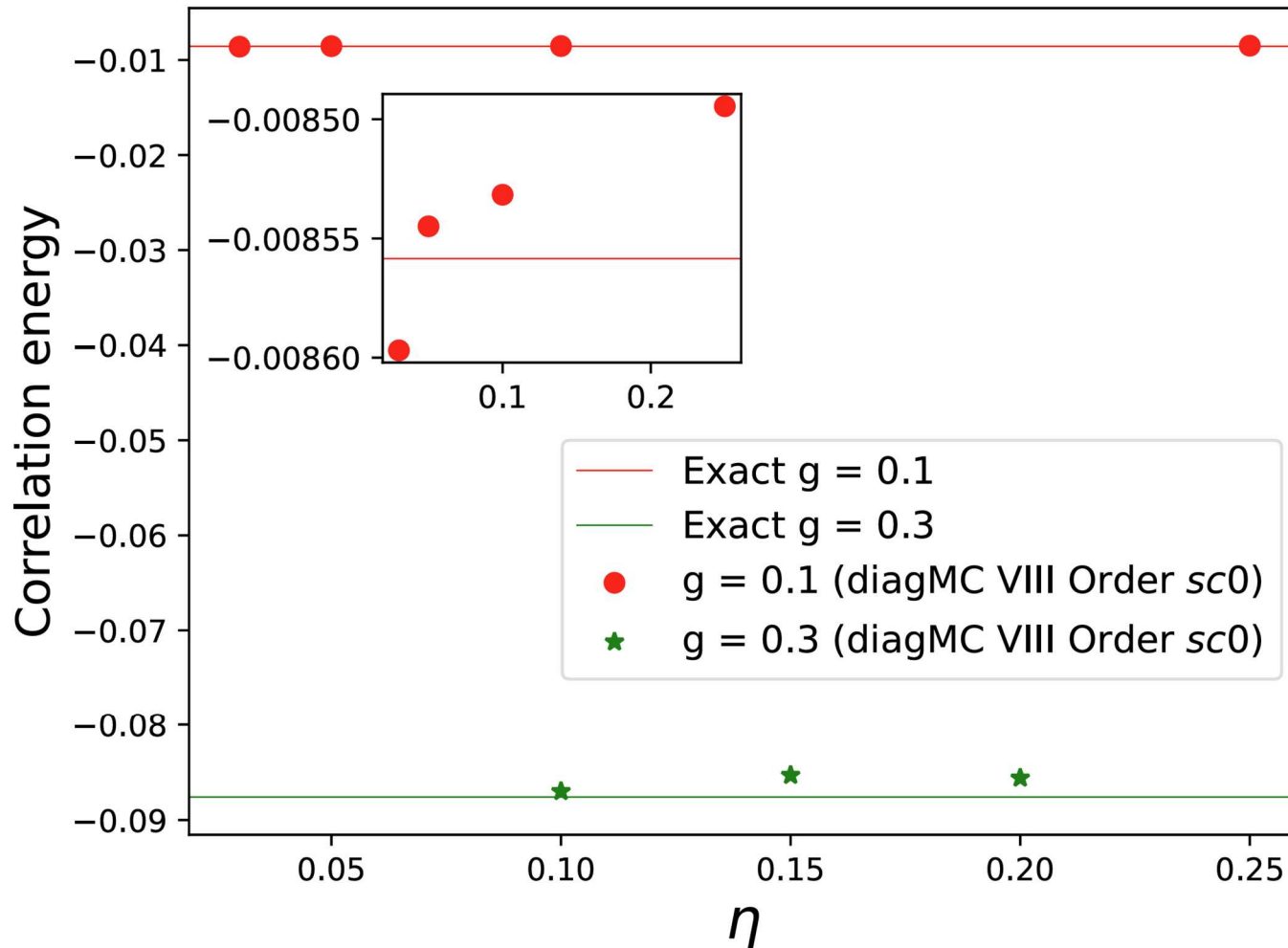
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THANK YOU!!





# Error evaluation

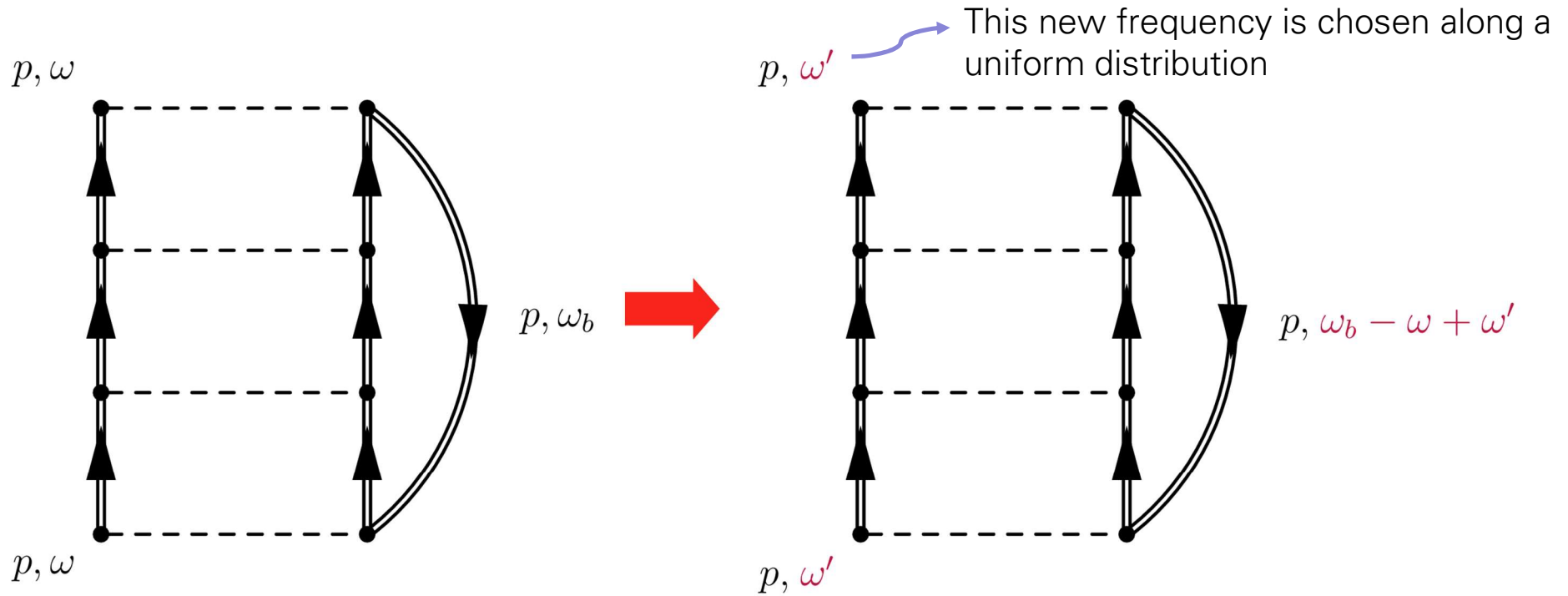


The error due to a finite  $\eta$  dominates.



We checked this by propagating the error on the parameters of the fit with a Monte Carlo simulation.

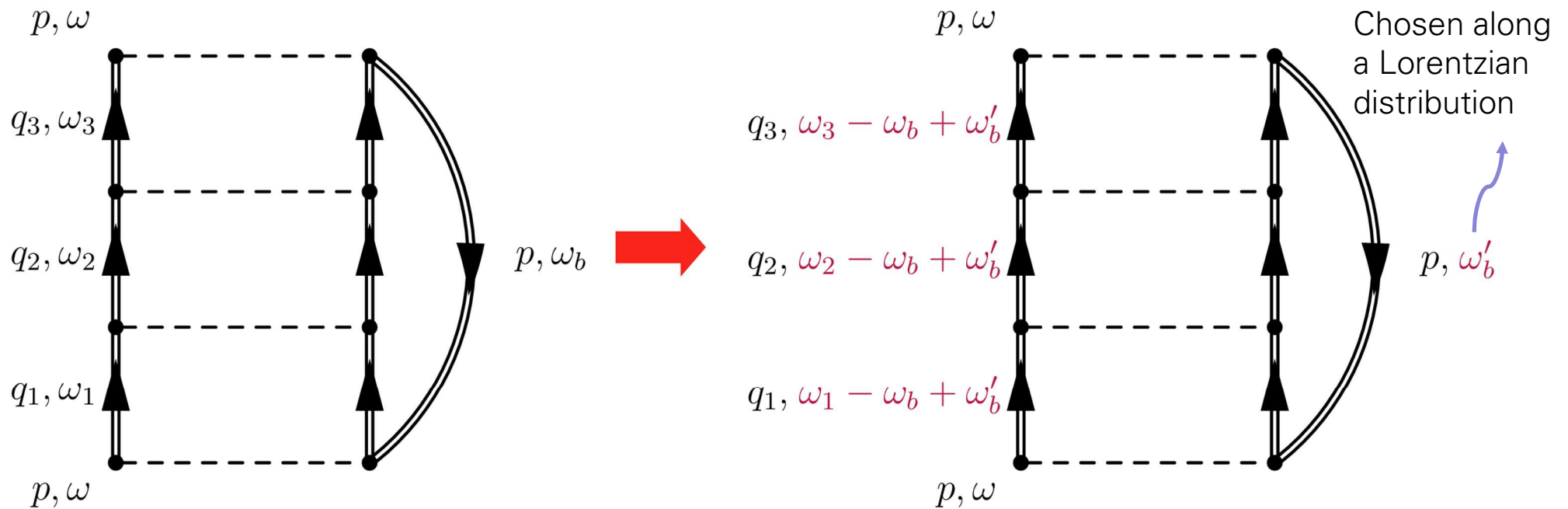
# Change $\omega$



$$q_{C\omega} = \frac{|G_p(\omega_b - \omega + \omega')|}{|G_p(\omega_b)|}$$

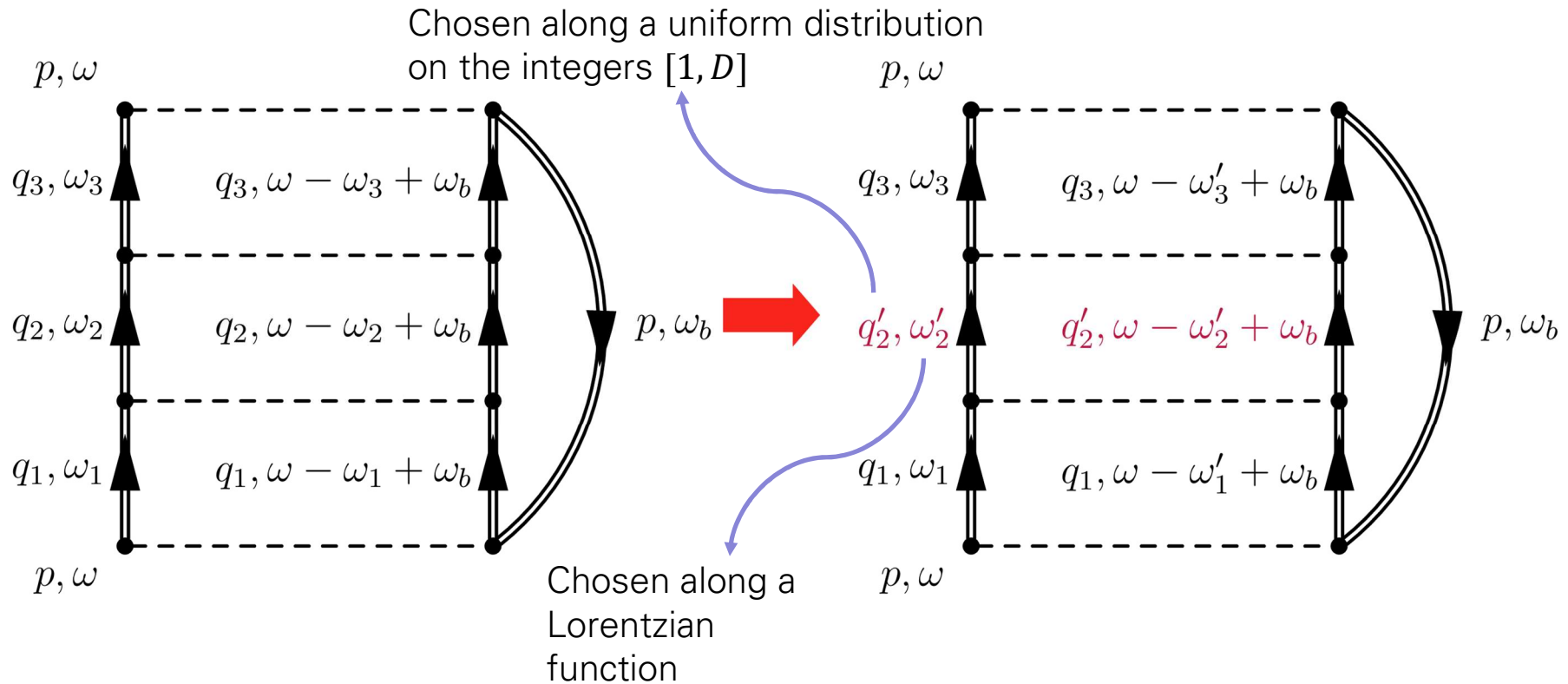


# Change internal frequencies



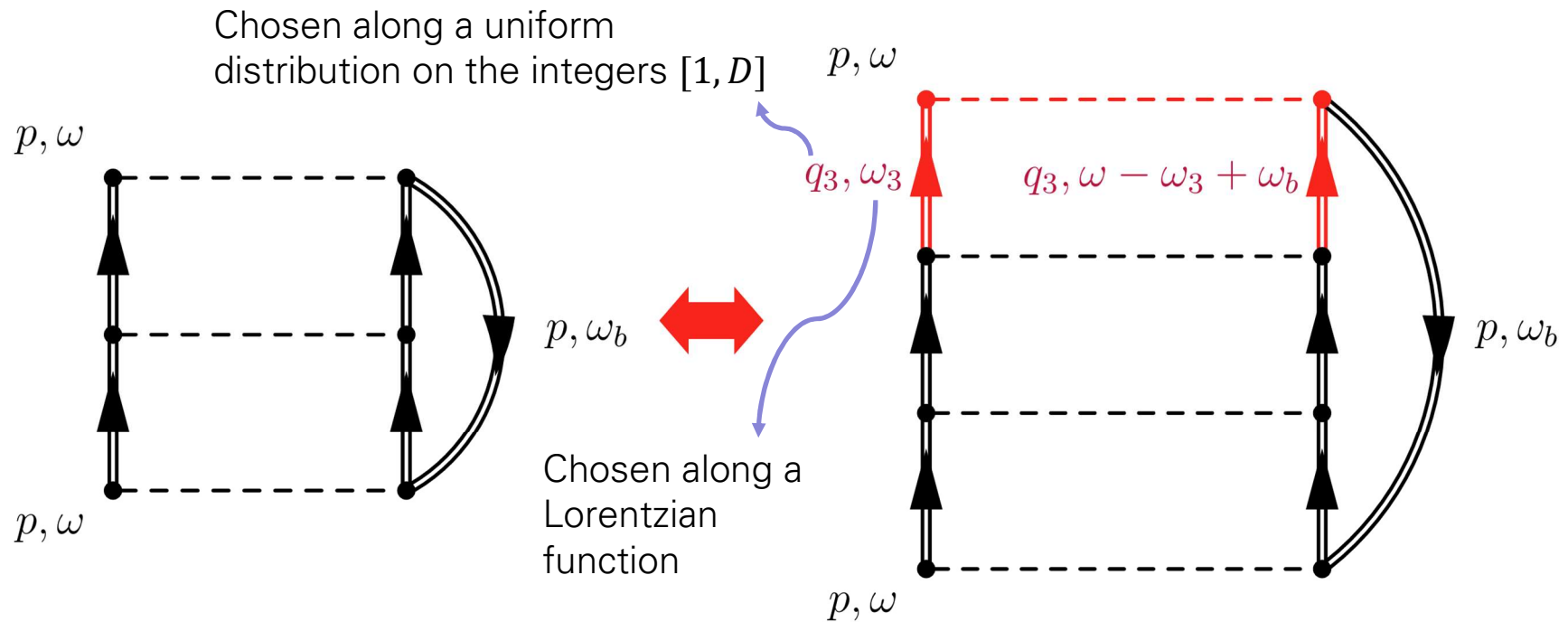
$$q_{\omega \text{ int}} = \frac{L(\omega_b) |G_p(\omega_b')|}{L(\omega_b') |G_p(\omega_b)|} \prod_{j=1}^{\text{order}-1} \frac{|G_{q_j}(\omega_j - \omega_b + \omega_b')|}{|G_{q_j}(\omega_j)|}$$

# Change sp quantum numbers and frequencies



$$q_{q,\omega} = \frac{L(\omega_2) |G_{q'_2}(\omega'_2) G_{q'_2}(\omega - \omega'_2 + \omega_b)|}{L(\omega'_2) |G_{q_2}(\omega_2) G_{q_2}(\omega - \omega_2 + \omega_b)|}$$

# Add/Remove rung



$$q_{Add} = \frac{|g|}{4\pi} \frac{D}{L(\omega_3)} |G_{q_3}(\omega_3) G_{q_3}(\omega - \omega_3 + \omega_b)|$$

$$q_{Rem} = \frac{1}{q_{Add}}$$

# Dealing with $Z_{\alpha\beta}$

$$\Sigma_{\alpha\beta}^n = Z_{\alpha\beta} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{j=1}^N B_n(\omega_j) e^{i \arg[D_{\alpha\beta}(\omega_j, C_j)]} \mathbf{1}_{\mathcal{T}_j \in \mathcal{S}_\Sigma}$$

Normalization factor of the PDF of Feynman  $Z_{\alpha\beta} = \int d\omega \int dC |D_{\alpha\beta}(\omega)|$  (i.e. their “weight”).

- $Z_{\alpha\beta}$  is not known, however it can be estimated.
- If the weight of a subset  $\mathcal{S}_N$  of diagrams (called normalization sector) is known, we can use the number of times  $\mathcal{S}_N$  is visited ( $\mathcal{N}$ ) to compute the normalization factor.

$$Z_{N\alpha\beta} = \int d\omega \int_{\mathcal{T} \in \mathcal{S}_N} dC |D_{\alpha\beta}(\omega)| \quad \lim_{N \rightarrow \infty} \frac{\mathcal{N}}{N} = \frac{Z_{N\alpha\beta}}{Z_{\alpha\beta}}$$

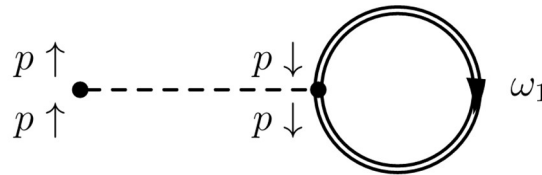
We arrive at the fundamental equation of DiagMC

$$\Sigma_{\alpha\beta}^n = Z_{N\alpha\beta} \lim_{N \rightarrow \infty} \frac{1}{\mathcal{N}} \sum_{j=1}^N B_n(\omega_j) e^{i \arg[D_{\alpha\beta}(\omega_j, C_j)]} \mathbf{1}_{\mathcal{T}_j \in \mathcal{S}_\Sigma}$$

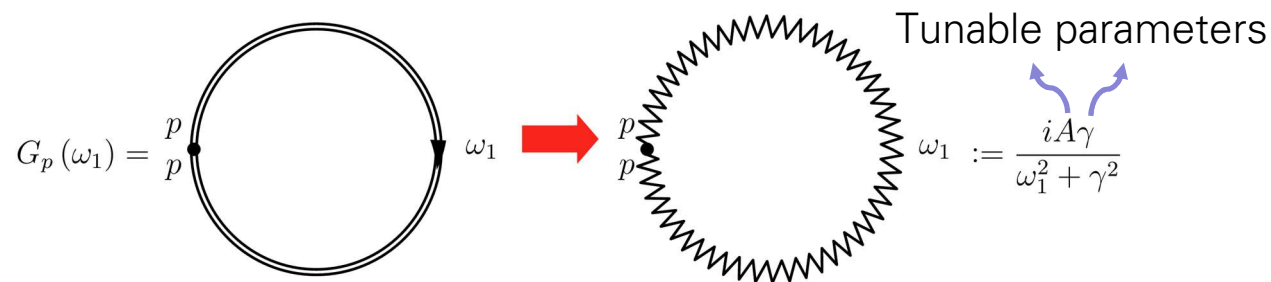


# Normalization sector pt. 1/2

- We computed the tadpole diagram (i.e. the first order or Hartree-Fock) diagram *before* the simulation.



- We replace the self-closing propagator with an unphysical one (and exclude it from the self-energy expansion).



- This is needed because the HF diagram diverges without a convergence factor (difficult to handle numerically!).

## Normalization sector pt. 2/2

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- The weight of the normalization sector becomes  $Z_N = \frac{|g|}{4} A(\omega_{Max} - \omega_{min})$ .
- The result of the simulation is the dynamic ( $\omega$ -dependent) self energy. To obtain the total one we simply combine the dynamic self-energy with the previously calculated Hartree-Fock diagram.

