

Heavy-quark production in hadronic and UPC heavy-ion collisions

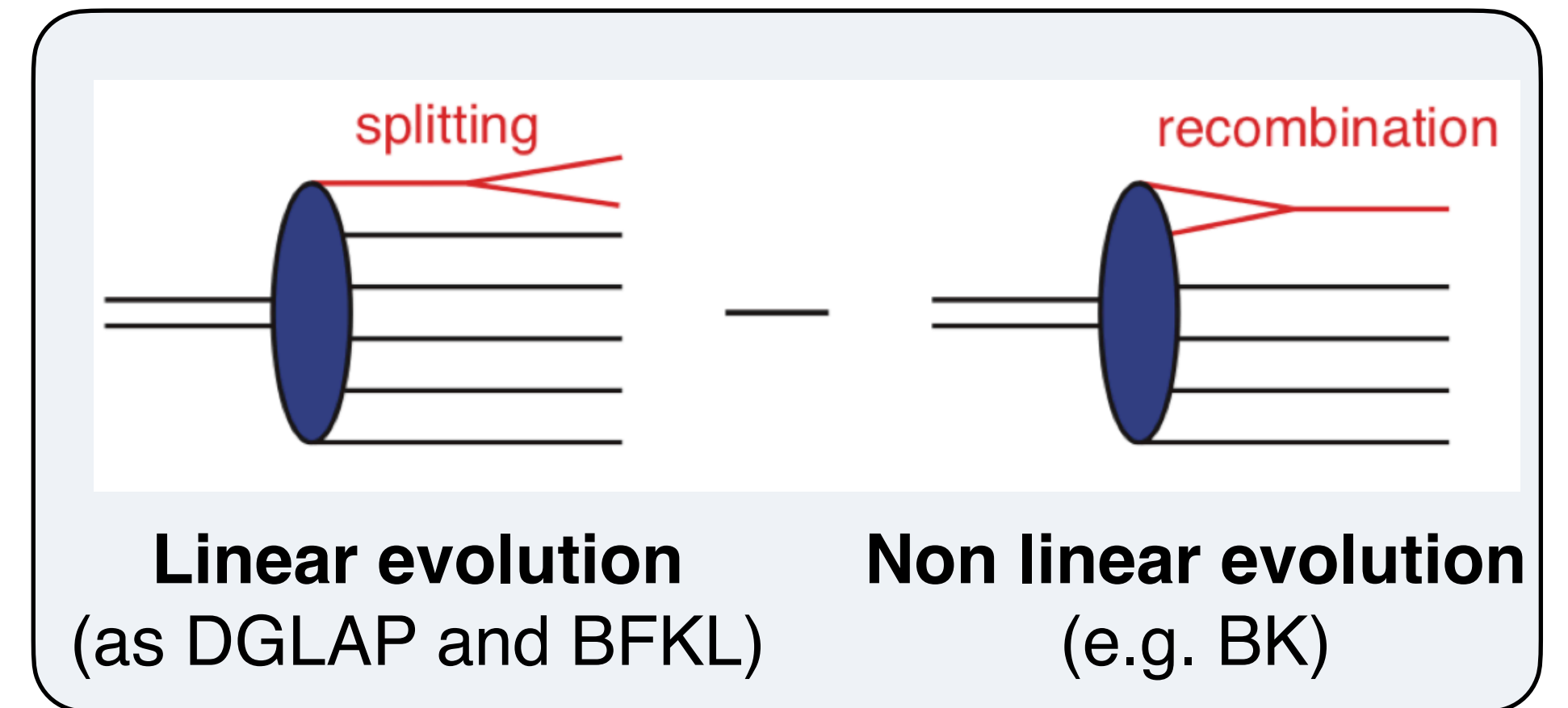
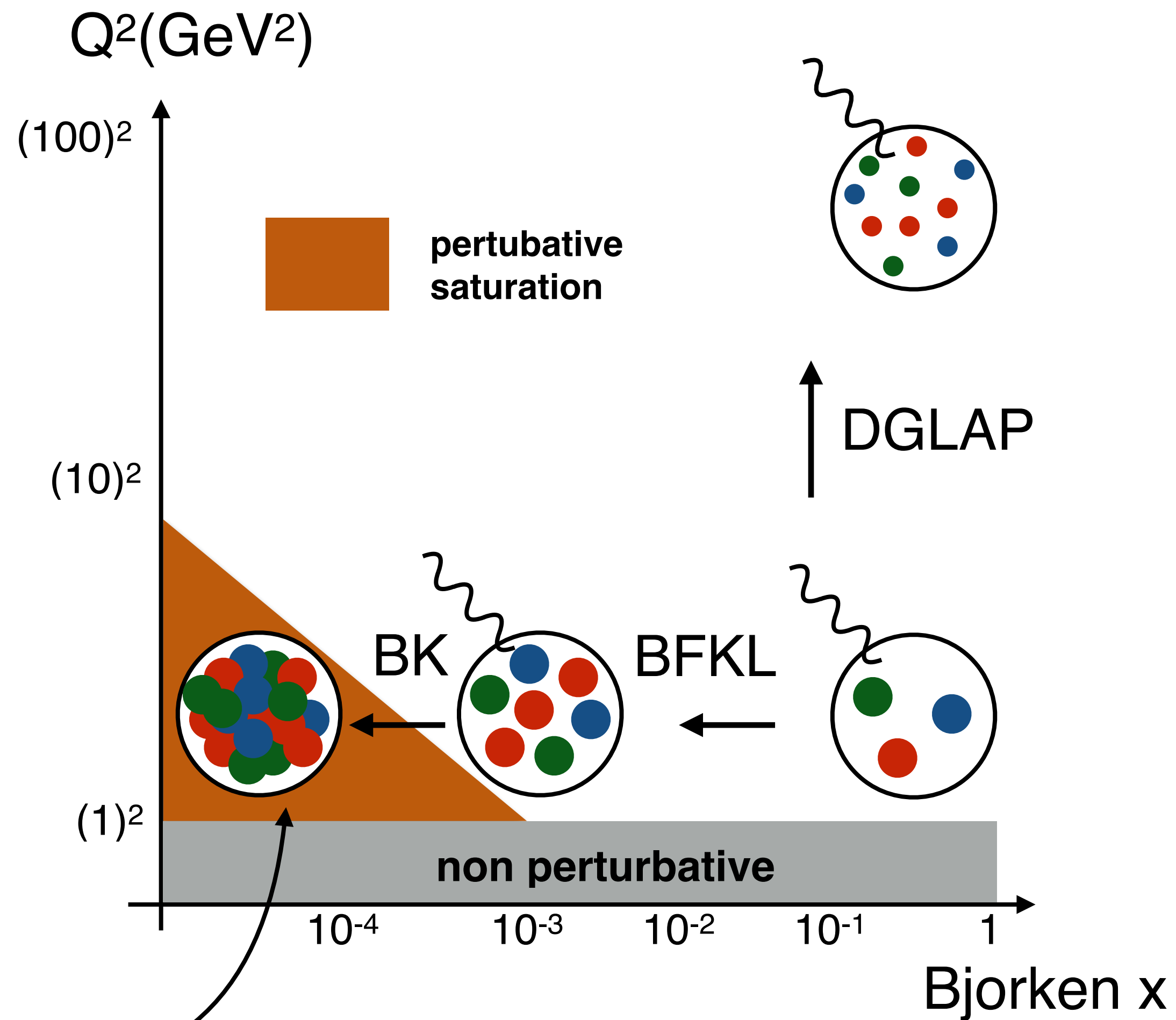
Gian Michele Innocenti

Massachusetts Institute of Technology

DIFFRACTION AND GLUON SATURATION AT THE LHC AND THE EIC

10 June 2024 - 14 June 2024

Constraining parton dynamics in nuclei in (x, Q^2)



In nuclei, saturation expected at higher x

- does it exist? is it experimentally reachable?
- what is its shape in (x, Q^2) ?
- what is the dependence on A ?

Heavy quarks are well-calibrated/perturbative probes to explore this regime

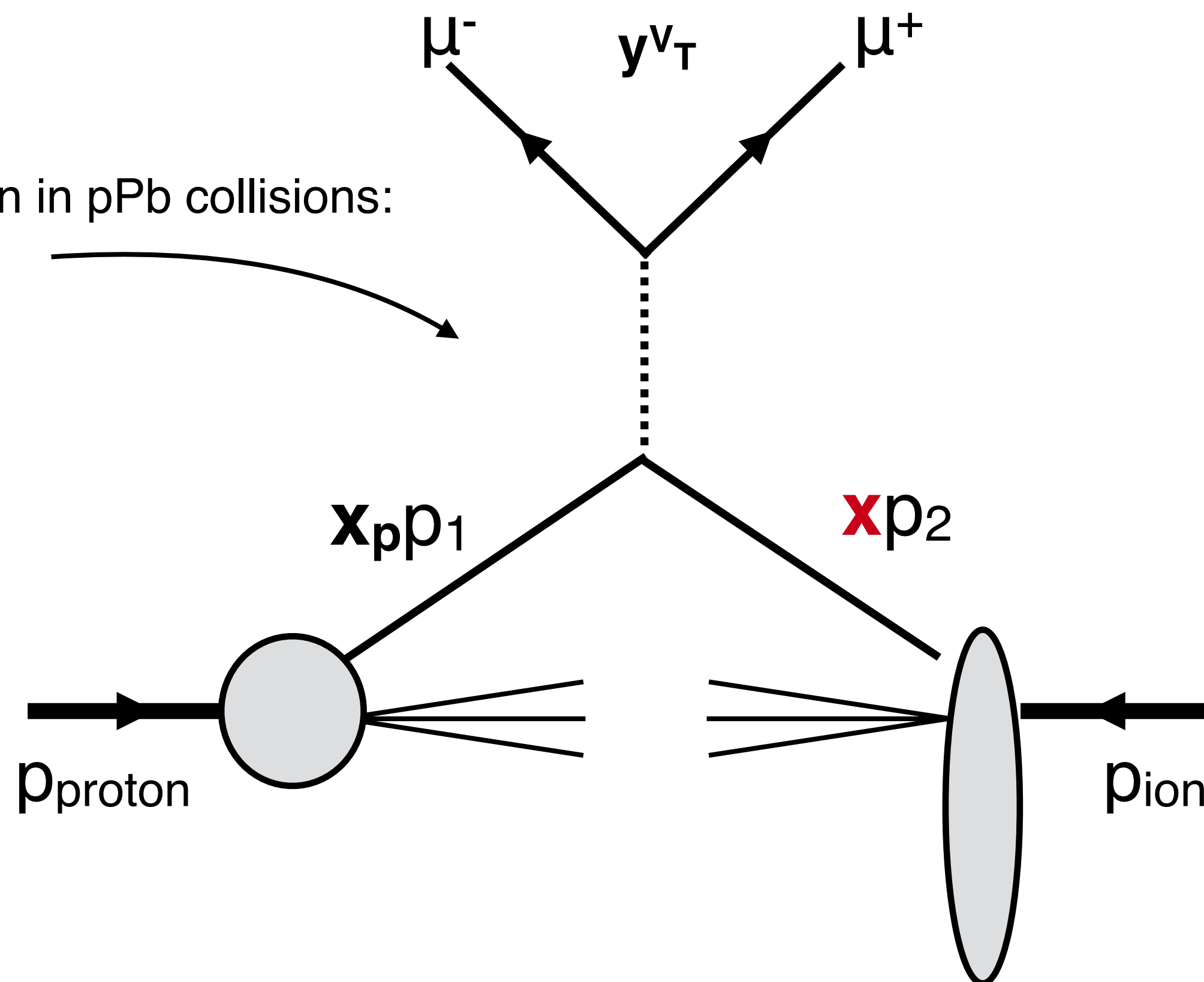
Accessing the saturation scale is expected to be easier in nuclei (due to the higher initial partonic density)

Constraining nuclear PDFs at the LHC with “hadronic” pPb collisions

Constraining nuclear PDFs in hadronic collisions

$$\sigma_V = \text{Parton Distribution Function } (\mathbf{x}, Q^2) \otimes \sigma_{\text{parton}}(\text{pQCD}) \otimes \text{Fragmentation functions}$$

Example: Drell-Yan Z-boson production in pPb collisions:
 → quark PDFs at high Q^2

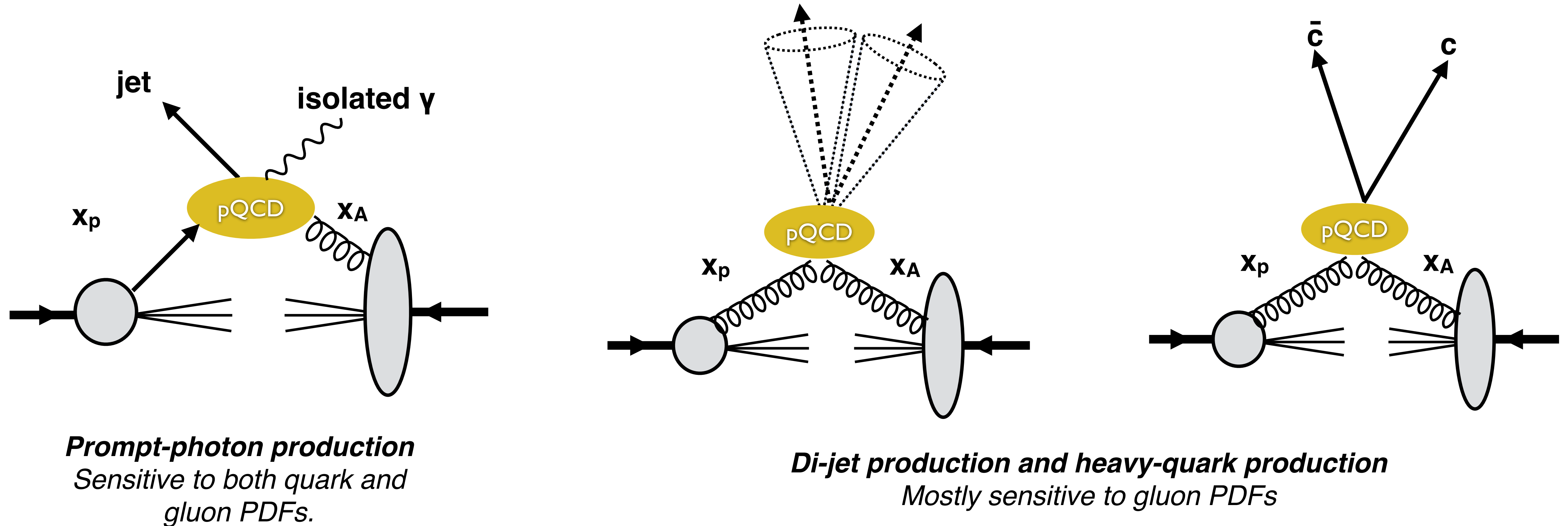


To sample different region of x and Q^2 for gluons and quarks:

- vary kinematic properties of the scattering and of the final-state products
- change the partonic process to change the initial parton species

$$x_{\text{ion}} \sim \frac{M_V}{\sqrt{s_{NN}}} \exp(-y_V)$$

Constraining nuclear PDFs: changing partonic “process”



Di-jet production in pA collisions with CMS

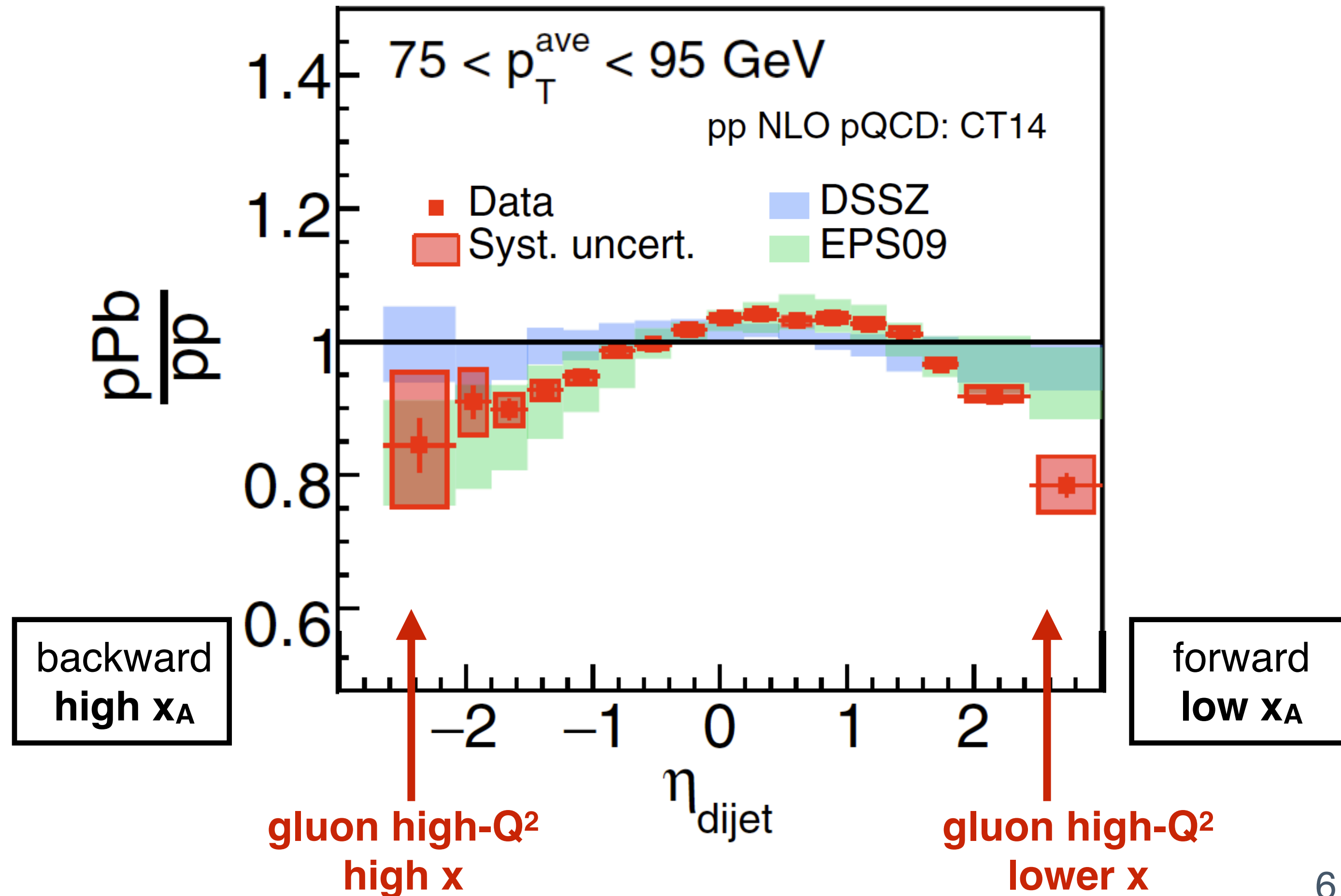
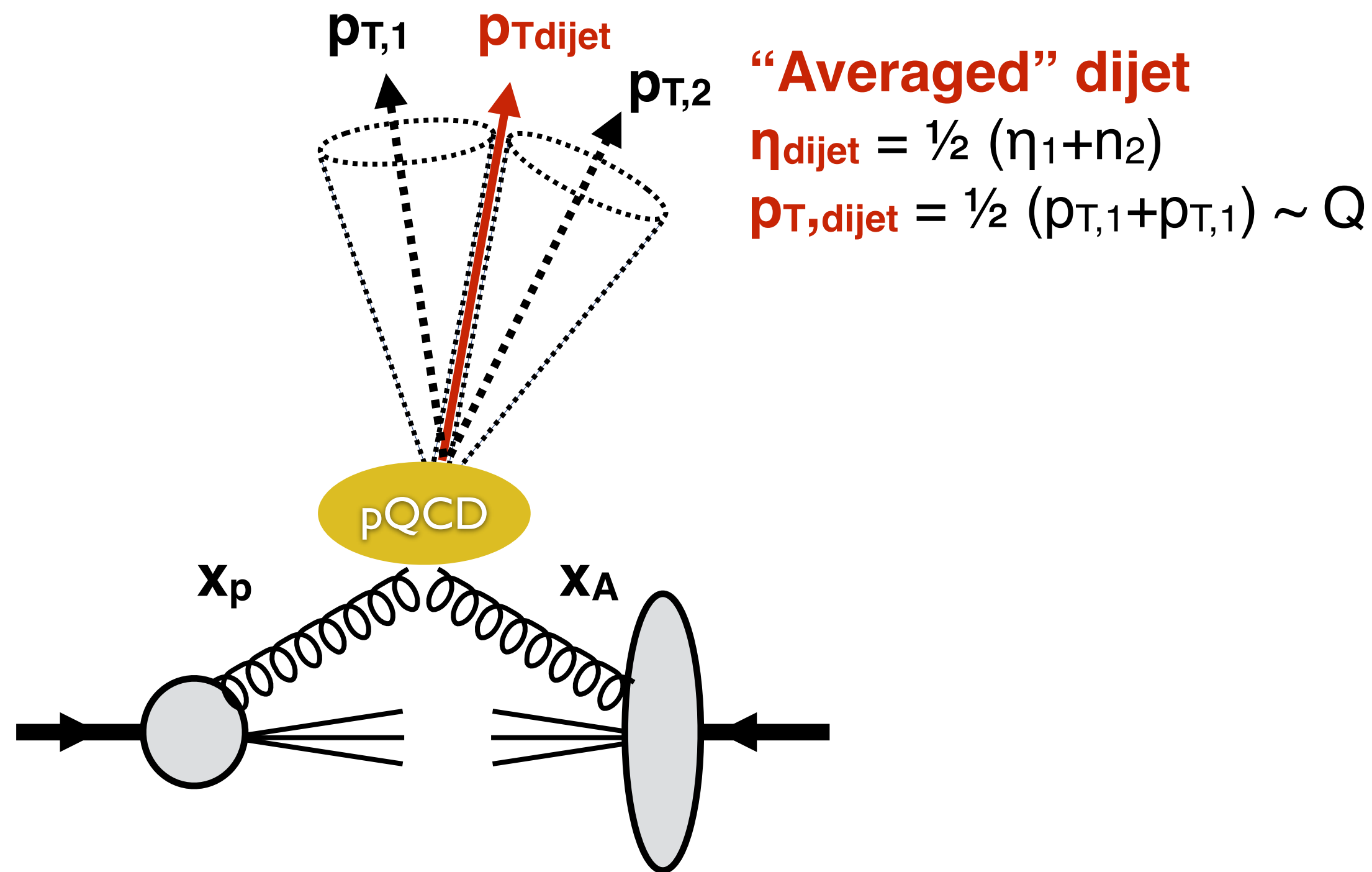
$55^2 < Q^2 < 400^2 \text{ GeV}$, $0.005 < x_A < \sim 0.8$,
gluon PDFs
mid-rapidity

→ QCD probe, sensitive to gluon nPDF (gluon-gluon production)

CMS

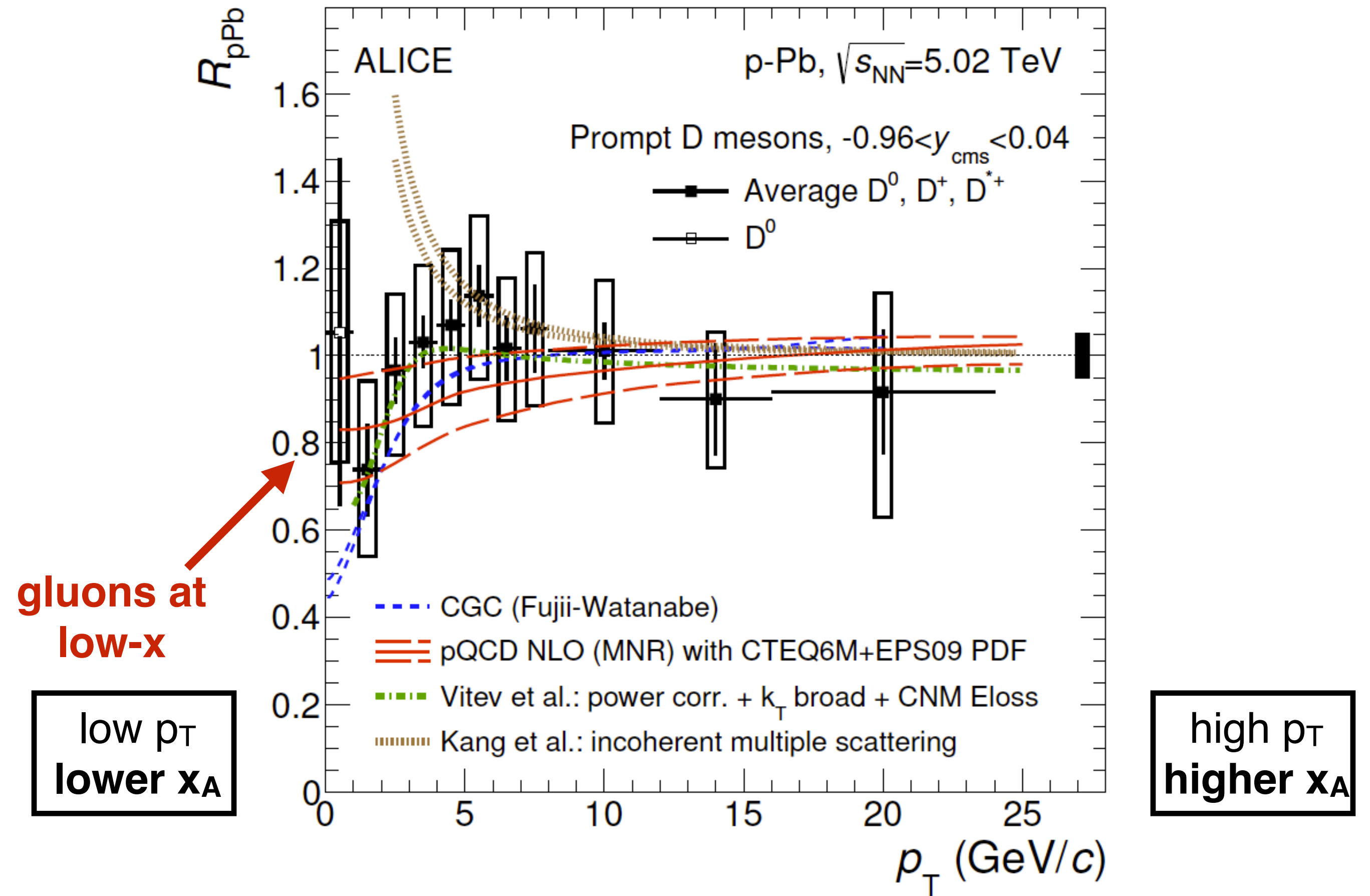
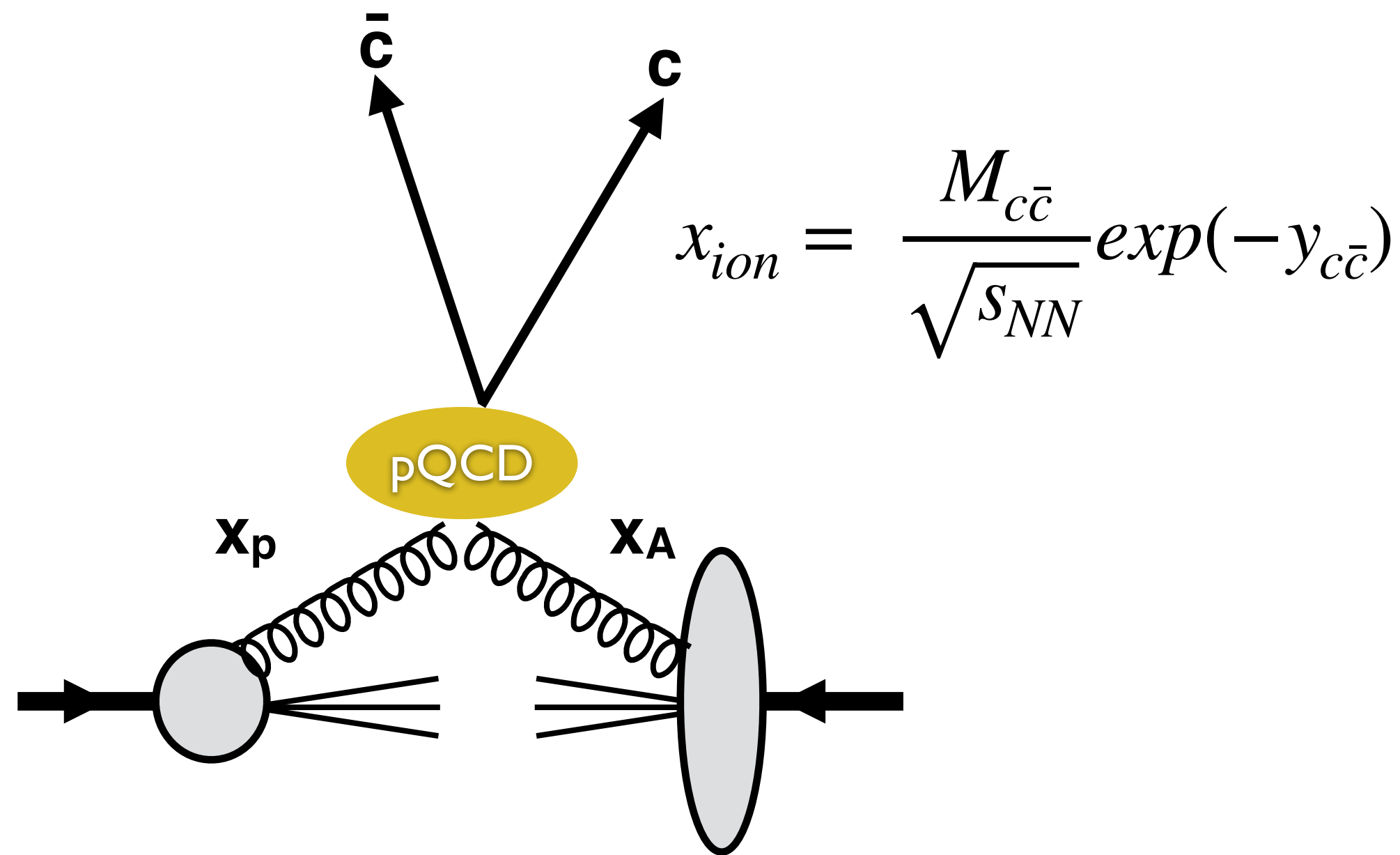
pPb (35 nb^{-1}), pp (27.4 pb^{-1})

$\sqrt{s_{NN}} = 5.02 \text{ TeV}$



D⁰ mesons in pA collisions at mid rapidity

$Q^2 \sim M_{c\bar{c}}^2 \text{ GeV}^2$, x_A down to 10^{-4}
gluon PDFs
 mid-rapidity

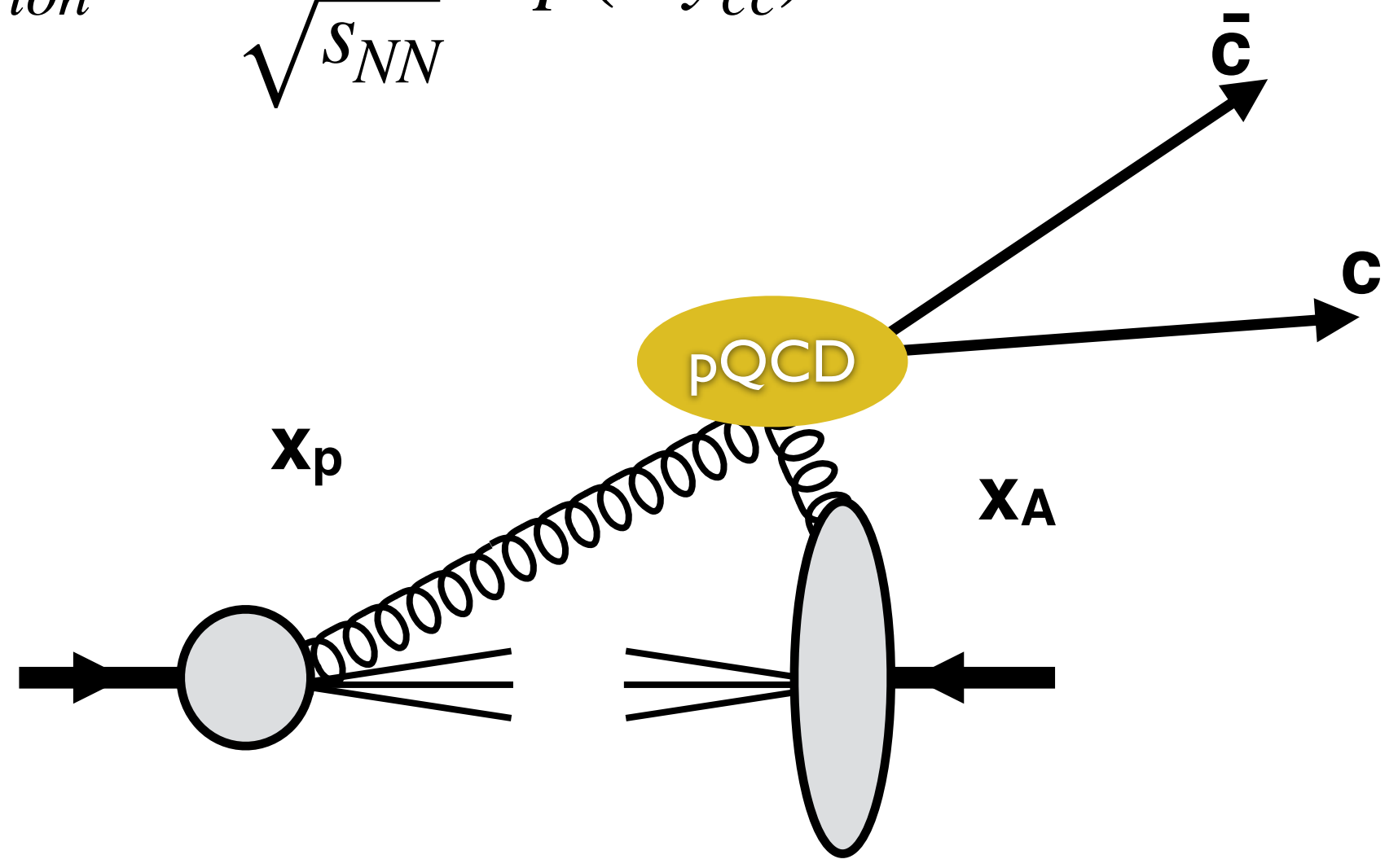


D⁰ production in pA collisions at forward-y with LHCb

$Q^2 \sim M_{c\bar{c}}^2 \text{ GeV}$, x_A down to 10^{-6}
gluon PDFs
 $1.5 < y^* < 4.0$

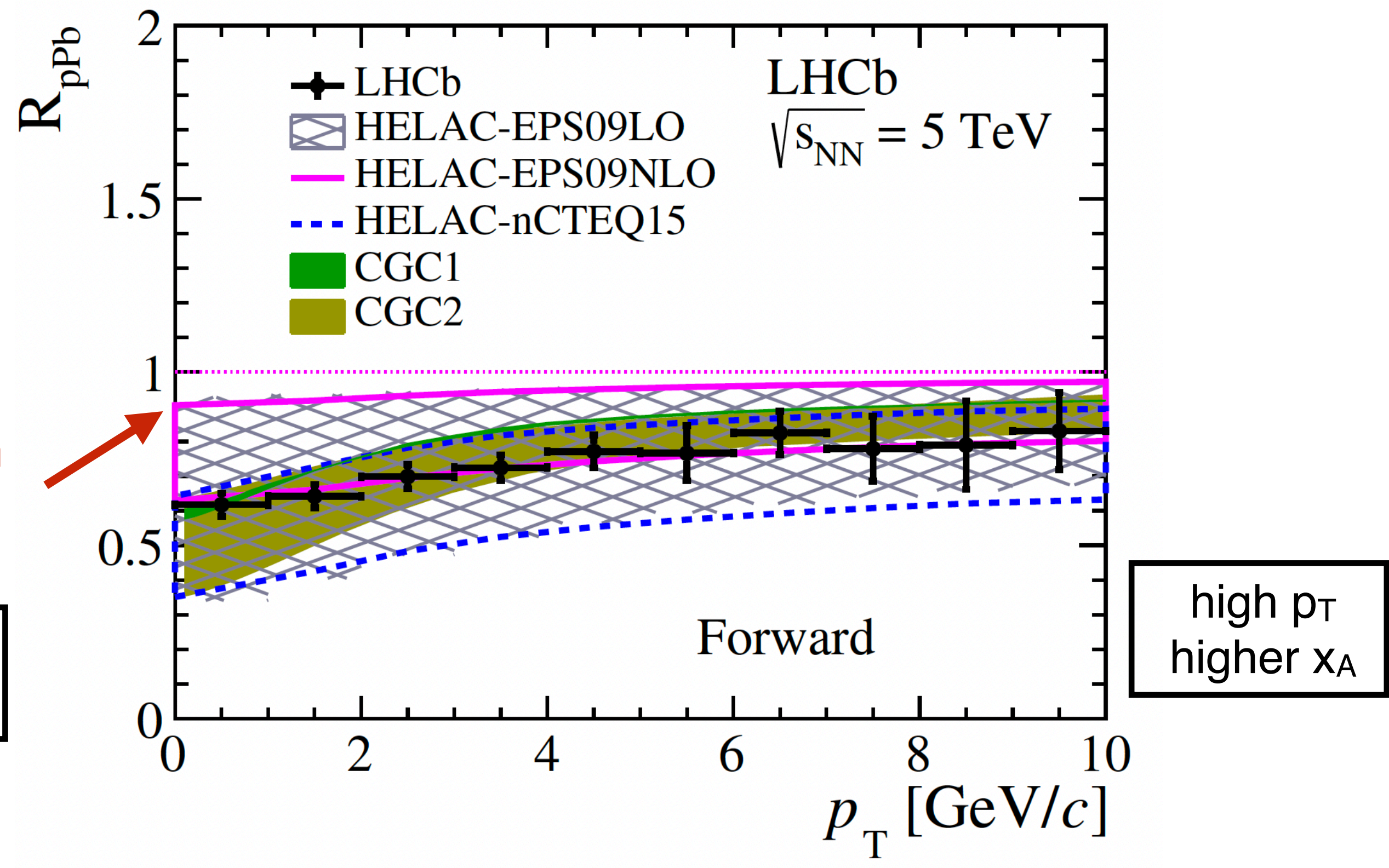
→ **QCD probe** for low-x, low-Q² regime

$$x_{ion} = \frac{M_{c\bar{c}}}{\sqrt{s_{NN}}} \exp(-y_{c\bar{c}})$$



low-Q² gluon shadowing

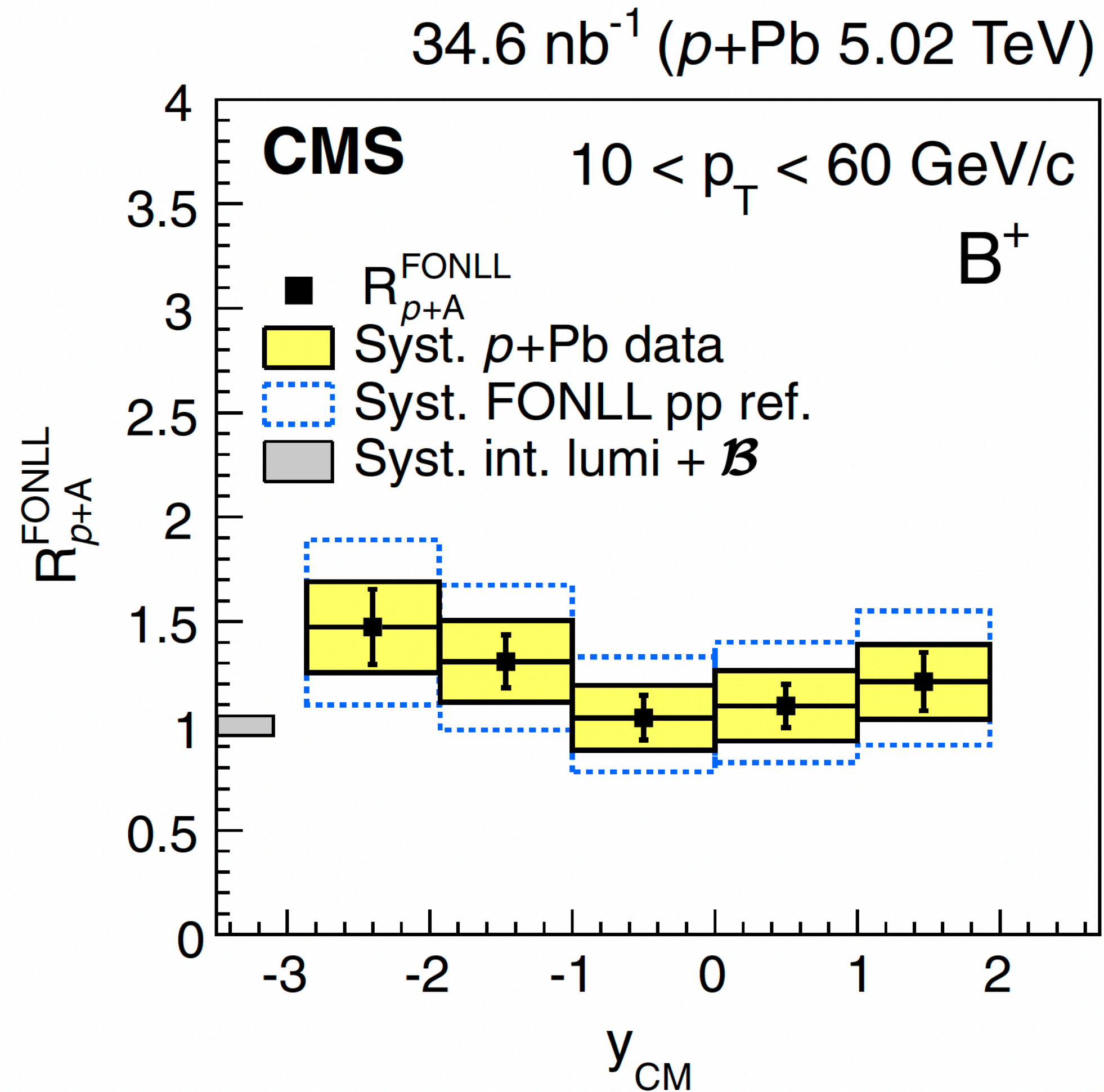
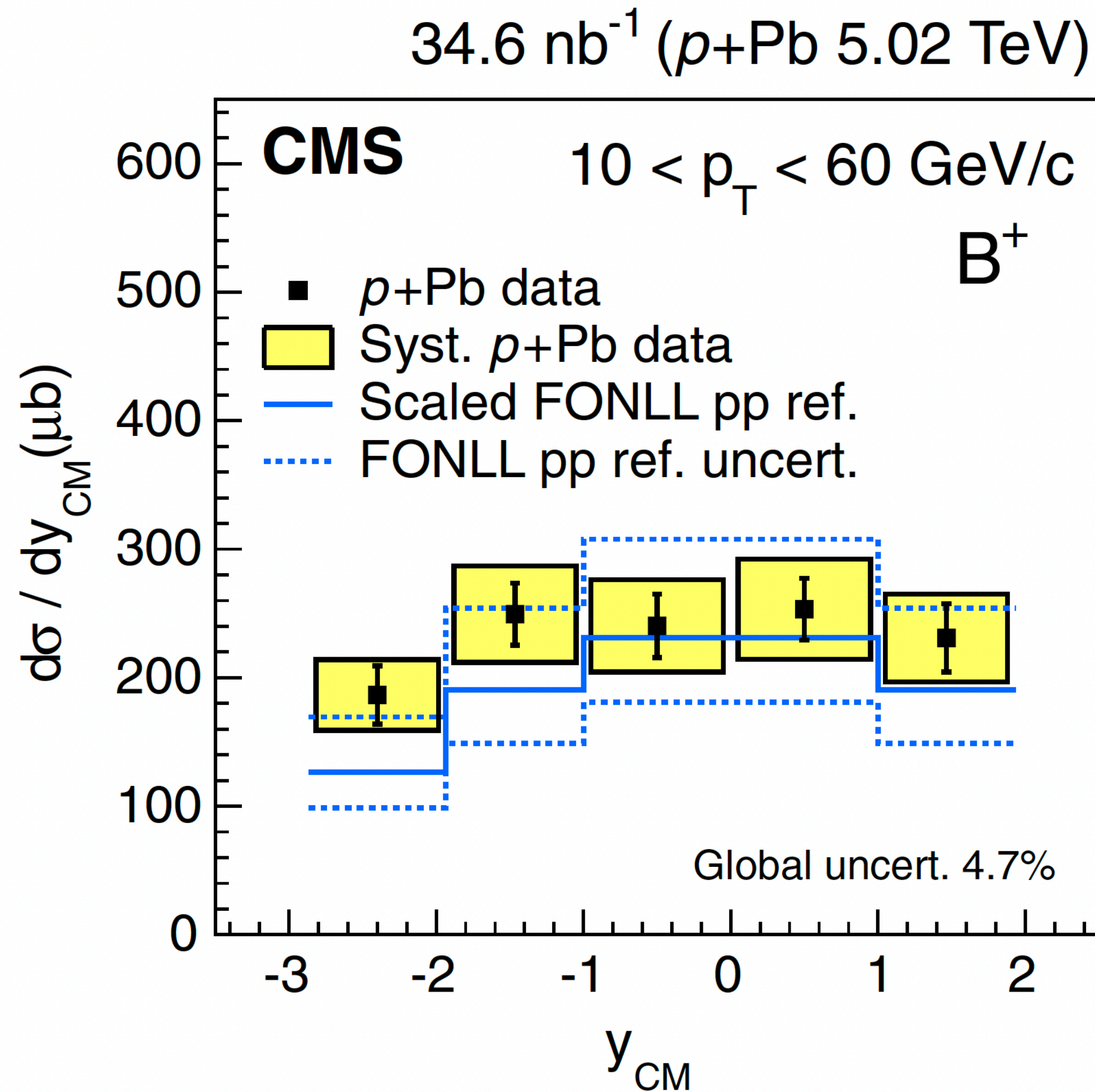
low p_T
 very low x_A



• effect of final state on the nPDF?

- very good accuracy down to 0 GeV
- **unique access to the extreme low-x low-Q² region (saturation regime?)**

B-meson production in pPb collisions at 5.02 TeV



First attempt to use beauty quarks to study nPDF modifications of gluons (limited experimental accuracy)

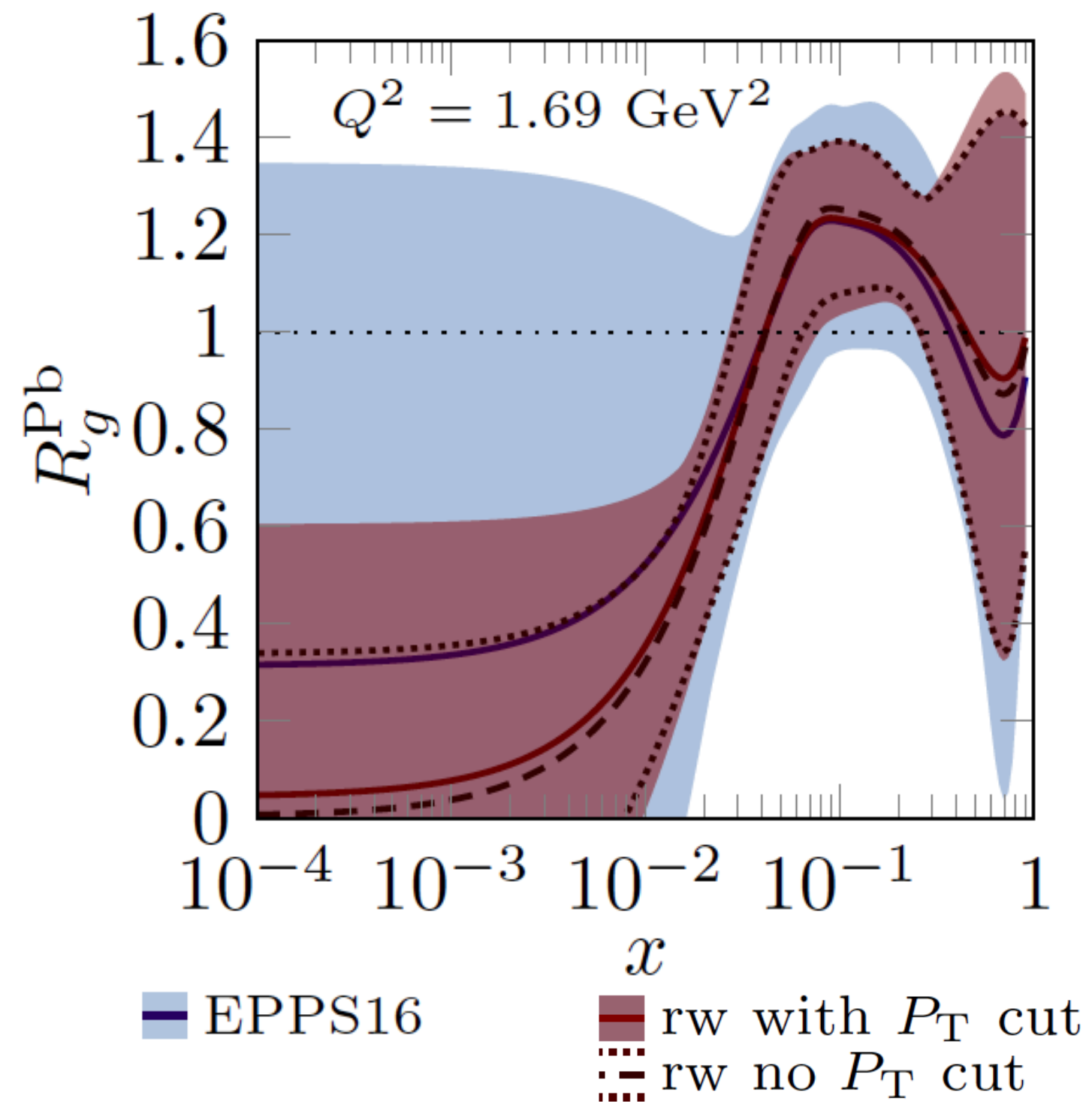
- lack of proton-proton reference (RpA built w.r.t. to FONLL predictions)
- limited pPb statistics → **larger pPb samples needed!**

From measurements to nPDF constraints

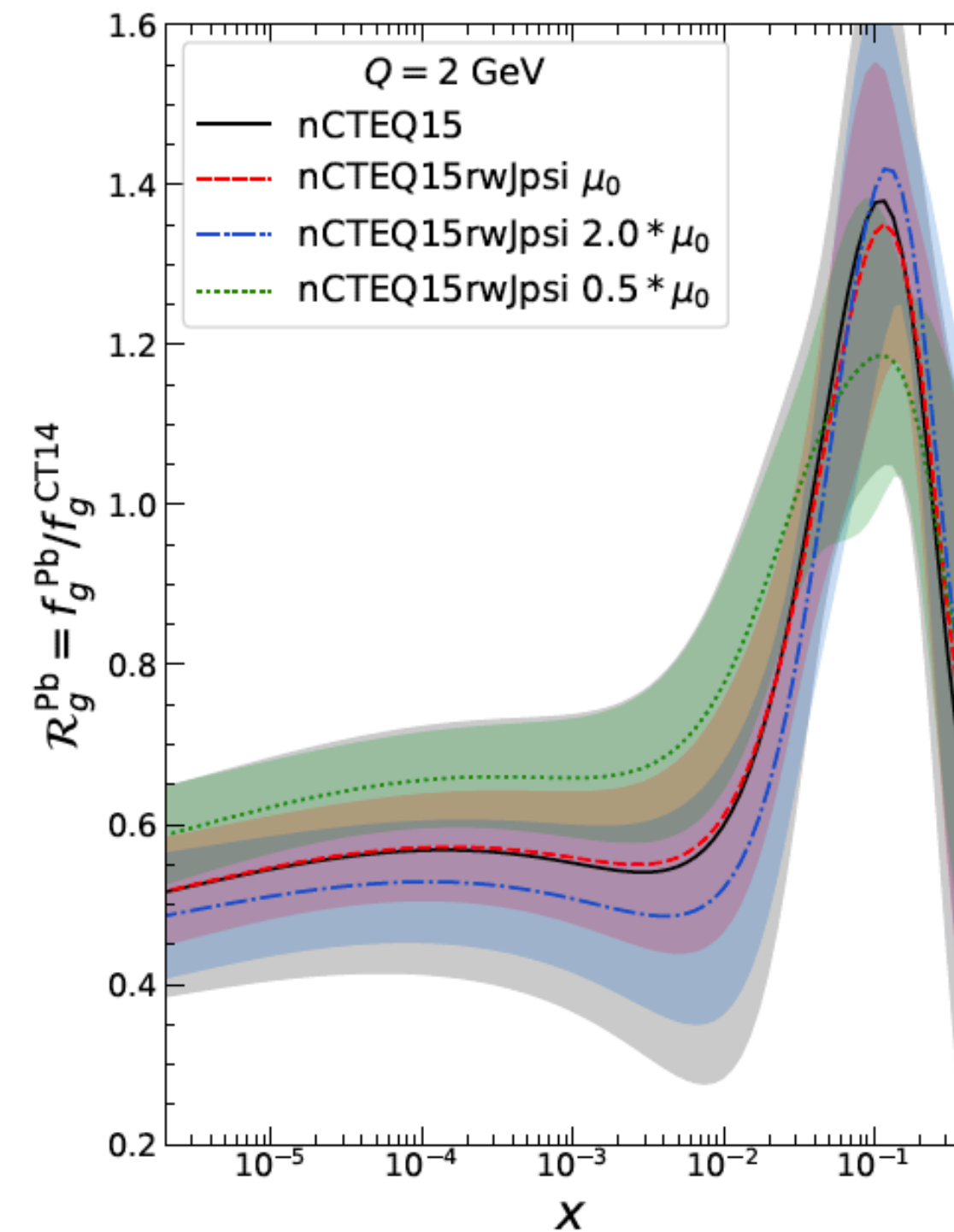
→ Using a non-quadratic Hessian PDF reweighting, [K. J. Eskola et al., EPJC 79, 511 \(2019\)](#)

[K. J. Eskola et al., JHEP 2020 37 \(2020\)](#)

With D meson LHCb data at 5.02 TeV

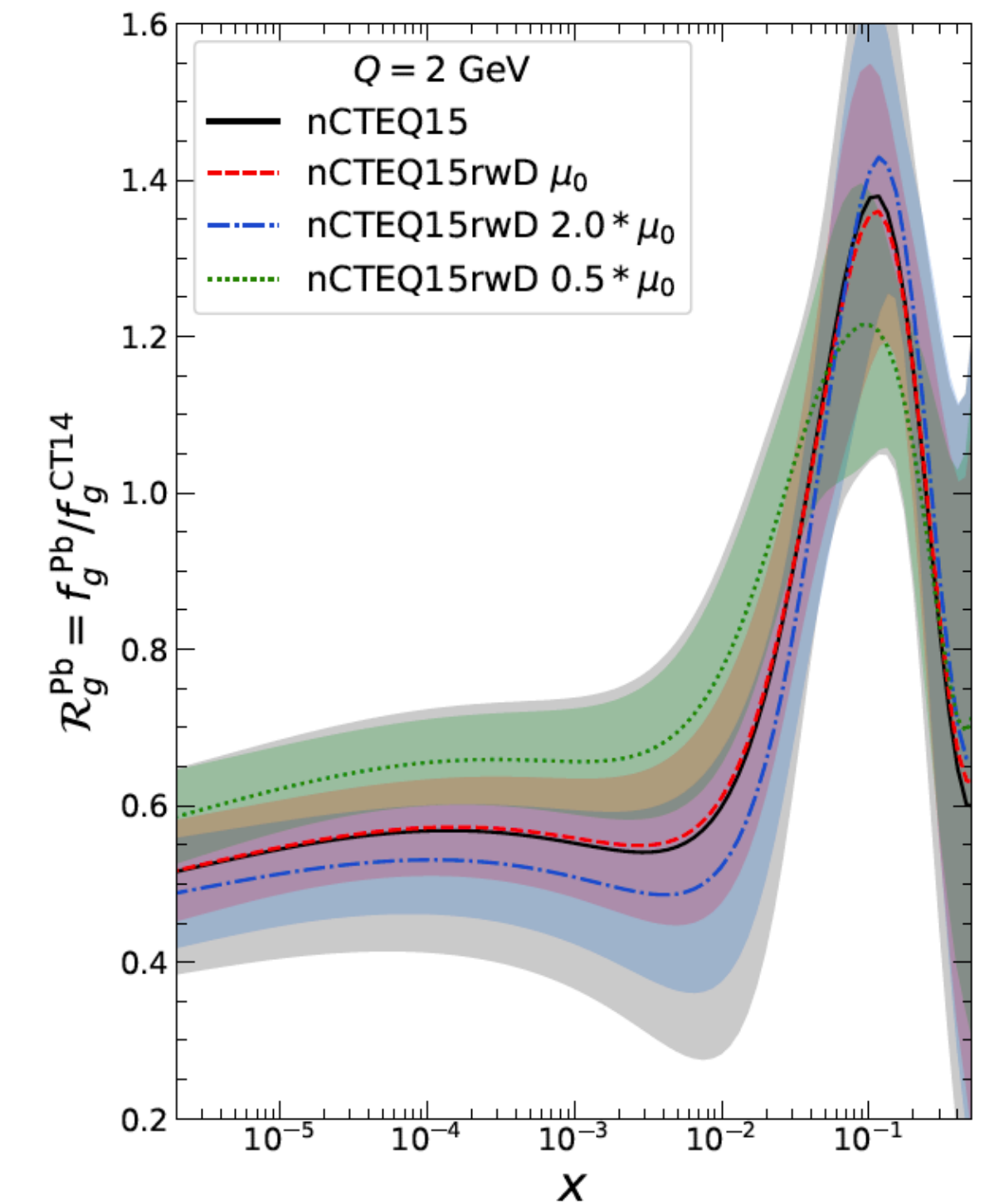


ALICE+LHCb D mesons in pPb



[A. Kusina et al. arXiv.2012.11462](#)

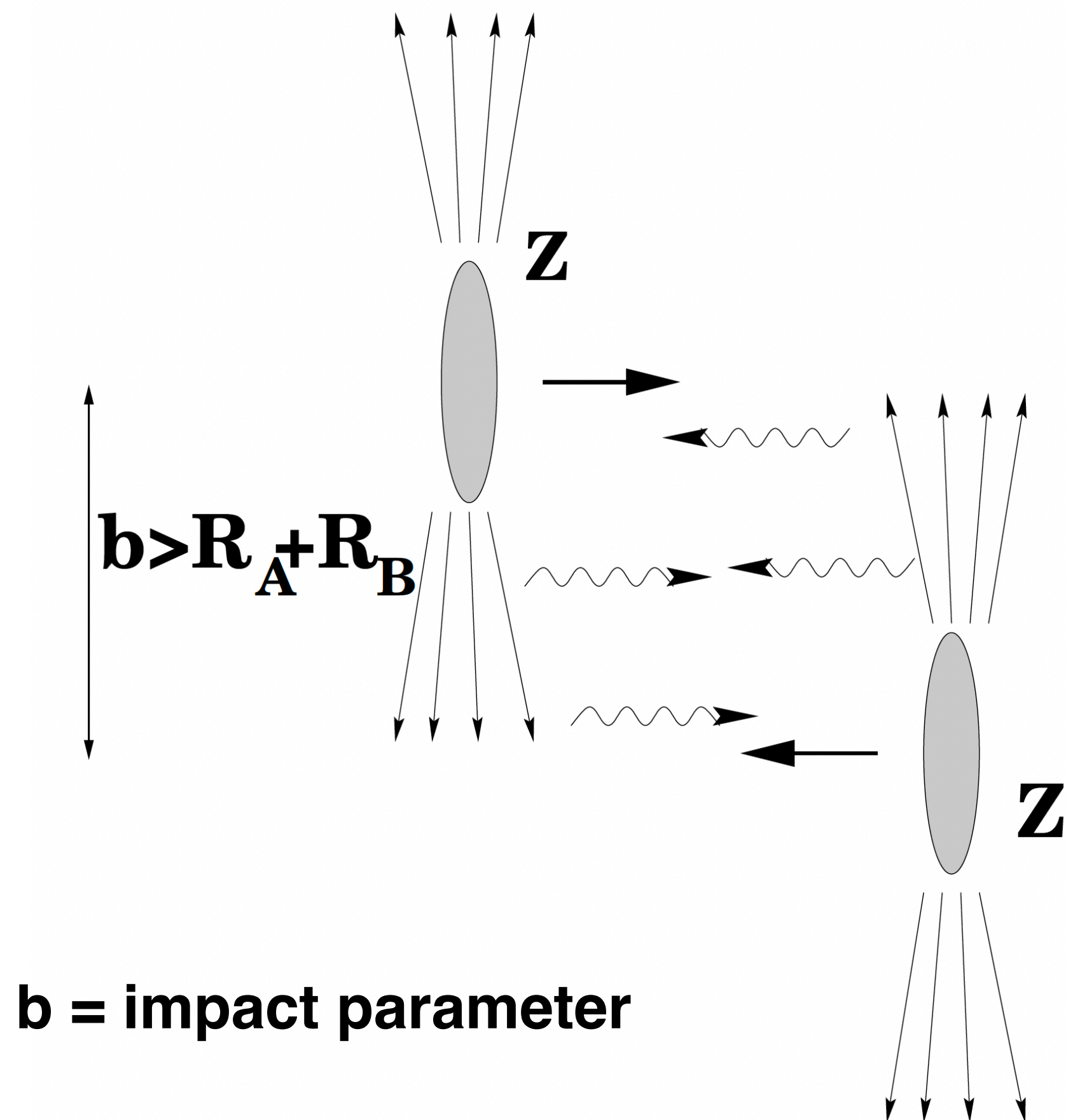
ALICE+LHCb J/ψ mesons in pPb



Significant constraints from inclusion of charm data from the LHC. Some caveats:

- **what is the influence of final state effects (e.g. D meson flow or hadronization)?**
- **can we account for them in the nPDF fits?**

Constraining nuclear PDFs at the LHC with Ultra-Peripheral HI collisions



$b = \text{impact parameter}$

Ultra-peripheral collisions (impact parameter $b > R_A + R_B$)

- Flux of photon is proportional to Z^2

- **Photon kinematics:**

- $p_T < \hbar/R_A \sim 30 \text{ MeV}$

- $E_{\text{max}} \sim O(100) \text{ GeV}$ at LHC.

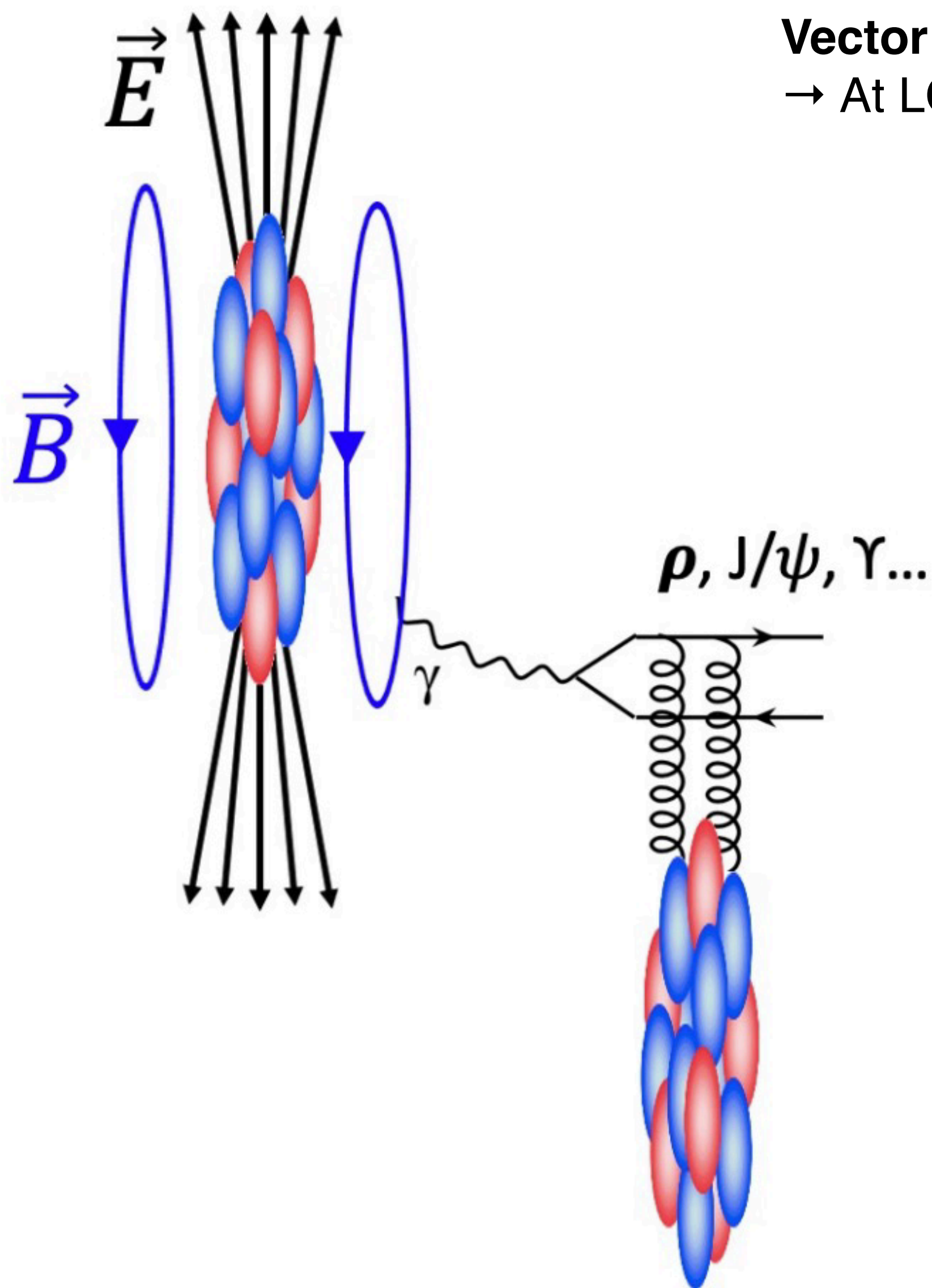
When running on PbPb, LHC is effectively a $\gamma\gamma$ and γN collider!

→ probability of having a hadronic PbPb collisions in a bunch crossing is $< 0.1\%$!

Vector-meson photoproduction in UPC

Vector mesons (VM) probe gluonic structure of nucleus and nucleon.

→ At LO in pQCD, cross section \sim photon flux \otimes $[xG(x)]^2$

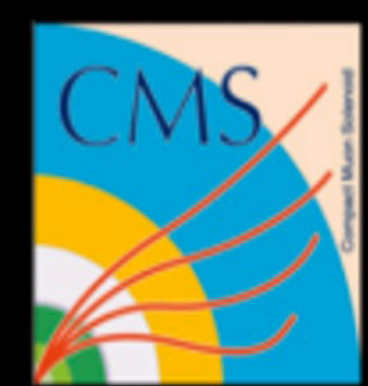


Coherent production ($\langle p_T \rangle \sim 50$ MeV)

- Photon fluctuated dipole couples coherently to entire nucleus
- Target nucleus remains intact
- VM $\langle p_T \rangle \sim 50$ MeV
- Probing the averaged gluon density

Incoherent production VM ($\langle p_T \rangle \sim 500$ MeV)

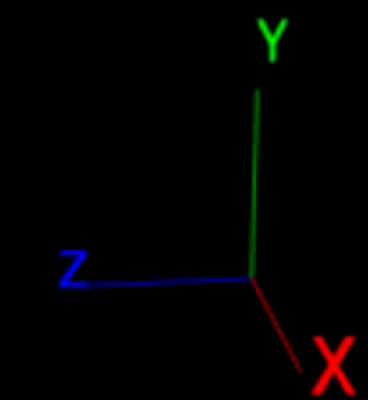
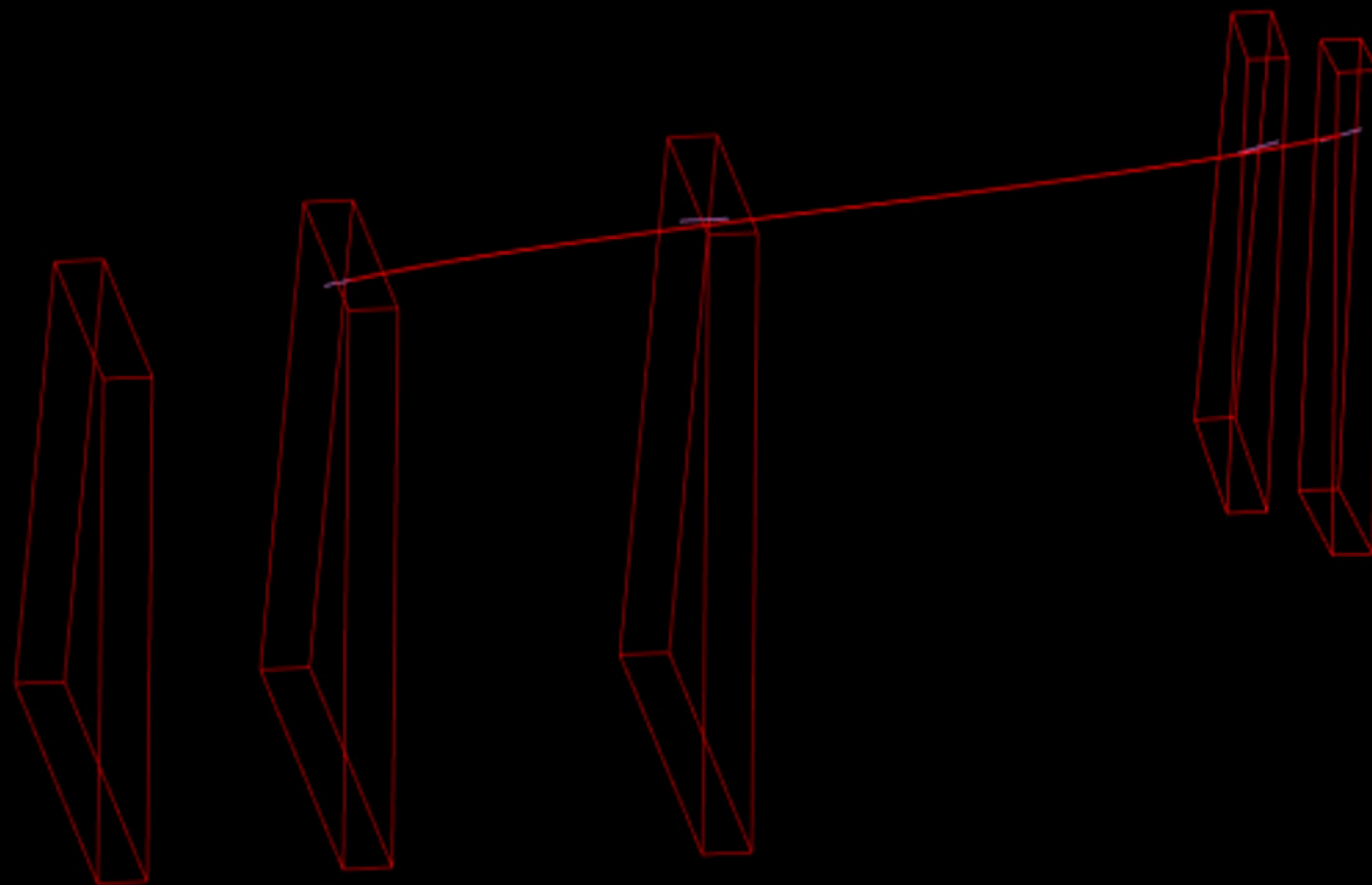
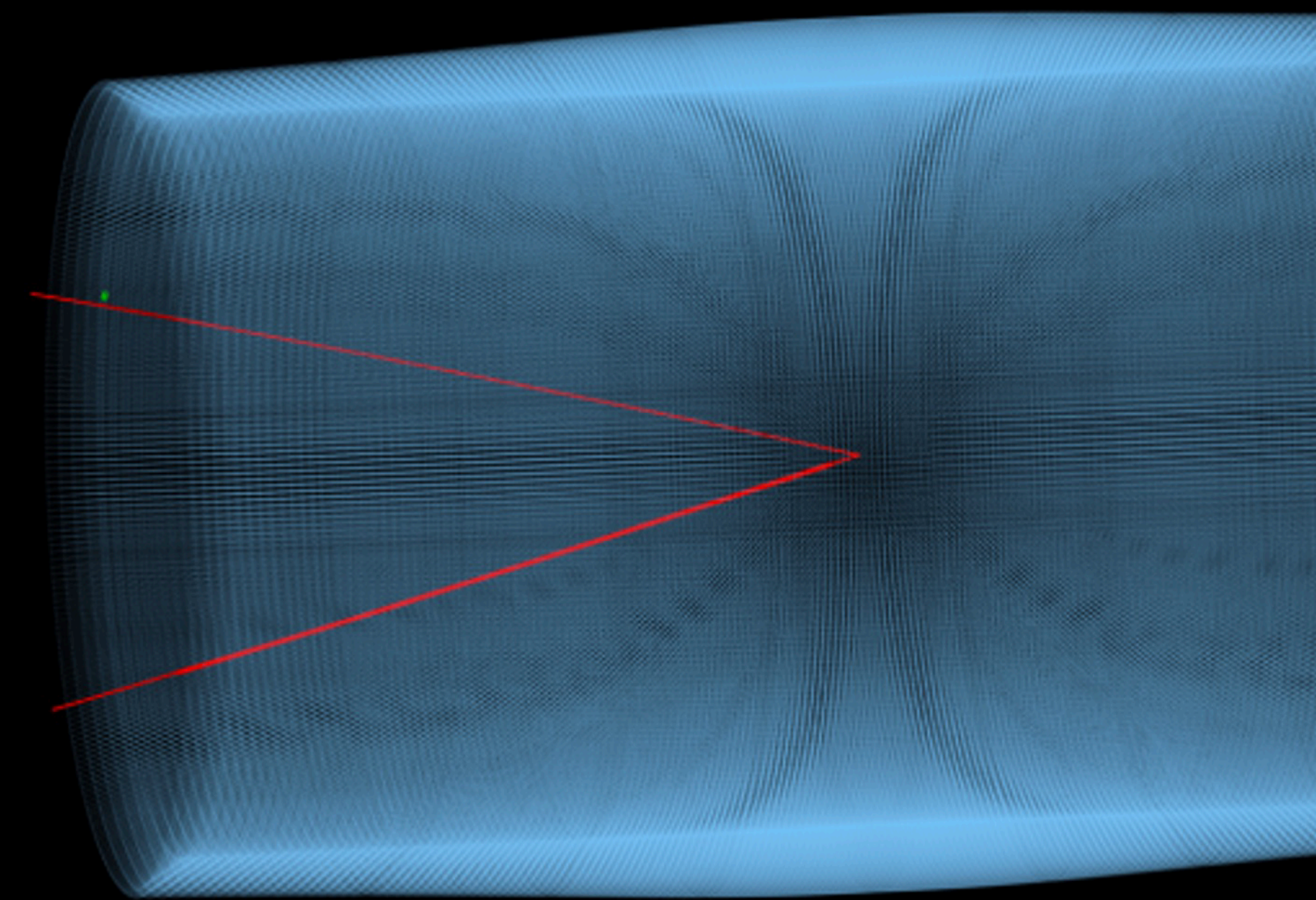
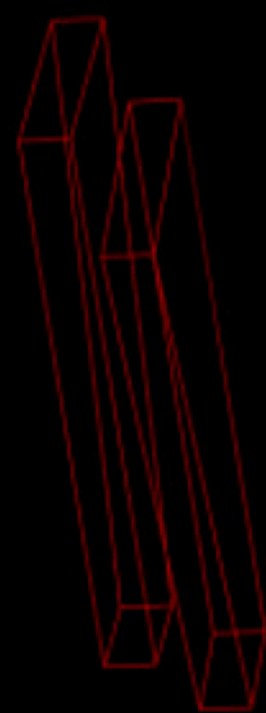
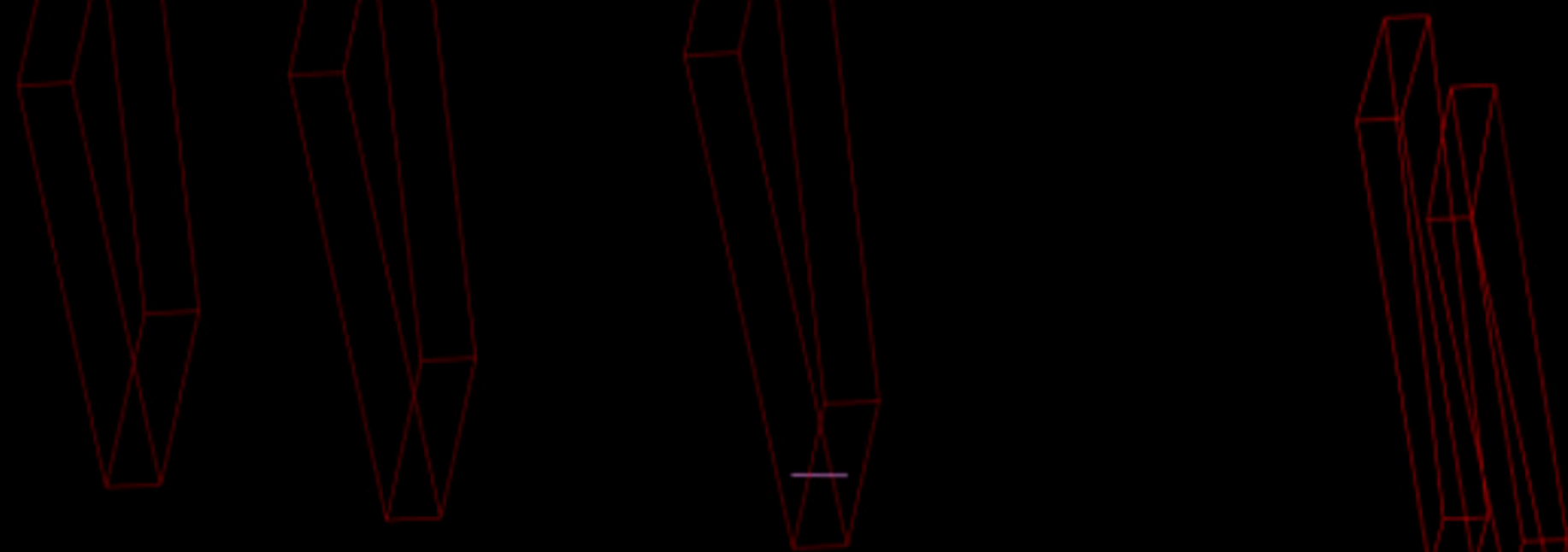
- Photon fluctuated dipole couples to individual nucleons
- Target nucleus usually breaks
- Probing the local gluon density fluctuation



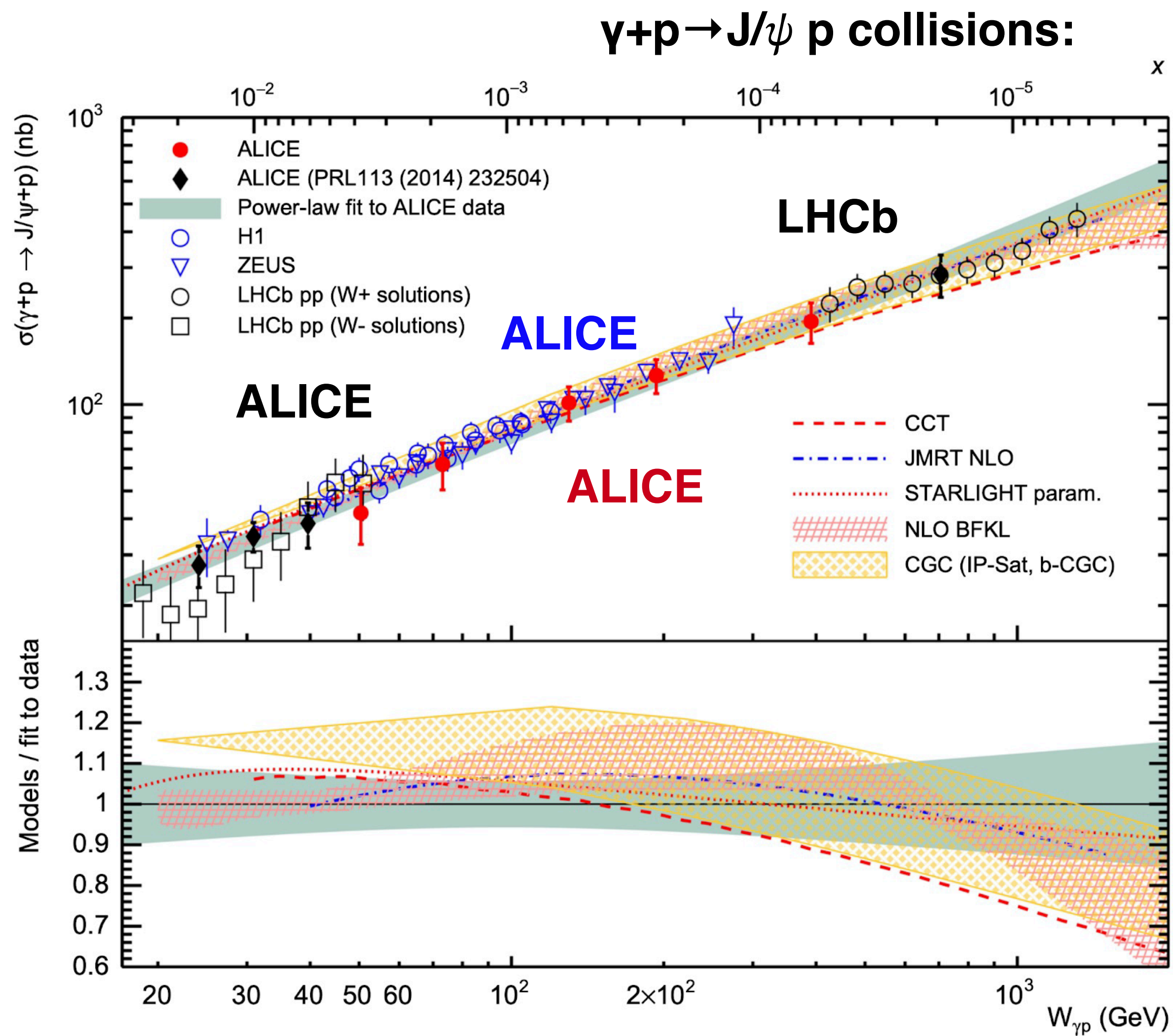
CMS Experiment at the LHC, CERN

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Coherent J/ψ photoproduction in UPC Pbp collisions

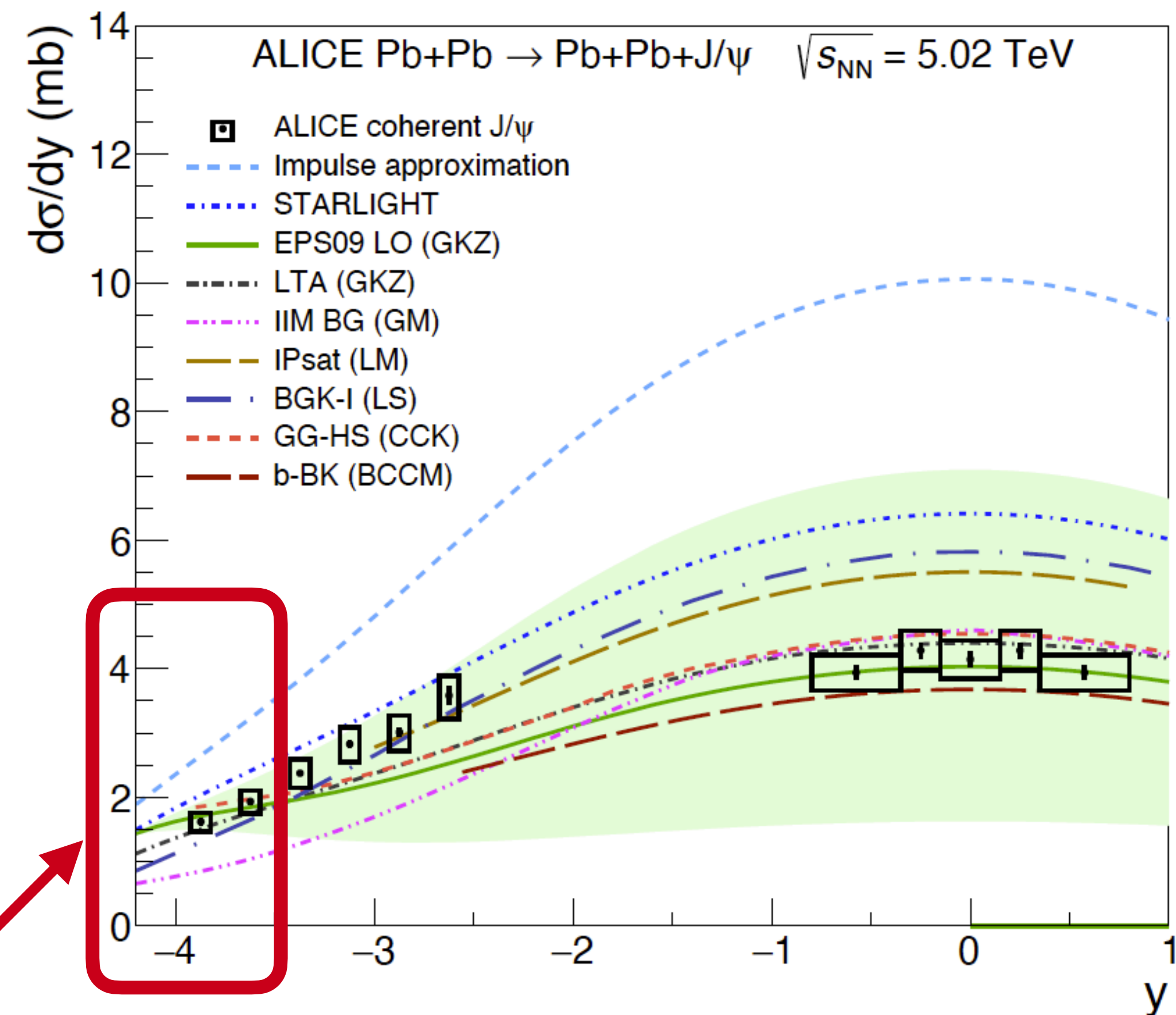
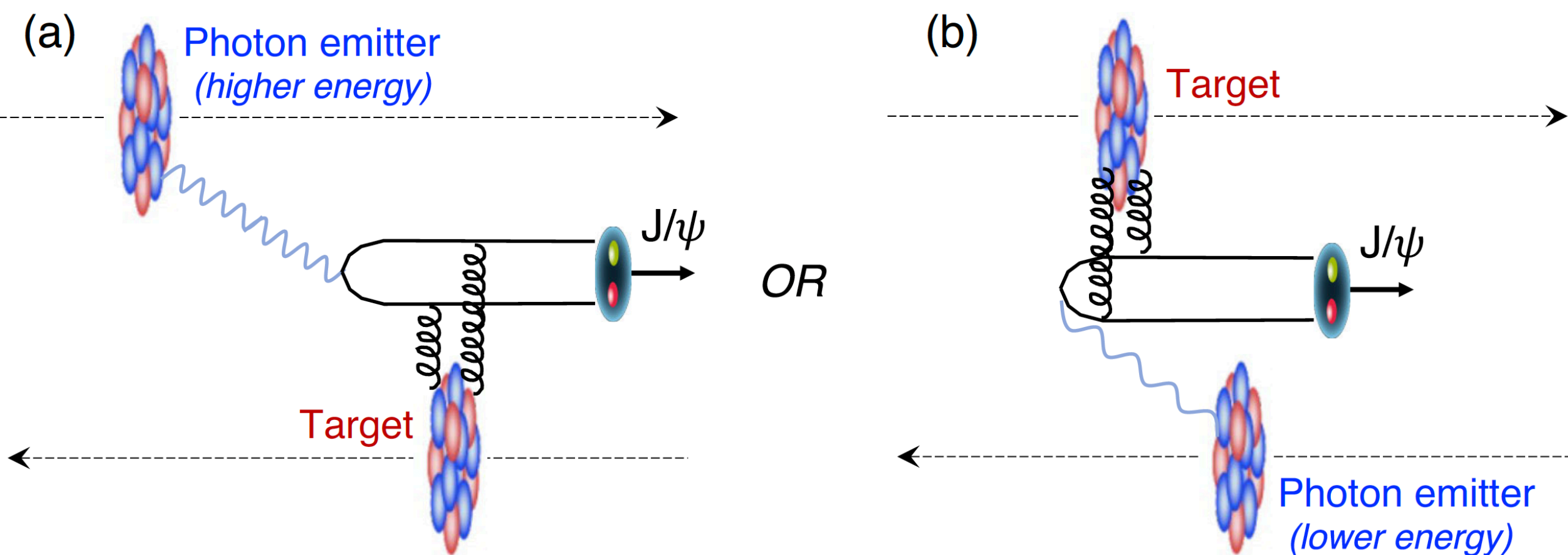


UPC γp from LHC and ep from HERA well described by models that include a strong increase of the gluon density

No indication of gluon saturation, even down to $x \sim 10^{-5}$ in a free nucleon.

$$W_{\gamma p} = 2\sqrt{\omega \cdot E_{\text{beam}}}$$

Coherent J/ψ photoproduction in UPC PbPb collisions



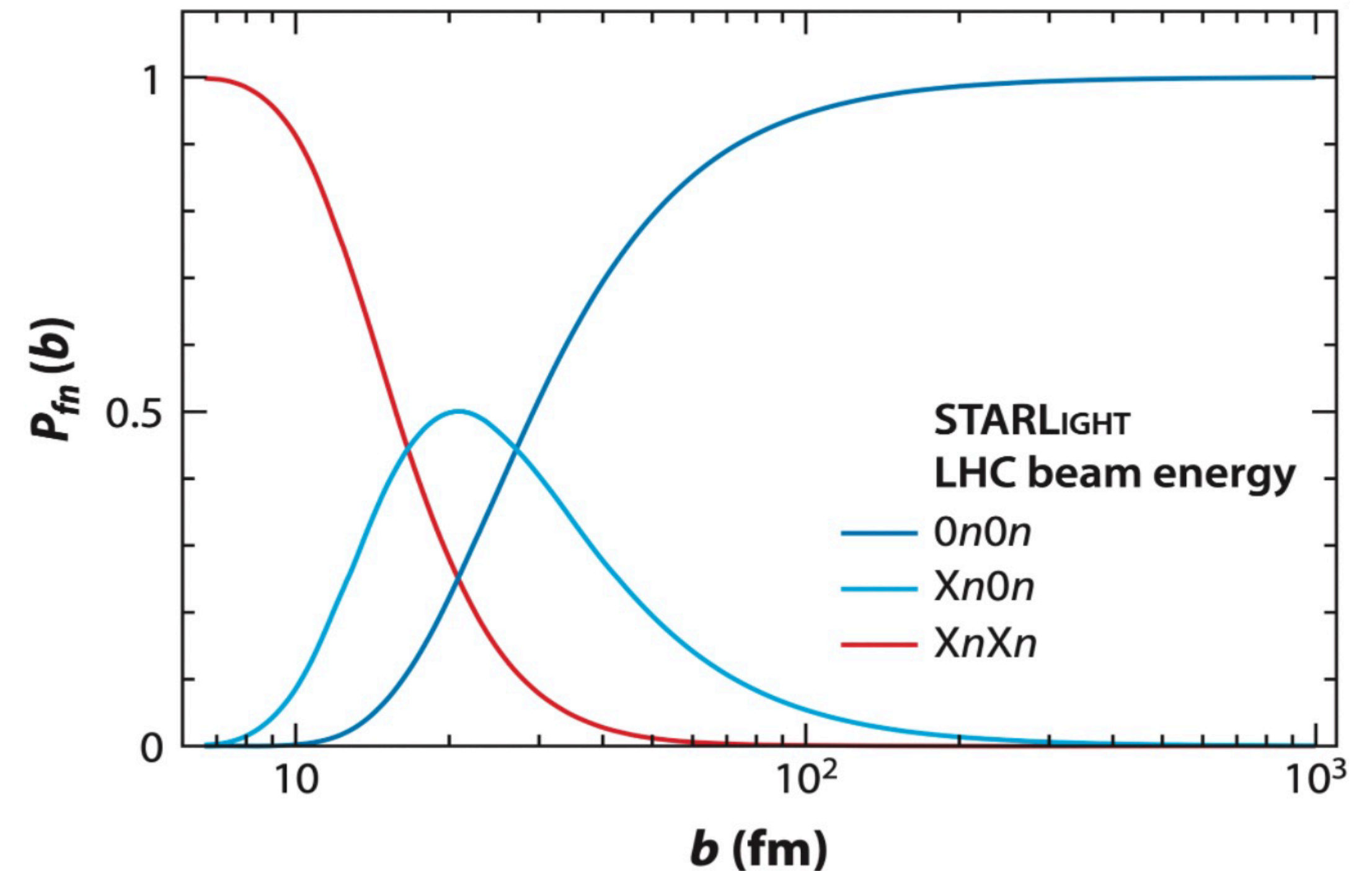
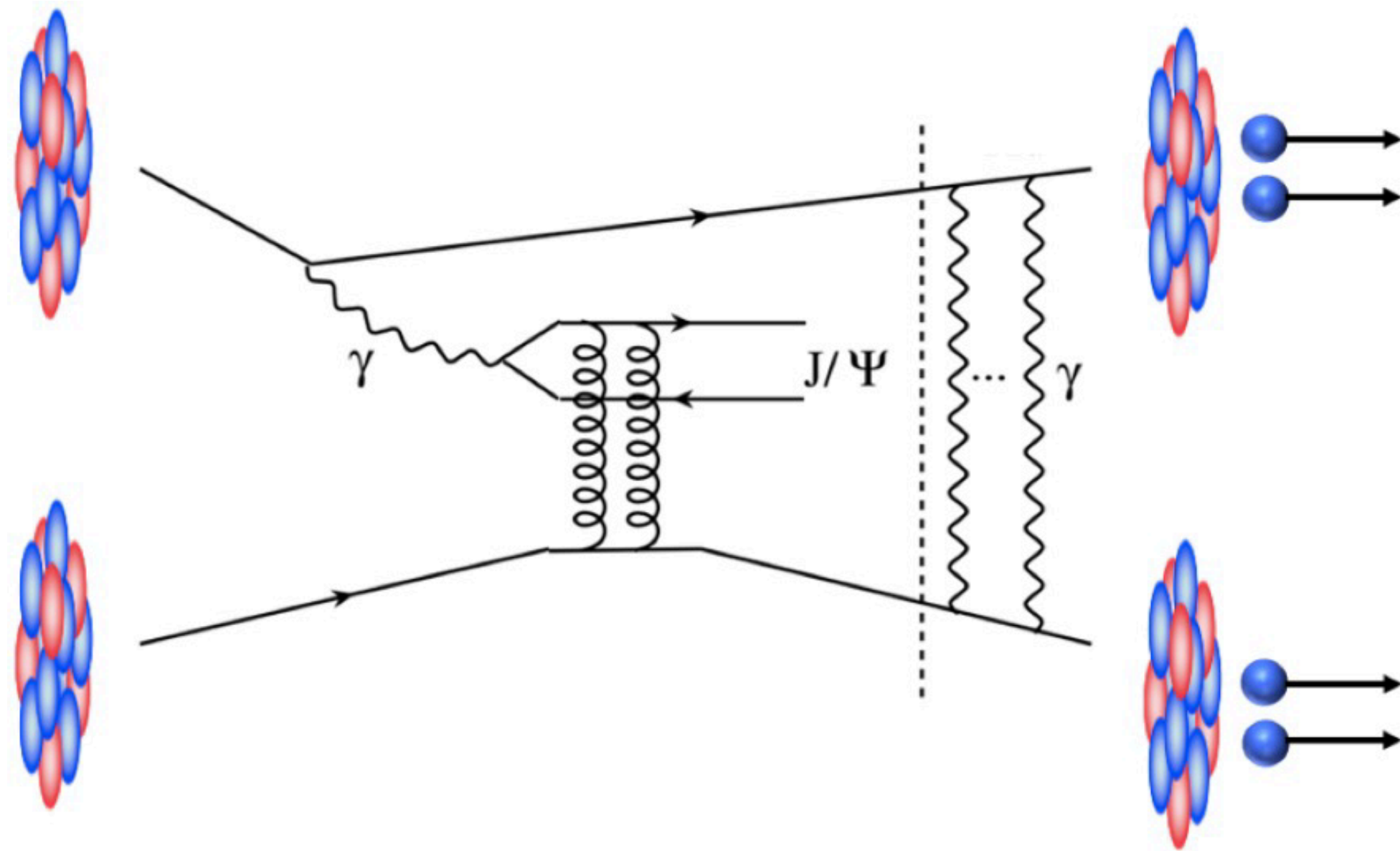
$$x = \frac{M_{VM}}{\sqrt{s_{NN}}} e^{\mp y}$$

Two-Way Ambiguity in A-A UPC: the initial direction of the photon is not fully defined
 At fixed y , contributions from two different photon energies $w_{1,2} = M_{J/\psi} \exp(\mp y)$

Solving the photon ambiguity with neutron information from ZDC

Method in a nutshell (V. Guzey, M. Strikman, M. Zhalov, EPJC (2014) 72 2942)

- Rate of high energy photon flux is larger at smaller impact parameter
- impact parameter of the collision can be estimated by considering the magnitude of EM dissociation



EM dissociation (EMD) leads to neutron emission with additional photon exchange

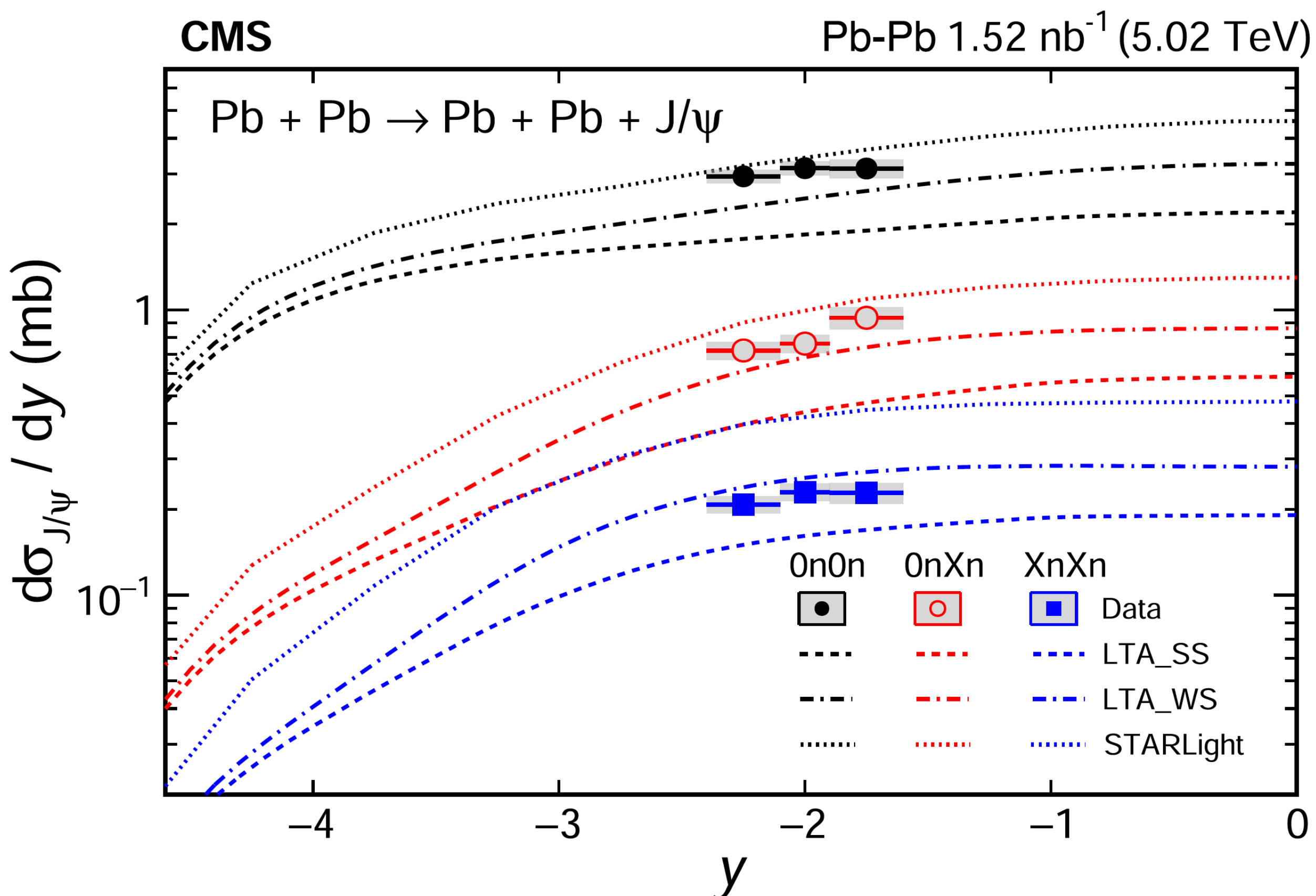
- Independent of interested physics process
- Large cross section ~ 200 b (single EMD)

Probability of EMD is strongly correlated with the impact parameter of the collision b

Coherent J/ψ in PbPb UPCs with forward-neutron tag with CMS

CMS, Phys. Rev. Lett. 131 (2023) 262301

First coherent measurement in different neutron classes \rightarrow **inputs to disentangle low from high energy γN events**

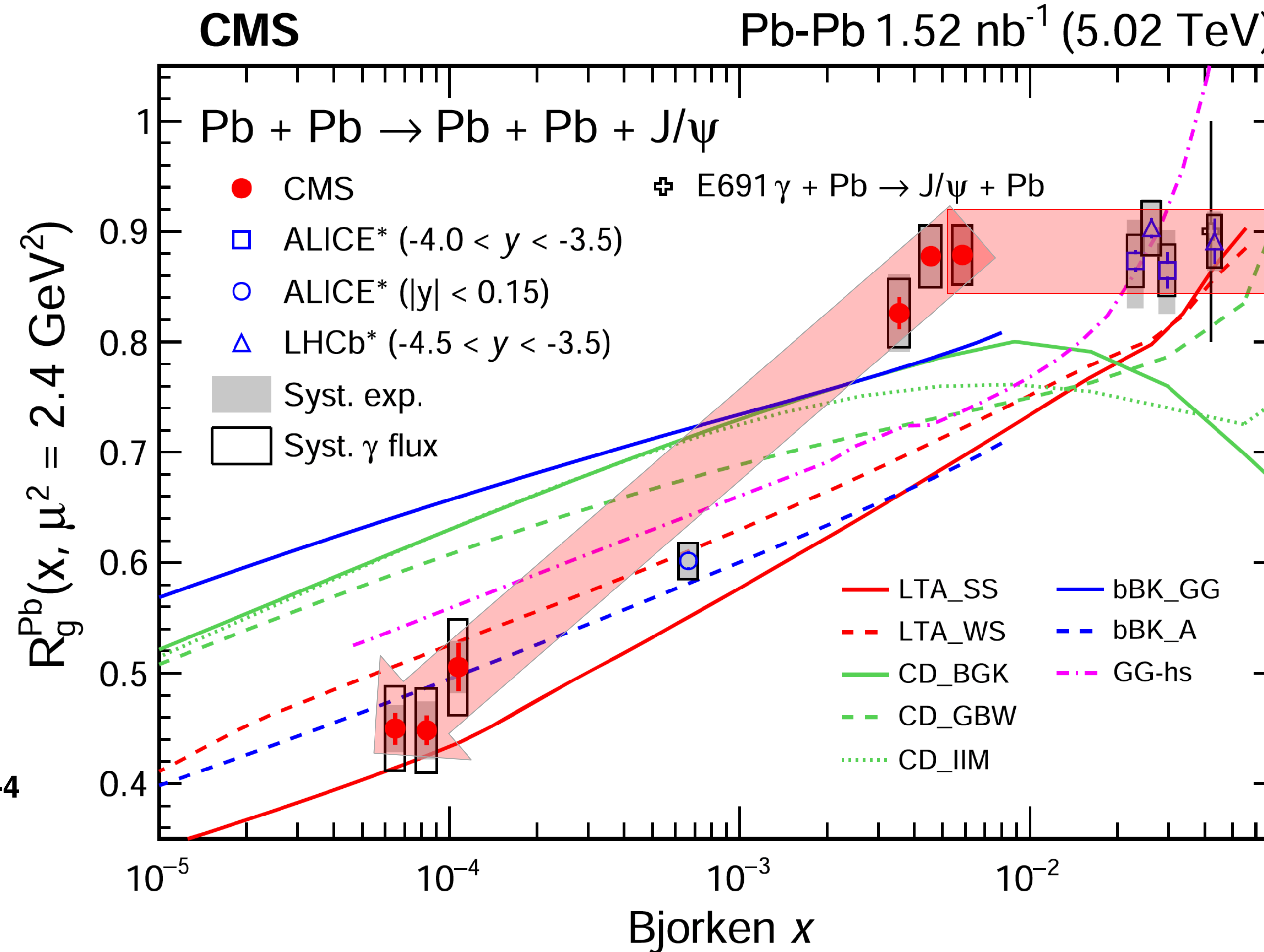


$$\frac{d\sigma_{J/\psi}^{injn}(y)}{dy} = n_{\gamma A}^{injn}(\omega_1) \sigma_{J/\psi}(\omega_1) + n_{\gamma A}^{injn}(\omega_2) \sigma_{J/\psi}(\omega_2)$$

- $injn = (0n0n, 0nXn, XnXn)$
- $\omega_{1,2} = \omega_{1,2}(y)$ two possible photon energies
- $n_{\gamma A}(\omega)$ is the photon flux (from theory)
- $\sigma_{J/\psi}(\omega)$ the coherent photoproduction cross section for a single γA interaction, averaged over a range of y

Coherent J/ψ in PbPb UPCs with forward-neutron tag with CMS

CMS, Phys. Rev. Lett. 131 (2023) 262301

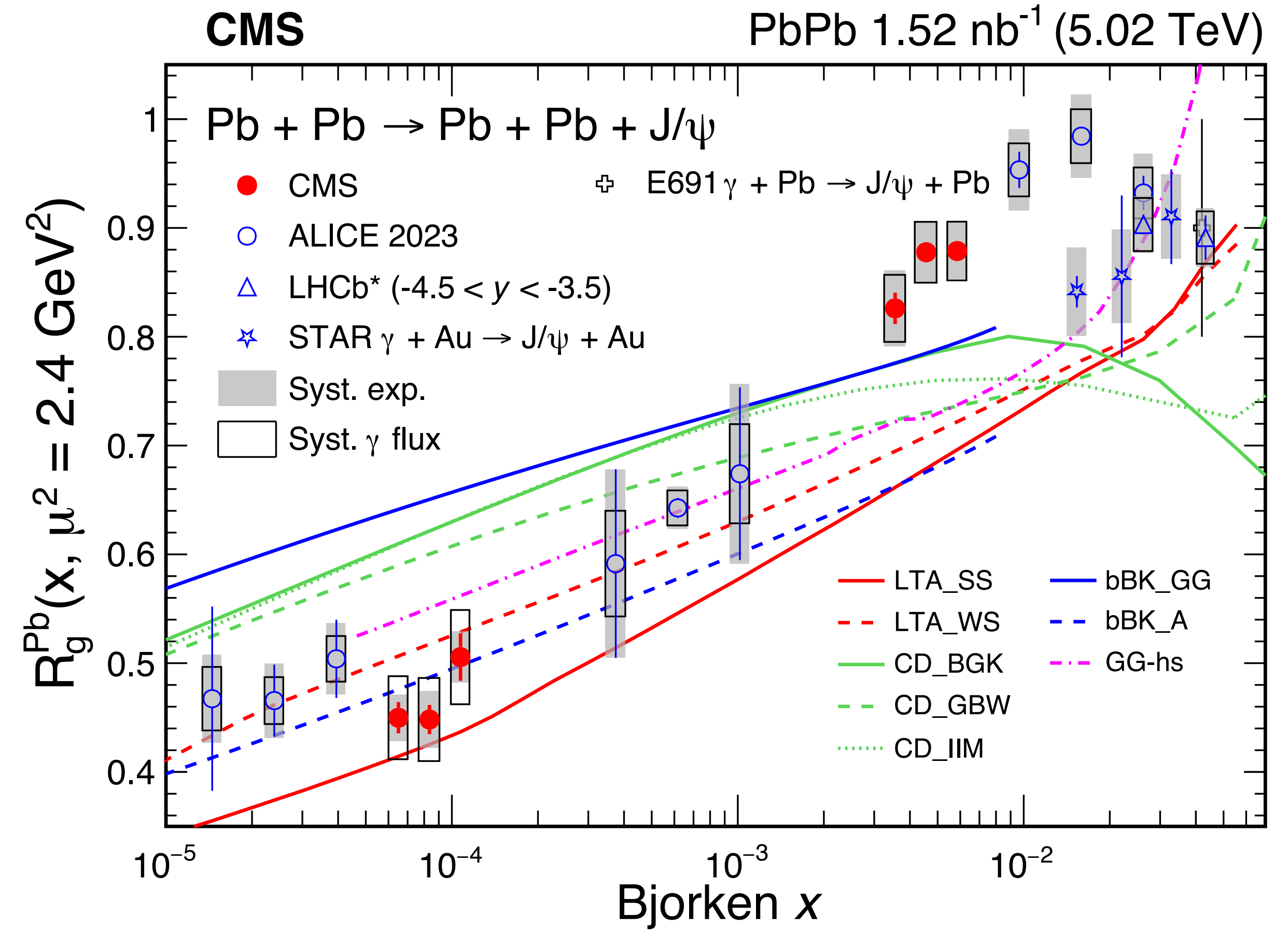
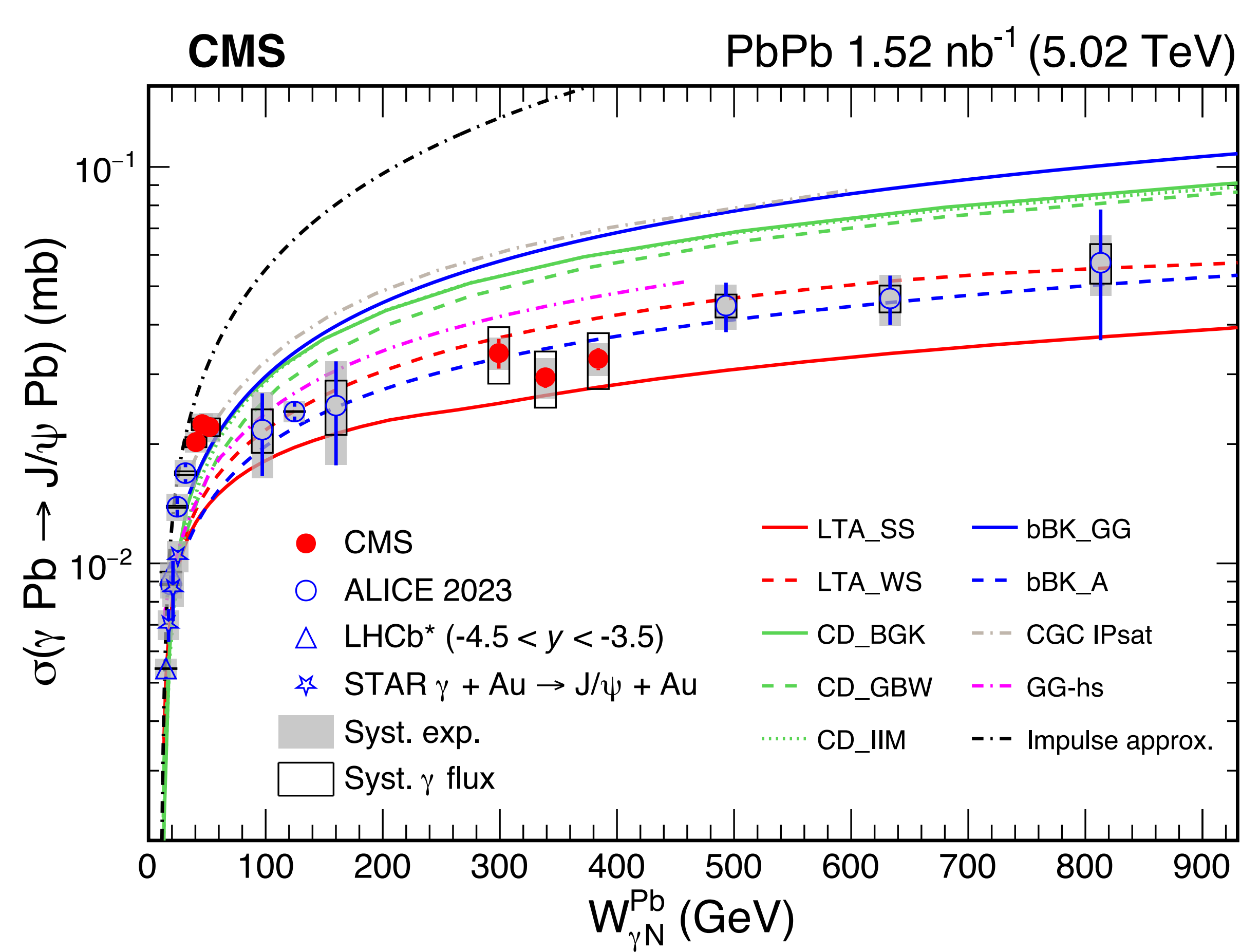


$R_g \sim 0.8-0.9$ for $x > 10^{-3}$
(low $W_{\gamma N}^{Pb}$)

$R_g \sim 0.4$ for $x < 10^{-4}$
(high $W_{\gamma N}^{Pb}$)

No theoretical model predicts the observed values and x dependence of R_g over the wide x range reported

Coherent J/ψ in PbPb UPCs: CMS vs ALICE

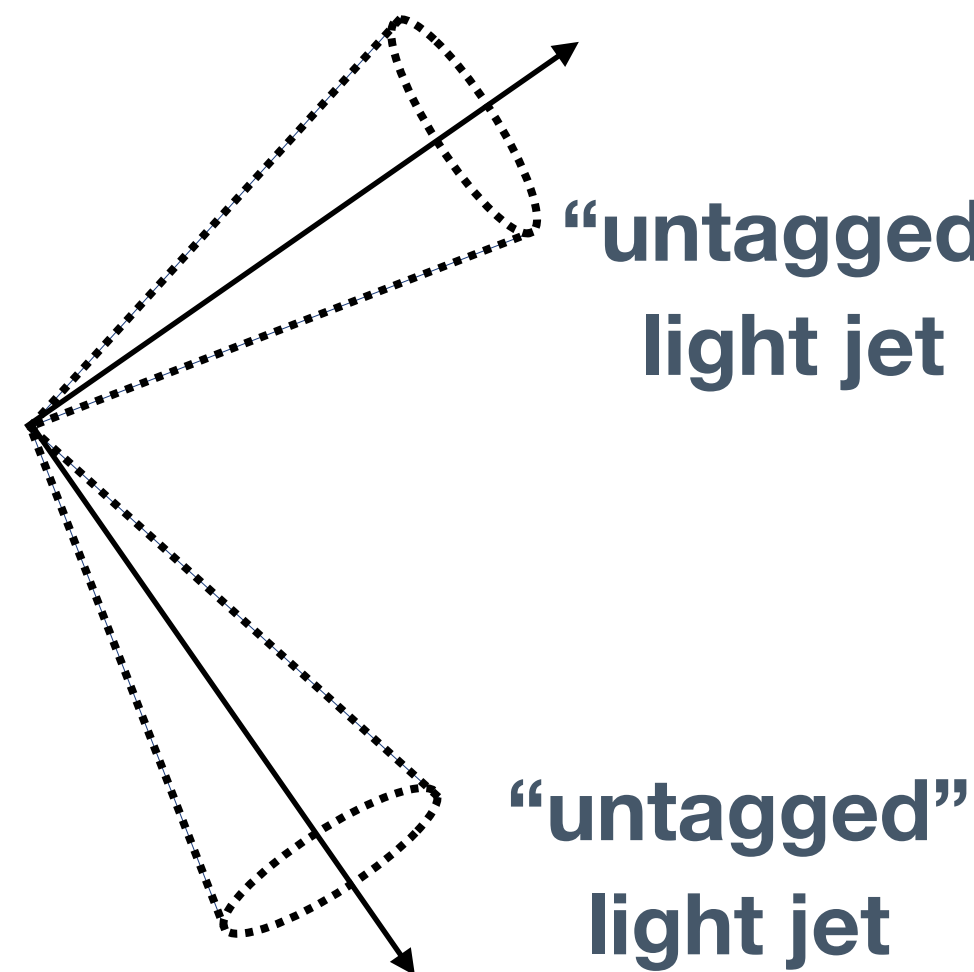
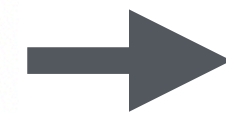
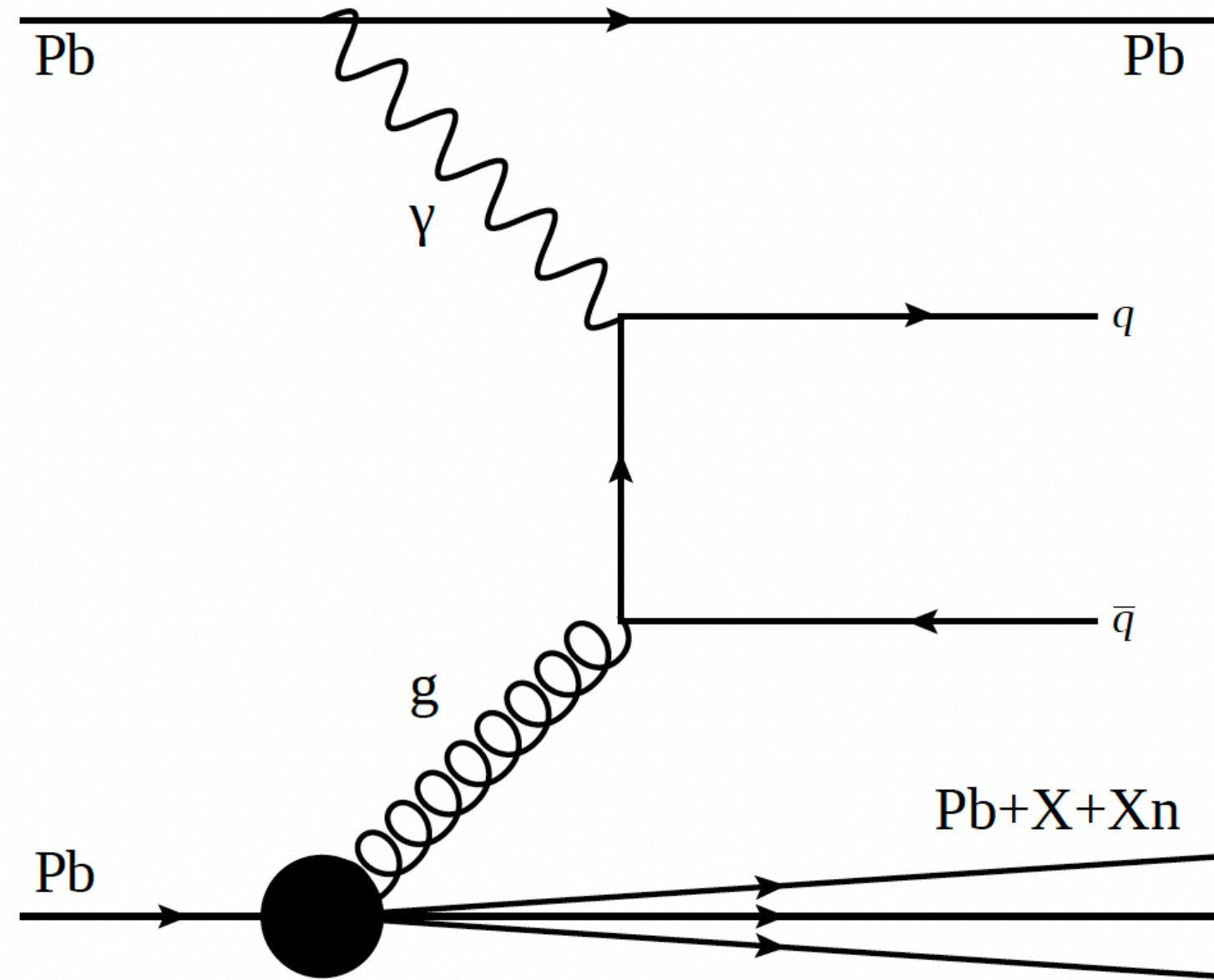


Open heavy flavors in UPC events at the LHC

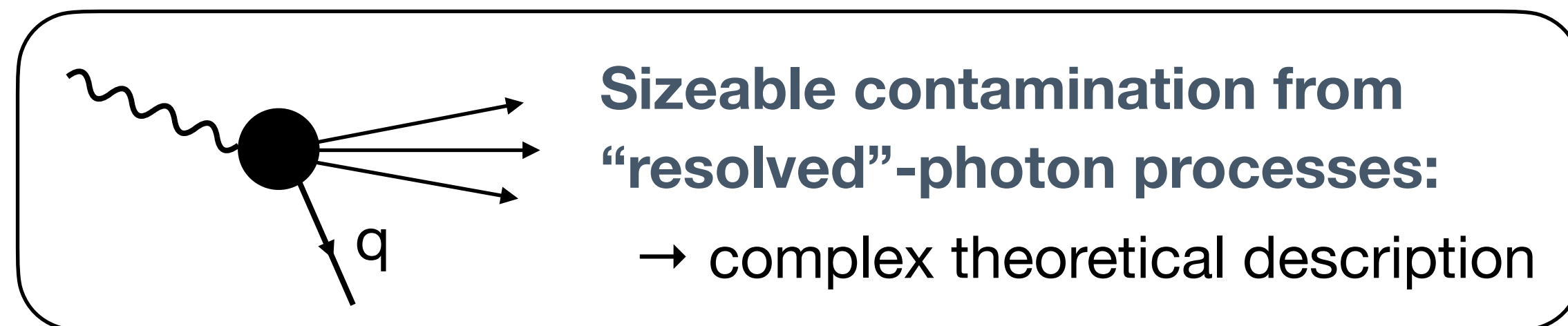
Untagged di-jets in γN scatterings

Dynamic constraints on (x, Q^2)

by varying dijet kinematics

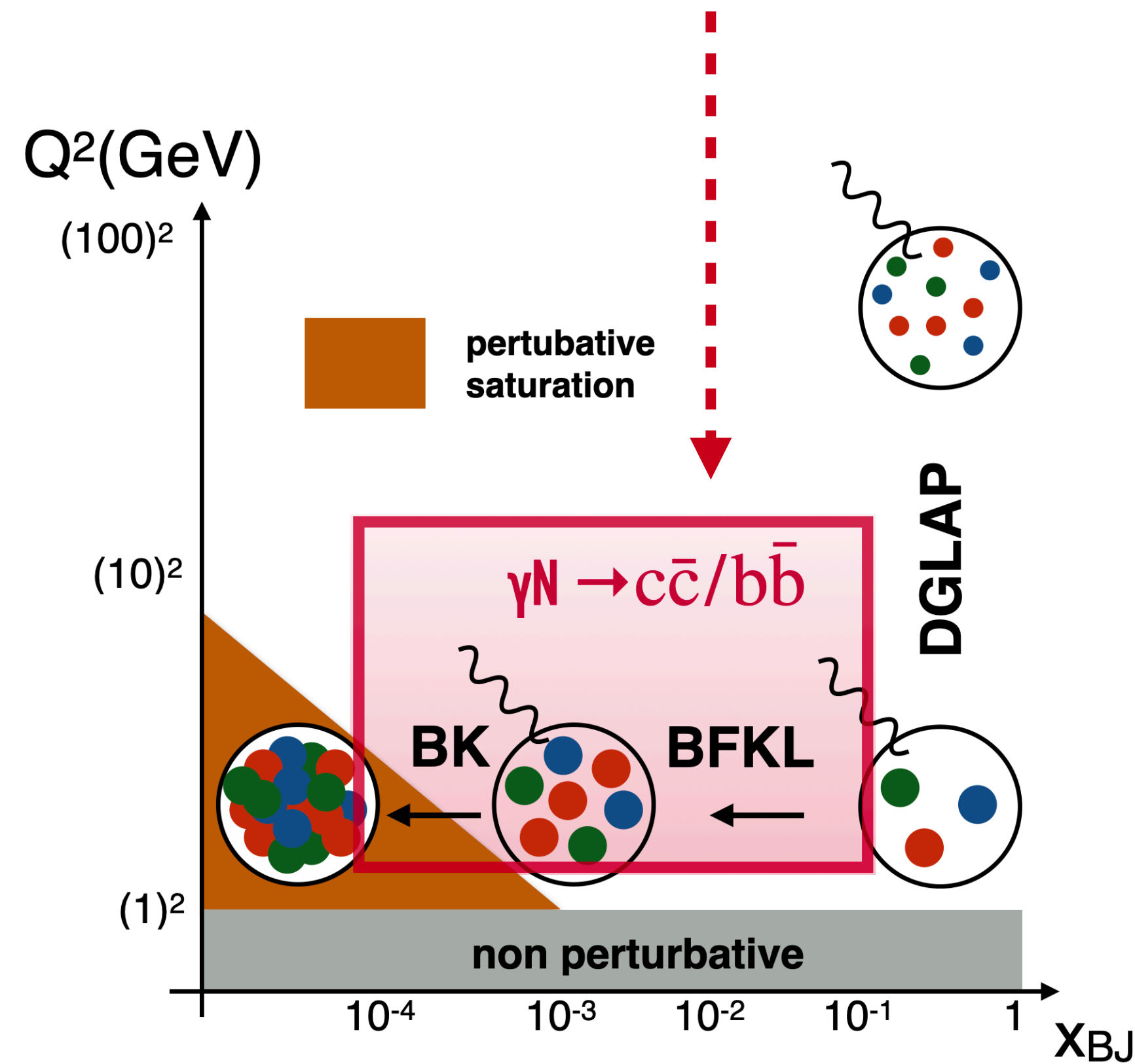
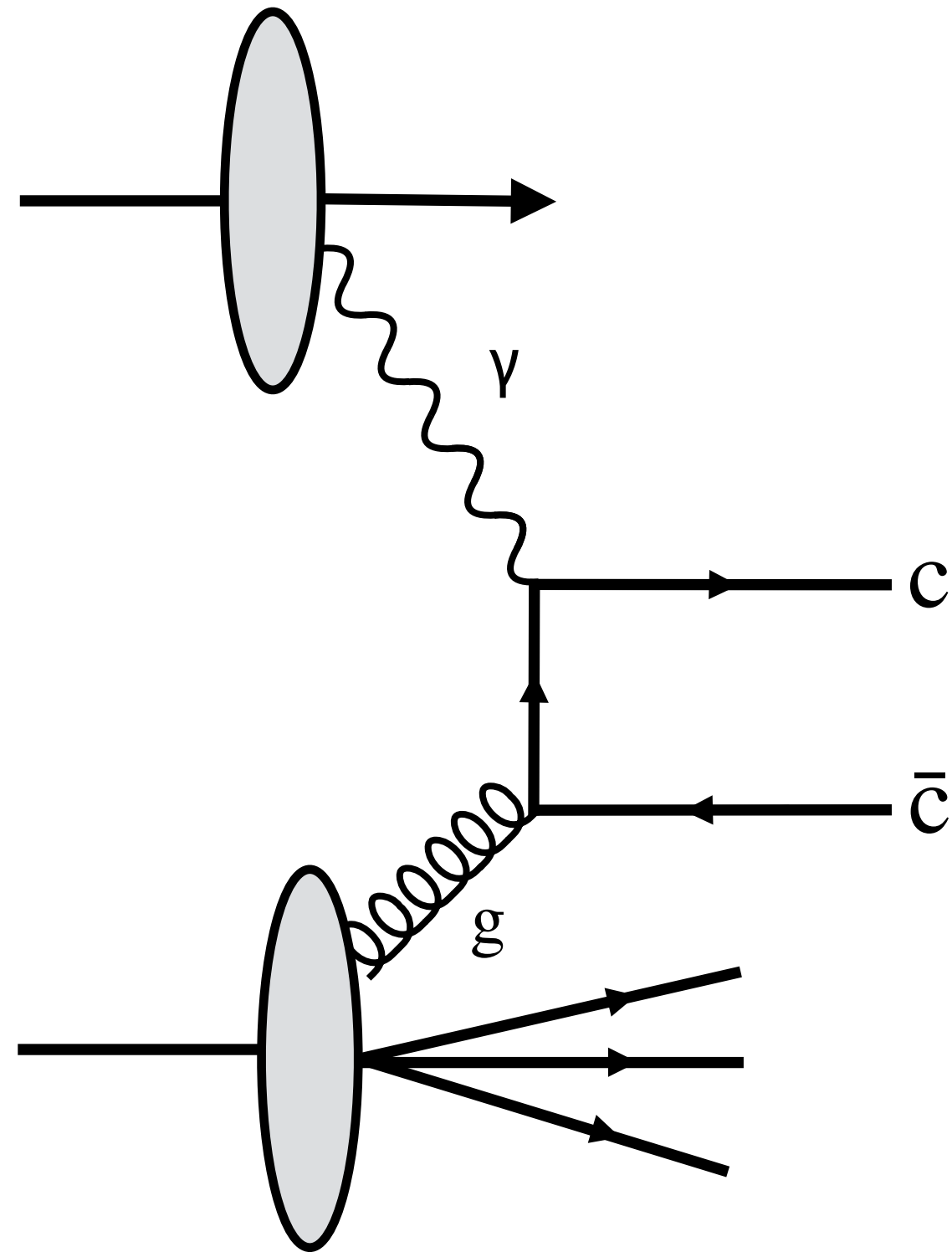


Limited access to low x and Q^2
→ jets limited to $p_T > \sim 15$ GeV/c



“Open” heavy-flavor and jet photoproduction in UPCs

- Simple pQCD description down to $p_T=0$
 - “in-vacuum” environment with limited final-state effects
 - dynamical access to a wide region of x , Q^2 region down to low x_{BJ}
- scan the region where high-density effects should emerge

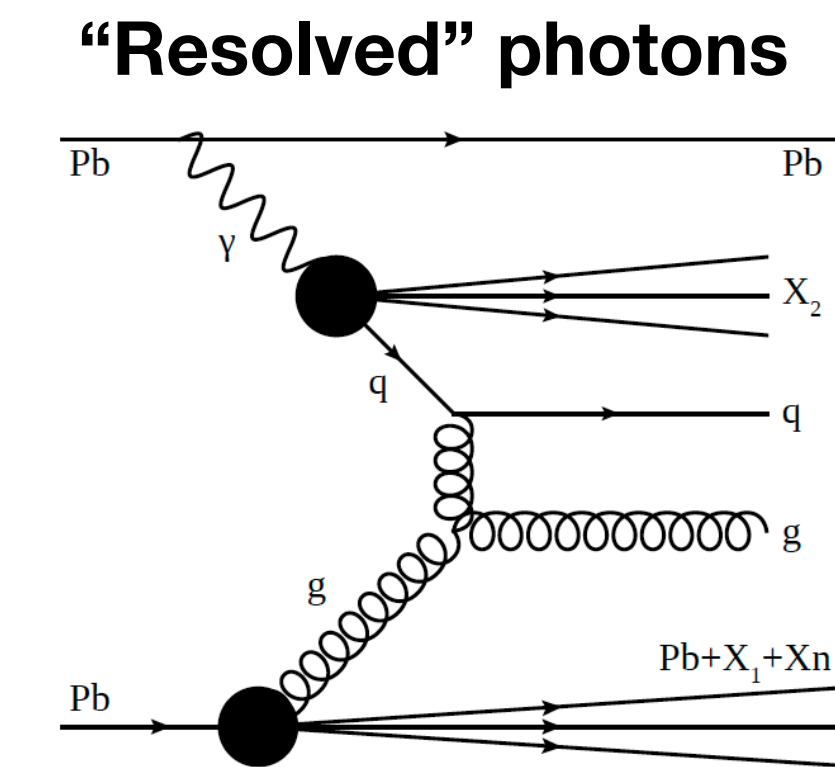
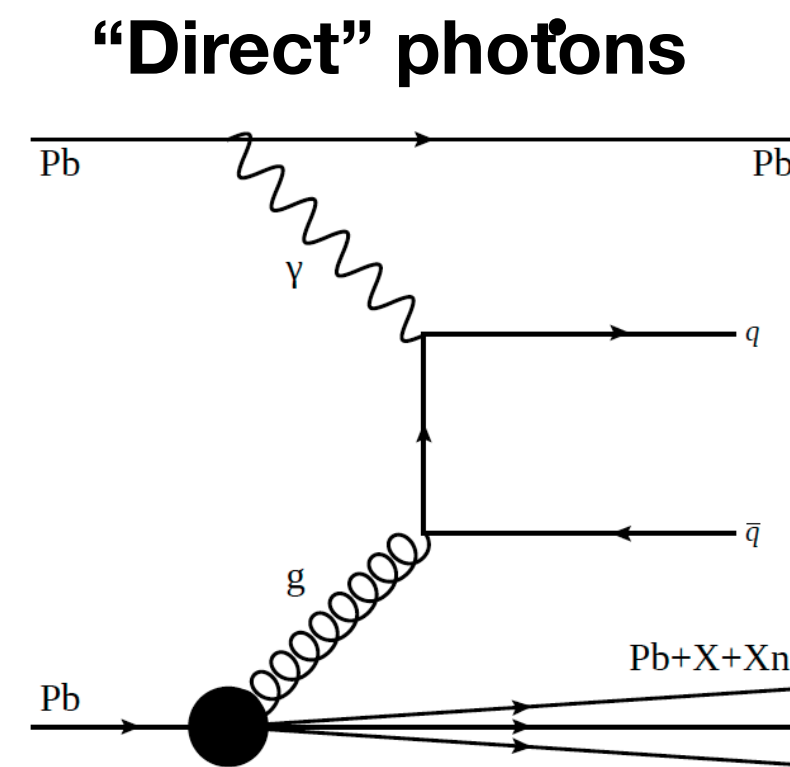


- $x_{\min} \approx 10^{-4}$ with low p_T , forward probes (LHC)
- $Q_{\min}^2 \approx m_{c\bar{c}}^2$

EM open heavy-quark production in UPC

Inclusive photoproduction γg (signal):

Exclusive photoproduction



Diffractive events

- Unbroken nuclei
- exchanging pomerons
- could be selected if in overlap with electromagnetic dissociation

EM open heavy-quark production in UPC

Background sources

Inclusive photoproduction γg (signal):

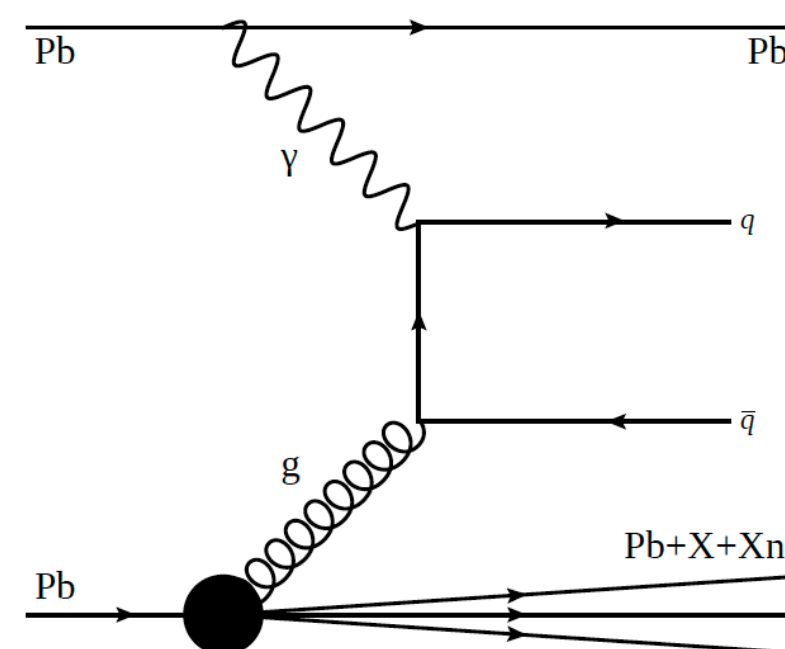
$\gamma\gamma$ (purely EM)

- Not probing nucleus structure (background)
- 0n0n events with both two gaps
- can “pass” γN event selection if in overlap with electromagnetic dissociation

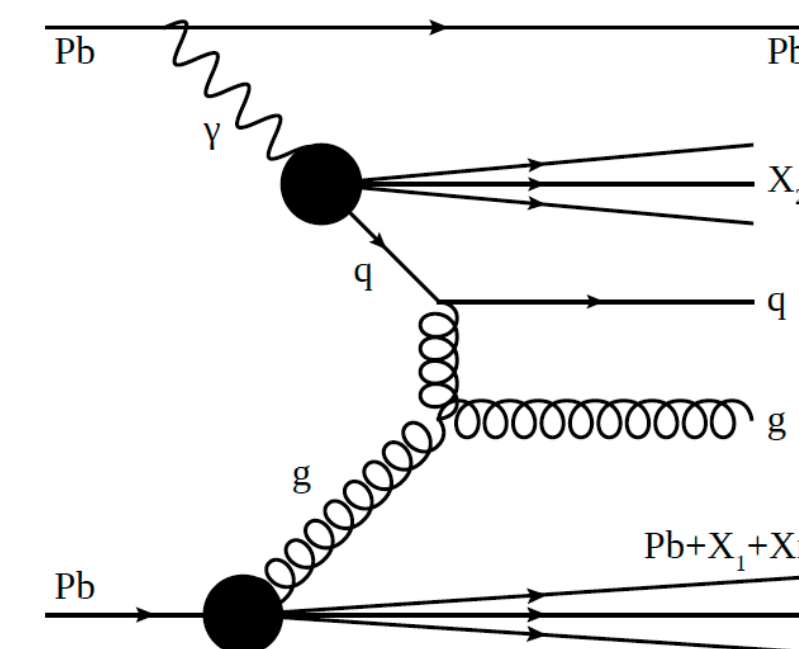


Exclusive photoproduction

“Direct” photons



“Resolved” photons



Contamination from hadronic peripheral events (background)

- $X_n X_n$ events
- can “pass” γN event selection in the presence of ZDC inefficiencies



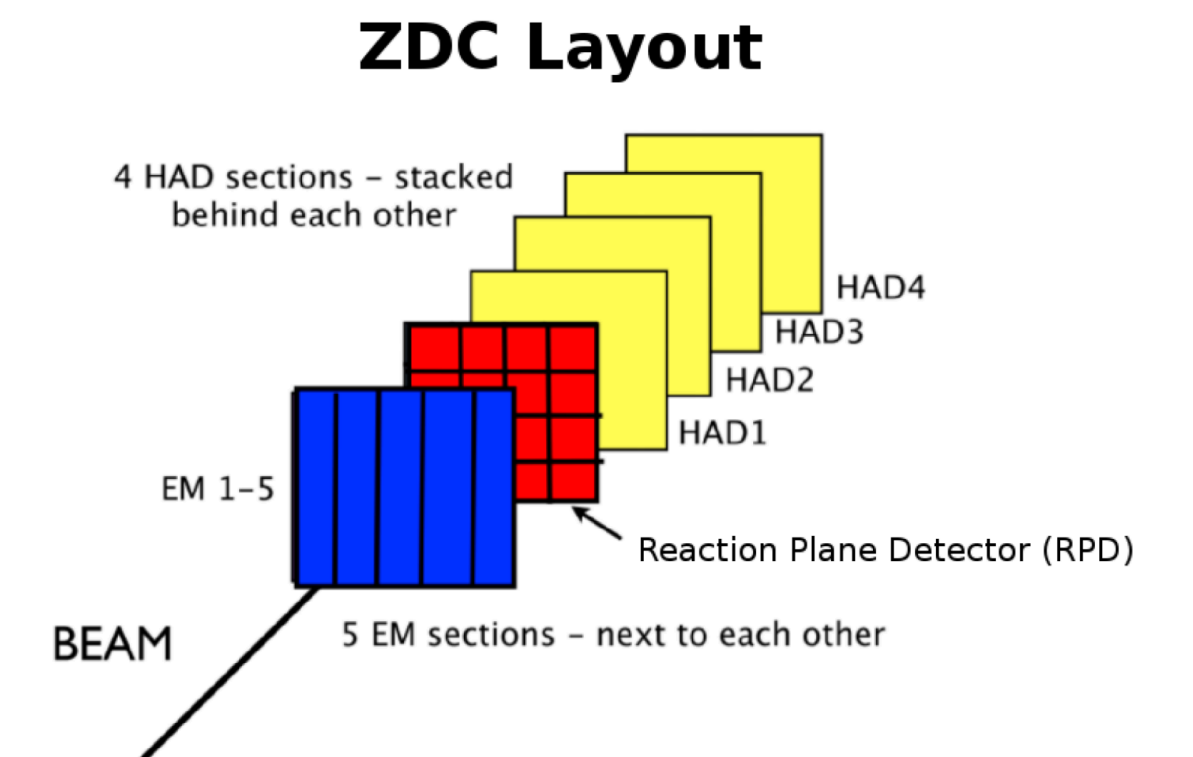
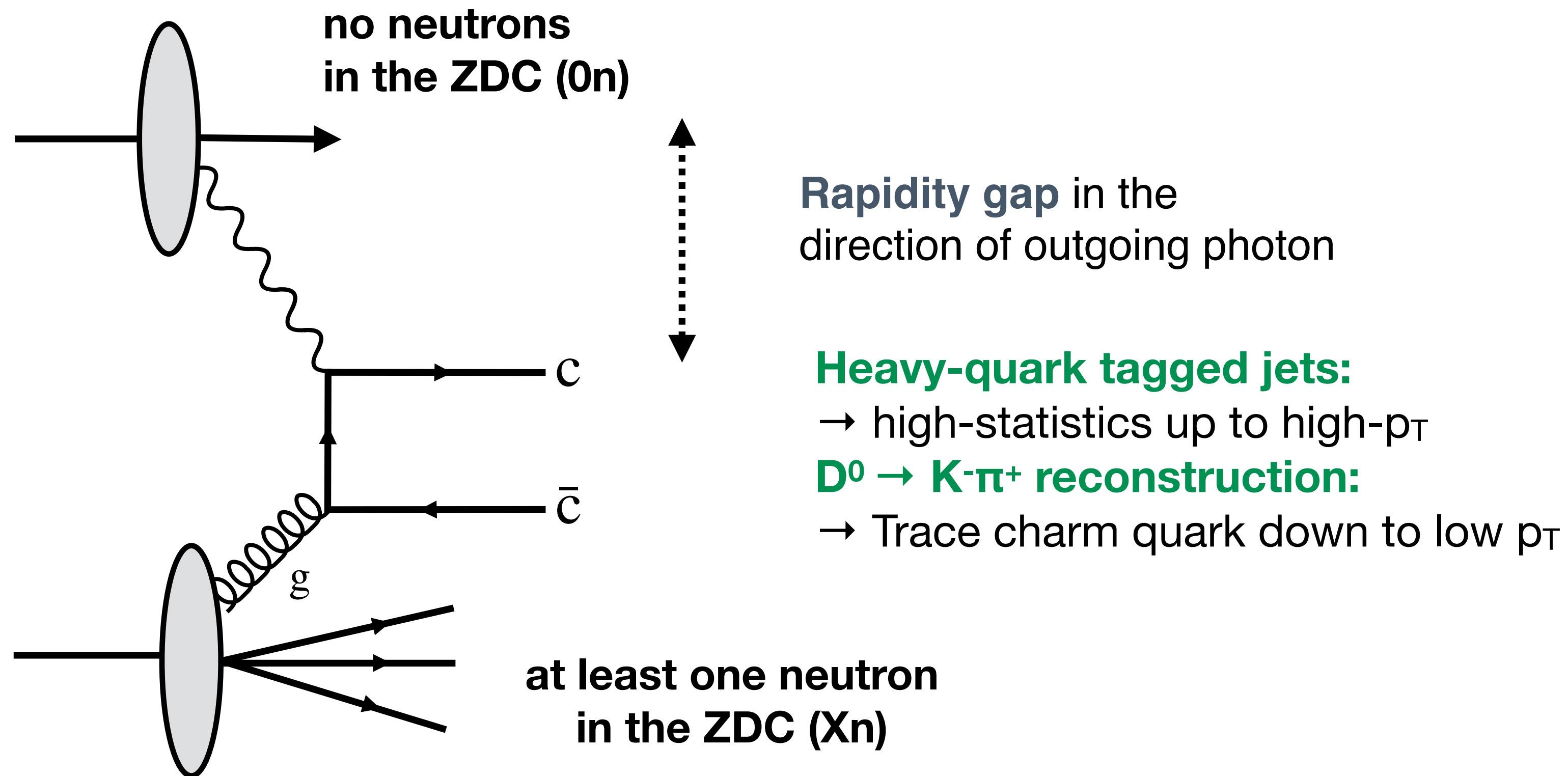
Diffractive events

- Unbroken nuclei
- exchanging pomerons
- could be selected if in overlap with electromagnetic dissociation

Beam-gas/beam halo events (background)

Experimental strategy for “hard” inclusive photoproduction

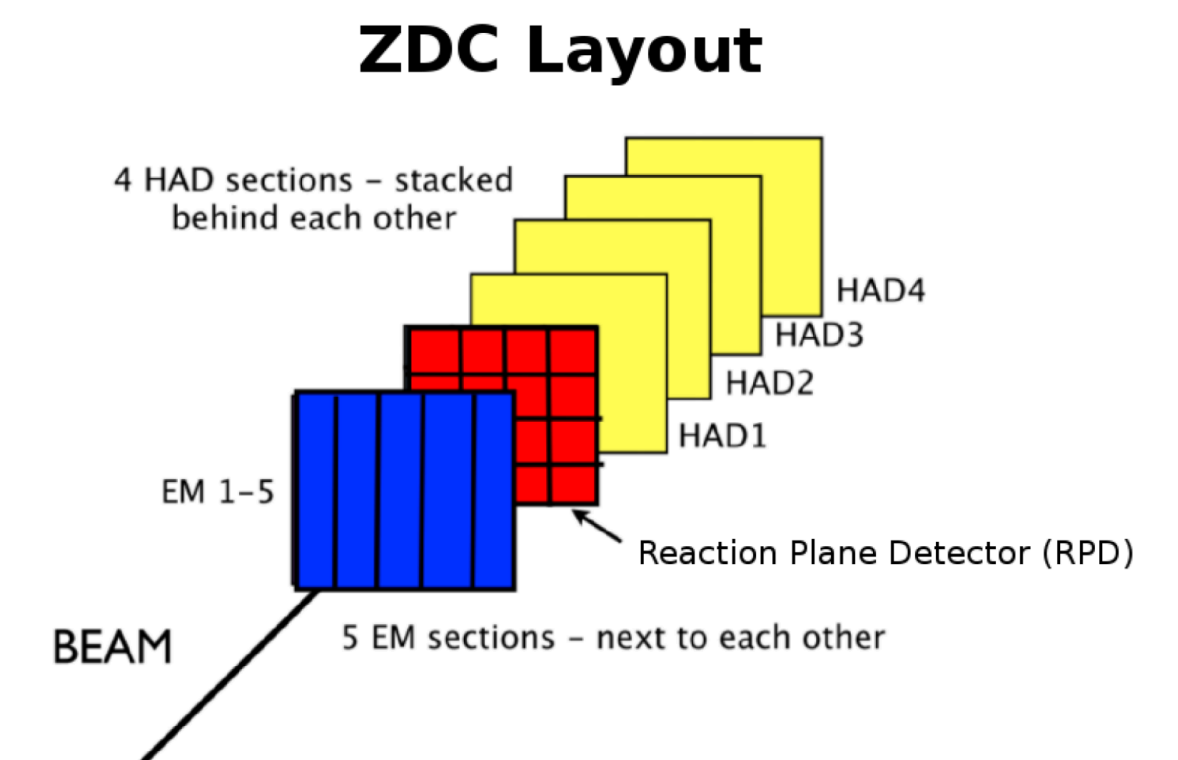
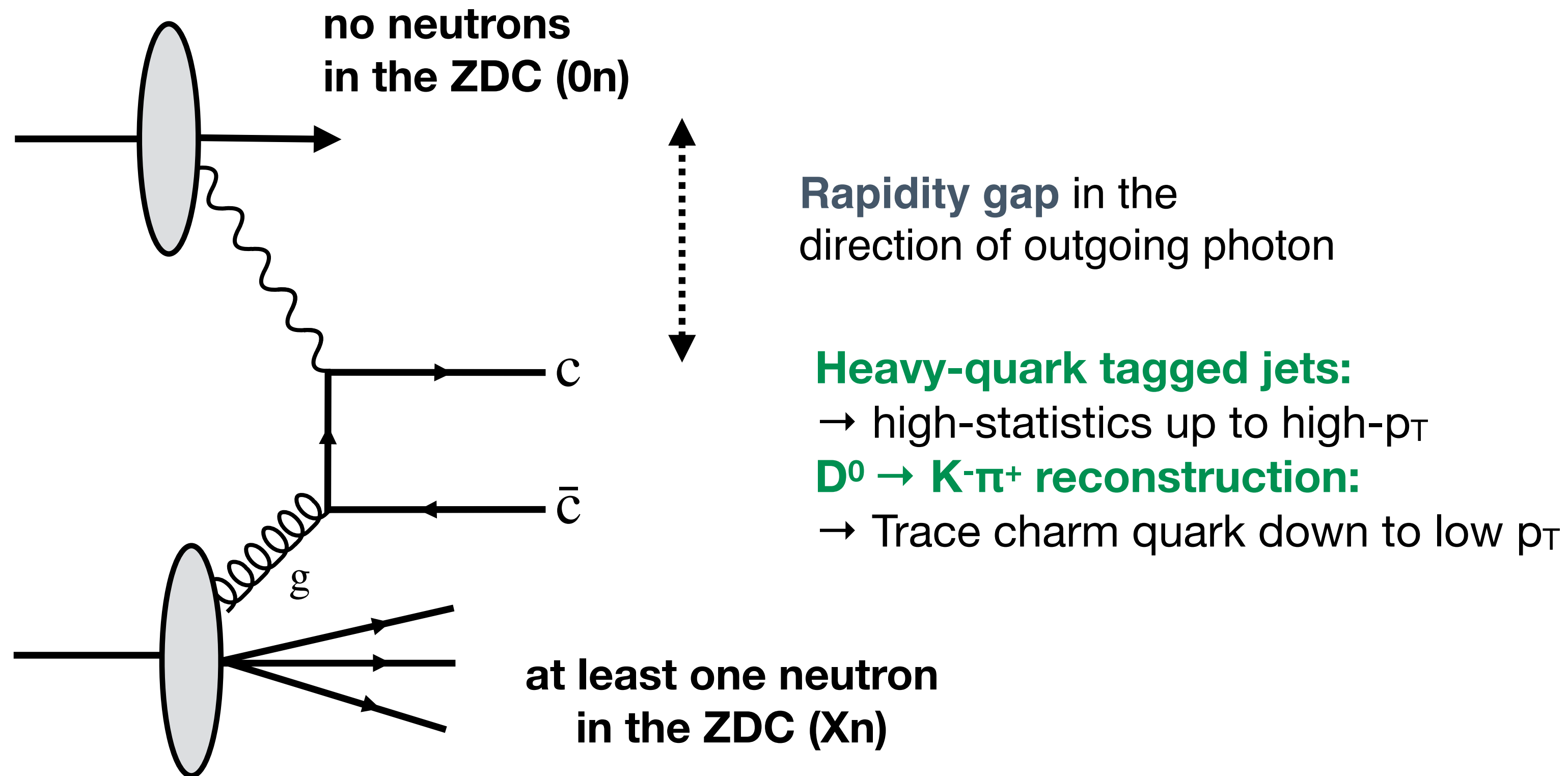
Event selection: $Xn0n$ events with “rapidity gap”.



Questions for theorists: should we call this process inclusive photoproduction or semi-inclusive photoproduction due to the requirement on the Xn?

Experimental strategy for “hard” inclusive photoproduction

Event selection: $Xn0n$ events with “rapidity gap”.

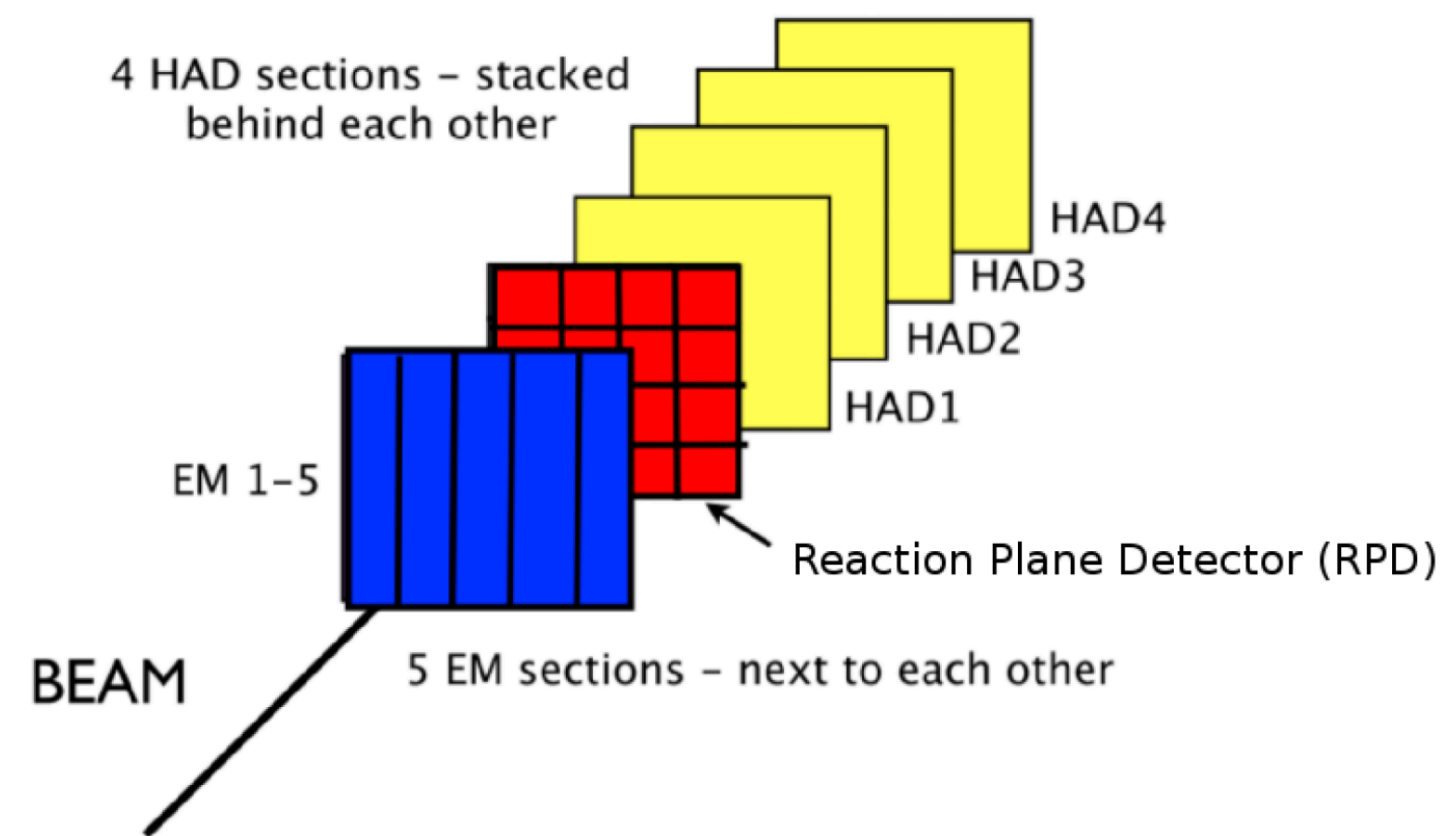


Triggering on $\gamma\gamma$, γN events as a big experimental challenge!

- Hardware trigger system (Level-1 has max accepted rate in heavy-ions about 20-30 kHz)
- Interaction rate of $\gamma\gamma$, γN in heavy-ions $\mathcal{O}(\text{MHz})!!$

Converting CMS into a $\gamma\gamma$, γN detector for the “LHyC”

ZDC Layout



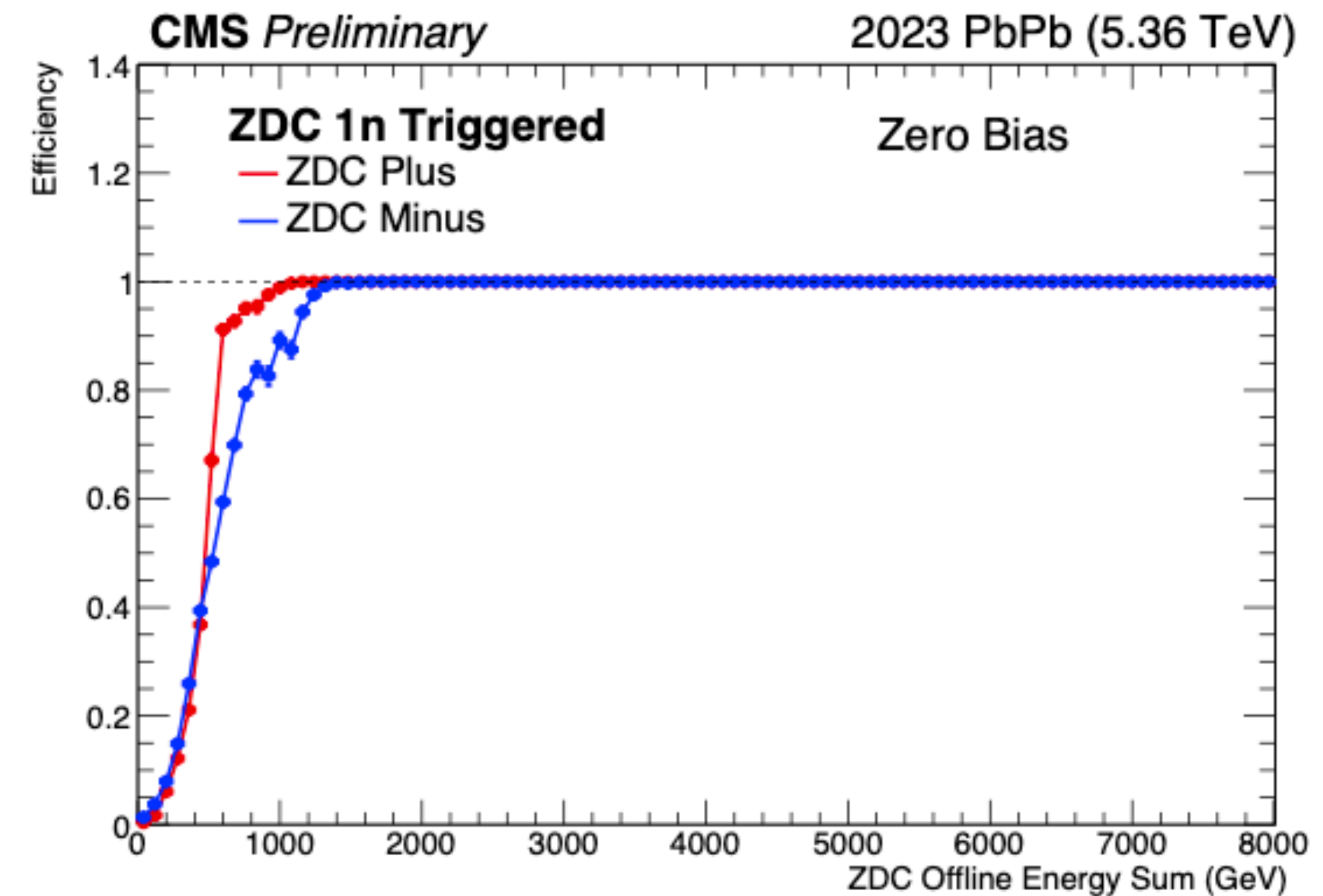
Zero-Degree Calorimeter (ZDC) as a trigger detector

- integrate ZDC in the Level-1 (hardware) trigger-emulation chain
- develop a strategy for fast online calibration

New trigger algorithms for $\gamma\gamma$ and γN “hard” events

- photonuclear high- Q^2 triggers (ZDCXOR && L1 jet)
- photonuclear low- Q^2 triggers (ZDCXOR)
- $\gamma\gamma$ and diffractive triggers

L1 trigger efficiency vs $D^0 p_T$ (2023 data)

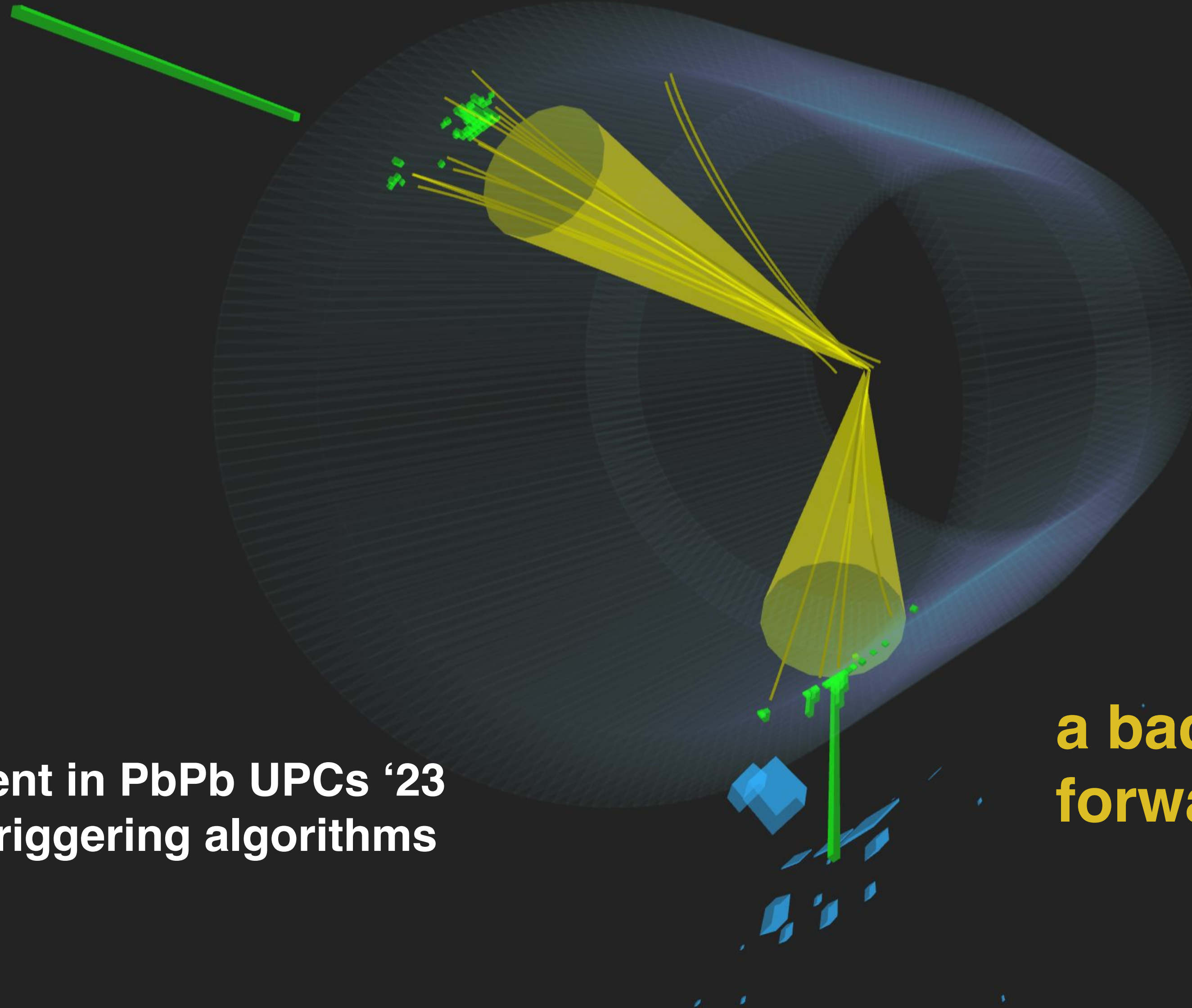




CMS Experiment at the LHC, CERN

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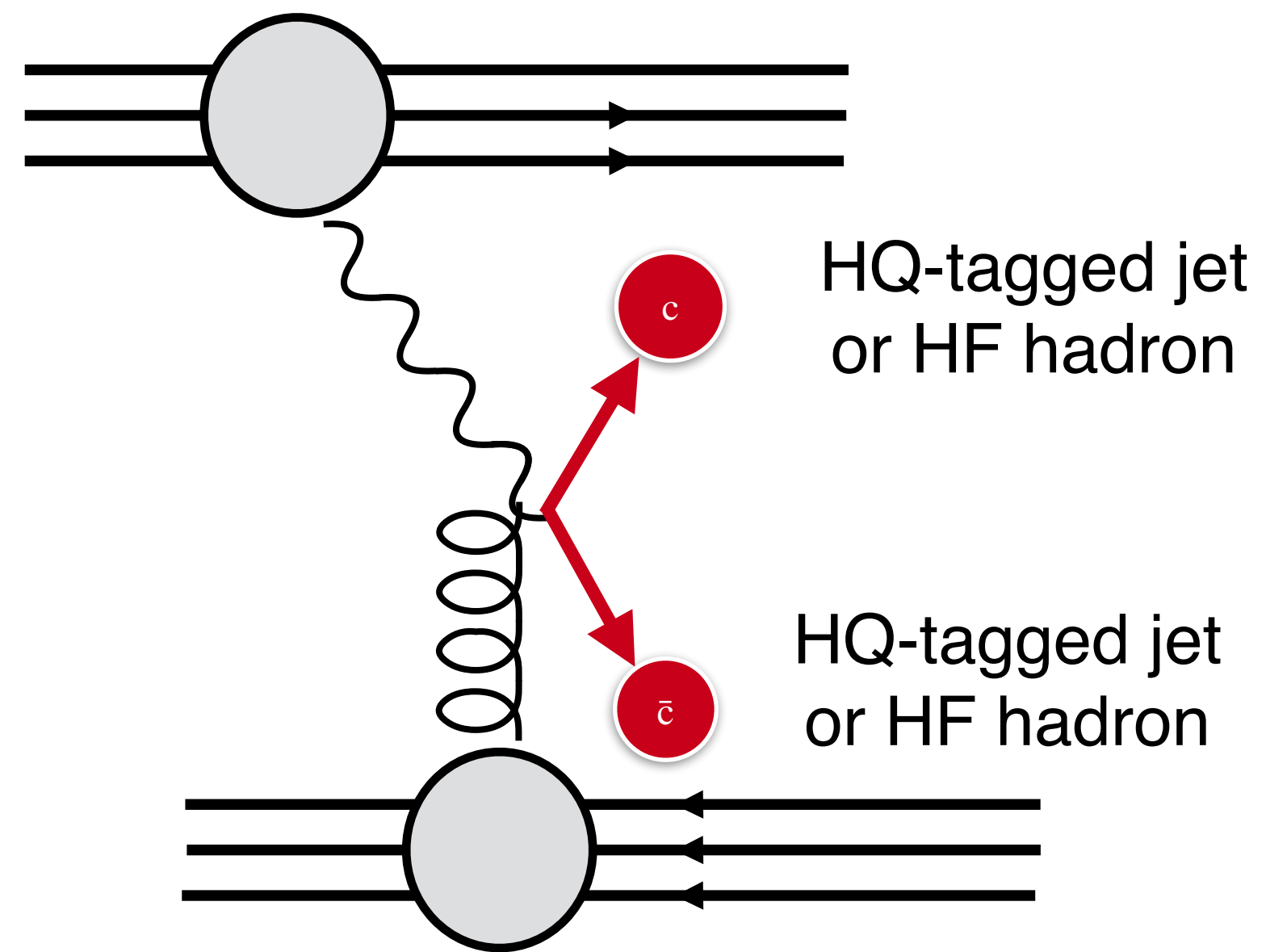
Run / Event / LS: 374925 / 591414336 / 646



A photonuclear dijet event in PbPb UPCs '23
collected with the new triggering algorithms

**a background-less
forward dijet event!**

Measurement of di-cjets and di-bjets in γN scatterings

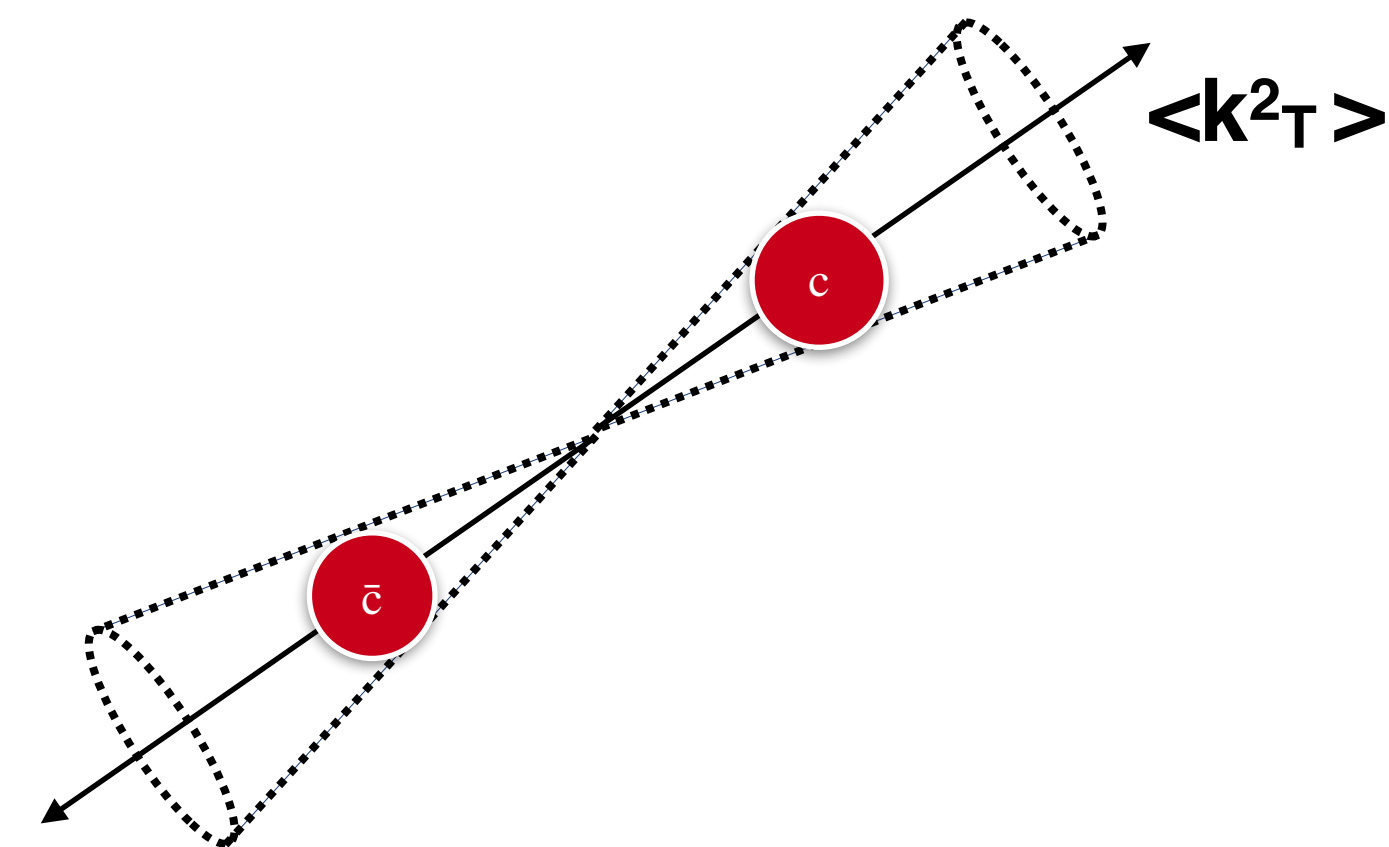
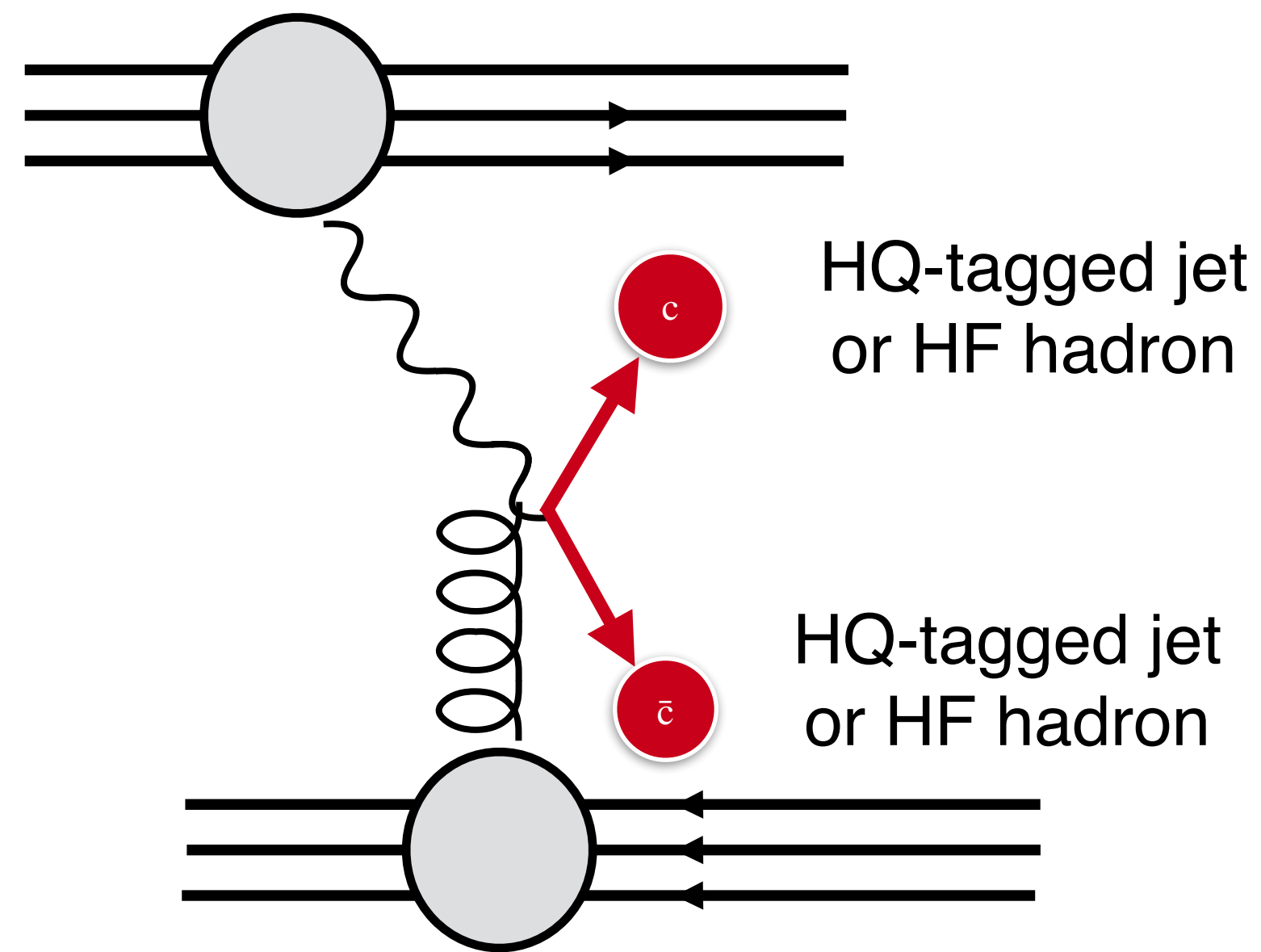


Measurement of charm and beauty tagged dijet system in pp, PbPb, AuAu

→ stronger constraints on x, Q^2

→ **enable the study of low- p_T dijet decorrelation!**

Measurement of di-cjets and di-bjets in γN scatterings



$\Delta\varphi$ correlations of di-HQ jets or hadrons:

→ strong sensitivity to the Q_s scale via $\langle k_T^2 \rangle$ broadening

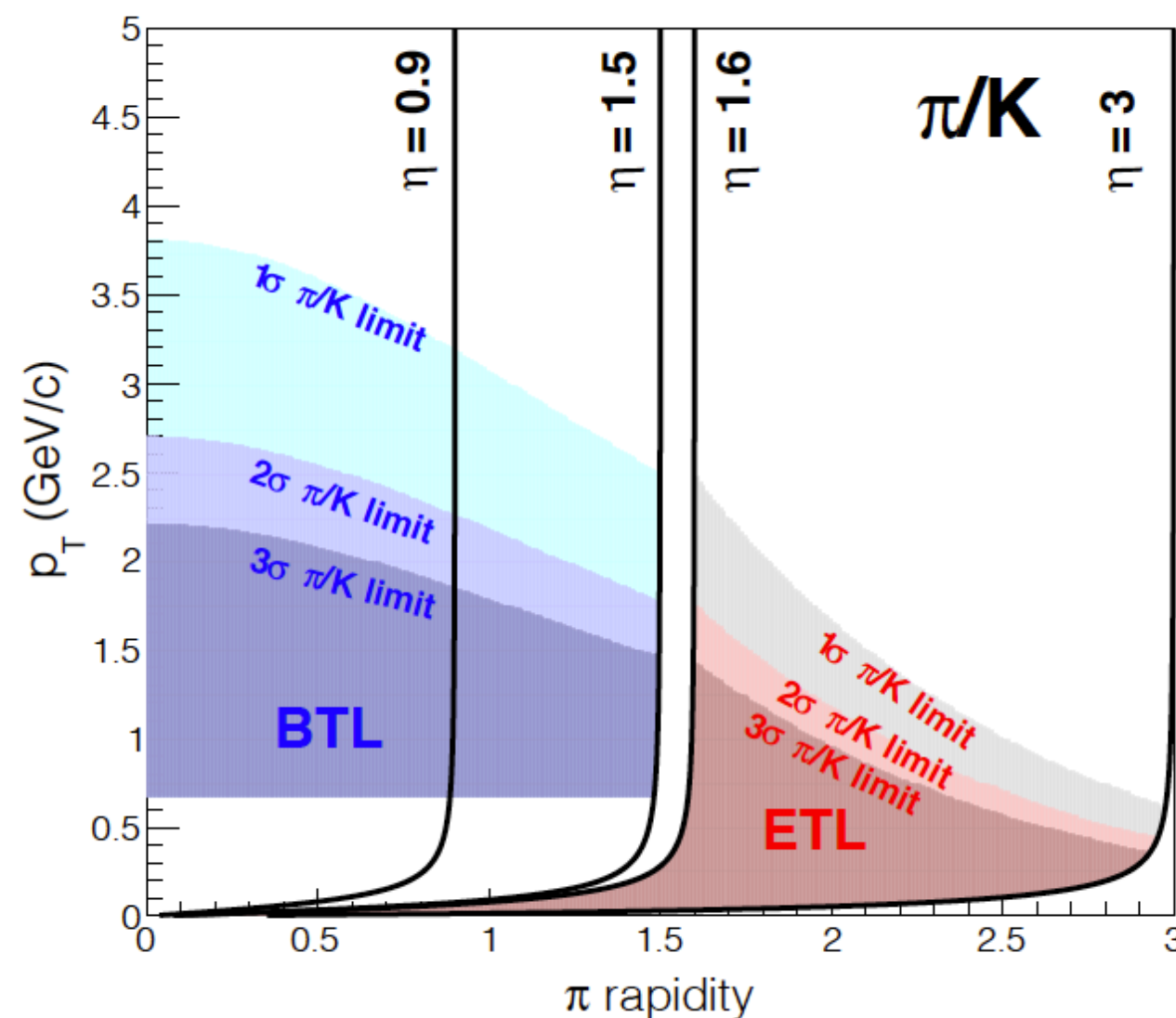
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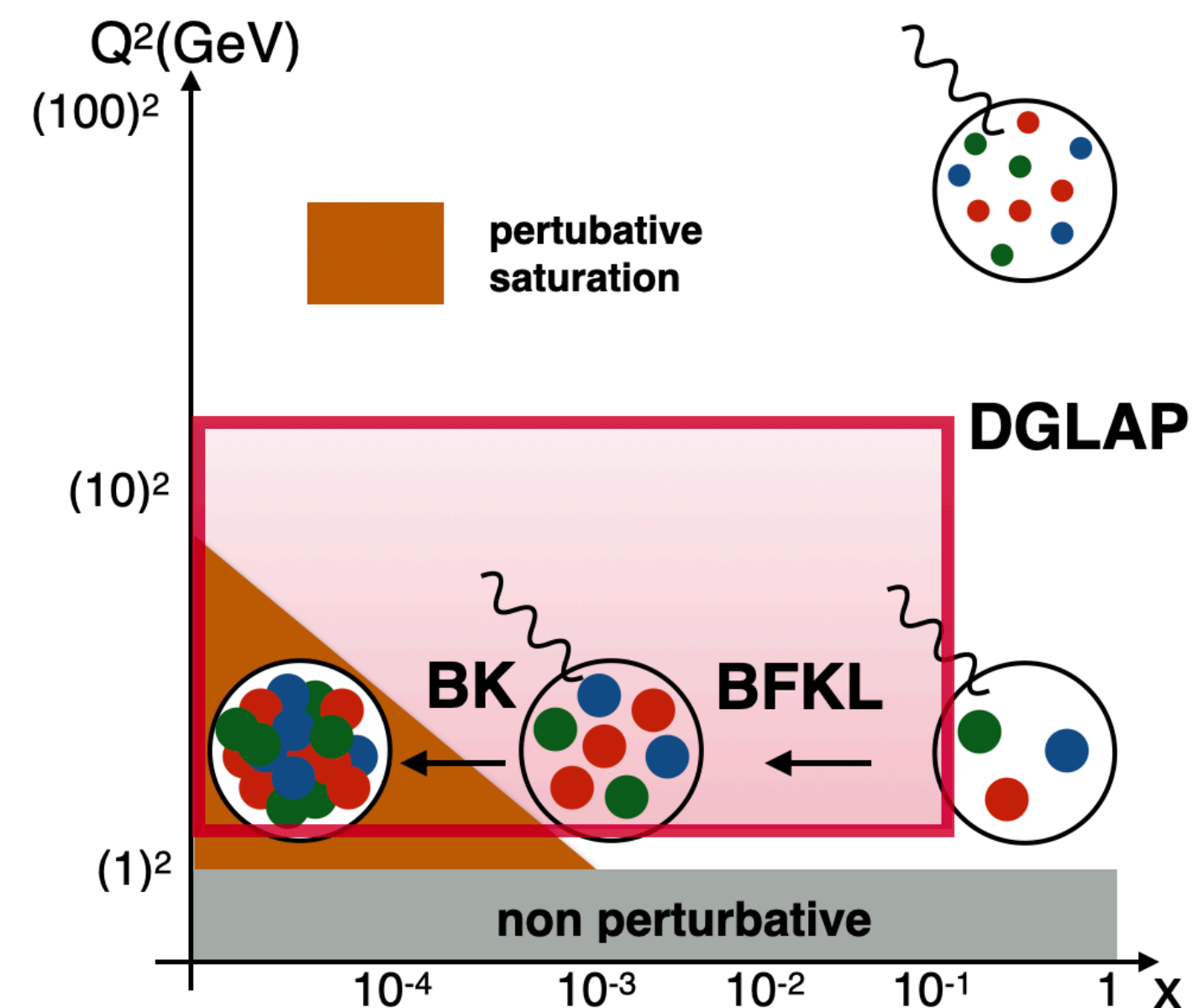
→ enable the study of low- p_T dijet decorrelation!

CMS at the LHC in Run 4 (2029–2032) and beyond

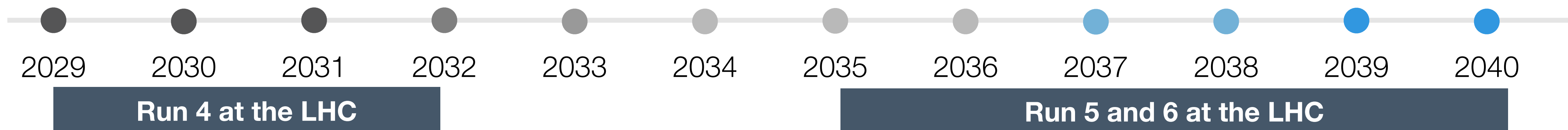
- New tracker with $|\eta| < 4$
- PID for low p_T hadrons



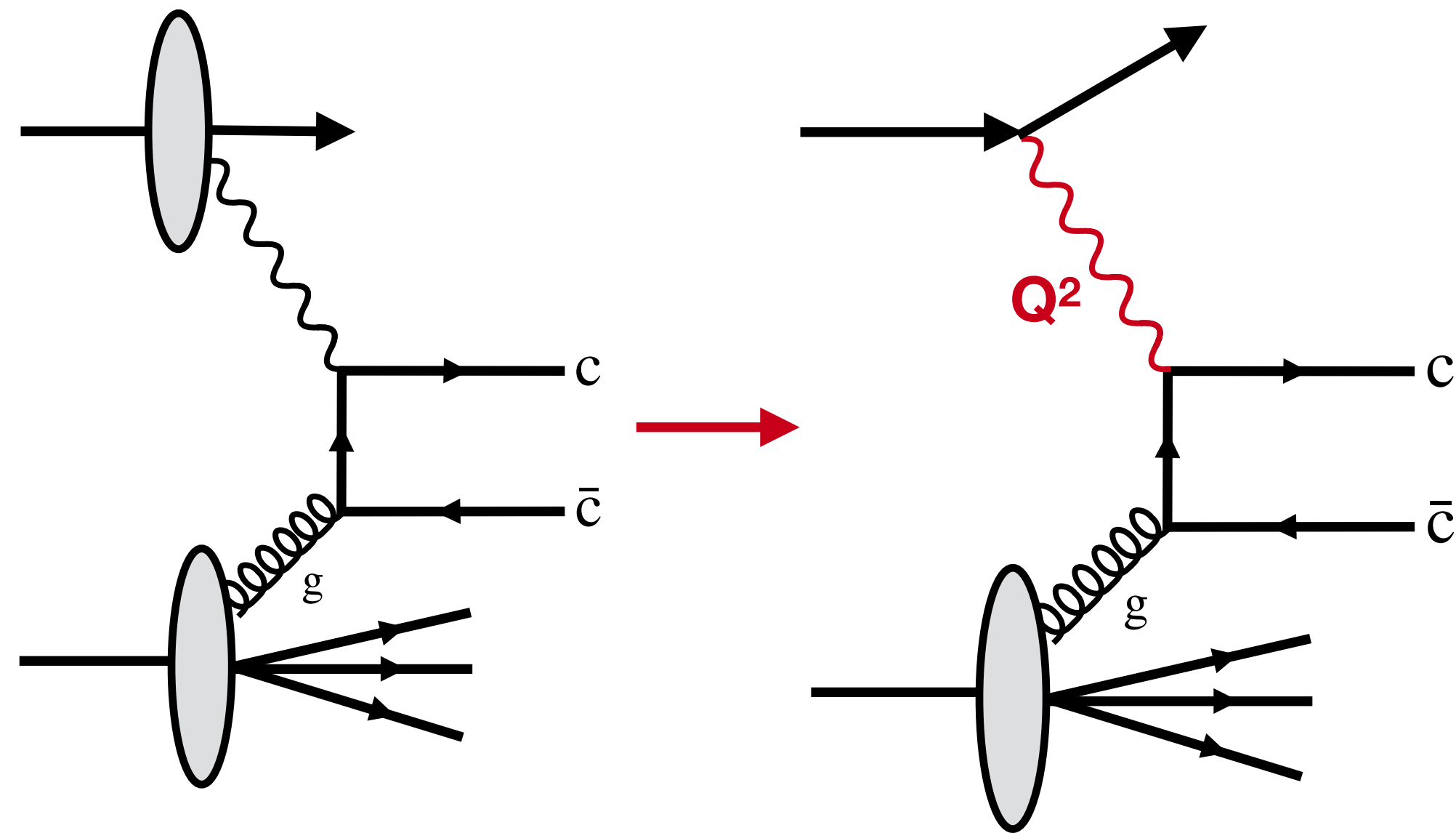
CMS Phase-II tracker: CMS-TDR-014
 CMS: Phys. Rev. D 96, 112003
 CMS: CMS-TDR-020



- Down to $x \sim 10^{-5}$ with $\gamma N \rightarrow c\bar{c}$ observables
- New observables for nPDF studies (e.g. double-parton scatterings)



From UPCs at LHC/RHIC to the Electron Ion Collider



UPC at the LHC
→ very low x reach

EIC → control on the photon virtuality (Q^2)
and on the scale of the interaction

Highlights from the future EIC heavy-flavor program:

Inclusive heavy-flavors and $D\bar{D}$ correlations:

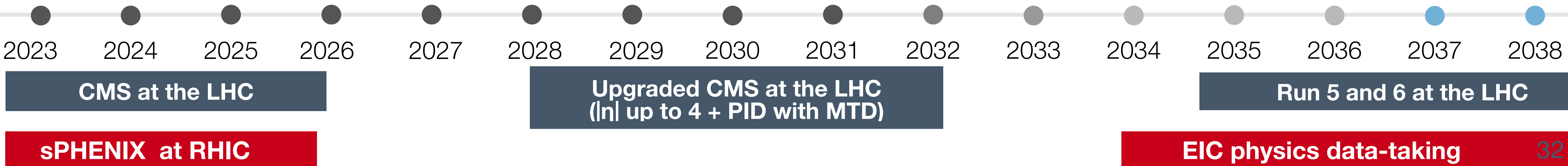
- gluon (n)PDFs down to moderate/low x_{BJ}
- beyond the collinear limit (TMDs)

Heavy-quark jet and substructure:

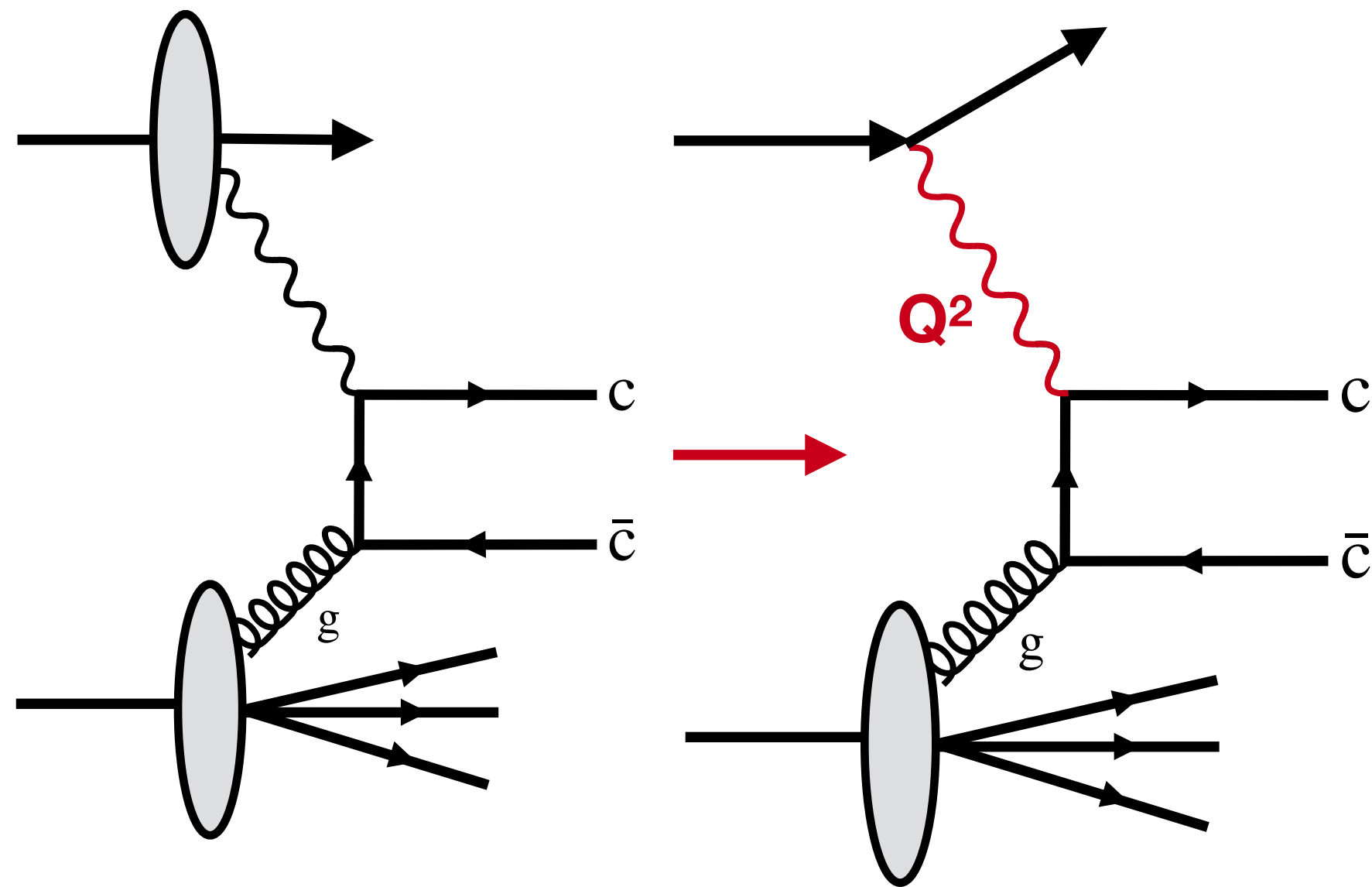
- parton-propagation in “cold” vs “hot” matter

Heavy-flavor hadrochemistry and collectivity:

- what is the time scale of hadronization?



Summary and outlook



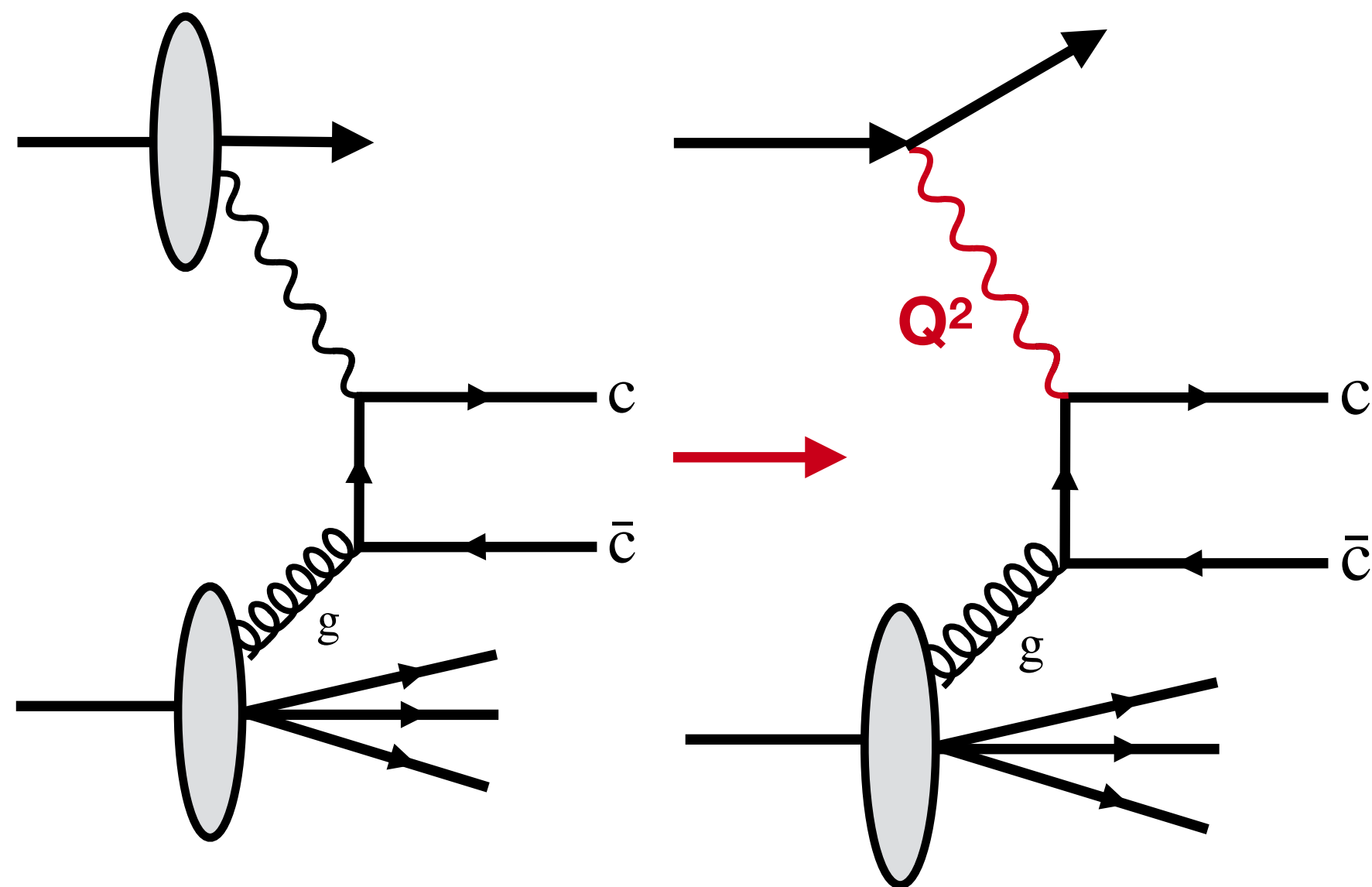
With jets and open-heavy flavor measurements in UPC collisions at LHC:

- dynamic access to a large (x, Q^2) region with the same experimental probe
- access to low- x , low- Q^2 region

Need for theoretical guidance and calculations for both LHC/RHIC and EIC:

- “Correct” definition of the physics process
- **Need for theoretical predictions:**
 - $dN/dp_T dy$ for charm and beauty hadrons, heavy-flavor tagged jets for inclusive photoproduction, diffractive production, ...)
 - dijet measurements and correlations
- **And MC calculations for photonuclear events, diffractive events, and $\gamma\gamma$:**
 - estimate contaminations or relative magnitude of the various subprocesses

Summary and outlook



With jets and open-heavy flavor measurements in UPC collisions at LHC:

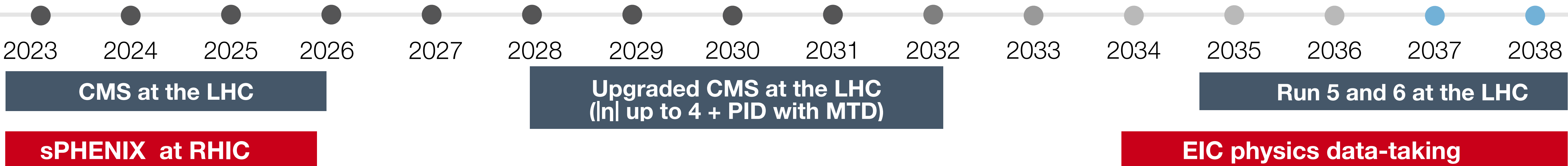
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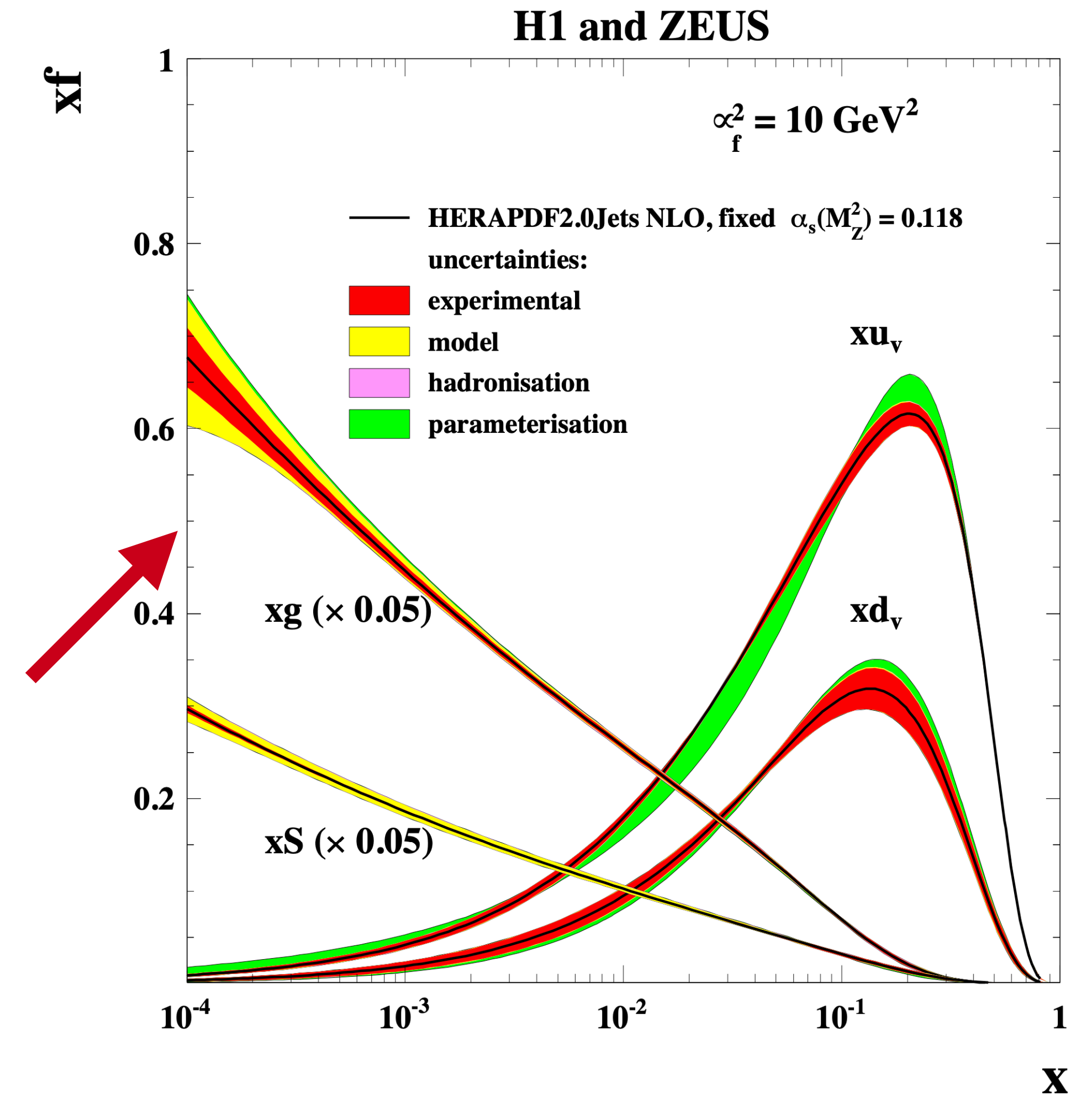
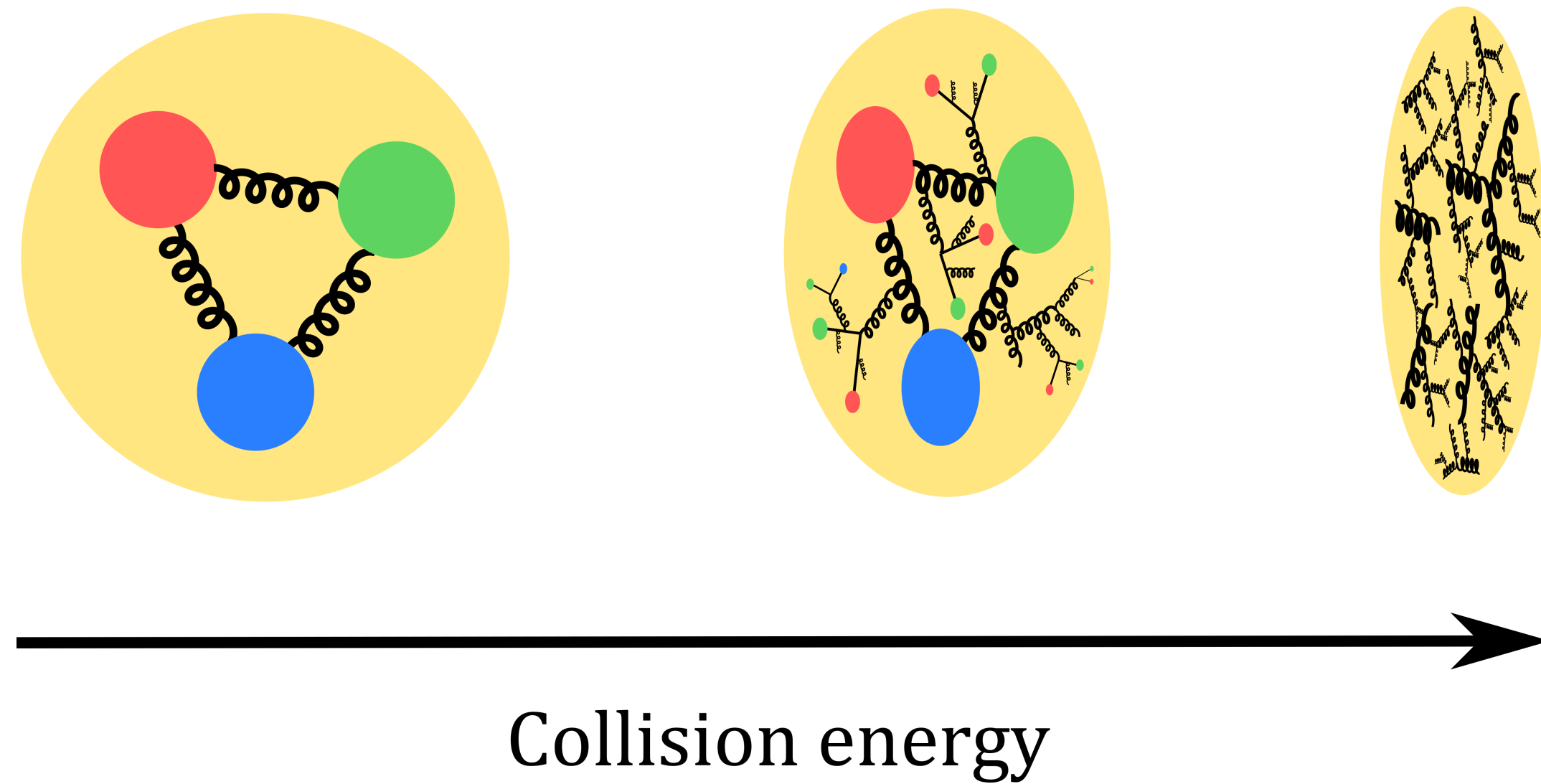
In the long term, exploit the possibility of performing analogous measurements at EIC and at the LHC/RHIC:

- running different ion species in both AA and pA collisions



BACKUP

A new program to study cold “extreme” partonic matter



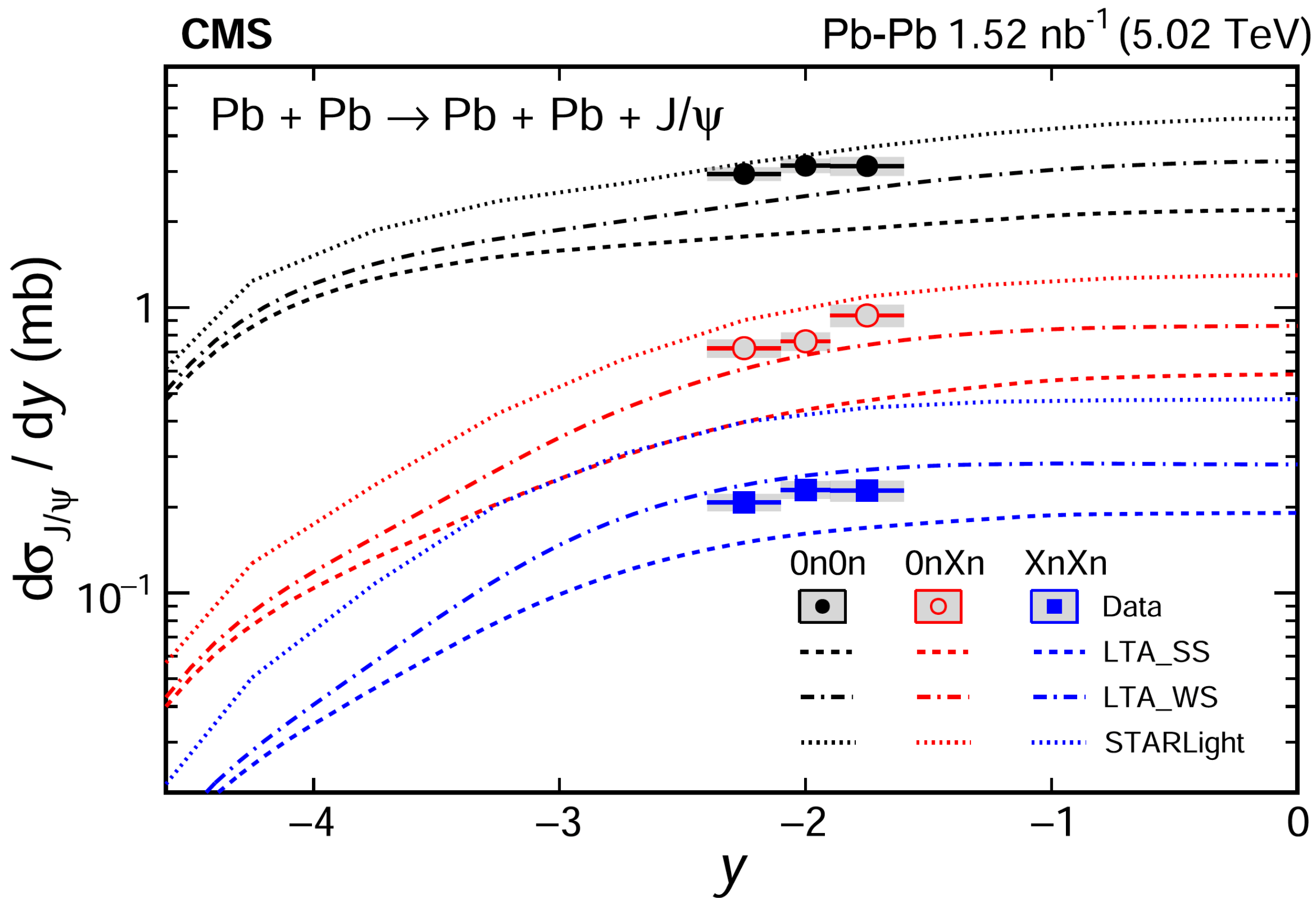
What happens to nuclear matter in the presence of very large densities of low-x gluons?

→ Can we observe a new phase of matter characterized by the so-called gluon saturation?

→ “Gluon saturation” is also at the core of the program of the future Electron-Ion Collider

Coherent J/ψ in PbPb UPCs with forward-neutron tag

First coherent measurement in different neutron classes \rightarrow **inputs to disentangle low from high energy γN events**

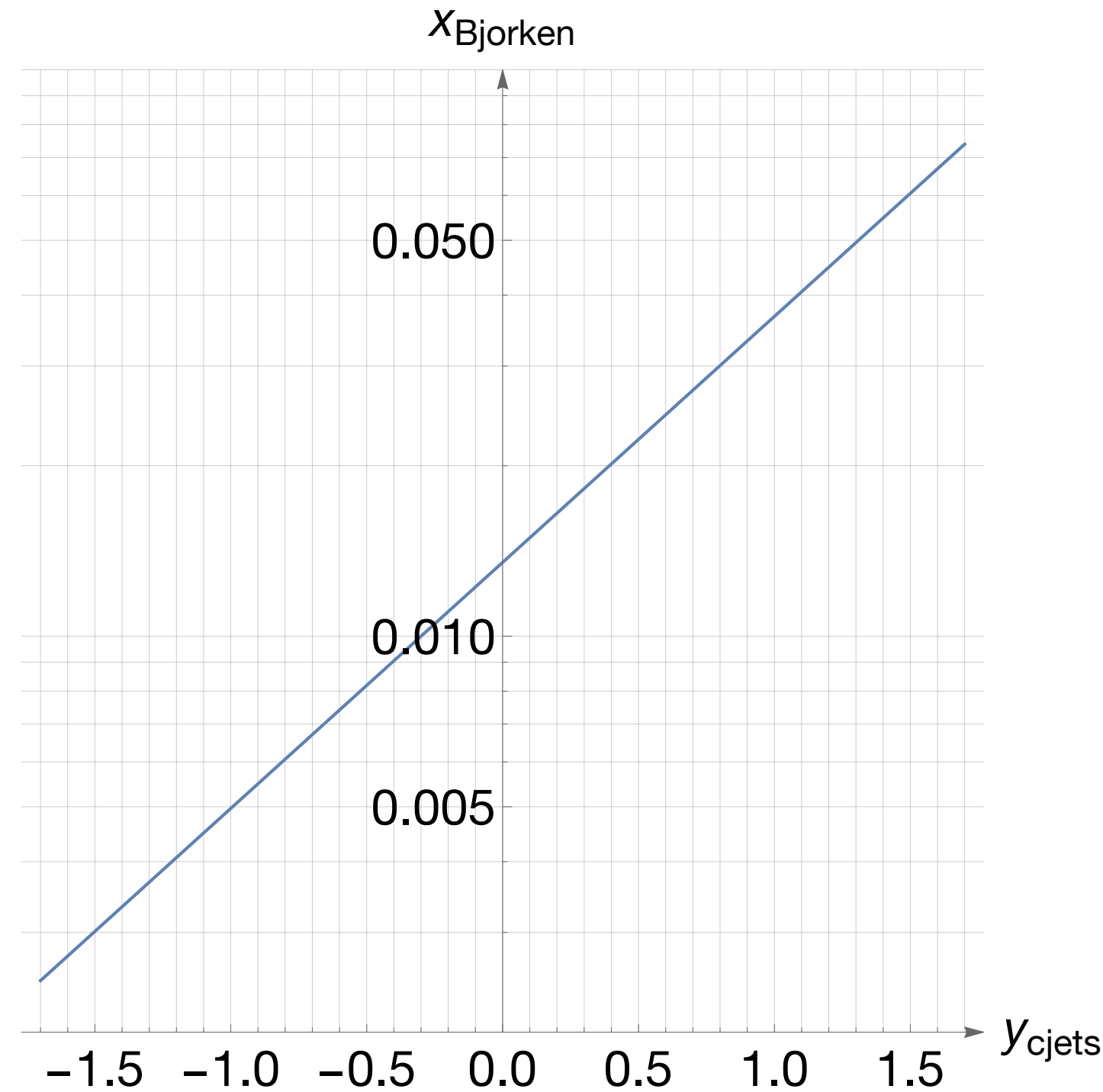


Leading twist approximation (LTA) - pQCD calculation with nuclear shadowing effects from multinucleon interference (both weak and strong shadowing scenarios are shown)

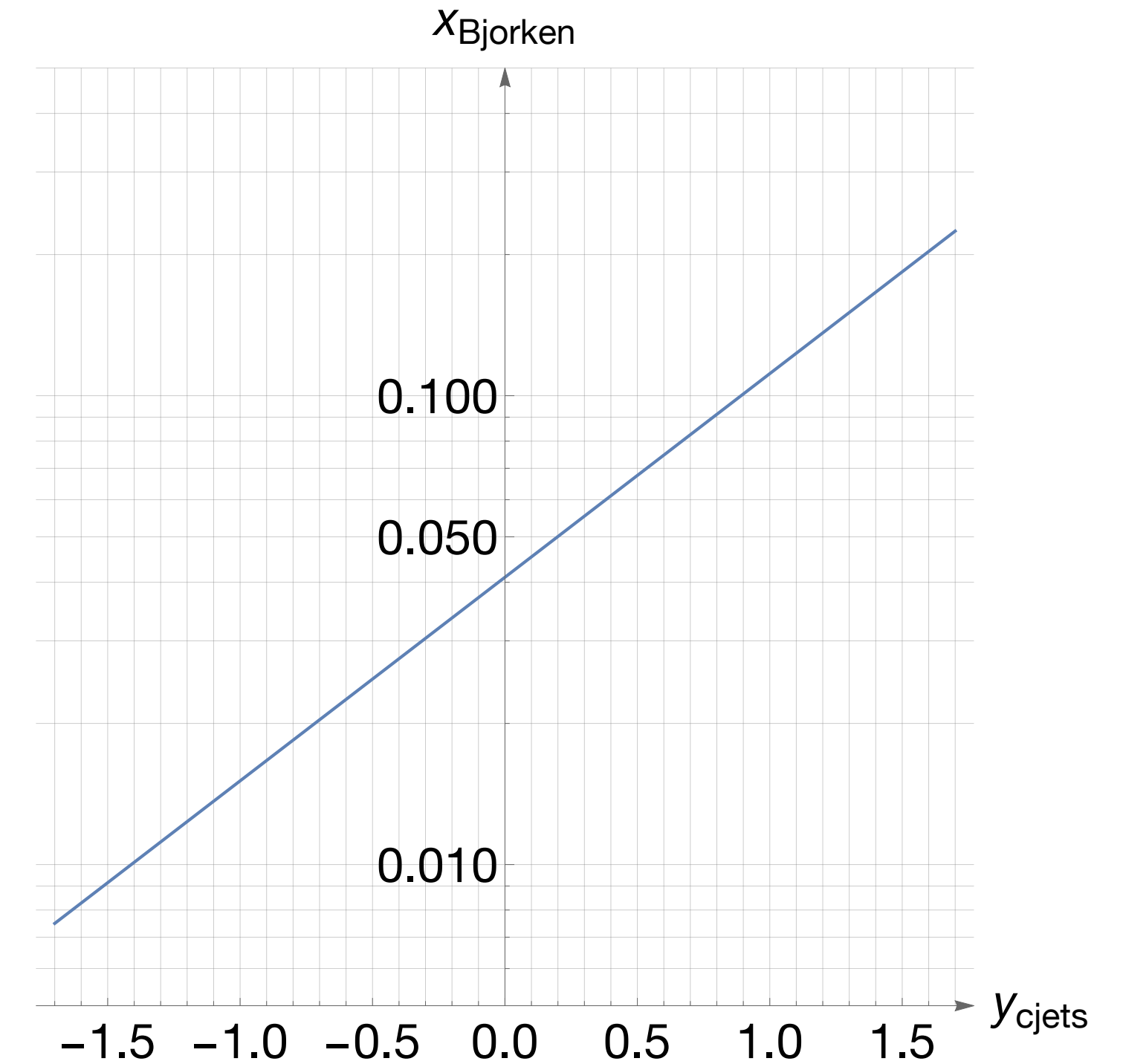
Color dipole (CD) with different model parameters (BGK, BGW, IIM)
 \rightarrow assume quark-antiquark dipole scattering from the nuclear targets

Low- x reach of HF γN at LHC

x_{Bjorken} reach for c-quark with $p_T=0$



x_{Bjorken} reach for c-quark jets with $p_T=0$

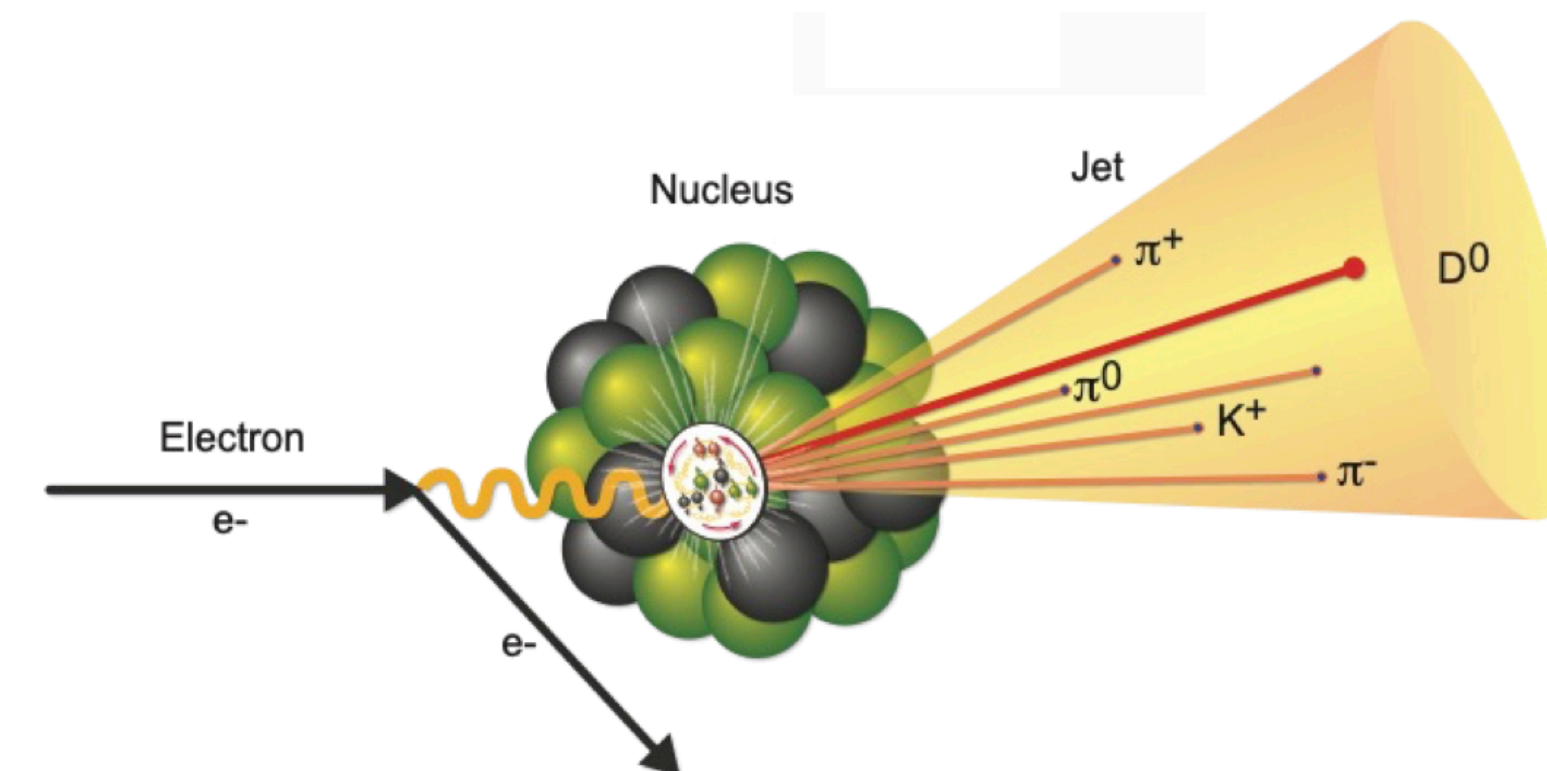
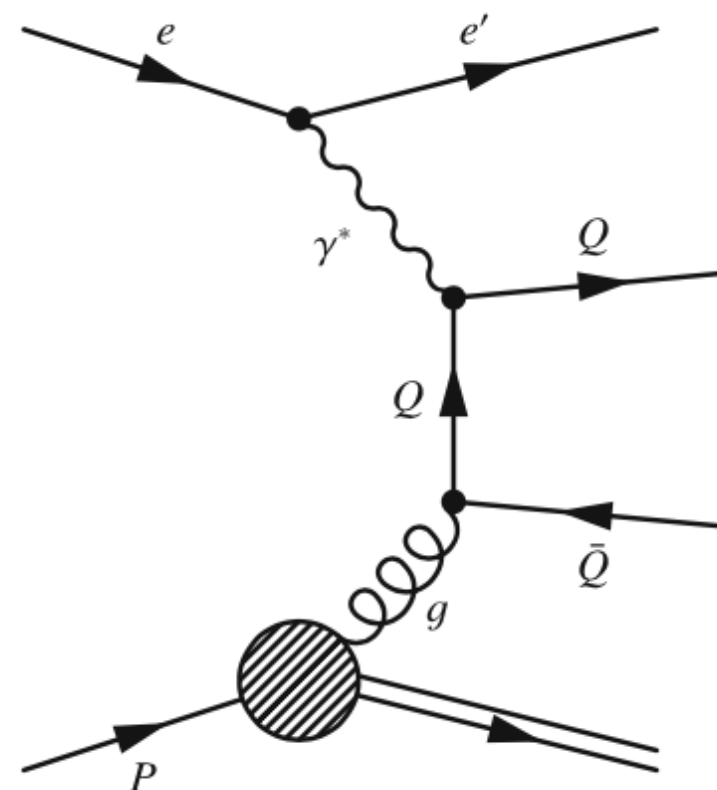
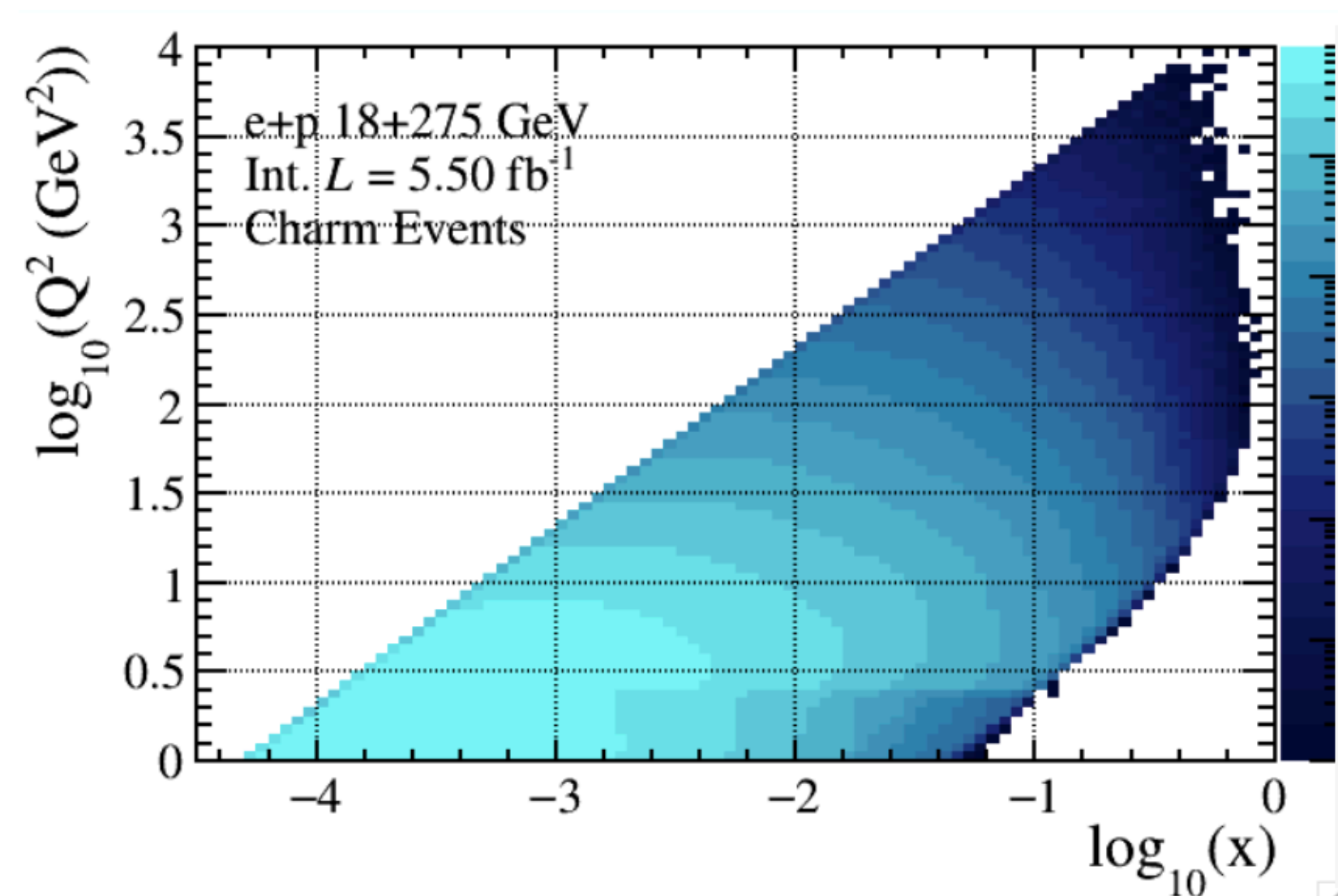


	min x	max x
charm LHC $\eta < 2.0$	$6.64 \cdot 10^{-5}$	$3.63 \cdot 10^{-3}$
charm LHC $\eta < 4.0$	$2.07 \cdot 10^{-4}$	$1.13 \cdot 10^{-2}$
beauty LHC $\eta < 2.0$	$8.99 \cdot 10^{-6}$	$2.68 \cdot 10^{-2}$
beauty LHC $\eta < 4.0$	$2.8 \cdot 10^{-5}$	$8.34 \cdot 10^{-2}$
charm RHIC $\eta < 1.1$	$4.49 \cdot 10^{-3}$	$4.06 \cdot 10^{-2}$
beauty RHIC $\eta < 1.1$	$1.4 \cdot 10^{-2}$	$1.26 \cdot 10^{-1}$

Heavy-flavor physics at the Electron-Ion Collider

B.S. Page et al. *Phys. Rev. D* 101, 072003
H. T. Li and I. Vitev, *Phys. Rev. Lett.* 126, 252001
EIC, BNL-98815-2012, arXiv:1212.1701

→ Heavy-flavor observables are crucial to address the key physics questions of the EIC physics program



Inclusive heavy-flavor measurements in ep/eA collisions:

- gluon (n)PDFs down to moderate/low x_{BJ}
- **evolution equations beyond DGLAP?**

$D\bar{D}$ correlations:

- access to gluon TMDs
- **nuclear structure beyond the collinear limit**

Heavy-quark jet production and substructure in ep/eA:

- **parton-propagation inside the “cold” nuclear matter**
- parton-shower evolution in a vacuum-like environment

Heavy-flavor hadrochemistry and collectivity:

- hadronization modification in cold-nuclear matter
- **what is the time scale of hadronization?**