

Hard diffraction with hadronic final states with (mostly) CMS

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Diffraction and gluon saturation at the LHC and the EIC

June 10th-14th 2024

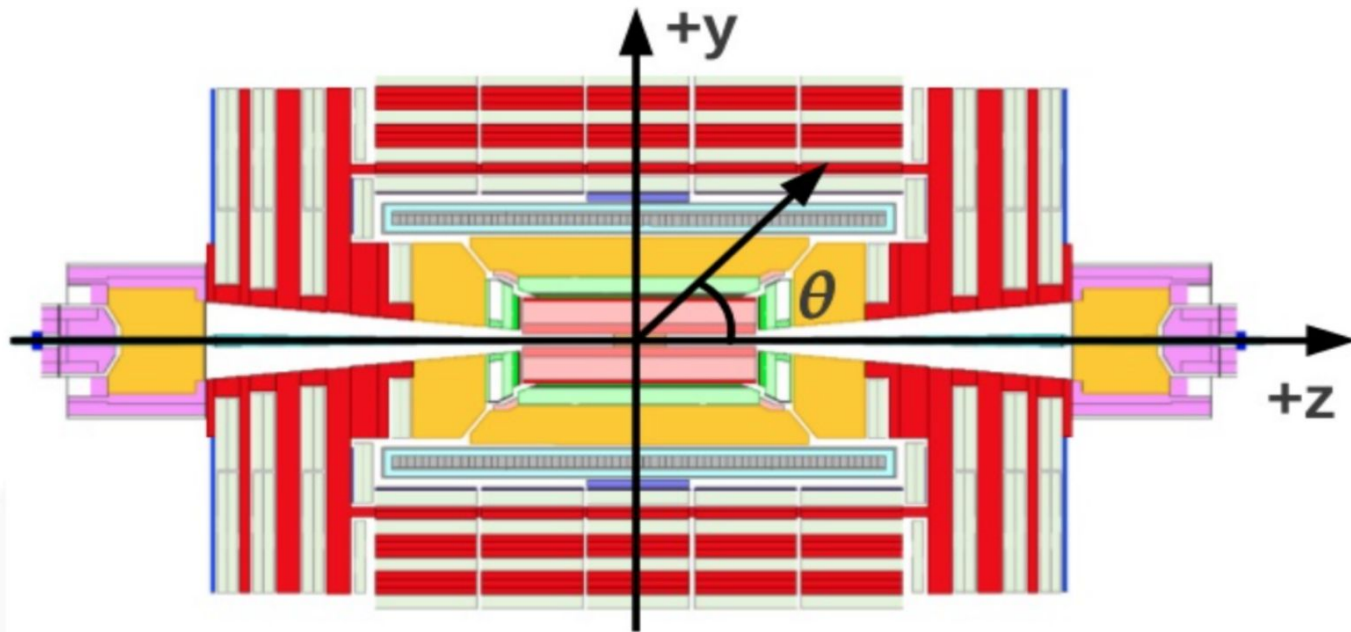


Outline

Mostly discuss four LHC results:

- ATLAS & CMS photonuclear jets in PbPb
([ATLAS-CONF-2022-021](#), [CMS, Phys. Rev. Lett. 131 \(2023\) 051901](#))
- Single-diffractive dijets in pp collisions (ATLAS, CMS)
[CMS-TOTEM, Eur. Phys. J. C 80, 1164 \(2020\)](#)
- Jet-gap-jet, (CMS-TOTEM)
[CMS-TOTEM PRD 104, 032009 \(2021\)](#)

CMS detector recap



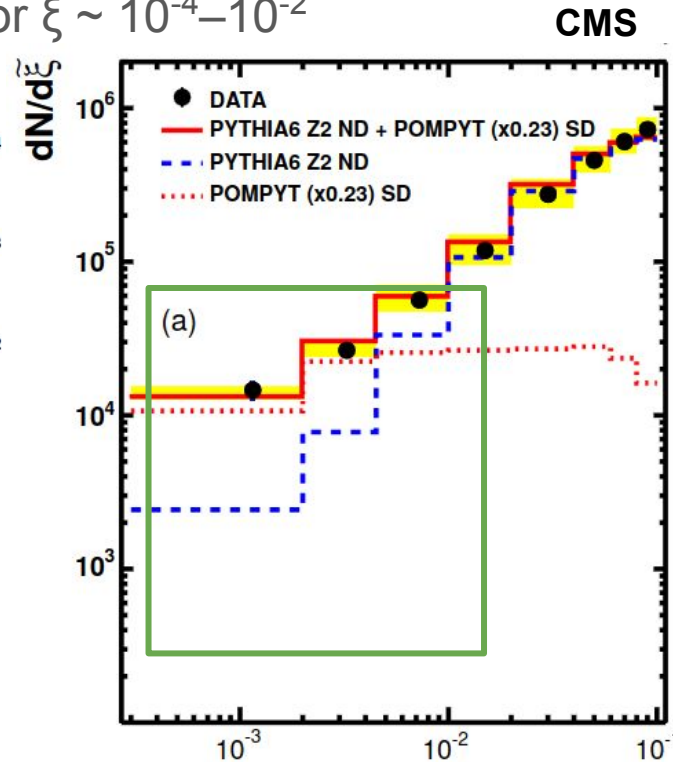
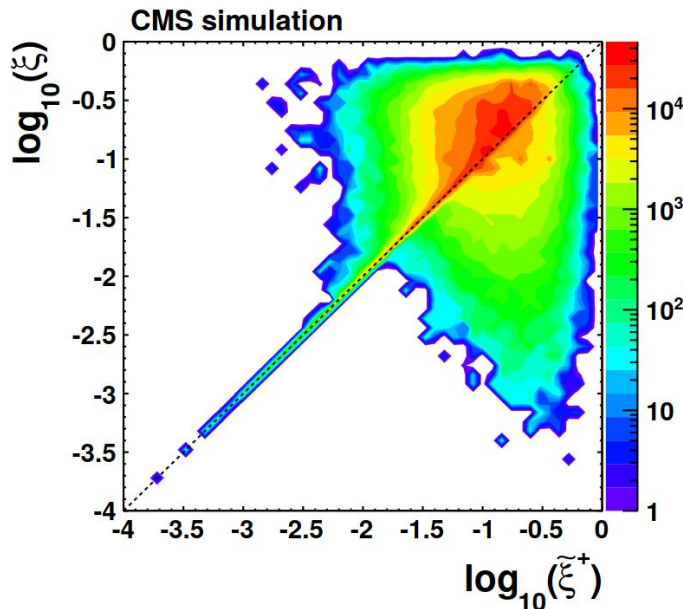
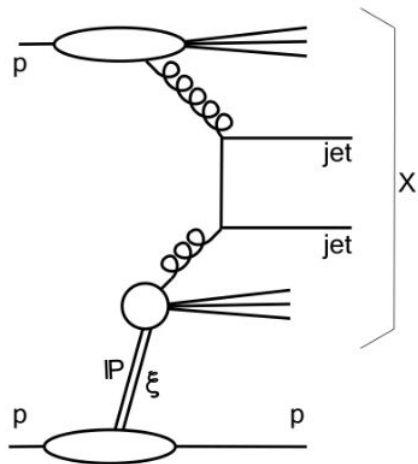
Tracker & muon chambers acceptance up to $|\eta| < 2.5$; $p_T > 200$ MeV for tracks
hadronic calorimeter coverage up to $|\eta| < 5.2$; noise threshold $E \gtrsim 5$ GeV in fwd region

Jet reconstruction spans wide range in $|\eta| < 4.7$ and as low as $p_T > 20$ GeV

Run-1 measurements of diffractive jets (no Roman pots)

Larger rapidity gaps \leftrightarrow smaller $\xi \sim \ln(1/\Delta\eta_{\text{gap}})$;

$\sim 20\%$ nondiffractive contamination
for $\xi \sim 10^{-4} - 10^{-2}$

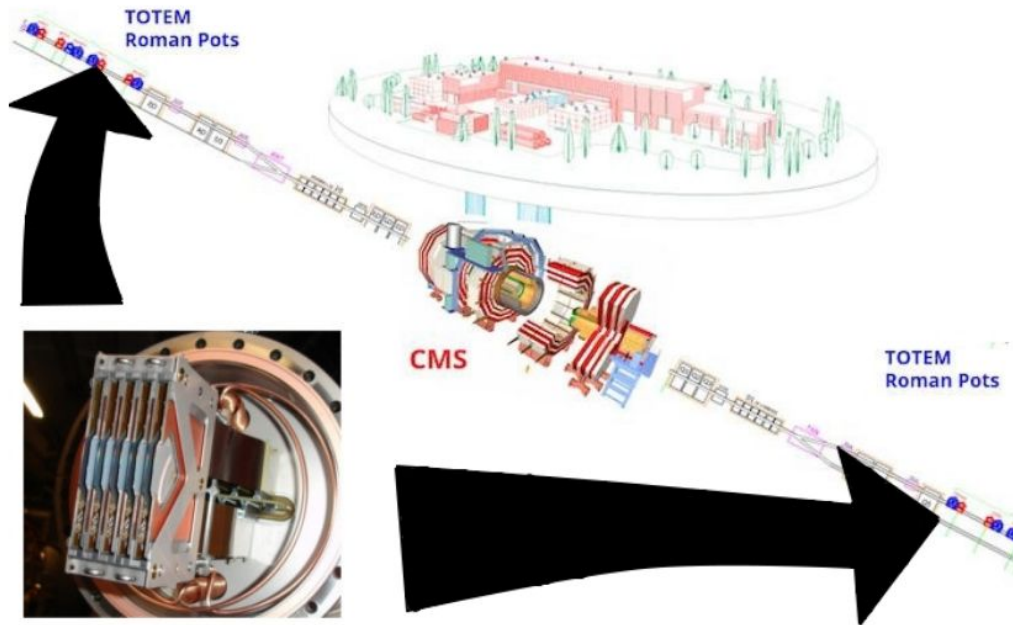


testing universality of HERA dPDFs,
understanding factorization breaking at LHC

CMS, Phys. Rev. D 87 (2013) 012006
ATLAS, Phys. Lett. B 754 (2016) 214

$$X_P == \tilde{\xi}^{\pm} = \frac{\sum (E^i \pm p_z^i)}{\sqrt{s}}, \quad 4$$

CMS-TOTEM setup



Roman pots:
Near-beam Si tracker
detectors

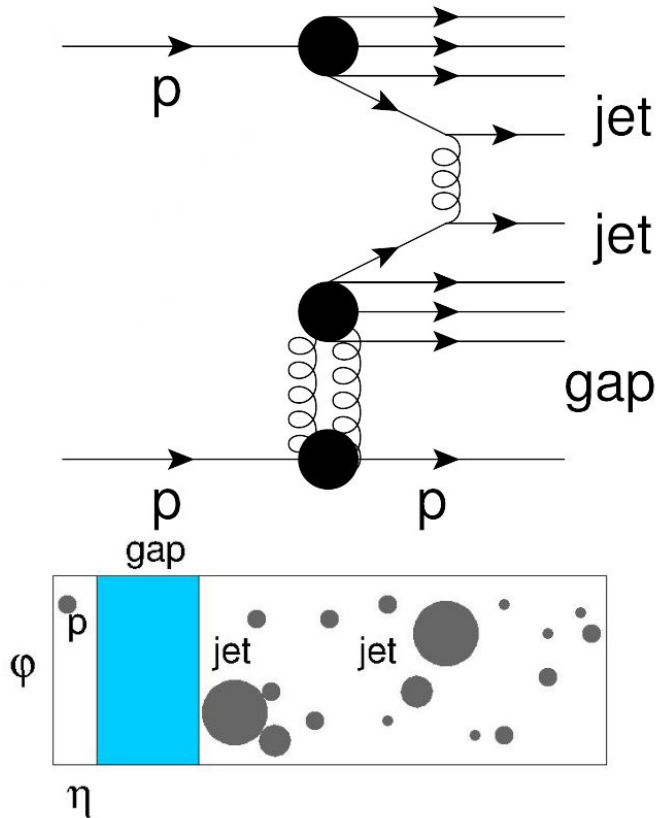
CMS:

- ▶ General purpose detector at IP5 of the CERN LHC.
- ▶ Jets with $R = 0.4$ reconstructed within $|\eta^{\text{jet}}| < 4.7$.

TOTEM:

- ▶ **Roman pots:** Forward tracking detectors at $\approx 220\text{m}$ w.r.t. IP5 that measure the protons scattered at small angles w.r.t. the beam.

Hard diffraction with intact protons (CMS+TOTEM)



Intact proton is an unambiguous signature of diffraction

Gives access to:

- Four-momentum transfer at the proton vertex $|t|$ ($0.03 < |t| < 1 \text{ GeV}^2$)
- ξ (x_P in HERA notation), proxy for the energy carried away by the pomeron/reggeon

($0.0 < \xi < 0.1$ for Run-1 in high- β^* ,
up to $\xi \sim 0.2$ in Run-2)

$|t|$ distribution for single-diffractive jets

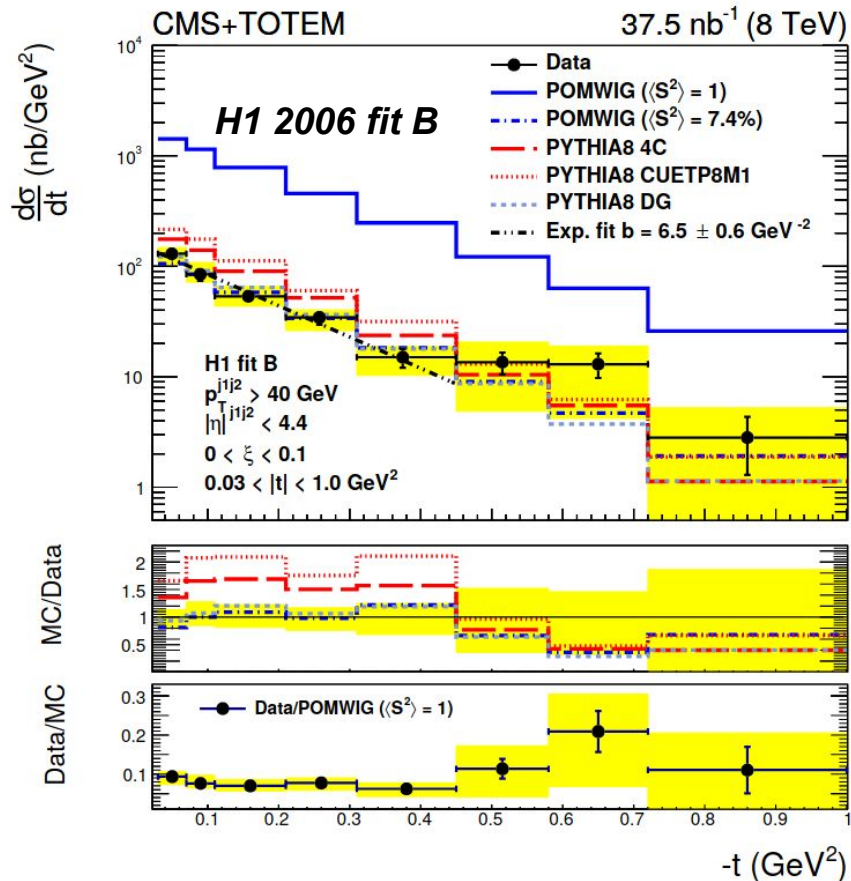
[CMS-TOTEM, EPJC 80, 1164 \(2020\)](#) ⁷

Exponential slope $b = 6.5 \pm 0.6 \text{ GeV}^{-2}$
consistent w/ other hard diffractive probes
(e.g., exclusive VM)

Bare POMWIG overshoots data
(**survival probability of 7.4%**)

PYTHIA8 predictions systematically off by a
factor of ~ 2 at low- $|t|$

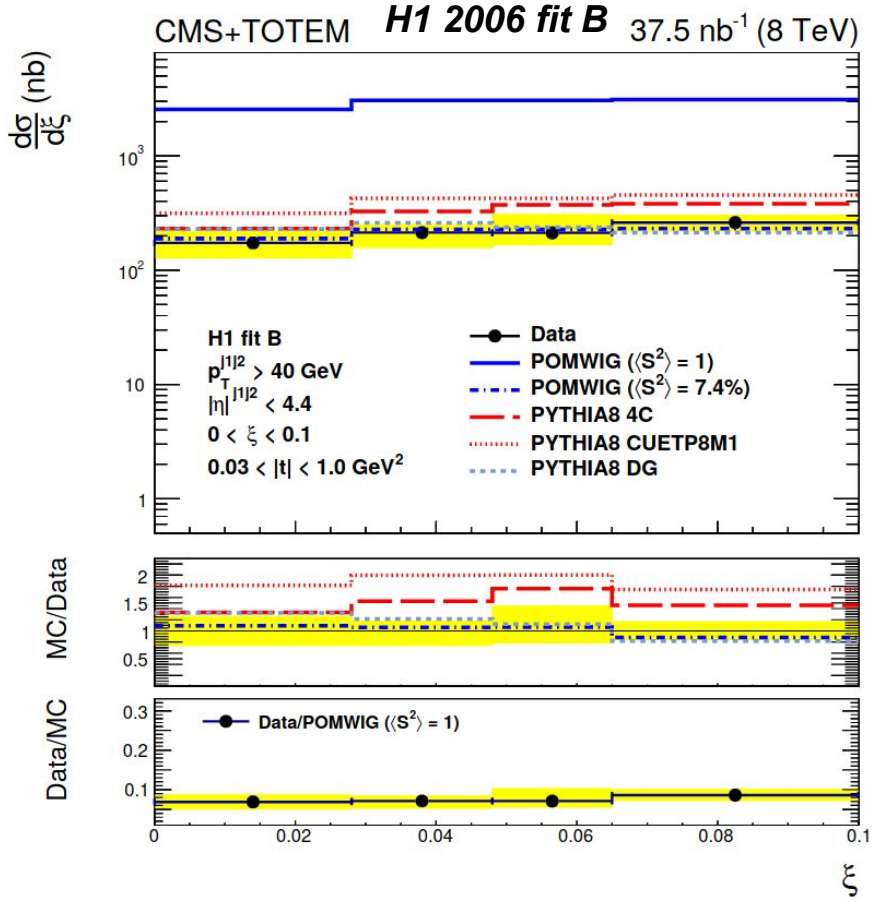
PYTHIA8 with dynamical gap (DG) model
correctly describes the rate and shape of the
distribution, *no additional
correction factor*



Fractional momentum loss ξ

Significantly extending reach based on forward gaps only $\xi < 0.01$

Pomeron and reggeon exchange (**POMWIG**) yield the same shapes as pomeron-only (**PYTHIA8**)



Data corrected to particle-level

Proxy for Bjorken-x from all jets:

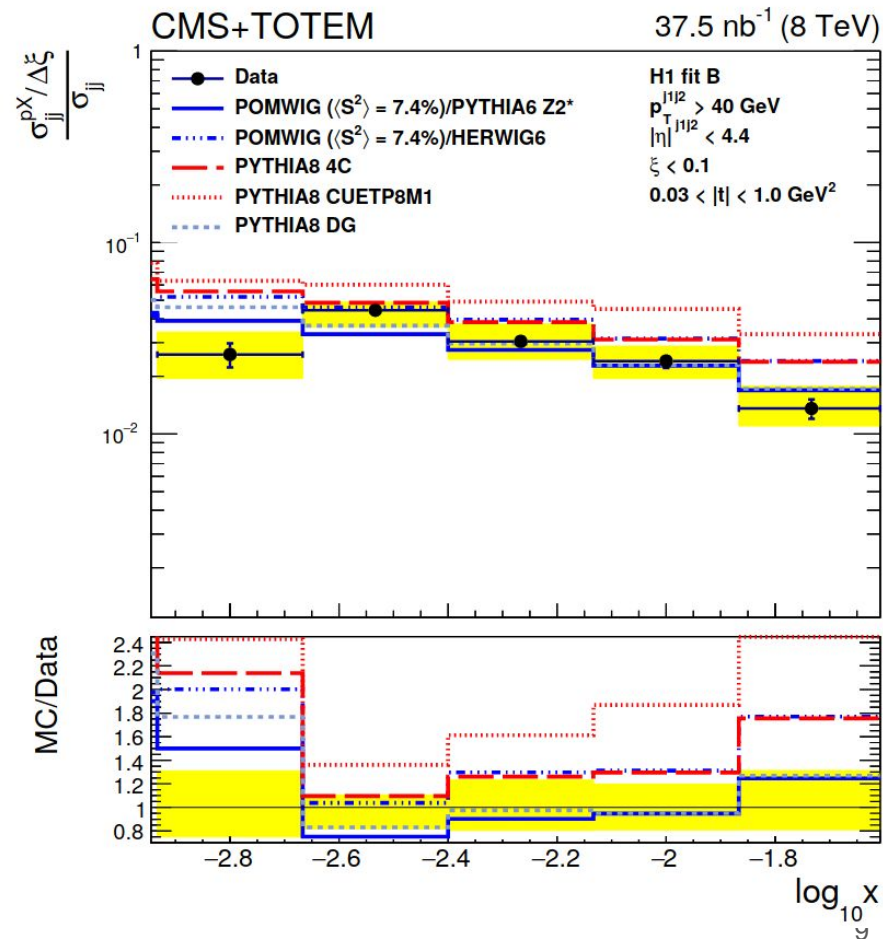
$$x^\pm = \frac{\sum_{\text{jets}} (E^{\text{jet}} \pm p_z^{\text{jet}})}{\sqrt{s}},$$

POMWIG (pomeron & reggeon exchanges) describes qualitatively well the shapes

PYTHIA8 (pomeron-only) predictions off at high- and low-x.

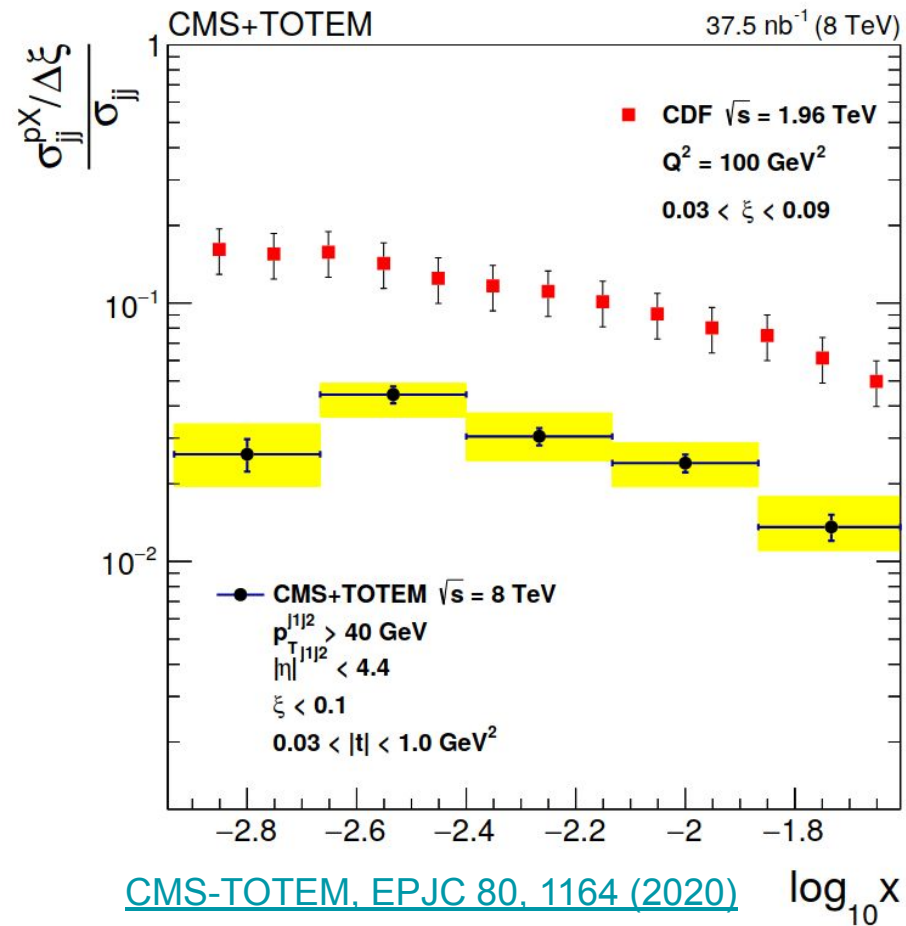
PYTHIA8 with “dynamical gap” (pomeron-only) describes the observed rate, no need for fudge factors

[CMS-TOTEM, EPJC 80, 1164 \(2020\)](#)



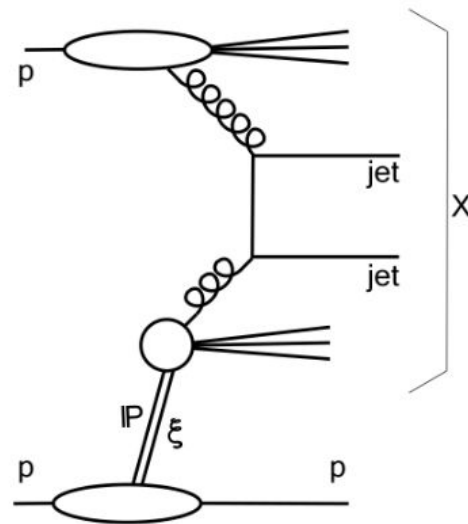
Suppression of single-diffractive jets as a function of \sqrt{s}

Fraction of diffractive jets decreases with energy (**Tevatron** \rightarrow **LHC**), qualitatively expected from survival probability dependence on \sqrt{s} .

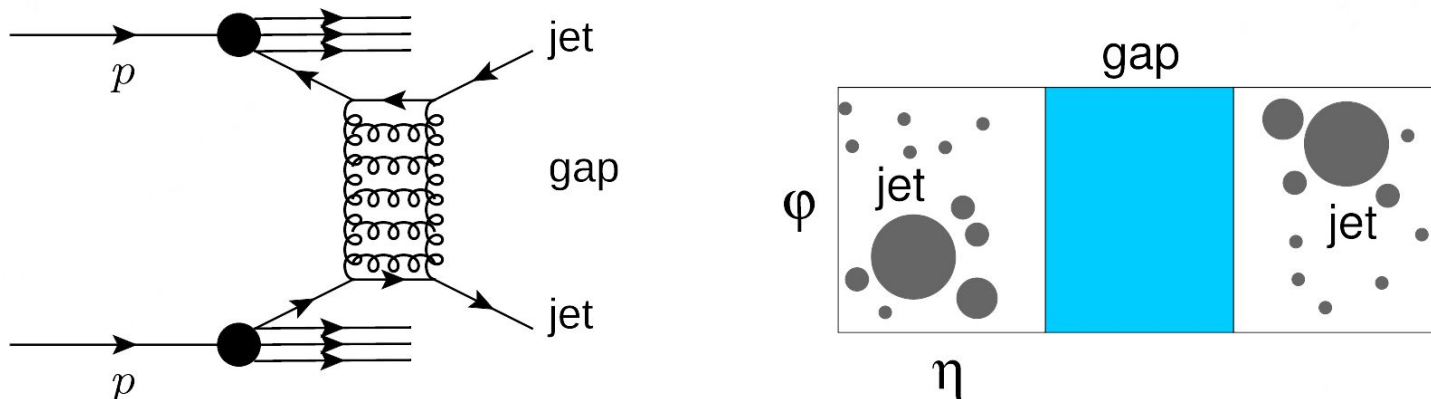


Lesson/thoughts:

- Rapidity gap based diffraction gives access to $10^{-4} < \xi < 10^{-2}$
- Diffractive jets at LHC energies consistent with pomeron-only exchange ($0 < \xi < 10^{-1}$, with Roman pots)
- Stronger suppression at LHC than at CDF
- How can it be extended?



Mueller-Tang jets (a.k.a., “jet-gap-jet”)

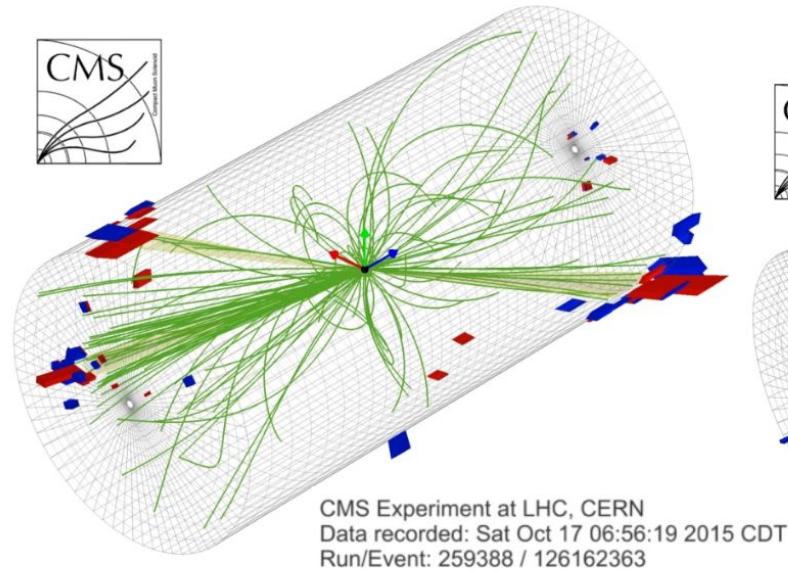


t -channel color-singlet exchange between partons \rightarrow **rapidity gaps between final-state jets**

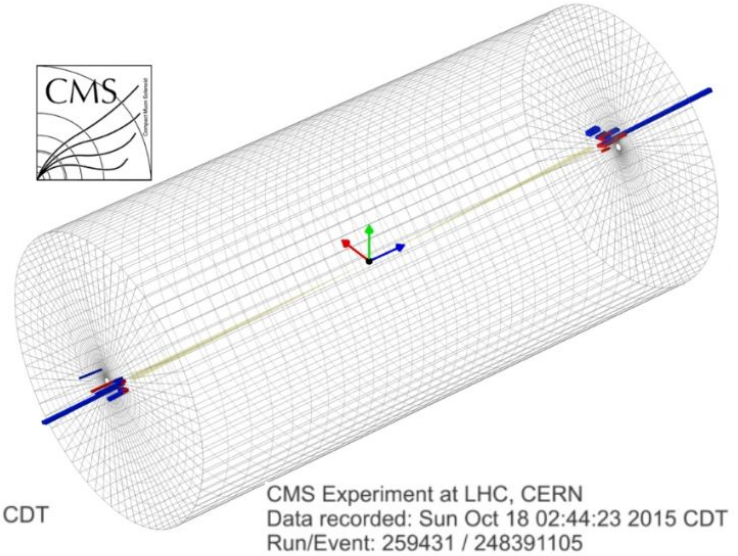
In the high-energy limit (large $\Delta\eta_{jj}$), it is expected to be mediated by **BFKL pomeron exchange**. [A. Mueller and W-K. Tang, PLB 284 \(1992\) 123.](#)

Experimentally, a signal with a controllable QCD background.

CMS event displays (single proton-proton collisions)



Color-exchange event candidate
(Background-like)

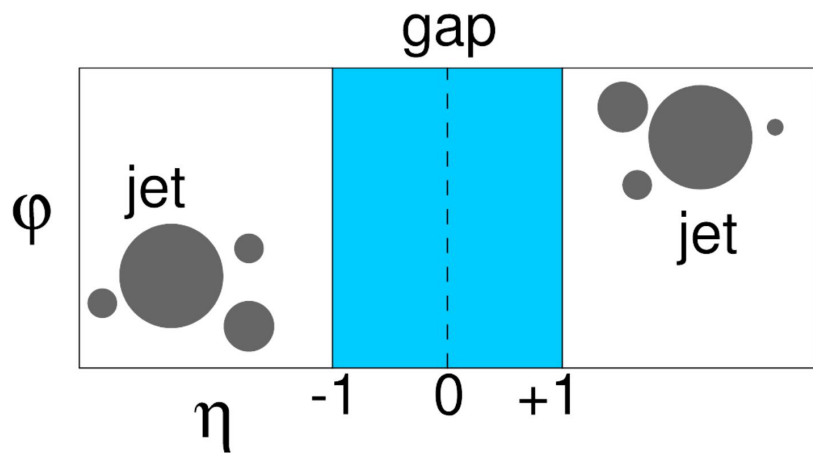


Color-singlet exchange event candidate
(Signal-like)

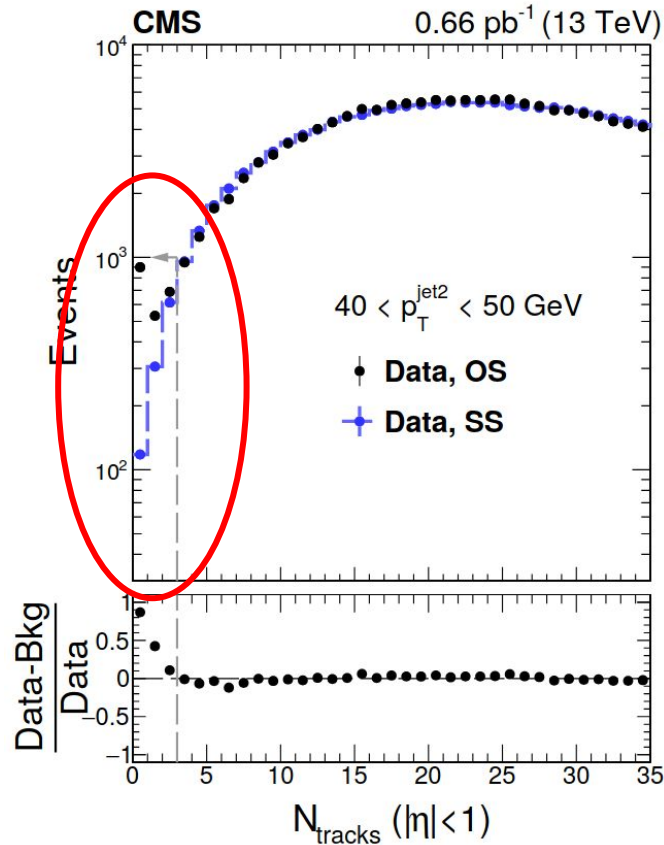
tracks with $p_T > 0.2$ GeV are plotted here.

Rapidity gap definition

Number of charged-particles with $p_T > 200$ MeV in $-1 < \eta < 1$ is measured, *rapidity gap corresponds to absence of N_{tracks}*

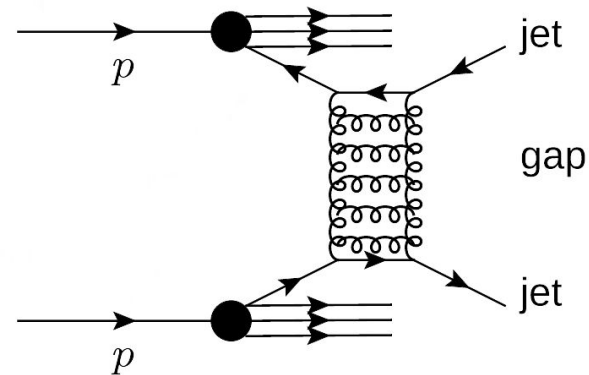
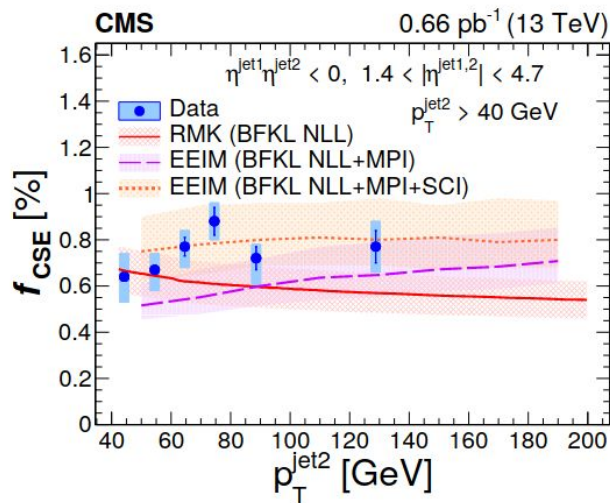
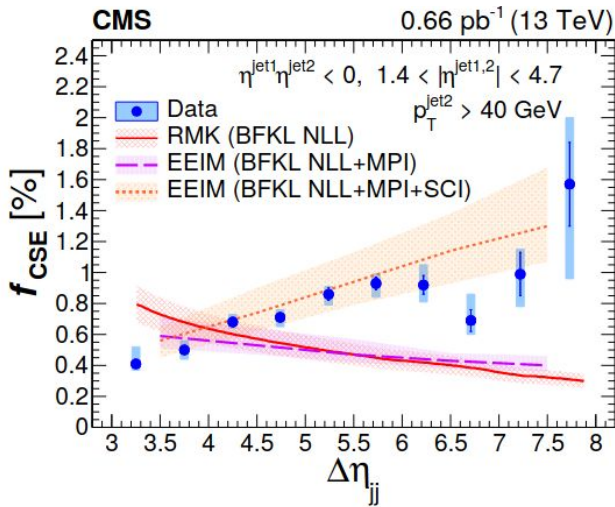


Each jet has $|\eta_{jet}| > 1.4$, with $\eta_{jet1} * \eta_{jet2} < 0$, with $p_T > 40$ GeV.



Color-octet fluctuations subtracted

Color-singlet fraction f_{CSE} by CMS at 13 TeV



[CMS-TOTEM PRD 104, 032009 \(2021\)](#)

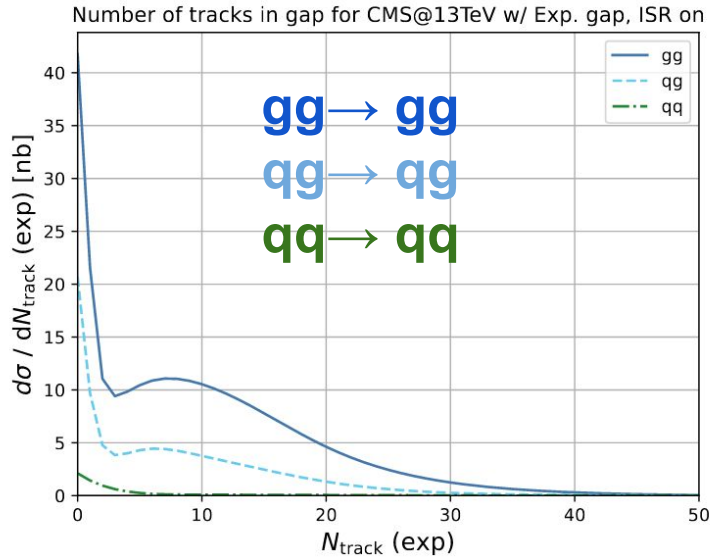
About ~0.7% of dijets are produced by hard color-singlet exchange

Pure BFKL predictions get the trend with data wrong as a function of $\Delta\eta_{jj}$
 ([Royon, Marquet, Kepka, PRD 83:034036, 2011](#))

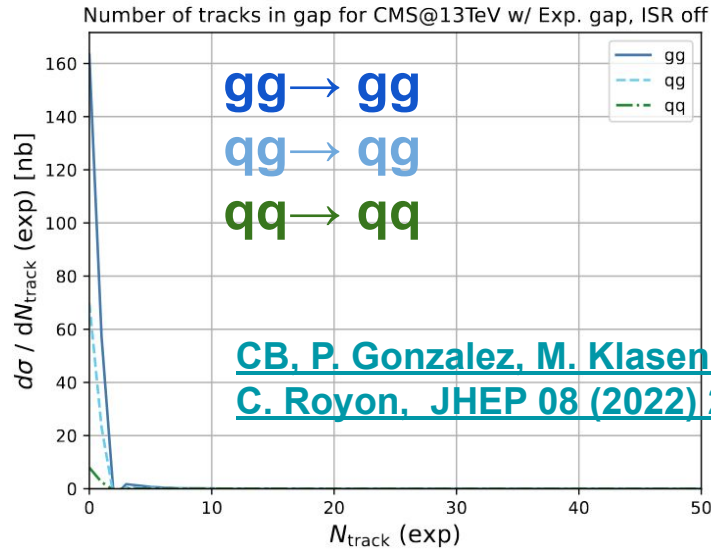
BFKL + soft-color interaction for gap survival probability correctly describes $\Delta\eta_{jj}$ trend
 ([Ekstedt, Enberg, Ingelman, Motyka, arXiv:1703.10919](#))

ISR “screens” central gap between Mueller–Tang jets

ISR on → central gap is destroyed



ISR off → central gap remains



[CB, P. Gonzalez, M. Klasen, J. Salomon, C. Royon, JHEP 08 \(2022\) 250](#)

ISR = on → more particles between the jets.

ISR = off → fewer particles between the jets (unclustered wide-angle hadrons).

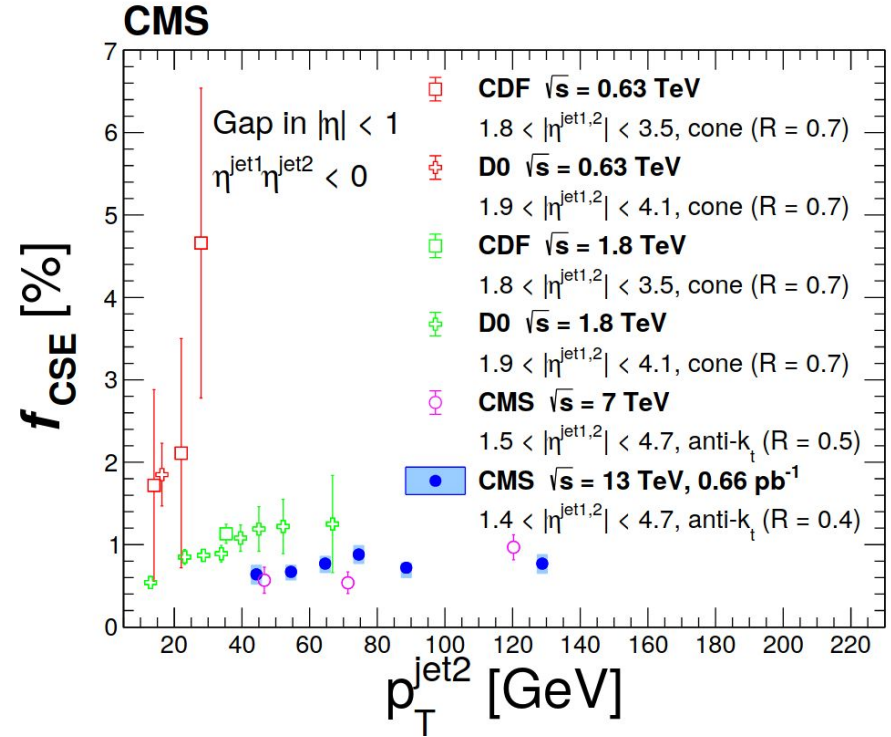
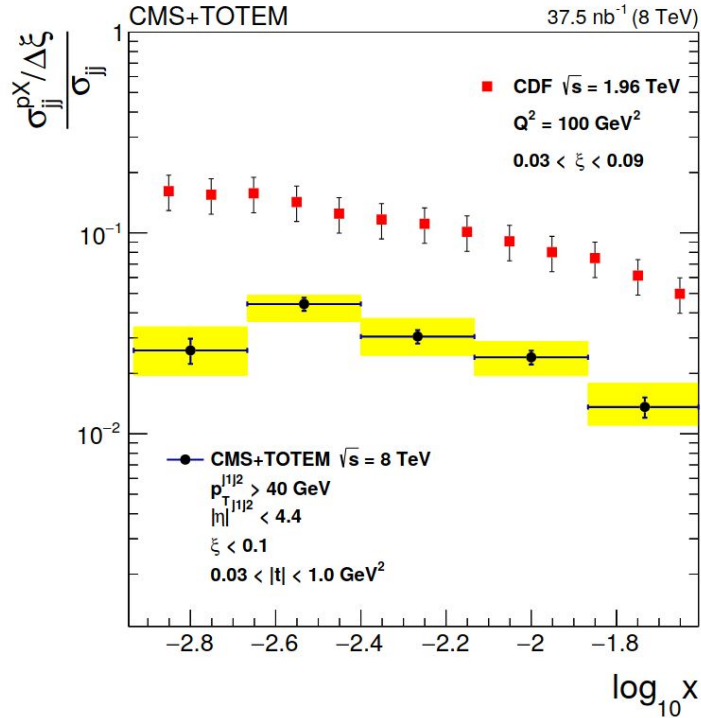
Unexpected (?) sensitivity to ISR at central pseudorapidities

(see talk by C. Royon next!)

Suppression of jet-gap-jet fraction with \sqrt{s}

CMS-TOTEM, EPJC 80, 1164 (2020)

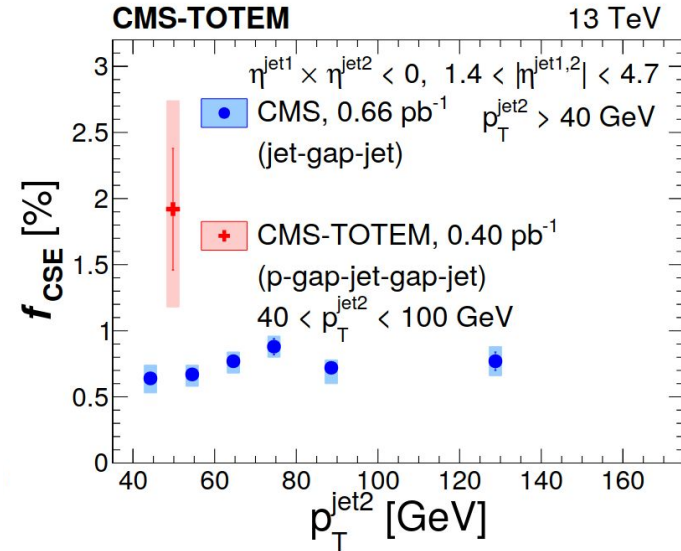
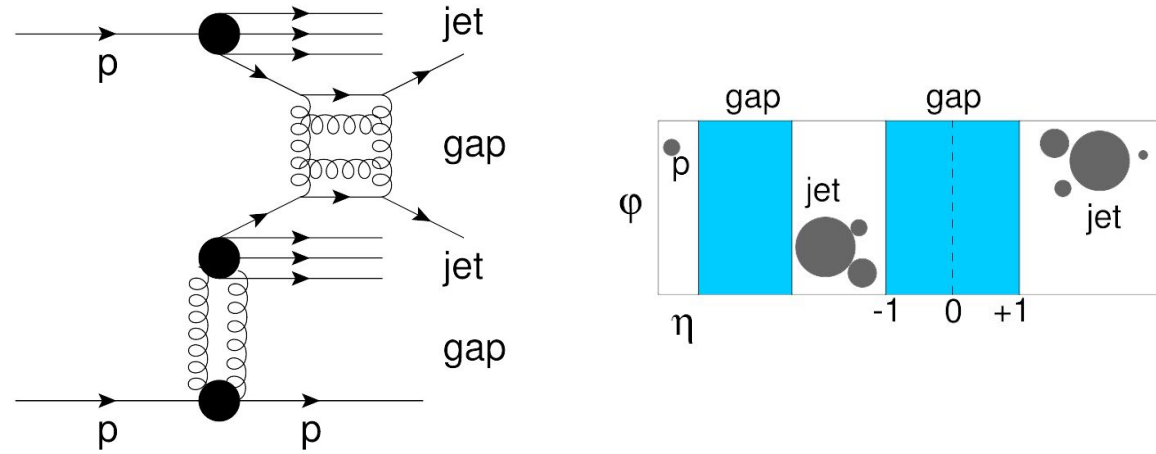
CMS-TOTEM PRD 104, 032009 (2021)



Decrease from Tevatron to LHC energies, *consistent with single-diffractive dijet trend*

Gap between jets with intact proton

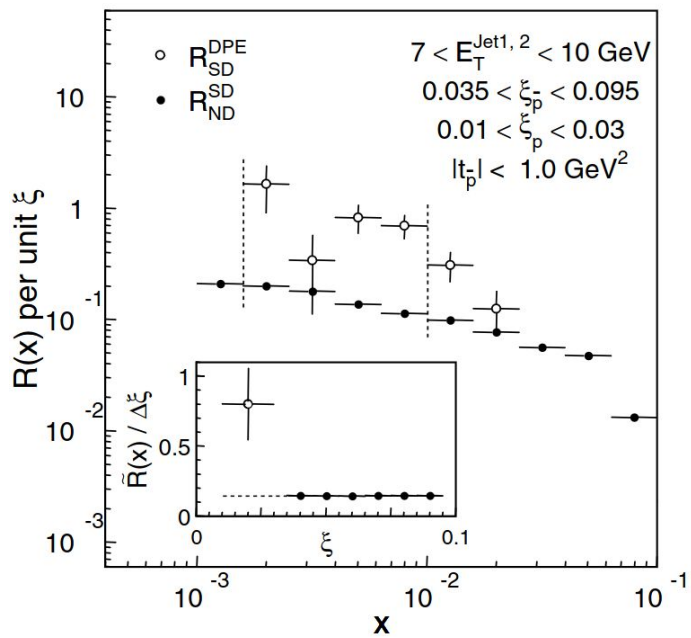
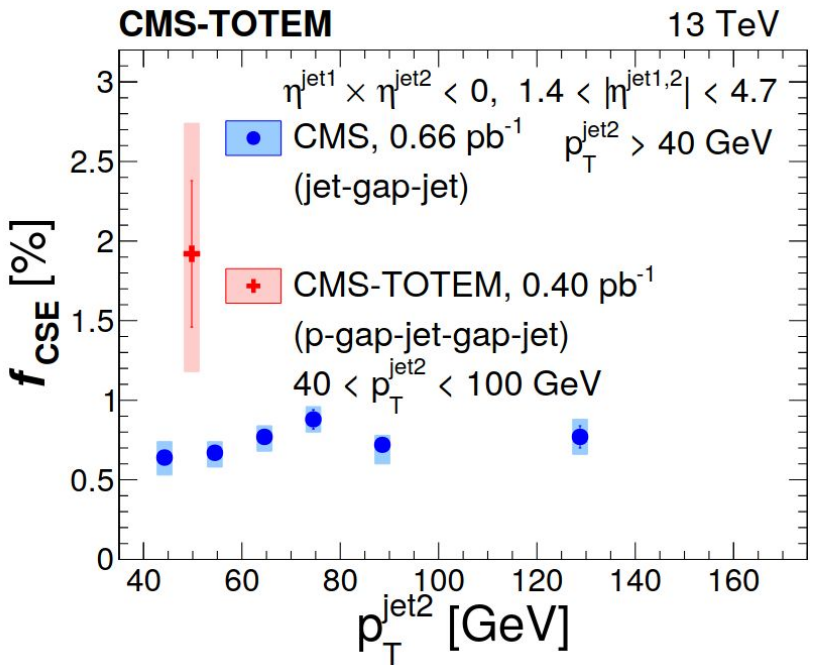
[CMS-TOTEM PRD 104, 032009 \(2021\)](#)



Partial restoration of factorization; intact proton enhances the probability that the central gap “survives” the collision.

Analogous to restoration of factorization observed by [CDF Collaboration for double-pomeron exchange/single-diffractive dijets](#).

Similar enhancement in other two-gap diffractive topologies

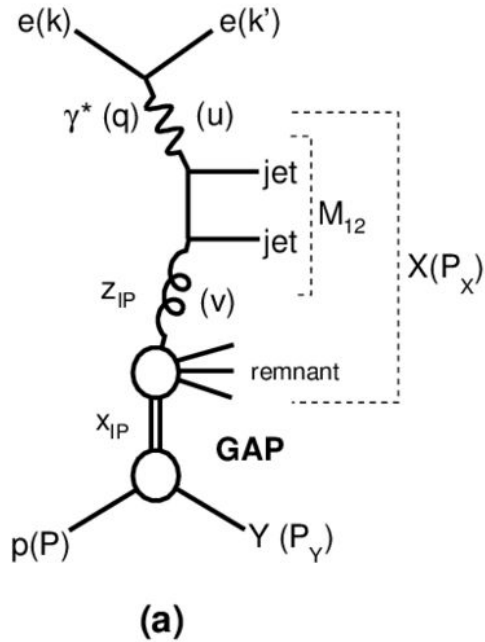


[CMS-TOTEM PRD 104, 032009 \(2021\)](#)

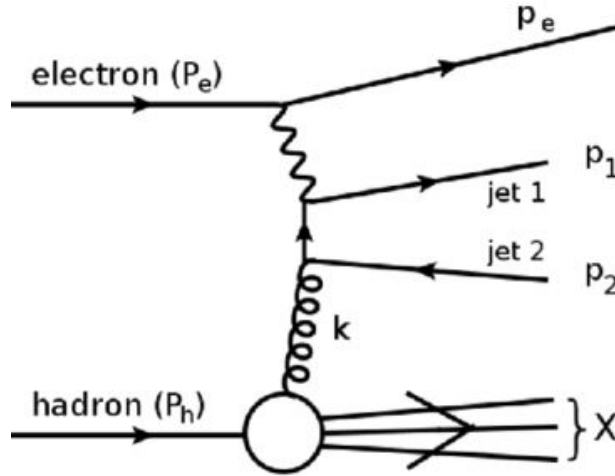
[CDF PRL 85 \(2000\) 4215 for double-pomeron jets/single-diffractive dijets](#)

Dijet photoproduction (resolved or direct)

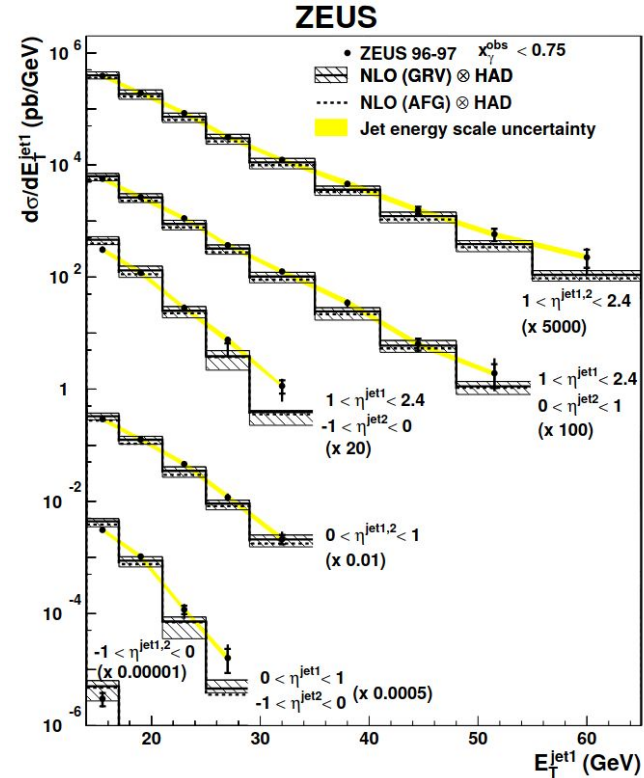
Thoroughly measured at HERA, what about the LHC?



Diffractive photoproduction



Photoproduction

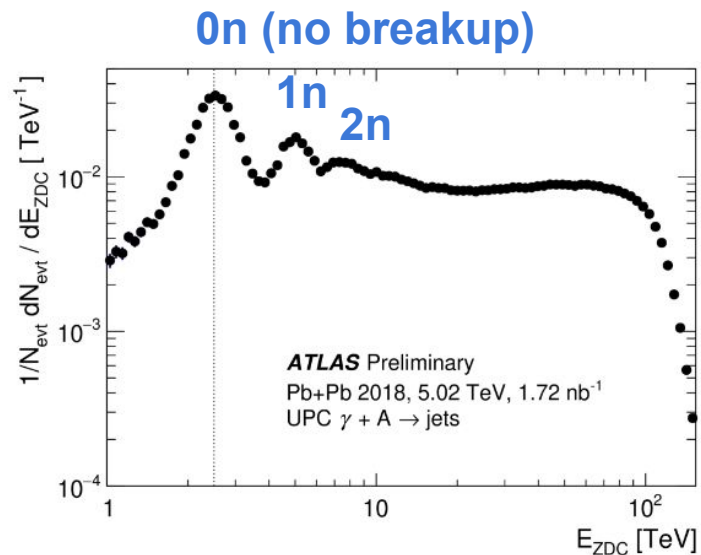


ZEUS, [arXiv:0112029](https://arxiv.org/abs/0112029)

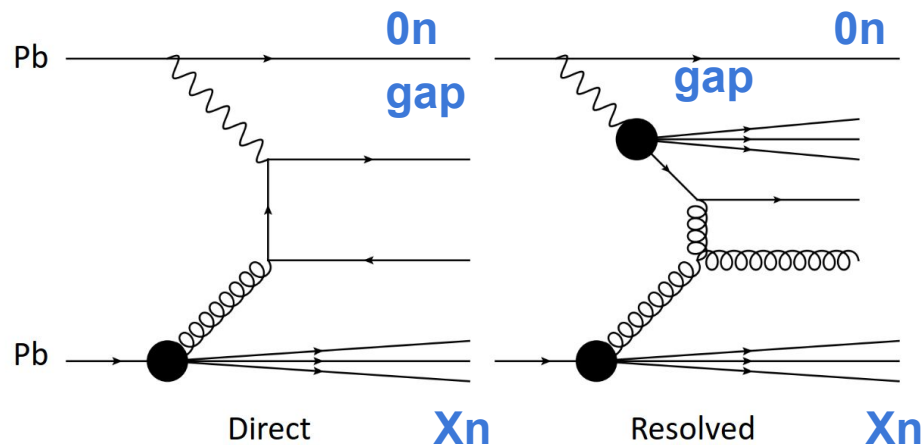
Photonuclear dijet in ATLAS in PbPb at 5.02 TeV

Forward neutrons on zero degree calorimeter to tag photon emission ($0nXn$, $X > 0$) + rapidity gap

ZDC helps clean up event from “hadronic” dijet (peripherals)



ZDC energy spectrum

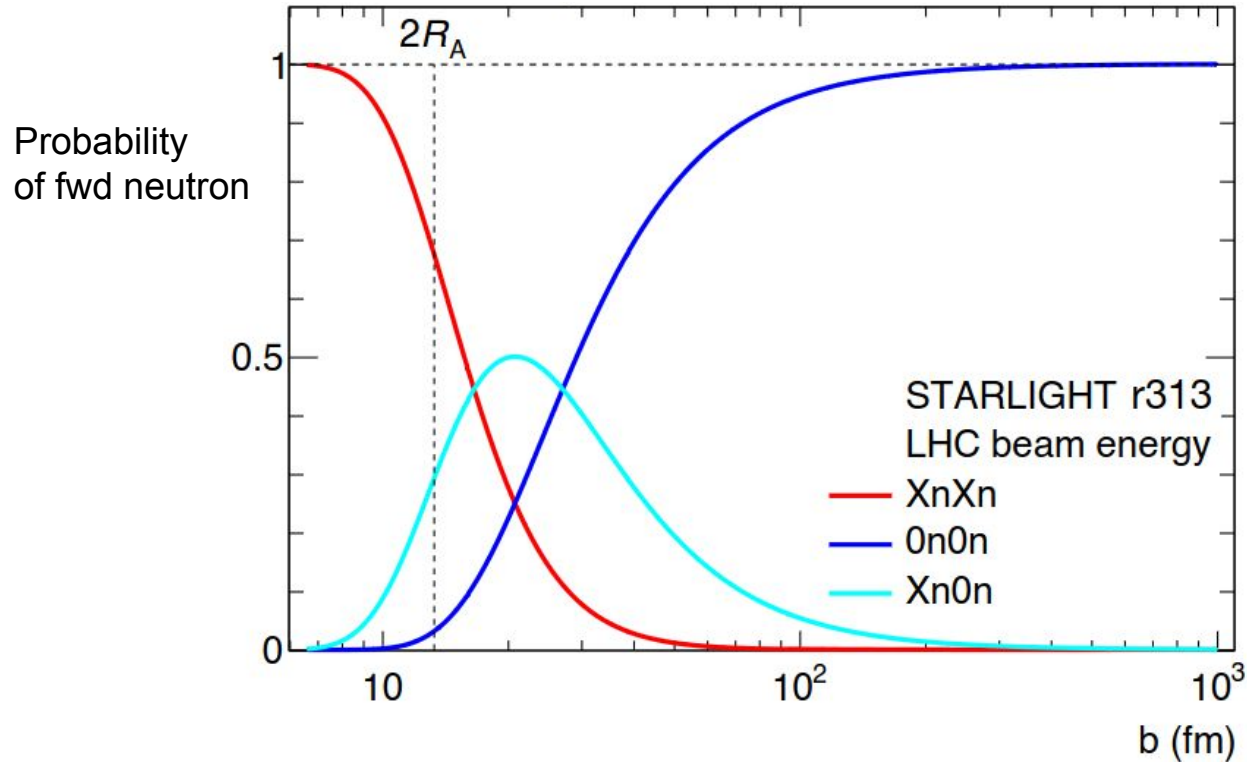


Probe of gluon nuclear PDFs at small- x and perturbative Q^2

≥ 2 jets with $p_{T,jet} > 15$ GeV, up to acceptance $|\eta_{jet}| < 4.4$

In general, ZDC topology “filters” different impact parameters, which affects the photon flux modeling

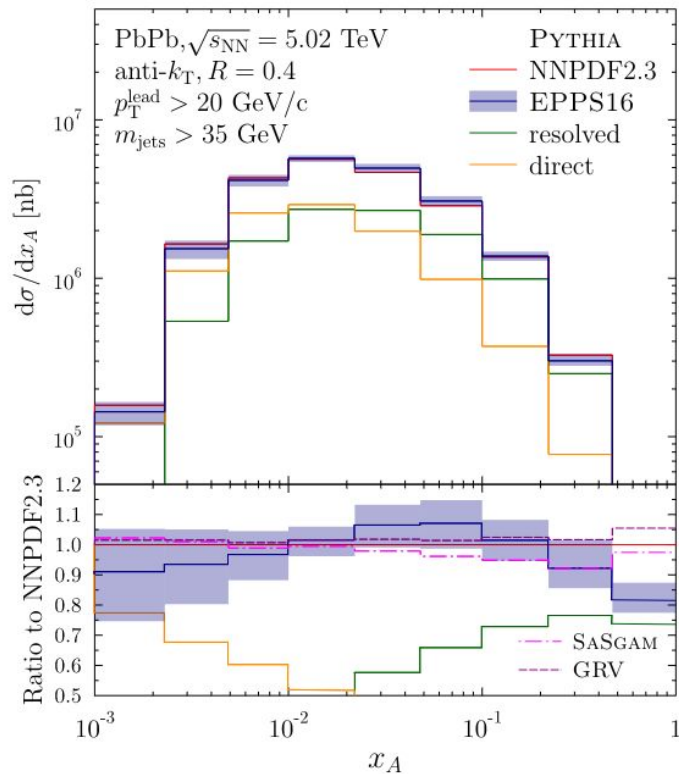
Klein, Steinberg, Ann Rev Nucl Part Sci Vol. 70:323-354



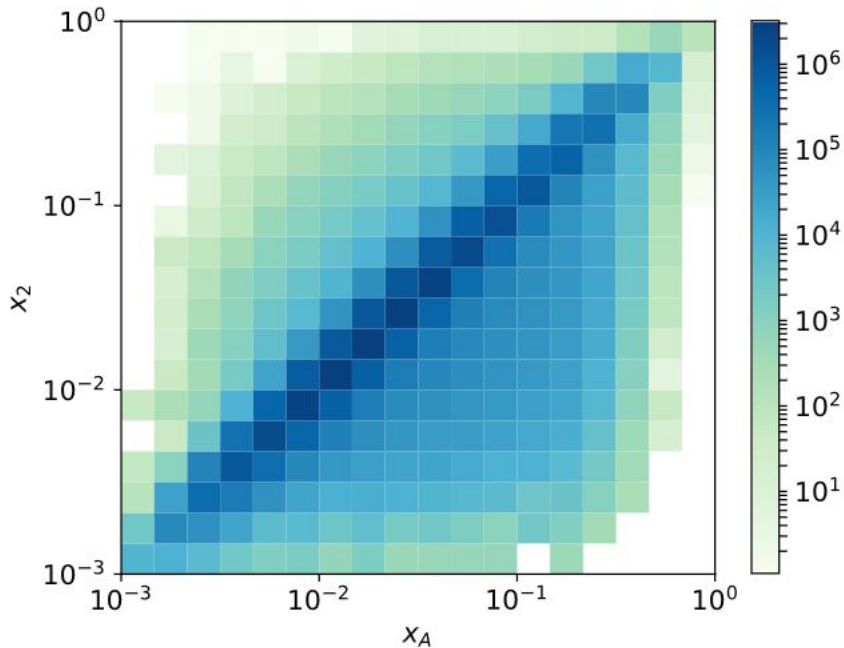
$$x_A \equiv \frac{M_{jets} e^{-y_{jets}}}{\sqrt{s_{NN}}}$$

Jet-based proxy for parton momentum fraction wrt Pb nucleus

small x_A dominated by **direct photoproduction**,
 high x_A by **resolved photoproduction**

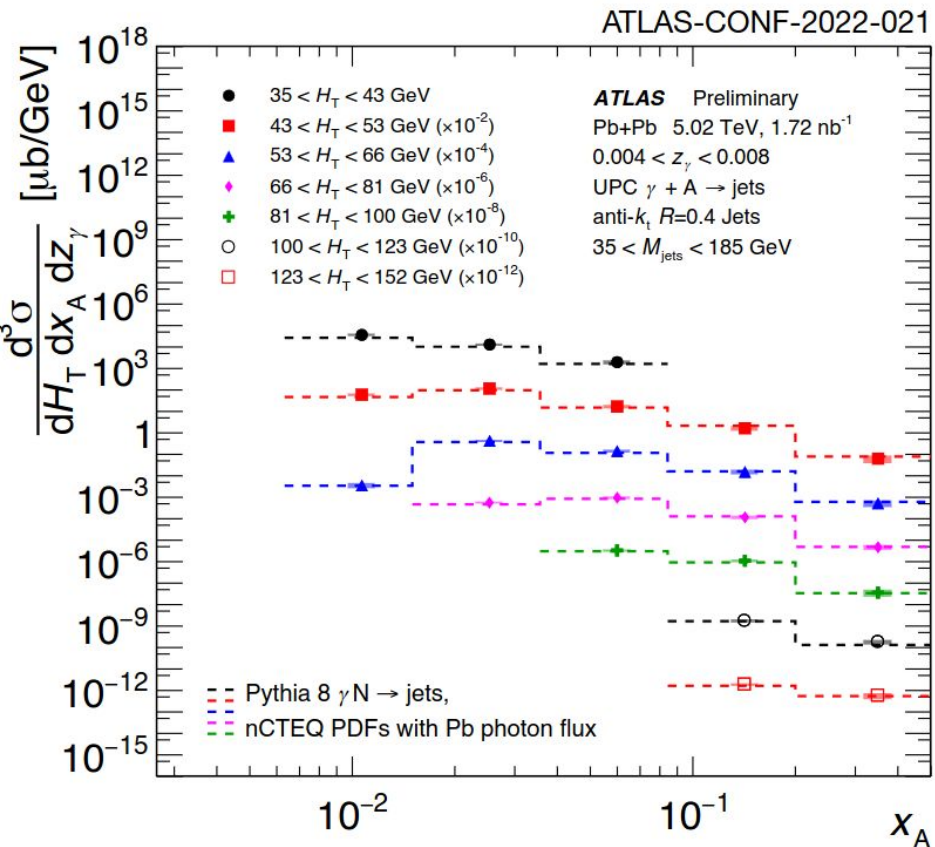


x_A strongly correlated with x_2
 used in nPDF evaluation



Triple differential cross section measurement (ATLAS)

[ATLAS-CONF-2022-021](#)



Unfolded to particle-level,
reported for three variables

$$H_T \equiv \sum_i p_T^i$$

$\sim Q^2$

$$x_A \equiv \frac{M_{\text{jets}} e^{-y_{\text{jets}}}}{\sqrt{s_{NN}}}$$

parton momentum
fraction wrt target

$$z_\gamma \equiv \frac{M_{\text{jets}} e^{+y_{\text{jets}}}}{\sqrt{s_{NN}}}$$

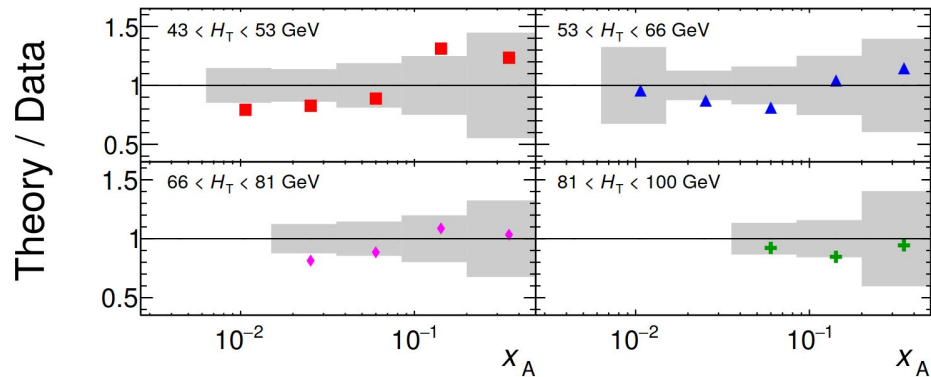
momentum fraction
carried by photon

Precision limited by jet calibration unc.

(particle-flow low p_T jets are
hard to calibrate!)

Triple differential cross section measurement (ATLAS)

[ATLAS-CONF-2022-021](#)



Fully unfolded to particle-level,
reported for three variables

$$H_T \equiv \sum_i p_T^i$$

$\sim Q^2$

$$x_A \equiv \frac{M_{jets} e^{-y_{jets}}}{\sqrt{s_{NN}}}$$

parton momentum
fraction wrt target

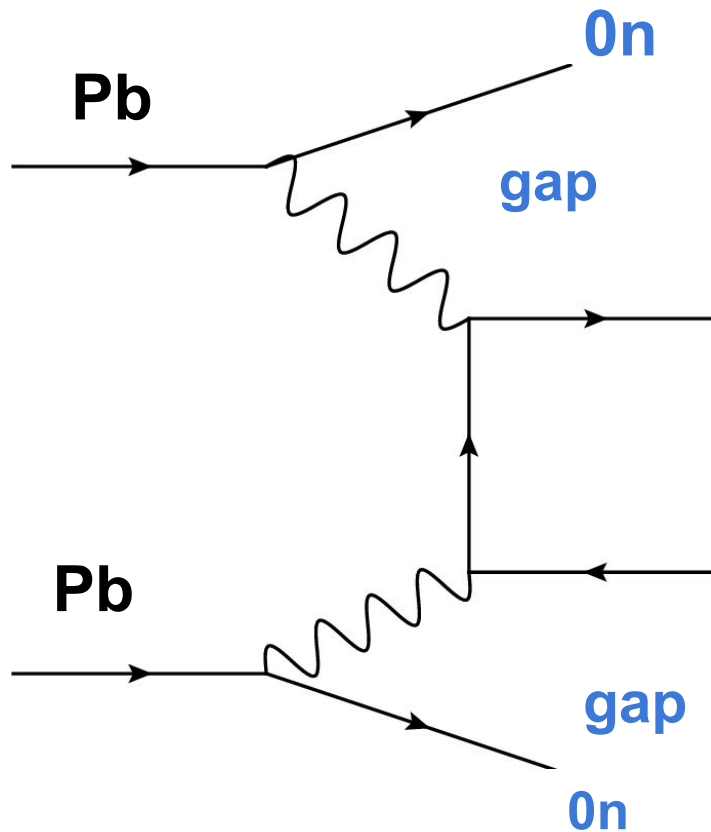
$$z_\gamma \equiv \frac{M_{jets} e^{+y_{jets}}}{\sqrt{s_{NN}}}$$

momentum fraction
carried by photon

Experimental precision currently limited
by jet energy scale uncertainty

(particle-flow low p_T jets are
hard to calibrate!)

Exclusive* dijet with 0n0n + two rapidity gaps [ATLAS]

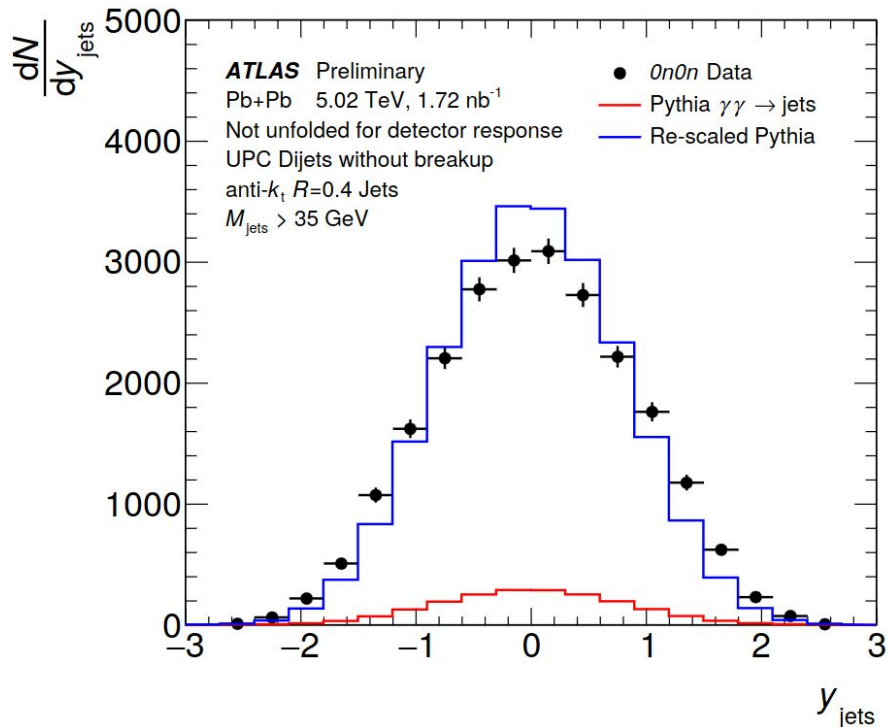


One would expect
QED $\gamma\gamma \rightarrow q\bar{q}$ to dominate
(large photon flux)

NB:
“Exclusive” up to detector-noise,
& jet p_T threshold

Exclusive dijet with 0n0n + two rapidity gaps [ATLAS]

[ATLAS-CONF-2022-021](#)



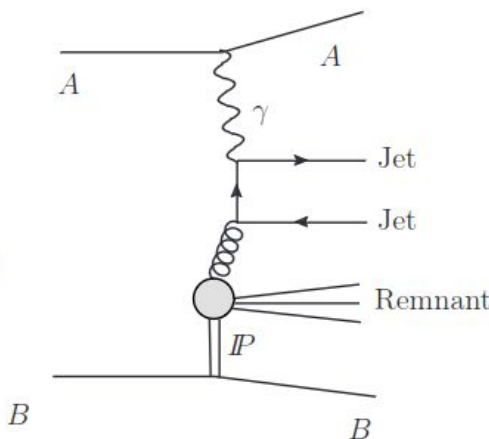
NB: Uncorrected distributions

Pure **QED $\gamma\gamma \rightarrow qq\bar{q}$** contribution accounts only for 10% of the observed rates in data

Could be due to coherent diffractive photoproduction of dijets in PbPb:

[V. Guzey, M. Klasen, arXiv:1603.06055](#)

If so, could be used as a probe of saturation:



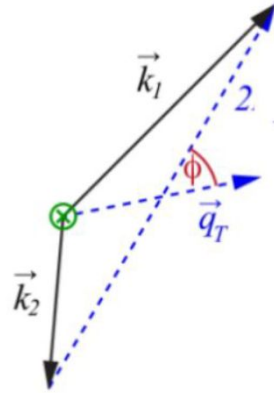
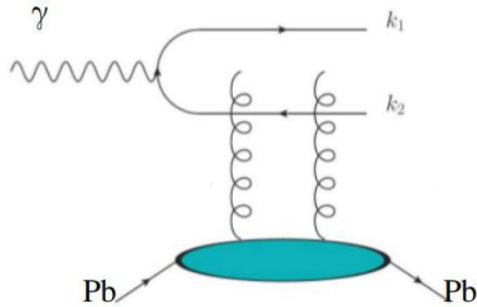
[H. Mäntysaari, N. Mueller, F. Salazar, B. Schenke, PRL 124, 112301 \(2020\)](#)

[E. Iancu, A.H. Mueller, D.N. Triantafyllopoulos, S.Y. Wei, arXiv:2304.12401](#)

Exclusive dijet production in PbPb (CMS)

Proposed to probe elliptic polarization of gluons in unpolarized nuclei,

Hatta, et al, PRL 116, 202301 (2016)



Vector sum of 2 jets:

$$\vec{Q}_T = \vec{k}_1 + \vec{k}_2$$

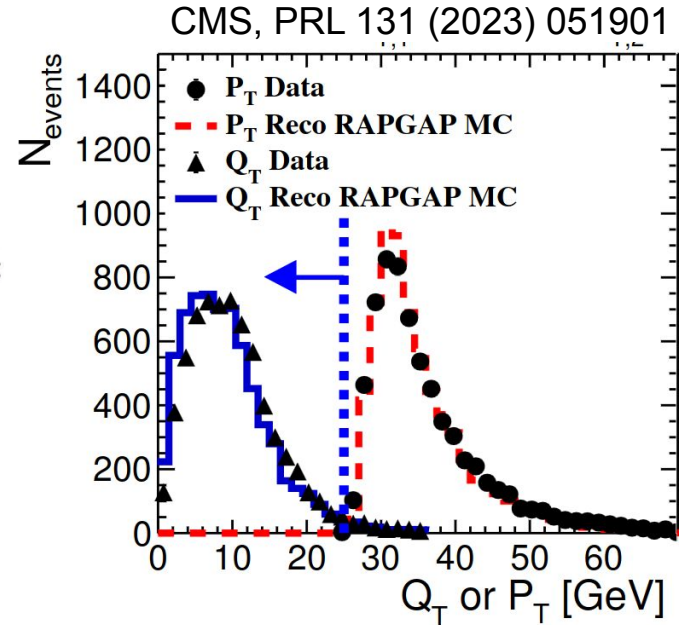
Vector difference of 2 jets:

$$\vec{P}_T = \frac{1}{2}(\vec{k}_1 - \vec{k}_2)$$

angular correlation
between P_T and Q_T
vectors sensitive
to polarization

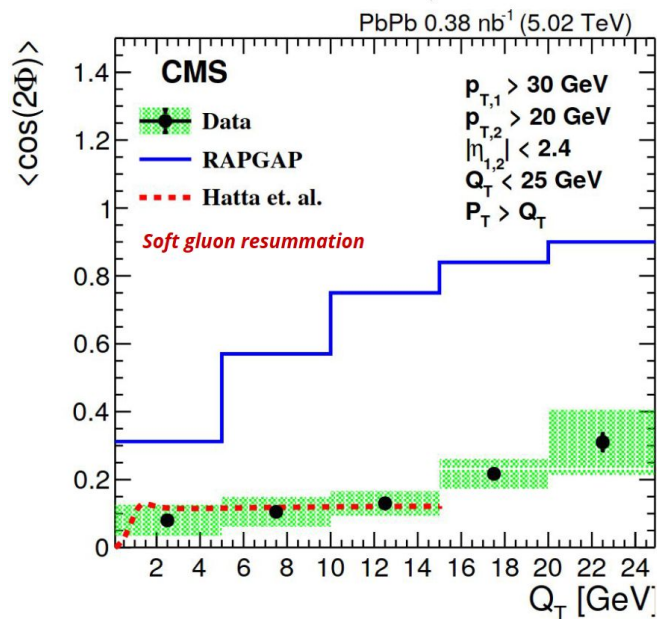
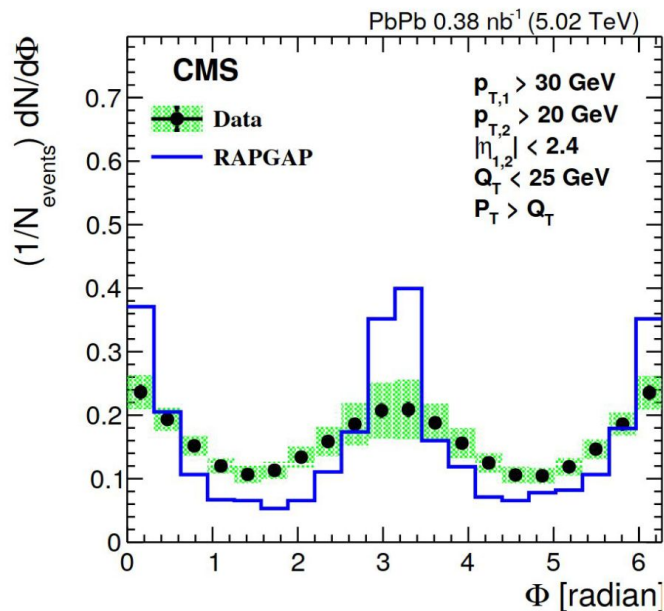
$$v_2 = \langle \cos(2\phi) \rangle,$$

$$\cos(\phi) = \vec{Q}_T \cdot \vec{P}_T / (\|\vec{Q}_T\| \cdot \|\vec{P}_T\|)$$



CMS exclusive dijet angular correlations

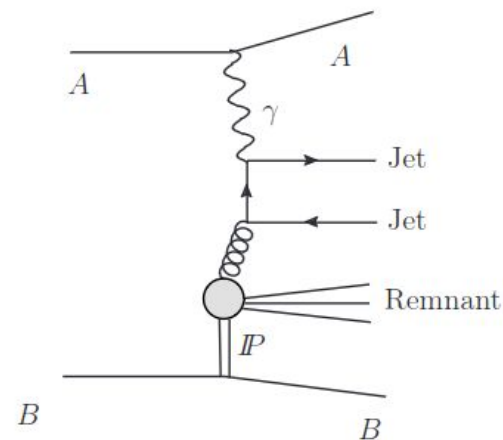
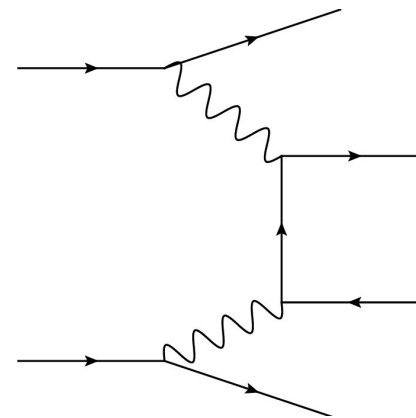
CMS, PRL 131 (2023) 051901



Calculations with out-of-cone soft radiation effects are able to describe the data.
No experimental sensitivity to polarization effects

Lessons/thoughts:

- QED rate accounts for $\sim 10\%$ of $0n0n$ exclusive dijet rate in PbPb (ATLAS), is remaining 90% coherent diffractive?
- Observables proposed to be sensitive to gluon elliptic polarization are sensitive to final-state radiation effects
- Measurements challenge low p_T jet calibration!
Other “hard” probes?
(see Gian Michele Innocenti’s talk!)



Summary

- Run-1 & Run-2 results on hard diffraction & photoproduction with jets
- **Trade-offs between clean experimental signatures and control over calculations**
- Interested on feedback on different decisions (low p_T jets, rapidity gap definitions, ZDC & Roman pot tagging, ...)