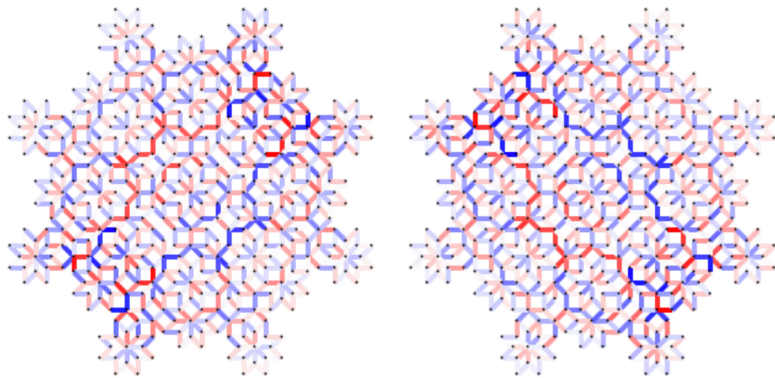


Operator content of quantum and classical lattice models from lossy compression theory

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Zurich^{UZH}



THE UNIVERSITY OF
CHICAGO



Zohar Ringel



Sebastian Huber



Doruk Efe Gokmen



Matthew Schmitt



Michel Fruchart



Vincenzo Vitelli



- **MKJ** and Zohar Ringel, *Nature Physics* **14**, 578-582 (2018)
- P. Lenngenhager, D.E. Gokmen, Z. Ringel, S.D. Huber and **MKJ**, *Phys. Rev. X* **10**, 011037 (2020)
- A. Gordon, A. Banerjee, **MKJ** and Z. Ringel, *Phys. Rev. Lett.* **126**, 240601 (2021)
- D.E. Gokmen, Z. Ringel, S.D. Huber and **MKJ**, *Phys. Rev. Lett.* **127**, 240603 (2021)
- D.E. Gokmen, Z. Ringel, S.D. Huber and **MKJ**, *Phys. Rev. E* **104**, 064106 (2021)
- D.E. Gokmen, S. Biswas, S.D. Huber, Z. Ringel, F. Flicker and **MKJ**, *arXiv:2301.11934* (2023) "ML for physical sciences" @NeurIPS 2023
- L. Oppenheim, **MKJ**, S. Gazit, Z. Ringel *arXiv:2311.17994*, "ML for physical sciences" @NeurIPS 2023
- M. Schmitt, **MKJ**, M. Fruchart, D. Seara, V. Vitelli *arXiv:2312.06608*, "ML for physical sciences" @NeurIPS 2023

Outline

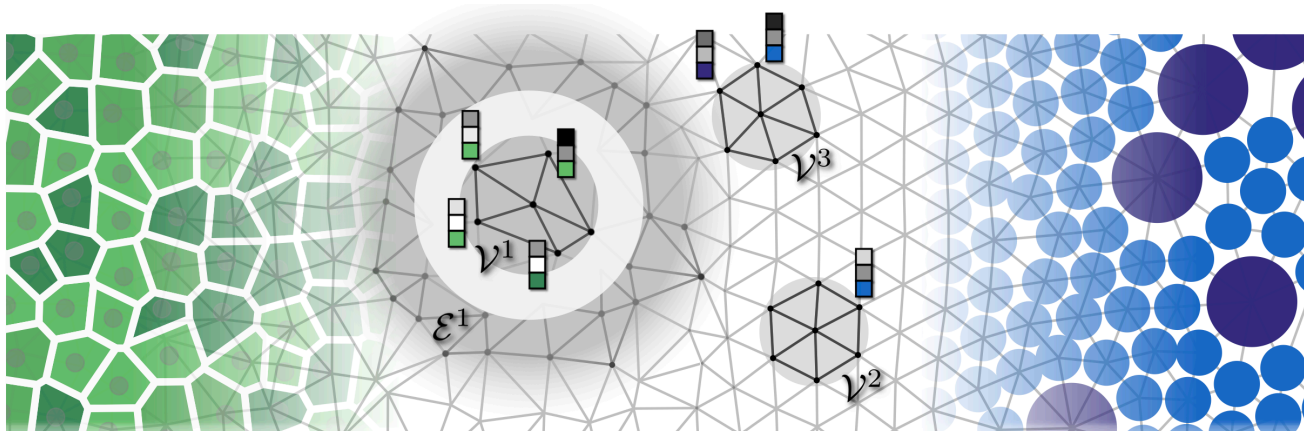
- Intro and motivation: RG, compression, and ML
- Information Bottleneck lossy compression
- Equivalence of “relevance” in IB and in RG
- The RSMI coarse-graining
- Example 1: operators and symmetries with RSMI
- Example 2: RG for quasi-periodic tilings
- Example 3: Dynamical systems

- We seek effective descriptions of **complex** systems in terms of **few variables**

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- **Coarse-graining** connects microscopic and continuum descriptions

Effective descriptions

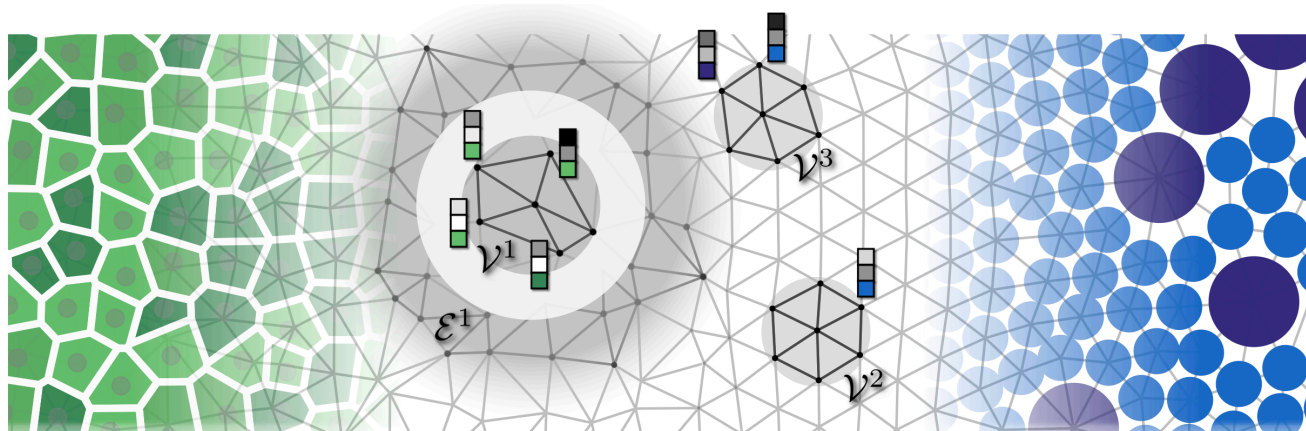
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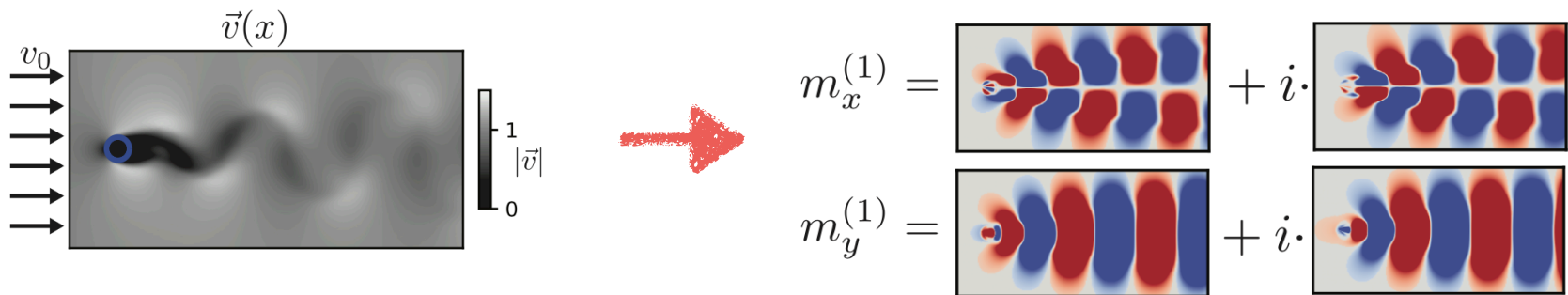
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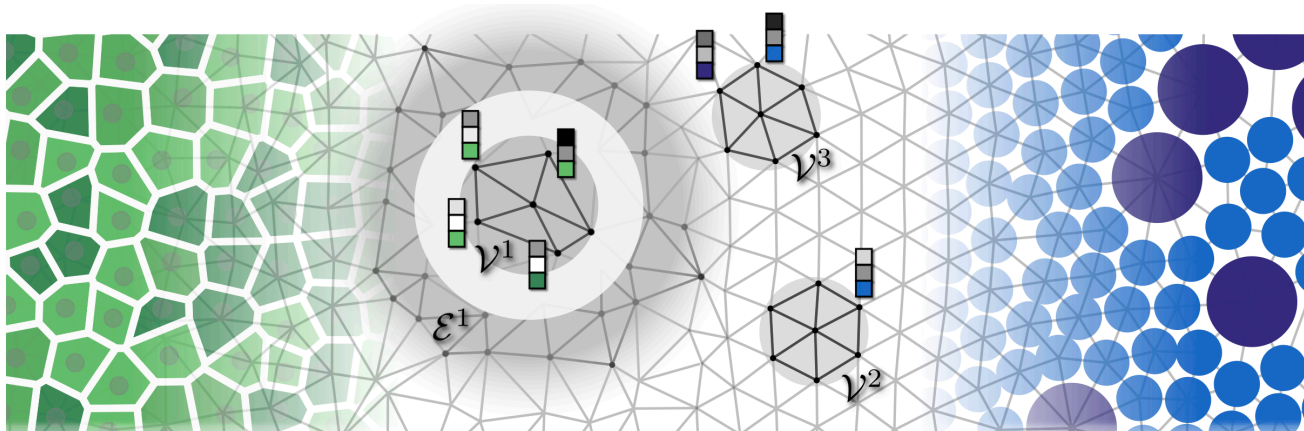


- Dynamical systems' model reductions

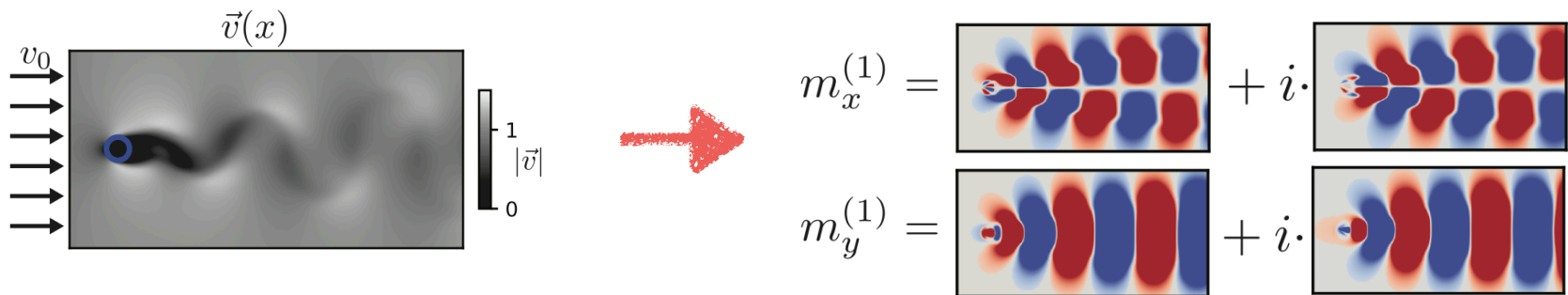


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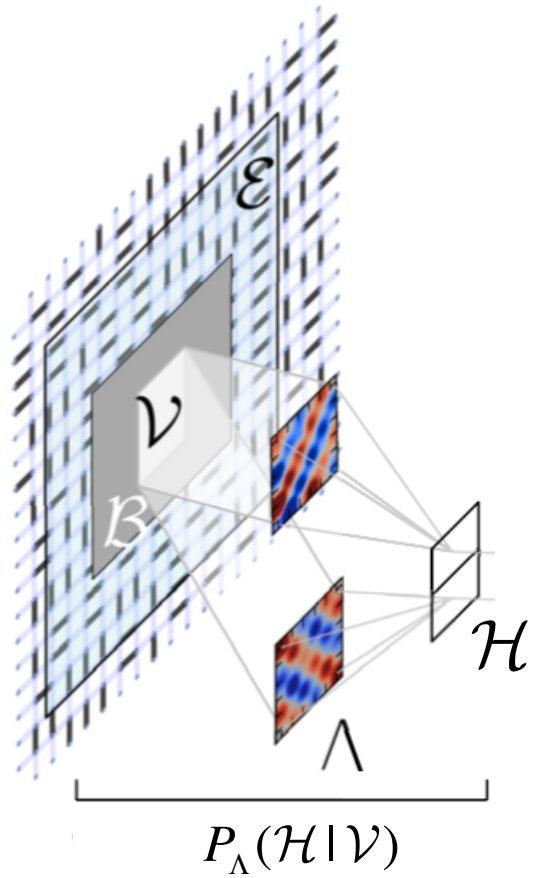


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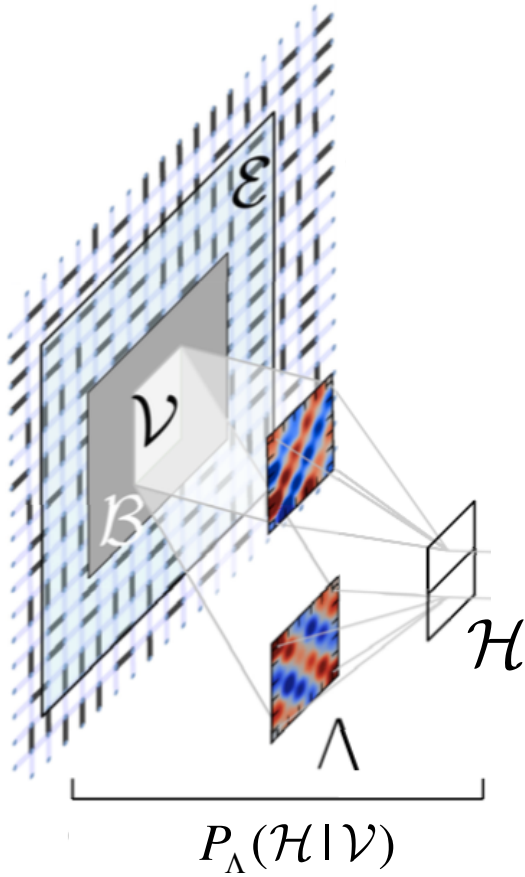


- Can one **systematically** derive an effective theory?

Motivating example: real-space RG

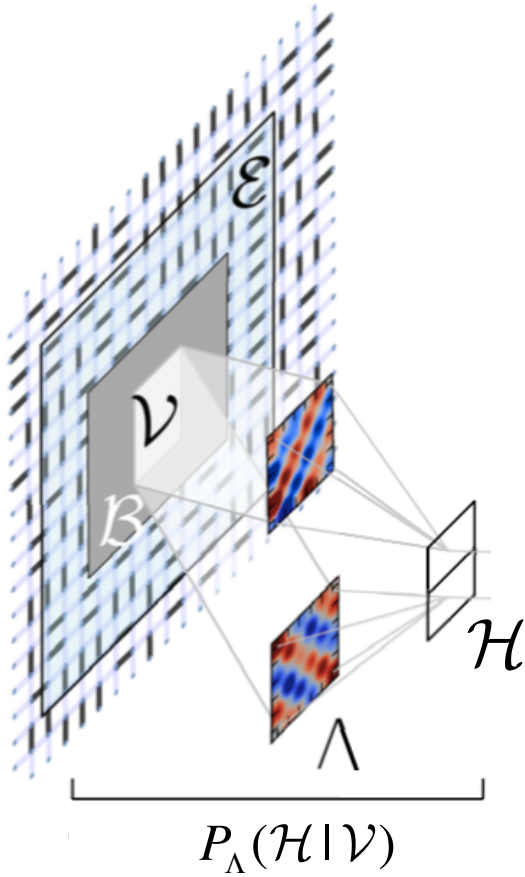


Motivating example: real-space RG



$$e^{\mathcal{K}'(\{\mathcal{H}_i\}_i)} \leftarrow P(\{\mathcal{H}_i\}_i) = \sum_i e^{\mathcal{K}(\{\mathcal{V}_i\}_i)} P(\mathcal{H}_i|\mathcal{V}_i)$$

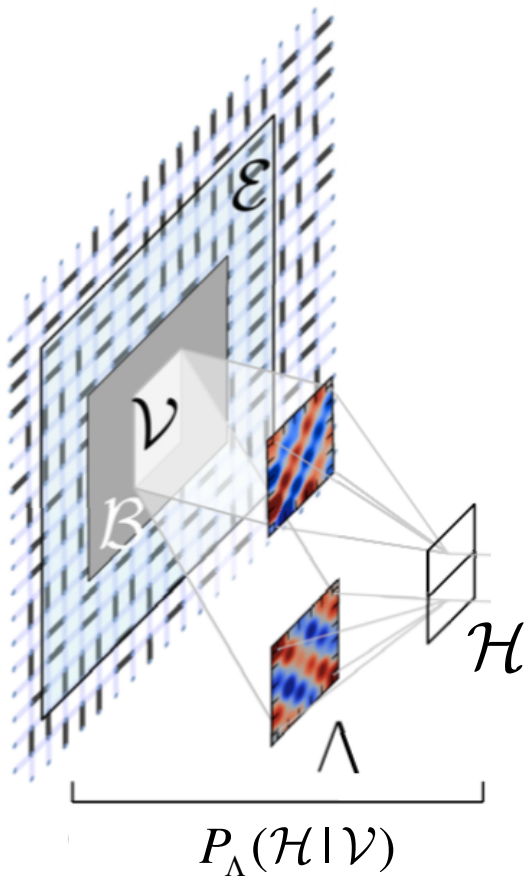
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Task: find $P_\Lambda(\mathcal{H}|\mathcal{V})$ such that \mathcal{H} tracks the most relevant degrees of freedom within region \mathcal{V}

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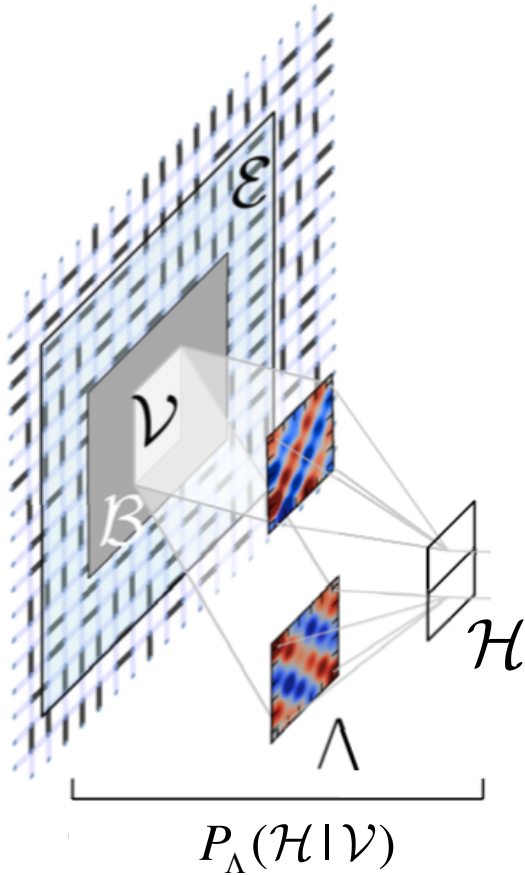


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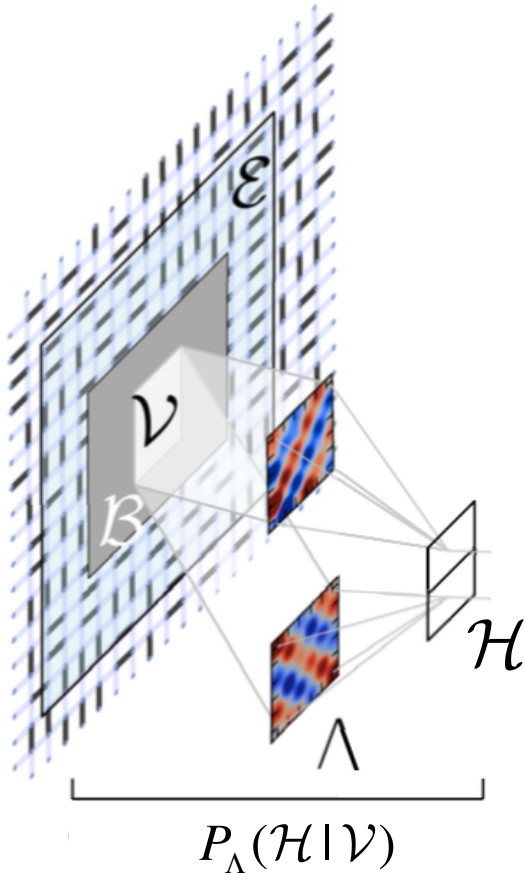


The Real-Space Mutual Information (RSMI)

Nature Physics **14**, 578-582 (2018)

Phys. Rev. X **10**, 011037 (2020)

Motivating example: real-space RG



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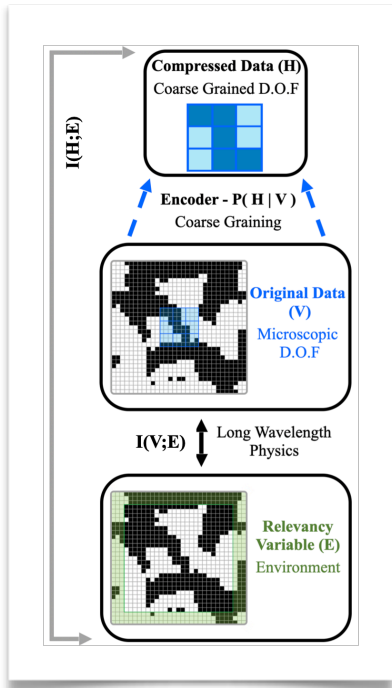
Insight: the optimal $P_\Lambda(\mathcal{H}|\mathcal{V})$ give access to the RG-relevant operators

*Phys.Rev.Lett.*126, 240601 (2021)

The three ingredients

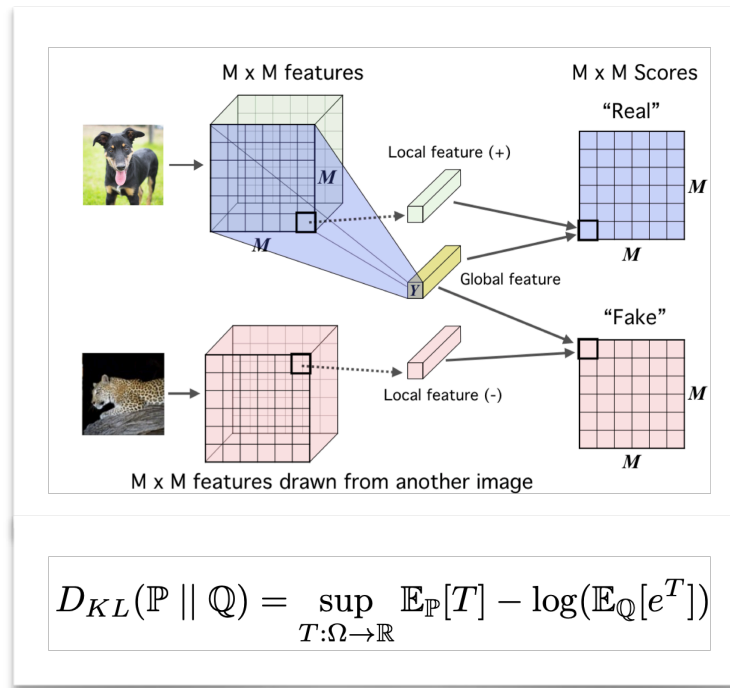
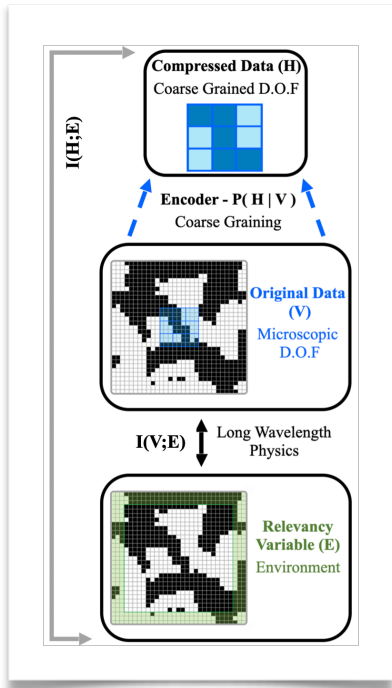
The three ingredients

- The physical principle:
lossy compression
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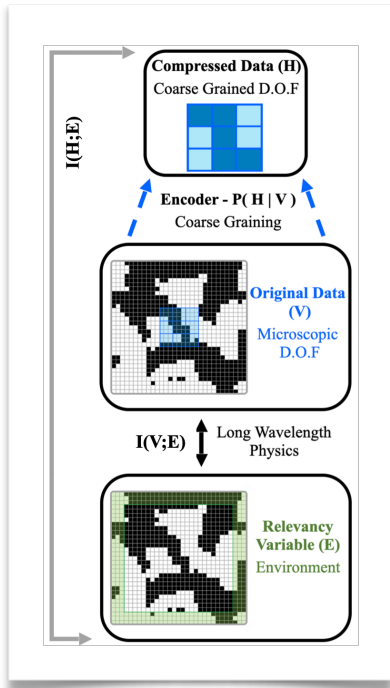
The three ingredients

- The physical principle: **lossy compression** maximising $I(\mathbf{H};\mathbf{E})$
- The estimator of mutual information

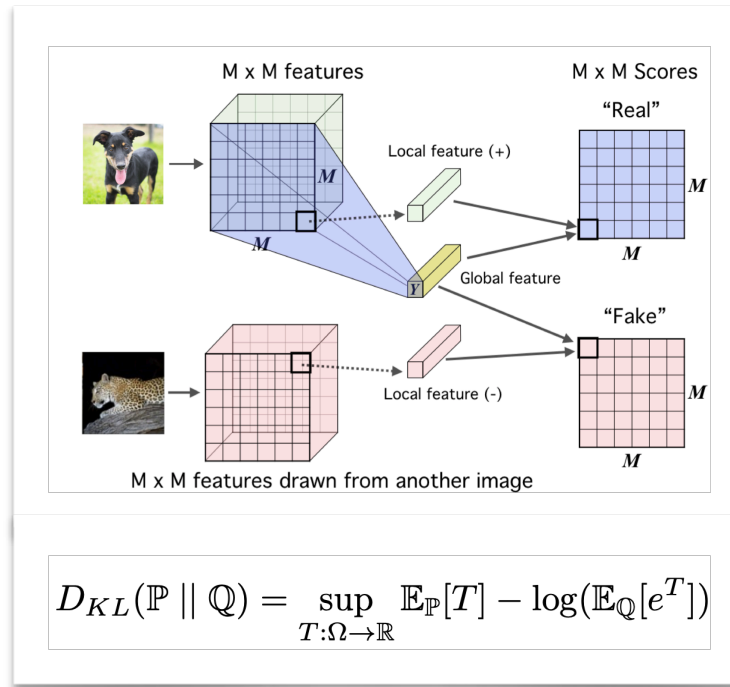


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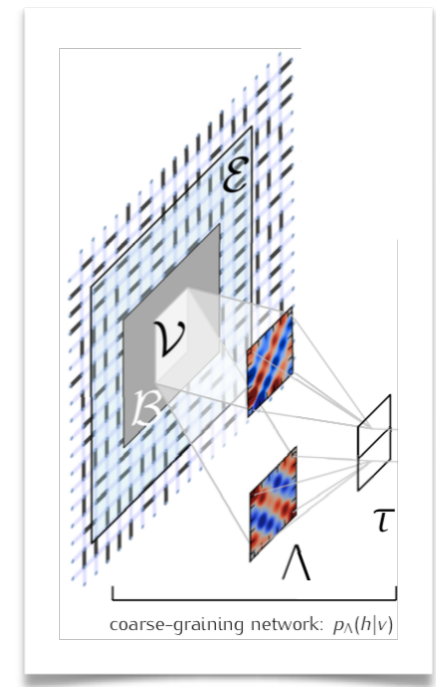
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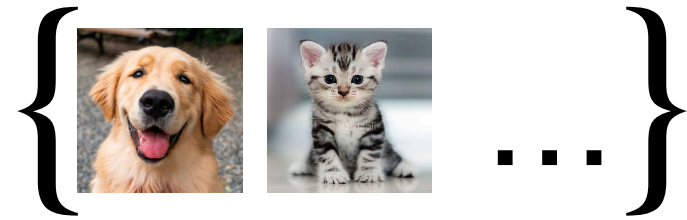


- The coarse-graining ansatz family $\mathbf{P}(\mathbf{H} | \mathbf{V})$



Lossy compression

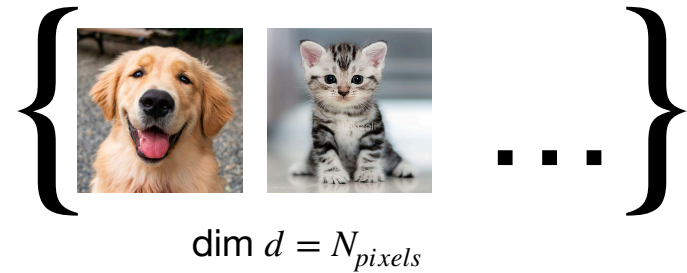
We have a complicated signal \mathbf{v}



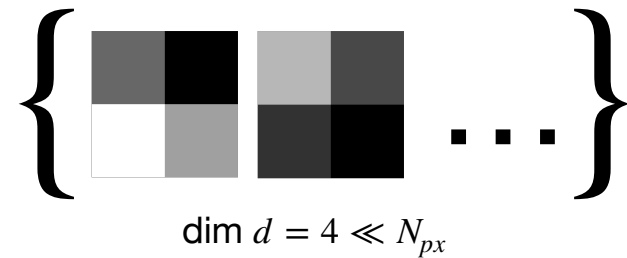
$\dim d = N_{pixels}$

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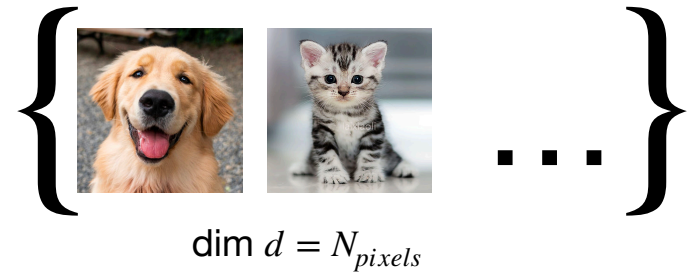


We'd like to **compress** it to a variable \mathbf{H} ,
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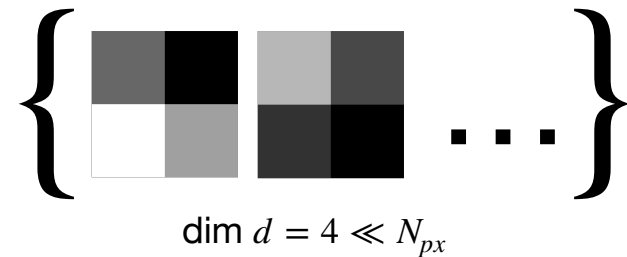


Lossy compression

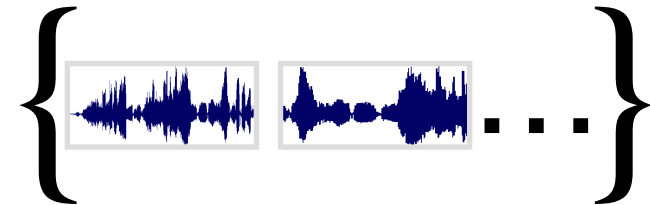
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So that \mathbf{H} retains **relevant** information
for the down-stream task, **implicitly**
defined by correlations with \mathbf{Y}



The information bottleneck (IB) compression

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- **Relevance** defined implicitly, by correlations with a signal variable

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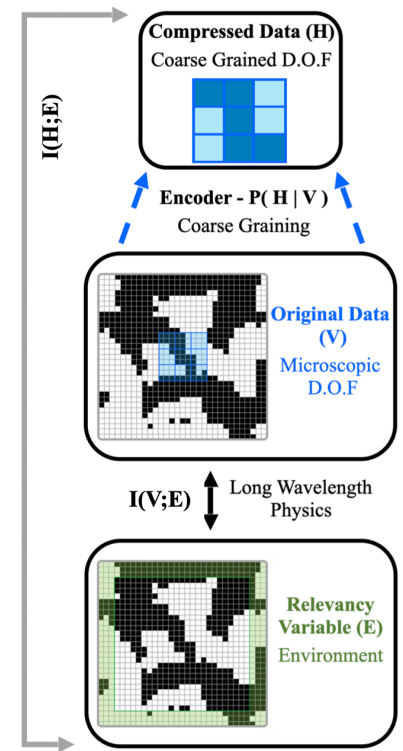
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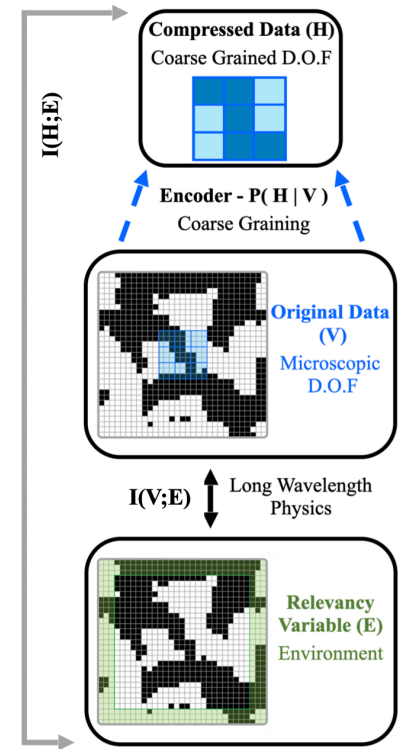


The information bottleneck (IB) compression

- **Relevance** defined implicitly, by correlations with a signal variable
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$$\min_{P(H|V)} \mathcal{L}_{IB}[P(H|V)] \equiv \min_{P(H|V)} I(V; H) - \beta I(H; E)$$

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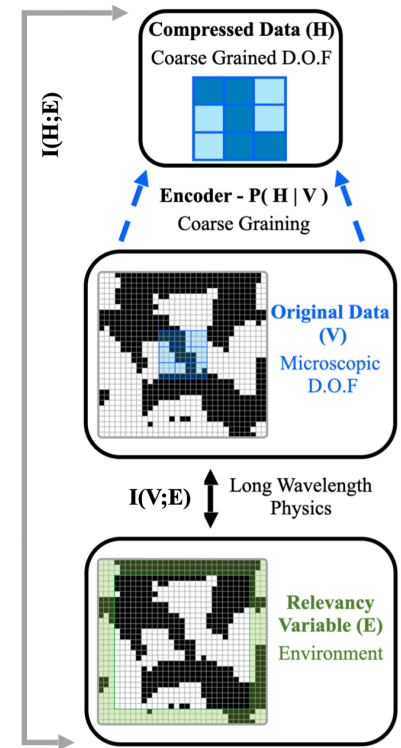
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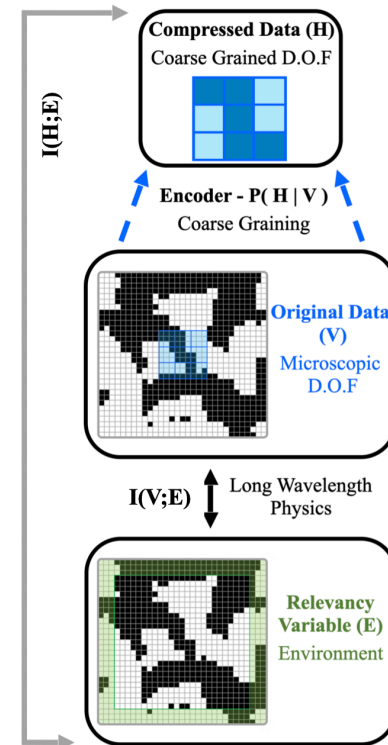
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Gedeon et al. *Entropy* (2012), 14(3) 456-479



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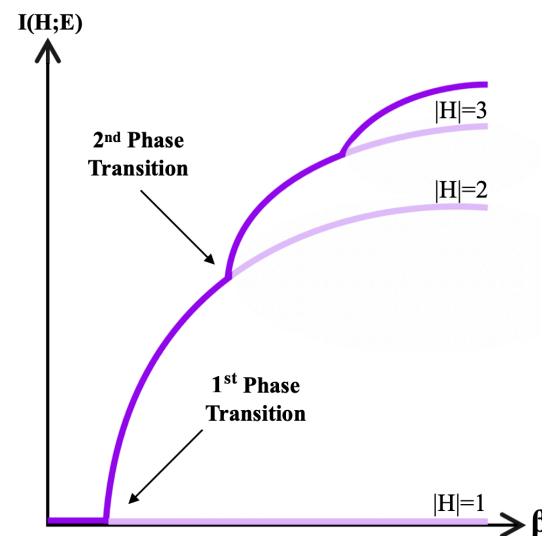
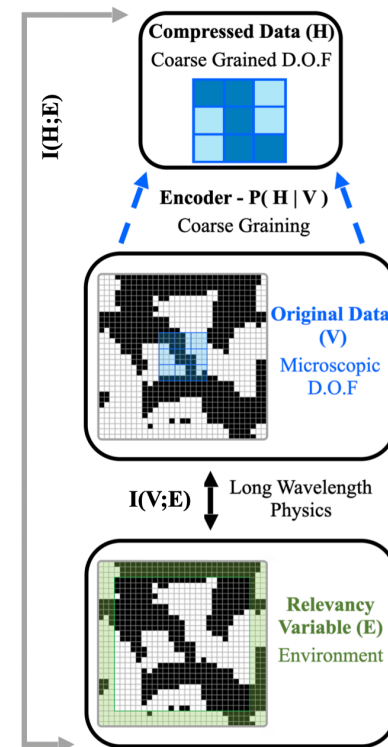
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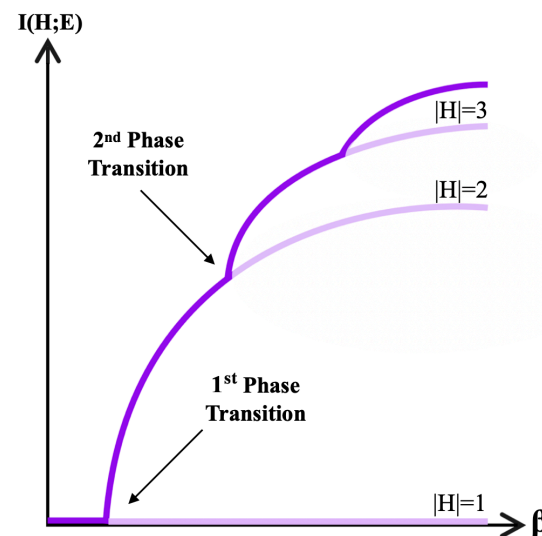
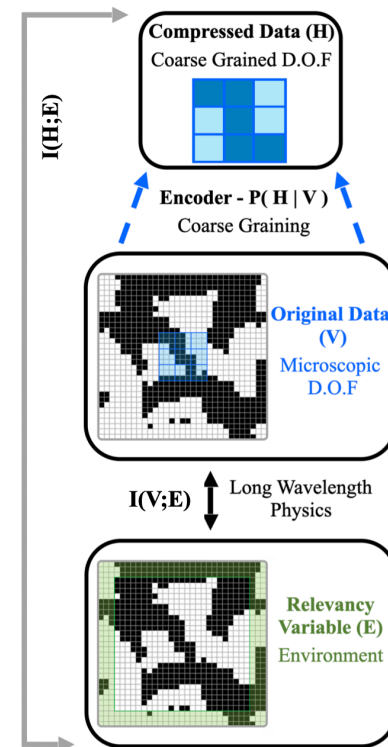
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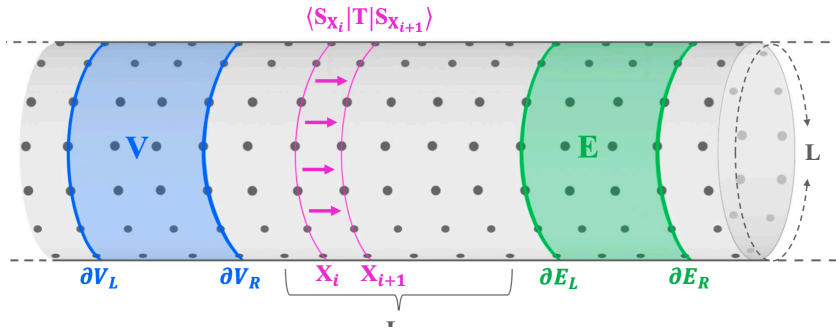
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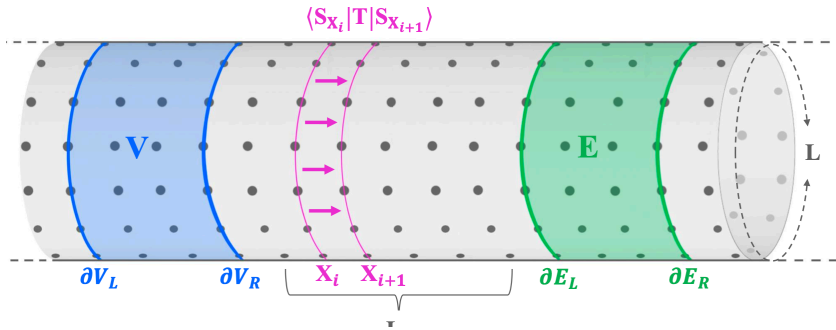
- RSMI arises in the infinite β limit, and finite alphabet



Equivalence of the IB and RG relevance

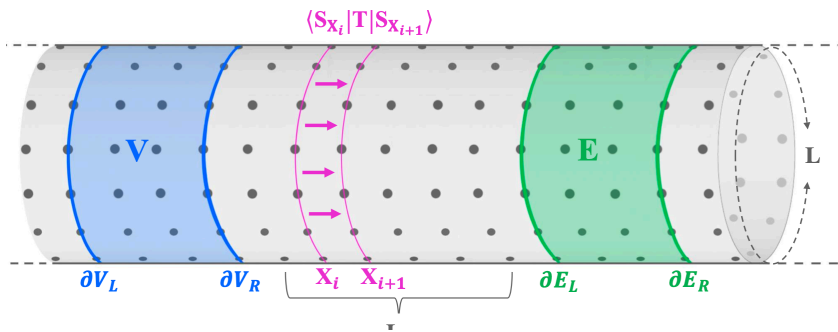


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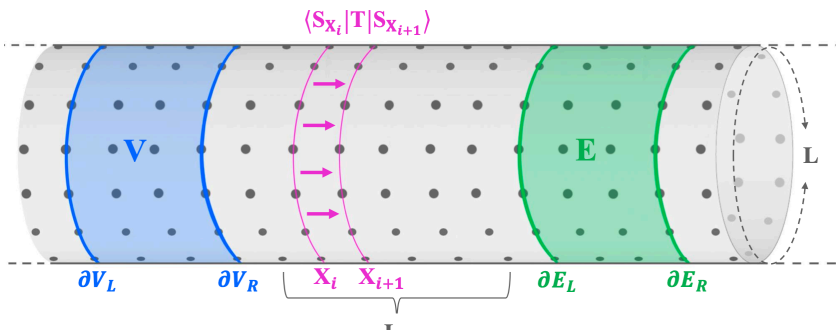


- Distributions in IB equations can be written using **transfer matrices** $Z = \text{Tr} [\mathbb{T}^{L\infty}]$
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Cardy J. Phys. A: Math. Gen. 17, L385 (1984)
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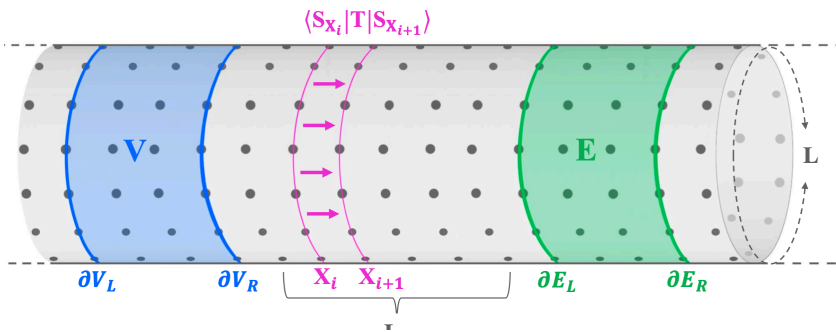
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$$\begin{aligned}
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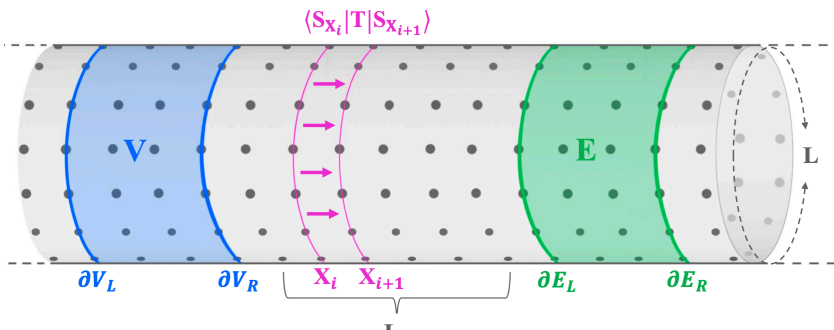
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$$r_v = \frac{\langle 1 | \partial V_R \rangle}{\langle 0 | \partial V_R \rangle} = \frac{\langle 0 | \phi_{\Delta_1} | \partial V_R \rangle}{\langle 0 | \partial V_R \rangle}$$

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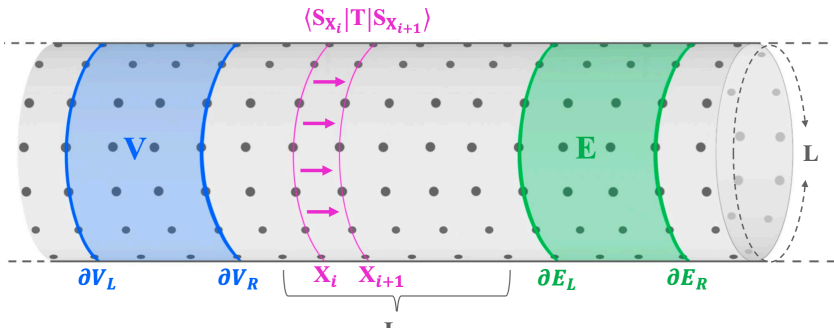
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- The **IB-optimal** encoder only depends on **RG-relevant** data:

$$P(h|v) = P(h|r_v) \propto P(h) e^{\beta \epsilon^2 r_v \langle r_v \rangle_h}$$

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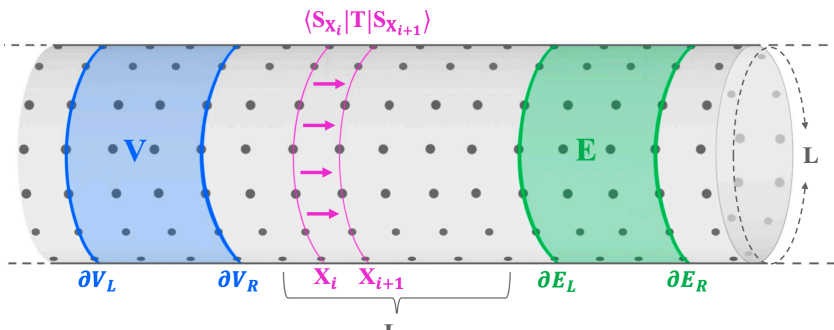
$$r_v = \frac{\langle 1 | \partial V_R \rangle}{\langle 0 | \partial V_R \rangle} = \frac{\langle 0 | \phi_{\Delta_1} | \partial V_R \rangle}{\langle 0 | \partial V_R \rangle}$$

$$r_e = \frac{\langle \partial E_L | 1 \rangle}{\langle \partial E_L | 0 \rangle} = \frac{\langle \partial E_L | \phi_{\Delta_1} | 0 \rangle}{\langle \partial E_L | 0 \rangle}$$

- The **IB-optimal** encoder only depends on **RG-relevant** data:

$$P(h|v) = P(h|r_v) \propto P(h) e^{\beta \epsilon^2 r_v \langle r_v \rangle_h}$$

Equivalence of the IB and RG relevance



- Distributions in IB equations can be written using **transfer matrices** $Z = \text{Tr} [\mathbb{T}^{L_\infty}]$
- Eigenvectors/eigenvalues of transfer matrices have direct relation to CFT operator content

Cardy J. Phys. A: Math. Gen. 17, L385 (1984)
 Bloete et al. Phys. Rev.Lett. 56, 742 (1986)

- We want to solve the IB eqs. for the optimal encoder $P(h|v)$ at a fixed β
- All quantities of interest are functions of matrix elements of RG-relevant operators:

$$P(v|e) = N^{-1}P(v) \left[1 + \frac{\langle \partial V_R | 1 \rangle \langle 1 | \partial E_L \rangle}{\langle \partial V_R | 0 \rangle \langle 0 | \partial E_L \rangle} \left(\frac{\lambda_1}{\lambda_0} \right)^{L_B} \right]$$

$$= N^{-1}P(v) [1 + \epsilon r_e r_v]$$

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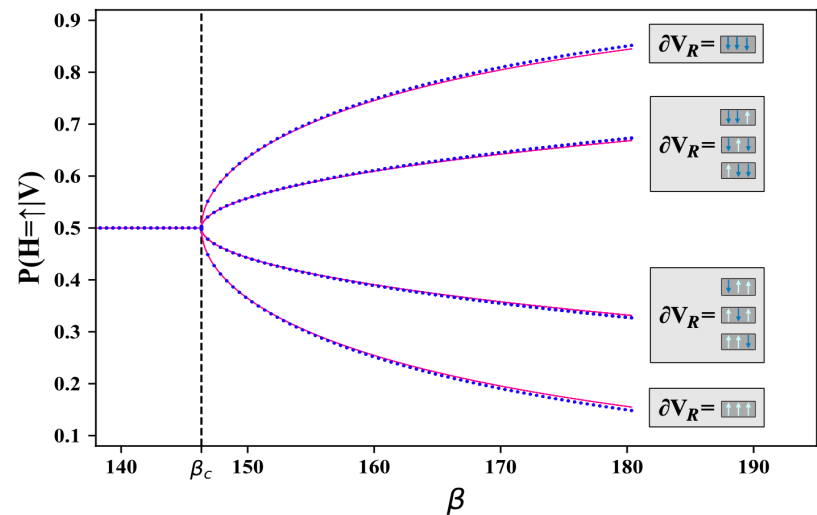
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$$I(X : Y) := H(X) - H(X|Y)$$

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Make T a neural network! [*MINE*, Belghazi et al. (2018)]

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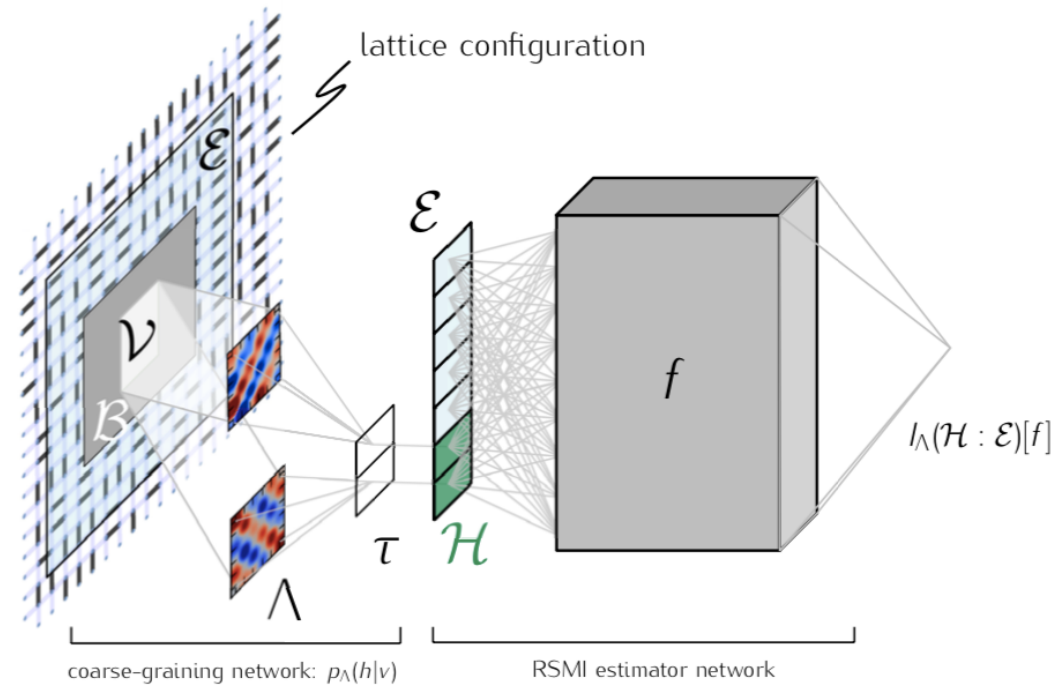
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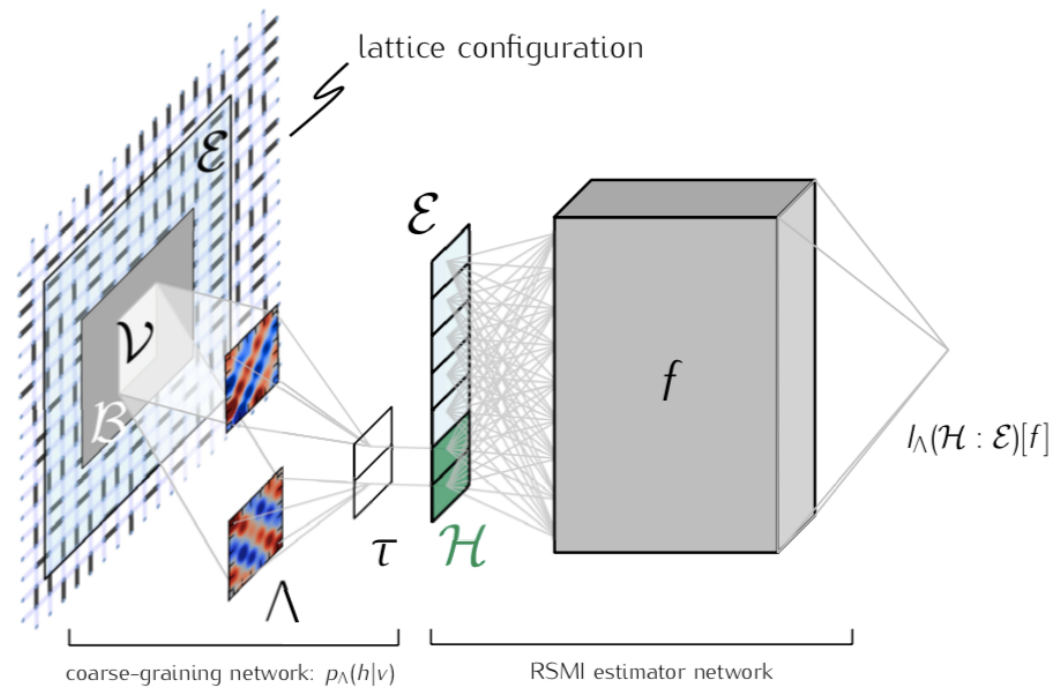
- We get a **parametric, differentiable**, and **tight** lower bound on MI

The RSMI-NE network



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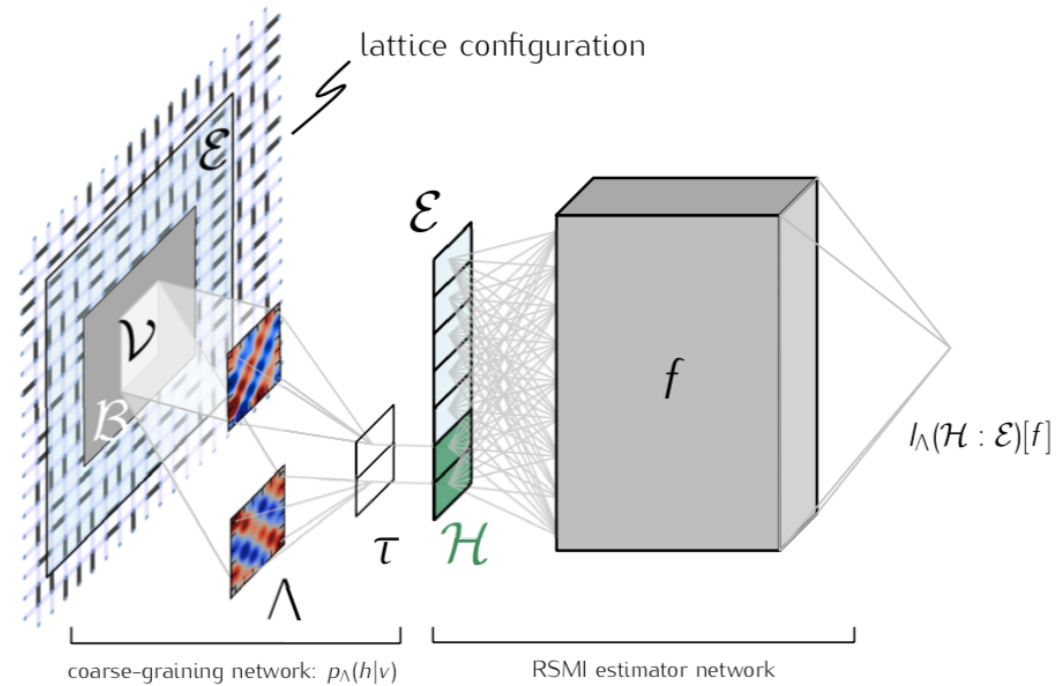
$$p_{\Lambda}(h|v) : v \mapsto h = \tau \circ (\Lambda \cdot v)$$



The RSMI-NE network

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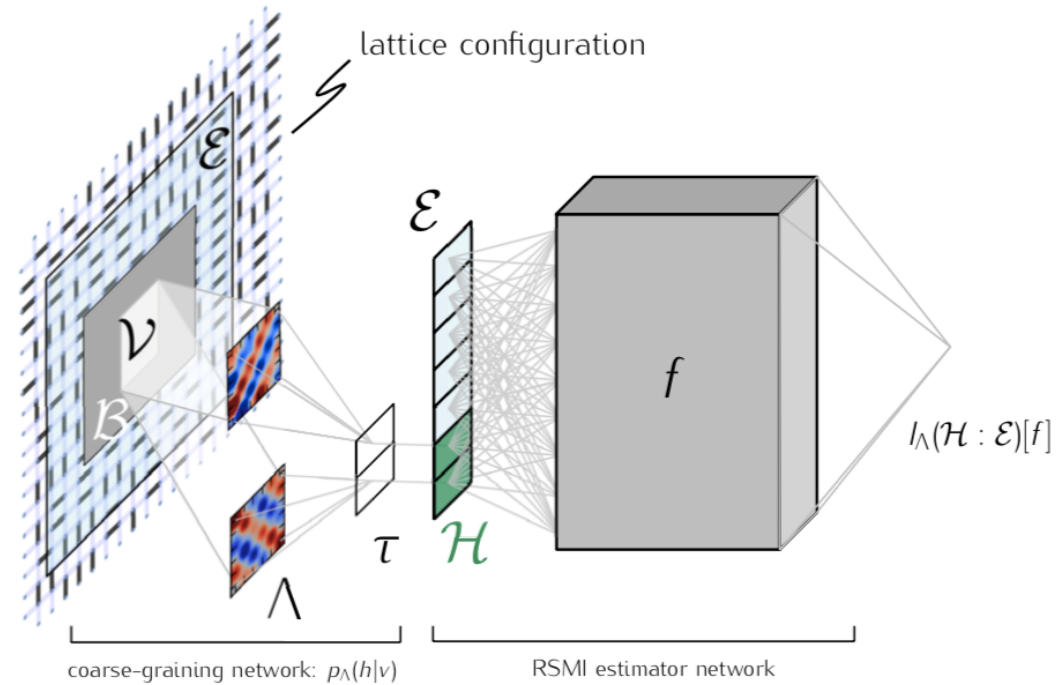


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Bengio, Leonard, Courville arXiv:1308:3432
Jang, Gu, Poole ICLR (2017)
Maddison, Mnih, Teh ICLR (2017)



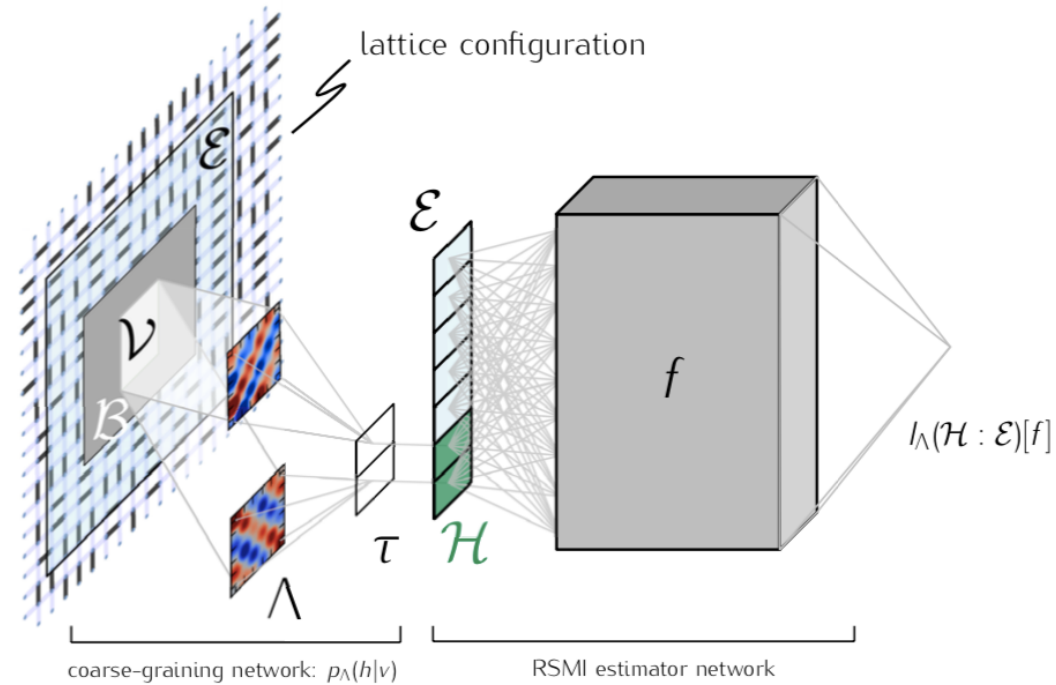
Phys.Rev. E, 104, 064106 (2021)
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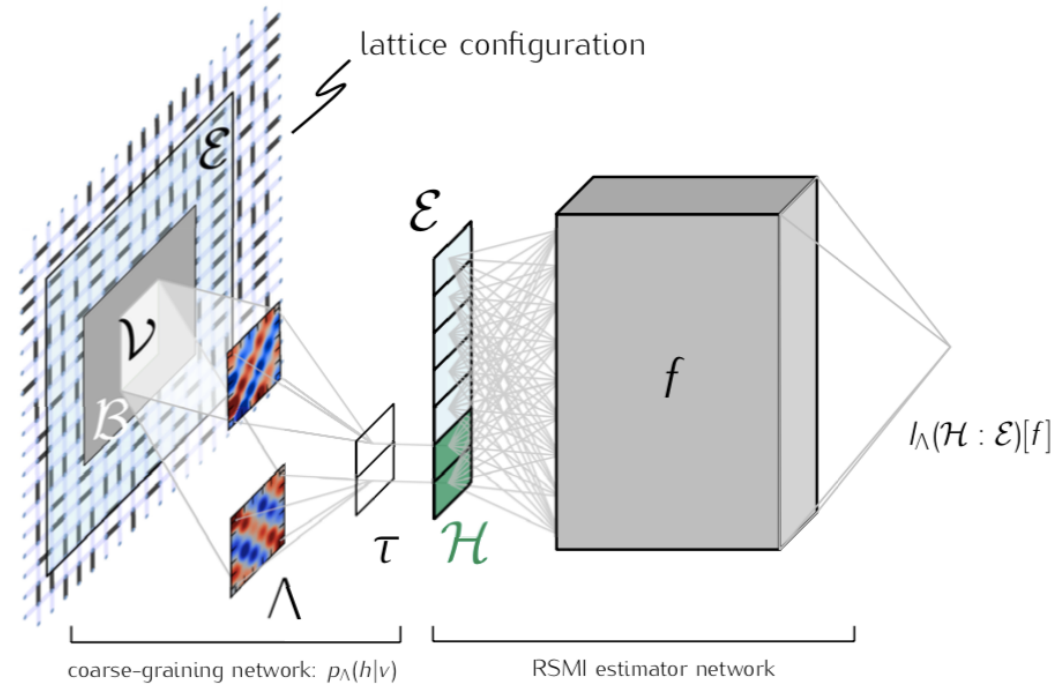
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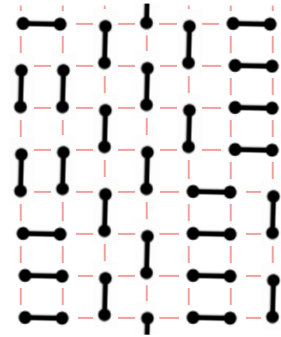


- The RSMI estimator and the coarse-grainer are stacked
- Co-trained with SGD as a single network (**differentiable, upper bounded!**)

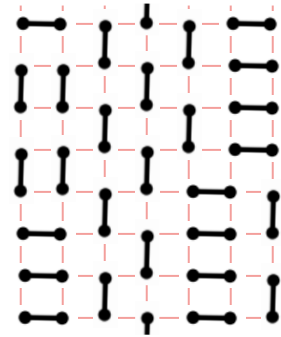
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Example: the interacting dimer model

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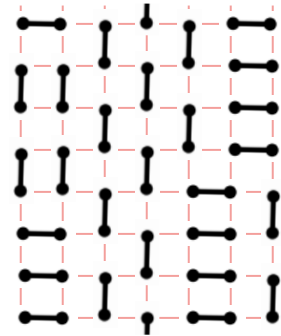
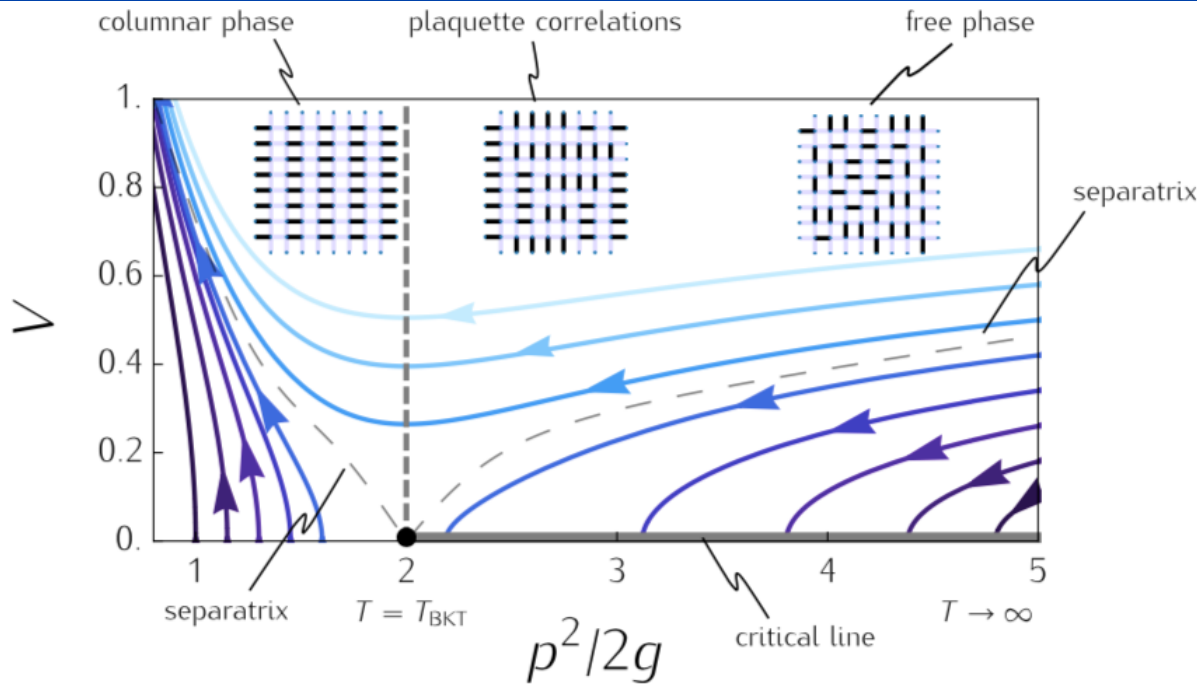
Example: the interacting dimer model



$$Z = \sum_c \exp(-E_c/T),$$

$$E_c = v[(N^c(\equiv) + N^c(\parallel))].$$

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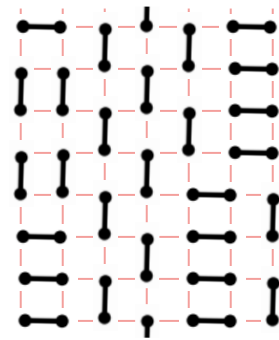
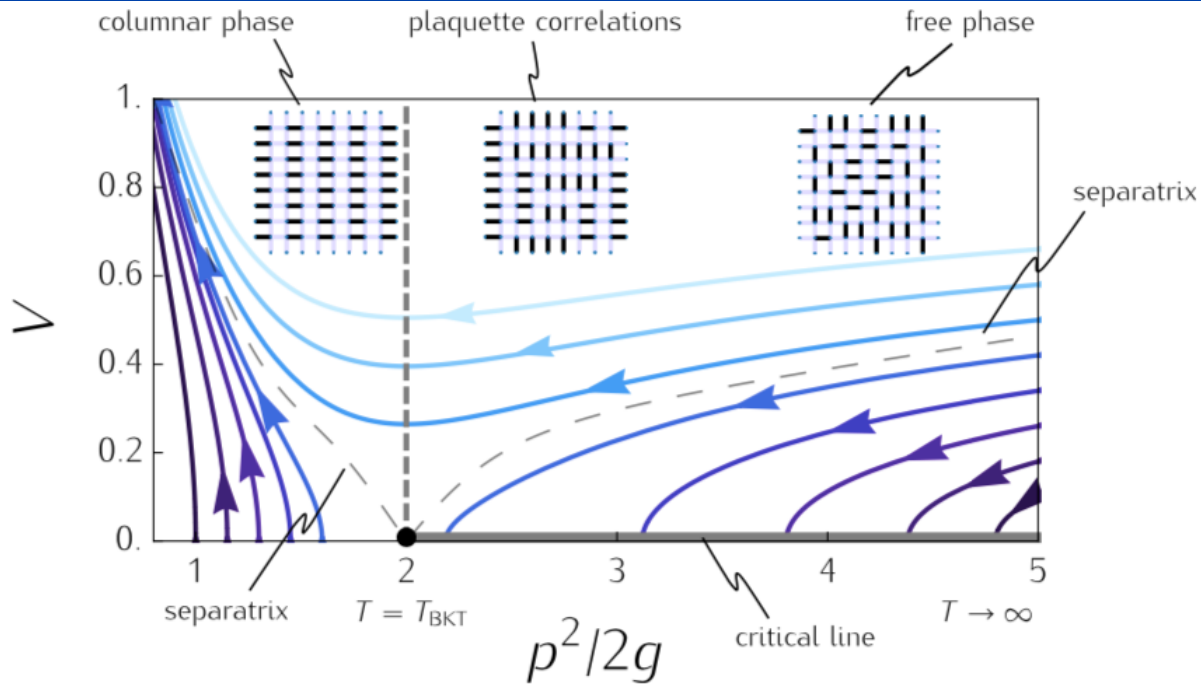
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$$S[\varphi(\mathbf{r})] = \int d^2\mathbf{r} \left[\frac{g(T)}{2} |\nabla\varphi(\mathbf{r})|^2 + V \cos(4\varphi(\mathbf{r})) \right]$$

Alet et al. PRE 74, 041124 (2006)

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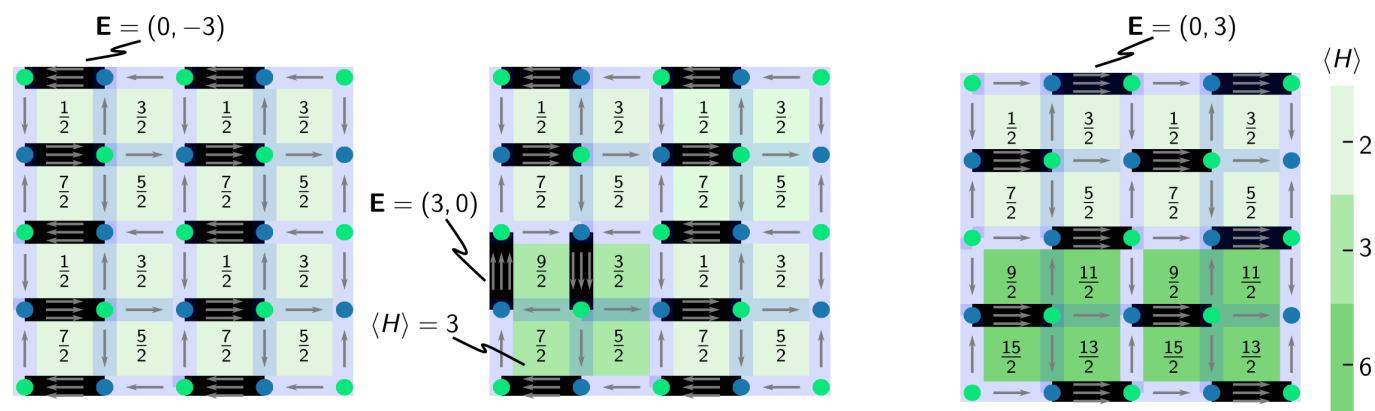
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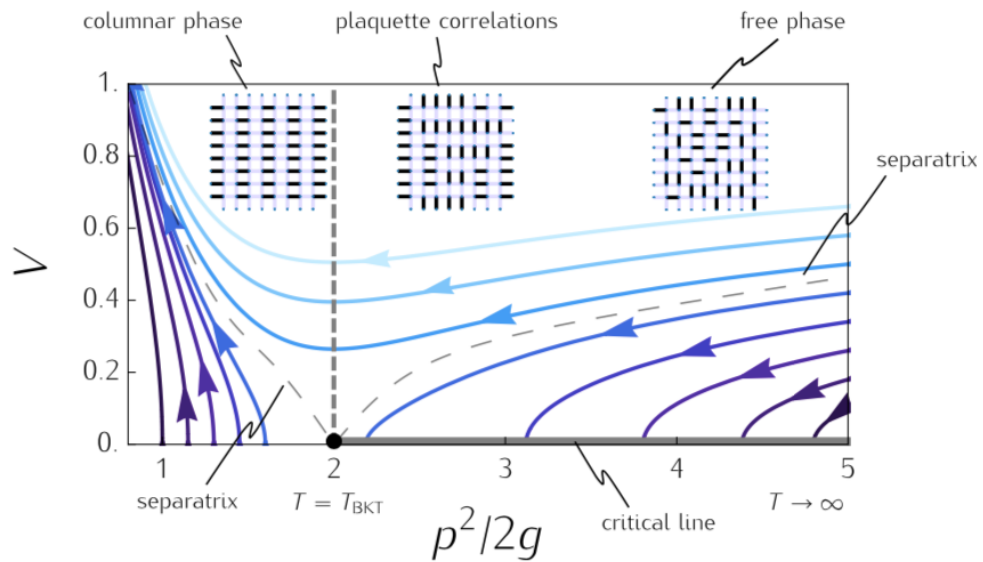
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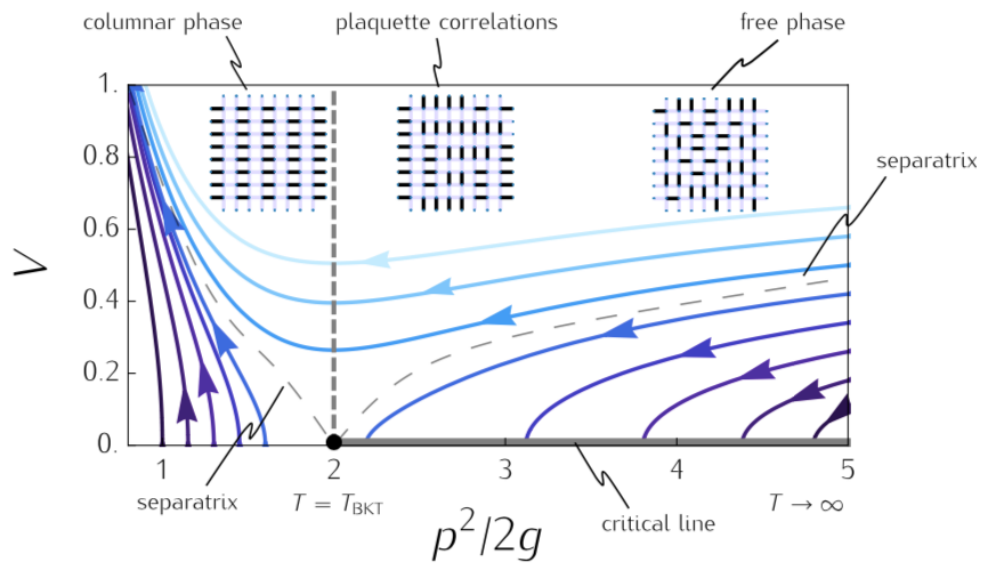
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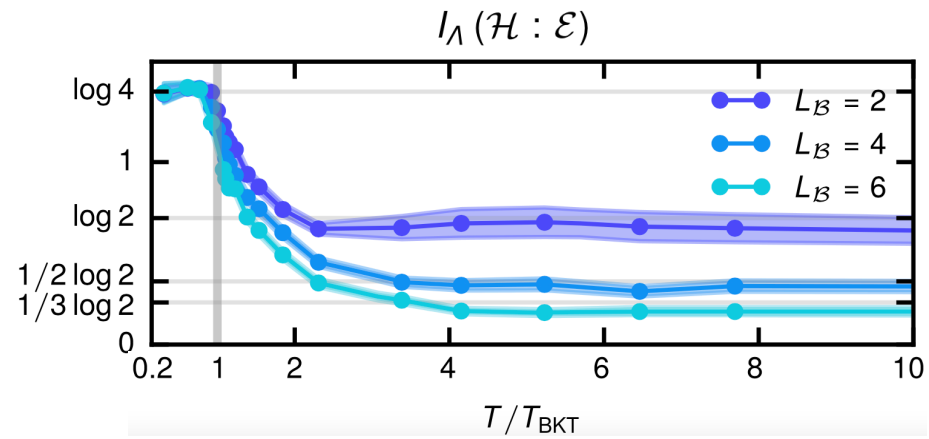
RG of dimer model:
mapping to height field

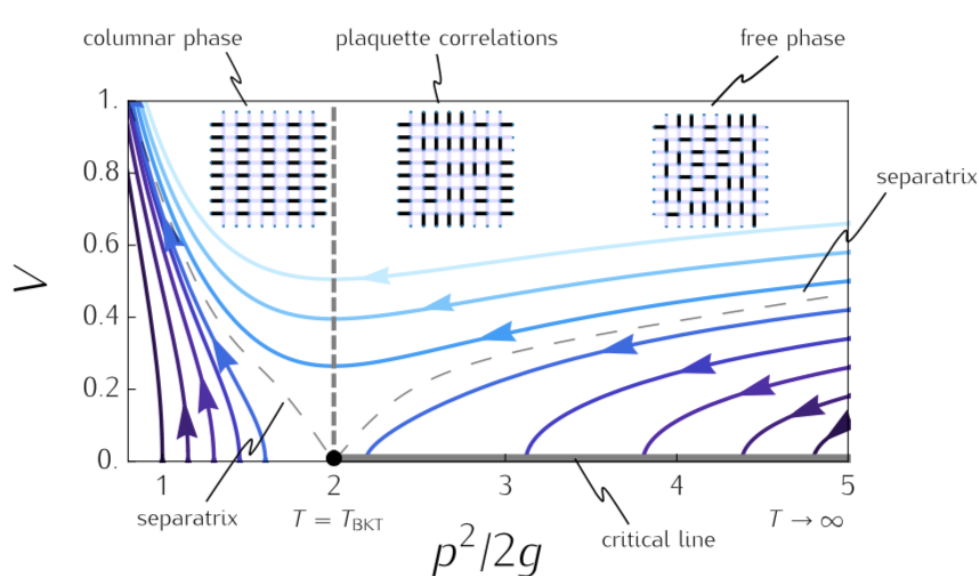




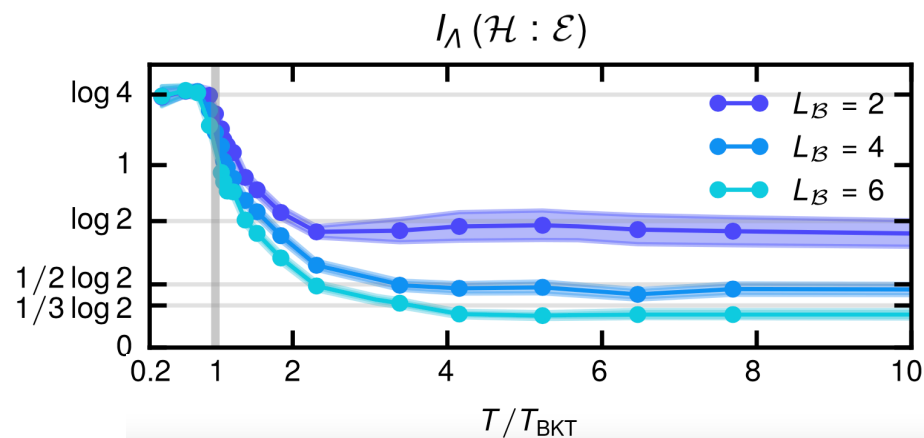


■ Total RSMI with the *optimal* filter

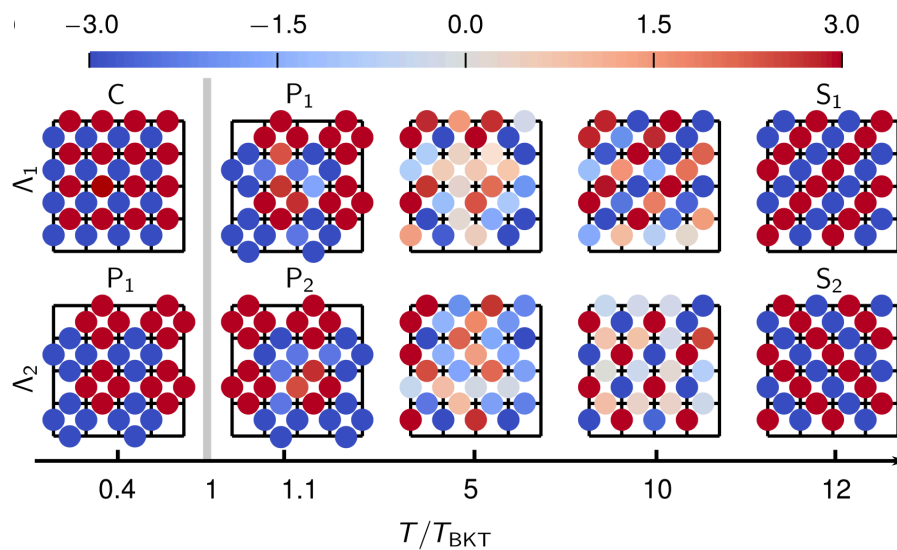




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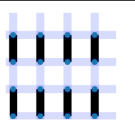
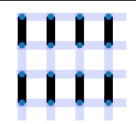
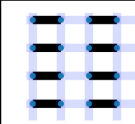
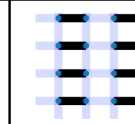
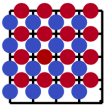
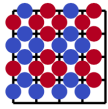
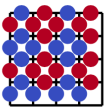
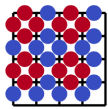
■ The optimal filters *depend* on T



- Pairs of C/P filters **label broken symmetry** states

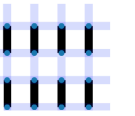
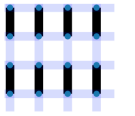
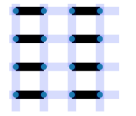
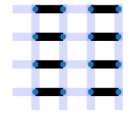
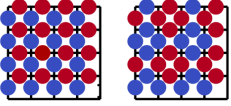
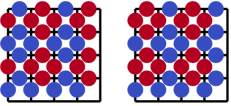
$C(r)$				
Λ_C Λ_{P1}	$(-1, -1)$	$(-1, +1)$	$(+1, -1)$	$(+1, +1)$
Λ_{P1} Λ_{P2}	$(-1, -1)$	$(+1, +1)$	$(-1, +1)$	$(+1, -1)$

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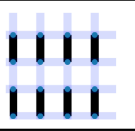
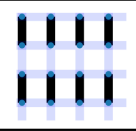
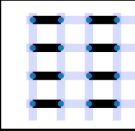
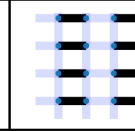
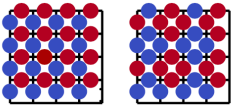
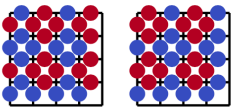
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Alet et al. PRE 74, 041124 (2006)

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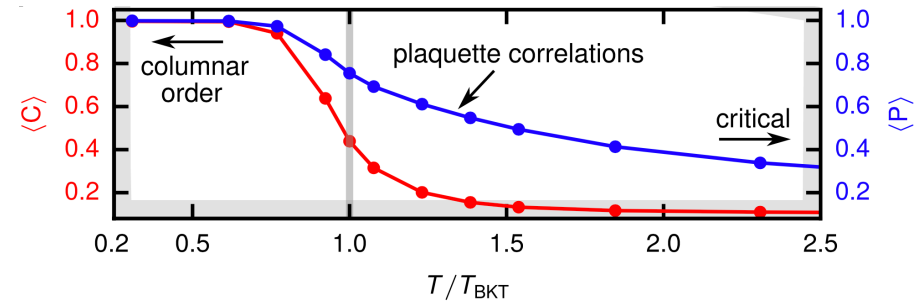
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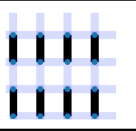
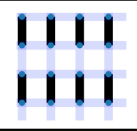
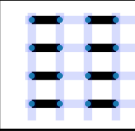
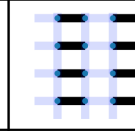
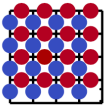
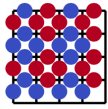
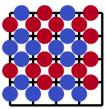
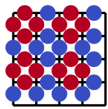
$$D_i := \mathbb{E} \left[\frac{1}{N_{\mathcal{V}}} \sum_k \tau \circ (\Lambda_i \cdot \mathcal{V}_k) \right]$$

$$\text{DSB} := \mathbb{E} \left[\sum_k \tau \circ (\Lambda_C \cdot \mathcal{V}_k) \right]$$

Alet et al. PRE 74, 041124 (2006)



- Pairs of C/P filters **label broken symmetry** states

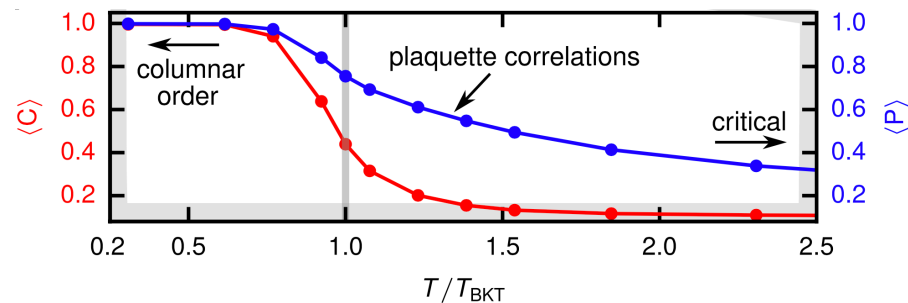
$C(r)$				
Λ_C Λ_{P1}				
	$(-1, -1)$	$(-1, +1)$	$(+1, -1)$	$(+1, +1)$
Λ_{P1} Λ_{P2}				
	$(-1, -1)$	$(+1, +1)$	$(-1, +1)$	$(+1, -1)$

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Alet et al. PRE 74, 041124 (2006)



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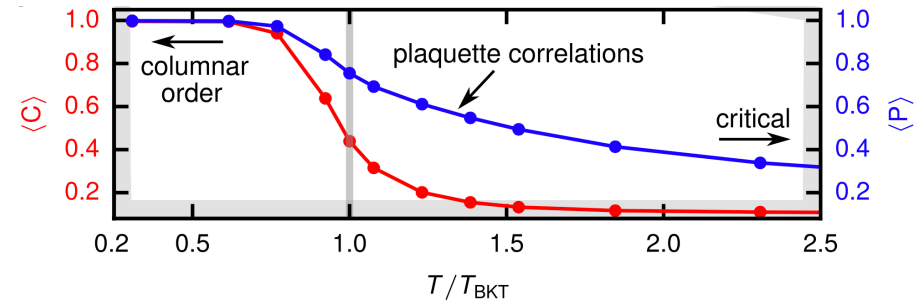
$C(r)$				
Λ_C Λ_{P1}				
	$(-1, -1)$	$(-1, +1)$	$(+1, -1)$	$(+1, +1)$
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Alet et al. PRE 74, 041124 (2006)

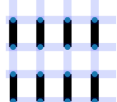
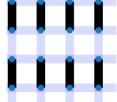
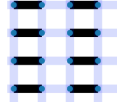

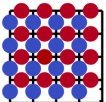
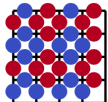
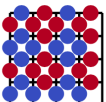
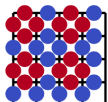


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Papanikolaou et al. PRB 76, 134514 (2007)

■ Pairs of C/P filters **label broken symmetry** states

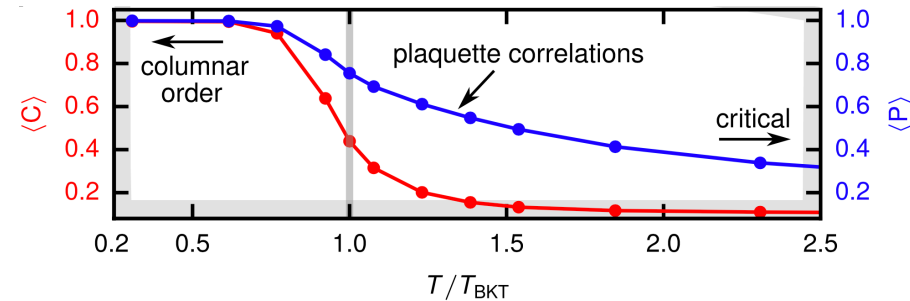
$C(\mathbf{r})$				
Λ_C  Λ_{P1} 	$(-1, -1)$	$(-1, +1)$	$(+1, -1)$	$(+1, +1)$
Λ_{P1}  Λ_{P2} 	$(-1, -1)$	$(+1, +1)$	$(-1, +1)$	$(+1, -1)$
$\varphi(\mathbf{r})$	$\frac{\pi}{2}$	$\frac{3\pi}{2}$	π	0
$\mathcal{O}_1(\varphi) = (\cos \varphi, \sin \varphi)$	$(0, 1)$	$(0, -1)$	$(-1, 0)$	$(+1, 0)$
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Alet et al. PRE 74, 041124 (2006)

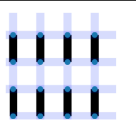
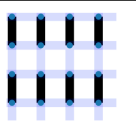
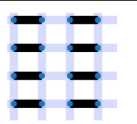
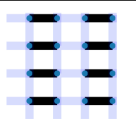
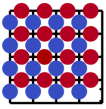
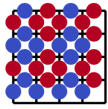
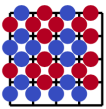
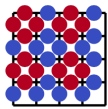


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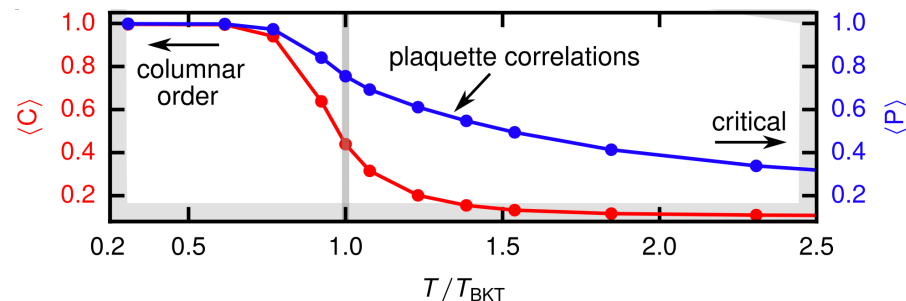
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Alet et al. PRE 74, 041124 (2006)



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Papanikolaou et al. PRB 76, 134514 (2007)

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$$\Lambda_C \circ \varphi = \cos(2\varphi)$$

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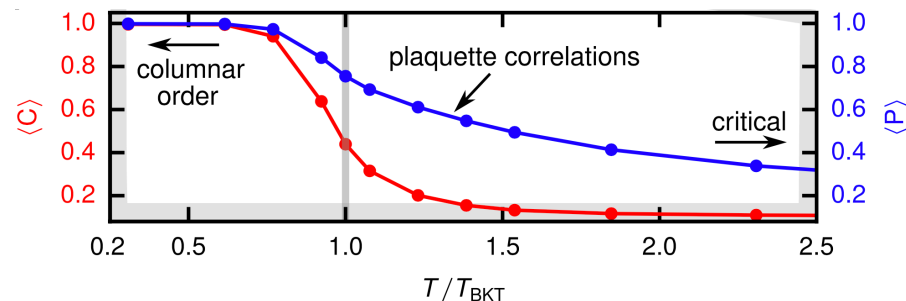
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Alet et al. PRE 74, 041124 (2006)



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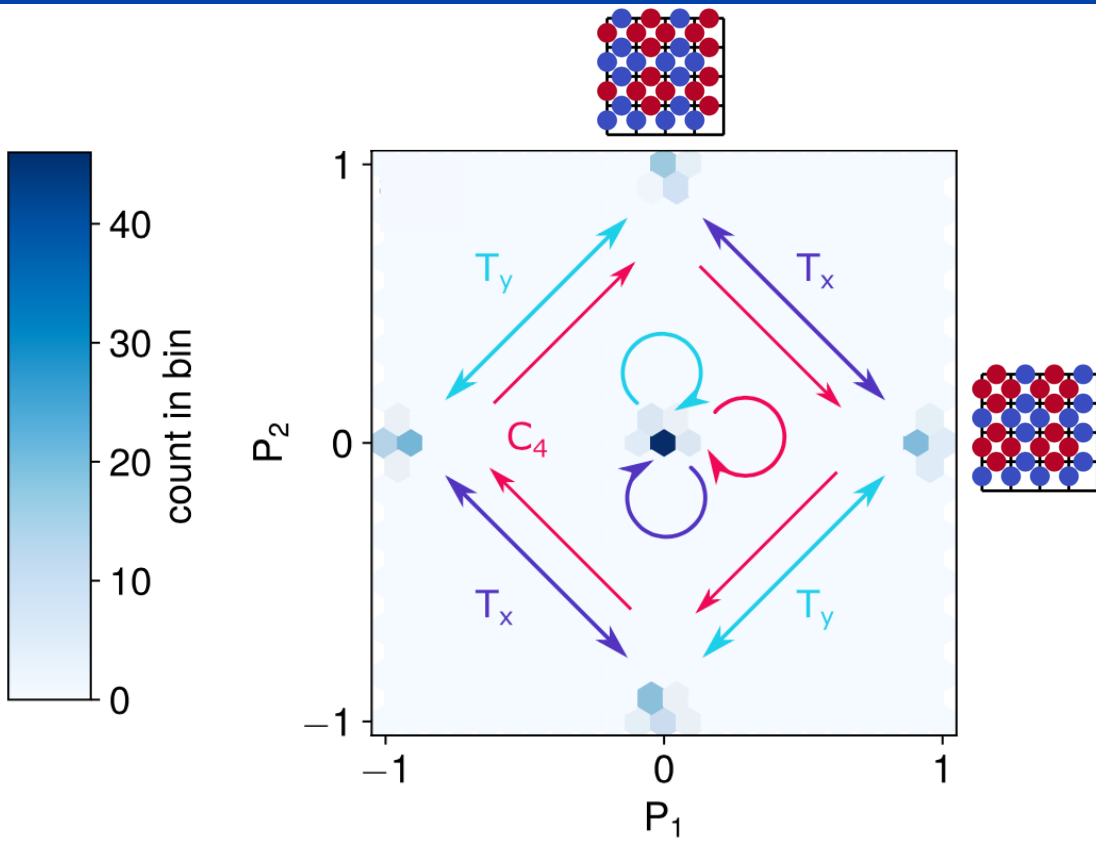
Papanikolaou et al. PRB 76, 134514 (2007)

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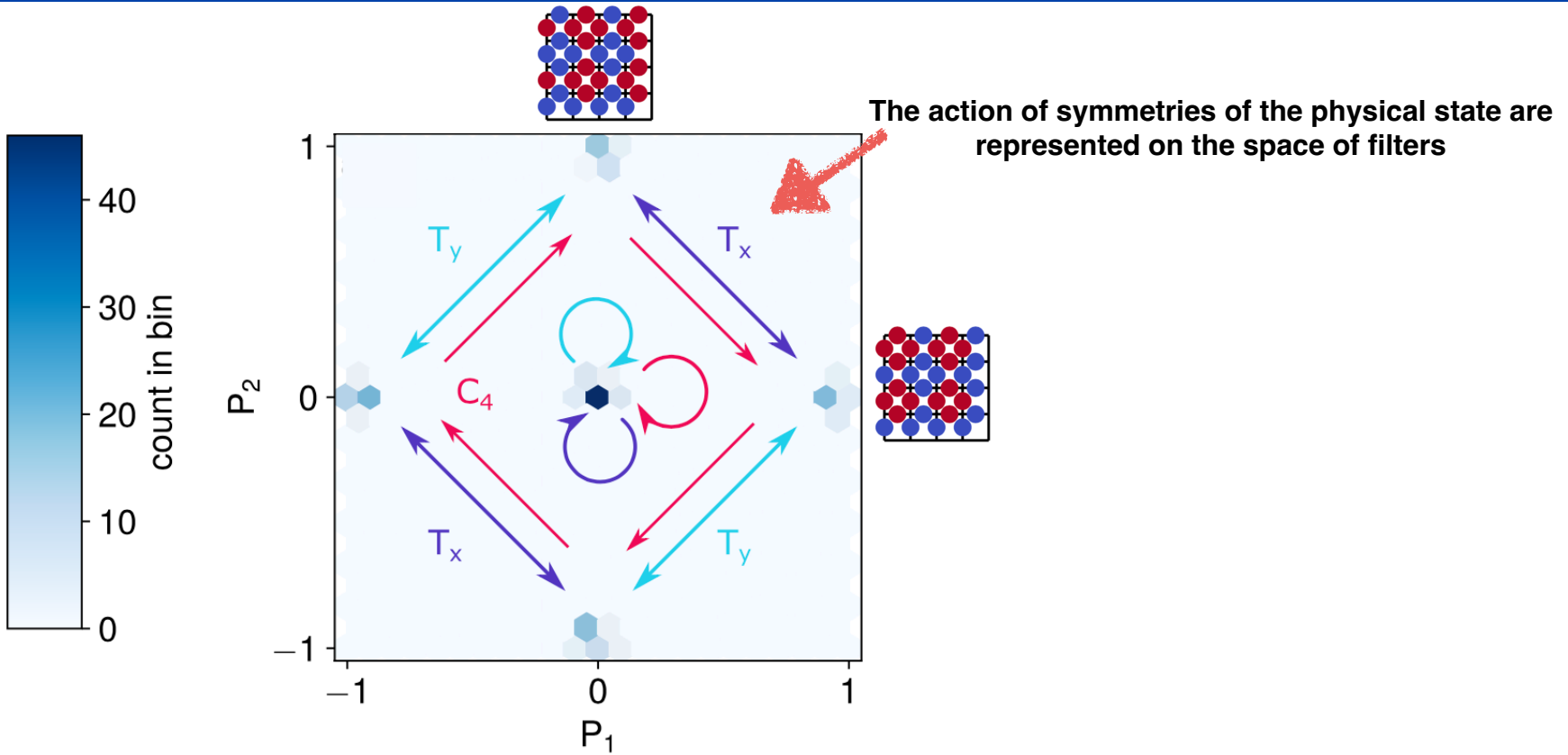
$$\Lambda_C \circ \varphi = \cos(2\varphi)$$

(Also: staggered filters are gradients of the height field)

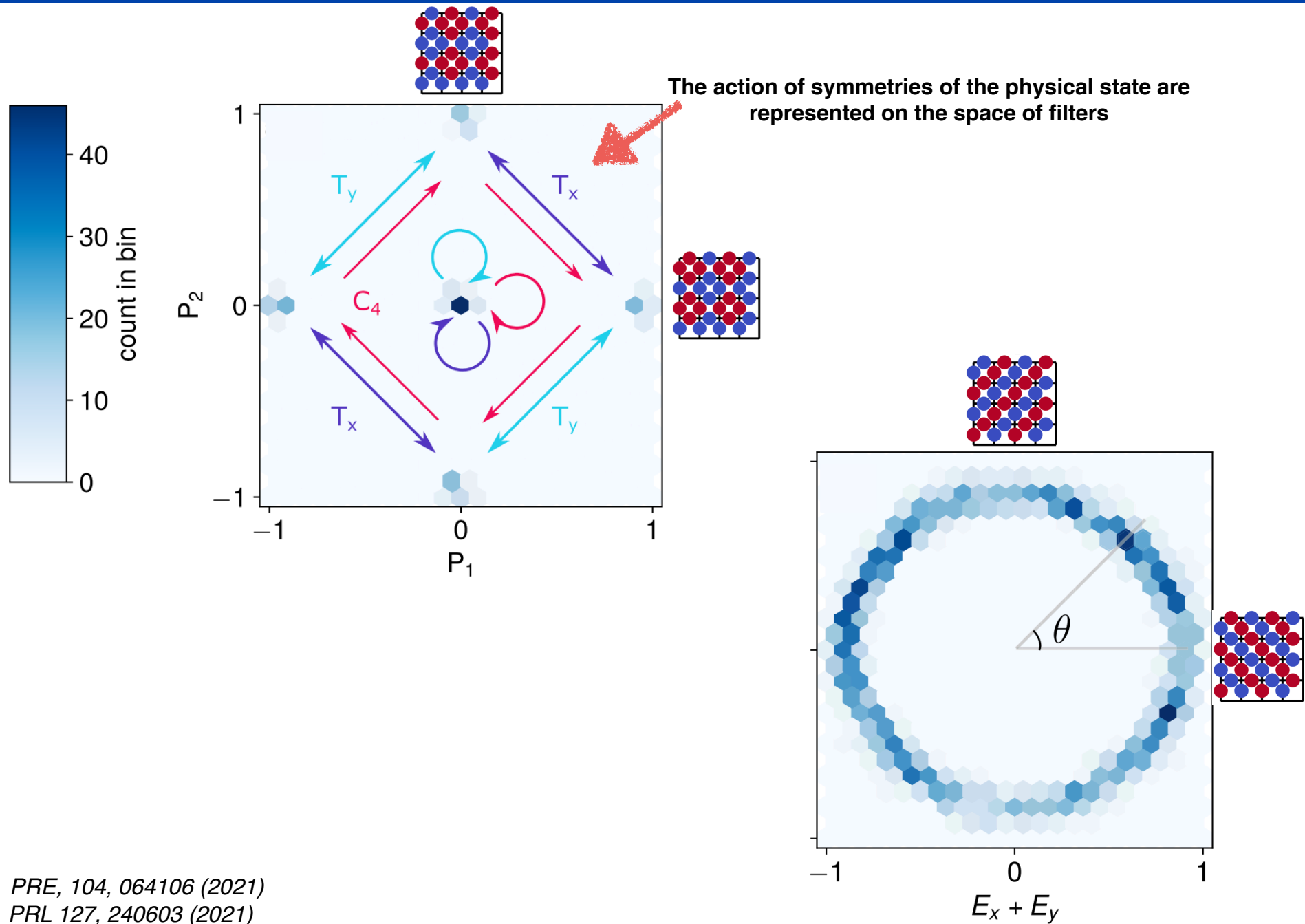
Symmetries in the RSMI ensemble



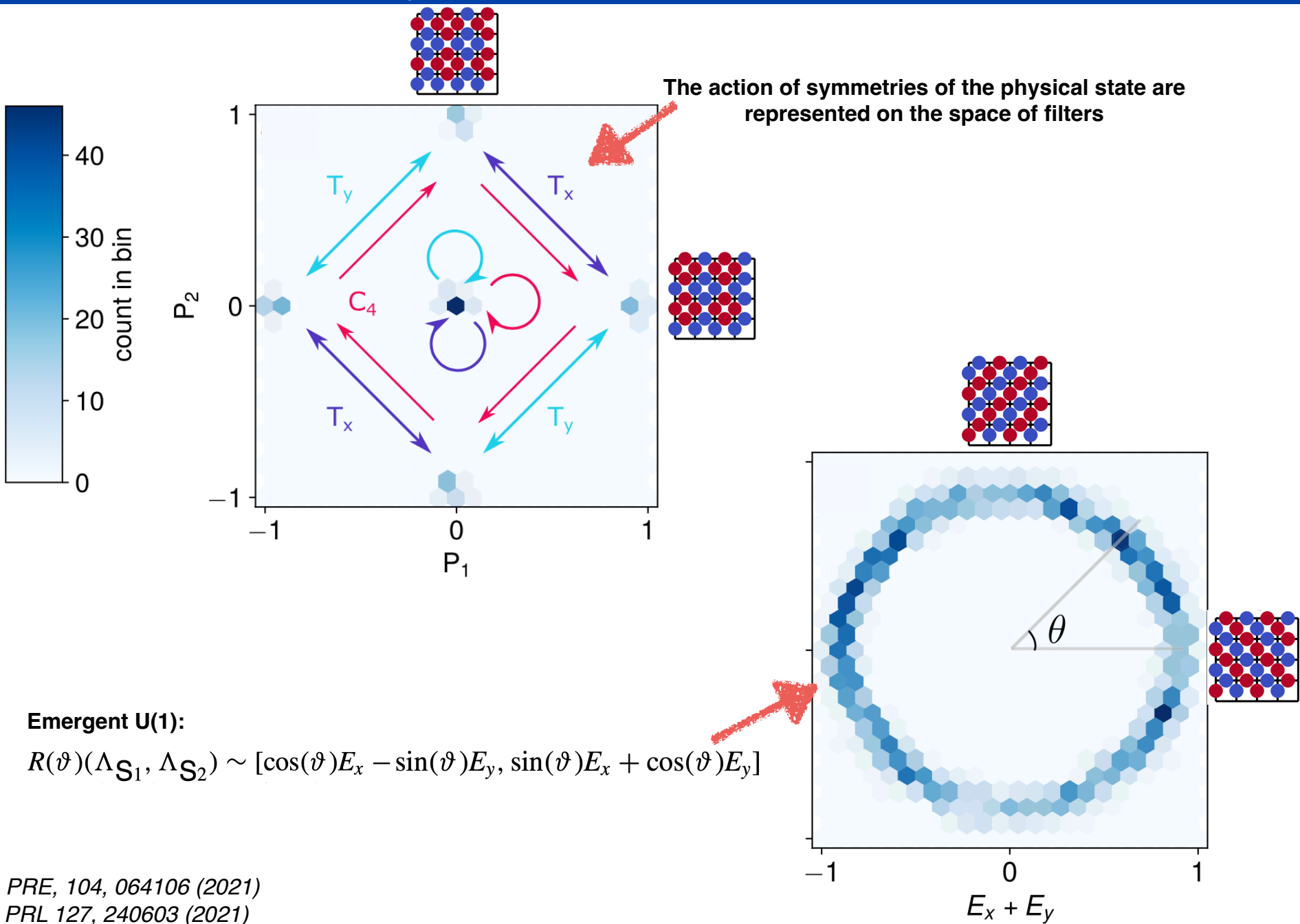
Symmetries in the RSMI ensemble



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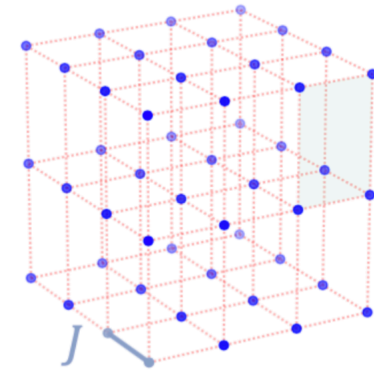
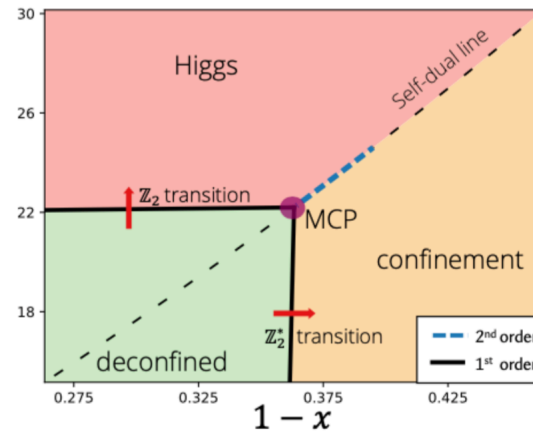
Symmetries in the RSMI ensemble



Lattice gauge theories

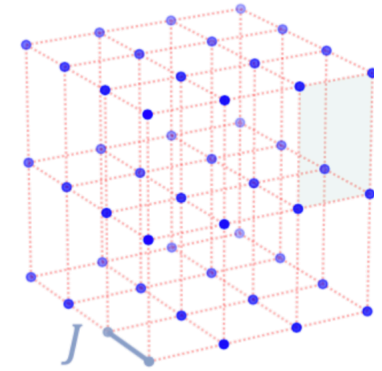
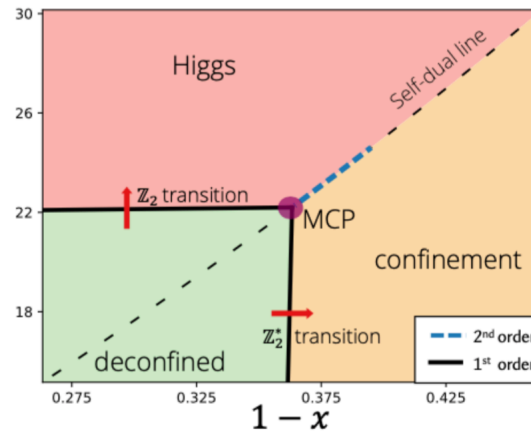
Lattice gauge theories

$$\mathcal{S}_{\text{SD-IHG}} = K \sum_{\square} \prod_{\langle i,j \rangle \in \square} \sigma_{ij} + J \sum_{\langle i,j \rangle} \tau_i \sigma_{ij} \tau_j$$



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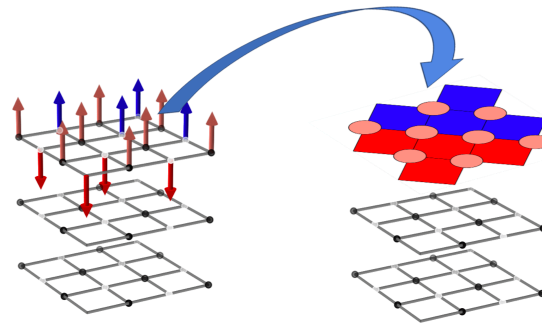
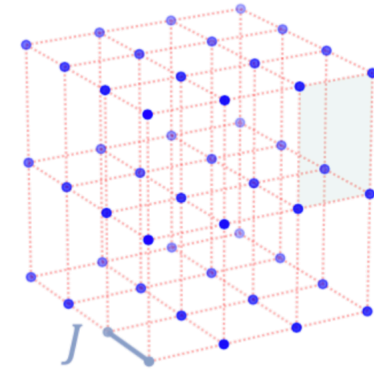
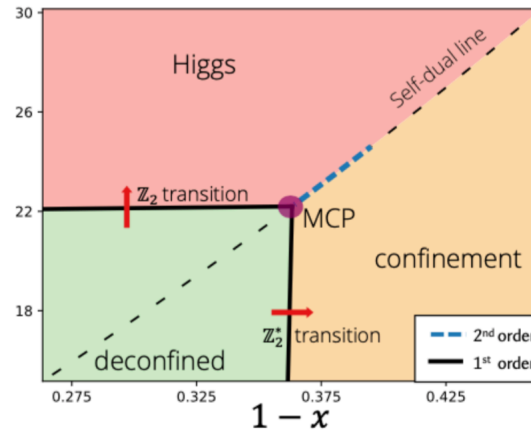


- We can identify subleading operators (or the absence of the expected ones)

AT-TFI				SD-IHG			
RSMI-NE Scaling Dimension {Expected ^[46] }	Analytic Operator {Deg.}	Neural Operator Projection		RSMI-NE Scaling Dimension {Expected ^[17] }	Analytic Operator {Deg.}	Neural Operator Projection	
		Maximum	Minimum			Maximum	Minimum
1.24(1) 1.22(1) {1.23629}	$\langle \sigma \rangle^2 - \langle \tau \rangle^2$ $\langle \sigma \rangle \langle \tau \rangle$ {2}			1.24(1) {1.222}	$\langle A \rangle$ {1}		
1.49(2) {1.51136}	$\langle \sigma \rangle^2 + \langle \tau \rangle^2$ {1}			1.54(2) {1.502}	$\langle S \rangle$ {1}		
2.02(3) {2.0}	$\langle \sigma \rangle \langle \partial \tau \rangle - \langle \tau \rangle \langle \partial \sigma \rangle$ {3}			2.20(6) {2.222}	$\langle \partial A \rangle$ {3}		

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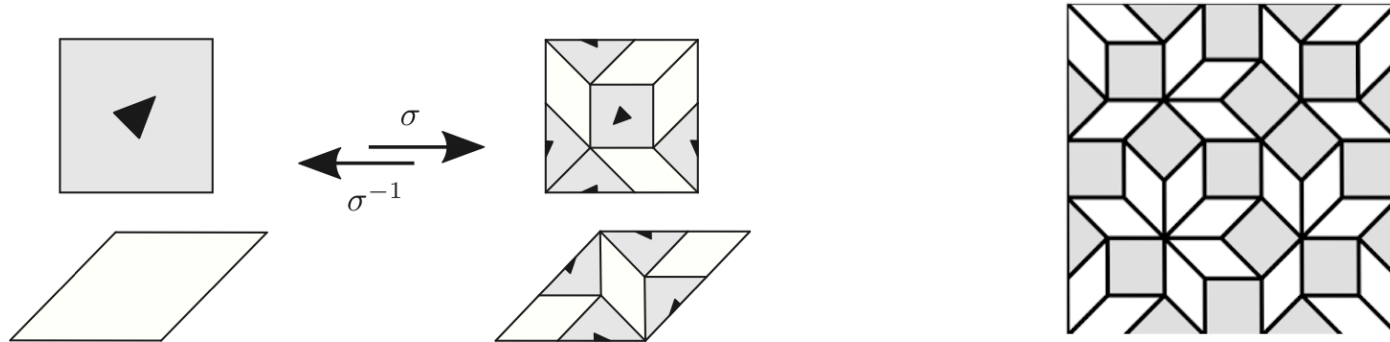


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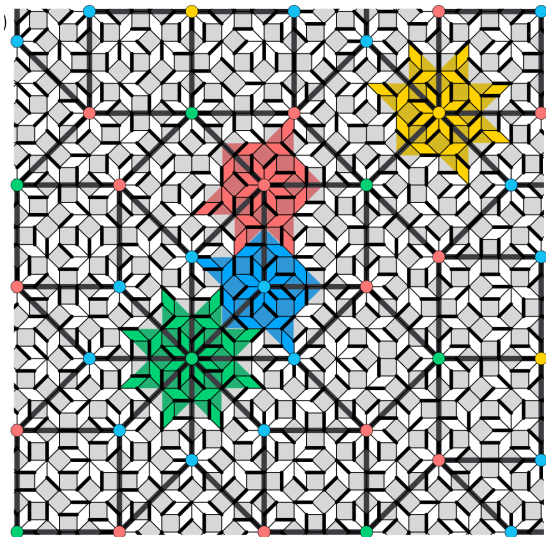
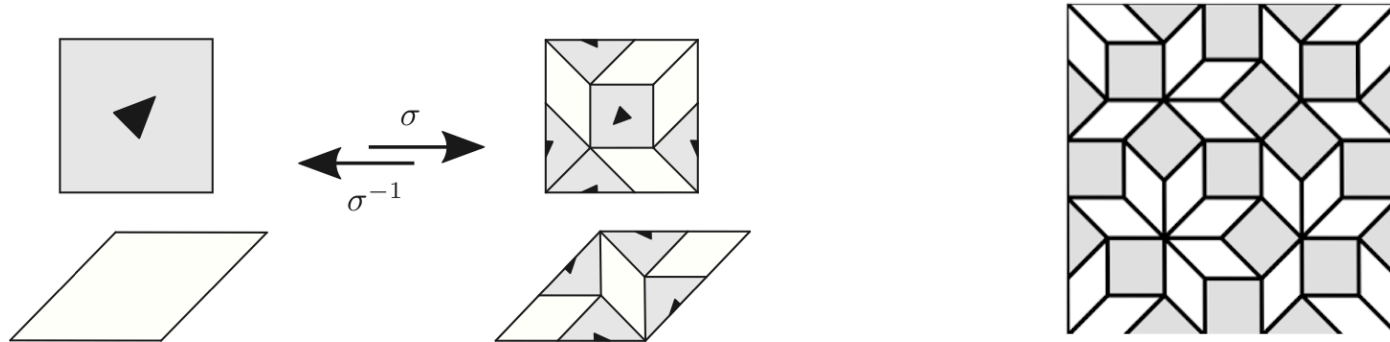
RG for quasi-periodic systems

- The quasiperiodic Amman Beenker (AB) tiling is generated hierarchically:



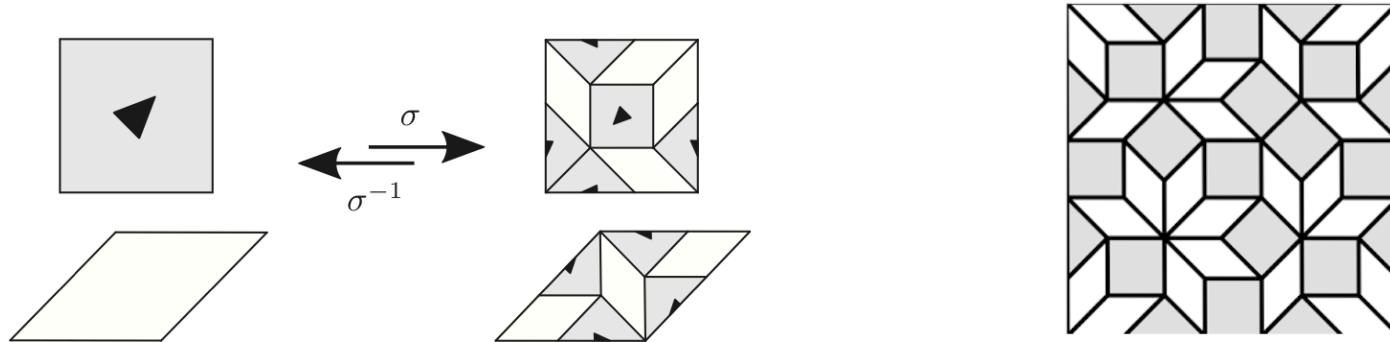
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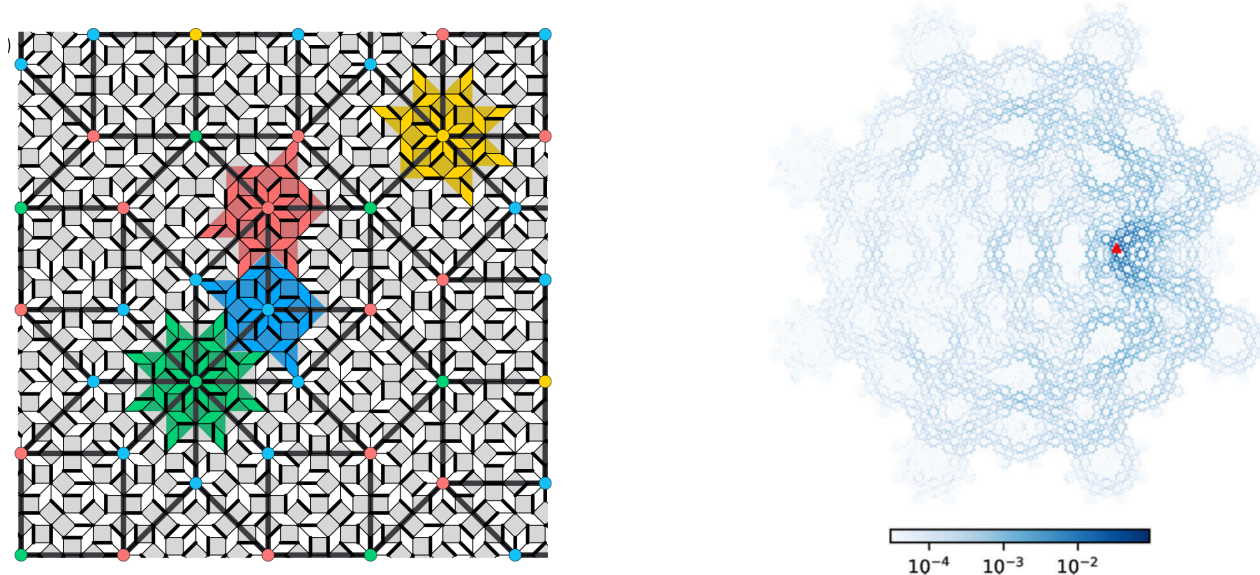


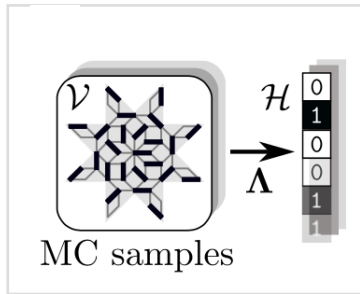
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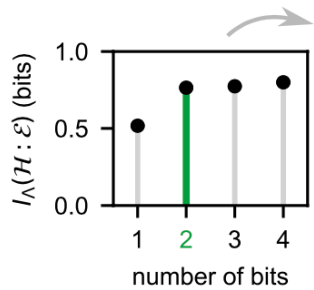
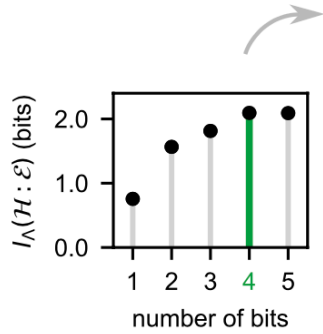
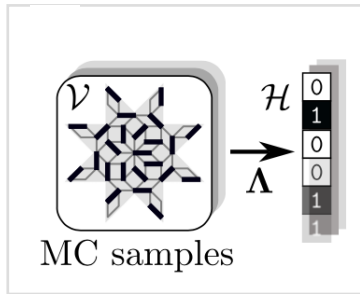


- AB tiling admits perfect dimer covering, and shows evidence of power-law dimer correlations: Phys. Rev. B 106, 094202 (2020)

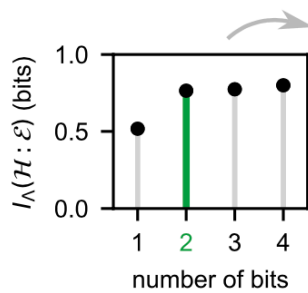
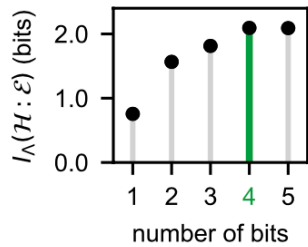
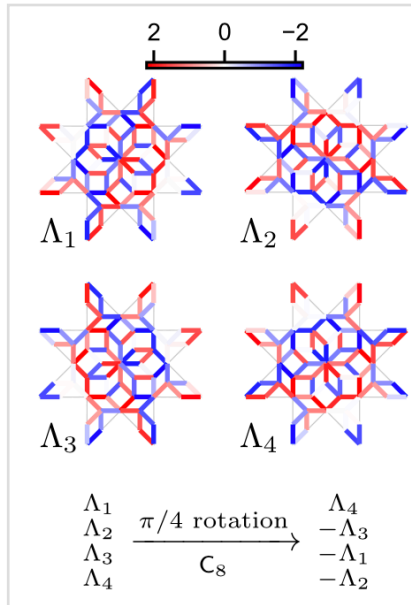
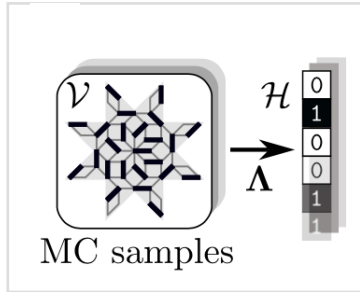




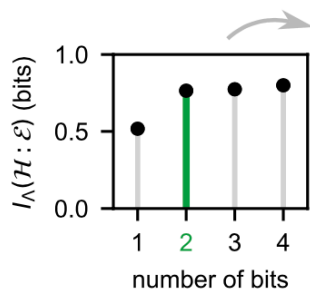
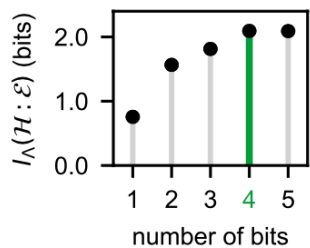
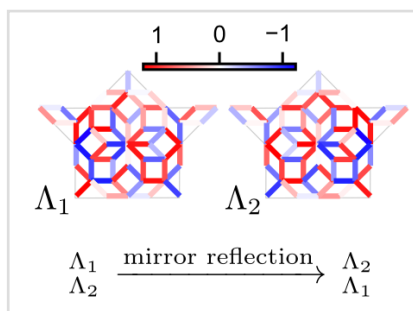
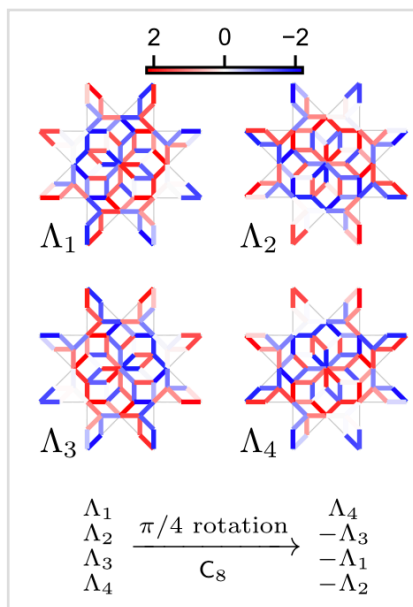
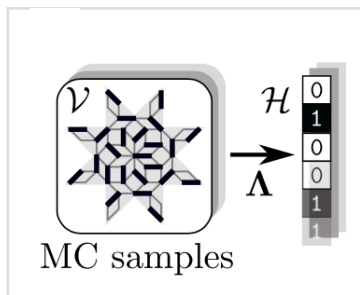
RG for quasi-periodic systems



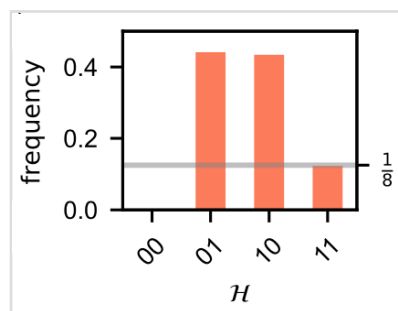
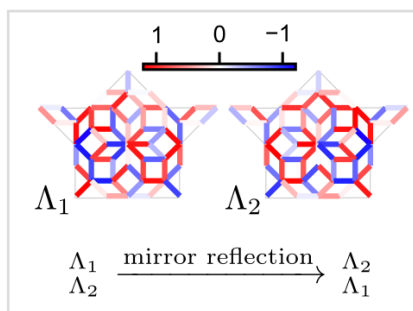
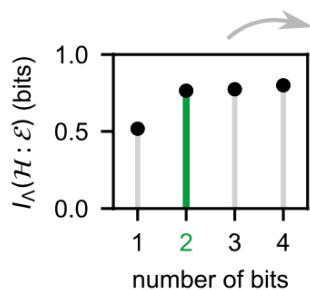
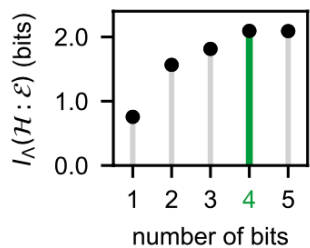
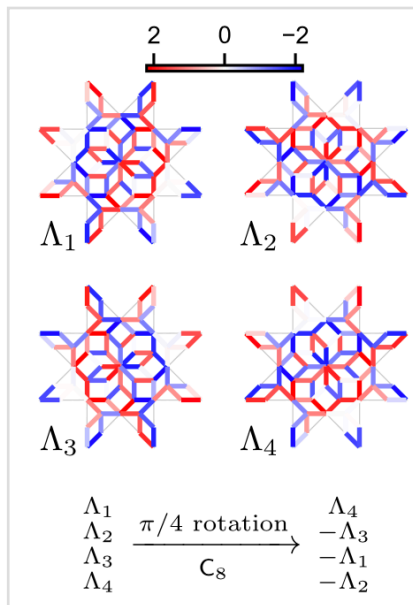
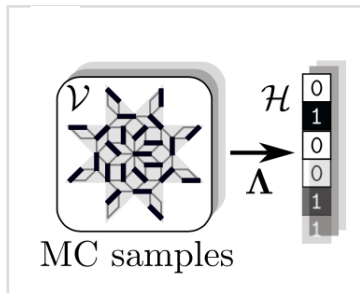
RG for quasi-periodic systems



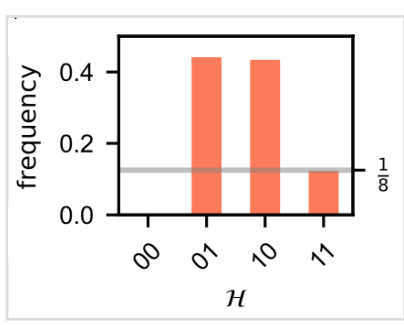
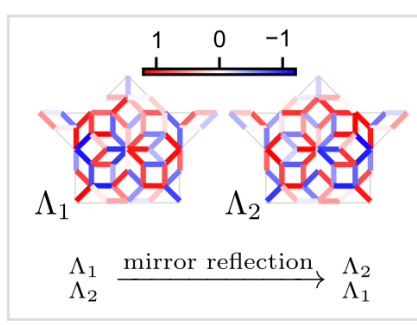
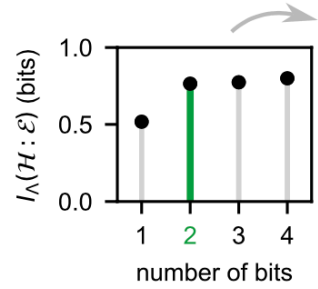
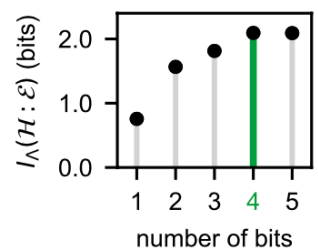
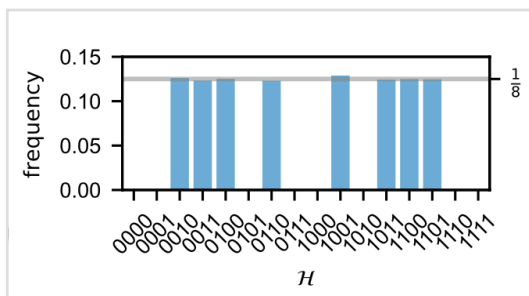
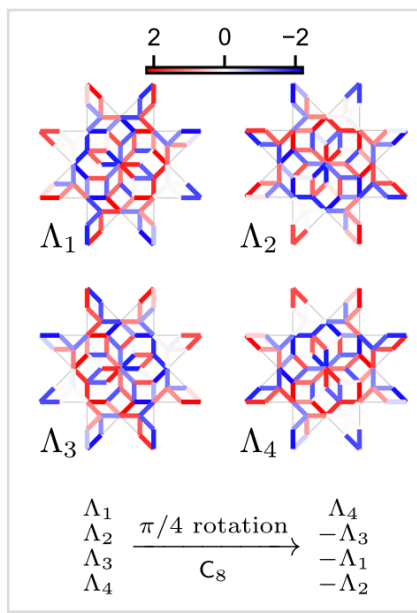
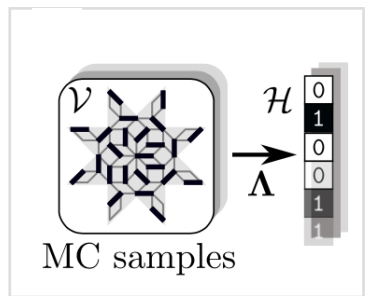
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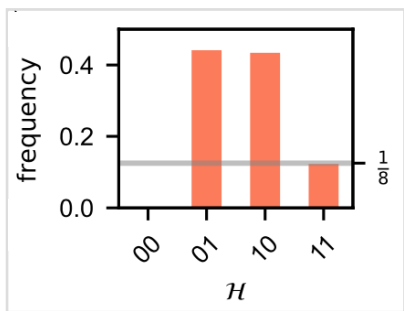
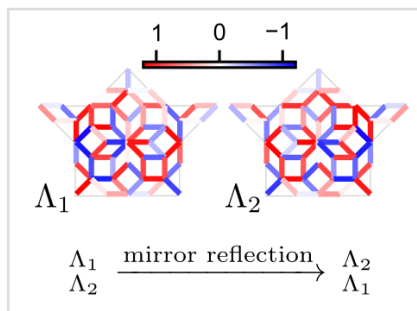
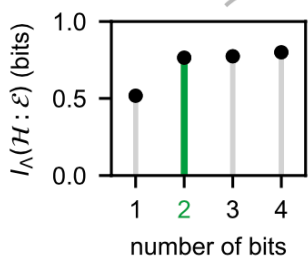
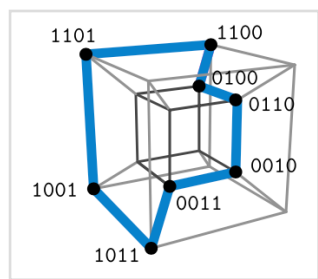
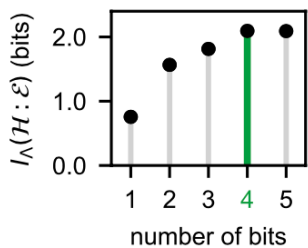
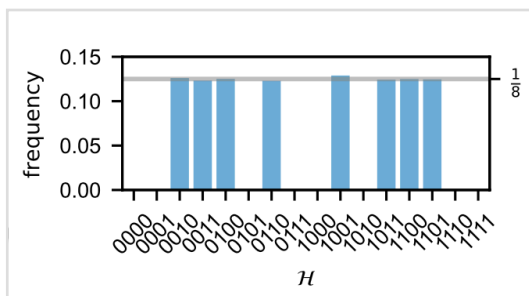
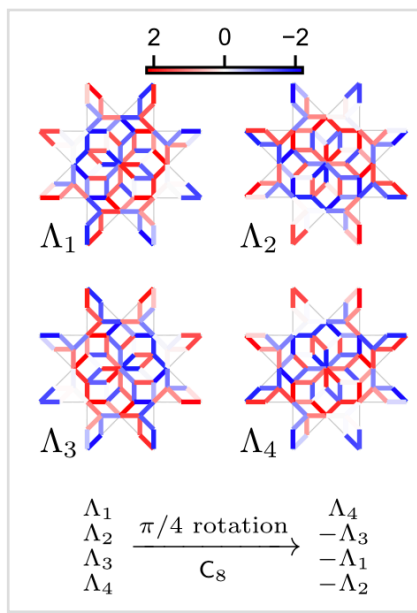
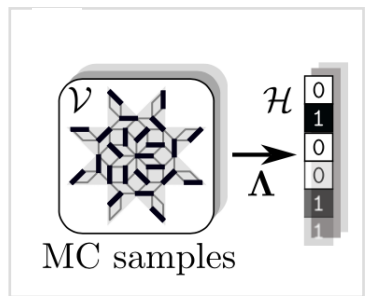
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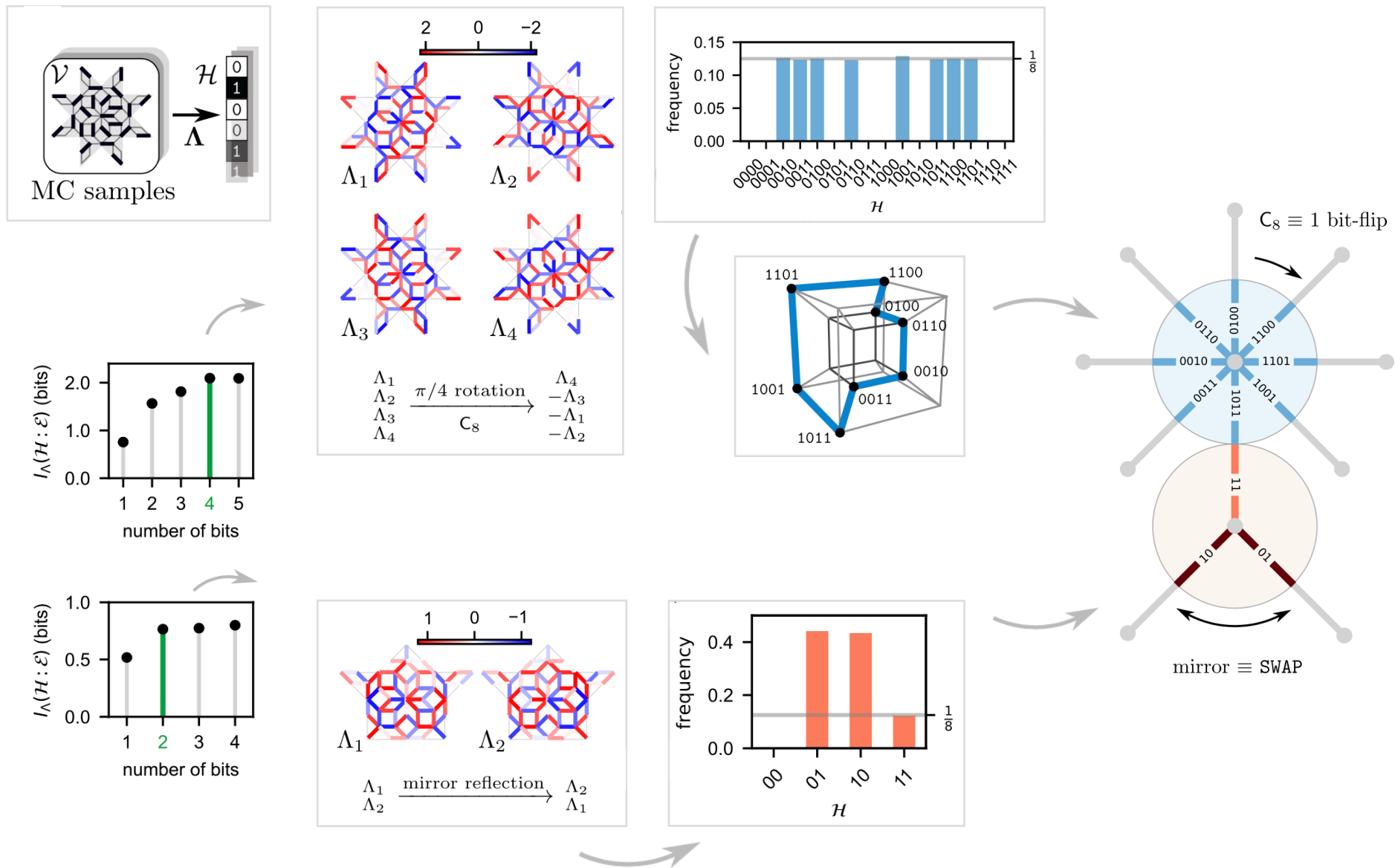
RG for quasi-periodic systems



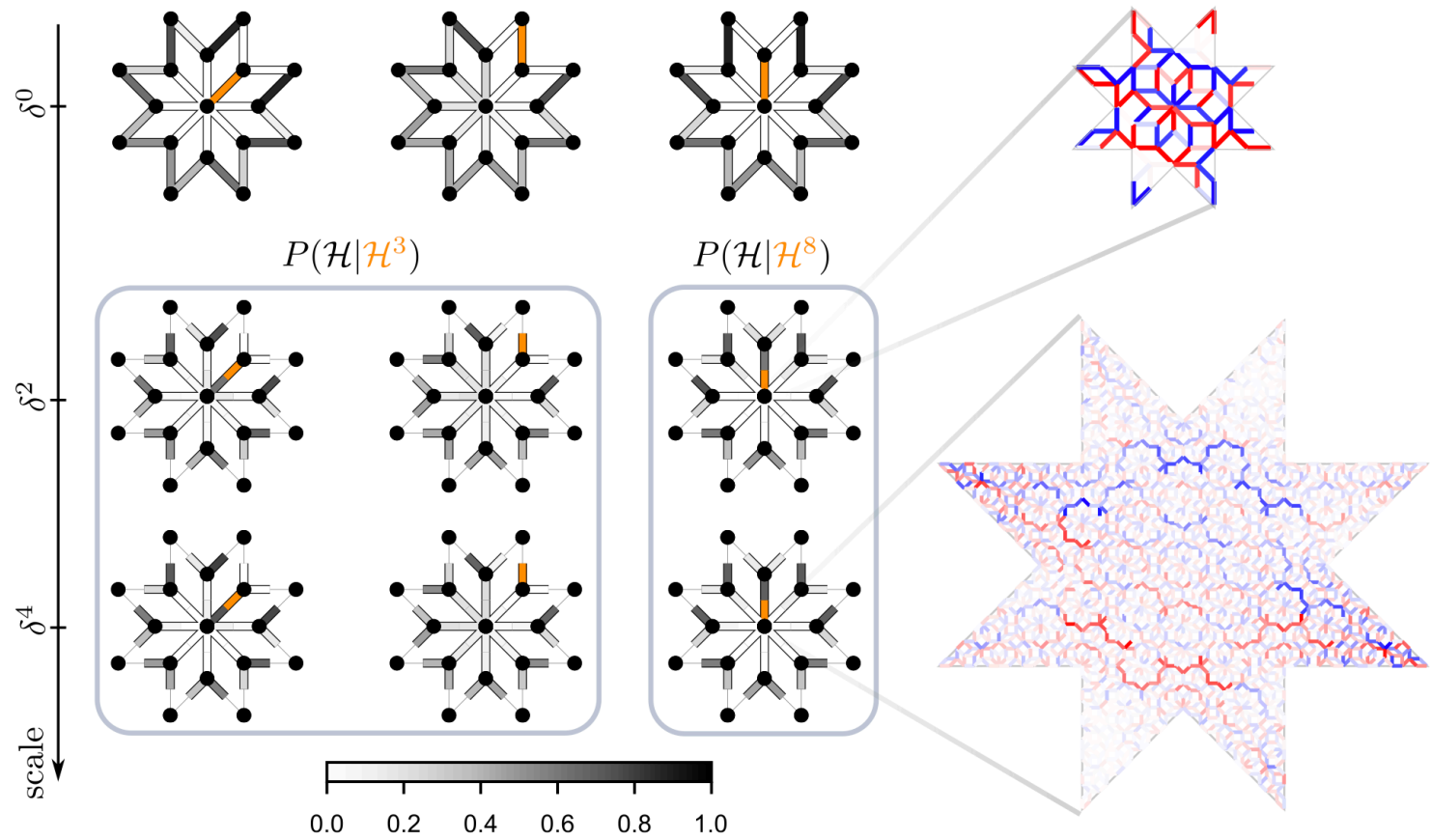
RG for quasi-periodic systems



RG for quasi-periodic systems

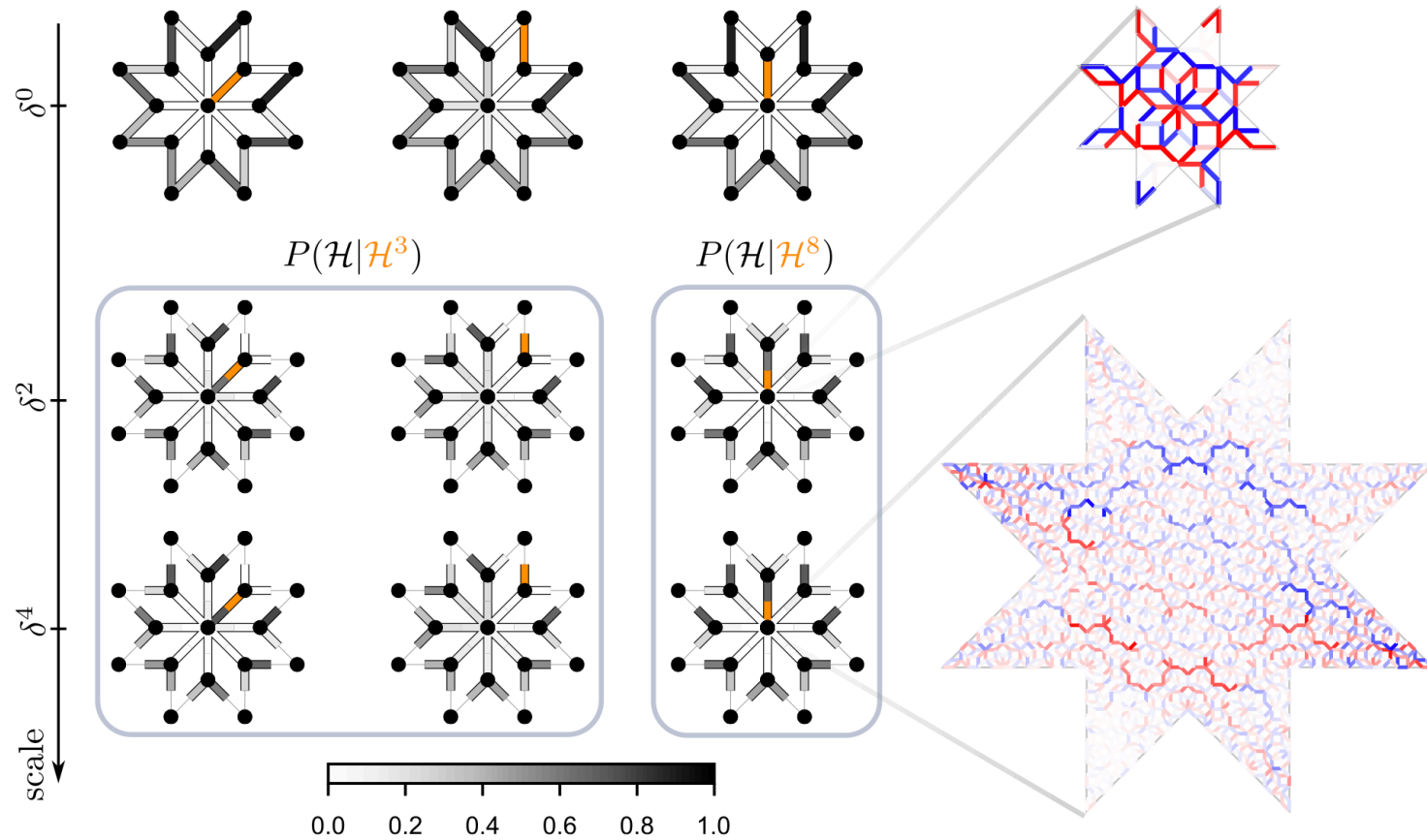


RG for quasi-periodic systems



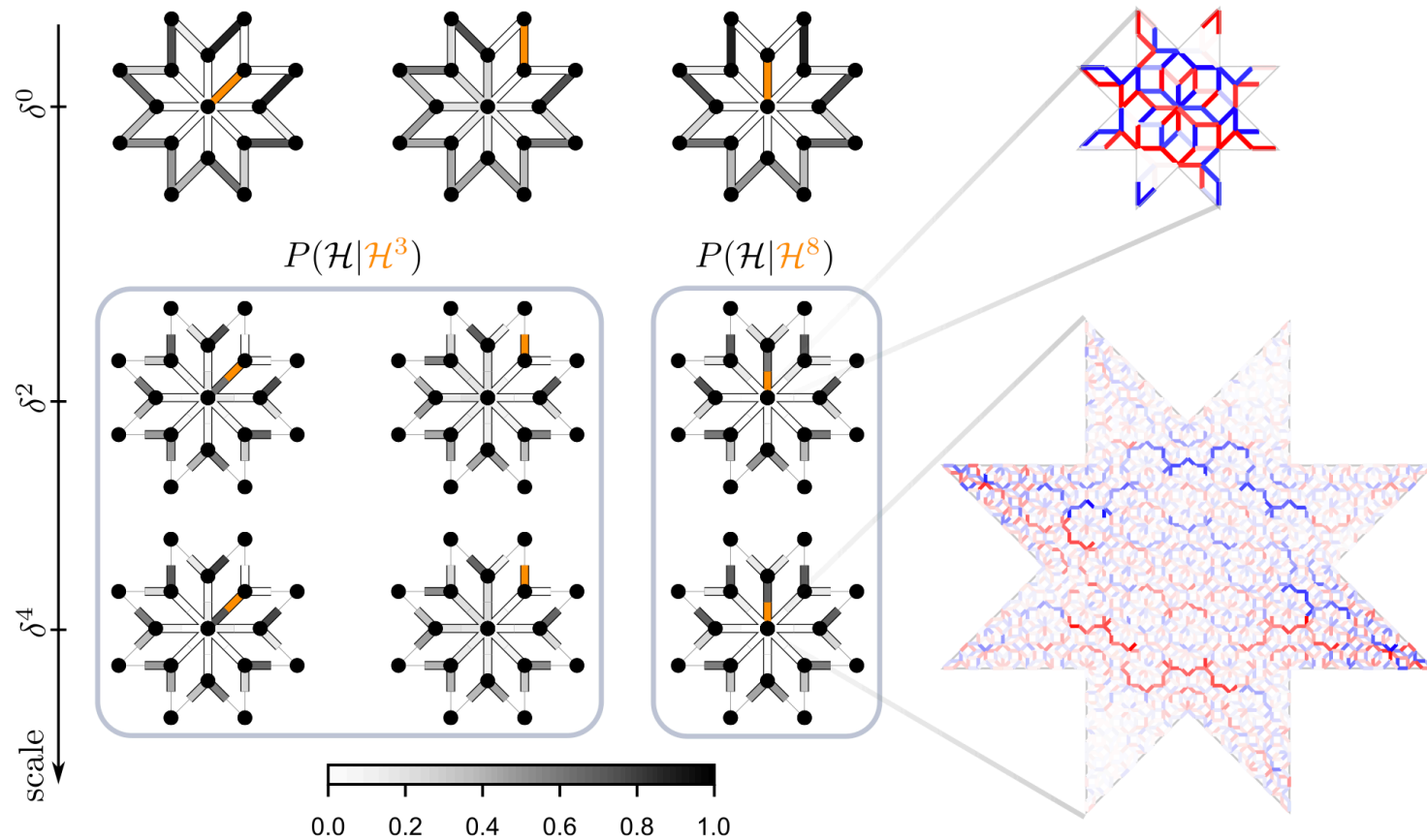
RG for quasi-periodic systems

- The compression map reveals effective **super-dimers** on a larger scale:



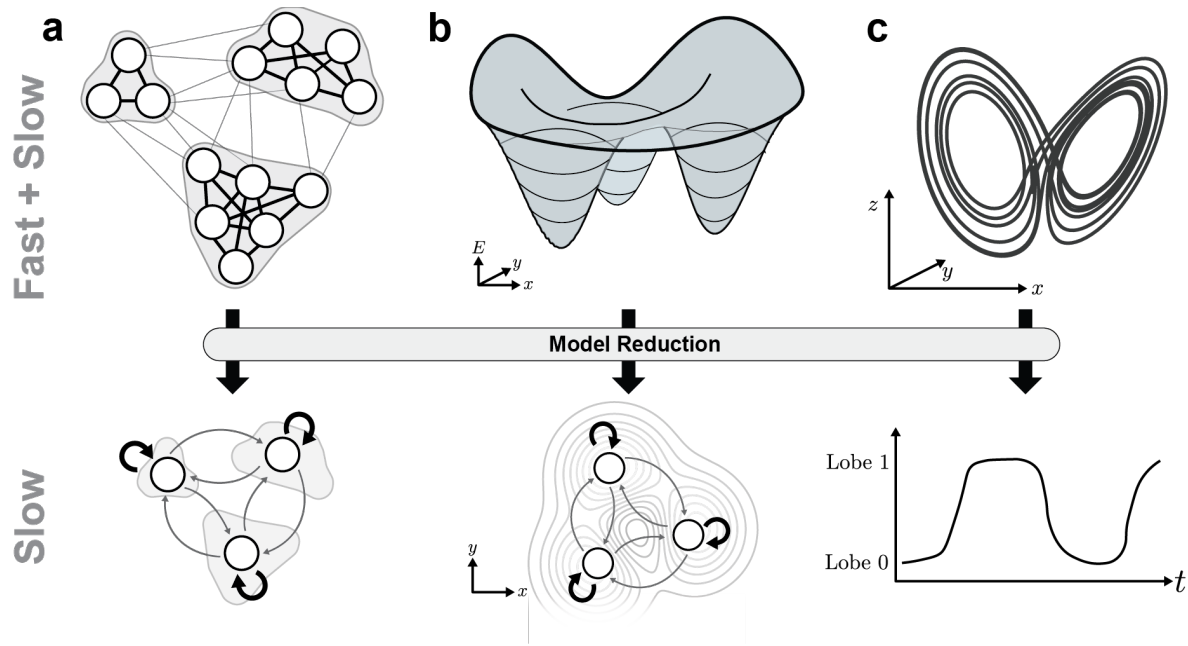
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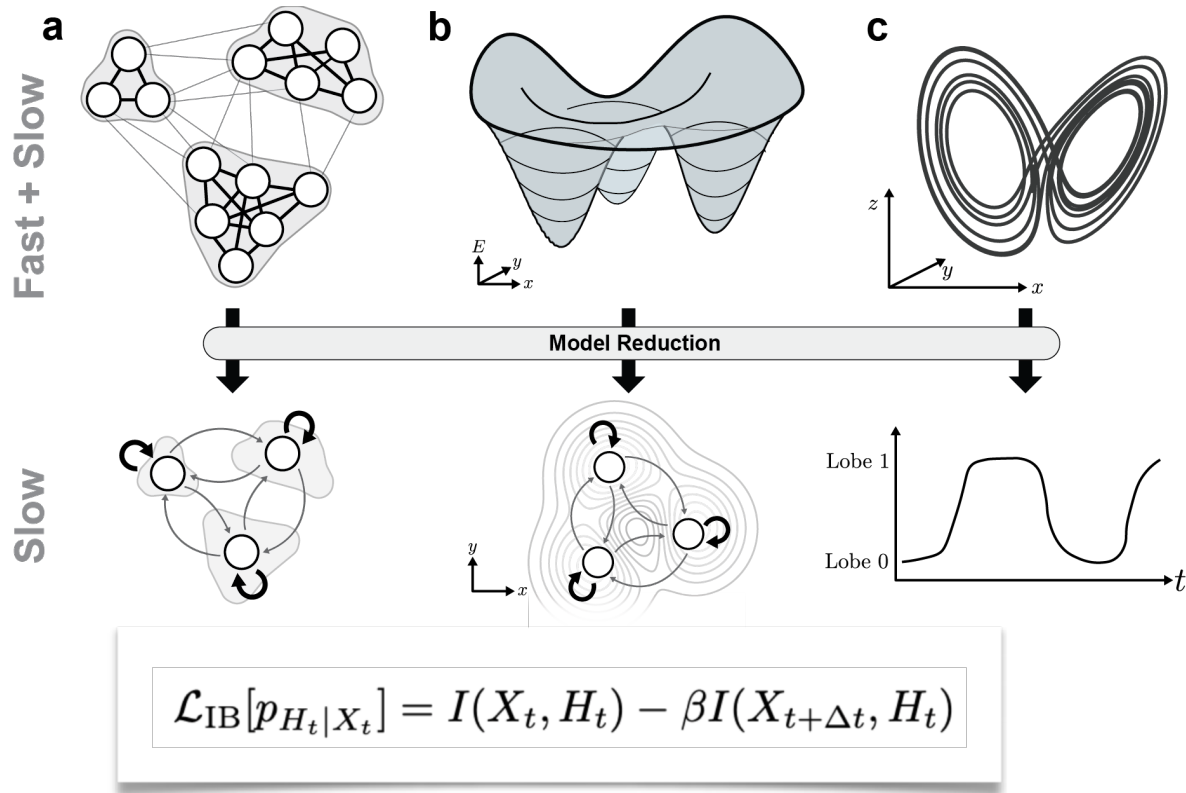
- The same compression maps persist across **multiple scales**

Dynamical systems



$$p_{\beta}^*(h|x) = \frac{1}{\mathcal{N}(x)} p_{\beta}^*(h) \exp \left[\beta \sum_n e^{\lambda_n \Delta t} \phi_n(x) f_n(h) \right]$$

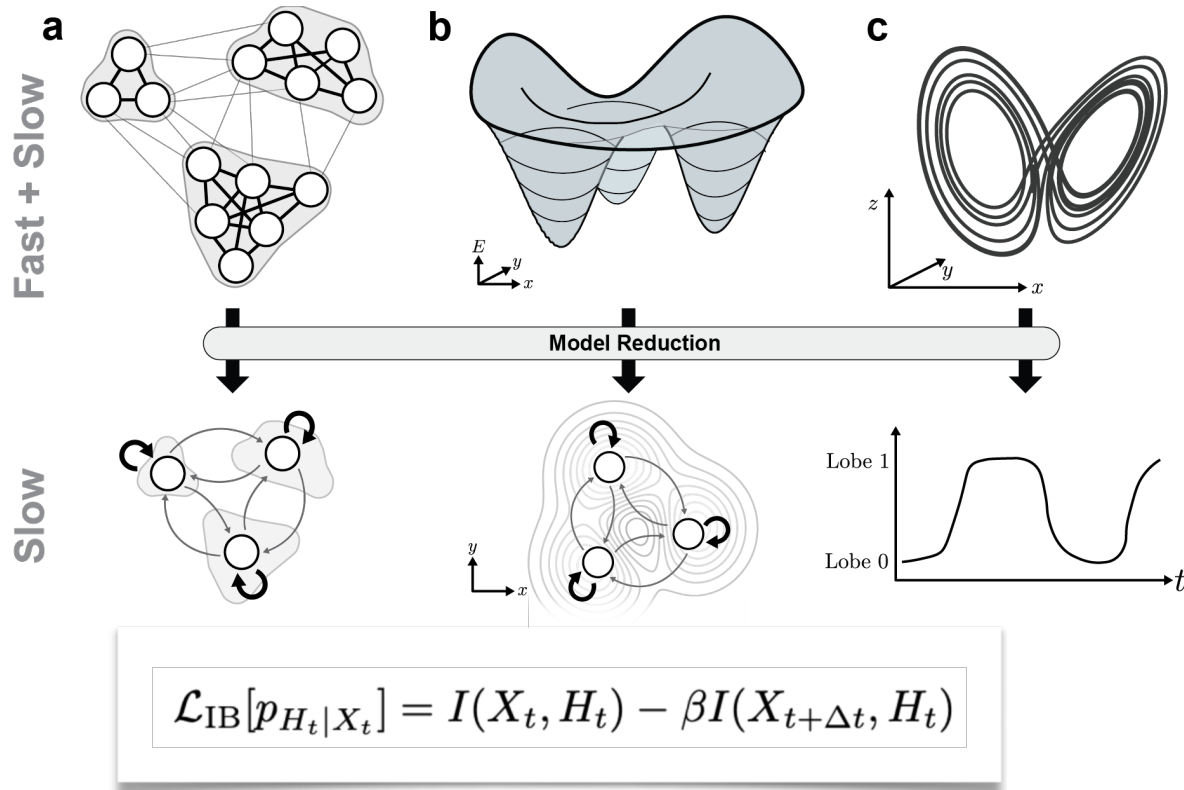
Dynamical systems



- Compress to preserve information about the **future state** of the system.

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Dynamical systems



- Compress to preserve information about the **future state** of the system.
- The **IB-optimal** encoder is determined by the eigenmodes of the transfer operator

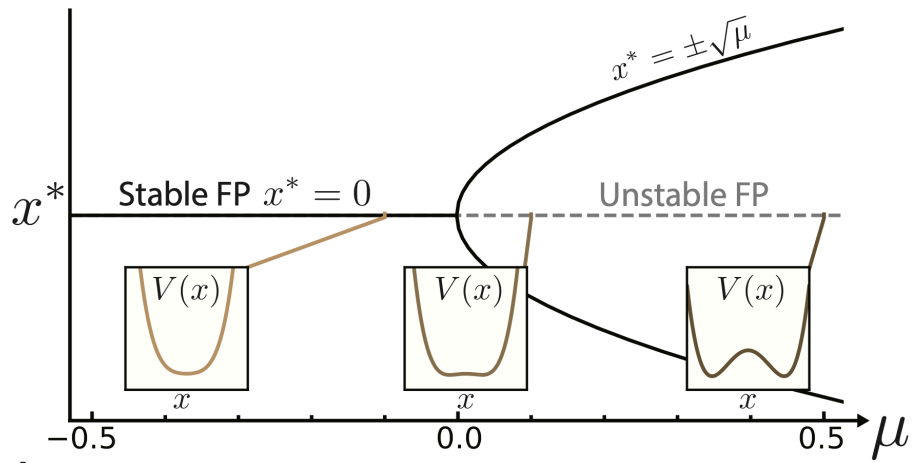
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Brownian particle in a potential

$$\dot{x}_t = -\partial_x V(x_t) + \sigma \eta_t.$$

$$V(x) = \frac{1}{4}(\mu - x^2)^2$$

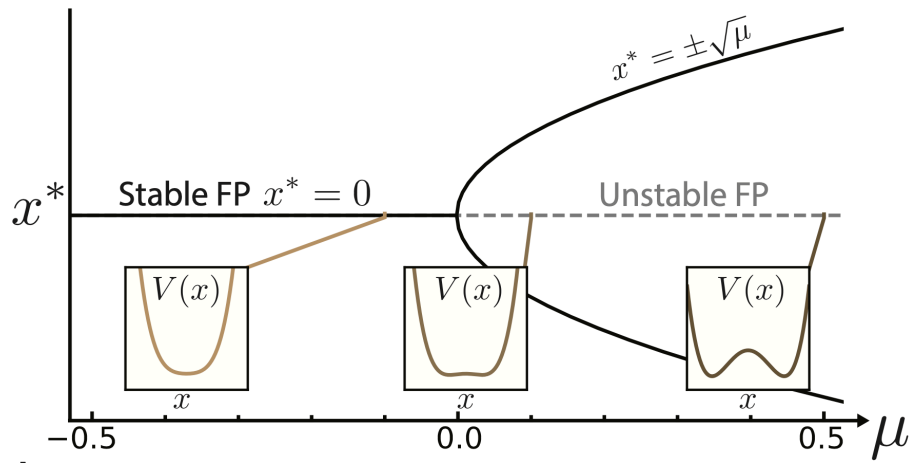
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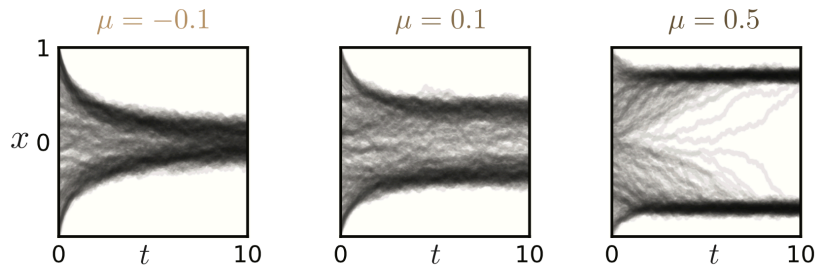
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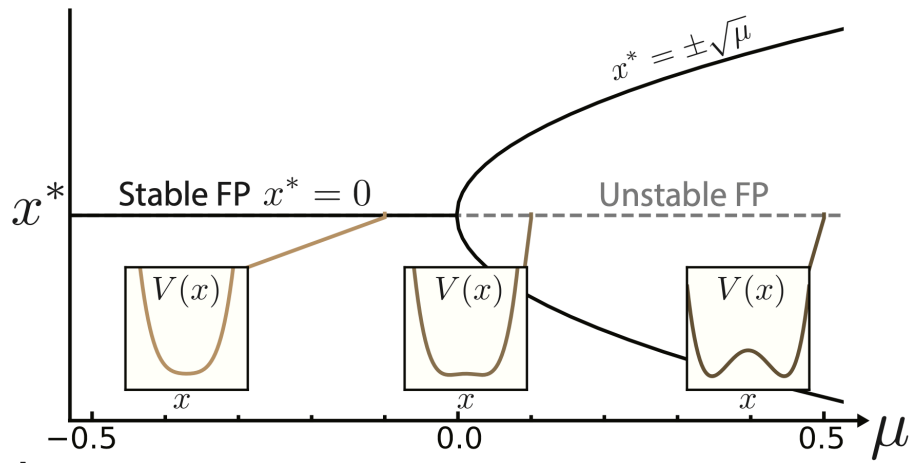


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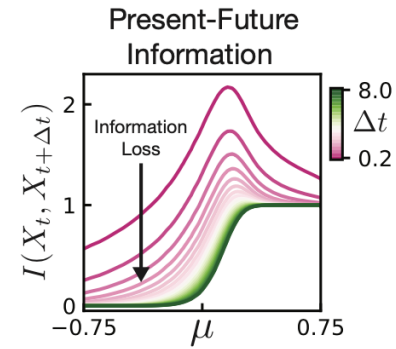
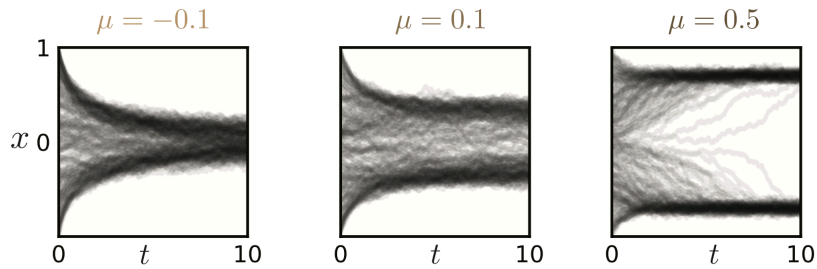
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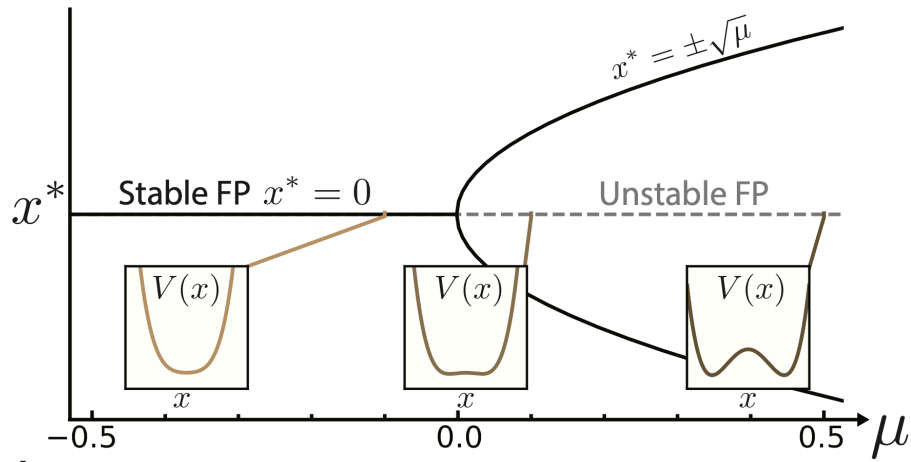
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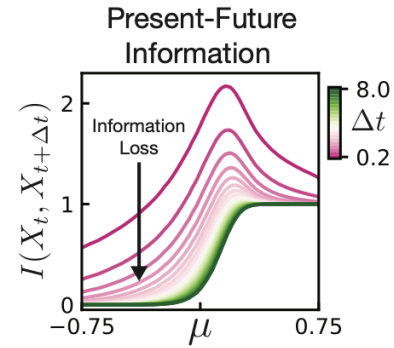
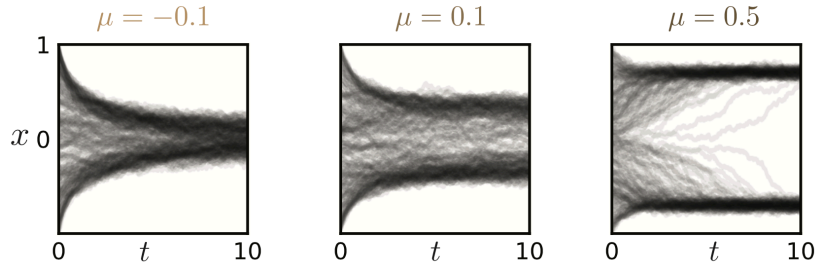


Brownian particle in a potential

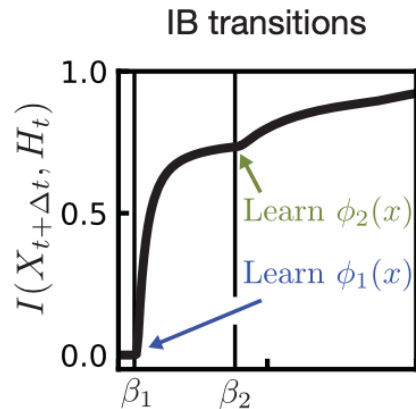


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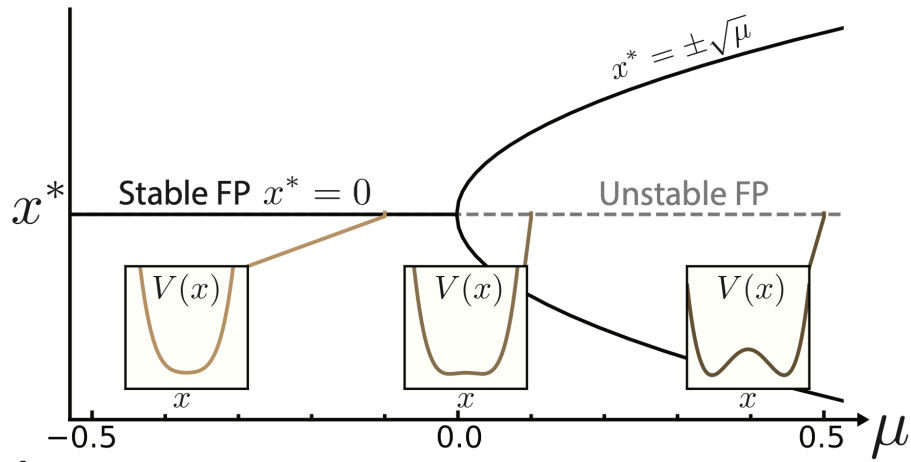
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- IB learns the transfer operator eigenmodes

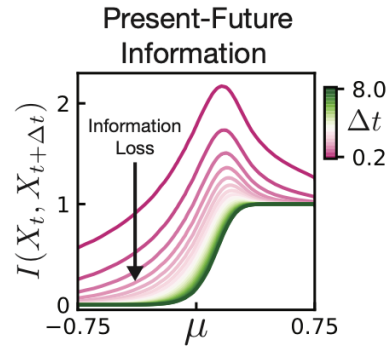
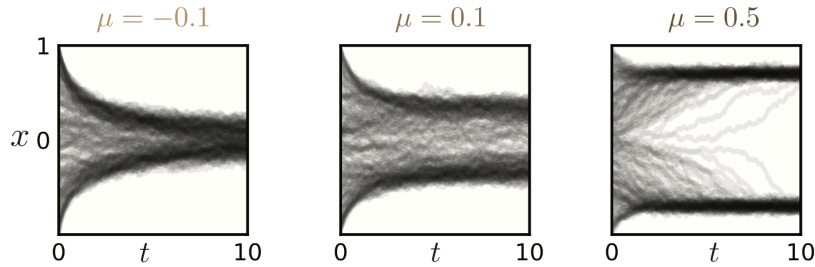


Brownian particle in a potential

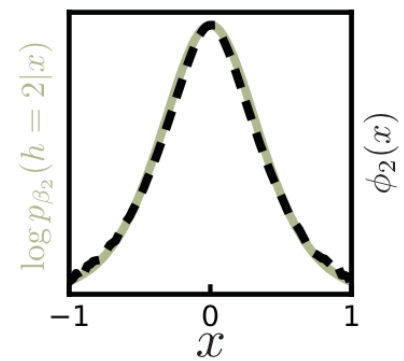
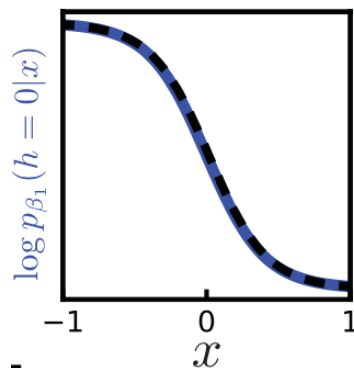
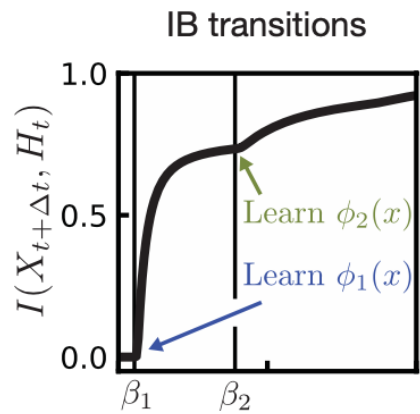


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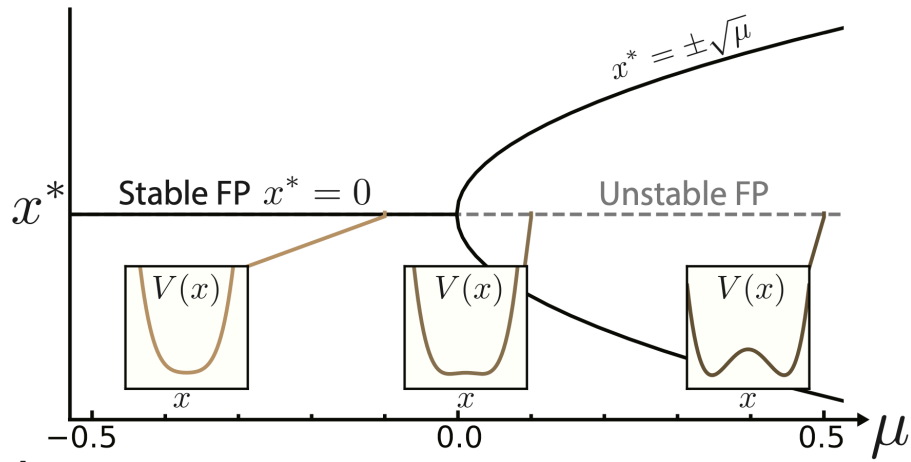
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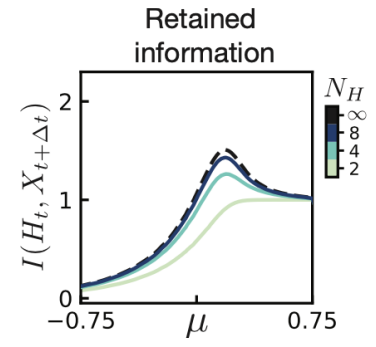
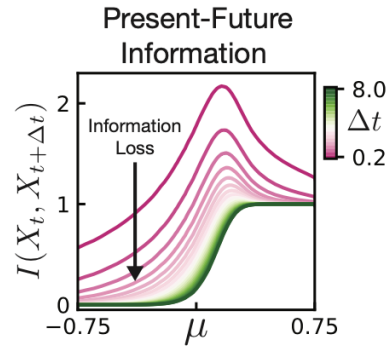
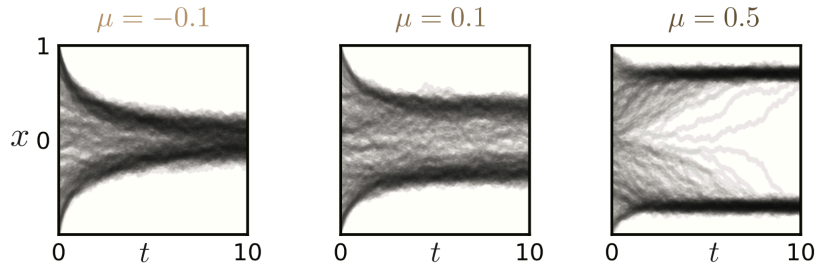


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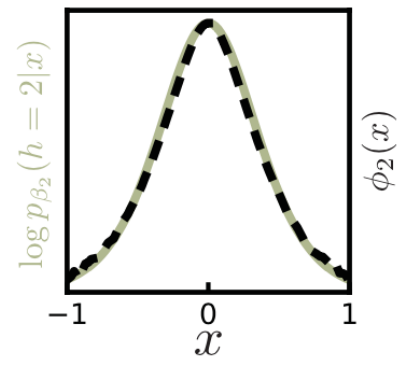
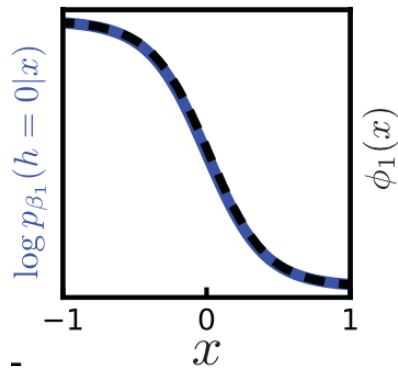
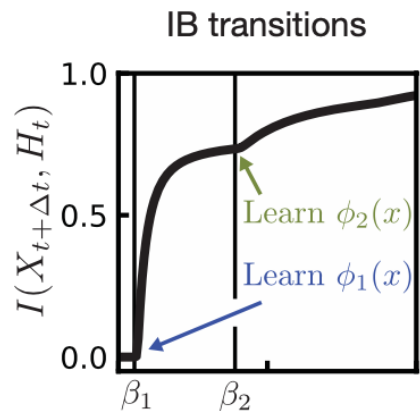


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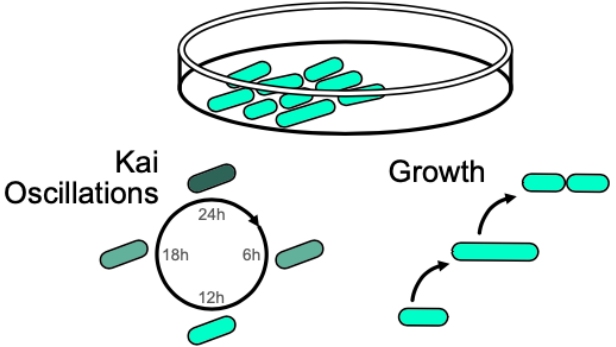
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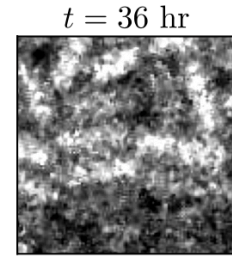
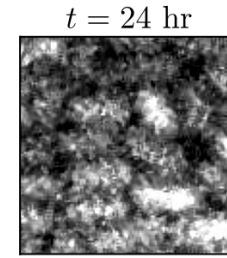
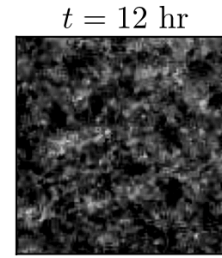
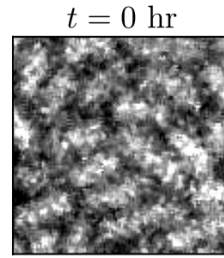
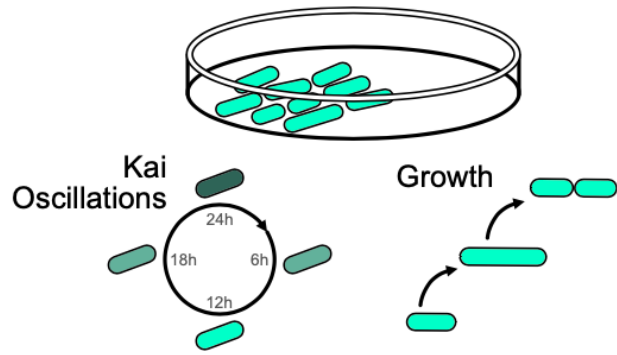
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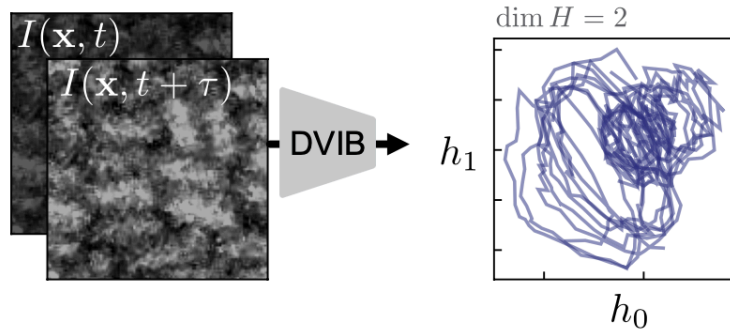
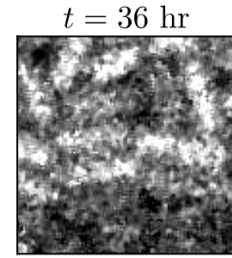
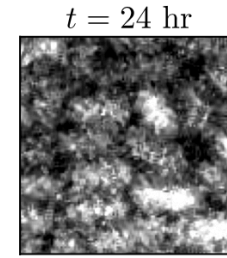
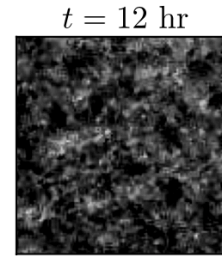
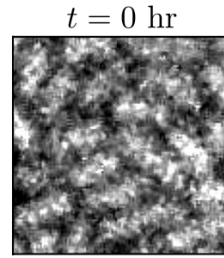
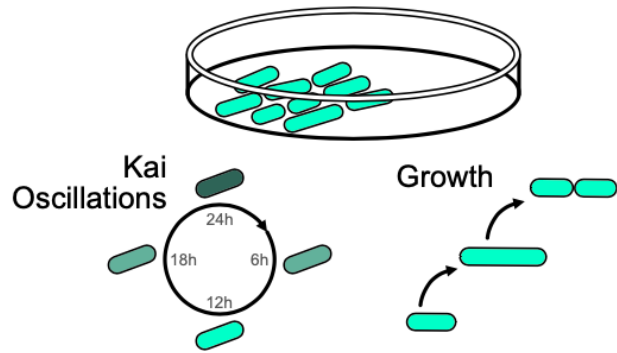
Cyanobacteria experiments



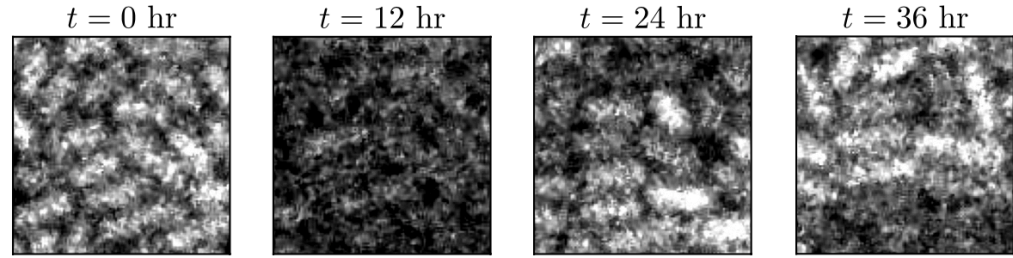
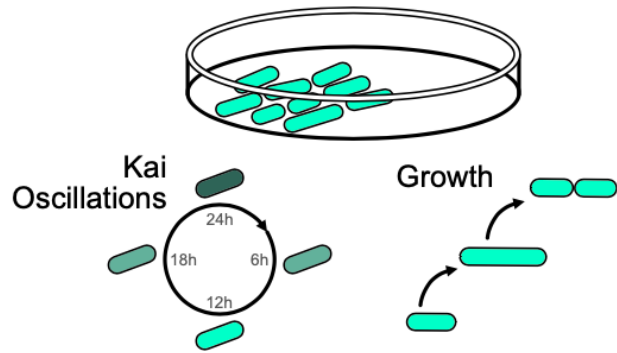
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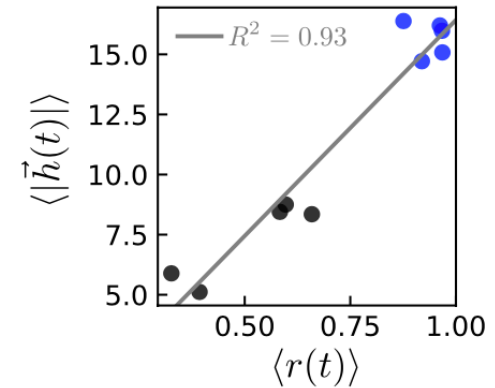
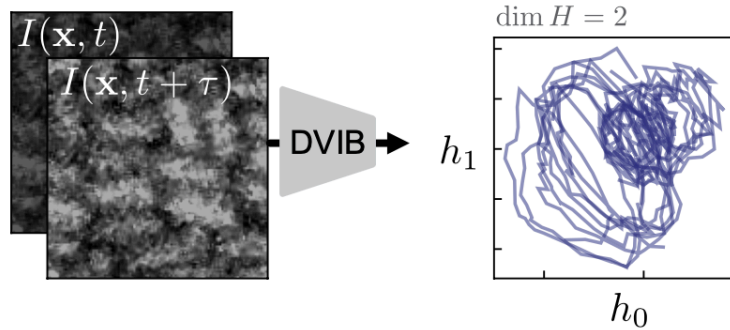
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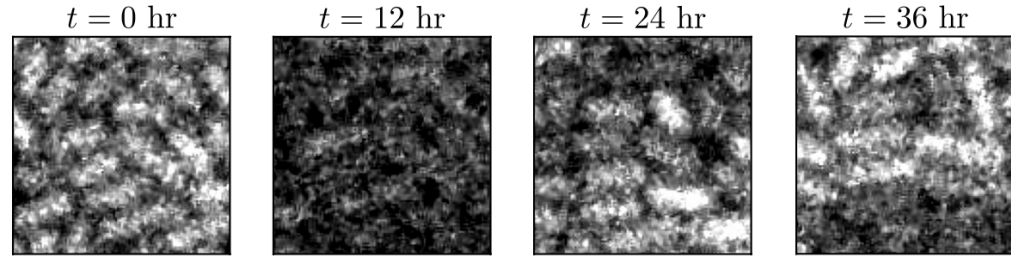
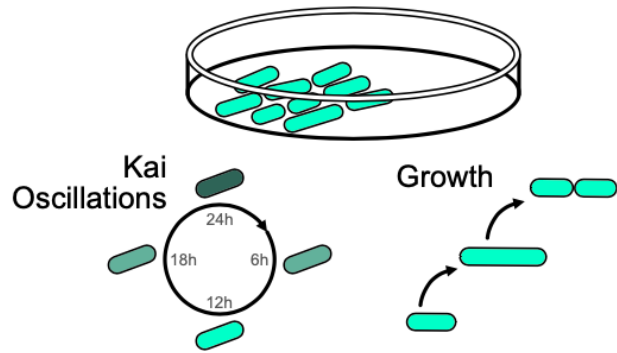
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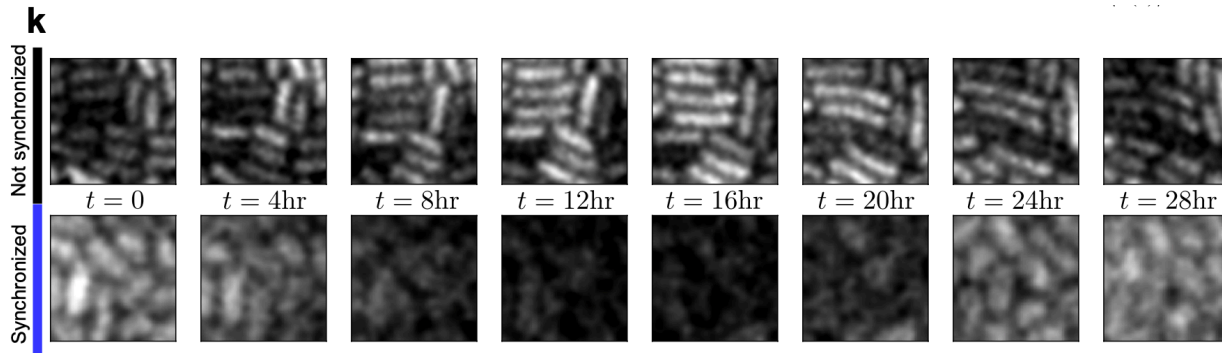
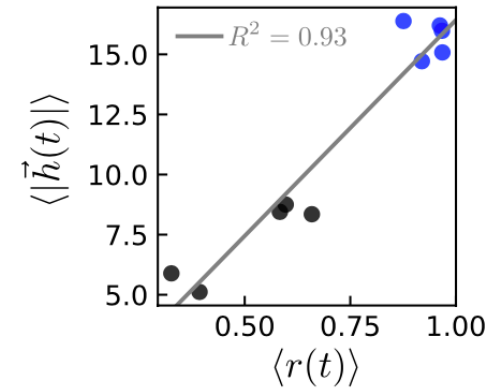
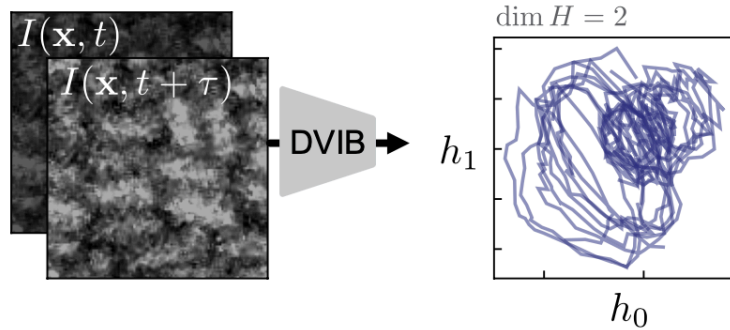
- Dynamics in latent space reveals populations differing by **synchronisation**



Cyanobacteria experiments



- Dynamics in latent space reveals populations differing by **synchronisation**



Outlook

- Applications to 3D stat.mech. models
- Automating discovering the algebraic properties satisfied by the operators
- Dynamical graphs
- Application to experimental data: soft-matter,

Operator content of theories on different lattices, equilibrium or not, can be extracted using compression theory tools from raw data alone.

$$\Lambda_{S1} \cdot \mathcal{V}(\mathbf{r}) = (-1)^{x+y} N_+(\mathbf{r}),$$

$$\Lambda_{S2} \cdot \mathcal{V}(\mathbf{r}) = (-1)^{x+y+1} N_-(\mathbf{r})$$

$$N_+(\mathbf{r}) = \frac{1}{4} + \frac{(-1)^{x+y+1}}{2\pi} \partial_x \varphi(\mathbf{r}) + (-1)^y \sin \varphi(\mathbf{r})$$

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Papanikolaou et al. **PRB 76**, 134514 (2007)

$$\mathcal{H}_1 \sim \sum_{\mathbf{r} \in \mathcal{V}} \Lambda_{S1} \cdot \mathcal{V}(\mathbf{r}) = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{(-1)^{x+y}}{4} + \frac{\partial_x \varphi(\mathbf{r})}{2\pi} + (-1)^x \sin \varphi(\mathbf{r}) \right] = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{\partial_x \varphi(\mathbf{r})}{2\pi} + (-1)^x \sin \varphi(\mathbf{r}) \right]$$

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$$\mathcal{H} \propto \tau \circ \nabla \langle \varphi(\mathbf{r}) \rangle_{\mathbf{r} \in \mathcal{V}}$$

- The staggered filters:

$$\Lambda_{S1} \cdot \mathcal{V}(\mathbf{r}) = (-1)^{x+y} N_{|}(\mathbf{r}),$$

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Papanikolaou et al. **PRB 76**, 134514 (2007)

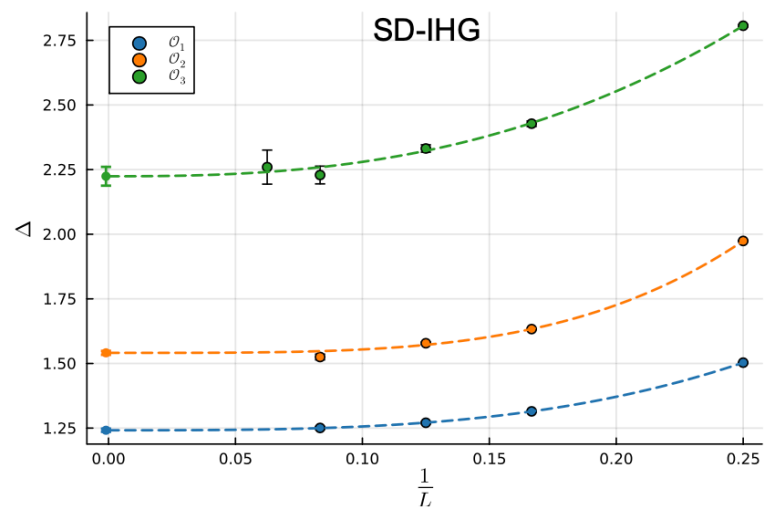
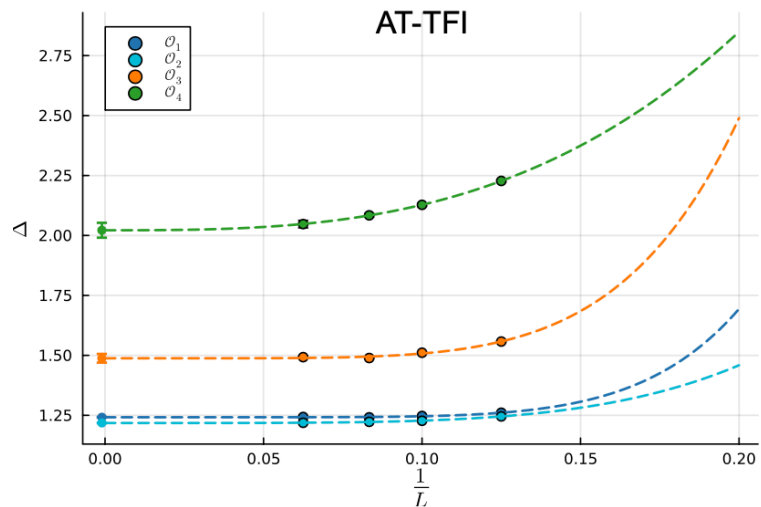
$$\mathcal{H}_1 \sim \sum_{\mathbf{r} \in \mathcal{V}} \Lambda_{S1} \cdot \mathcal{V}(\mathbf{r}) = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{(-1)^{x+y}}{4} + \frac{\partial_x \varphi(\mathbf{r})}{2\pi} + (-1)^x \sin \varphi(\mathbf{r}) \right] = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{\partial_x \varphi(\mathbf{r})}{2\pi} + (-1)^x \sin \varphi(\mathbf{r}) \right]$$

$$\mathcal{H}_2 \sim \sum_{\mathbf{r} \in \mathcal{V}} \Lambda_{S2} \cdot \mathcal{V}(\mathbf{r}) = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{(-1)^{x+y}}{4} + \frac{\partial_y \varphi(\mathbf{r})}{2\pi} + (-1)^y \cos \varphi(\mathbf{r}) \right] = \sum_{\mathbf{r} \in \mathcal{V}} \left[\frac{\partial_y \varphi(\mathbf{r})}{2\pi} + (-1)^y \cos \varphi(\mathbf{r}) \right]$$

- Expanding sin/cos and averaging we obtain:

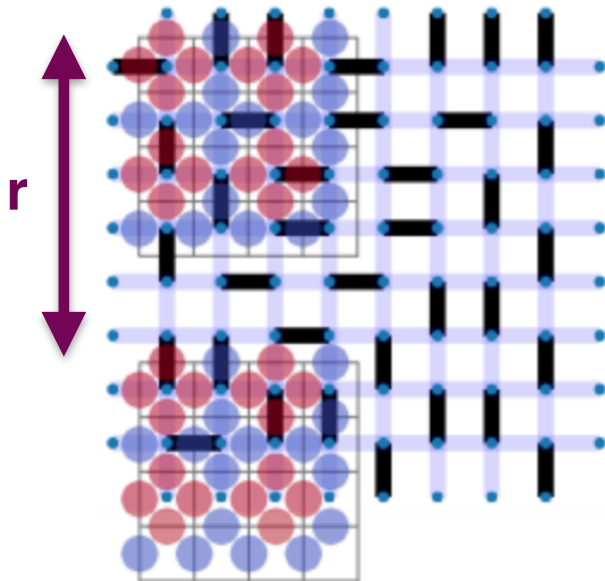
$$\mathcal{H} \propto \tau \circ \nabla \langle \varphi(\mathbf{r}) \rangle_{\mathbf{r} \in \mathcal{V}}$$

Critical exponents for the Self-dual Ising-Higgs Gauge theory and the Ashkin-Teller model



- They can be used as operators in correlation functions

- They can be used as operators in correlation functions



Filters as scaling operators

- They can be used as operators in correlation functions

