

# DIFFRACTIVE JET PRODUCTION IN PHOTON-NUCLEUS INTERACTIONS

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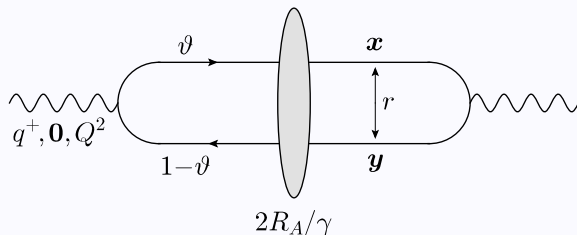
Beyond-Eikonal Methods in High-Energy Scattering

Trento, 24 May, 2024

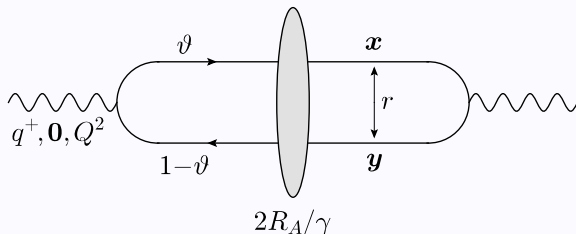
- ◉ S. Hauksson, E. Iancu, A.H. Mueller, DT, S.Y. Wei: 2402.14748 (JHEP) [and 2304.12401 (EPJC), 2207.06268 (JHEP), 2112.06353 (PRL)]
- ◉ B. Rodriguez-Aguilar, DT, S.Y. Wei: 2302.01106 (PRD), 240n.nnnnn

- ◉ Deep inelastic scattering in the dipole picture
- ◉ “2 + 1” jets in coherent diffraction as probes of saturation
- ◉ Factorization: Gluon and quark diffractive TMDs
- ◉ DTMDs in SIDIS
- ◉ 2 and “2 + 1” jets in incoherent diffraction

# DIS AT SMALL- $x$ IN DIPOLE PICTURE: TIME SCALES



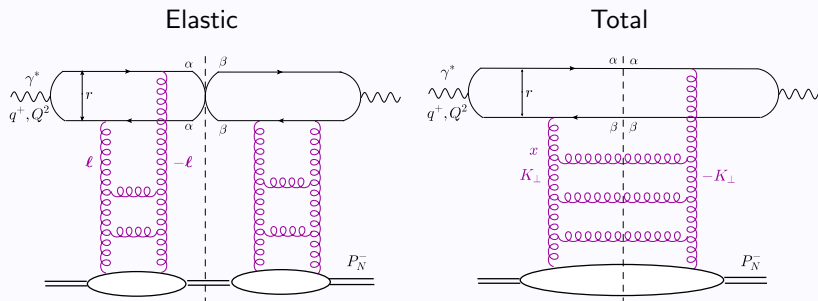
- Right moving off-shell  $\gamma^*$ ,  $q^\mu = (q^+, \mathbf{0}, -Q^2/2q^+)$
- Left moving nucleus,  $p^\mu = (M_N^2/2P_N^-, \mathbf{0}, P_N^-)$  per nucleon
- Projectile lifetime  $\tau \sim 2q^+/Q^2$
- Nucleus contracted length  $L \sim 2R_A M_N/P_N^- \sim A^{1/3}/P_N^-$
- $L \ll \tau \iff xA^{1/3} \ll 1$



$$\sigma^{\gamma^*A}(x, Q^2) = \int d^2\mathbf{r} \int_0^1 d\vartheta |\Psi_{\gamma^* \rightarrow q\bar{q}}(Q^2; \mathbf{r}, \vartheta)|^2 2\pi R_A^2 T(r, x)$$

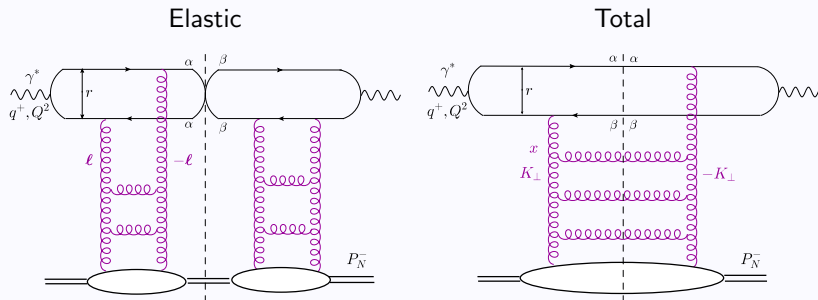
- All QCD dynamics in  $T(r, x)$
- Virtuality limits large dipoles:  $r \lesssim 1/\bar{Q}$ , with  $\bar{Q}^2 = \vartheta(1-\vartheta)Q^2$
- Saturation requires  $r \gtrsim 1/Q_s$ , hence  $\bar{Q}^2 \lesssim Q_s^2$
- When  $Q^2 \gg Q_s^2$  dominant contribution from **weak scattering**

# DIFFRACTION/ELASTIC SCATTERING



- Rapidity **gap**: wide angular region **void of particles**
- Elastic for projectile, no nuclear break-up (coherent reaction)
- Close color at amplitude level
- At least **two gluons** exchanged at amplitude

# LARGE DIPOLES IN DIFFRACTION

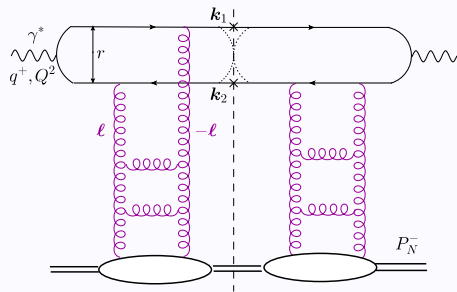


$$\sigma_D^{\gamma^* A}(x, Q^2) = \int d^2\mathbf{r} \int_0^1 d\vartheta |\Psi_{\gamma^* \rightarrow q\bar{q}}(Q^2; \mathbf{r}, \vartheta)|^2 \pi R_A^2 [T(r, x)]^2$$

- $T^2$  : Diffractive cross section less sensitive to small dipoles
- Even for  $Q^2 \gg Q_s^2$  dipoles with  $r \gtrsim 1/Q_s$  and  $\vartheta \sim Q_s^2/Q^2 \ll 1$  (“aligned jets”) dominate diffractive cross section

# HARD DIJET IN DIFFRACTION

- More **exclusive** processes? Measured jets or hadrons?
- Hard scale sets dipole size  $r \sim 1/P_\perp$ , **weak scattering**
- Hard, symmetric, back to back  $q\bar{q}$  pair:  
 $k_{1\perp} \simeq k_{2\perp} \equiv P_\perp \sim Q \gg Q_s, \vartheta_{1,2} \sim 1/2$

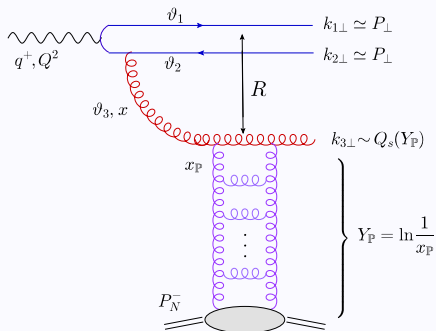


$$\frac{d\sigma_D^{\gamma^* A \rightarrow q\bar{q} A}}{d\vartheta_1 d^2\mathbf{P}} \propto \pi R_A^2 \underbrace{\frac{1}{Q^2}}_{\gamma^* q\bar{q}} \underbrace{\frac{Q_s^4}{P_\perp^4}}_{T^2(r)}$$

$$\sim \frac{1}{P_\perp^6} \text{ higher twist}$$

## 2+1 JETS IN DIFFRACTION

- Diffractive dijet at leading twist  $1/P_{\perp}^4$ ?
- Yes, two hard jets  $P_{\perp} \gg Q_s$  and one semi-hard  $k_{3\perp} \sim Q_s \ll P_{\perp}$
- Third, semi-hard, jet provides dijet imbalance



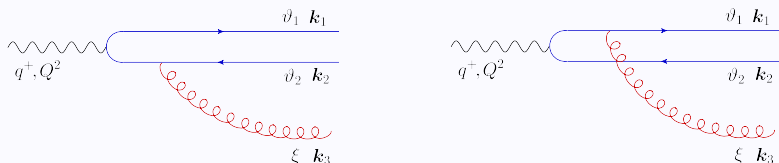
- $\mathcal{O}(\alpha_s)$  suppression
- $R \gg r$ : gluon dipole
- $T_g(R, Y_P) \simeq \mathcal{O}(1)$
- Hard factor

$$H \propto \underbrace{\frac{1}{Q^2}}_{\gamma^* q \bar{q}} \times \underbrace{r^2}_{\text{gluon emission}} \sim \frac{1}{P_{\perp}^4}$$

- $x_P P_N^-$  puts trijet on-shell:  $x_P \simeq \frac{1}{2q^+ P_N^-} \left( \frac{P_{\perp}^2}{\vartheta_1 \vartheta_2} + \frac{k_{3\perp}^2}{\vartheta_3} + Q^2 \right)$



# GLUON DIPOLE WAVEFUNCTION



- Gluon formation time must be small enough:

$$k_3^+ / k_{3\perp}^2 \lesssim q^+ / Q^2 \rightsquigarrow \vartheta_3 \lesssim k_{3\perp}^2 / P_\perp^2 \ll 1, \text{ gluon is soft}$$

- Momentum space LCWF

$$\left[ \frac{k_1^l \left( k_3^j + \frac{\xi}{1-\vartheta_1} k_1^j \right)}{k_{1\perp}^2 + \bar{Q}^2} + \frac{k_2^l \left( k_3^j + \frac{\xi}{1-\vartheta_2} k_2^j \right)}{k_{2\perp}^2 + \bar{Q}^2} \right] \frac{1}{k_{3\perp}^2 + \xi \left( \frac{k_{1\perp}^2}{\vartheta_1} + \frac{k_{2\perp}^2}{\vartheta_2} + Q^2 \right)}$$

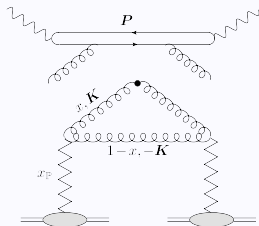
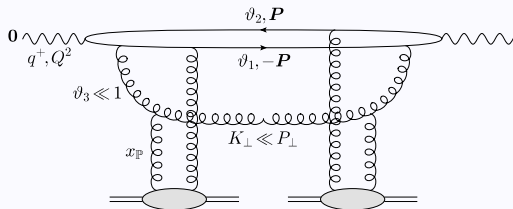
- Expand for  $k_{3\perp} \ll P_\perp$  and  $\xi \ll k_{3\perp} / P_\perp$  (no recoil)
- Leading terms cancel  $\rightsquigarrow$  Non-eikonal emission
- Scattering is eikonal (Wilson lines)
- Add instantaneous quark propagator graph

# GLUON FROM THE POMERON

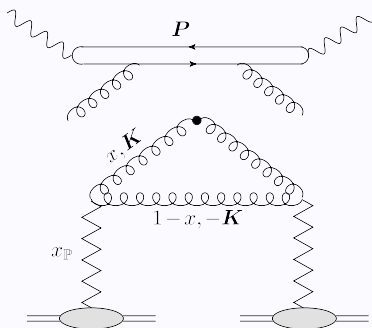
- ⊙ Scales separation  $\rightsquigarrow$  Factorization?
- ⊙ View gluon as part of Pomeron. Variable change from  $\xi$  to  $x$ :

$$x = \frac{x_{q\bar{q}}}{x_{\mathbb{P}}} = \frac{\frac{P_{\perp}^2}{\vartheta_1 \vartheta_2} + Q^2}{\frac{P_{\perp}^2}{\vartheta_1 \vartheta_2} + \frac{k_{3\perp}^2}{\vartheta_3} + Q^2} \quad \text{or} \quad x = \beta \frac{x_{q\bar{q}}}{x_{Bj}} \simeq \beta \frac{\bar{Q}^2 + P_{\perp}^2}{P_{\perp}^2}$$

- ⊙ For given  $x_{Bj}$  and hard jets, only one of  $\xi$ ,  $x_{\mathbb{P}}$  and  $x$  is independent



# TMD FACTORIZATION AND CROSS SECTION



$$\frac{d\sigma_D^{\gamma_{T,L}^* A \rightarrow q\bar{q}gA}}{d\vartheta_1 d\vartheta_2 d^2\mathbf{P} d^2\mathbf{K} dY_{\mathbb{P}}} = H_{T,L}(\vartheta_1, \vartheta_2, Q^2, P_{\perp}^2) \frac{dx G_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}{d^2\mathbf{K}}$$

- Hard factor as in inclusive  $q\bar{q}$  dijet cross section

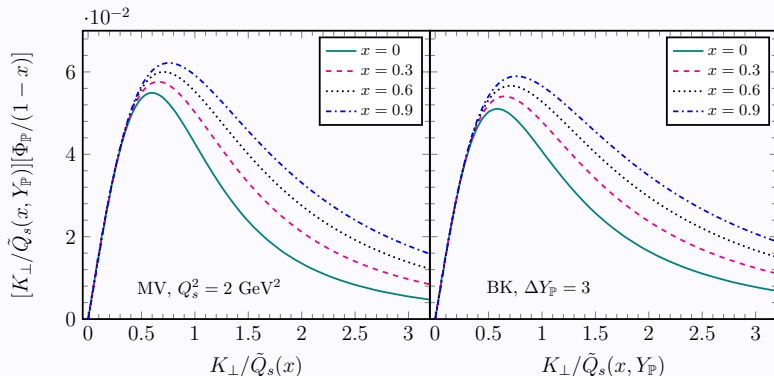
$$H_T(\vartheta_1, \vartheta_2, Q^2, P_{\perp}^2) \equiv \alpha_{em} \alpha_s \left( \sum e_f^2 \right) \delta_{\vartheta} \underbrace{(\vartheta_1^2 + \vartheta_2^2)}_{2P_{q\gamma}(\vartheta_1)} \underbrace{\frac{P_{\perp}^4 + \bar{Q}^4}{(P_{\perp}^2 + \bar{Q}^2)^4}}_{\sim 1/P_{\perp}^4}$$

$$\frac{dxG_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}{d^2\mathbf{K}} = \underbrace{\frac{S_{\perp}(N_c^2 - 1)}{4\pi^3}}_{\text{d.o.f.}} \underbrace{\Phi_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}_{\text{occupation number}}$$

- Explicit in terms of elastic amplitude  $T_g(R, x_{\mathbb{P}})$

$$\Phi_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2) \approx \frac{1-x}{2\pi} \begin{cases} 1 & \text{for } K_{\perp} \ll \tilde{Q}_s(x) \\ \frac{\tilde{Q}_s^4(x, Y_{\mathbb{P}})}{K_{\perp}^4} & \text{for } K_{\perp} \gg \tilde{Q}_s(x) \end{cases}$$

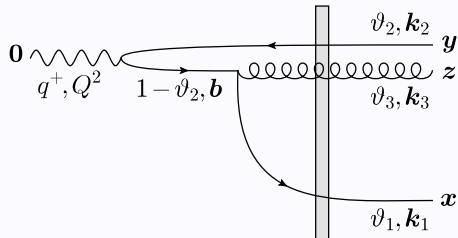
- Valid for large gaps:  $x_{\mathbb{P}} \lesssim 10^{-2}$
- Effective saturation momentum  $\tilde{Q}_s^2(x) \equiv (1-x)Q_s^2$
- Bulk of distribution at saturation  $K_{\perp} \ll \tilde{Q}_s(x)$



- Multiplied by  $K_{\perp}$  (cf. measure  $d^2\mathbf{K}$ )
- Pronounced maximum at  $K_{\perp} \sim \tilde{Q}_s(x)$

# SOFT QUARK IN 2 + 1 JETS

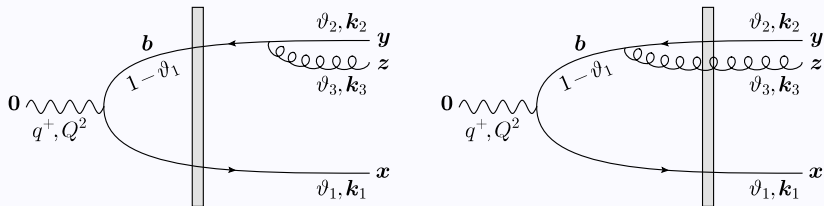
- Hard  $\bar{q}$  and  $g$ ,  $P_\perp \gg Q_s$ , semi-hard  $q$ ,  $k_{1\perp} \sim Q_s \ll P_\perp$



- $\mathcal{O}(\alpha_s)$  suppression
- Large quark dipole
- $T(R, Y_{\mathbb{P}}) \simeq \mathcal{O}(1)$
- Hard factor  $\sim 1/P_\perp^4$

- (NB: Scattering before emission is small, like in soft  $g$  case)
- Quark must be soft  $\vartheta_1 \lesssim k_{1\perp}^2 / P_\perp^2$

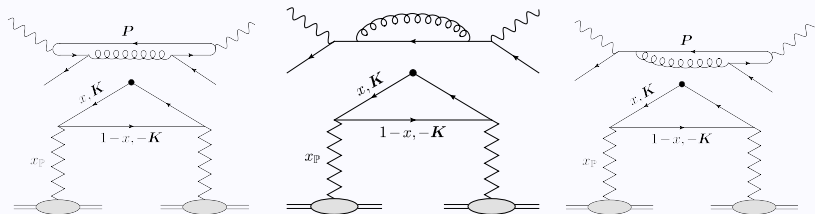
# ANOTHER CONFIGURATION WITH A SOFT QUARK



- Large initial  $q\bar{q}$  pair, hard QCD vertex
- Same scattering **before or after** gluon emission, fine cancellations
- Also consider **interference** between these and previous diagram

# TMD FACTORIZATION AND CROSS SECTION

- Variable change from  $\vartheta_1$  to  $x$
- Quark with fraction  $1 - x$  in final state
- Antiquark “transfers” fraction  $x$  and imbalance  $\mathbf{K}$  to dijet



$$\frac{d\sigma_D^{\gamma^*, L A \rightarrow q\bar{q}gA}}{d\vartheta_1 d\vartheta_2 d^2\mathbf{P} d^2\mathbf{K} dY_{\mathbb{P}}} = \frac{4\pi^2 \alpha_{\text{em}}}{Q^2} \left( \sum e_f^2 \right) H_{T,L}(\vartheta_2, \vartheta_3, Q^2, P_{\perp}^2) \frac{dx q_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}{d^2\mathbf{K}}$$

$$H_T(\vartheta_2, \vartheta_3, P_{\perp}^2, \tilde{Q}^2) = \delta_{\vartheta} \frac{\alpha_s C_F}{\pi^2} \frac{1}{2\vartheta_3} \frac{\tilde{Q}^2 [(P_{\perp}^2 + \tilde{Q}^2)^2 + \vartheta_2^2 \tilde{Q}^4 + \vartheta_3^2 P_{\perp}^4]}{P_{\perp}^2 (P_{\perp}^2 + \tilde{Q}^2)^3}.$$

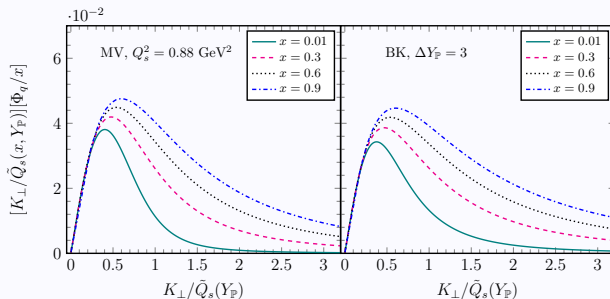


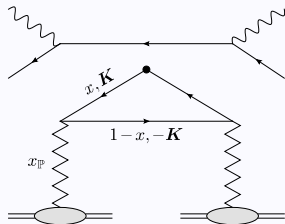
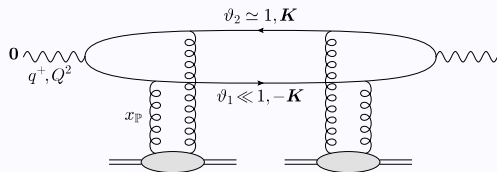
# SEMI-HARD FACTOR: QUARK DIFFRACTIVE TMD

$$\frac{dxq_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}{d^2\mathbf{K}} = \underbrace{\frac{S_{\perp} N_c}{4\pi^3}}_{\text{d.o.f.}} \underbrace{\Psi_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}_{\text{occupation number}}$$

**Explicit** in terms of elastic amplitude  $T(R, x_{\mathbb{P}})$  (fundamental)

$$\Psi_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2) \approx \frac{x}{2\pi} \begin{cases} 1 & \text{for } K_{\perp} \ll \tilde{Q}_s(x) \\ \frac{\tilde{Q}_s^4(x, Y_{\mathbb{P}})}{K_{\perp}^4} & \text{for } K_{\perp} \gg \tilde{Q}_s(x) \end{cases}$$





- Consider dijet cross section obtained in the dipole picture
- Integrate one jet keeping  $\beta$  (gap) fixed  $\rightsquigarrow$  change from  $\vartheta_1$  to  $\beta$
- If (and only if) aligned jet configuration ( $\vartheta_1 \ll 1$ ) and  $P_{\perp}^2 \ll Q^2$ :

$$\frac{d\sigma^{\gamma_T^* A \rightarrow q\bar{q}A}}{d\ln(1/\beta) d^2\mathbf{P}} = \frac{4\pi^2\alpha_{em}}{Q^2} \left( \sum e_f^2 \right)^2 \frac{dx q_{\mathbb{P}}(x, x_{\mathbb{P}}, P_{\perp}^2)}{d^2\mathbf{P}} \Bigg|_{x=\beta}$$

- Leading twist result, same quark TMD encountered in 2+1 jets

- Consider hard antiquark-gluon pair and soft quark configuration
- In SIDIS we measure antiquark, integrate the gluon
- Dominant contribution from gluon such that

$$\vartheta_2 \simeq 1 \gg \vartheta_3 \sim \frac{P_{\perp}^2}{Q^2} \gg \vartheta_1 \sim \frac{k_{1\perp}^2}{Q^2}$$

- Integrate at fixed  $\beta$

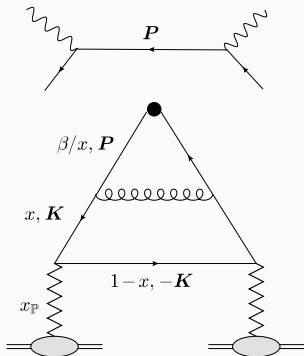
$$\begin{aligned} \frac{d\sigma^{\gamma_T^* A \rightarrow (q)\bar{q}gA}}{d^2\mathbf{P} d\ln(1/\beta)} &= \int d\vartheta_2 d\vartheta_3 \int \frac{dx}{x} \beta \delta\left(\beta - x \frac{\tilde{Q}^2}{\tilde{Q}^2 + P_{\perp}^2}\right) \\ &\times H_T(\vartheta_2, \vartheta_3, Q^2, P_{\perp}^2) \int d^2\mathbf{K} \frac{dx q_{\mathbb{P}}(x, x_{\mathbb{P}}, K_{\perp}^2)}{d^2\mathbf{K}} \end{aligned}$$

- $\mathbf{K}$ -integration gives DPDF

# EMERGENCE OF DGLAP

- Hard factor becomes (real part of) DGLAP splitting function

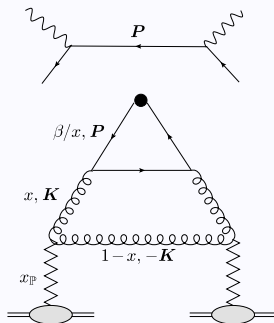
$$\frac{d\sigma^{\gamma_T^* A \rightarrow (q)\bar{q}gA}}{d^2\mathbf{P} d\ln(1/\beta)} = \frac{4\pi^2\alpha_{em}}{Q^2} \left( \sum e_f^2 \right) \times \frac{\alpha_s}{2\pi^2} \frac{1}{P_{\perp}^2} \int_{x_{\min}}^1 \frac{dx}{x} \frac{\beta}{x} P_{qg} \left( \frac{\beta}{x} \right) xq_{\mathbb{P}}(x, x_{\mathbb{P}}, P_{\perp}^2).$$



- Target: gluon emission before  $\gamma^*$  absorption by struck antiquark
- All projectile diagrams contribute to simple target picture

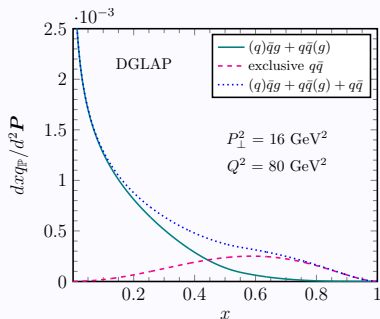
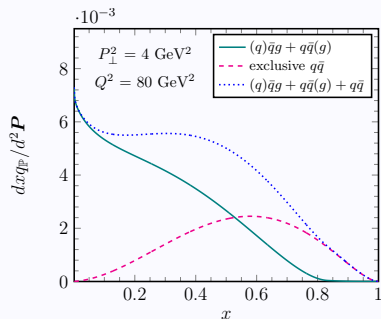
- Consider hard quark-antiquark pair and soft gluon
- Integrate the quark with fixed  $\beta$  to get SIDIS

$$\frac{d\sigma^{\gamma_T^* A \rightarrow q\bar{q}(g)A}}{d^2\mathbf{P} d\ln(1/\beta)} = \frac{4\pi^2\alpha_{\text{em}}}{Q^2} \left( \sum e_f^2 \right) \times \frac{\alpha_s}{2\pi^2} \frac{1}{P_{\perp}^2} \int_{\beta}^1 \frac{dx}{x} \frac{\beta}{x} P_{qg} \left( \frac{\beta}{x} \right) x G_{\mathbb{P}}(x, x_{\mathbb{P}}, P_{\perp}^2).$$



# “TOTAL” DTMDs

- Absorb 2+1 jet contributions into the quark DTMD
- 2 jets piece:  $\sim 1/P_{\perp}^4$
- 2+1 jets pieces:  $\sim \alpha_s/P_{\perp}^2$
- Similarly for the gluon DTMD (would need an extra step since it does not appear in 2 jets)



Target average to be taken with CGC wave-function

- ◉  $\langle T(\mathbf{x}, \mathbf{y})T(\bar{\mathbf{y}}, \bar{\mathbf{x}}) \rangle \rightarrow$  Total diffraction
- ◉  $\langle T(\mathbf{x}, \mathbf{y}) \rangle \langle T(\bar{\mathbf{y}}, \bar{\mathbf{x}}) \rangle \rightarrow$  Coherent diffraction
- ◉  $\langle T(\mathbf{x}, \mathbf{y})T(\bar{\mathbf{y}}, \bar{\mathbf{x}}) \rangle - \langle T(\mathbf{x}, \mathbf{y}) \rangle \langle T(\bar{\mathbf{y}}, \bar{\mathbf{x}}) \rangle \rightarrow$  Incoherent diffraction

Homogeneous target:

- ◉ Coherent diffraction  $\sim \delta^2(\Delta)$  (smeared to  $1/R_A$ )  
Negligible momentum transfer from target to projectile
- ◉ Momentum transfer conjugate to difference  $B$  of impact parameters in DA and CCA  $\rightsquigarrow$  non-zero momentum transfer

Variance of scattering amplitude determined by target fluctuations

- ◉ Pomeron loops: particle number fluctuations in target
- ◉ Hot spots (shape fluctuations)
- ◉  $1/N_c^2$  color fluctuations (MV model, JIMWLK)
- ◉ ...

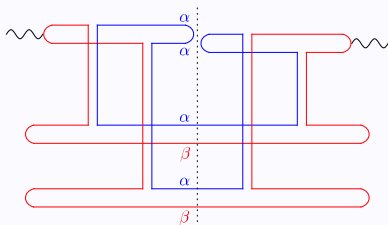
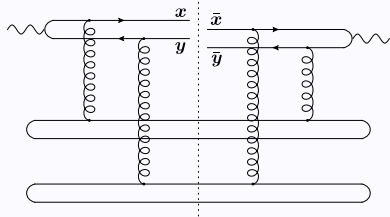
Study color fluctuations

- ◉  $Q_s$  sets the scale for color fluctuations
- ◉ Expect power-law tail for  $\Delta_{\perp} > Q_s$



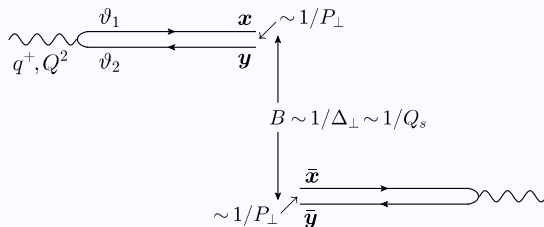
# CORRELATOR AT 4-GLUON EXCHANGE

Assume Gaussian CGC WF, only pieces connecting DA with CCA survive  
Just for illustration assume 4-gluon exchange



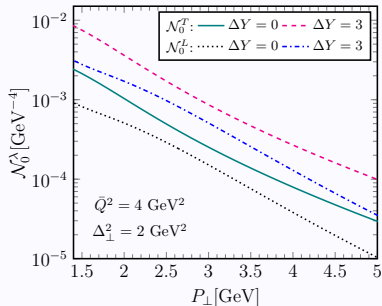
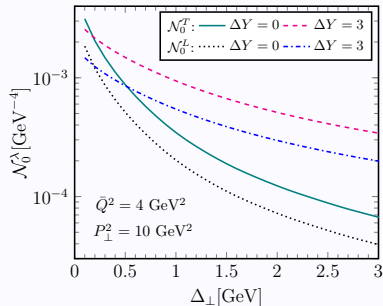
- Projectile can be either quark or gluon dipole
- Elastic on projectile
- Colorless nucleus substructures scatter inelastically
- Exchange of color among them
- Incoherent scattering  $\leftrightarrow 1/N_c^2$  suppression

With only two hard jets, pair imbalance is momentum transfer  $\mathbf{K} = \Delta$   
 Interested in  $P_{\perp} \gg \Delta_{\perp}, Q_s \leftrightarrow r, \bar{r} \ll B, 1/Q_s$



- Correlator known (at finite- $N_c$ )
- Expand for  $r, \bar{r} \ll B, 1/Q_s$ , other than that  $B$  is arbitrary

## 2 HARD JETS, AVERAGED (OVER ANGLE) CROSS SECTION



$$\frac{d\sigma_D^{\gamma^* A \rightarrow q\bar{q}X}}{d\vartheta_1 d\vartheta_2 d^2\mathbf{P} d^2\mathbf{\Delta}} \propto \frac{\alpha_{\text{em}} S_{\perp}}{N_c} \frac{Q_s^2}{P_{\perp}^6} \underbrace{f(\Delta_{\perp}/Q_s)}_{\text{dim/less}}$$

$$f(\Delta_{\perp}/Q_s) \sim \begin{cases} \mathcal{O}(1) & \text{for } \Delta_{\perp} \ll Q_s \\ Q_s^2/\Delta_{\perp}^2 & \text{for } \Delta_{\perp} \gg Q_s \end{cases}$$

- ◉ Pair imbalance determined by momentum transfer  $\Delta$  and recoil due to soft jet emission,  $\mathbf{K} = \Delta - \mathbf{k}_3$
- ◉ Integrate over  $\mathbf{k}_3$  with fixed  $\Delta$

Interested again in  $P_\perp \gg \Delta_\perp, Q_s$ , but now:

- ◉ Distribution in  $k_{3\perp}$  is peaked at  $Q_s$
- ◉ Size of scattering dipole  $R \sim 1/k_{3\perp} \sim 1/Q_s$  is large
- ◉ No expansion in the QCD correlator

- Integrate numerically over  $B$ ,  $R$ ,  $\bar{R}$  (and  $k_3$ )
- Well behaved quantity

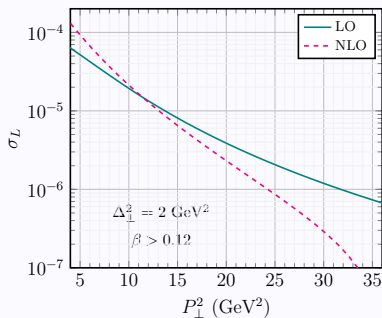
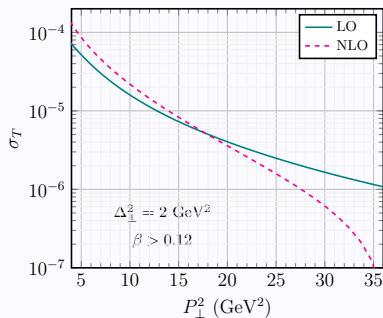
$$\frac{d\sigma_D^{\gamma^* A \rightarrow q\bar{q}gX}}{d\vartheta_1 d\vartheta_2 d^2 P d^2 \Delta} \propto \frac{\alpha_{\text{em}} S_\perp}{N_c} \frac{\alpha_s}{P_\perp^4} \underbrace{f_1(\Delta_\perp/Q_s)}_{\text{dim/less}}$$

$$f_1(\Delta_\perp/Q_s) \sim \begin{cases} \mathcal{O}(1) & \text{for } \Delta_\perp \ll Q_s \\ Q_s^4/\Delta_\perp^4 & \text{for } \Delta_\perp \gg Q_s \end{cases}$$

- Larger than 2 jets cross sections since  $1/P_\perp^4$  vs  $1/P_\perp^6$

# FORWARD DIJET WITH MINIMUM RAPIDITY GAP

- Fix  $Q^2$ ,  $s$  and thus  $Y_{Bj} = \ln 1/x_{Bj} = 6.1$
- Require a minimum rapidity gap  $Y_{\text{gap}}^{\text{min}} = 4$
- This implies a  $Y_{\beta}^{\text{max}}$  or  $\beta_{\text{min}} = 0.12$
- Fix  $\vartheta_1 = \vartheta_2 = 1/2$  and  $\Delta_{\perp}^2 = 2\text{GeV}^2$
- Then  $P_{\perp}^2$  cannot exceed a max value



- ◉ Diffraction at **hard** momenta in  $\gamma A$  collisions in CGC
- ◉ Diffractive **hard** dijet cross sections dominated by 2+1 jets due to scattering near unitarity limit
- ◉ Seed of semi-hard jet either a gluon or a quark
- ◉ For sufficiently large rapidity gaps and/or large nuclei **gluon and quark** DTMDs and DPDFs calculated from “**first principles**”
- ◉ CGC as initial condition for DGLAP