

# Sudakov Resummation for Small-x Physics

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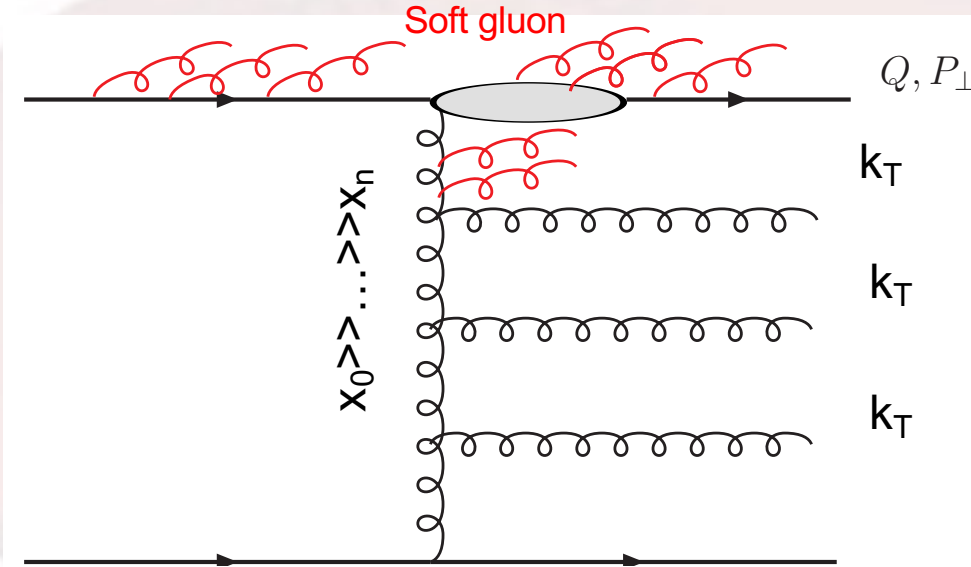
# EIC Science: from quark/gluon to cosmo

- How do the nucleonic properties such as mass and spin emerge from partons and their underlying interactions?
- How are partons inside the nucleon distributed in both momentum and position space?
- What happens to the gluon density in nucleons and nuclei at small  $x$ ? Does it saturate at high energy, giving rise to gluonic matter with universal properties in all nuclei (and perhaps even in nucleons)?
- How do color-charged quarks and gluons, and jets, interact with a nuclear medium? How do confined hadronic states emerge from these quarks and gluons? How do the quark-gluon interactions generate nuclear binding?
- Do signals from beyond-the-standard-model physics manifest in electron-proton/ion collisions? If so, what can we learn about the nature of these new particles and forces?

# Transverse Momentum Distributions at small-x

- Consistency between the collinear TMD definitions and the small-x dipole calculations have been established
  - Dominguez-Marquet-Xiao-Yuan 2011
- They are the most studied subjects in small-x phenomenology: inclusive, semi-inclusive processes
- **Unique predictions of the TMDs from small-x formalism**
  - Significant linear polarization for the gluon (Metz-Zhou 2011)
  - Spin (of hadron) dependence offers nontrivial QCD dynamics (Zhou et al, 2015; Kovchegov et al, 2016-2021)

# Beyond leading order picture: additional dynamics comes in



- BFKL vs **Sudakov** resummations (LL)

# QCD evolution at high energy

- BFKL/BK-JIMWLK (small-x)
- Sudakov (TMD)

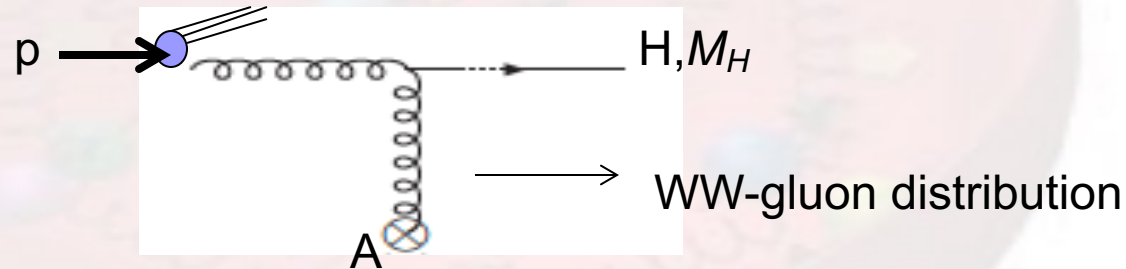
Mueller-Xiao-Yuan 2013

Balitsky-Tarasov 2014

Xiao-Yuan-Zhou 2016

# Sudakov resummation at small-x

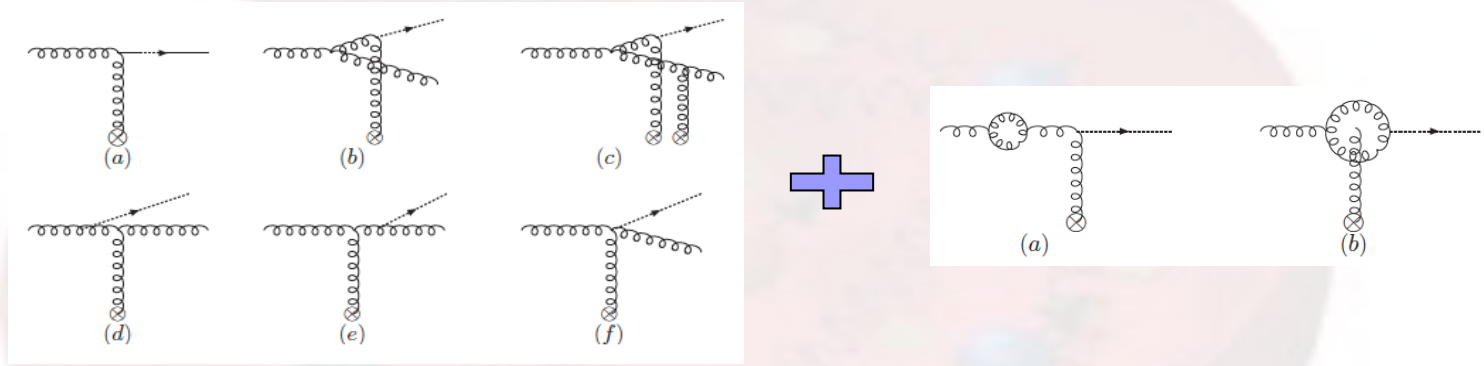
- Take massive scalar particle production  $p+A \rightarrow H+X$  as an example to demonstrate the double logarithms, and resummation



$$\frac{d\sigma^{(\text{LO})}}{dyd^2k_{\perp}} = \sigma_0 \int \frac{d^2x_{\perp}d^2x'_{\perp}}{(2\pi)^2} e^{ik_{\perp} \cdot r_{\perp}} x_0 g_p(x_0) S^{(WW)}(x_{\perp}, x'_{\perp})$$

$$S_Y^{WW}(x_{\perp}, y_{\perp}) = - \left\langle \text{Tr} \left[ \partial_{\perp}^{\beta} U(x_{\perp}) U^{\dagger}(y_{\perp}) \partial_{\perp}^{\beta} U(y_{\perp}) U^{\dagger}(x_{\perp}) \right] \right\rangle_Y$$

# Explicit one-loop calculations



$$x_0 g_p(x_0) \int \frac{d\xi}{\xi} \mathbf{K}_{DMMX} \otimes S^{WW}(x_\perp, y_\perp) + \left(-\frac{1}{\epsilon}\right) S^{WW}(x_\perp, y_\perp) \mathcal{P}_{g/g} \otimes x_0 g(x_0),$$

- Collinear divergence  $\rightarrow$  DGLAP evolution
- Small-x divergence  $\rightarrow$  BK-type evolution

# Soft vs Collinear gluons

- Radiated gluon momentum

$$k_g = \alpha_g p_1 + \beta_g p_2 + k_{g\perp} ,$$

- Soft gluon,  $\alpha \sim \beta \ll 1$
- Collinear gluon,  $\alpha \sim 1, \beta \ll 1$
- Small-x collinear gluon,  $1 - \beta \ll 1, \alpha \rightarrow 0$ 
  - Rapidity divergence



# Final result with resummation

- Double logs at one-loop order

$$\frac{d\sigma^{(\text{LO+NLO})}}{dyd^2k_\perp} \Big|_{k_\perp \ll Q} = \sigma_0 \int \frac{d^2x_\perp d^2x'_\perp}{(2\pi)^2} e^{ik_\perp \cdot r_\perp} S_{Y=\ln 1/x_a}^{WW}(x_\perp, x'_\perp) xg_p(x, \mu^2 = \frac{c_0^2}{r_\perp^2})$$
$$\left\{ 1 + \frac{\alpha_s}{\pi} C_A \left[ \beta_0 \ln \frac{Q^2 r_\perp^2}{c_0^2} - \frac{1}{2} \left( \ln \frac{Q^2 r_\perp^2}{c_0^2} \right)^2 + \frac{\pi^2}{2} \right] \right\},$$

- Inc

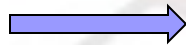
$$\frac{d\sigma^{(\text{resum})}}{dyd^2k_\perp} \Big|_{k_\perp \ll Q} = \sigma_0 \int \frac{d^2x_\perp d^2x'_\perp}{(2\pi)^2} e^{ik_\perp \cdot r_\perp} e^{-S_{\text{sud}}(Q^2, r_\perp^2)} S_{Y=\ln 1/x_a}^{WW}(x_\perp, x'_\perp)$$
$$\times xg_p(x, \mu^2 = c_0^2/r_\perp^2) \left[ 1 + \frac{\alpha_s}{\pi} \frac{\pi^2}{2} N_c \right],$$

# Sudakov leading double logs+small-x logs in hard processes, e.g., dijet

- Each incoming parton contributes to a half of the associated color factor in Sudakov
  - Initial gluon radiation, aka, TMDs

$$\frac{d\sigma}{dy_1 dy_2 dP_\perp^2 d^2 k_\perp} \propto H(P_\perp^2) \int d^2 x_\perp d^2 y_\perp e^{ik_\perp \cdot (x_\perp - y_\perp)} \widetilde{W}_{x_A}(x_\perp, y_\perp)$$

Sudakov



$$H(P_\perp^2) \int d^2 x_\perp d^2 y_\perp e^{ik_\perp \cdot R_\perp} e^{-S_{sud}(P_\perp, R_\perp)} \widetilde{W}_{x_A}(x_\perp, y_\perp)$$

Mueller-Xiao-Yuan 2013

# TMD at small- $x$ : Sudakov and BFKL (BK)

- Start with the factorized TMDs, with full operator definitions
- Calculate the high order corrections in dipole formalism
  - With proper subtraction
- Solve the TMD evolution with BK-evolved dipole (quadrupole) amplitude

See also Skokov's talk Friday


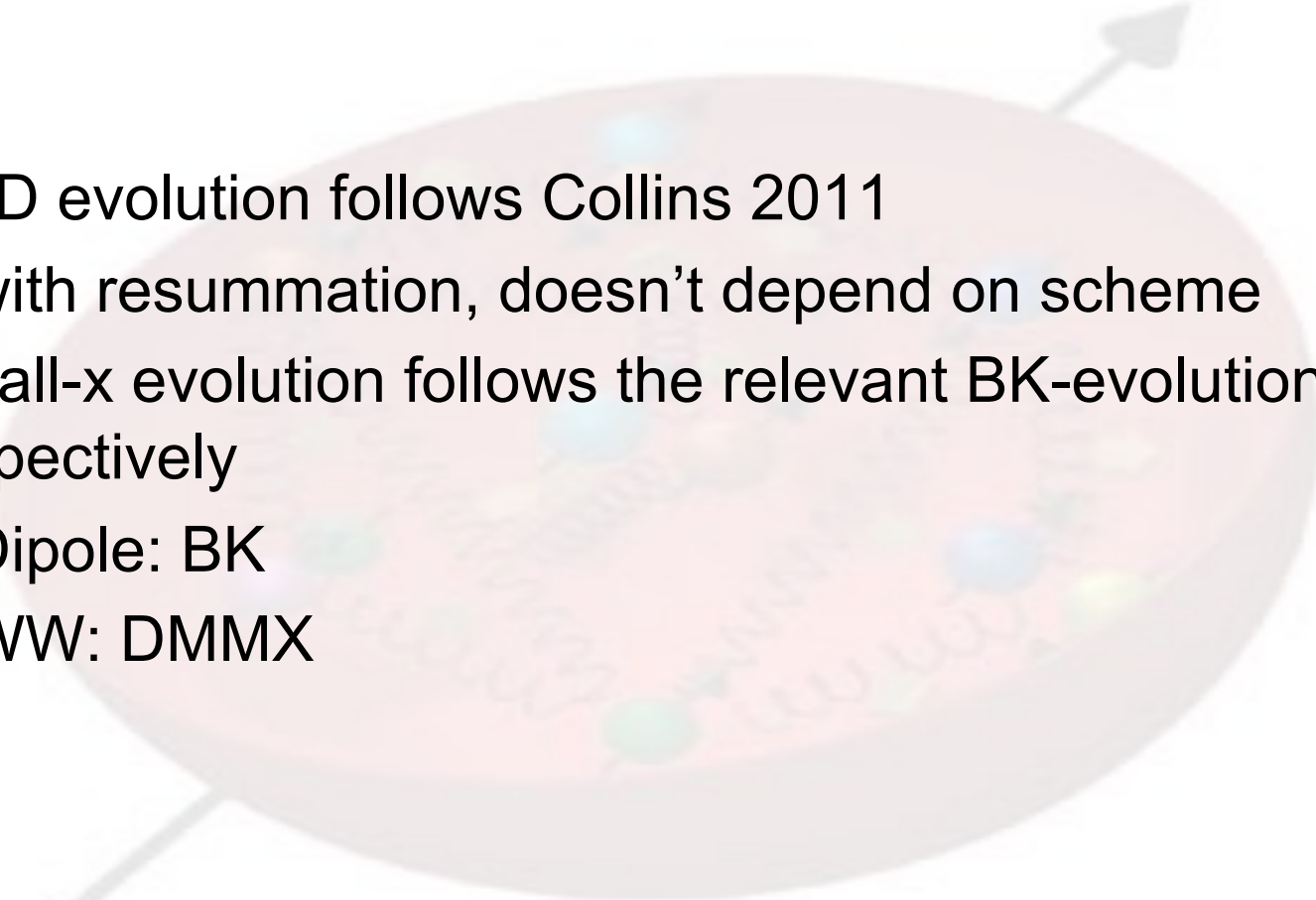
# Subtracted TMD at small-x

$$f_g^{(sub.)}(x, r_\perp, \mu_F, \zeta_c) = f_g^{unsub.}(x, r_\perp) \sqrt{\frac{S^{\bar{n},v}(r_\perp)}{S^{n,\bar{n}}(r_\perp) S^{n,v}(r_\perp)}}$$

CGC: WW-gluon  
Dipole gluon

Subtract the endpoint  
Singularity (Collins 2011)

$$\zeta_c^2 = x^2 (2v \cdot P)^2 / v^2$$

- 
- 
- TMD evolution follows Collins 2011
    - with resummation, doesn't depend on scheme
  - Small-x evolution follows the relevant BK-evolution, respectively
    - Dipole: BK
    - WW: DMMX

# Small-x gluon distribution with TMD resummation

Mueller-Xiao-Yuan, 2012; Xiao-Yuan-Zhou 2016  
 Caucal-Salazar-Schenke-Venugopalan 2022-23  
 Taels-Altinoluk-Beuf-Marquet 2022  
 Mukherjee-Skokov-Tarasov-Tiwari, 2023

$$xG^{(1)}(x, k_{\perp}, \zeta_c = \mu_F = Q)$$

→ Hard scale entering TMD Factorization, e.g., Higgs

$$\begin{aligned} & \times \left[ -\frac{2}{\alpha_S} \int \frac{d^2x_{\perp} d^2y_{\perp}}{(2\pi)^4} e^{ik_{\perp} \cdot r_{\perp}} \mathcal{H}^{WW}(\alpha_S(Q)) e^{-\mathcal{S}_{sud}(Q^2, r_{\perp}^2)} \right. \\ & \left. \times \mathcal{F}_{Y=\ln 1/x}^{WW}(x_{\perp}, y_{\perp}) \right], \end{aligned}$$

Small-x evolution

Pert. corrections

Sudakov resum.

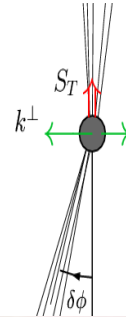
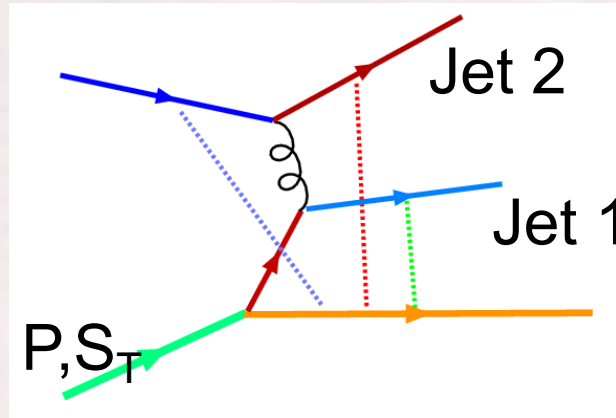
**Prediction Power!!**

# Comments/open questions

- Compare (in some kinematics, match) to TMD gluon distribution in the collinear framework?
- Unambiguously determine gluon saturation
  - Both predict  $x$ -dependence, hard to distinguish them
  - **Need a big nucleus to enhance the saturation effects, i.e., EIC!**

# Dijet-correlation in pp is much more complicated

- Initial state and/or final state interactions



Boer-Vogelsang 03

**Standard (naïve) Factorization breaks!**

Becchetta-Bomhof-Mulders-  
Pijlman, 04-06

Collins-Qiu 08; Vogelsang-Yuan 08

Rogers-Mulders 10; Xiao-Yuan, 10



# Modified factorization

- Dilute system on a dense target, in the large  $N_c$  limit,

$$\begin{aligned} & \frac{d\sigma(pA \rightarrow \text{Dijet} + X)}{d\mathcal{P}.S.} \\ &= \sum_q x_1 q(x_1) \frac{\alpha_s^2}{\hat{s}^2} \left[ \mathcal{F}_{qg}^{(1)} H_{qg \rightarrow qg}^{(1)} + \mathcal{F}_{qg}^{(2)} H_{qg \rightarrow qg}^{(2)} \right] \\ &+ x_1 g(x_1) \frac{\alpha_s^2}{\hat{s}^2} \left[ \mathcal{F}_{gg}^{(1)} \left( H_{gg \rightarrow q\bar{q}}^{(1)} + H_{gg \rightarrow gg}^{(1)} \right) \right. \\ &\left. + \mathcal{F}_{gg}^{(2)} \left( H_{gg \rightarrow q\bar{q}}^{(2)} + H_{gg \rightarrow gg}^{(2)} \right) + \mathcal{F}_{gg}^{(3)} H_{gg \rightarrow gg}^{(3)} \right], \end{aligned}$$

Dominguez-Marquet-Xiao-Yuan 2011

## ■ Kt-dependent gluon distributions

$$\mathcal{F}_{gg}^{(1)} = xG^{(2)}(x, q_{\perp}), \quad \mathcal{F}_{gg}^{(2)} = \int xG^{(1)}(q_1) \otimes F(q_2),$$

$$\mathcal{F}_{gg}^{(1)} = \int xG^{(2)}(q_1) \otimes F(q_2), \quad \mathcal{F}_{gg}^{(2)} = \int \frac{q_{1\perp} \cdot q_{2\perp}}{q_{1\perp}^2} xG^{(2)}(q_1) \otimes F(q_2)$$

$$\mathcal{F}_{gg}^{(3)} = \int xG^{(1)}(q_1) \otimes F(q_2) \otimes F(q_3),$$

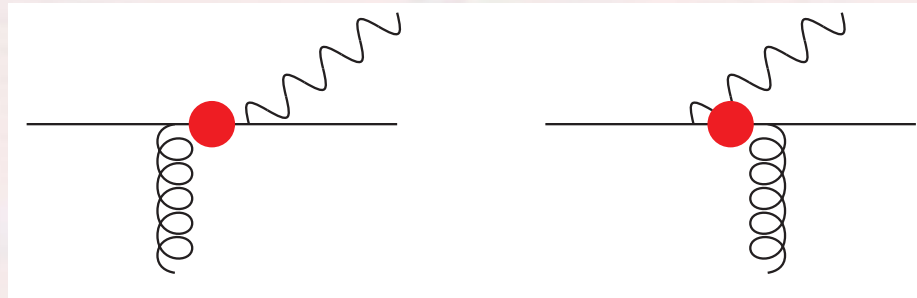
Dominguez-Marquet-Xiao-Yuan 2011

# Extend to dijet in hadronic processes: count the leading double logs

- Each incoming parton contributes to a half of the associated color factor
  - Initial gluon radiation, aka, TMDs
- Soft gluon radiation in collinear calculation also demonstrates this rule
  - Serman, et al
  - Sub-leading logs will be much complicated, usually a matrix form

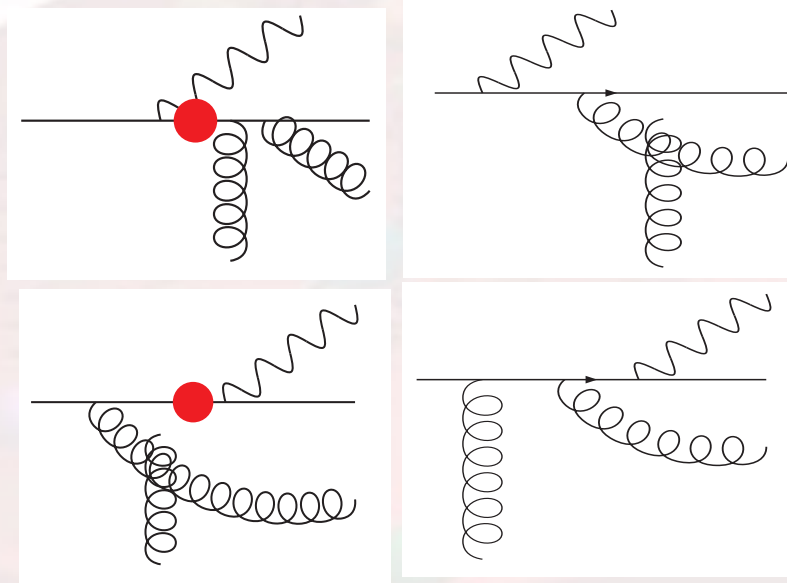
# Photon-Jet correlation

- Leading order



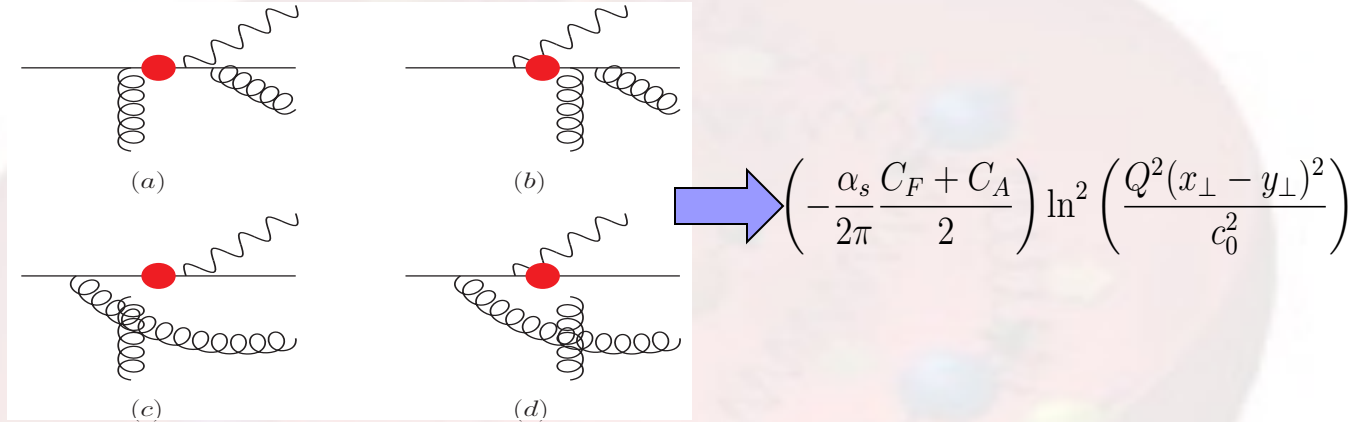
Dipole gluon distribution

# BK-evolution



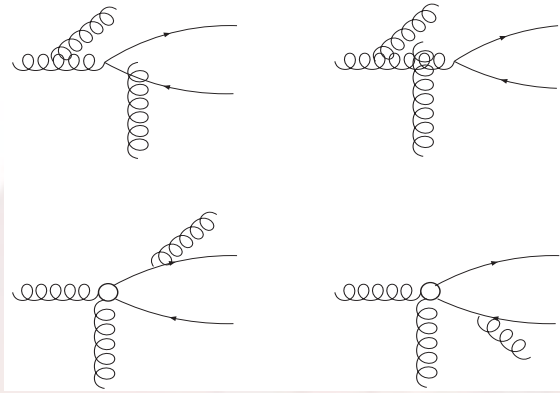
$$\frac{\partial}{\partial Y} S_Y^{(2)}(x_\perp, y_\perp) = -\frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2 b_\perp (x_\perp - y_\perp)^2}{(x_\perp - b_\perp)^2 (y_\perp - b_\perp)^2} \left[ S_Y^{(2)}(x_\perp, y_\perp) - S_Y^{(4)}(x_\perp, b_\perp, y_\perp) \right]$$

# Soft gluon radiation



- $A^2$  from (a,b) contribute to  $C_F/2$  (jet)
- $A^2$  from (c,d) contribute to  $C_F$
- Interference contribute to  $1/2N_c$

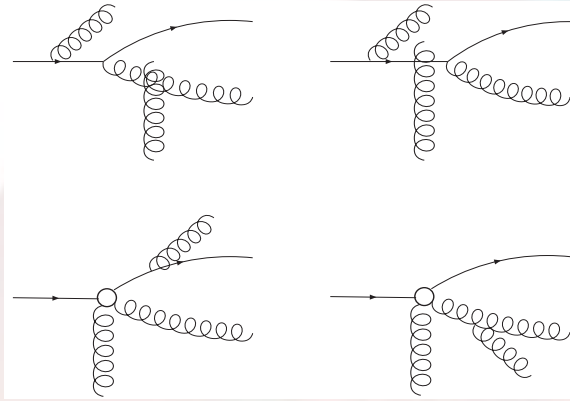
# $gg \rightarrow qq$



$$\rightarrow \left(-\frac{\alpha_s}{2\pi} N_c\right) \ln^2 \left(\frac{Q^2(x_\perp - y_\perp)^2}{c_0^2}\right)$$

- $|A_1|^2 \rightarrow C_A, |A_2|^2 \rightarrow C_F/2, |A_3|^2 \rightarrow C_F/2$
- $2A_1^*(A_2+A_3) \rightarrow -N_c/2$
- $2A_2^*A_3, 1/N_c$  suppressed

# $qg \rightarrow qg$

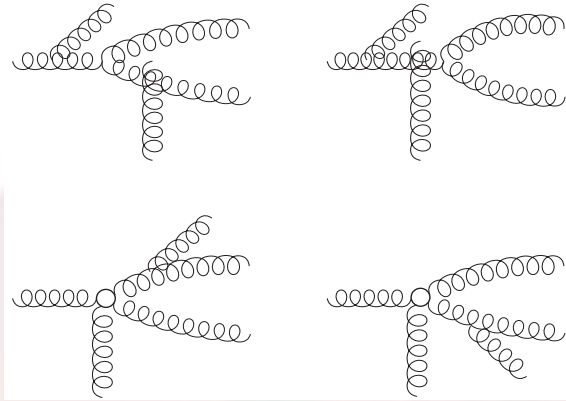


$$\Rightarrow \left( -\frac{\alpha_s}{2\pi} \frac{C_F + C_A}{2} \right) \ln^2 \left( \frac{Q^2(x_\perp - y_\perp)^2}{c_0^2} \right)$$

- $|A_1|^2 \rightarrow C_F$ ,  $|A_2|^2 \rightarrow C_F/2$ ,  $|A_3|^2 \rightarrow C_A/2$
- $2A_3^*(A_1 + A_2) \rightarrow -Nc/2$
- $2A_1^*A_2$ , large  $Nc$  suppressed



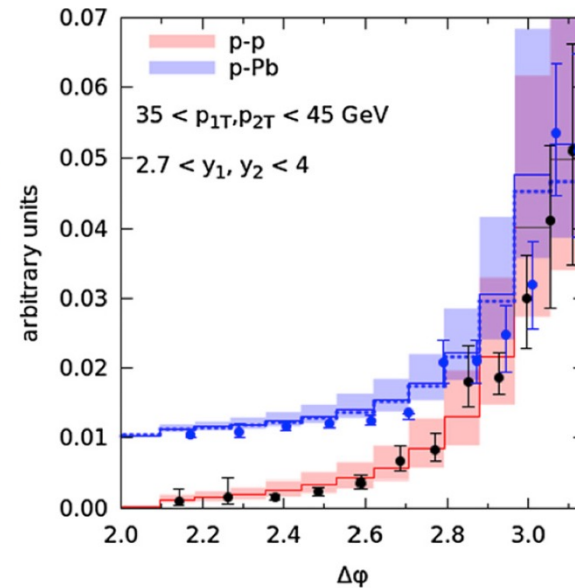
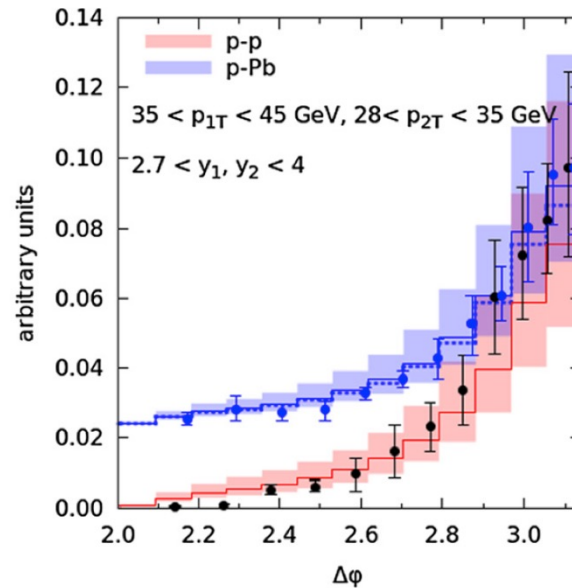
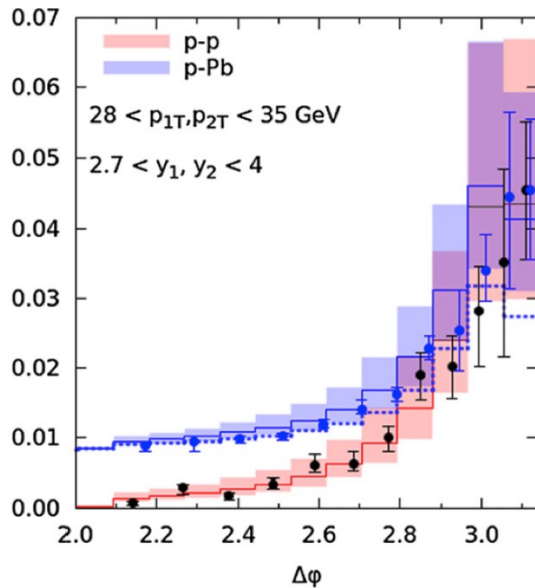
# $gg \rightarrow gg$



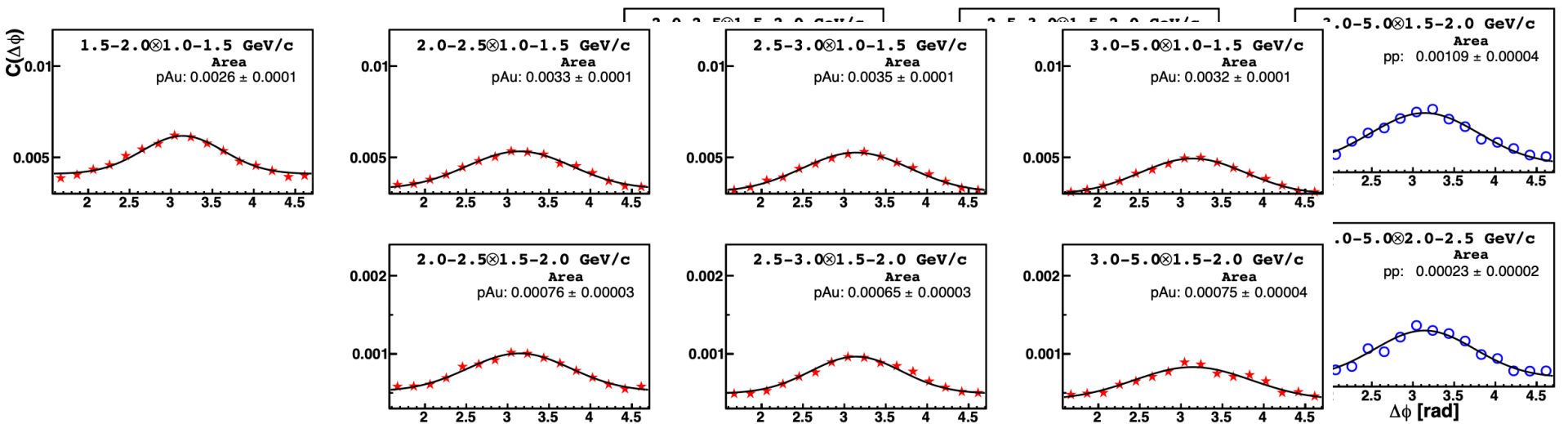
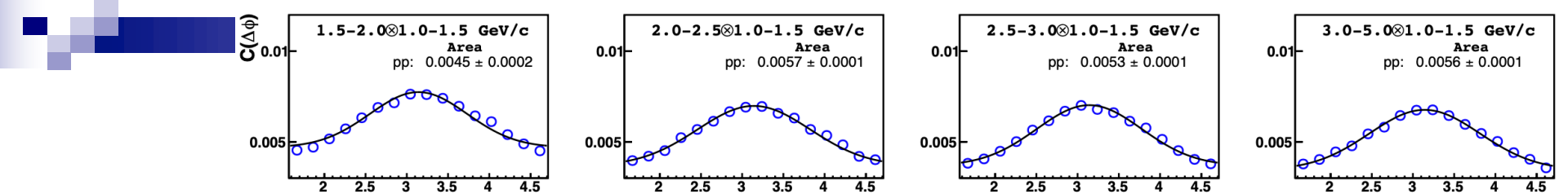
$$\rightarrow \left(-\frac{\alpha_s}{2\pi} N_c\right) \ln^2 \left(\frac{Q^2(x_\perp - y_\perp)^2}{c_0^2}\right)$$

- $|A_1|^2 \rightarrow C_A, |A_2|^2 \rightarrow C_A/2, |A_3|^2 \rightarrow C_A/2$
- $2A_1^*(A_2+A_3)+2A_2^*A_3 \rightarrow -N_c$

# pA collisions at the LHC

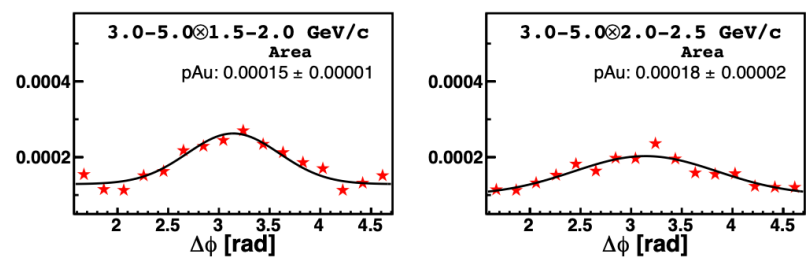


van Hameren, Kotko, Kutak, Sapeta, 1903.0136



STAR Coll., PRL 2022,  
 arXiv:2111.10396

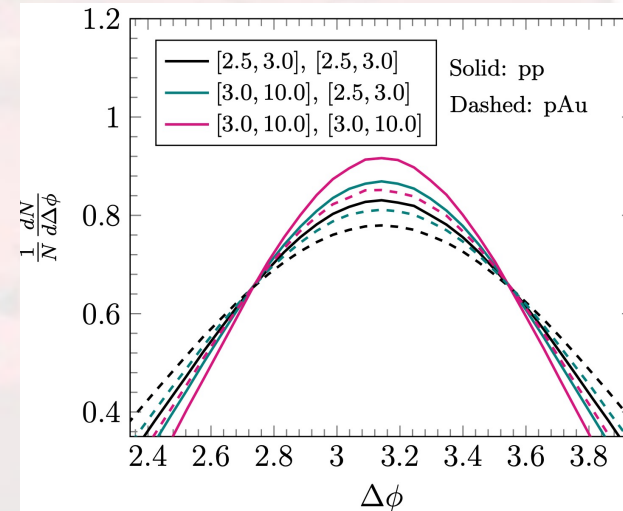
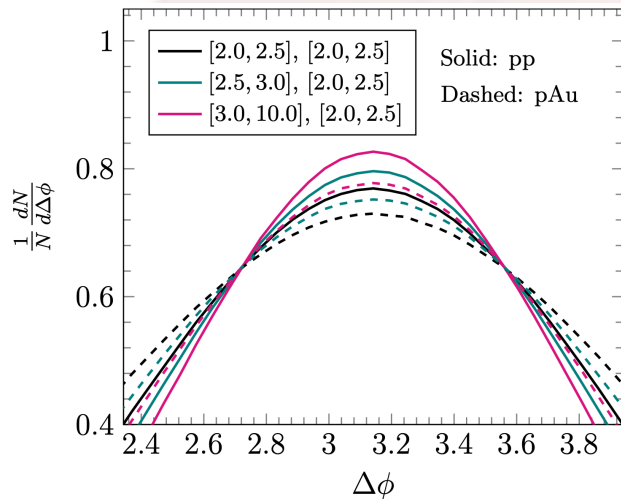
STAR,  $\sqrt{s_{NN}} = 200$  GeV  
 pAu  $\rightarrow \pi^0 \pi^0$   
 $2.6 < \eta < 4$   
 ★ data  
 — fit



# Include Sudakov effects in the CGC for di-hadron correlations

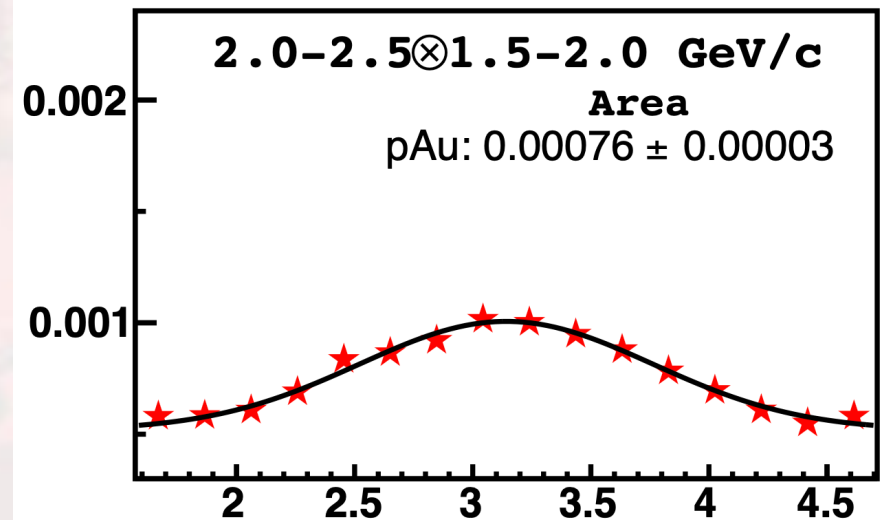
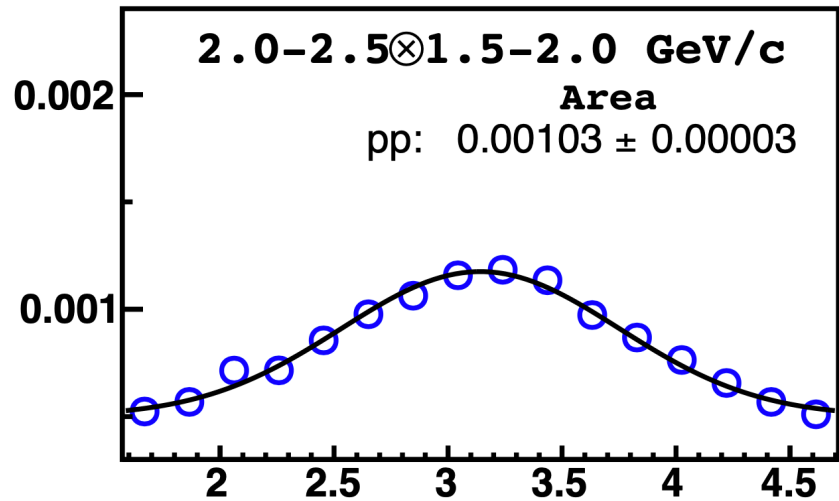
- Unintegrated gluon distributions w/ Sudakov, e.g.,

$$\mathcal{F}_{qg}^{(a)}(x_g, q_\perp) = \frac{-N_c S_\perp}{2\pi^2 \alpha_s} \int_0^\infty \frac{b_\perp db_\perp}{2\pi} J_0(q_\perp b_\perp) e^{-S_{\text{Sud}}^{q+g \rightarrow q+g}(b_\perp)} \nabla_{b_\perp}^2 S_{x_g}(b_\perp)$$



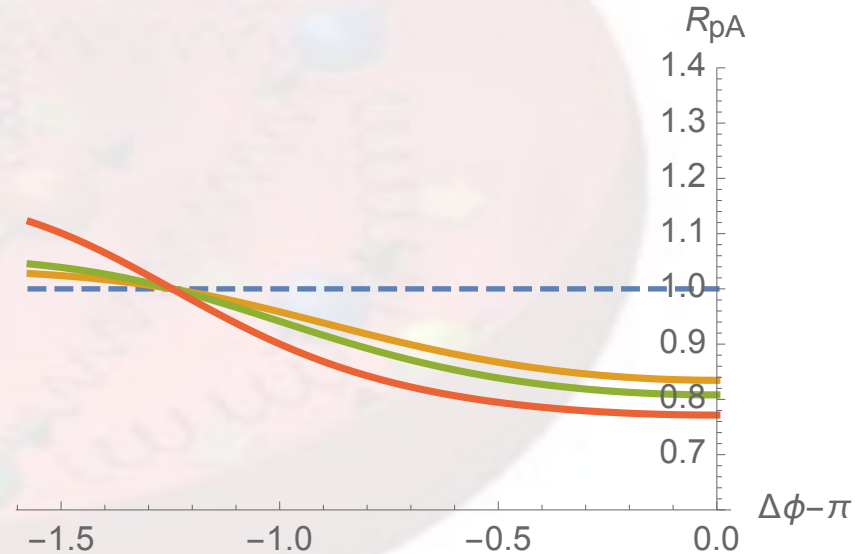
# Real data teach us more on the physics

- Compare pp to pA



# Simple extraction of nuclear suppression indicates a Pt-broadening effects

- Suppression factor depends on the background subtraction
  - STAR fit: constant background+simple Gaussian shows no Pt-broadening
- Pt-broadening is not as profound as our previous predictions
  - It may change if different background subtraction used



# Looking forward

- We need more data
  - Cross check the background! E.g., through charged particle pairs, mixed pairs etc., and photon+hadron correlations
- We need theory developments
  - Complete NLL resummation for dijet in hadronic collisions in CGC (collinear framework done)
  - Need BK-JIMWLK evolution for all different UGDs, at least qualitatively

# Beyond the leading double logs: collinear

- Jet size-dependence is computed by averaging the azimuthal angle between the soft gluon and leading jet
- Matrix form due to colored final state [Kidonakis-Sterman 1997](#)

$$x_1 f_a(x_1, \mu = b_0/b_\perp) x_2 f_b(x_2, \mu = b_0/b_\perp) e^{-S_{\text{Sud}}(Q^2, b_\perp)} \\ \text{Tr} \left[ \mathbf{H}_{ab \rightarrow cd} \exp\left[-\int_{b_0/b_\perp}^Q \frac{d\mu}{\mu} \gamma^{s\dagger}\right] \mathbf{S}_{ab \rightarrow cd} \exp\left[-\int_{b_0/b_\perp}^Q \frac{d\mu}{\mu} \gamma^s\right] \right]$$

(Sun, C.-P. Yuan, F. Yuan, PRL 2014)

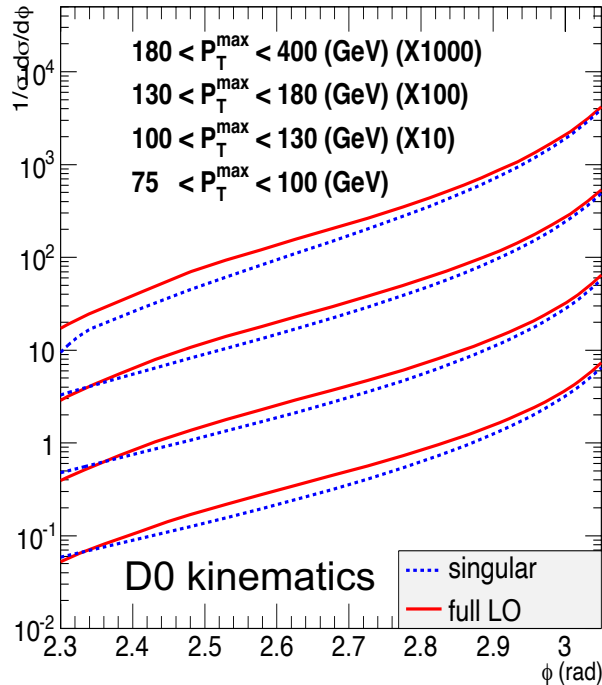
$$S_{\text{Sud}}(Q^2, b_\perp) = \int_{b_0^2/b_\perp^2}^{Q^2} \frac{d\mu^2}{\mu^2} \left[ \ln\left(\frac{Q^2}{\mu^2}\right) A + B + D_1 \ln \frac{Q^2}{P_T^2 R_1^2} + D_2 \ln \frac{Q^2}{P_T^2 R_2^2} \right]$$

D: color-factor for the jet

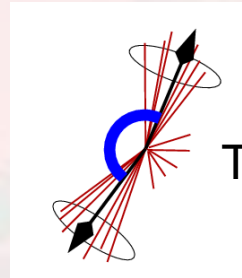
R: jet size



# Compare to the full calculations



$$\begin{aligned}
 & \frac{\alpha_s}{2\pi^2} \frac{1}{q_{\perp}^2} \sum_{ab,a'b'} \sigma_0 \int \frac{dx'_1}{x'_1} \frac{dx'_2}{x'_2} x'_1 f_a(x'_1, \mu) x'_2 f_b(x'_2, \mu) \\
 & \times \left\{ h_{a'b' \rightarrow cd}^{(0)} \left[ \xi_1 \mathcal{P}_{a'/a}(\xi_1) \delta(1 - \xi_2) + \xi_2 \mathcal{P}_{b'/b}(\xi_2) \delta(1 - \xi_1) \right. \right. \\
 & \left. \left. + \delta(1 - \xi_1) \delta(1 - \xi_2) \delta_{aa'} \delta_{bb'} \left( (C_a + C_b) \ln \frac{Q^2}{q_{\perp}^2} + C_c \ln \frac{1}{R_1^2} + C_d \ln \frac{1}{R_2^2} \right) \right] \right. \\
 & \left. + \delta(1 - \xi_1) \delta(1 - \xi_2) \delta_{aa'} \delta_{bb'} \Gamma_{sn}^{ab \rightarrow cd} \right\} , \tag{10}
 \end{aligned}$$

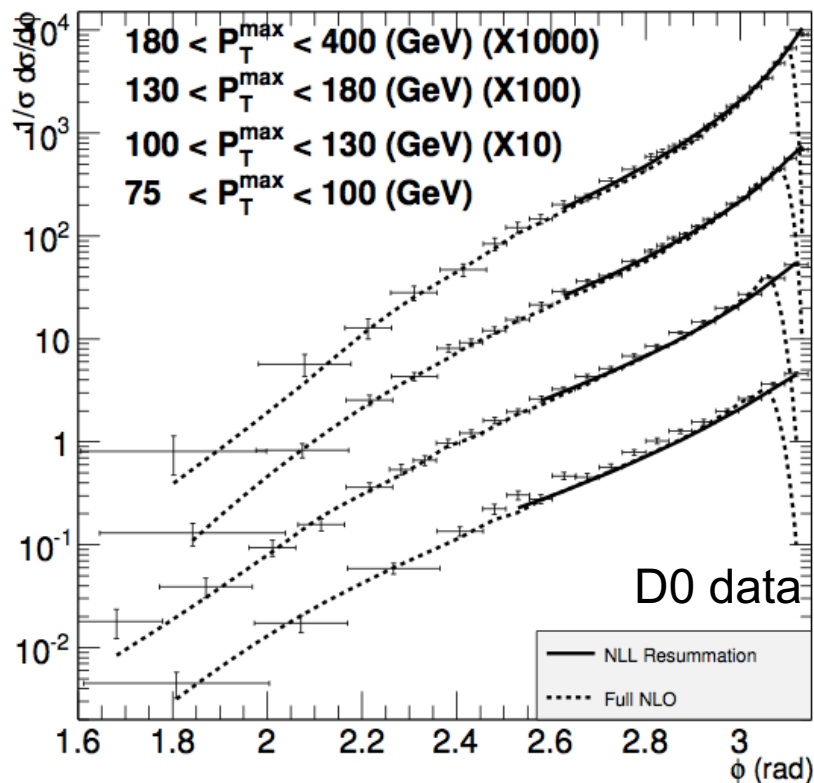


Leading  $P_T$

Total  $q_T \approx P_T \sin(\Delta\phi)$

full LO: Nagy 2002, NLOJET++

# Compare to the data



NLL Resummation:  
Sun, C.P. Yuan, F. Yuan, PRL2014

$$x_1 f_a(x_1, \mu = b_0/b_\perp) x_2 f_b(x_2, \mu = b_0/b_\perp) e^{-S_{\text{Sud}}(Q^2, b_\perp)}$$

$$\text{Tr} \left[ \mathbf{H}_{ab \rightarrow cd} \exp \left[ - \int_{b_0/b_\perp}^Q \frac{d\mu}{\mu} \gamma^{s\dagger} \right] \mathbf{S}_{ab \rightarrow cd} \exp \left[ - \int_{b_0/b_\perp}^Q \frac{d\mu}{\mu} \gamma^s \right] \right]$$

Full NLO: Nagy 2002, NLOJET++