

Natural swarms in 3.99 dimensions

A renormalization group approach to biological systems

Mattia Scandolo

PostDoc @ CoBBS Lab, ISC-CNR - soon moving at UChicago

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SAPIENZA
UNIVERSITÀ DI ROMA

Physicist's approach to biology



We love **building minimal models** that capture the emerging behaviour of biological systems!

Minimal models in biology? How can a minimal model unfold the complex behaviour of biological systems?
Birds are not particles.

Physicist's approach to biology



Whenever you are interested in explaining collective properties, microscopic details do not matter.



Who said so?

Physicist's approach to biology



Whenever you are interested in explaining collective properties, microscopic details do not matter.



Who said so?

IN PHYSICS:
THE RENORMALIZATION GROUP

IN BIOLOGY:
MAYBE THE RG TOO?

Roadmap

Experiments



Theoretical “ingredients”



Renormalization Group

Experiments

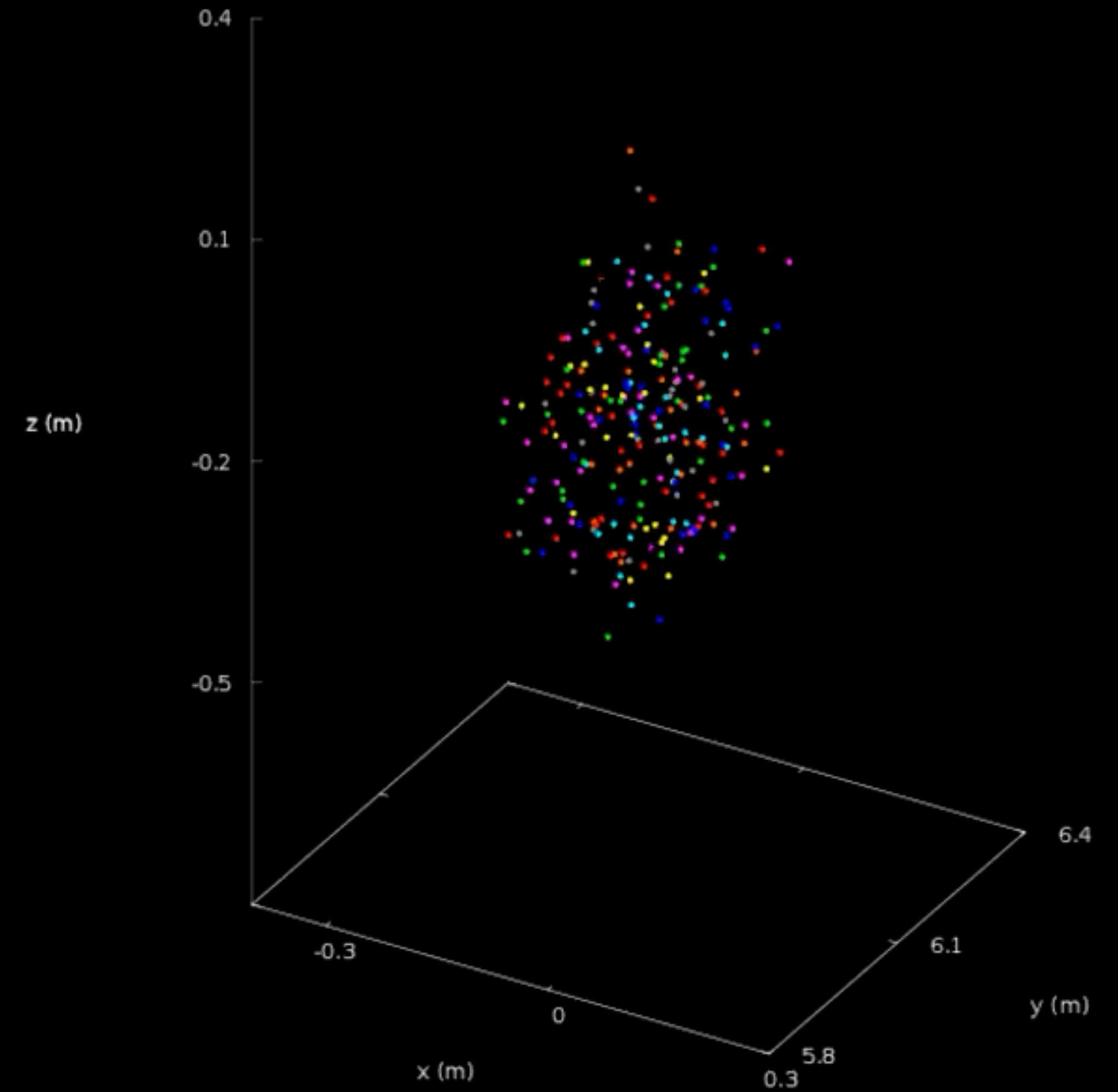


Theoretical “ingredients”



Renormalization Group

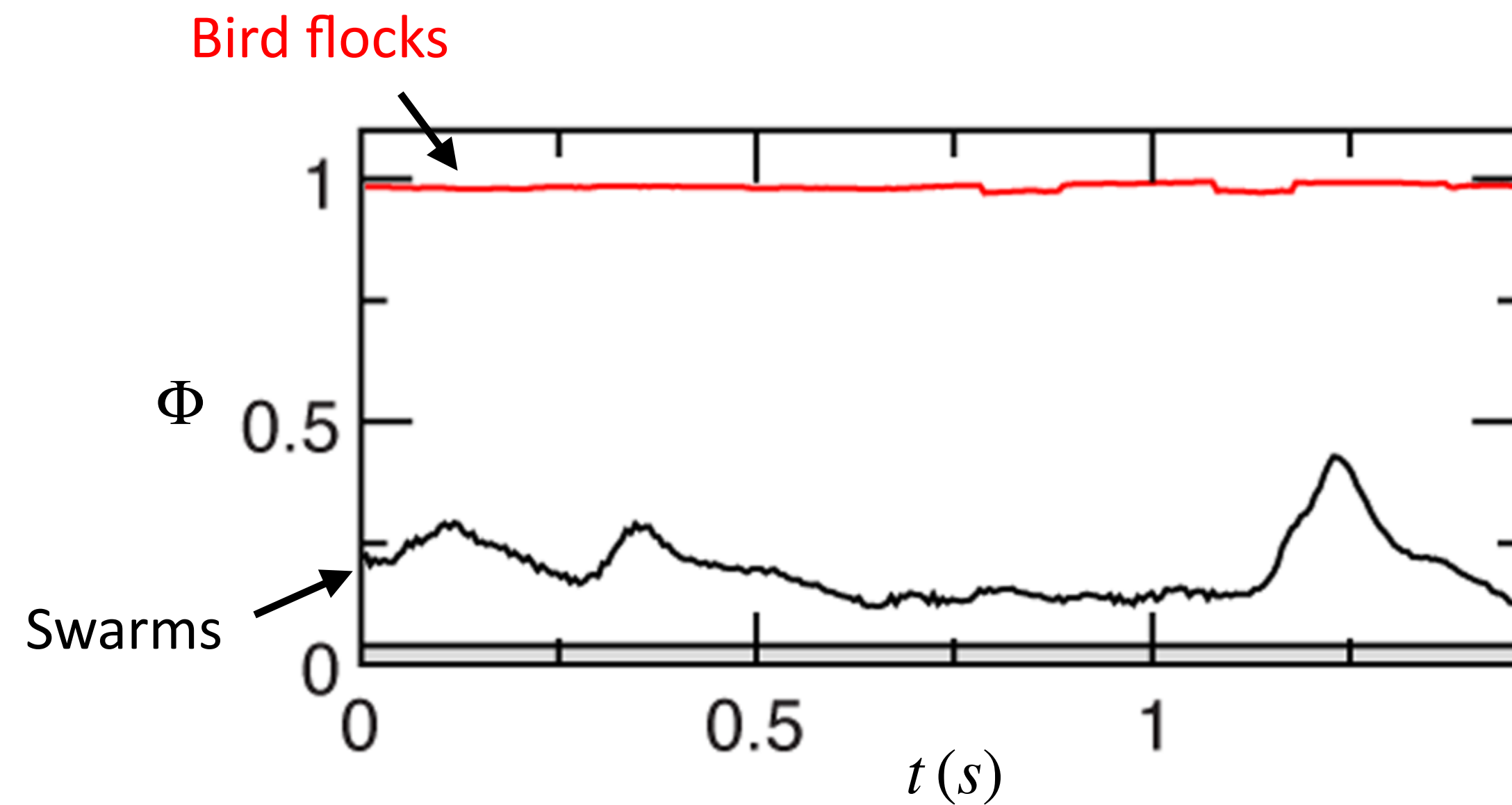
Experiments



Experiments

No global order

No net group motion: swarms are in a **disordered phase**



$$\Phi = \frac{1}{N} \sum_i \frac{v_i}{|v_i|}$$

Experiments

Scale-free spatial correlations

No net group motion: swarms are in a **disordered phase**

Velocity-velocity correlations

$$C(r) = \langle \delta \mathbf{v}(x_0, t_0) \cdot \delta \mathbf{v}(x_0 + r, t_0) \rangle_{x_0, t_0}$$

are strong and **scale-free**

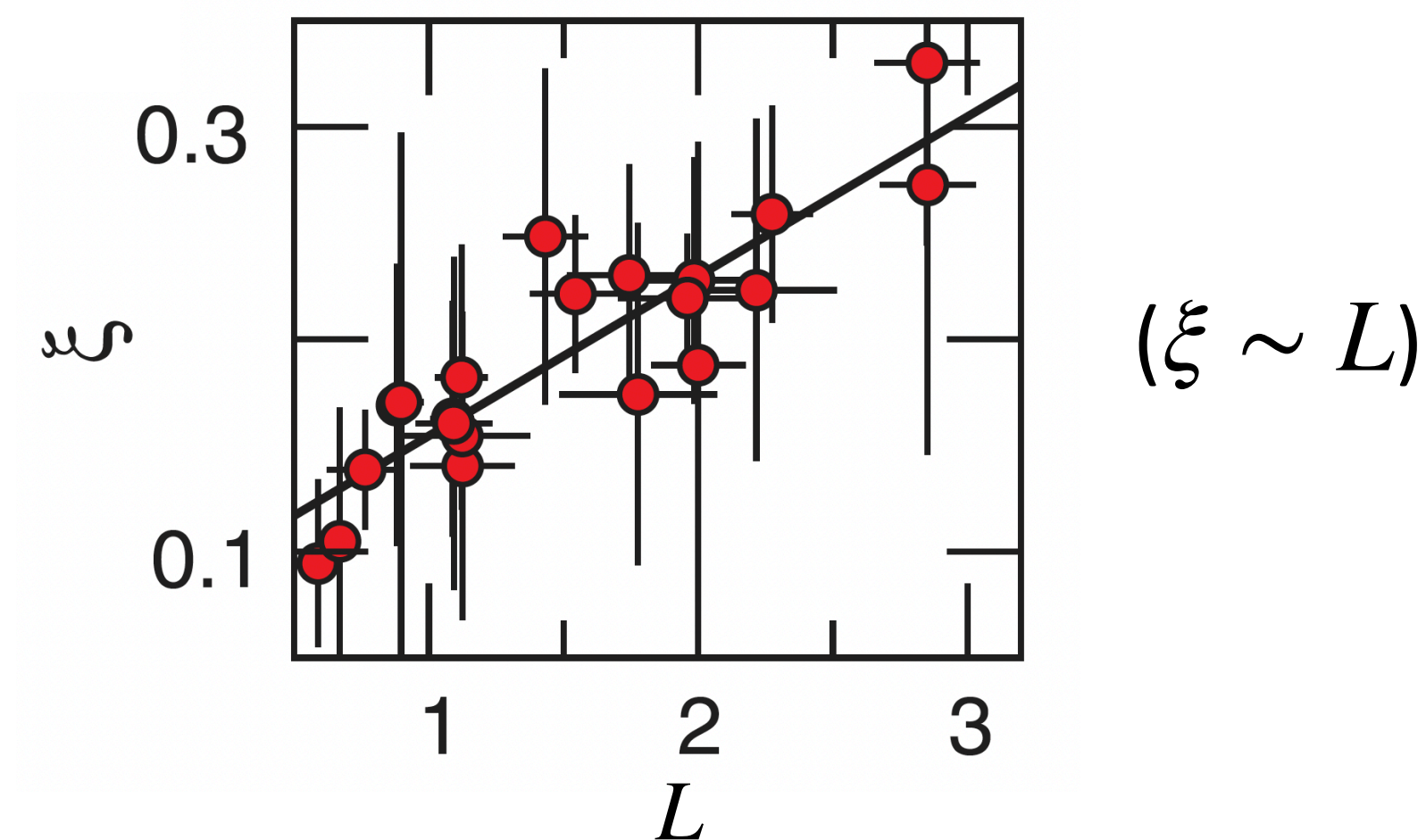
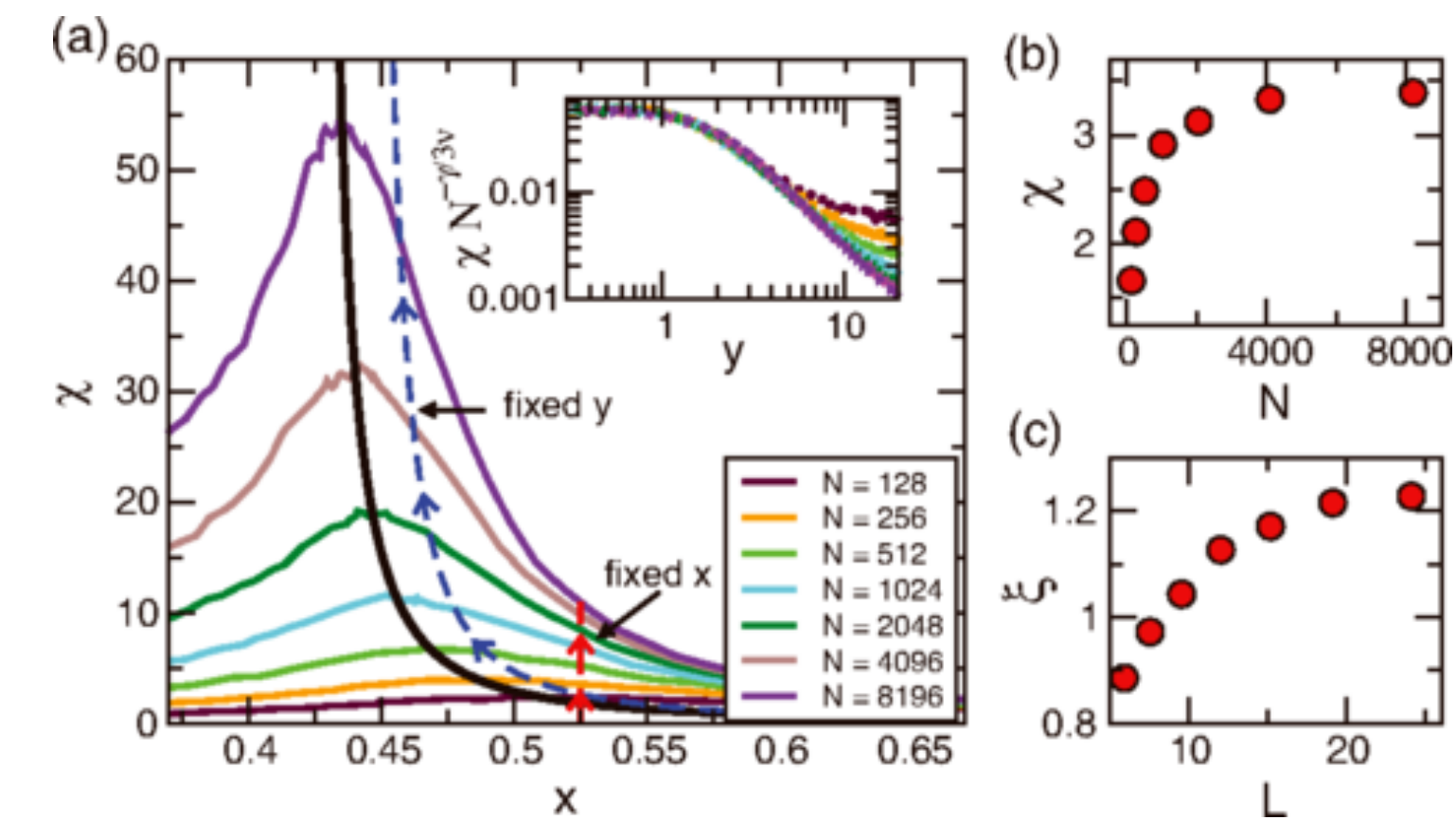


exhibit **finite-size scaling**



Experiments

Dynamic scaling

No net group motion: swarms are in a **disordered phase**

Velocity-velocity correlations are **strong** and **scale-free** ($\xi \sim L$) and exhibit **finite-size scaling**

**Swarms behave as systems near a
critical order-disorder transition**

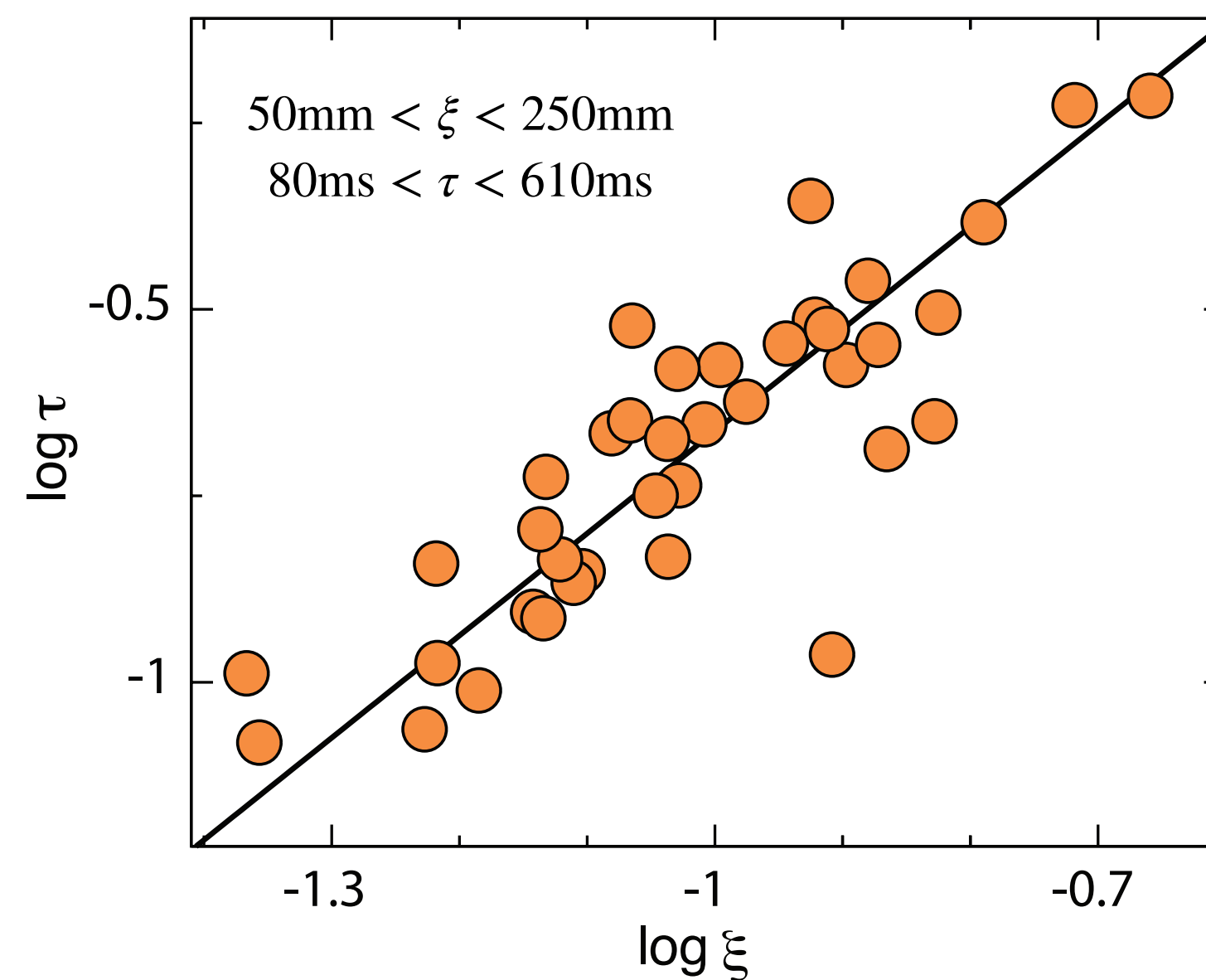
Experiments

Dynamic scaling

No net group motion: swarms are in a **disordered phase**

Velocity-velocity correlations are **strong** and **scale-free** ($\xi \sim L$) and exhibit **finite-size scaling**

The **dynamic** correlation function exhibits **scaling** behaviour



$$\tau \sim \xi^z$$

with a **dynamic critical exponent**

$$z = 1.37 \pm 0.11$$

Experiments

Summary

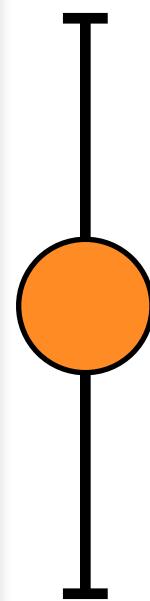
No net group motion: swarms are in a **disordered phase**

Velocity-velocity correlations are **strong** and **scale-free** ($\xi \sim L$) and exhibit **finite-size scaling**

The **dynamic** correlation functions exhibit **scaling** behaviour with $z = 1.37 \pm 0.11$

**My goal: understanding the physics behind
swarm's dynamic collective behaviour**

1.37



Experiments



Theoretical “ingredients”



Renormalization Group

Experiments



Theoretical “ingredients”



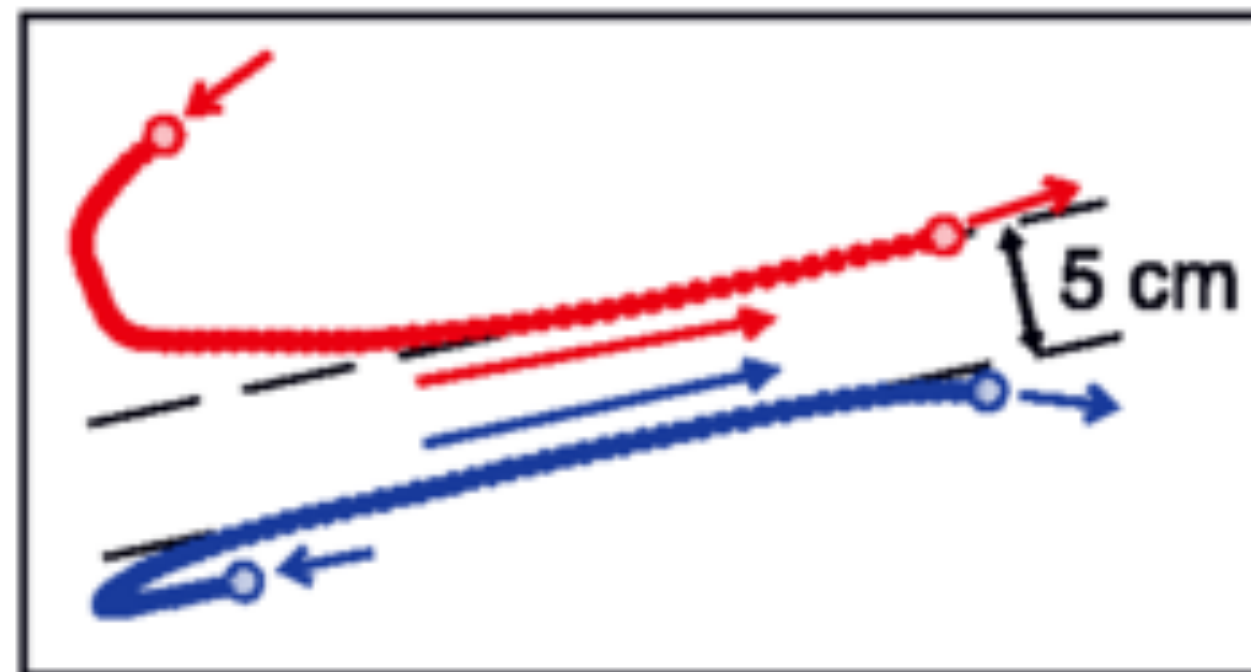
Renormalization Group

Imitative interactions

Simple ferromagnets

Experimental observation

Effective **alignment** between trajectories



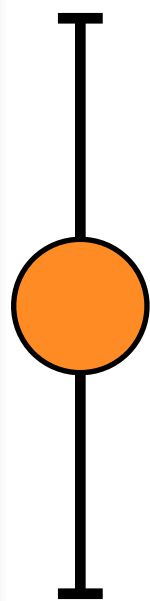
Theoretical modelling

Imitative **ferromagnetic** behaviour

Model A (Dynamic Landau-Ginzburg)

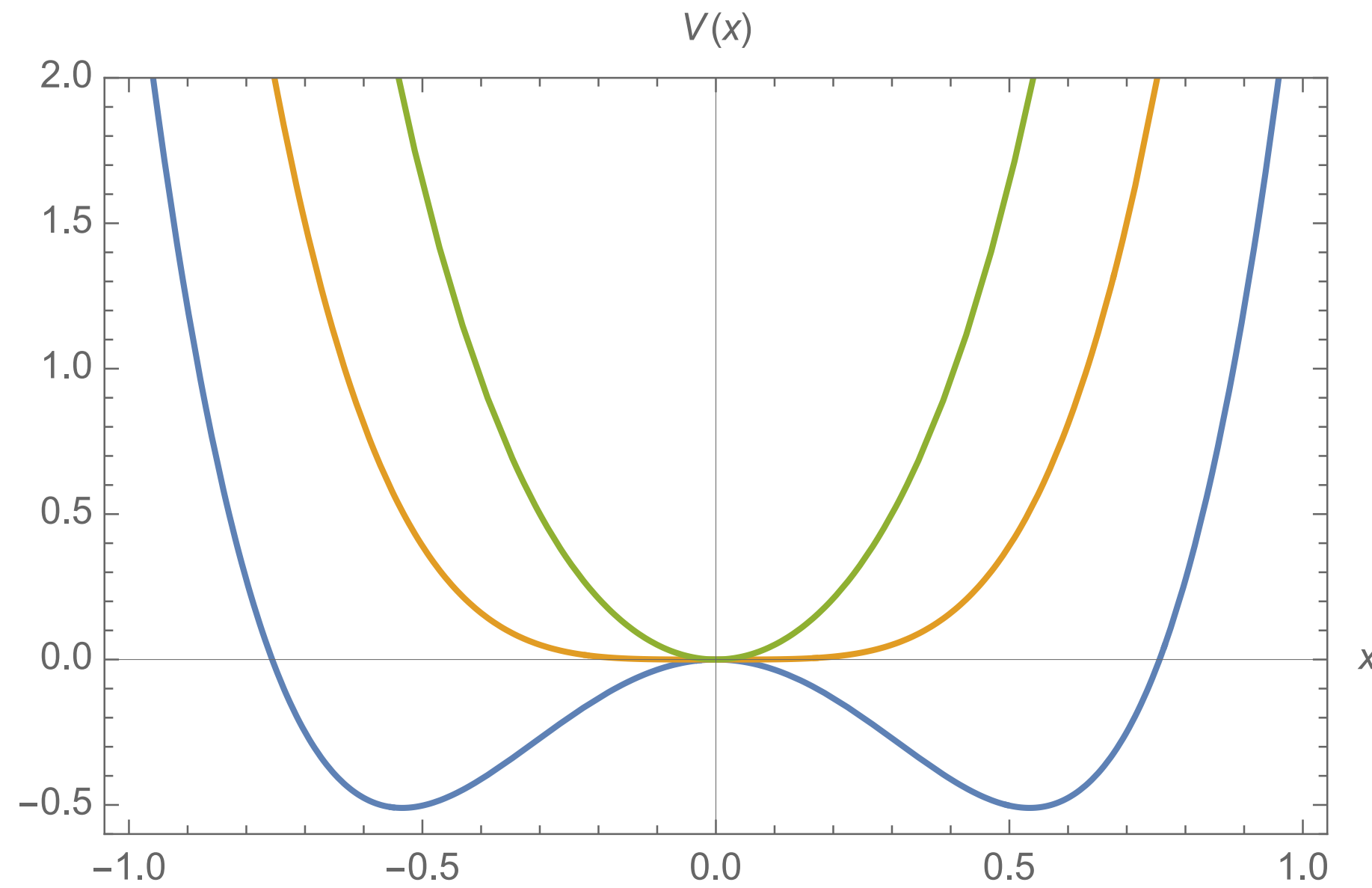
$$\partial_t \psi = -\Gamma \frac{\delta \mathcal{H}}{\delta \psi} + \theta$$

$$\mathcal{H} = \int d^d x \frac{1}{2} (\nabla \psi)^2 + \frac{r}{2} \psi^2 + \frac{u}{4} \psi^4$$



Imitative interactions

Simple ferromagnets



Mean-field phase diagram

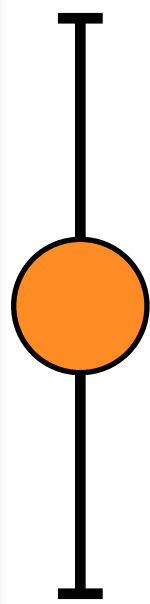
$r > 0 \rightarrow$ disordered

$r < 0 \rightarrow$ ordered

Model A (Dynamic Landau-Ginzburg)

$$\partial_t \psi = -\Gamma \frac{\delta \mathcal{H}}{\delta \psi} + \theta$$

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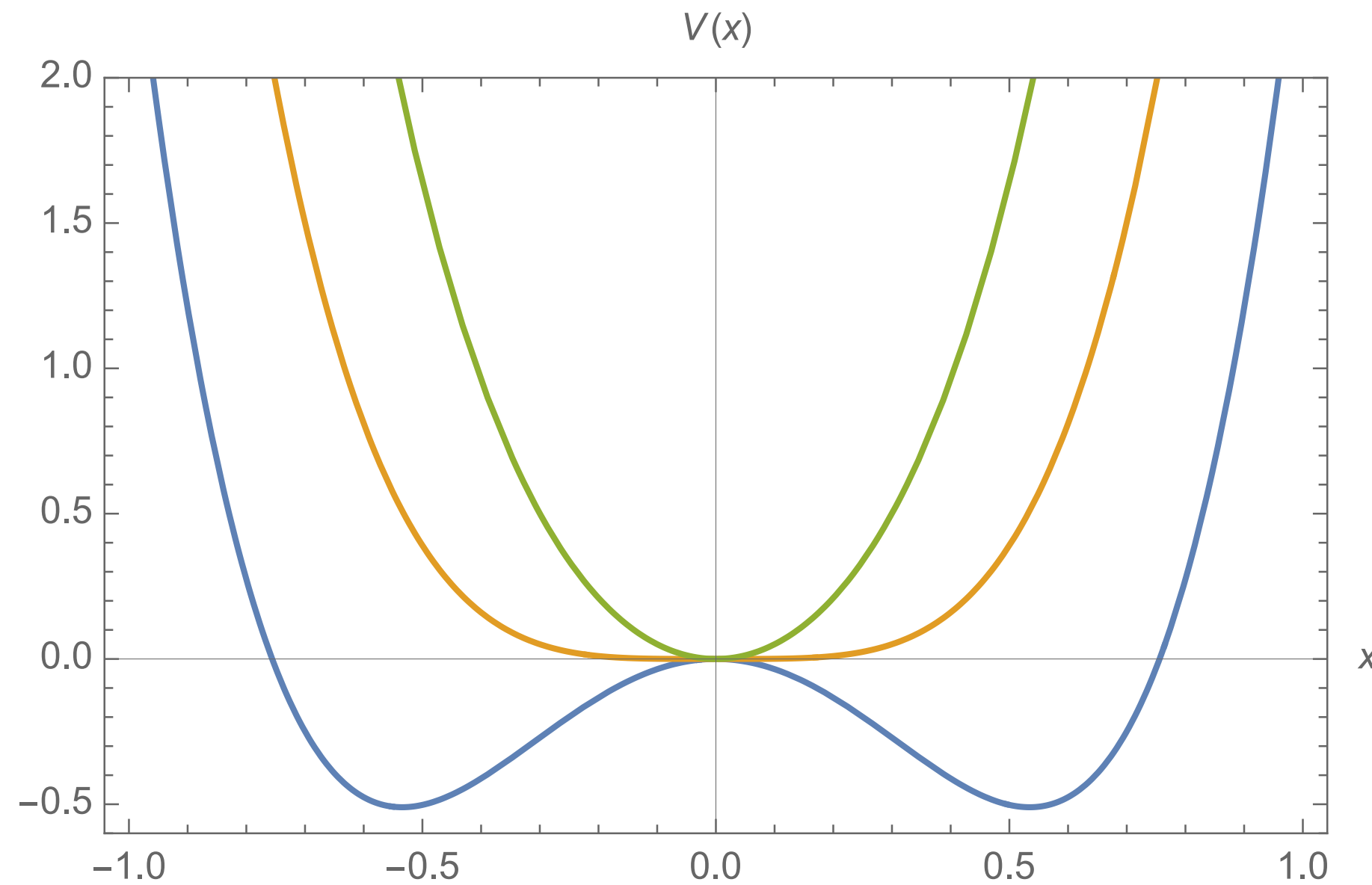
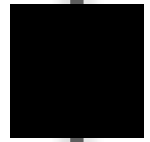


z

Imitative interactions

Simple ferromagnets

2



Mean-field phase diagram

$r = 0 \rightarrow$ Critical
(Swarm's phase)

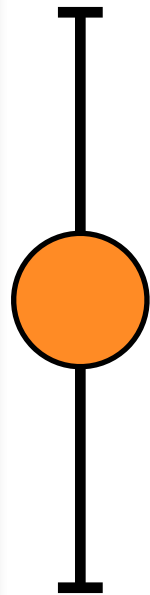
Model A (Dynamic Landau-Ginzburg)

$$\partial_t \psi = -\Gamma \frac{\delta \mathcal{H}}{\delta \psi} + \theta$$

$$\mathcal{H} = \int d^d x \frac{1}{2} (\nabla \psi)^2 + \underbrace{\frac{r}{2} \psi^2 + \frac{u}{4} \psi^4}_{V(\psi)}$$

Critical Model A: $z \approx 2$

1.37



z

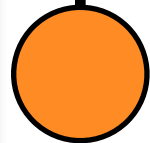
Role of information propagation

2



What does my theory need to bridge this gap?

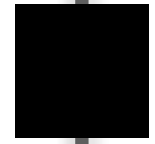
1.37



z

Role of information propagation

2



$$\tau \sim \xi^z$$



$$\omega(k) \sim k^z$$

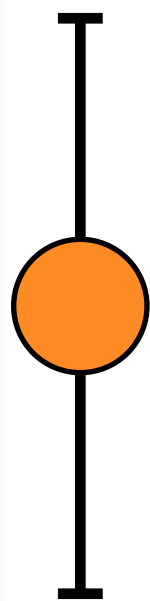
$$\text{time} \sim \text{space}^z$$

The smaller z , the faster information is propagated

In ferromagnets $z = 2 \rightarrow$ information propagates diffusively

What does enhance information propagation?

1.37

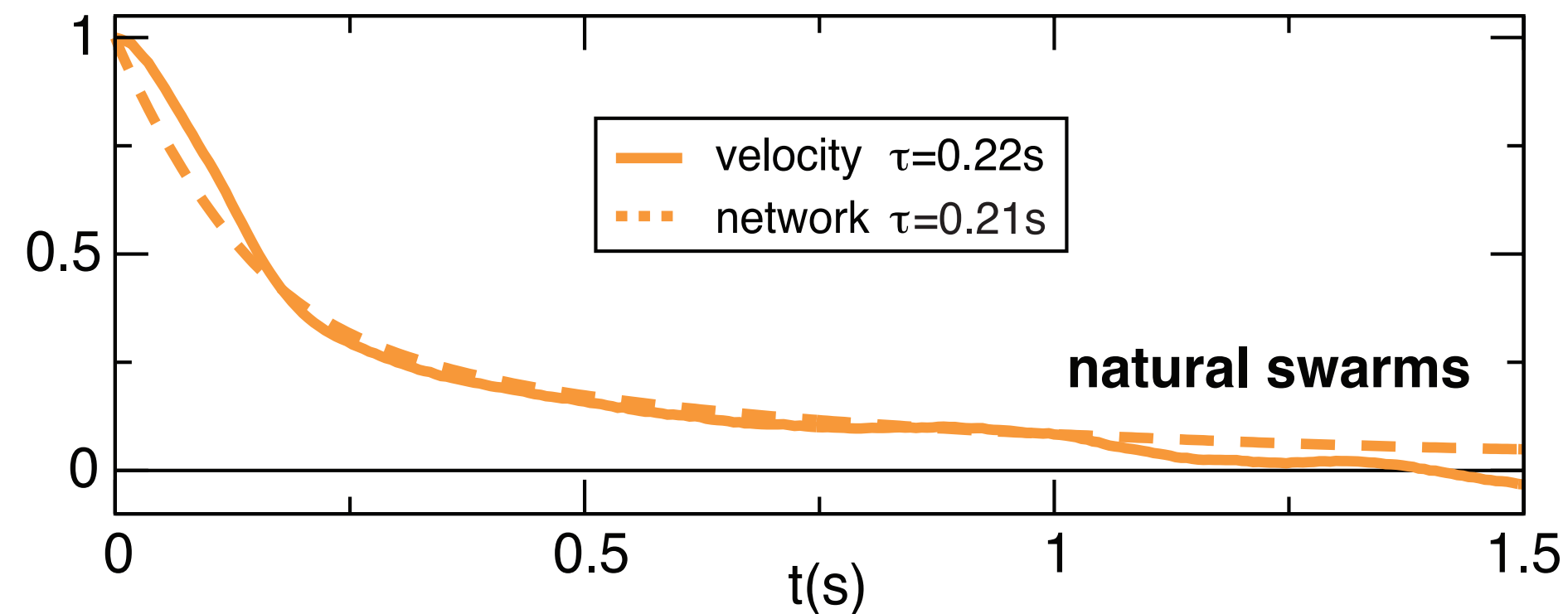


Active self-propulsion

Activity meets alignment: Toner and Tu theory

Experimental observation

Network reshuffling occurs on same times-scales as velocity alignment



Theoretical modelling

The order parameter is a velocity field

$$\mathbf{v} = v_0 \boldsymbol{\psi}$$

Toner and Tu theory

$$\begin{cases} D_t \boldsymbol{\psi} = -\Gamma \frac{\delta \mathcal{H}}{\delta \boldsymbol{\psi}} - \nabla P + \boldsymbol{\theta} \\ D_t = \partial_t + v_0 \boldsymbol{\psi} \cdot \nabla \end{cases}$$

Incompressible case: $\nabla \cdot \boldsymbol{\psi} = 0$
(Let's keep things simple)

z

Active self-propulsion

A new active fixed point: Chen Toner Lee 2015

2

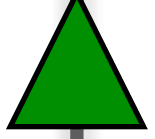


Toner and Tu theory

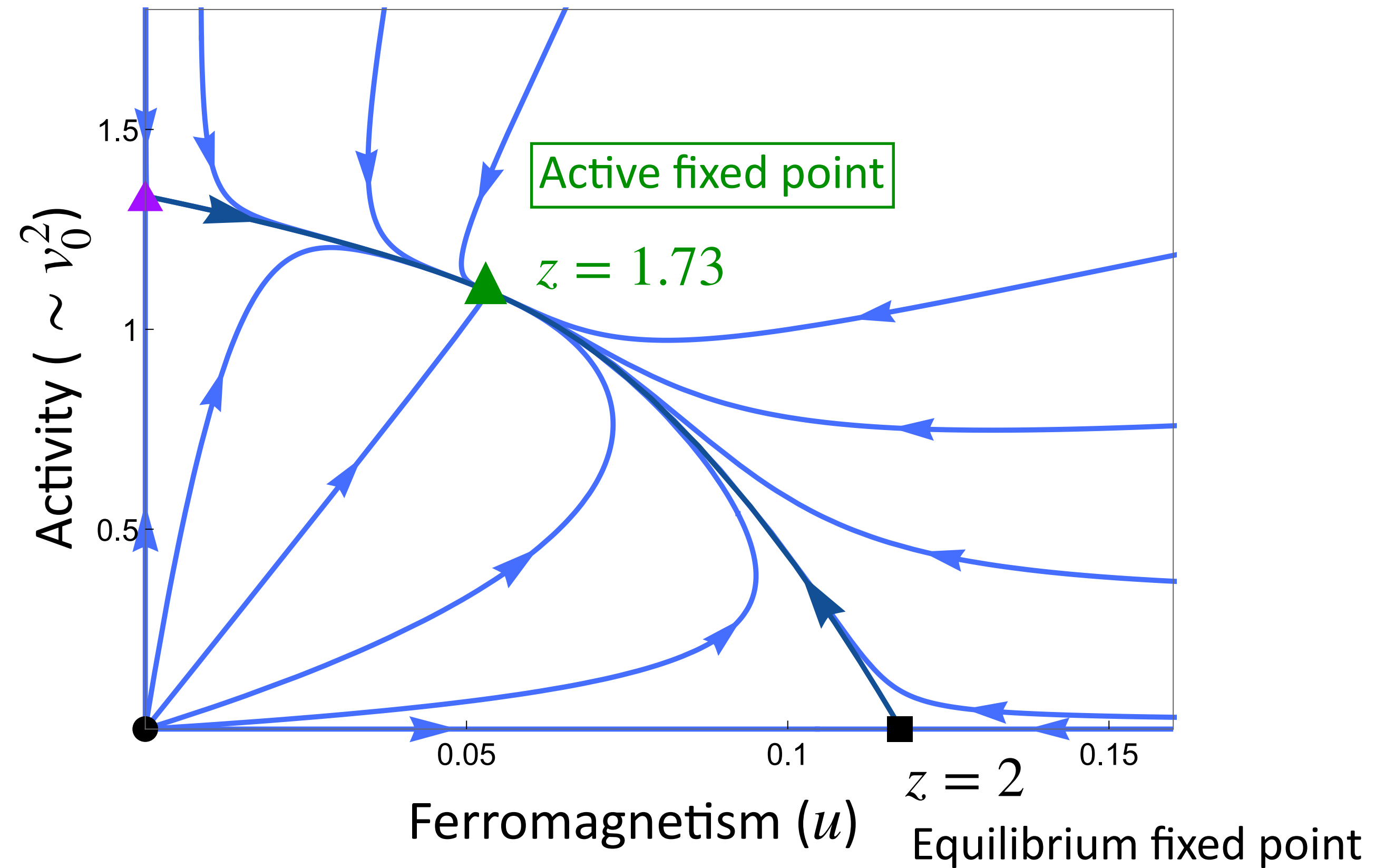
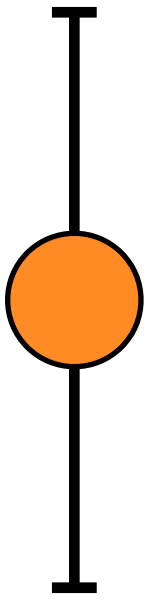
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1.73



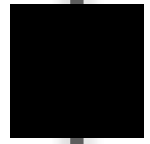
1.37



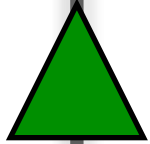
Inertial behaviour

z

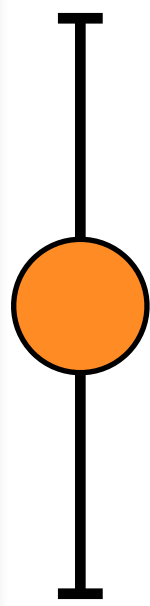
2



1.73

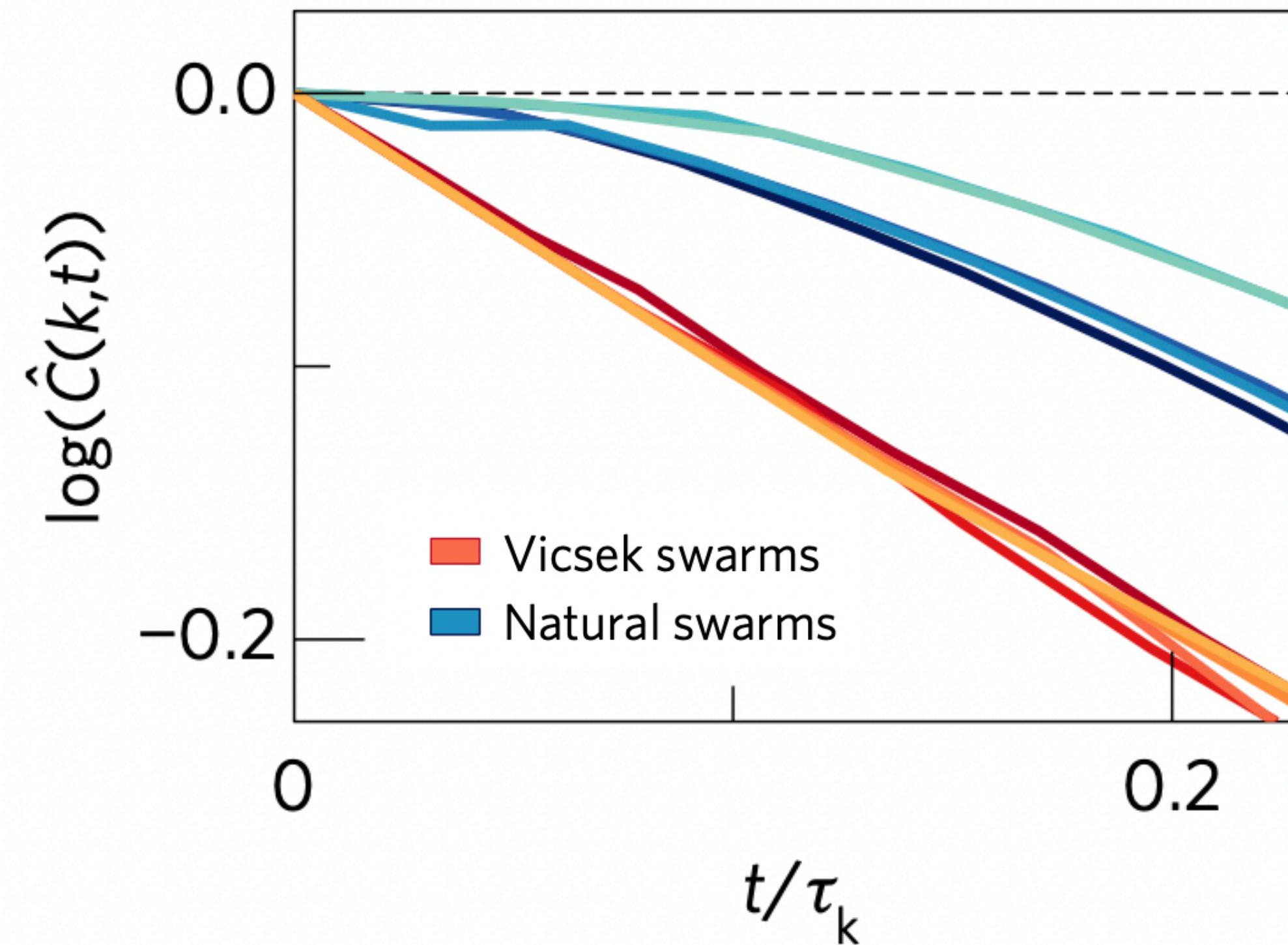


1.37



Temporal correlations in swarm have an **inertial** shape

$$C(r, t) = \langle \delta \mathbf{v}(x_0, t_0) \cdot \delta \mathbf{v}(x_0 + r, t_0 + t) \rangle$$

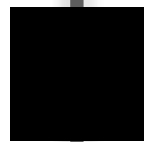


z

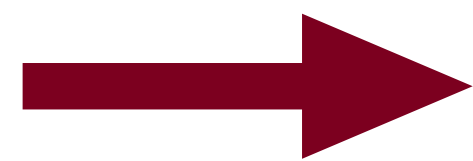
Inertial behaviour

A toy model: the stochastic harmonic oscillator

2



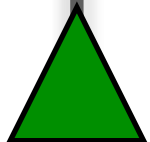
$$m\ddot{q} = -\kappa q - \eta \dot{q} + \sqrt{2T\eta}\zeta$$



$$\eta\dot{q} = -\kappa q + \sqrt{2T\eta}\zeta$$

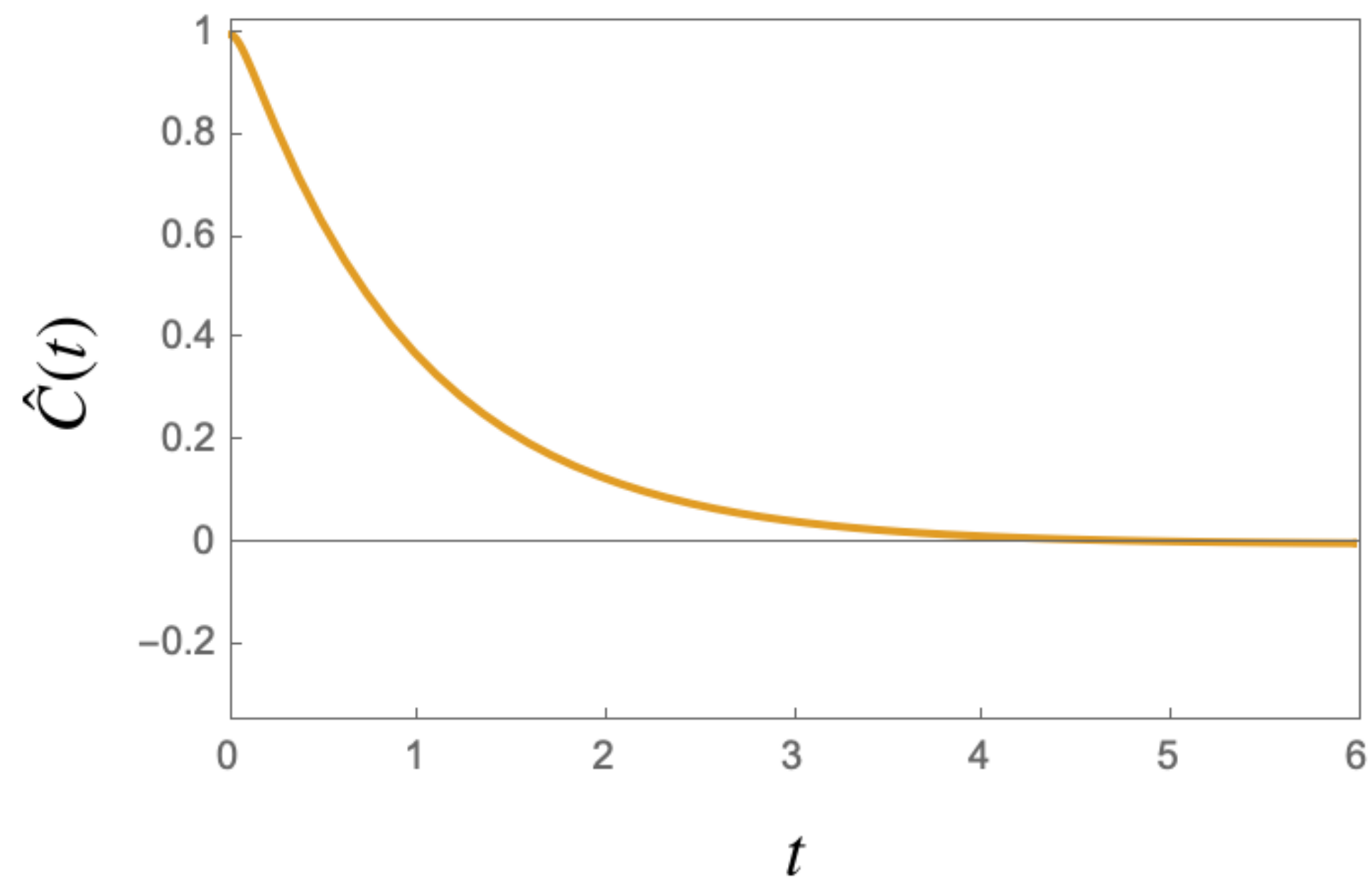
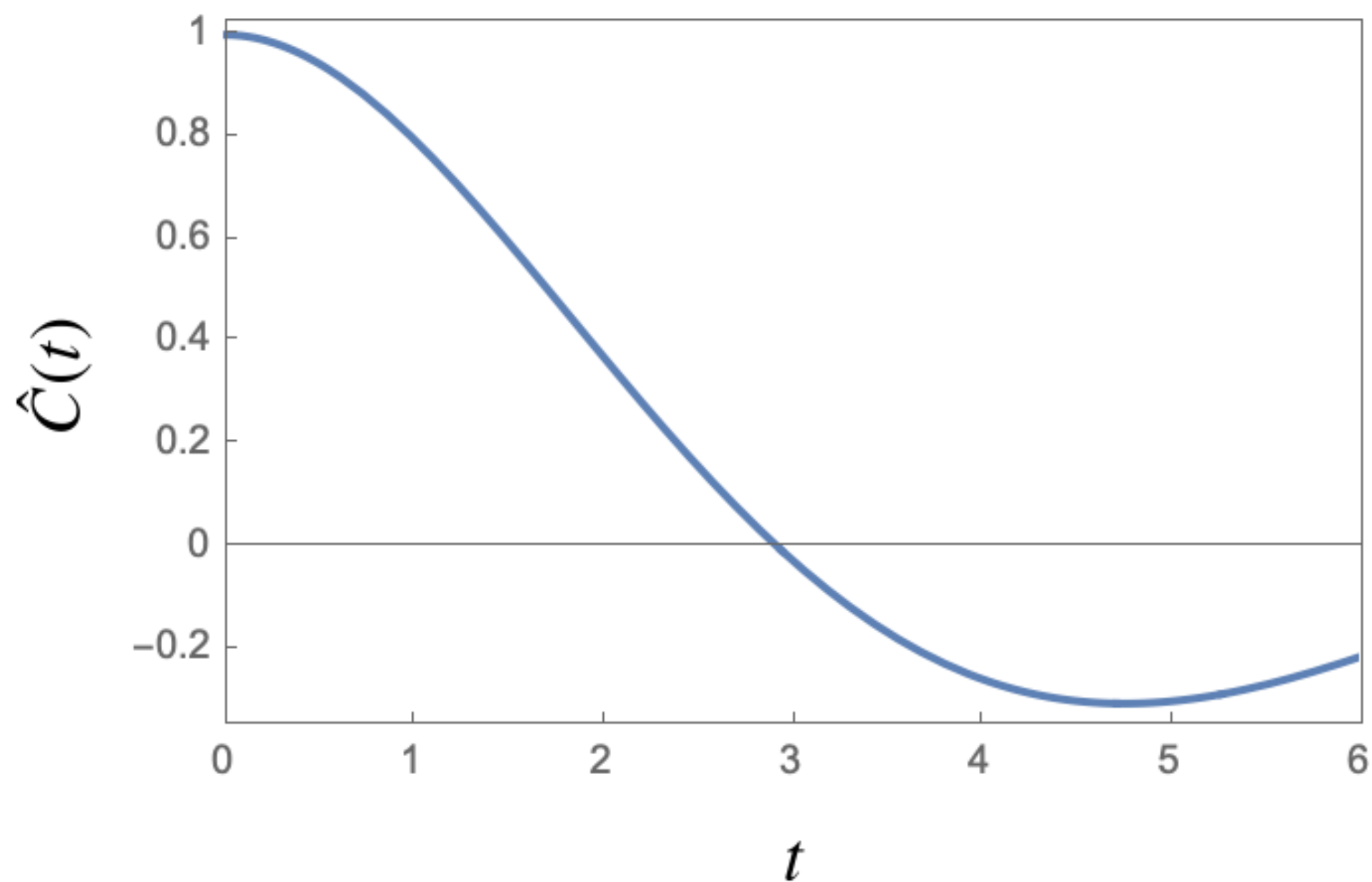
$$\tau_{\text{diss}} = \eta^{-1}m \ll \Delta t$$

1.73

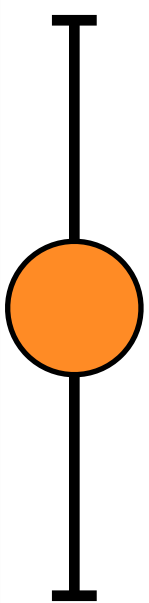


Underdamped

Overdamped



1.37

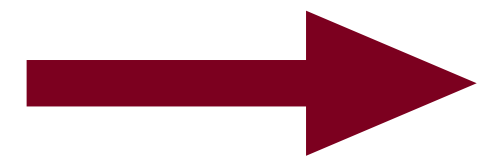


z

Inertial behaviour

A toy model: the stochastic harmonic oscillator

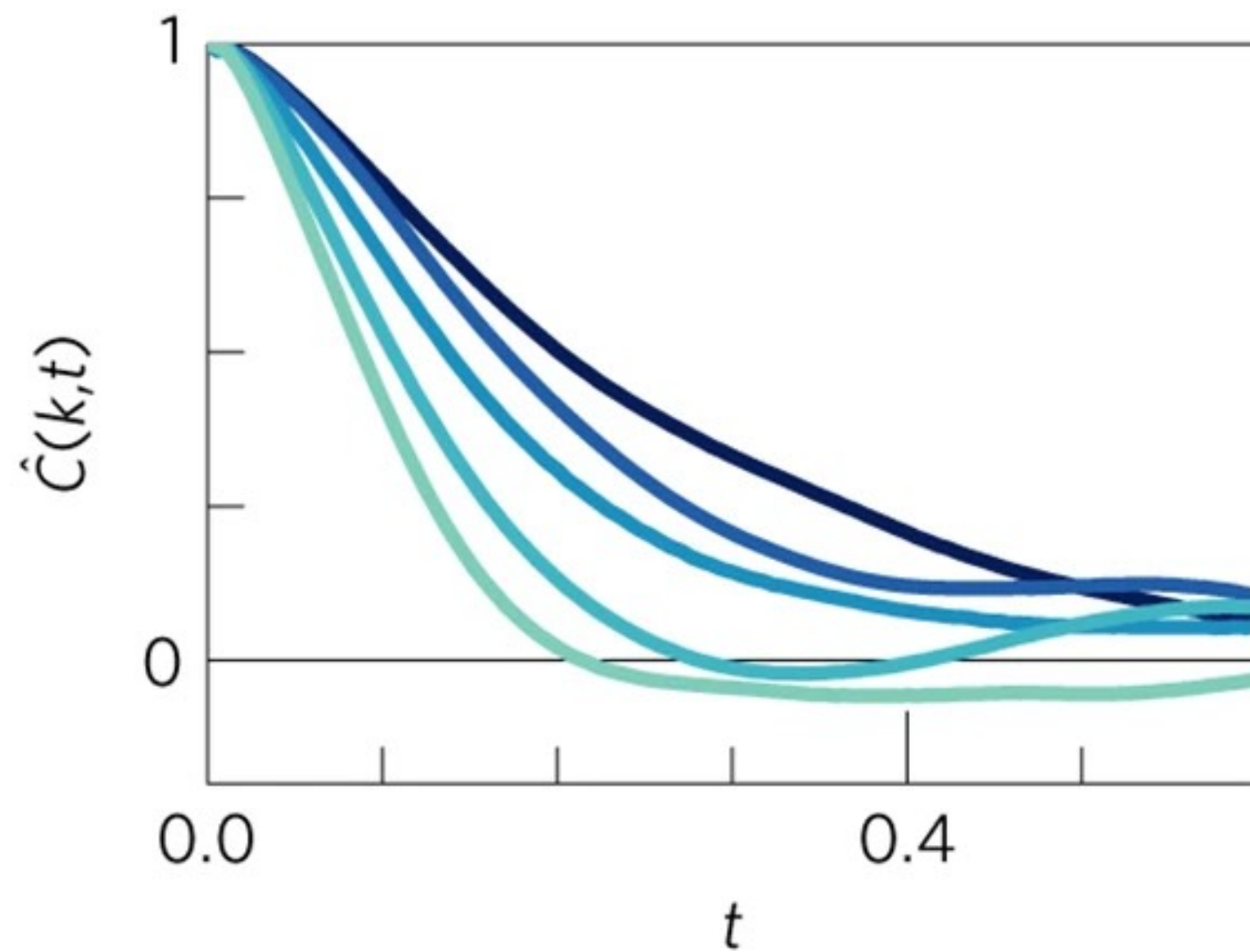
$$m\ddot{q} = -\kappa q - \eta \dot{q} + \sqrt{2T\eta}\zeta$$



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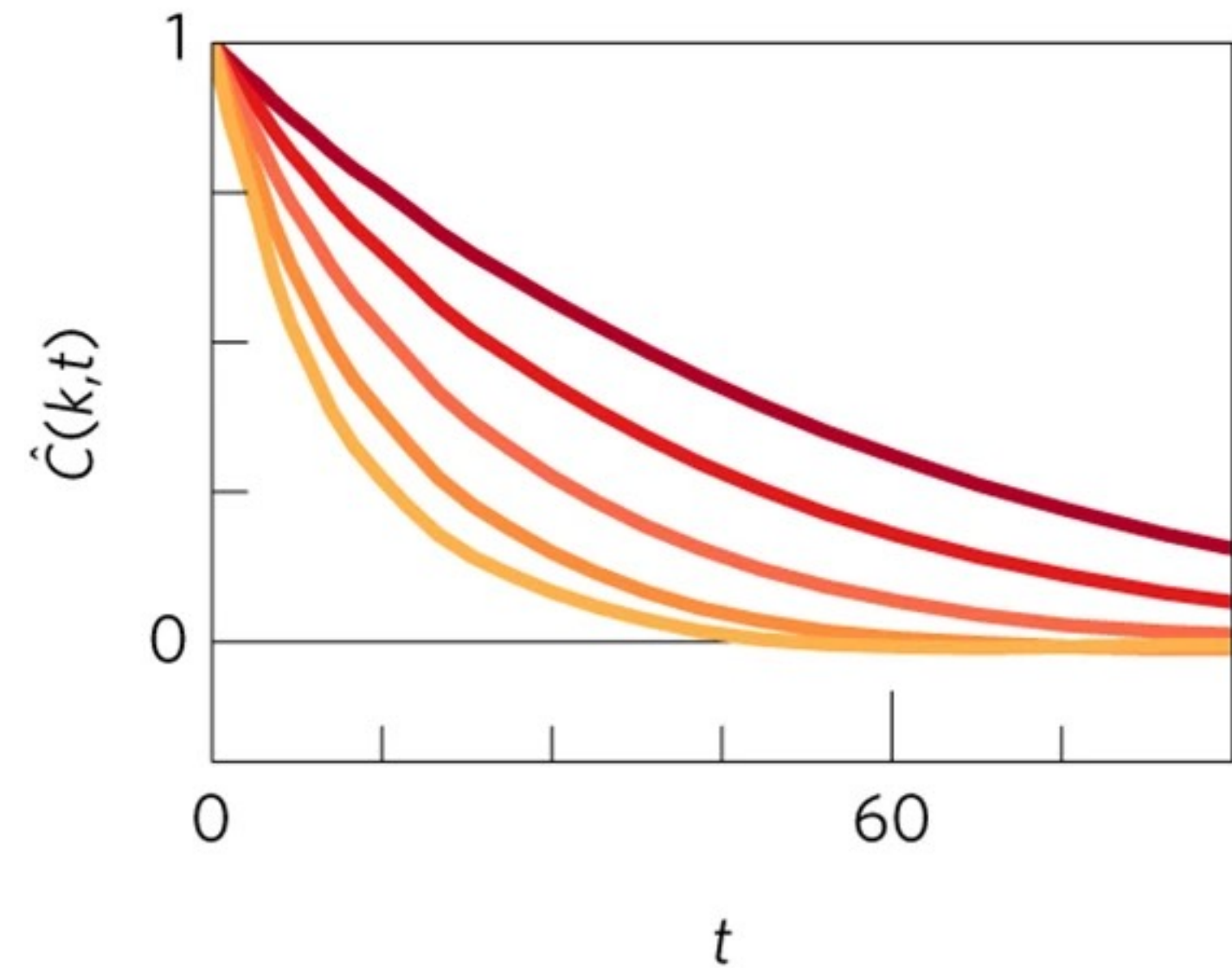
$$\tau_{\text{diss}} = \eta^{-1}m \ll \Delta t$$

Underdamped



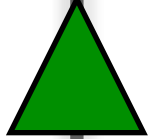
Natural swarms

Overdamped

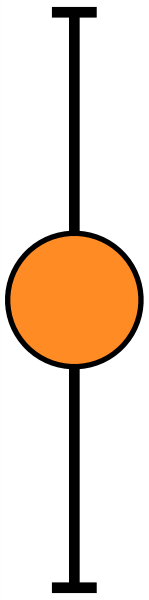


Vicsek simulations

1.73



1.37

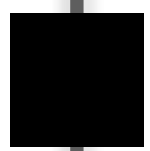


z

Inertial behaviour

But how does this help lowering z ?

2



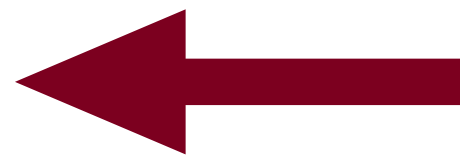
Imitative (alignment) forces are a **laplacian** in coarse-grained theories

Underdamped

Overdamped

$$\partial_t^2 \psi \sim \Gamma \nabla^2 \psi$$

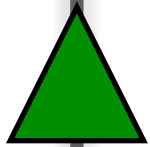
$$\partial_t \psi \sim \Gamma \nabla^2 \psi$$



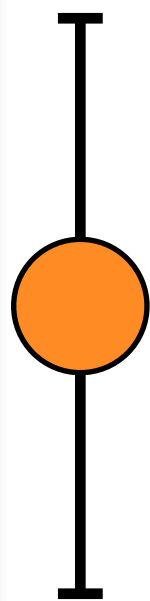
$$\omega^2 \sim k^2$$
$$z \sim 1$$

$$\omega \sim k^2$$
$$z \sim 2$$

1.73



1.37

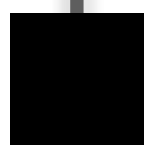


z

Inertial behaviour

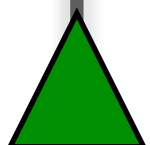
Recovering underdamped dynamics

2



To recover underdamped inertial behaviour we can use **symmetries**.

1.73



Symmetry

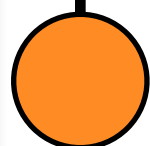
Noether Theorem

Conservation law

Rotational invariance of ψ
(All directions are equivalent)

Conservation of
generator of rotations of ψ

1.37

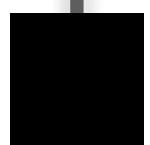


z

Inertial behaviour

Model G

2



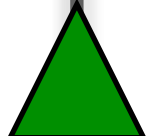
To recover underdamped inertial behaviour we can use **symmetries**.

$$\{s_\alpha, \psi_\beta\} = g \epsilon_{\alpha\beta\gamma} \psi_\gamma$$

$\psi \rightarrow$ order parameter

$s \rightarrow$ spin field (generator of rotations of ψ)

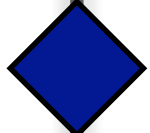
1.73



Overdamped (Model A)

Underdamped (Model G)

1.5



$$\partial_t \psi = -\Gamma \frac{\delta \mathcal{H}}{\delta \psi} + \theta$$

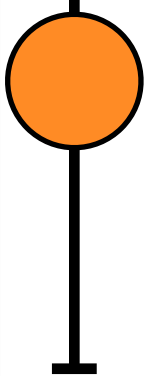


$$\begin{cases} \partial_t \psi = -\Gamma \frac{\delta \mathcal{H}}{\delta \psi} + \boxed{g\psi \times \frac{\delta \mathcal{H}}{\delta s}} + \theta \\ \partial_t s = \lambda \nabla^2 \frac{\delta \mathcal{H}}{\delta s} + \boxed{g\psi \times \frac{\delta \mathcal{H}}{\delta \psi}} + \zeta \end{cases}$$

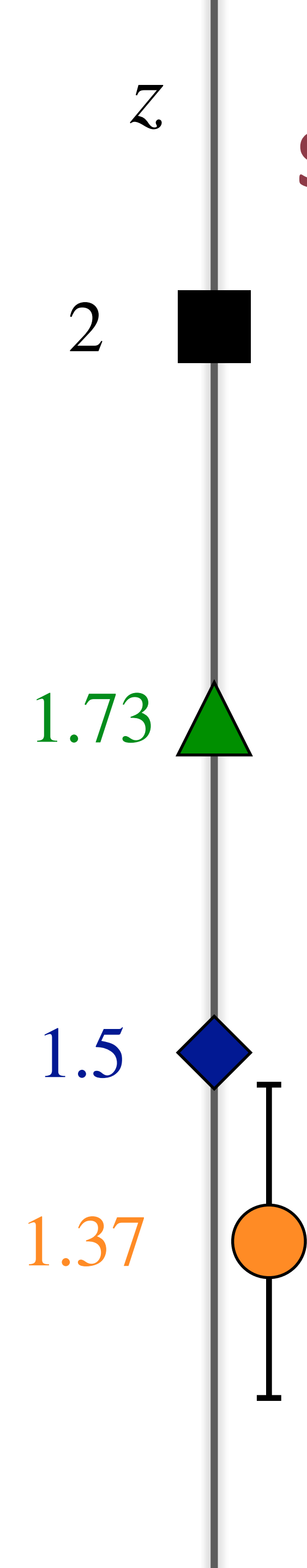
Inertial exponent: $z = 1.5$

Inertia

1.37



Summary of the “ingredients”



Alignment

Ferromagnetism

$$\mathcal{H} = \int d^d x \frac{1}{2} (\nabla \psi)^2 + \frac{r}{2} \psi^2 + \frac{u}{4} \psi^4$$

1.73

Activity

Navier-Stokes

$$\partial_t \rightarrow D_t = \partial_t + v_0 \boldsymbol{\psi} \cdot \nabla$$

1.5

Inertia

Mode-coupling

$$\{s_\alpha, \psi_\beta\} = g \epsilon_{\alpha\beta\gamma} \psi_\gamma$$

1.37

z

Self-Propelled Model G

The dynamic field theory for swarms

The field theory for swarms is build by merging Model G with Navier Stokes equations

$$\partial_t \boldsymbol{\psi} + v_0 \gamma_v (\boldsymbol{\psi} \cdot \nabla) \boldsymbol{\psi} = -\Gamma \frac{\delta \mathcal{H}}{\delta \boldsymbol{\psi}} + g \boldsymbol{\psi} \times \frac{\delta \mathcal{H}}{\delta s} - \nabla P + \boldsymbol{\theta}$$

$$\partial_t s + v_0 \gamma_s (\boldsymbol{\psi} \cdot \nabla) s = \lambda \nabla^2 \frac{\delta \mathcal{H}}{\delta s} + g \boldsymbol{\psi} \times \frac{\delta \mathcal{H}}{\delta \boldsymbol{\psi}} + \zeta$$

$$\nabla \cdot \boldsymbol{\psi} = 0$$

$$\mathcal{H} = \int d^d x \left[\frac{1}{2} (\nabla \boldsymbol{\psi})^2 + \frac{r}{2} \boldsymbol{\psi}^2 + \frac{u}{4} \boldsymbol{\psi}^4 + \frac{1}{2} s^2 \right]$$

Experiments



Theoretical “ingredients”



Renormalization Group

Experiments



Theoretical “ingredients”



Renormalization Group

z

Renormalization Group

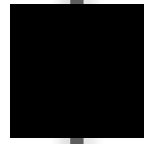
The Momentum-Shell RG

What is the RG?

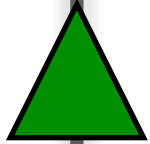
A tool to obtain an **effective description** of the **large-scale physics**.

In a nutshell: it is a coarse-graining of short wavelength details.

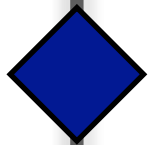
2



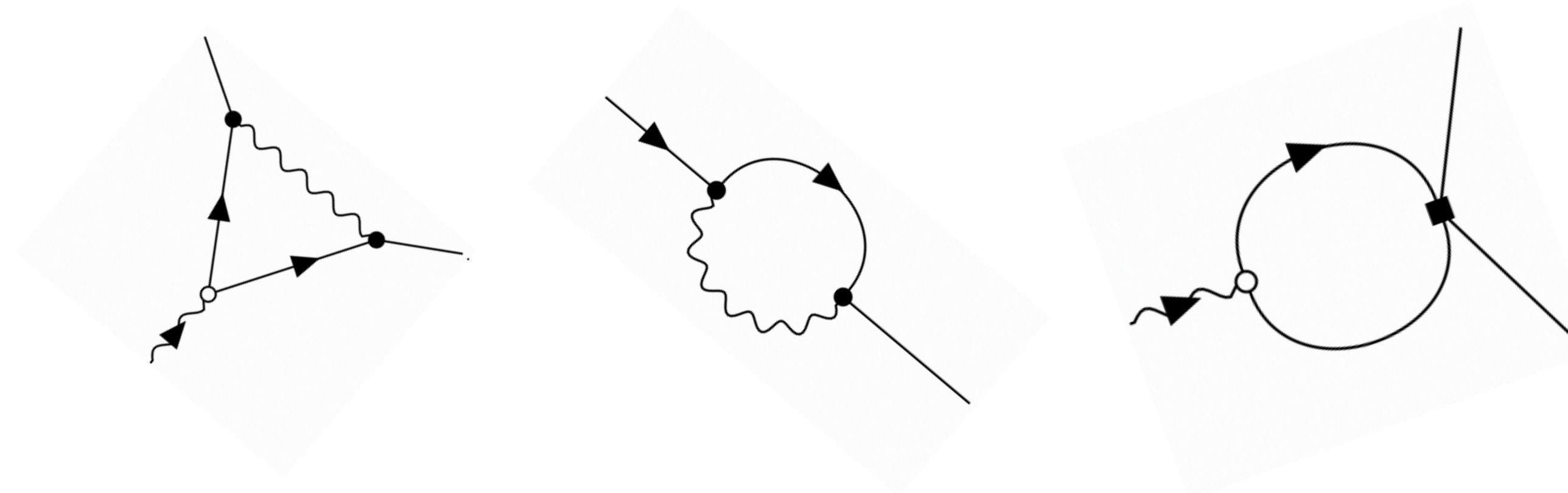
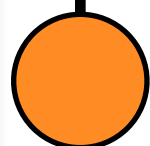
1.73



1.5



1.37

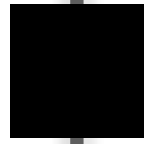


z

Renormalization Group

The Momentum-Shell RG

2

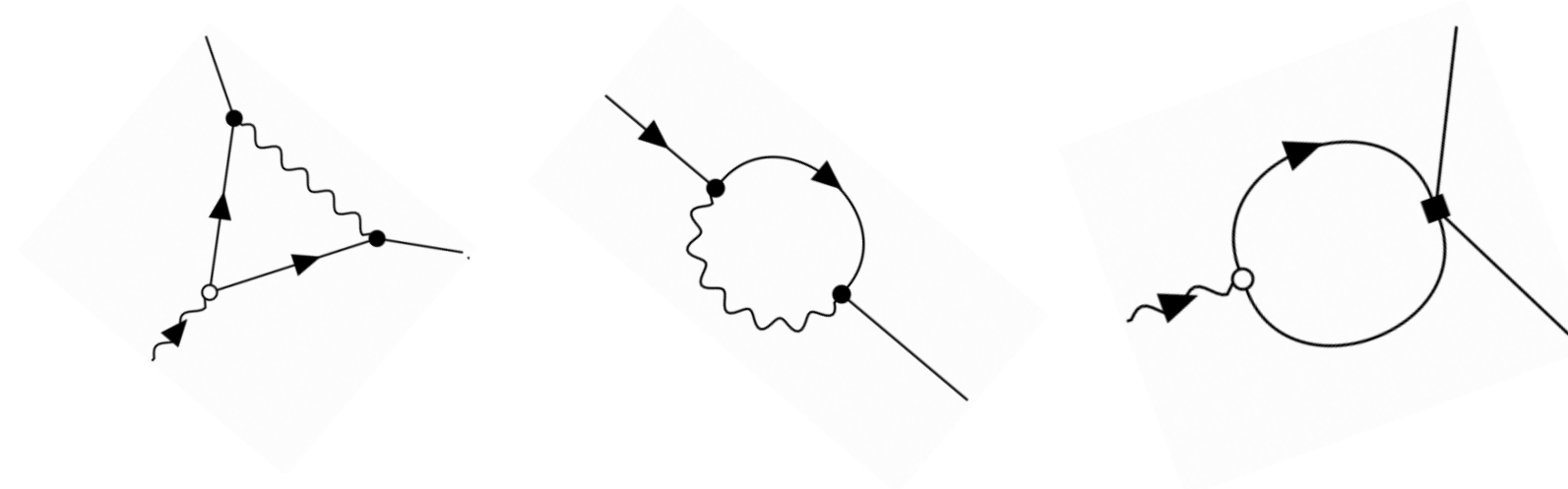
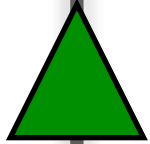


What is the RG?

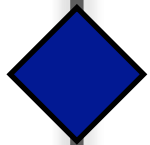
A tool to obtain an **effective description** of the **large-scale physics**.

In a nutshell: it is a coarse-graining of short wavelength details.

1.73

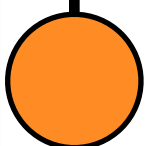


1.5



$$x \rightarrow bx \quad t \rightarrow b^z t \quad \varphi \rightarrow b^\chi \varphi$$

1.37

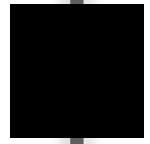


z

Renormalization Group

The Momentum-Shell RG

2

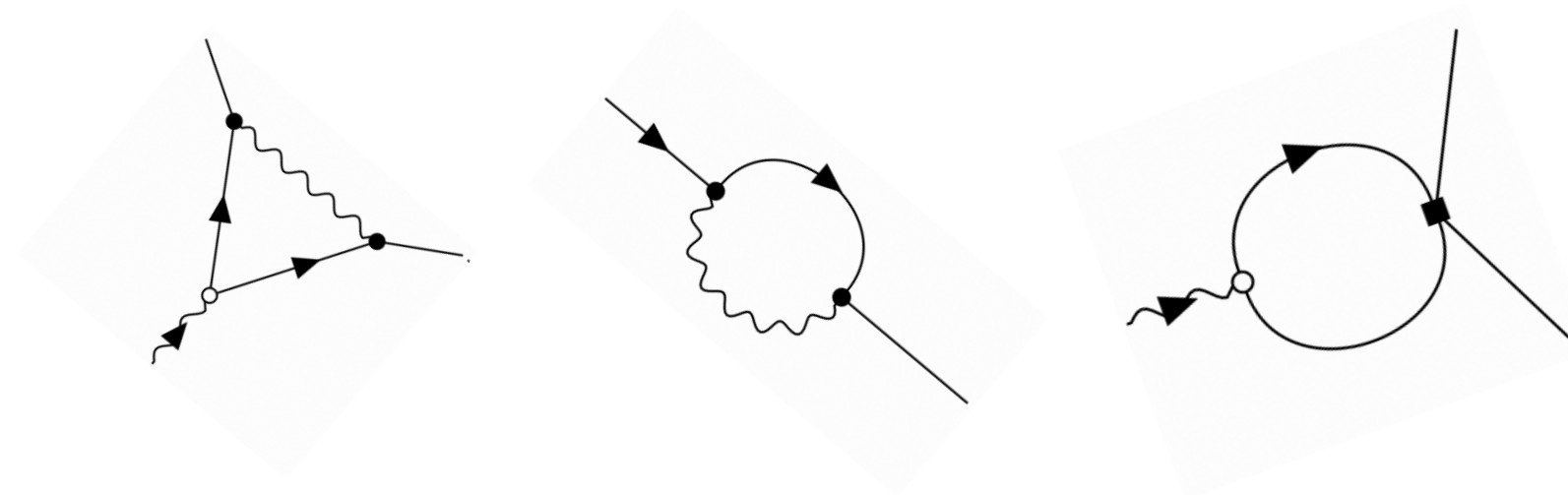
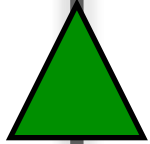


What is the RG?

A tool to obtain an **effective description** of the **large-scale physics**.

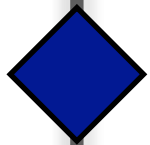
In a nutshell: it is a coarse-graining of short wavelength details.

1.73

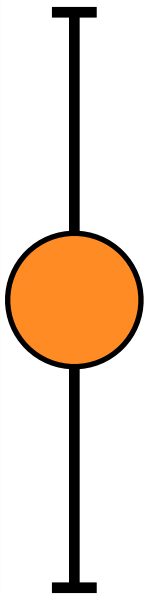


$$x \rightarrow bx \quad t \rightarrow b^z t \quad \varphi \rightarrow b^\chi \varphi$$

1.5



1.37



z

Renormalization Group

The Momentum-Shell RG

What is the RG?

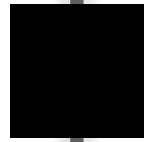
A tool to obtain an **effective description** of the **large-scale physics**.

In a nutshell: it is a coarse-graining of short wavelength details.

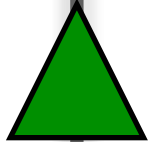


$$S_0 \xrightarrow{RG} S_1 \xrightarrow{RG} S_2 \xrightarrow{RG} \dots \xrightarrow{RG} S^*$$

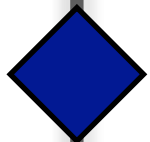
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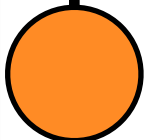
1.73



1.5



1.37



z

Renormalization Group

The Momentum-Shell RG

What is the RG?

A tool to obtain an **effective description** of the **large-scale physics**.

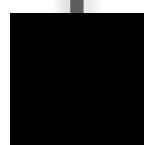
In a nutshell: it is a coarse-graining of short wavelength details.



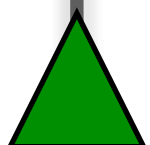
$$S_0 \xrightarrow{RG} S_1 \xrightarrow{RG} S_2 \xrightarrow{RG} \dots \xrightarrow{RG} S^*$$

Universality: different bare theories are described by the same fixed point S^* !

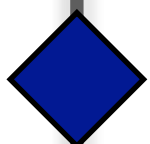
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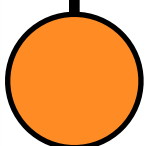
1.73



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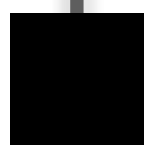


z

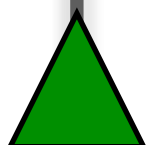
Renormalization Group

Fields and vertices

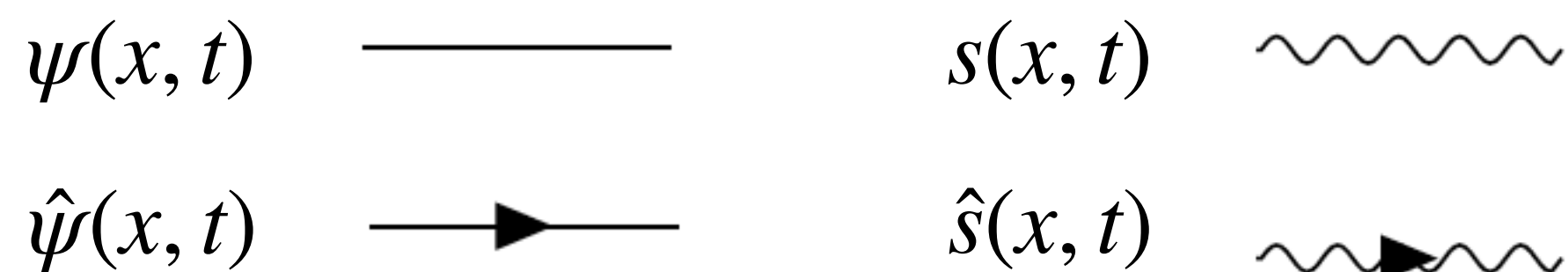
2



1.73



Use a Janssen-De Dominicis/Martin-Siggia-Rose formalisms



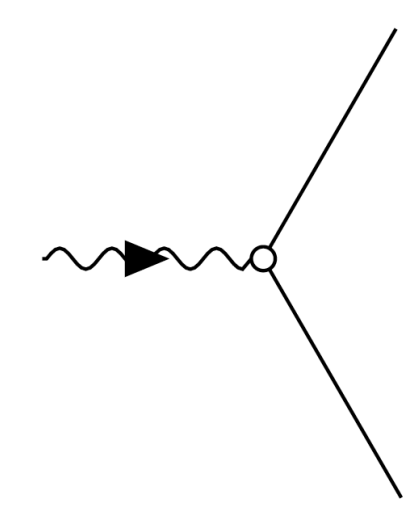
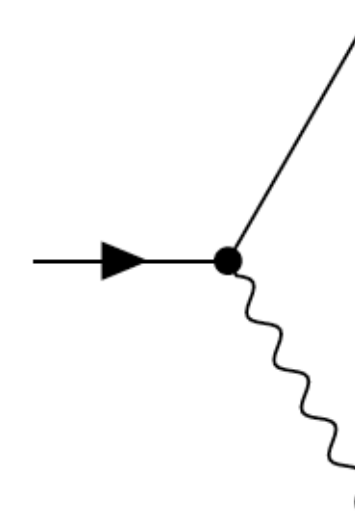
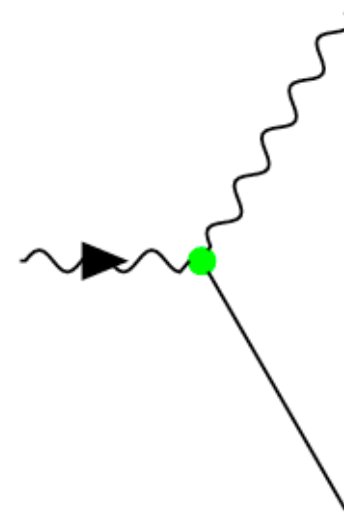
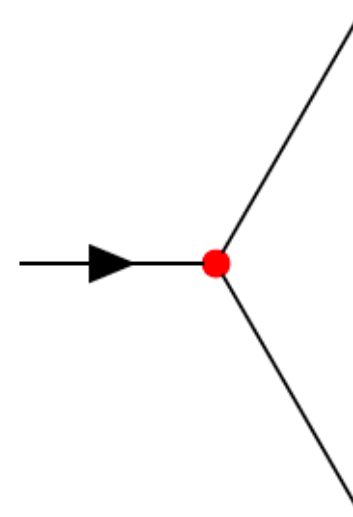
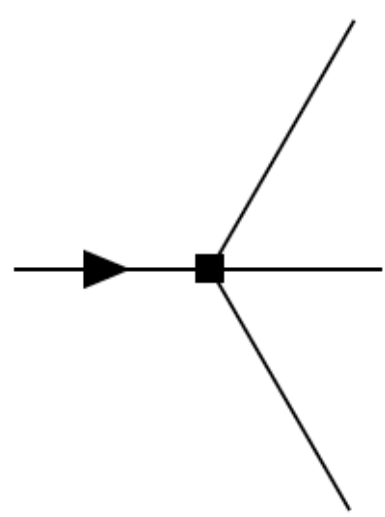
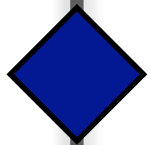
Perturbative expansion of the non-linear dynamic interactions:

Model A

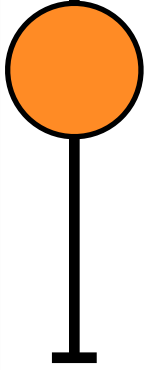
Navier-Stokes

Model G

1.5



1.37

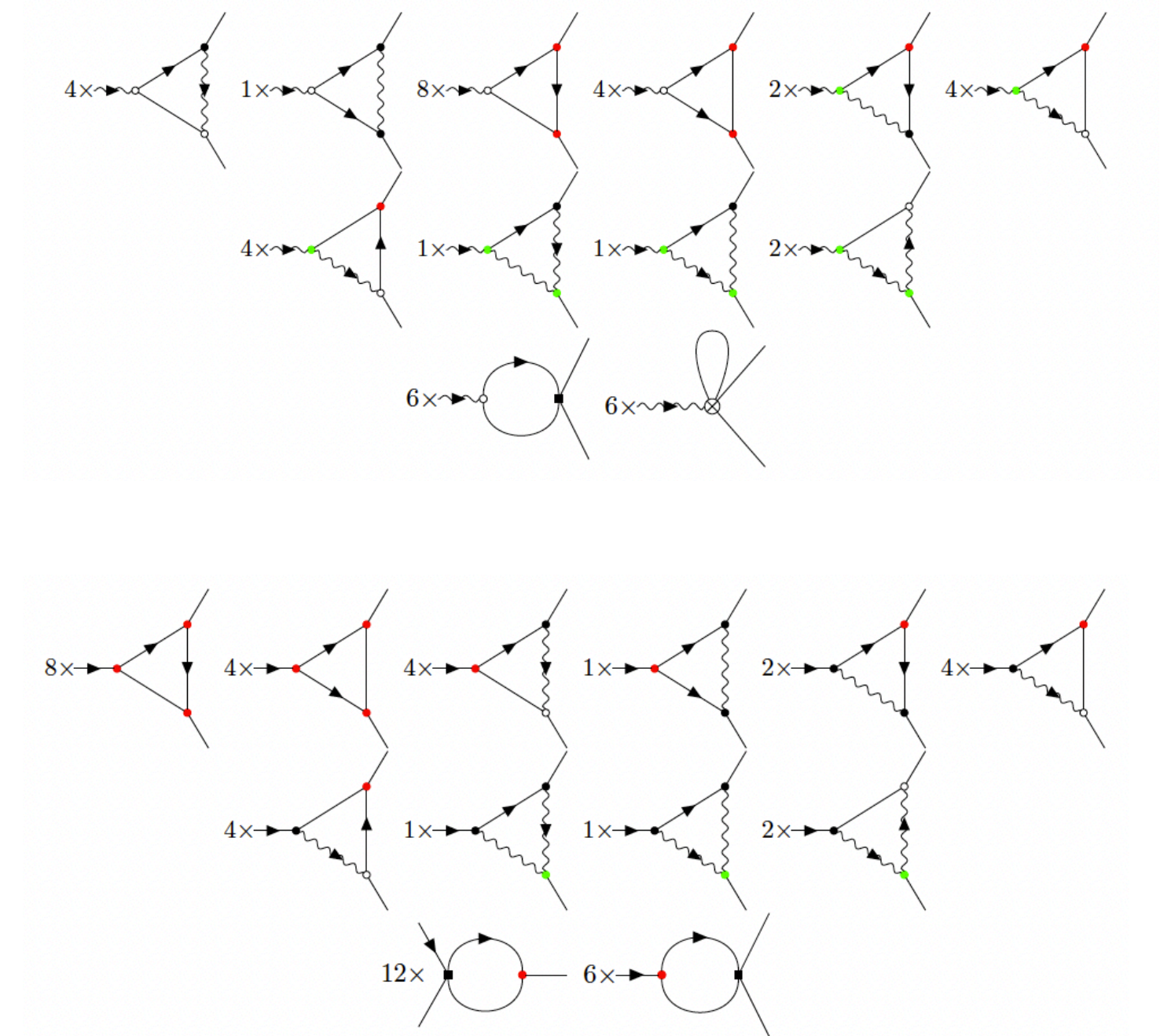
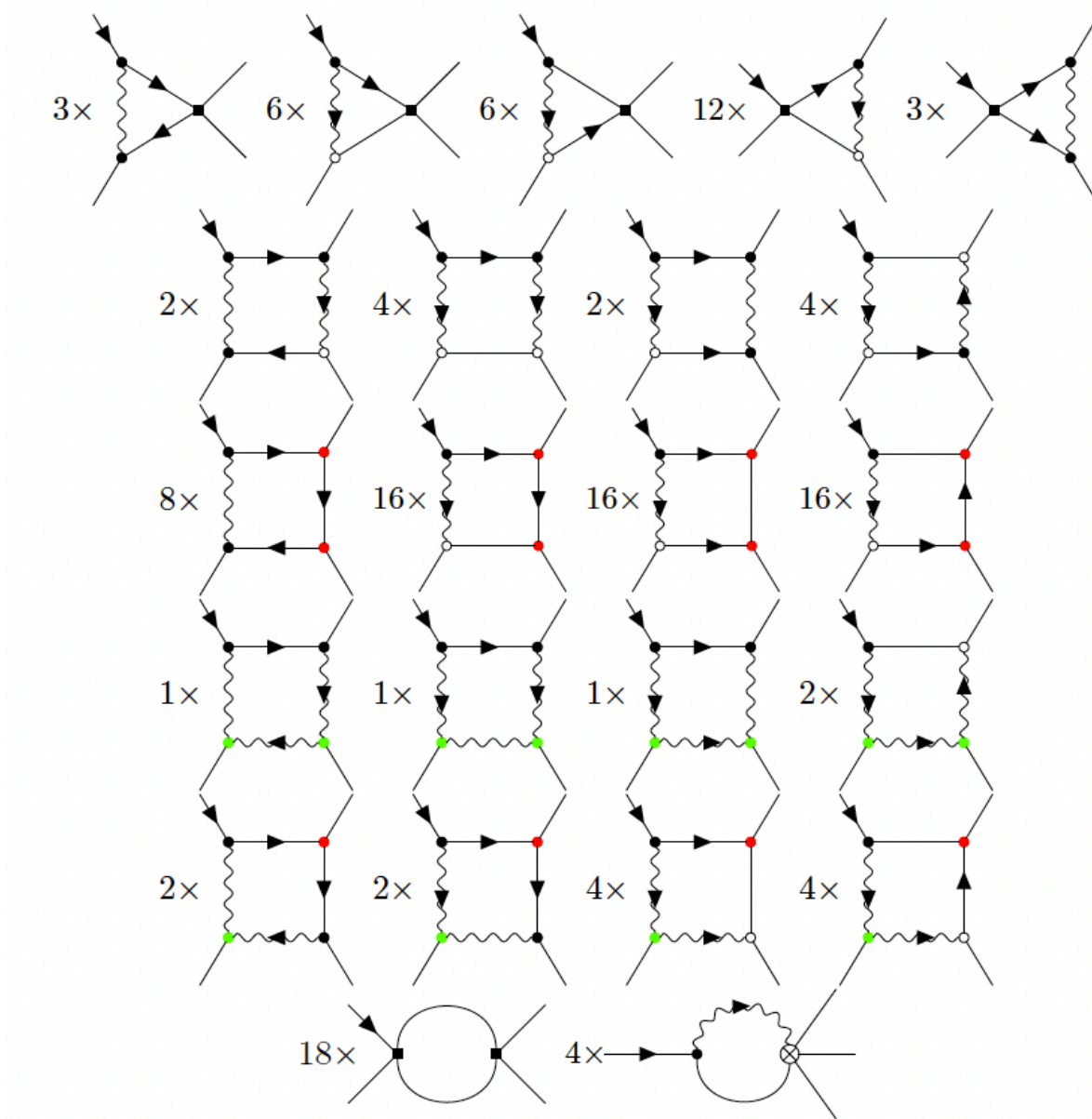
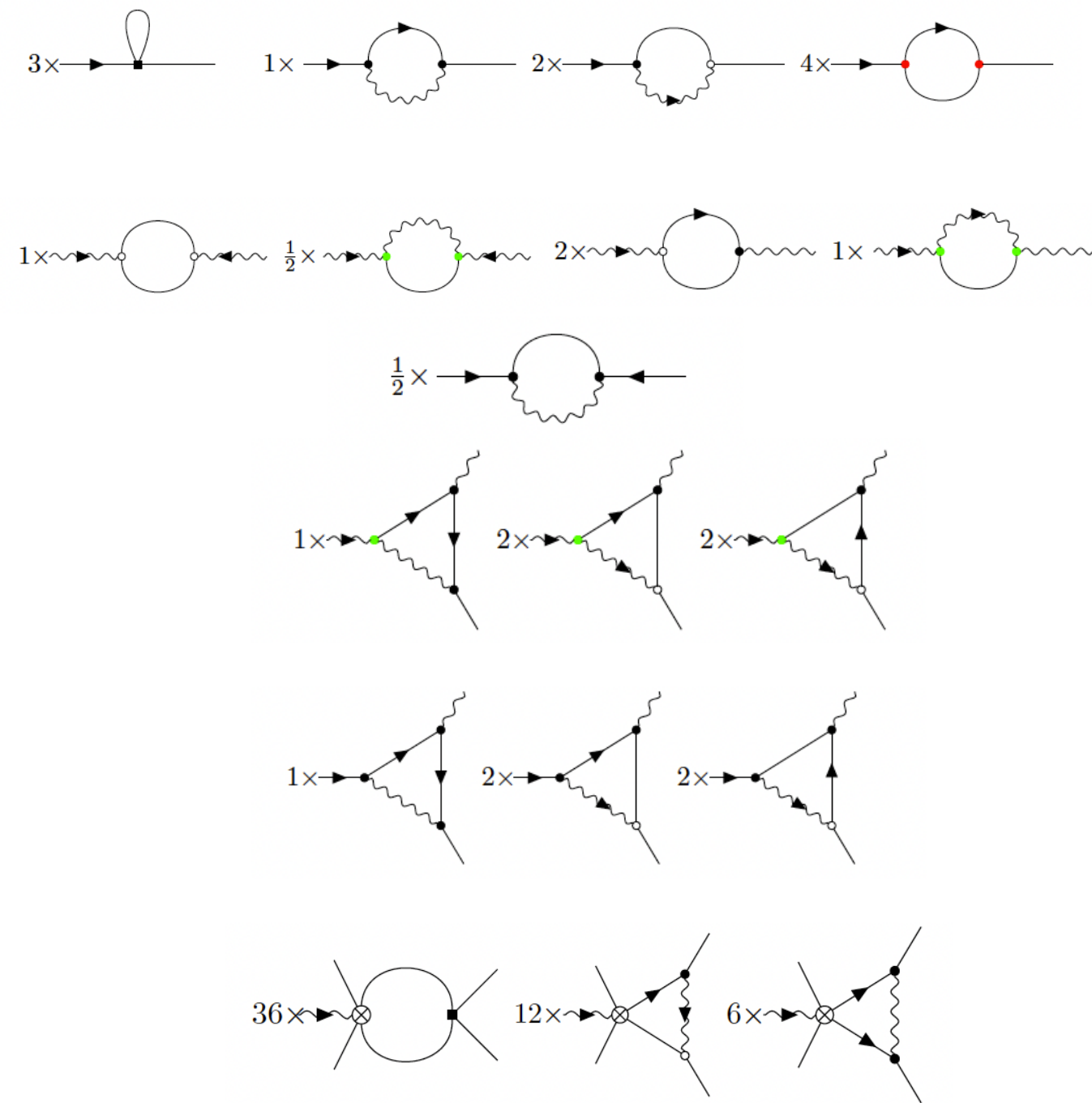
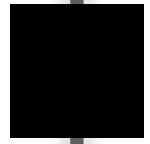


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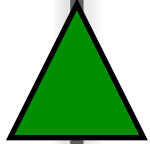
Renormalization Group

The Feynman Diagrams

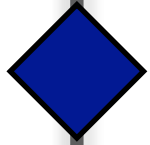
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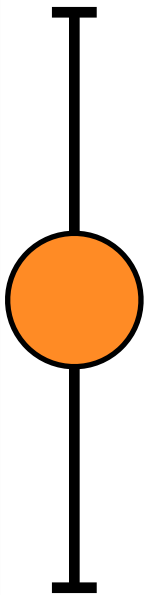
1.73



1.5



1.37



These are the diagrams at first order in $\epsilon = 4 - d$

A new active inertial universality class

Equilibrium non-inertial (Model A)

2

Active non-inertial (Chen, Toner and Lee)

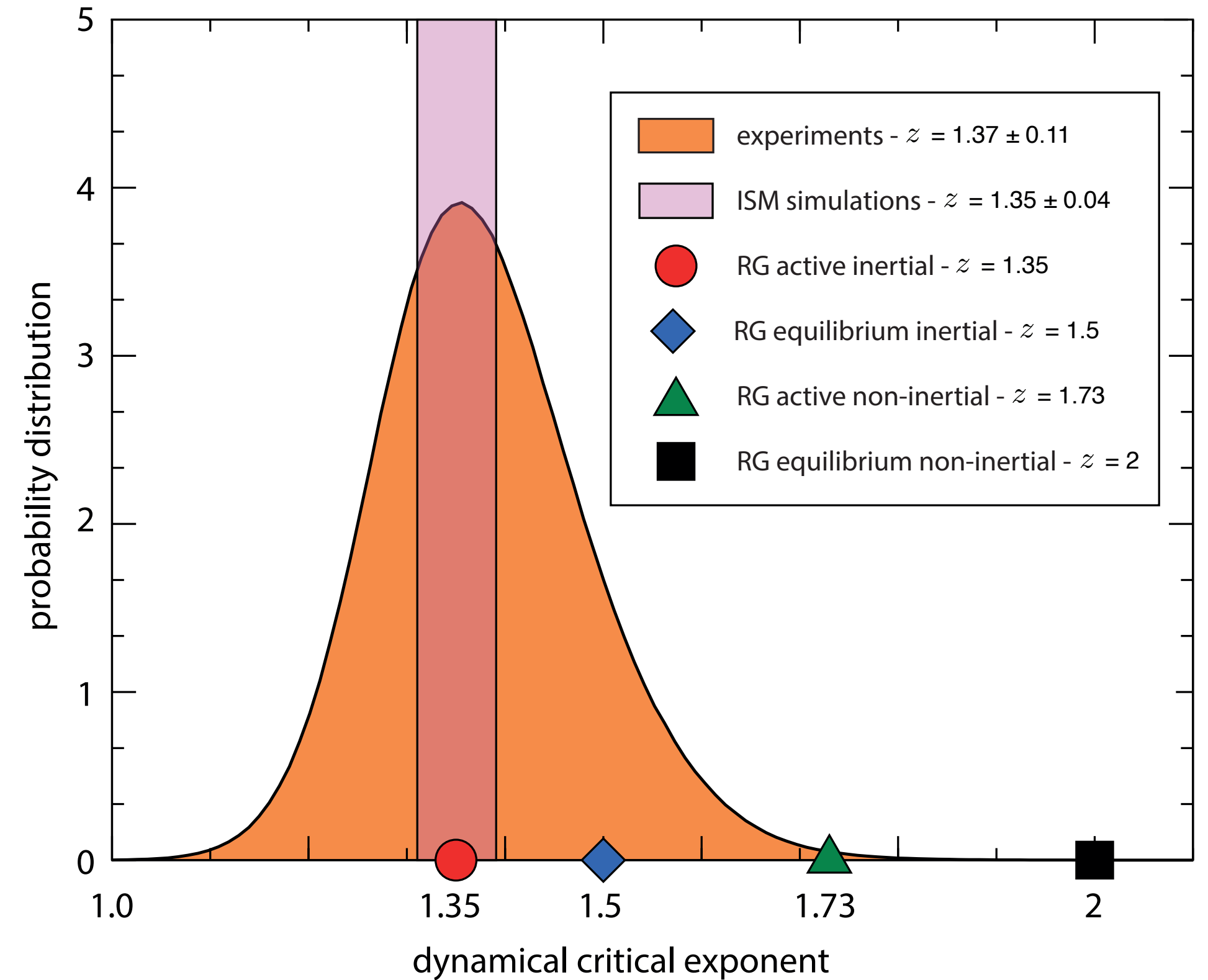
1.73

Equilibrium inertial (Model G)

1.5

Active inertial (Self-Propelled Model G)

1.35



1.37 ± 0.11 Natural swarms

1.35 ± 0.04 Numerical simulations

Take-home messages

- ⦿ Renormalization Group is a powerful tool in describing true biological systems
- ⦿ Universality might hold in the collective behaviour of biological systems
- ⦿ New non-equilibrium universality classes are relevant in explaining biophysical data
- ⦿ Inertial behaviour and activity are essential ingredients in the description of swarms

Bibliography

A. Cavagna, L. Di Carlo, I. Giardina, TS. Grigera, S. Melillo, L. Parisi, G. Pisegna, M. Scandolo
Natural swarms in 3.99 dimensions, *Nature Physics* 19, 1043–1049 (2023)