

# Final State Interactions in GiBUU

With  
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# Nuclear ground state

- density distribution: Woods-Saxon (or harm. Oscillator)
- particle momenta: 'Local Thomas-Fermi approximation'

$$f_{(n,p)}(\vec{r}, \vec{p}) = \Theta [p_{F(n,p)}(\vec{r}) - |\vec{p}|]$$

- Fermi-momentum:

$$p_{F(n,p)}(\vec{r}) = (3\pi^2 \rho_{(n,p)}(\vec{r}))^{1/3}$$

- Fermi-energy:

$$E_{F(n,p)} = \sqrt{p_{F(n,p)}^2 + m_N^2} + U_{(n,p)}(\vec{r}, p_F)$$

potential: see above

# Testparticle ansatz

$$[\partial_t + (\nabla_p H_i) \nabla_r - (\nabla_r H_i) \nabla_p] f_i(\vec{r}, t, \vec{p}) = C[f_i, f_j, \dots]$$

■ *idea:*

approximate full phase-space density distribution by a sum of delta-functions

■

$$f(\vec{r}, t, \vec{p}) \sim \sum_{i=1}^{N_{\text{test}}} \delta(\vec{r} - \vec{r}_i(t)) \delta(\vec{p} - \vec{p}_i(t))$$

■ each delta-function represents one (test-)particle with a sharp position and momentum

■ large number of test particles needed

# Ensemble techniques

## ■ “full ensembles” technique

■ every testparticle may interact with every other one

■ rescaling of cross section

$$\sigma_{ij} \rightarrow \frac{1}{N_{\text{test}}} \sigma_{ij}$$

## ■ Pros:

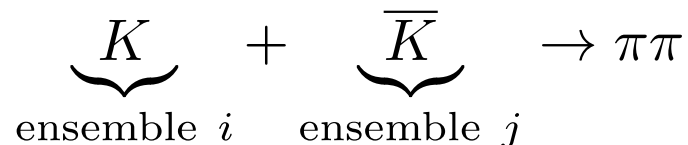
■ locality of collisions

## ■ Cons:

■ calculational time: collisions scale with  $(N_{\text{test}})^2$

■ energy not conserved per ensemble, on average only

■ conserved quantum numbers are respected on average only  
(‘canonical’)



# Ensemble techniques

## ■ “parallel ensembles” technique

### ■ *idea:*

■ testparticle index is also ensemble index

■  $N_{\text{test}}$  independent runs, densities etc. may be averaged

### ■ Pros:

■ calculational time: collisions scale with  $N_{\text{test}}$

■ conserved quantum numbers are strictly respected (‘microcanonical’)

### ■ Cons:

■ non-locality of collisions

$$\sigma_{ij} \simeq 30 \text{ mb} \rightarrow r = 1 \text{ fm}$$

# Time evolution

- time axis is discretized
- collisions only happen at discrete time steps,
- between collisions: propagation (through mean fields)
- typical time-step size:  $\Delta t = 0.1-0.2 \text{ fm}/c$
- start at  $t=0$  and run  $N$  timesteps until  $t_{\text{max}}$
- typically:
$$N \Delta t = t_{\text{max}} \approx 20-50 \text{ fm}/c$$
$$\implies N \approx 100-1000$$
- density/potentials: if not analytically, recalc at every step

# Collision term

- contains one-, two-, and three-body collisions

$$C = C_{1 \rightarrow X} + C_{2 \rightarrow X} + C_{3 \rightarrow X}$$

(1) resonance decays

(2) two-body collisions

- elastic and inelastic

- any number of particles in final state

- baryon-meson, baryon-baryon, meson-meson

(3) three-body collisions (only relevant at high densities)

- low energies: cross sections based on resonances

$$\text{e.g. } \pi N \rightarrow N^*, \quad NN \rightarrow NN^*$$

- high energies: string fragmentation

# Collision term

■ 2-to-2 term  $(12 \leftrightarrow 1'2')$

$$C^{(2,2)}(x, p_1)$$

$$= C_{\text{gain}}^{(2,2)}(x, p_1) - C_{\text{loss}}^{(2,2)}(x, p_1)$$

$$\begin{aligned} &= \frac{\mathcal{S}_{1'2'}}{2p_1^0 g_{1'} g_{2'}} \int \frac{d^4 p_2}{(2\pi)^4 2p_2^0} \int \frac{d^4 p_{1'}}{(2\pi)^4 2p_{1'}^0} \int \frac{d^4 p_{2'}}{(2\pi)^4 2p_{2'}^0} \\ &\quad \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_{1'} - p_{2'}) \overline{|\mathcal{M}_{12 \rightarrow 1'2'}|^2} \\ &\quad \times [F_{1'}(x, p_{1'}) F_{2'}(x, p_{2'}) \overline{F}_1(x, p_1) \overline{F}_2(x, p_2) \\ &\quad - \overline{F}_1(x, p_1) F_2(x, p_2) \overline{F}_{1'}(x, p_{1'}) \overline{F}_{2'}(x, p_{2'})] \end{aligned}$$

$$F(x, p) = 2\pi g f(x, p) \mathcal{A}(x, p)$$

$$\overline{F}(x, p) = 2\pi g [1 - f(x, p)] \mathcal{A}(x, p)$$

Pauli-blocking



# Cross section: Geometric interpretation

- particle i and particle j collide, if during timestep  $\Delta t$

$$r_{ij}(t) = |\vec{r}_i(t) - \vec{r}_j(t)| \stackrel{!}{\leq} \frac{\sqrt{\sigma_{ij}}}{\pi}$$

- problem 1: only for 2-body collisions
- problem 2: not invariant under Lorentz-Trafos
- different frames may lead to different ordering of collisions
- specific frame ('computational frame') needed

# Relativistically correct collision criterion

Kodama criterion:

Colliding particle's coordinates in cm system:

$$\vec{x}_i^{cm}(t^{cm}) = \vec{x}_i^{cm}(t_i^{cm}) + \vec{\beta}_i^{cm}(t^{cm} - t_i^{cm}).$$

Spatial distance of colliding particles as function of time in cm system:

$$d^2(t^{cm}) = \left( \vec{x}_{12}^{cm} + \vec{\beta}_{12}^{cm} t^{cm} \right)^2$$

$$\begin{aligned} \vec{x}_{12}^{cm} &= \vec{x}_1^{cm} - \vec{x}_2^{cm} - \vec{\beta}_1^{cm} t_1^{cm} + \vec{\beta}_2^{cm} t_2^{cm} \\ \vec{\beta}_{12}^{cm} &= \vec{\beta}_1^{cm} - \vec{\beta}_2^{cm} \end{aligned}$$

Impact parameter:

$$b^2 = (\vec{x}_{12}^{cm})^2 - \frac{\left( \vec{x}_{12}^{cm} \cdot \vec{\beta}_{12}^{cm} \right)^2}{\left( \vec{\beta}_{12}^{cm} \right)^2} \qquad t_{min}^{cm} = - \frac{\vec{x}_{12}^{cm} \cdot \vec{\beta}_{12}^{cm}}{\left( \vec{\beta}_{12}^{cm} \right)^2}$$

# Cross section: Stochastic interpretation

■ collision rate per unit phase space

massless, no  $(2\pi)^3$

$$\frac{\Delta N_{\text{coll}}^{2 \rightarrow 2}}{\Delta t \Delta^3 x \Delta^3 p_1} = \frac{\Delta^3 p_2}{2E_1 2E_2} f_1 f_2 \int \frac{d^3 p'_1}{2E'_1} \frac{d^3 p'_2}{2E'_2} |\mathcal{M}| \delta^{(4)}(p_1 + p_2 - p'_1 - p'_2)$$

$$\sigma_{22} = \frac{1}{2s} \int \frac{d^3 p'_1}{2E'_1} \frac{d^3 p'_2}{2E'_2} |\mathcal{M}| \delta^{(4)}(p_1 + p_2 - p'_1 - p'_2)$$

$$f_i = \frac{\Delta N_i}{\Delta^3 x \Delta^3 p}$$

■ collision probability in unit box  $\Delta^3 x$  and unit time  $\Delta t$

$$P_{22} = \frac{\Delta N_{\text{coll}}^{2 \rightarrow 2}}{\Delta N_1 \Delta N_2} = v_{\text{rel}} \sigma_{22} \frac{\Delta t}{\Delta^3 x} \quad \left( v_{\text{rel}} = \frac{s}{2E_1 E_2} \right)$$

■ generalisable to n-body collisions

# Cross section: Stochastic interpretation

- discretize time and space

$$P_{2 \rightarrow X} = v_{\text{rel}} \sigma_{2 \rightarrow X} \frac{\Delta t}{\Delta V}$$

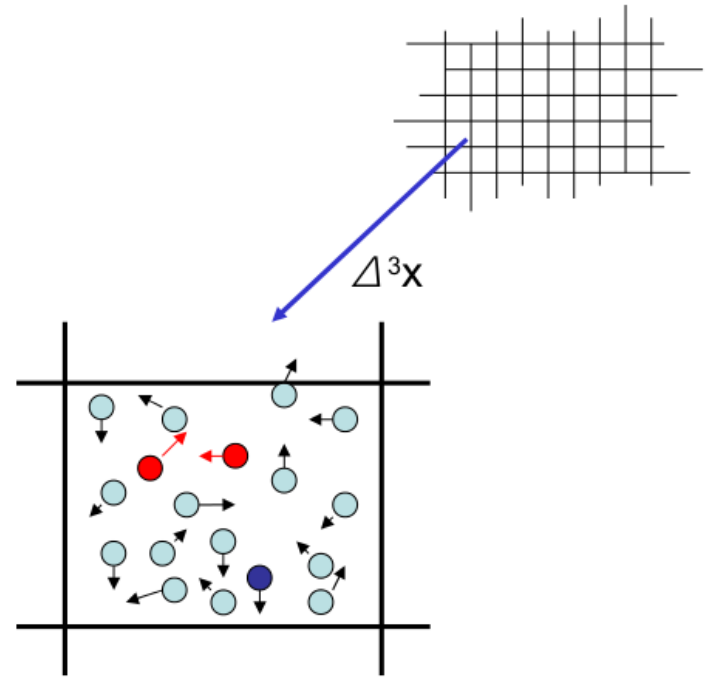
$$P_{3 \rightarrow X} = \frac{I_{3 \rightarrow X}}{8 E_1 E_2 E_3} \frac{\Delta t}{(\Delta V)^2}$$

- together with ‘full ensemble’

- n particles in cell, randomly select n/2 pairs

$$P_2 \rightarrow \frac{n(n-1)/2}{n/2} P_2$$

- calculational time: collisions scale approx. with  $N_{\text{test}}$
- labeled as “local ensemble method”



# Resonance Model

■ resonance parameters, decays modes, widths:

**D.Manley, E.Saleski, PRD45 (1992) 4002**

PWA of  $\pi N \rightarrow \pi N$  and  $\pi N \rightarrow \pi \pi N$ , consistency!!!

		$M_0$	$\Gamma_0$	$ \mathcal{M}^2 /16\pi$ [mb GeV <sup>2</sup> ]		branching ratio in %						
	rating	[MeV]	[MeV]	$NR$	$\Delta R$	$\pi N$	$\eta N$	$\pi \Delta$	$\rho N$	$\sigma N$	$\pi N^*(1440)$	$\sigma \Delta$
P <sub>11</sub> (1440)	****	1462	391	70	—	69	—	22 <sub>P</sub>	—	9	—	—
S <sub>11</sub> (1535)	***	1534	151	8	60	51	43	—	2 <sub>S</sub> + 1 <sub>D</sub>	1	2	—
S <sub>11</sub> (1650)	****	1659	173	4	12	89	3	2 <sub>D</sub>	3 <sub>D</sub>	2	1	—
D <sub>13</sub> (1520)	****	1524	124	4	12	59	—	5 <sub>S</sub> + 15 <sub>D</sub>	21 <sub>S</sub>	—	—	—
D <sub>15</sub> (1675)	****	1676	159	17	—	47	—	53 <sub>D</sub>	—	—	—	—
P <sub>13</sub> (1720)	*	1717	383	4	12	13	—	—	87 <sub>P</sub>	—	—	—
F <sub>15</sub> (1680)	****	1684	139	4	12	70	—	10 <sub>P</sub> + 1 <sub>F</sub>	5 <sub>P</sub> + 2 <sub>F</sub>	12	—	—
P <sub>33</sub> (1232)	****	1232	118	OBE	210	100	—	—	—	—	—	—
S <sub>31</sub> (1620)	**	1672	154	7	21	9	—	62 <sub>D</sub>	25 <sub>S</sub> + 4 <sub>D</sub>	—	—	—
D <sub>33</sub> (1700)	*	1762	599	7	21	14	—	74 <sub>S</sub> + 4 <sub>D</sub>	8 <sub>S</sub>	—	—	—
P <sub>31</sub> (1910)	****	1882	239	14	—	23	—	—	—	—	67	10 <sub>P</sub>
P <sub>33</sub> (1600)	***	1706	430	14	—	12	—	68 <sub>P</sub>	—	—	20	—
F <sub>35</sub> (1905)	***	1881	327	7	21	12	—	1 <sub>P</sub>	87 <sub>P</sub>	—	—	—
F <sub>37</sub> (1950)	****	1945	300	14	—	38	—	18 <sub>F</sub>	—	—	—	44 <sub>F</sub>

$$\Gamma_{R \rightarrow ab}(m) = \Gamma_{R \rightarrow ab}^0 \frac{\rho_{ab}(m)}{\rho_{ab}(M^0)}$$

$$\rho_{ab}(m) = \int p_a^2 p_b^2 \mathcal{A}_a(p_a^2) \mathcal{A}_b(p_b^2) \frac{p_{ab}}{m} B_{L_{ab}}^2(p_{ab} R) \mathcal{F}_{ab}^2(m)$$

# (Lund) String-fragmentation (Pythia)

## ■ *idea:*

hard qq scattering (pQCD)  
creates a color flux tube ('string')  
which then fragments into hadrons  
(via qq pair production)

■ high energy: 10 GeV...

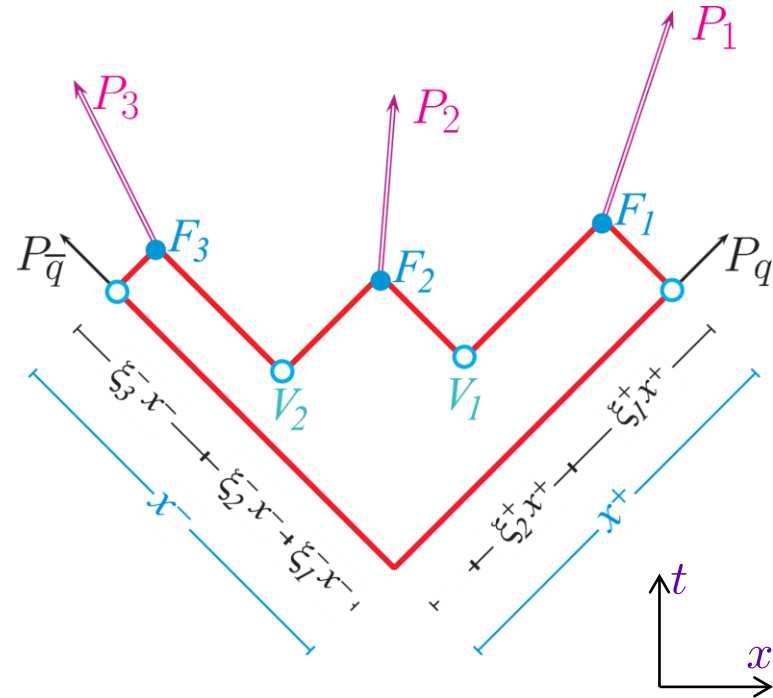
■ "Lund string model"

implementation: Pythia (Jetset)

■ only low-lying resonances

■ phenomenological fragmentation function  
(when and how does a string break?)

■ parameters fitted to data (different 'tunes', e.g. to HERMES data, available)



# Init

- in principle:

- 1) initialize nucleons

- 2) perform **one** initial elementary event on **one** nucleon

- 3) propagate nucleons and final state particles

- correct, but 'waste of time'

- *idea:*

final state particles do not really disturb the nucleus

- 2 particle classes:

- 'real particles'

- 'perturbative particles'

# Particle classes

- ‘real particles’

- nucleons

- may interact among each other

- interaction products are again ‘real particles’

- ‘perturbative particles’

- final state particles of initial event

- may only interact with ‘real particles’

- interaction products are again ‘perturbative particles’

- ‘real particles’ behave as if other particles are not there

- total energy, total baryon number, etc. not conserved!



# Init with perturbative particles

## ■ init

1) initialize nucleons

2) perform **one** initial elementary event on **every** nucleon

3) propagate nucleons and final state particles

■ final states particles are ‘perturbative particles’

■ different final states do not interfere

■ every final state particle gets a ‘perturbative weight’:

■ value: cross section of initial event

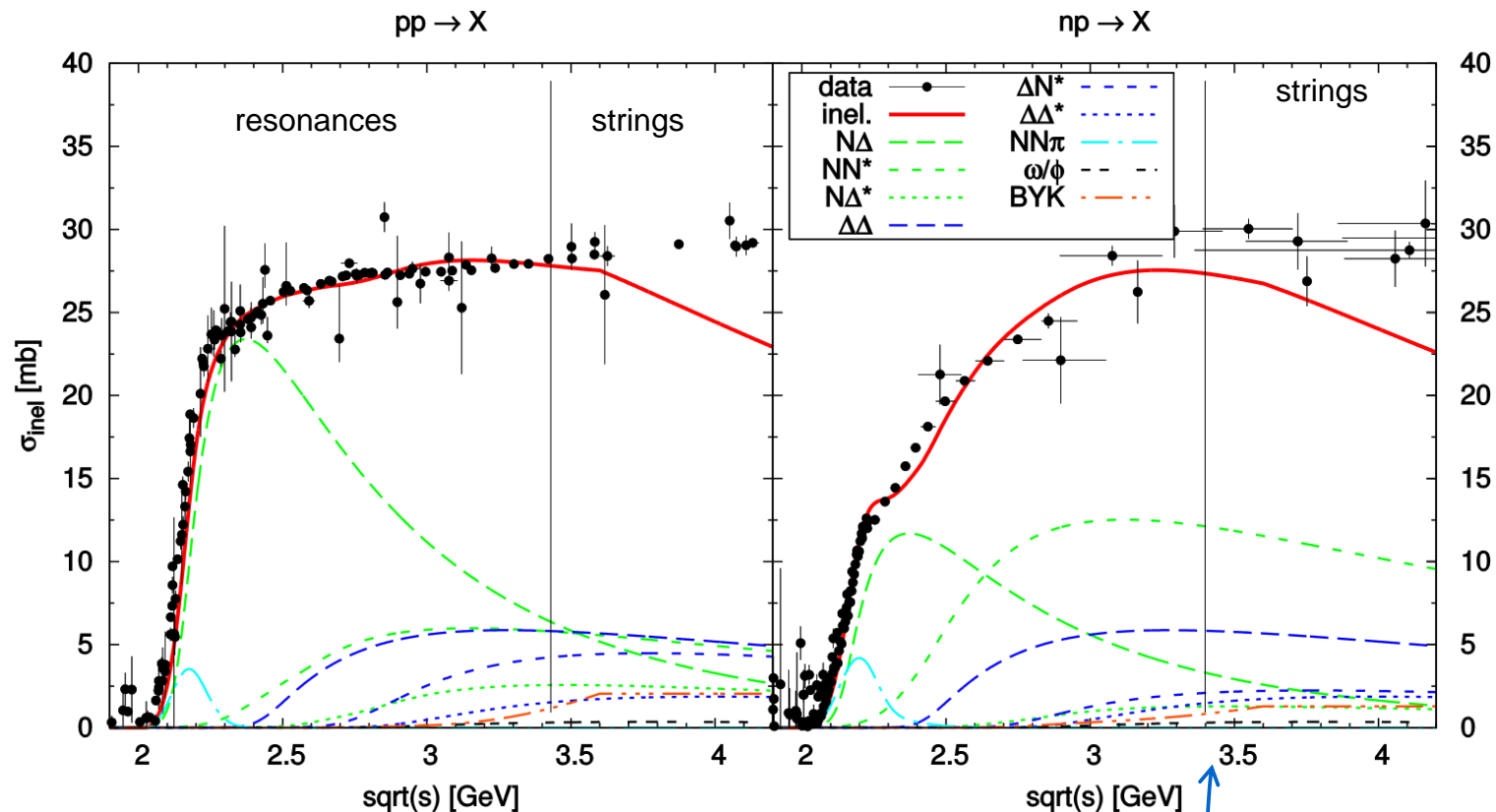
■ is inherited in every FSI

■ *for final spectra the ‘perturbative weights’ have to be added, not only the particle numbers*

# Test of FSI

# Baryon-Baryon Collisions

- low energy: resonance model, high energy: string model
- no nice peaks due to two-body kinematics
- $NN \rightarrow NR, \Delta R$  ( $R = \Delta, N^*, \Delta^*$ )



$\sqrt{s} = 3.4 \pm 0.1 \text{ GeV}$

# Cross section plotter on GiBUU homepage

<https://gibuu.hepforge.org/XSection/>

**Projectile Particle**

Σ(1660)

Σ(1750)

Σ(1915)

Ξ

Ξ\*

Ω

Λ<sub>c</sub>

Σ<sub>c</sub>

Σ<sub>c</sub>\*

Ξ<sub>c</sub>

Ξ<sub>c</sub>\*

Ω<sub>c</sub>

**Mesons**

π

η

ρ

σ

ω

η'

φ

η<sub>c</sub>

J/ψ

K

☒ Particle

☐ Antiparticle

Charge:

-2

-1

0

+1

+2

**Target Particle**

**Baryons**

N

Δ

P<sub>11</sub>(1440)

S<sub>11</sub>(1535)

S<sub>11</sub>(1650)

S<sub>11</sub>(2090)

D<sub>13</sub>(1520)

D<sub>13</sub>(1700)

D<sub>13</sub>(2080)

D<sub>15</sub>(1675)

G<sub>17</sub>(2190)

P<sub>11</sub>(1710)

P<sub>11</sub>(2100)

P<sub>13</sub>(1720)

P<sub>13</sub>(1900)

F<sub>15</sub>(1680)

F<sub>15</sub>(2000)

F<sub>17</sub>(1990)

S<sub>31</sub>(1620)

S<sub>31</sub>(1900)

D<sub>33</sub>(1700)

D<sub>33</sub>(1940)

☒ Particle

☐ Antiparticle

Charge:

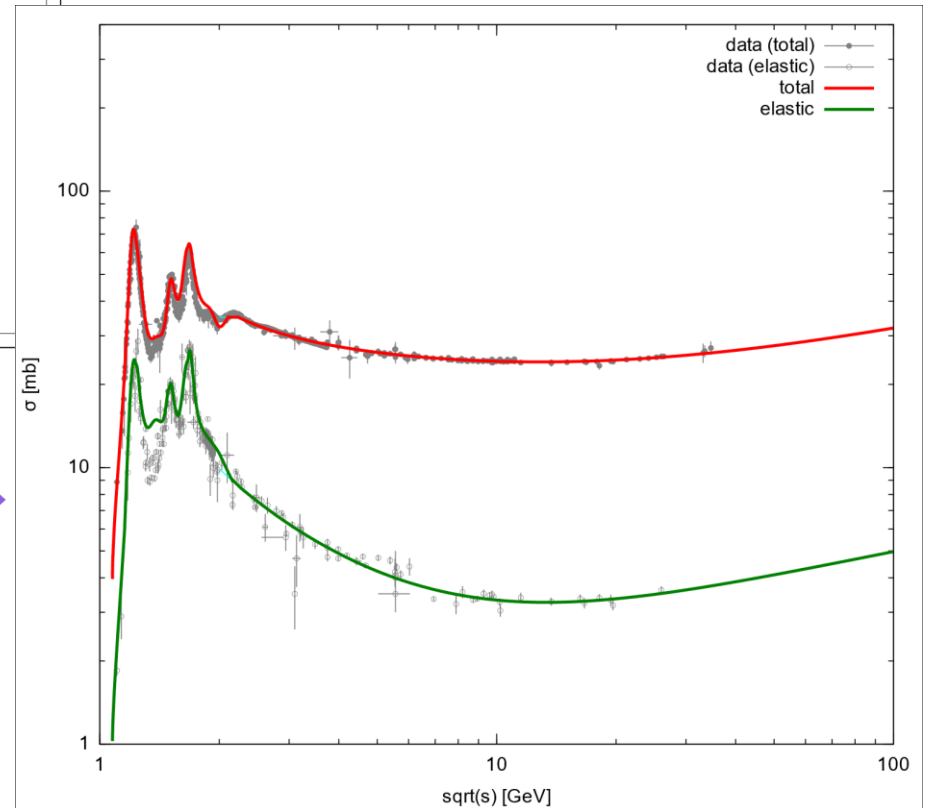
-2

-1

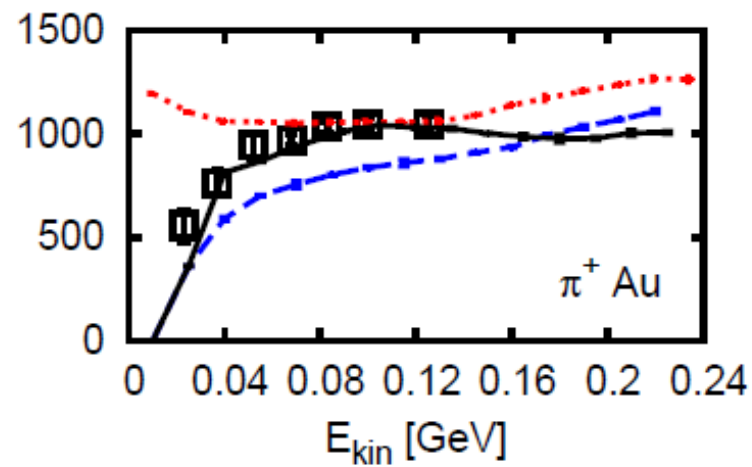
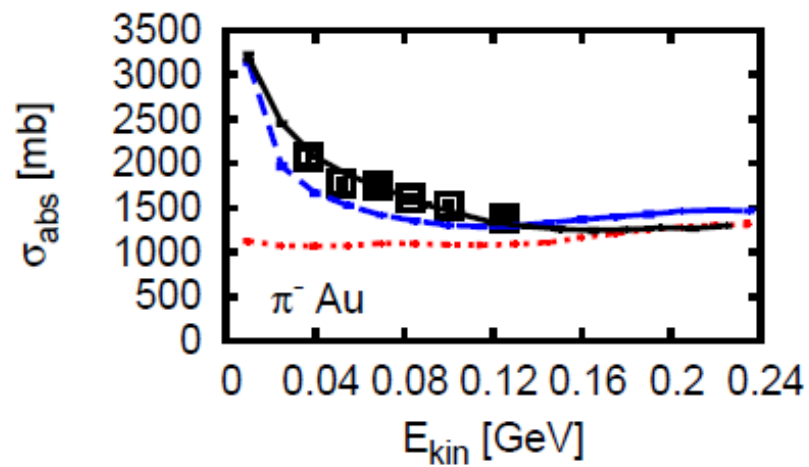
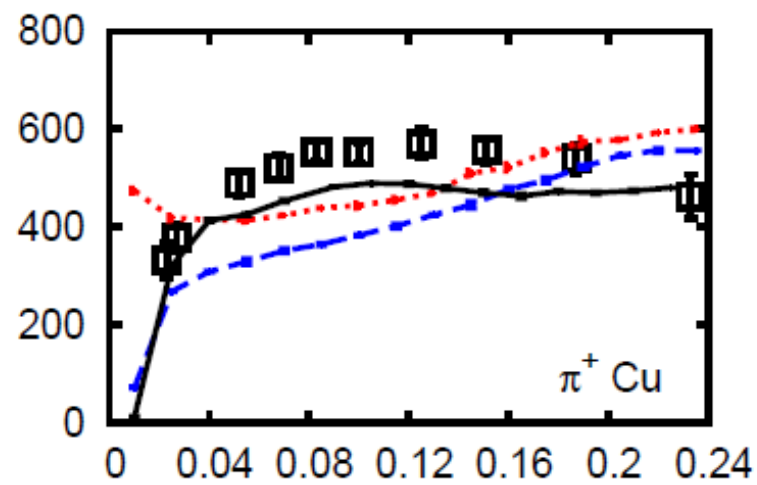
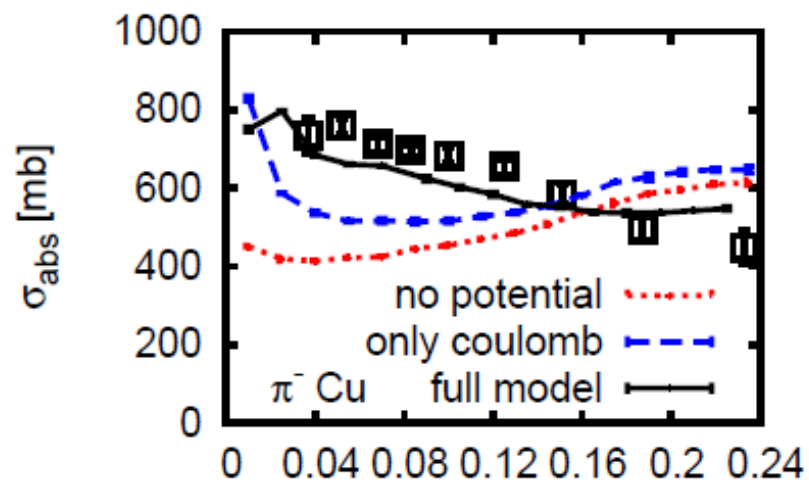
0

+1

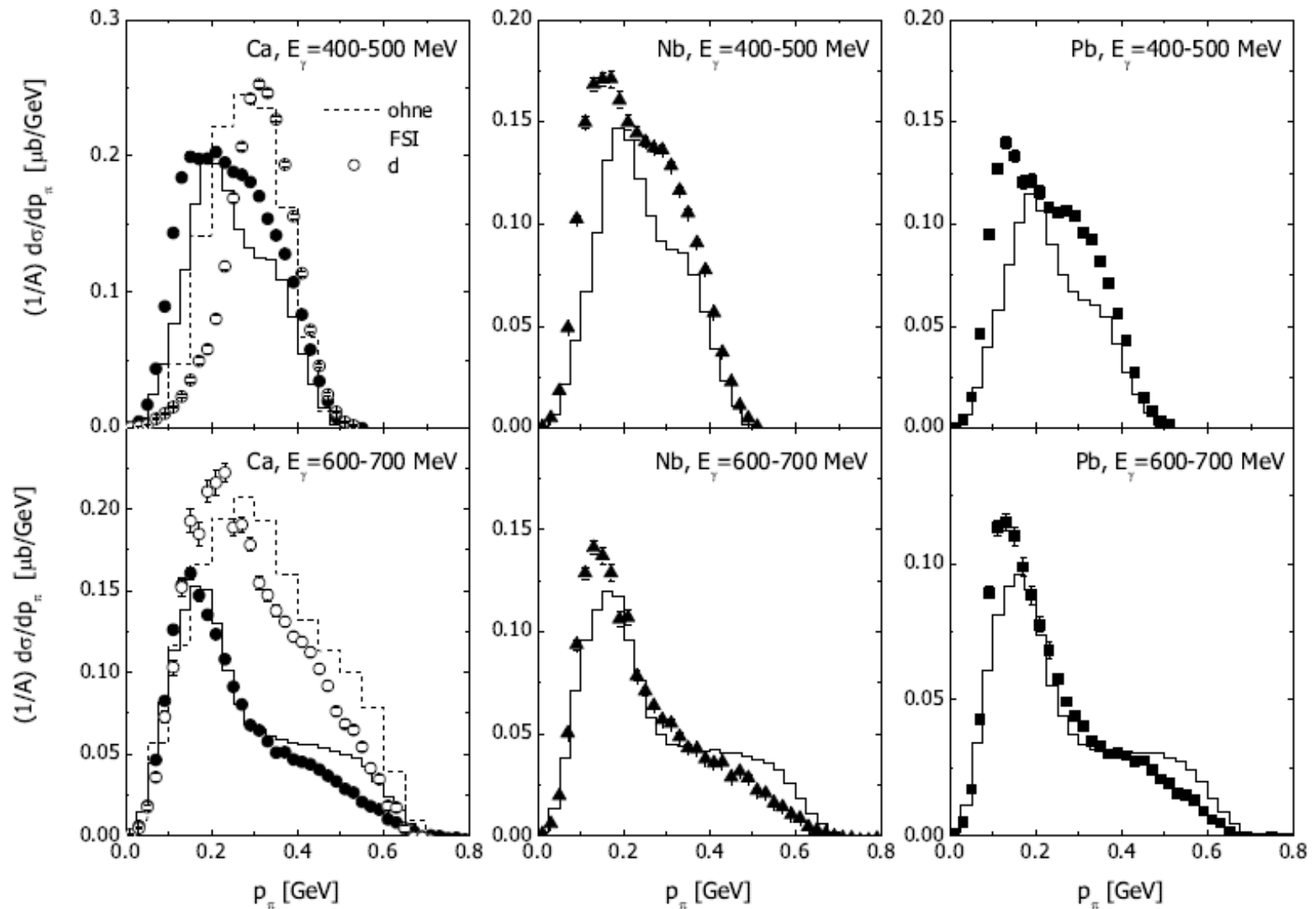
+2



# Pion absorption

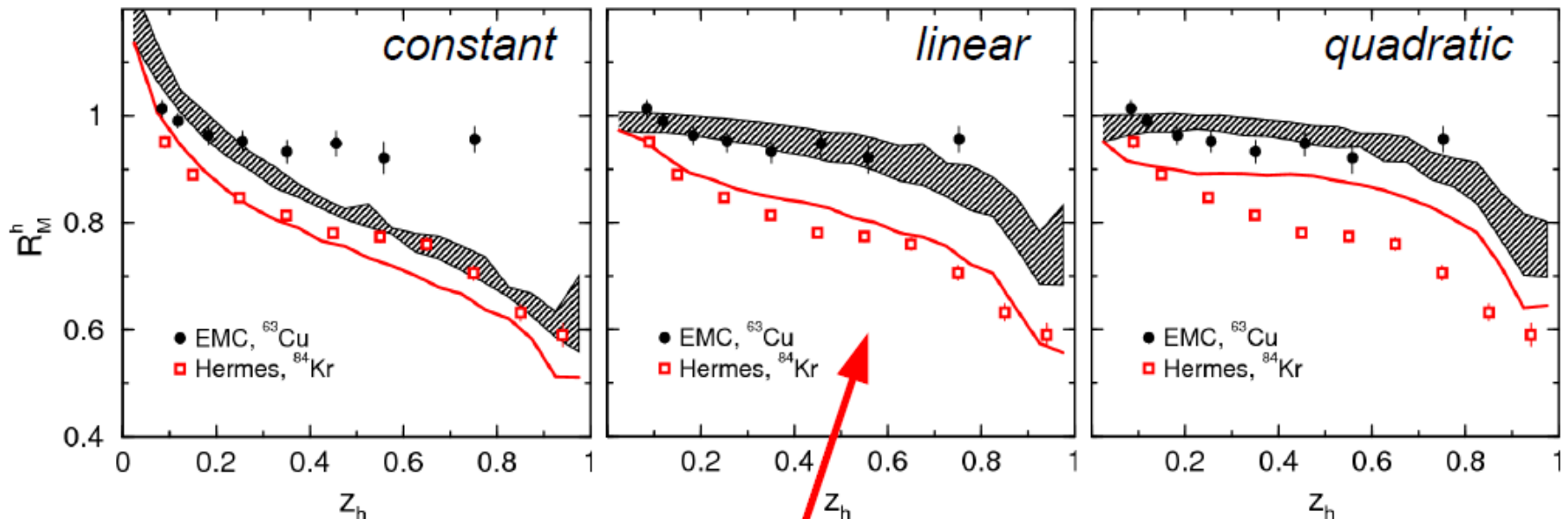


# Pi0 Photoproduction



# EMC & Hermes

describe simultaneously: • EMC@100...280 GeV • Hermes@27 GeV

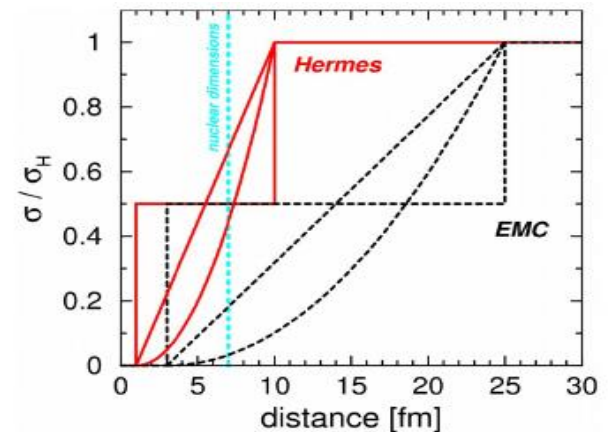


pre-hadronic cross section:

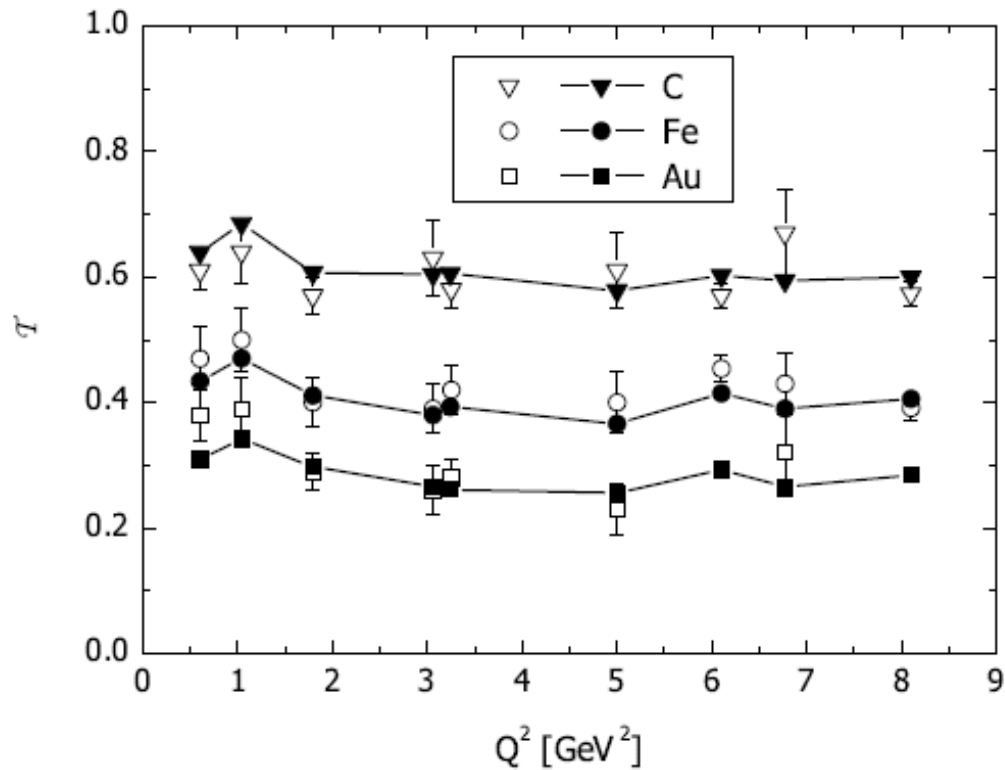
linear increase with time

$$\frac{\sigma^*}{\sigma_H} = \frac{r_{\text{lead}}}{Q^2} + \left(1 - \frac{r_{\text{lead}}}{Q^2}\right) \left(\frac{t - t_P}{t_F - t_P}\right)$$

cf. also Dokshitzer et al.; Farrar et al.



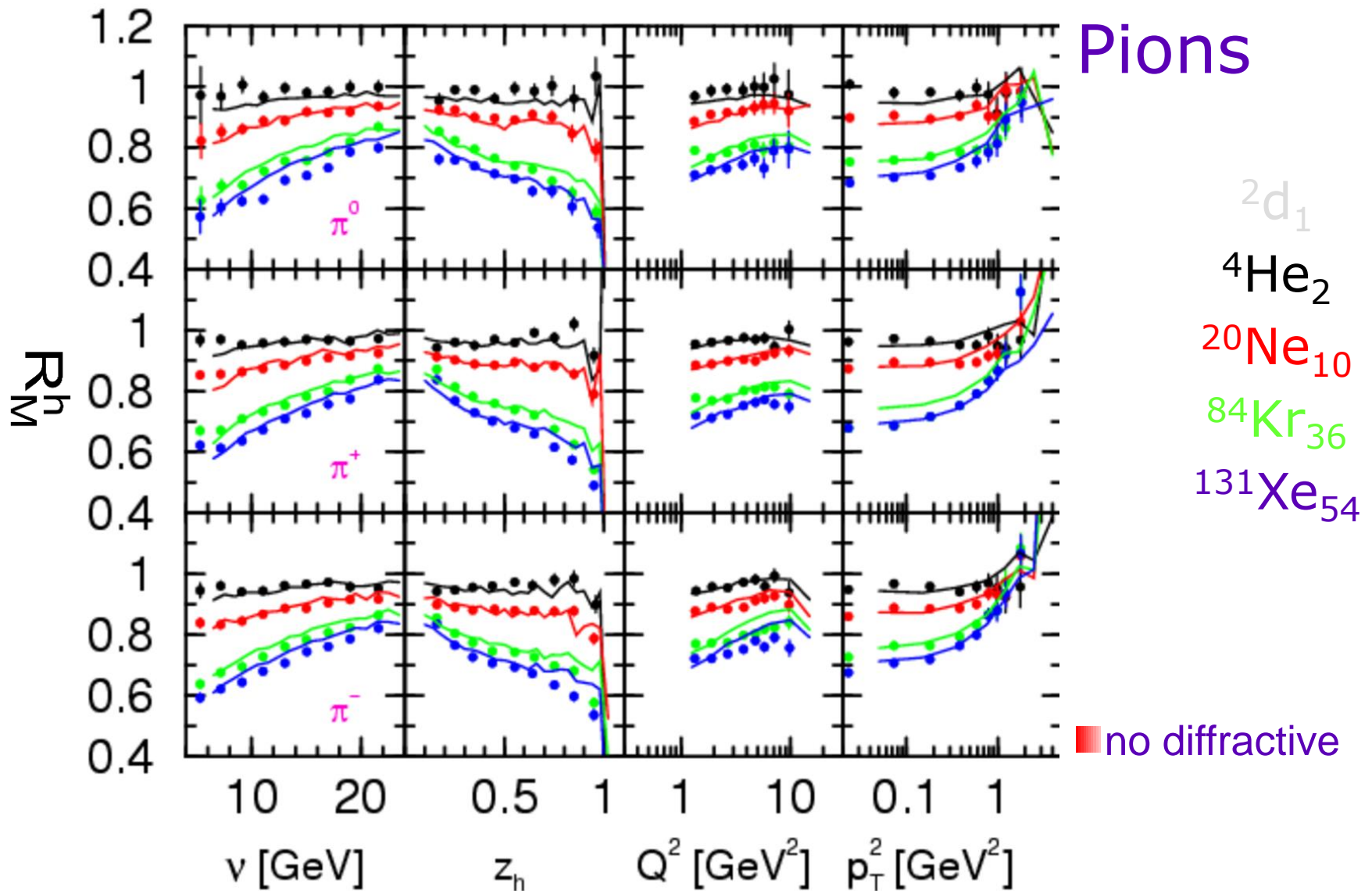
# Nucleon transparencies



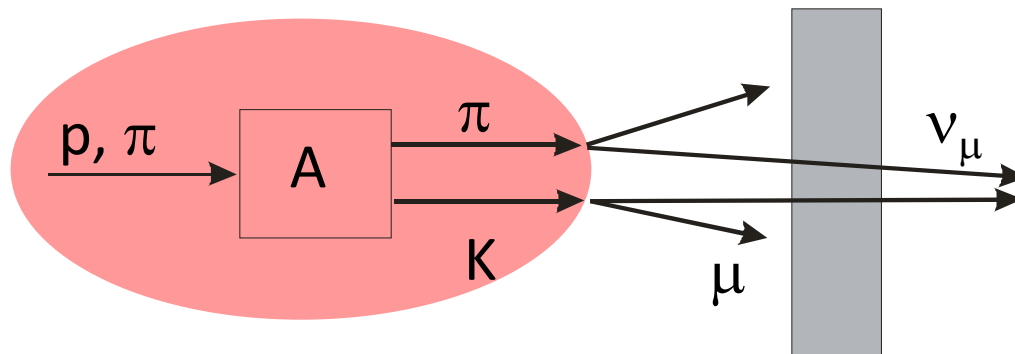
Data from JLAB, SLAC

Excellent agreement over wide kinematical range





# HARP, NA61/Shine



aim: adjust flux for ...

■ DUNE

■ T2K

■ MINERvA

■ .....

understand hadronic FSI

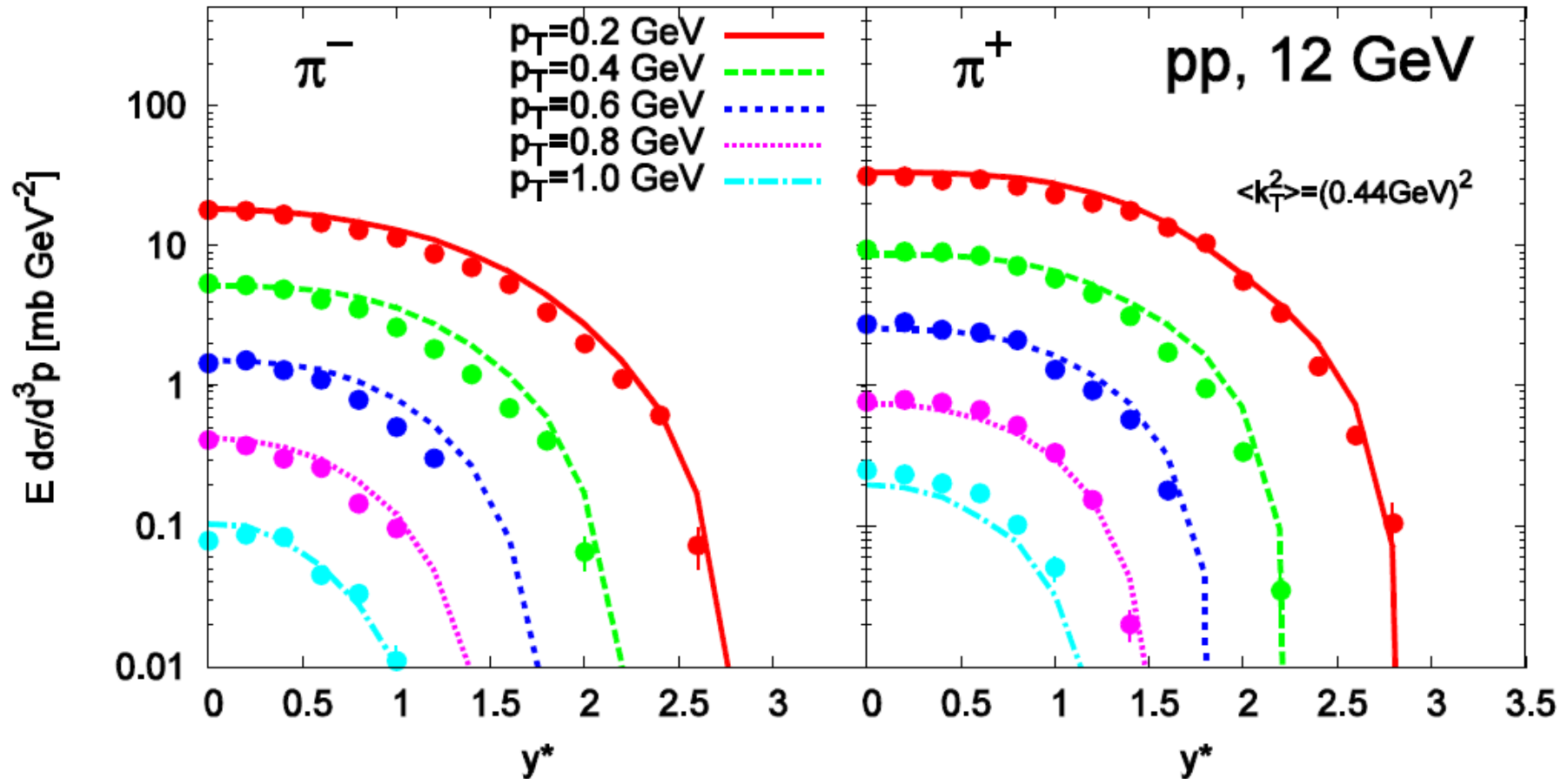
■ proton, pion beam

■ beam energies: 3 – 30 GeV/c

■ critical test for hadronic fsi

# elementary: $pp \rightarrow \pi^\pm X$

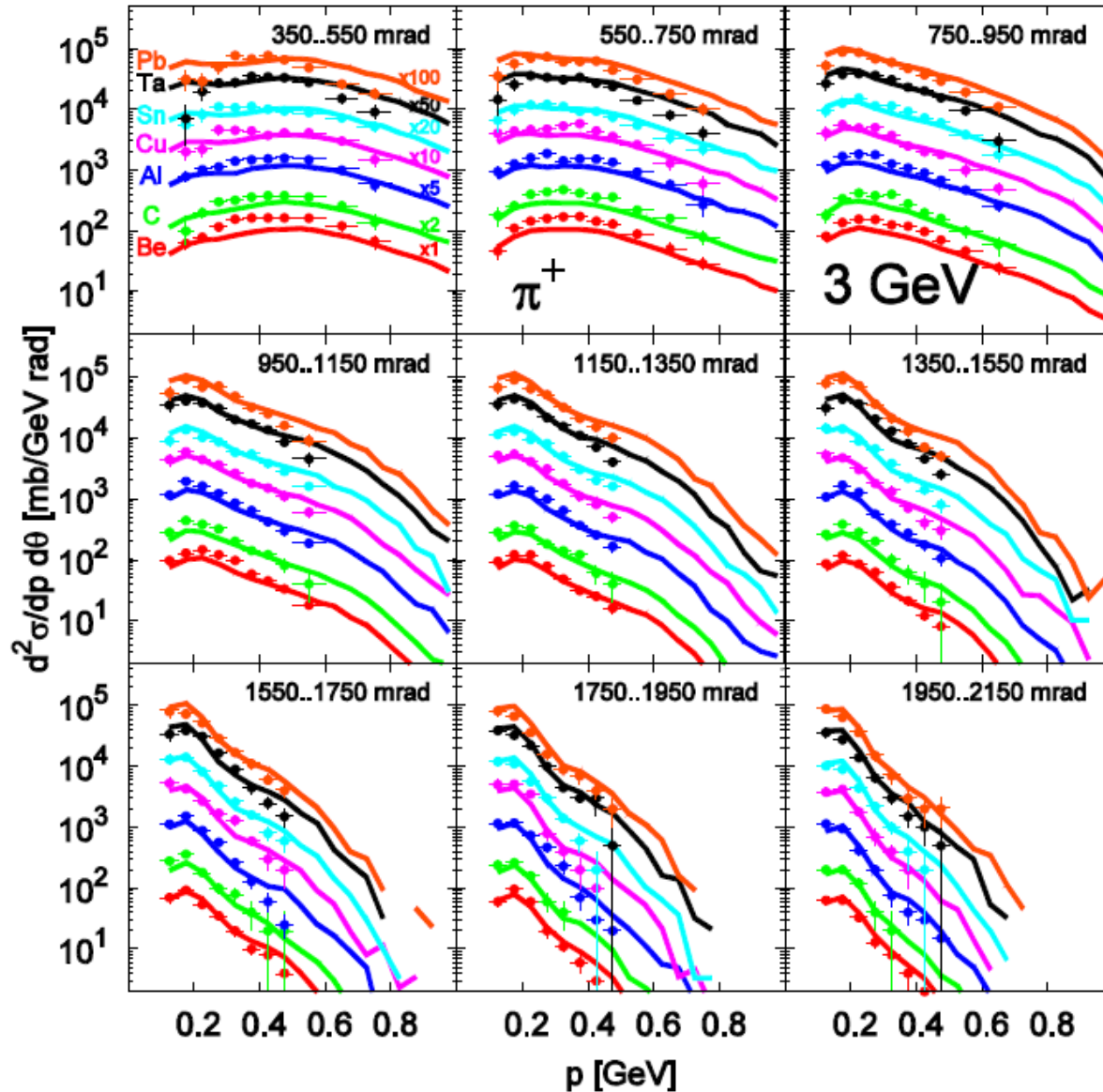
data: V. Blobel et al.. Nucl. Phys. B69 (1974) 454



■ GiBUU (Pythia v6.4) describes elementary data very well

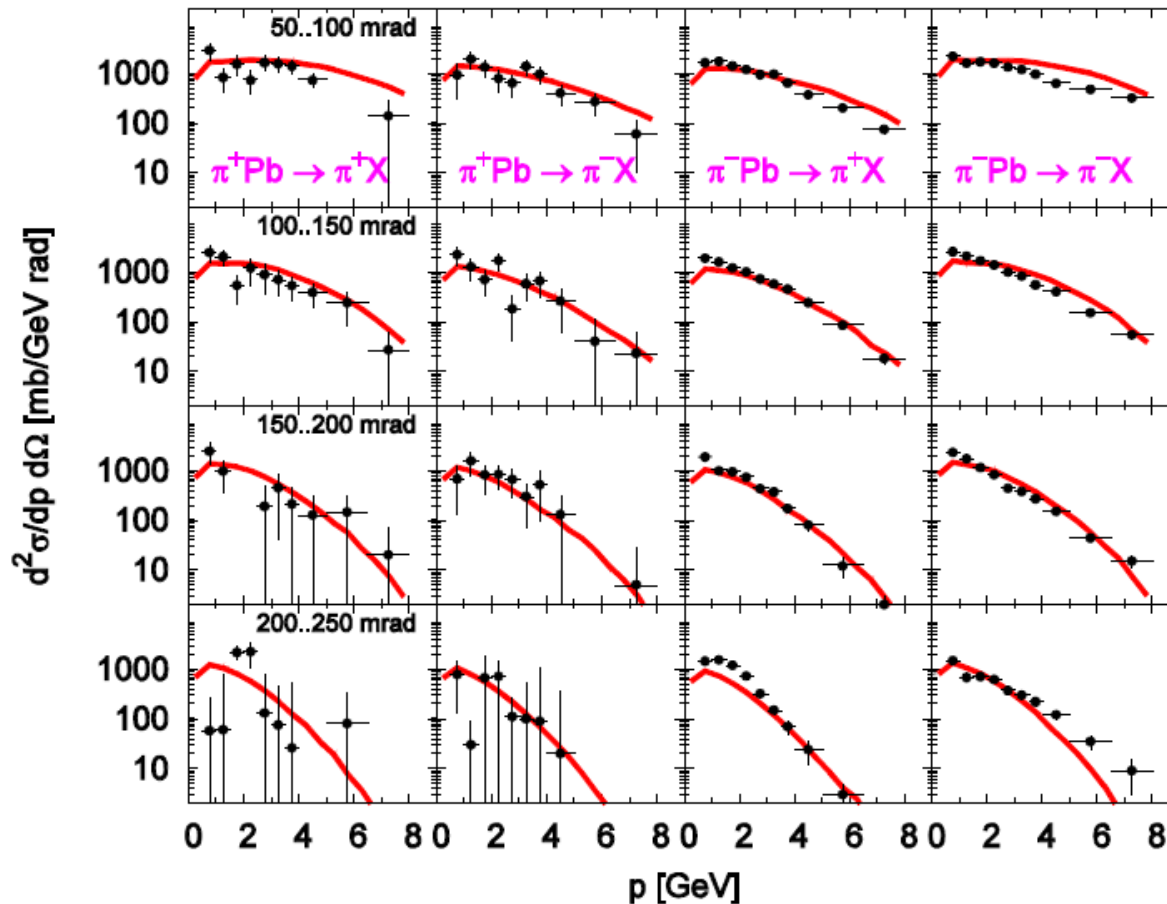
# $pA \rightarrow \pi^+ X$ (backward, 3 GeV/c)

data: M.G. Catanesi et al. (HARP), Phys. Rev. C 77 (2008) 055207



# $p^\pm Pb \rightarrow \pi^\pm X$ (forward, 12 GeV/c)

data: M.G. Catanesi et al. (HARP), arXiv:0902.2105 [hep-ex]



- forward production described very well
- pion beam slightly better described than proton beam

## *Conclusions*

- Transport Theory allows to bind nuclei and propagate hadrons in their potentials
- No extra binding energy corrections needed
- Transport will (must) replace simple MCs