

# Heavy-light $N+1$ clusters of two-dimensional fermions

Jules Givois, Andrea Tononi, and Dmitry Petrov

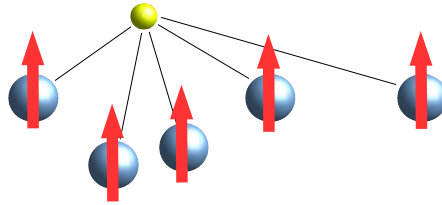
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arXiv: 2310.11330



# (N+1)-body problem

How many heavy fermions can be bound by a single light atom?



Kinetic energy of the heavy atoms  $\sim 1/M$

competes with

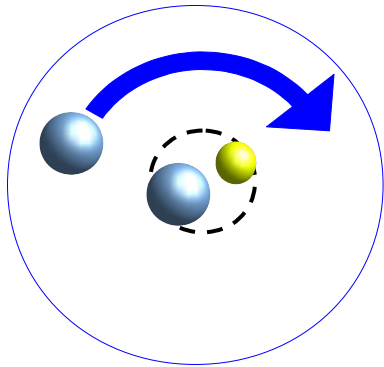
Attractive exchange potential of the light atom  $\sim 1/m$

Parameters of the **free-space zero-range** N+1-body problem:

- space dimension  $D$
- number of heavy atoms  $N$ 
  - mass ratio  $M/m$
- dimer size  $a$  (can be used as the length unit)

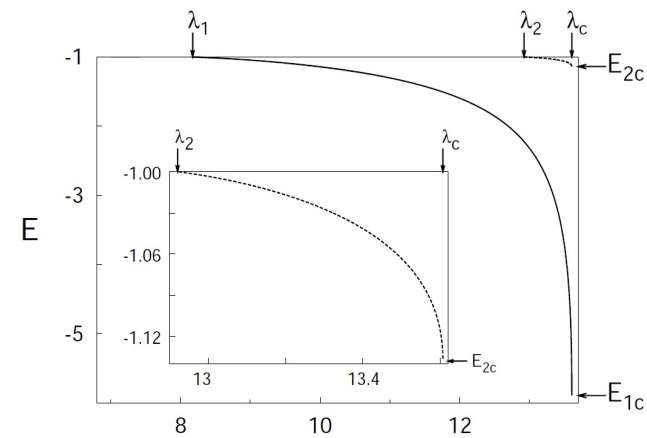
# 3D 2+1-trimer

Emergence of a trimer state for  $M/m > 8.2$  [Kartavtsev & Malykh'2006]

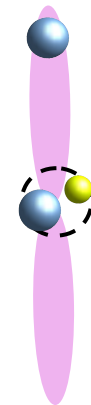
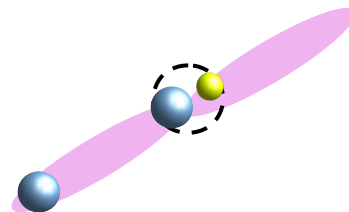
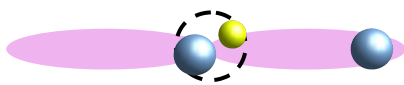


$M/m < 8.2$  p-wave atom-dimer scattering resonance

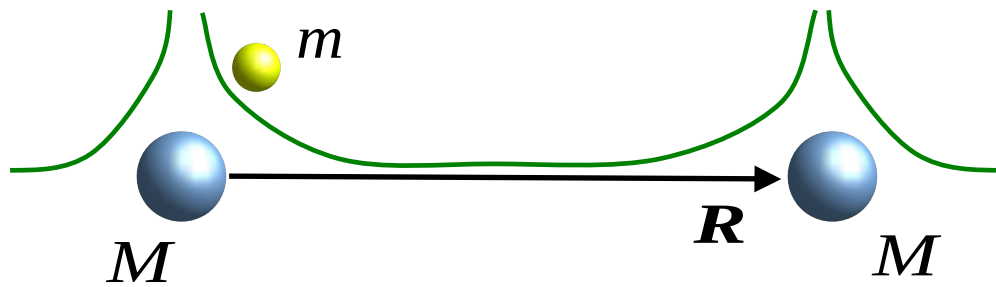
$M/m > 8.2$  (non-efimovian) trimer state with  $L=1$



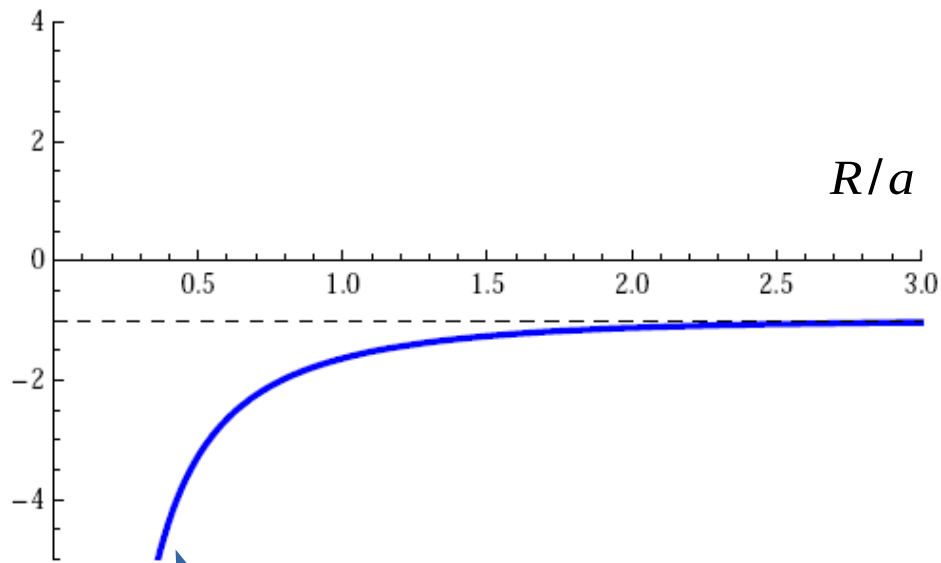
$p_x$ ,  $p_y$ , and  $p_z$  orbitals:



# Born-Oppenheimer picture



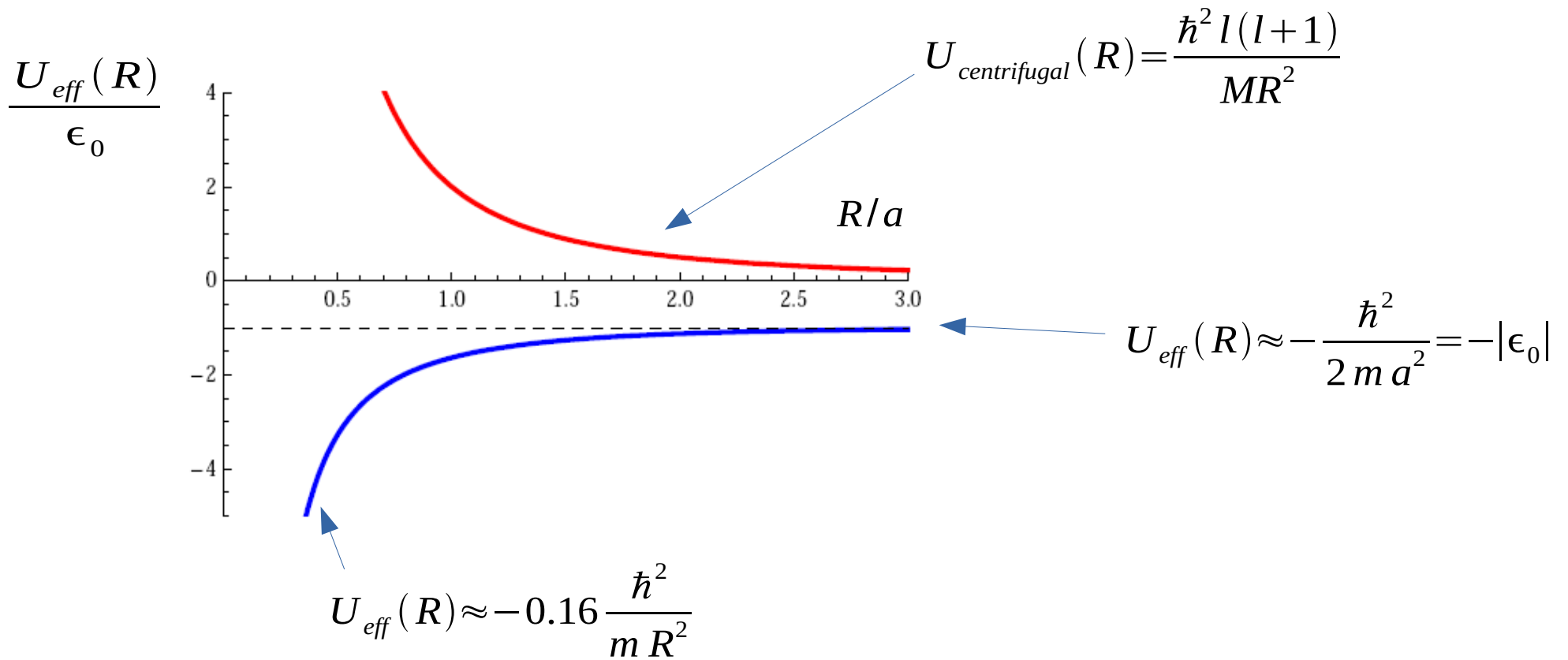
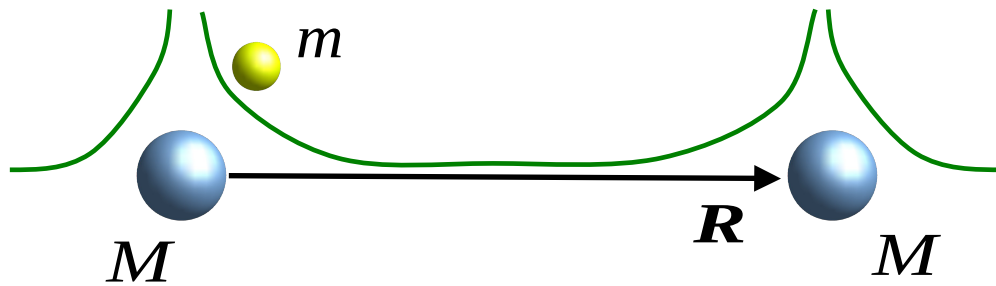
$$\frac{U_{eff}(R)}{\epsilon_0}$$



$$U_{eff}(R) \approx -0.16 \frac{\hbar^2}{m R^2}$$

$$U_{eff}(R) \approx -\frac{\hbar^2}{2 m a^2} = -|\epsilon_0|$$

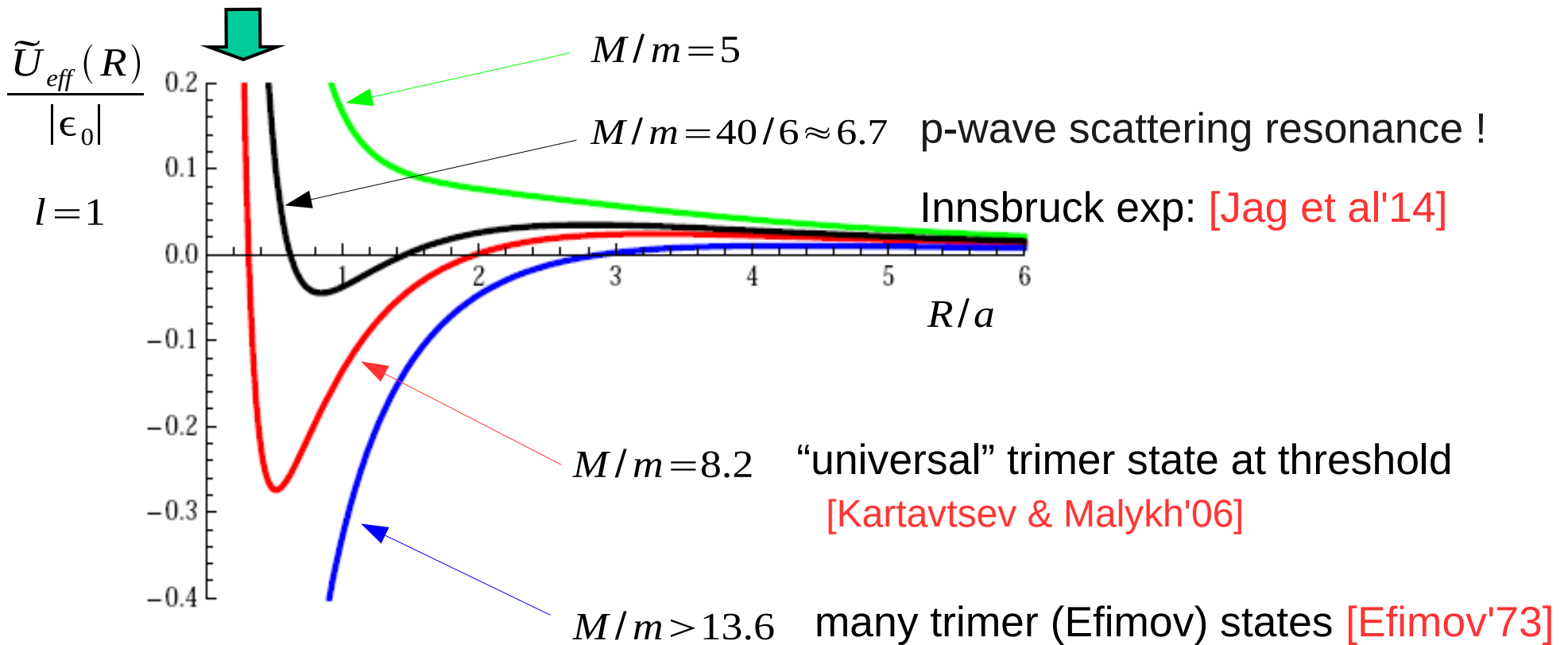
# Born-Oppenheimer picture



$$\left[ -\frac{\hbar^2}{M} \frac{\partial^2}{\partial R^2} + \tilde{U}_{eff}(R) \right] \chi(R) = E \chi(R)$$

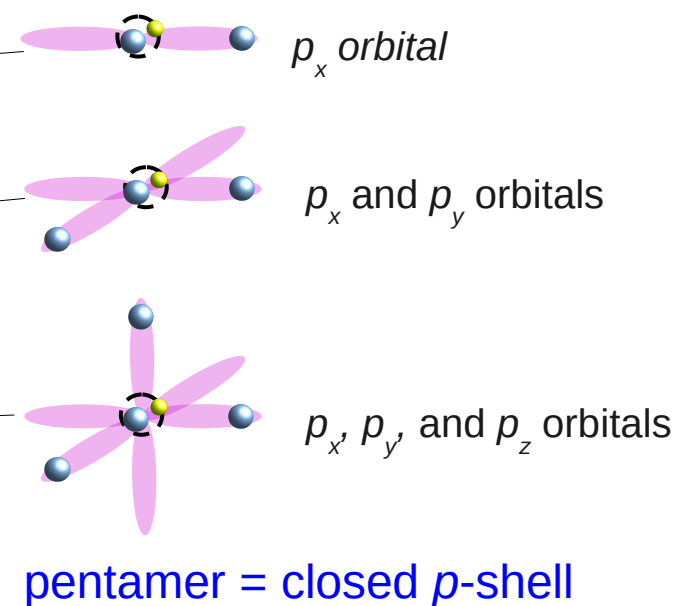
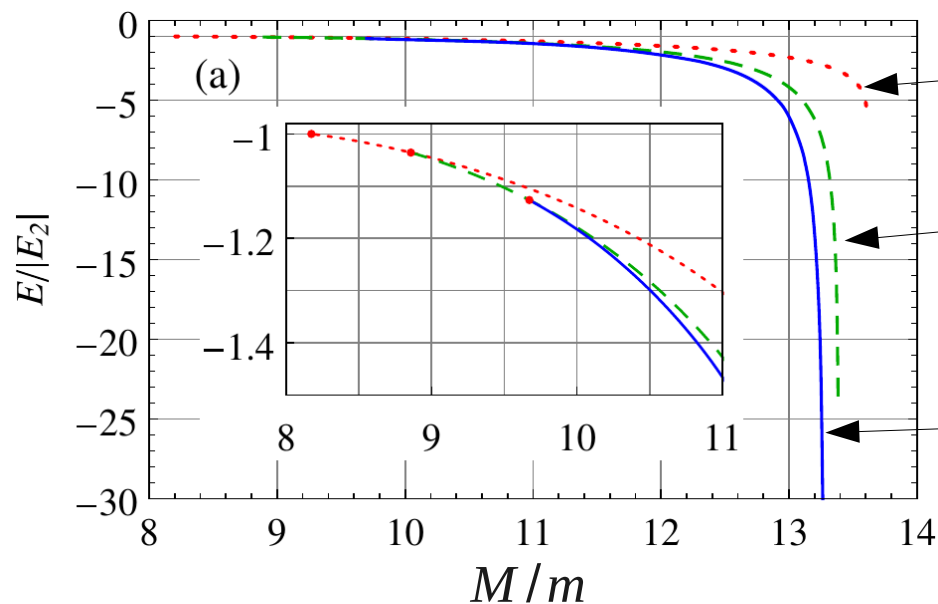
$$\frac{\hbar^2}{MR^2} \left( l(l+1) - 0.16 \frac{M}{m} \right)$$

$$\tilde{U}_{eff}(R) = U_{eff}(R) + |\epsilon_0| + \frac{\hbar^2 l(l+1)}{MR^2}$$



# 3D trimer, tetramer, pentamer,...

	Symmetry $L^\pi$	appear at $M/m >$	Efimovian for $M/m >$
2+1 trimer	$1^-$	8.173 <small>Kartavtsev&amp;Malykh'06</small>	13.607 <small>Efimov'73</small>
3+1 tetramer	$1^+$	8.862(1) <small>Blume'12, Bazak&amp;DSP'17</small>	13.384 <small>Castin,Mora&amp;Pricoupenko'10</small>
4+1 pentamer	$0^-$	9.672(6) <small>Bazak&amp;DSP'17</small>	13.279(2) <small>Bazak&amp;DSP'17</small>
N+1-mer	?	?	?



$$\left[ -\frac{\hbar^2}{M} \frac{\partial^2}{\partial R^2} + \tilde{U}_{eff}(R) \right] \chi(R) = E \chi(R)$$

3D:  $\tilde{U}_{eff}(R) = U_{eff}(R) + |\epsilon_0| + \frac{\hbar^2 l(l+1)}{MR^2} \longrightarrow l=1 \rightarrow (M/m)_c = 8.2$

This is actually exact (not Born-Oppenheimer) number

different

2D:  $\tilde{U}_{eff}(R) = U_{eff}^{2D}(R) + |\epsilon_0| + \frac{\hbar^2 (l^2 - 1/4)}{MR^2} \longrightarrow$  Rough guess:

$$(M/m)_c^{2D} \approx \frac{l^2 - 1/4}{l(l+1)} (M/m)_c^{3D} = 3.1$$

Exact ratio  $(M/m)_c^{2D} = 3.3$  [Pricoupenko & Pedri'10]

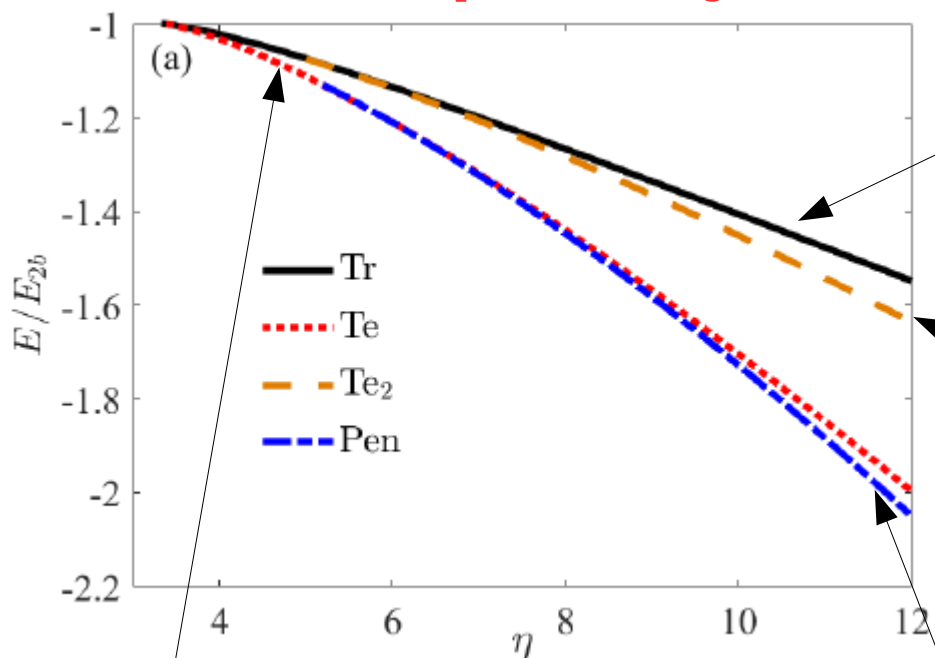
Centrifugal force weaker in 2D  $\rightarrow$  p-wave resonance for smaller mass ratio!

... and in 1D  $(M/m)_c^{1D} = 1$  exactly!



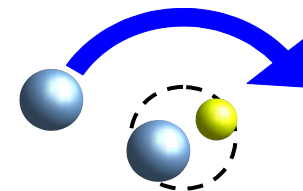
# 2D trimer, tetramer, pentamer...

[Liu & Peng & Cui'22]



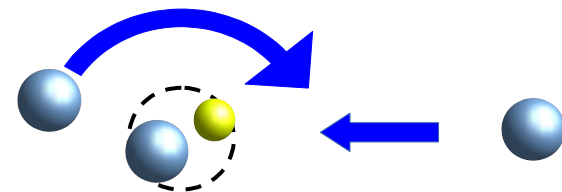
L=1 trimer  $(M/m)_c = 3.33$

[Pricoupenko & Pedri'10]



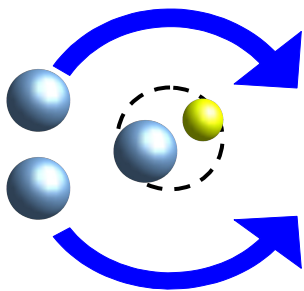
L=1 tetramer  $(M/m)_c^{2D} = 5.0$

[Levinsen & Parish'13]



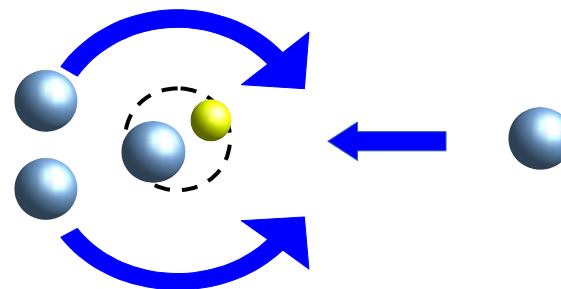
L=0 tetramer  $(M/m)_c = 3.38$

[Liu & Peng & Cui'22]



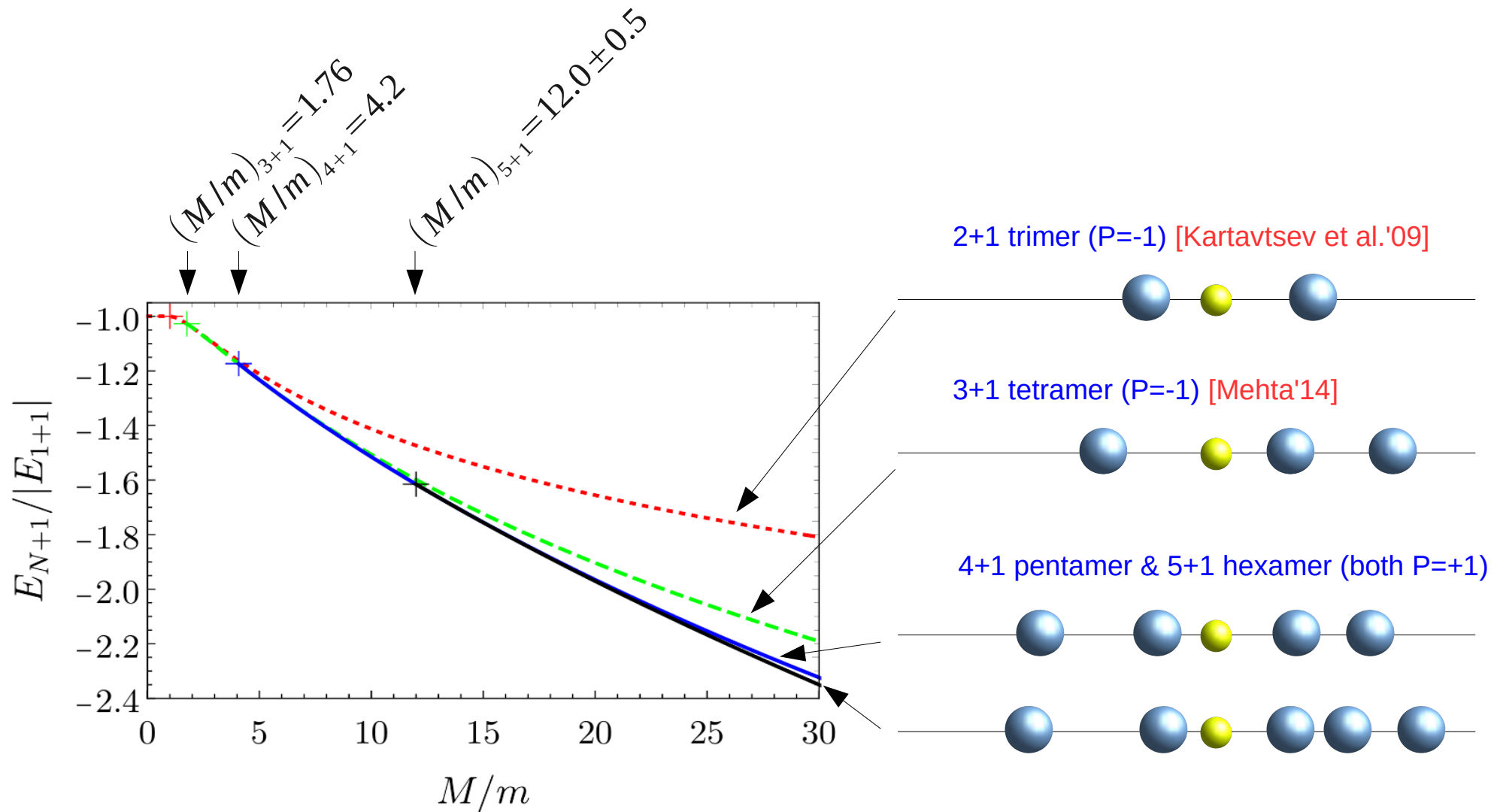
L=0 pentamer  $(M/m)_c = 5.14$

[Liu & Peng & Cui'22]

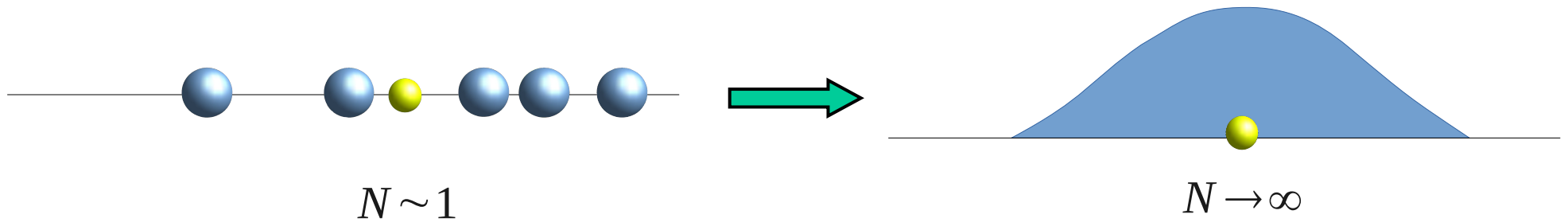


# 1D trimer, tetramer...(exact)

A. Tononi, J. Givois, DSP, Phys. Rev. A **106**, L011302 (2022)



# Few-body $\rightarrow$ Many-body



MF density functional (grand potential):

$$\Omega = \int [|\phi'(x)|^2/2m + gn(x)|\phi(x)|^2 + \pi^2 n^3(x)/6M - \epsilon|\phi(x)|^2 - \mu n(x)] dx$$

Mean field

Kinetic energy in the TF approximation

Lagrange multipliers

$$\int |\phi(x)|^2 dx = 1$$

$$\int n(x) dx = N$$

Rescaled grand potential:

$$\frac{\Omega}{2mg^2N^2} = \int [|\tilde{\phi}'(u)|^2 - \tilde{n}(u)|\tilde{\phi}(u)|^2 + \alpha\tilde{n}^3(x) - \tilde{\epsilon}|\tilde{\phi}(u)|^2 - \tilde{\mu}\tilde{n}(u)] du$$

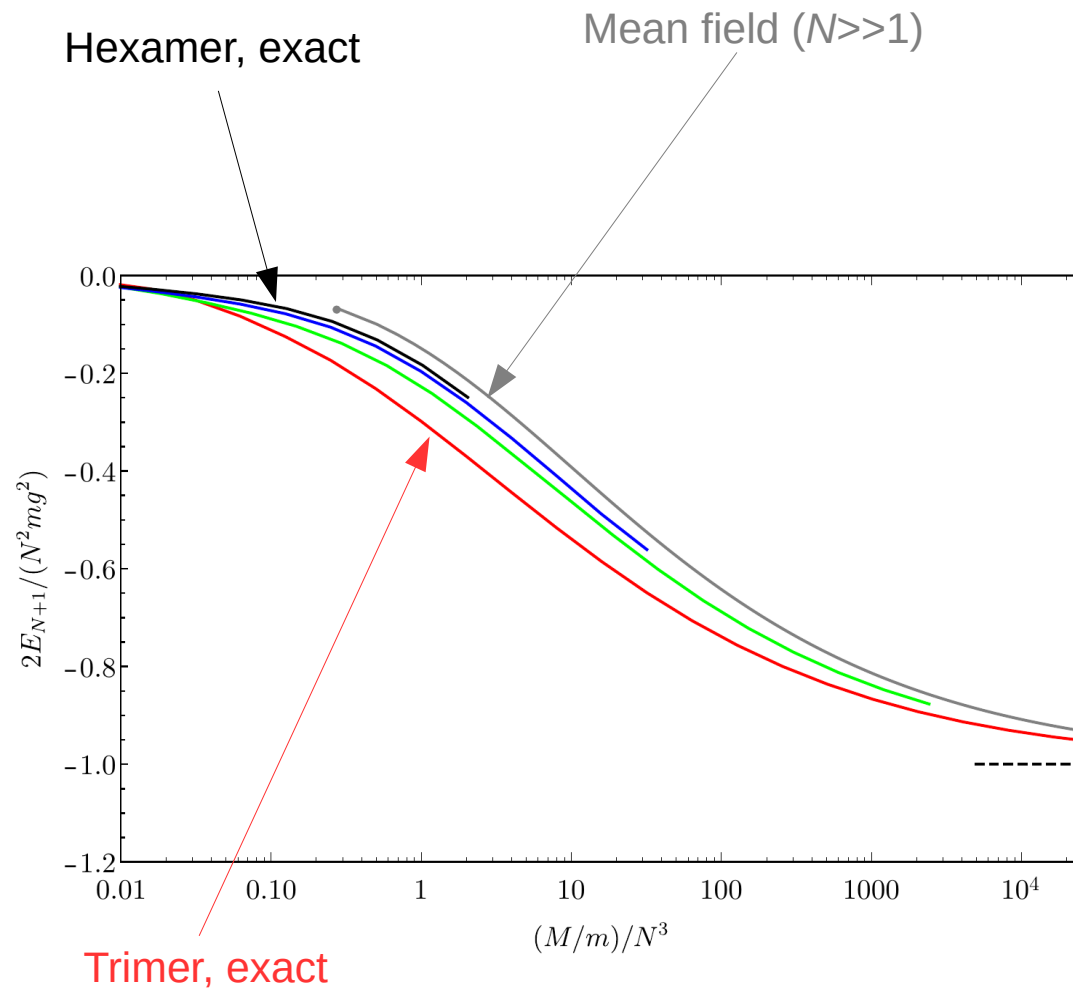
$$\alpha = \frac{\pi^2}{3} \frac{m}{M} N^3$$

= single control parameter!

$$\int |\tilde{\phi}(u)|^2 du = 1$$

$$\int \tilde{n}(u) du = 1$$

# Mean field VS exact

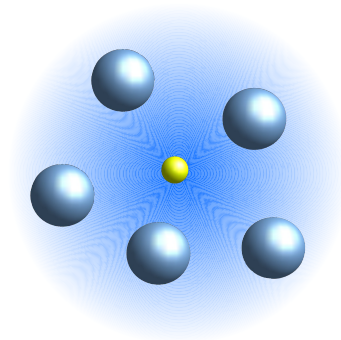


1D main  
conclusions:

- MF works fine, controlled by single parameter
- Thomas-Fermi  $\rightarrow$  Hartree-Fock  $\rightarrow$  hardly improves energy, but predicts structure of the wave function, parity...

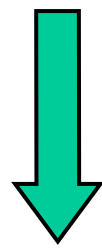
More details: [Tononi, Givois, DSP \(2022\)](#) and [Givois, Tononi, and DSP \(2023\)](#)

2D  $N+1$  clusters:  
Mean field



# Mean field + Thomas-Fermi assumptions

$$\hat{H} = \int \left( -\frac{\hat{\Psi}_{\mathbf{r}}^\dagger \nabla_{\mathbf{r}}^2 \hat{\Psi}_{\mathbf{r}}}{2M} - \frac{\hat{\phi}_{\mathbf{r}}^\dagger \nabla_{\mathbf{r}}^2 \hat{\phi}_{\mathbf{r}}}{2m} + g \hat{\Psi}_{\mathbf{r}}^\dagger \hat{\phi}_{\mathbf{r}}^\dagger \hat{\Psi}_{\mathbf{r}} \hat{\phi}_{\mathbf{r}} \right) d^2 r$$



$\phi(\mathbf{r})$  - light-atom wave function:  $\int |\phi(\mathbf{r})|^2 d^2 r = 1$

$Nn(\mathbf{r})$  - heavy-atom density:  $\int n(\mathbf{r}) d^2 r = 1$

$$E = \frac{1}{2m} \int [|\nabla \phi(\mathbf{r})|^2 + \frac{\alpha}{2} n^2(\mathbf{r}) + \gamma n(\mathbf{r}) |\phi(\mathbf{r})|^2] d^2 r$$

$$\alpha = 4\pi \frac{m}{M} N^2$$

$$\gamma = 2mgN < 0$$

Thomas-Fermi assumption:  
density changes slowly on  
mean interparticle distance

Mean-field assumption:

$$\frac{mM}{M+m} |g| \ll 1$$

For  $|\gamma| \sim 1$  and  $\alpha \sim 1$  both TF and  
MF validity conditions are equivalent to:

$$N \gg 1$$

# Mean field + Thomas-Fermi assumptions

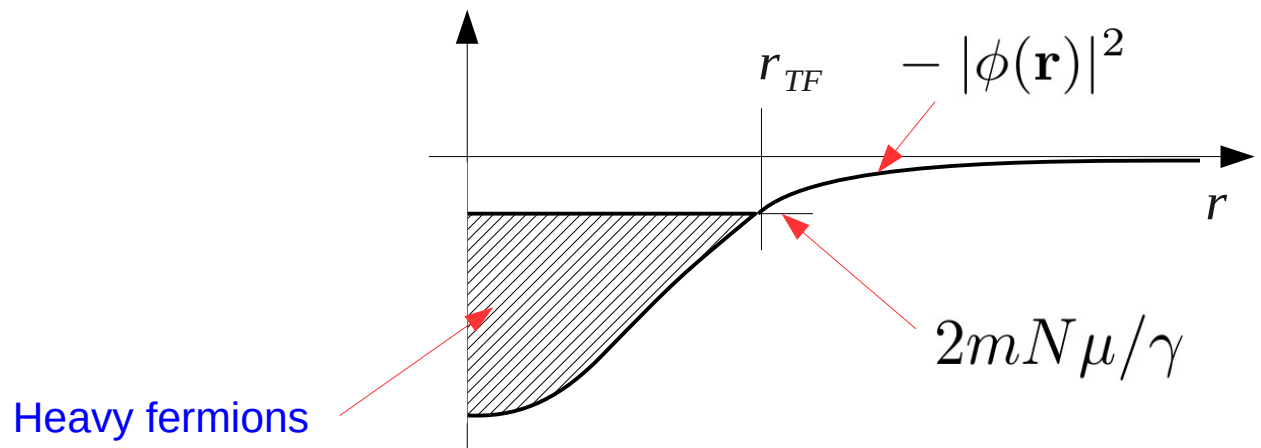
$$E = \frac{1}{2m} \int [|\nabla\phi(\mathbf{r})|^2 + \frac{\alpha}{2}n^2(\mathbf{r}) + \gamma n(\mathbf{r})|\phi(\mathbf{r})|^2] d^2r$$

$$\alpha = 4\pi \frac{m}{M} N^2 \quad \gamma = 2mgN < 0$$

$$\Omega = E - \int [\mu N n(\mathbf{r}) + \epsilon |\phi(\mathbf{r})|^2] d^2r$$

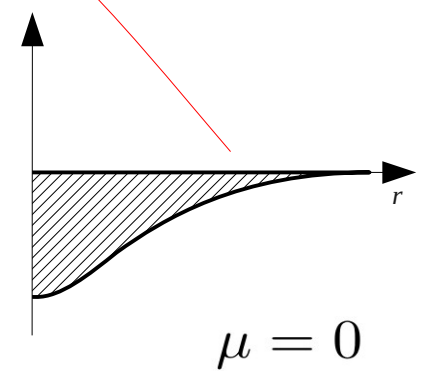
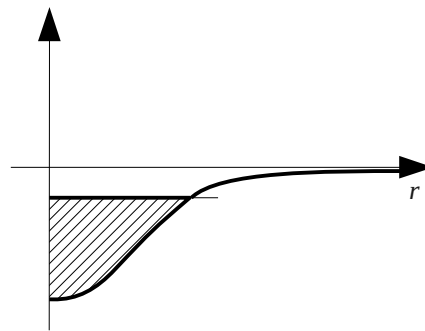
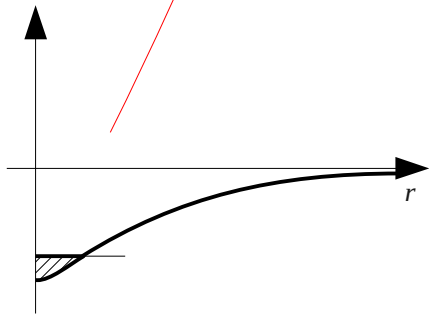
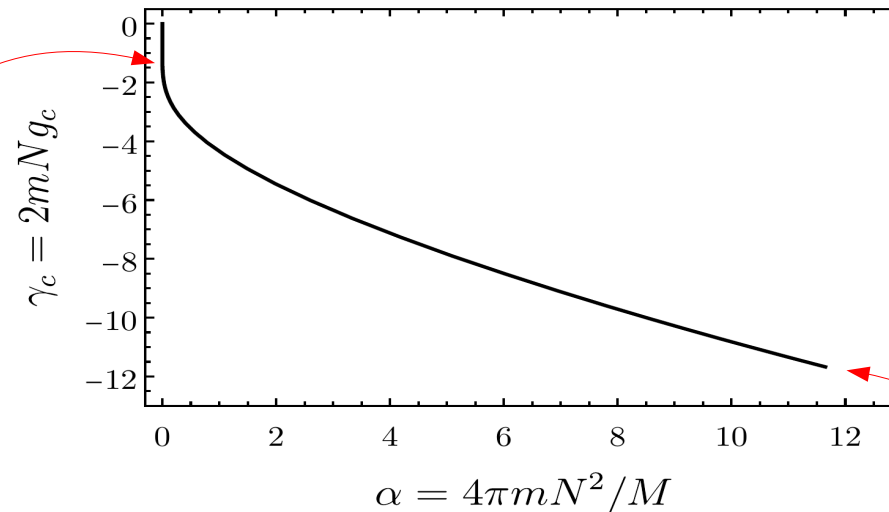
Minimization with respect to  $\phi(\mathbf{r}) \longrightarrow -\nabla^2\phi(\mathbf{r}) + \gamma n(\mathbf{r})\phi(\mathbf{r}) = 2m\epsilon\phi(\mathbf{r})$

Minimization with respect to  $n(\mathbf{r}) \longrightarrow n(\mathbf{r}) = -\frac{\gamma}{\alpha}\theta[|\phi(\mathbf{r})|^2 + 2mN\mu/\gamma]$



# Mean field + Thomas-Fermi results

MF solution exists only for  $\alpha < 2\pi C \approx 11.70$  i.e.,  $M/m > 1.074N^2$ , and for a certain  $\gamma = \gamma_c(\alpha)$



**Small  $\alpha$**

$$\gamma_c \approx 4\pi / \ln \alpha$$

$$n(r) \approx \frac{4}{\alpha J_1(\sigma_1)\sigma_1} J_0\left(\sqrt{\frac{8\pi}{\alpha}} r\right)$$

$$-\nabla^2 \phi(\mathbf{r}) + \gamma n(\mathbf{r}) \phi(\mathbf{r}) = 2m\epsilon \phi(\mathbf{r})$$

$$n(\mathbf{r}) = -\frac{\gamma}{\alpha} \theta[|\phi(\mathbf{r})|^2 + 2mN\mu/\gamma]$$

$$\int |\phi(\mathbf{r})|^2 d^2r = 1 \quad \int n(\mathbf{r}) d^2r = 1$$

$$n(\mathbf{r}) = -\frac{\gamma}{\alpha} |\phi(\mathbf{r})|^2 \quad \alpha = -\gamma$$

$$-\nabla^2 \phi + \gamma \phi^3 = 2m\epsilon \phi$$

**Townes soliton** [Chiao et al.'64,  
Bakkali-Hassani et al.'21,  
Chen&Hung'21]



# Features/problems

## - Scaling invariance

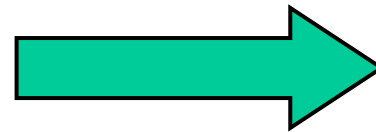
$$-\nabla^2\phi(\mathbf{r}) + \gamma n(\mathbf{r})\phi(\mathbf{r}) = 2m\epsilon\phi(\mathbf{r})$$

$$n(\mathbf{r}) = -\frac{\gamma}{\alpha}\theta[|\phi(\mathbf{r})|^2 + 2mN\mu/\gamma]$$

$$\int |\phi(\mathbf{r})|^2 d^2r = 1 \quad \int n(\mathbf{r}) d^2r = 1$$



$$\begin{array}{l} \phi_0(r) \\ n_0(r) \\ \epsilon_0 \\ \mu_0 \end{array}$$



$$\begin{array}{l} \phi_0(r/R)/R \\ n_0(r/R)/R^2 \\ \epsilon = \epsilon_0/R^2 \\ \mu = \mu_0/R^2 \end{array}$$

If this set is a solution, the rescaled set is also a solution

## - Vanishing energy

$$E_0 \longrightarrow E = E_0/R^2 \longrightarrow E = 0$$

For bosonic Townes soliton see [Vlasov et al.'71, Pitaevskii'96, Bakkali-Hassani&Dalibard (Varenna Lectures'22)]

- What is  $\gamma = 2mgN$ ? Is it an external parameter or not?

Solution for bosonic soliton [Hammer&Son'04]  
 $g$  is the bare coupling constant, it gets renormalized

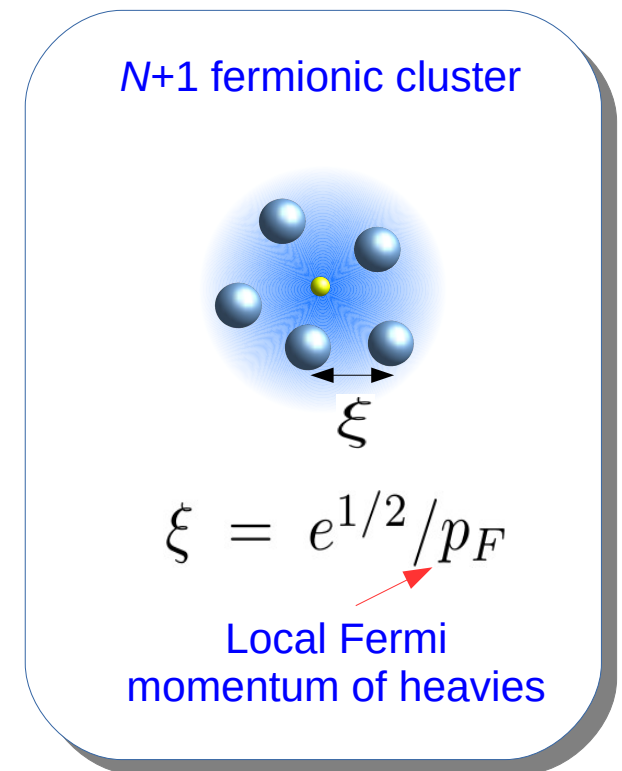
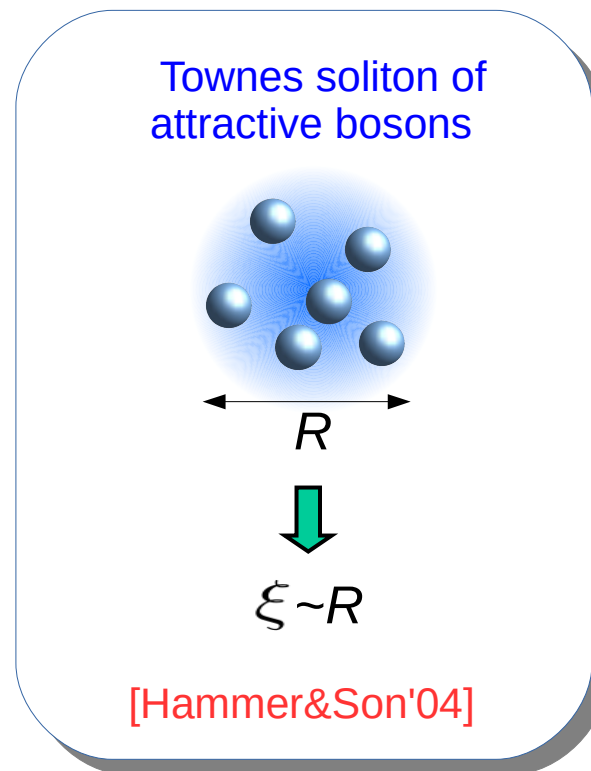
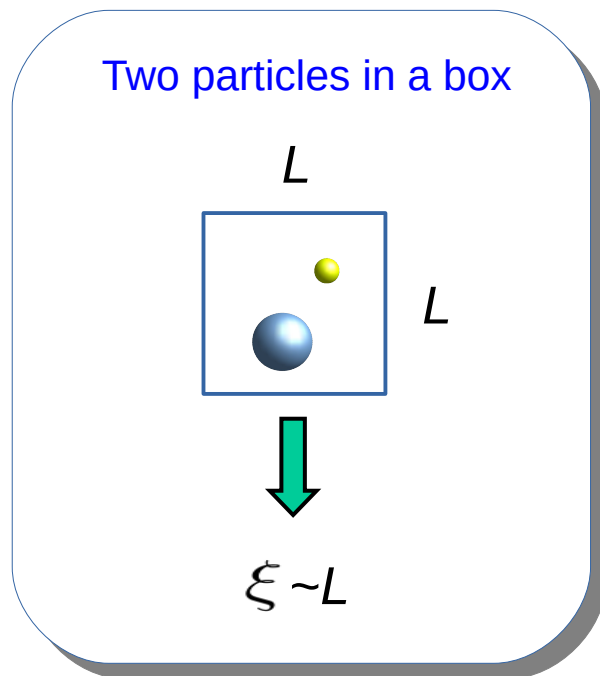
# Solution = go beyond mean field

- Beyond-MF term is dominated by the diverging second-order Born integral

$$g = \frac{2\pi}{m_r \ln(2m_r |E_{1+1}| / \kappa^2)} \longrightarrow g_r = g - \int_{1/\xi}^{\kappa} \frac{g^2}{k^2 / (2m_r)} \frac{d^2k}{(2\pi)^2} = g - \frac{m_r g^2}{\pi} \ln(\kappa \xi)$$

$\kappa$  - high-momentum cut-off, drops out from  $g_r$  (up to terms  $\sim g^2$ )

$\xi$  - functional of  $\phi(\mathbf{r})$  and  $n(\mathbf{r})$ , absorbs beyond-MF effects, "typical length scale"



# Minimization of BMF functional

$$E = \frac{1}{2m} \int [|\nabla\phi(\mathbf{r})|^2 + \frac{\alpha}{2}n^2(\mathbf{r}) + \gamma n(\mathbf{r})|\phi(\mathbf{r})|^2]d^2r - \frac{1}{2m} \frac{\gamma^2}{2\pi N} \int n(\mathbf{r})|\phi(\mathbf{r})|^2 \ln \frac{e^{1/2}\kappa}{\sqrt{4\pi n(\mathbf{r})N}}d^2r$$

When  $\gamma = \gamma_c(\alpha)$   
 $\phi(r) = \phi_0(r/R)/R$   
 $n(r) = n_0(r/R)/R^2$

Three MF terms separately are of order  $\sim 1/R^2$ ,  
 but their sum vanishes

The BMF term  $\sim (N^{-1}\ln N)/R^2 \ll$  each MF term

The shape of the cluster unchanged, but there exists an optimal  $R$

In the leading BMF order we thus have

$$E = \frac{1}{2mR^2} \int \left[ (\gamma - \gamma_c)n_0(r)|\phi_0(r)|^2 - \frac{\gamma_c^2}{2\pi N}n_0(r)|\phi_0(r)|^2 \ln \frac{e^{1/2}\kappa R}{\sqrt{4\pi n_0(r)N}} \right] d^2r$$

$$(\gamma - \gamma_c)/\gamma_c^2 \approx 1/\gamma_c - 1/\gamma$$

$$4\pi N/\gamma \approx \ln[4e^{-2\gamma E}/(a\kappa)^2]$$



$$I_1 = \int n_0(r)\phi_0^2(r)d^2r$$

$$I_2 = \int n_0(r)\phi_0^2(r) \ln n_0(r)d^2r$$

$$R_{\min}^2 = \pi N a^2 e^{4\pi N/\gamma_c + I_2/I_1 + 2\gamma E}$$

$$E_{N+1} = -\frac{I_1\gamma_c^2}{8\pi N m R_{\min}^2}$$

# Need better mean field

$$E_{N+1} = -\frac{1}{2ma^2} e^{\underbrace{-4\pi N/\gamma_c}_{\text{MF}} - \underbrace{2 \ln N - I_2/I_1 - 2\gamma_E + \ln(I_1 \gamma_c^2) - 2 \ln(2\pi) + o(N^0)}_{\text{BMF}}}$$

**MF** cf. [Hammer&Son'04]      **BMF** (aka, preexponential factor)

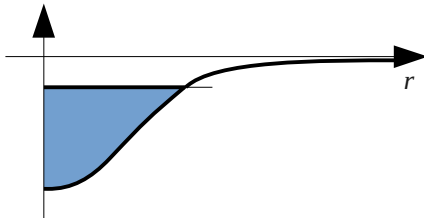
$$\gamma_c^{\text{TF}}(\alpha = 4\pi N^2 m/M)$$



$$\gamma_c^{\text{HF}}(M/m, N)$$

Thomas-Fermi MF functional

$$E = \frac{1}{2m} \int [|\nabla\phi(\mathbf{r})|^2 + \frac{\alpha}{2} n^2(\mathbf{r}) + \gamma n(\mathbf{r})|\phi(\mathbf{r})|^2] d^2r$$



$$-\nabla^2\phi(\mathbf{r}) + \gamma n(\mathbf{r})\phi(\mathbf{r}) = 2m\epsilon\phi(\mathbf{r})$$

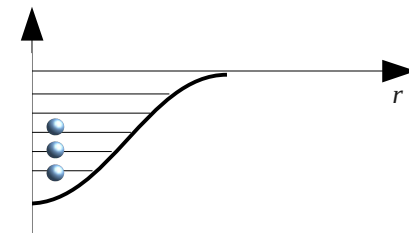
$$n(\mathbf{r}) = -\frac{\gamma}{\alpha} \theta[|\phi(\mathbf{r})|^2 + 2mN\mu/\gamma]$$

$$\int |\phi(\mathbf{r})|^2 d^2r = 1 \quad \int n(\mathbf{r}) d^2r = 1$$

analytic results, but not precise on  $\sim 1/N$  level

Hartree-Fock MF functional

$$E = \frac{1}{2m} \int \left[ |\nabla\phi(\mathbf{r})|^2 + \frac{m}{M} \sum_{i=1}^N |\nabla\Psi_i(\mathbf{r})|^2 + \gamma n(\mathbf{r})|\phi(\mathbf{r})|^2 \right] d^2r$$



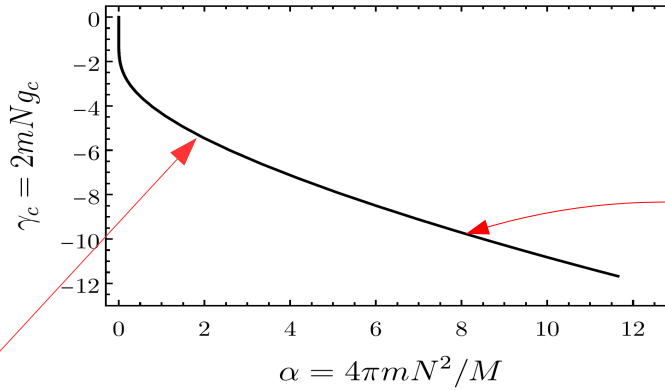
$$-\nabla^2\phi(\mathbf{r}) + \gamma n(\mathbf{r})\phi(\mathbf{r}) = 2m\epsilon\phi(\mathbf{r})$$

$$-\nabla^2\Psi_i + \frac{4\pi\gamma N}{\alpha} |\phi|^2 \Psi_i = \omega_i \Psi_i$$

$$n(\mathbf{r}) = \sum_{i=1}^N |\Psi_i|^2 / N$$

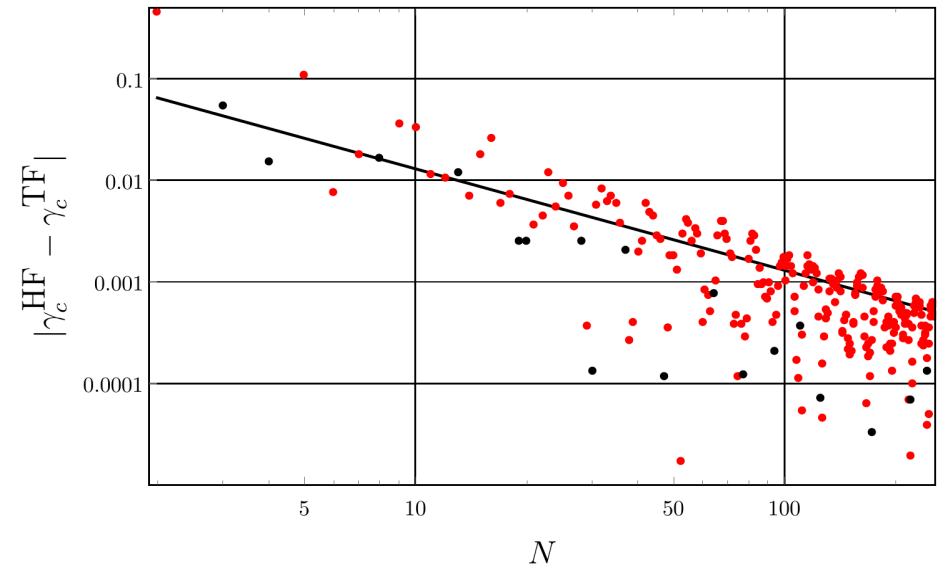
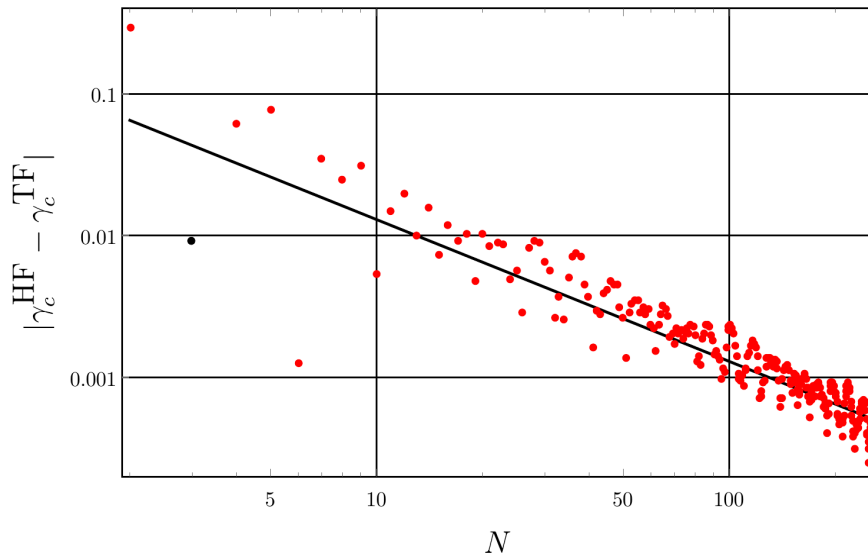
$$\int |\phi(\mathbf{r})|^2 d^2r = 1 \quad \int n(\mathbf{r}) d^2r = 1$$

# Hartree-Fock vs Thomas-Fermi



$\alpha = 2$

$\alpha = 8$



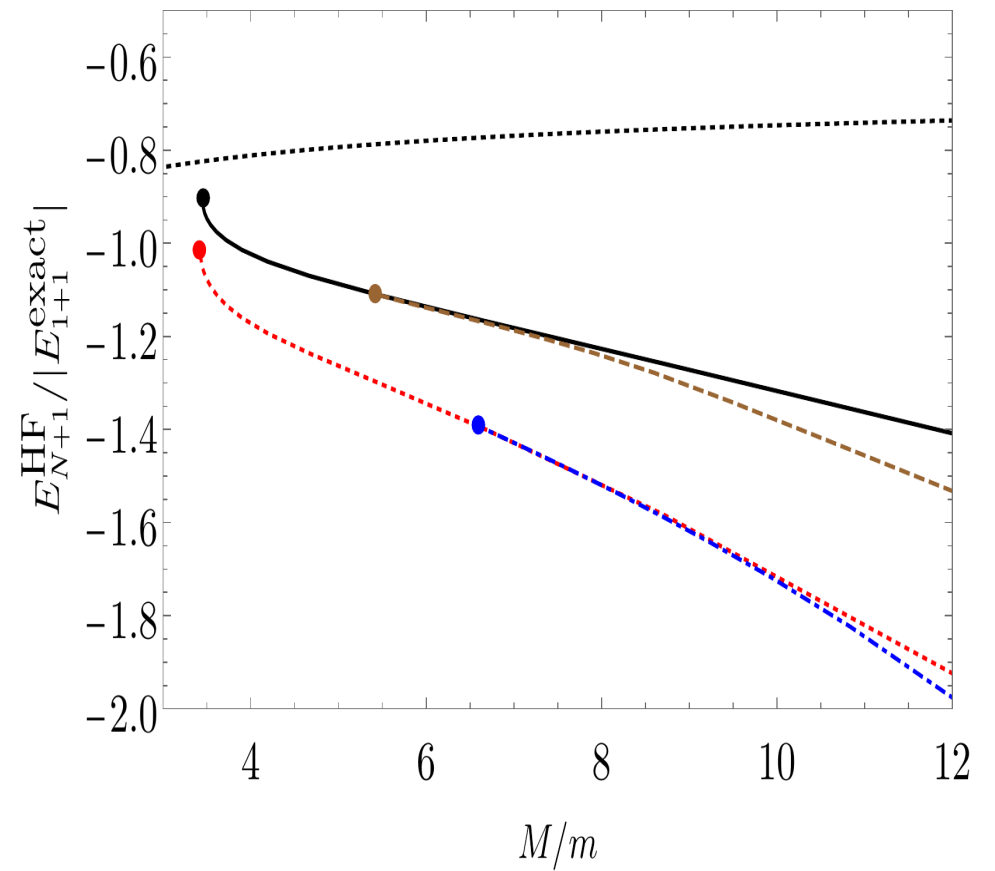
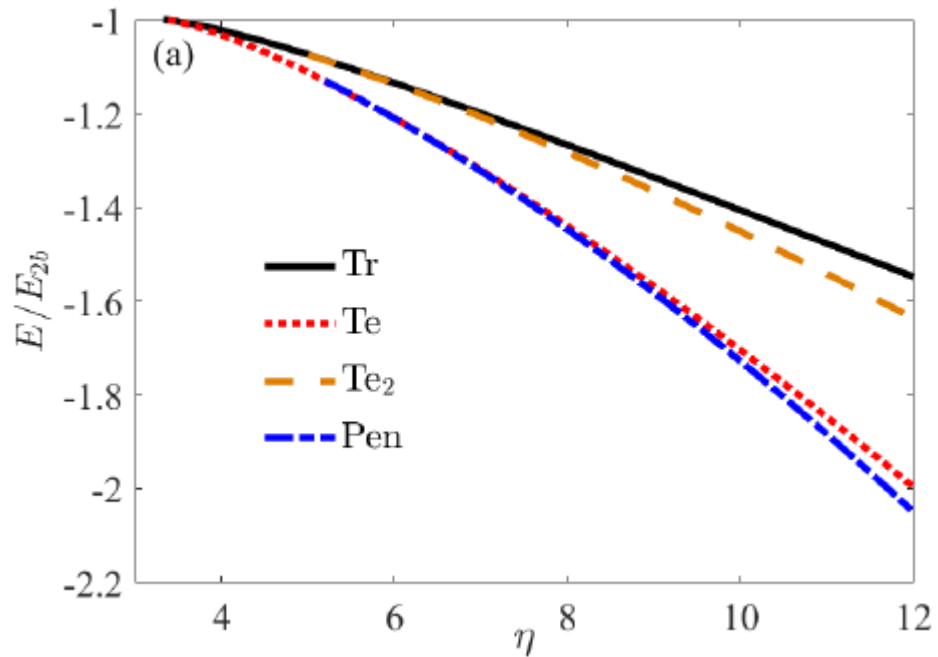
$$\gamma_c^{\text{HF}} - \gamma_c^{\text{TF}} \propto 1/N$$

$$E_{N+1}^{\text{TF}} = -\frac{1}{2ma^2} e^{-4\pi N/\gamma_c^{\text{TF}} - 2\ln N + O(1)}$$

$$E_{N+1}^{\text{HF}} = -\frac{1}{2ma^2} e^{-4\pi N/\gamma_c^{\text{HF}} - 2\ln N - I_2/I_1 - 2\gamma_E + \ln(I_1\gamma_c^2) - 2\ln(2\pi) + o(N^0)}$$

# Exact vs Hartree-Fock

[Liu & Peng & Cui'22]

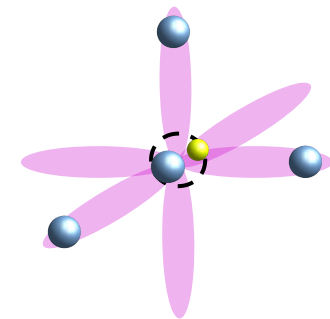
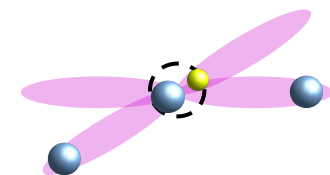
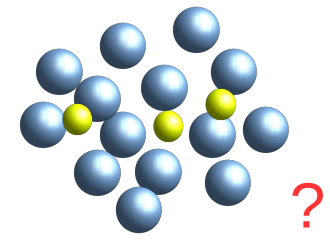
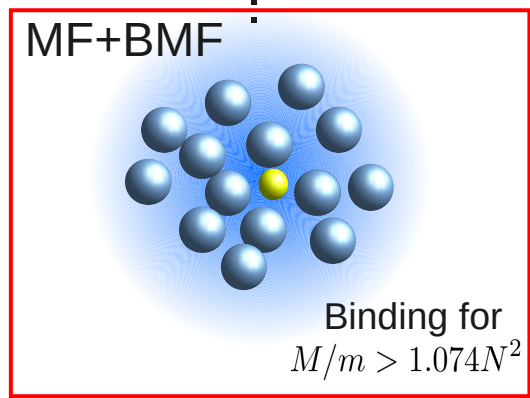
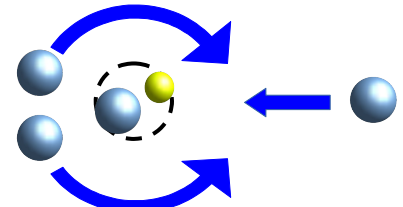
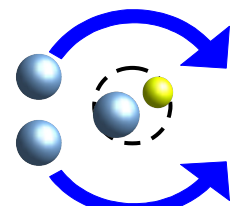
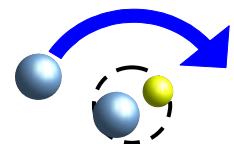
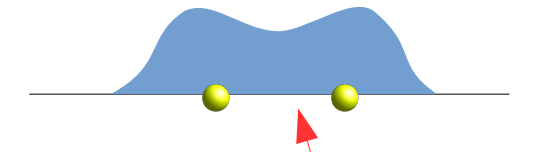
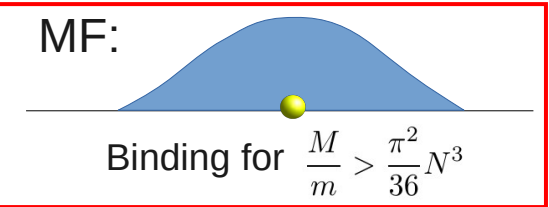
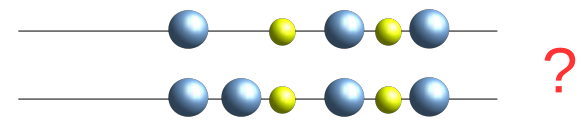
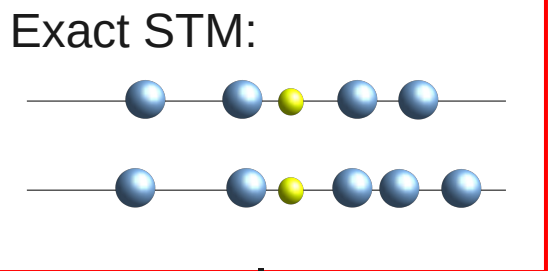
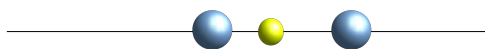


# Summary and outlook

1D

2D

3D



$M/m \approx 13$  Efimov threshold

MF or exact?

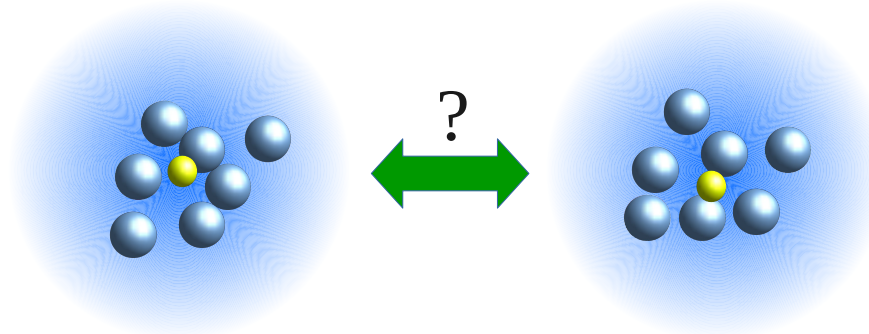
$M/m$  or  $N$

Givois, Tononi & DSP, SciPost (2023)

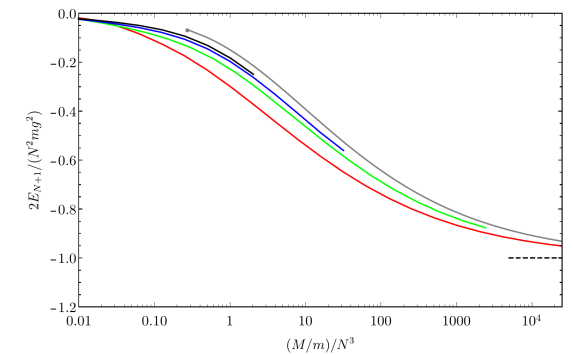


# Outlook

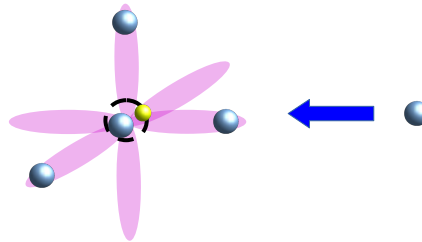
- Binding of two or more  $N+1$  clusters



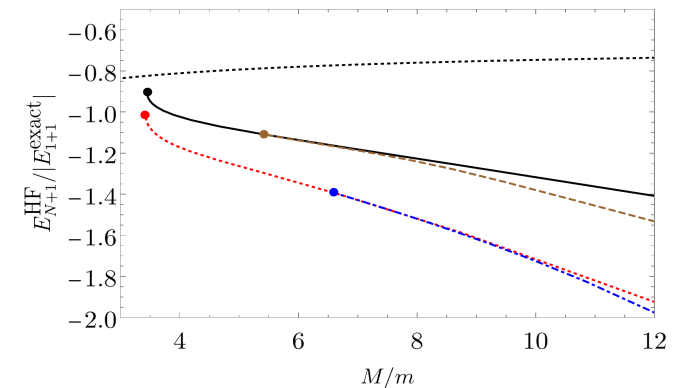
- Include BMF in the 1D case



- Hexamer in 3D?



- HF + fixed-node diffusion Monte Carlo



- ...

Thank you!