

STRONG
2020



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Istituto Nazionale di Fisica Nucleare

The ^3He EMC effect within the Light-Front Hamiltonian dynamics

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in collaboration with

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Filippo Fornetti (Perugia Univ.)

Michele Viviani (INFN - Pisa)

Eleonora Proietti (Perugia Univ.)



Based on

R. Alessandro, A. Del Dotto, E. Pace, G. Perna, G. Salmè and S. Scopetta,
Light-Front Transverse Momentum Distributions for $J = 1/2$ Hadronic Systems in Valence Approximation
Phys.Rev.C 104 (2021) 6, 065204

A. Del Dotto, E. Pace, G. Salmè, and S. Scopetta,
Light-Front spin-dependent Spectral Function and Nucleon Momentum Distributions for a Three-Body System, **Phys. Rev. C 95, 014001 (2017)**

E. Pace, M. Rinaldi, G. Salmè, and S. Scopetta,
EMC effect, few-nucleon systems and Poincaré covariance,
Phys. Scr. 95, 064008 (2020)

MARATHON Coll.
Measurement of the Nucleon F_n2/F_p2 Structure Function Ratio by the Jefferson Lab MARATHON Tritium/Helium-3 Deep Inelastic Scattering Experiment,
Phys. Rev. Lett 128 (2022) 13, 132003

E. Pace, M. Rinaldi, G. Salmè, and S. Scopetta,
The European Muon Collaboration effect in Light-Front Hamiltonian Dynamics
Phys. Lett. B 839 (2023) 137810



Outline

- **Motivations**
- The EMC effect
- The Light-Front Poincaré covariant approach
- The EMC effect within the Light-Front approach: the ^3He case
- Conclusions and Perspectives



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1 Motivations: why the ^3He

- Phenomenological:** a reliable flavor decomposition needs the neutron parton structure (PDFs, GPDs TMDs...)




Accurate and long-lasting experimental efforts in developing effective neutron targets to carefully investigate its electromagnetic responses. $^3\text{H} \vec{e}$ is SPECIAL

The polarized ^3He target, 90% neutron target (e.g. H. Gao et al, PR12-09-014, Chen et al, PR12-11-007, @JLab12)

- Due to the experimental energies, the accurate theoretical description (of a polarized ^3He) has to be relativistic

- Theoretical:** a LF description of three body interacting systems! Bonus:
Transverse-Momentum Distributions (TMDs) for addressing in a novel way the nuclear dynamics


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2 The EMC effect

Almost 40 years ago, the European Muon Collaboration (EMC) measured (in DIS processes)

$$R(x) = F_2^{56\text{Fe}}(x)/F_2^{2\text{H}}(x)$$

Expected result: $R(x) = 1$ up to corrections of the Fermi motion

Result:

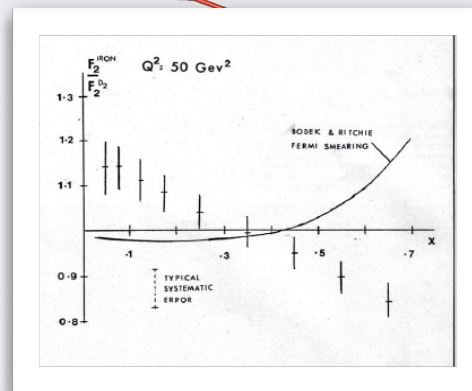
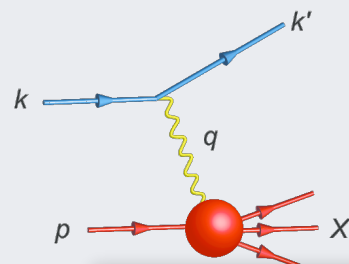
Aubert et al. Phys.Lett. B123 (1983) 275

1488 citations (inSPIRE)

Naive parton model interpretation:

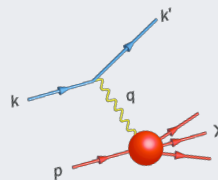
“Valence quarks, in the bound nucleon, are in average slower than in the free nucleon”

Is the bound proton bigger than the free one??

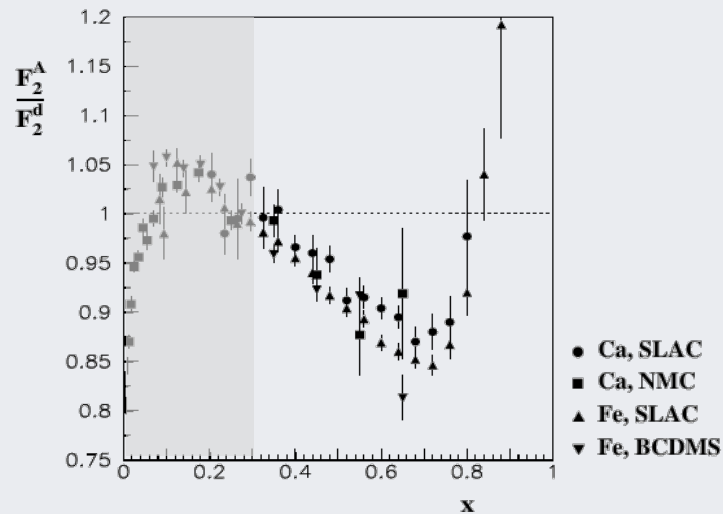


2 The EMC effect in details

We remind that for DIS off nuclei: $0 \leq x = \frac{Q^2}{2M\nu} \leq \frac{M_A}{M} \simeq A$

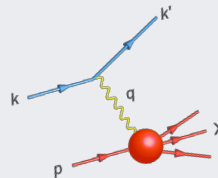



$x \leq 0.3$ "Shadowing region": coherence effects, the photon interacts with partons belonging to different nucleons




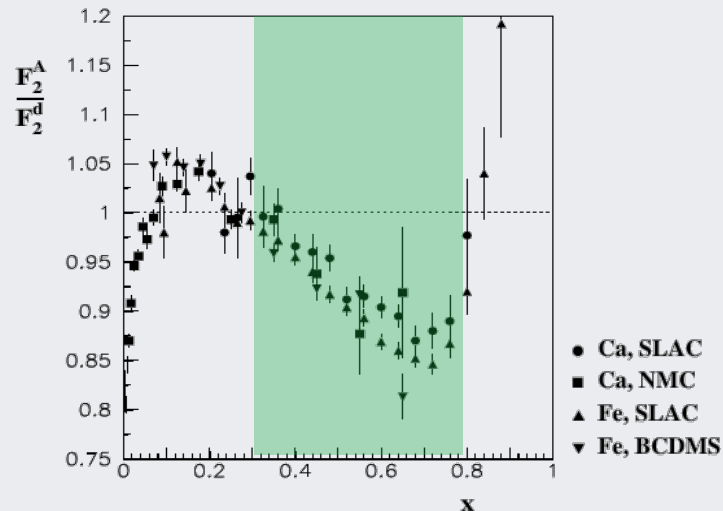
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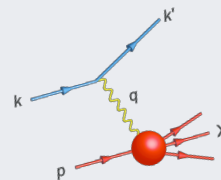
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 $0.2 \leq x \leq 0.8$ "EMC (binding) region": mainly valence quarks involved




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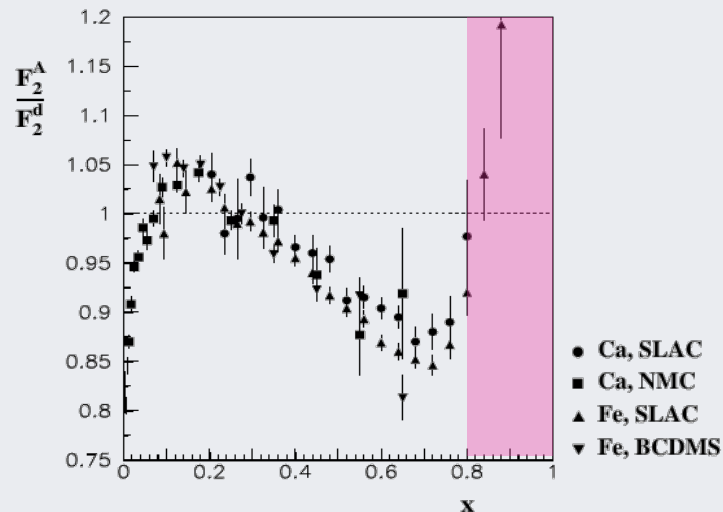
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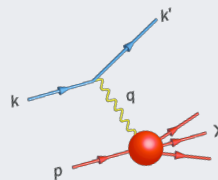
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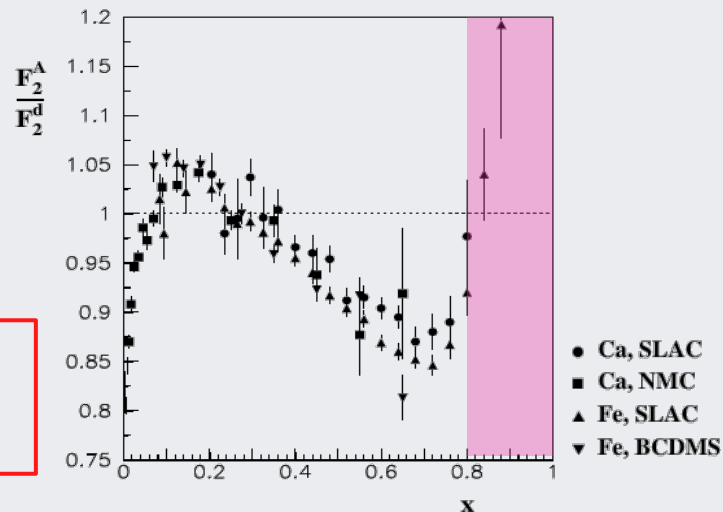


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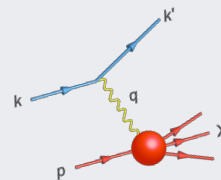
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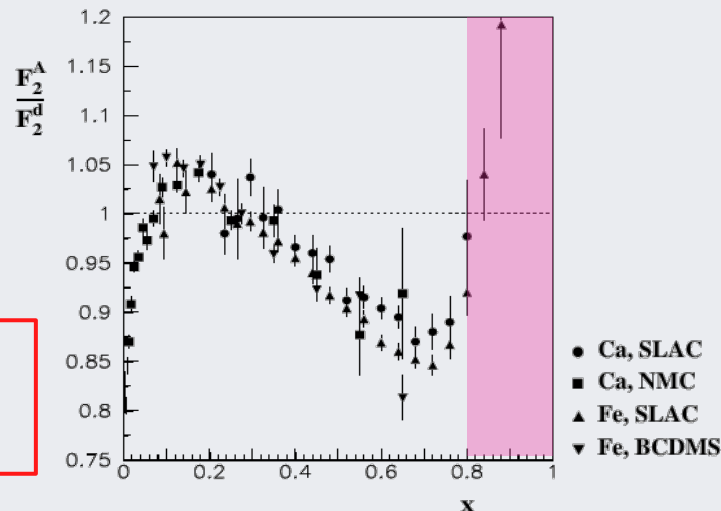
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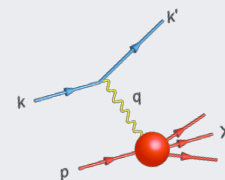
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Explanation (exotic) advocated: confinement radius bigger for bound nucleons, quarks in bags with 6, 9, ..., 3A quark, pion cloud effects... Alone or mixed with conventional ones...



3 The EMC effect explanations and perspective?

Situation: basically not understood. Very unsatisfactory. We need to know the reaction mechanism of hard processes off nuclei and the degrees of freedom which are involved:



-  the knowledge of nuclear PDFs is crucial for the analysis of heavy ions collisions;
-  neutron parton structure measured with nuclear targets; several QCD sum rules involve the neutron information (Bjorken SR, for example): importance of Nuclear Physics for QCD

Inclusive measurements cannot distinguish between models

One has probably to go beyond (not treated here...) (R. Dupré and S.Scopetta, EPJA 52 (2016) 159)

- **Hard Exclusive Processes (GPDs)**
- **SIDIS (TMDs)**

Status of "Conventional" calculations for light nuclei:

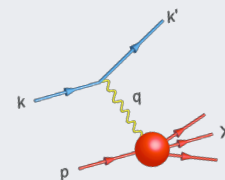
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A fully Poincarè covariant approach to perform the calculation is essential to embed relativistic effects and fulfill sum rules!

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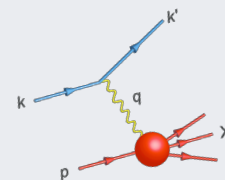
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4 The relativistic Hamiltonian dynamics framework

Why do we need a relativistic treatment ?

General answer: to develop an advanced scheme, appropriate for the kinematics of JLAB12 and of EIC

- The **Standard Model of Few-Nucleon Systems**, with nucleon and meson degrees of freedom within a non relativistic (NR) framework, has achieved **high sophistication** [e.g. the NR ^3He and ^3H Spectral Functions in Kievsky, Pace, Salmè, Viviani PRC 56, 64 (1997)].
- Covariance wrt the Poincaré Group, G_p , needed for nucleons at large 4-momenta and pointing to high precision measurements. Necessary if one studies, e.g., i) nucleon structure functions; ii) nucleon GPDs and TMDs, iii) signatures of short-range correlations; iv) exotics (e.g. 6-bag quarks in ^2H), etc
- At least, one should carefully treat the **boosts** of the nuclear states, $|\Psi_i\rangle$ and $|\Psi_f\rangle$!

Our definitely preferred **framework for embedding** the successful **NR** phenomenology:

Light-front Relativistic Hamiltonian Dynamics (RHD, fixed dof) +Bakamjian-Thomas (BT) construction of the Poincaré generators for an interacting theory.

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In RHD+BT, one can address both **Poincaré covariance** and **locality**, general principles to be implemented **in presence of interaction**:

- **Poincaré covariance** → The 10 generators, $P^\mu \rightarrow$ 4D displacements and $M^{\nu\mu} \rightarrow$ Lorentz transformations, have to fulfill

$$[P^\mu, P^\nu] = 0, \quad [M^{\mu\nu}, P^\rho] = -i(g^{\mu\rho} P^\nu - g^{\nu\rho} P^\mu),$$

$$[M^{\mu\nu}, M^{\rho\sigma}] = -i(g^{\mu\rho} M^{\nu\sigma} + g^{\nu\sigma} M^{\mu\rho} - g^{\mu\sigma} M^{\nu\rho} - g^{\nu\rho} M^{\mu\sigma})$$

Also \mathcal{P} and \mathcal{T} have to be taken into account !

- **Macroscopic locality** (\equiv cluster separability (relevant in nuclear physics)): i.e. observables associated to different space-time regions must commute in the limit of **large** spacelike separation (i.e. causally disconnected), rather than for arbitrary (microscopic-locality) spacelike separations. In this way, **when a system is separated into disjoint subsystems by a sufficiently large spacelike separation, then the subsystems behave as independent systems.**

Keister, Polyzou, Adv. Nucl. Phys. 20, 225 (1991) .

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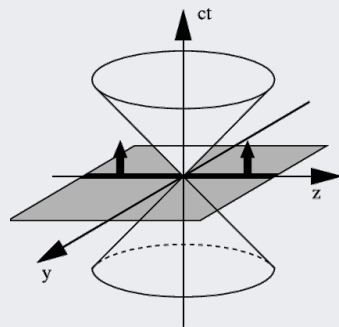
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5 Forms of relativistic Dynamics

P.A.M. Dirac, 1949

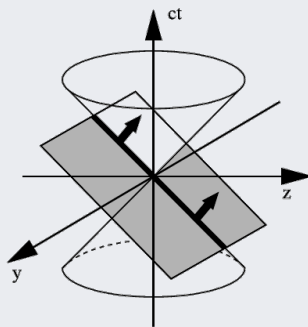


The instant form

$$\begin{aligned}\bar{x}^0 &= ct \\ \bar{x}^1 &= x \\ \bar{x}^2 &= y \\ \bar{x}^3 &= z\end{aligned}$$

$$\tilde{g}_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

$$\Sigma : t = 0$$

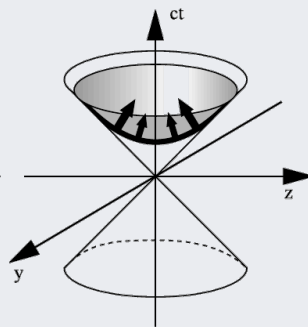


The front form

$$\begin{aligned}\bar{x}^0 &= ct + z \\ \bar{x}^1 &= x \\ \bar{x}^2 &= y \\ \bar{x}^3 &= ct - z\end{aligned}$$

$$\tilde{g}_{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & \frac{1}{2} \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ \frac{1}{2} & 0 & 0 & 0 \end{pmatrix}$$

$$\Sigma : x^+ = x^0 + x^3 = 0$$

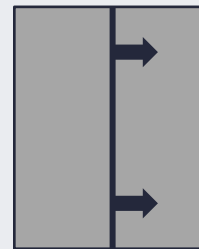


The point form

$$\begin{aligned}\bar{x}^0 &= \tau, \quad ct = \tau \cosh \omega \\ \bar{x}^1 &= \omega, \quad x = \tau \sinh \omega \sin \theta \cos \phi \\ \bar{x}^2 &= \theta, \quad y = \tau \sinh \omega \sin \theta \sin \phi \\ \bar{x}^3 &= \phi, \quad z = \tau \sinh \omega \cos \theta\end{aligned}$$

$$\tilde{g}_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -\tau^2 & 0 & 0 \\ 0 & 0 & -\tau^2 \sinh^2 \omega & 0 \\ 0 & 0 & 0 & -\tau^2 \sinh^2 \omega \sin^2 \theta \end{pmatrix}$$

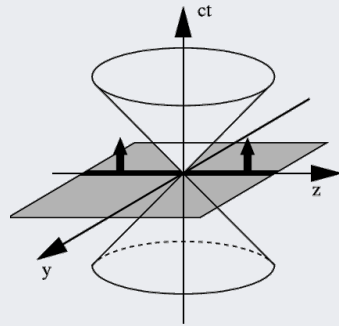
$$\Sigma : (x^0)^2 - x_i x^i = k^2$$



$\Sigma =$ hyperplane of the initial conditions

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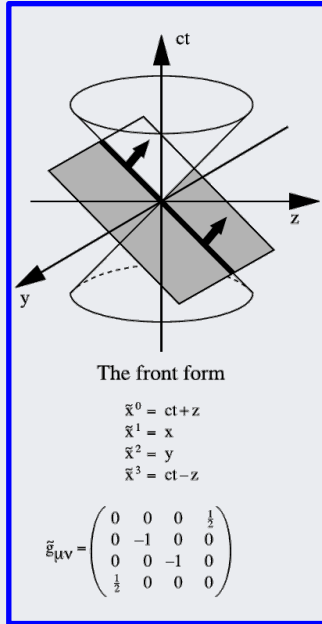
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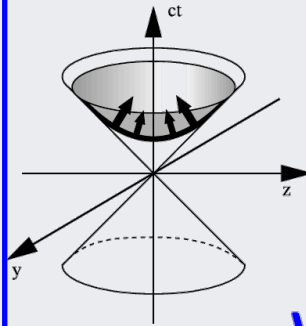
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We choose the Front Form!

$$\mathbf{a}^{\pm} = \mathbf{a}^0 \pm \mathbf{a}^3$$

$$\vec{a}_{\perp} = (a_1, a_2)$$

Fig. from Brodsky, Pauli, Pinsky Phys.Rept. 301 (1998) 299-486

5 Forms of relativistic Dynamics - The front form

The **Light-Front framework** has several advantages:

- 7 Kinematical generators: i) **three LF boosts** (In instant form they are dynamical!), ii) $\tilde{P} = (P^+ = P^0 + P^3, \mathbf{P}_\perp)$, iii) **Rotation** around the **z-axis**.
- The LF boosts have a subgroup structure : trivial Separation of **intrinsic and global** motion, as in the NR case. **important to correctly treat the boost between initial and final states !**
- $P^+ \geq 0 \rightarrow$ meaningful Fock expansion, once massless constituents are absent
- No square root in the dynamical operator P^- , propagating the state in the LF-time.
- The infinite-momentum frame (IMF) description of DIS is easily included.

Drawback: the transverse LF-rotations are dynamical

But within the Bakamjian-Thomas (BT) construction of the generators in an interacting theory, one can construct an intrinsic angular momentum fully kinematical!

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Drawback: the transverse LF-rotations are dynamical

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The **Light-Front framework** has several advantages:

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6 Bakamjian-Thomas construction and LFHD

- **Bakamjian and Thomas** (PR 92 (1953) 1300) proposed an explicit construction of 10 Poincaré generators in presence of interactions.
The key ingredient is the **mass operator**:
 - i) only the **mass operator** M contains the interaction
 - ii) it generates the dependence of the 3 dynamical generators (P^- and LF transverse rotations \vec{F}_\perp) upon the interaction
- The **mass operator** is given by the sum of M_0 with an interaction V , or $M_0 + U$. The interaction, U or V , must commute with all the kinematical generators and with the non-interacting angular momentum, as in the **NR** case.

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7 The BT Mass operator for an A=3 system

In the three-body case, the mass operator is: $M_{BT}(123) = M_0(123) + \underbrace{V_{12,3}^{BT} + V_{23,1}^{BT} + V_{31,2}^{BT}}_{\text{2-body forces}} + \underbrace{V_{123}^{BT}}_{\text{3-body force}}$

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free mass operator momenta in the intrinsic reference frame $\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 = 0$

- The commutation rules impose to V^{BT} invariance for translations and rotations as well as independence on the total momentum, as it occurs for V^{NR} .
- One can assume $M_{BT}(123) \sim M^{NR}$
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forces 3-body force

★ The Mass Operator, developed within a non relativistic framework, is fully acceptable for a BT construction of the Poincaré generators★

- The commutation rules impose + ... translations and rotations as well as independence on the total momentum, as it ...
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8 Reference frames



For a correct description of the SF, so that the **Macro-locality** is implemented, it is crucial to distinguish between different frames, moving with respect to each other:

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- The intrinsic LF frame of the cluster, (1; 23), where $\tilde{P} = (\mathcal{M}_0(1, 23), \vec{0}_\perp)$, with $\kappa^+(1; 23) = \xi \mathcal{M}_0(1, 23)$ and $\mathcal{M}_0(1, 23) = \sqrt{m^2 + |\kappa|^2} + \sqrt{M_S^2 + |\kappa|^2}$
 $M_S = 2\sqrt{m^2 + m\epsilon}$

while $\mathbf{p}_\perp(lab) = \mathbf{k}_\perp(123) = \boldsymbol{\kappa}_\perp(1, 23)$

9 The spin-dependent LF spectral function

A. Del Dotto, E. Pace, G. Salmè, S. Scopetta, *Physical Review C* 95, 014001 (2017)

The Spectral Function: probability distribution to find inside a bound system a particle with a given $\tilde{\mathbf{k}}$ when the rest of the system has energy ϵ , with a polarization vector S :

$$\mathcal{P}_{\sigma' \sigma}^T(\tilde{\mathbf{k}}, \epsilon, S) = \rho(\epsilon) \sum_{JJ_z \alpha} \sum_{Tt} {}_{LF} \langle tT; \alpha, \epsilon; JJ_z; T\sigma', \tilde{\mathbf{k}} | \Psi_M; ST_z \rangle \langle ST_z; \Psi_M | \tilde{\mathbf{k}}, \sigma T; JJ_z; \epsilon, \alpha; Tt \rangle_{LF}$$

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three-body bound eigenstate of $M_{BT}(123) \sim M^{NR}$

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$$|\tilde{\kappa}, \sigma T; JJ_z; \epsilon, \alpha; Tt\rangle {}_{LF}$$

tensor product of a plane wave for particle 1 with LF momentum $\tilde{\kappa}$ in the intrinsic reference frame of the [1 + (23)] cluster times the fully interacting state of the (23) pair of energy eigenvalue ϵ . It has eigenvalue:

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$$\mathcal{M}_0(1, 23) = \sqrt{m^2 + |\kappa|^2} + E_S \quad E_S = \sqrt{M_S^2 + |\kappa|^2} \quad M_S = 2\sqrt{m^2 + m\epsilon}$$

and fulfills the **macroscopic locality** (Keister, Polyzou, *Adv. N. P.* 20, 225 (1991)).

$$\tilde{\kappa} = (\kappa^+ = \xi \mathcal{M}_0(1, 23), \mathbf{k}_{\perp} = \kappa_{\perp})$$

9 The spin-dependent LF spectral function

The LF overlaps for ${}^3\text{He}$ SF in terms of the IF ones are

$$\langle T\tau; \alpha, \epsilon; JJ_z; \tau_1\sigma, \tilde{\mathbf{k}} | j, j_z; \epsilon_3; \frac{1}{2} T_z \rangle_{LF} = \sum_{\tau_2\tau_3} \int d\mathbf{k}_{23} \sum_{\sigma_1'} D^{\frac{1}{2}}[\mathcal{R}_M(\tilde{\mathbf{k}})]_{\sigma\sigma_1'} \sqrt{(2\pi)^3 2E(\mathbf{k})} \sqrt{\frac{\kappa^+ E_{23}}{\kappa^+ E_S}} \sum_{\sigma_2'', \sigma_3''} \sum_{\sigma_2', \sigma_3'} \text{IF} \langle T, \tau; \alpha, \epsilon; JJ_z | \mathbf{k}_{23}, \sigma_2'', \sigma_3''; \tau_2, \tau_3 \rangle \langle \sigma_3', \sigma_2', \sigma_1'; \tau_3, \tau_2, \tau_1; \mathbf{k}_{23}, \mathbf{k} | j, j_z; \epsilon_3; \frac{1}{2} T_z \rangle_{IF} \mathcal{D}_{\sigma_2'', \sigma_2'}(\tilde{\mathbf{k}}_{23}, \tilde{\mathbf{k}}_2) \mathcal{D}_{\sigma_3'', \sigma_3'}(-\tilde{\mathbf{k}}_{23}, \tilde{\mathbf{k}}_3)$$

effect of boosts in the Jacobians and in the transformations:

$$\mathcal{D}_{\sigma_i'', \sigma_i'}(\pm \tilde{\mathbf{k}}_{23}, \tilde{\mathbf{k}}_i) = \sum_{\sigma_i} D^{\frac{1}{2}}[\mathcal{R}_M^\dagger(\pm \tilde{\mathbf{k}}_{23})]_{\sigma_i''\sigma_i} D^{\frac{1}{2}}[\mathcal{R}_M(\tilde{\mathbf{k}}_i)]_{\sigma_i\sigma_i'}$$

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- Through the Bakamjian-Thomas construction, one is allowed to approximate the momentum space wave functions for the 2- and 3-body systems

$$\langle \dots | j, j_z; \epsilon_3; \frac{1}{2} T_z \rangle_{IF} = \langle \dots | j, j_z; \epsilon_3; \frac{1}{2} T_z \rangle_{NR}$$

preserving the Poincaré covariance but using the successful NR phenomenology

A. Del Dotto, E. Pace, G. Salmè, S. Scopetta, *Physical Review C* 95, 014001 (2017)

10

LC momentum distributions

From the normalization of the Spectral Function one has

$$f_{\tau}^A(\xi) = \int d\mathbf{k}_{\perp} n^{\tau}(\xi, \mathbf{k}_{\perp}) \longrightarrow \int_0^1 d\xi f_{\tau}^A(\xi) = 1$$

10 LC momentum distributions

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We can define the essential sum rules that must be satisfied:

$$N_A = \int d\xi \left[Z f_p^A(\xi) + (A - Z) f_n^A(\xi) \right] = 1$$

Baryon number sum rule

$$MSR = \int d\xi \xi \left[Z f_p^A(\xi) + (A - Z) f_n^A(\xi) \right] = 1$$

Momentum sum rule

Within the LFHD we are able to fulfill **both sum rules at the same time!**

E. Pace, M.R., G. Salmè and S. Scopetta, Phys. Lett. B (2023) 137810

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Not possible within the IF! (Frankfurt & Strikman; Miller;....80's)

11 The nuclear structure function F_2

The hadronic tensor, in **Impulse approximation** is found to be (Pace, M.R., Salmè and S. Scopetta, Phys. Scri. 2020)

$$W_A^{\mu\nu}(P_A, T_{Az}) = \sum_N \sum_\sigma \int d\epsilon \int \frac{d\kappa_\perp d\kappa^+}{(2\pi)^3 2\kappa^+} \frac{1}{\xi} \mathcal{P}^N(\tilde{\kappa}, \epsilon) \boxed{w_{N,\sigma}^{\mu\nu}(p, q)} \rightarrow \text{hadronic tensor of the bound nucleon}$$

In the Bjorken limit the nuclear structure function can be obtained from the hadronic tensor:

$$F_2^A(x) = \sum_N \sum_\sigma \int d\epsilon \int \frac{d\kappa_\perp d\kappa^+}{(2\pi)^3 2\kappa^+} \frac{1}{\xi} \mathcal{P}^N(\tilde{\kappa}, \epsilon) (-x) g_{\mu\nu} w_{N,\sigma}^{\mu\nu}(p, q) = \sum_N \int d\epsilon \int \frac{d\kappa_\perp d\kappa^+}{(2\pi)^3 2\kappa^+} \mathcal{P}^N(\tilde{\kappa}, \epsilon) \frac{P_A^+}{p^+} \frac{x}{z} \boxed{F_2^N(z)}$$

$$x = \frac{Q^2}{2P_A \cdot q} \quad \text{Bjorken variable} \quad \xi = \frac{\kappa^+}{\mathcal{M}_0(1,23)} \neq x \quad z = \frac{Q^2}{2p \cdot q}$$

nucleon structure function

$$F_2^N(z) = -z g_{\mu\nu} \sum_\sigma w_{N,\sigma}^{\mu\nu}(p, q)$$

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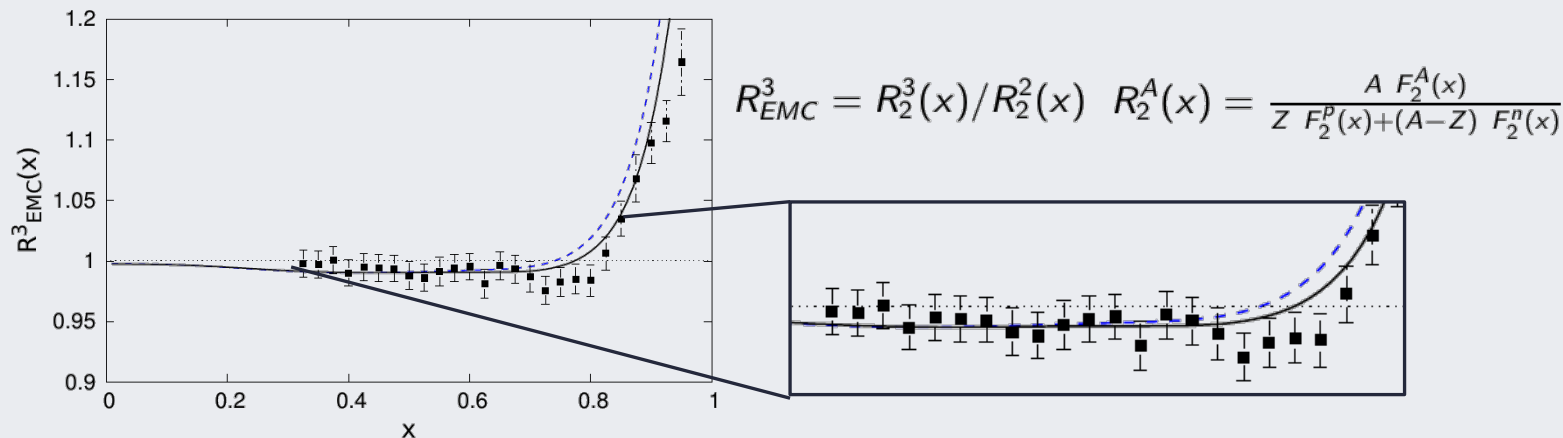
$$F_2^N(z) = -z g_{\mu\nu} \sum_\sigma w_{N,\sigma}^{\mu\nu}(p, q)$$

One should notice that: $\int d\epsilon \int d\kappa^+ \neq \int d\kappa^+ \int d\epsilon$ but in the BJ limit $\int d\epsilon \int d\kappa^+ = \int d\kappa^+ \int d\epsilon$


therefore, F_2 and the EMC effect can be evaluated the LC momentum distribution directly!

12 The ^3He EMC effect within the LFHD

The numerical calculations E. Pace, M.R., G. Salmè and S. Scopetta, Phys. Lett. B (2023) 137810

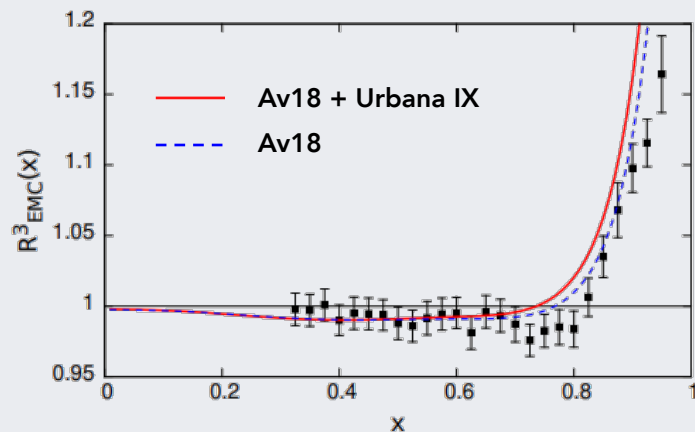
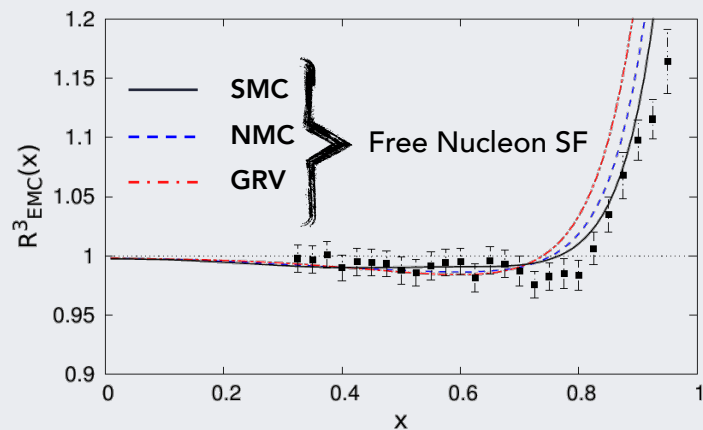


 **Solid line:** with Av18 description of ^3He , **Dashed line:** including three-body forces (U-IX) with “SMC” nucleon structure functions (Adeva et al PLB 412, 414 (1997)).

 **Full squares:** data from J. Seely et al., PRL. 103, 202301 (2009) reanalyzed by S. A. Kulagin and R. Petti, PRC 82, 054614 (2010)

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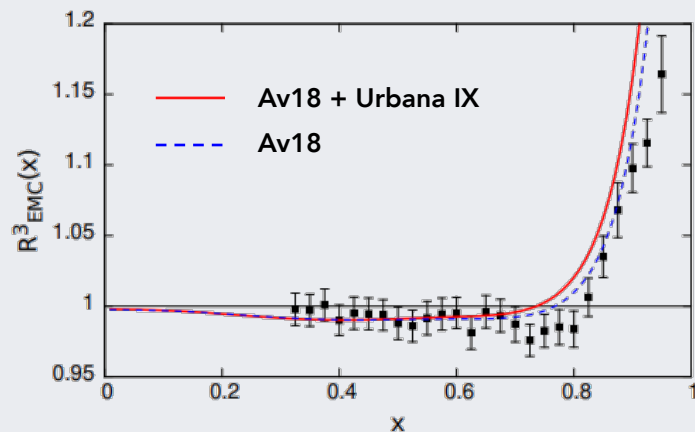
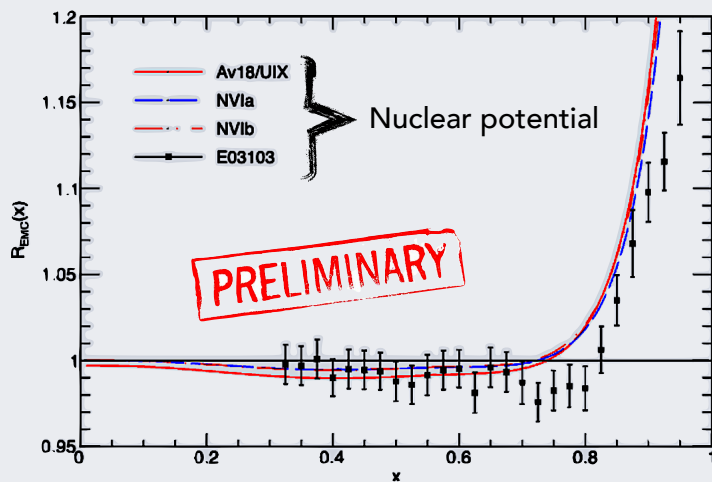


$F_2^N(x)$ extracted from the MARATHON data
[MARATHON, PRL 128,132003 (2022)]

$F_2^P(x)$ SMC

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$F_2^p(x)$ SMC



Conclusions: small but solid effect; for extension to ^4He see F. Fornetti's talk

12 The ^3He EMC effect within the LFHD

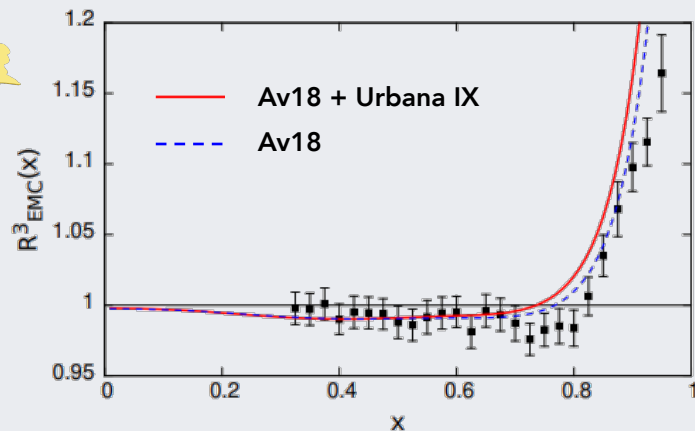
The numerical calculations E. Pace, M.R., G. Salmè and S. Scopetta, Phys. Lett. B (2023) 137810



ATTENTION
PLEASE

We calculated the valence contribution to the EMC effect within an approach:

- i) able to include relativistic effects
- ii) fulfill number and momentum sum rules at the same time!
- iii) including conventional nuclear effects



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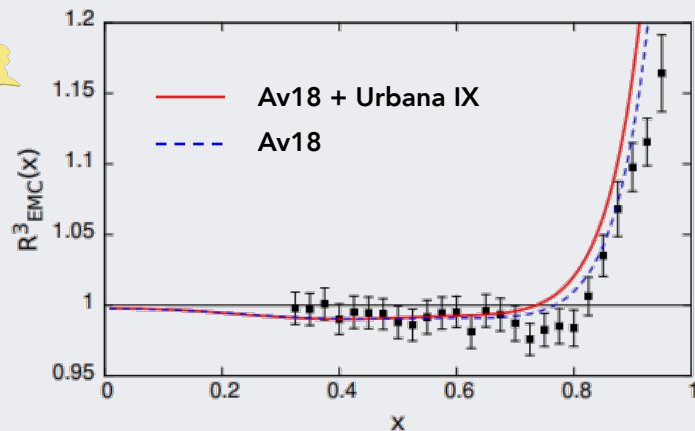
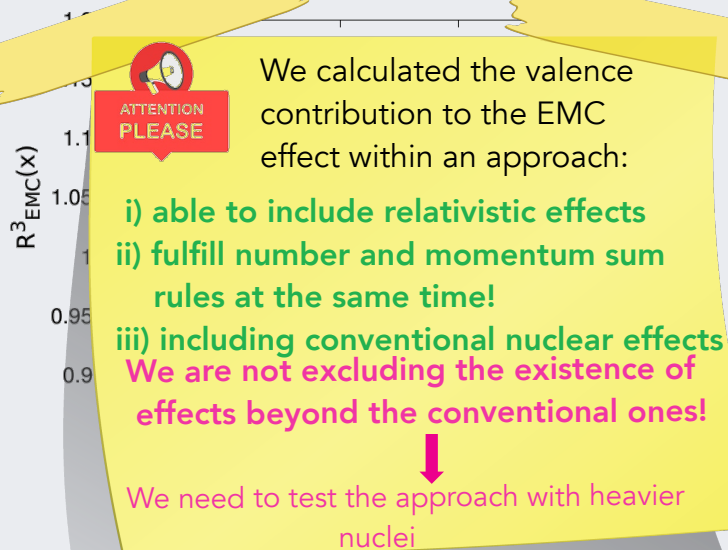
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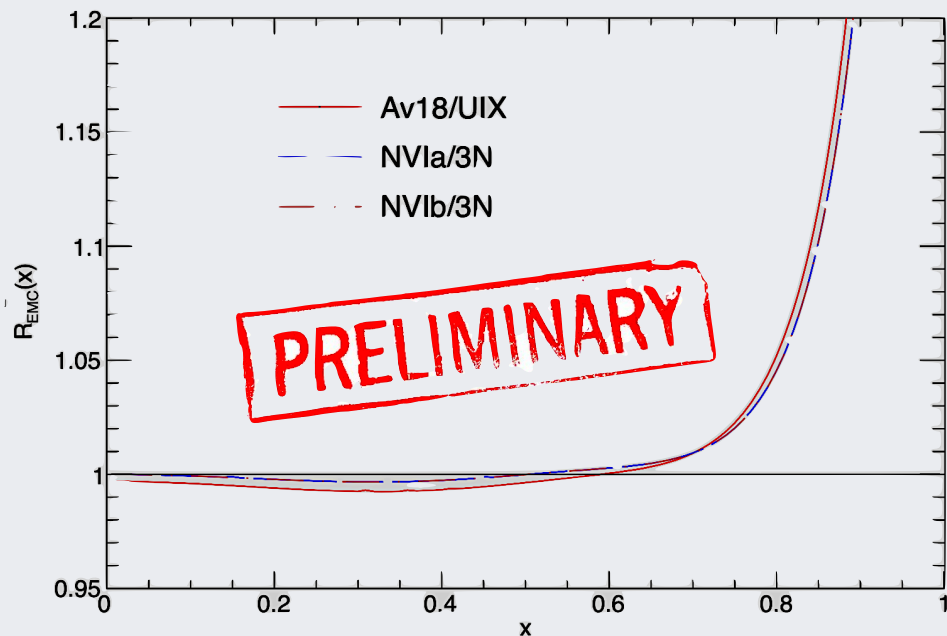
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The ^3H EMC effect within the LFHD

F. Fornetti, E. Pace, M.R., G. Salmè and S. Scopetta, in prep

CONCLUSIONS

- ✓ **A Poincaré covariant description of nuclei, based on the light-front Hamiltonian dynamics, has been proposed.** The Bakamjian-Thomas construction of the Poincaré generators allows one to embed the successful phenomenology for few-nucleon systems in a Poincaré covariant framework.
N.B. Normalization and momentum sum rule are both automatically fulfilled.
- ✓ Encouraging calculation of ^3He EMC, shedding light on the role of a reliable description of the nucleus. Also the LC spin-dependent momentum distributions are available, for both longitudinal and transverse polarizations of the nucleon. **Crucial extension to ^4He !** (see F. Fornetti's talk)



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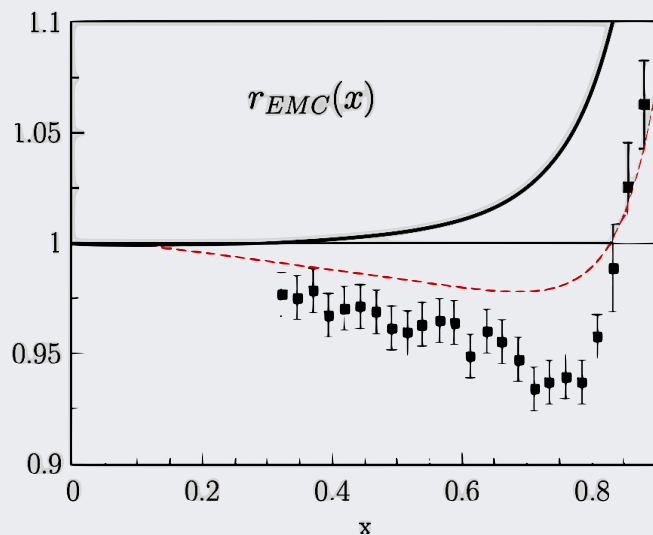
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12 The ^3He EMC effect within the LFHD



Structure Functions for Light Nuclei

S. A. Kulagin^{1,*} and R. Petti^{2,†}

¹*Institute for Nuclear Research of the Russian Academy of Sciences, 117312 Moscow, Russia*

²*Department of Physics and Astronomy,
University of South Carolina, Columbia SC 29208, USA*

Abstract

We discuss the nuclear EMC effect with particular emphasis on recent data for light nuclei including ${}^2\text{H}$, ${}^3\text{He}$, ${}^4\text{He}$, ${}^9\text{Be}$, ${}^{12}\text{C}$ and ${}^{14}\text{N}$. In order to verify the consistency of available data, we calculate the χ^2 deviation between different data sets. We find a good agreement between the results from the NMC, SLAC E139, and HERMES experiments. However, our analysis indicates an overall normalization offset of about 2% in the data from the recent JLab E03-103 experiment with respect to previous data for nuclei heavier than ${}^3\text{He}$. We also discuss the extraction of the neutron/proton structure function ratio F_2^n/F_2^p from the nuclear ratios ${}^3\text{He}/{}^2\text{H}$ and ${}^2\text{H}/{}^1\text{H}$. Our analysis shows that the E03-103 data on ${}^3\text{He}/{}^2\text{H}$ require a renormalization of about 3% in order to be consistent with the F_2^n/F_2^p ratio obtained from the NMC experiment. After such a renormalization, the ${}^3\text{He}$ data from the E03-103 data and HERMES experiments are in a good agreement. Finally, we present a detailed comparison between data and model calculations, which include a description of the nuclear binding, Fermi motion and off-shell corrections to the structure functions of bound proton and neutron, as well as the nuclear pion and shadowing corrections. Overall, a good agreement with the available data for all nuclei is obtained.

2 Nuclear dynamics and Spectral Function

From a theoretical point of view, we need:

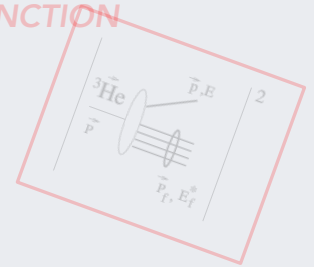
- a description of the nuclear dynamics which retains as many general properties as possible...
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In the presented approach the key quantity is the nuclear **SPECTRAL FUNCTION**
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$$P_{\sigma'\sigma}(k, E) = -\frac{1}{\pi} \Im m \left\{ \langle \Psi_{gr} | a_{k,\sigma'}^\dagger \frac{1}{E - H + i\epsilon} a_{k,\sigma} | \Psi_{gr} \rangle \right\}$$

$$H = \sum_n \frac{1}{n} \sum_{\substack{\alpha_1, \dots, \alpha_n \\ \beta_1, \dots, \beta_n}} \langle \alpha_1 \dots \alpha_n | H_n | \beta_1 \dots \beta_n \rangle \prod_{i=1}^n a^\dagger(\alpha_i) a(\beta_i)$$

Diagonal terms: probability density to find a constituent with σ, k and the remaining spectator system of an energy E in the ground state.



Quite familiar in nuclear Physics; in hadron physics one introduces the

LC correlator: $\Phi^\tau(x, y) = \langle \Psi_{gr} | \bar{\psi}_\tau(x) \mathcal{W}(\hat{n} \cdot A) \psi_\tau(y) | \Psi_{gr} \rangle$

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- To embed the CG machinery in the LFHD one needs unitary operators, the so-called Melosh rotations that relate the LF spin wave function and the canonical one. For a particle of spin (1/2) with LF momentum

$$\tilde{\mathbf{k}} \equiv \{k^+, \vec{k}_\perp\}$$

$$|\mathbf{k}; \frac{1}{2}, \sigma\rangle_c = \sum_{\sigma'} \underbrace{D_{\sigma', \sigma}^{1/2}(R_M(\tilde{\mathbf{k}}))}_{\text{Wigner rotation for the J=1/2 case}} |\tilde{\mathbf{k}}; \frac{1}{2}, \sigma'\rangle_{LF}$$

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- $R_M(\tilde{\mathbf{k}})$ is the Melosh rotation connecting the intrinsic LF and canonical frames, reached through different boosts from a given frame where the particle is moving

$$D^{1/2}[R_M(\tilde{\mathbf{k}})]_{\sigma'\sigma} = \chi_{\sigma'}^\dagger \frac{m + k^+ - i\sigma \cdot (\hat{z} \times \mathbf{k}_\perp)}{\sqrt{(m + k^+)^2 + |\mathbf{k}_\perp|^2}} \chi_\sigma = {}_{LF}\langle \tilde{\mathbf{k}}; s\sigma' | \mathbf{k}; s\sigma \rangle_c$$

→ two-dimensional spinor

N.B. If $|\mathbf{k}_\perp| \ll k^+, m \rightarrow D_{\sigma'\sigma} \simeq I_{\sigma'\sigma}$

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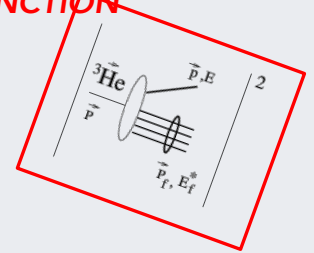
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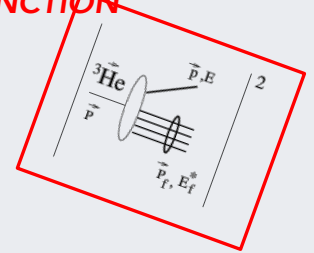
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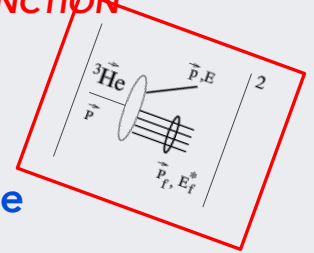
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Our point: in valence approximation, one can relate $P_{\sigma'\sigma}(k, E)$
(given in a Poincaré covariant framework) $\Phi^\tau(x, y)$ and

[Pace, Salmè, Scopetta et al, Phys.Rev.C 104 (2021) 6, 065204]



12 LF spectral function and LC Correlator

The fermion correlator in terms of the LF coordinates is [e.g., Barone, Drago, Ratcliffe, Phys. Rep. 359, 1 (2002)]

$$\Phi_{\alpha,\beta}^{\tau}(p, P, S) = \frac{1}{2} \int d\xi^- d\xi^+ d\xi_T e^{i p \xi} \langle P, S, A | \bar{\psi}_{\beta}^{\tau}(0) \psi_{\alpha}^{\tau}(\xi) | A, S, P \rangle$$

isospin
parent system
(nucleus, nucleon..)

p = fermion momentum

The particle contribution to the correlator in **valence approximation**, i.e. the result obtained if the antifermion contributions are disregarded, is related to the LF SF:

$$\Phi^{\tau P}(p, P, S) = \frac{(\not{p}_{on} + m)}{2m} \Phi^{\tau}(p, P, S) \frac{(\not{p}_{on} + m)}{2m} = \frac{2\pi (P^+)^2}{(p^+)^2 4m} \frac{E_S}{\mathcal{M}_0[1, (23)]} \sum_{\sigma\sigma'} \{ u_{\alpha}(\vec{p}, \sigma') \mathcal{P}_{\mathcal{M}, \sigma'\sigma}^{\tau}(\vec{k}, \epsilon, S) \bar{u}_{\beta}(\vec{p}, \sigma) \}$$

In deriving this expression it naturally appears the momentum \vec{p} in the intrinsic reference frame of the cluster [1,(23)], where particle 1 is free and the (23) pair is fully interacting.

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13 LF Correlator and TMDs

The correlator function (related to the LF spectral function) at leading twist can be decomposed:

$$\Phi(p, P, S) = \frac{1}{2} \not{P} A_1 + \frac{1}{2} \gamma_5 \not{P} \left[A_2 S_z + \frac{1}{M} \tilde{A}_1 \mathbf{p}_\perp \cdot \mathbf{S}_\perp \right] + \frac{1}{2} \not{P} \gamma_5 \left[A_3 \not{S}_\perp + \tilde{A}_2 \frac{S_z}{M} \not{p}_\perp + \frac{1}{M^2} \tilde{A}_3 \mathbf{p}_\perp \cdot \mathbf{S}_\perp \not{p}_\perp \right]$$

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The functions A_j, \tilde{A}_j ($j = 1, 2, 3$) can be obtained by proper traces of $\Phi(p, P, S)$ and Γ matrices.

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The 6 TMDs can be obtained:

$$f(x, \mathbf{p}_\perp^2) = \mathcal{O}[A_1] \quad \Delta f(x, |\mathbf{p}_\perp|^2) = \mathcal{O}[A_2] \quad g_{1T}(x, |\mathbf{p}_\perp|^2) = \mathcal{O}[\tilde{A}_1]$$

$$\Delta'_T f(x, |\mathbf{p}_\perp|^2) = \mathcal{O}\left[A_3 + \frac{|\mathbf{p}_\perp|^2}{2M^2} \tilde{A}_3\right] \quad h_{1L}^\perp(x, |\mathbf{p}_\perp|^2) = \mathcal{O}[\tilde{A}_2] \quad h_{1T}^\perp(x, |\mathbf{p}_\perp|^2) = \mathcal{O}[\tilde{A}_3]$$

with

$$\mathcal{O}[A_j] = \frac{1}{2} \int \frac{dp^+ dp^-}{(2\pi)^4} \delta[p^+ - xP^+] P^+ [A_j]$$

14 TMDs and LF spectral function

TMDs $\xrightarrow{\text{obtained from}}$ Tr LC correlator $\xrightarrow{\text{obtained from}}$ Tr Spectral function

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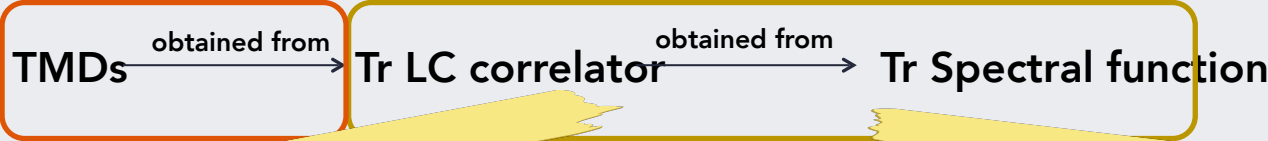
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$$\Delta'_T f(x, |\mathbf{p}_\perp|^2) = b_{1,\mathcal{M}} + \frac{1}{2} b_{2,\mathcal{M}} \quad h_{1L}^\perp(x, |\mathbf{p}_\perp|^2) = \frac{M}{|\mathbf{p}_\perp|} b_{3,\mathcal{M}} \quad h_{1T}^\perp(x, |\mathbf{p}_\perp|^2) = \frac{M^2}{|\mathbf{p}_\perp|^2} b_{2,\mathcal{M}}$$

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$$\begin{aligned} \text{Tr}(\gamma^+ \Phi^P) &= \\ \text{Tr}(\gamma^+ \gamma_5 \Phi^P) &= \\ \text{Tr}(\mathbf{p}_\perp \gamma^+ \gamma_5 \Phi^P) &= \end{aligned}$$

There is a one-to-one correspondence between the ϵ_i - integral of proper components of the SF (the functions $b_{i,M}$) and the TMDs of He: the latter can be accurately obtained from the wave function!

$$D = \frac{1}{p^+} \frac{\pi}{m} \frac{E_S}{\mathcal{M}_0[1, (23)]}$$

$\delta[p^+ - xP^+] P^+$ of Tr of SF

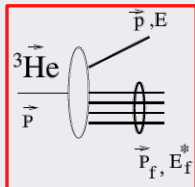
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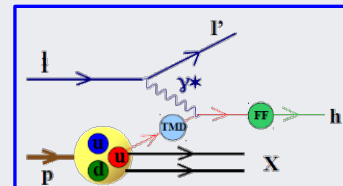
15 TMDs and ^3He LF spectral function

The procedure works for any three-body $J = 1/2$ system (in valence

^3He approx!



Proton



- p, p, n
- $(e, e'p)$ reactions
- p detection
- PW Impulse Approximation
- spin-dep response functions
- light-cone momentum distributions
- norms, effective polarizations

- u_v, u_v, d_v
- SIDIS
- no q_v detection, fragmentation...
- leading twist
- TMDs
- PDFs
- charges (axial, tensor...)

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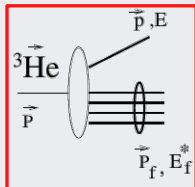
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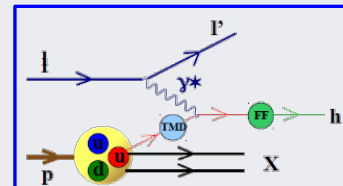
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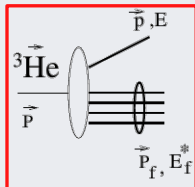
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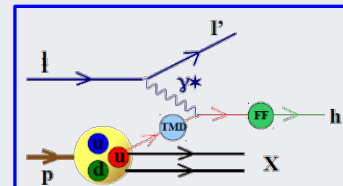
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^3He approx!



Proton



- p, p, n
- $(e, e'p)$ reactions
- p detection
- PW Impulse Approximation
- spin-dep response functions
- light-cone momentum distributions
- norms, effective polarizations

CORRESPONDENCE

- u_v, u_v, d_v
- SIDIS
- no q_v detection, fragmentation...
- leading twist
- TMDs
- PDFs
- charges (axial, tensor...)

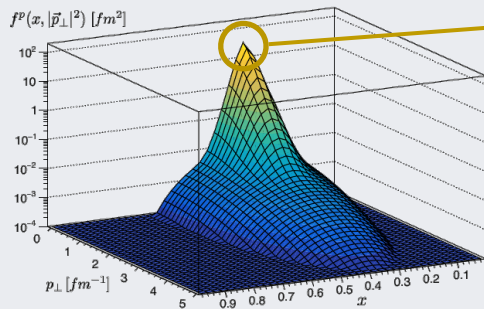
- the ^3He TMDs could be obtained from spin asymmetries in $^3\text{He}(e, e'p)$ experiments: in progress!
- We show our calculation for the TMDs of He using Av18 + UIX wfs (A. Kievsky, M. Viviani et al.)

Thus testing LFRHD and of the importance of Relativity in nuclear structure.

16 ^3He TMDs

Numerical results A. Del Dotto, E. Pace, G. Perna, A. Rocco, G. Salmè and S. Scopetta, Phys.Rev.C 104 (2021) 6, 065204

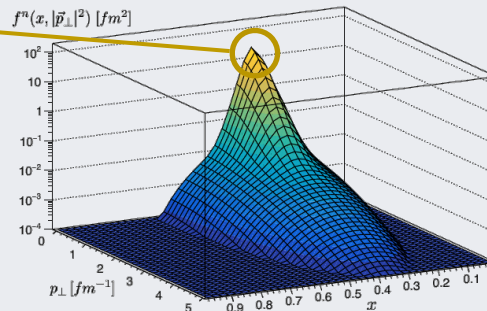
PROTON



peak around $x=1/3$

$f^{\tau}(x, |\mathbf{p}_{\perp}|^2)$, unpolarized
TMD in an unpolarized ^3He .

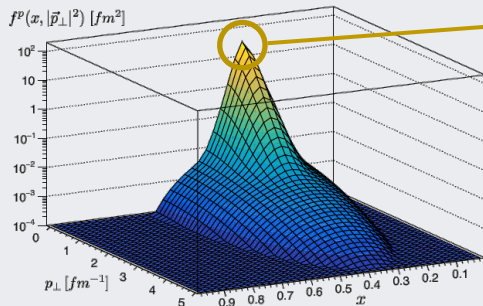
NEUTRON



16 ^3He TMDs

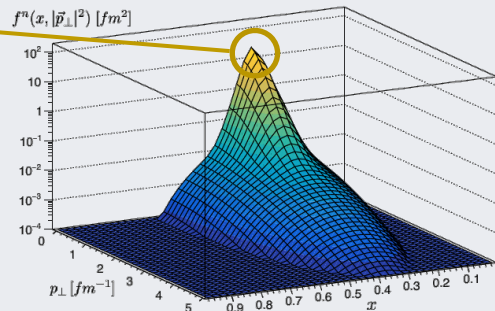
Numerical results A. Del Dotto, E. Pace, G. Perna, A. Rocco, G. Salmè and S. Scopetta, Phys.Rev.C 104 (2021) 6, 065204

PROTON

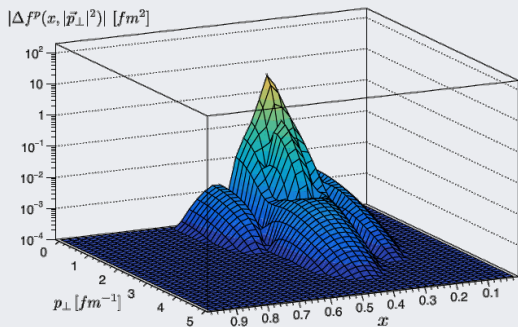


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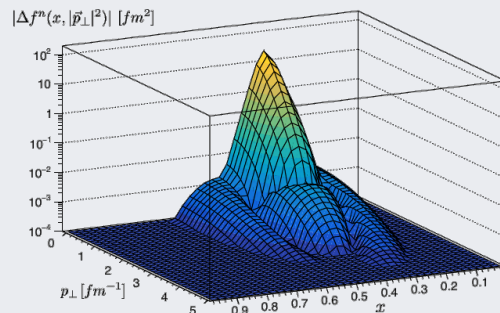
NEUTRON



$f^T(x, |\mathbf{p}_\perp|^2)$, unpolarized
TMD in an unpolarized ^3He .



Absolute value of the
nucleon
longitudinal-polarization
distribution in

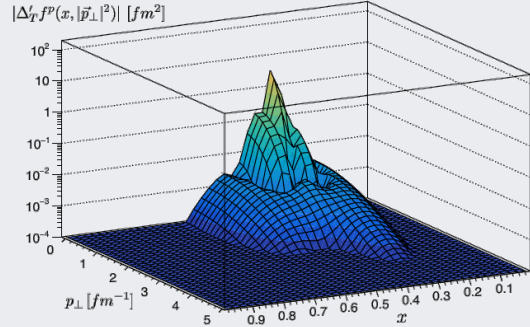
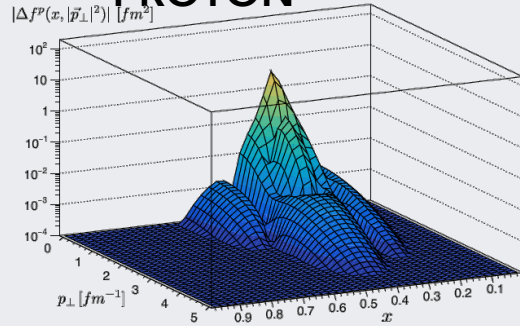


a longitudinally (wrt

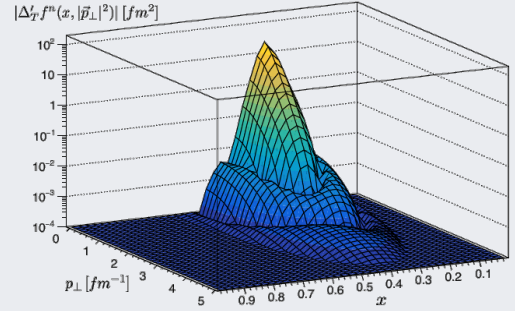
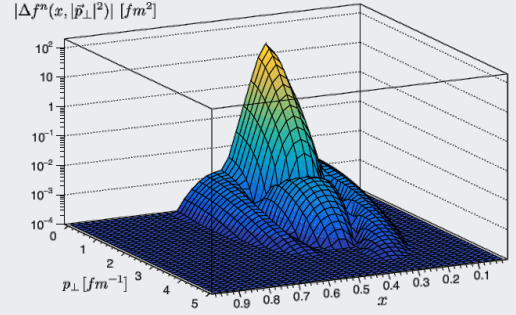
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PROTON



NEUTRON



Absolute value of the nucleon longitudinal-polarization distribution

Absolute value of the nucleon transverse-polarization distribution,

^3He transversely polarized in

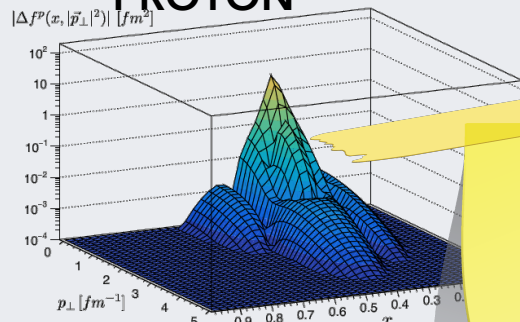
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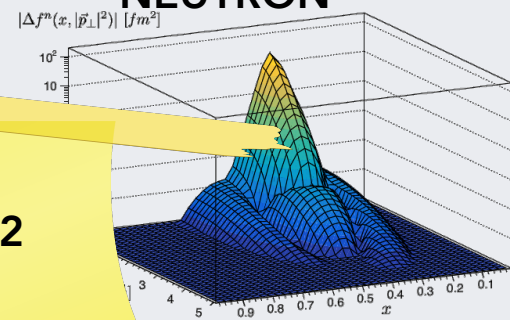
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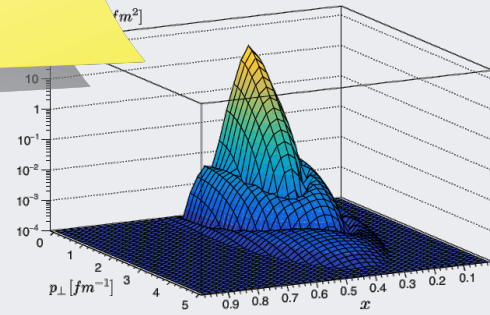
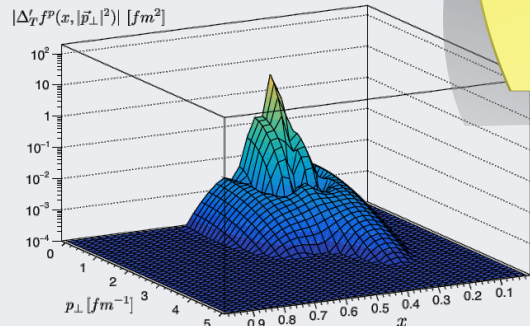
PROTON



NEUTRON



(Small) Difference wrt the 2 lines due to relativistic effects



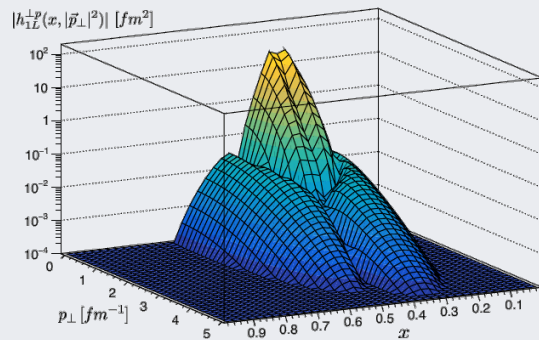
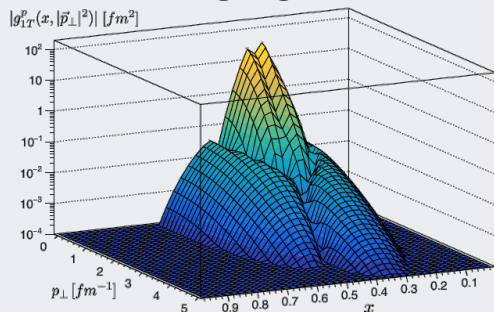
Absolute value of the nucleon photon axes) transverse-polarization distribution, in a

³He transversely polarized in Short-distance nuclear structure and pdfs

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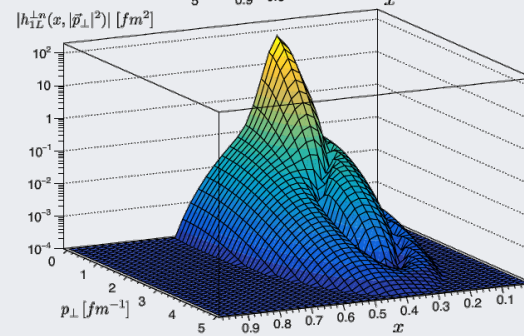
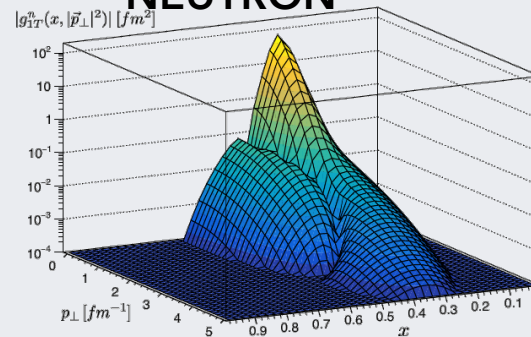
PROTON



Absolute value of the **nucleon longitudinal-polarization** distribution, $g_{1T}^\tau(x, |\mathbf{p}_\perp|^2)$, in a transversely polarized ^3He .

Absolute value of the **nucleon transverse-polarization** distribution, $h_{1L}^{\perp\tau}(x, |\mathbf{p}_\perp|^2)$ in a longitudinally polarized ^3He .

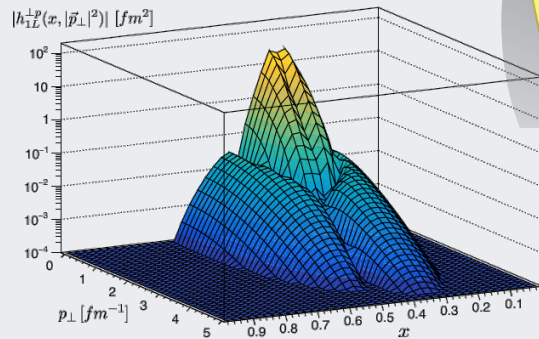
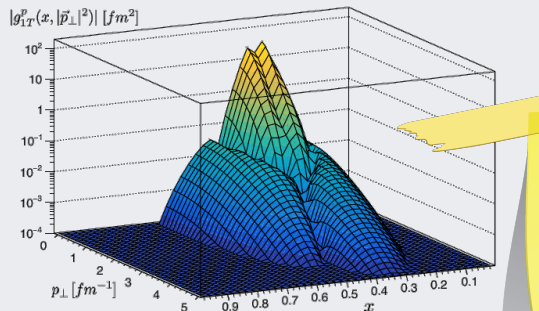
NEUTRON



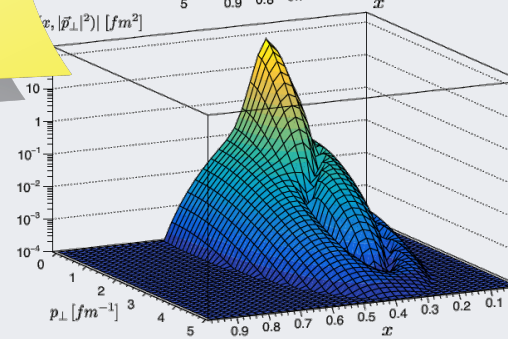
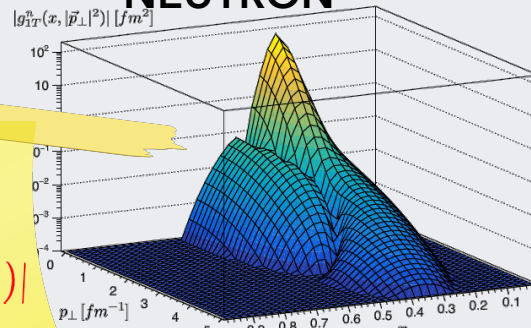
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PROTON



NEUTRON



Absolute value of the nucleon transverse-polarization distribution, $h_{1T}^{\perp \tau}(x, |\mathbf{p}_\perp|^2)$ in a longitudinally polarized ^3He .

$$|h_{1T}^{\perp \tau}(x, |\mathbf{p}_\perp|^2)| \sim |g_{1T}^\tau(x, |\mathbf{p}_\perp|^2)|$$

17 Relations among nuclear TMDs

We remark that from the general principles implemented in the SF, TMDs receive contributions from both $L = 0$ and $L = 2$ orbital angular momenta.

Some approximated relations among TMDs are:

$$\Delta f(x, |\mathbf{p}_\perp|^2) = \Delta'_T f(x, |\mathbf{p}_\perp|^2) + \frac{|\mathbf{p}_\perp|^2}{2M^2} h_{1T}^\perp(x, |\mathbf{p}_\perp|^2)$$

$$g_{1T}(x, |\mathbf{p}_\perp|^2) = -h_{1L}^\perp(x, |\mathbf{p}_\perp|^2)$$

$$(g_{1T})^2 + 2 \Delta'_T f h_{1T}^\perp = 0$$

R. Jacob et al. [NPA 626, 937 (1997)]

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$$(g_{1T})^2 + 2 \Delta'_T f h_{1T}^\perp = \dots$$

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Measurable effect!
 Importance of 2-3 interactions

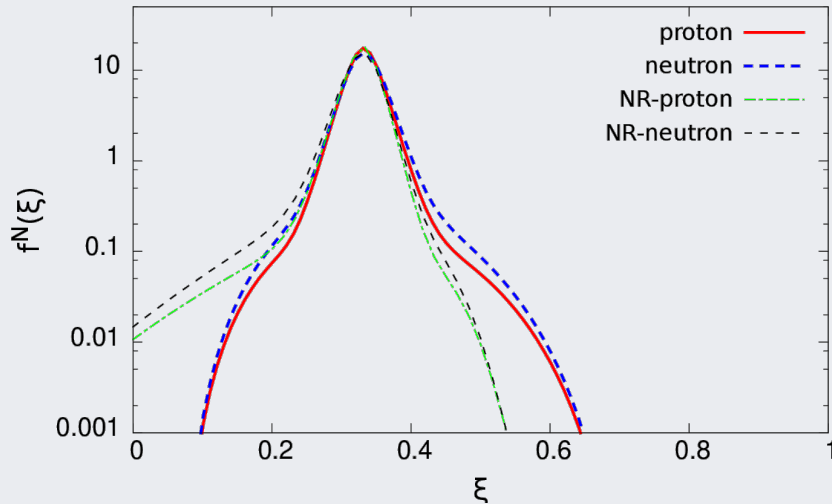
- **first relation** recovered relation. Taking into account both $L = 0, 2$, the lhs and rhs is small for the neutron, not negligible for proton component, tiny for those TMDs, is retained the dominant $L = 2$ contribution leads to a plus sign.
- **the second relation** does not hold; even if the $L = 2$ contribution is vanishing. Noteworthy, the integration on k_{23} , imposed by Macro-locality, spoils the relation!

18

LC momentum distributions

From the normalization of the Spectral Function one has

$$f_{\tau}^A(\xi) = \int dk_{\perp} n^{\tau}(\xi, k_{\perp}) \longrightarrow \int_0^1 d\xi f_{\tau}^A(\xi) = 1$$

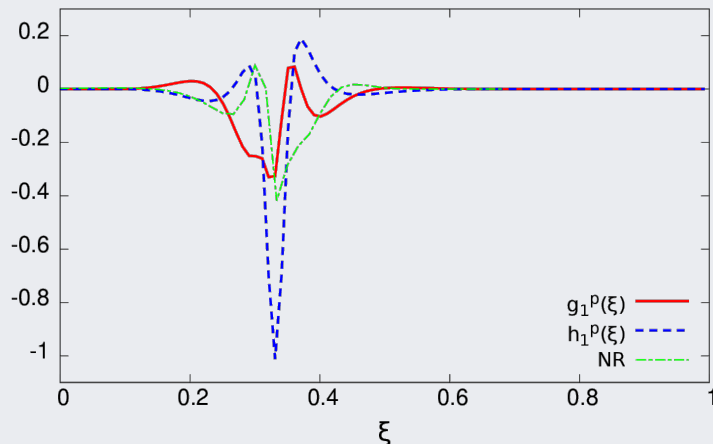


unpolarized distribution

E. Pace, M.R., G. Salmè and S. Scopetta, ArXiv:2206.05485

18 LC momentum distributions

PROTON

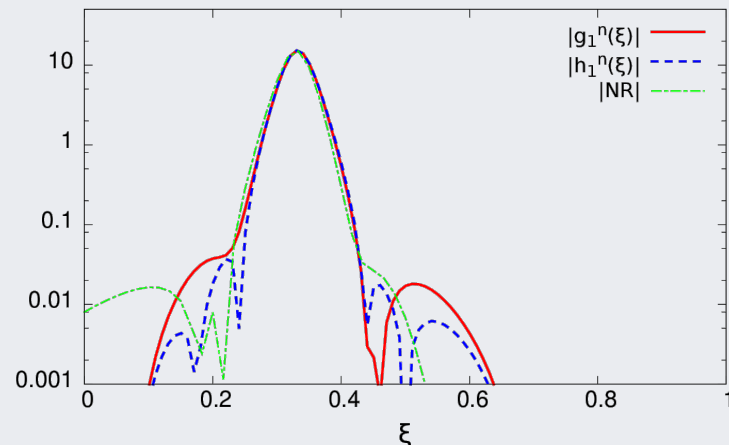


$g_1^n(\xi)$ longitudinal-polarization distribution

$h_1^n(\xi)$ transverse-polarization distribution

- They would be the same in a NR framework;
- Crucial for the extraction of the neutron information from DIS and SIDIS off ^3He .
Work in progress to LF update our NR results \rightarrow important for JLab12, EIC

NEUTRON



E. Pace, M.R., G. Salmè and S. Scopetta, ArXiv:2206.05485

Backup Slides: effective polarizations

Effective polarizations

Key role in the extraction of **neutron polarized structure functions** and **neutron Collins and Sivers single spin asymmetries**, from the corresponding quantities measured for ^3He

Effective longitudinal polarization (axial charge for the nucleon)

$$p_{||}^{\tau} = \int_0^1 dx \int d\mathbf{p}_{\perp} \Delta f^{\tau}(x, |\mathbf{p}_{\perp}|^2)$$

Effective transverse polarization (tensor charge for the nucleon)

$$p_{\perp}^{\tau} = \int_0^1 dx \int d\mathbf{p}_{\perp} \Delta'_T f^{\tau}(x, |\mathbf{p}_{\perp}|^2)$$

Effective polarizations	proton	neutron
LF longitudinal polarization	-0.02299	0.87261
LF transverse polarization	-0.02446	0.87314
non relativistic polarization	-0.02118	0.89337

- The difference between the LF polarizations and the non relativistic results are **up to 2% in the neutron case** (larger for the proton ones, but it has an overall small contribution), and should be **ascribed to the intrinsic coordinates**, implementing the **Macro-locality**, and not to the Melosh rotations involving the spins.
- N.B. Within a NR framework: $p_{||}^{\tau}(NR) = p_{\perp}^{\tau}(NR)$



Backup Slides: effective polarizations

The BT Mass operator for A=3 nuclei - II

The NR mass operator is written as

$$M^{NR} = 3m + \sum_{i=1,3} \frac{k_i^2}{2m} + V_{12}^{NR} + V_{23}^{NR} + V_{31}^{NR} + V_{123}^{NR}$$

and must obey to the commutation rules proper of the Galilean group, leading to translational invariance and independence of total 3-momentum.

Those properties are analogous to the ones in the BT construction. This allows us to consider the standard non-relativistic mass operator as a sensible BT mass operator, and embed it in a Poincaré covariant approach.

$$M_{BT}(123) = M_0(123) + V_{12,3}^{BT} + V_{23,1}^{BT} + V_{31,2}^{BT} + V_{123}^{BT} \sim M^{NR}$$

The 2-body phase-shifts contain the relativistic dynamics, and the Lippmann-Schwinger equation, like the Schrödinger one, has a suitable structure for the BT construction.

Therefore **what has been learned till now about the nuclear interaction, within a non-relativistic framework, can be re-used in a Poincaré covariant framework.**

The eigenfunctions of M^{NR} do not fulfill the cluster separability, but we take care of Macro-locality in the spectral function.



10 LF spectral function decomposition

The LF spin-dependent spectral function (SF), for a nucleus with polarization \mathbf{S} , can be macroscopically decomposed in terms of the available vectors:

- the unit vector \hat{n} , \perp to the hyperplane $n^\mu x_\mu = 0$. Our choice is $n^\mu \equiv \{1, 0, 0, 1\} \Rightarrow \hat{n} \equiv \hat{z}$
- the polarization vector \mathbf{S}
- the transverse (wrt the \hat{z} axis) momentum component of the constituent, i.e. $\mathbf{k}_\perp(123) = \mathbf{p}_\perp(Lab) = \boldsymbol{\kappa}_\perp(1;23)$

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$$\mathcal{P}_{\mathcal{M},\sigma'\sigma}^T(\tilde{\mathbf{k}}, \epsilon, \mathbf{S}) = \frac{1}{2} \left[\mathcal{B}_{0,\mathcal{M}}^T + \boldsymbol{\sigma} \cdot \mathcal{F}_{\mathcal{M}}^T(\tilde{\mathbf{k}}, \epsilon, \mathbf{S}) \right]_{\sigma'\sigma}$$

unpolarized SF $\mathcal{B}_{0,\mathcal{M}}^T = \text{Tr} [\mathcal{P}_{\mathcal{M},\sigma'\sigma}^T(\tilde{\mathbf{k}}, \epsilon, \mathbf{S})]$ $\mathcal{F}_{\mathcal{M}}^T(\tilde{\mathbf{k}}, \epsilon, \mathbf{S}) = \text{Tr} [\hat{\mathcal{P}}_{\mathcal{M}}^T(\tilde{\mathbf{k}}, \epsilon, \mathbf{S}) \boldsymbol{\sigma}]$ pseudovector

$$\mathcal{F}_{\mathcal{M}}^T(x, \mathbf{k}_\perp; \epsilon, \mathbf{S}) = \mathbf{S} \mathcal{B}_{1,\mathcal{M}}^T(\dots) + \hat{\mathbf{k}}_\perp (\mathbf{S} \cdot \hat{\mathbf{k}}_\perp) \mathcal{B}_{2,\mathcal{M}}^T(\dots) + \hat{\mathbf{k}}_\perp (\mathbf{S} \cdot \hat{\mathbf{z}}) \mathcal{B}_{3,\mathcal{M}}^T(\dots) + \hat{\mathbf{z}} (\mathbf{S} \cdot \hat{\mathbf{k}}_\perp) \mathcal{B}_{4,\mathcal{M}}^T(\dots) + \hat{\mathbf{z}} (\mathbf{S} \cdot \hat{\mathbf{z}}) \mathcal{B}_{5,\mathcal{M}}^T(\dots)$$

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The scalar functions $\mathcal{B}_{\mathcal{J}, \mathcal{M}}^T(\dots)$ depend, for $\mathcal{J} = 1/2$, on $|\mathbf{k}_\perp|$, x , ϵ

$x = \kappa^+(1; 23) / \mathcal{M}_0(1; 23)$

11 LF spectral function and momentum distribution

By integrating the LF SF on \overline{k} , equivalent to the integration on the ϵ \equiv internal energy of the spectator system, one straightforwardly gets the **LF spin-dependent momentum distribution**

$$\mathcal{N}_{\sigma'\sigma}^T(x, \mathbf{k}_\perp; \mathcal{M}, \mathbf{S}) = \frac{1}{2} \{ b_{0,\mathcal{M}}(\dots) + \boldsymbol{\sigma} \cdot \mathbf{f}_{\mathcal{M}}(x, \mathbf{k}_\perp; \mathbf{S}) \}_{\sigma'\sigma}$$



The decomposition is useful to get:

an explicit interplay between transverse momentum component and spin dofs

relations between Transverse-momentum distributions (TMDs) in the *valence sector*

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Diagrams and infographics

