

Calculations for Polarization Observables in $D(e, e'p)$, and How to Enjoy Them Responsibly

SABINE JESCHONNEK (OSU),
BILL FORD (INDUSTRY),
J. WALLACE VAN ORDEN (JLAB, ODU)

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Outline

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- relevance of $D(e,e'p)$ reactions, basic observables
- a model calculation: results for unpolarized targets
SJ & Van Orden, PRC 78, 014007 (2008) ;
results for polarized targets SJ & Van Orden, PRC 80 054001 (2009);
results for polarized ejectile protons SJ & Van Orden, PRC 81 014008 (2010)
- Theoretical error bands for calculations: SJ & Van Orden, PRC 95, 044001 (2017)

Beware: while many things (e.g. importance of FSIs) apply in general, the only way to know for sure is to **calculate an observable for the specific kinematics**

What may cause trouble?

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- Model building itself
 - E.g. no meson exchange current, no isobar states
 - Treatment of off-shell FSIs
 - Treatment of relativity
 - Off-shell effects in the current operator

- Input parameters
 - Nucleon form factors
 - Wave functions
 - NN interaction parametrizations

Details of the Calculation

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- Relativistic deuteron w. f.: solution of Gross eqn.
- one-body e.m. current $\Gamma^\mu(q) = F_1(Q^2)\gamma^\mu + \frac{F_2(Q^2)}{2m}i\sigma^{\mu\nu}q_\nu$
- SAID parameterization of the NN scattering amplitude used
- All parts of the NN amplitude included
 - Central
 - Spin-orbit
 - Double spin-flip

SAID analysis for pn scattering up to 1.3GeV, see Arndt, Briscoe, Strakovsky, Workman, Phys.Rev.C76:025209,2007

Nucleon-Nucleon Scattering Amplitude

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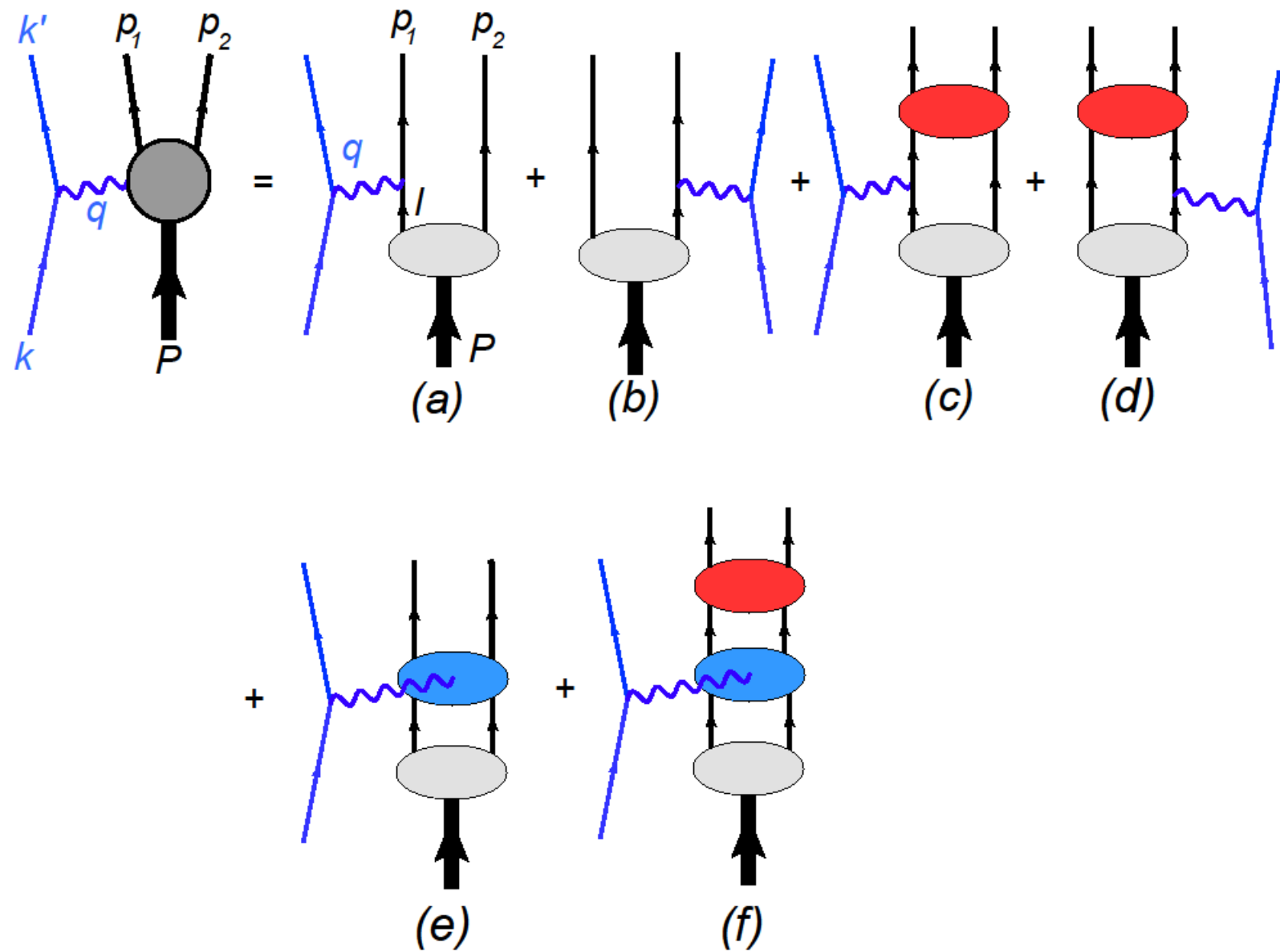
- SAID analysis for pn scattering **up to 1.3GeV**, see Arndt, Briscoe, Strakovsky, Workman, Phys.Rev.C76:025209,2007

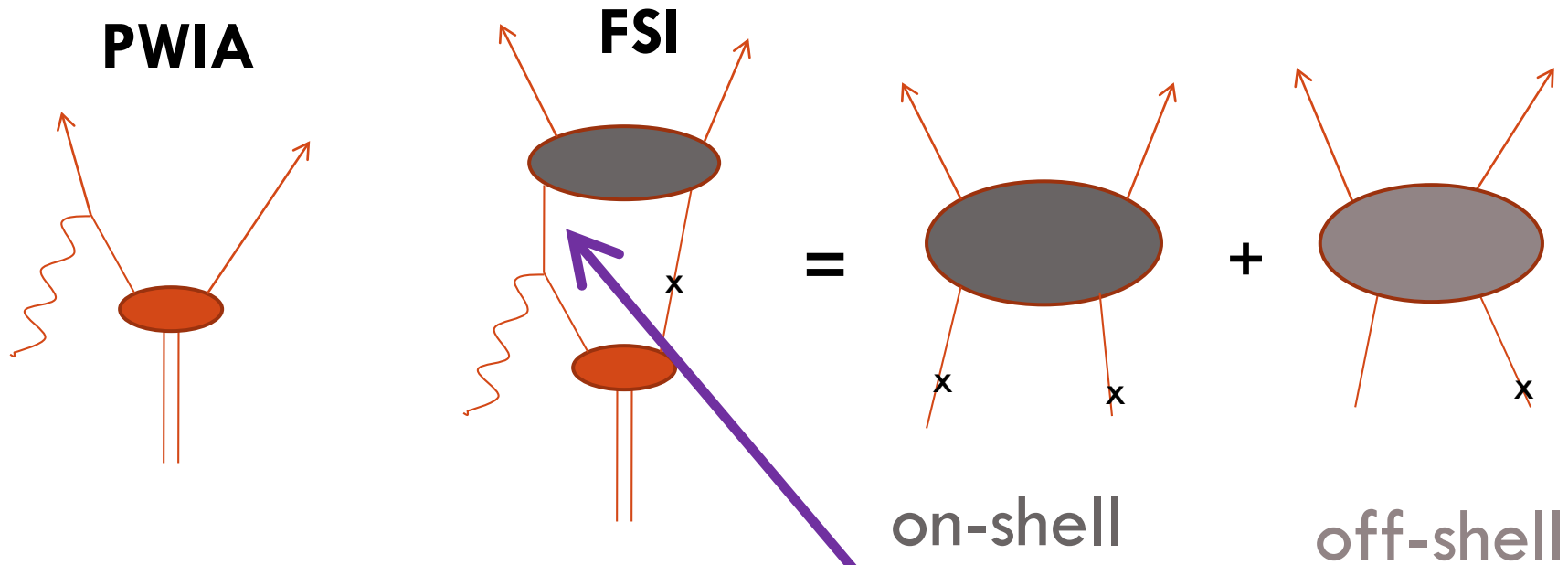
- Saclay amplitudes:

$$M(\vec{k}', \vec{k}) = \frac{1}{2} [(a + b) + (a - b)\sigma_{1,n}\sigma_{2,n} \\ + (c + d)\sigma_{1,m}\sigma_{2,m} + (c - d)\sigma_{1,l}\sigma_{2,l} + e(\sigma_{1,n} + \sigma_{2,n})]$$

- Invariant amplitudes (McNeil, Ray, Wallace)

$$F = F_S + F_V \gamma_1 \cdot \gamma_2 + F_T \sigma_1^{\mu\nu} \sigma_{2,\mu\nu} + F_P \gamma_1^5 \gamma_2^5 + F_A \gamma_1^5 \gamma_1^\mu \gamma_2^5 \gamma_{2\mu}$$





off-shell FSI, positive energy contribution:
 requires a dynamical model of the amplitude;
 we estimate it with a simple prescription

$$\frac{\not{p} + m}{p^2 - m^2 + i\epsilon} =$$

$$\frac{m}{E_p} \sum_s \left(\frac{u(\vec{p}, s)\bar{u}(\vec{p}, s)}{p^0 - E_p + i\epsilon} + \frac{v(-\vec{p}, s)\bar{v}(-\vec{p}, s)}{p^0 + E_p - i\epsilon} \right)$$

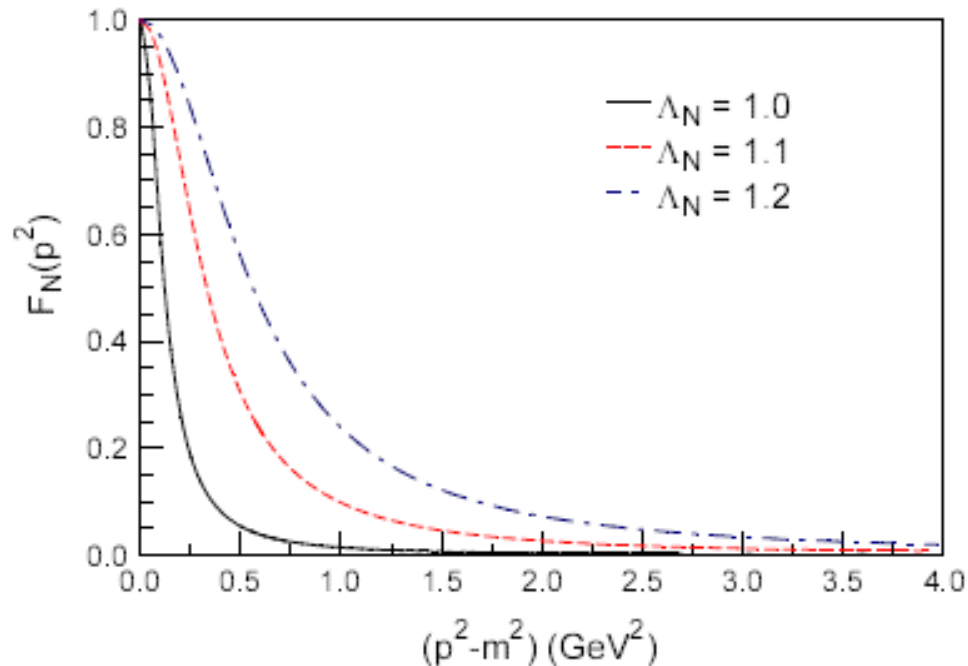
Positive Energy off-shell FSI prescription:

-retain the five on-shell invariants

$$\mathcal{F}_i(s, t) \rightarrow \mathcal{F}_i(s, t, u) F_N(s + t + u - 3m^2)$$

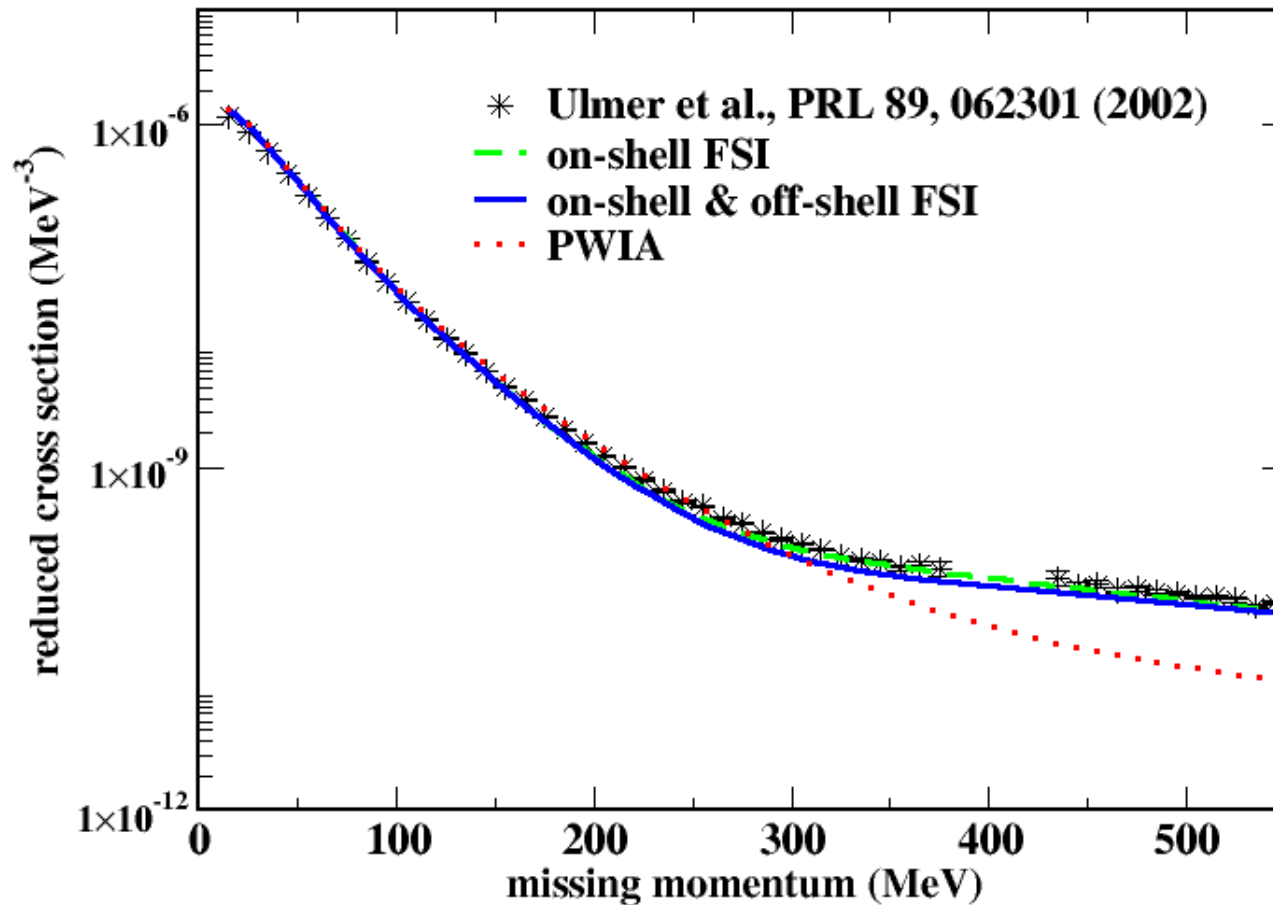
- use a form factor

$$F_N(p^2) = \frac{(\Lambda_N^2 - m^2)^2}{(p^2 - m^2)^2 + (\Lambda_N^2 - m^2)^2}$$



Diff. Cross Section Data from Hall A

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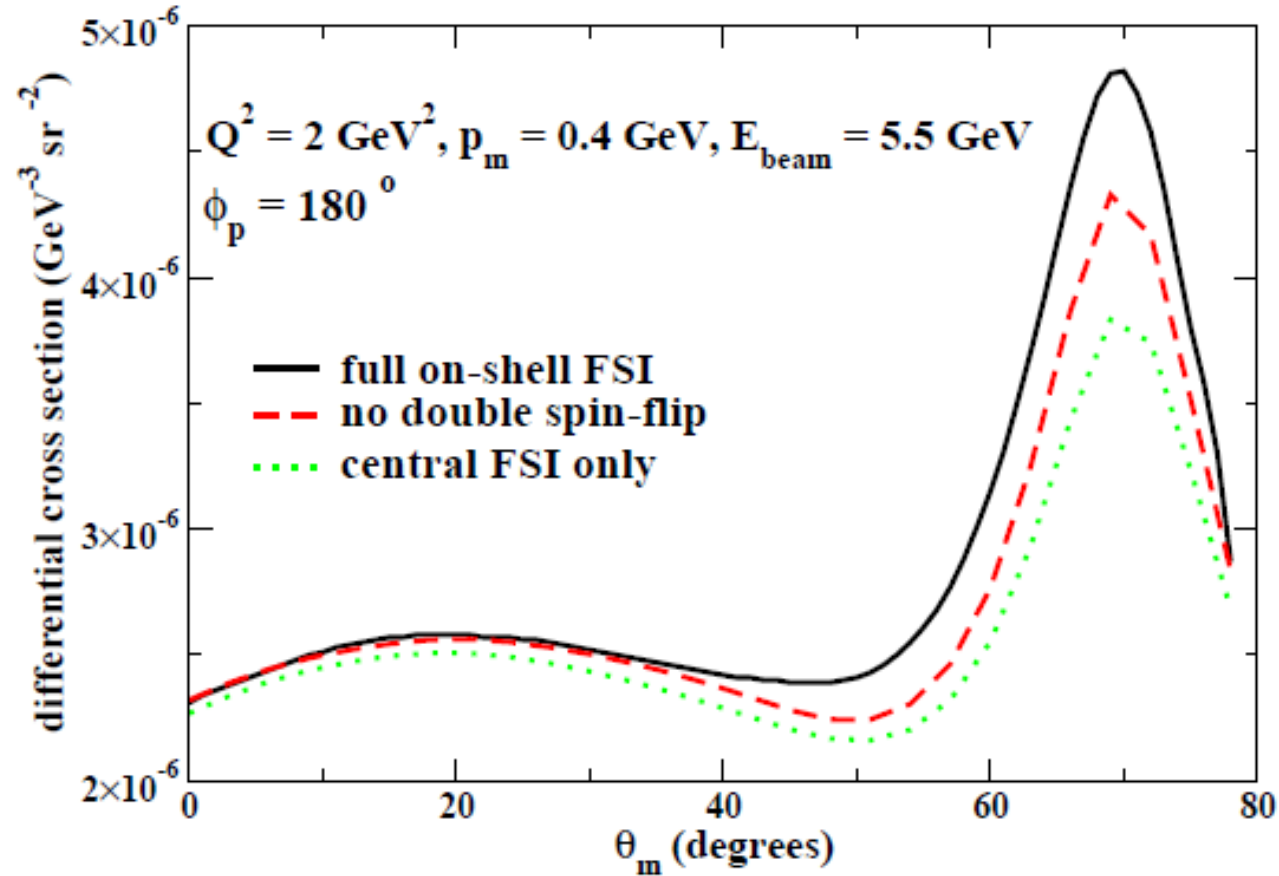


data: Ulmer et al,
PRL 89 (2002)

theory: SJ & JW Van Orden,
Phys.Rev.C78:014007, 2008

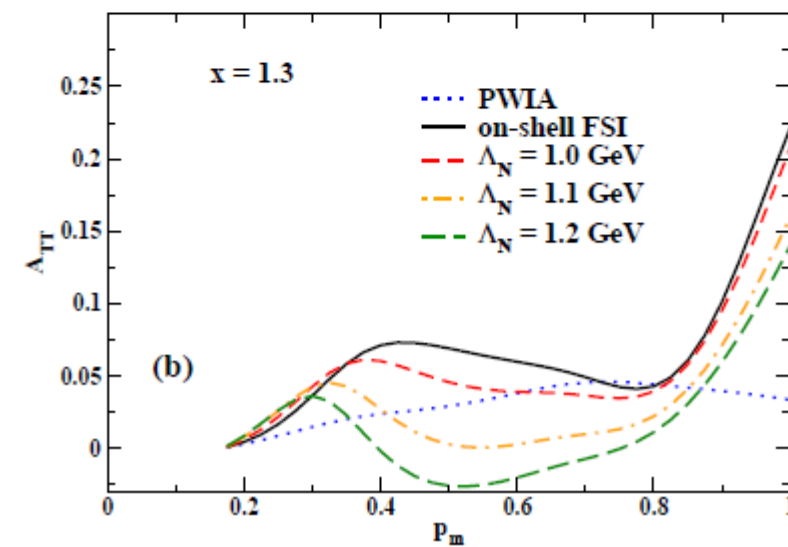
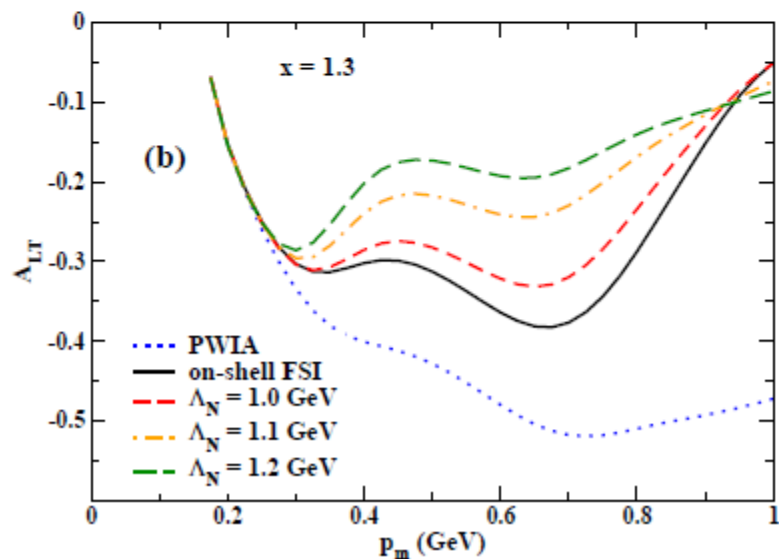
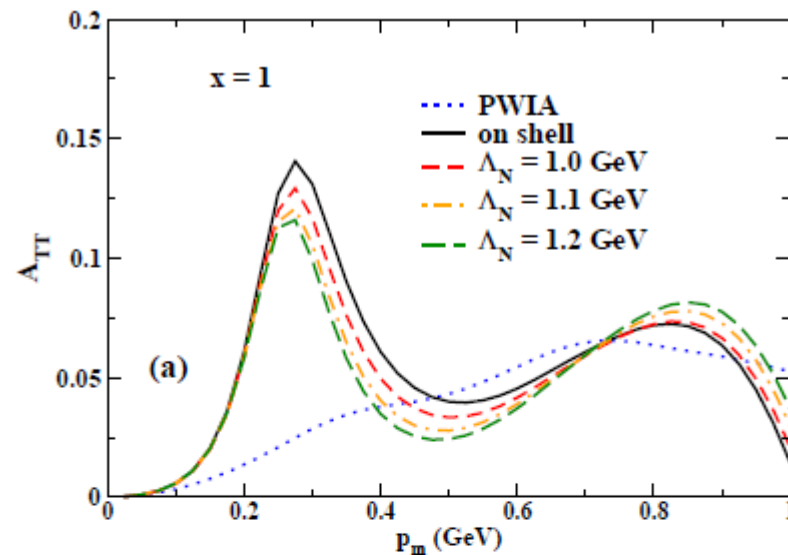
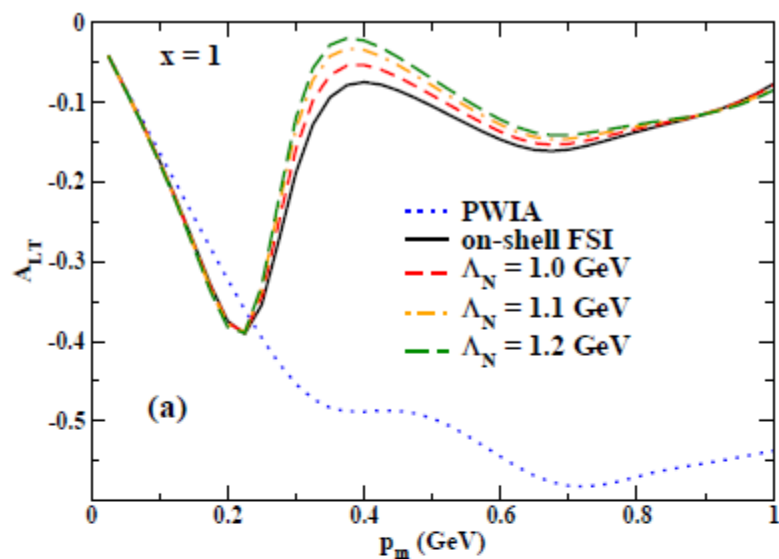
Influence of the NN amplitude

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Y-axis doesn't
include zero,
sorry!

Off-shell FSI Influence, Uncertainties



Summary: Unpolarized Targets

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- Calculation with full NN scattering amplitude employed
 - ▣ Spin-dependent terms are important
 - ▣ Uncertainty introduced due to cut-off for off-shell FSI and prescription for positive energy off-shell FSI
 - ▣ NN amplitudes **not** available for all Jefferson Lab (Jlab) kinematics

Polarized Deuteron Targets

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□ deuteron has spin 1, $M_j = -1, 0, +1$

□ deuteron can be **vector** polarized: $n_+ - n_-$

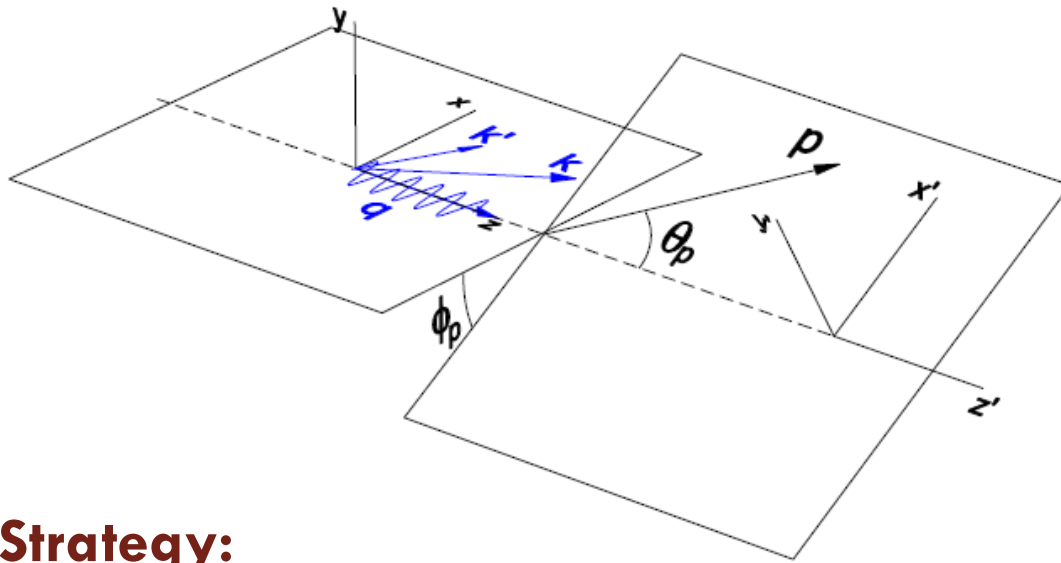
or **tensor** polarized: $n_+ + n_- - 2n_0$

□ **polarization axis**

▣ theorist's choice: along the three-momentum transfer \vec{q}

▣ experimentalist's choice: along the beam, along ...

SJ & Van Orden, PRC 80 054001 (2009)



Strategy:

- 1) define reduced responses in the **hadron plane**, this makes any Φ_p dependence **explicit**
- 2) use a **density matrix** to handle any type of deuteron polarization, e.g. T_{10} and T_{20}
- 3) **rotate** the density matrix to accommodate a **polarization axis** along the beam (or any other direction)

1) Define **reduced responses** in the **hadron plane**, this makes any Φ_p **dependence explicit**:

$$\begin{aligned}\bar{R}_L^{(I)}(\bar{D}) &= \sum_i \bar{R}_L^{(I)}(\tau_i^{(I)})\bar{T}_i^{(I)} = \bar{w}_{00}(\bar{D}) \\ \bar{R}_T^{(I)}(\bar{D}) &= \sum_i \bar{R}_T^{(I)}(\tau_i^{(I)})\bar{T}_i^{(I)} = \bar{w}_{1,1}(\bar{D}) + \bar{w}_{-1,-1}(\bar{D}) \\ \bar{R}_{TT}^{(I)}(\bar{D}) &= \sum_i \bar{R}_{TT}^{(I)}(\tau_i^{(I)})\bar{T}_i^{(I)} = 2\Re(\bar{w}_{1,-1}(\bar{D})) \\ \bar{R}_{TT}^{(II)}(\bar{D}) &= \sum_i \bar{R}_{TT}^{(II)}(\tau_i^{(II)})\bar{T}_i^{(II)} = 2\Im(\bar{w}_{1,-1}(\bar{D})) \\ \bar{R}_{LT}^{(I)}(\bar{D}) &= \sum_i \bar{R}_{LT}^{(I)}(\tau_i^{(I)})\bar{T}_i^{(I)} = -2\Re(\bar{w}_{01}(\bar{D}) - \bar{w}_{0-1}(\bar{D})) \\ \bar{R}_{LT}^{(II)}(\bar{D}) &= \sum_i \bar{R}_{LT}^{(II)}(\tau_i^{(II)})\bar{T}_i^{(II)} = 2\Im(\bar{w}_{01}(\bar{D}) + \bar{w}_{0-1}(\bar{D})) \\ \bar{R}_{LT'}^{(I)}(\bar{D}) &= \sum_i \bar{R}_{LT'}^{(I)}(\tau_i^{(I)})\bar{T}_i^{(I)} = 2\Im(\bar{w}_{01}(\bar{D}) - \bar{w}_{0-1}(\bar{D})) \\ \bar{R}_{LT'}^{(II)}(\bar{D}) &= \sum_i \bar{R}_{LT'}^{(II)}(\tau_i^{(II)})\bar{T}_i^{(II)} = -2\Re(\bar{w}_{01}(\bar{D}) + \bar{w}_{0-1}(\bar{D})) \\ \bar{R}_{T'}^{(II)}(\bar{D}) &= \sum_i \bar{R}_{T'}^{(II)}(\tau_i^{(II)})\bar{T}_i^{(II)} = \bar{w}_{1,1}(\bar{D}) - \bar{w}_{-1,-1}(\bar{D}),\end{aligned}$$

$$\begin{aligned}R_L(\bar{D}) &= \bar{R}_L^{(I)}(\bar{D}) \\ R_T(\bar{D}) &= \bar{R}_T^{(I)}(\bar{D}) \\ R_{TT}(\bar{D}) &= \bar{R}_{TT}^{(I)}(\bar{D}) \cos 2\phi_p + \bar{R}_{TT}^{(II)}(\bar{D}) \sin 2\phi_p \\ R_{LT}(\bar{D}) &= \bar{R}_{LT}^{(I)}(\bar{D}) \cos \phi_p + \bar{R}_{LT}^{(II)}(\bar{D}) \sin \phi_p \\ R_{LT'}(\bar{D}) &= \bar{R}_{LT'}^{(I)}(\bar{D}) \sin \phi_p + \bar{R}_{LT'}^{(II)}(\bar{D}) \cos \phi_p \\ R_{T'}(\bar{D}) &= \bar{R}_{T'}^{(II)}(\bar{D})\end{aligned}$$

The interference reduced responses are either real or imaginary parts of the hadronic tensor.

$$\bar{T}_i^{(I)} \in \{U, \Im(\bar{T}_{11}), \bar{T}_{20}, \Re(\bar{T}_{21}), \Re(\bar{T}_{22})\}$$

$$\bar{T}_i^{(II)} \in \{\bar{T}_{10}, \Re(\bar{T}_{11}), \Im(\bar{T}_{21}), \Im(\bar{T}_{22})\}$$

2) Use a **density matrix** to handle any type of deuteron polarization, e.g. T_{10} and T_{20}

$$\rho = \frac{1}{3} \begin{pmatrix} 1 + \sqrt{\frac{3}{2}} T_{10} + \frac{1}{\sqrt{2}} T_{20} & -\sqrt{\frac{3}{2}} (T_{11}^* + T_{21}^*) & \sqrt{3} T_{22}^* \\ -\sqrt{\frac{3}{2}} (T_{11} + T_{21}) & 1 - \sqrt{2} T_{20} & -\sqrt{\frac{3}{2}} (T_{11}^* - T_{21}^*) \\ \sqrt{3} T_{22} & -\sqrt{\frac{3}{2}} (T_{11} - T_{21}) & 1 - \sqrt{\frac{3}{2}} T_{10} + \frac{1}{\sqrt{2}} T_{20} \end{pmatrix}$$

T_{ij} : tensor polarization coefficients, experimental input

$$w_{\lambda'_\gamma, \lambda_\gamma}(D) = \sum_{s_1, s_2, \lambda_d, \lambda'_d} \langle \mathbf{p}_1 s_1; \mathbf{p}_2 s_2; (-) | J_{\lambda'_\gamma} | \mathbf{P} \lambda'_d \rangle^* \langle \mathbf{p}_1 s_1; \mathbf{p}_2 s_2; (-) | J_{\lambda_\gamma} | \mathbf{P} \lambda_d \rangle \rho_{\lambda_d \lambda'_d}$$

hadronic tensor, with the density matrix

3) **rotate** the density matrix

$$\bar{\rho}_{\lambda_d \lambda'_d} = \sum_{\Lambda \Lambda'} D_{\lambda_d \Lambda}^1(-\phi_p, \theta_{kq}, 0) D_{\lambda'_d \Lambda'}^1(-\phi_p, \theta_{kq}, 0) \tilde{\rho}_{\Lambda \Lambda'}^D$$

Target Polarization Observables (exclusive!)

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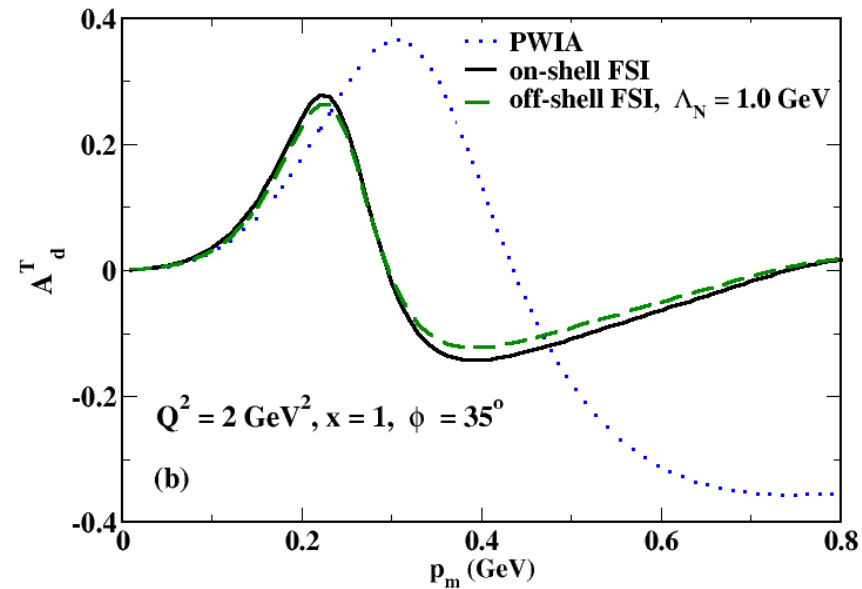
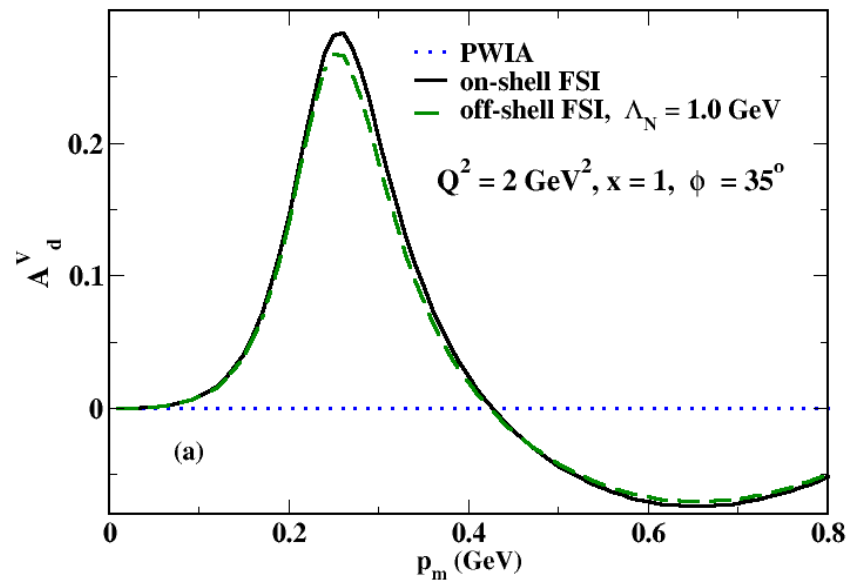
vector asym.: $A_d^V = \frac{v_L R_L(\tilde{T}_{10}) + v_T R_T(\tilde{T}_{10}) + v_{TT} R_{TT}(\tilde{T}_{10}) + v_{LT} R_{LT}(\tilde{T}_{10})}{\tilde{T}_{10} \Sigma}$

tensor asym.: $A_d^T = \frac{v_L R_L(\tilde{T}_{20}) + v_T R_T(\tilde{T}_{20}) + v_{TT} R_{TT}(\tilde{T}_{20}) + v_{LT} R_{LT}(\tilde{T}_{20})}{\tilde{T}_{20} \Sigma}$

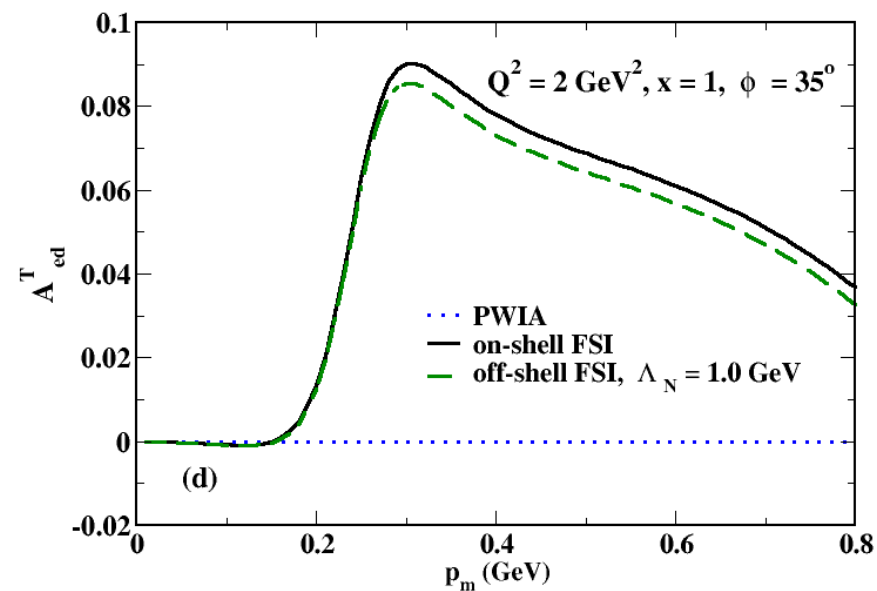
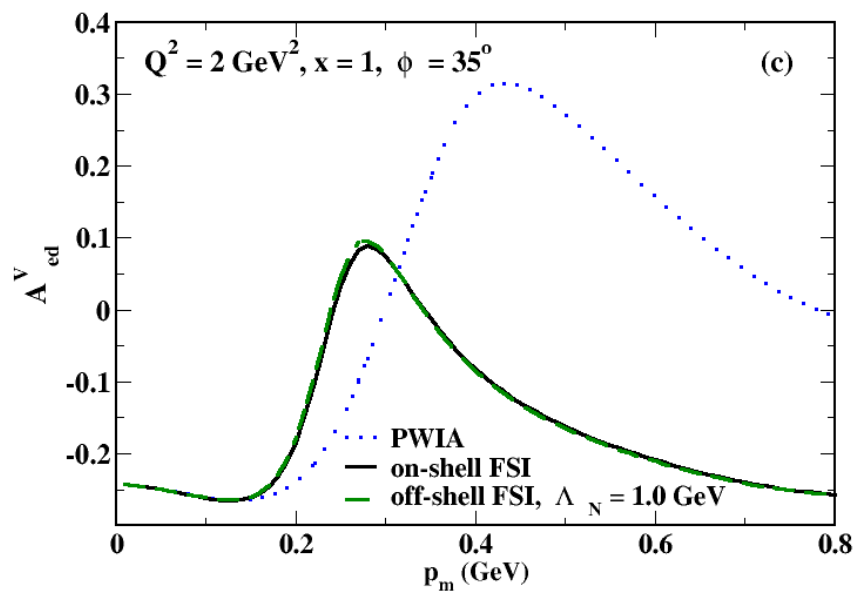
beam vector asym.: $A_{ed}^V = \frac{v_{LT'} R_{LT'}(\tilde{T}_{10}) + v_{T'} R_{T'}(\tilde{T}_{10})}{\tilde{T}_{10} \Sigma}$

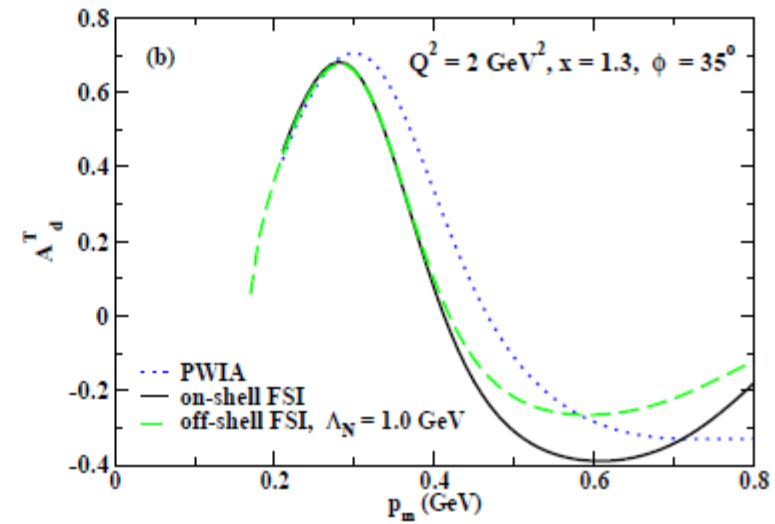
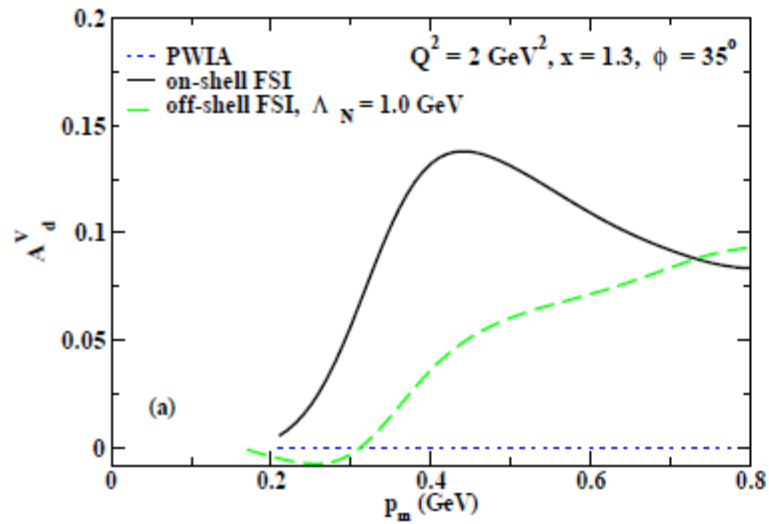
beam tensor asym.: $A_{ed}^T = \frac{v_{LT'} R_{LT'}(\tilde{T}_{20}) + v_{T'} R_{T'}(\tilde{T}_{20})}{\tilde{T}_{20} \Sigma}$

denominator, unpolarized: $\Sigma = v_L R_L(U) + v_T R_T(U) + v_{TT} R_{TT}(U) + v_{LT} R_{LT}(U)$

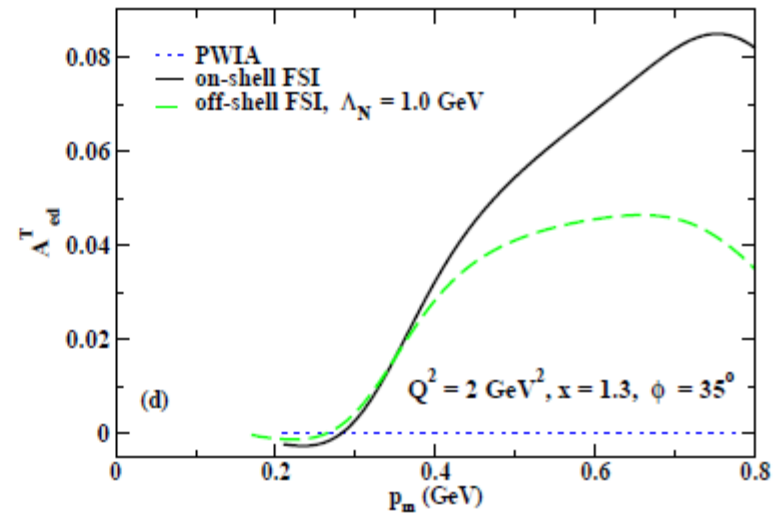
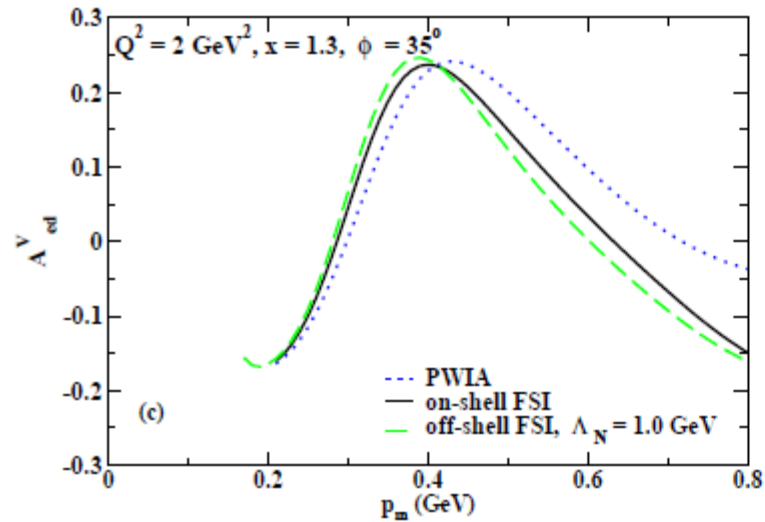


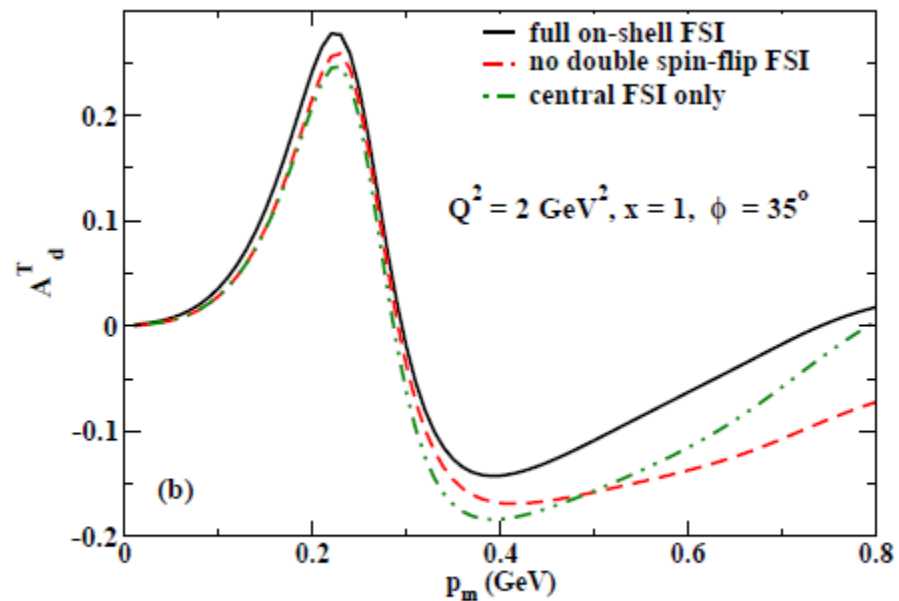
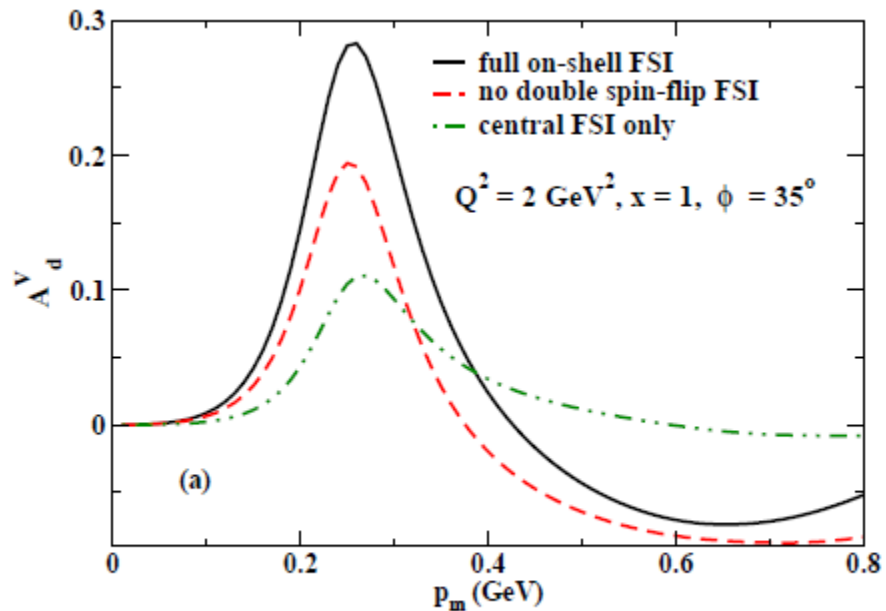
Momentum Distributions $x = 1, Q^2 = 2 \text{ GeV}^2$



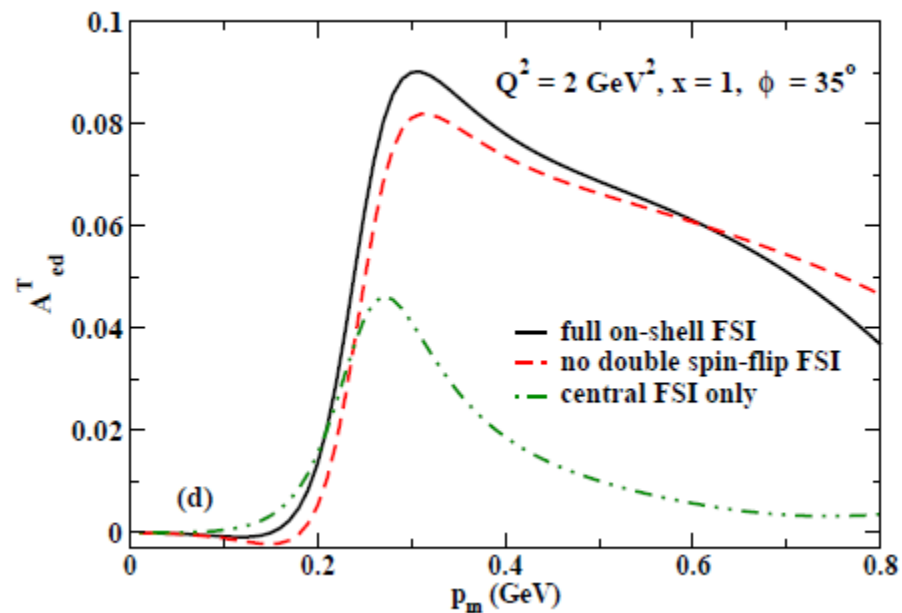
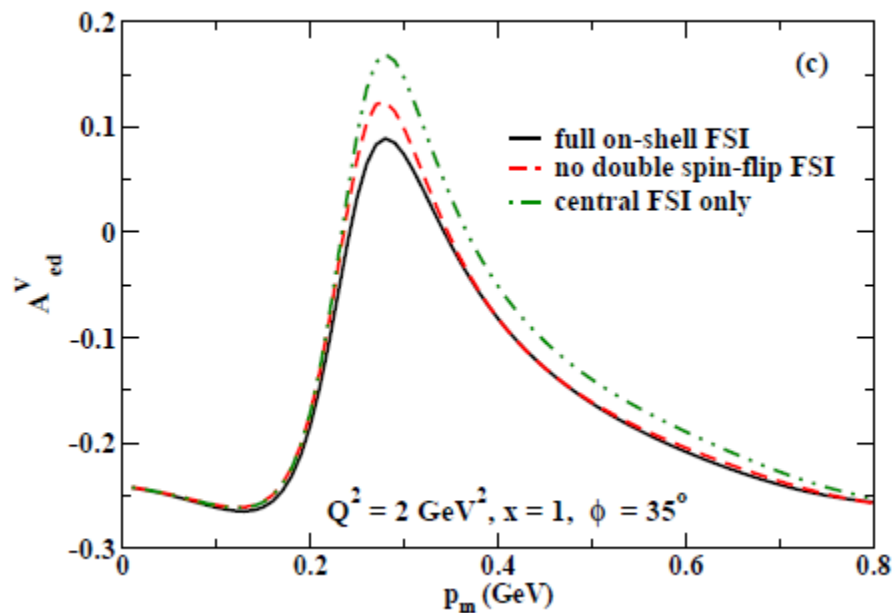


Momentum Distributions $x = 1.3, Q^2 = 2 \text{ GeV}^2$





Role of Spin-Dependent FSIs: Single Spin Flip and Double Spin Flip



Summary: Polarized Target

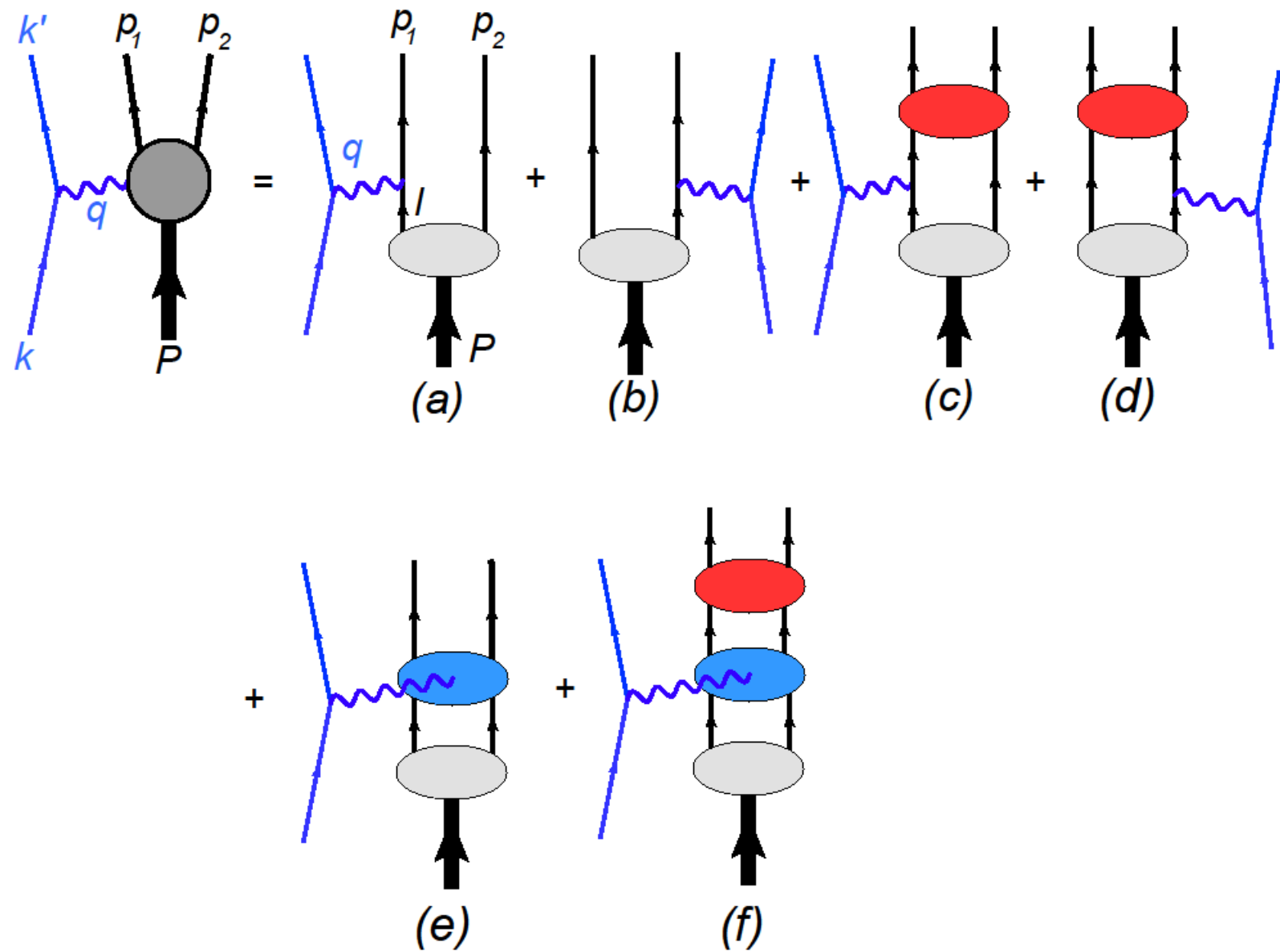
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- four asymmetries have been considered, two each are similar
- For our model, FSIs are hugely important, **just central FSIs are not enough – even in the quasi-elastic ($x = 1$) region**
- FSIs and ground state information are entangled
- Wishlist: measurement of A_d^v or A_{ed}^T at larger x

Role of Inputs into the Model

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- NN scattering amplitude parametrizations
- Nucleon form factor parametrizations
- Deuteron wave functions



Factorization – why A_d^T is so cool I

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If we neglect p waves and only consider PWIA (graph (a)), then PRC 90, 064006 (2014) and PRC 95, 044001 (2017):

$$\begin{aligned} (A_d^T)_{\text{factored}} &= \frac{n_+^{20}(\mathbf{p})T_{20} + \Re(n_+^{21}(\mathbf{p}))\Re(T_{21}) + \Re(n_+^{22}(\mathbf{p}))\Re(T_{22})}{n_+^{00}(\mathbf{p})\tilde{T}_{20}} \\ &= \frac{n_+^{20}(\mathbf{p})\frac{1}{4}(1 + 3 \cos 2\theta_{kq}) + \Re(n_+^{21}(\mathbf{p}))\sqrt{\frac{3}{8}} \sin 2\theta_{kq} + \Re(n_+^{22}(\mathbf{p}))\sqrt{\frac{3}{32}}(1 - \cos 2\theta_{kq})}{n_+^{00}(\mathbf{p})} \\ &= -\sqrt{\frac{2\pi}{5}} \frac{2\Psi_1^2(\mathbf{p}) - \Psi_3^2(\mathbf{p})}{\Psi_1^2(\mathbf{p}) + \Psi_3^2(\mathbf{p})} \Xi(\theta_m, \phi, \theta_{kq}) \end{aligned}$$

Factorization – why A_d^T is so cool II

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Define a reduced tensor asymmetry a_d^T

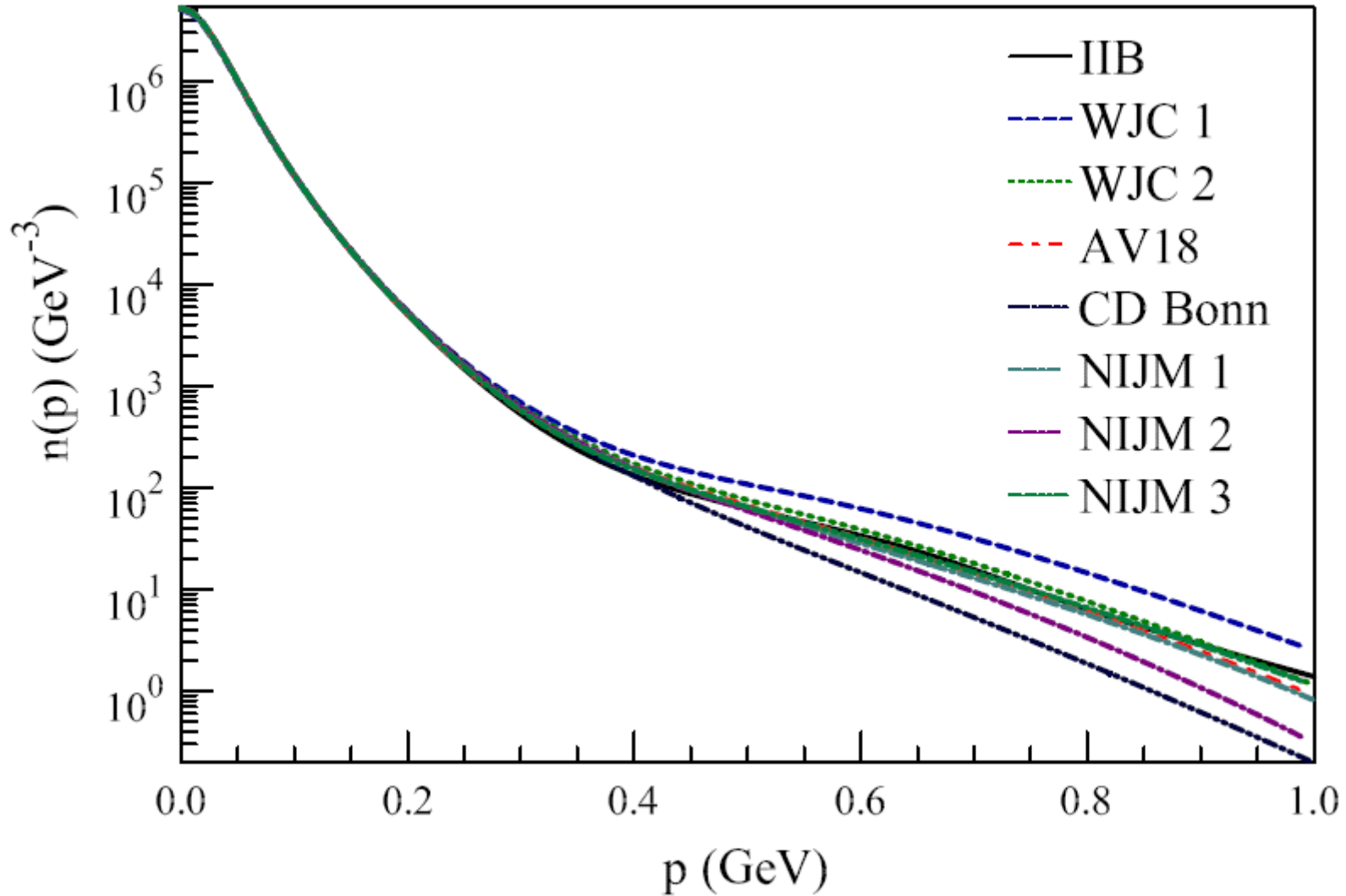
$$a_d^T = \frac{A_d^T}{\Xi(\theta_m, \phi, \theta_{kq})}$$

If we neglect p waves and only consider PWIA (graph (a)), then (PRC 95, 044001 (2017):

$$(a_d^T)_{\text{factored}} = -\sqrt{\frac{2\pi}{5}} \frac{w(p)(2\sqrt{2}u(p) + w(p))}{u^2(p) + w^2(p)}$$

Wave Functions

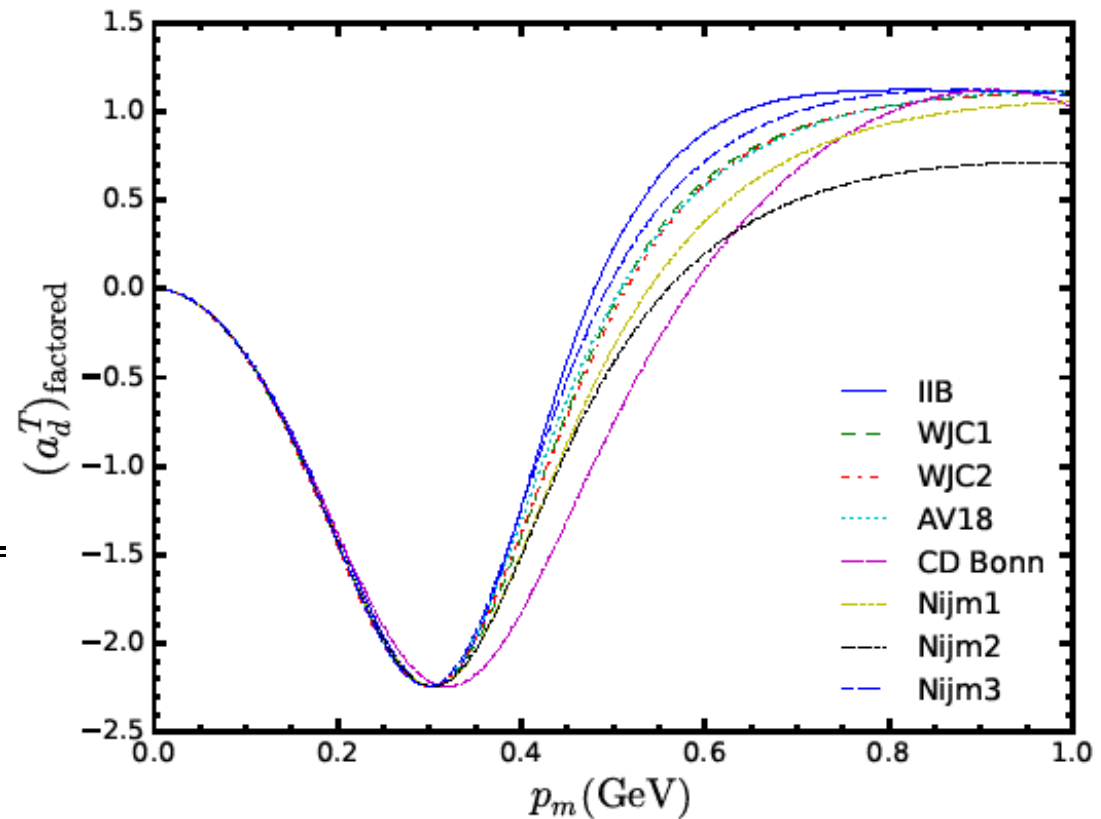
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D-wave probabilities and a_d^T reduced

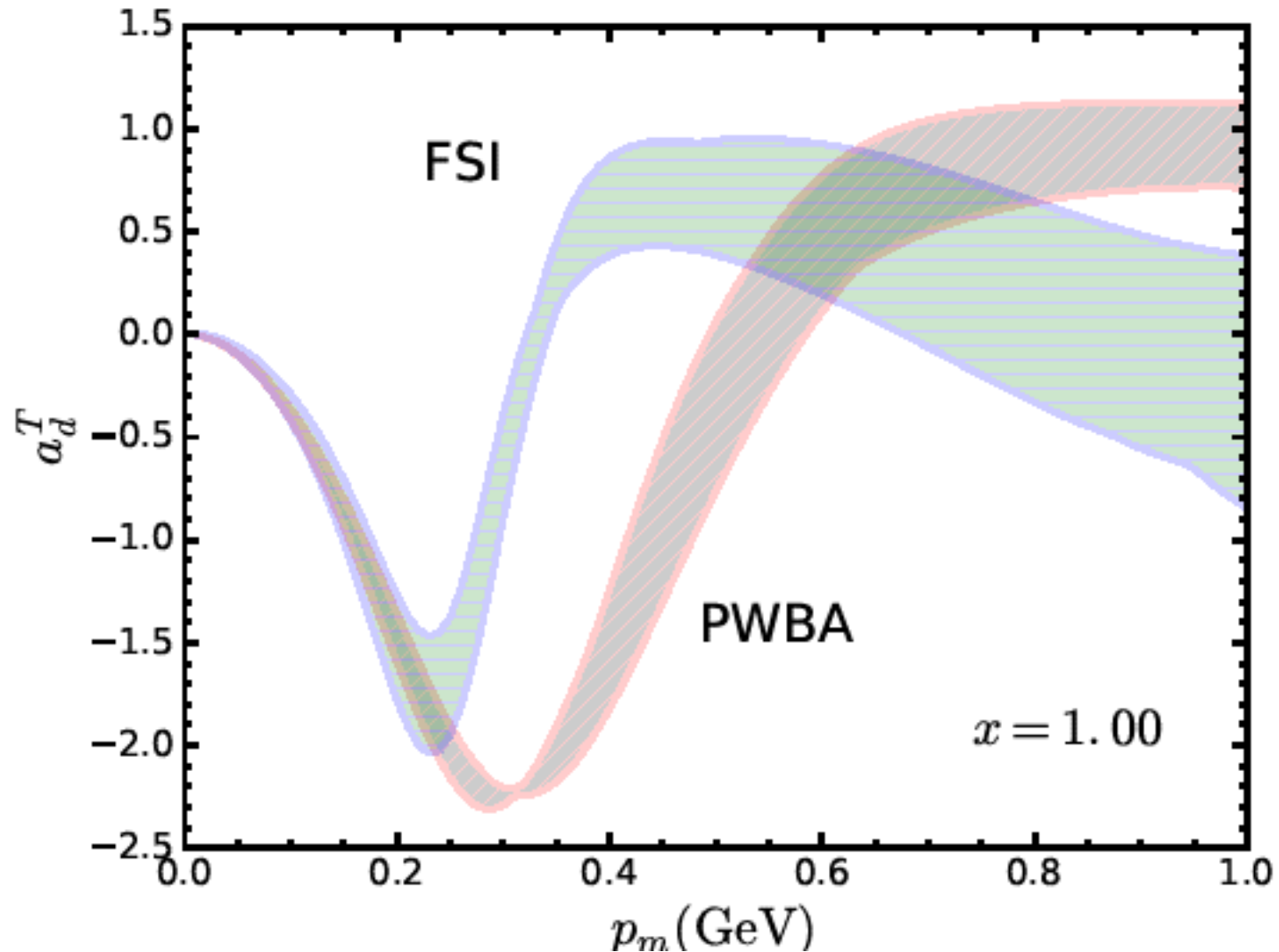
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	s wave	d wave	triplet p wave	singlet p wave
IIB	94.82%	5.11%	0.06%	0.01%
WJC 1	92.33%	7.34%	0.11%	
WJC 2	93.60%	6.38%	0.01%	
AV18	94.24%	5.76%	0.00%	
CD Bonn	95.15%	4.85%	0.00%	
NIJM 1	94.25%	5.75%	0.00%	
NIJM 2	94.32%	5.68%	0.00%	
NIJM 3	94.35%	5.65%	0.00%	



Calculations with FSI and in BA

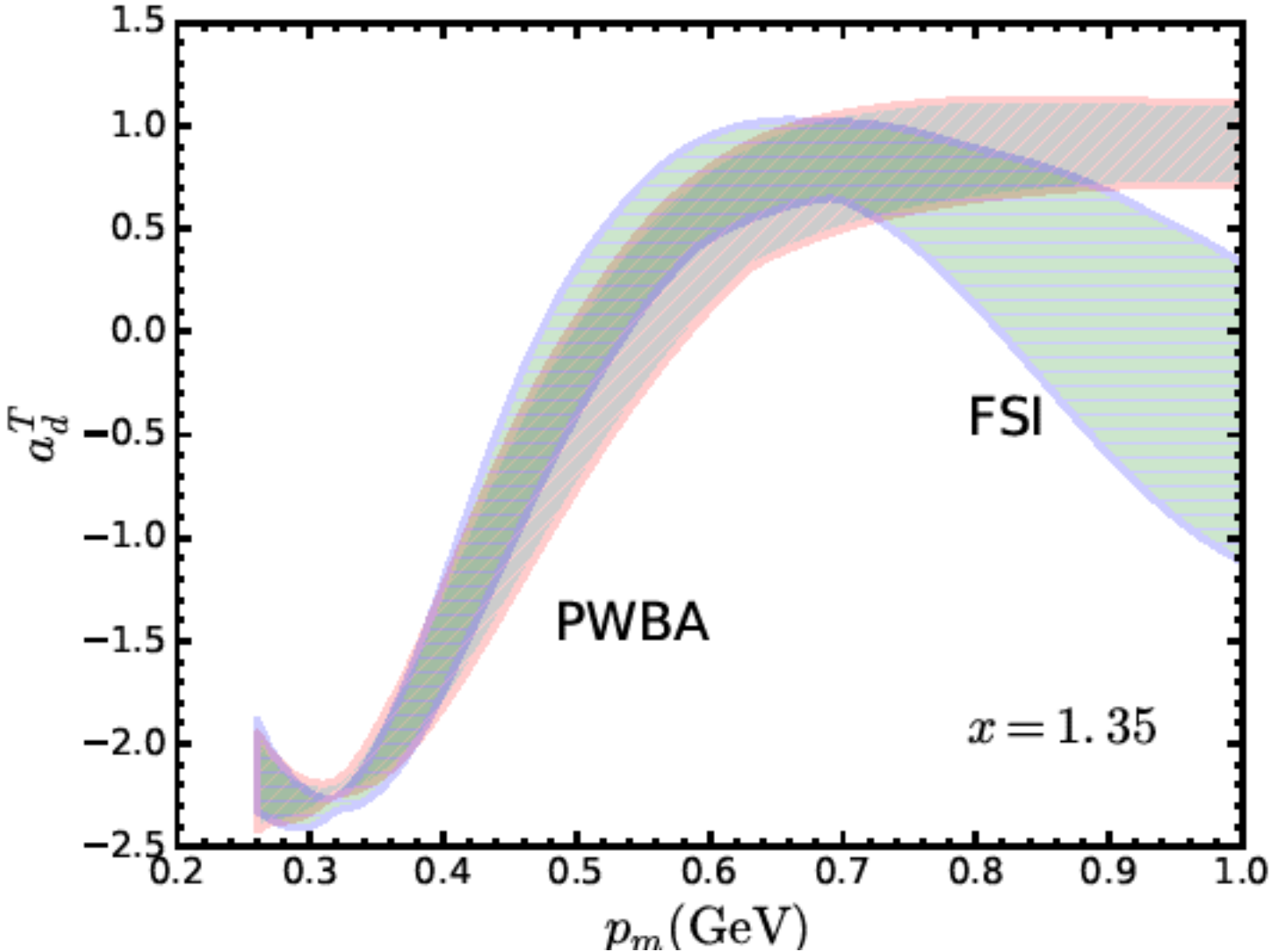
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Error bands include

- Nucleon Form Factor params (3)
- Wave functions (8)
- FSI with SAID NN and with Regge model parametrization (2)

Calculations with FSI and in BA



Summary

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- In PWIA, neglecting p-waves, A_d^T factorizes, looks as if d-wave information is accessible
- Factorized a_d^T is NOT proportional to d wave content of the wave function
- theory calculations (should) come with a theory error bar/envelope – apart from actual model issues
- Calculations with FSI in Born approximation clearly break the factorization