



A fine measurement of the Pauli Exclusion Principle with VIP-2

4 July 2023
Alessio Porcelli

COLMO

Quantum collapse models investigated with particle, nuclear,
atomic and macro systems workshop

Why Pauli Exclusion Principle?



Why Pauli Exclusion Principle?

**WE DON'T KNOW WHY
Fermi-Dirac and Bose-Einstein
are distinct**



Beyond Standard Model...

Reasons of Pauli's Exclusion Principle (PEP)

- ◆ **Particle nature? Green's general quantum field:** paronic particles
 - ◆ Order 1: fermionic/bosonic fields
 - ◆ Order >1 : parafermionic/parabosonic fields
 - ◆ **Messiah-Greenberg Super-Selection:** no fermion/boson decays into parafermion/paraboson (and vice-versa)
 - ◆ **Paronic:** a mixture of fermionic/bosonic and parafermionic/parabosonic states



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- ◆ **Interactions result? Non-Commutative Quantum Gravity**
 - ◆ **θ -Poincaré:** distortion of Lorentz symmetry (visible in a two identical particles system)

$$|\alpha, \alpha\rangle = \langle a^\dagger, \alpha \rangle \langle a^\dagger, \alpha \rangle |0\rangle = \int \frac{d^d p_1}{2p_{10}} \frac{d^d p_2}{2p_{20}} e^{-\frac{i}{2} p_{1\mu} \theta^{\mu\nu} p_{2\nu}} \alpha(p_1) c^\dagger(p_1) \alpha(p_2) c^\dagger(p_2)$$



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$$\theta_{\mu\nu} = \begin{pmatrix} \theta_{00} & \theta_{0i} \\ \theta_{j0} & \theta_{ji} \end{pmatrix}$$



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Space distortion



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Time	θ_{00}	θ_{0i}	Space-Time mix distortion $(\theta_{j0} = \theta_{0i})$
$\theta_{\mu\nu} =$	θ_{j0}	θ_{ji}	



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$\theta_{\mu\nu} =$	θ_{00}	θ_{0i}	<p style="color: orange;">Space-Time mix distortion ($\theta_{j0} = \theta_{0i}$)</p> <p style="color: green;">Space distortion</p>
	θ_{j0}	θ_{ji}	

Magnetic Scenario: $\theta_{0i} = 0$
only space-sector distortions

Electric Scenario: $\theta_{0i} \neq 0$
also space-time mixing



Signature

Anti-/symmetric commutativity with a coefficient β

$$a^\dagger |0\rangle = |1\rangle \quad a^\dagger |1\rangle = \beta |2\rangle \quad a^\dagger |2\rangle = 0$$

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In a system of two fermions (i.e., two electrons),
PEP is violated with an amplitude probability of $\beta^2/2$



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...but for NCQC and Quon algebra connection we use $\delta^2 = \beta^2/2$ instead:

$$a_i a_j^\dagger - q(E) a_j^\dagger a_i = \delta_{ij}$$

$$\text{with } q(E) = 2\delta(E)^2 - 1$$



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$$\delta^2 \propto \frac{1}{\Lambda^2}$$

(different for the two θ_{0i} scenarios)



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[Further details in Fabrizio Napolitano's Talk]



How about so far?

- ◆ **Ramberg and Snow (1988):** $\beta^2/2 \lesssim 10^{-26}$
- ◆ **DAMA (2009):** $\beta^2/2 \lesssim 10^{-47}$
- ◆ **Borexino (2011):** $\beta^2/2 \lesssim 10^{-60}$

Models scenarios implications

Democratic scenario

all type of particles have the same degree of violation β



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- ◆ **Ramberg and Snow (1988):** $\beta^2/2 \lesssim 10^{-26}$, lepton–lepton case
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Despotic scenario

each type of particle has its degree of violation β_i



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X-Rays

Ejected K-shell electron

Incident radiation

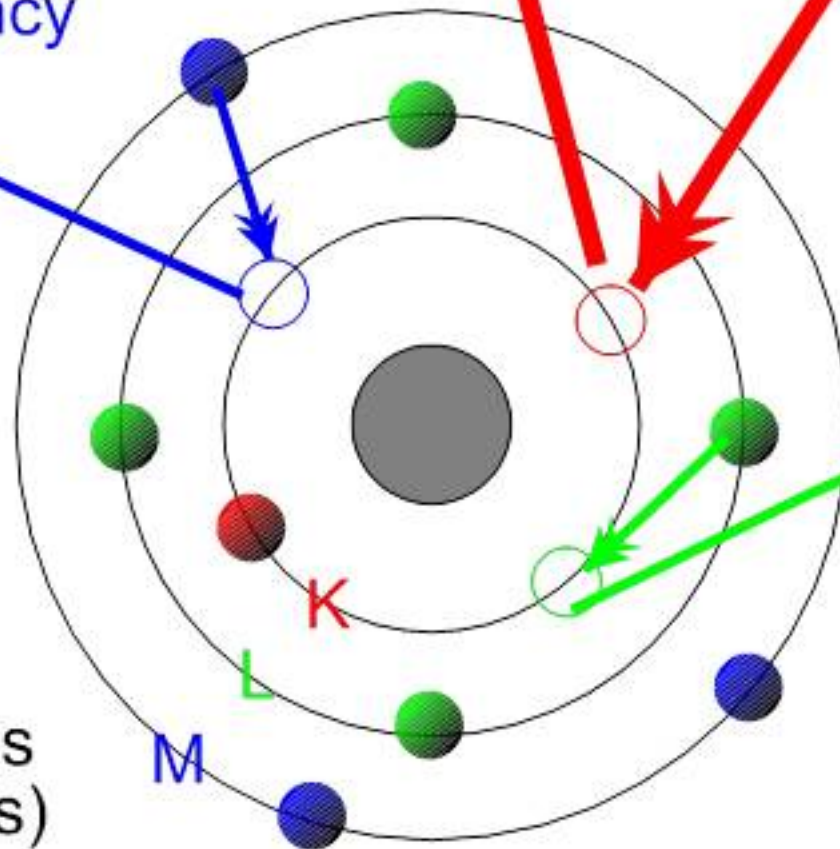
M-shell electron
fills vacancy

L-shell electron
fills vacancy

K_{β} x-ray emitted

K_{α} x-ray emitted

Shells
(orbits)



X-Rays

Ejected K-shell electron

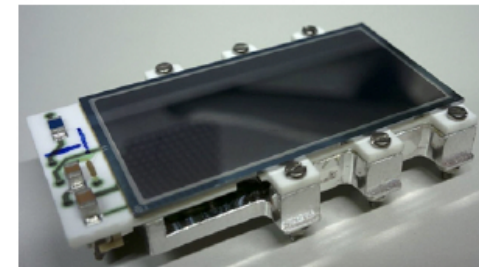
Incident radiation

M-shell electron
fills vacancy

**Silicon
Drift
Detector
(SDD)**

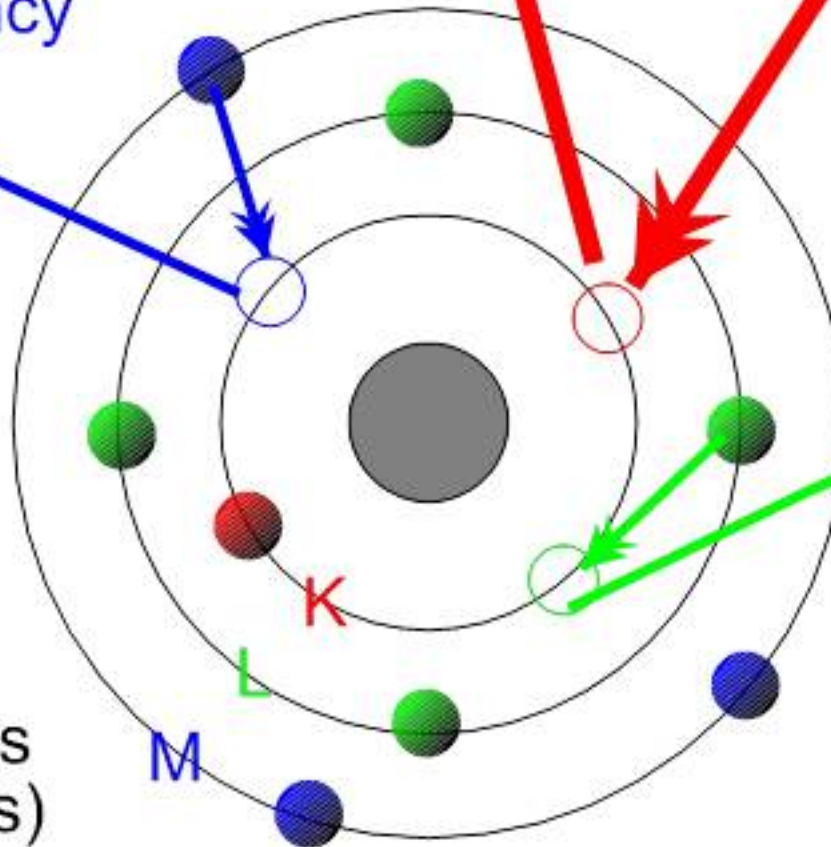
K_{β} x-ray emitted

L-shell electron
fills vacancy

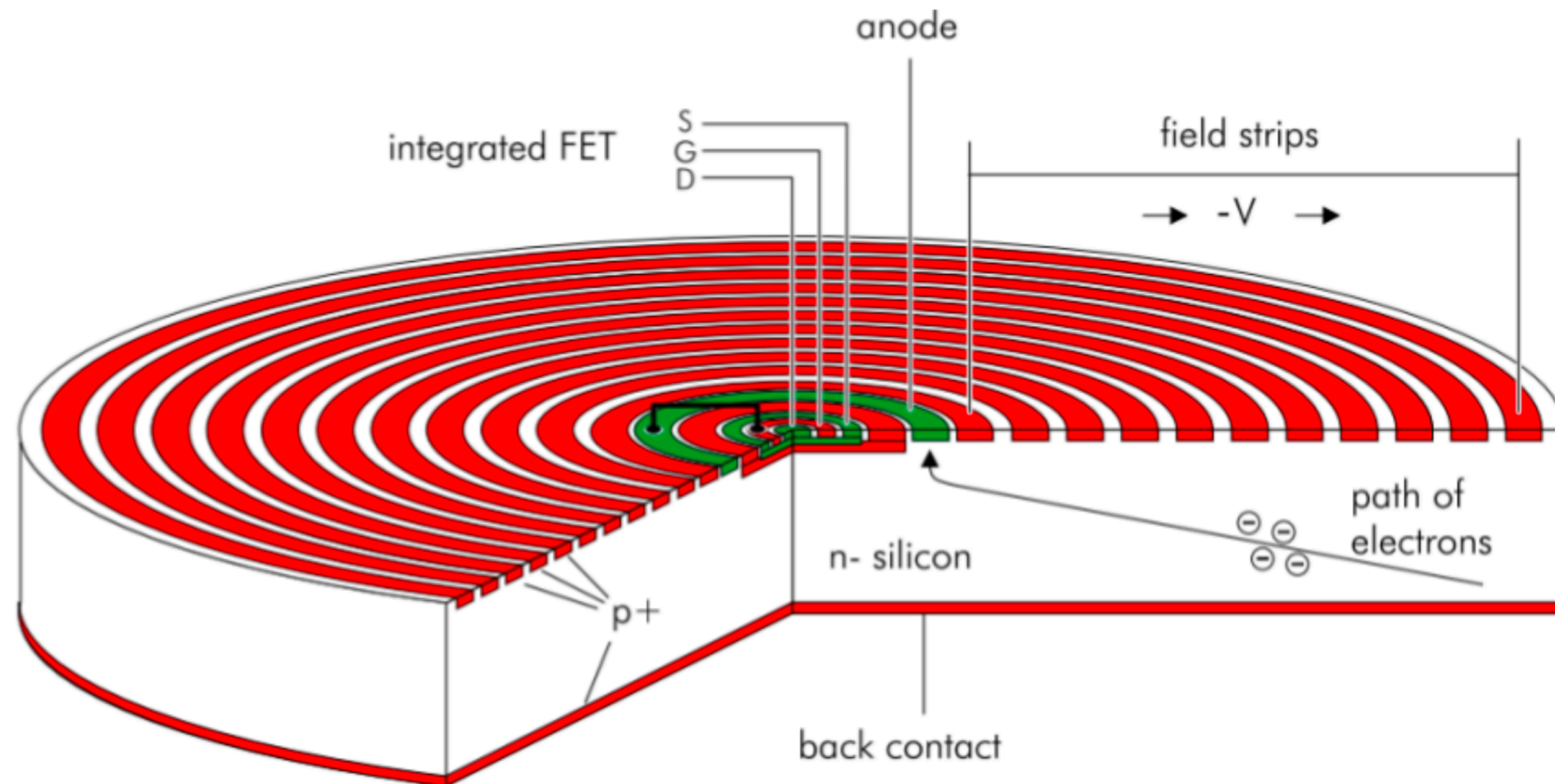


K_{α} x-ray emitted

Shells
(orbits)



SDDs



- ◆ Based on sideward depletion
- ◆ Charge particle or photon hits the silicon wafer
 - ◆ electron-hole pairs are generated
 - ◆ free electrons move to the anode following the lower potential due to the concentric electrodes
- ◆ The amount of charge collected by the anode is proportional to the energy of the radiation (X-Rays range)



VIP group

Violation of Pauli Exclusion Principle



Open Systems
testing newly injected
electrons

Close System
testing spontaneous
emissions



VIP



VIP-2



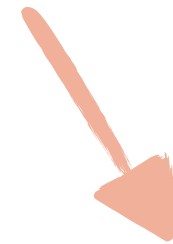
VIP-3



GATOR



VIP-Lead



BEGe



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Ramberg and Snow (1988)

$$\beta^2/2 \lesssim 10^{-26}$$

$$\beta^2/2 \lesssim 4.7 \cdot 10^{-29}$$

VIP

[publishing soon] **VIP-2**

[Future] **VIP-3**

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[see Fabrizio Napolitano's]



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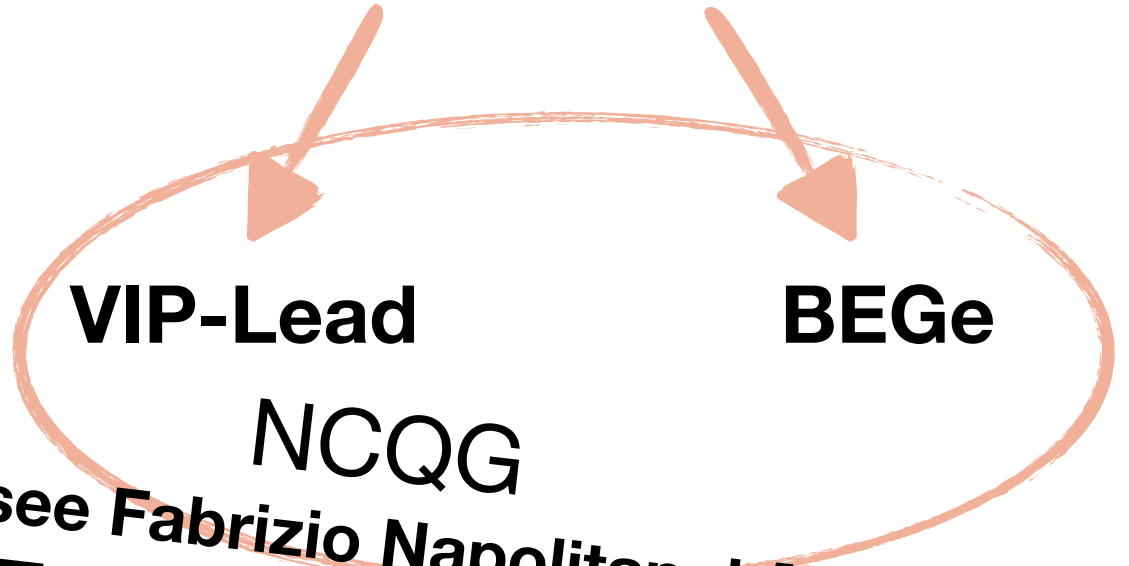
VIP

[publishing soon] **VIP-2**

[Future]

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[see Fabrizio Napolitano's]
+Wave Function Collapse (CSL, DP)
[see Kristian Piscicchia's talks]



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[THIS WORK] VIP-2



[Future] **VIP-3** **GATOR**



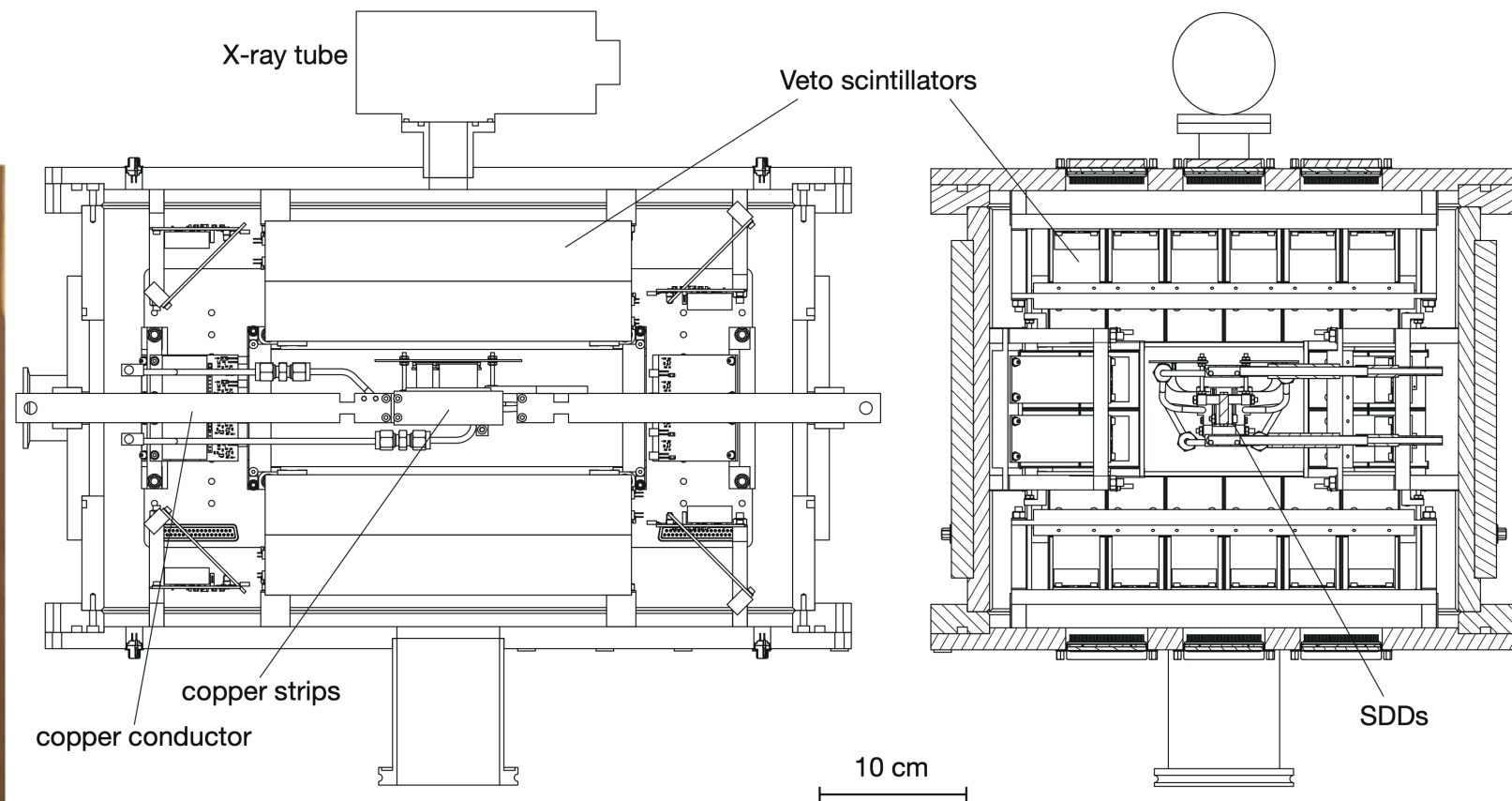
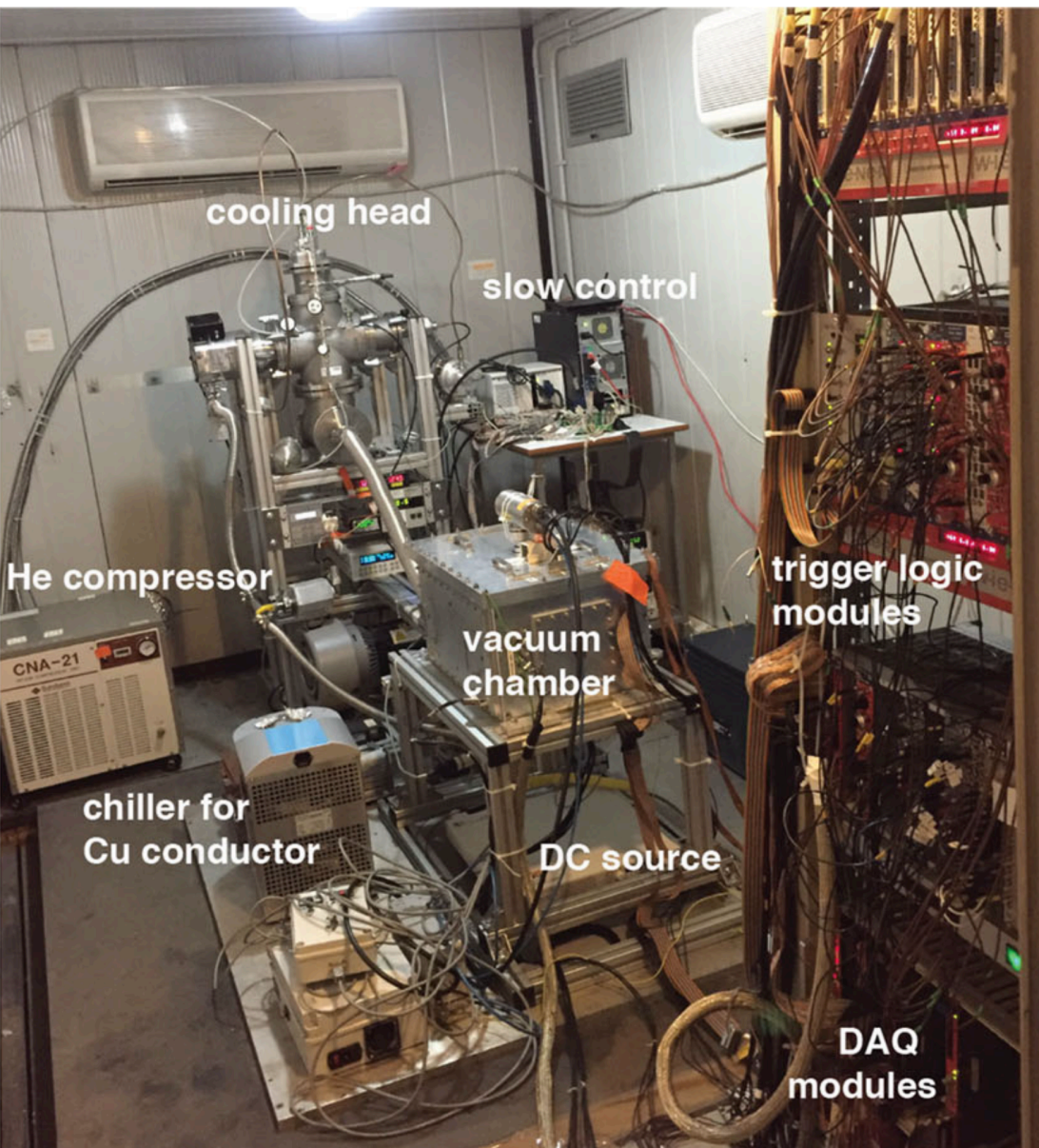
Close System
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*[see Fabrizio Napolitano's]
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VIP-2

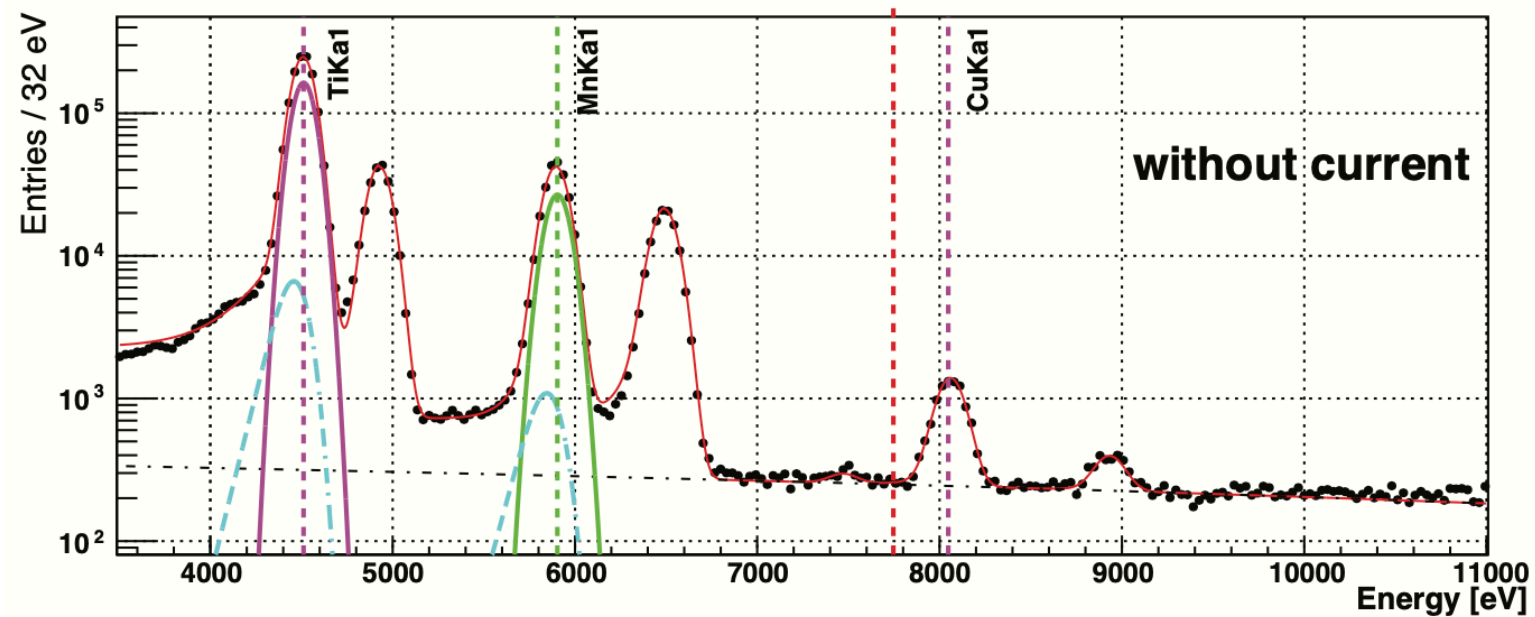
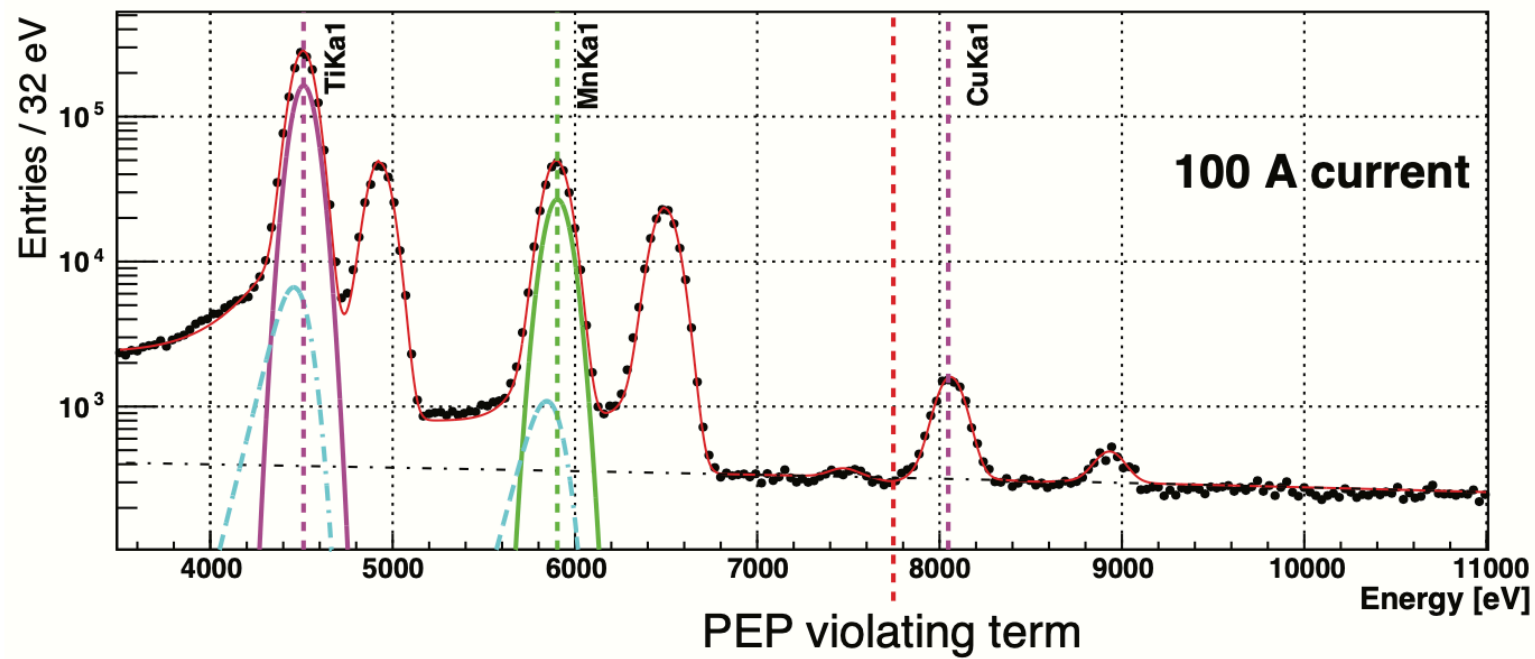


- ◆ **Target: Copper strips**
- ◆ **WITHOUT CURRENT** configuration: regime case (stable states: background)
- ◆ **WITH CURRENT** configuration (180 A): dynamic case (PEP violation through electron capture)
- ◆ **SDD:** 32 detectors by SDDs, stably kept @ -170_{-0}^{+1} °C even with the current in Cu
- ◆ **@LNGS Underground** (beneath Gran Sasso Mountain – IT): ~1400 m of rock shielding



Calibration

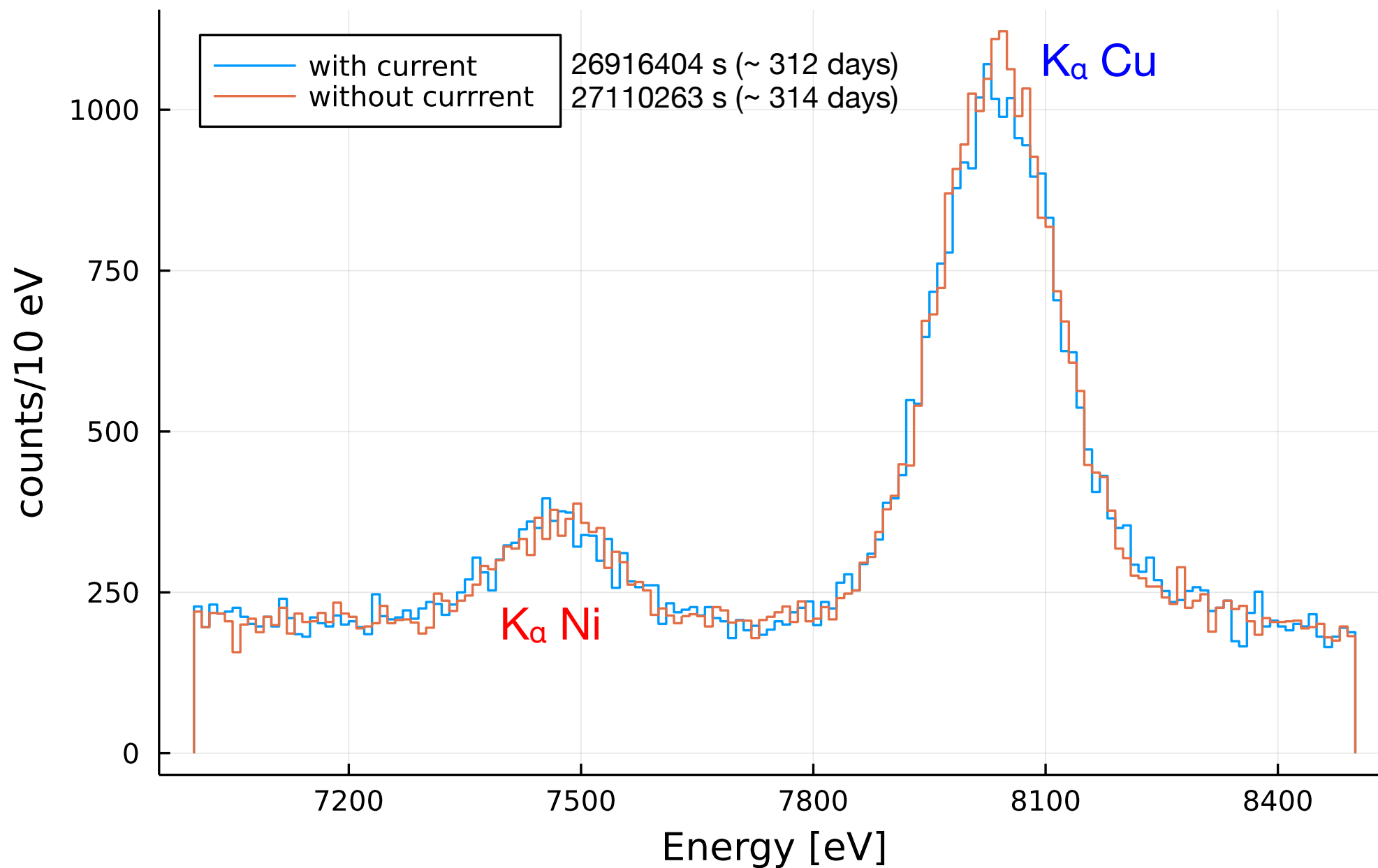
Fe-55 source, with a 25 μm thick Titanium foil



[hyperbolic calibration]

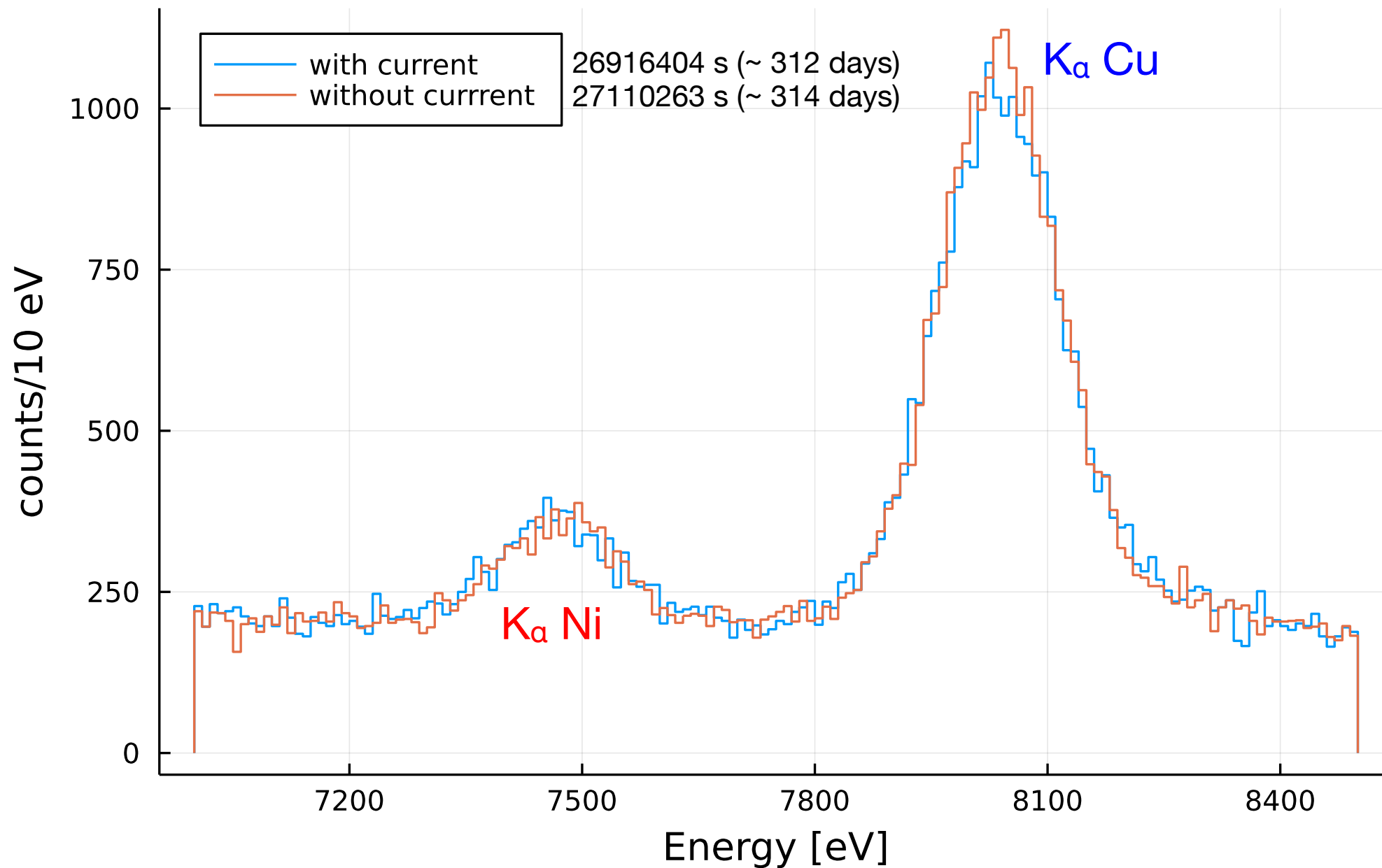


Data model



Data model

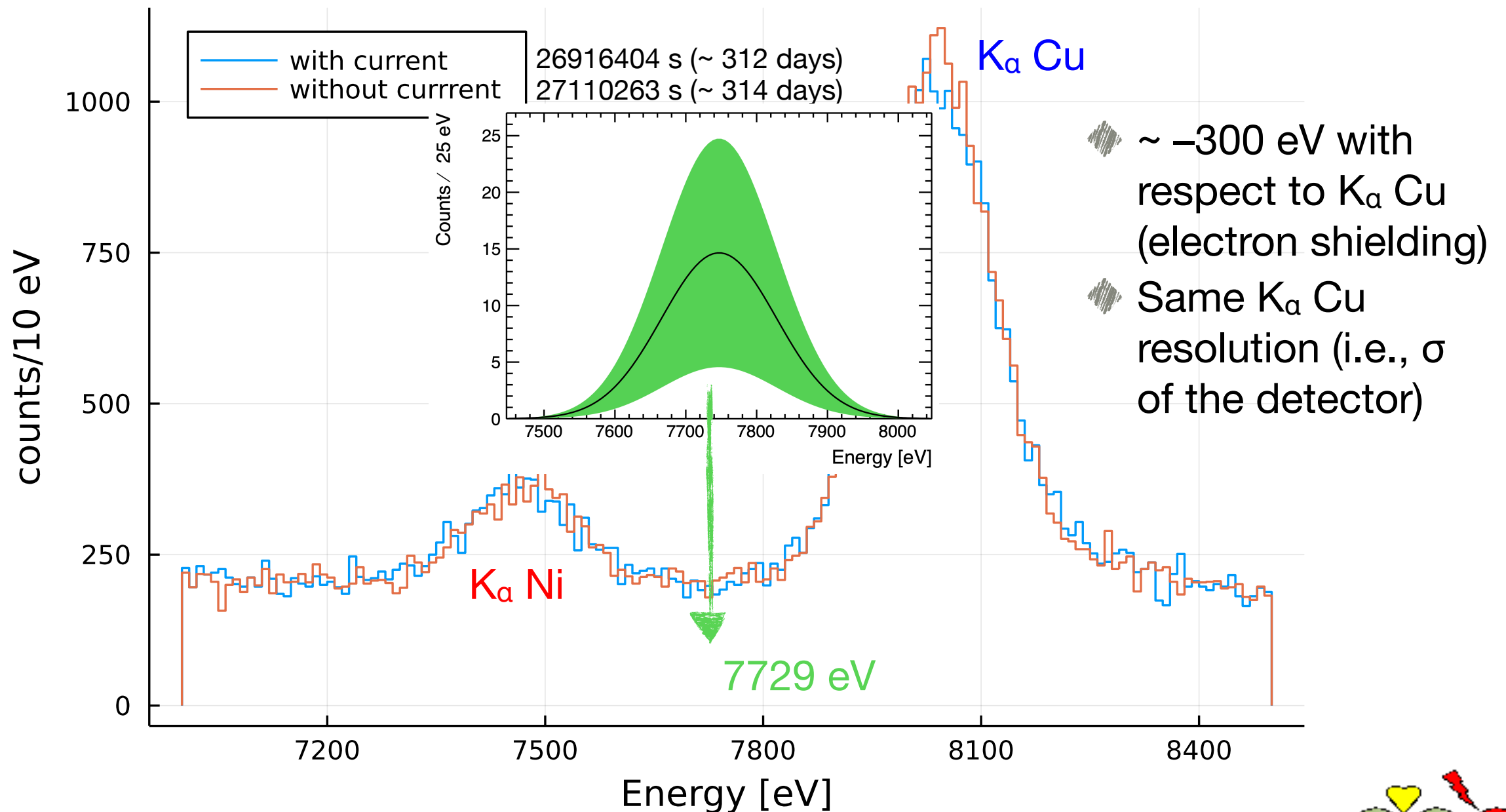
$$\mathcal{F}^{woc}(\theta, y) = y_1 \times Ni(\theta_1, \theta_2) + y_2 \times Cu(\theta_3, \theta_4) + y_3 \times pol_1(\theta_5)$$



Data model

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$$\mathcal{F}^{wc}(\theta, y, \mathcal{S}) = y_1 \times Ni(\theta_1, \theta_2) + y_2 \times Cu(\theta_3, \theta_4) + y_3 \times pol_1(\theta_5) + \mathcal{S} \times PEPV(\theta_4)$$

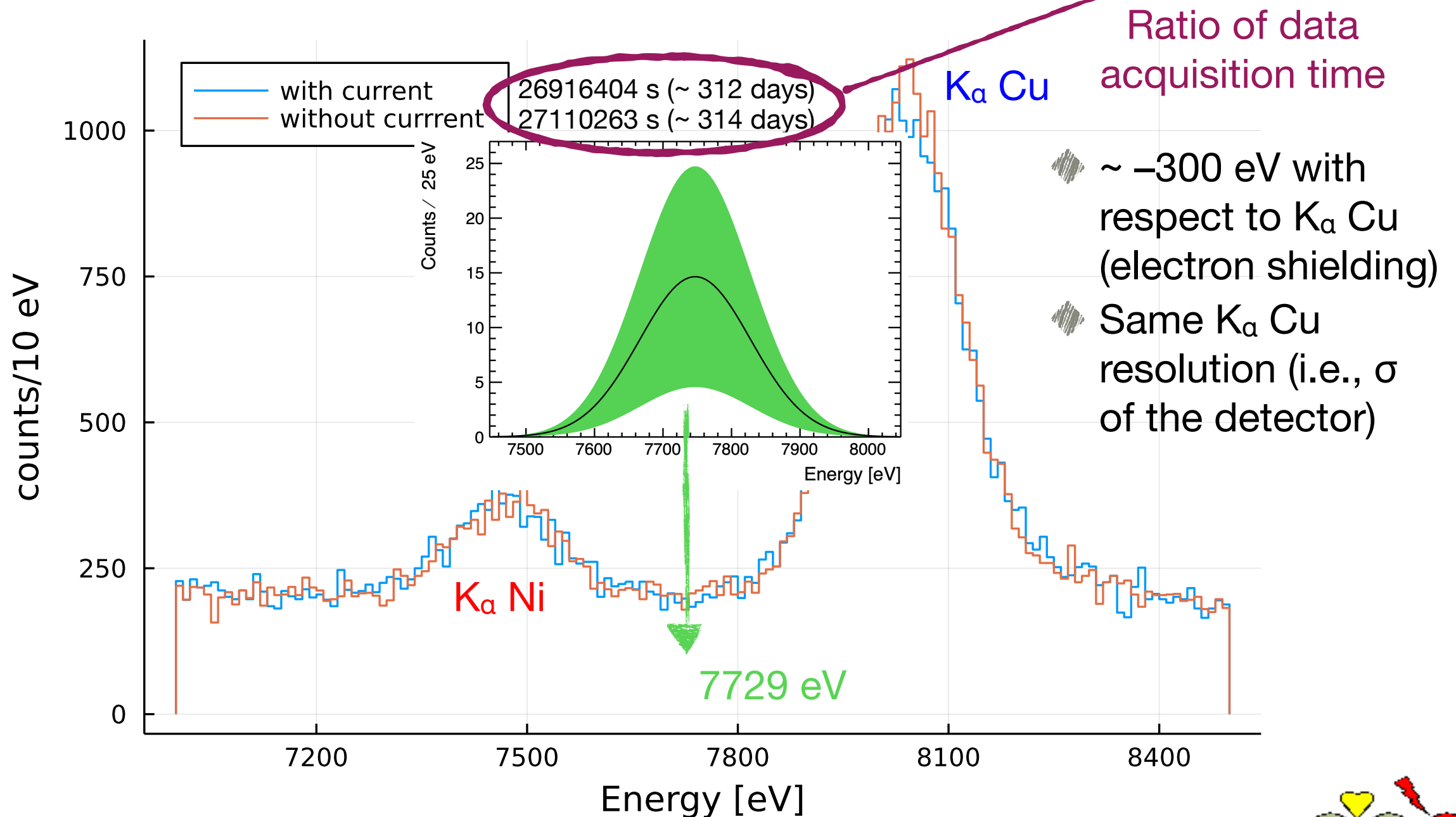


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$$\mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \theta, y, \mathcal{S}) = \text{Poiss}(\mathcal{D}^{wc} | \mathcal{F}^{wc}(\theta, y, \mathcal{S})) \times \text{Poiss}(\mathcal{D}^{woc} | \mathcal{F}^{woc}(\theta, y) \times \mathcal{R})$$



Bayesian approach

$$p(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) = \frac{\mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \boldsymbol{\theta}, \mathbf{y}, \mathcal{S})p(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S})}{\int d\boldsymbol{\theta} d\mathbf{y} \mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \boldsymbol{\theta}, \mathbf{y}, \mathcal{S})p(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S})} \rightarrow$$

$$p(\mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) = \int p(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) d\boldsymbol{\theta} d\mathbf{y}$$

- ◆ Priors of $\boldsymbol{\theta}$ and \mathbf{y} are Gaussians: statistical fluctuations around known values
- ◆ Prior of \mathcal{S} is flat, limited from previous experiments
- ◆ Systematic uncertainties included

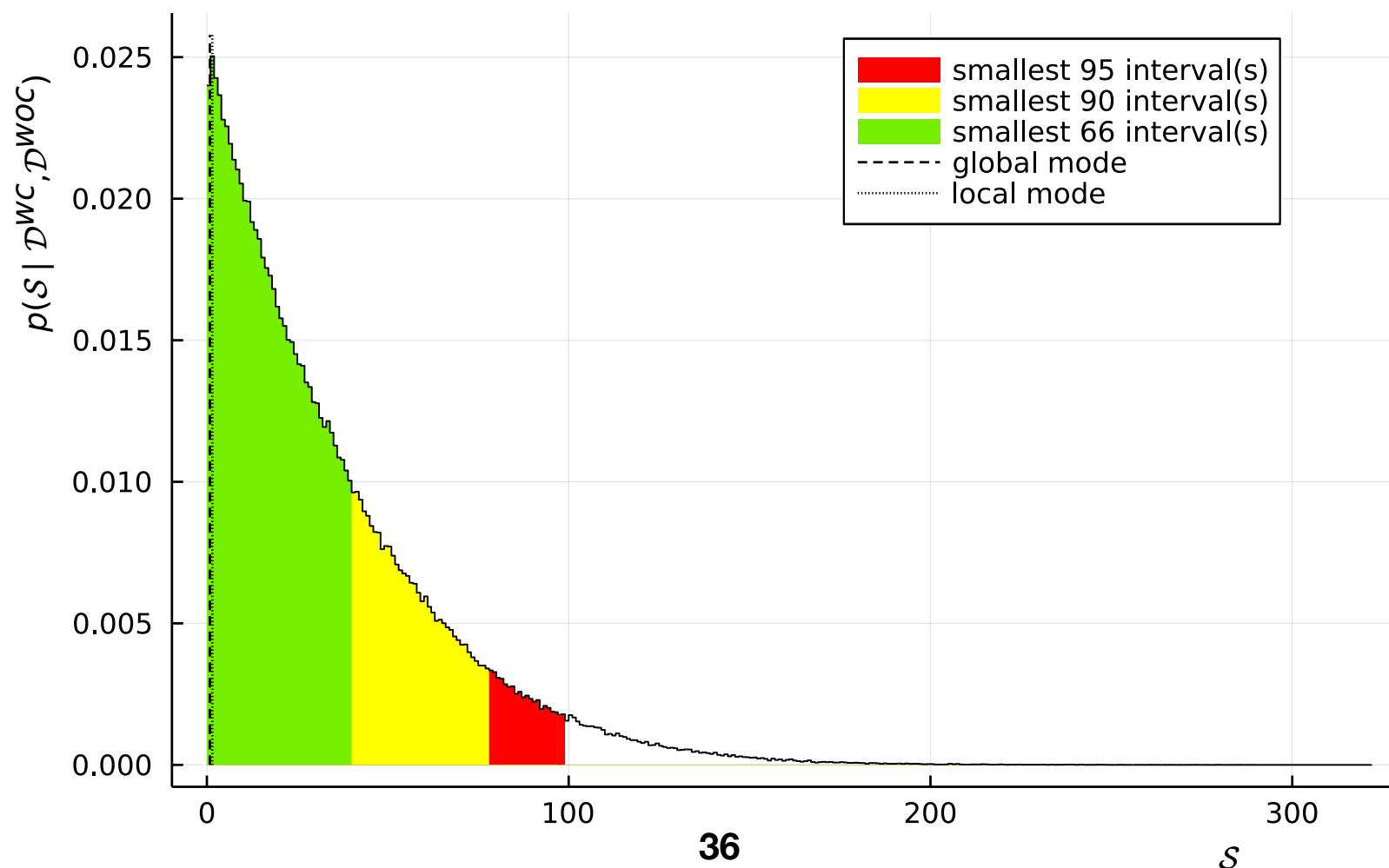


Bayesian approach

$$p(\theta, y, \mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) = \frac{\mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \theta, y, \mathcal{S})p(\theta, y, \mathcal{S})}{\int d\theta dy \mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \theta, y, \mathcal{S})p(\theta, y, \mathcal{S})} \rightarrow \text{Priors of } \theta \text{ and } y \text{ are Gaussians: statistical fluctuations around known values}$$

$$p(\mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) = \int p(\theta, y, \mathcal{S} | \mathcal{D}^{wc}, \mathcal{D}^{woc}) d\theta dy$$

Integrals with Markov Chain Monte Carlo method



- ◆ Prior of \mathcal{S} is flat, limited from previous experiments
- ◆ Systematic uncertainties included



Modified frequentist: CL_s

$$\mathcal{L}(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S}) = \mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \boldsymbol{\theta}, \mathbf{y}, \mathcal{S}) p(\boldsymbol{\theta}, \mathbf{y}, \mathcal{S})$$

$$t_{\mathcal{S}} = -2 \ln \Lambda(\mathcal{S}) = -2 \ln \frac{\mathcal{L}(\hat{\boldsymbol{\theta}}, \hat{\mathbf{y}}, \mathcal{S})}{\mathcal{L}(\hat{\boldsymbol{\theta}}, \hat{\mathbf{y}}, \hat{\mathcal{S}})}$$



one-sided Likelihood Test statistic

$$p_{\mathcal{S}} = \int_{t_{obs}}^{\infty} f(t_{\mathcal{S}} | \mathcal{S}) dt_{\mathcal{S}}$$

$$CL_s = \frac{p_{\mathcal{S}}}{1 - p_0} < 1 - \text{C.L.}$$



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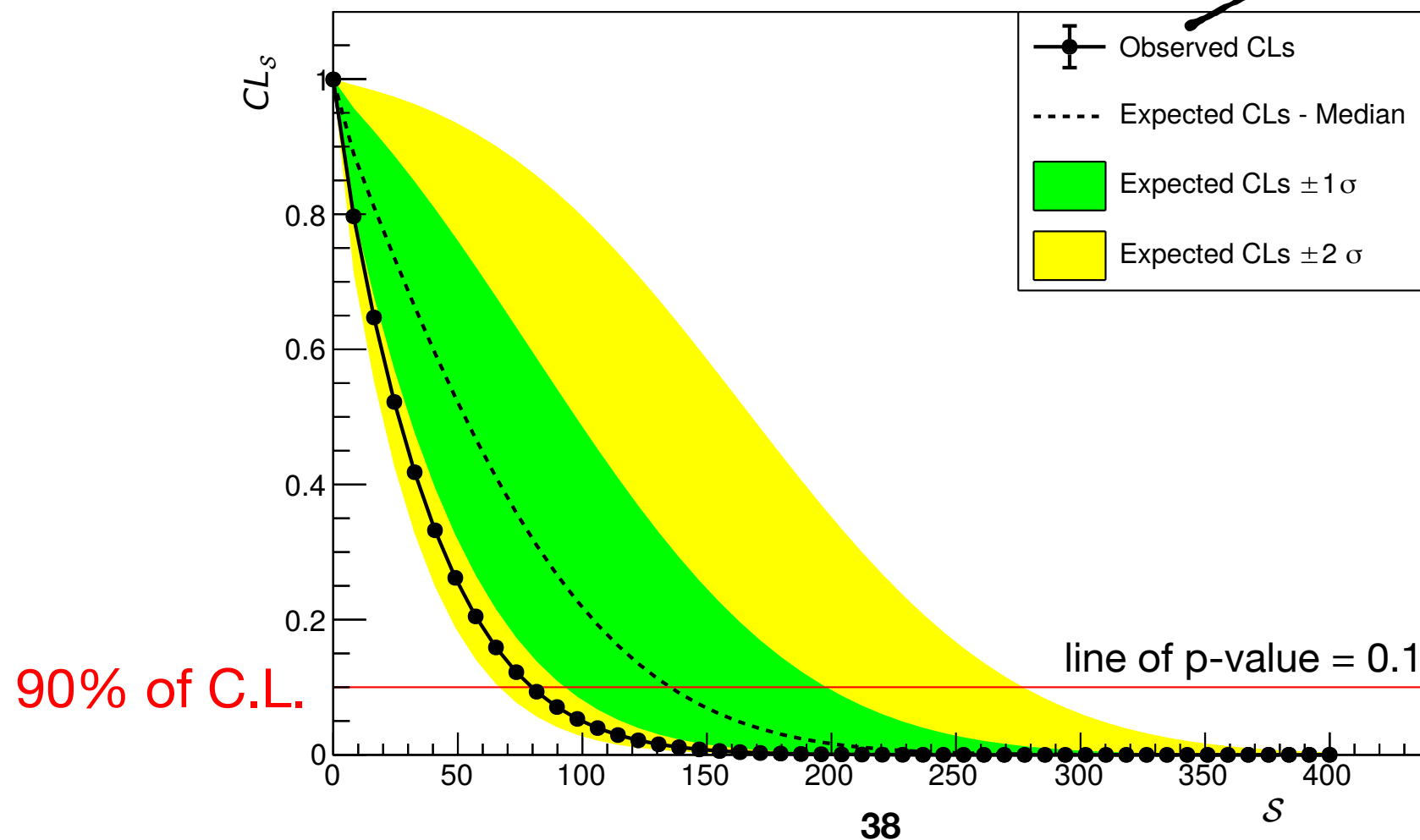
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one-sided Likelihood Test statistic

Computation with RooFit

CL_s expected with measured \mathcal{S}



CL_s expected in case of $\mathcal{S} = 0$ but measured \mathcal{S}



Modified frequentist: CL_s

$$\mathcal{L}(\theta, y, \mathcal{S}) = \mathcal{L}(\mathcal{D}^{wc}, \mathcal{D}^{woc} | \theta, y, \mathcal{S}) p(\theta, y, \mathcal{S})$$

$$t_{\mathcal{S}} = -2 \ln \Lambda(\mathcal{S}) = -2 \ln \frac{\mathcal{L}(\hat{\theta}, \hat{y}, \mathcal{S})}{\mathcal{L}(\hat{\theta}, \hat{y}, \hat{\mathcal{S}})}$$

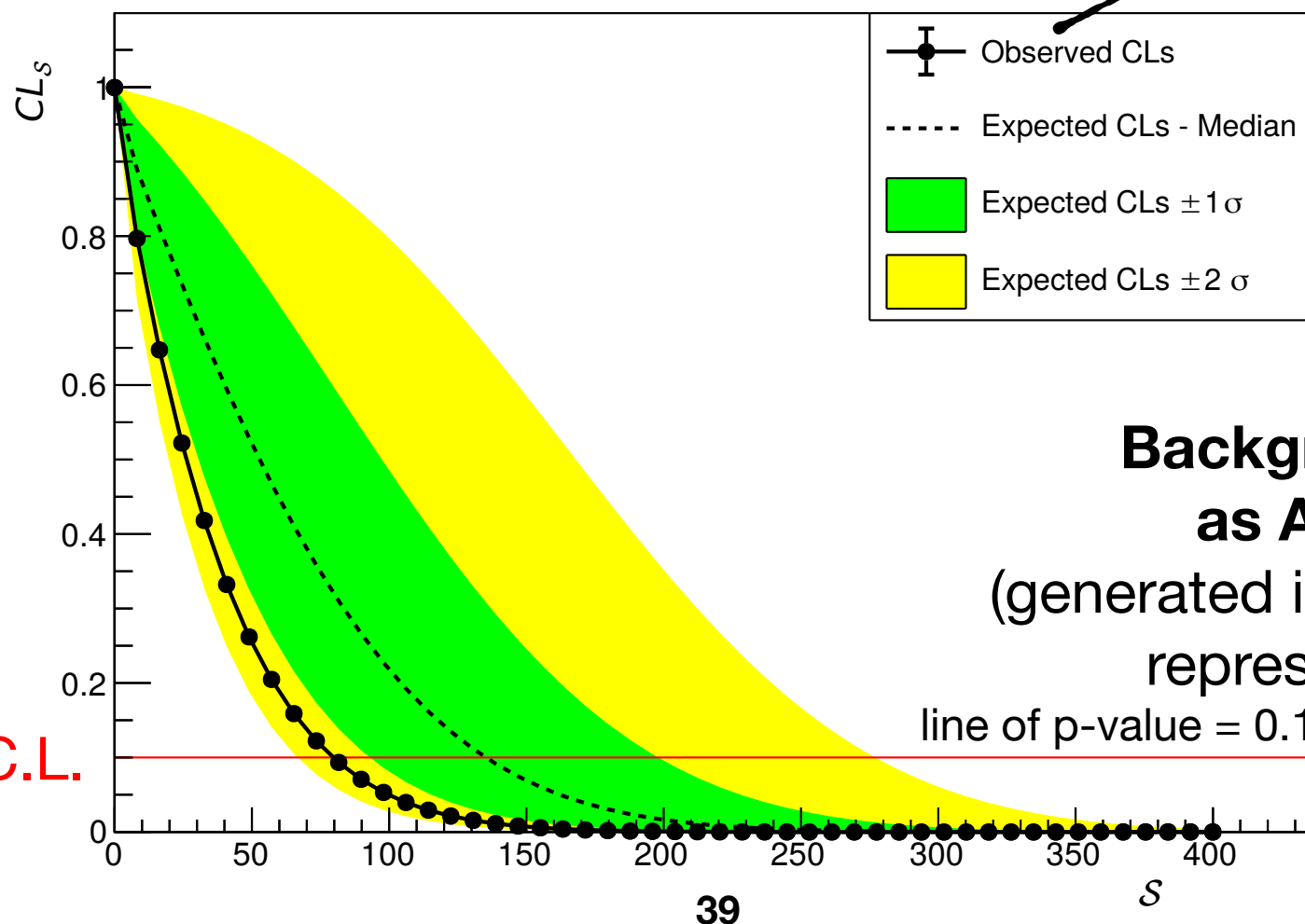
$$p_{\mathcal{S}} = \int_{t_{obs}}^{\infty} f(t_{\mathcal{S}} | \mathcal{S}) dt_{\mathcal{S}}$$

$$CL_s = \frac{p_{\mathcal{S}}}{1 - p_0} < 1 - \text{C.L.}$$

one-sided Likelihood Test statistic

Computation with RooFit

CL_s expected with measured \mathcal{S}



CL_s expected in case of $\mathcal{S} = 0$ but measured \mathcal{S}

Background Hypothesis as Asimov Dataset

(generated ideal dataset most likely representing the model)

90% of C.L.

line of p-value = 0.1



From \mathcal{S} to $\beta^2/2$

$$\mathcal{S} \simeq \frac{\beta^2}{2} \cdot N_{\text{new}} \cdot \frac{N_{\text{int}}}{10} \cdot 7.25 \times 10^{-2}$$



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efficiency simulated:
 considered X-ray
 absorption + geometry
 acceptance + SDDs
 efficiency

Number of interactions;
 every ~10 interactions, 1 cascade

Newly injected electrons!

$$\sum_i^{\text{runs}} I_i \Delta t_i / e \quad (= I \Delta t / e \text{ for simplicity})$$



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N_{int} is the normalization that decides the order of magnitude of $\beta^2/2$

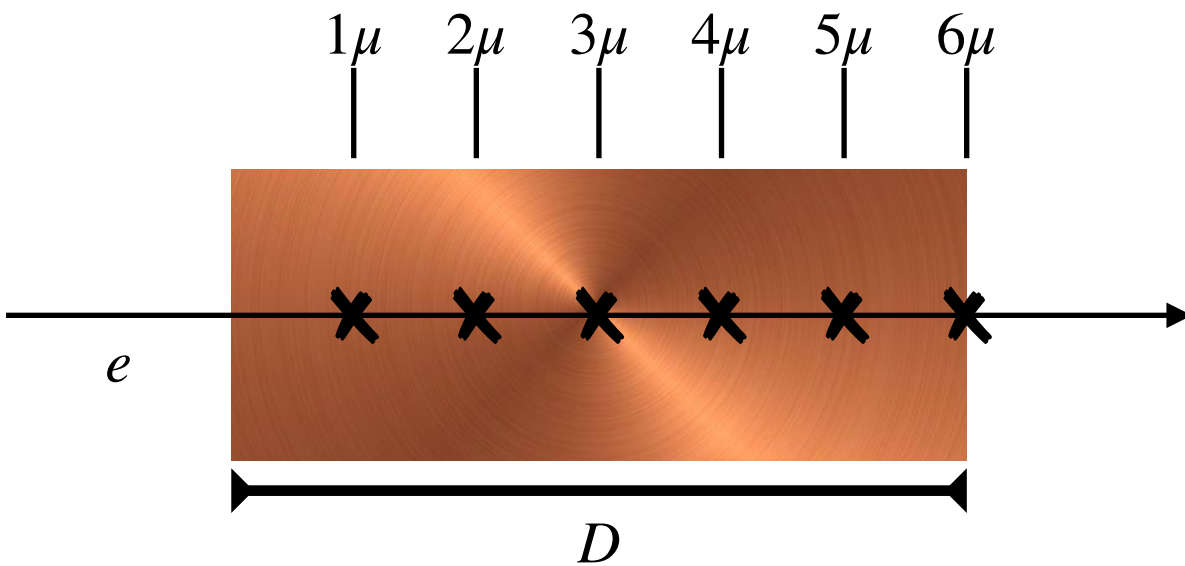
Let's discuss e -atoms interaction Models!



N_{int}

Linear Scattering

Through Copper Resistance,
we know the average
interaction length μ



$$N_{\text{int}} = D/\mu \simeq 1.95 \times 10^6$$

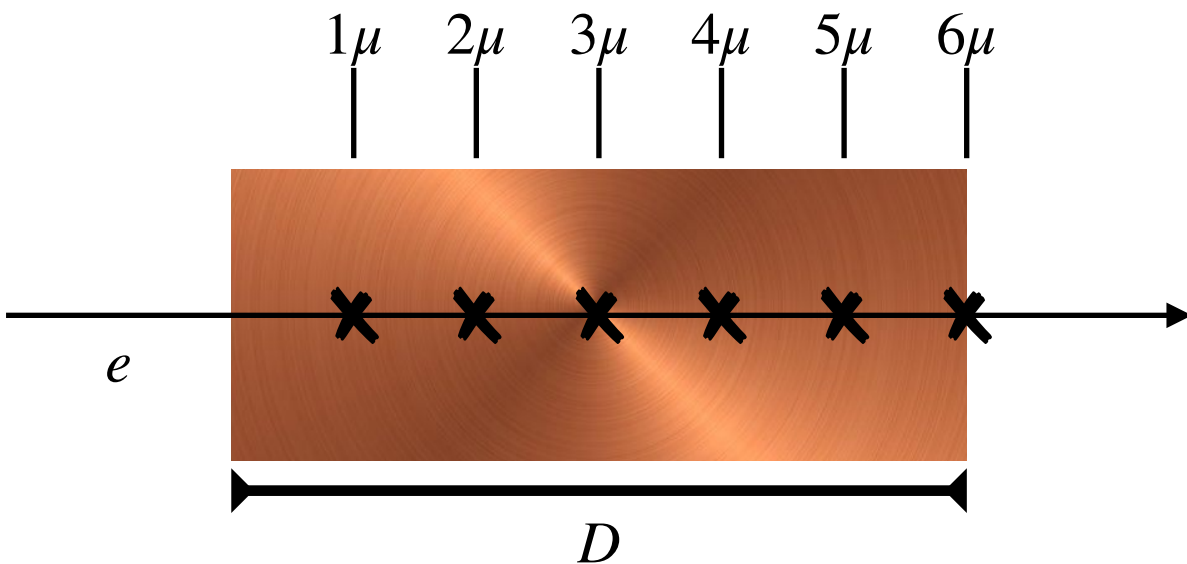
$$\Rightarrow \frac{\beta^2}{2} \simeq 10^{-31}$$



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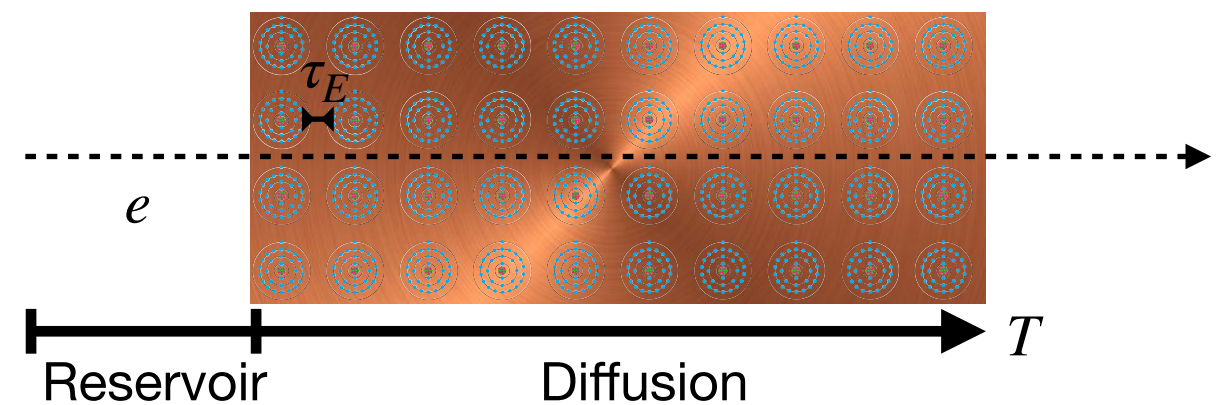
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Close Encounters

Through Diffusion-Transport theory and Copper atomic density:

- the average time τ_E on atomic encounter for a diffused electron
- the average time T of target crossing by an electron

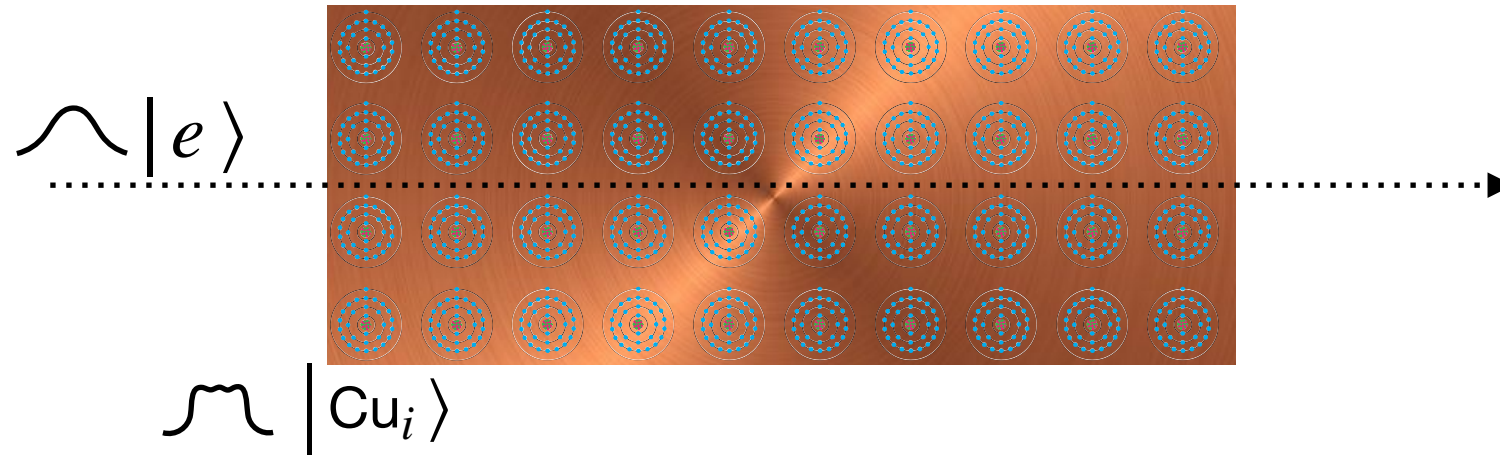


$$N_{\text{int}} = T/\tau_E \simeq 4.29 \times 10^{17}$$

$$\Rightarrow \frac{\beta^2}{2} \simeq 10^{-43}$$



TO DO: a quantum N_{int} ?



How many interactions between Cu atomic and electron fields occur?



Outlook

How to finely measure a Pauli Exclusion Principle Violation?

VIP (past), **VIP-2 (current)**, and VIP-3 and GATOR (future)

◆ X-Rays emissions:

- ▶ **SDDs** well versed for this measurement
- ▶ Accurate **calibration**

◆ Rigorous Data Analysis

- ▶ **Bayesian** Approach: well established and reliable
- ▶ Modified Frequentist **CL_s**: optimal test (sensible to small parameters fluctuations)
- ▶ ...use other observables (i.e., Time) to further refine the measurement

◆ Electron-atoms interaction modelling (N_{int})

- ▶ **Linear Scattering**: due to phonons and lattice irregularities
 - ✓ Safest hypothesis
 - ✗ Largely underestimation of how many interactions an electron does
- ▶ **Close Encounters**: a more realistic model of e -atom encounters, but still approximated
 - ✓ 12 order of magnitudes larger than Linear Scattering!
- ◆ **This is the key element to improve the measurement!**



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THANK YOU

