

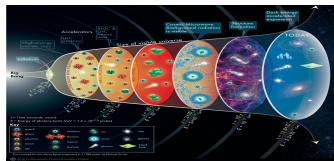
Riffing on the QCD thermal transition in the chiral limit

Francesca Cuteri

May 25th, 2023 - ECT*

HOT DENSE & MAGNETIZED STATES OF QCD MATTER

Hot/dense/magnetized states of QCD matter - The sketch



Early Universe (QCD epoch)

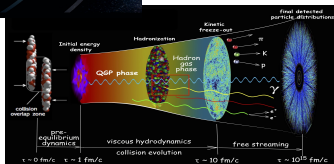
$$100\text{MeV} \lesssim T \lesssim 200\text{MeV}$$

$$b = (8.60 \pm 0.06) \times 10^{-11}, \quad q = 0$$

$$|I| = |I_e + I_\mu + I_\tau| < 0.012$$

$$B? \rightarrow 10^{-16} \lesssim B \lesssim 10^{-9} \text{ Gauss (EGMFs)}$$

Hotter
"diluter"



Heavy ion collisions (HIC)

$$50\text{MeV} \lesssim T \lesssim 200\text{MeV}$$

$$n \lesssim 0.12 \text{ fm}^{-3}$$

$$\delta = N - Z / (N + Z) \lesssim 0.25$$

$$B \lesssim 10^{19} \text{ Gauss} \sim 10^{20} B_{\text{Earth}}$$

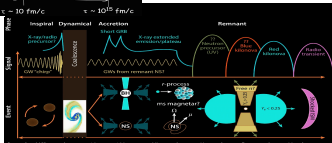
$$T \in [50, 80] \text{ MeV}$$

$$n \sim 2n_0, \quad n_0 = 0.16 \text{ fm}^{-3}$$

$$n_{\text{quark}} \neq 0$$

$$10^{10} \lesssim B \lesssim 10^{12} \text{ Gauss} \rightarrow B \gtrsim 10^{16} \text{ Gauss}$$

Binary Neutron Star (BNS) mergers



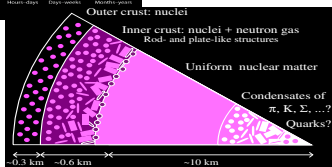
$$T \lesssim 1 \text{ KeV}$$

$$0.3n_0 \lesssim n \lesssim 15n_0, \quad n_0 = 0.16 \text{ fm}^{-3}$$

$$n_p/n \sim 0.04, \text{ at } n_0$$

$$10^8 \lesssim B \lesssim 10^{15} \text{ Gauss}$$

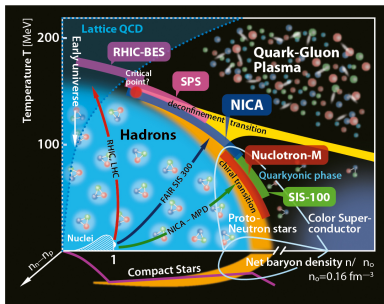
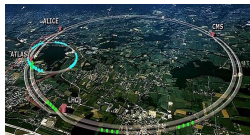
Neutron star interior



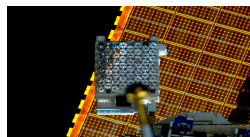
Colder
denser

1 eV ~ 12 x 10^3 K; B_Earth ~ 0.5 Gauss

Major experimental and observational campaigns



Observational astronomy



Heavy ion collisions

Major experimental and observational campaigns

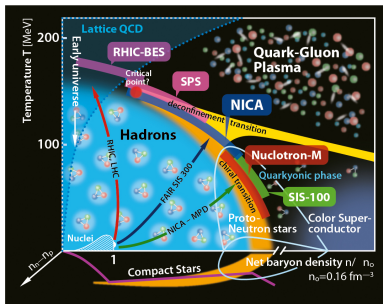
J-PARC (Japan)
from 2025, Fixed-target, beam energy up to 20 GeV/nucleon

NICA (Russia)
from 2022, collisions up to 11 GeV/nucleon

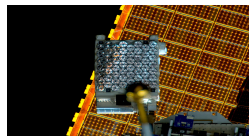
FAIR (Germany)
from 2025, high statistics at highest baryon density

RHIC (USA)
through 2025, more high-energy collisions (focus, hard probes)

LHC (CERN)
from 2022, in run 3 highest-energy collisions available



Observational astronomy



Heavy ion collisions

Major experimental and observational campaigns

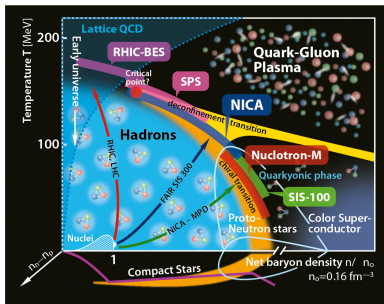
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Observational astronomy

SKA (AU, RSA)
from 2027, origin/evolution of cosmic magnetic fields

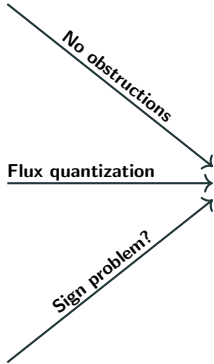
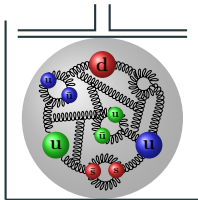
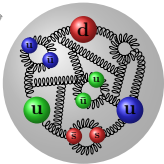
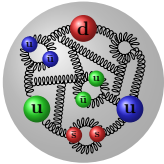
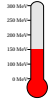
VIRGO (IT-FR)
since 2016, detecting GW in collaboration with LIGO

NICER (ISS)
since 2017, Neutron Star Interior Composition Explorer

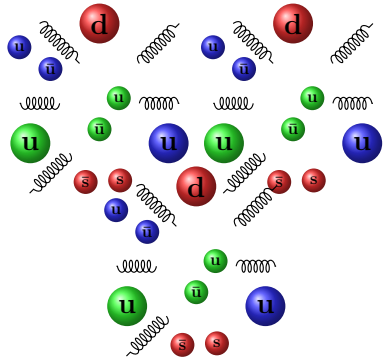
Heavy ion collisions

**STRONGLY INTERACTING MATTER
ON THE LATTICE
AT NONZERO TEMPERATURE/DENSITY**

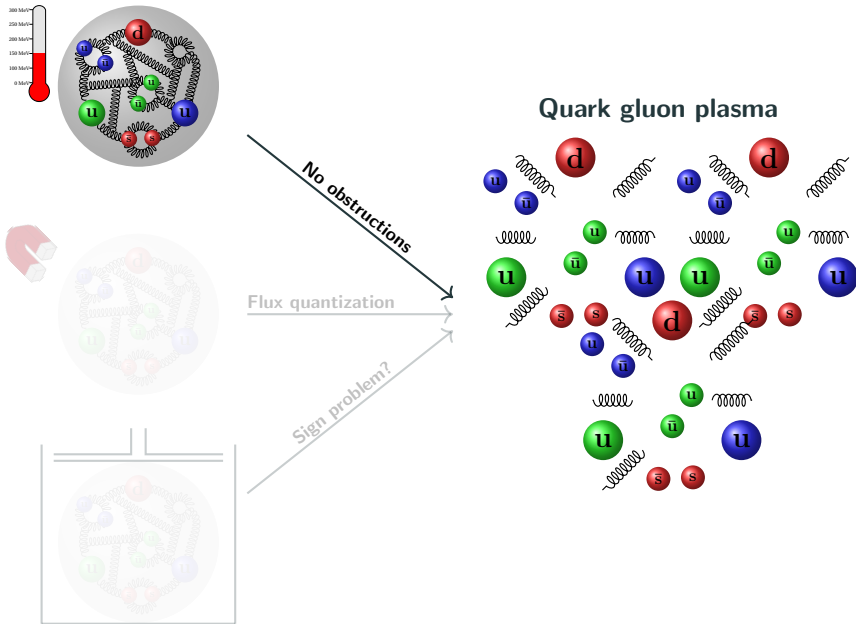
QCD matter under extreme conditions on the lattice



Quark gluon plasma



QCD matter under extreme conditions on the lattice



Thermal lattice QCD

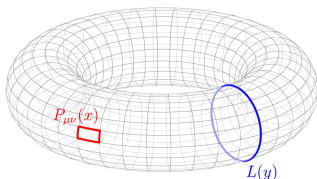
Quantum mechanical statistical system in heatbath

$$Z(T) = \text{Tr} \left[e^{-\hat{H}/T} \right]$$

Euclidean quantum field theory

$$Z(T) = \int \mathcal{D}A \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_E[A, \psi, \bar{\psi}]}$$

$$S_E[A, \psi, \bar{\psi}] = \int_0^{\frac{1}{T}} dx_4 \int_V d^3x \mathcal{L}_E[A, \psi, \bar{\psi}]$$



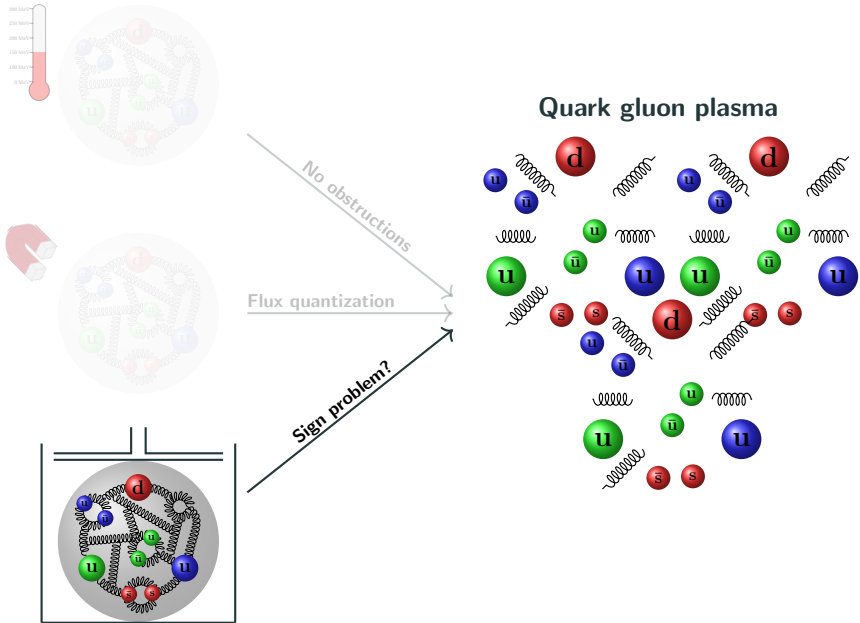
$$T = \frac{1}{a(\beta)N_\tau}$$

$$a \ll \xi \ll aN_\sigma$$

$$a \ll aN_\tau \ll aN_\sigma$$

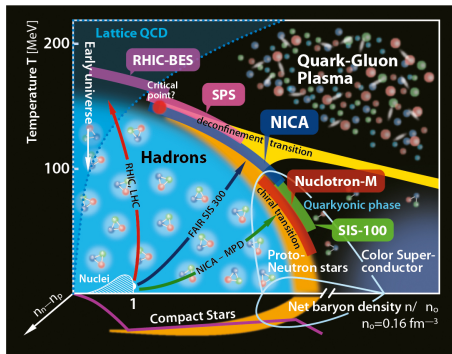
- Bosonic (fermionic) fields periodic (anti-periodic) in the finite time direction to ensure Bose-Einstein (Fermi-Dirac) statistics
- Continuum limit at fixed T : $a \rightarrow 0 \iff N_\tau \rightarrow \infty$

QCD matter under extreme conditions on the lattice



Then $T - n_B$ phase diagram via Lattice QCD? Not really...

Nonzero **baryon density** $n = \frac{n_u + n_d}{3}$
→ excess of matter over antimatter



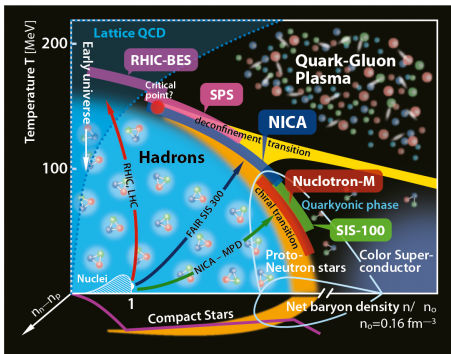
Nonzero **isospin density** $n_I = n_u - n_d$
→ excess of neutrons over protons

Then $T - n_B$ phase diagram via Lattice QCD? Not really...

Chemical potentials basis: $\mu_u = \mu_l + \mu_1$, $\mu_d = \mu_l - \mu_1$, μ_s

⚠ $\mu_l \neq 0$ and/or $\mu_s \neq 0$
 → unsuited for lattice simulations!

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Sign problem

Nonzero **isospin density** $n_l = n_u - n_d$
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⚠ $\mu_l = \mu_u = -\mu_d \neq 0$; $\mu_l = \mu_s = 0$
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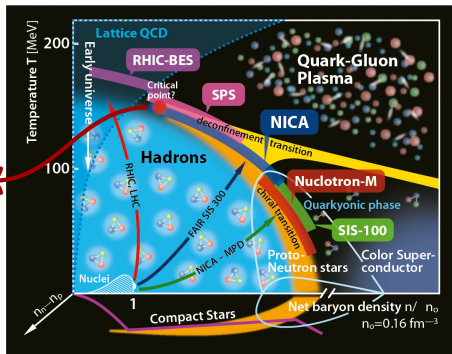
→ unsuited for lattice simulations!

Nonzero **baryon density** $n = \frac{n_u + n_d}{3}$

→ excess of matter over antimatter

Critical endpoint

conjectured,
but out of
reach for lattice
investigations



Sign problem

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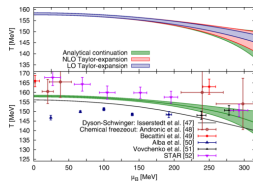
“Coping” with the sign problem

🚩 Constrain sign-problem-affected regions in the phase diagram on the basis of results obtained in calculable domains

1. Depart from point/region where QCD matter undergoes smooth crossover

- Taylor expansion or analytic continuation

📎 Borsanyi et al. (2020)



2. Locate and follow critical boundaries bending into tricritical points in the **QCD phase diagram in the chiral limit**

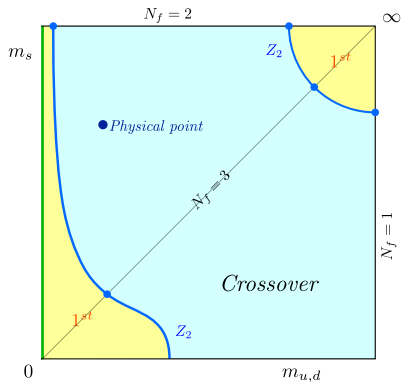
- Extrapolating according to known critical exponents

THE QCD PHASE DIAGRAM IN THE CHIRAL LIMIT AT ZERO DENSITY

Standard $(m_s, m_{u,d})$ Columbia plot

The order of the QCD thermal phase transition depends on the quark masses

- State of system is defined by the set of parameters $(m_s, m_{u,d}, \beta, N_\tau)$
- β tuned at $\beta_c \forall (m_s, m_{u,d}, N_\tau)$, and the order of the transition is plotted

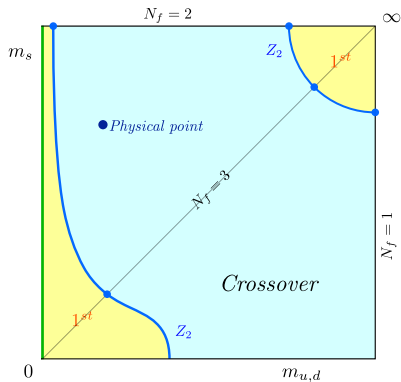


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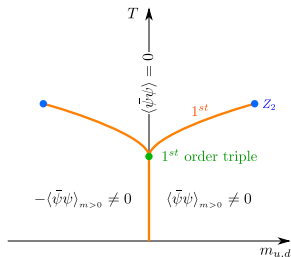
$m_{u,d}, m_s \rightarrow \infty$ Breaking of global $Z(3)$, order parameter $|L|$

$m_{u,d}, m_s \rightarrow 0$ Restoration of global $SU_L(N_f) \times SU_R(N_f)$, order parameter $\langle \bar{\psi}\psi \rangle$



$m_{u,d} \rightarrow 0$ Effective restoration of global $U_A(1)$ for large enough temperatures.

$m_{u,d} \rightarrow 0$ Line of triple points

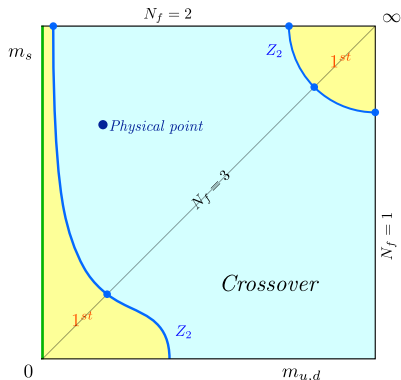


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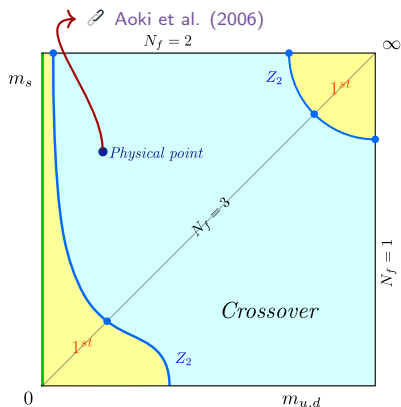
- Chiral 1st order region wider for larger N_f , until $N_f = 4$ de Forcrand, D'Elia (2017)

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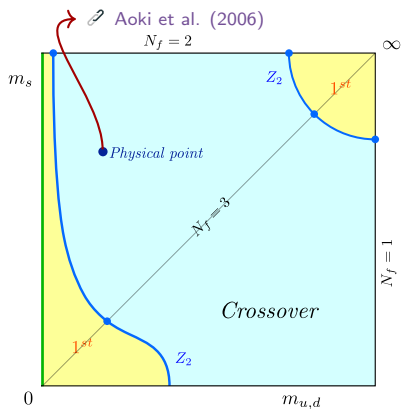
- Chiral 1^{st} order region wider for larger N_f , until $N_f = 4$ A de Forcrand, D'Elia (2017)
- Continuum extrapolated results @ m_{phys}

Standard ($m_s, m_{u,d}$) Columbia plot

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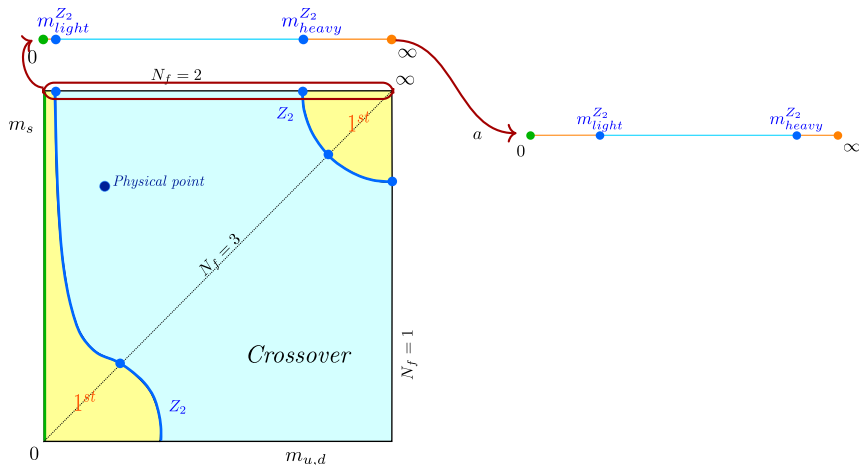


- Chiral 1^{st} order region wider for larger N_f , until $N_f = 4$ [de Forcrand, D'Elia \(2017\)](#)
- Continuum extrapolated results @ m_{phys}
- $m_{u,d}$ very small. Transition affected by remnants of the chiral universality class?

$(m_s, m_{u,d})$ Columbia plot in the continuum

Columbia plot from the “unimproved viewpoint”, for $N_f = 2$, $m_{u,d} \rightarrow 0, \infty$

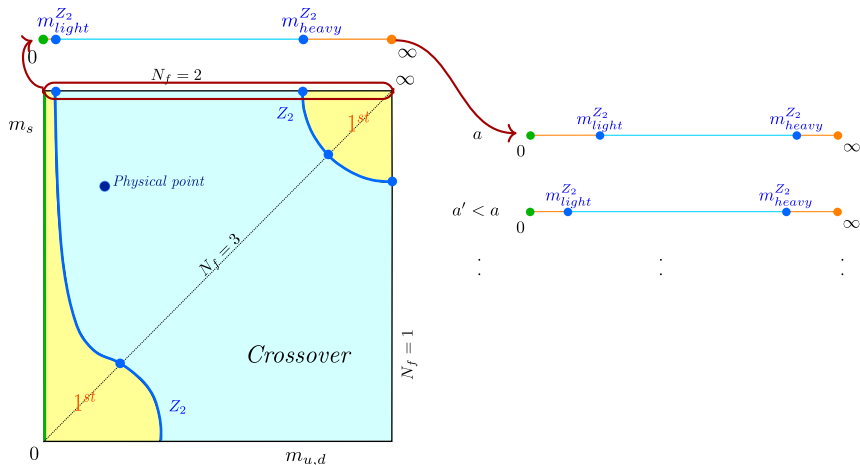
- Light/heavy 1st order region does shrink/enlarge as $a \rightarrow 0$



$(m_s, m_{u,d})$ Columbia plot in the continuum

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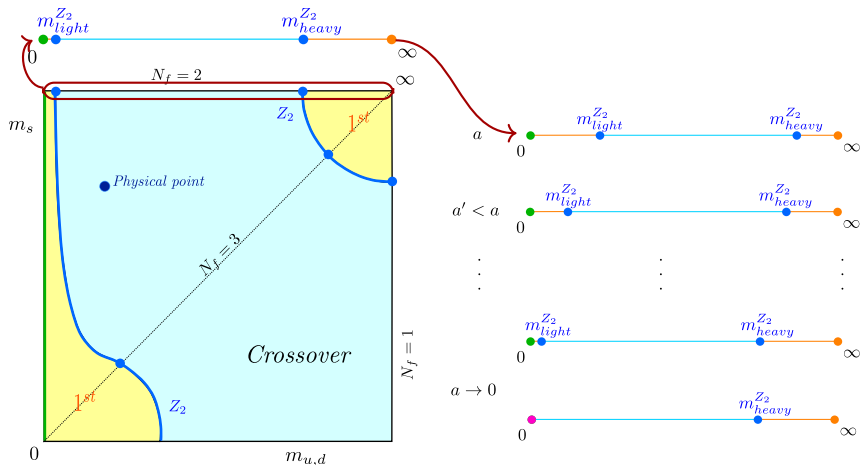
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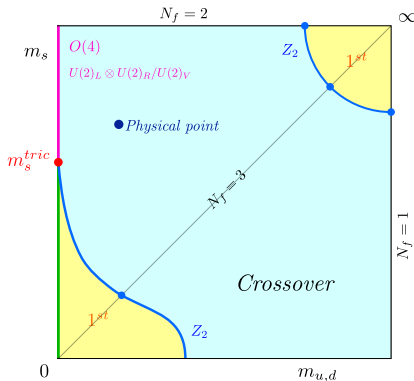
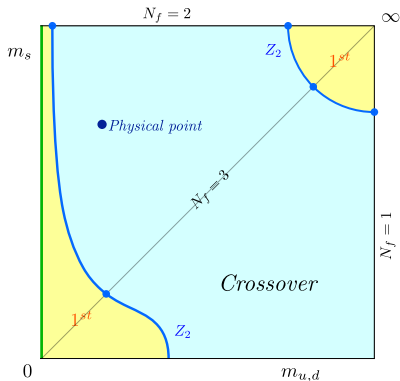
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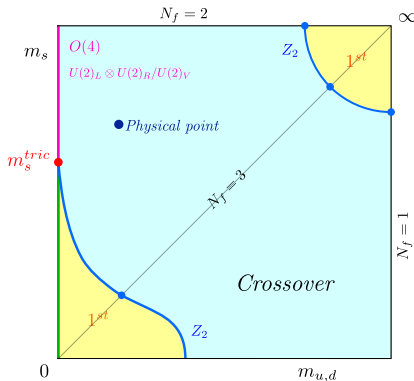
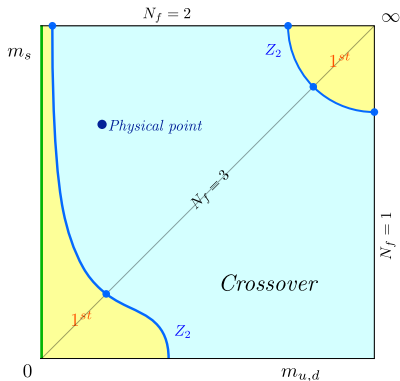
Possible scenarios for the nature of $N_f=2$ chiral transition \curvearrowright Pisarski, Wilczek (1984)



- Two scenarios \leftrightarrow renormalization group flow in 3D sigma models, augmented by 't Hooft term for the axial anomaly, using the epsilon expansion
 - Transition in the chiral limit predicted to be of first order for $N_f \geq 3$
 - Relevance of the strength of the $U(1)_A$ anomaly at T_c for $N_f = 2$

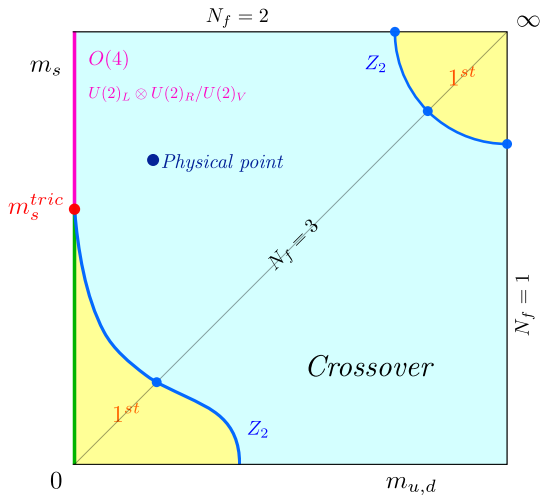
$(m_s, m_{u,d})$ Columbia plot in the continuum

Possible scenarios for the nature of $N_f=2$ chiral transition \curvearrowright Pisarski, Wilczek (1984)

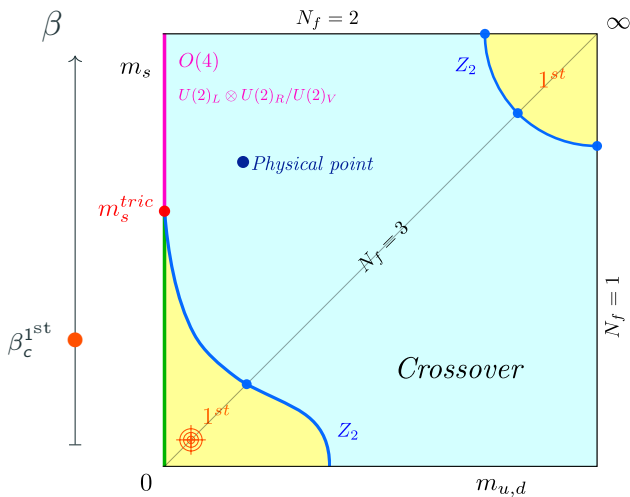


- "Direct approach": $\mu = 0$, $N_f = 2$ and $m_{u,d} \rightarrow 0$ proved to be too expensive
- "Indirect approaches": tricritical scaling laws for extrapolations to $m_{u,d} \rightarrow 0$

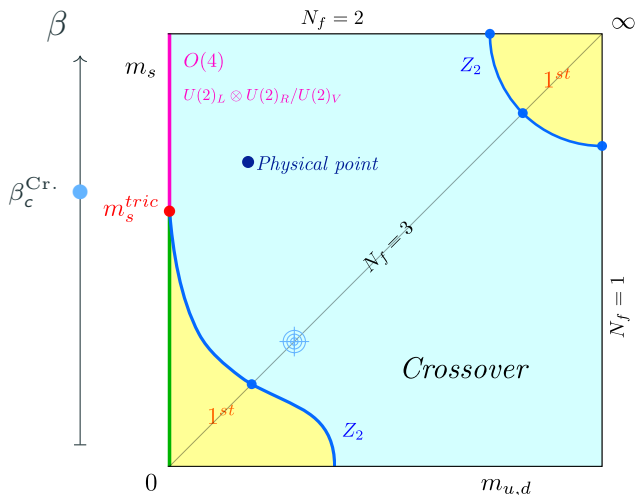
Columbia plot - Locating and mapping Z_2 boundaries



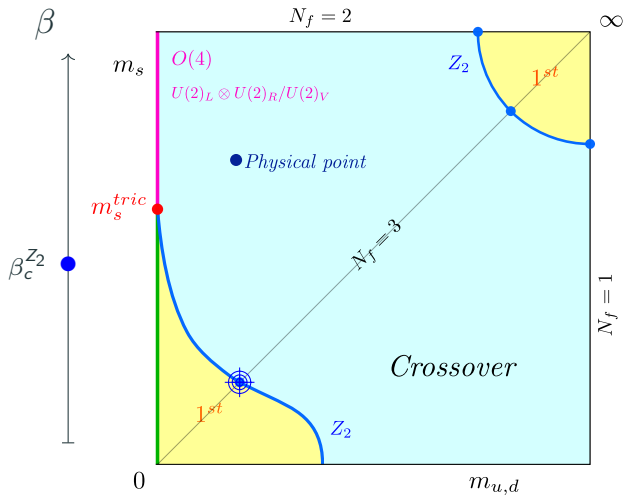
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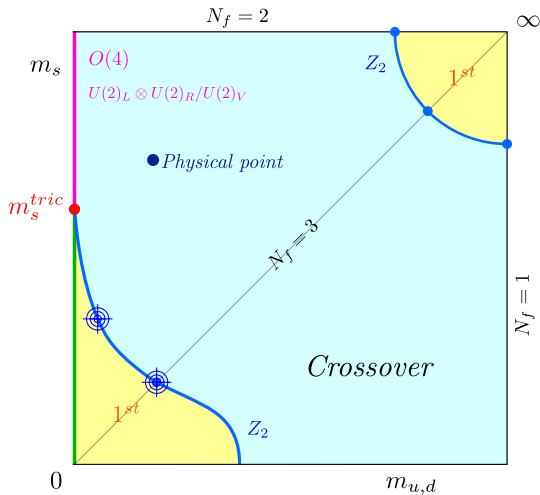
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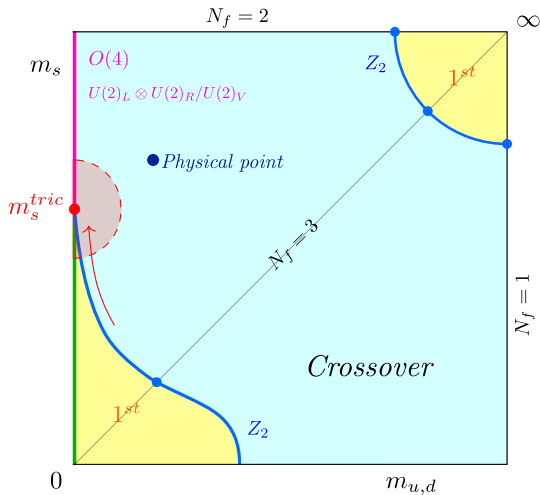
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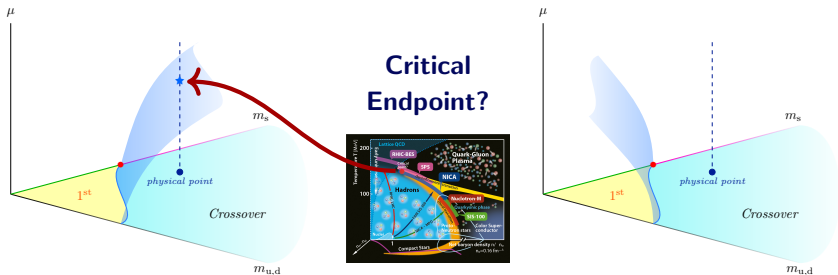
Towards real- μ Columbia plot

Is the first order chiral region only a lattice artifact?

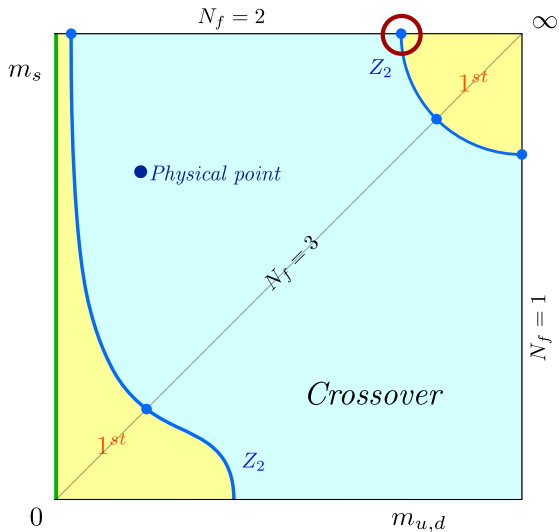
- Tricritical points in the chiral limit useful targets for extrapolations...
- ...one important source of systematic uncertainty is eliminated if a tricritical point is guaranteed to exist!

Phenomenological motivation

🚩 Constrain the sign-problem-affected regions in the QCD phase diagram

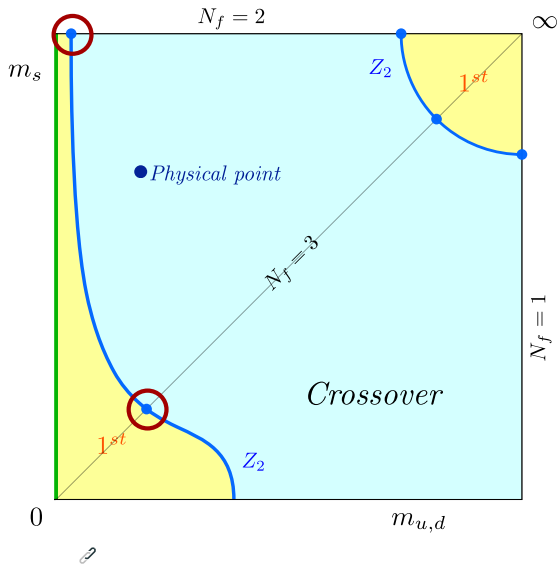


Columbia plot - Results for $\kappa_{\text{heavy}}^{Z_2}$



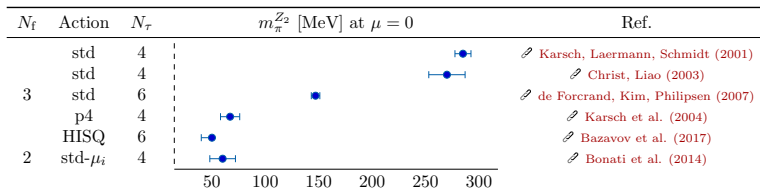
© Cuteri, Philipsen, Schön, Sciarra (2020)

Columbia plot - Results for $m_{\text{light}}^{Z_2}$

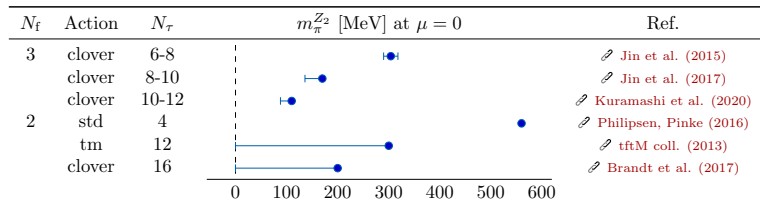


Columbia plot - Results for $m_{\text{light}}^{Z_2}$

Staggered fermion discretization



Wilson fermion discretization



⚠ Rather strong cutoff and discretization effects, but an alternative way to analyze cutoff effects suggests a unified description of all available results!

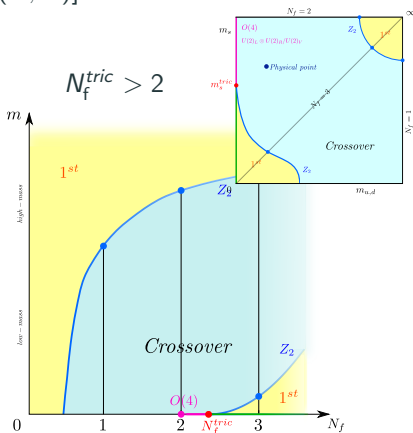
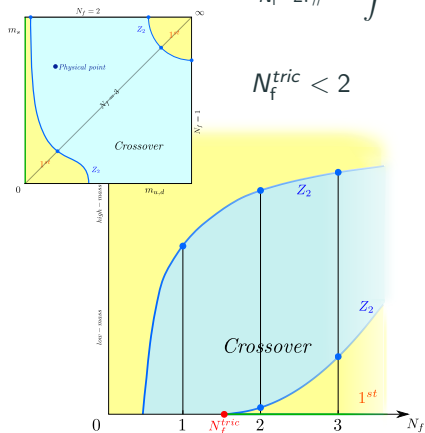
CONTROLLED EXTRAPOLATIONS TO THE CHIRAL LIMIT

 Cuteri, Philipsen, Sciarra (2017)  Cuteri, Philipsen, Sciarra (2021)

(m, N_f) Columbia plot for N_f degenerate quarks

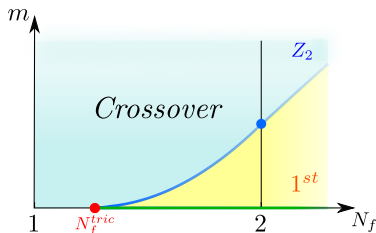
Yet another “indirect approach”: promoting N_f to continuous real parameter

$$Z_{N_f=2.\#} = \int \mathcal{D}U [\det M(U, m)]^{2.\#} e^{-S_G}$$



1st order for $N_f \geq 3 \implies$ 2nd order for $N_f = 2$ requires $N_f^{tric} \in (2, 3)$

A tricritical point is guaranteed to exist in this case



- No chiral phase transition for $N_f = 1 \implies$ 1st order for $N_f > 1$ must weaken with decreasing N_f until vanishing in a tricritical point!
- Z_2 line bends into tricritical point with known critical exponents

$$N_f^c(am(N_\tau), N_\tau) = N_f^{\text{tric}}(N_\tau) + \mathcal{B}_1(N_\tau)(am)^{2/5} + \mathcal{B}_2(N_\tau)(am)^{4/5} + \mathcal{O}((am)^{6/5})$$

The full bare picture in $\{\beta, am, N_f, N_\tau\}$

To complete the picture, a lattice spacing axis should be added to all sketches!

- We vary it via N_τ , that like N_f we may promote to a real parameter
- Then all parameters in $\{\beta, am, N_f, N_\tau\}$ can be treated on equal footing

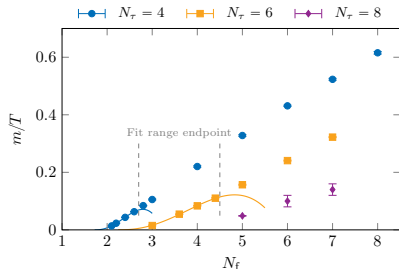
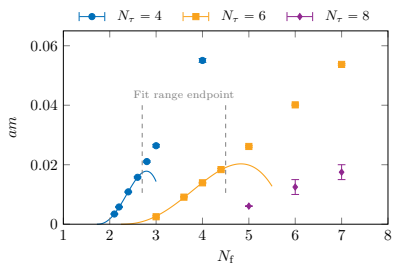
Useful subspaces and projections in the hyperspace

$B_3 = 0$ phase boundary for chiral symmetry restoration: 3D subspace.

$B_4 = 1.604$ critical surface separating crossovers from first order regions

The critical surface can be projected on all possible planes & Ansätze based on tricritical scaling can be constructed for all those planes!

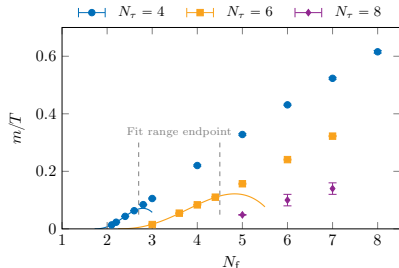
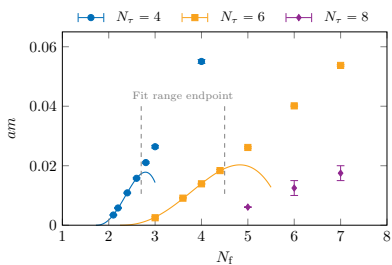
Plane of quark mass and number of flavors (β implicit)



- Strengthening of transitions with increasing N_f , weakening with increasing N_τ
 - No sign of convergence towards a continuum limit
- Monitoring the intercepts $N_f^{\text{tric}}(N_\tau)$, with fits of the form

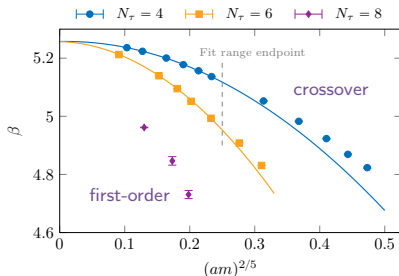
$$am_c(N_f(N_\tau), N_\tau) = \mathcal{D}_1(N_\tau)(N_f - N_f^{\text{tric}}(N_\tau))^{5/2} + \mathcal{D}_2(N_\tau)(N_f - N_f^{\text{tric}}(N_\tau))^{7/2} + \mathcal{O}\left((N_f - N_f^{\text{tric}}(N_\tau))^{9/2}\right)$$

Plane of quark mass and number of flavors (β implicit)



- Strengthening of transitions with increasing N_f , weakening with increasing N_τ
 - No sign of convergence towards a continuum limit
- Monitoring the intercepts $N_f^{\text{tric}}(N_\tau)$, with fits of the form
 - Very narrow scaling region even for next-to-leading order fits
 - Difficult to determine $N_f^{\text{tric}}(N_\tau)$ reliably
 - Further sliding to the right of $N_f = 3$?

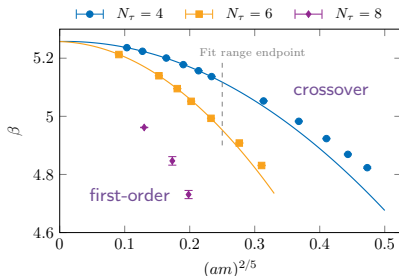
Plane of gauge coupling and quark mass (N_f implicit)



- Lower β axis is a triple line of first order transitions up until $\beta_{\text{tric}}(N_\tau)$
- Here monitoring the intercepts $\beta_{\text{tric}}(N_\tau)$ obtained by fits to

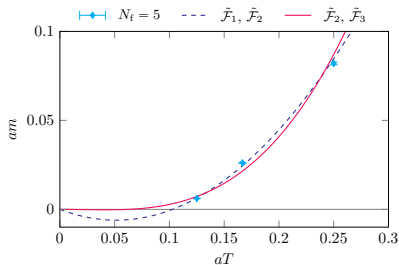
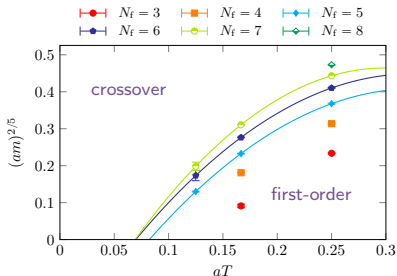
$$\beta_c(am, N_f(N_\tau), N_\tau) = \beta_{\text{tric}}(N_\tau) + C_1(N_\tau)(am)^{2/5} + C_2(N_\tau)(am)^{4/5} + \mathcal{O}((am)^{6/5})$$

Plane of gauge coupling and quark mass (N_f implicit)



- Lower β axis is a triple line of first order transitions up until $\beta_{\text{tric}}(N_\tau)$
- Here monitoring the intercepts $\beta_{\text{tric}}(N_\tau)$ obtained by fits to
 - Convincing picture of tricritical scaling!
 - Chiral critical surface terminating in a tricritical line in the chiral limit!

Plane of quark mass and temperature $aT = N_\tau^{-1}$ (β implicit)

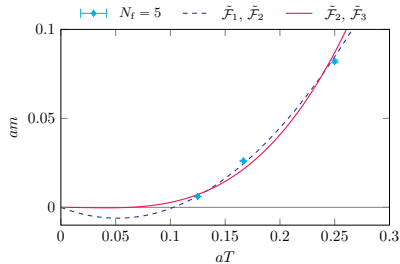
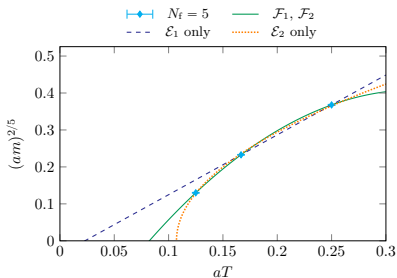


- Tricritical point not guaranteed for any N_f : Need to test functional behavior!
- continuum 1st-order transitions $\forall N_f \geq 3$! Then, polynomial cutoff effects

$$am_c(N_\tau, N_f) = \tilde{\mathcal{F}}_1(N_f) aT + \tilde{\mathcal{F}}_2(N_f) (aT)^2 + \tilde{\mathcal{F}}_3(N_f) (aT)^3 + \mathcal{O}((aT)^4)$$

$N_f = 5$ (and $N_f = 6$ and $N_f = 7$) data, inconsistent with this scenario!

Plane of quark mass and temperature $aT = N_\tau^{-1}$ (β implicit)

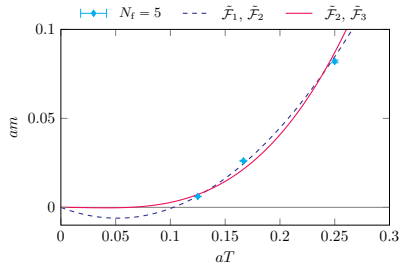
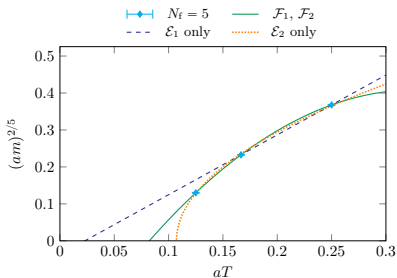


- Tricritical point not guaranteed for any N_f : Need to test functional behavior!
- Or, critical lines might end on the aT axis in a tricritical point according to

$$aT_c(am, N_f) = aT_{\text{tric}}(N_f) + \mathcal{E}_1(N_f)(am)^{2/5} + \mathcal{E}_2(N_f)(am)^{4/5} + \mathcal{O}((am)^{6/5})$$

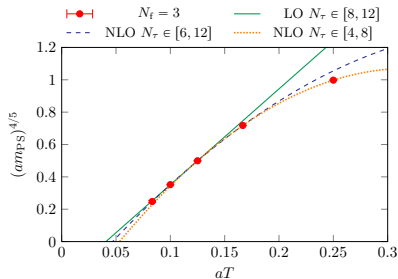
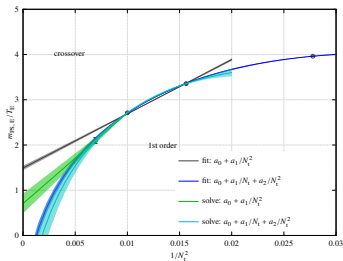
$$\begin{aligned} \left(am_c(N_\tau, N_f)\right)^{2/5} &= \mathcal{F}_1(N_f)(aT - aT_{\text{tric}}(N_f)) \\ &\quad + \mathcal{F}_2(N_f)(aT - aT_{\text{tric}}(N_f))^2 + \mathcal{O}\left((aT - aT_{\text{tric}}(N_f))^3\right) \end{aligned}$$

Plane of quark mass and temperature $aT = N_\tau^{-1}$ (β implicit)



- Tricritical point not guaranteed for any N_f : Need to test functional behavior!
- We only have three data points, but we observe...
 - only slight deviations from LO scaling,
 - compatibility with the existence of a $N_\tau^{\text{tric}}(N_f)$ we can bound, and
 - consistency with $N_\tau^{\text{tric}}(N_f = 5) \approx 12.5$ and a monotonically rising $N_\tau^{\text{tric}}(N_f)$

Plane of quark mass and temperature $aT = N_\tau^{-1}$ (β implicit)



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- We successfully reanalyzed (using $am_{PS}^2 \propto am_q$) published clover-improved Wilson data for the critical pseudo-scalar mass [Kuramashi et al. '20](#)

What do we conclude?

- We conclude that the first order chiral phase transitions observed for:
 - $N_f = 3$ $\mathcal{O}(a)$ -improved Wilson fermions
 - $N_f \leq 6$ standard staggered fermions
 aren't connected to the continuum chiral limit, where transition is 2nd order
- We conjecture the transition in the chiral limit to stay 2nd order up to the conformal window

