



ALICE



U.S. DEPARTMENT OF
ENERGY

Office of Science

FoCal (UPC) program and connections with the EIC

Daniel Tapia Takaki

Color Glass Condensate at the EIC

ECT* Trento, Italy – March 17, 2023

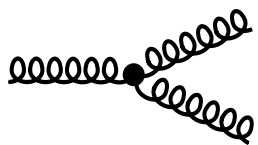


KU THE UNIVERSITY OF
KANSAS

Gluons matter

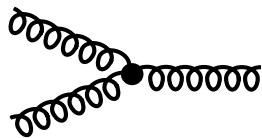
At high energies, or for heavy nuclei at lower energies, gluon saturation is predicted

gluon
emission



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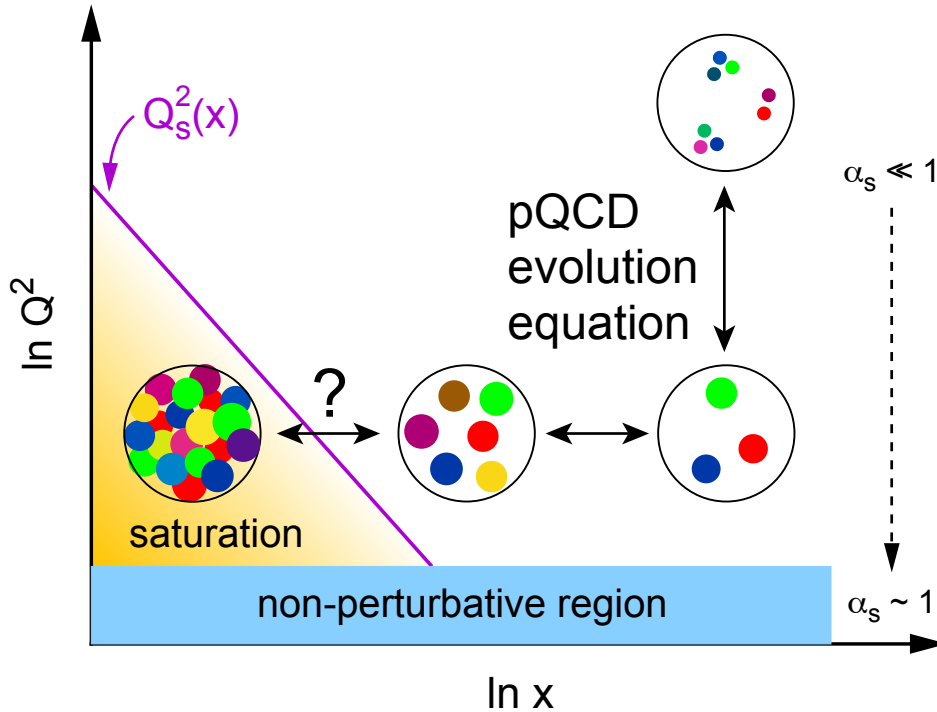
gluon recombination



Dynamical equilibrium of
gluon saturation state reached

- Non-linear QCD evolution equations introduced, but how is gluon saturation triggered?
- Can we determine experimentally the saturation scale (Q_S)?
- Is there a state of matter formed by gluon saturated matter with universal properties?

Evolution of the hadronic structure with Bjorken- x and Q^2

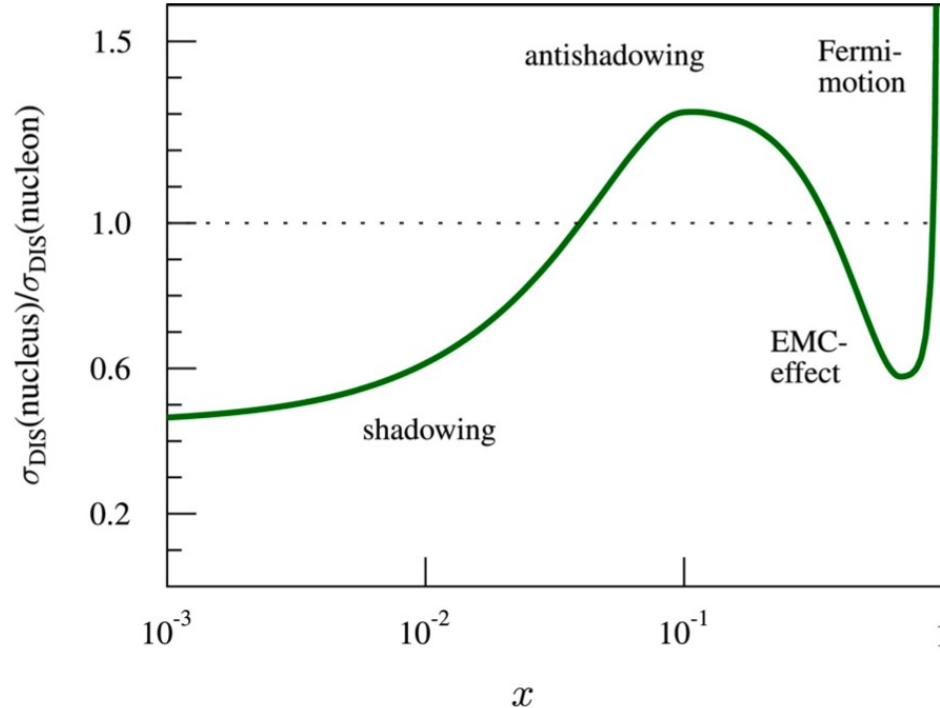


- Experimental observables needed to map out the transition between the dilute and saturation regimes
- For nuclei, the saturation scale is enhanced by a $A^{1/3}$ factor

$$(Q_s^A)^2 \approx c Q_0^2 \left[\frac{A}{x} \right]^{1/3}$$

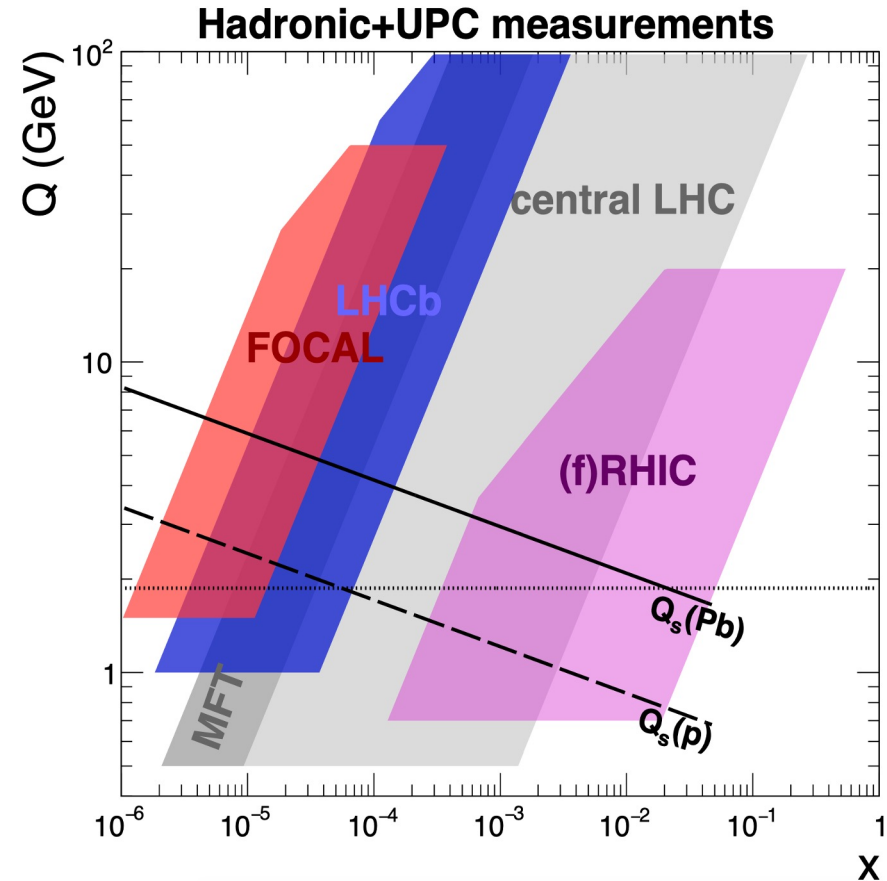
Nuclear shadowing experimentally confirmed, but not fully understood

$$R = \frac{f_{i/A}}{A f_{i/p}} \approx \frac{\text{measured}}{\text{expected if no nuclear effects}}$$

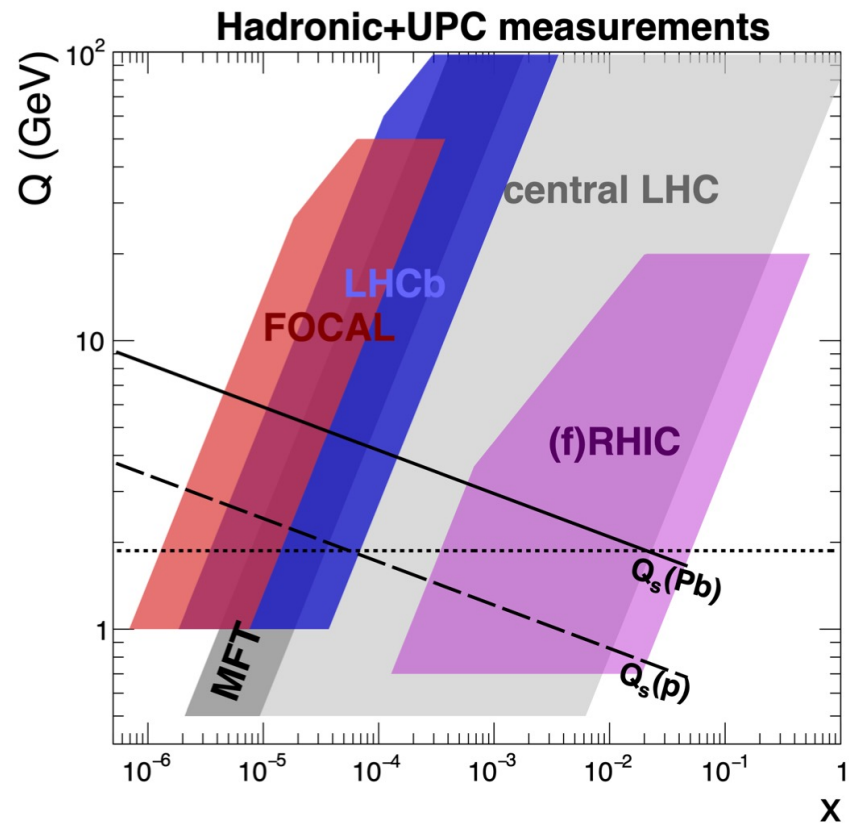
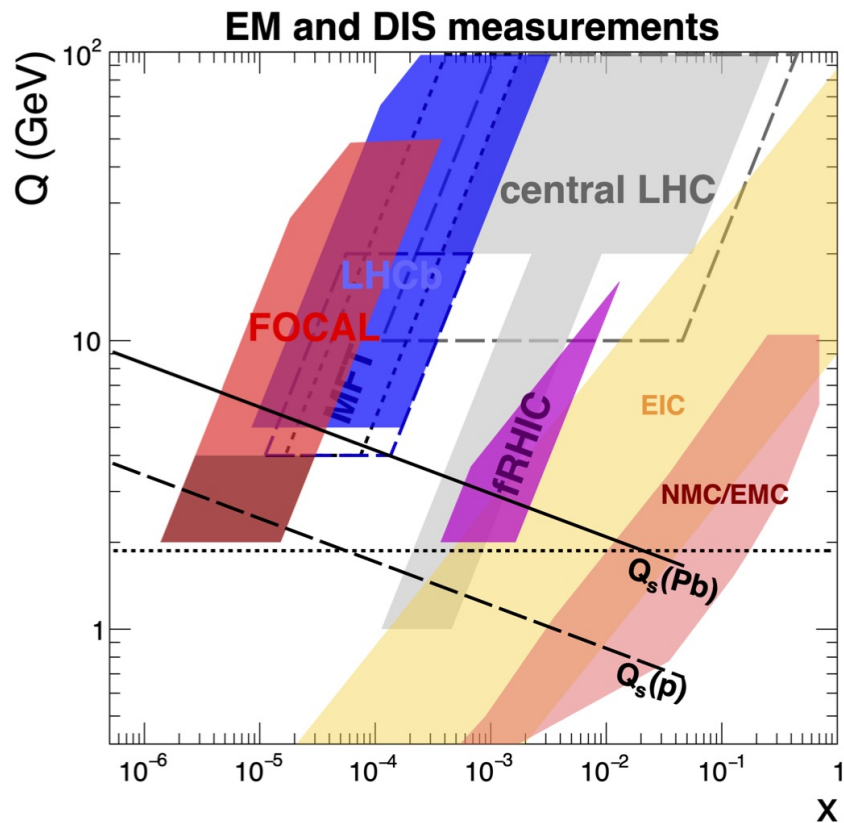


- Experimental observation that parton distributions are different for protons and nuclei
- What's the mechanism responsible for shadowing? How is gluon saturation related?
- The knowledge of the initial state of nuclei also needed for understanding the QGP evolution

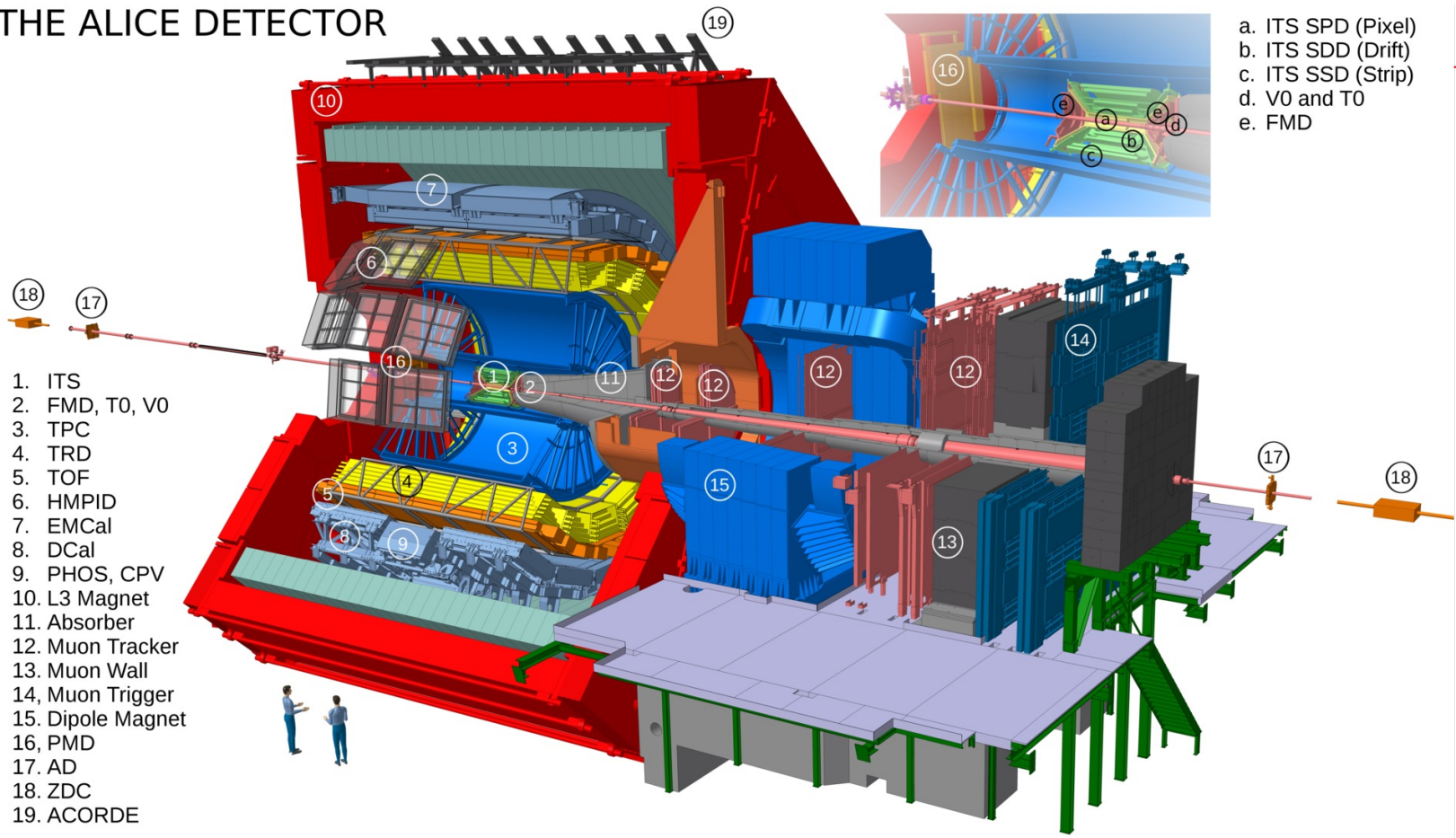
- The Electron-Ion Collider will be a dedicated QCD machine with the precision and control capabilities for studying gluon saturation and shadowing in a systematic way
- The LHC explores the high energy domain for both hadronic and photon-induced reactions
- FoCal at ALICE will explore a unique low- x regime reaching $x \sim 10^{-6}$
- **Important to compare e+A DIS with forward p+A and UPC at the LHC**



Experimental program



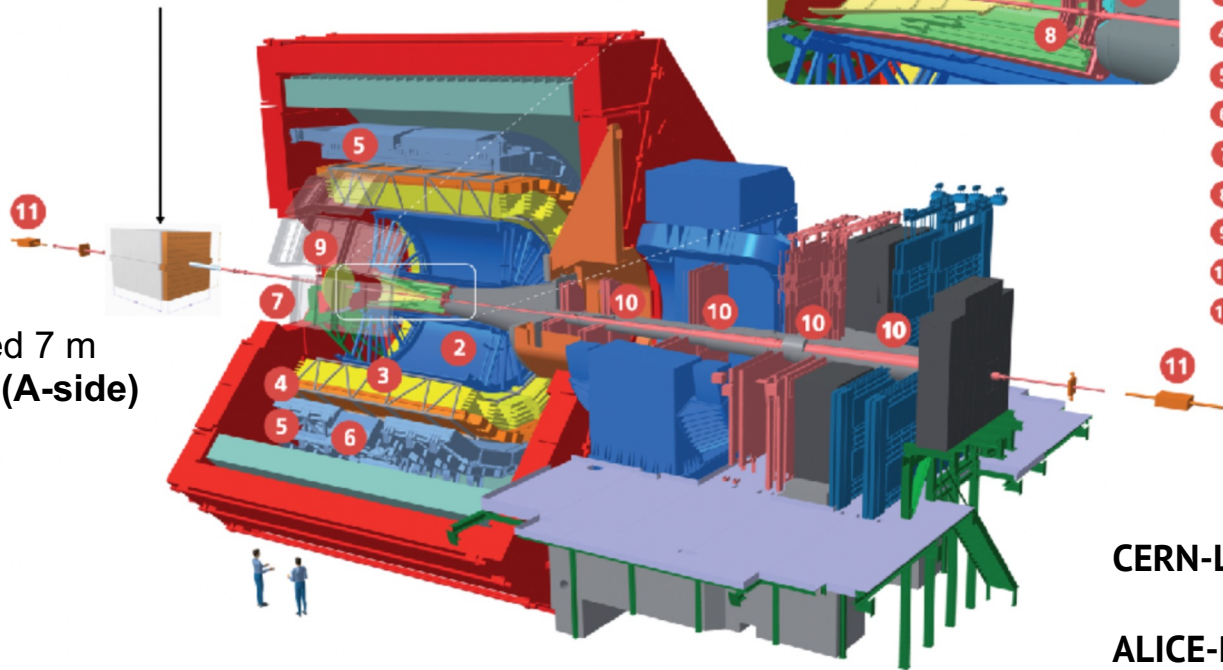
THE ALICE DETECTOR



The ALICE FoCal

$3.4 < \eta < 5.8$

FoCal



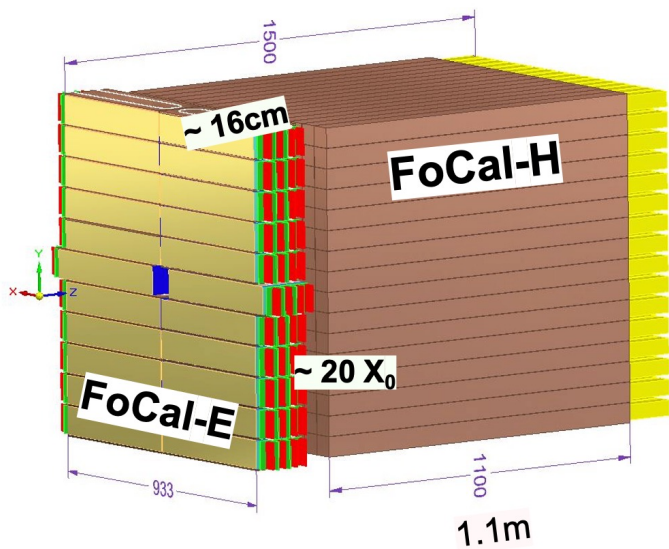
Positioned 7 m
from IP2 (A-side)

CERN-LHCC-2020-009 ; LHCC-I-036

ALICE-PUBLIC-2023-001

ALICE Forward Calorimeter (FoCal)

covering $3.4 < \eta < 5.8$



FoCal-E

| Si+W EM calorimeter (2 subsystems)

| Designed for measurement of direct photons and high p_t neutral pions (Pb-Pb vs pp)

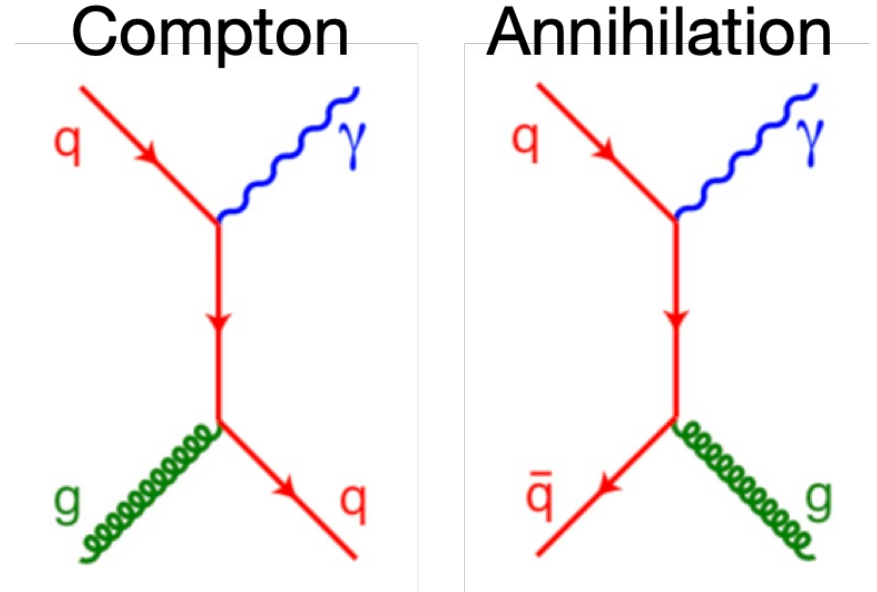
FoCal-H

| Scintillating fibers enclosed in Cu capillary-tubes, readout by SiPMs

| Designed to study the dynamics of hadronic matter and provides good Jet isolation capabilities

FoCal measurements

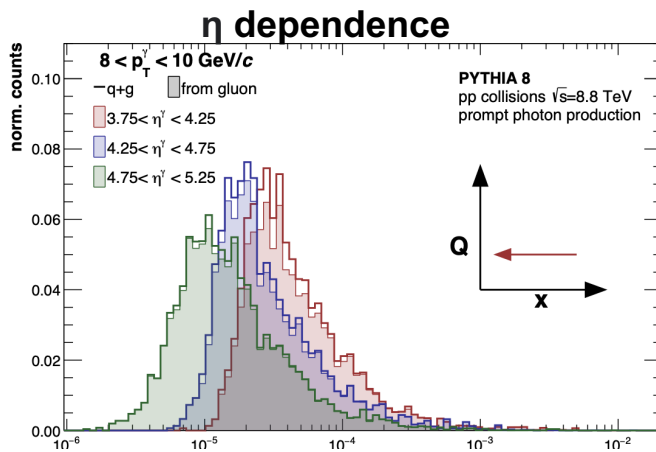
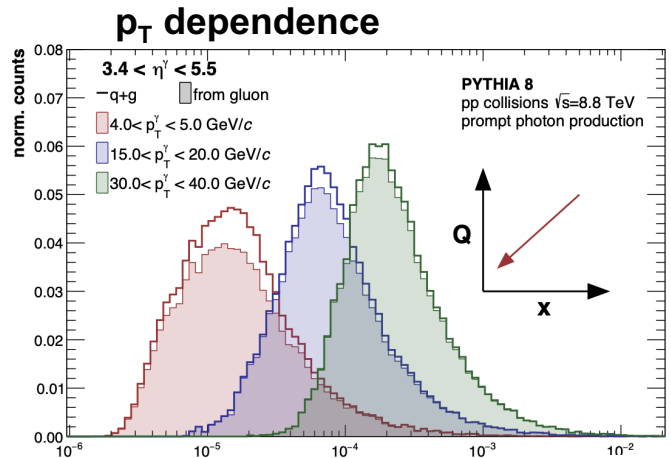
- Prompt and isolated photons (γ)
- Identified π^0 and other neutron particles
- J/ψ and other excited quarkonia states
- W and Z bosons
- Inclusive jets
- Correlations: di-hadron, di-jet, γ +hadron, γ +jet, etc



Collision systems:

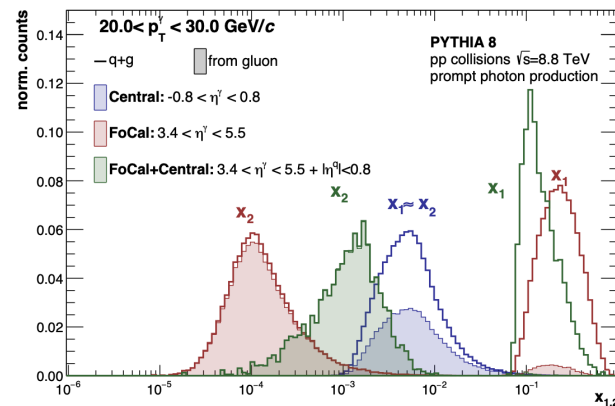
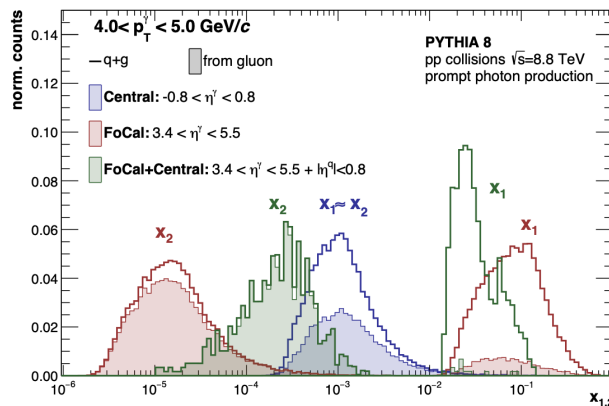
p+p at 5, 8.8 and 14 TeV

p+Pb at 8.8 TeV; (both p+Pb and Pb+p)

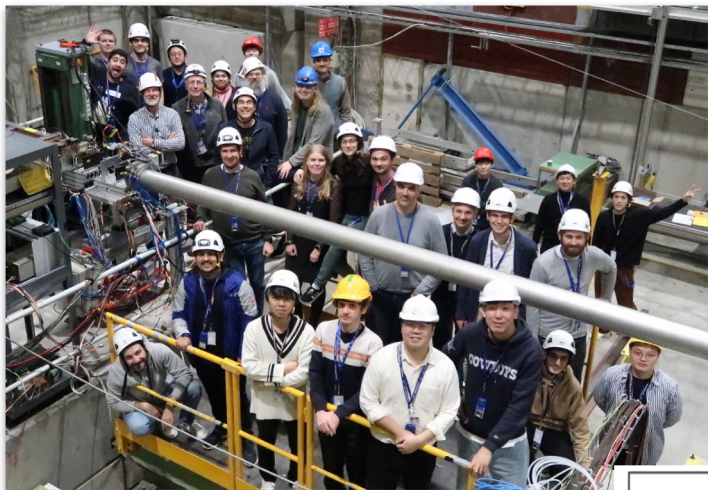


FoCal vs Central

Wide rapidity coverage, complementary with the EIC



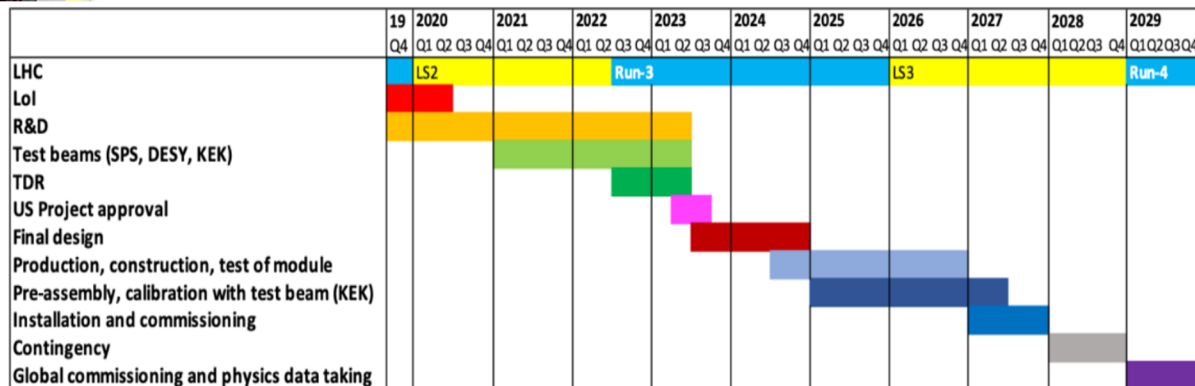
ALICE Forward Calorimeter (FoCal)



R&D studies and beam tests ongoing

Run 4 is currently scheduled for the period 2029–2032

The Technical Design Report (TDR) will be completed this year



The LHC is the Large Photon Collider

- **Ultra Peripheral Collisions (UPC)** can explore a wide range of energies using almost real photons

$$k = \gamma M_V \exp(\pm, y)$$

Up to several TeV in γp

Up to ~ 700 GeV/nucleon in γA

Up to ~ 150 GeV in $\gamma\gamma$ using UPC PbPb,

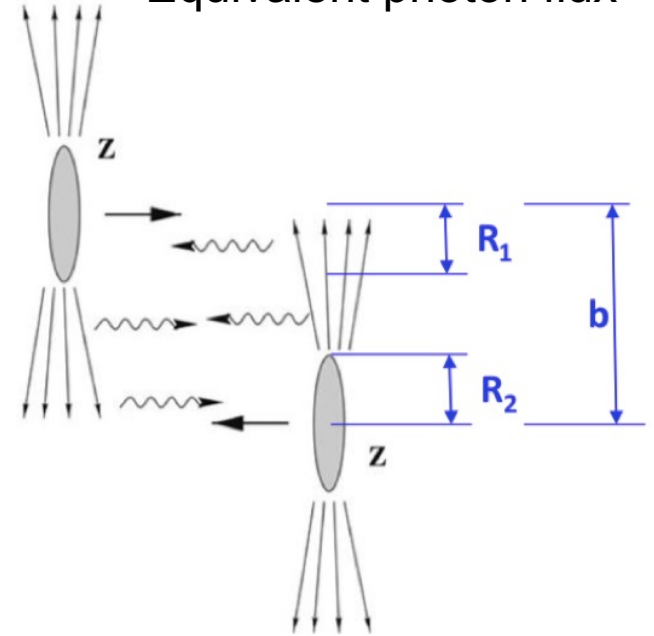
~ 4 TeV in $\gamma\gamma$ using UPC pp

- UPCs at the LHC probe the hadronic structure over broad and unique Bjoren x region, yet the precision not compatible to DIS machines like the EIC

$$x = M_V/\gamma m_p \exp(\pm, y)$$

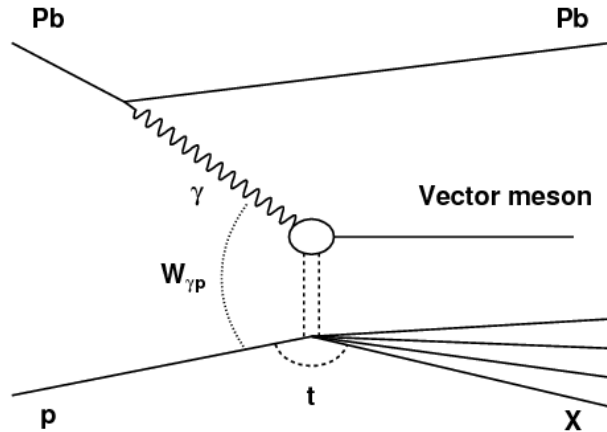
Interactions mediated by the EM interactions

Equivalent photon flux



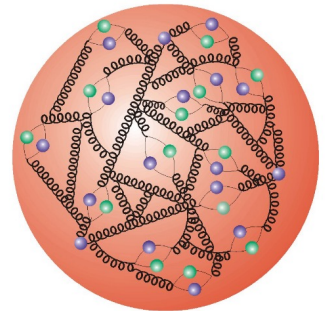
Vector meson (VM) photoproduction in UPCs

$$W_{\gamma p}^2 = 2E_p M_{J/\psi} e^{\pm y}$$



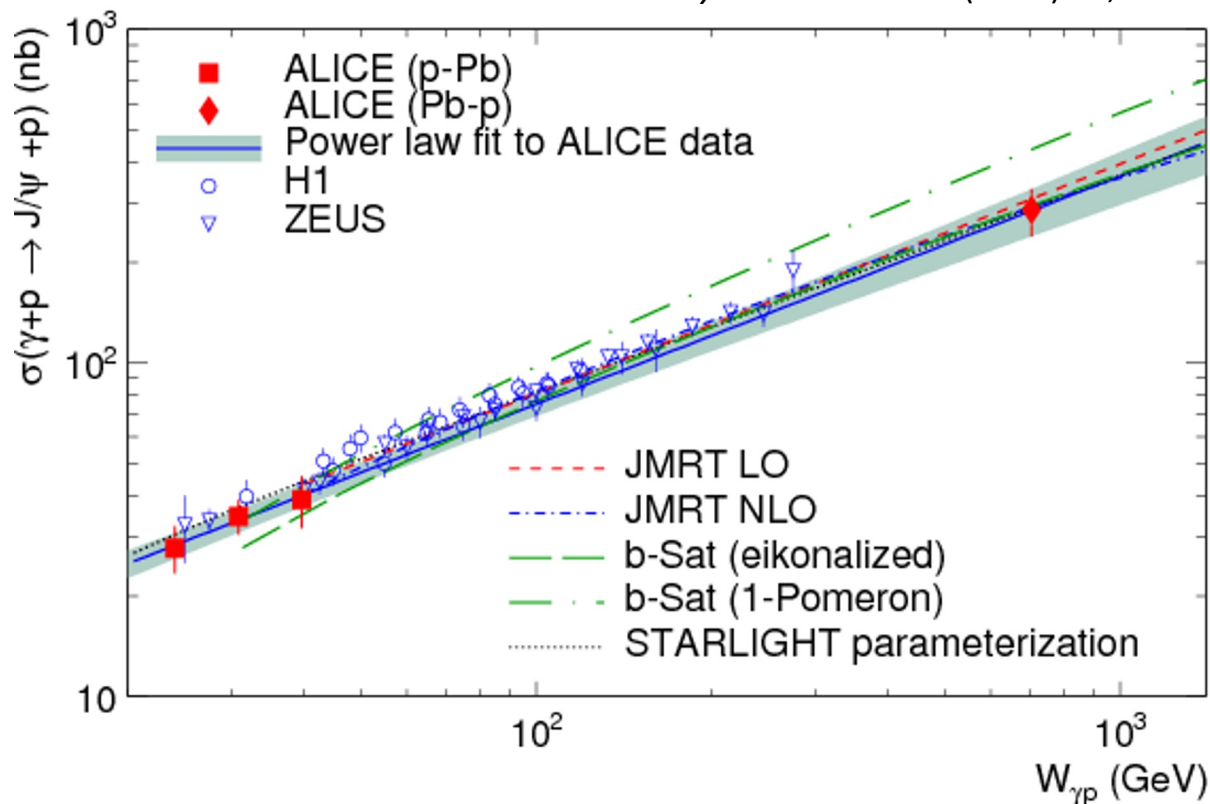
- As in DIS, several reactions are possible in UPCs:
 - Exclusive photoproduction
 - Semi-exclusive photoproduction
 - Inclusive photoproduction

- By studying various VMs, it is possible to study the Q^2 dependence
- In the dipole approach, the light VMs (ϕ , ρ^0) are more sensitive to saturation because of the larger dipole, but pQCD methods not applicable



First exclusive J/ψ measurements by ALICE using Run 1 (2013)

Phys. Rev. Lett. 113 (2014) 23, 232504

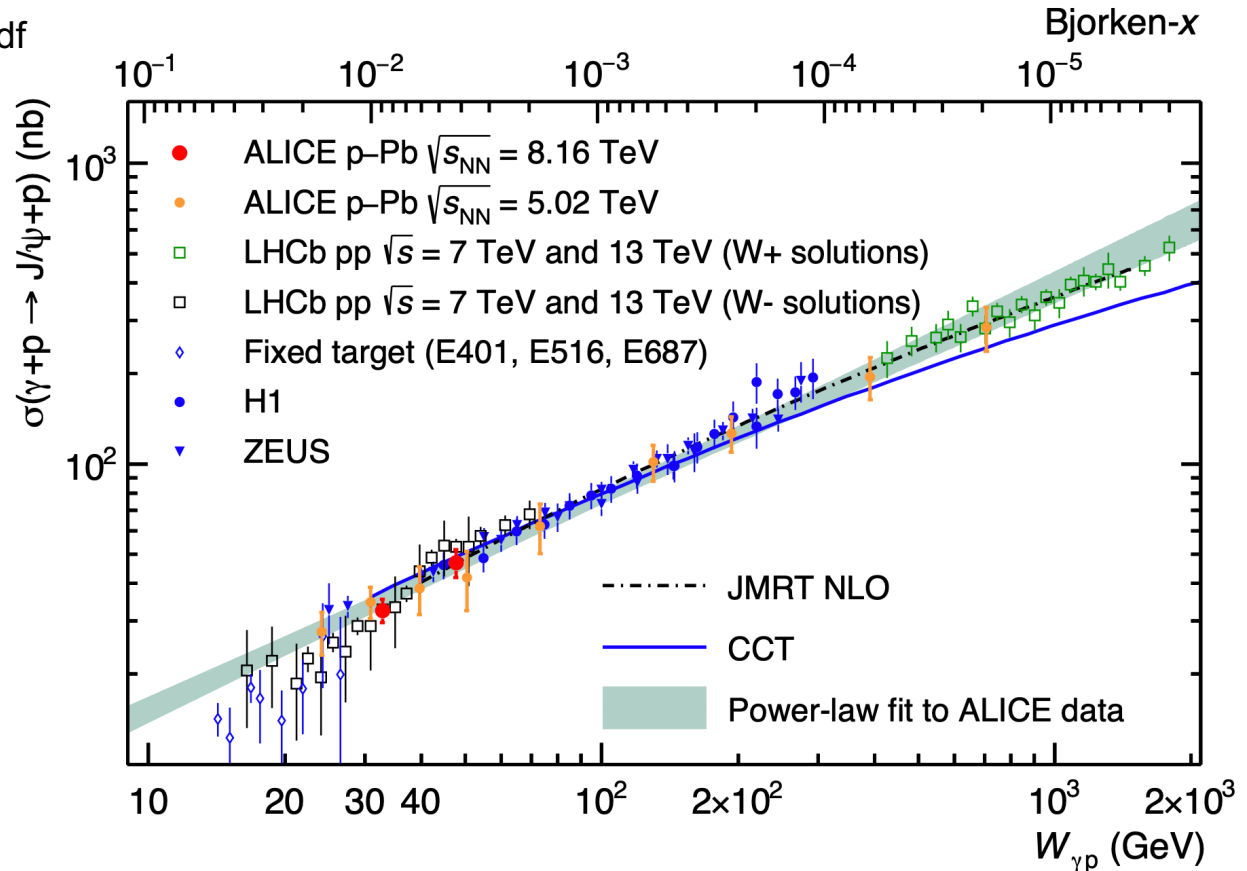


- No change with respect to HERA power-law growth observed at low energies up to 700 GeV
- UPC pPb collisions have no ambiguity on the photon energy

$$W_{\gamma p}^2 = 2E_p M_{J/\psi} e^{\pm y}$$

Recent exclusive J/ψ measurements by ALICE using Run 2 (2023)

<https://arxiv.org/pdf/2304.12403.pdf>



Two-fold ambiguity on the photon direction in symmetric systems

$$W_{\gamma p}^2 = 2E_p M_{J/\psi} e^{\pm y}$$

Symmetric systems (pp, A-A) suffer from the two-fold ambiguity on the photon direction

$$\frac{d\sigma}{dy} = \overset{\text{Positive rapidity}}{n(+y)\sigma(\gamma p, +y)} + \overset{\text{Negative rapidity}}{n(-y)\sigma(\gamma p, -y)}$$

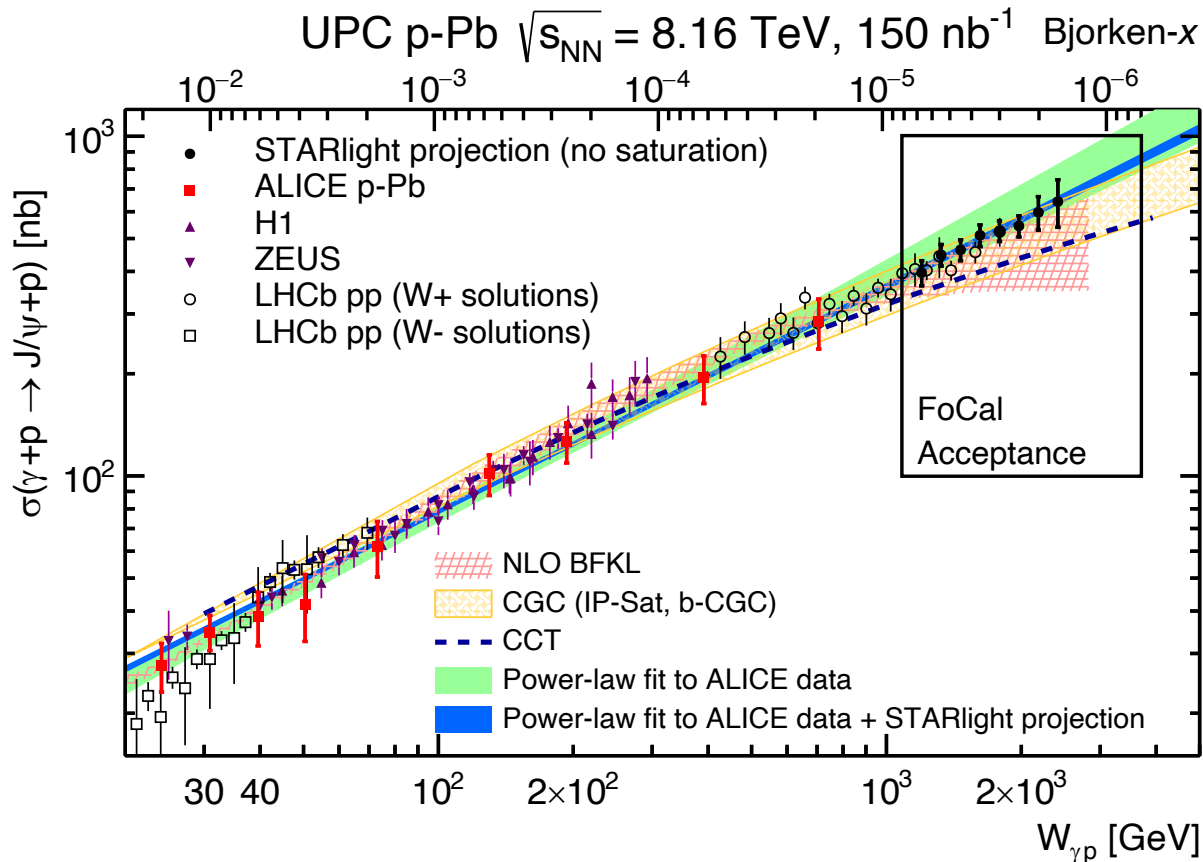
Only UPC asymmetric systems (p-Pb) analyses provide a model independent way of the energy dependence of $\sigma(\gamma p)$

UPC VM projections for FoCal

A. Bylinkin, J. Nystrand and DTT *J. Phys. G: Nucl. Part. Phys.* **50** 055105

VM	$\sigma(p + Pb \rightarrow p + Pb + VM)$	$\sigma(3.4 \leq \eta_{1,2} \leq 5.8)$	Yield
		p \rightarrow FoCal	p \rightarrow FoCal
ρ^0	35 mb	140 nb	21,000
ϕ	1.7 mb	51 nb	7,700
J/ ψ	98 μ b	400 nb	<u>60,000</u>
$\psi(2S)$	16 μ b	8.9 nb	1,300
$\Upsilon(1S)$	220 nb	0.38 nb	60
		Pb \rightarrow FoCal	Pb \rightarrow FoCal
ρ^0	35 mb	17 nb	2,600
ϕ	1.7 mb	5.3 nb	800
J/ ψ	98 μ b	36 nb	<u>5,400</u>
$\psi(2S)$	16 μ b	0.53 nb	80
$\Upsilon(1S)$	220 nb	0.67 pb	~ 0

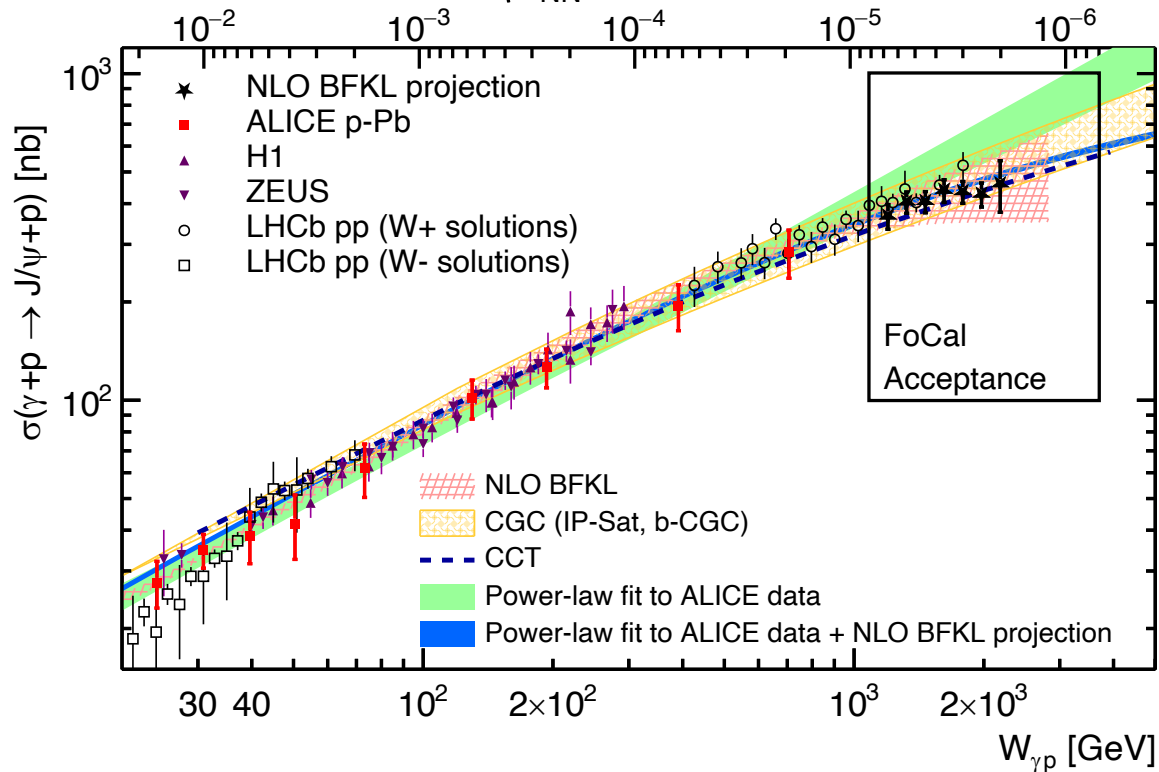
Projections for exclusive J/ψ off protons



- Deviations from a power-law trend should signal non-linear QCD dynamics
- Here, projections based on STARlight which uses a parametrization based on HERA data $\sigma_0 (W_{\gamma p}/W_0)^\delta$
- For all figures, 60% efficiency. Conservative assumption after acceptance selection

Projections for exclusive J/ψ off protons

UPC p-Pb $\sqrt{s_{NN}} = 8.16 \text{ TeV}$, 150 nb^{-1} Bjorken- x



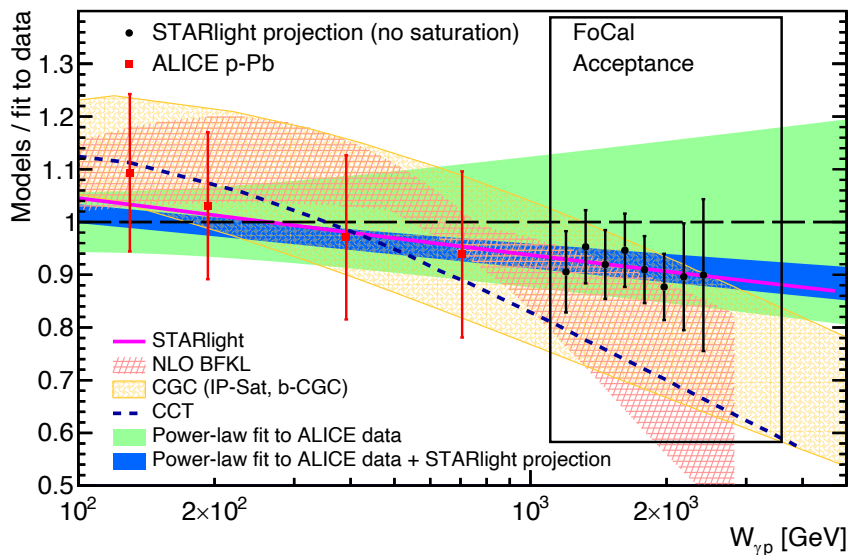
- Projections assuming a broken power-law
- Projected points based on NLO BFKL calculation

$$\sigma(\gamma p) \approx \frac{\sigma_0}{\frac{1}{W_{\gamma p}^\delta} + A}$$

Projections for exclusive J/ψ off protons

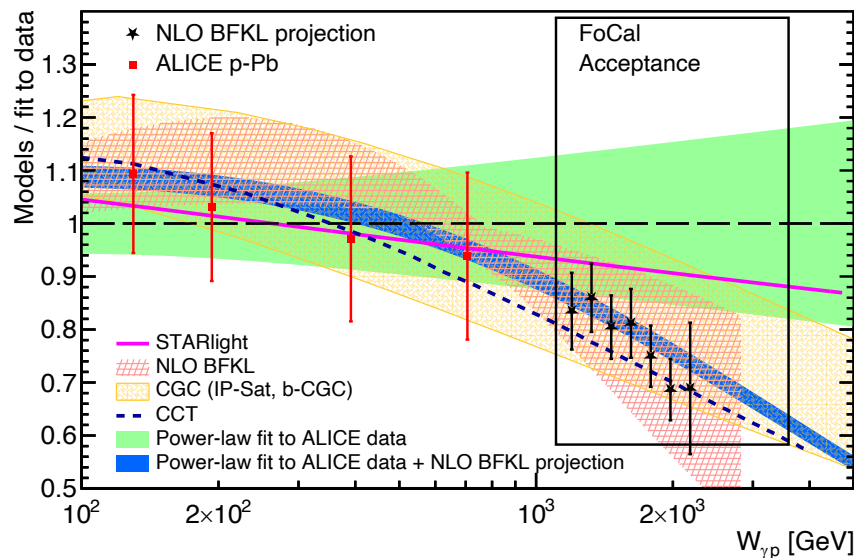
Power-law behavior (STARlight)

UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}



Broken power-law behavior (NLO BFKL)

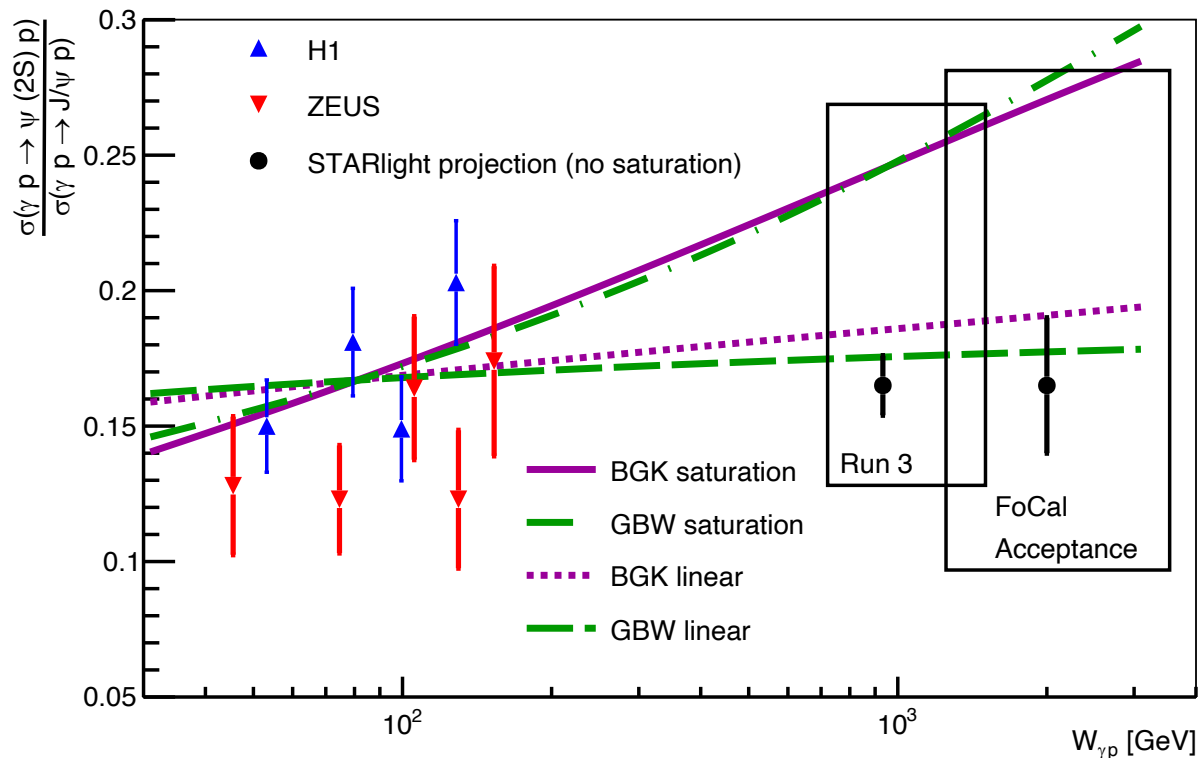
UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}



FoCal measurement would be sufficient to observe a deviation from a power law behavior, if exists

Projections for exclusive $\psi(2S)$ and J/ψ cross section ratio in γp

UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}

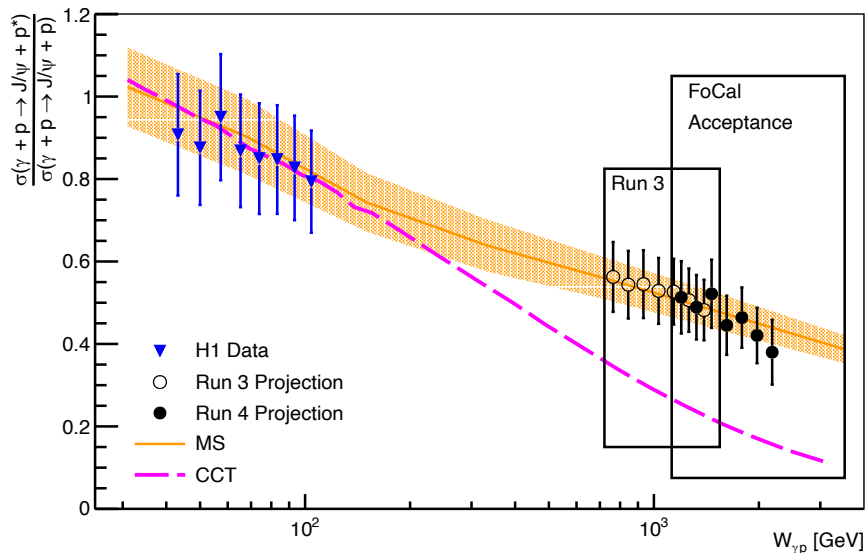


- Different wave functions and dipole sizes evolution result in great sensitivity to non-linear QCD effects
- No sensitivity at HERA, but expected at the LHC
- Projections here based on STARlight

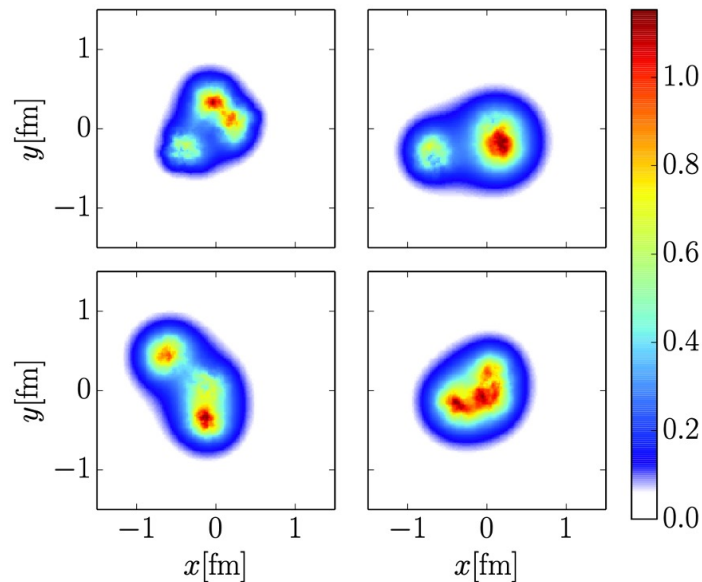
Projections for dissociative J/ψ cross section ratio in γp

J. Cepilia, J.G. Contreras and DTT
 Phys. Lett.B 766 (2017) 186-191

UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}



Projections here based on the MS model
 Event-by-event fluctuations



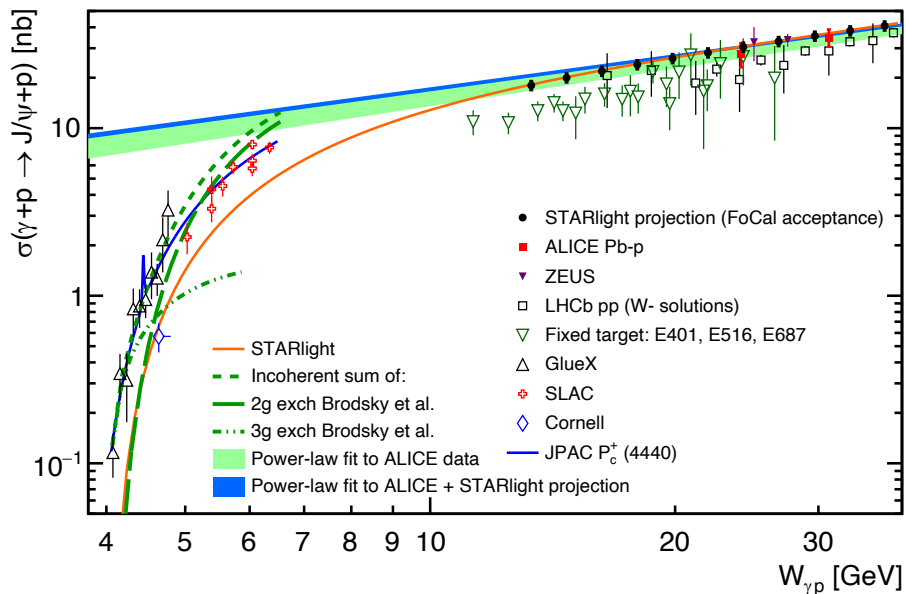
In the Good-Walker approach,
 sensitive to subnucleonic
fluctuations of the gluon density

$$\frac{d\sigma(\gamma p \rightarrow J/\psi Y)}{dt} = \frac{R_g^2}{16\pi} \left(\left\langle \left| A(x, Q^2, \vec{\Delta}) \right|^2 \right\rangle - \left| \langle A(x, Q^2, \vec{\Delta}) \rangle \right|^2 \right)$$

Mantysaari and Schenk, PRD 94, 034042 (2016)
 S. Klein arXiv:2301.014018

Near threshold and intermediate energies for exclusive J/ψ in γp

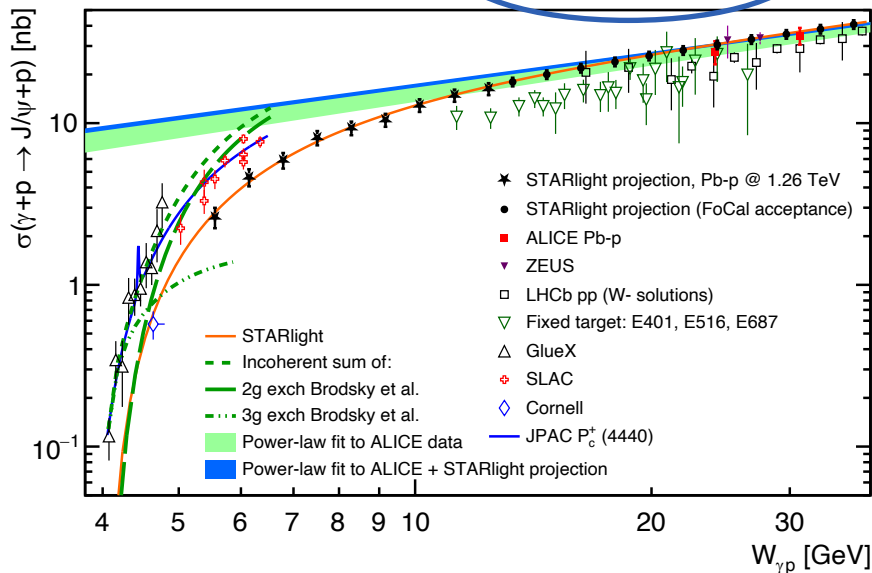
UPC Pb-p $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}



Near-threshold production motivated by spectroscopy, pentaquarks, etc

A special pPb run could be requested to explore near threshold production

UPC Pb-p $\sqrt{s_{NN}} = 1.26$ TeV, 20 nb^{-1}



Projections for VMs in γ Pb

$$\mathcal{L} = 7.0 \text{ nb}^{-1}$$

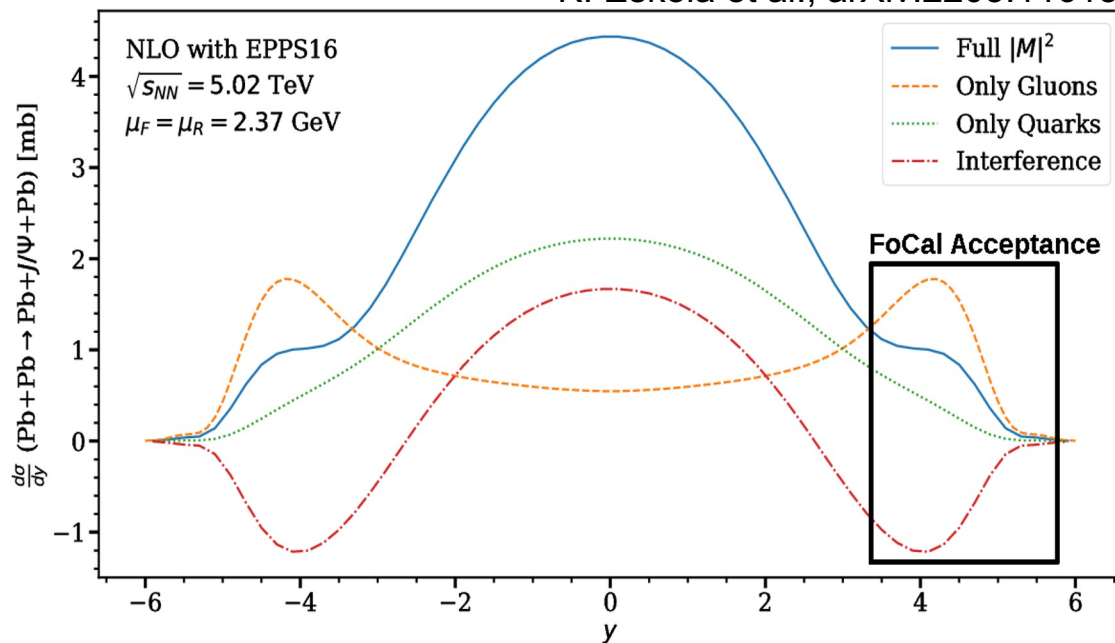
VM	$\sigma(\text{Pb} + \text{Pb} \rightarrow \text{Pb} + \text{Pb} + \text{VM})$	$\sigma(3.4 \leq \eta_{1,2} \leq 5.8)$	Yield
ρ^0	5.0 b	20 μb	140,000
ϕ	440 mb	10 μb	70,000
J/ψ	39 mb	53 μb	<u>370,000</u>
$\psi(2S)$	7.5 mb	1.1 μb	7,500
$\Upsilon(1S)$	94 μb	5.0 nb	35

Projections for VMs in γ Pb

Recent NLO calculations indicate importance of quark contribution and large scale uncertainties

The FoCal region is gluon dominated

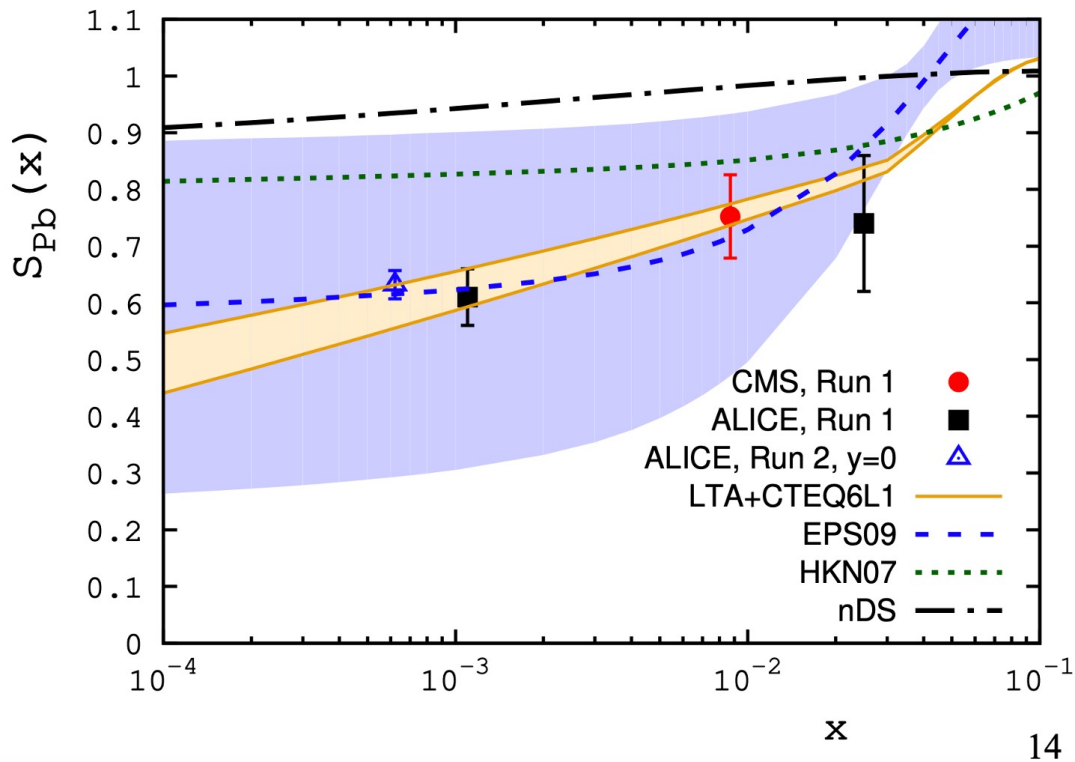
K. Eskola et al., arXiv:2203.11613



- At LO predicted to be proportional to the square of the gluon density (Z. Ryskin Phys. C 57, 89 (1993), but several caveats
- UPC J/ψ also described by Generalized Parton Distributions (GPDs), with some theory considerations

Nuclear suppression factor for UPC J/ψ : Comparing γPb to γp

V. Guzey et al. PLB 726 (2013)



An experimental definition, which can be linked to PDFs at LO

$$S_{Pb}(x) = \sqrt{\frac{\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p})}{\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p})}} = \kappa_{A/N} \frac{xg_A(x, \mu^2)}{Axg_N(x, \mu^2)}$$

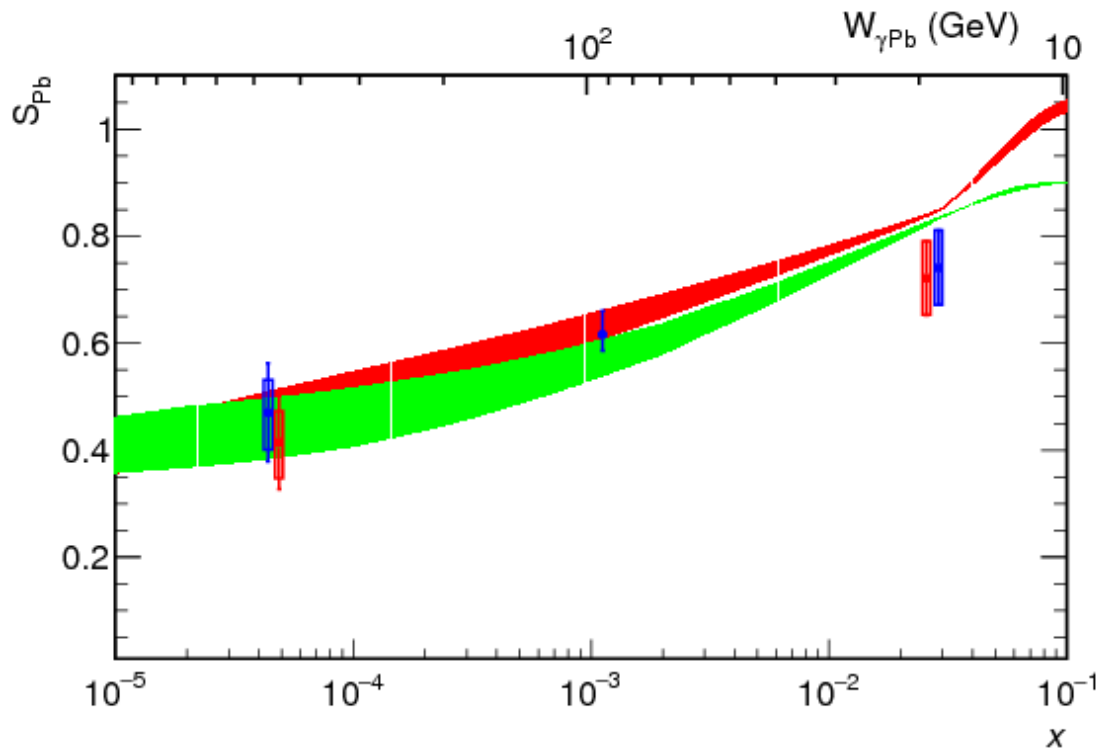
Run 1 data from ALICE was the first at indicating nuclear gluon shadowing at $x \sim 10^{-3}$

Large scale NLO uncertainties should cancel in the $S_{Pb}(x)$ ratio

ALICE results at $y=0$ have no ambiguity on the photon energy determination

Nuclear suppression factor for peripheral (not UPC) J/ψ

J.G. Contreras, *Phys. Rev. C* 96 (2017) 1, 015203



Run 1 data from ALICE observed Coherent-like J/ψ from peripheral hadronic PbPb events. Process later confirmed by STAR

The photon flux depends on the impact parameter, these peripheral J/ψ explore γP energies beyond coherent J/ψ at the same y interval at the same cms energy

Sensitivity to $x \sim 10^{-5}$

Neutron-dependence of coherent J/ψ in γPb

The photon flux (n) depends on the impact parameter

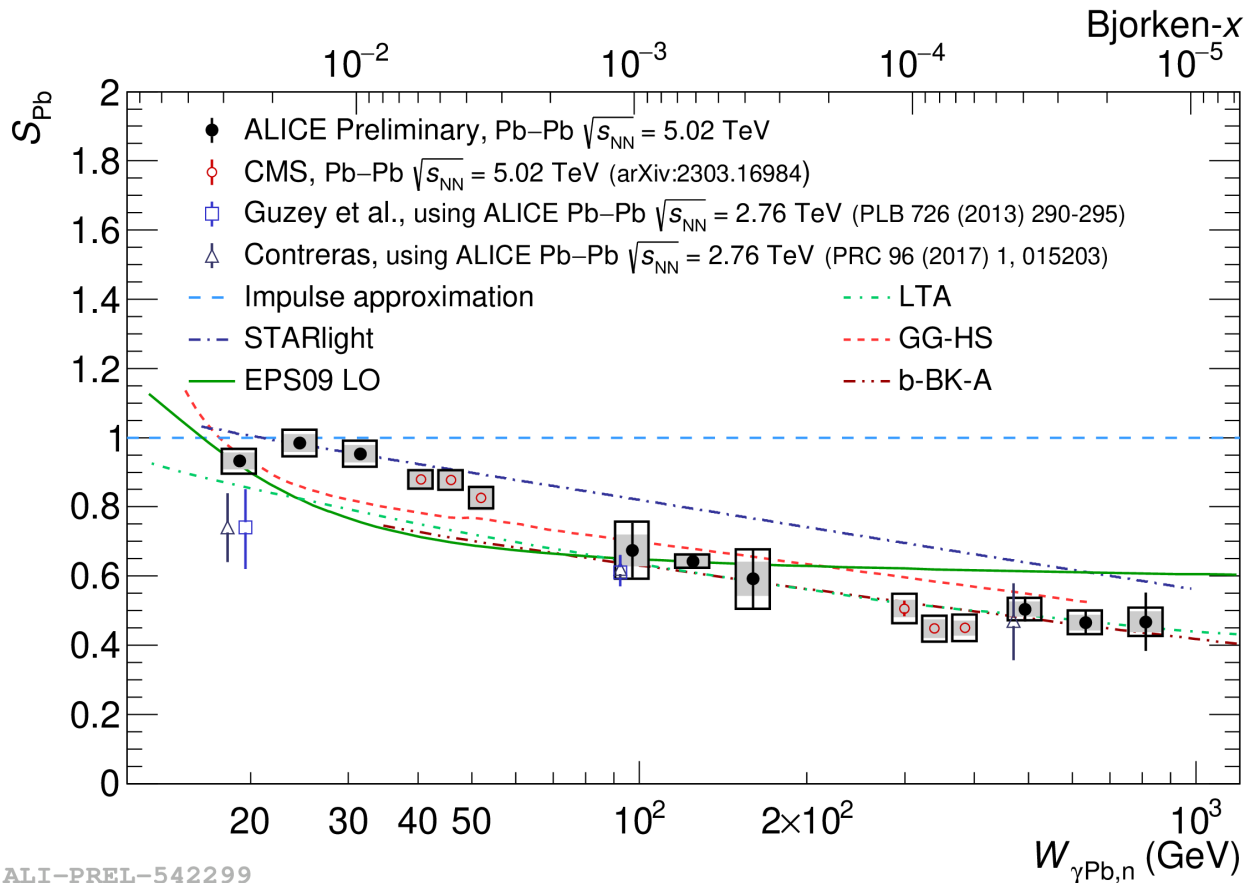
Decomposed in terms of neutron configurations emitted in the forward region

$$\frac{d\sigma}{dy} = \frac{d\sigma(0n0n)}{dy} + 2\frac{d\sigma(0nXn)}{dy} + \frac{d\sigma(XnXn)}{dy}$$

Solving the linear equations resolves the two-photon ambiguity for VMs at $y \neq 0$

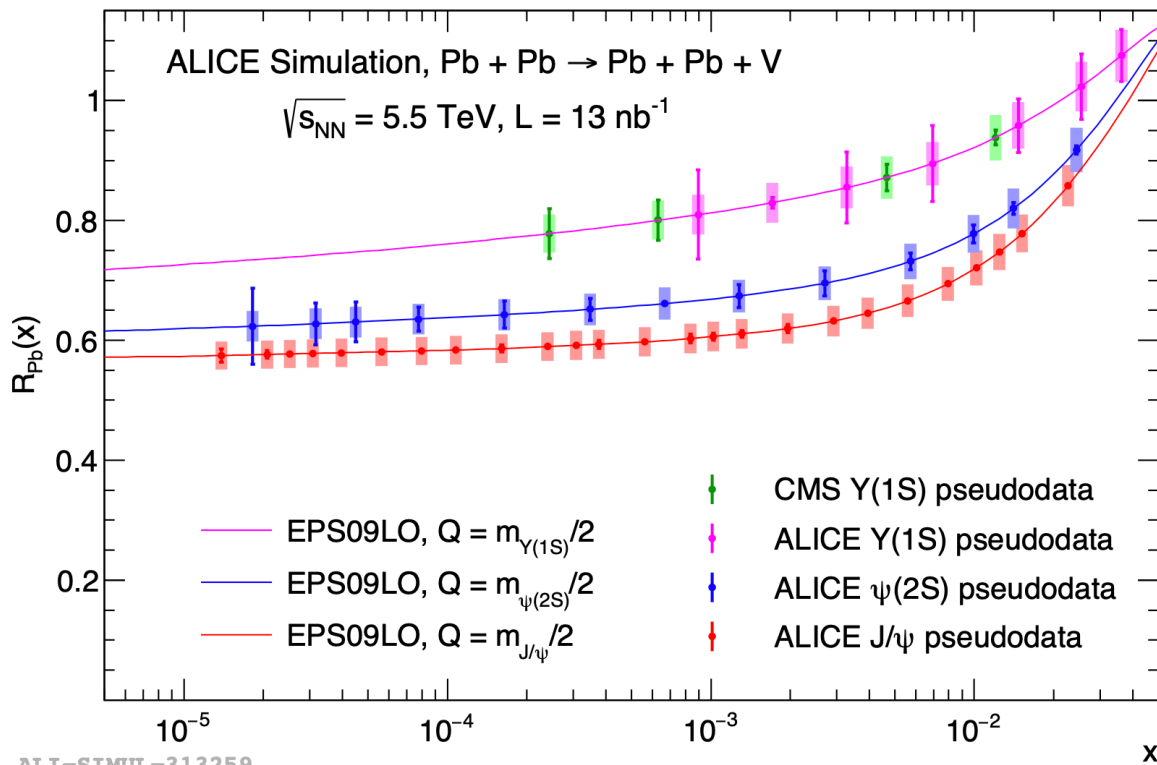
$$\frac{d\sigma}{dy} = \overset{\text{Positive rapidity}}{n(+y)\sigma(\gamma p, +y)} + \overset{\text{Negative rapidity}}{n(-y)\sigma(\gamma p, -y)}$$

Run 1 and Run 2 results – latest results



Projections for Run 3+4 – without FoCal

Z. Citron et al. CERN Yellow Rep.Monogr. 7 (2019) 1159-1410



- Projections consider neutrons-dependence analysis for VMs at $y \neq 0$
- Analysis combined Run 3+4 statistics, important precision expected, while FoCal will explore further down in x where the gluon contribution is dominant

Neutron-dependence of coherent J/ψ in γPb

Decomposed in terms of neutron configurations emitted in the forward region

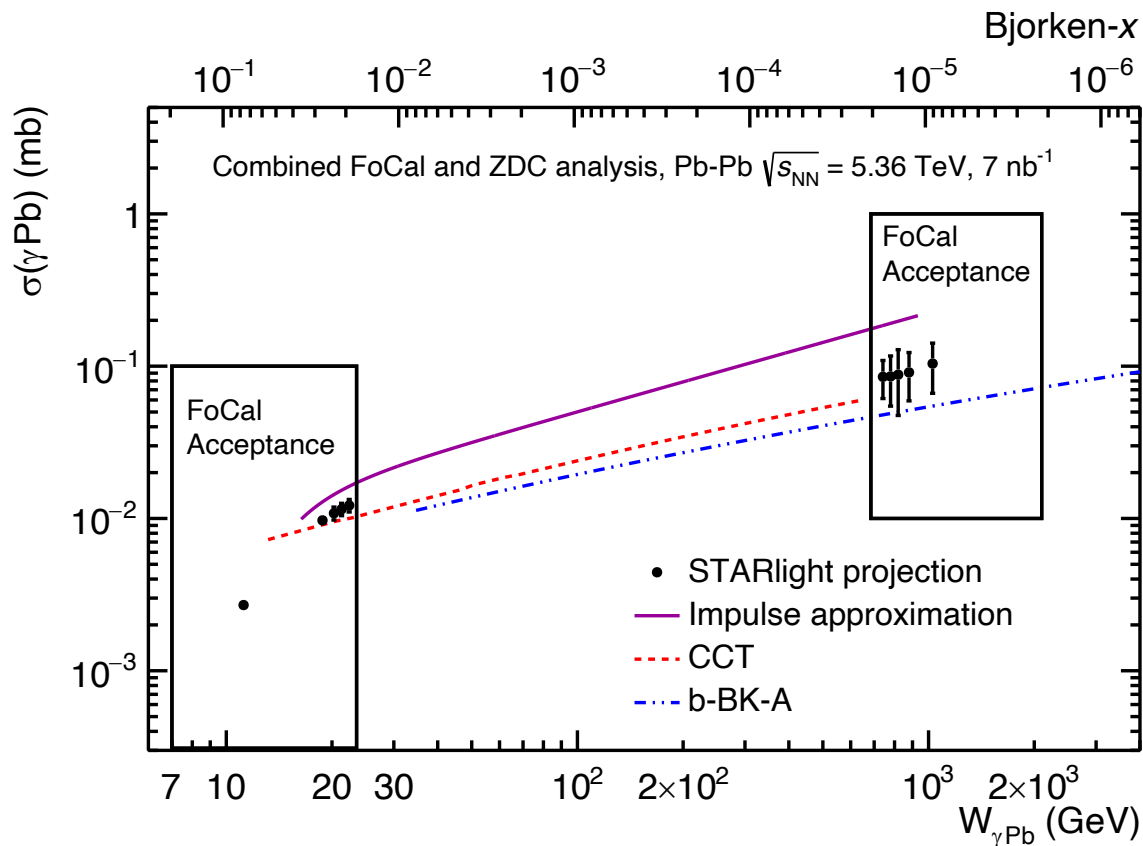
$$\frac{d\sigma}{dy} = \frac{d\sigma(0n0n)}{dy} + 2\frac{d\sigma(0nXn)}{dy} + \frac{d\sigma(XnXn)}{dy}$$

Neutron configuration	$\sigma(\text{Pb} + \text{Pb} \rightarrow J/\psi + \text{Pb} + \text{Pb})$	$\sigma(3.4 \leq \eta_{1,2} \leq 5.8)$	Yield
0n0n	28.8 mb	47 μb	329,000
0nXn + Xn0n	7.3 mb	5.0 μb	35,000
XnXn	3.0 mb	2.0 μb	14,000

Solving the linear equations resolves the two-photon ambiguity for VMs at $y \neq 0$

$$\frac{d\sigma}{dy} = n(+y)\sigma(\gamma\text{p}, +y) + n(-y)\sigma(\gamma\text{p}, -y)$$

Projections for Neutron-dependence of coherent J/ψ in γ Pb



- Neutrons measured with Zero Degree Calorimeters
- Projections based on STARlight
- ALICE will be the only detector capable of explore $x \sim 10^{-6}$ in Pb thanks to FoCal

Summary

- FoCal will enable a unique physics program at the LHC, complementary to the EIC
- The **LHC is the energy frontier collider for photon-induced processes** using Ultra Peripheral Collisions
- Strong research program in UPCs for probing the hadronic structure at high energies. New measurements and instrumentation in the forward region needed
- **FoCal will explore the Bjorken x region of a few 10^{-6} for proton and nuclei** using UPC VMs, potential to observe deviations of non-linear QCD effects at high energies via comprehensive physics program.
- Examples of other UPC analyses not discussed:
 - Momentum-transfer (t) dependence of VMs
 - Inclusive photoproduction, angular correlations
 - Dijet photoproduction, two-photon process

More about FoCal

- CERN-LHCC-2020-009 ; LHCC-I-036
<https://cds.cern.ch/record/2719928?ln=es>
- ALICE-PUBLIC-2023-001
<https://cds.cern.ch/record/2858858>
- A. Bylinkin, J. Nystrand and DTT J. Phys. G: Nucl. Part. Phys. 50 055105