

# 3D proton structure: from partons to strong fields

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Yacine Mehtar-Tani - BNL/RBRC

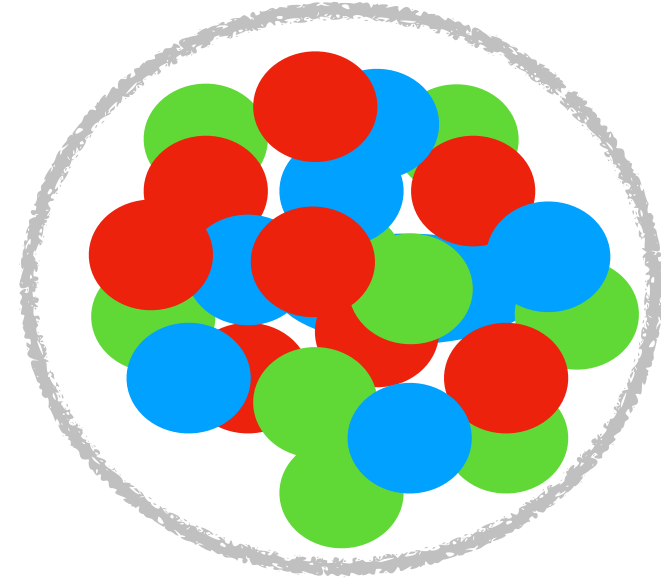
In collaboration with Renaud Boussarie

2001.06449, 2006.14569, 2112.01412 [hep-ph]

CGC at the EIC @ ECT\*, Trento

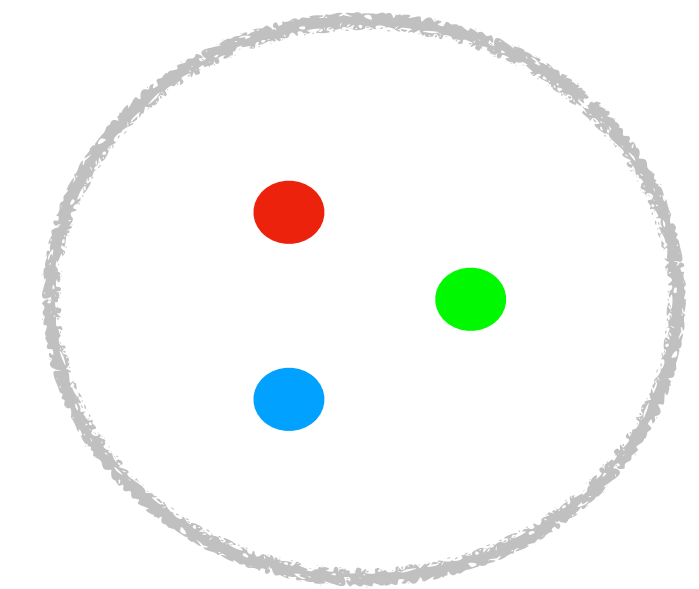
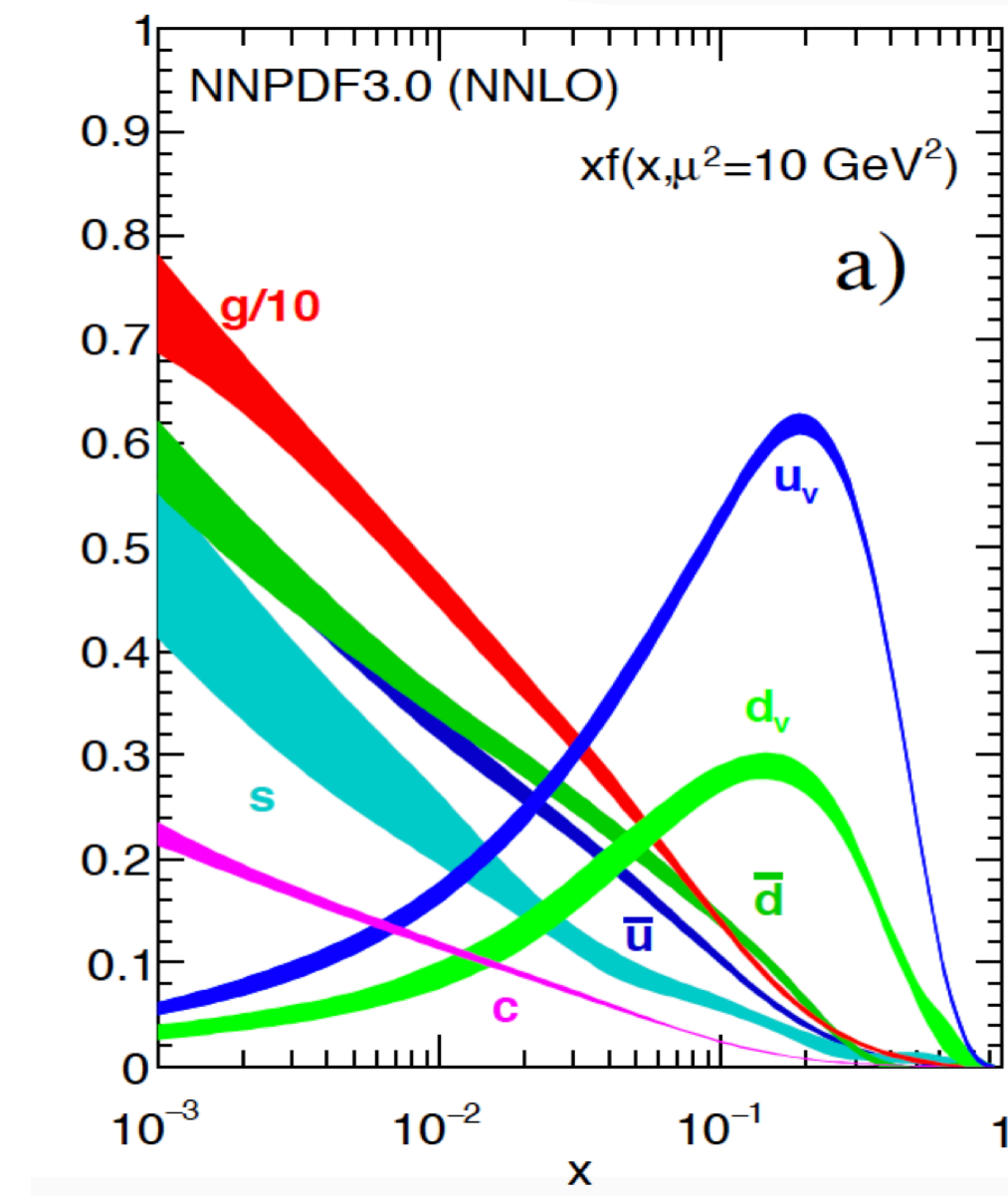
May 15-19, 2023

# Motivation



Strong fields  $A^\mu \sim 1/g$

Parton distribution function



Partons

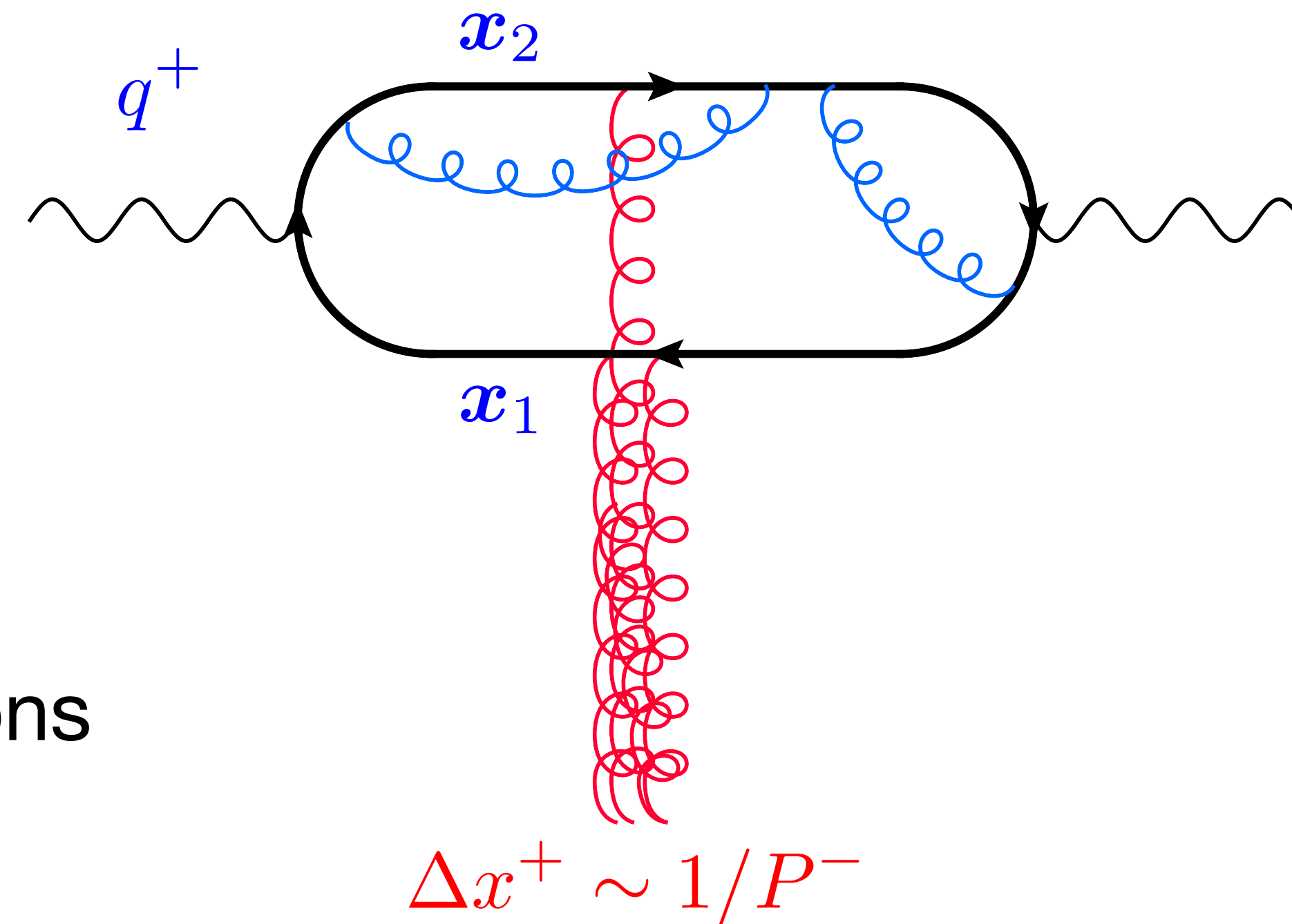
- The 3D imaging of the nucleon largely based on the weakly interacting parton picture
- **Saturation:** Parton picture expected to break down at small  $x$ . Relevant d.o.f.'s: strong classical fields
- Connection of these two pictures not clear but crucial to properly interpret experimental results

Can we construct a framework that  
encompasses the two regimes of QCD?

# High energy factorization

- **Building block:** path ordered exponential (Wilson line)

$$U_x \equiv [+ \infty, - \infty]_x = P \exp \left[ ig \int_{-\infty}^{+\infty} dx^+ A^-(x^+, \mathbf{x}) \right]$$



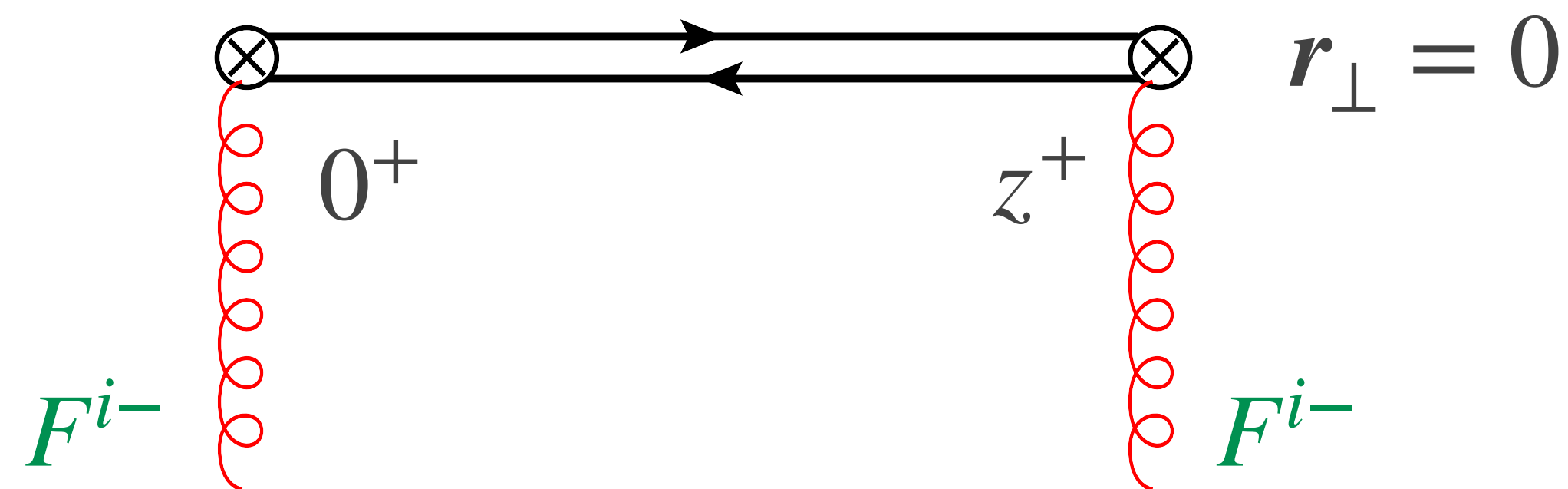
- **Coherent scattering** off longitudinally polarized gluons
- **Gluon distribution** encoded in dipole operator

$$G(\mathbf{k}_\perp) \sim \int d^2 \mathbf{k}_\perp \langle P | \text{Tr} U_r U_0^\dagger | P \rangle e^{-i \mathbf{r}_\perp \cdot \mathbf{k}_\perp}$$

# Two kinds of distributions

Gluon PDF at moderate x

$$\langle P | F^{i-}(z^+) W F^{i-}(0^+) W^\dagger | P \rangle e^{iz^+ x P^-}$$

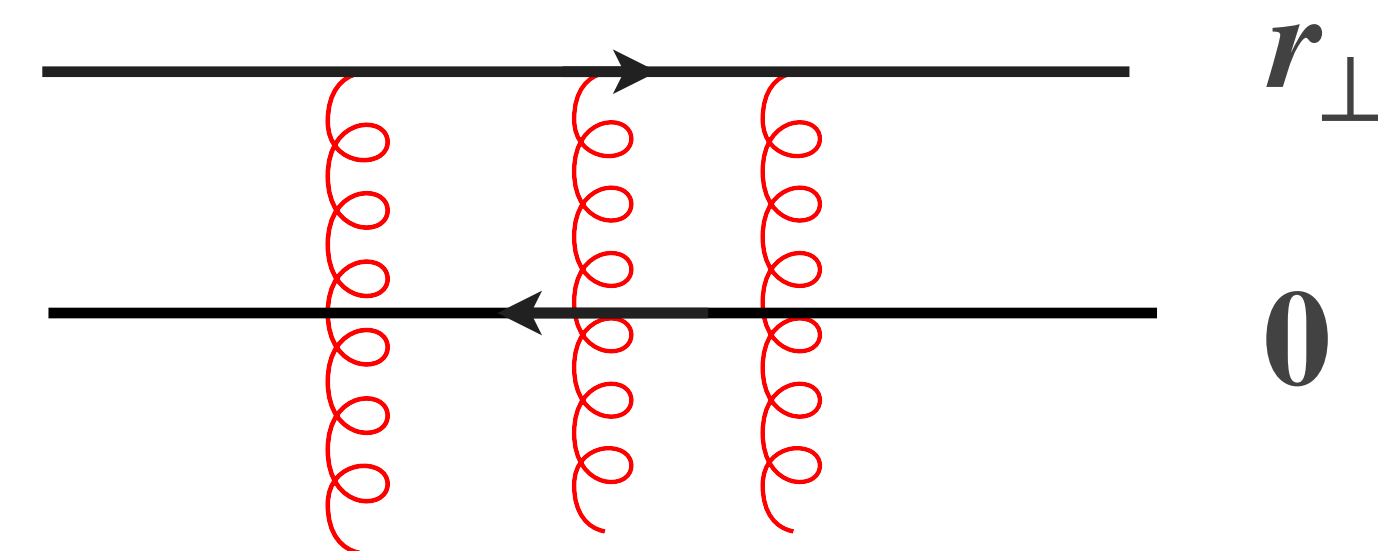


$$F^{i-} \equiv \partial^i A^- - \partial^- A^i - ig[A^i, A^-]$$

on-shell gluon

Dipole gluon distribution at small x

$$G(k_\perp) \sim \int d^2 k_\perp \langle P | \text{Tr } U_r U_0^\dagger | P \rangle e^{-r_\perp \cdot k_\perp}$$



$A^-$

off-shell gluon, strong background field

# Diagnosing small $x$

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- Dipole distribution evaluated in the strict  $x = 0$  limit
- Hard part integrated over  $x$

Bjorken limit

$$s \sim Q^2$$

$$\sigma \sim \int dx H(x) f(x) + O(Q^{-2})$$

Regge limit

$$s \gg Q^2$$

$$\sigma \sim f(0, k_{\perp}) \int dx H(x, k_{\perp}) + O(s^{-1})$$

- Works only if  $f(x) \sim \text{const}$  however  $f(x) \sim x^{-\Delta}$  (via quantum evolution)

# Diagnosing small $x$

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- In the Regge limit distributions (operators) evaluated strictly at  $x=0$

$$f(x=0, k_{\perp})$$

- No  $x$  dependence at LO: quantum evolution generates rapidity dependence. **Ambiguous connection to  $x$ .**
- The dipole model is inconsistent with exact  $x$  kinematics: **breakdown of dipole size conservation**

Bialas, Navelet and Peschanski (2000)

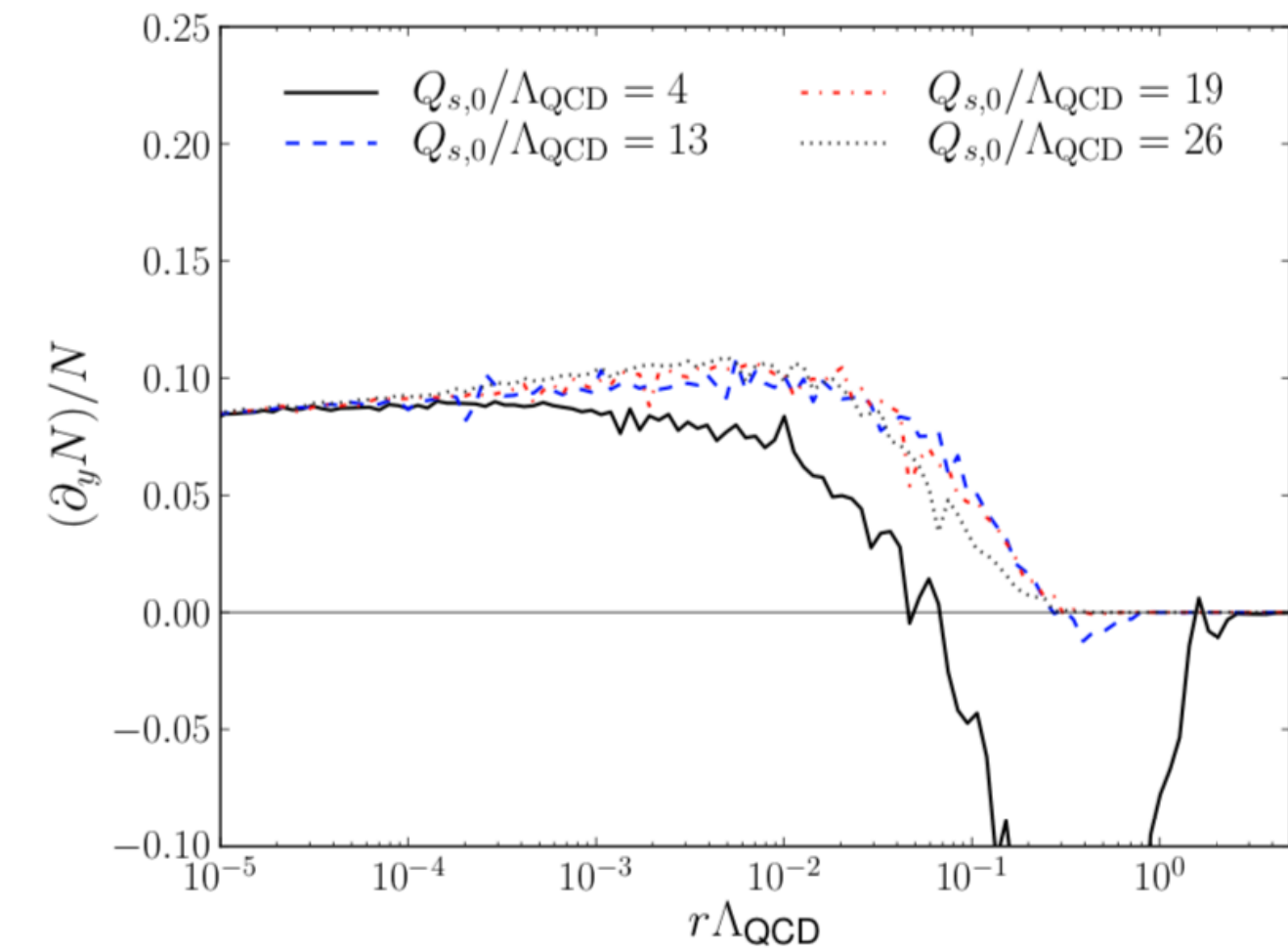
Our main conclusion is that, although equivalent at the leading logarithm level, the extensions of the dipole model and those based on  $k_T$  factorization to the next-to-leading order lead to results *which are not compatible with each other.* This conclusion emphasizes the urgent need for the full next order calculation<sup>2</sup> which would settle the question of validity of the two most



# Diagnosing small $x$

- Instability of NLO BFKL/BK: rapidity  $Y \equiv \log(q^+/\Lambda^+)$  evolves independently from  $r_\perp$  violating  $k^- = xP^-$  ordering (producing large collinear logs)

Lappi and Mäntysaari (2015)



Beuf (2014) Ducloué, Iancu, Mueller, Soyez, Triantafyllopoulos (2015-2019)

- Similar issues in forward hadron production in pA and forward dijet production in DIS
- Ad hoc solutions: restoring kinematic constraint in  $k^-$ , resummation, better choice of evolution variable  $\eta \sim \log k^-$

Salam (1998), Shi, Wang, Wei, Xiao (2021) Liu, Xie, Kang, Liu, (2022) Caucal, Salazar, Schenke, Venugopalan, (2022) Taelis, Altinoluk, Beuf, Marquet (2022)



# Why go beyond Shock Wave?

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- Systematic treatment of the collinear corner of phase-space
- Smooth connection with Bjorken limit (leverage the phenomenological success of the parton model)
- Spin dependent observables at small  $x$

# Beyond shock wave approximation

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- Sub-eikonal expansion around the shock wave  $\delta(x^+)$  [Agostini, Altinoluk, Armesto, Beuf, Martinez, Moscoso, Salgado] [Kovchegov, Sievert, Pitonyak]
- Expansion in the boost parameter [Chirilli]; [Altinoluk, Beuf, Czajka, Tymowska]
- Addition of a single additional hard scattering [Jalilian-Marian]

## Our approach:

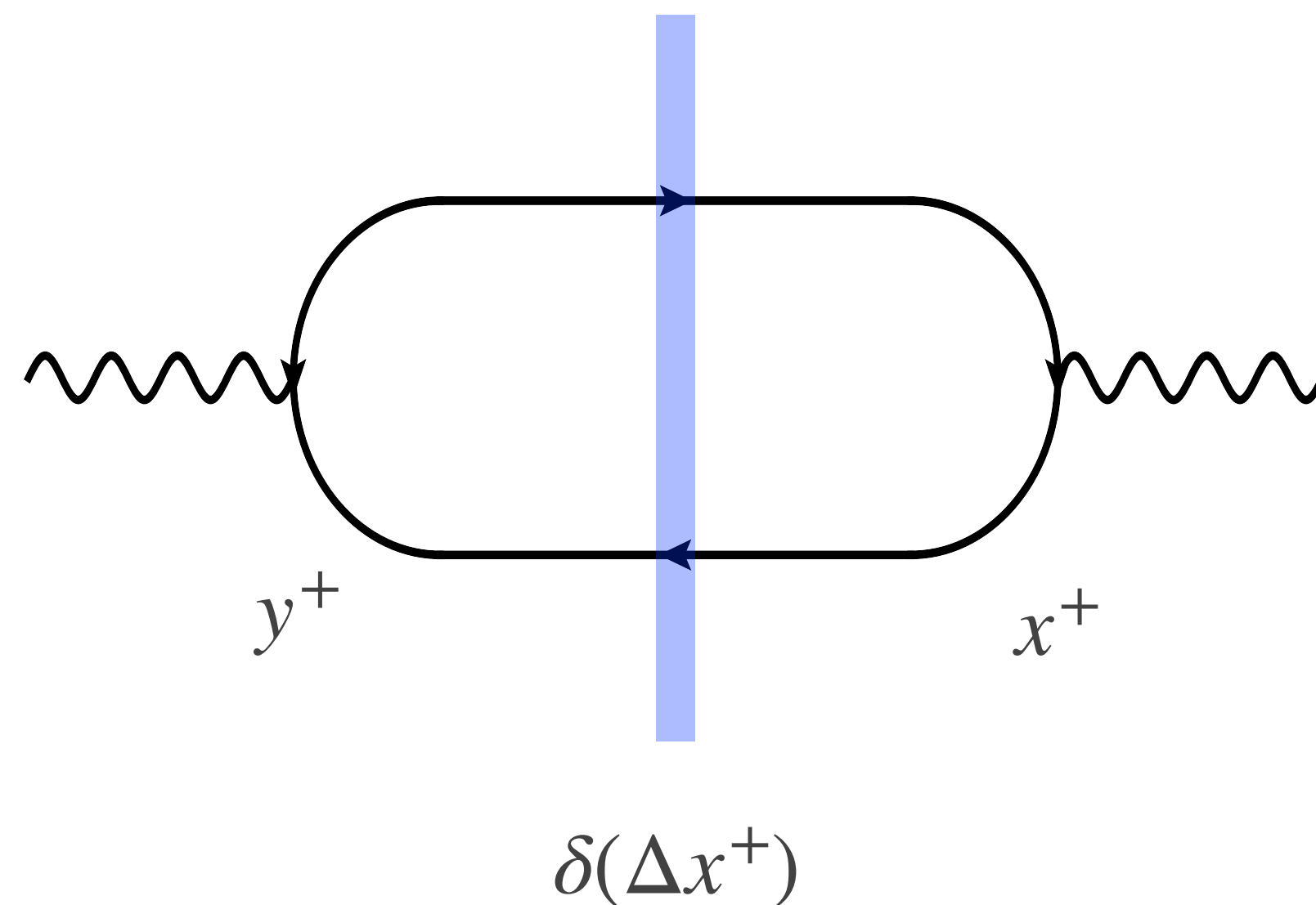
- revisit the shock wave factorization scheme to restore the **x dependence** of the gluon distribution - factorization in  $k^+$  [Balitsky-Tarasov]
- perform a **partial twist expansion** to connect Regge and Bjorken limits

$$f(k_{\perp}, \boldsymbol{x}) + \mathcal{O}\left(\frac{x_{\text{Bj}}}{Q^2}\right)$$

# Longitudinal structure of the target

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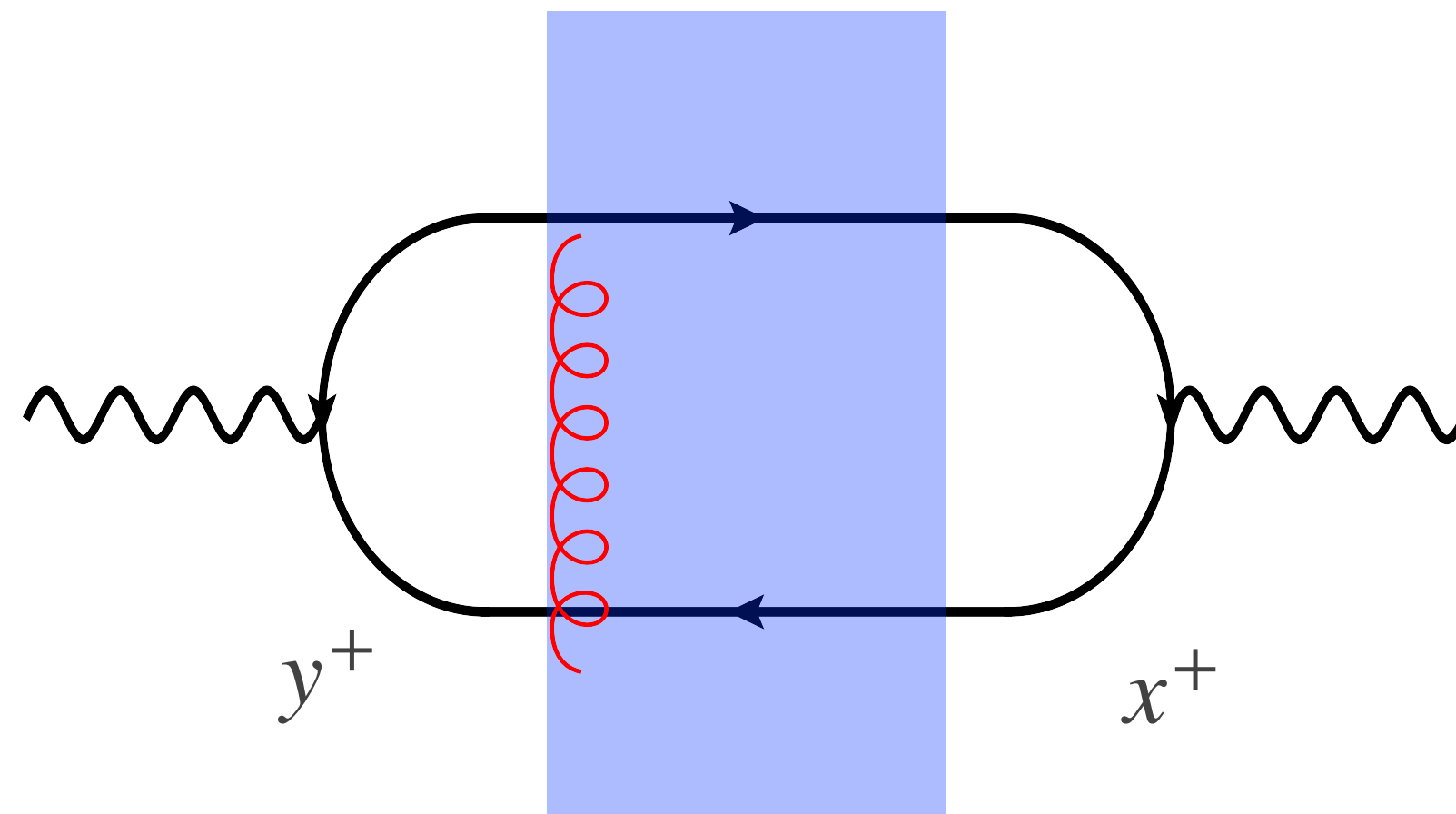
- **Decoupling of time integrals in the shock wave approximation at leading power in  $s$** 
  - times of the photon splitting into quark antiquark pair are integrated from  $0 < x^+ < +\infty$  and  $-\infty < y^+ < 0$
  - Times of the background field in the target integrated from  $-\infty$  to  $+\infty$



# Longitudinal structure of the target

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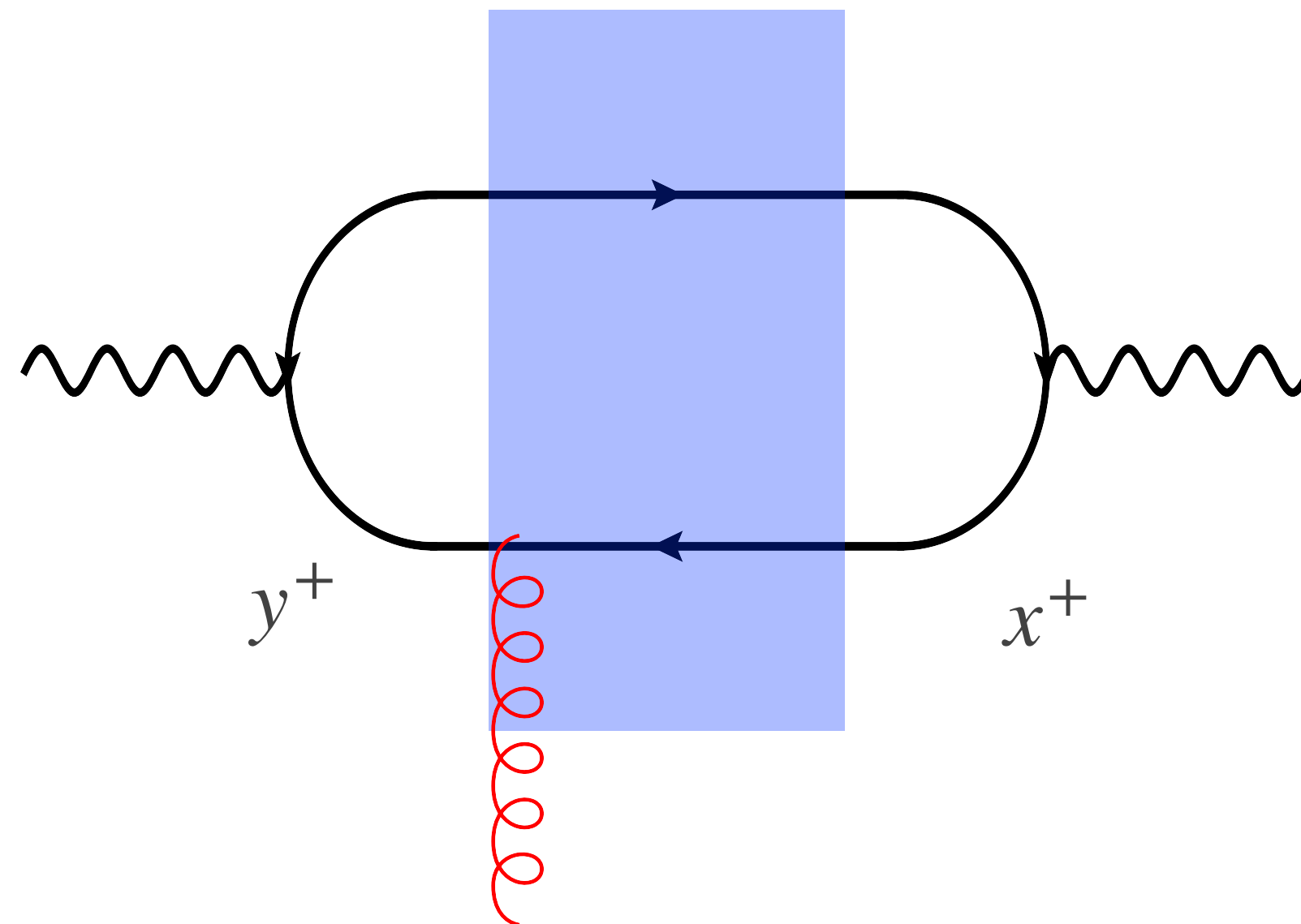
- **To restore  $x$  dependence: need to keep time ordering and convolution**
  - To do so: identify the first and last interaction with the target
  - There are 4 contributions: 2 for the initial time + 2 for the final time



# Longitudinal structure of the target

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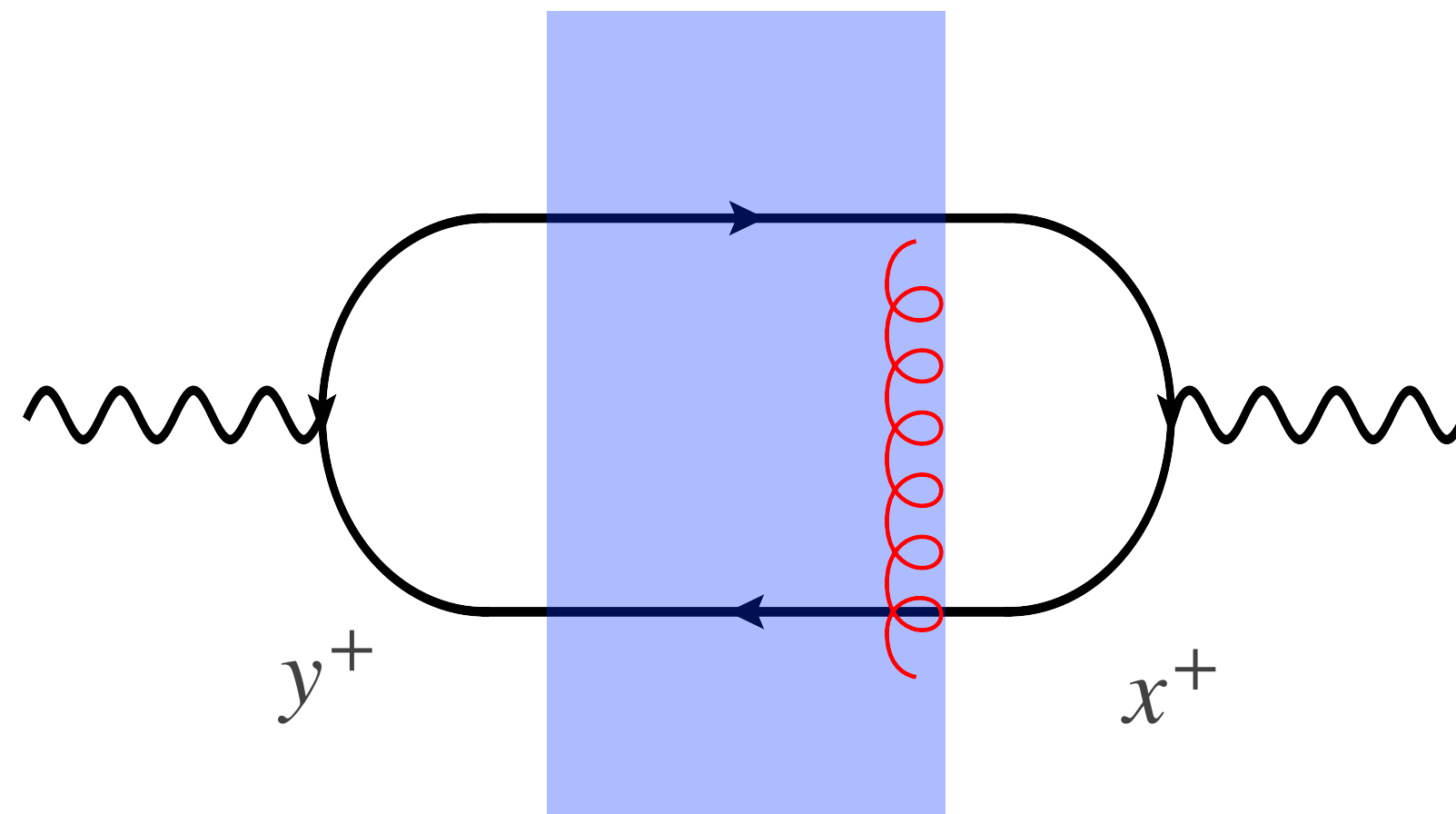
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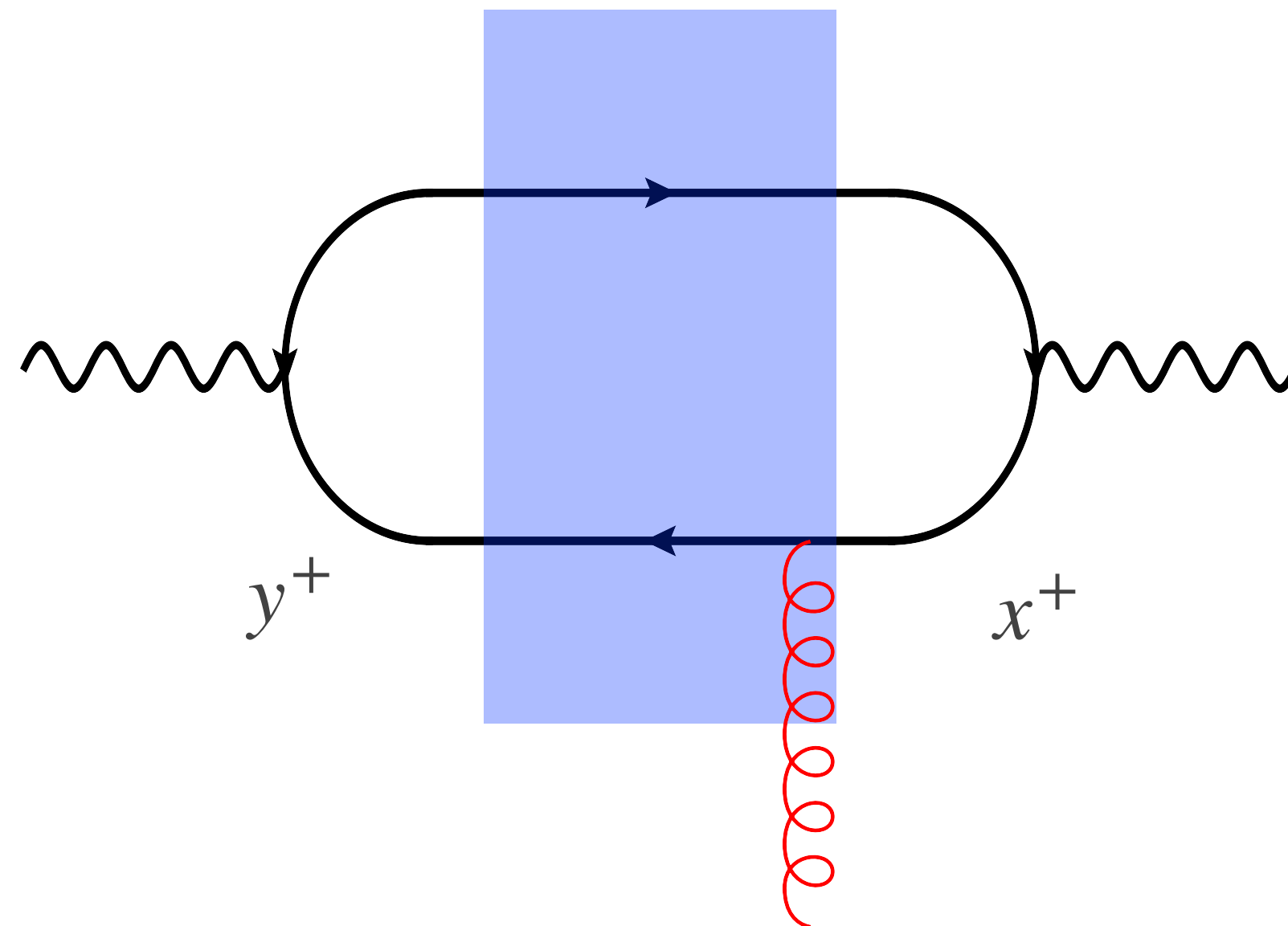
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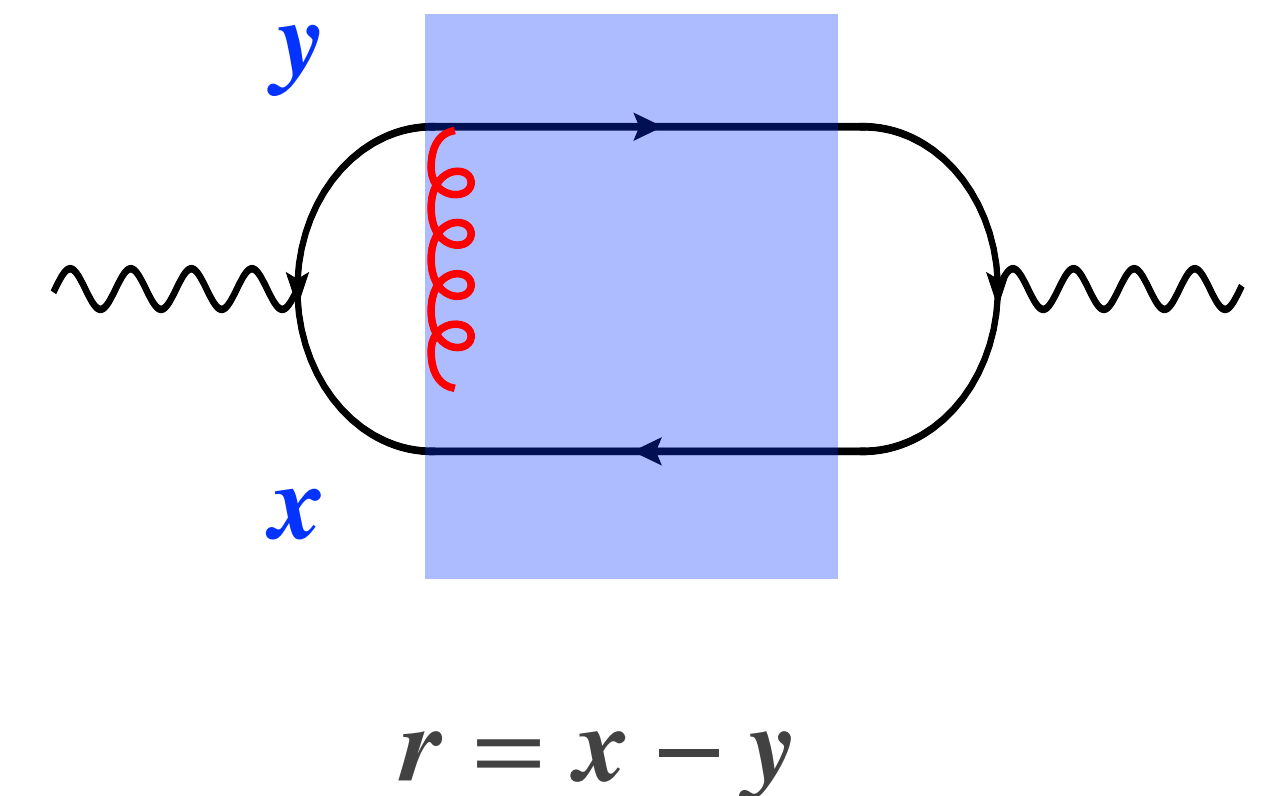
# Longitudinal structure of the target

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- **To restore  $x$  dependence: need to keep time ordering and convolution**
  - To do so: identify the first and last interaction with the target
  - There are 4 contributions: 2 for the initial time + 2 for the final time
  - 4 terms combine into one! (restores explicit gauge invariance as well)

$$A^-(\mathbf{x}) - A^-(\mathbf{y}) = \int_0^1 ds r^i \partial^i A^-(\mathbf{y} + s\mathbf{r}) = \int_0^1 dz^i F^{i-}(\mathbf{z})$$

Parallel transport in transverse direction - generates transverse gauge links



# Longitudinal structure of the target

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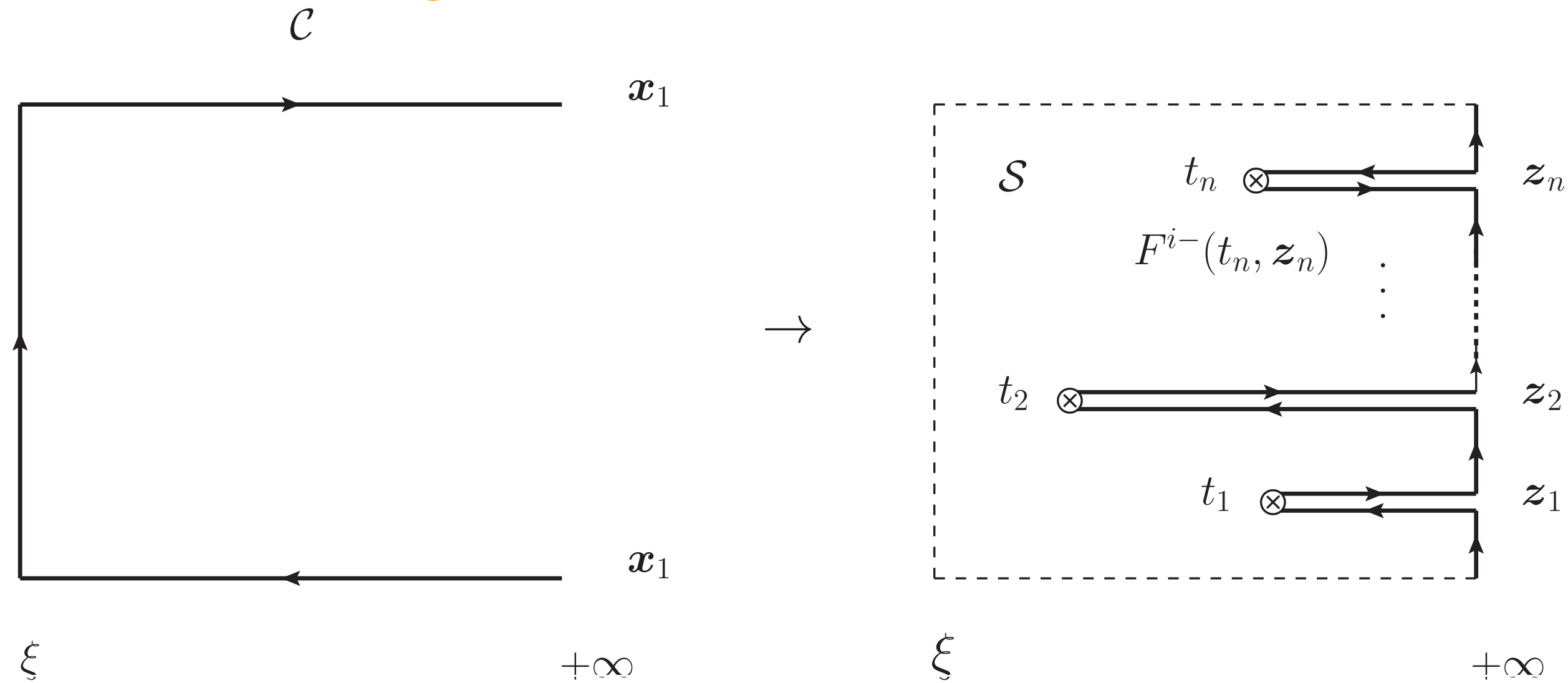
- **To restore  $x$  dependence: need to keep time ordering and convolution**
  - To do so: identify the first and last interaction with the target
  - There are 4 contributions: 2 for the initial time + 2 for the final time
  - More generally we have

$$\frac{\partial^+}{\partial x^+} [y^+, x^+]_x [x^+, y^+]_y = \int_z [y^+, x^+]_x F^{i-}(x^+, z) [x^+, y^+]_y$$

- **which extracts the leading twist!**

# Dipole $\leftrightarrow$ parton distribution equivalence

- **non-Abelian Stokes' theorem**: the dipole operator can be written as a path ordered tower of **"twisted" field strength tensor** (i.e. dressed with **future pointing Wilson lines**)

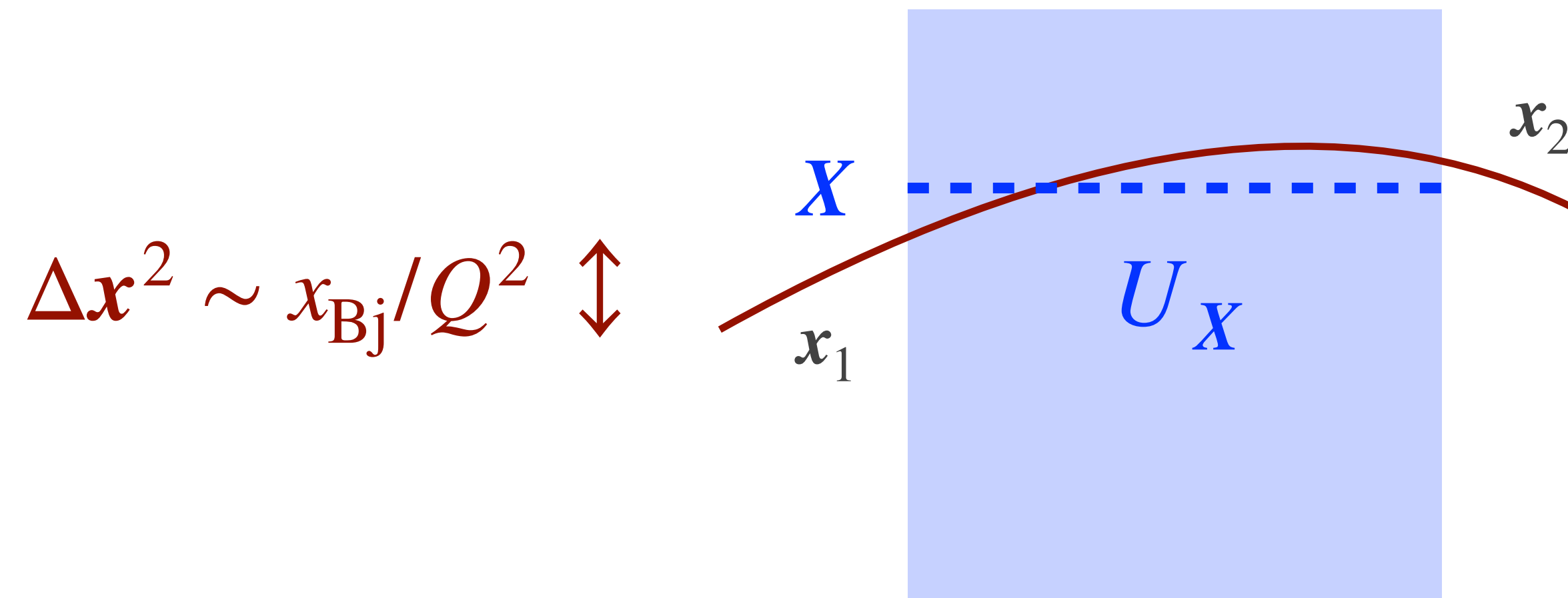


[Fishbane, Gasiorowicz, Kaus (1981) Wiedemann (2000) YMT, Boussarie (2020)]

$$U_{x_2} U_{x_1}^\dagger \equiv P \exp \left[ -ig \int_S dt dz [+ \infty, x^+]_x F^{i-}(x^+, \mathbf{x}) [x^+, + \infty]_x \right]$$

# Partial twist expansion

- We aim at resumming higher twists  $O(r_{\perp}^n) \sim O(1/Q^n)$  that are relevant at small  $x$
- Neglect twist associated with  $x^-$  dependence of the gauge field  $A^-(x^+, \mathbf{x}^- = \mathbf{0}, x_{\perp})$
- Neglect transverse recoil of high energy partons in the target - expand around classical trajectory



$$G_{p^+}(x^+, \mathbf{x}_2; y^+, \mathbf{x}_1) = G_0(\mathbf{x}_2 - \mathbf{x}_1, x_2^+ - y_1^+) U_X(x_2^+, x_1^+) + O(|\mathbf{x}_2 - \mathbf{x}_1| / X)$$

quantum phase

Wilson line

# Partial twist expansion (PTE)

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- Quantum phase instrumental to restore  $x$  dependence

$$G_0 \sim e^{-i\frac{k_{\perp}^2}{2p^+}\Delta x^+} \sim e^{-ixP^-\Delta x^+}$$

- In strict shock wave limit:  $p^+ \rightarrow +\infty$  and  $G_0 \rightarrow 1$

$$G_{p^+}(x^+, \mathbf{x}_2; y^+, \mathbf{x}_1) = \delta(\mathbf{x}_2 - \mathbf{x}_1) U_X(x_2^+, x_1^+) + \dots$$

quantum phase

Wilson line

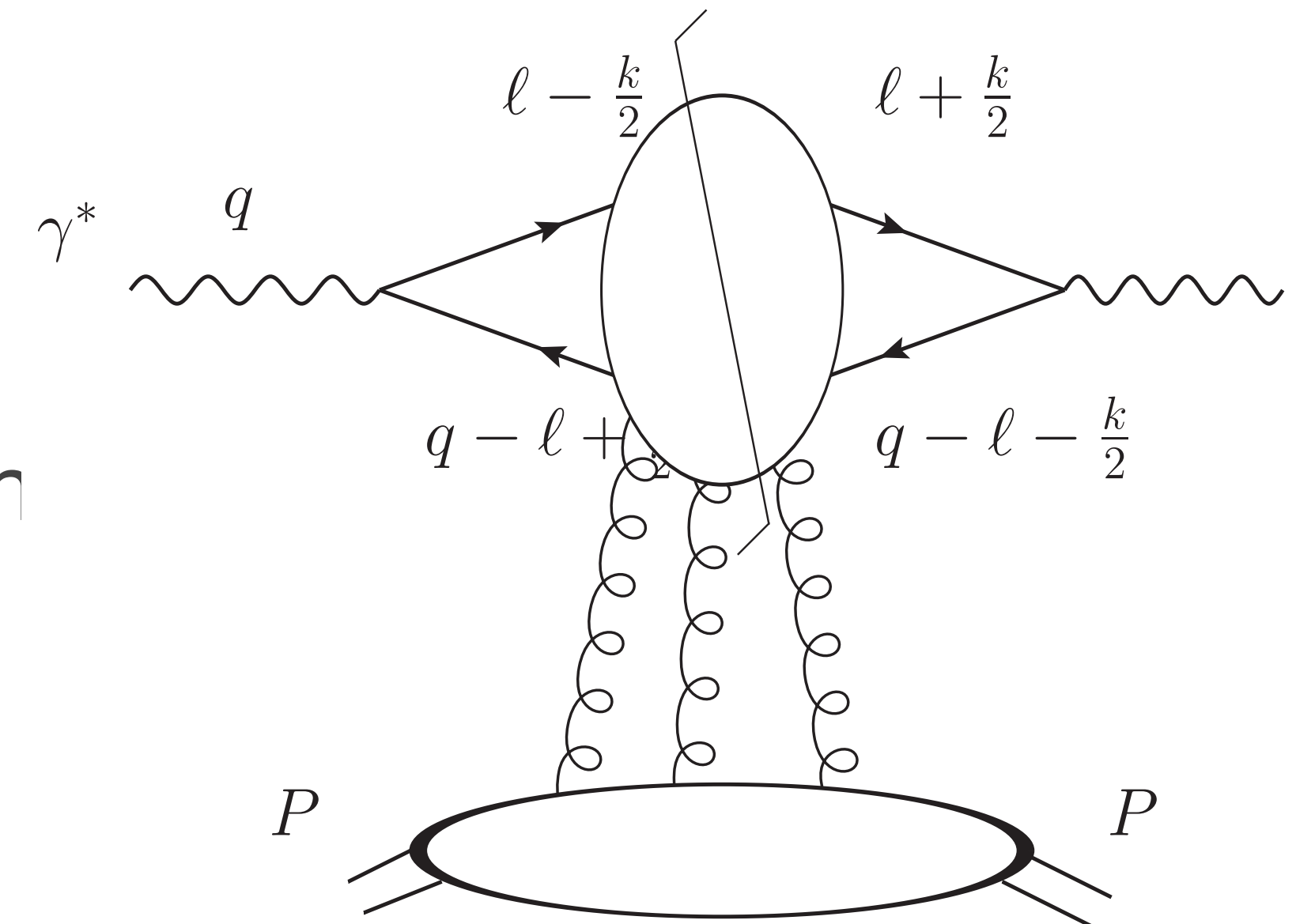
# Revisiting rapidity factorization in DIS

- After applying partial PTE to leading power we obtain the factorization formula (for the transverse photon cross-section), in momentum space,

$$\sigma(x_{Bj}, Q^2) \sim e^2 \int_0^1 dz \int_0^1 dx \int_{\ell, k} \partial^i \varphi \left( \ell - \frac{k}{2} \right) \partial^j \varphi^* \left( \ell + \frac{k}{2} \right) \delta \left( x - x_{Bj} - \frac{\ell^2}{2z\bar{z}q^+} \right) \times x G^{ij}(x, k) + O(k_{\perp}^2/s)$$

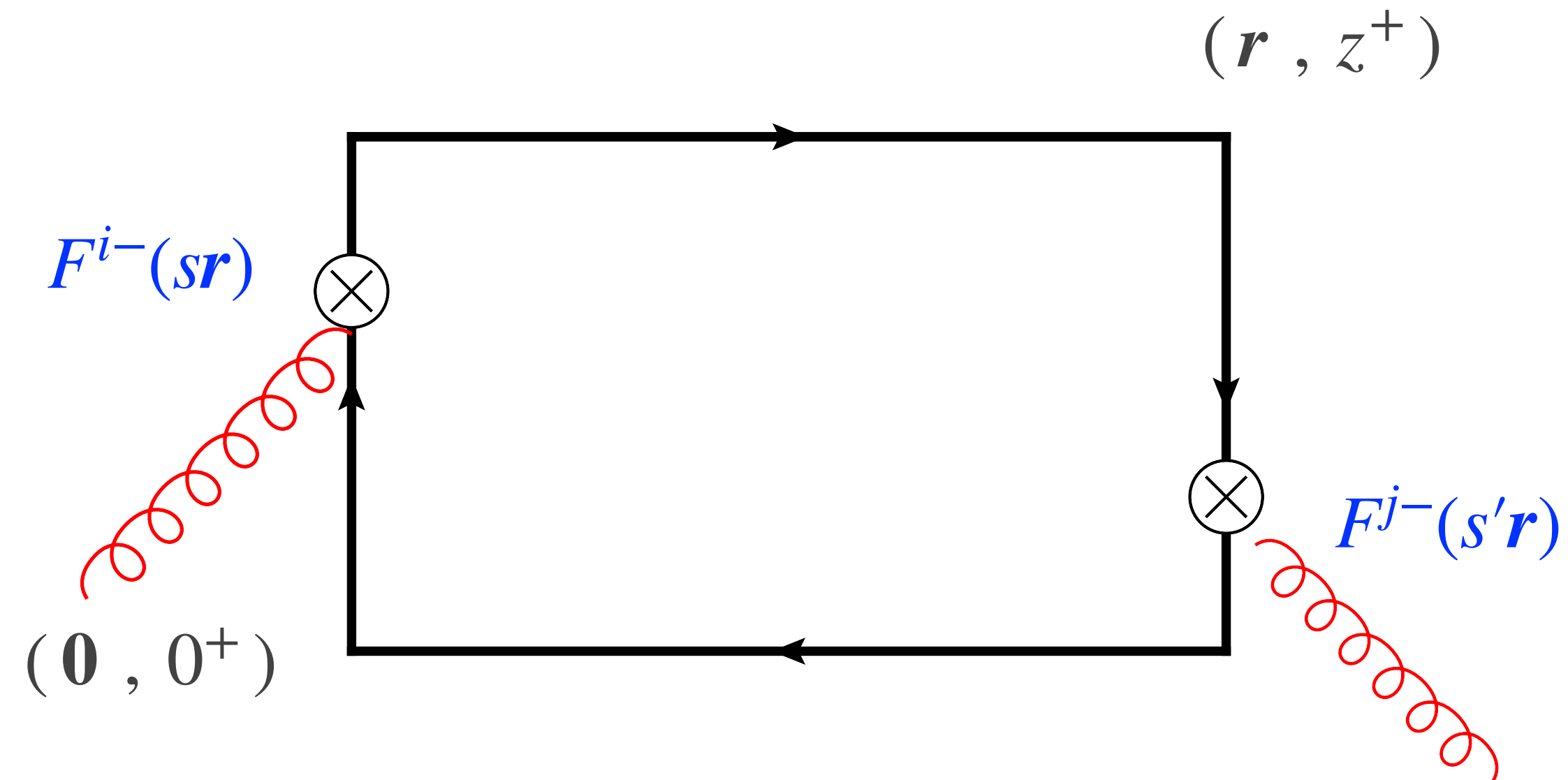
kinematic constraint

- Same wave functions as small  $x$ !
- The **delta function** relates  $x$  in the gluon distribution to  $x_{Bj}$  (kinematic constraint in momentum space)
- **Gluon distribution** different than small  $x$



# 3D gluon distribution

$$xG^{ij}(x, k_{\perp}) \equiv 2 \int_{s,s'} \int \frac{dz^+ d\mathbf{r}}{(2\pi)^3 P^-} e^{ixP^-z^+ - ik \cdot \mathbf{r}} \langle P | \text{Tr} [0, z^+]_{\mathbf{r}} F^{j-}(z^+, s'\mathbf{r}) [z^+, 0]_{\mathbf{0}} F^{i-}(0, s\mathbf{r}) | P \rangle$$



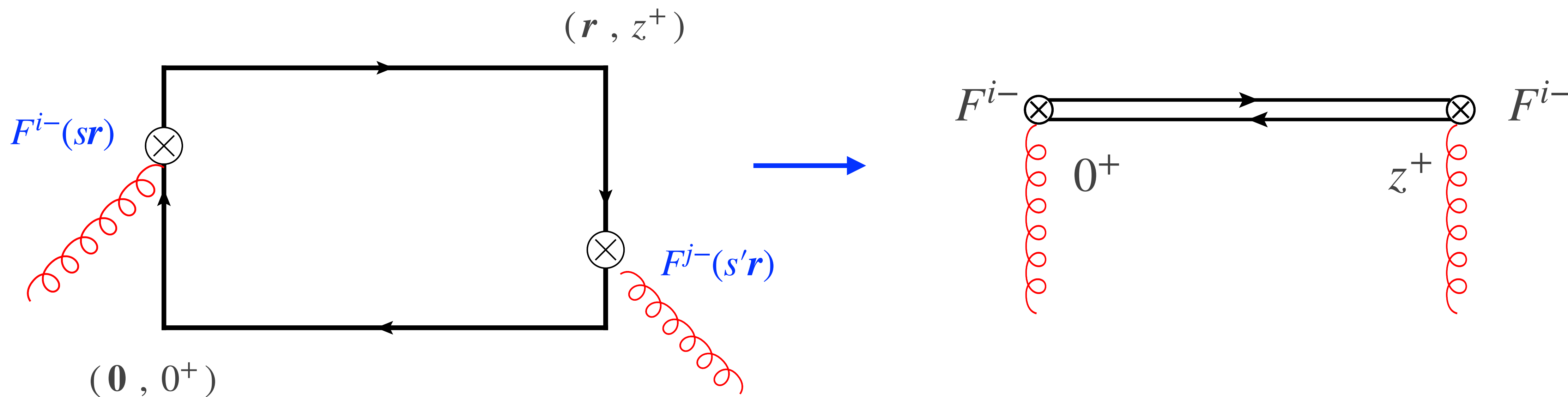
- Note that this uPDF involves **finite Wilson lines** in contrast with gluon TMD's such as Weizsacker-Williams



# Bjorken limit

- **Collinear limit:** Integrating over  $k_{\perp}$  yields  $r_{\perp} = 0$  and we recover the gluon PDF

$$\int d\mathbf{k} G^{ii}(\mathbf{x}, \mathbf{k}) = xg(\mathbf{x}) = 2 \int \frac{d\xi^+}{(2\pi)P^-} e^{ixP^-\xi^+} \langle P | \text{Tr} [0, z^+] F^{i-}(\xi^+) [z^+, 0] F^{i-}(0) | P \rangle$$



# Regge limit

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- At small  $x$ . Neglect the phase  $x = 0$  and we recover the dipole operator

$$r^i r^j G^{ij}(x = 0, \mathbf{r}) \rightarrow \langle P | \text{Tr } U_r U_0^\dagger | P \rangle$$

- PTE provides the interpolation between the **leading twist term** in the **Bjorken limit** and the **eikonal term** in the **Regge limit**

# DIS and DVCS (see Renaud's talk)

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Interpolating scheme for exclusive Compton scattering

Overarching scheme

$$\int d\mathbf{x} \int d^d \mathbf{k} G^{ij}(\mathbf{x}, \xi, \mathbf{k}, \Delta) H^{ij}(\mathbf{x}, \xi, \mathbf{k}, \Delta)$$

Bjorken limit

$$\int d\mathbf{x} H^{ij}(\mathbf{x}, \xi, \mathbf{0}, \Delta) \times \left[ \int d^d \mathbf{k} G^{ij}(\mathbf{x}, \xi, \mathbf{k}, \Delta) \right]$$

Regge limit

$$\lim_{\xi \rightarrow 0} \int d^d \mathbf{k} G^{ij}(\mathbf{0}, \xi, \mathbf{k}, \Delta) \times \int d\mathbf{x} H_{\text{cut}}^{ij}(\mathbf{x}, \xi, \mathbf{k}, \Delta)$$

We found an interpolating scheme

# Bjorken limit for DIS

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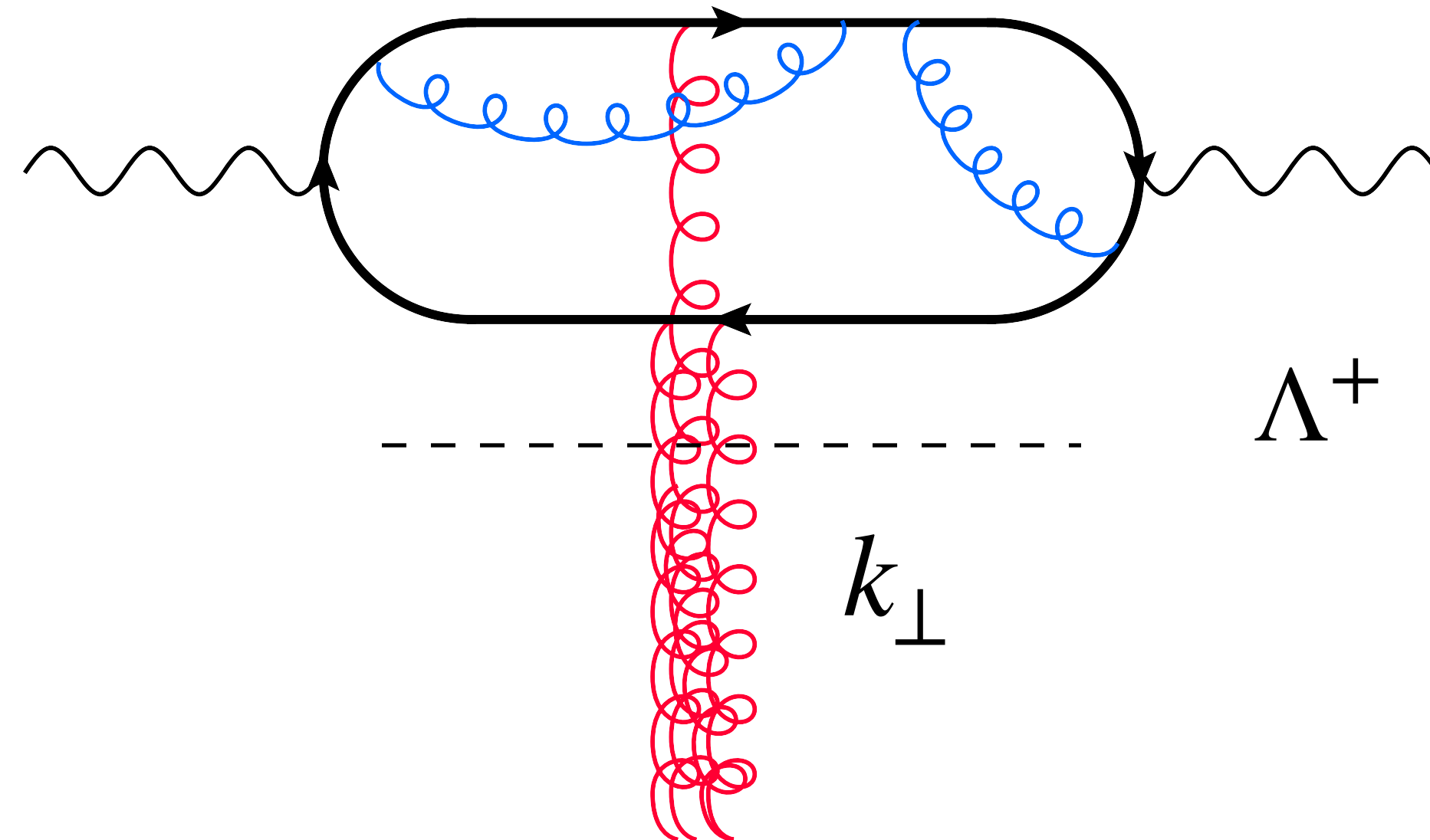
- In the collinear limit  $Q^2 \rightarrow \infty$ , we reproduce the 1-loop contribution to the DIS structure function

$$F_T(x_{Bj}, Q^2) = \frac{\alpha_s}{\pi} \sum_f q_f^2 \int_{x_{Bj}}^1 dy xg(x_{Bj}/y, \mu^2) \\ \times \left[ \frac{1}{\epsilon} \left( \frac{e^{\gamma_E}}{4\pi} \right)^\epsilon P_{qg}(y) + [(1-y)^2 + y^2] \log \left[ \frac{Q^2(1-y)}{\mu^2 y} \right] - 1 + 4y(1-y) \right]$$

# Rapidity evolution (work in progress)

- Evolution according to  $k^+$  : increase the space of the gluon kt-distribution from 1 to 2 variables to account for the ordering of  $k^-$

$$\varphi_G(\mathbf{k}; \Lambda^+) \equiv \frac{1}{N_c} \int_{\mathbf{r}} e^{-i\mathbf{r} \cdot \mathbf{k}} \langle \text{tr} U_0 U_{\mathbf{r}}^\dagger \rangle_{\Lambda^+} \rightarrow G_{\Lambda^+}^{ij}(x, \mathbf{k})$$



# Summary and outlook

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- Minimal correction to the semi-classical approach to small  $x$  to restore  $x$  dependence using a **partial twist expansion** instead of the shock wave approximation - **top down approach to collinear region of phase space**
- In the case of inclusive DIS: while the hard part is unchanged we find a **new (gauge invariant) 3D gluon distribution** that interpolates between the dipole operator at small  $x$  and the gluon PDF at leading twist
- **Outlook:**
  - Investigate DVCS, TCS, DDVCS (Renaud's talk), TMD's
  - Compute quantum evolution of the 3D gluon distribution
  - Explore on the Lattice the emergence of the saturation scale?