

Non-invertible symmetries and Goldstone modes

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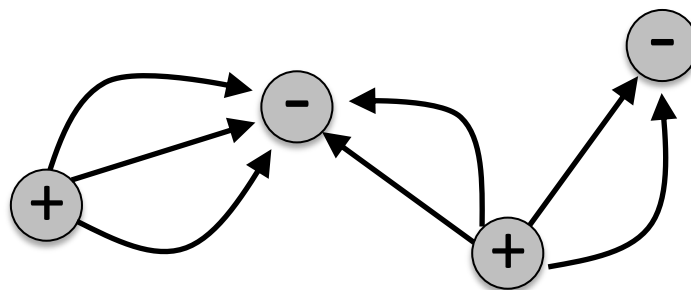
with Iñaki García-Etxebarria

Symmetries of QED

In this talk, I'll discuss some new ways to think about a very familiar system: **massless QED**. (Applications to QCD as well).

$$S = \int d^4x \left[-\frac{1}{g^2} F^2 + \bar{\psi} (\not{\partial} + \not{A}) \psi \right]$$

Consists of massless fermions interacting via electric fields.



What are the symmetries, **exactly**?

Symmetries of QED

First, let us consider **freezing EM**; view A as an external **gauge field**.

$$S = \int d^4x \left[-\frac{1}{g^2} F^2 + \bar{\psi} (\not{\partial} + A) \psi \right]$$

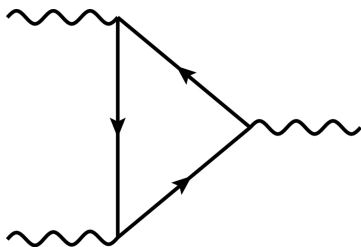
Global symmetries are very well-understood:

$$j_V^\mu = \bar{\psi} \gamma^\mu \psi$$

$$\partial_\mu j_V^\mu = 0$$

$$j_A^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi$$

$$\partial_\mu j_A^\mu = \frac{1}{4\pi^2} F \wedge F$$



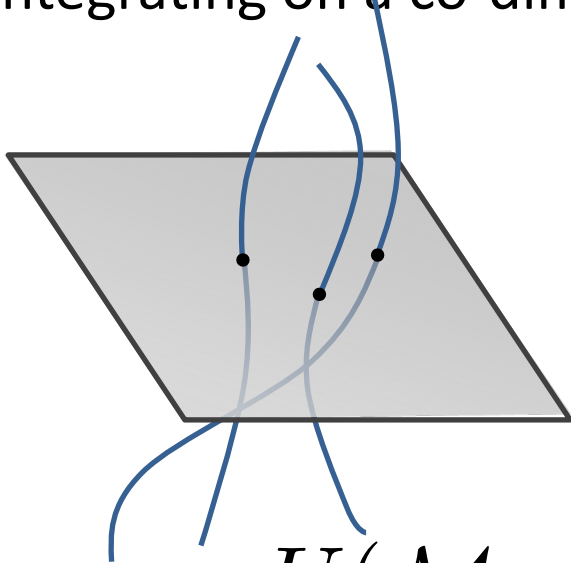
't Hooft anomaly: operator on RHS is a fixed external **source**. What about dynamical EM?

Generalizations of symmetry

To understand this, let's review ordinary 1-index currents first.

$$\nabla_{\mu} j^{\mu} = 0 \quad d \star j = 0$$

An ordinary current counts **particles**; “catch them all” by integrating on a co-dimension 1 subspace. In Euclidean:



$$Q = \int_{\mathcal{M}_{d-1}} \star j$$

Defines a U(1)-valued **topological codimension-1 surface operator**.

$$U(\mathcal{M}_{d-1}) = \exp(i\alpha Q(\mathcal{M}_{d-1}))$$

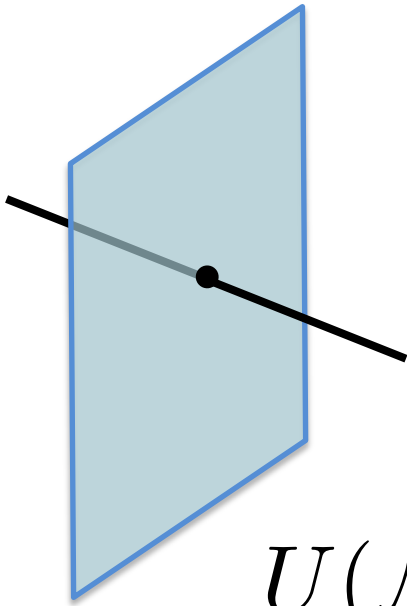
(Fancy way to talk about “conserved charge”; **call this a 0-form symmetry**)

Higher form symmetries

Now a 2-index current (Gaiotto, Kapustin, Seiberg, Willet):

$$\nabla_{\mu} J^{\mu\nu} = 0 \quad d \star J = 0$$

A 2-index current counts **strings**; as they don't end in space **or** time, "catch them all" by integrating on a co-dimension **2** subspace:



$$Q = \int_{\mathcal{M}_{d-2}} \star J$$

Local current defines a U(1)-valued **topological codimension-2 surface operator**.

$$U(\mathcal{M}_{d-2}) = \exp(i\alpha Q(\mathcal{M}_{d-2}))$$

This is called a **1-form symmetry**.

Symmetries of QED I

Now consider the situation where A is dynamical.

$$S = \int d^4x \left[-\frac{1}{g^2} F^2 + \bar{\psi} (\not{\partial} + A) \psi \right]$$

Vector current is **gauged**; no longer a global symmetry.

$$j_V^\mu = \bar{\psi} \gamma^\mu \psi \quad \partial_\mu j_V^\mu = 0$$

New 1-form global symmetry associated with conservation of **magnetic flux**.

$$J^{\mu\nu} \equiv \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma} \quad \nabla_\mu J^{\mu\nu} = 0$$

No magnetic monopoles means that magnetic field lines don't end. $J^{\mu\nu}$ counts magnetic flux density. (e.g. 4d photon is a Goldstone mode!)

Symmetries of QED II

$$S = \int d^4x \left[-\frac{1}{g^2} F^2 + \bar{\psi} (\not{\partial} + \not{A}) \psi \right]$$

How about the axial current?

$$j_A^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi$$

$$\partial_\mu j_A^\mu = \frac{1}{4\pi^2} F \wedge F = -\frac{1}{4\pi^2} J \wedge J$$

Now the right hand side of the anomaly equation is an **operator**, not a fixed source; it appears that the **current is simply not conserved?**

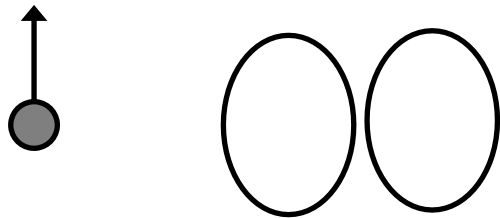
True situation is somewhat more subtle...

Symmetries of QED II

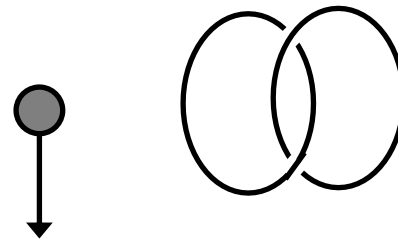
Summary of symmetries in massless QED:

$$\partial_\mu J^{\mu\nu} = 0 \qquad \partial_\mu j_A^\mu = -\frac{1}{4\pi^2} J \wedge J$$

1-form symmetry for magnetic flux conservation.



Non-conservation of axial charge given in terms of 2-form current.

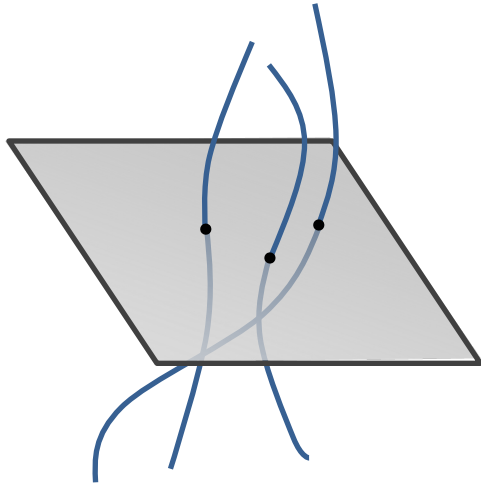


Right way to think about this was explained [recently](#) in terms of [non-invertible symmetry](#) (Choi, Lam, Shao; Cordova, Ohmori).

Non-invertible symmetry in QED

No conserved gauge-invariant current. **Conserved charge?**

$$Q(\mathcal{M}_3) = \int_{\mathcal{M}_3} \left(\star j_A - \frac{1}{4\pi^2} A \wedge dA \right)$$



Try and make a topological surface operator like before:

$$U(\mathcal{M}_3) = \exp(i\alpha Q(\mathcal{M}_3))$$

This is gauge-invariant under small gauge transformations, but **not large ones**, unless α is **2π integer** – but then the operator is always 1.

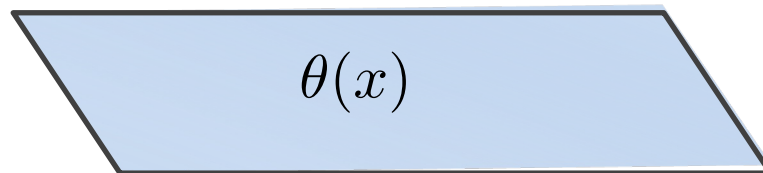
Feels like there is no useful conserved charge...

To fix this:

We can fix this by introducing a **new degree of freedom**. Original profound idea by Choi, Lam, Shao; Cordova, Ohmori. This reformulation by I. García-Etxebarria, NI; A. Karasik.

$$U_\alpha(\mathcal{M}_3) = \int [d\theta] \exp \left(i\alpha \int_{\mathcal{M}_3} \left(\star j_A - \frac{1}{4\pi^2} (A - d\theta) \wedge dA \right) \right)$$

Introduce a compact scalar field θ **on the defect**:



$\star \tilde{j}$

Transforms like a Higgs phase; this now defines a **topological operator** (i.e. a new conserved charge).

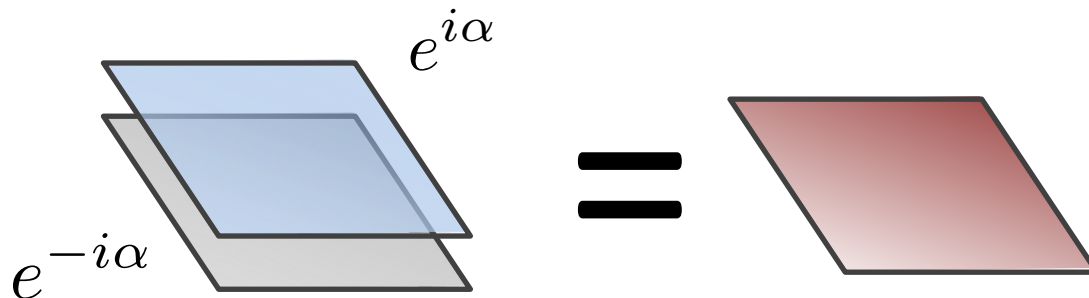
- There is now a gauge-invariant **local current operator** defined on the defect.
- Operator is **topological!** (can be interpreted as a conserved charge)

Some remarks

$$U_\alpha(\mathcal{M}_3) = \int [d\theta] \exp \left(i\alpha \int_{\mathcal{M}_3} \left(\star j_A - \frac{1}{4\pi^2} (A - d\theta) \wedge dA \right) \right)$$

$$\psi \rightarrow e^{i\alpha\gamma^5} \psi$$

- Claim: this is the **correct way** to think about axial symmetry in QED.
- Called **non-invertible symmetry** (much recent work!), as it has no inverse; Due to new degree of freedom, acting with the **opposite charge** doesn't give a trivial operator.



- Opens up **many doors**: what is the phase structure of these symmetries? Is there a Goldstone theorem? (Yes.) Can we do hydrodynamics? (Wait ~5 mins). Etc. etc.

Goldstone's theorem I

Let's first formulate Goldstone's theorem for **ordinary** symmetries in Euclidean path-integral language (Hofman, NI).

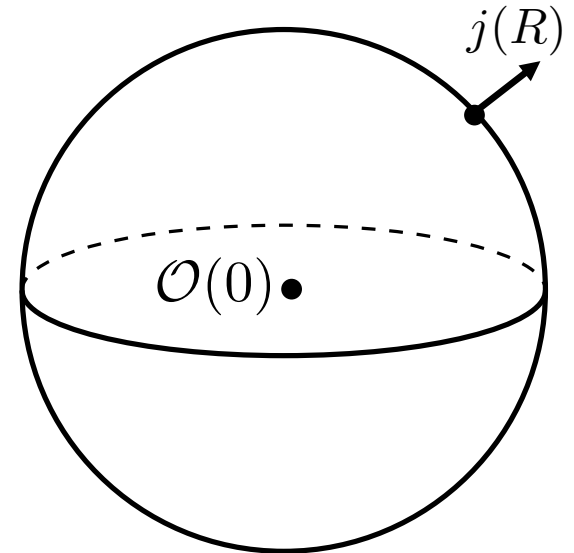
Ward identity for U(1) current:

$$d \star j(x) \mathcal{O}(0) = iq \delta^{(4)}(x) \mathcal{O}(0)$$

Integrate both sides over interior of S^3 .

$$\int_{S^3} \star j(R) \mathcal{O}(0) = iq \mathcal{O}(0)$$

$$\langle j(R) \mathcal{O}(0) \rangle \sim \frac{iq \langle \mathcal{O} \rangle}{R^3}$$



If vev of charged operator is nonzero, must be a power-law correlation in theory; this is **Goldstone mode**.

Goldstone's theorem II: non-invertible

Let's now formulate Goldstone's theorem for these non-invertible symmetries.

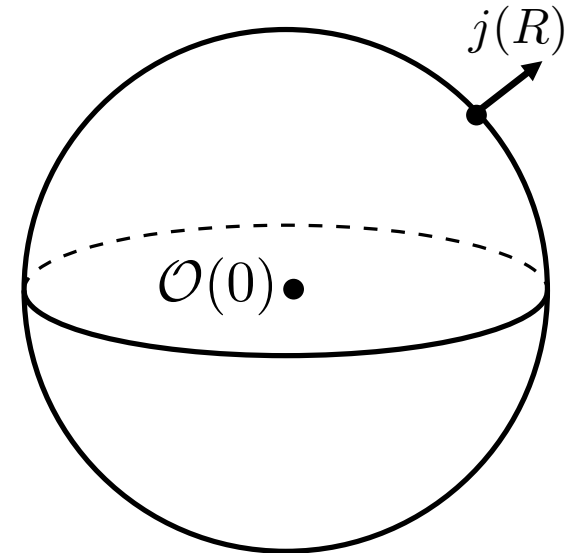
Wrap the operator with the charge defect:

$$U_\alpha(S^3)O(0) = e^{iq\alpha}O(0)$$

Take a derivative with respect to symmetry parameter:

$$\int_{S^3} \star \tilde{j}(R) \mathcal{O}(0) = iq \mathcal{O}(0)$$

$$\langle \tilde{j}(R) \mathcal{O}(0) \rangle_c \sim \frac{iq \langle \mathcal{O} \rangle}{R^3}$$



Proof is essentially the same (except current operator is localized on defect). We have **Goldstone mode**.

Axion effective theory

What is the low-energy theory of the Goldstone mode? [Axion theory](#):

$$S[\phi, A] = \int \left(\frac{1}{2\gamma^2} d\phi^2 + \frac{1}{4g^2} F^2 + i\phi F \wedge F + \dots \right)$$

“Why” is this theory massless?

Often some words about “no U(1) instantons”; from our point of view, it is massless because it is the Goldstone mode of a [spontaneously broken non-invertible symmetry](#).

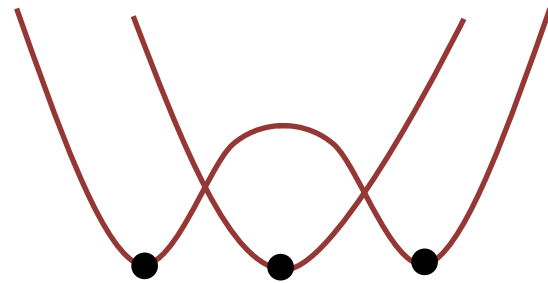
(Same words apply in application to chiral limit QCD: explanation of why π_0 is [massless](#) despite anomaly. Also protects masslessness of many fields in string theory.)

Future directions:

Physical applications of **higher-form** and **non-invertible** symmetries are still in their infancy (See Saso's talk yesterday). **Basic** questions are open:

Which systems have these symmetries? When is there a Goldstone theorem?

What is the phase structure?
Phase transitions and critical phenomena?

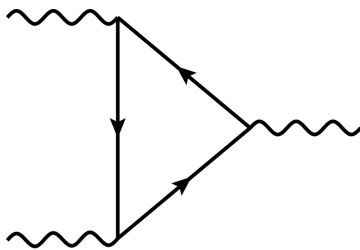


For QED: can we reformulate **chiral magnetohydrodynamics** with these non-invertible symmetries as a guiding principle? Arpit's talk next!

What is the **new Landau paradigm** built around these new symmetries?

Summary

- **Non-invertible symmetry** is a new way to think about systems with an ABJ anomaly.
- There is a Goldstone theorem for (some of) these non-invertible symmetries.
- **A new non-invertible Landau paradigm?**



The End