

Isovector Giant Dipole Resonance: sum rules

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**Giant and soft modes of excitation
in nuclear structure and astrophysics**

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- Energy weighted sum rule (m_1): IS versus IV contributions
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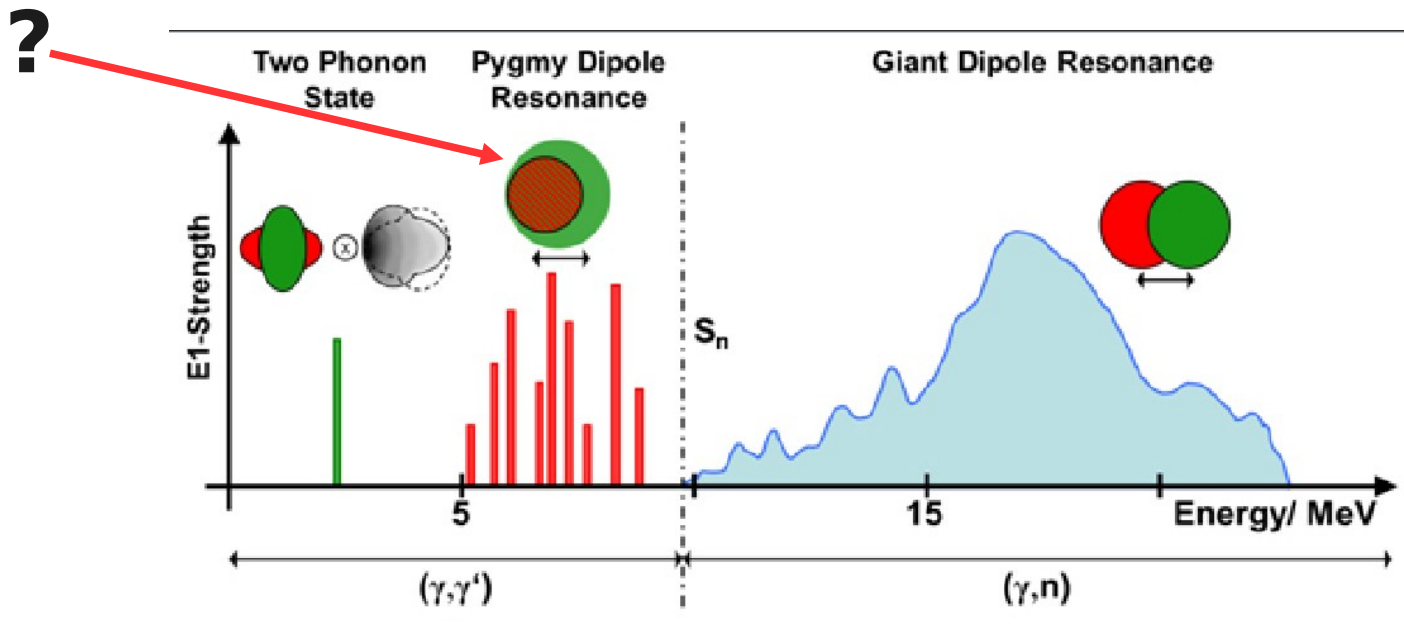


Figure from (http://www.nupecc.org/pub/np_light_2015.pdf):

This brochure “Light to Reveal the Heart of Matter” is one of the contributions being made by NuPECC, the Nuclear Physics European Collaboration Committee (www.NuPECC.org), to the International Year of Light 2015 (www.light2015.org).

Dielectric theorem:

Inverse Energy Weighted Sum Rule m_{-1} (polarizability)

Ground state $|0\rangle$ perturbed by an **external field λF** ($\lambda \rightarrow 0$) so that perturbation theory holds \rightarrow The **expectation value** of the **Hamiltonian $\langle H \rangle$** and of the **operator $\langle F \rangle$** can be written:

$$\delta\langle\mathcal{H}\rangle = \lambda^2 \sum_{\nu \neq 0} \frac{|\langle\nu|F|0\rangle|^2}{E_\nu - E_0} + \mathcal{O}(\lambda^3) = \lambda^2 m_{-1} + \mathcal{O}(\lambda^3)$$

$$\delta\langle F \rangle = -2\lambda \sum_{\nu \neq 0} \frac{|\langle\nu|F|0\rangle|^2}{E_\nu - E_0} + \mathcal{O}(\lambda^2) = -2\lambda m_{-1} + \mathcal{O}(\lambda^2)$$

$$m_{-1} = \frac{1}{2} \frac{\partial^2 \langle\mathcal{H}\rangle}{\partial \lambda^2} \Big|_{\lambda=0} = -\frac{1}{2} \frac{\partial \langle F \rangle}{\partial \lambda} \Big|_{\lambda=0} \longrightarrow \frac{1}{m_{-1}} = 2 \frac{\partial^2 \langle\mathcal{H}\rangle}{\partial \langle F \rangle^2}$$

Dipole polarizability, J and $\Delta r_{np} := r_n - r_p$

The dipole **polarizability** measures the **tendency** of the nuclear **charge** distribution to be **distorted**.

From a macroscopic point of view $\alpha \sim$ **(electric dipole moment)/(E_{external})**

→ For guidance, using the **dielectric theorem**, the polarizability can be calculated assuming the **Droplet model**:

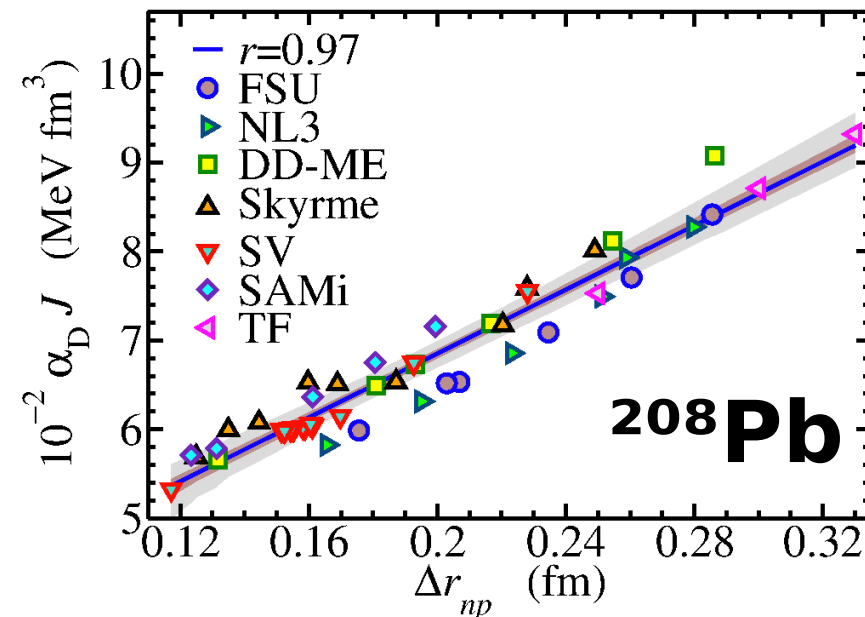
$$\alpha_D = \frac{8\pi e^2}{9} m_{-1}(E1)$$

Meyer et al. NPA385 (1982) 269-284

$$\alpha_D \approx \frac{\pi e^2 \langle r^2 \rangle}{54 J} A \left(1 + \frac{5 \Delta r_{np} - \Delta r_{np}^{\text{surf}} - \Delta r_{np}^{\text{Coul}}}{2 \langle r^2 \rangle^{1/2} (I - I_{\text{Coul}})} \right)$$

Polarizability increases with the mass (for the dipole $A^{5/3}$, for the quadrupole $A^{7/3}$ and so on ...) and it **sets a relation between the EoS parameters J and L**

Electric dipole polarizability in ^{208}Pb : Insights from the droplet model - X. Roca-Maza, M. Brenna, G. Colò, M. Centelles, X. Viñas, B. K. Agrawal, N. Paar, D. Vretenar, and J. Piekarewicz
Phys. Rev. C 88, 024316 (2013)



$$e(\rho, \delta) = e(\rho, 0) + S(\rho)\delta^2$$

$$S(\rho) = J + Lx + \frac{1}{2}K_{\text{sym}}x^2$$

Neutron skin thickness ($\Delta r_{np} := r_n - r_p$) and neutron pressure

For a fixed **(N-Z)/A**, one must **expect** that the **larger the pressure felt by nucleons, the larger the skin**

$$P = -\left. \frac{\partial E}{\partial V} \right|_A = \rho^2 \left. \frac{\partial e(\rho, \delta)}{\partial \rho} \right|_{\delta} = \rho^2 \frac{\partial}{\partial \rho} [e(\rho, 0) + S(\rho)\delta^2] = \rho^2 \delta^2 \frac{\partial S(\rho)}{\partial \rho} = \frac{1}{3} \rho \delta^2 L$$

→ From the Droplet Model:

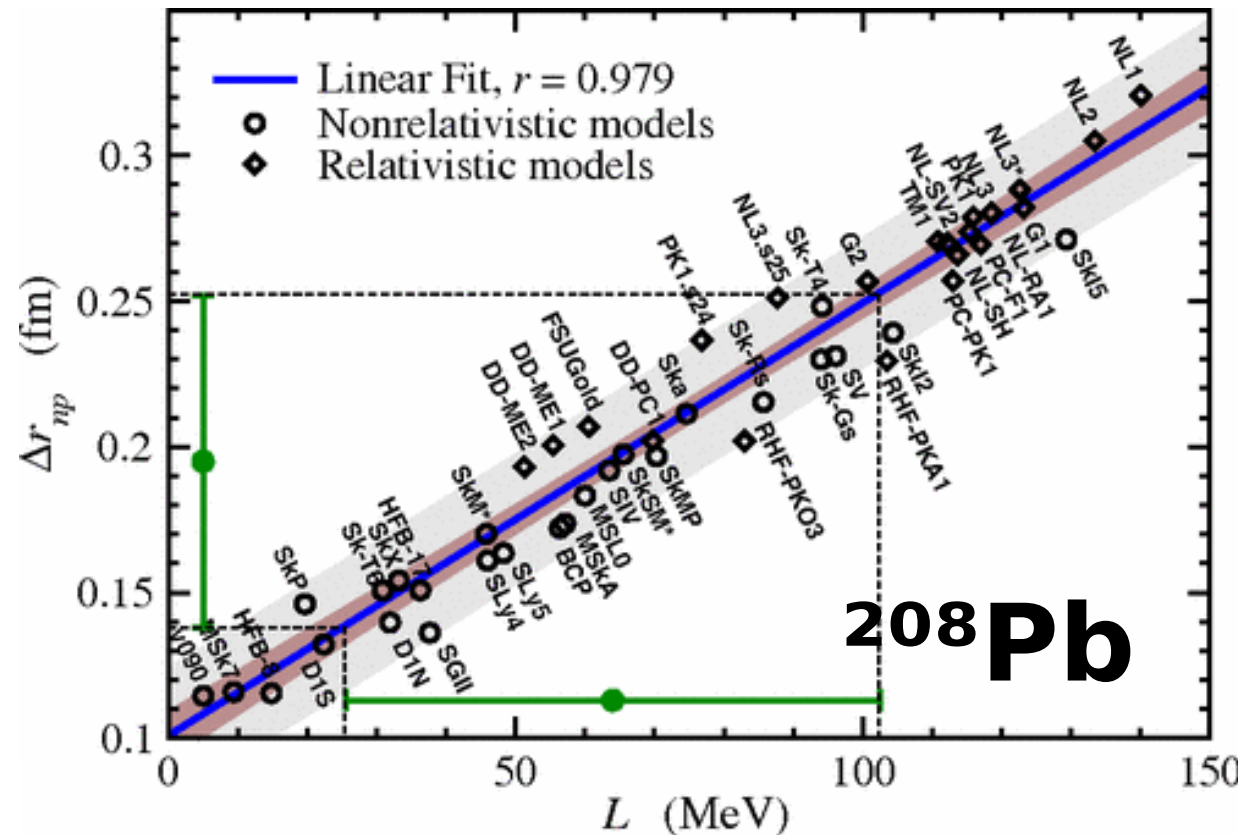
$$\Delta r_{np} \approx \frac{1}{12} \frac{N-Z}{A} \frac{R}{J} L$$

The nuclear droplet model for arbitrary shapes

W.D Myers, W.J Swiate

Annals of Physics

Volume 84, Issues 1-2, 15 May 1974, Pages 186-210



208Pb

Neutron Skin of ^{208}Pb , Nuclear Symmetry Energy, and the Parity Radius Experiment
X. Roca-Maza, M. Centelles, X. Viñas, and M. Warda Phys. Rev. Lett. 106, 252501 (2011)

Dipole polarizability: How good it is the Droplet Model for α_D ?

Droplet model + LDA

$$[\mathbf{a}_{\text{sym}}^{\text{DM}}(\mathbf{A}) \approx \mathbf{S}(\rho_A)]$$

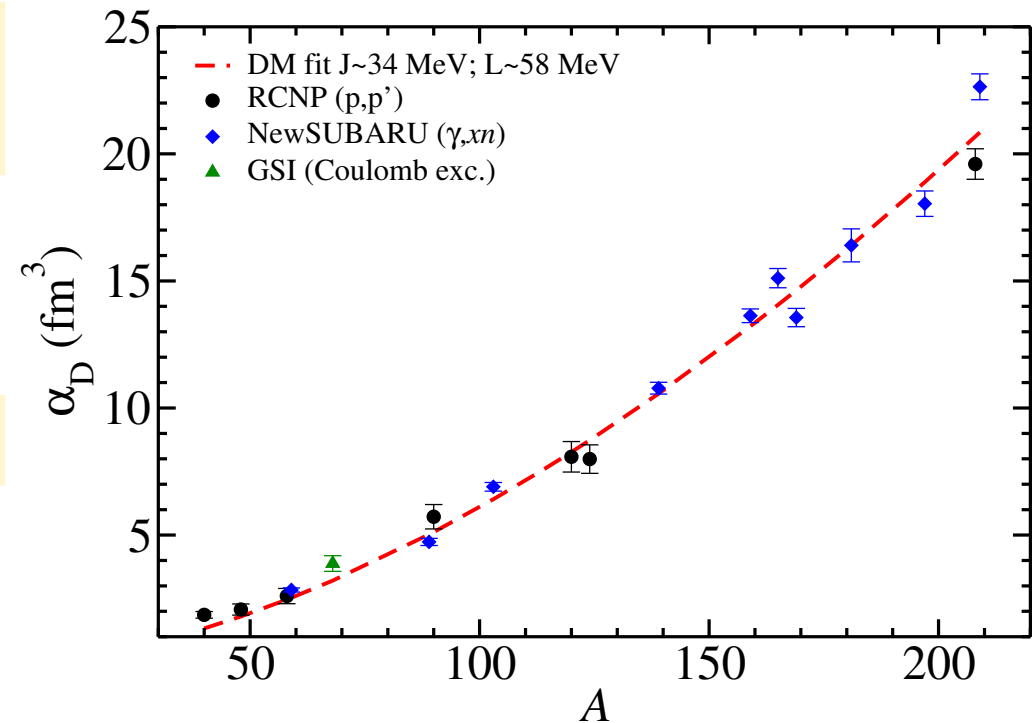
$$\alpha_D^{\text{DM}} \approx \frac{\pi e^2}{54} \frac{A \langle r^2 \rangle}{J} \left[1 + \frac{5L}{3J} \epsilon_A \right]$$

Assuming:

$$\epsilon_A \equiv \frac{\rho_0 - \rho_A}{3\rho_0} \approx \frac{0.16 - 0.08}{3 \cdot 0.16}$$

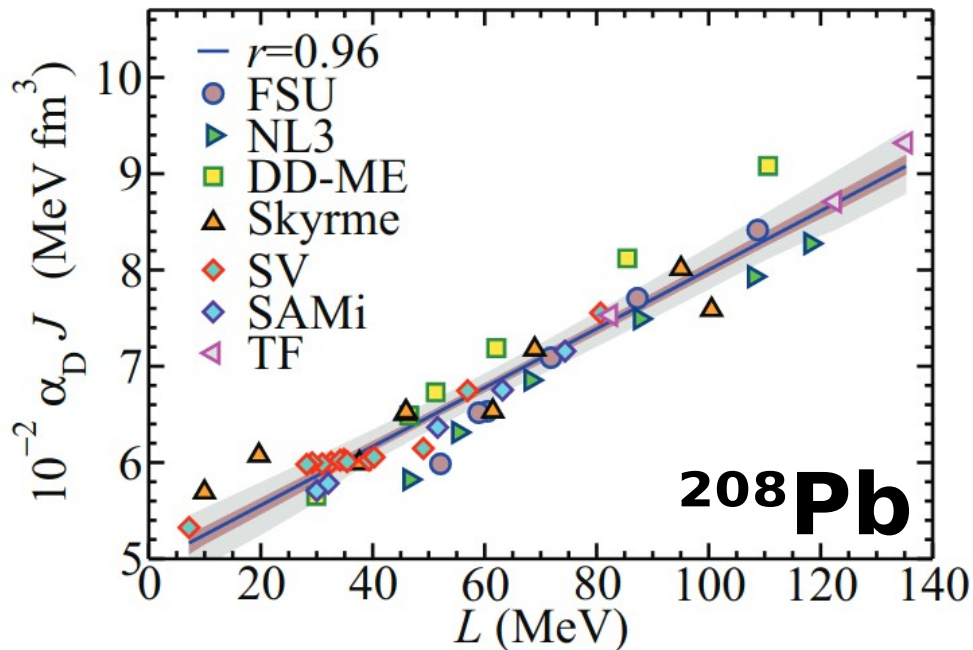
$$\langle r^2 \rangle \approx \frac{3}{5} r_0^2 A^{2/3}$$

Fit to experimental data provides
J~34 MeV and **L~58 MeV**.



Dipole polarizability, J and L

Determination of the **J vs L** relation from experimental data according to EDFs



$$\begin{aligned}
 J &= 25.0(2) + 0.19(2)L && \text{for } ^{68}\text{Ni}, \\
 J &= 25.4(1.1) + 0.17(1)L && \text{for } ^{120}\text{Sn}, \\
 J &= 24.5(8) + 0.17(1)L && \text{for } ^{208}\text{Pb}.
 \end{aligned}$$

Assuming Taylor expansion around ρ_0 :

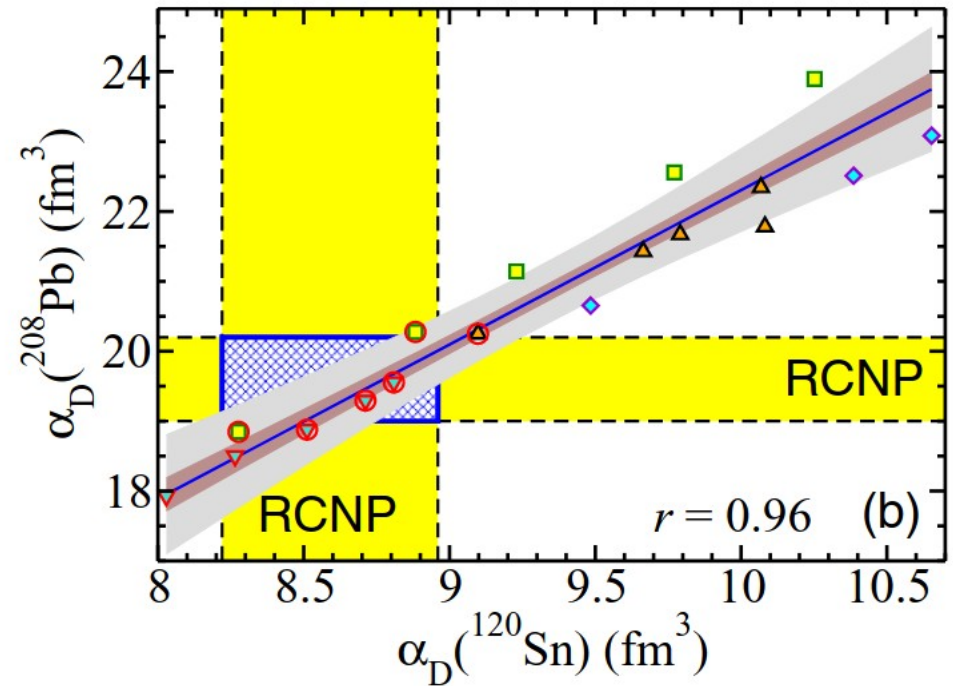
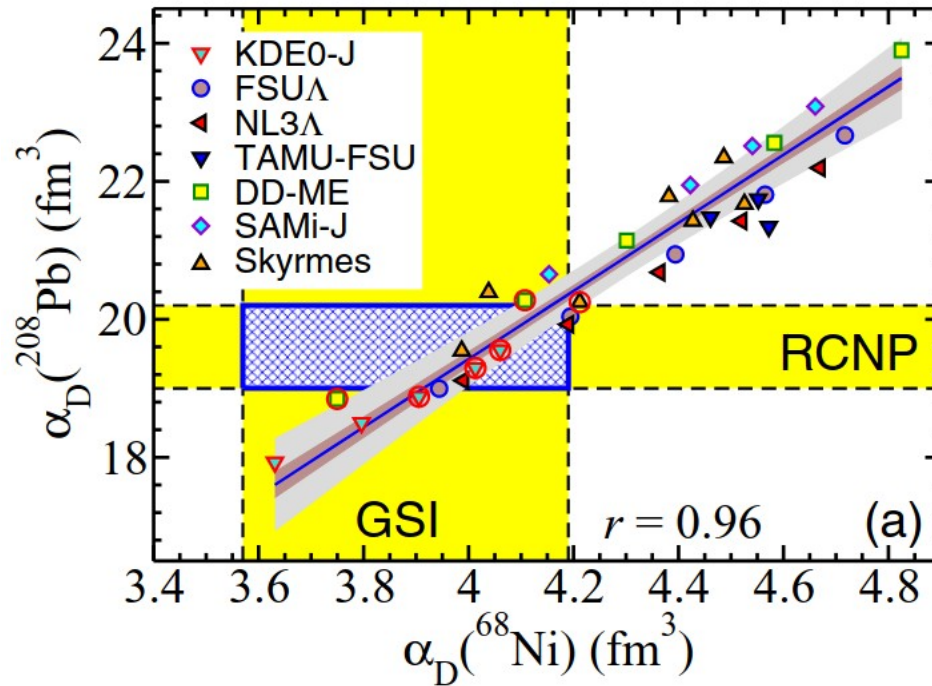
$$S(\langle \rho \rangle) \approx J - L \frac{\rho_0 - \langle \rho \rangle}{3\rho_0} \rightarrow J \approx S(\langle \rho \rangle) + \frac{\rho_0 - \langle \rho \rangle}{3\rho_0} L$$

one can qualitatively understand the result!!

$$S(\langle \rho \rangle \approx 0.08 \text{ fm}^{-3}) \approx 25 \text{ MeV}$$

Dipole polarizability, J and L

Alternatively: **Selection of EDFs (red circles) compatible with experimental data**

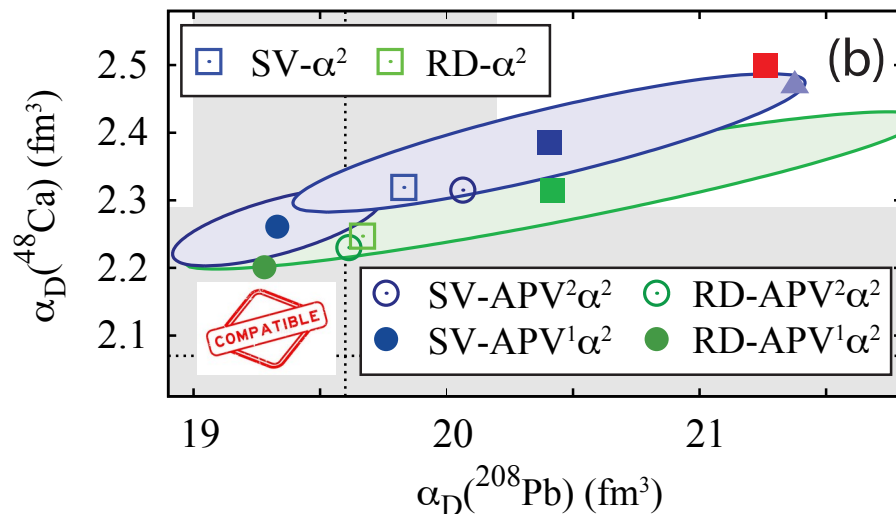
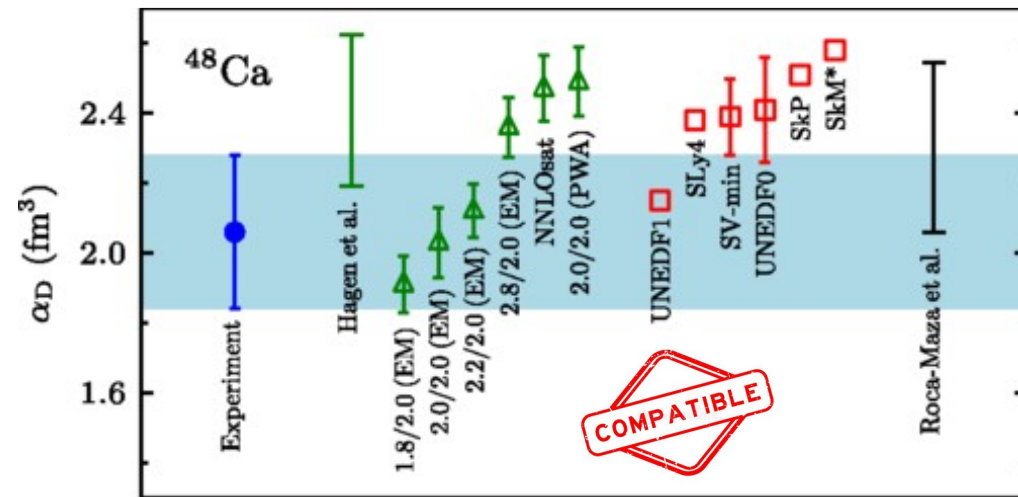


Selection compatible EDFs and correlation analysis (previous slides) provides **comparable estimates** for the **neutron skin thickness**.

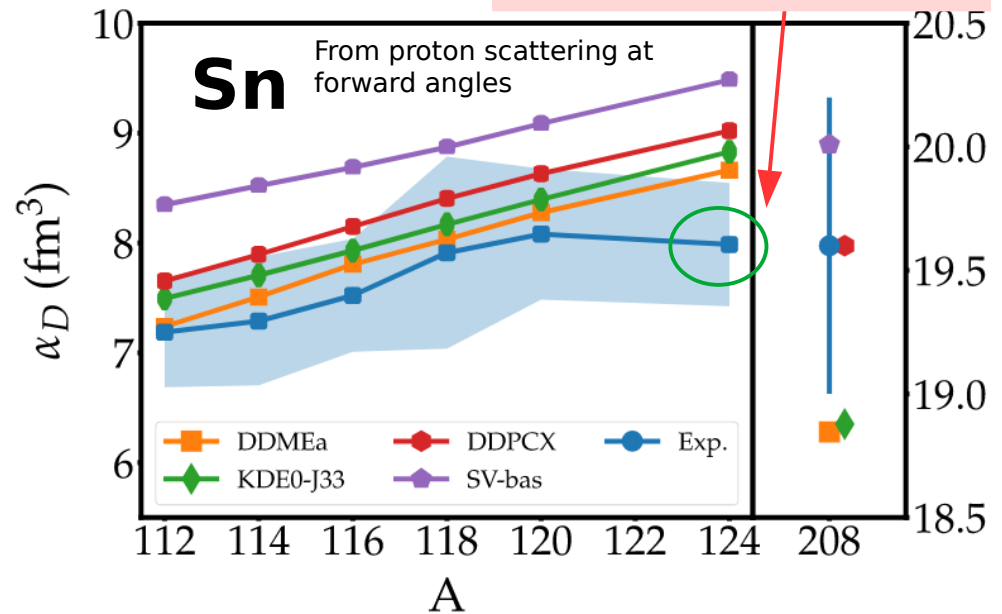
Nucleus	From selected models		From $\alpha_D J$ vs Δr_{np}
	Δr_{np} (a)	Δr_{np} (b)	Δr_{np} (c)
^{68}Ni	0.15–0.19	0.18 ± 0.01	0.16 ± 0.04
^{120}Sn	0.12–0.16	0.14 ± 0.02	0.12 ± 0.04
^{208}Pb	0.13–0.19	0.16 ± 0.02	0.16 ± 0.03

Dipole polarizability: do we understand it?

J. Birkhan, M. Miorelli, S. Bacca, S. Bassauer, C. A. Bertulani, G. Hagen, H. Matsubara, P. von Neumann-Cosel, T. Papenbrock, N. Pietralla, V. Yu. Ponomarev, A. Richter, A. Schwenk, and A. Tamii
Phys. Rev. Lett. **118**, 252501 – Published 23 June 2017



Trend with N?

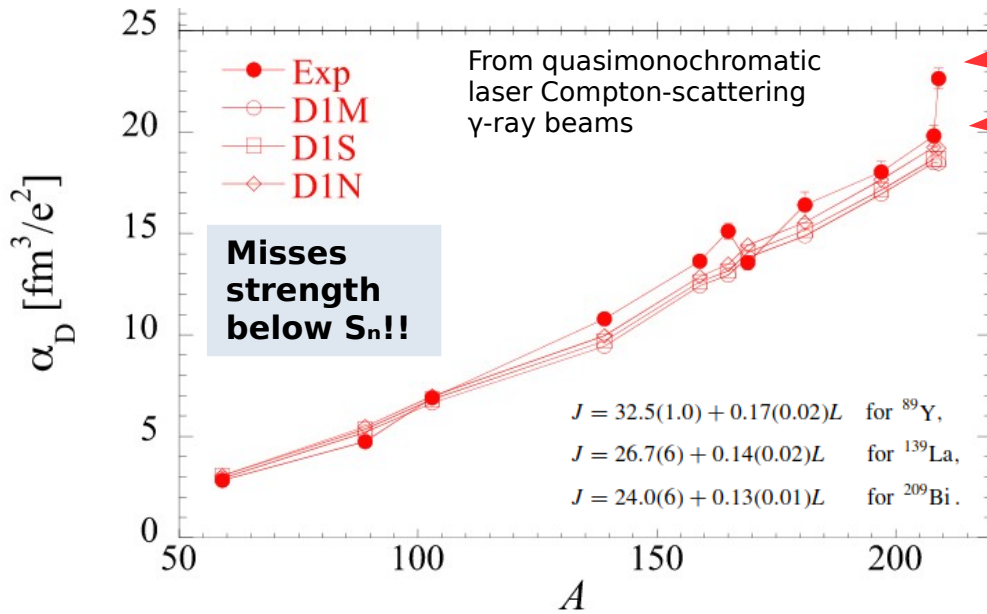


S. Bassauer, P. von Neumann-Cosel, P.-G. Reinhard et al.

Physics Letters B 810 (2020) 135804

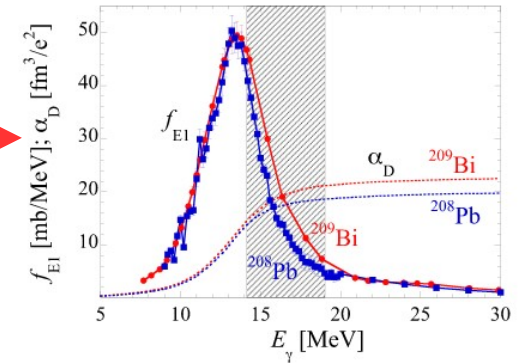
Dipole polarizability: systematics with A do we understand it?

S. Goriely, S. Péru, G. Colò, X. Roca-Maza, I. Gheorghe, D. Filipescu, and H. Utsunomiya
Phys. Rev. C **102**, 064309 – Published 9 December 2020



209Bi
208Pb

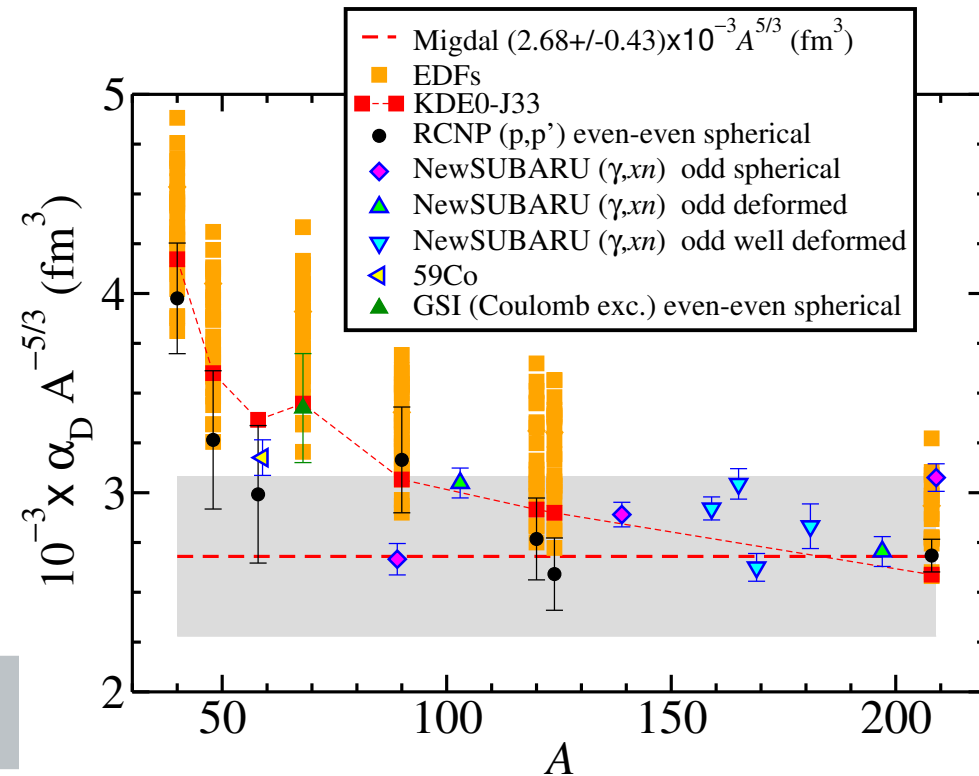
Adding one more nucleon?



All together: $A^{5/3}$ trend

→ Overall, **EDFs agree** with experimental **systematics**

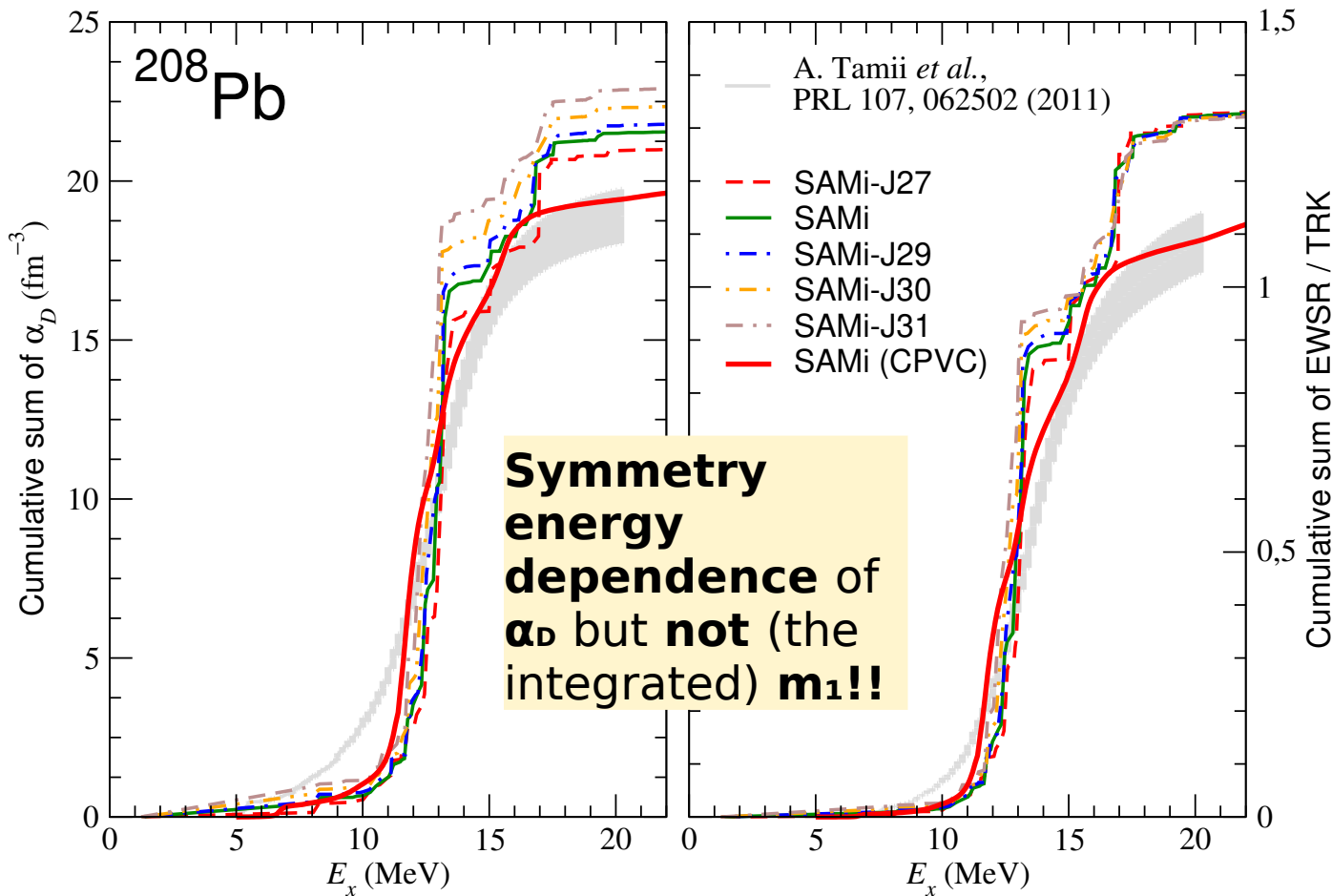
→ **Macroscopic law** (Migdal) $A^{5/3}$ reasonable for $A > 90$



Energy weighted sum rule and α_D :

$$m_1 = \sum_{\nu} (E_{\nu} - E_0) |\langle \nu | F | 0 \rangle|^2 = \langle 0 | F^{\dagger} [\mathcal{H}, F] | 0 \rangle$$

$$m_1 = \frac{9}{4\pi} \frac{\hbar^2}{2m} \frac{NZ}{A} e^2 (1 + \kappa)$$



If not all the strength is measured, need to include **correlations beyond RPA**

Effect of the **width Γ** on α_D is of a **few %**

$$\Delta\alpha_D \sim -\alpha_D \frac{\Gamma^2}{4E_x^2},$$

Energy weighted sum rule: Is the dipole operator suitable to excite a skin mode?

The m_1 :

$$m_1 = \sum_{\nu} (E_{\nu} - E_0) |\langle \nu | F | 0 \rangle|^2 = \langle 0 | F^{\dagger} [\mathcal{H}, F] | 0 \rangle$$

The dipole operator

$$\hat{F}_{1M} = \frac{eN}{A} \sum_{i=1}^Z r_i Y_{1M}(\hat{r}_i) - \frac{eZ}{A} \sum_{i=1}^N r_i Y_{1M}(\hat{r}_i);$$

Skyrme EDF

$$m_1 = \frac{9}{4\pi} \frac{\hbar^2}{2m} \frac{NZ}{A} e^2 (1 + \kappa)$$

$$\kappa \equiv \frac{2m}{\hbar^2} \frac{A}{16NZ} \left[t_1 \left(1 + \frac{x_1}{2} \right) + t_2 \left(1 + \frac{x_2}{2} \right) \right]$$

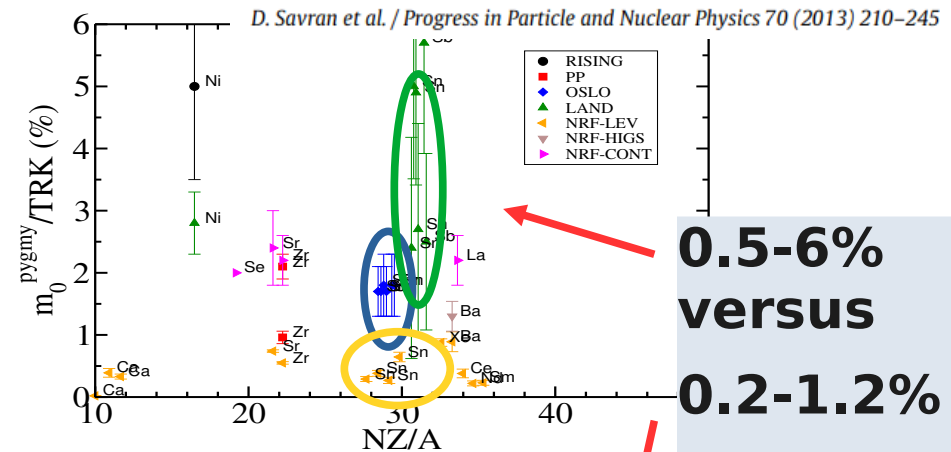
$$\times \int d^3r \rho^2 (1 - \beta^2)$$

Main contribution (~99%) does not depend on the neutron to proton density differences!!

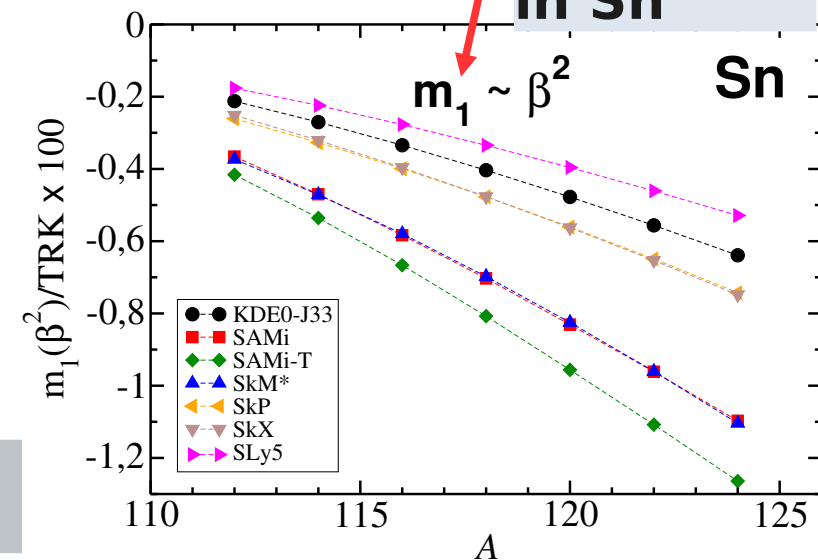
ρ_n and ρ_p differences:

→ decrease m_1

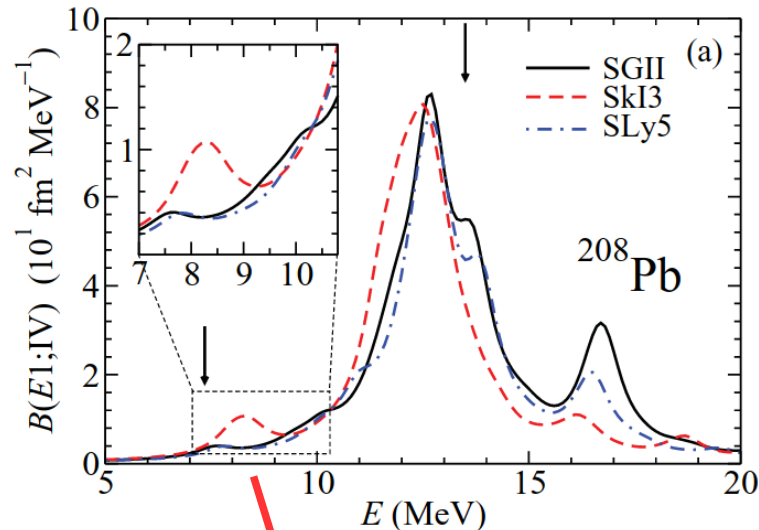
→ skin mode does not provide an explanation for the experimental pygmy EWSR from **OSLO** and **LAND** while it would be compatible with **NRF-LEV**



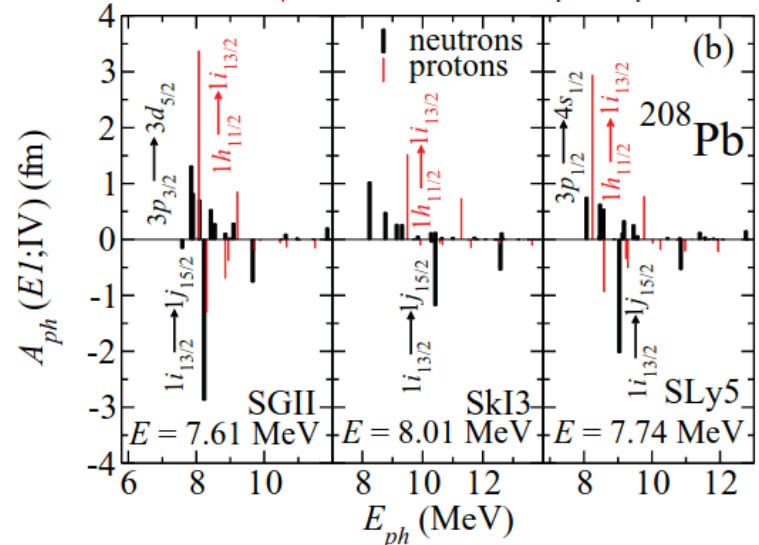
0.5-6% versus 0.2-1.2% in Sn



Low-energy isovector dipole response: RPA



$$A_{ph}(EJ, 0 \rightarrow \nu) = (X_{ph}^{(\nu)} + Y_{ph}^{(\nu)}) \langle p || F_J || h \rangle.$$

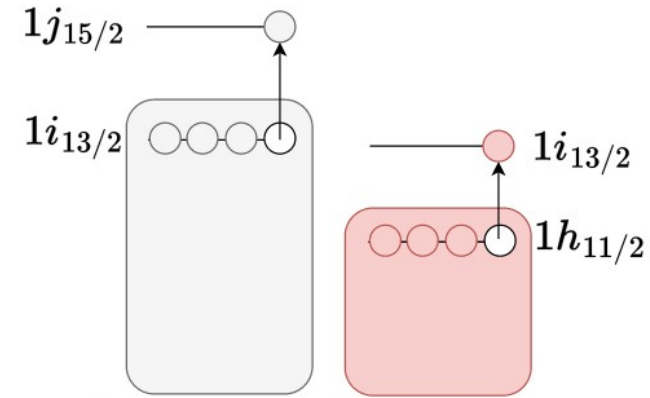


Pygmy state: not coherent and not involve all neutrons in excess

→ Main *ph* transitions **outermost neutrons** (~14) and **outermost protons** (~12) in opposition of phase.

→ **Other relevant *ph* neutron transitions in opposite phase** with respect to the main neutron *ph* transition.

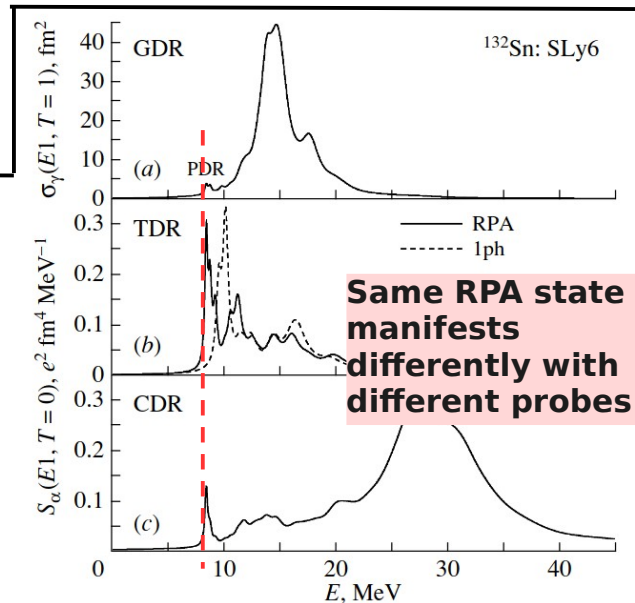
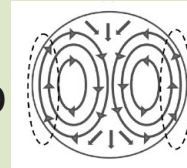
→ **All neutrons in excess (44) contribute to the neutron skin**



$$\Delta r_{nn} \propto N - Z = 44 > 14$$

Dipole strength in the pygmy region a vortical toroidal mode?

Toroidal mode can occur also in N=Z nuclei



Same RPA state manifests differently with different probes

Non-energy weighted sum rule

work in progress

Model dependence of m_0

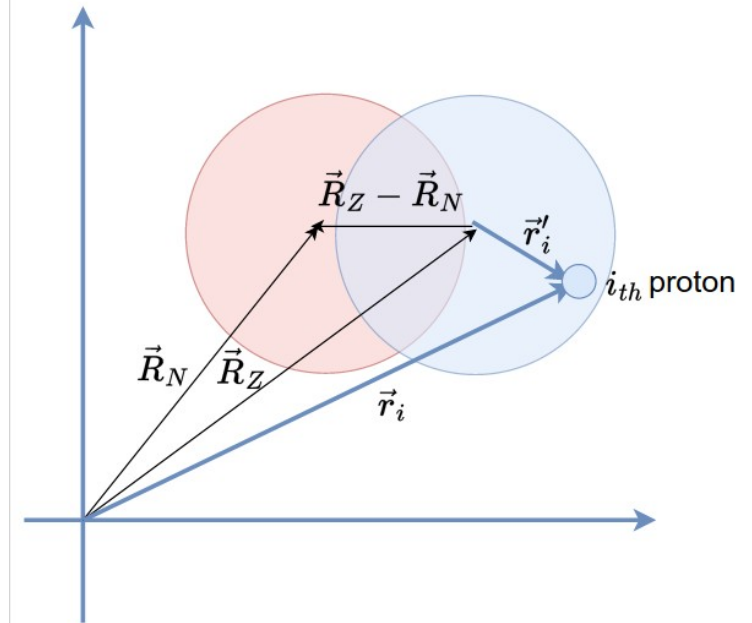
$$m_0 = \sum_{\nu} |\langle \nu | F | 0 \rangle|^2 = \langle 0 | F^\dagger F | 0 \rangle$$

$$F = \frac{N}{A} \sum_{i=1}^Z r_i Y_{10}(\hat{r}_i) - \frac{Z}{A} \sum_{i=1}^N r_i Y_{10}(\hat{r}_i)$$

$$m_0 = \frac{1}{4\pi} \left(\frac{NZ}{A} \right)^2 \langle 0 | (R_Z - R_N)^2 | 0 \rangle$$

$$\langle r_p'^2 \rangle = \frac{1}{Z} \langle 0 | \sum_{i=1}^Z (r_i - R)^2 | 0 \rangle$$

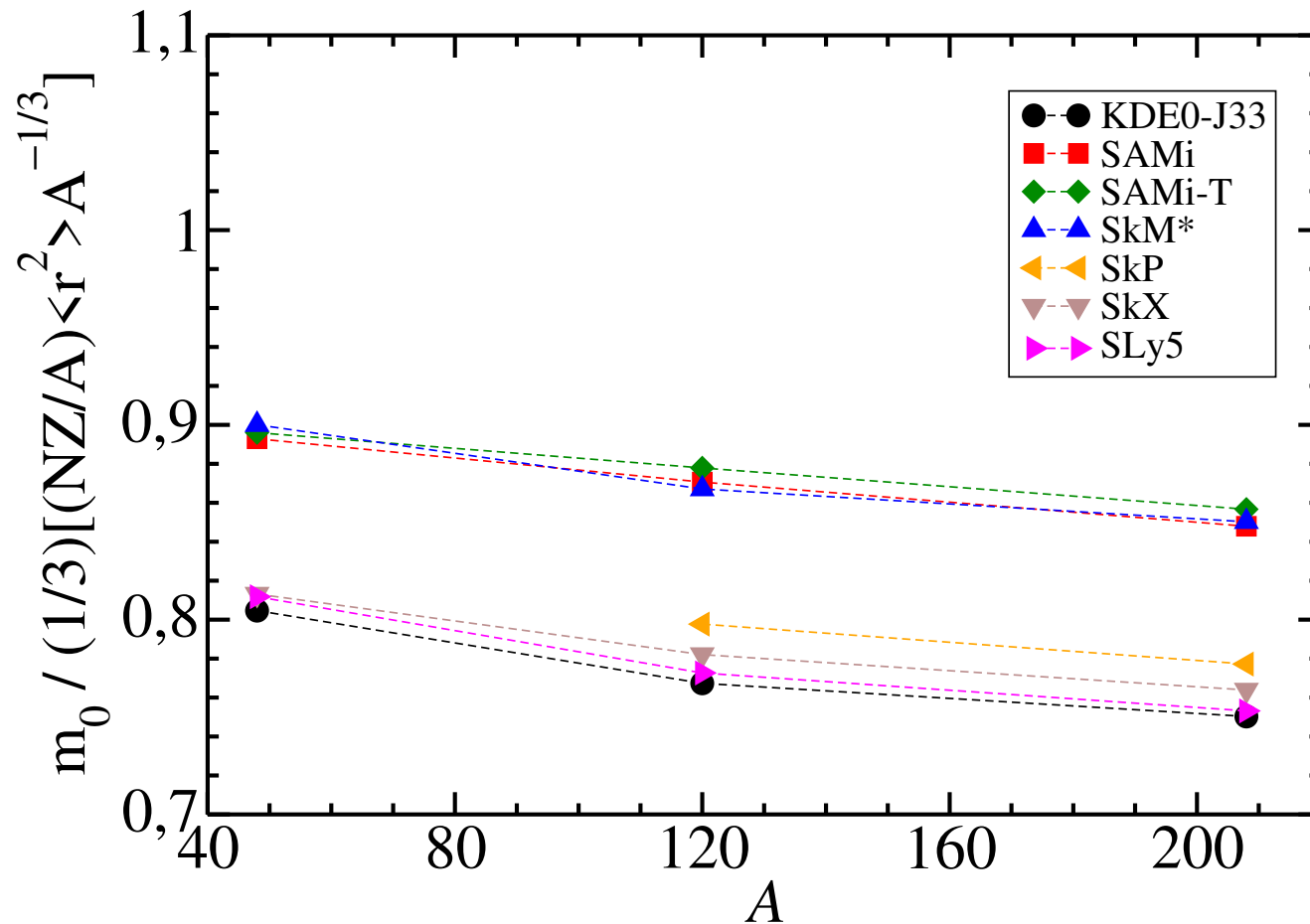
$$\langle r_p'^2 \rangle = \frac{N^2}{A^2} \langle 0 | (R_Z - R_N)^2 | 0 \rangle + \frac{1}{Z} \langle 0 | \sum_{i=1}^Z r_i^2 | 0 \rangle - \left(\frac{1}{Z} \langle 0 | \sum_{i=1}^Z r_i | 0 \rangle \right)^2$$



Non-energy weighted sum rule

work in progress

Suggested model dependence of m_0 (?)



Conclusions

- The **inverse energy weighted sum rule** or polarizability inform us about the **isovector properties** in EDFs
- The **energy weighted sum rule** does **not** provide clear **information** on the **isovector properties** of the Skyrme EDFs. Same for other models?
- The **non-energy weighted sum rule** depends on the **neutron to proton CM difference**. Can we gain information on the isovector channel from this sum rule?
- ... and from the last two: what about the centroid energy (m_1/m_0)?

Collaborators

- Gianluca **Colò** (University of Milan)
- Hiroyuki **Sagawa** (University of Aizu & RIKEN)
- Shihang **Shen** (Forschungszentrum Jülich)
- Xavier **Vinyes** & Mario **Centelles** (University of Barcelona)
- Jorge **Piekarewicz** (Florida State University)
- Nils **Paar** & Dario **Vretenar** (University of Zagreb)
- Bijay K. **Agrawal** (Saha Institute of Nuclear Physics)
- P.-G. **Reinhard** (University of Erlangen-Nürnberg)
- Yifei **Niu** (Lanzhou University)
- Witold **Nazarewicz** (FRIB and Michigan State University)
- Stephane **Goriely** (Université Libre de Bruxelles)
- Sophie **Péru** (Université Paris-Saclay, CEA)

Experimental techniques: PYGMY

D. Savran et al. / Progress in Particle and Nuclear Physics 70 (2013) 210–245

Table 2

Main strengths and weaknesses of the different experimental tools. The compared methods are: (a) Discrete (γ , γ') level analysis; (b) Continuous (γ , γ') analysis; (c) Quasi-mono-energetic photons; (d) Coulomb excitation of stable targets; (e) Coulomb excitation in inverse kinematics; (f) (α , $\alpha'\gamma$) and (p , $p'\gamma$) experiments; (g) Oslo method. A “+” means a peculiar strength of the method, a “-” a weakness and a “o” stands for an average rating. See detailed discussion in the text.

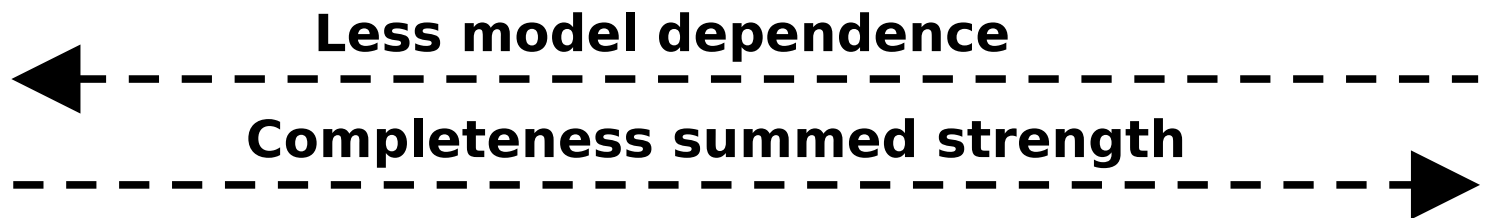
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
Typical energy resolution [keV]	5–10	100	30–200 ^a	30	500	5–10	50
Model independence	+	-	+	o	o	o	-
Completeness of summed strength	-	+	o	+	+	o	+
Fine structure	+	-	+	o	-	+	-
Versatility	-	-	-	-	+	-	o

^a Concerning the beam energy resolution. The γ -decay spectroscopy is equivalent to (a).

NFR-LEV

LAND

OSLO



Summary from Progress in Particle and Nuclear Physics 101 (2018) 96–176

EoS par.	Observable	Range	Comments
ρ_0	$\langle r_{\text{ch}}^2 \rangle^{1/2}$	0.154–0.159	Most accurate EDFs on $M(N, Z)$ and $\langle r_{\text{ch}}^2 \rangle^{1/2}$ (see Section 5)
e_0	$M(N, Z)$	–16.2 to –15.6	Most accurate EDFs on $M(N, Z)$ and $\langle r_{\text{ch}}^2 \rangle^{1/2}$ (see Section 5)
K_0	$M(N, Z)$	220–245	Most accurate EDFs on $M(N, Z)$ and $\langle r_{\text{ch}}^2 \rangle^{1/2}$ (see Section 5)
	ISGMR	220–260	From EDFs in closed shell nuclei [116]
	ISGMR	250–315	Blaizot's formula [Eq. (32)] [51]
	ISGMR	~200	EDF describing also open shell nuclei [118]
J	$M(N, Z)$	29–35.6	Most accurate EDFs on $M(N, Z)$ and $\langle r_{\text{ch}}^2 \rangle^{1/2}$ (see Section 5)
	IVGDR	~24.1(8) + L/8	From EDF analysis [$S(\rho = 0.1 \text{ fm}^{-3}) = 24.1(8) \text{ MeV}$] [273]
	PDS	30.2–33.8	From EDF analysis [370]
	PDS	31.0–33.6	From EDF analysis [371]
	α_D	24.5(8) + 0.168(7)L	From EDF analysis ^{208}Pb [96]
	α_D	30–35	From EDF analysis [179]
	IAS and Δr_{np}	30.2–33.7	From EDF analysis [325]
	AGDR	31.2–35.4	From EDF analysis [401]
	PDS, α_D , IVGQR, AGDR	32–33	From EDF analysis [508]
	compilation	29.0–32.7	[106]
compilation	30.7–32.5	[107]	
compilation	28.5–34.9	[3]	
L	$M(N, Z)$	27–113	Most accurate EDFs on $M(N, Z)$ and $\langle r_{\text{ch}}^2 \rangle^{1/2}$ (see Section 5)
	ρ_n	40–110	proton- ^{208}Pb scattering [24]
	ρ_n	0–60	π photoproduction (^{208}Pb) [181]
	ρ_n	30–80	antiprotonic at. (EDF analysis) [102,509]
	ρ_{weak}	>20	Parity violating scattering [27]
	PDS	32–54	From EDF analysis [370]
	PDS	49.1–80.5	From EDF analysis [371]
	α_D	20–66	From EDF analysis [179]
	IVGQR and ISGQR	19–55	From EDF analysis [101]
	IAS and Δr_{np}	35–75	From EDF analysis [325]
	AGDR	75.2–122.4	From EDF analysis [401]
	PDS, α_D , IVGQR, AGDR	45.2–54.6	From EDF analysis [508]
	compilation	40.5–61.9	[106]
compilation	42.4–75.4	[107]	
compilation	30.6–86.8	[3]	

Pygmy used to get information about the nuclear EoS?



Some alternative compilations:

M. Oertel, M. Hempel, T. Klähn, S. Typel, Rev. Modern Phys. 89 (2017) 015007.

M.B. Tsang, et al., Phys. Rev. C 86 (2012) 015803.

Bao-An Li, Xiao Han, Phys. Lett. B 727 (1) (2013) 276–281.

Summary

with qualitative indication of accuracy needed to describe experiment
(note that absolute values might be subject to systematics)

- $\rho_0 \in [0.154, 0.159] \text{ fm}^{-3}$ → relative accuracy **2%**
 - needed to describe experiment (Rch) $\leq 0.1\%$
- $e_0 \in [15.6, 16.2] \text{ MeV}$ → relative accuracy **4%**
 - needed to describe experiment (B) $\leq 0.0001\%$
- $K_0 \in [200, 260] \text{ MeV}$ → relative accuracy **25%**
 - needed to describe experiment (E_x^{GMR}) $\leq 7\%$
- $J \in [30, 35] \text{ MeV}$ → relative accuracy **15%**
 - needed to describe experiment (α) $\leq 15\%$
- $L \in [20, 120] \text{ MeV}$ → relative accuracy **150%**
 - needed to describe experiment (α) $\leq 50\%$
- ...