

TMD extraction framework and validation tests & the role of large k_T

J. Osvaldo Gonzalez-Hernandez
University of Turin & INFN Turin

Based on:

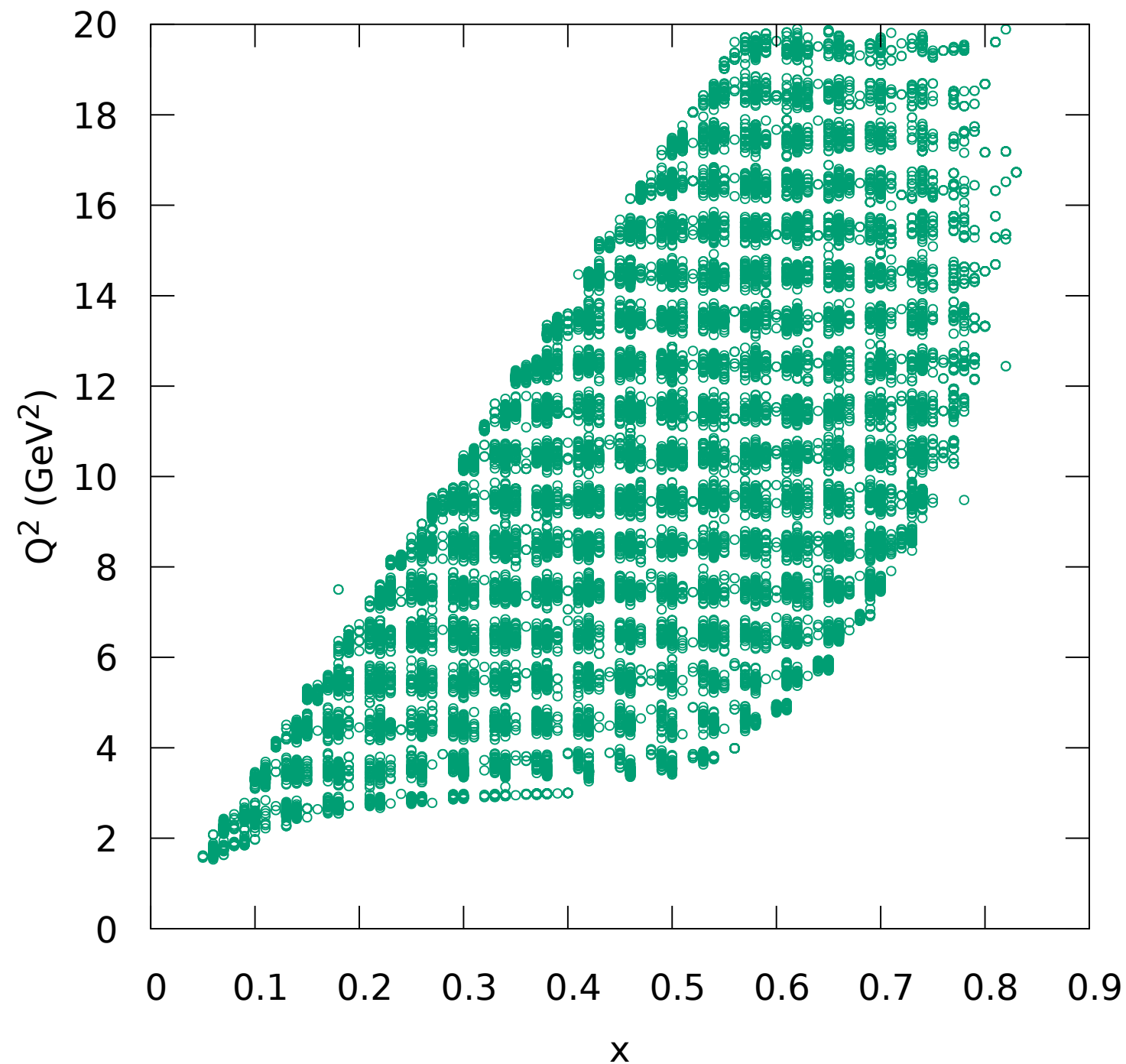
[J.O. Gonzalez-Hernandez](#)([Turin U.](#)), [T.C. Rogers](#)([Old Dominion U.](#) and [Jefferson Lab](#)), [N. Sato](#)([Jefferson Lab](#)) (May 11, 2022)
Phys.Rev.D 106 (2022) 3, 034002 • e-Print: [2205.05750](#) [hep-ph]

& ongoing work with

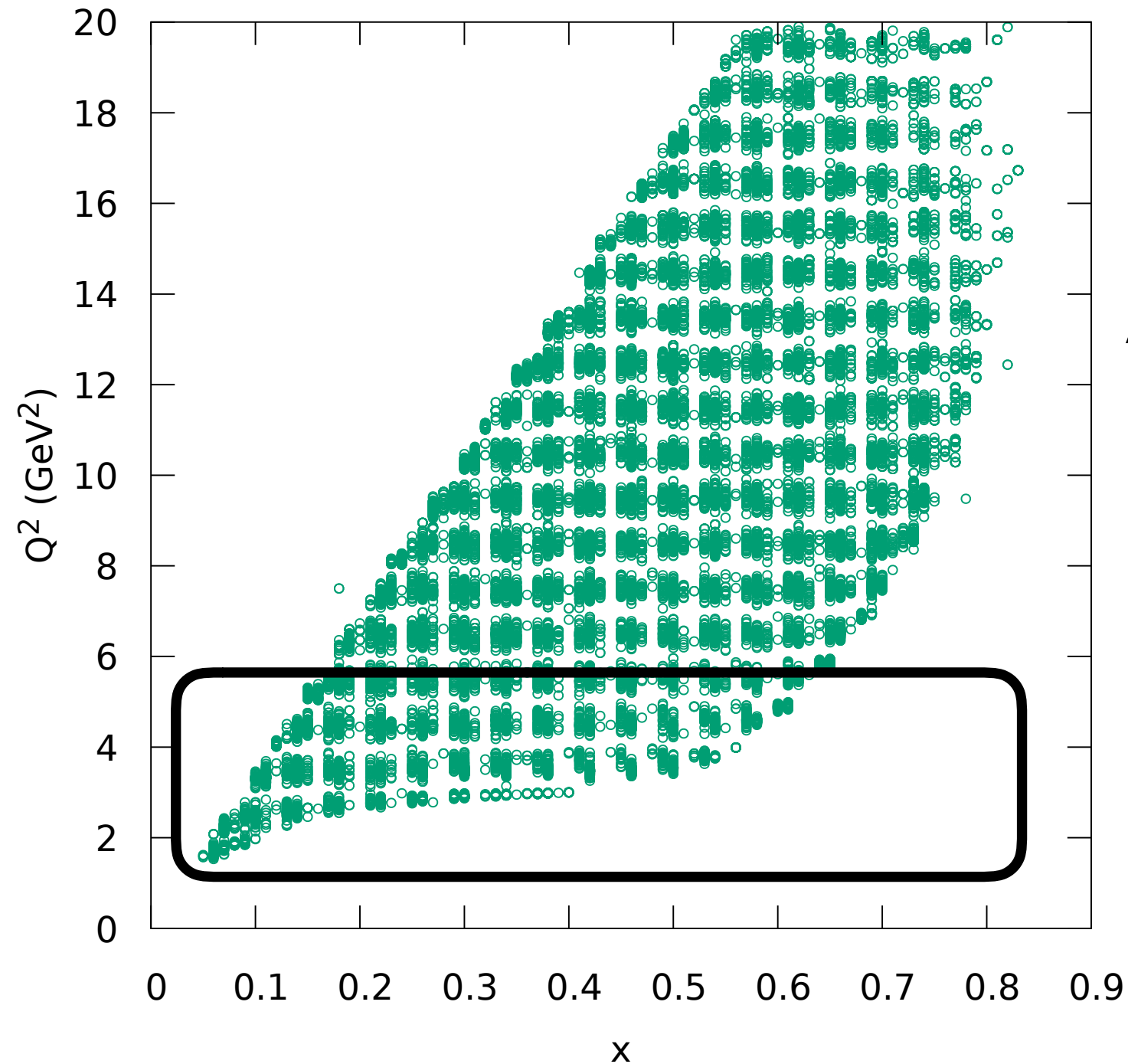
Tommaso Rainaldi and T. C. Rogers

New opportunities with JLab upgrade

High luminosity
(precision)



New opportunities with JLab upgrade

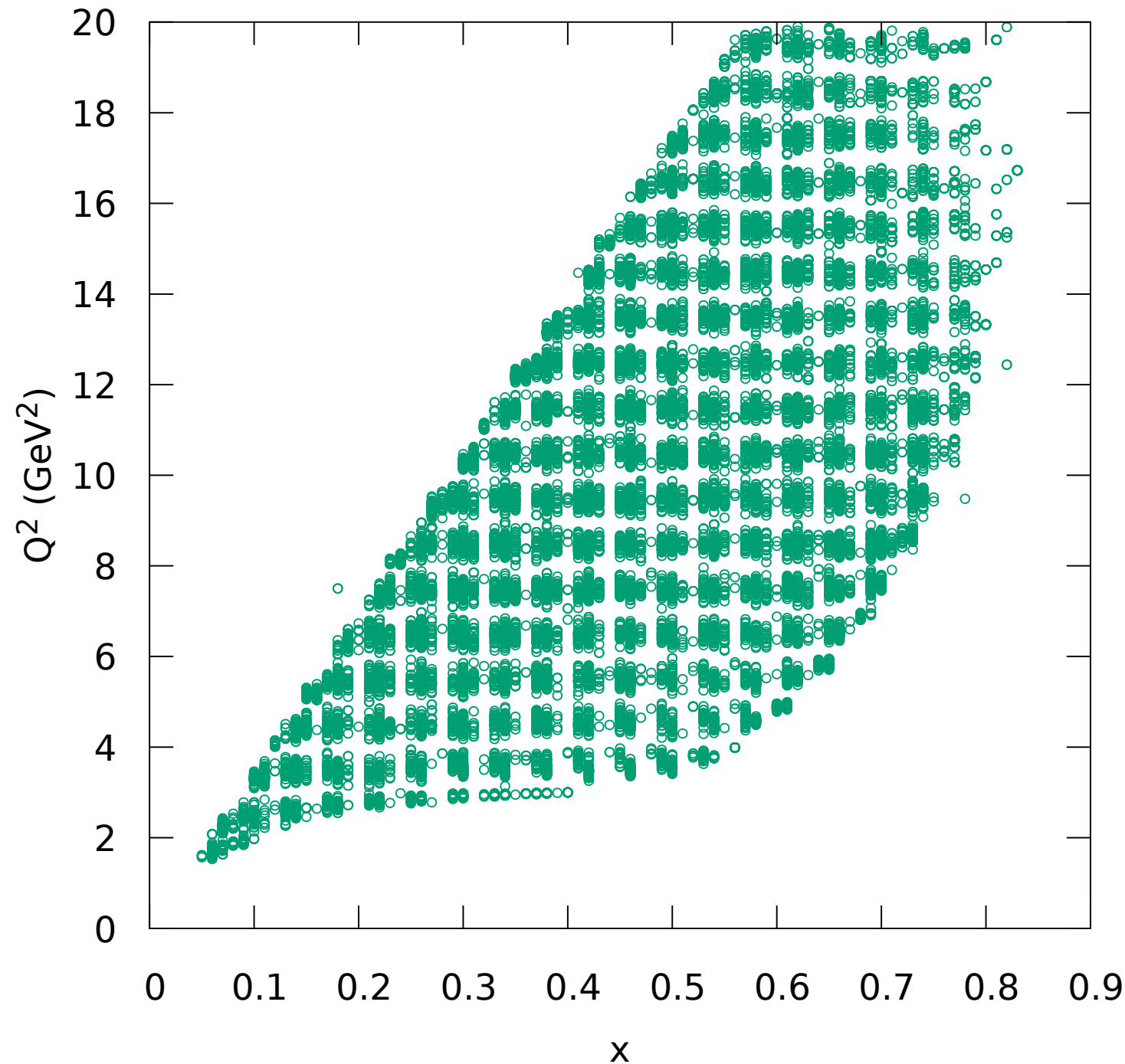


High luminosity
(precision)

+

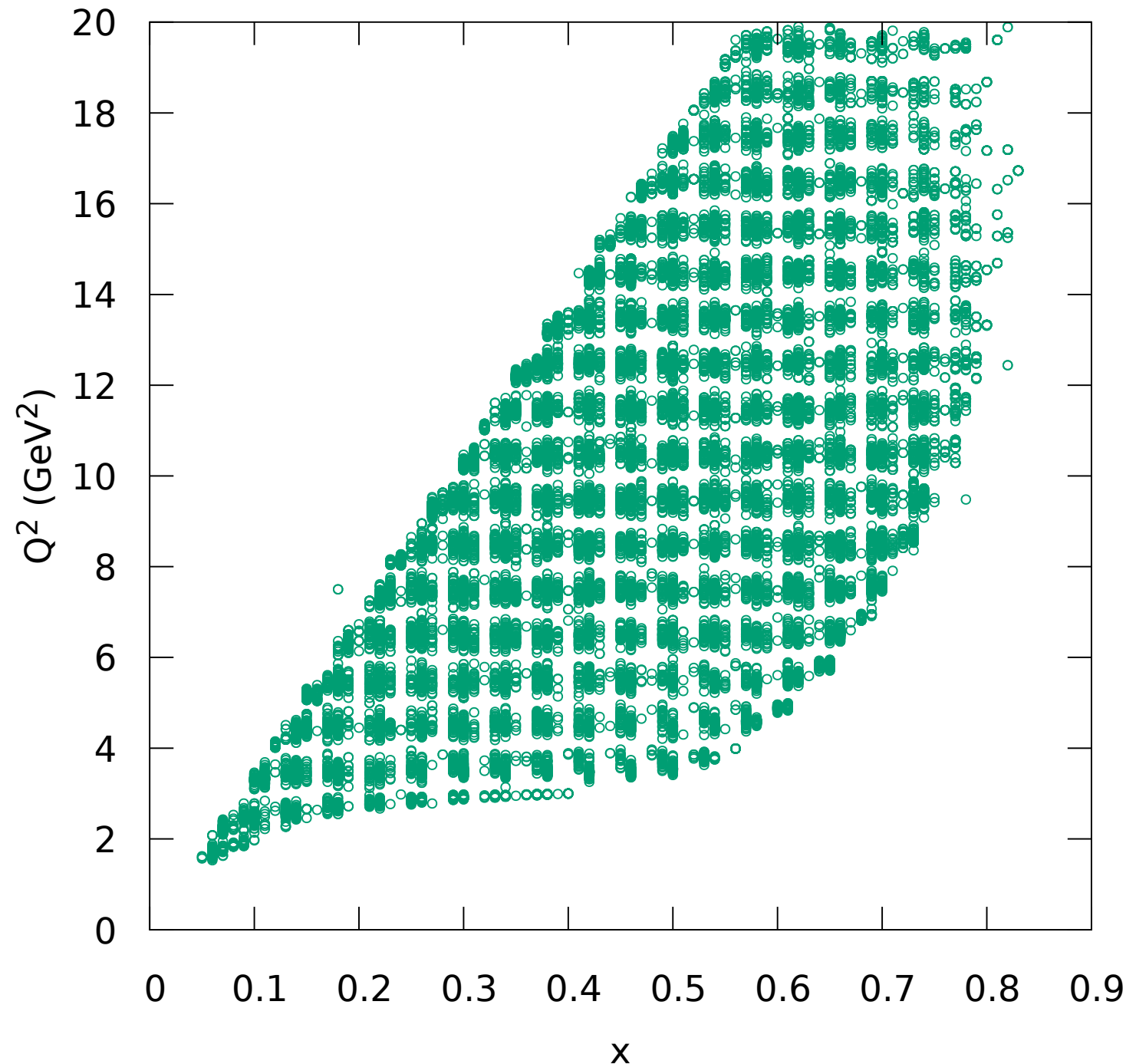
Access to sufficiently
small Q (accuracy)

New opportunities with JLab upgrade



High luminosity
(precision)
+
Access to sufficiently
small Q (accuracy)
+
Multidimensional
binning
(TMD evolution, test
of factorization)

New opportunities with JLab upgrade



I will focus on these

Access to sufficiently
small Q (accuracy)

+

Multidimensional
binning
(TMD evolution, test
of factorization)

Possible issues/sources of error in determining TMDs

[J.O. Gonzalez-Hernandez](#)([Turin U.](#)), [T.C. Rogers](#)([Old Dominion U.](#) and [Jefferson Lab](#)), [N. Sato](#)([Jefferson Lab](#)) (May 11, 2022)
Phys.Rev.D 106 (2022) 3, 034002 • e-Print: [2205.05750](#) [hep-ph]

1. The choices of models, assumptions, or approximations used to describe nonperturbative contributions,
2. The neglect of power suppressed corrections to factorization, like the last term in Eq. 
3. Truncation of high powers of α_s in perturbative parts of the calculation and,
4. In phenomenological applications involving fits, whatever assumptions and approximations are used at the level of fit extractions.

$$Q^2 \frac{d\sigma}{dz_A dz_B dq_T^2} \\ = H(\mu_Q; C_2) \int d^2\mathbf{k}_{AT} d^2\mathbf{k}_{BT} D_A(z_A, z_A \mathbf{k}_{AT}; \mu_Q, Q^2) D_B(z_B, z_B \mathbf{k}_{BT}; \mu_Q, Q^2) \delta^{(2)}(\mathbf{q}_T - \mathbf{k}_{AT} - \mathbf{k}_{BT}) \\ + Y(q_T, Q; \mu_Q) + O(m/Q) .$$


Possible issues/sources of error in determining TMDs

1. The choices of models, assumptions, or approximations used to describe nonperturbative contributions,
2. The neglect of power suppressed corrections to factorization, like the last term in Eq. ●
3. Truncation of high powers of α_s in perturbative parts of the calculation and,
4. In phenomenological applications involving fits, whatever assumptions and approximations are used at the level of fit extractions.



are models consistent with pQCD?

$$\begin{aligned}
 & Q^2 \frac{d\sigma}{dz_A dz_B dq_T^2} \\
 &= H(\mu_Q; C_2) \int d^2\mathbf{k}_{AT} d^2\mathbf{k}_{BT} D_A(z_A, z_A \mathbf{k}_{AT}; \mu_Q, Q^2) D_B(z_B, z_B \mathbf{k}_{BT}; \mu_Q, Q^2) \delta^{(2)}(\mathbf{q}_T - \mathbf{k}_{AT} - \mathbf{k}_{BT}) \\
 &\quad + Y(q_T, Q; \mu_Q) + O(m/Q) .
 \end{aligned}$$



Possible issues/sources of error in determining TMDs

1. The choices of models, assumptions, or approximations used to describe nonperturbative contributions,
2. The neglect of power suppressed corrections to factorization, like the last term in Eq. 
3. Truncation of high powers of α_s in perturbative parts of the calculation and,
4. In phenomenological applications involving fits, whatever assumptions and approximations are used at the level of fit extractions.



How well can we
access nonperturbative
effects?

$$\begin{aligned}
 & Q^2 \frac{d\sigma}{dz_A dz_B dq_T^2} \\
 &= H(\mu_Q; C_2) \int d^2\mathbf{k}_{AT} d^2\mathbf{k}_{BT} D_A(z_A, z_A \mathbf{k}_{AT}; \mu_Q, Q^2) D_B(z_B, z_B \mathbf{k}_{BT}; \mu_Q, Q^2) \delta^{(2)}(\mathbf{q}_T - \mathbf{k}_{AT} - \mathbf{k}_{BT}) \\
 &\quad + Y(q_T, Q; \mu_Q) + O(m/Q) .
 \end{aligned}$$


Possible issues/sources of error in determining TMDs

1. The choices of models, assumptions, or approximations used to describe nonperturbative contributions,
2. The neglect of power suppressed corrections to factorization, like the last term in Eq. 
3. Truncation of high powers of α_s in perturbative parts of the calculation and,
4. In phenomenological applications involving fits, whatever assumptions and approximations are used at the level of fit extractions.

Large k_T behaviour
of TMDs
is
important

$$Q^2 \frac{d\sigma}{dz_A dz_B dq_T^2} = H(\mu_Q; C_2) \int d^2\mathbf{k}_{AT} d^2\mathbf{k}_{BT} D_A(z_A, z_A \mathbf{k}_{AT}; \mu_Q, Q^2) D_B(z_B, z_B \mathbf{k}_{BT}; \mu_Q, Q^2) \delta^{(2)}(\mathbf{q}_T - \mathbf{k}_{AT} - \mathbf{k}_{BT})$$

$+ Y(q_T, Q; \mu_Q)$

 $+ O(m/Q) .$


5. Neglecting the Y term

“W-term” usually written as

WOPE (pQCD)

$$\begin{aligned}
 W(q_T, Q) = & H(\mu_Q; C_2) \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{-i \mathbf{q}_T \cdot \mathbf{b}_T} \tilde{D}_A(z_A, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \tilde{D}_B(z_B, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \\
 & \times \exp \left\{ 2 \int_{\mu_{b_*}}^{\mu_Q} \frac{d\mu'}{\mu'} \left[\gamma(\alpha_s(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(\alpha_s(\mu')) \right] + \ln \frac{Q^2}{\mu_{b_*}^2} \tilde{K}(b_*; \mu_{b_*}) \right\} \\
 & \times \exp \left\{ -g_A(z_A, b_T) - g_B(z_B, b_T) - g_K(b_T) \ln \left(\frac{Q^2}{Q_0^2} \right) \right\} .
 \end{aligned}$$

“W-term” usually written as

WOPE (pQCD)

$$\begin{aligned}
 W(q_T, Q) = & H(\mu_Q; C_2) \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{-i \mathbf{q}_T \cdot \mathbf{b}_T} \tilde{D}_A(z_A, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \tilde{D}_B(z_B, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \\
 & \times \exp \left\{ 2 \int_{\mu_{b_*}}^{\mu_Q} \frac{d\mu'}{\mu'} \left[\gamma(\alpha_s(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(\alpha_s(\mu')) \right] + \ln \frac{Q^2}{\mu_{b_*}^2} \tilde{K}(b_*; \mu_{b_*}) \right\} \\
 & \times \exp \left\{ -g_A(z_A, b_T) - g_B(z_B, b_T) - g_K(b_T) \ln \left(\frac{Q^2}{Q_0^2} \right) \right\} .
 \end{aligned}$$

**Models characterizing
nonperturbative behaviour**

“W-term” usually written as

WOPE (pQCD)

$$\begin{aligned}
 W(q_T, Q) = & H(\mu_Q; C_2) \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{-i \mathbf{q}_T \cdot \mathbf{b}_T} \tilde{D}_A(z_A, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \tilde{D}_B(z_B, \mathbf{b}_*; \mu_{b_*}, \mu_{b_*}^2) \\
 & \times \exp \left\{ 2 \int_{\mu_{b_*}}^{\mu_Q} \frac{d\mu'}{\mu'} \left[\gamma(\alpha_s(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(\alpha_s(\mu')) \right] + \ln \frac{Q^2}{\mu_{b_*}^2} \tilde{K}(b_*; \mu_{b_*}) \right\} \\
 & \times \exp \left\{ -g_A(z_A, b_T) - g_B(z_B, b_T) - g_K(b_T) \ln \left(\frac{Q^2}{Q_0^2} \right) \right\} .
 \end{aligned}$$

**Models characterizing
nonperturbative behaviour**

Transition from small to large b_T

$$b_*(b_T) = \frac{b_T}{\sqrt{1 + b_T^2/b_{\max}^2}} .$$

Scale setting in the OPE

$$\mu_{b_*} \equiv C_1/b_* .$$

Exact definition of W does not depend on the shape of b^* nor on the value of $b_{\max}$

$$g_K(b_T) \equiv \tilde{K}(b_*, \mu) - \tilde{K}(b_T, \mu) \quad -g_A(z, \mathbf{b}_T) \equiv \ln \left(\frac{\tilde{D}_A(z, \mathbf{b}_T; \mu_{Q_0}, Q_0^2)}{\tilde{D}_A(z, \mathbf{b}_*; \mu_{Q_0}, Q_0^2)} \right)$$

When modelling g-functions, should only allow for mild dependence on b^* and $b_{\max}$

g-functions must be
constrained explicitly at
small b_T (large kT)

Exact definition of W does not
depend on the shape of b^* nor on
the value of $b_{\max}$

$$g_K(b_T) \equiv \tilde{K}(b_*, \mu) - \tilde{K}(b_T, \mu) \quad -g_A(z, \mathbf{b}_T) \equiv \ln \left(\frac{\tilde{D}_A(z, \mathbf{b}_T; \mu_{Q_0}, Q_0^2)}{\tilde{D}_A(z, \mathbf{b}_*; \mu_{Q_0}, Q_0^2)} \right)$$

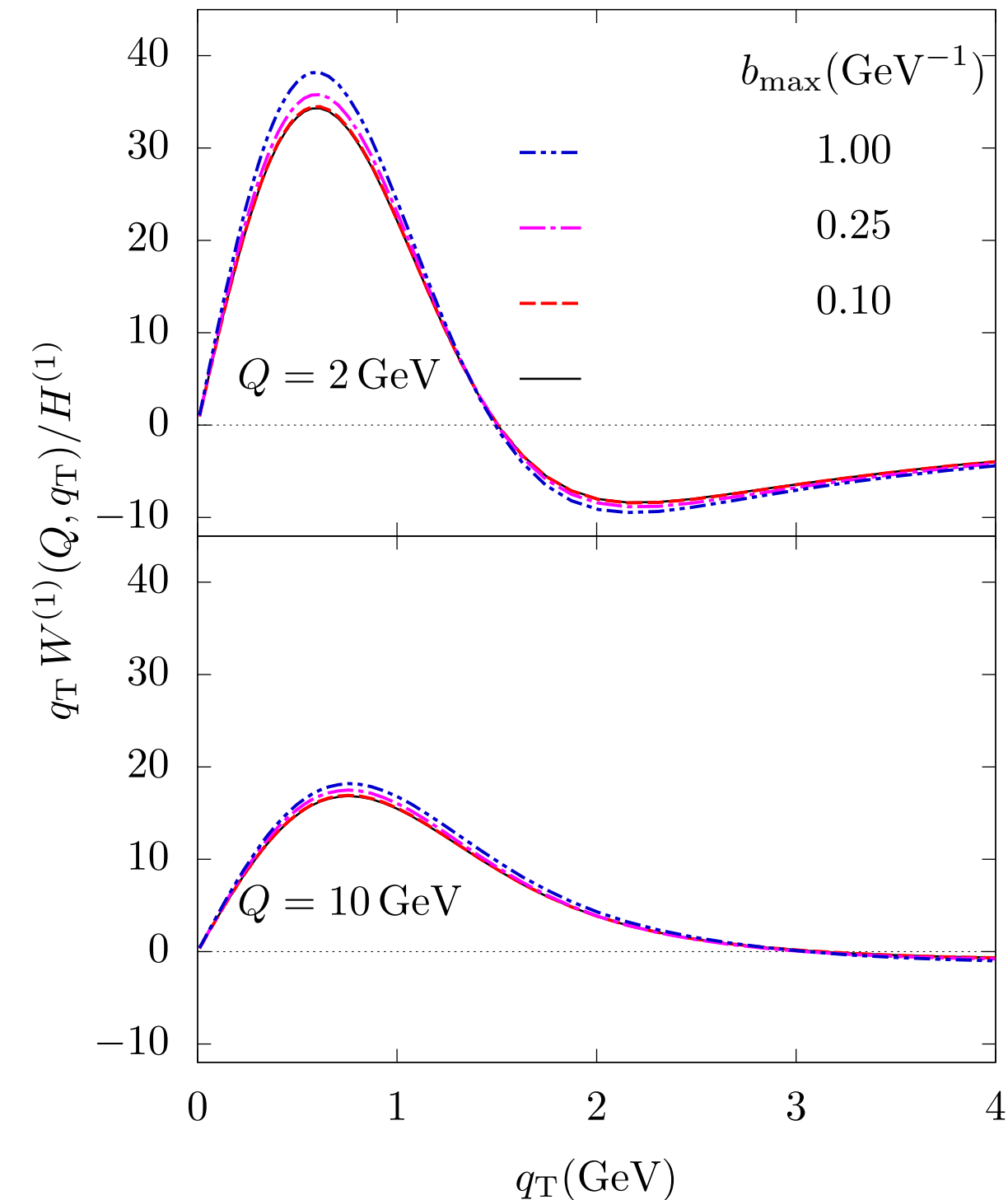
When modelling g-functions, should only allow for mild dependence
on b^* and $b_{\max}$

In both cases, using WOPE

Example: $e^+e^- \rightarrow h h$

$Q_0 = 2 \text{ GeV}$

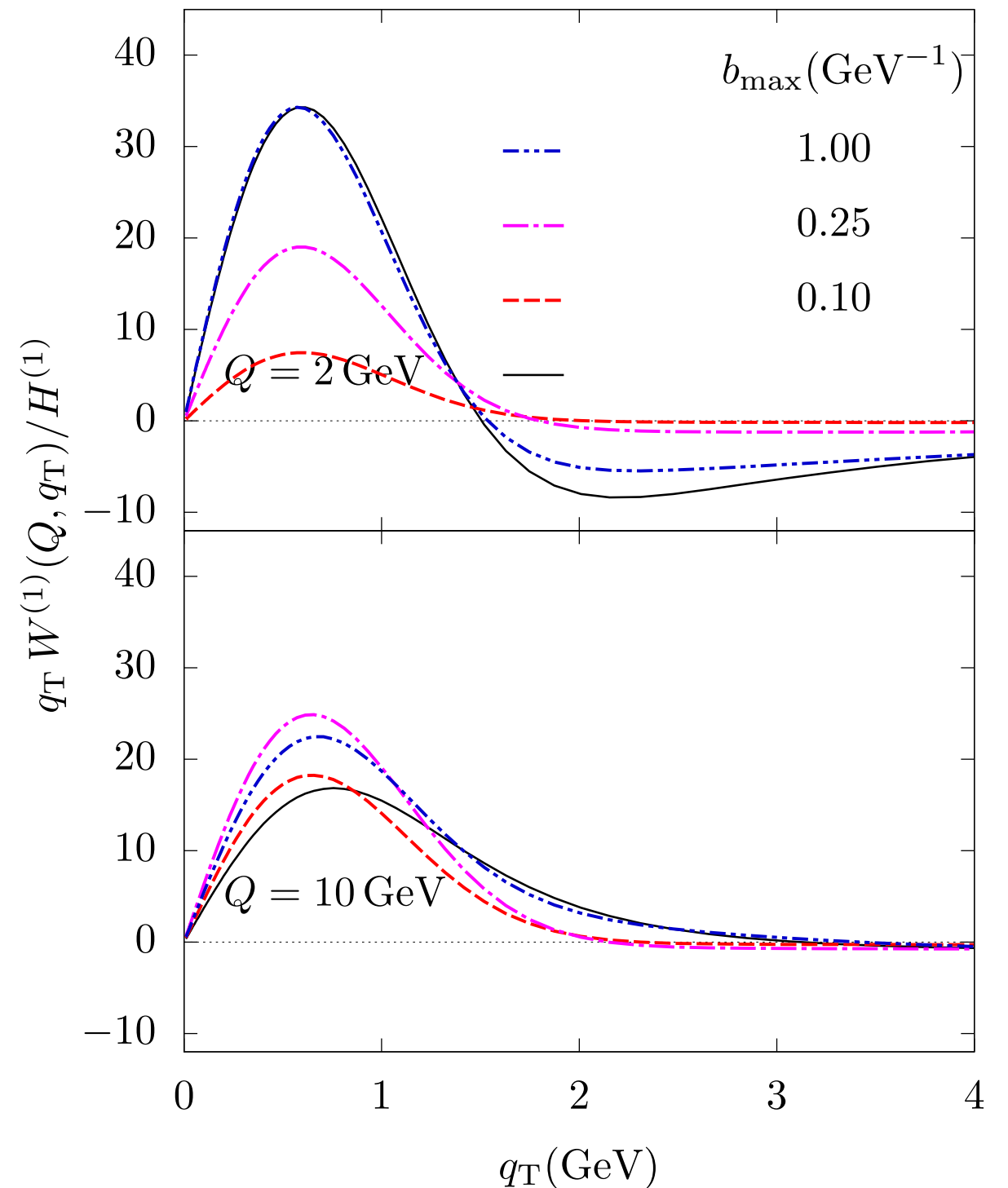
$z = 0.3$



Explicitly constraining g-functions

$Q_0 = 2 \text{ GeV}$

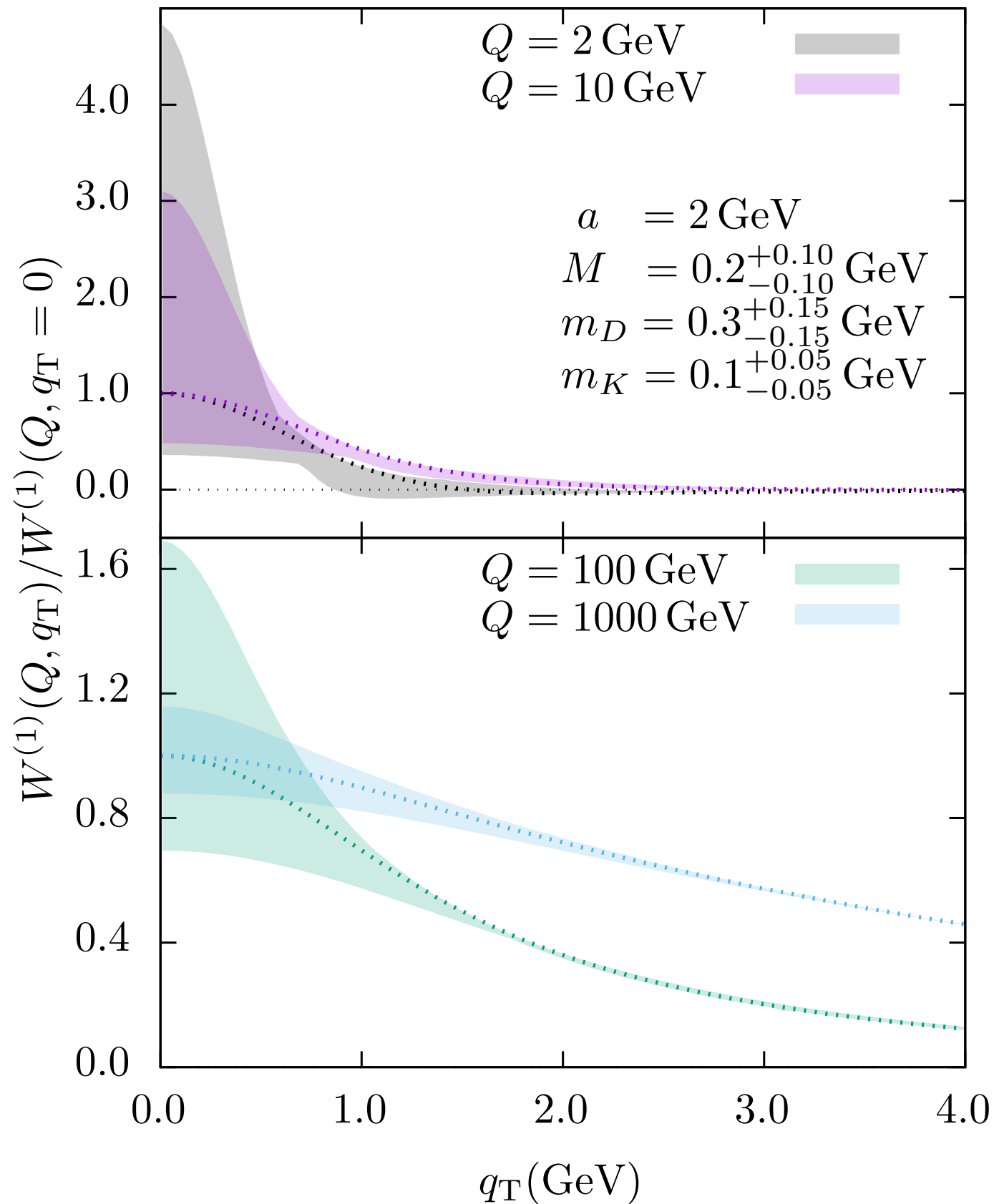
$z = 0.3$



Unconstrained g-functions

$$Q_0 = 2 \text{ GeV}$$

$$z = 0.3$$

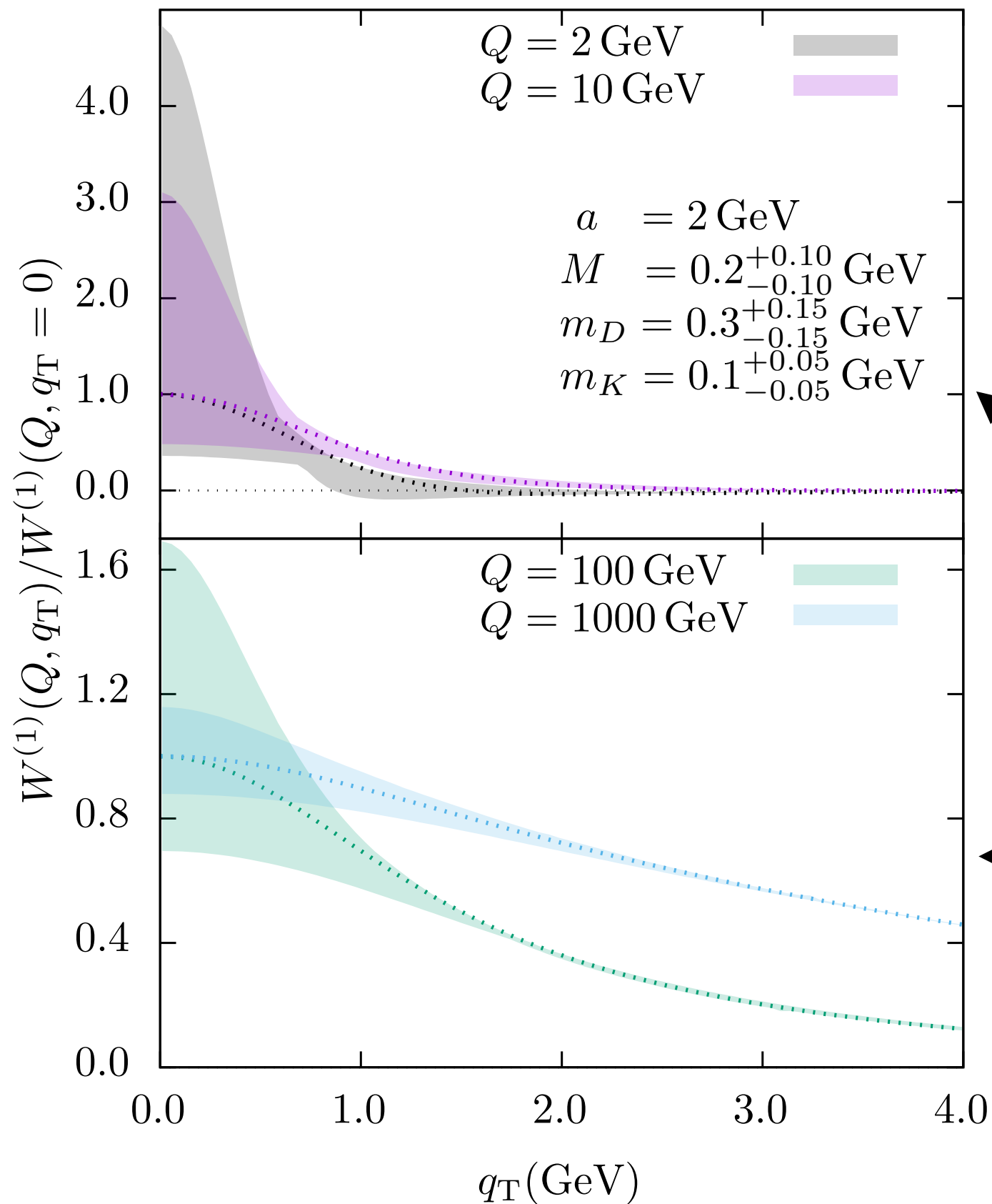


Relative variation of W
w.r.t
mass parameters

TMDs parametrized
at Q_0 , then evolved.

$Q_0 = 2 \text{ GeV}$

$z = 0.3$



Relative variation of W
w.r.t
mass parameters

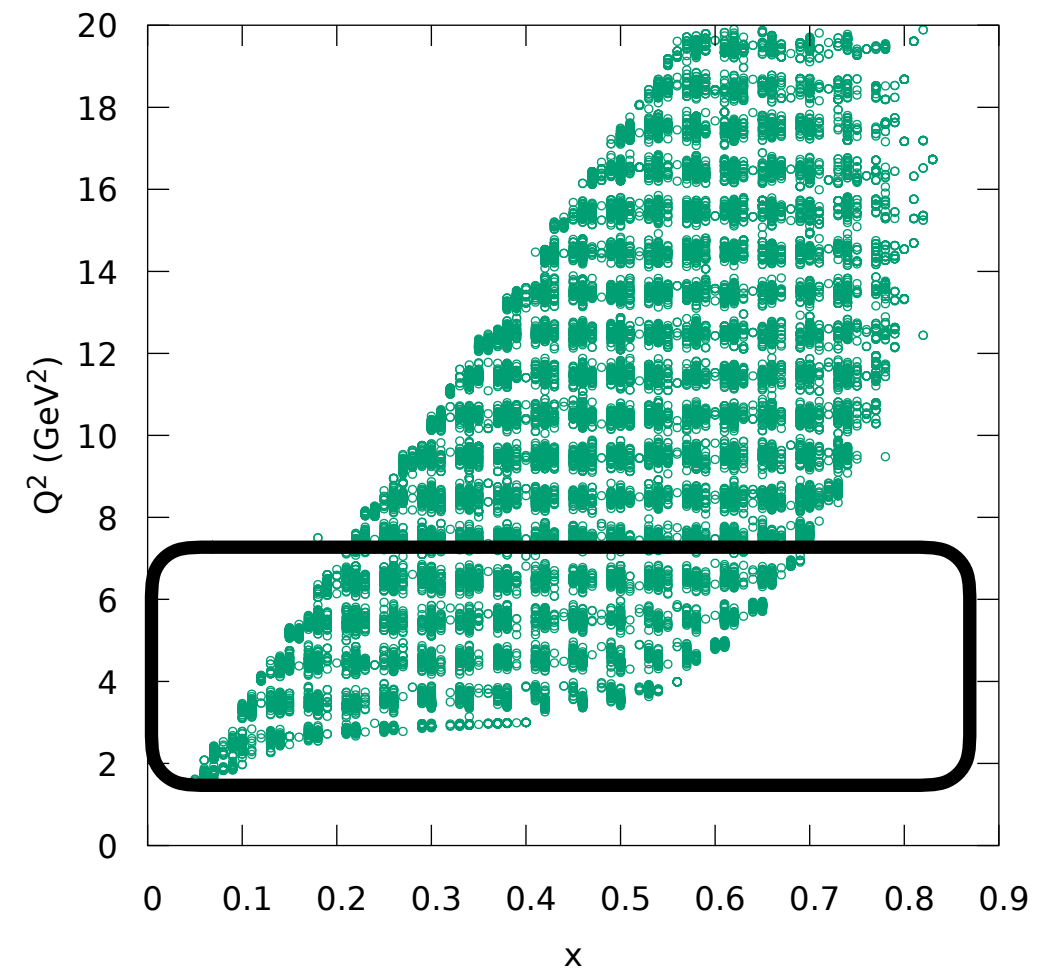
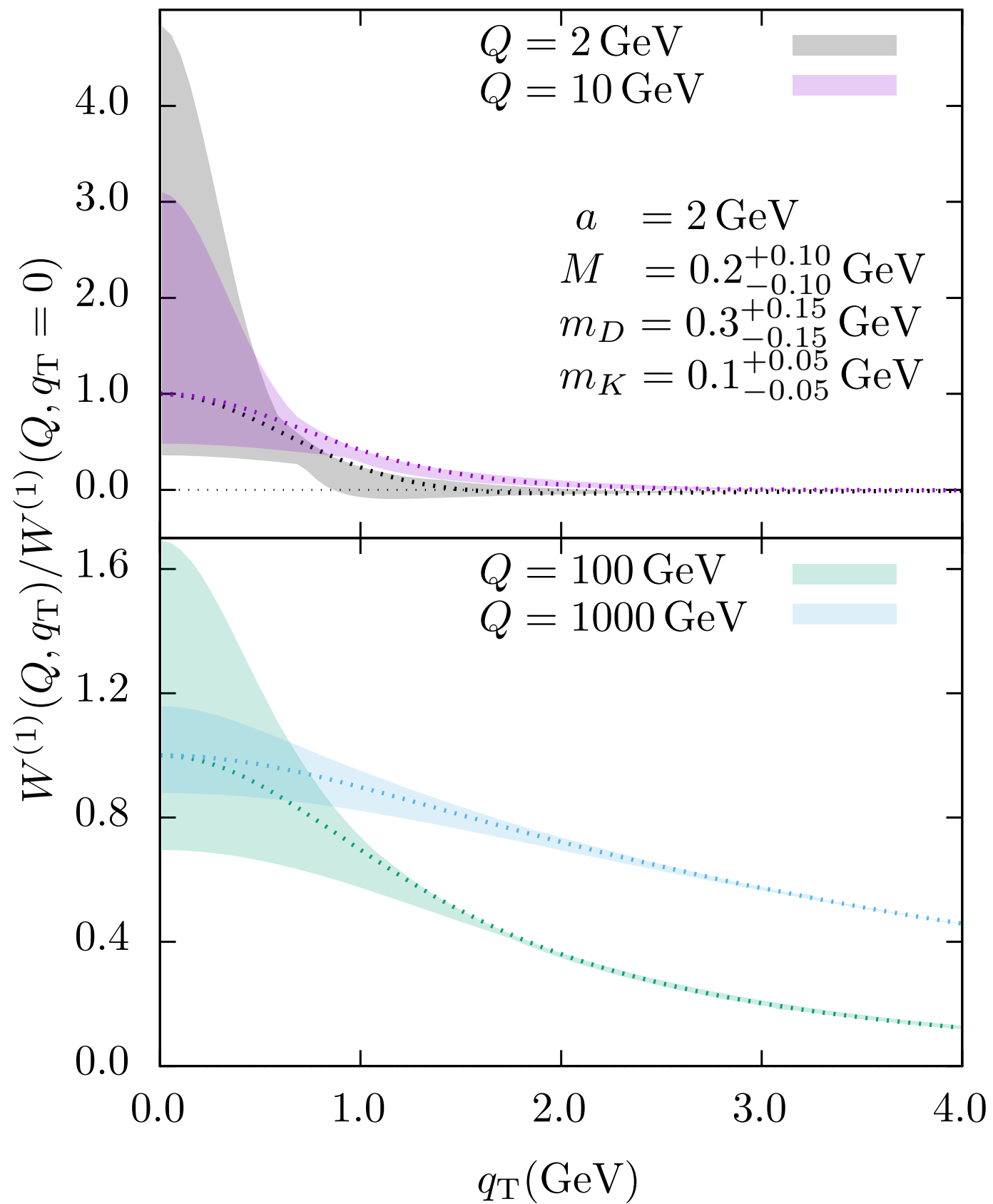
TMDs parametrized
at Q_0 , then evolved.

Extract TMDs here

Predict this

$$Q_0 = 2 \text{ GeV}$$

$$z = 0.3$$

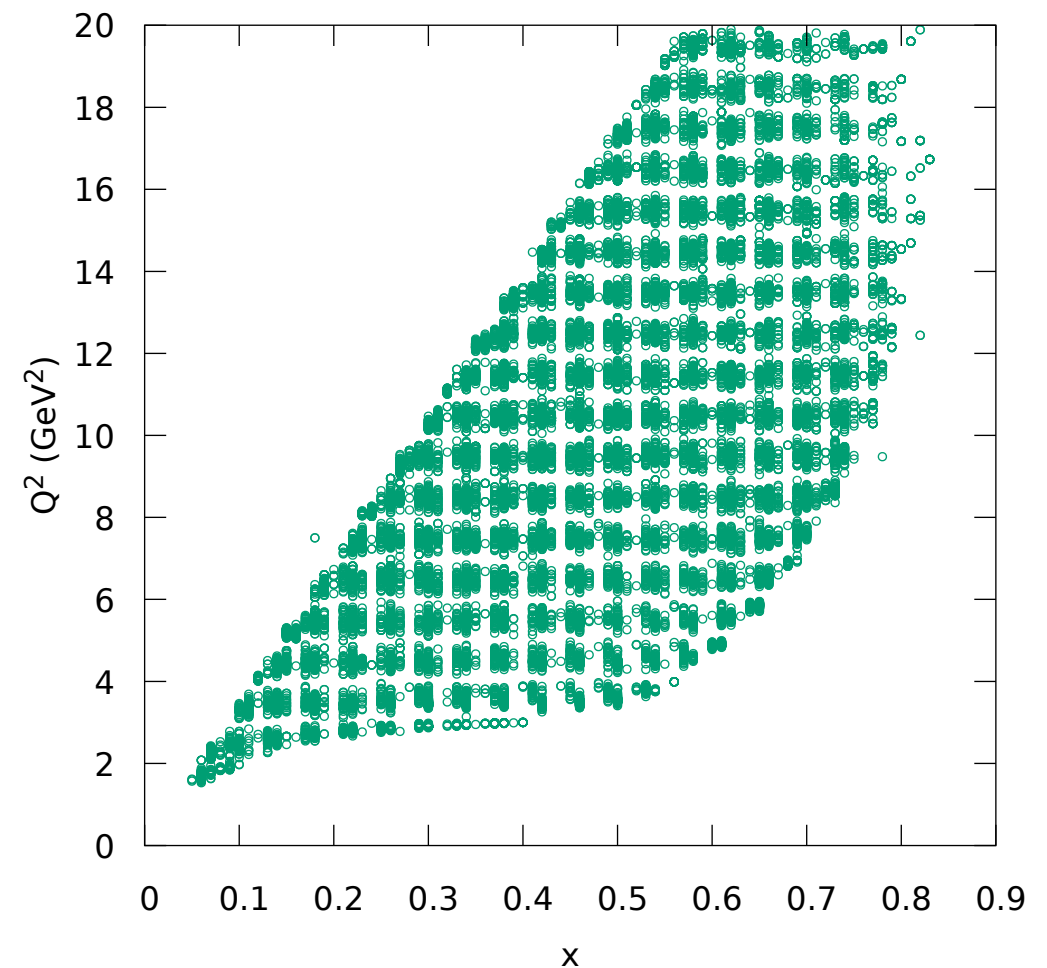


Important test:
What is Q_0 ?

an idea:
(good old) predictions,
the ultimate test.

[J.O. Gonzalez-Hernandez](#)([Turin U.](#)), [T.C. Rogers](#)([Old Dominion U.](#) and [Jefferson Lab](#)), [N. Sato](#)([Jefferson Lab](#)) (May 11, 2022)
Phys.Rev.D 106 (2022) 3, 034002 • e-Print: [2205.05750](#) [hep-ph]

- A) Constrain models
- B) Extract TMDs & CS kernel
at $Q \approx Q_0$
- C) Evolve to higher energies
and test extraction.



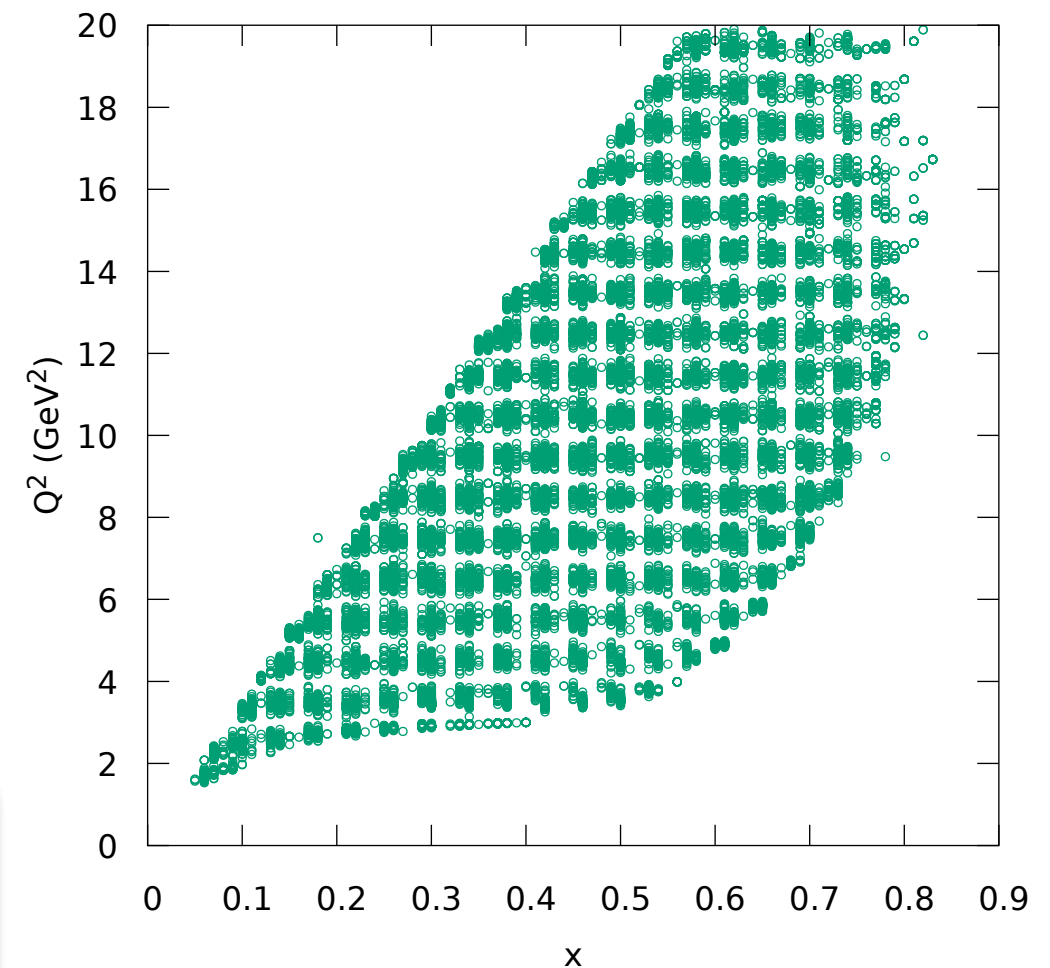
an idea:
(good old) predictions,
the ultimate test.

[J.O. Gonzalez-Hernandez](#)([Turin U.](#)), [T.C. Rogers](#)([Old Dominion U.](#) and [Jefferson Lab](#)), [N. Sato](#)([Jefferson Lab](#)) (May 11, 2022)
Phys.Rev.D 106 (2022) 3, 034002 • e-Print: [2205.05750](#) [hep-ph]

A) Constrain models

B) Extract TMDs & CS kernel
at $Q \approx Q_0$

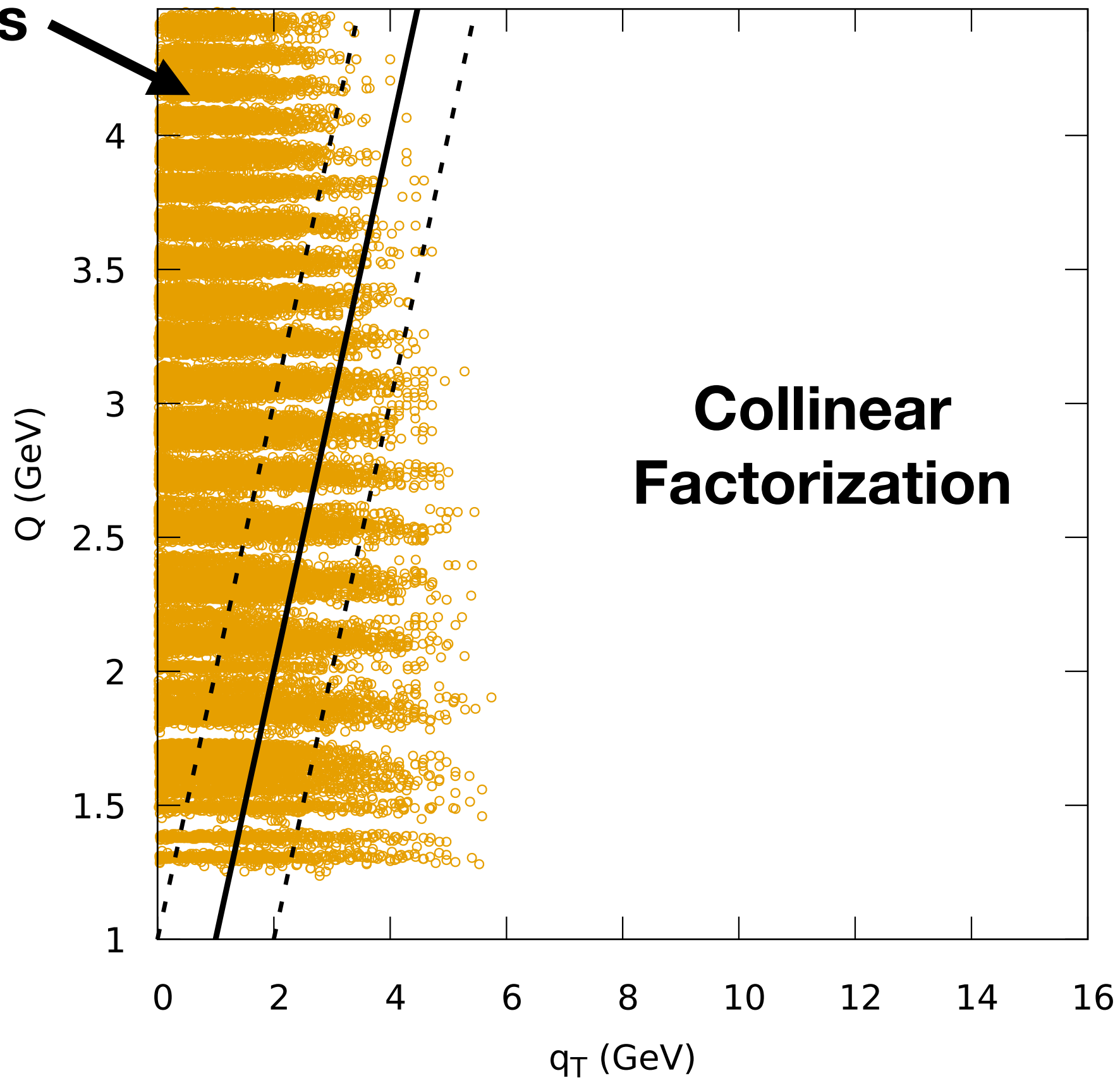
C) Evolve to higher energies
and test extraction.

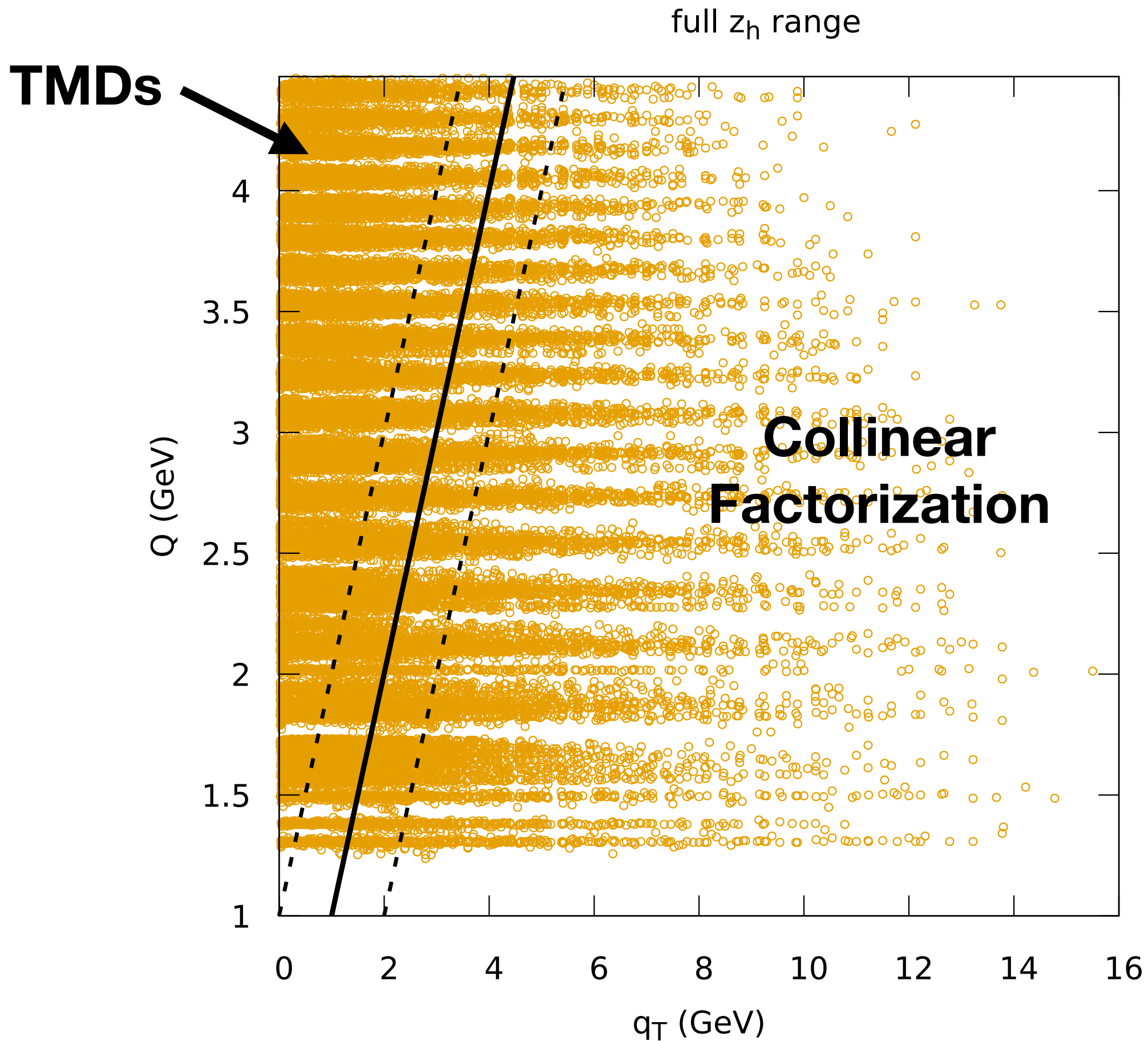


High luminosity
(precision)
+
Access to sufficiently
small Q (accuracy)

$$z_h < 0.3$$

TMDs





**How small
can z_h be?**

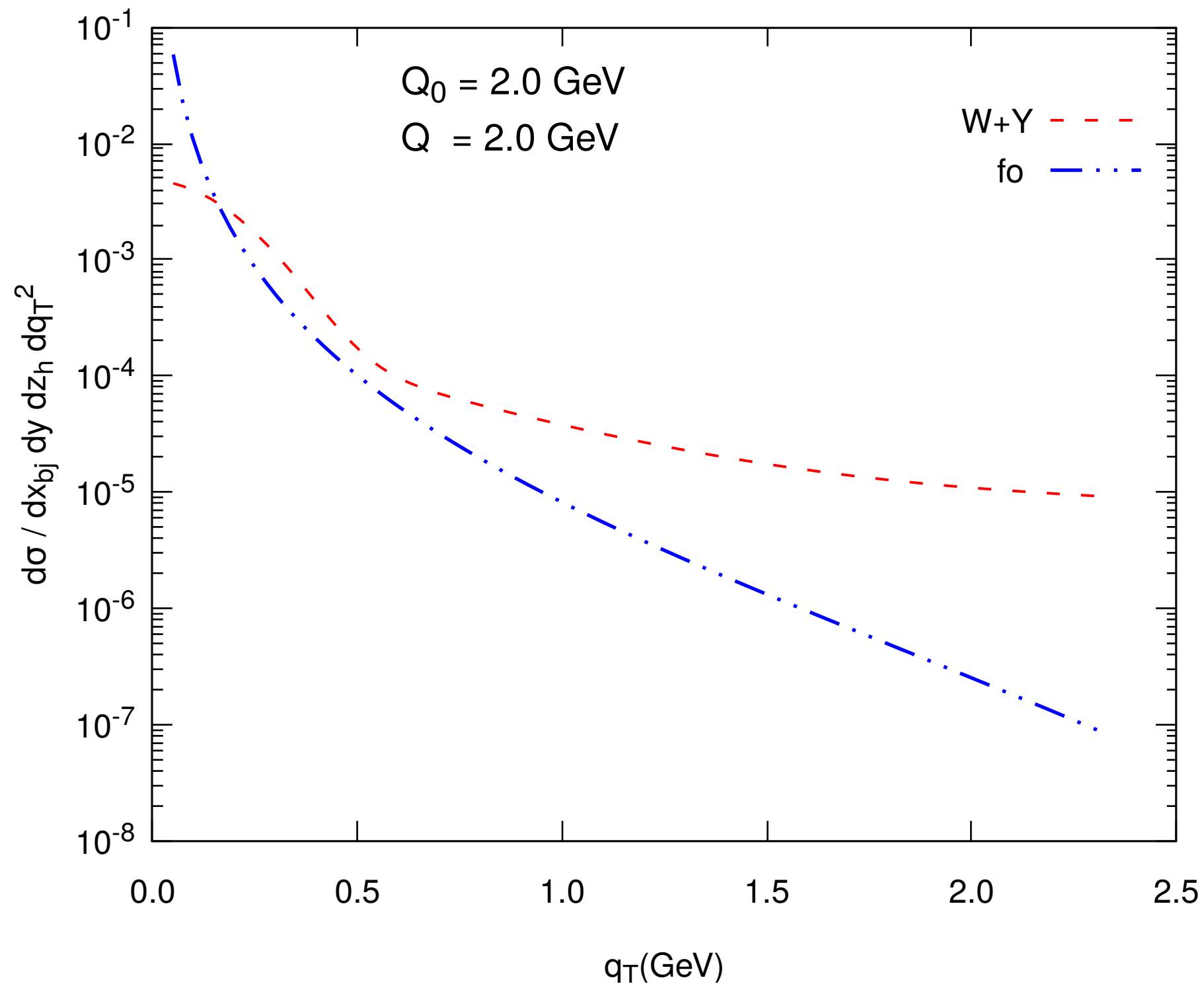
Why should we care about collinear factorization?
(large q_T)

- important test of pQCD (e. g. what is Q_0 ?)
- Small q_T limit should match the large q_T behaviour of W -term (TMDs)

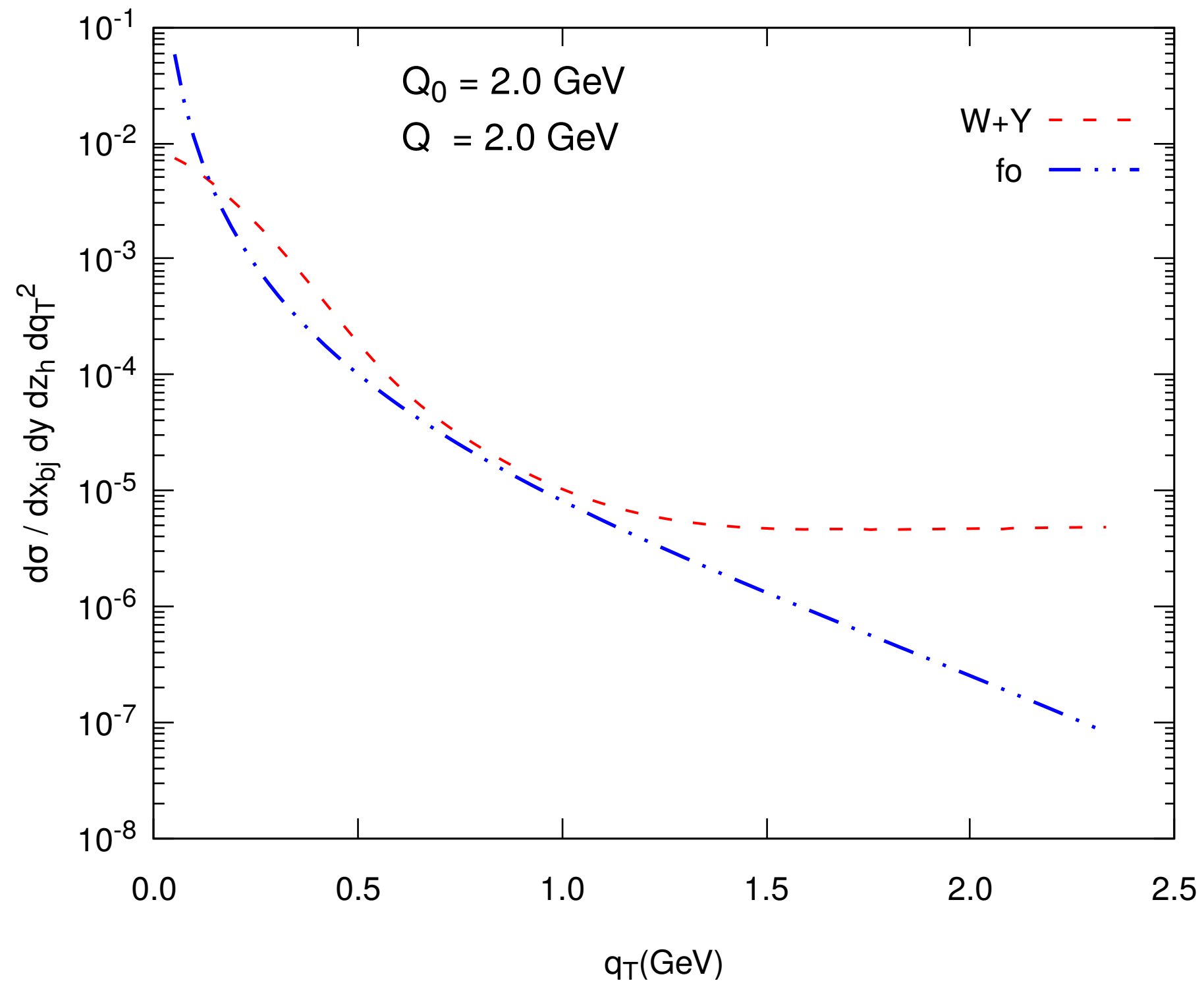
This last one is **not** only a formal issue, it has consequences in phenomenology

SIDIS example

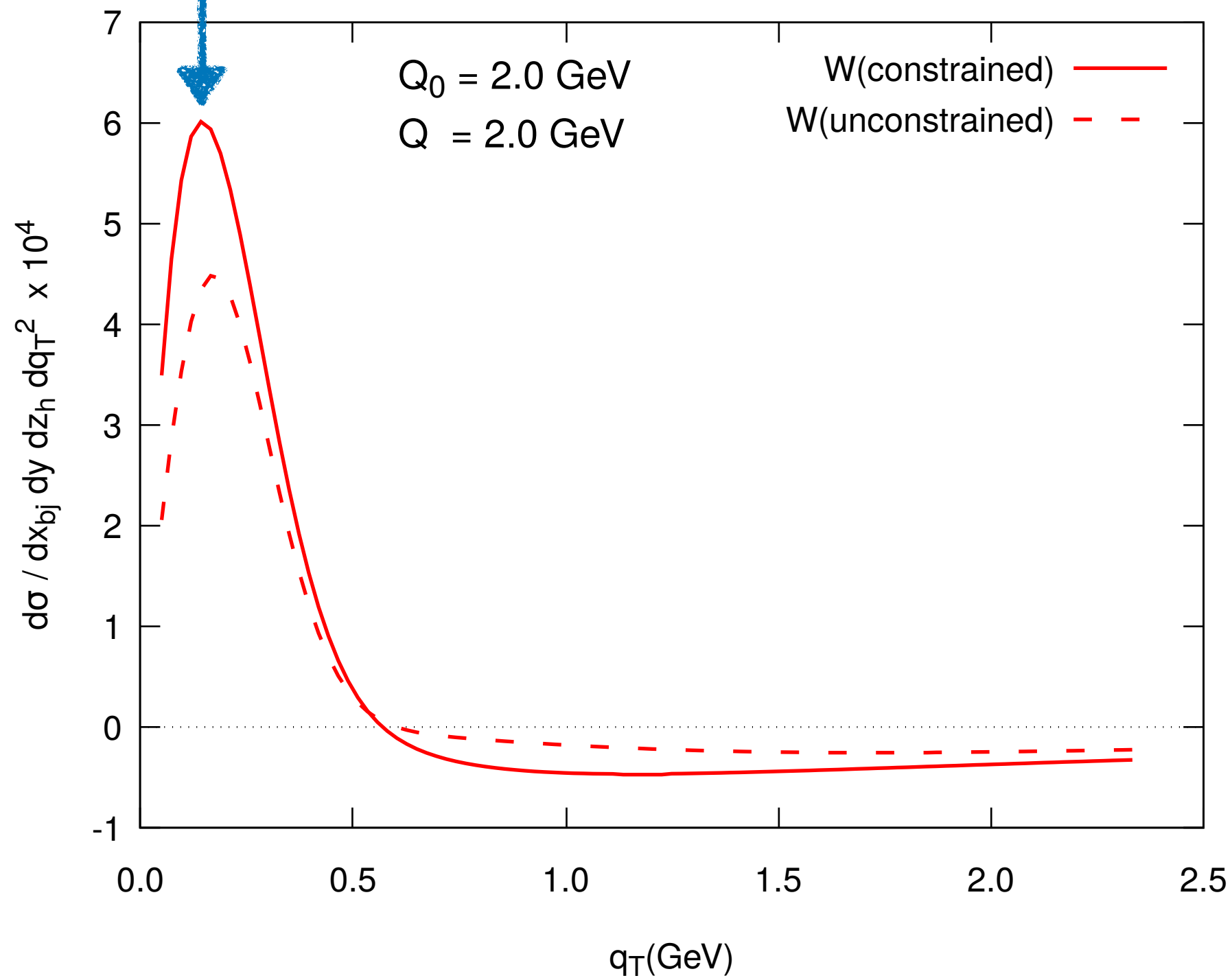
Ignoring large kT constraints

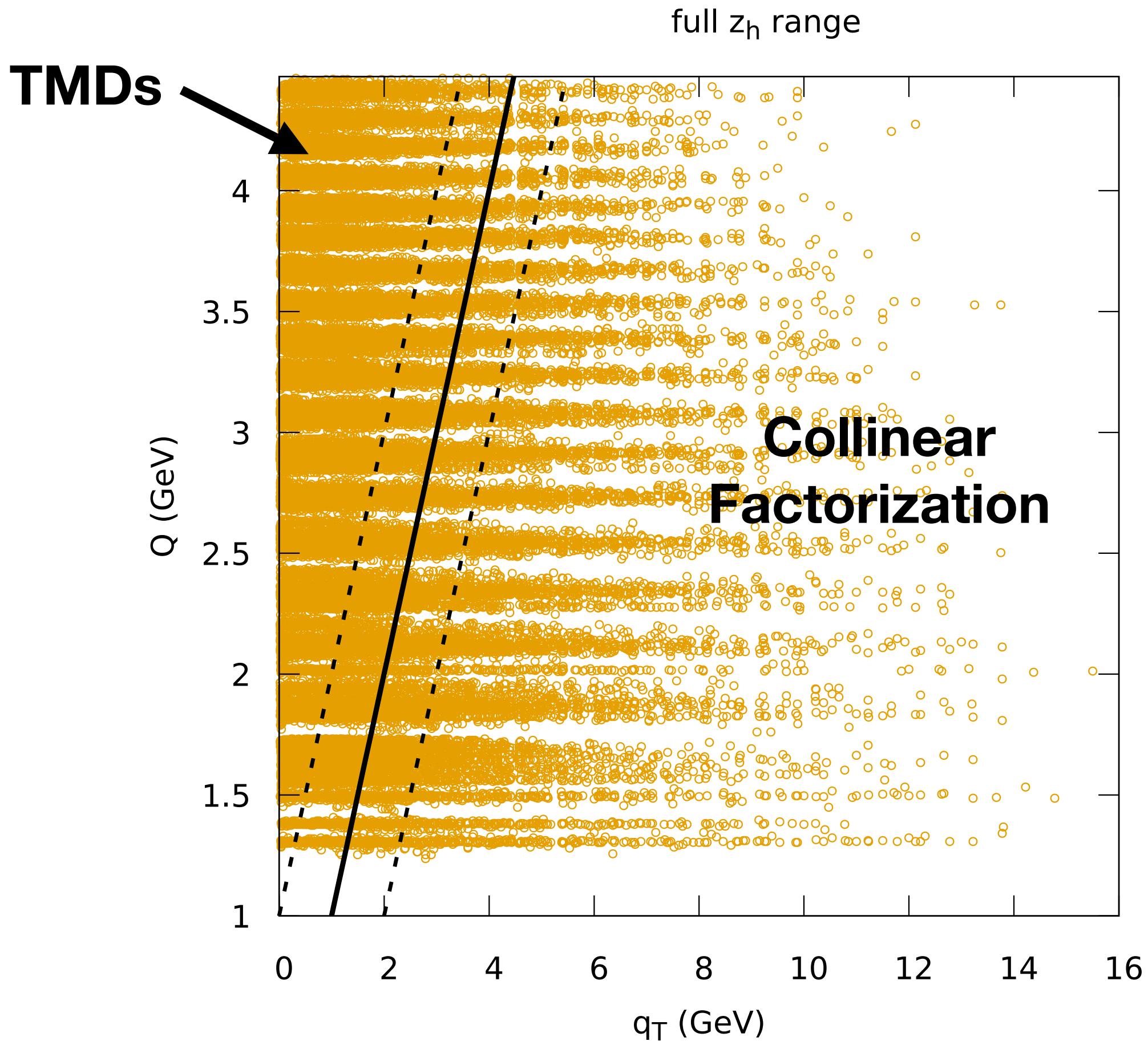


With large kT constraints



TMD region affected





**How small
can z_h be?**

Summarizing some of these ideas:

- Binning in q_T is most useful.
- Different cuts at small z_h may help.
- More nonperturbative information at smaller
- scales. How low can we go? (What is Q_0 ?)
- Should not disregard large q_T data.
- A good alternative to global fits: extract at $Q \approx Q_0$
- and test at higher energies.

Summarizing some of these ideas:

- Binning in q_T is most useful.
- Different cuts at small z_h may help.
- More nonperturbative information at smaller scales. How low can we go? (What is Q_0 ?).
- Should not disregard large q_T data.
- A good alternative to global fits: extract at $Q \approx Q_0$ and test at higher energies.

JLab 24-GeV upgrade

- Ideal for both precision and accuracy

Thanks

Backup (recent work on CS kernel)

TMDs from e+e- -> h X

Formalism

$$\begin{aligned} \tilde{D}_{1,h/f}(z_h, b_T; Q, \tau Q^2) = & \frac{1}{z_h^2} \left(d_{h/f}(z_h, \mu_{b_*}) + \frac{\alpha_S(\mu_{b_*})}{4\pi} \int_{z_h}^1 \frac{dz}{z} \left[d_{h/f}(z_h/z, \mu_{b_*}) z^2 \mathcal{C}_{q/q}^{[1]}(z, b_*; \mu_{b_*}, \mu_{b_*}^2) + d_{h/g}(z_h/z, \mu_{b_*}) z^2 \mathcal{C}_{g/q}^{[1]}(z, b_*; \mu_{b_*}, \mu_{b_*}^2) \right] \right) \\ & \times \exp \left\{ \log \frac{Q}{\mu_{b_*}} g_1(\lambda) + g_2(\lambda) + \frac{1}{4} \log \tau \left[g_2^K(\lambda) + \frac{1}{\log \frac{Q}{\mu_{b_*}}} g_3^K(\lambda) \right] \right\} \\ & \times M_D(z, b_T) \exp \left\{ -\frac{1}{4} g_K(b_T) \log \left(\frac{Q^2}{M_H^2} \tau \right) \right\}, \end{aligned} \tag{3}$$

$$M_D^{\text{sqr}}(z, b_T) = M_D(z, b_T) \sqrt{M_S(b_T)},$$

$$g_K^{\text{sqr}}(b_T) = \frac{1}{2} g_K(b_T),$$

TMDs from e+e- -> h X

models

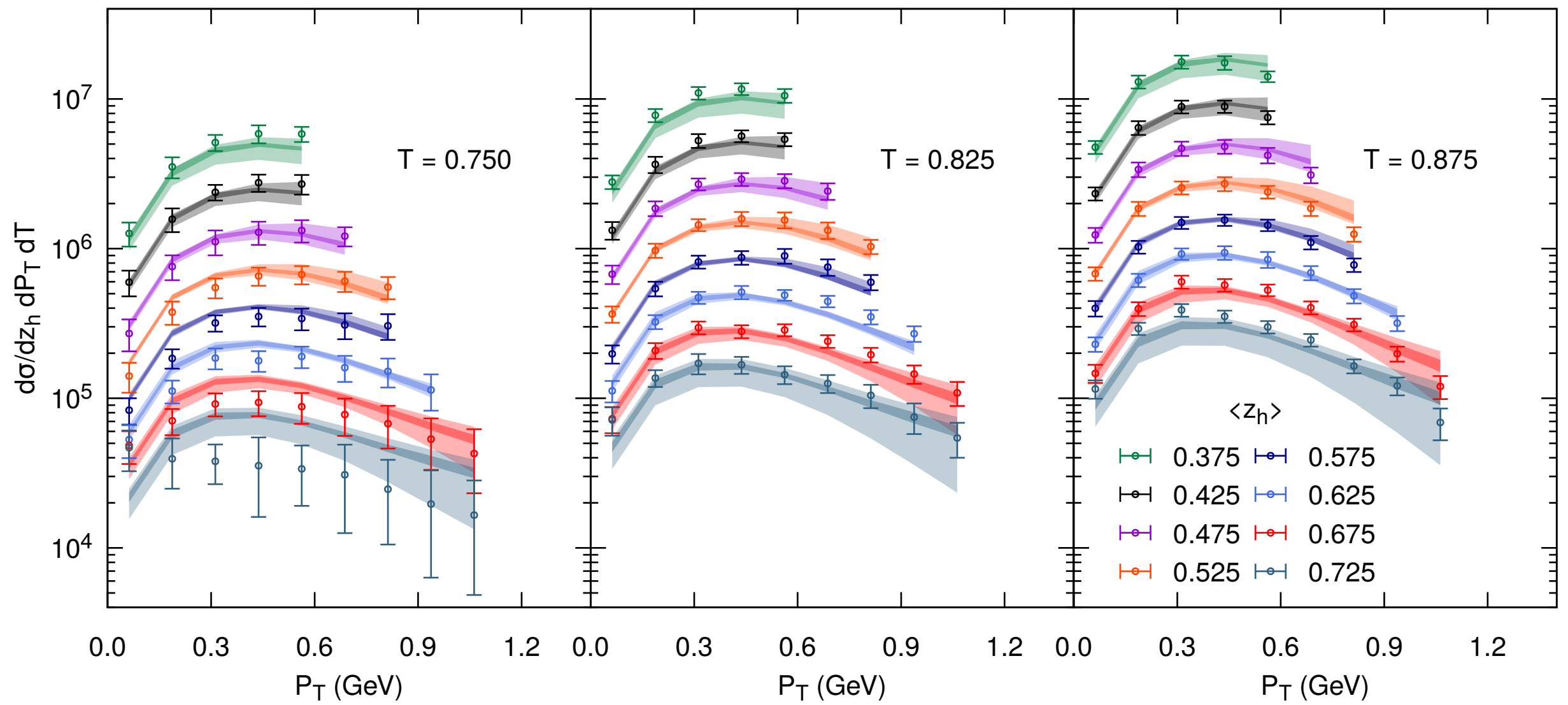
$M_D = \frac{2^{2-p}(b_T M_0)^{p-1}}{\Gamma(p-1)} K_{p-1}(b_T M_0) \times F(b_T, z_h)$		
ID	M_D model	parameters
I	$F = \left(\frac{1 + \log(1 + (b_T M_z)^2)}{1 + (b_T M_z)^2} \right)^q$ $M_z = -M_1 \log(z_h)$	M_0, M_1 $p = 1.51, q = 8$
II	$F = 1$ $M_z = M_\pi \frac{1}{z f(z)^2} \sqrt{\frac{3}{1 - f(z)}}$ $p_z = 1 + \frac{3}{2} \frac{f(z)}{1 - f(z)}$ $f(z) = 1 - (1 - z)^\beta, \quad \beta = \frac{1 - z_0}{z_0}$	z_0
g_K model		
A	$g_K = \log(1 + (b_T M_K)^{p_K})$	M_K, p_K
B	$g_K = M_K b_T^{(1 - 2p_K)}$	M_K, p_K

TMDs from $e^+e^- \rightarrow h X$

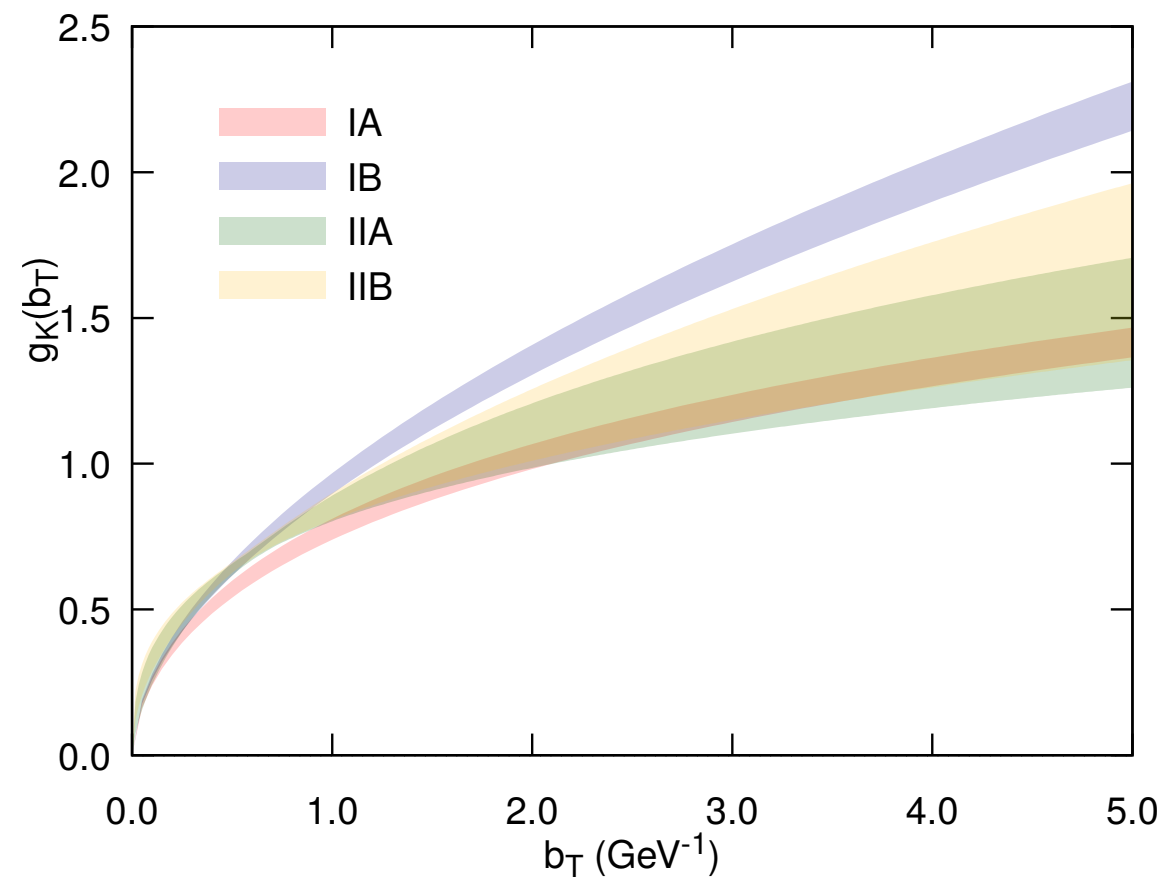
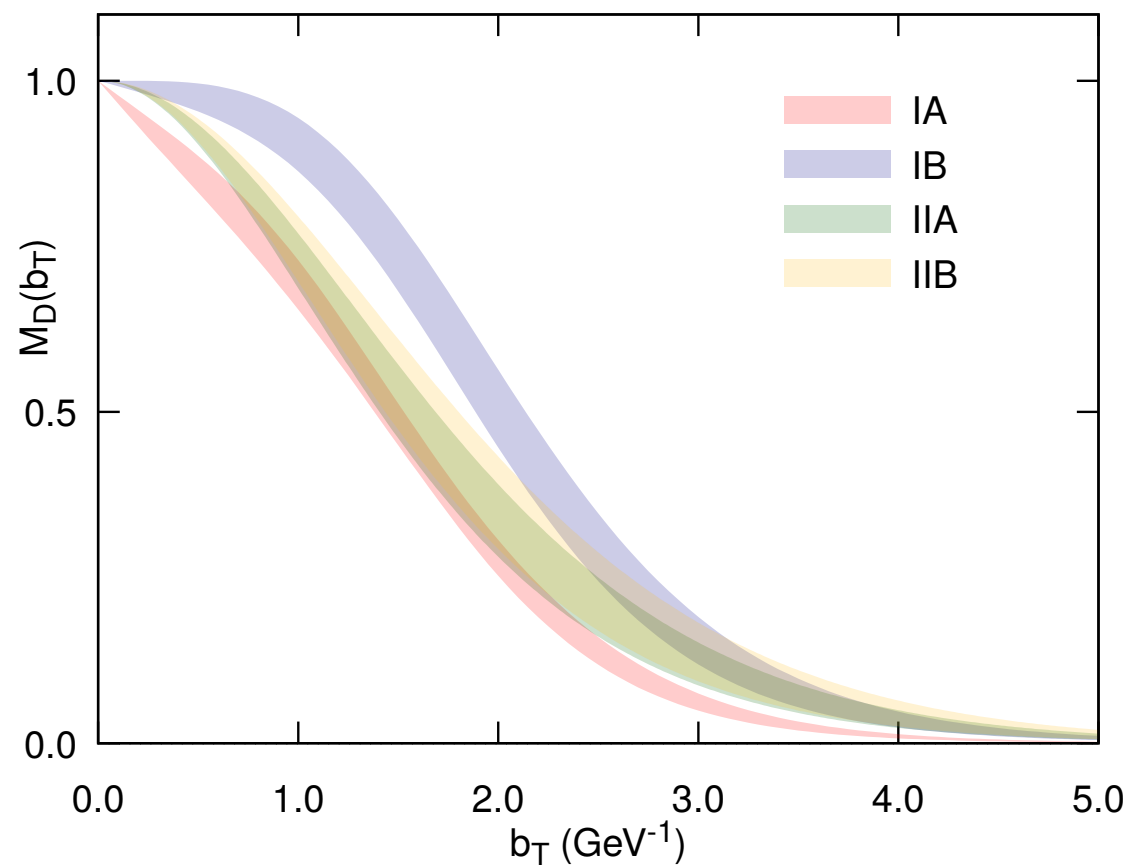
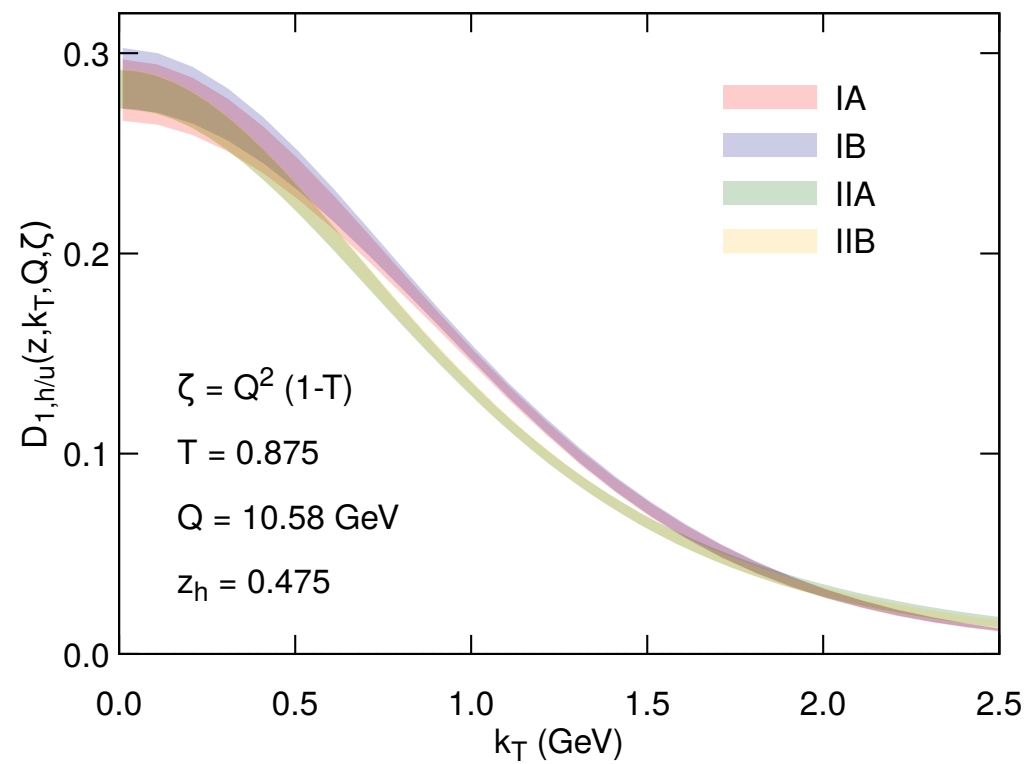
Fit results

$q_T/Q < 0.15$ (pts = 168)		
	IA	IB
$\chi^2_{\text{d.o.f.}}$	1.25	1.19
$M_0(\text{GeV})$	$0.300^{+0.075}_{-0.062}$	$0.003^{+0.089}_{-0.003}$
$M_1(\text{GeV})$	$0.522^{+0.037}_{-0.041}$	$0.520^{+0.027}_{-0.040}$
p^*	1.51	1.51
q^*	8	8
$M_K(\text{GeV})$	$1.305^{+0.139}_{-0.146}$	$0.904^{+0.037}_{-0.086}$
p_K^*	0.609	0.229

$q_T/Q < 0.15$ (pts = 168)		
	IIA	IIB
$\chi^2_{\text{d.o.f.}}$	1.35	1.33
z_0	$0.574^{+0.039}_{-0.041}$	$0.556^{+0.047}_{-0.051}$
$M_K(\text{GeV})$	$1.633^{+0.103}_{-0.105}$	$0.687^{+0.114}_{-0.171}$
p_k	$0.588^{+0.127}_{-0.141}$	$0.293^{+0.047}_{-0.038}$



TMDs from $e^+e^- \rightarrow h X$



CS kernel

